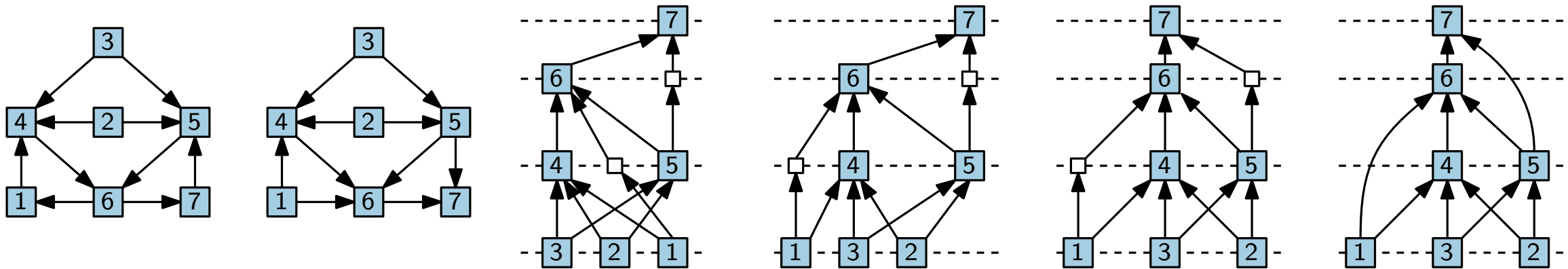


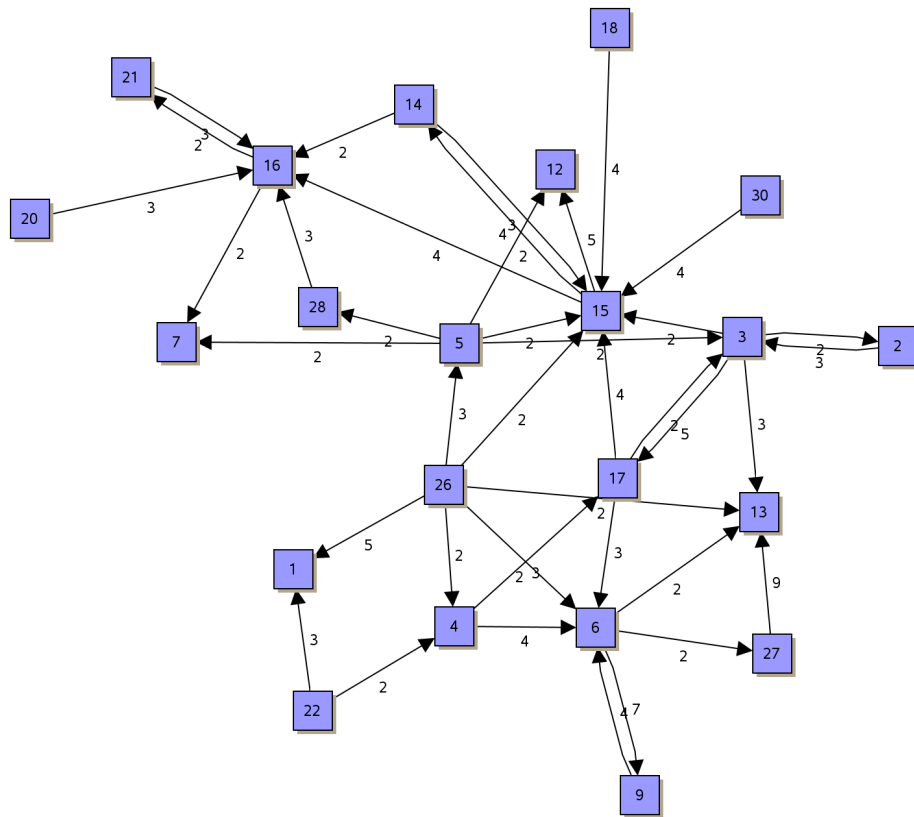
# Visualisation of graphs

## Hierarchical layouts Sugiyama framework

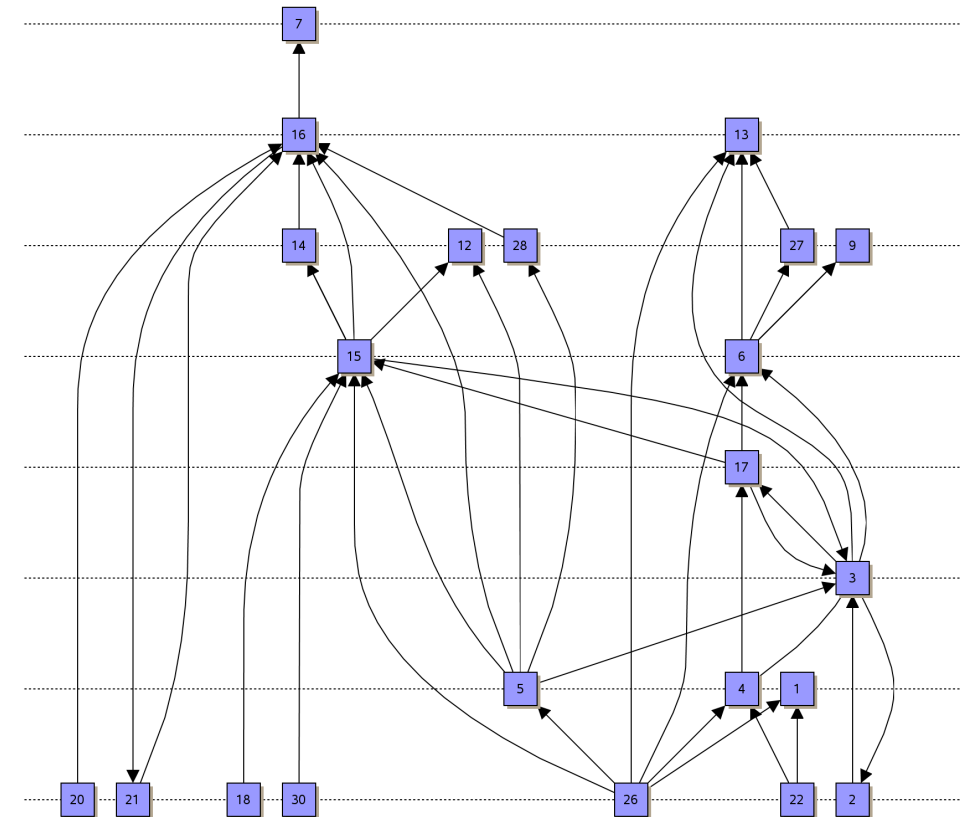
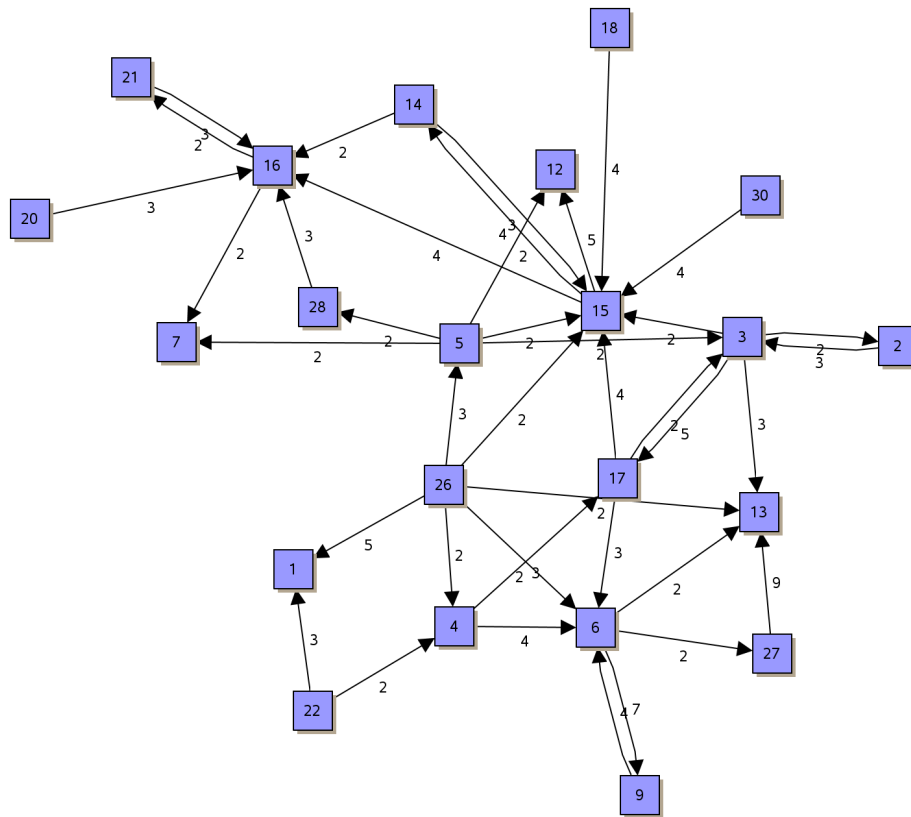
Jonathan Klawitter · Summer semester 2020



# Hierarchical drawings – motivation



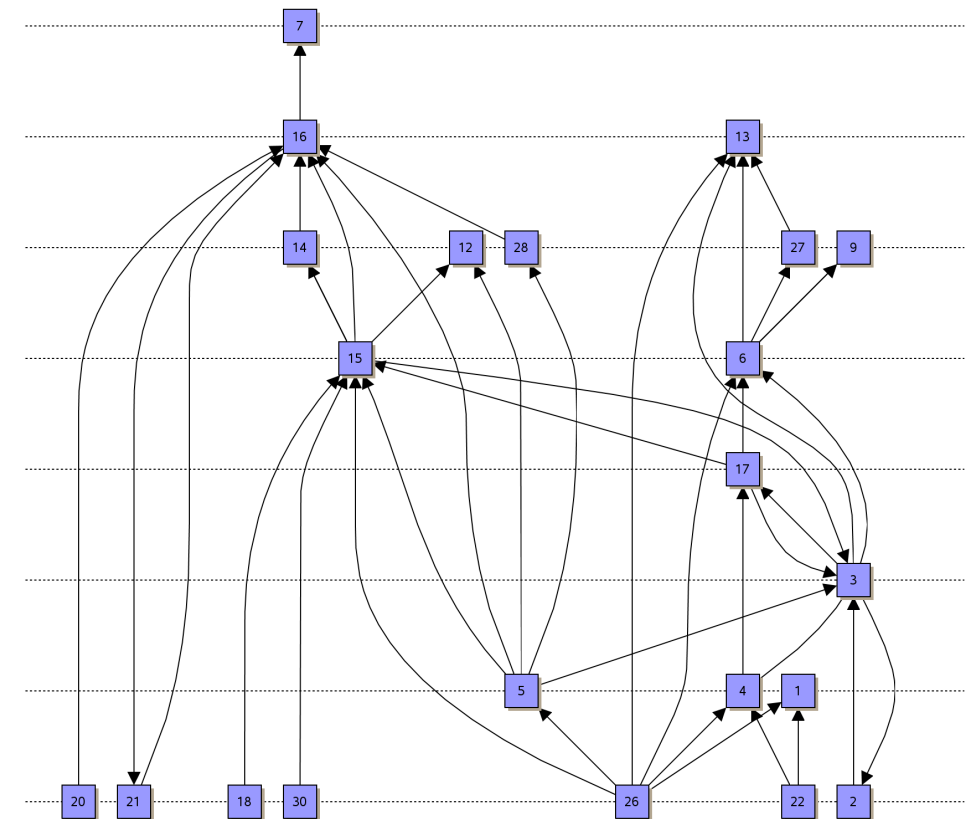
# Hierarchical drawings – motivation



# Hierarchical drawing

## Problem statement.

- Input: digraph  $G = (V, E)$
- Output: drawing of  $G$  that “closely” reproduces the hierarchical properties of  $G$

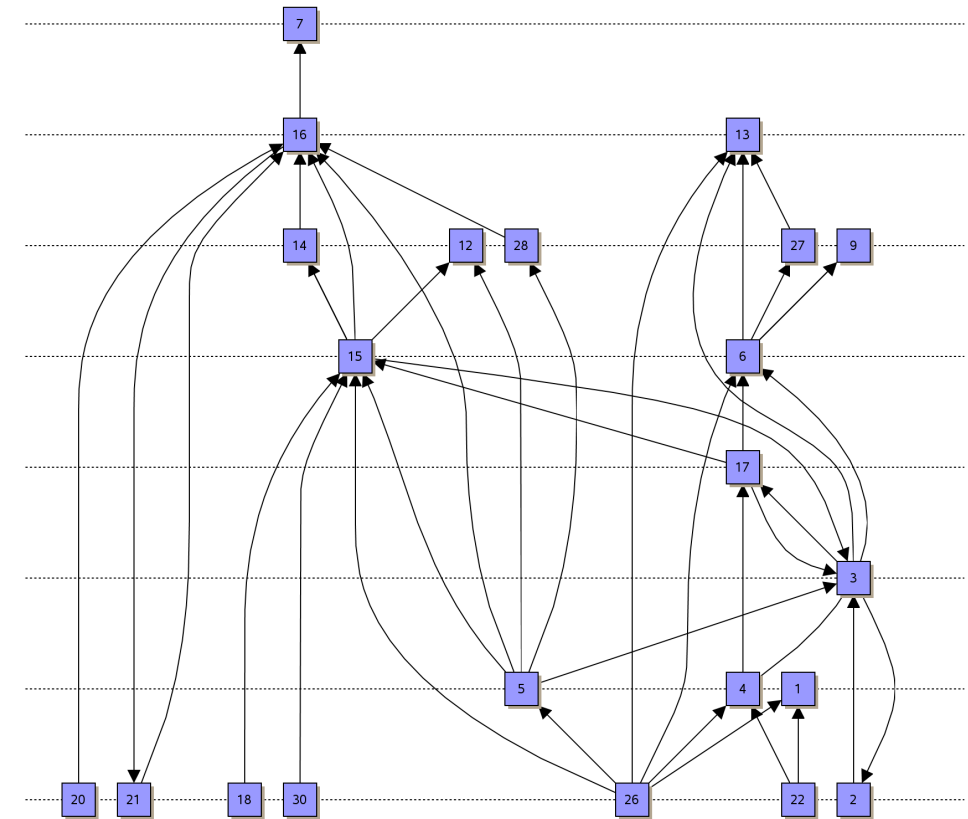


# Hierarchical drawing

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- Input: digraph  $G = (V, E)$
- Output: drawing of  $G$  that “closely” reproduces the hierarchical properties of  $G$

## Desireable properties.



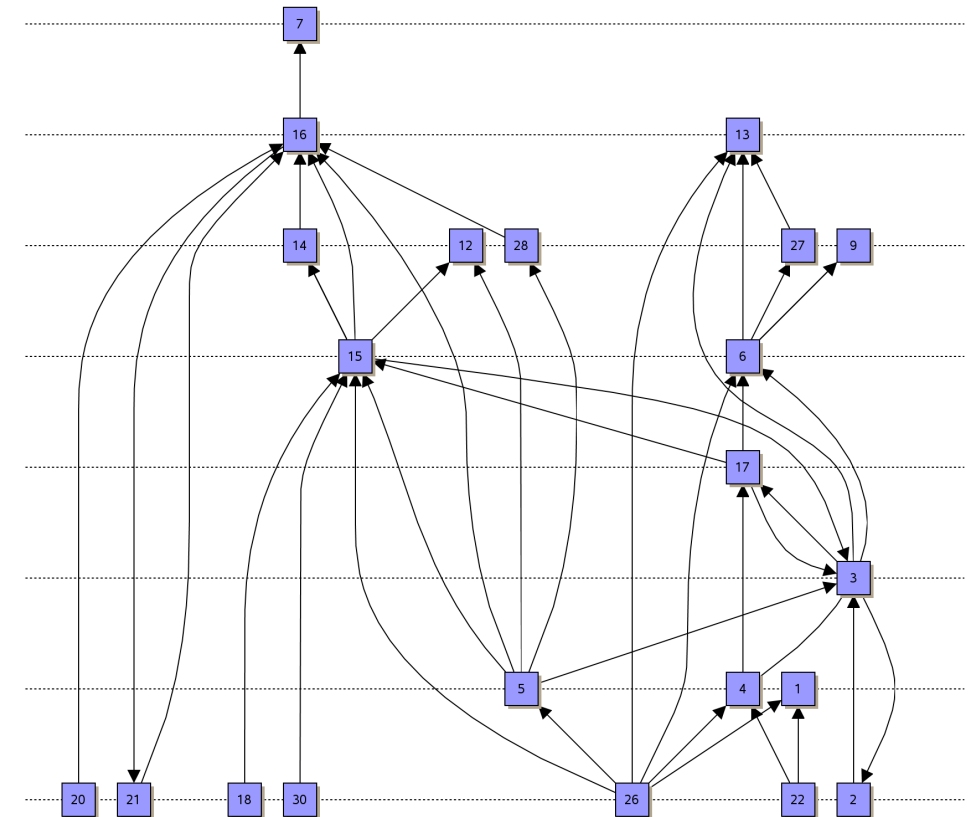
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## Desireable properties.

- vertices occur on (few) horizontal lines



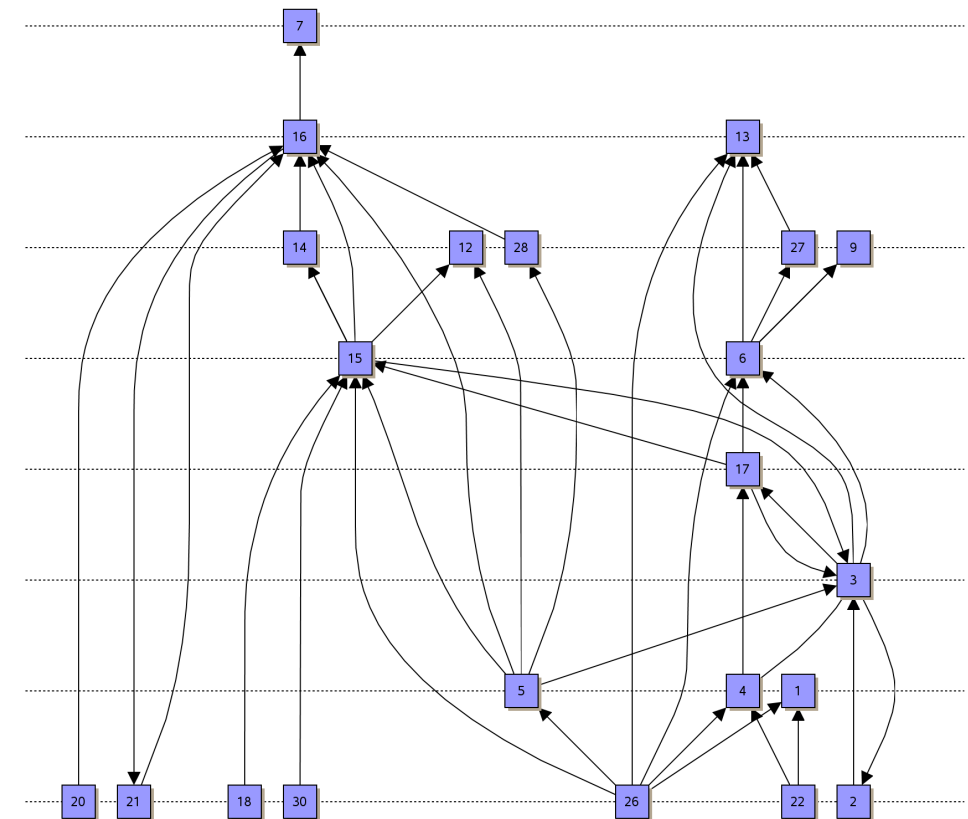
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## Desireable properties.

- vertices occur on (few) horizontal lines
- edges directed upwards



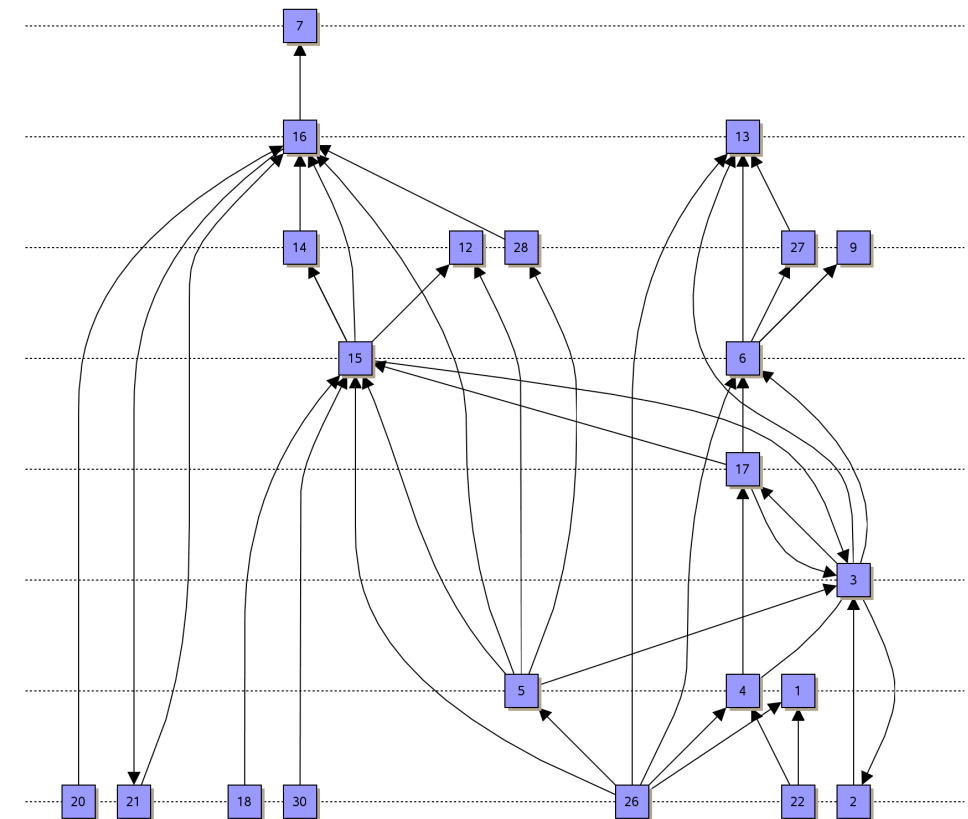
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## Desireable properties.

- vertices occur on (few) horizontal lines
- edges directed upwards
- edge crossings minimized





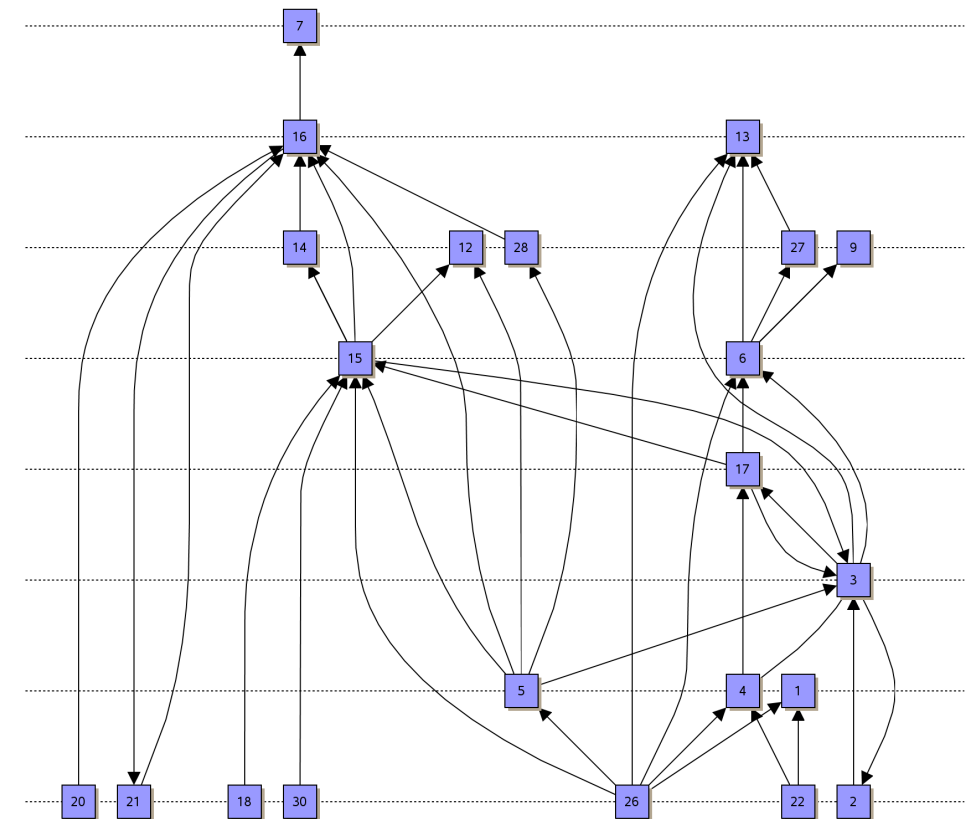
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## Desireable properties.

- vertices occur on (few) horizontal lines
- edges directed upwards
- edge crossings minimized
- edges upward, straight, and short as possible



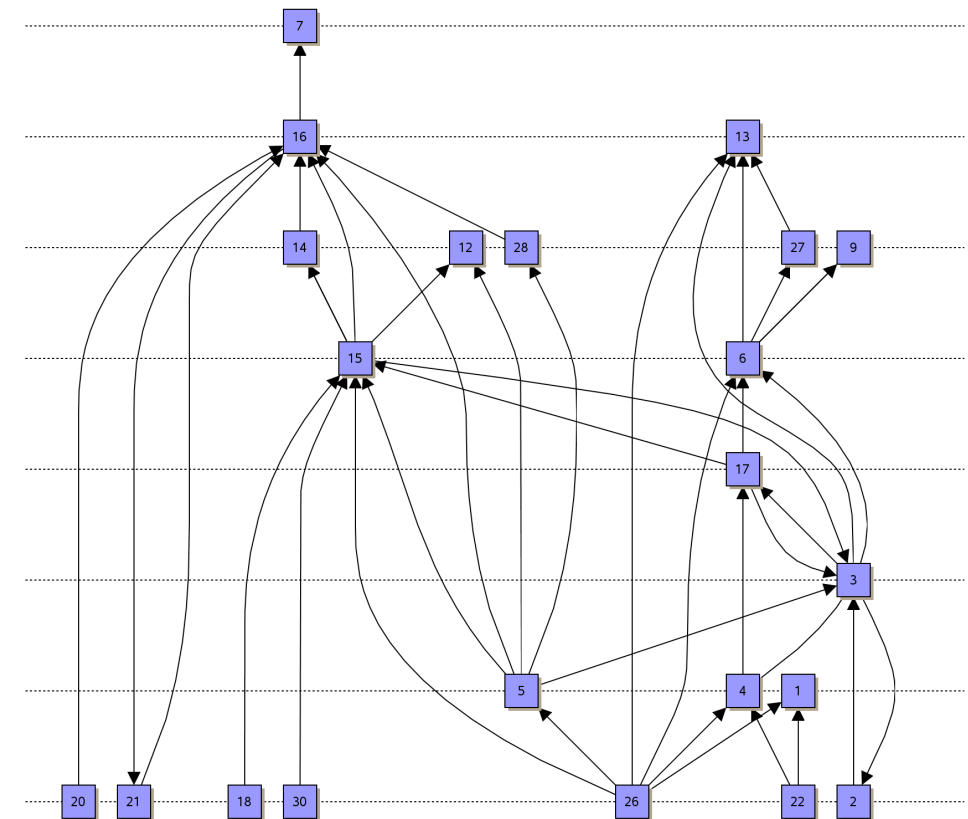
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- edges directed upwards
- edge crossings minimized
- edges upward, straight, and short as possible
- vertices evenly spaced



# Hierarchical drawing

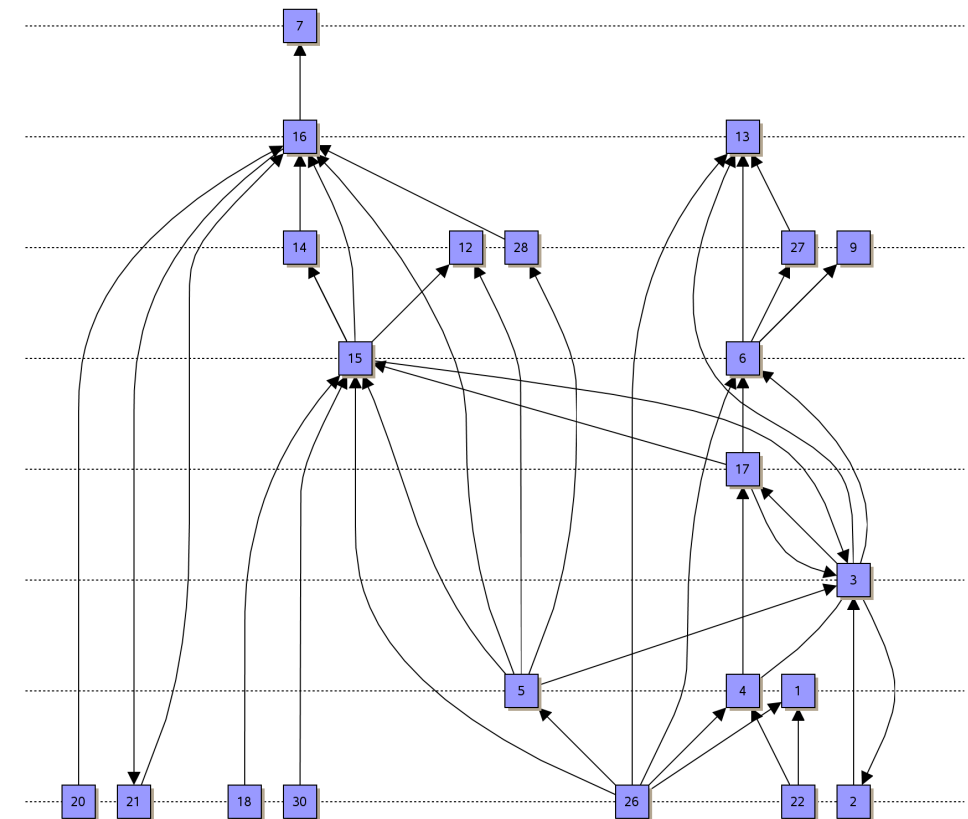
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- edges directed upwards
- edge crossings minimized
- edges upward, straight, and short as possible
- vertices evenly spaced

Criteria can be contradictory!



# Hierarchical drawing – applications

yEd Gallery: Java profiler JProfiler using yFiles

**Heap Walker Object Graph**  
The object graph is not cleared when the current object set is changed. You can add objects from different object sets and explore their relationships and connections.

Use ... Show Paths To GC Root Find path between two selected nodes

**JProfiler**

Selection step 2: Class  
1 instance of y.view.Graph2D

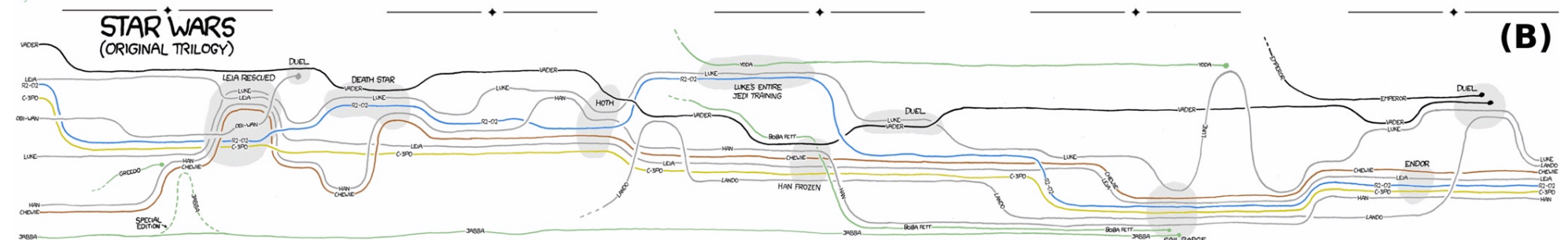
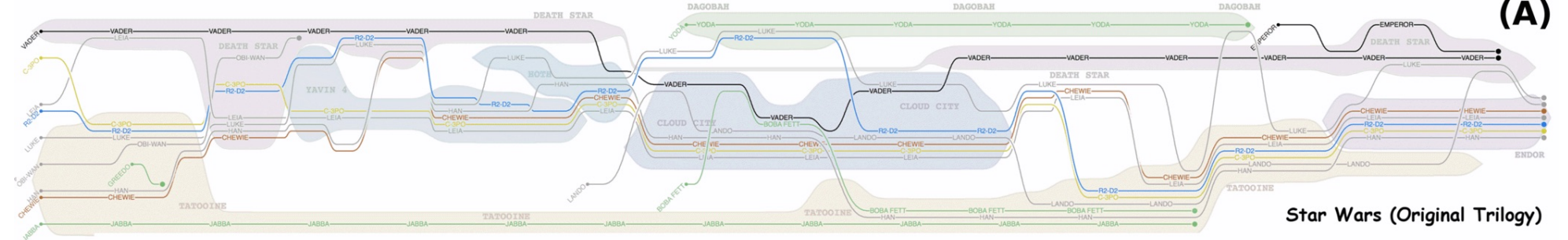
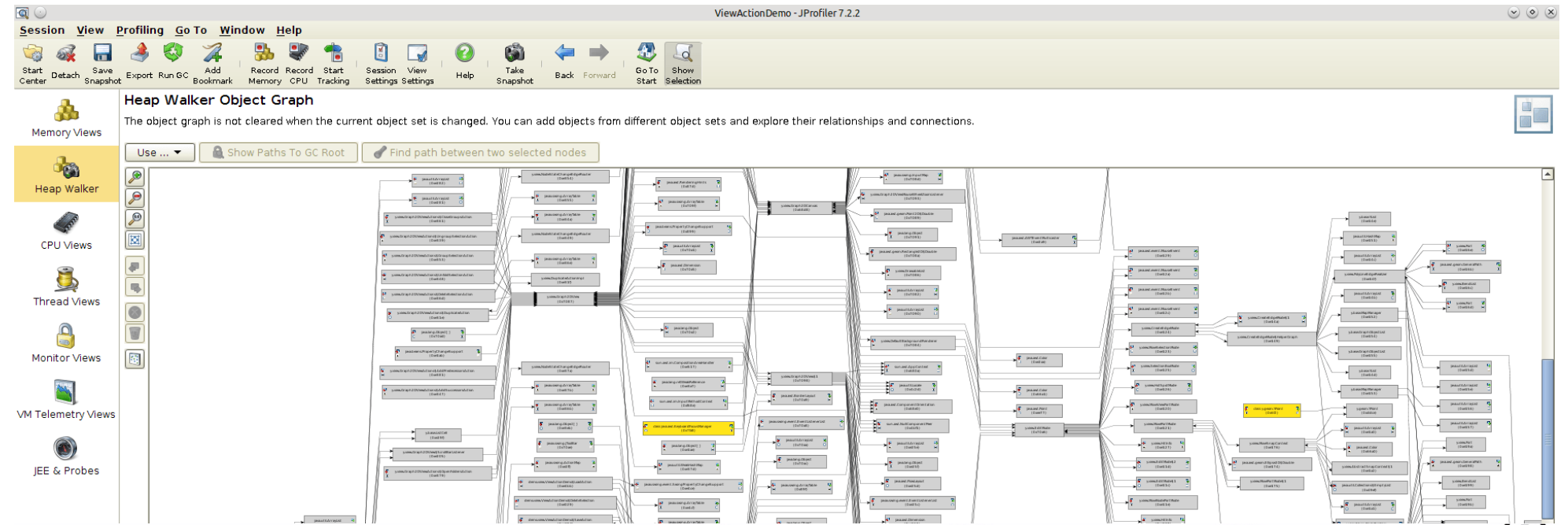
Selection step 1: All objects after full GC  
39240 objects in 1104 classes, 15172 arrays

Classes Allocations Biggest Objects References Time Inspections Graph

63:17 Profiling

# Hierarchical drawing – applications

yEd Gallery: Java profiler JProfiler using yFiles

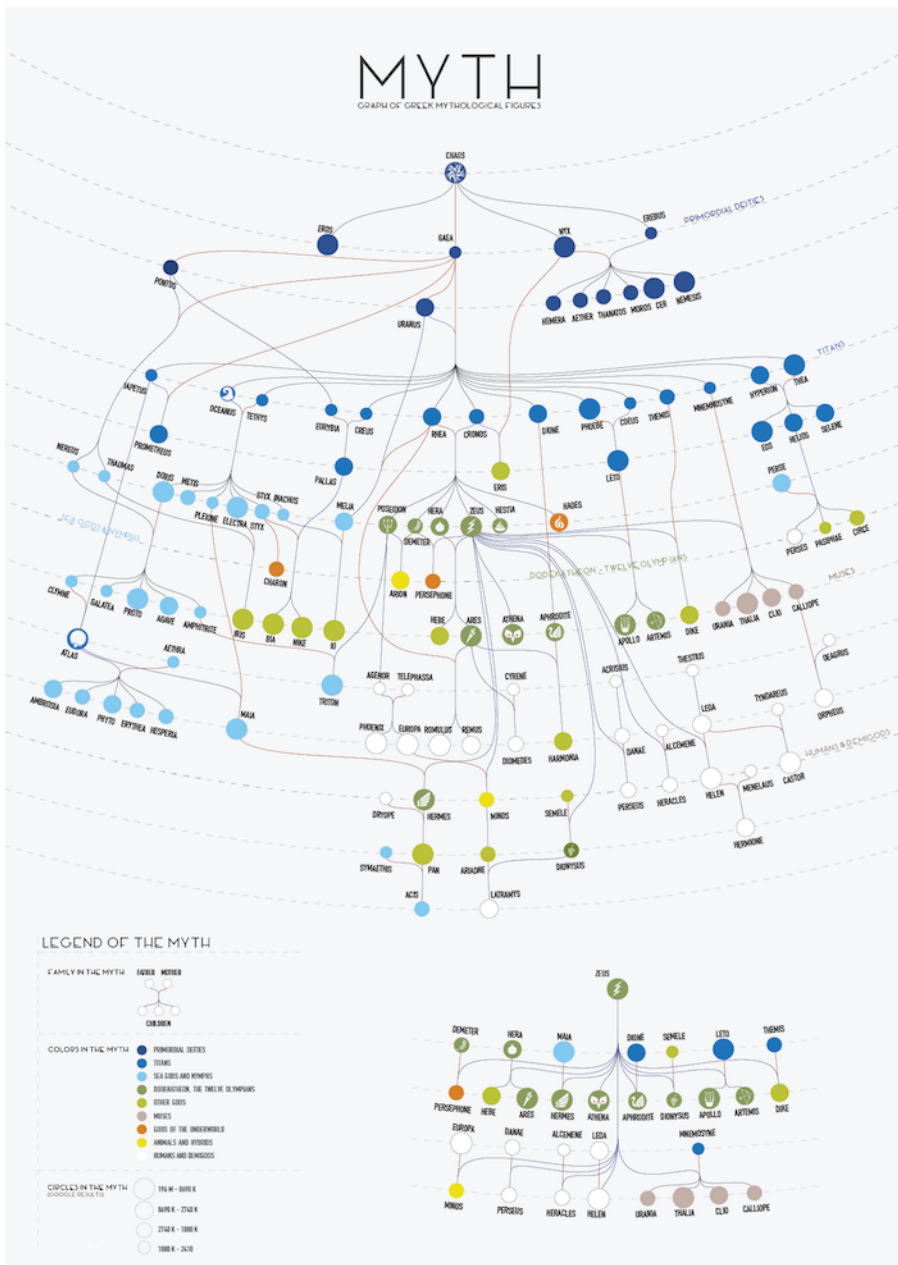


Source: "Design Considerations for Optimizing Storyline Visualizations" Tanahashi et al.

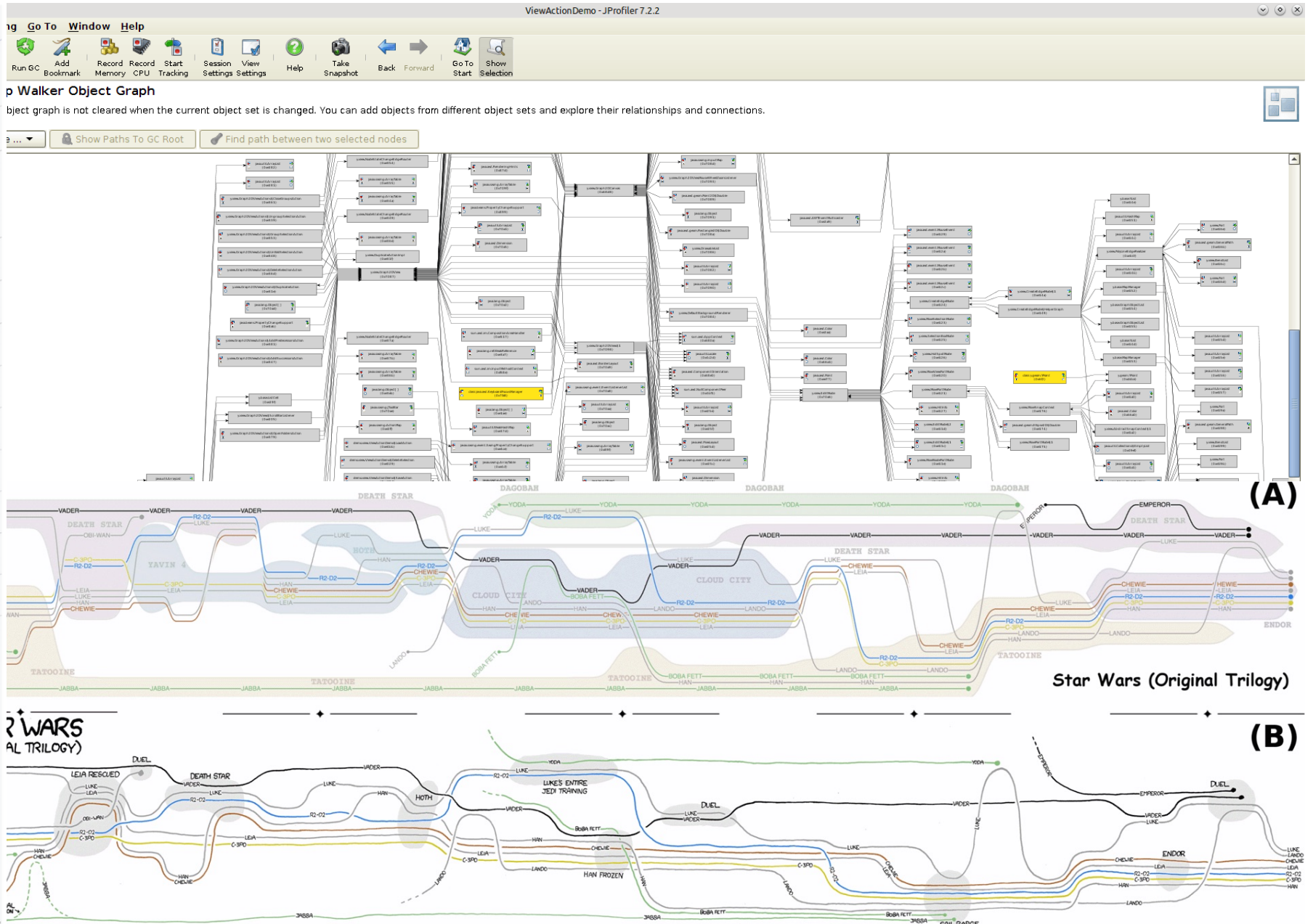


# Hierarchical drawing – applications

yEd Gallery: Java profiler JProfiler using yFiles



Source: Visualization that won the Graph Drawing contest 2016. Klawitter & Mchedlidze

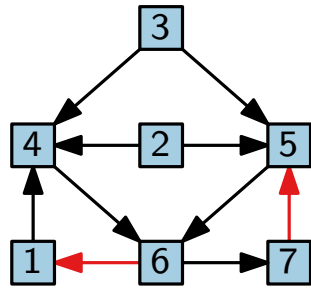


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# Classical approach – Sugiyama framework

[Sugiyama, Tagawa, Toda '81]

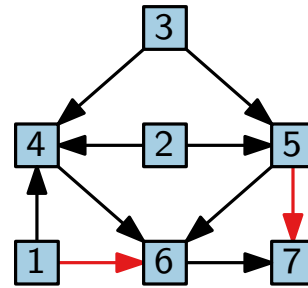
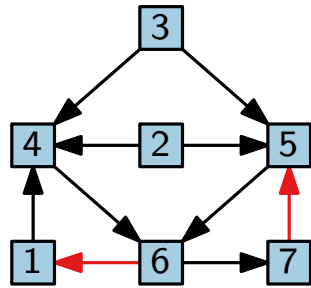
Input



# Classical approach – Sugiyama framework

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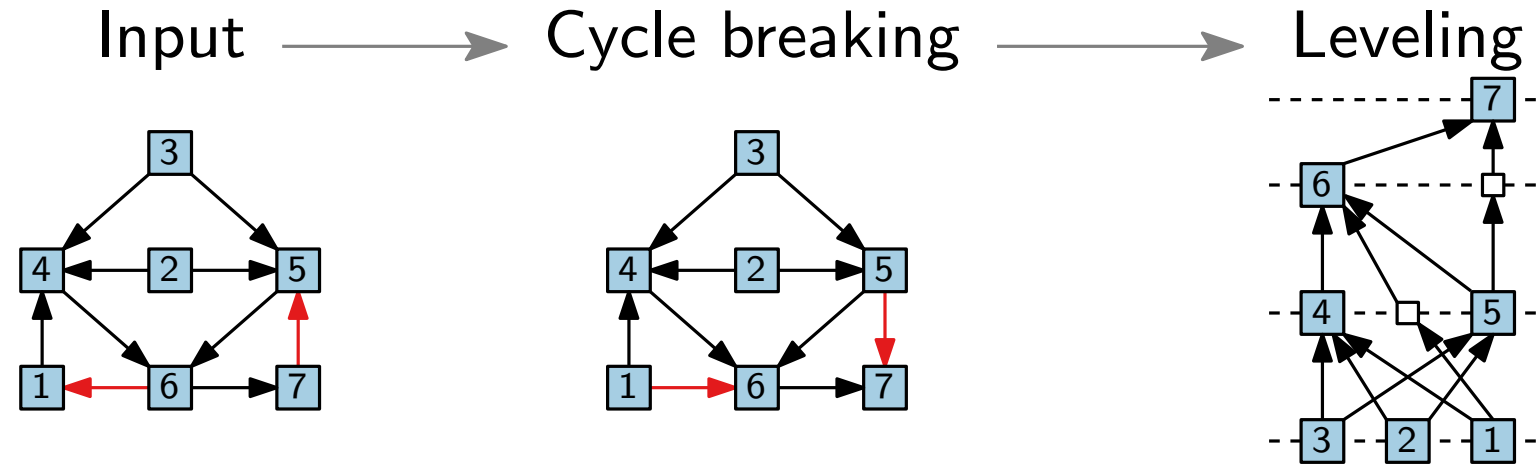
Input  $\longrightarrow$  Cycle breaking





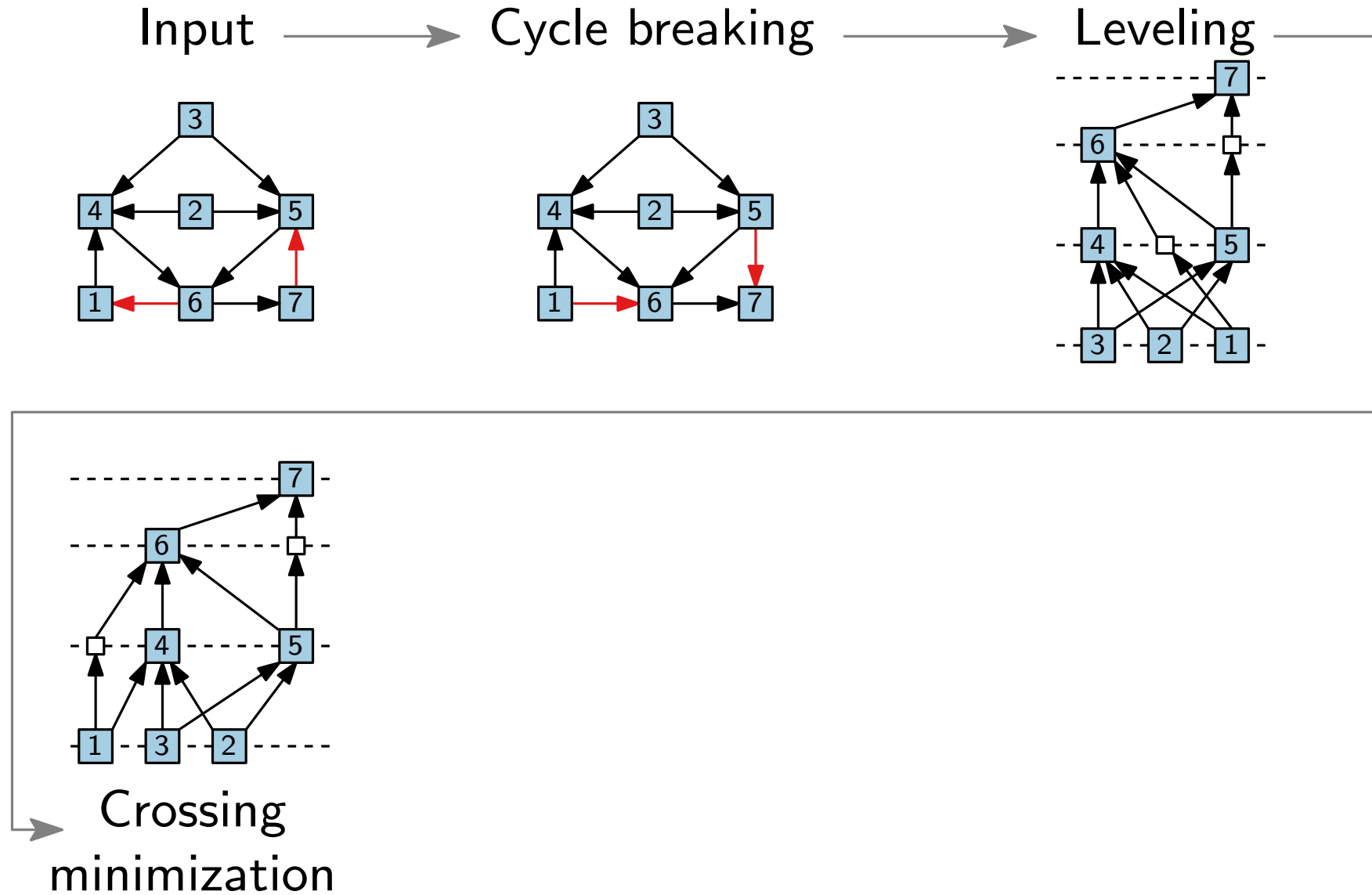
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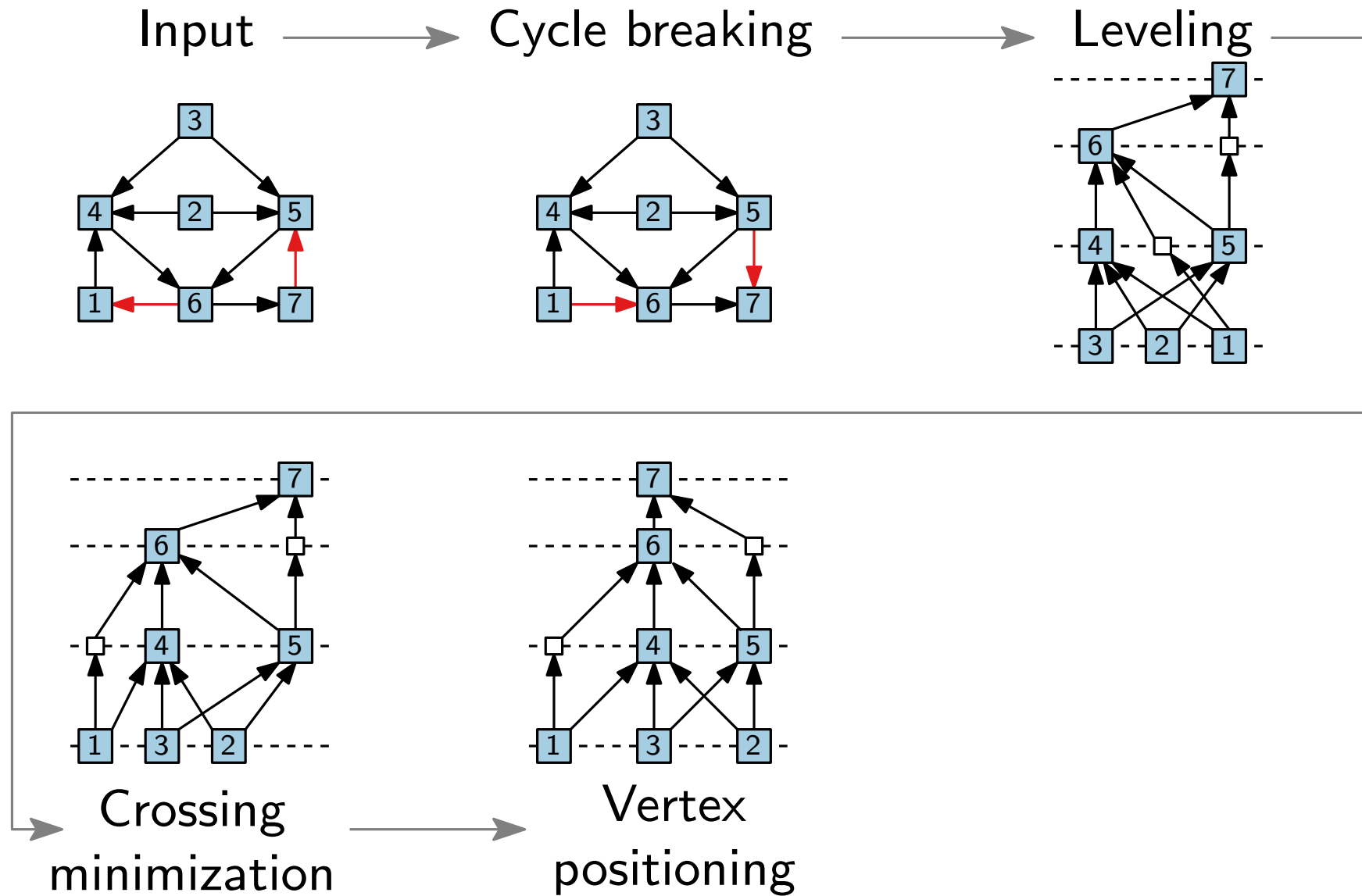
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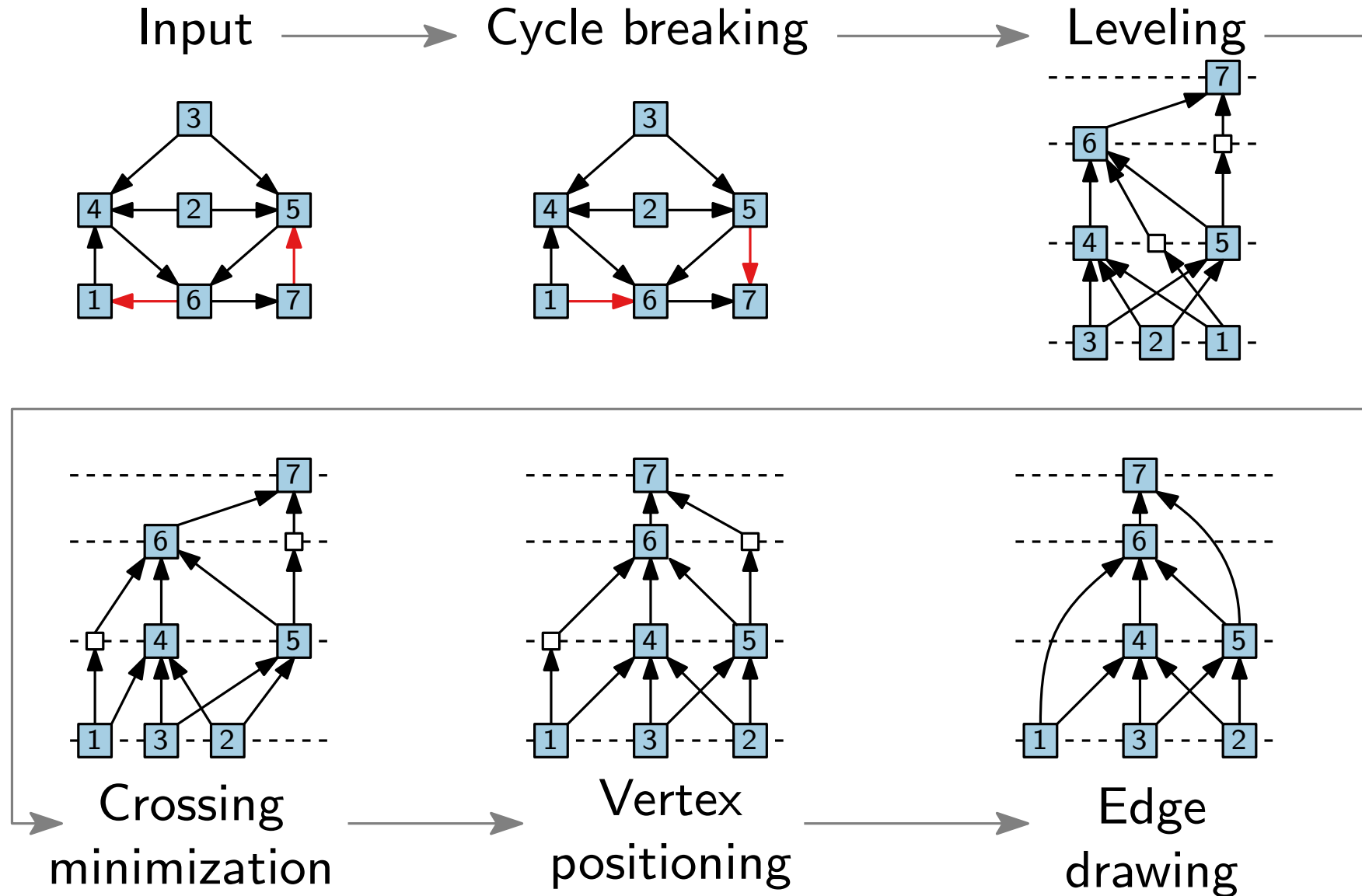
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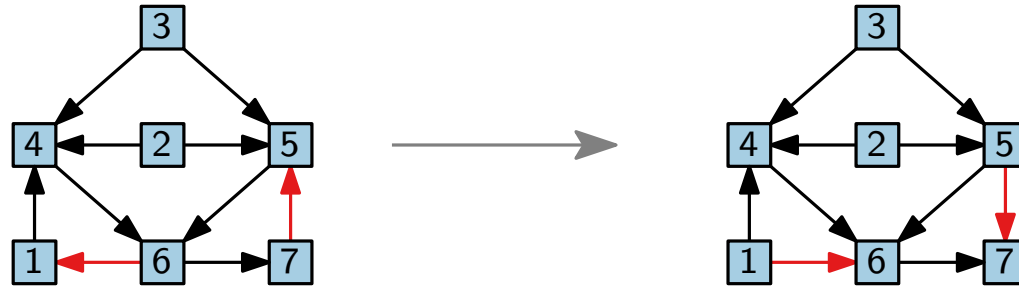


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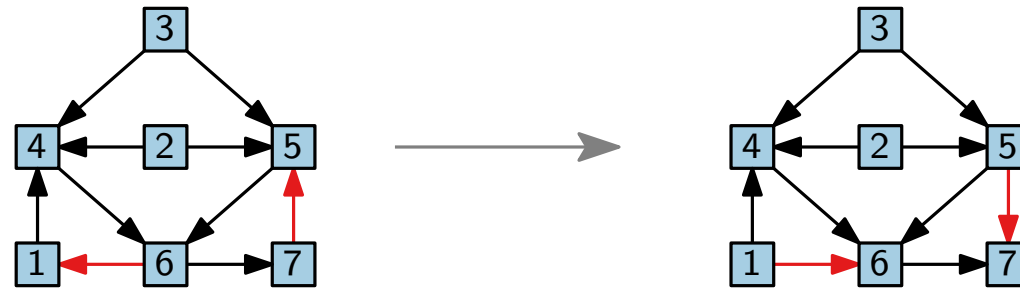
# Step 1: Cycle breaking



## Approach.

- Find minimum set  $E^*$  of edges which are not upwards.
- Remove  $E^*$  and insert reversed edges.

# Step 1: Cycle breaking



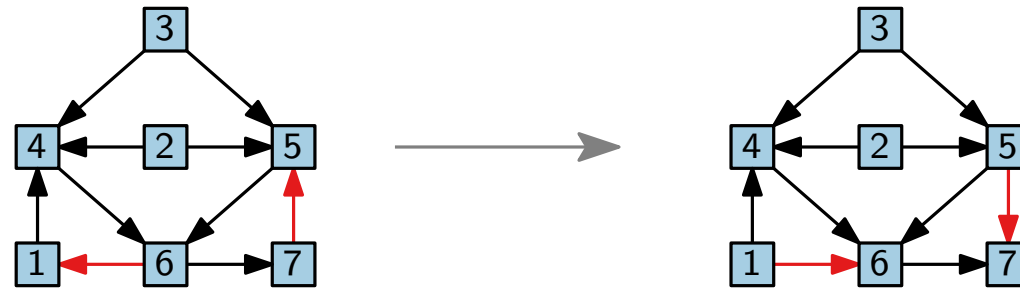
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## Problem MINIMUM FEEDBACK ARC SET(**FAS**).

- Input: directed graph  $G = (V, E)$
- Output: min. set  $E^* \subseteq E$ , so that  $G - E^*$  acyclic

# Step 1: Cycle breaking



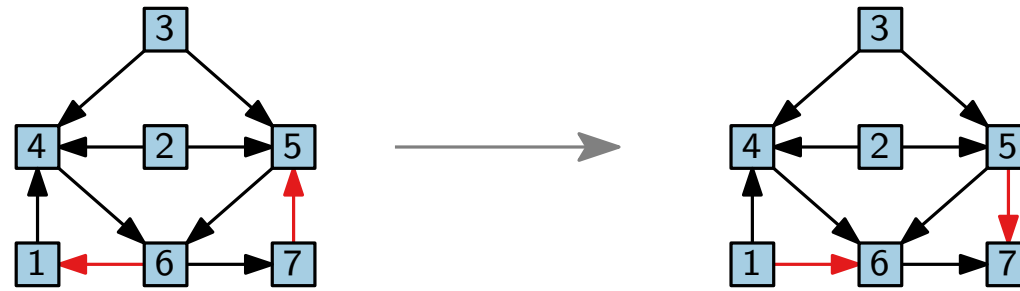
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 $G - E^* + E_r^*$

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## Problem ~~MINIMUM FEEDBACK ARC SET (FAS)~~.

- Input: directed graph  $G = (V, E)$
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 $G - E^* + E_r^*$

... NP-hard :-)



# Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph  $G = (V, E)$ )

$E' \leftarrow \emptyset$

**foreach**  $v \in V$  **do**

**if**  $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$  **then**

$E' \leftarrow E' \cup N^{\rightarrow}(v)$

**else**

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    remove  $v$  and  $N(v)$  from  $G$ .

**return**  $(V, E')$

$N^{\rightarrow}(v) := \{(v, u) \mid (v, u) \in E\}$

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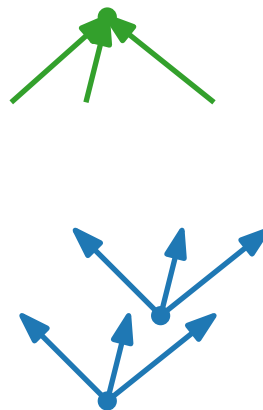
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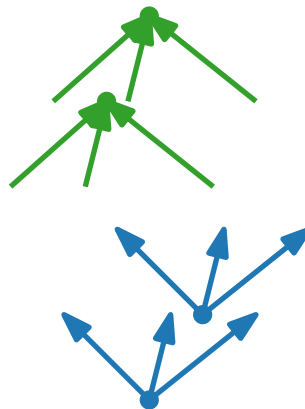
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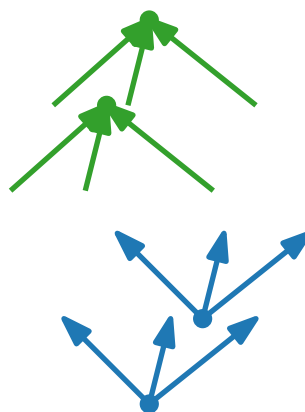
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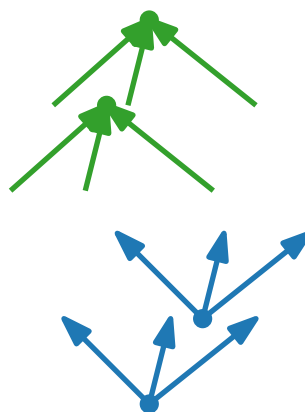
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- $G' = (V, E')$  is a DAG
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$$N^{\rightarrow}(v) := \{(v, u) \mid (v, u) \in E\}$$

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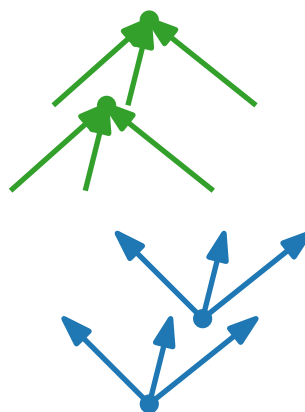
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- Time:



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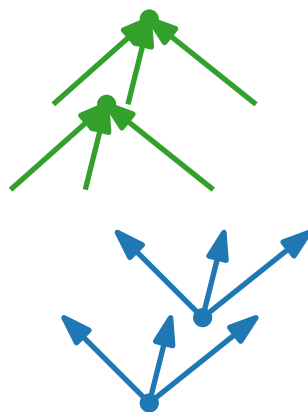
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- Time:  $\mathcal{O}(|V| + |E|)$

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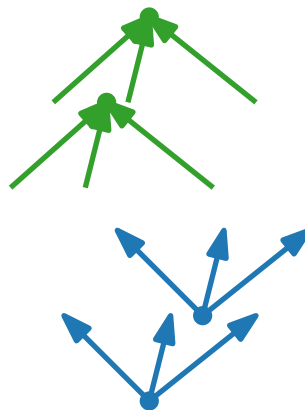
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- Time:  $\mathcal{O}(|V| + |E|)$
- Quality guarantee:  $|E'| \geq$

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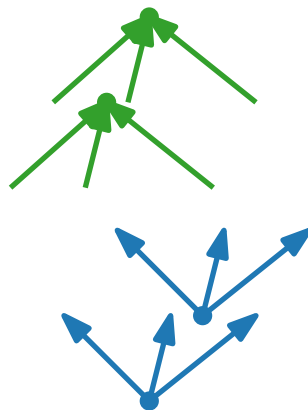
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- Time:  $\mathcal{O}(|V| + |E|)$
- Quality guarantee:  $|E'| \geq |E|/2$

# Heuristic 2

[Eades, Lin, Smyth '93]

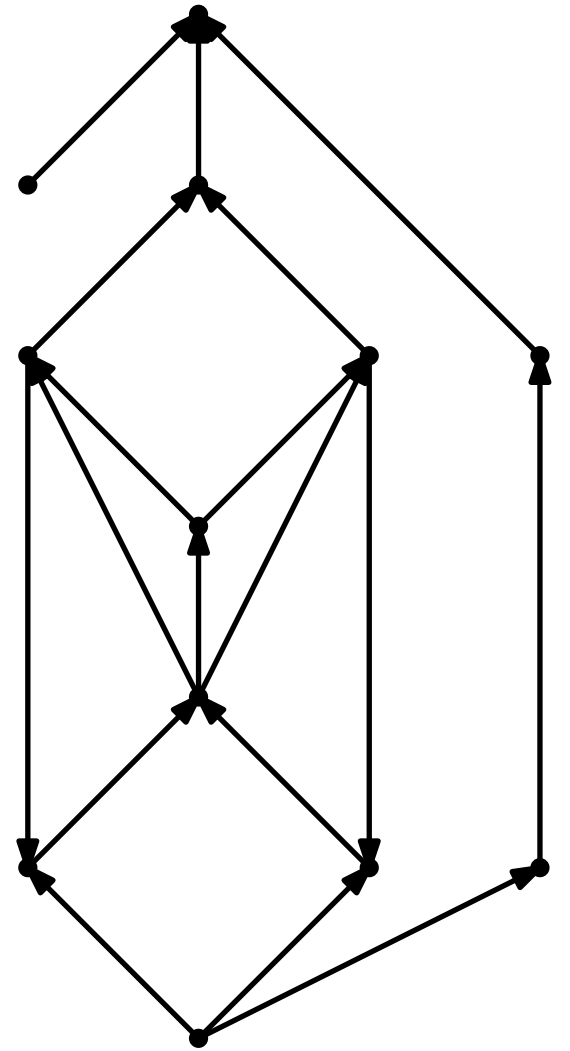
$E' \leftarrow \emptyset$

**while**  $V \neq \emptyset$  **do**

**while** in  $V$  exists a sink  $v$  **do**

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        remove  $v$  and  $N^{\leftarrow}(v)$



# Heuristic 2

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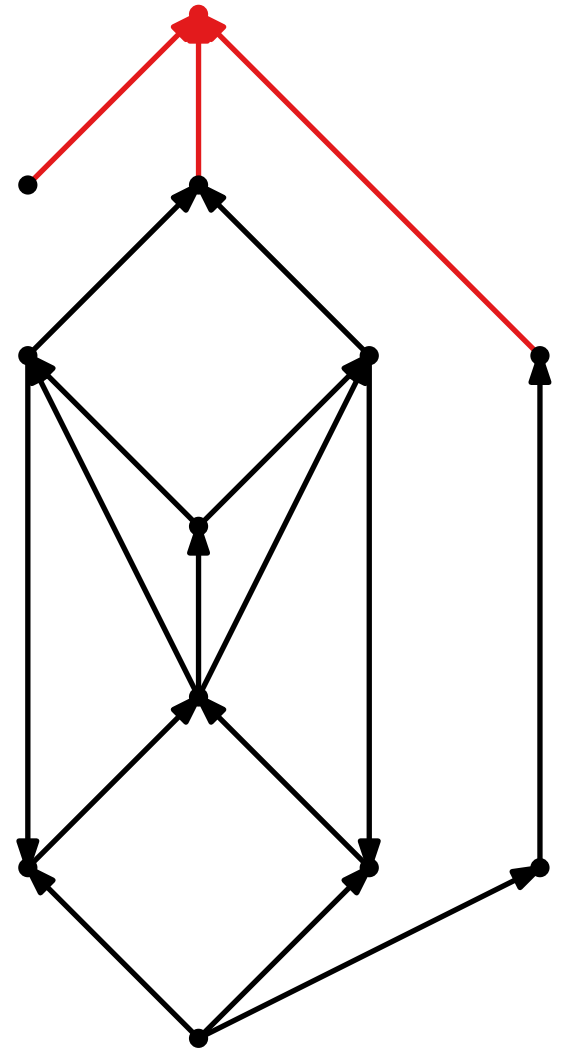
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# Heuristic 2

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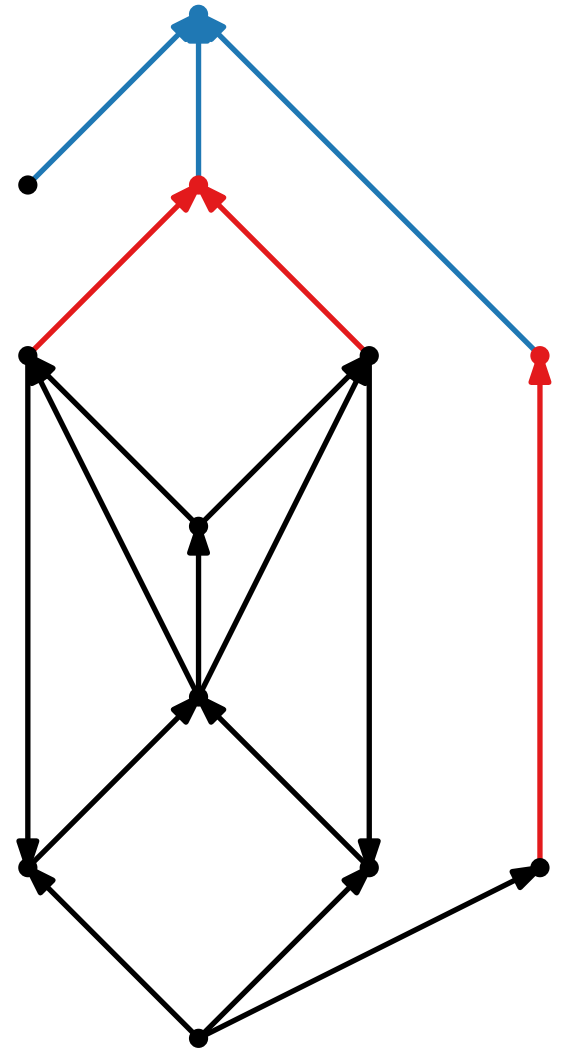
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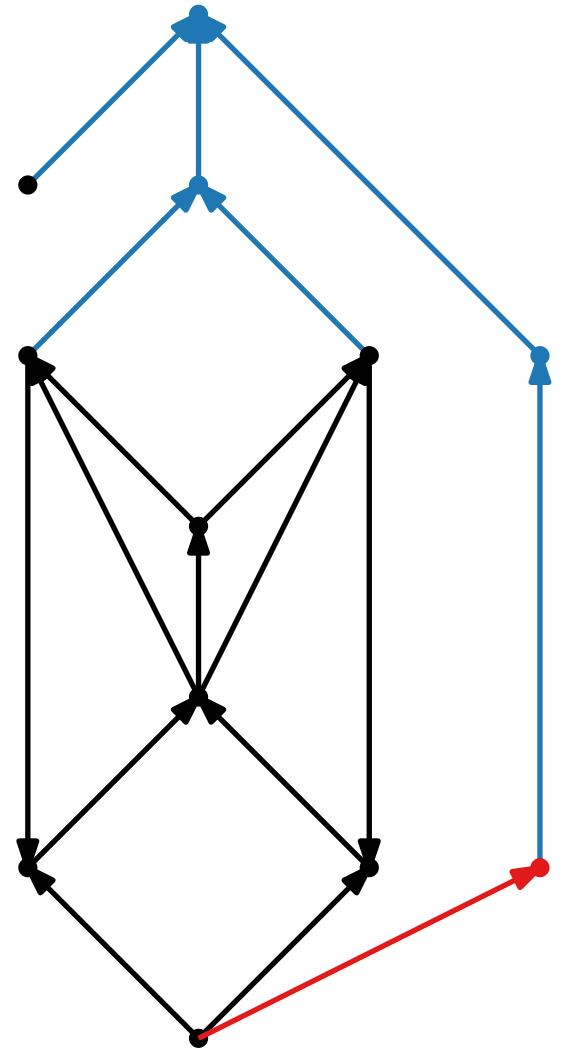
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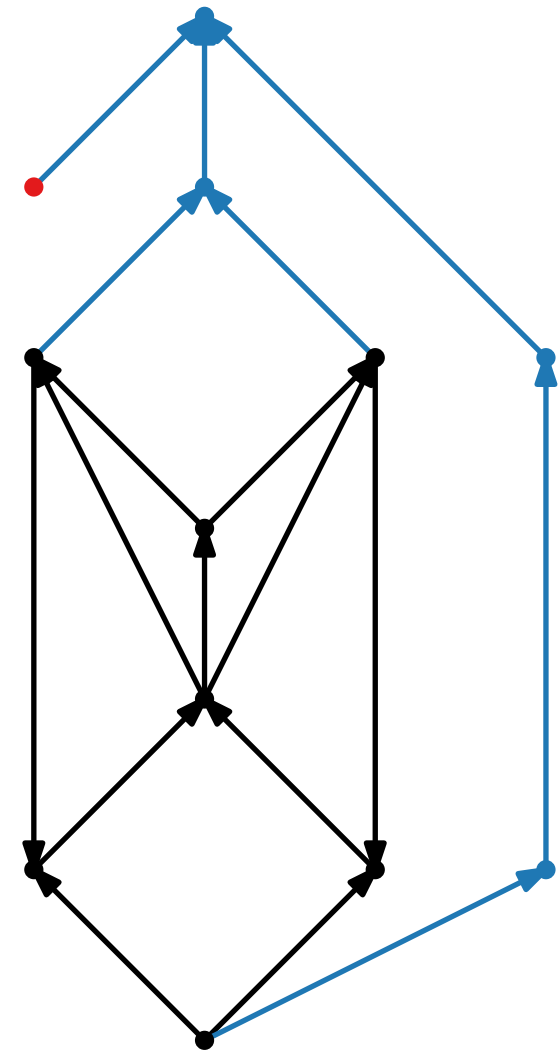
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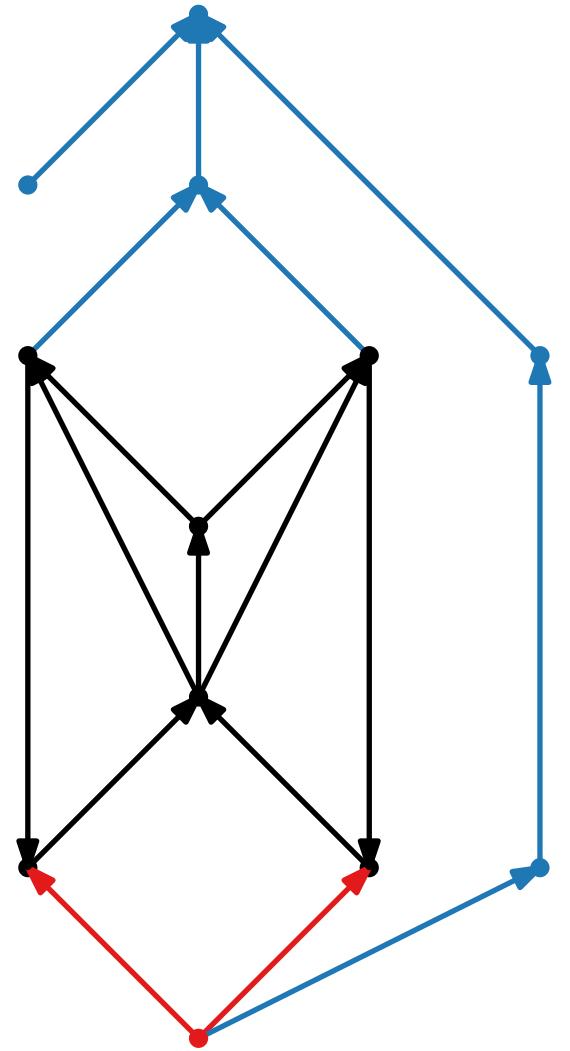
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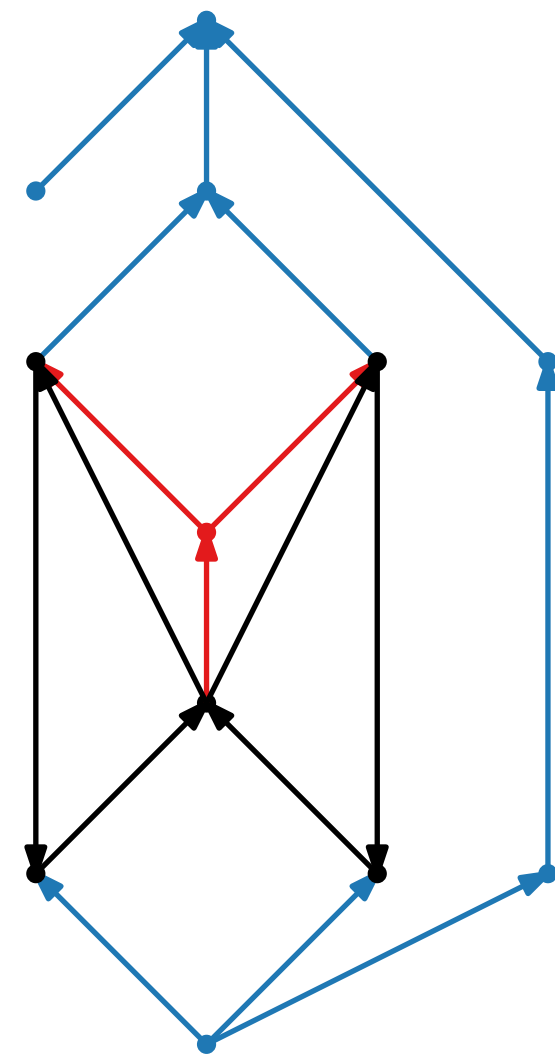
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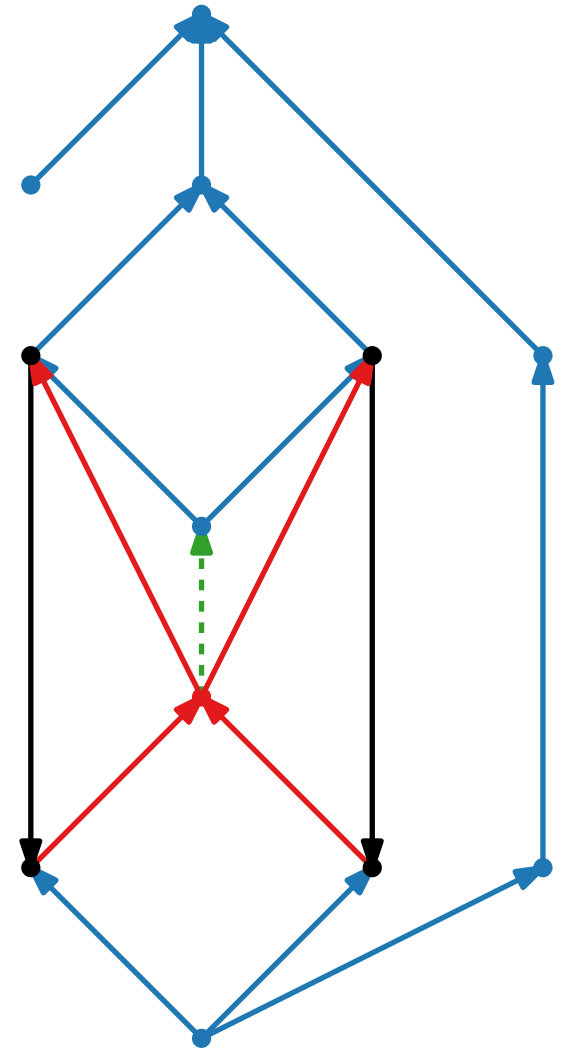
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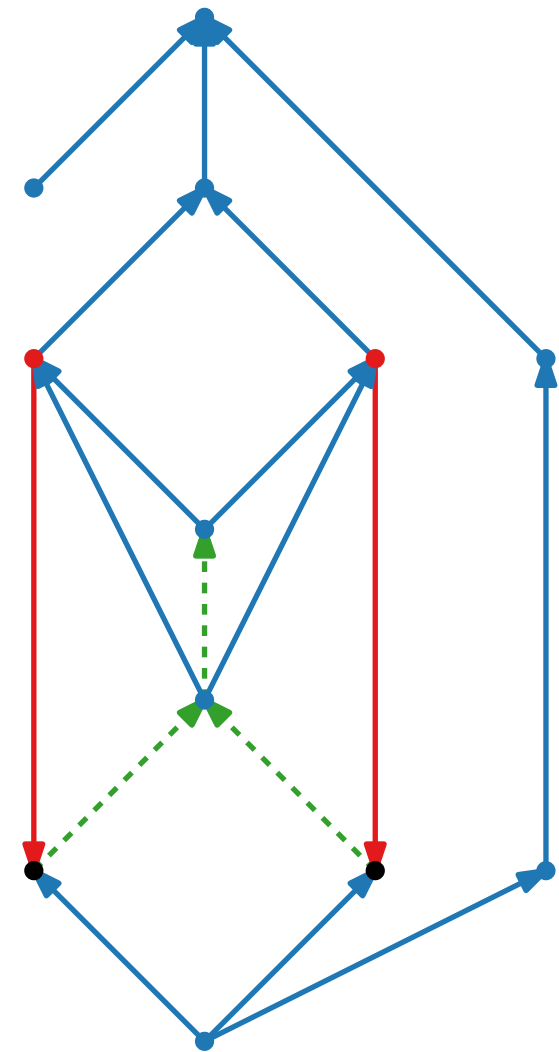
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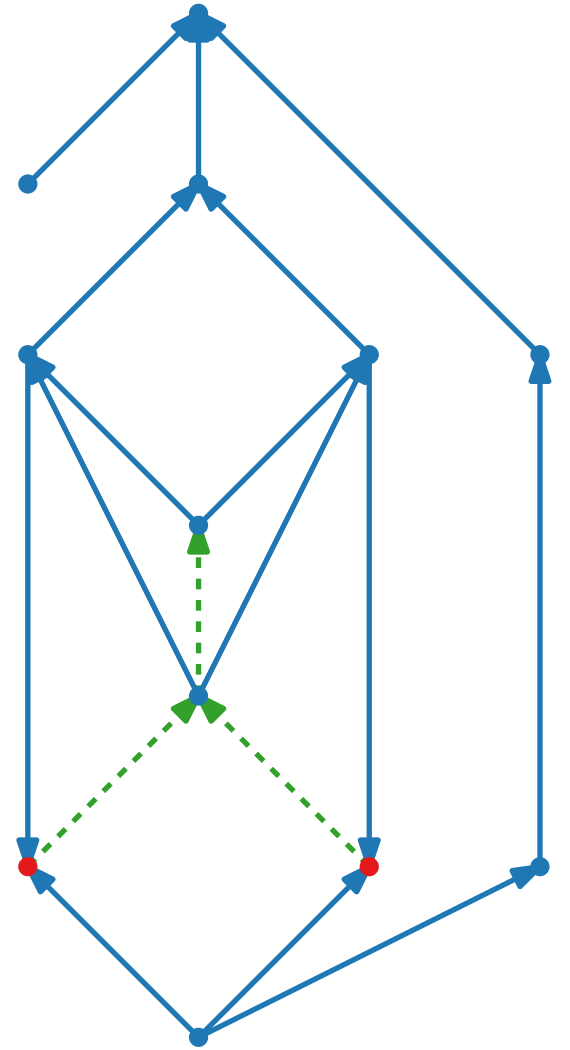
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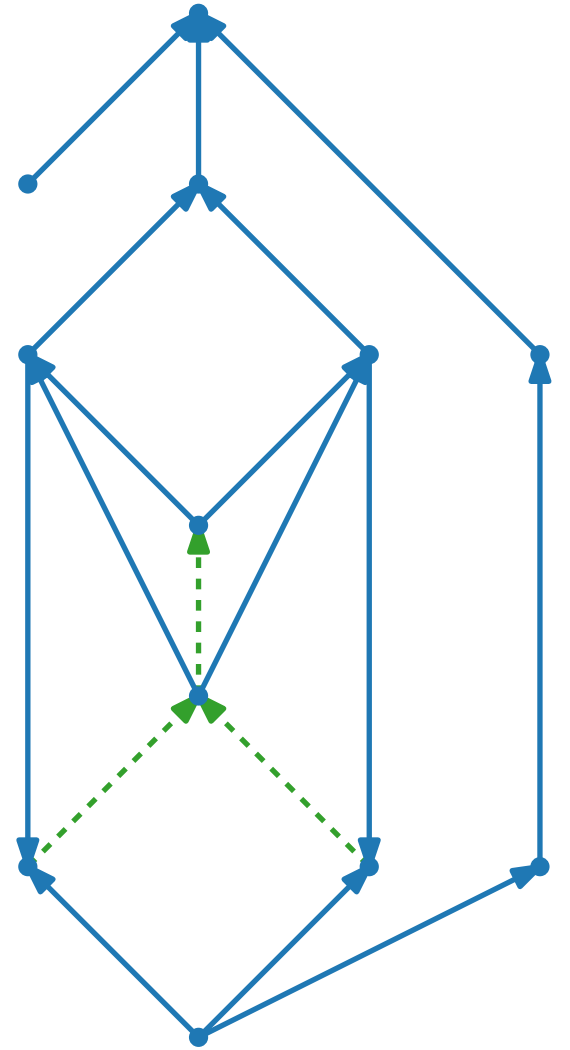
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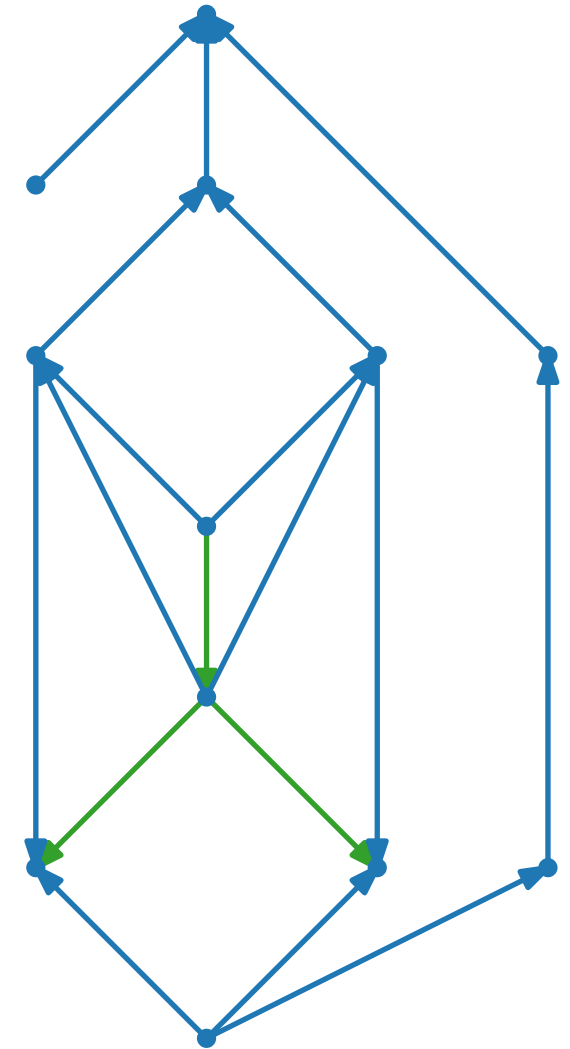
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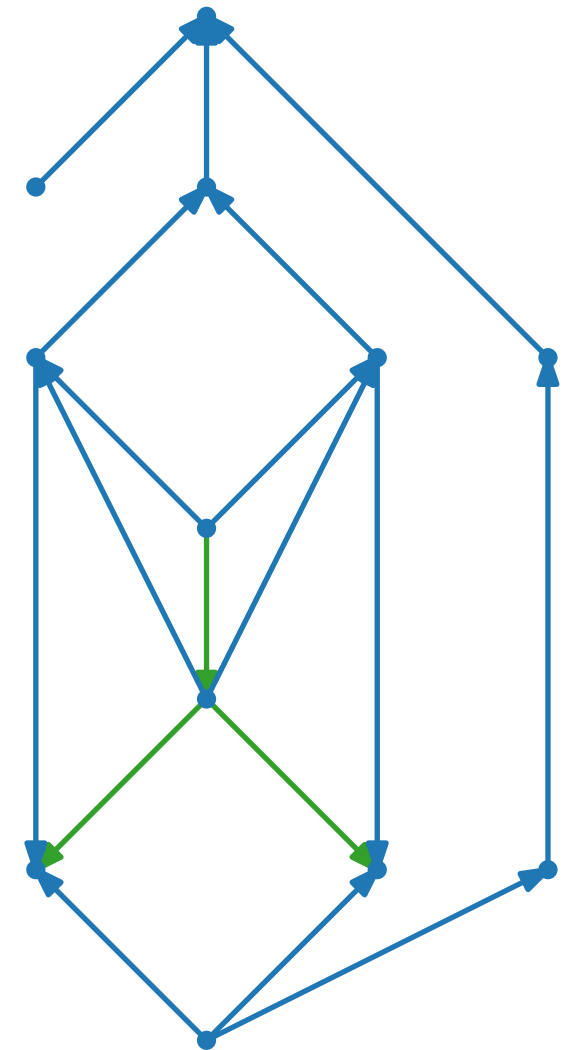
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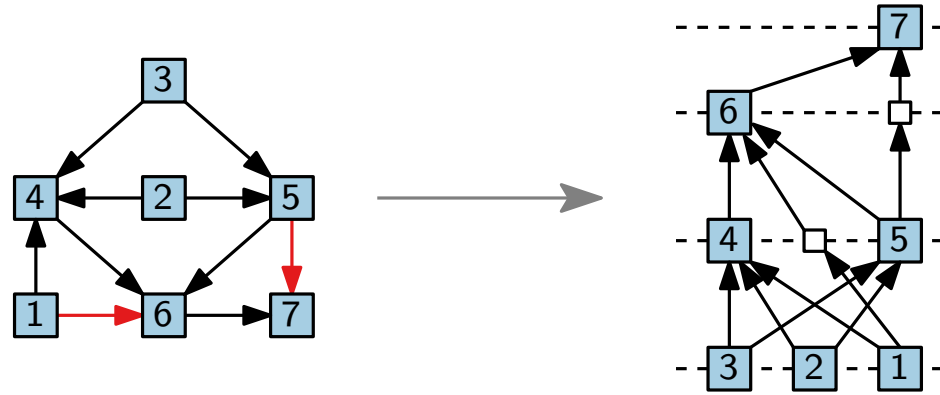
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- Time:  $\mathcal{O}(|V| + |E|)$
- Quality guarantee:  
 $|E'| \geq |E|/2 + |V|/6$



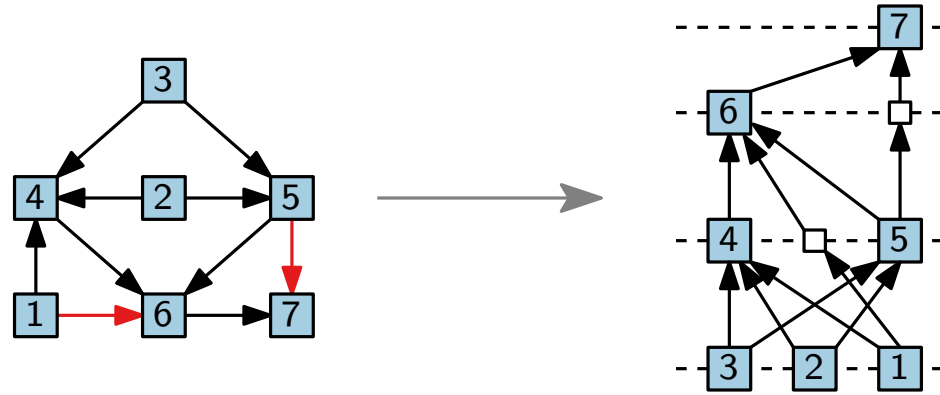
# Step 2: Leveling



## Problem.

- Input: acyclic, digraph  $G = (V, E)$
- Output: Mapping  $y: V \rightarrow \{1, \dots, |V|\}$ ,  
so that for every  $uv \in A$ ,  $y(u) < y(v)$ .

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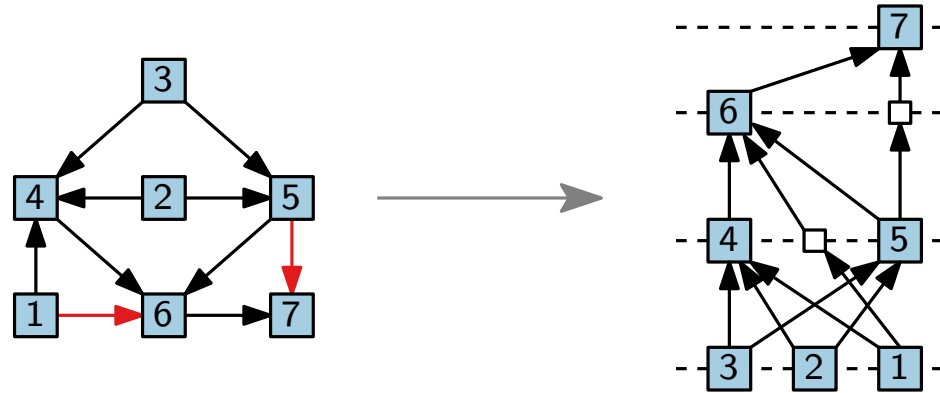


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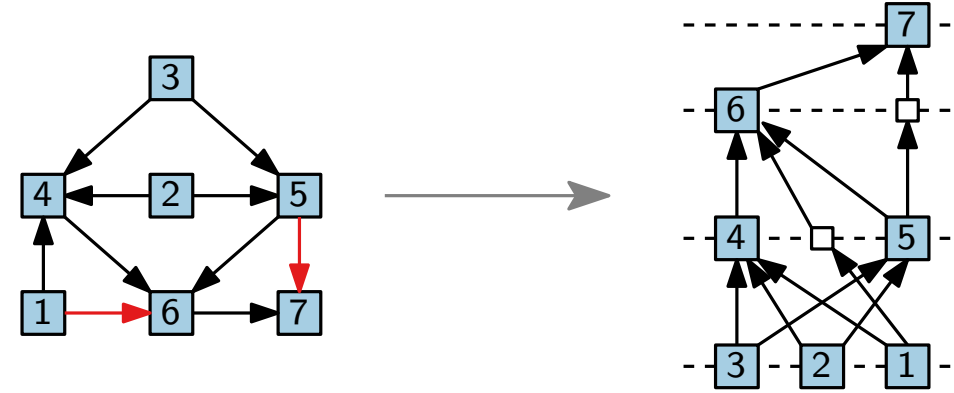
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## Objective is to *minimize* ...

- number of layers, i.e.  $|y(V)|$
- length of the longest edge, i.e.  $\max_{uv \in A} y(v) - y(u)$
- width, i.e.  $\max\{|L_i| \mid 1 \leq i \leq h\}$
- total edge length, i.e. number of dummy vertices

# Min number of layers

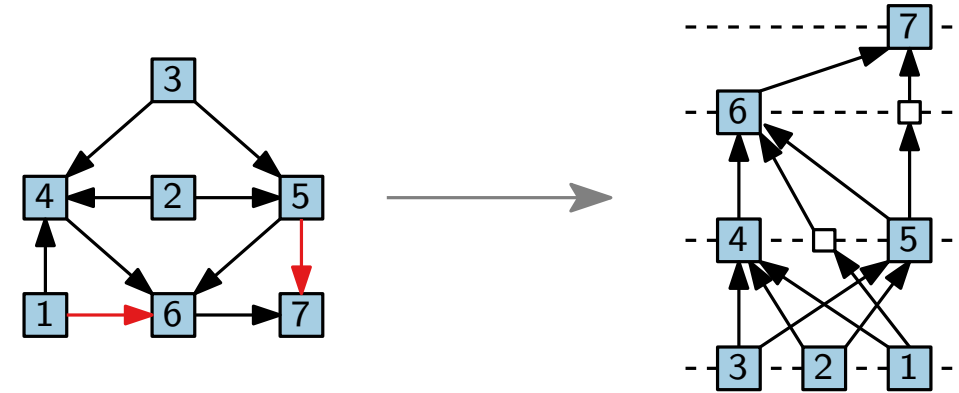
## Algorithm.



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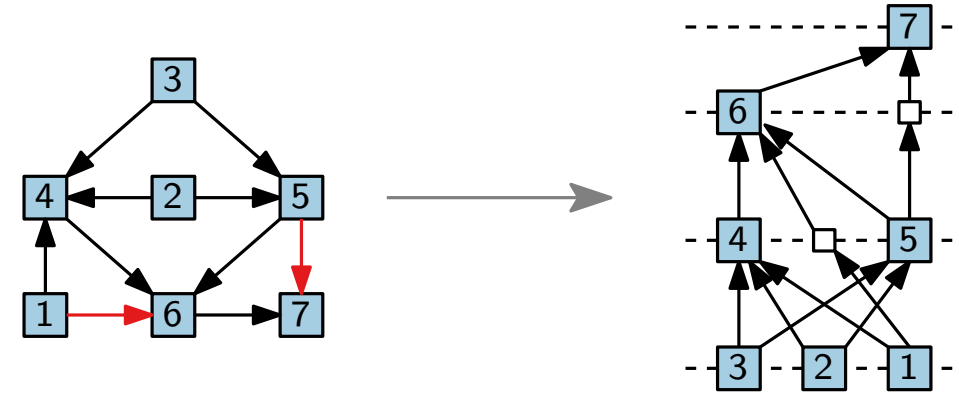
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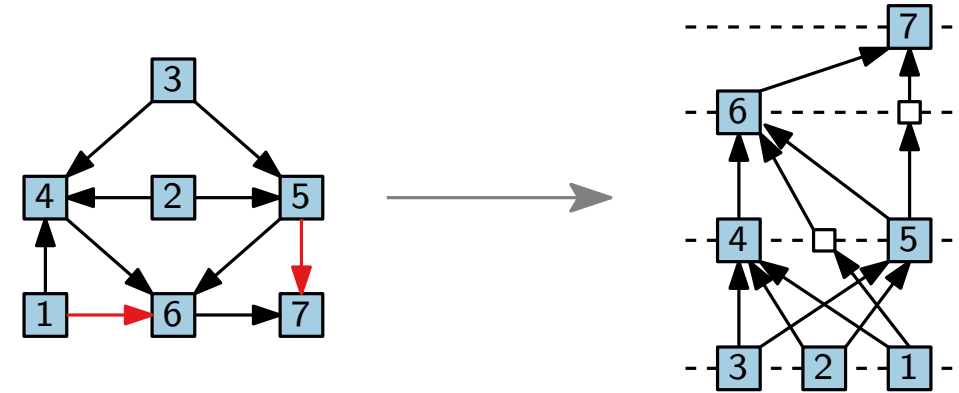
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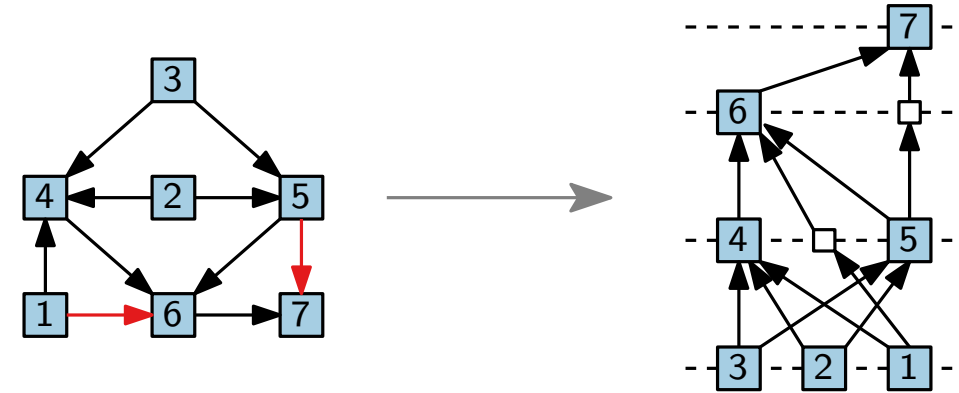
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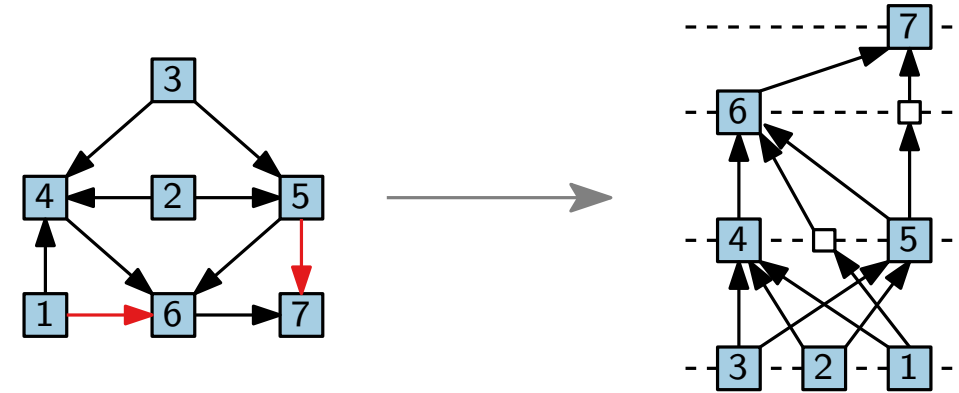
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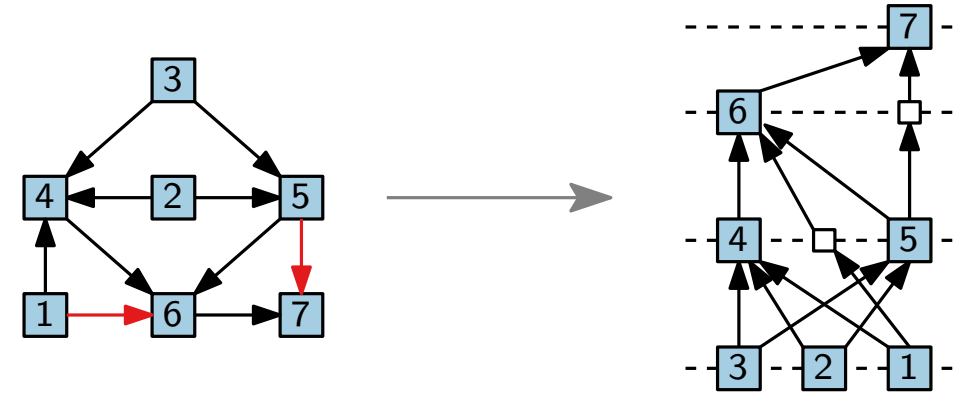
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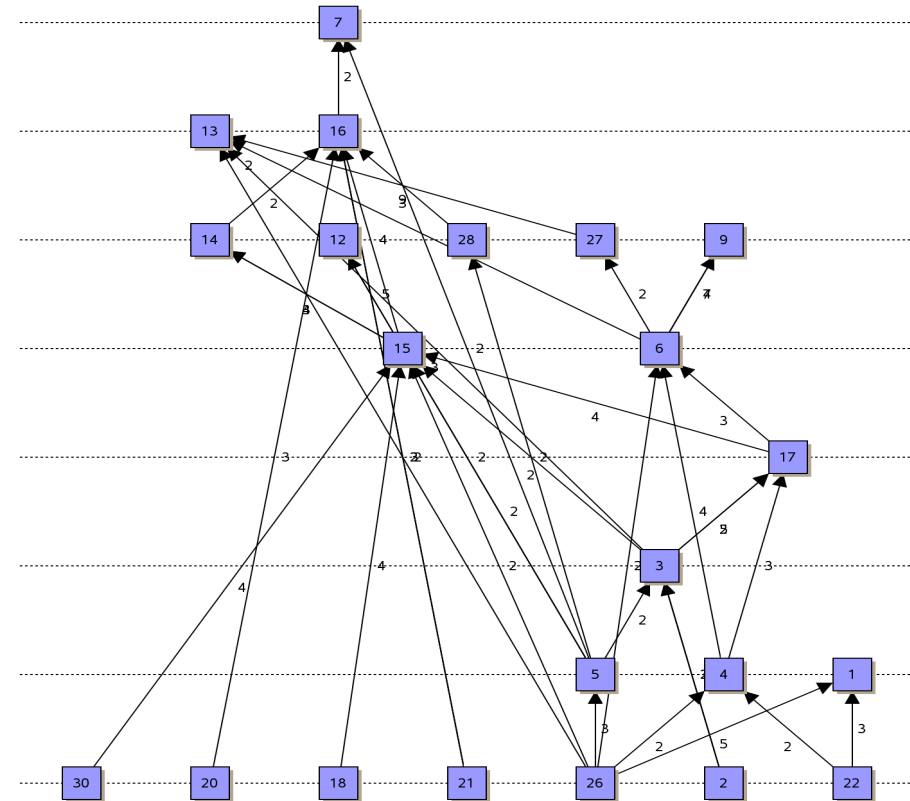
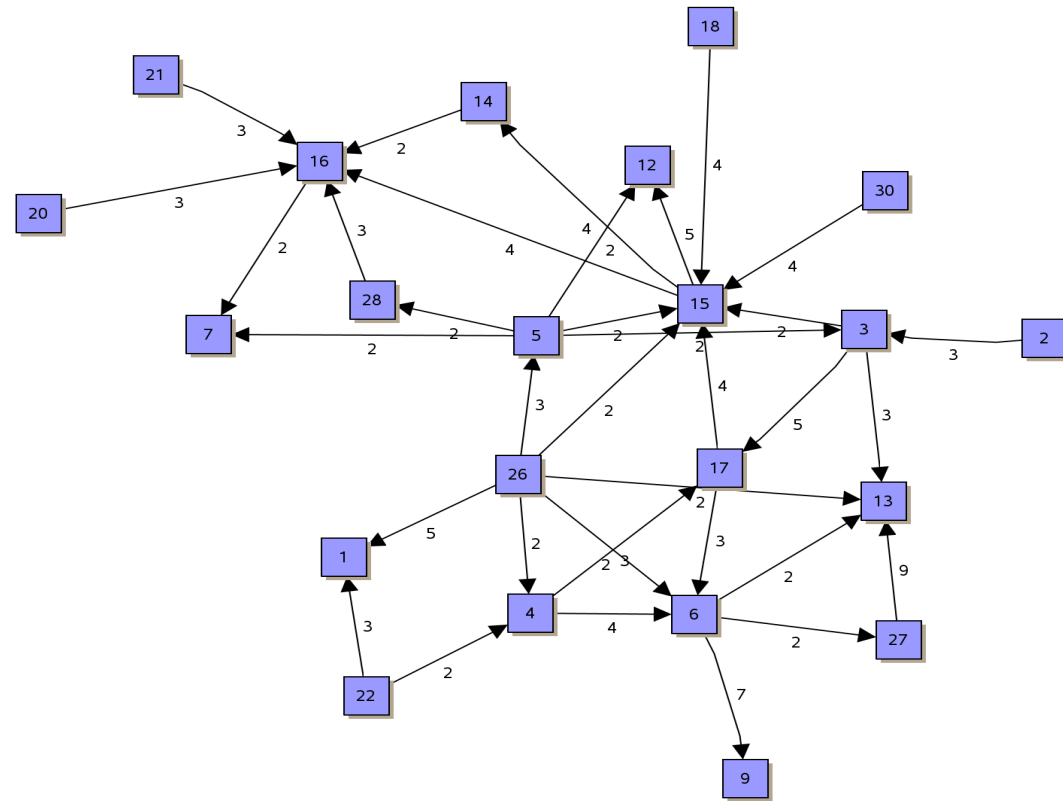
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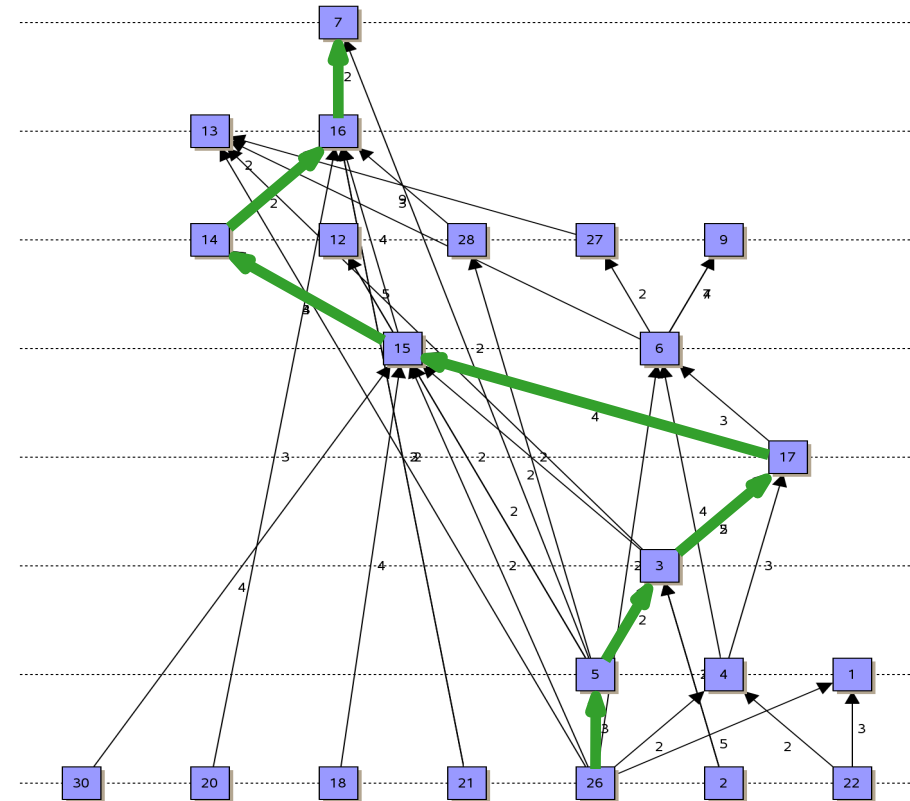
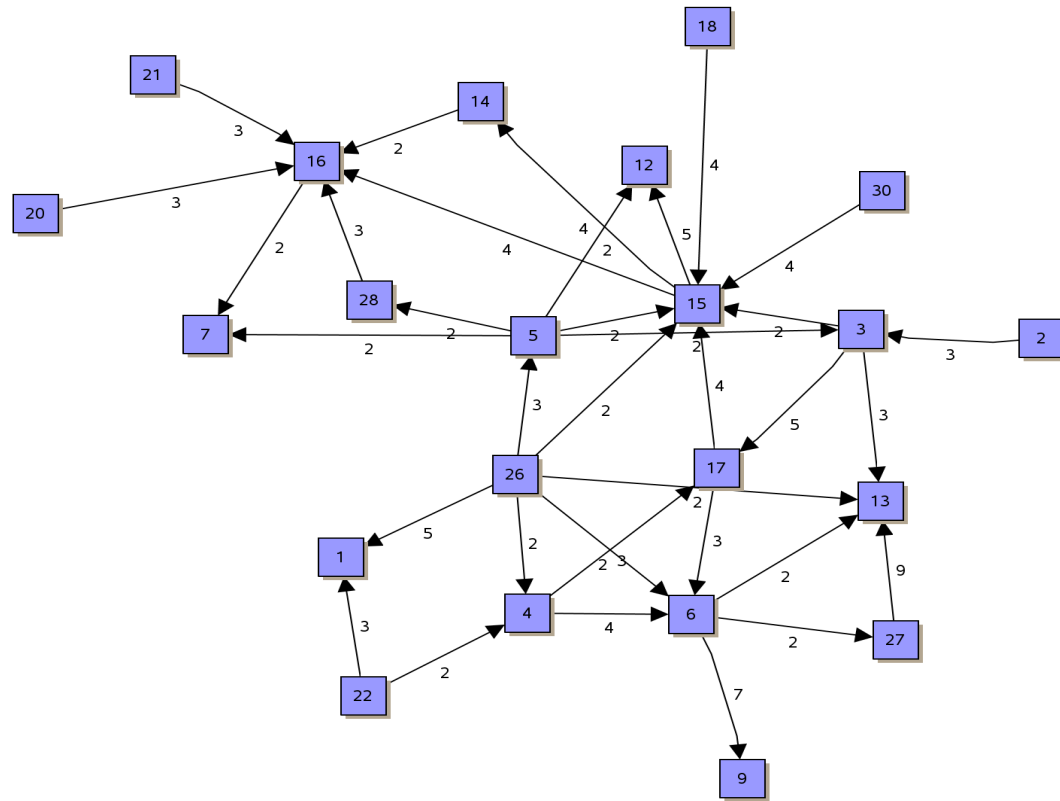
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# Example



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# Total edge length – ILP

Can be formulated as an integer linear program:

$$\begin{array}{ll}
 \min & \sum_{(u,v) \in E} (y(v) - y(u)) \\
 \text{subject to} & y(v) - y(u) \geq 1 \quad \forall (u,v) \in E \\
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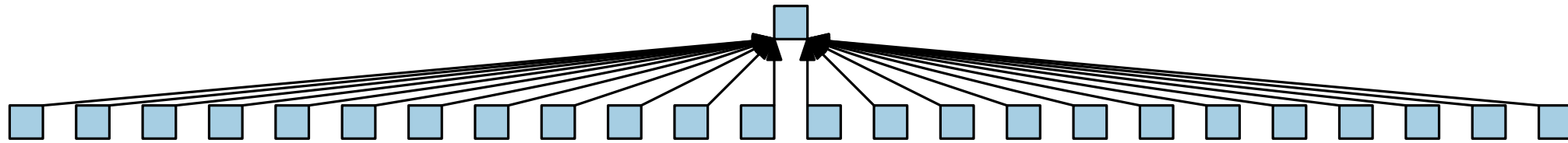
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One can show that:

- Constraint-matrix is **totally unimodular**  
 $\Rightarrow$  Solution of the relaxed linear program is integer
- The total edge length can be minimized in polynomial time

# Width



Drawings can be very wide.

# Narrower layer assignment

## Problem: Leveling with a given width.

- Input: acyclic, digraph  $G = (V, E)$ , width  $W > 0$
- Output: Partition the vertex set into a minimum number of layers such that each layer contains at most  $W$  elements.



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- Input:  $n$  jobs with unit (1) processing time,  $W$  identical machines, and a partial ordering  $<$  on the jobs.
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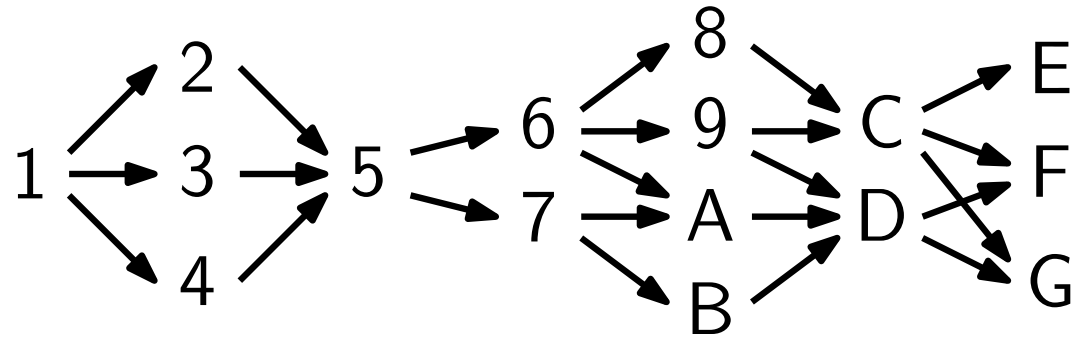
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- NP-hard,  $(2 - \frac{2}{W})$ -Approx., no  $(\frac{4}{3} - \varepsilon)$ -Approx. ( $W \geq 3$ ).

# Approximating PCMPS

- jobs stored in a list  $L$   
(in any order, e.g., topologically sorted)
- for each time  $t = 1, 2, \dots$  schedule  $\leq W$  available jobs
- a job in  $L$  is *available* when all its predecessors have been scheduled
- as long as there are free machines and available jobs, take the first available job and assign it to a free machine

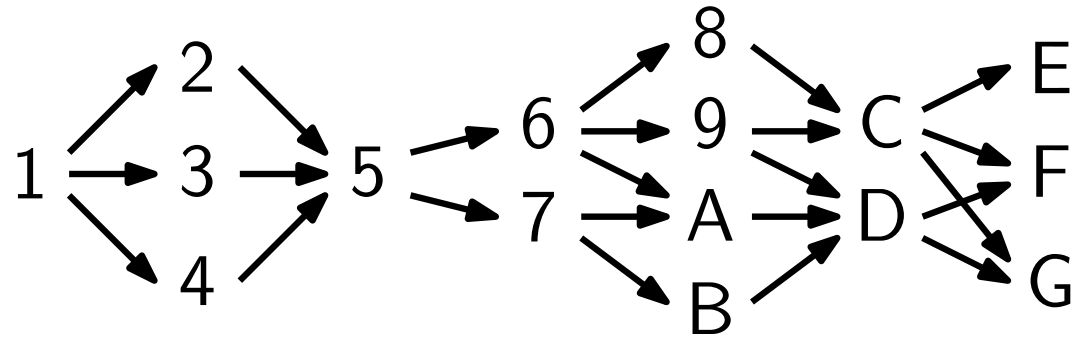
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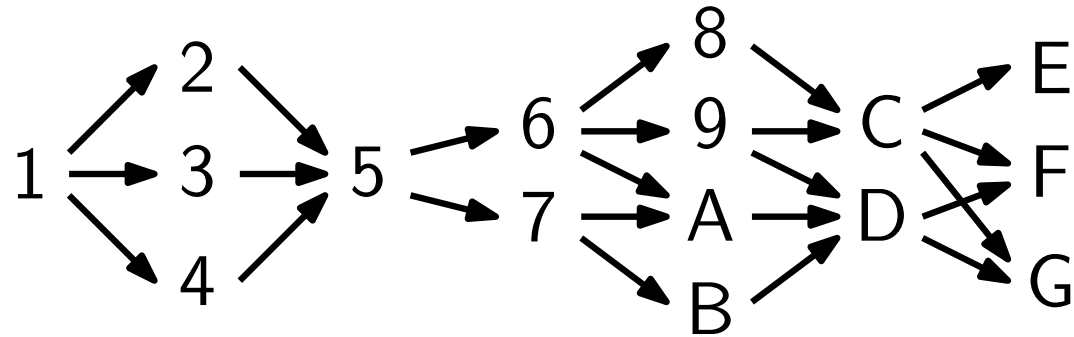
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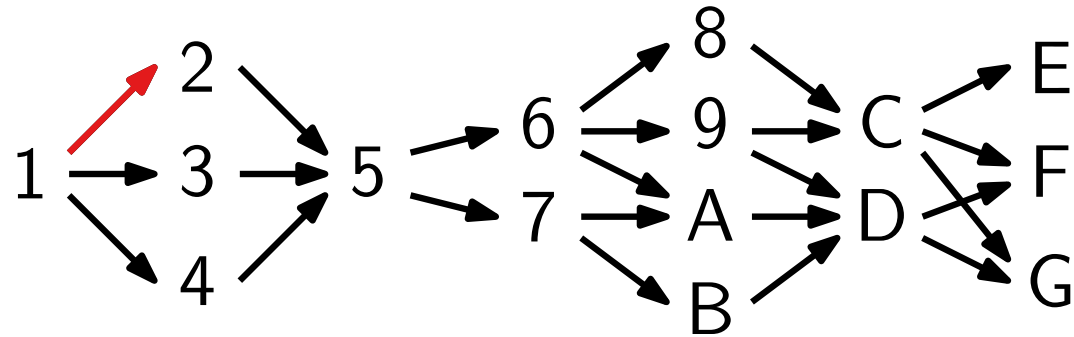
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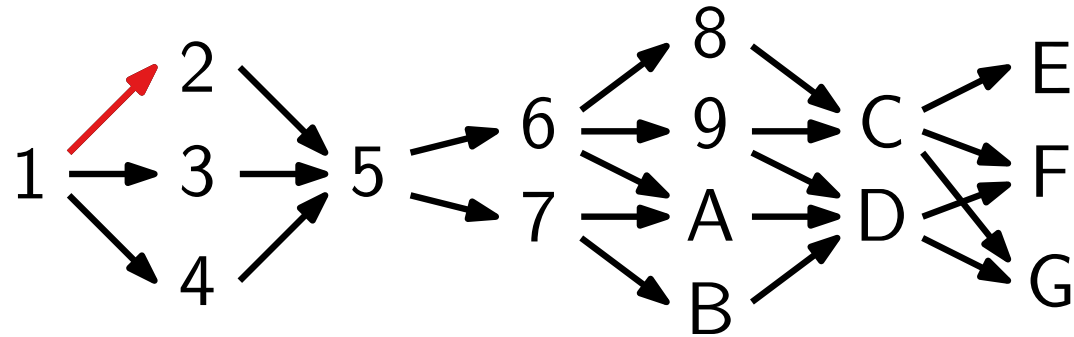
**Output:** Schedule

$M_1$	1										
$M_2$	–										
$t$	1	2	3	4	5	6	7	8	9	10	



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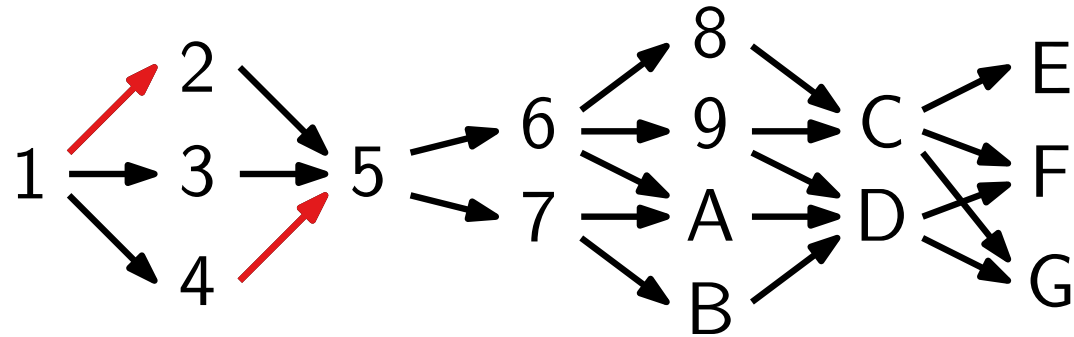
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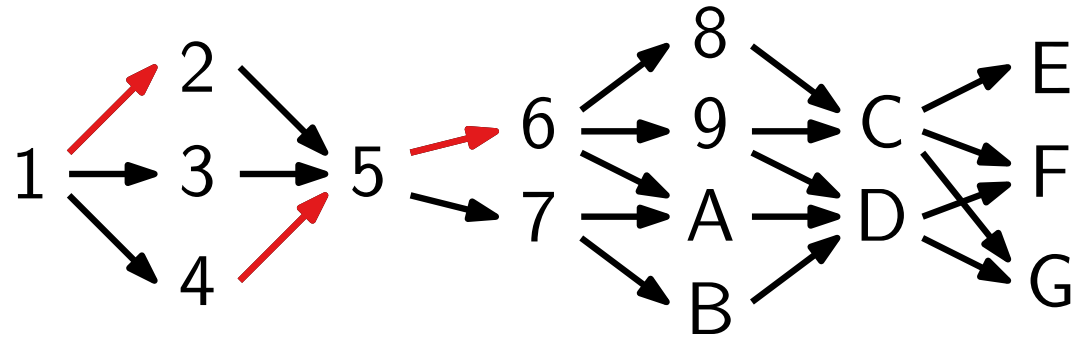
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$M_1$	1	2	4							
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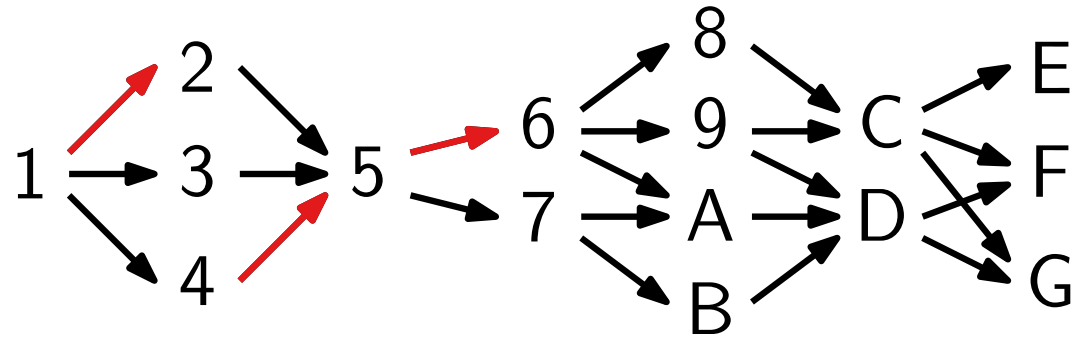
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$M_1$	1	2	4	5						
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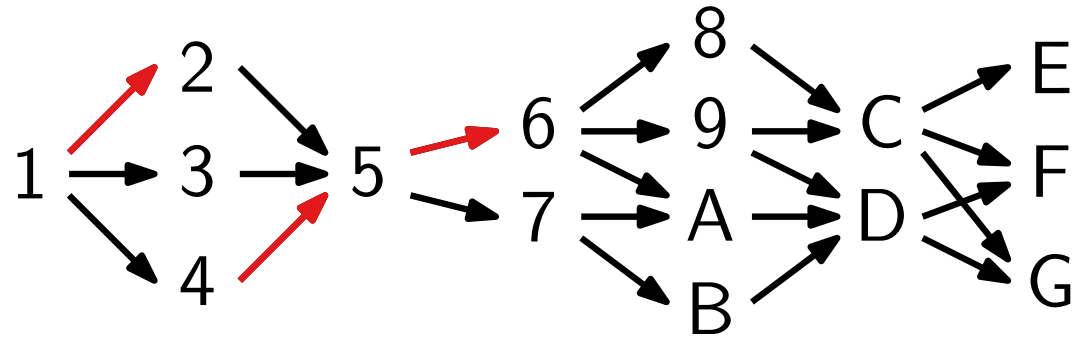
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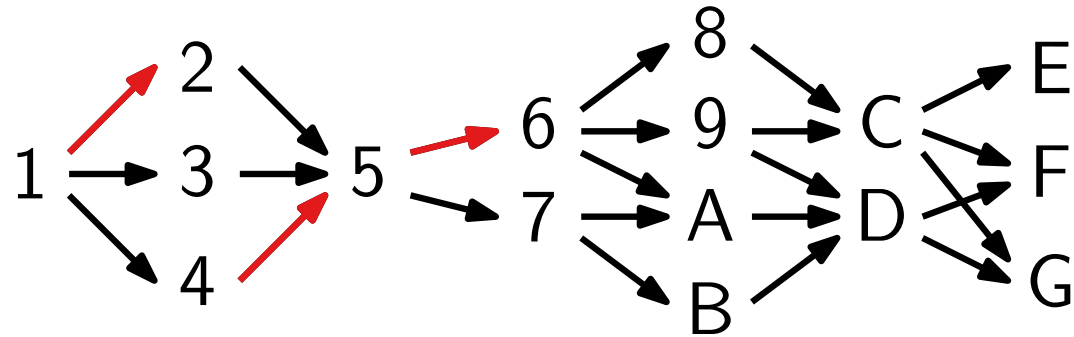
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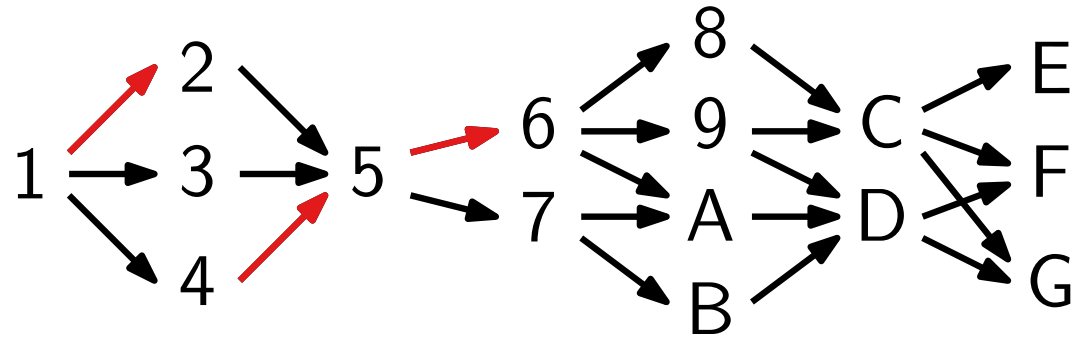
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**Output:** Schedule

$M_1$	1	2	4	5	6	8	A			
$M_2$	-	3	-	-	7	9	B			
$t$	1	2	3	4	5	6	7	8	9	10

# Approximating PCMPS

**Input:** Precedence graph (divided into layers of arbitrary width)



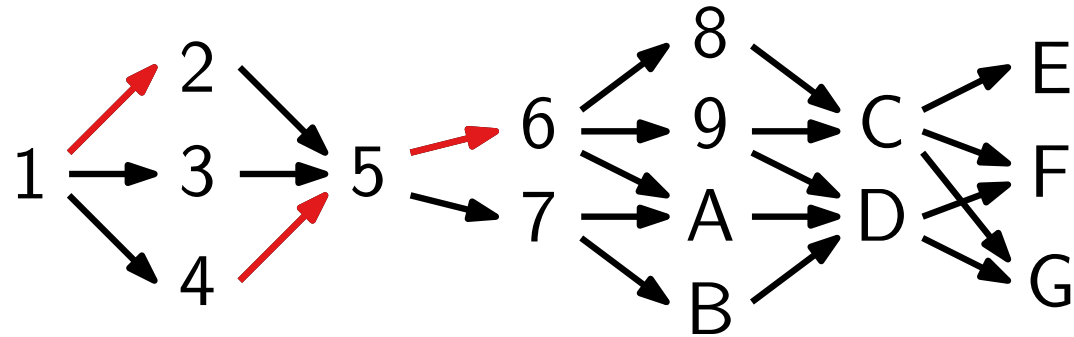
Number of Machines is  $W = 2$ .

**Output:** Schedule

$M_1$	1	2	4	5	6	8	A	C		
$M_2$	-	3	-	-	7	9	B	D		
$t$	1	2	3	4	5	6	7	8	9	10

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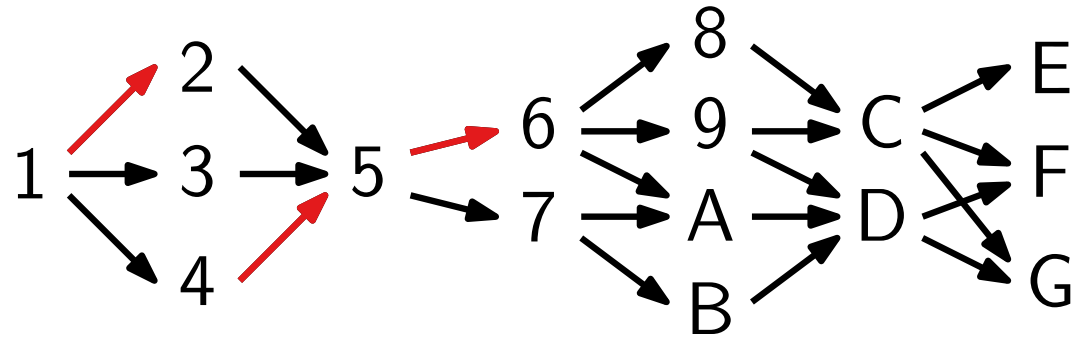
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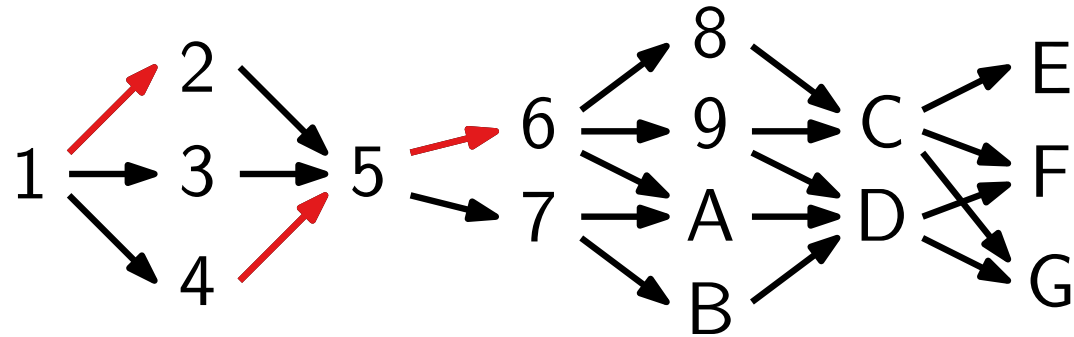
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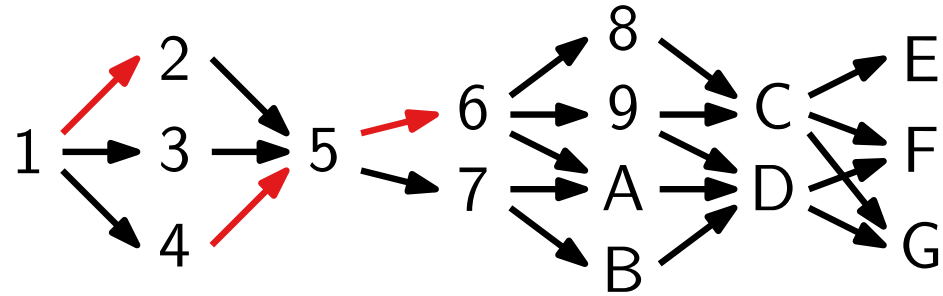
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**Question:** Good approximation factor?

# Approximating PCMPS - analysis for $W = 2$

Precedence graph  $G_{<}$

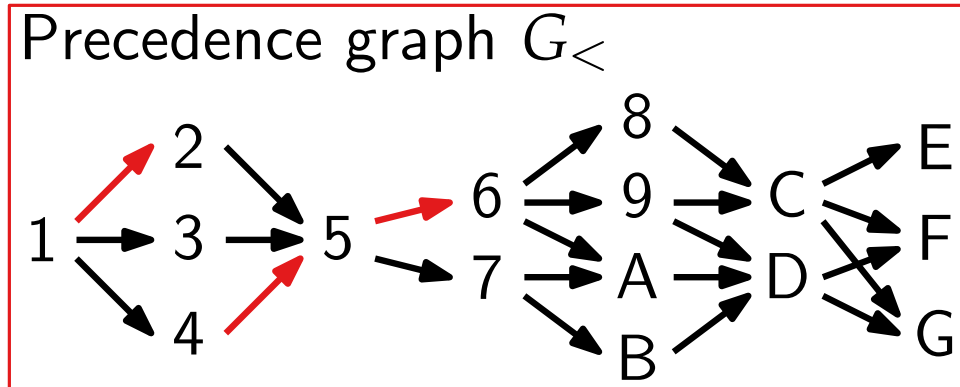


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*„The art of the lower bound“*

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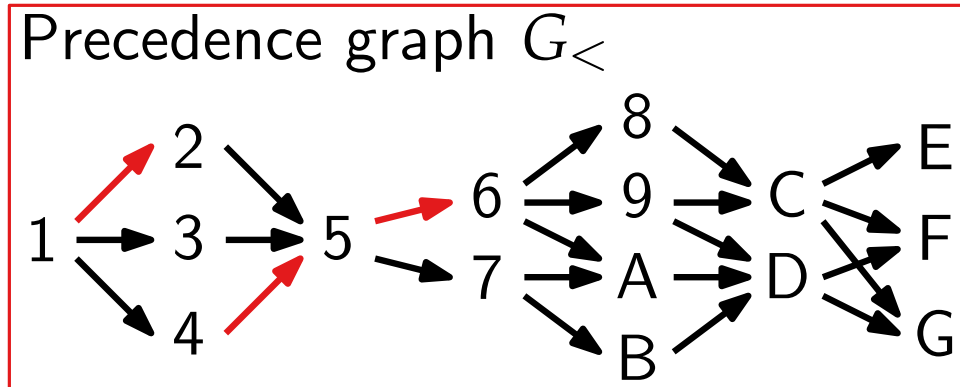
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$\text{OPT} \geq$

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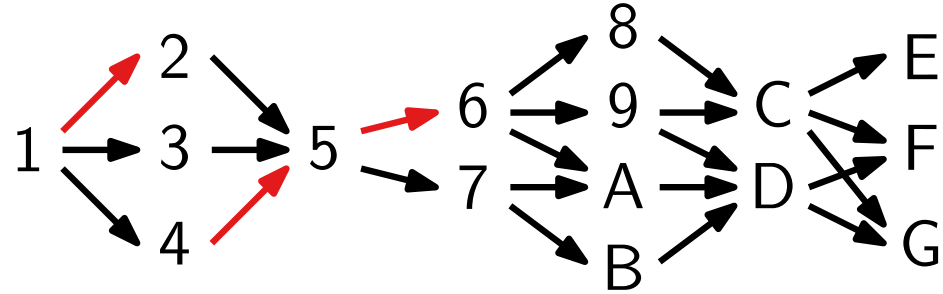
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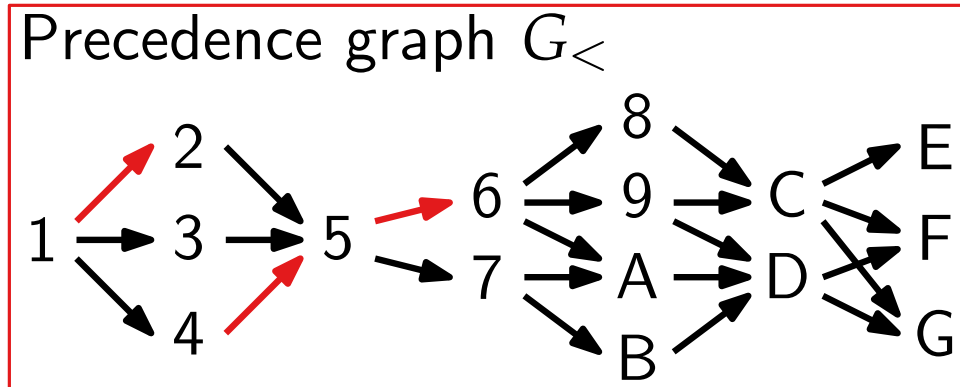
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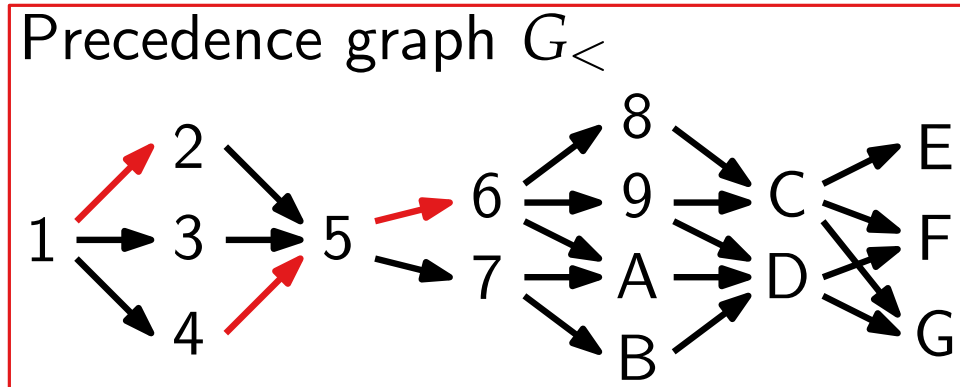
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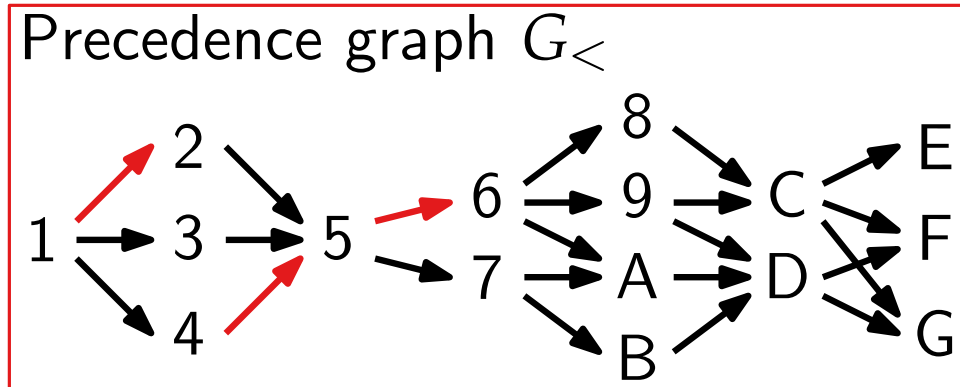
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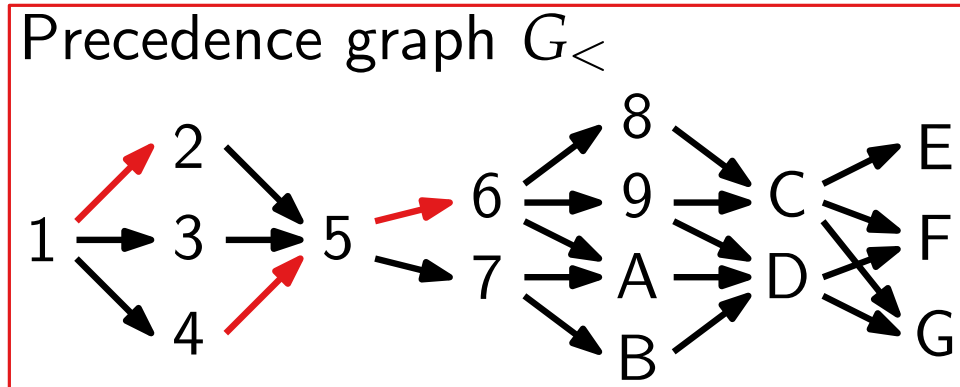
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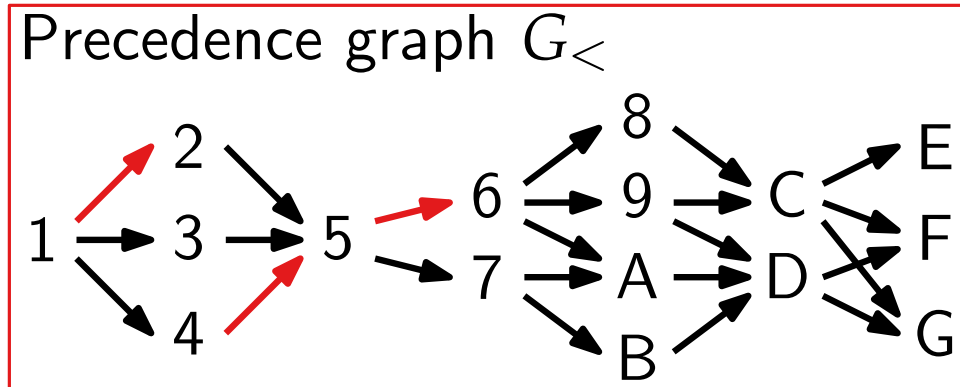
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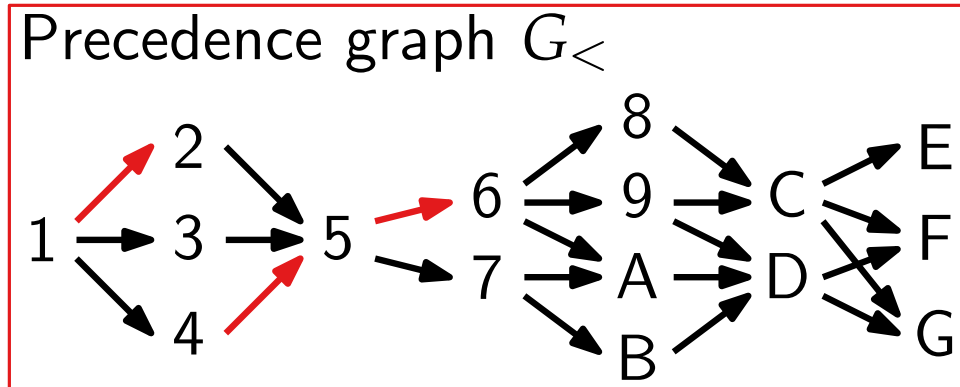
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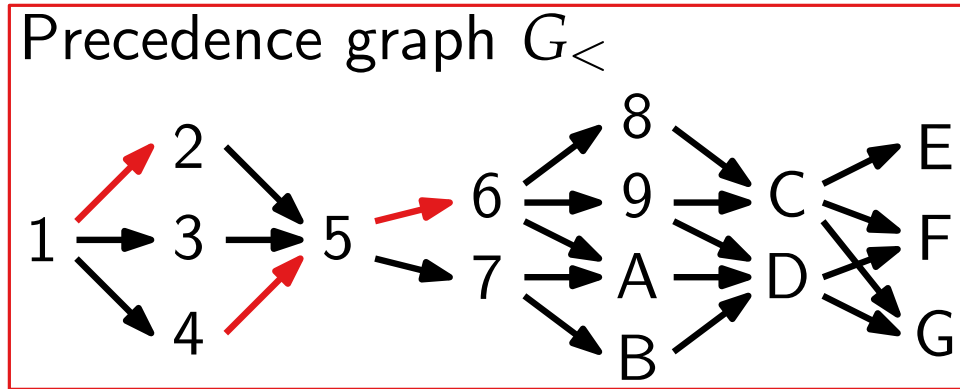
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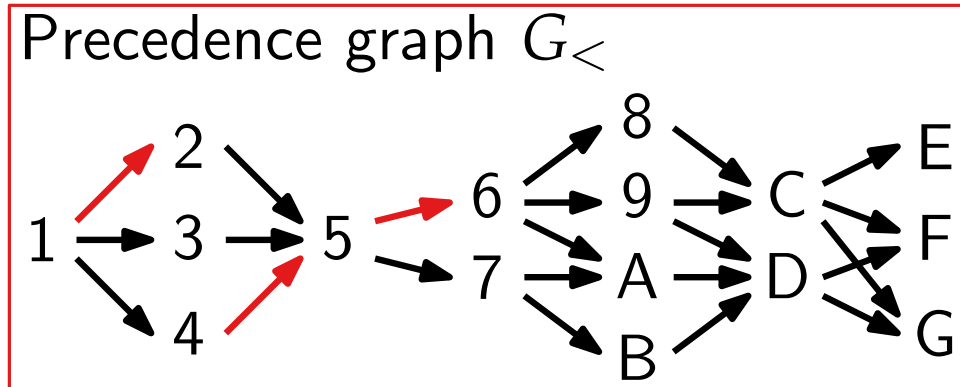
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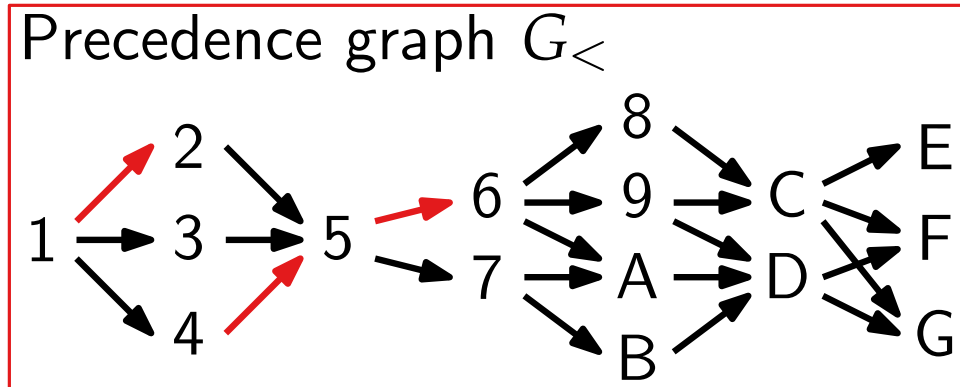
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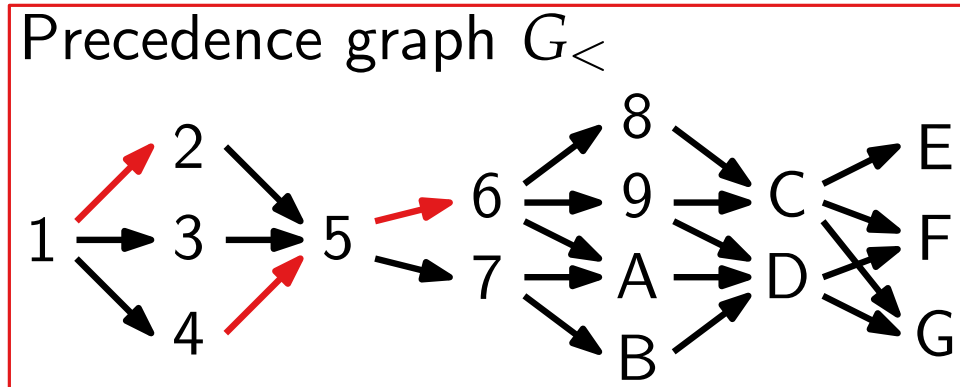
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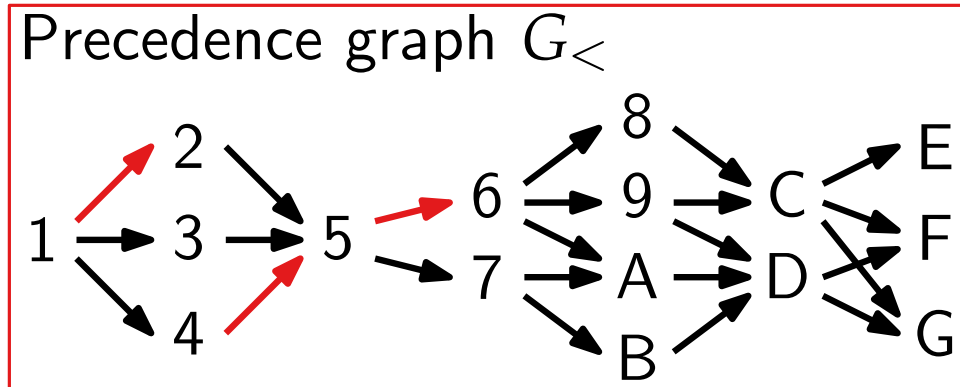
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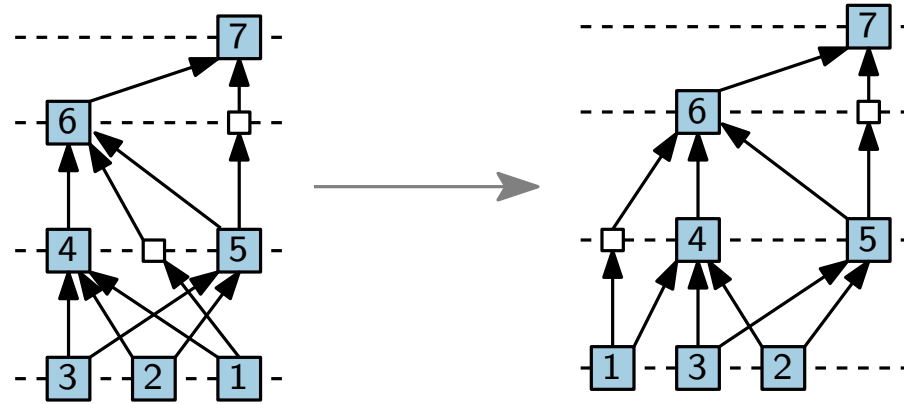
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$\leq (2 - 1/W) \cdot \text{OPT}$  in general case

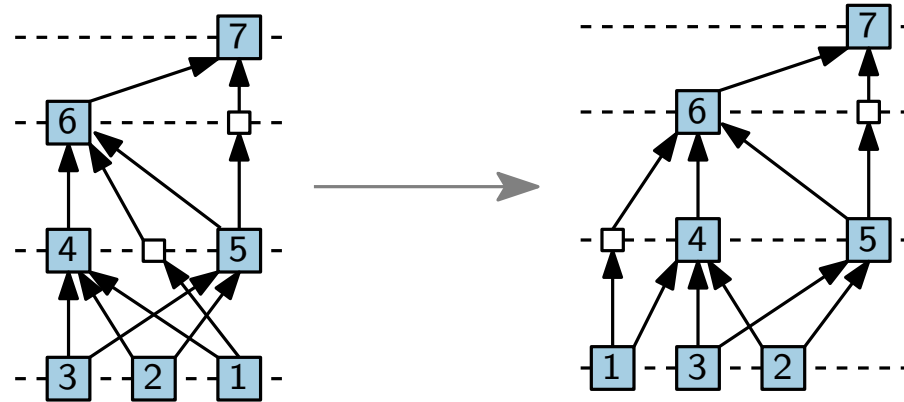
# Step 3: Crossing minimization



## Problem.

- Input: Graph  $G$ , layering  $y: V \rightarrow \{1, \dots, |V|\}$
- Output: (Re-)ordering of vertices in each layer so that the number of crossings is minimized.

# Step 3: Crossing minimization



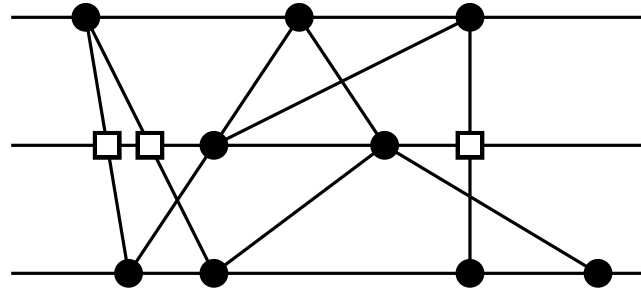
## Problem.

- Input: Graph  $G$ , layering  $y: V \rightarrow \{1, \dots, |V|\}$
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- NP-hard, even for 2 layers [Garey & Johnson '83]
- hardly any approaches optimize over multiple layers :(

# Iterative crossing reduction – idea

## Observation.

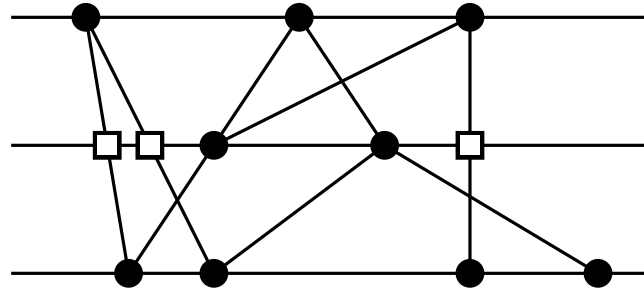
The number of crossings only depends on permutations of adjacent layers.



# Iterative crossing reduction – idea

## Observation.

The number of crossings only depends on permutations of adjacent layers.



- Add dummy-vertices for edges connecting “far” layers.
- Consider adjacent layers  $(L_1, L_2), (L_2, L_3), \dots$  bottom-to-top.
- Minimize crossings by permuting  $L_{i+1}$  while keeping  $L_i$  fixed.

# Iterative crossing reduction – algorithm

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*one-sided crossing minimization*
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## Problem.

- Input: bipartite graph  $G = (L_1 \cup L_2, E)$ ,  
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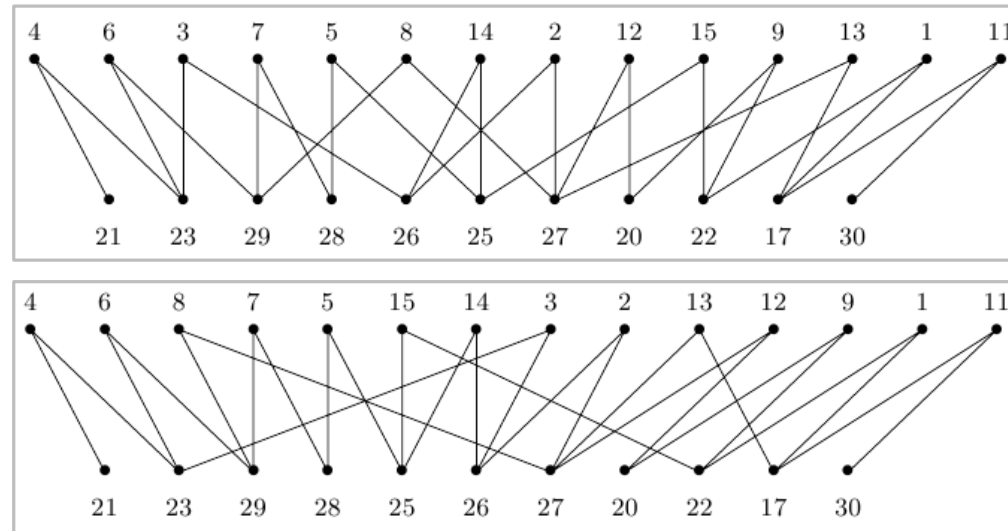


Abb. aus [Kaufmann und Wagner: Drawing Graphs]  
(c) Springer-Verlag

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One-sided crossing minimization is NP-hard.

[Eades & Whitesides '94]

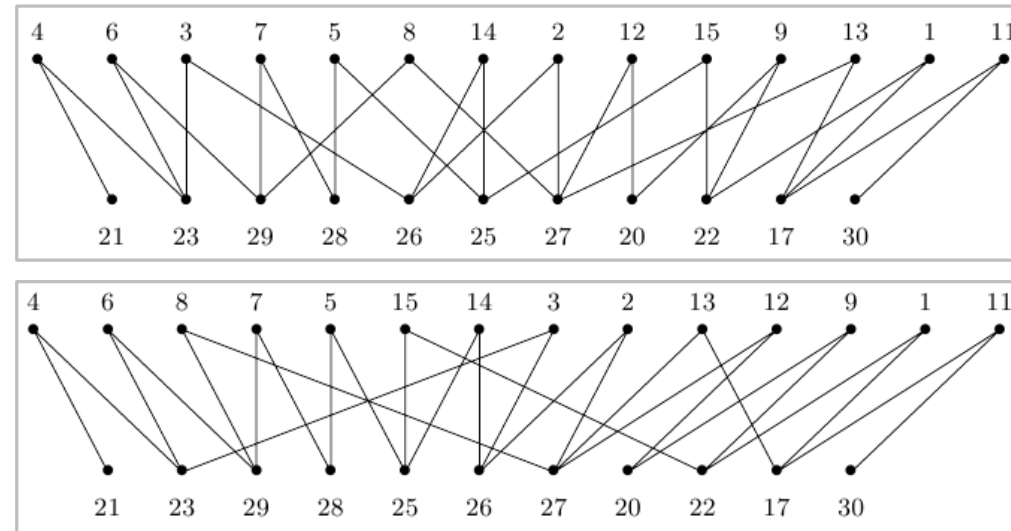


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## Algorithms.

- barycenter heuristic
- median heuristic
- Greedy-Switch
- ILP
- ...

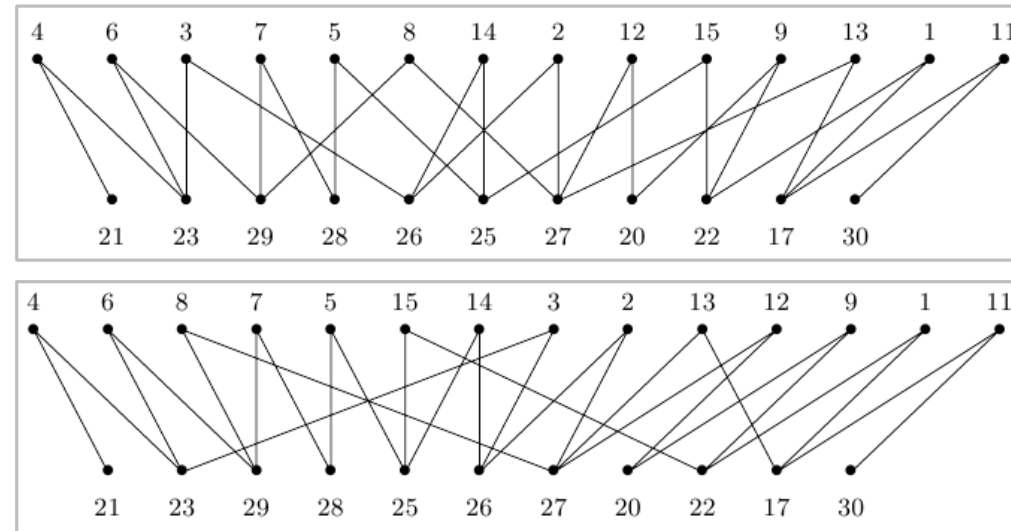


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# Barycentre heuristic

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**Worst case?**

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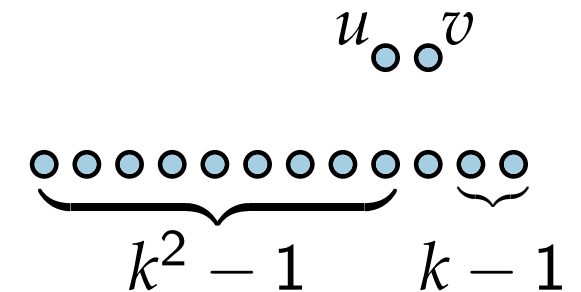
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- The barycentre of  $u$  is the average  $x$ -coordinate of the neighbours of  $u$  in layer  $L_1$  [ $x_1 \equiv \pi_1$ ]

$$x_2(u) := \text{bary}(u) := \frac{1}{\deg(u)} \sum_{v \in N(u)} x_1(v)$$

- Vertices with the same barycentre of are offset by a small  $\delta$ .
- linear runtime
- relatively good results
- optimal if no crossings are required ← *exercise!*
- $O(\sqrt{n})$ -approximation factor

**Worst case?**



# Barycentre heuristic

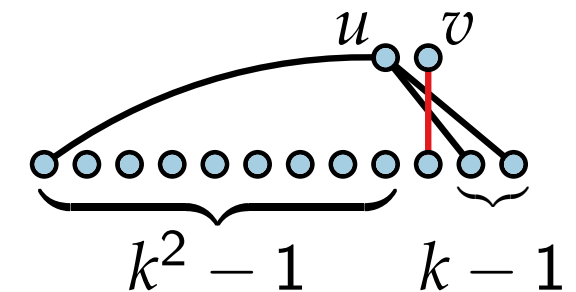
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# Median heuristic

[Eades & Wormald '94]

- $\{v_1, \dots, v_k\} := N(u)$  with  $\pi_1(v_1) < \pi_1(v_2) < \dots < \pi_1(v_k)$
- $$x_2(u) := \text{med}(u) := \begin{cases} 0 & \text{when } N(u) = \emptyset \\ \pi_1(v_{\lceil k/2 \rceil}) & \text{otherwise} \end{cases}$$
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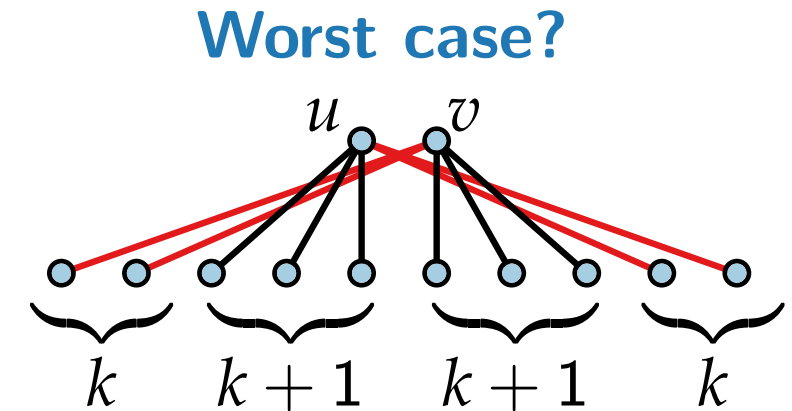
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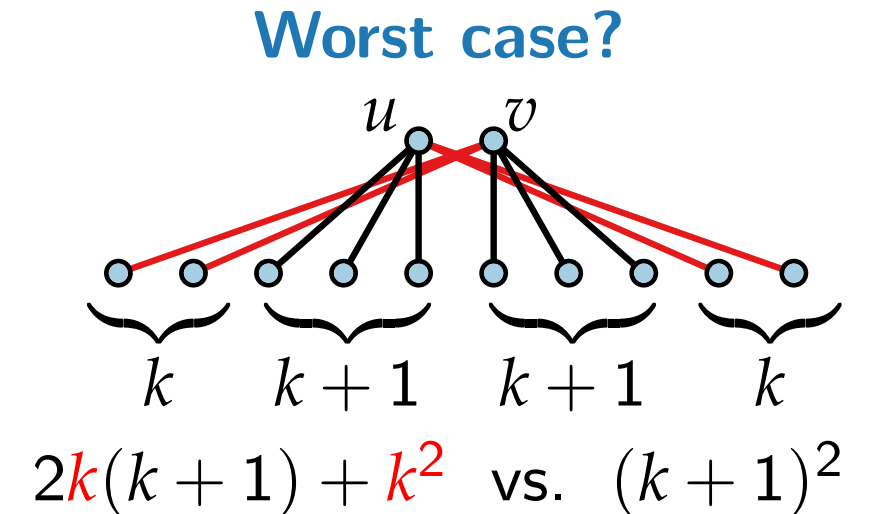
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# Greedy-switch heuristic

- iteratively swap each adjacent node as long as crossings decrease
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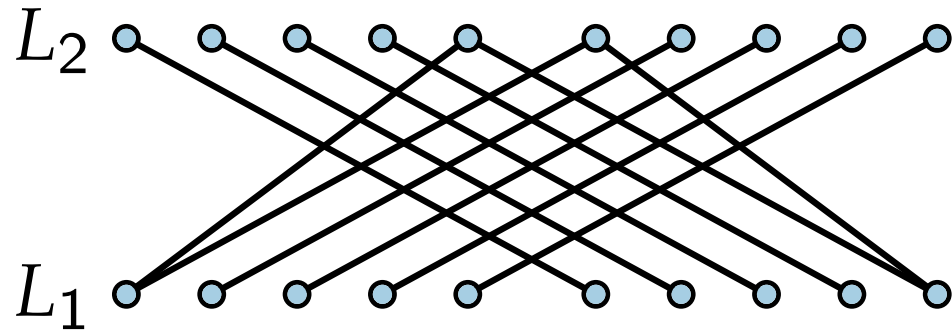
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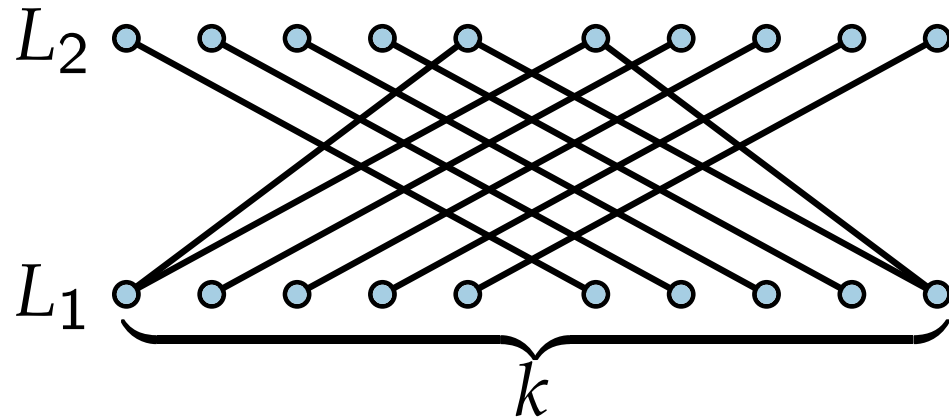
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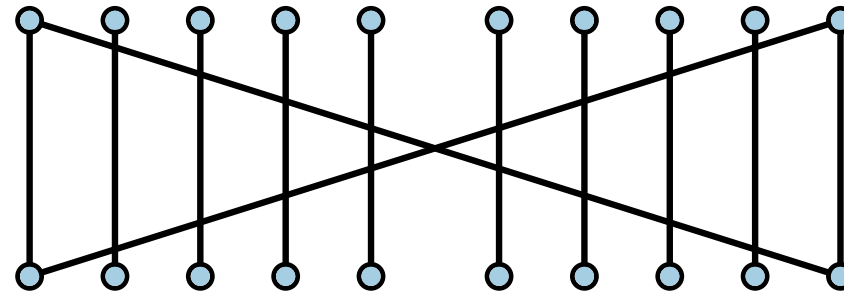
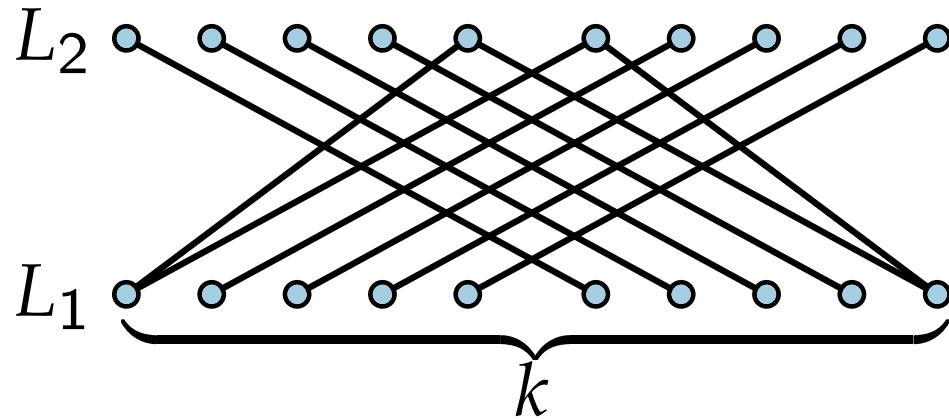
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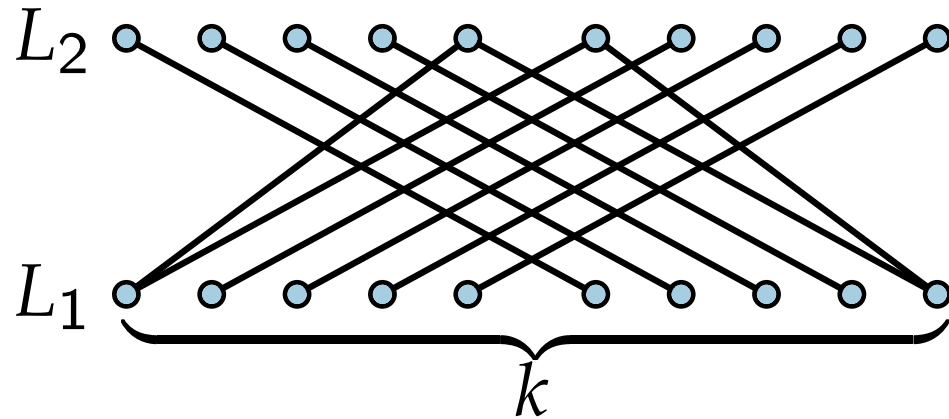
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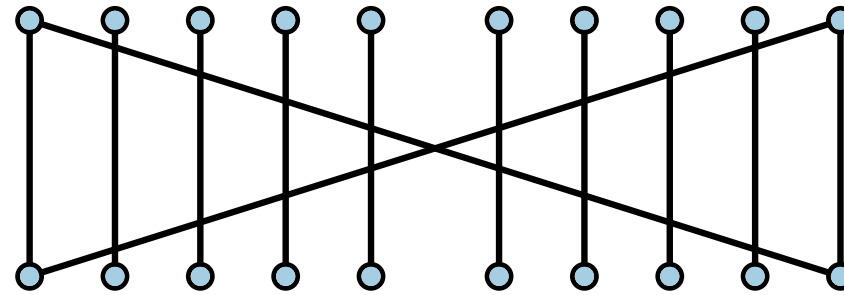
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## Worst case?



$$\approx k^2 / 4$$

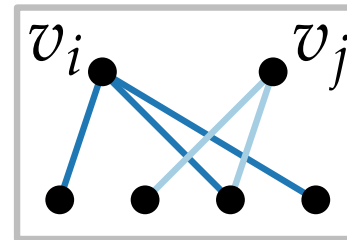


$$\approx 2k$$

# Integer linear program

[Jünger & Mutzel, '97]

- Constant  $c_{ij} := \#$  crossings between edges incident to  $v_i$  or  $v_j$  when  $\pi_2(v_i) < \pi_2(v_j)$

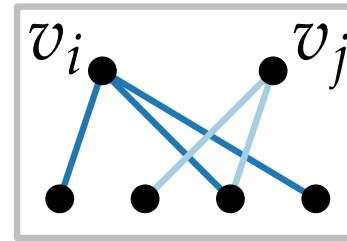


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$$x_{ij} = \begin{cases} 1 & \text{when } \pi_2(v_i) < \pi_2(v_j) \\ 0 & \text{otherwise} \end{cases}$$

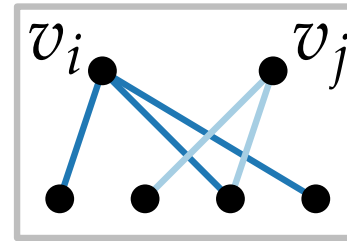


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- The number of crossings of a permutations  $\pi_2$

$$\text{cross}(\pi_2) = \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} (c_{ij} - c_{ji})x_{ij} + \underbrace{\sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} c_{ji}}_{\text{constant}}$$

# Integer linear program

- Minimize the number of crossings:

$$\text{minimize } \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} (c_{ij} - c_{ji}) x_{ij}$$



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$$0 \leq x_{ij} + x_{jk} - x_{ik} \leq 1 \quad \text{for } 1 \leq i < j < k \leq n_2$$

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i.e., if  $x_{ij} = 1$  and  $x_{jk} = 1$ , then  $x_{ik} = 1$

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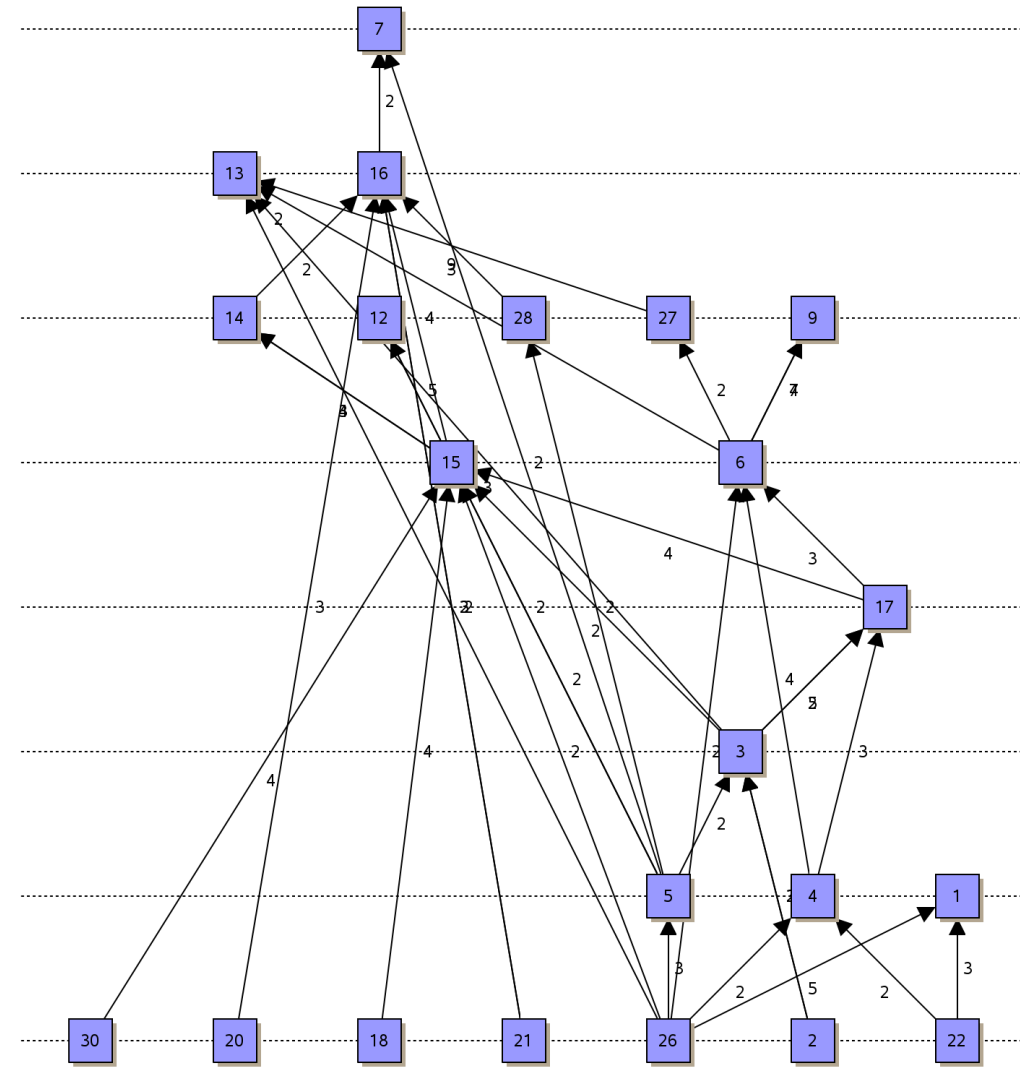
$$0 \leq x_{ij} + x_{jk} - x_{ik} \leq 1 \quad \text{for } 1 \leq i < j < k \leq n_2$$

i.e., if  $x_{ij} = \begin{matrix} 1 \\ 0 \end{matrix}$  and  $x_{jk} = \begin{matrix} 1 \\ 0 \end{matrix}$ , then  $x_{ik} = \begin{matrix} 1 \\ 0 \end{matrix}$

## Properties.

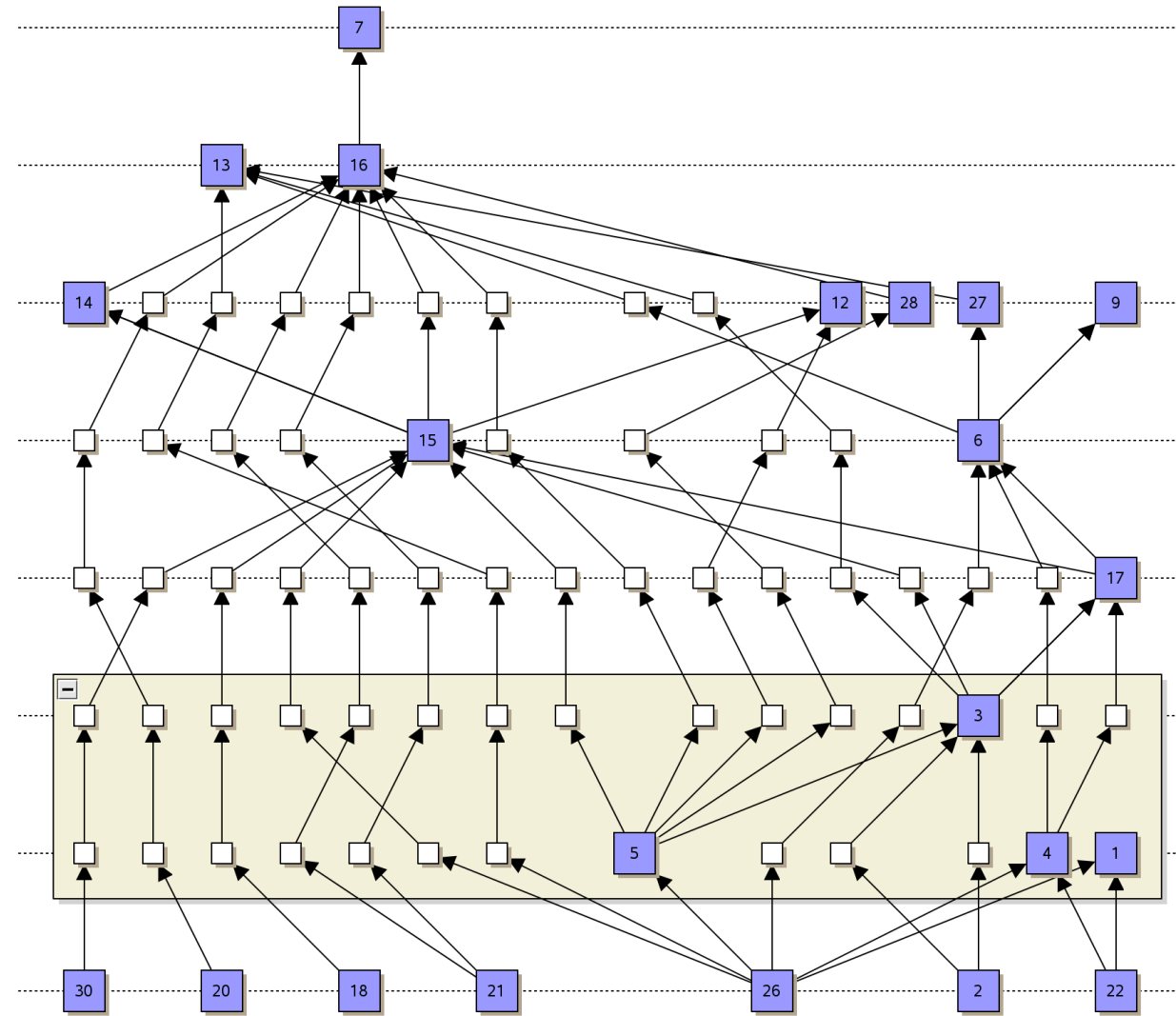
- branch-and-cut technique for DAGs of limited size
- useful for graphs of small to medium size
- finds optimal solution
- solution in polynomial time is not guaranteed

# Iterations on example

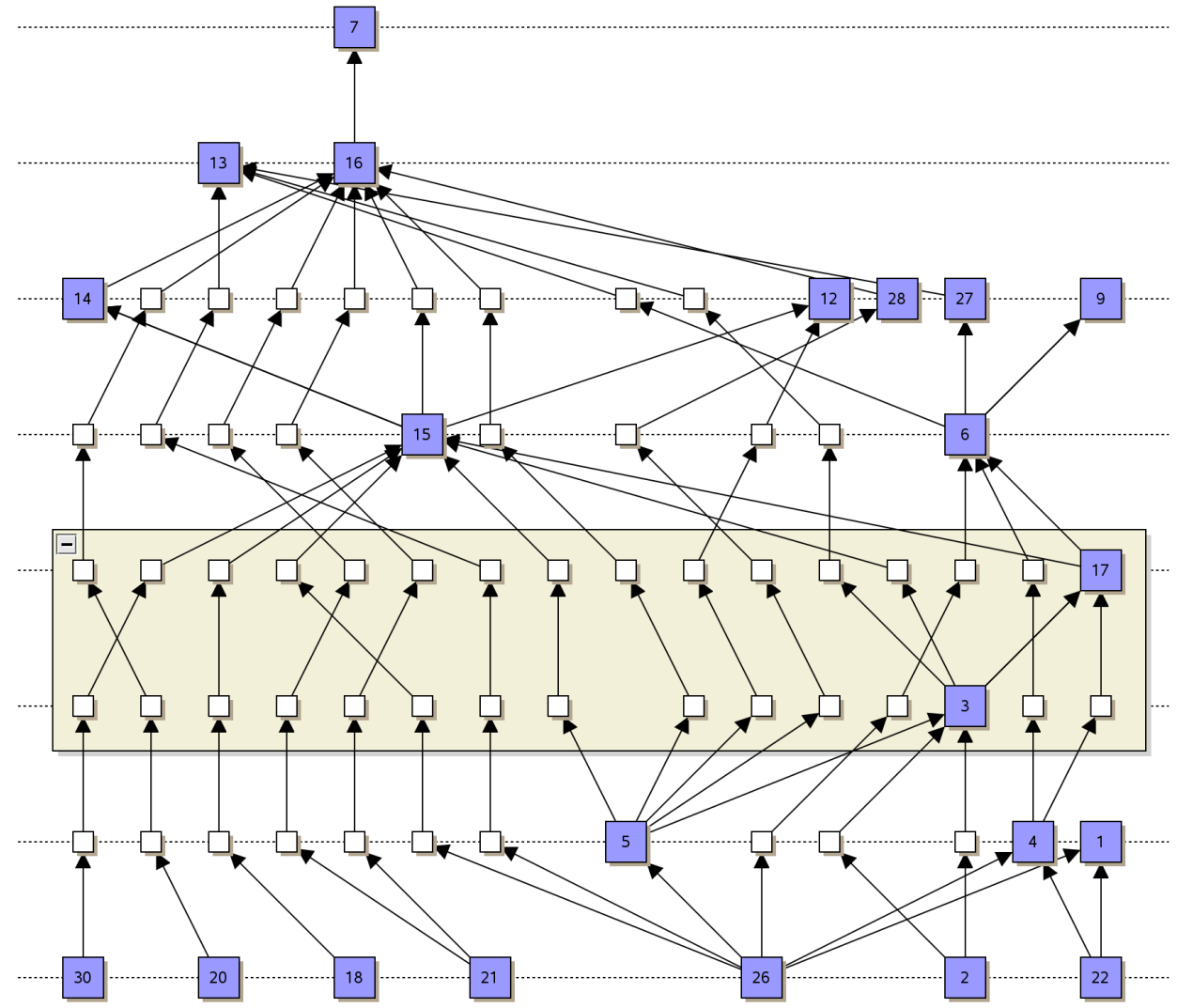




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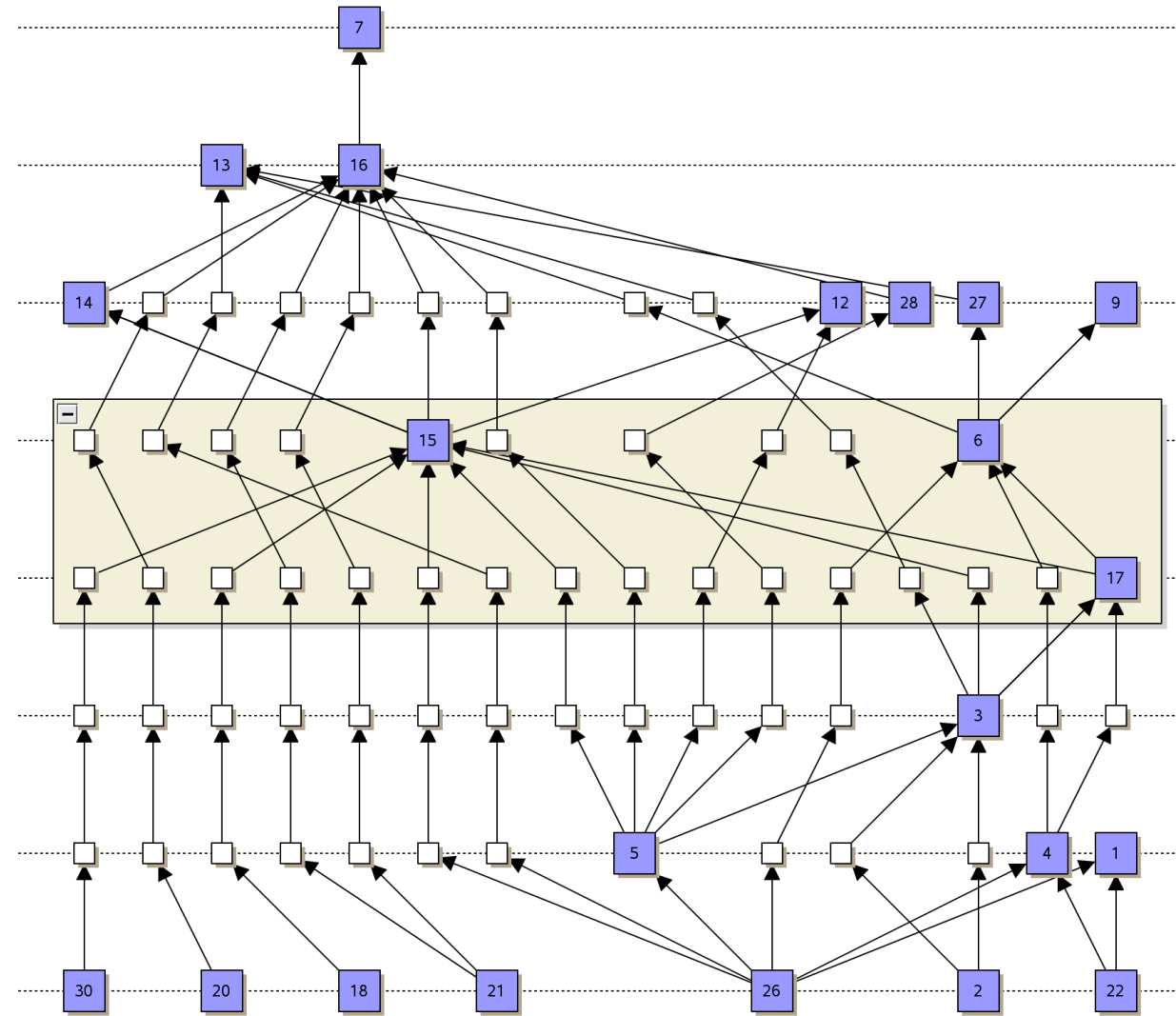


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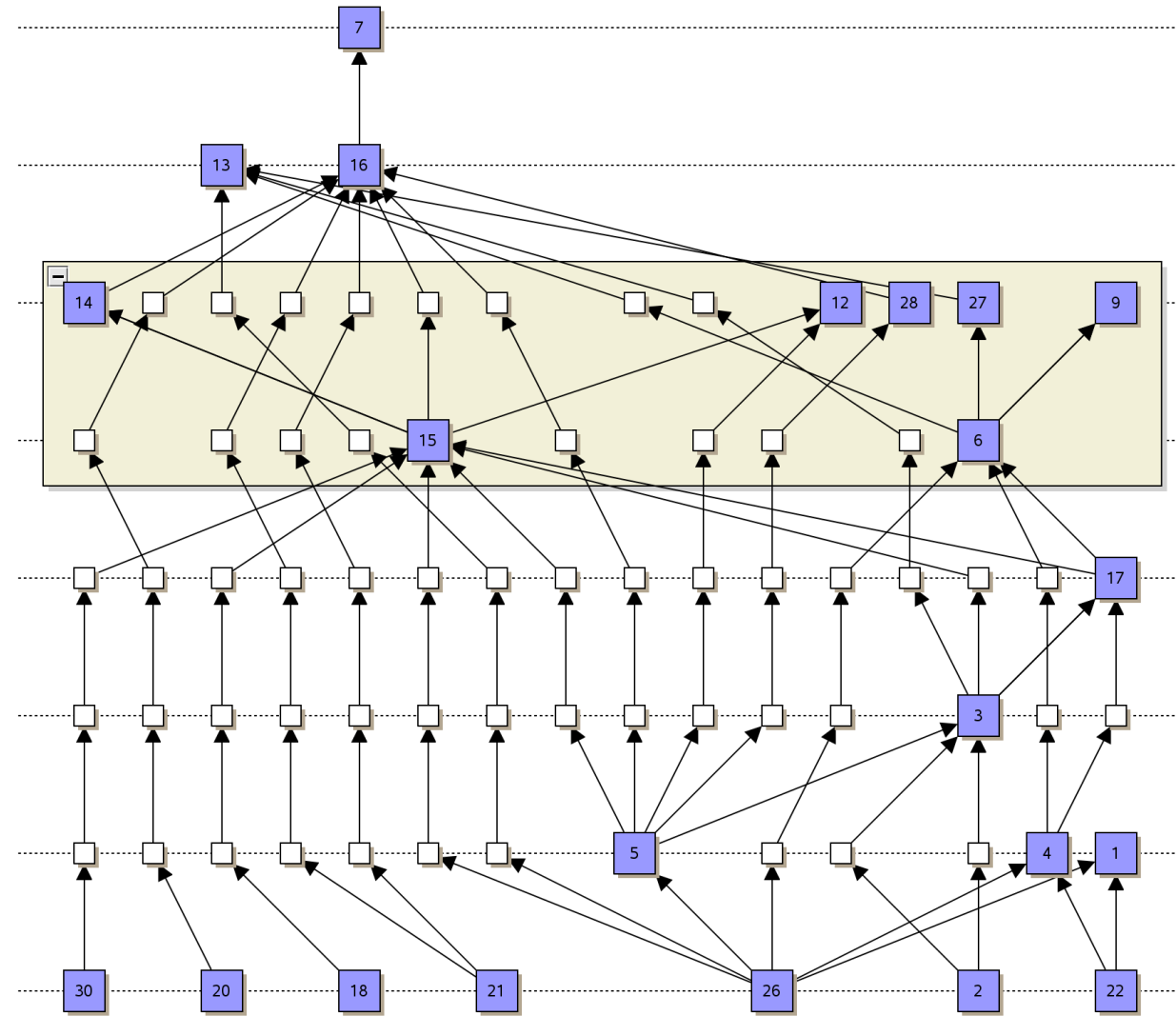




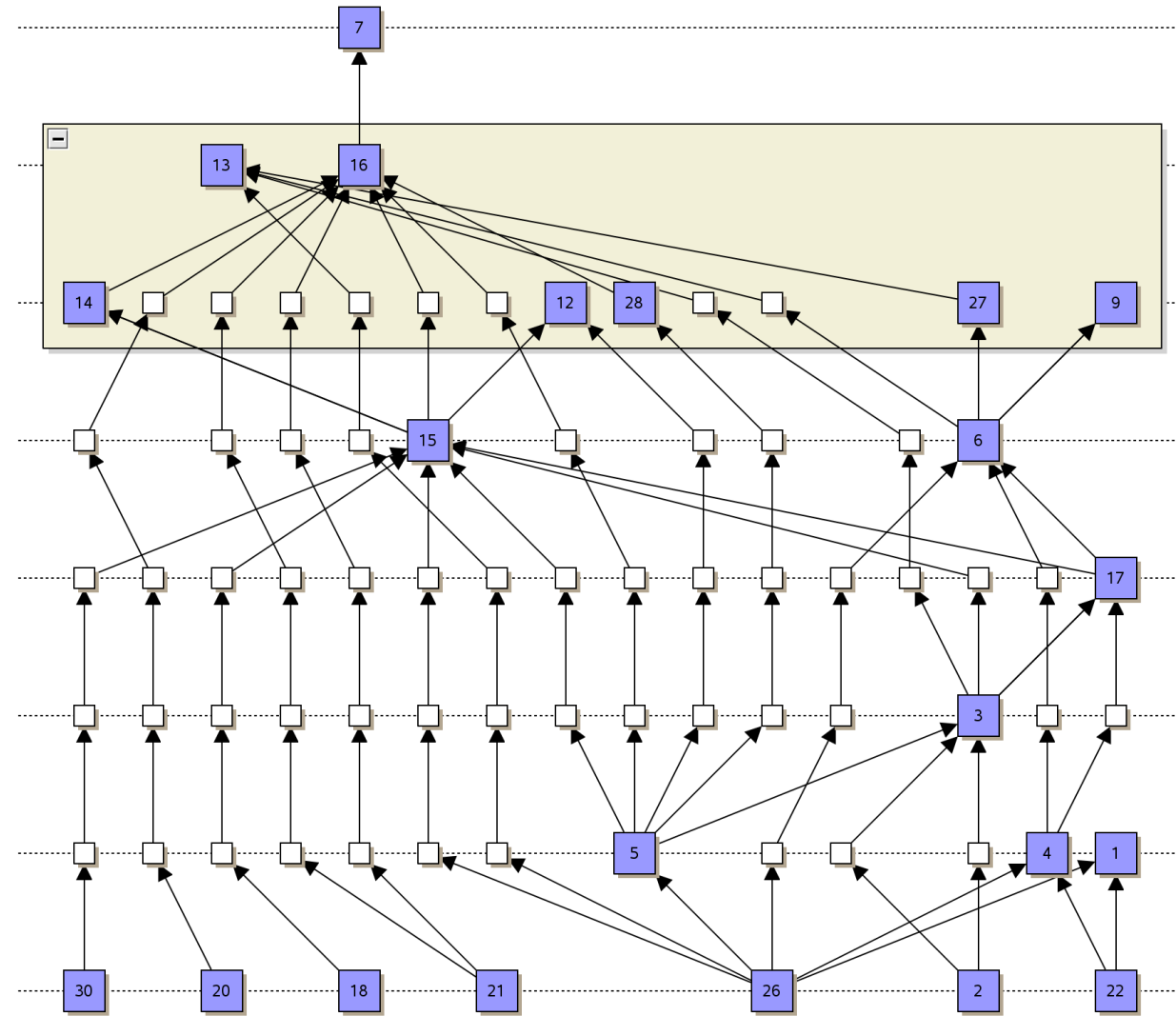
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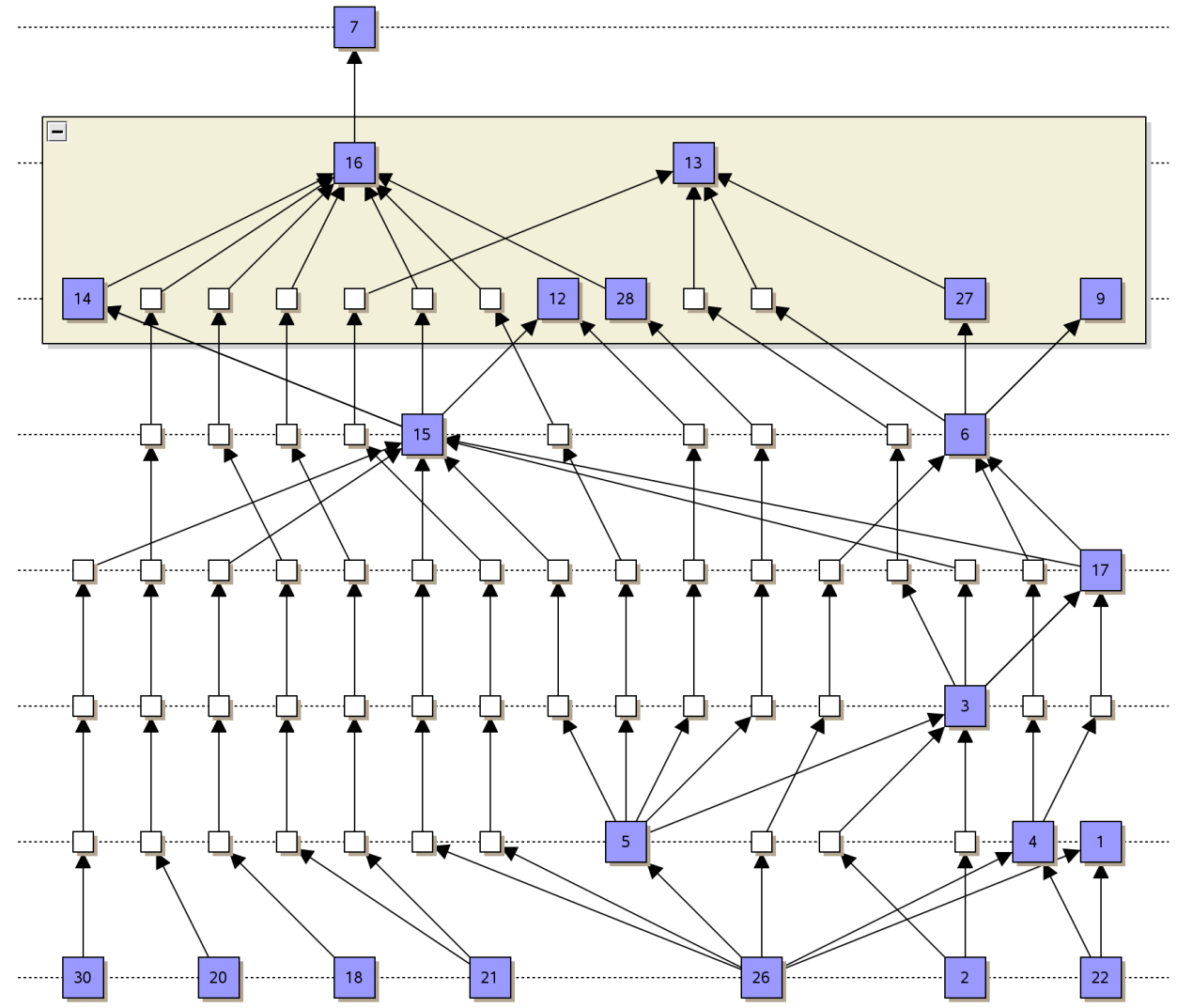
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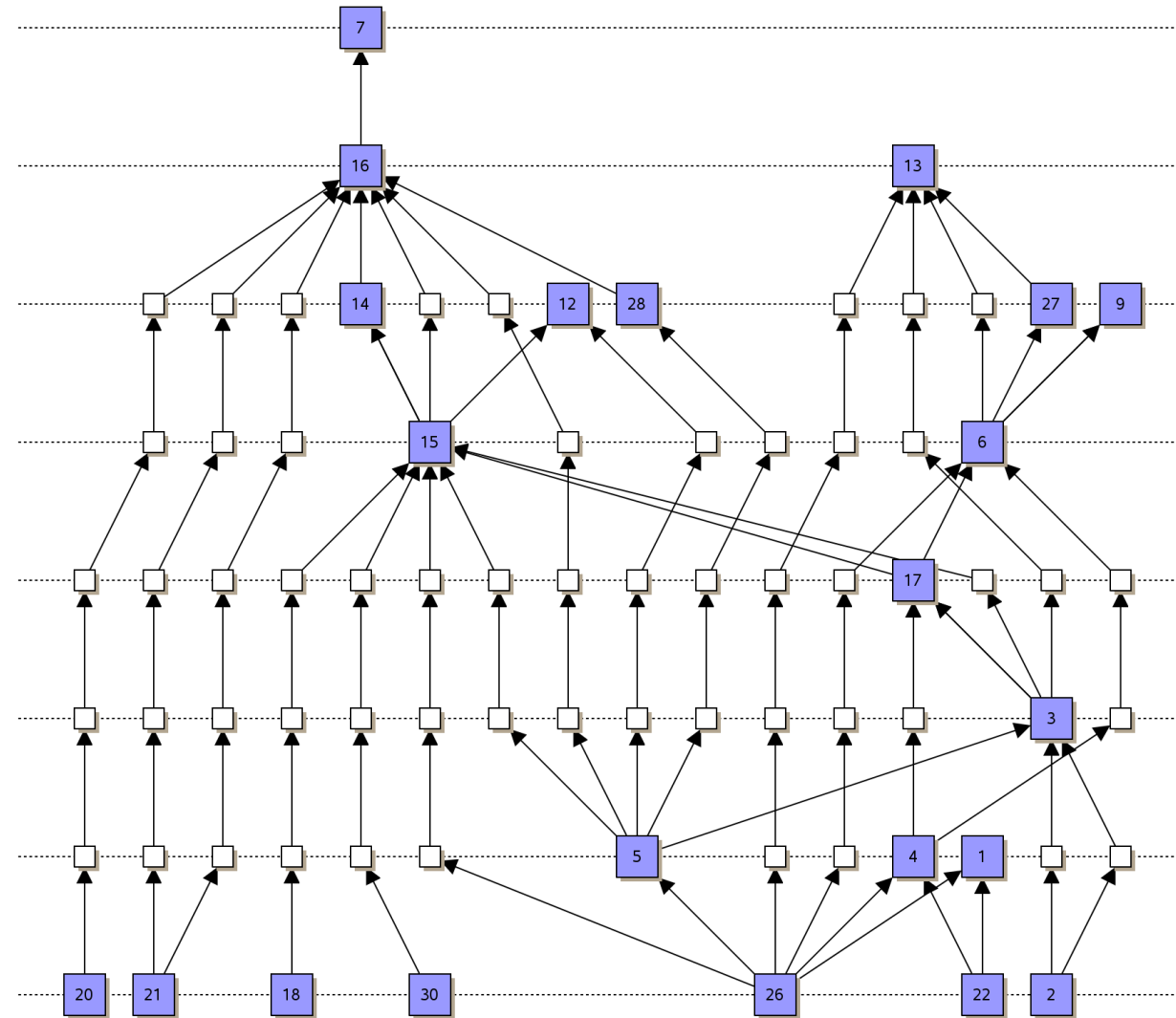
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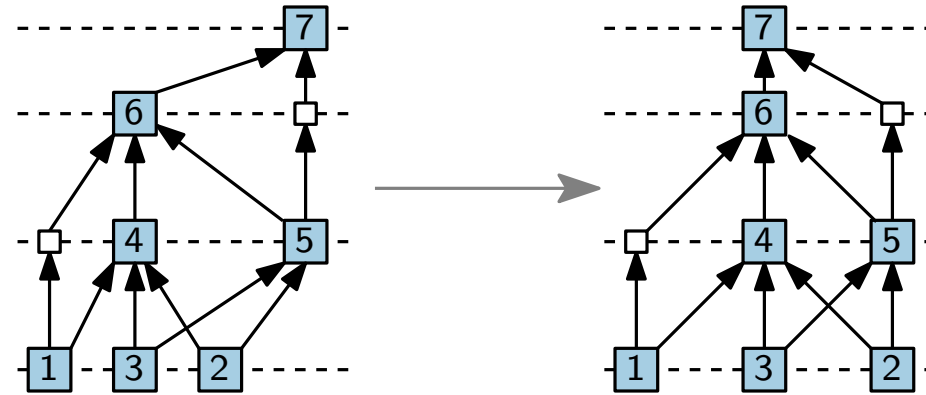
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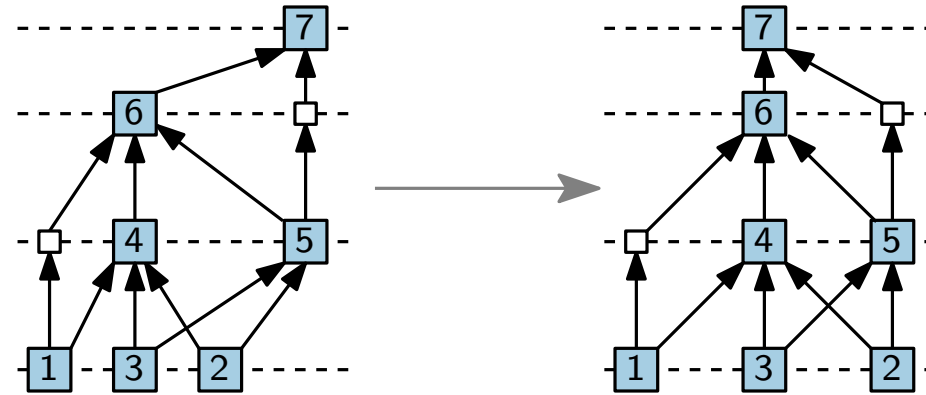
# Step 4: Vertex positioning



## Goal.

paths should be close to straight, vertices evenly spaced

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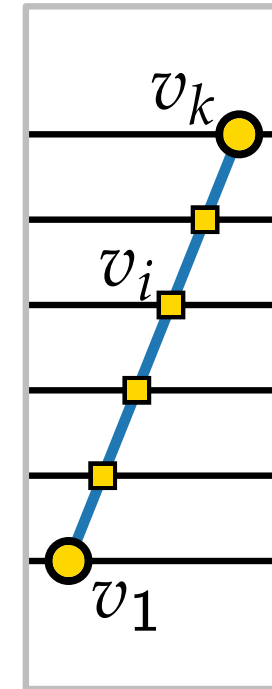
## Goal.

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- **Exact:** Quadratic Program (QP)
- **Heuristic:** iterative approach

# Quadratic Program

- Consider the path  $p_e = (v_1, \dots, v_k)$  of an edge  $e = v_1 v_k$  with dummy vertices:  $v_2, \dots, v_{k-1}$

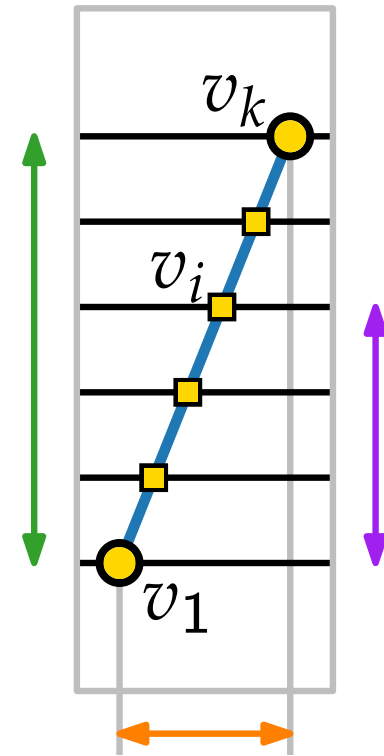




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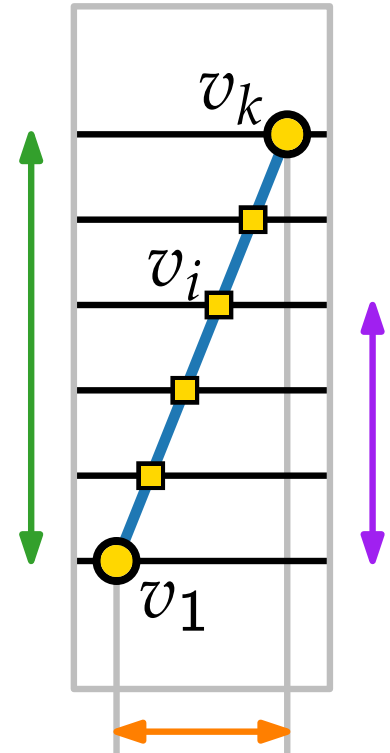
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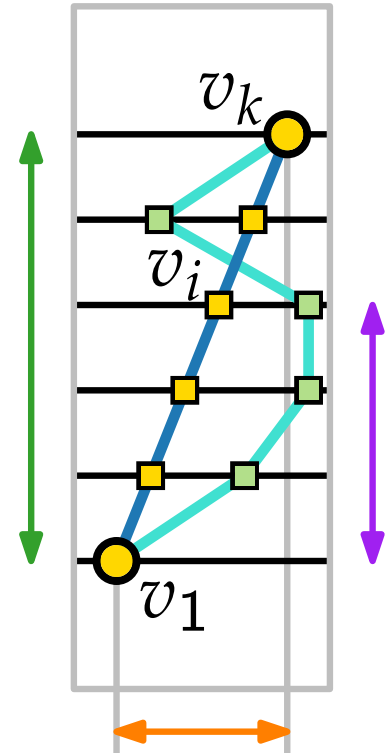
# Quadratic Program

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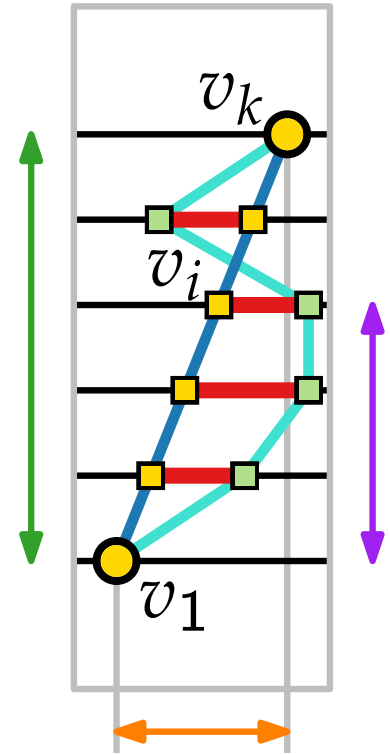
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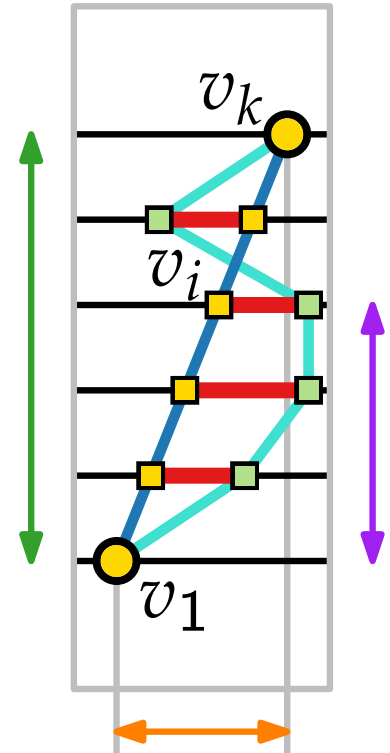
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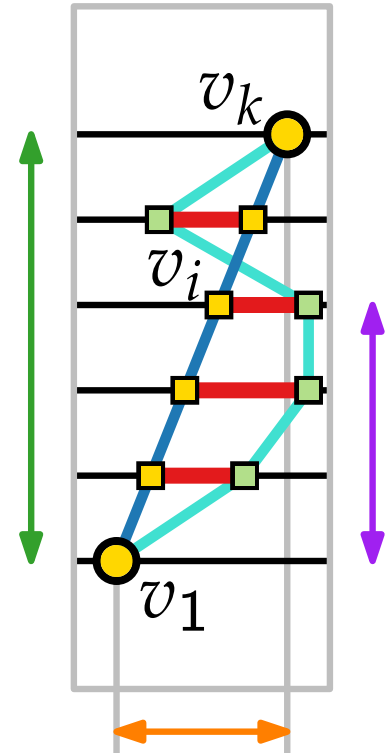
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# Quadratic Program

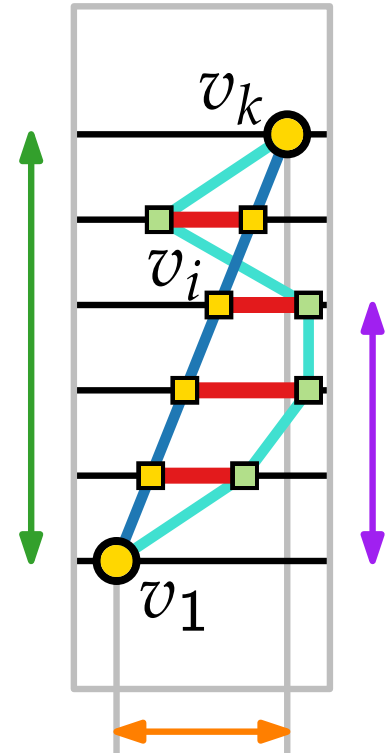
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- QP is time-expensive
- width can be exponential



← min. horizontal distance

# Iterative heuristic

- compute an initial layout



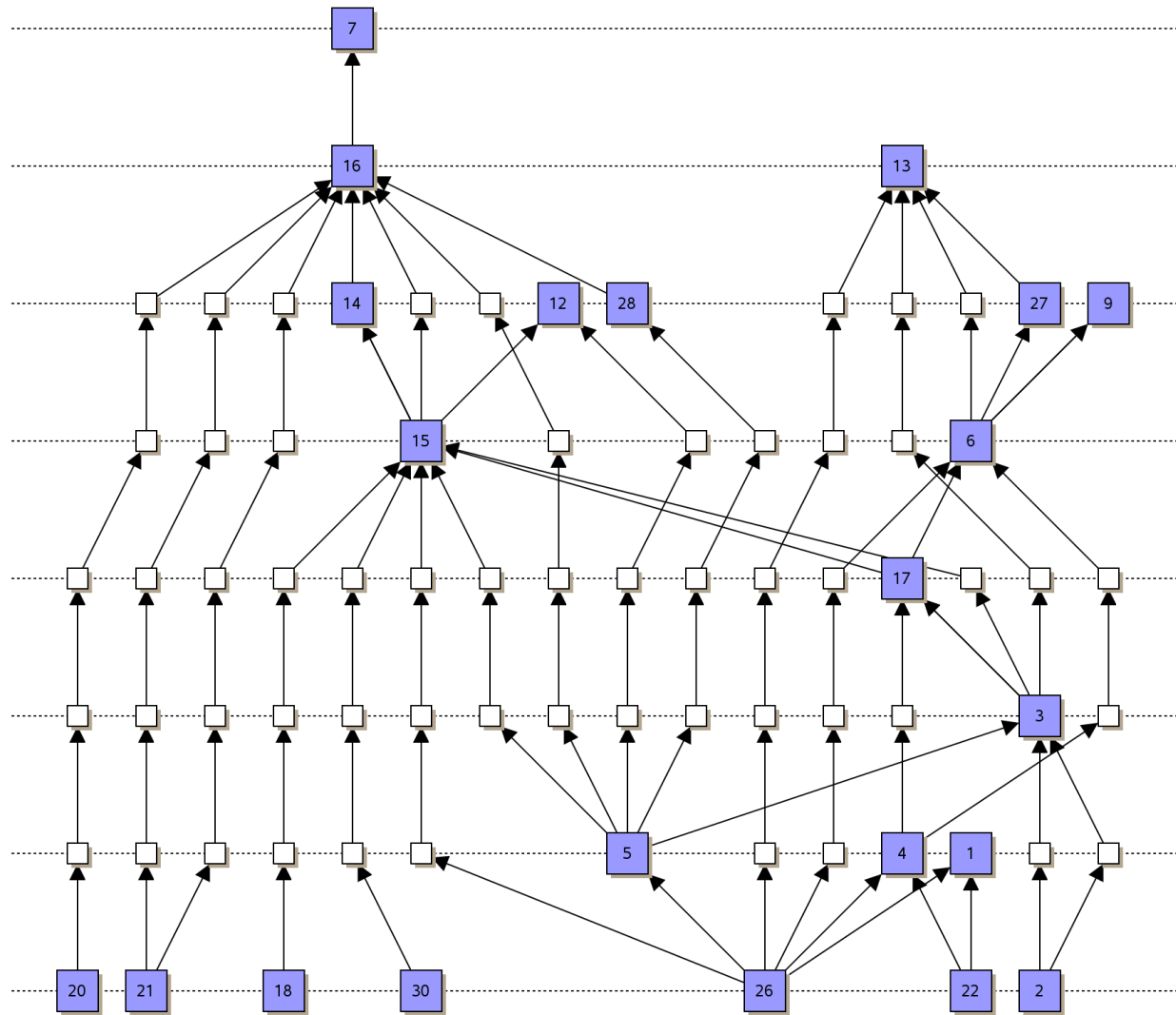
# Iterative heuristic

- compute an initial layout
- apply the following steps as long as improvements can be made:

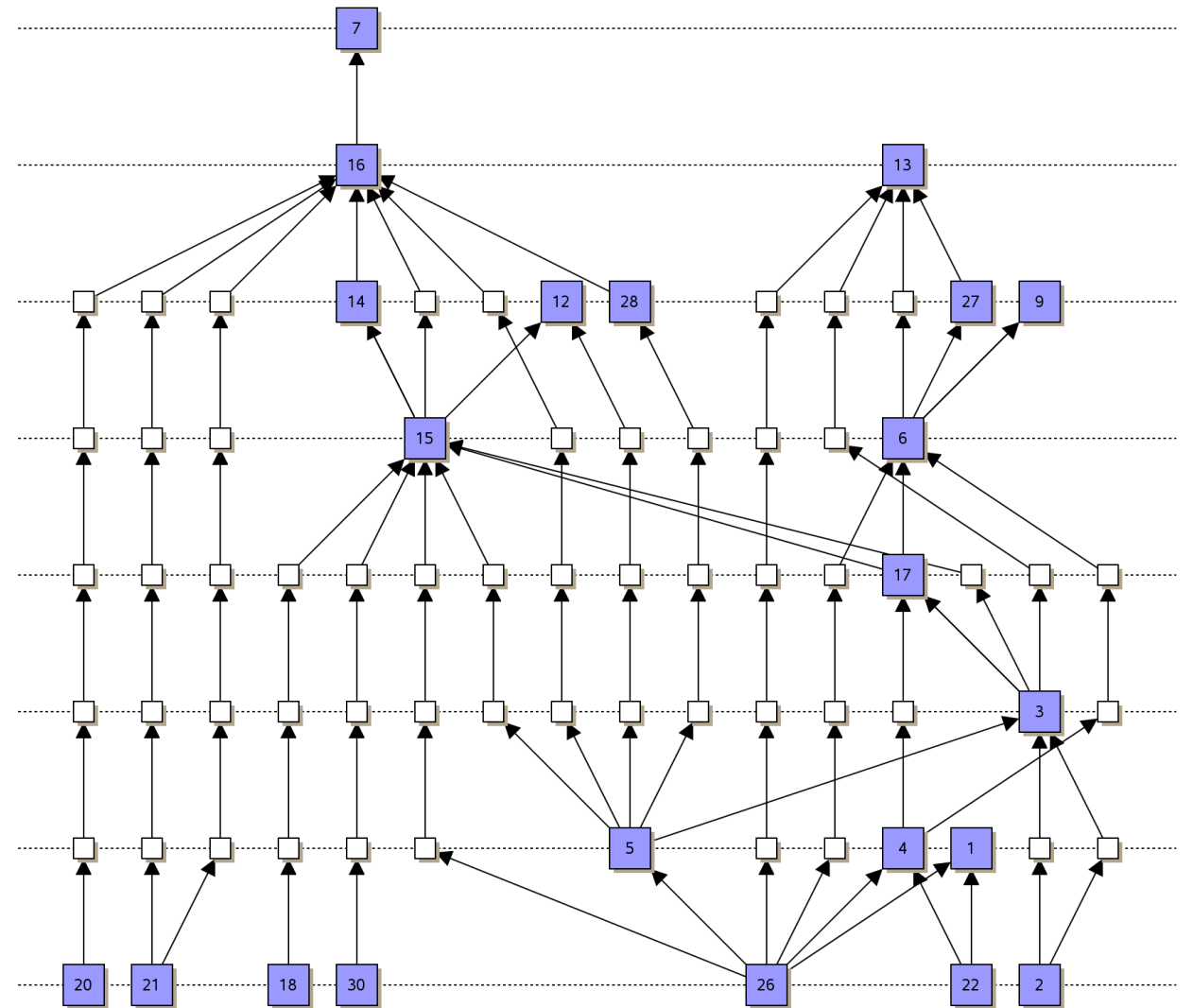
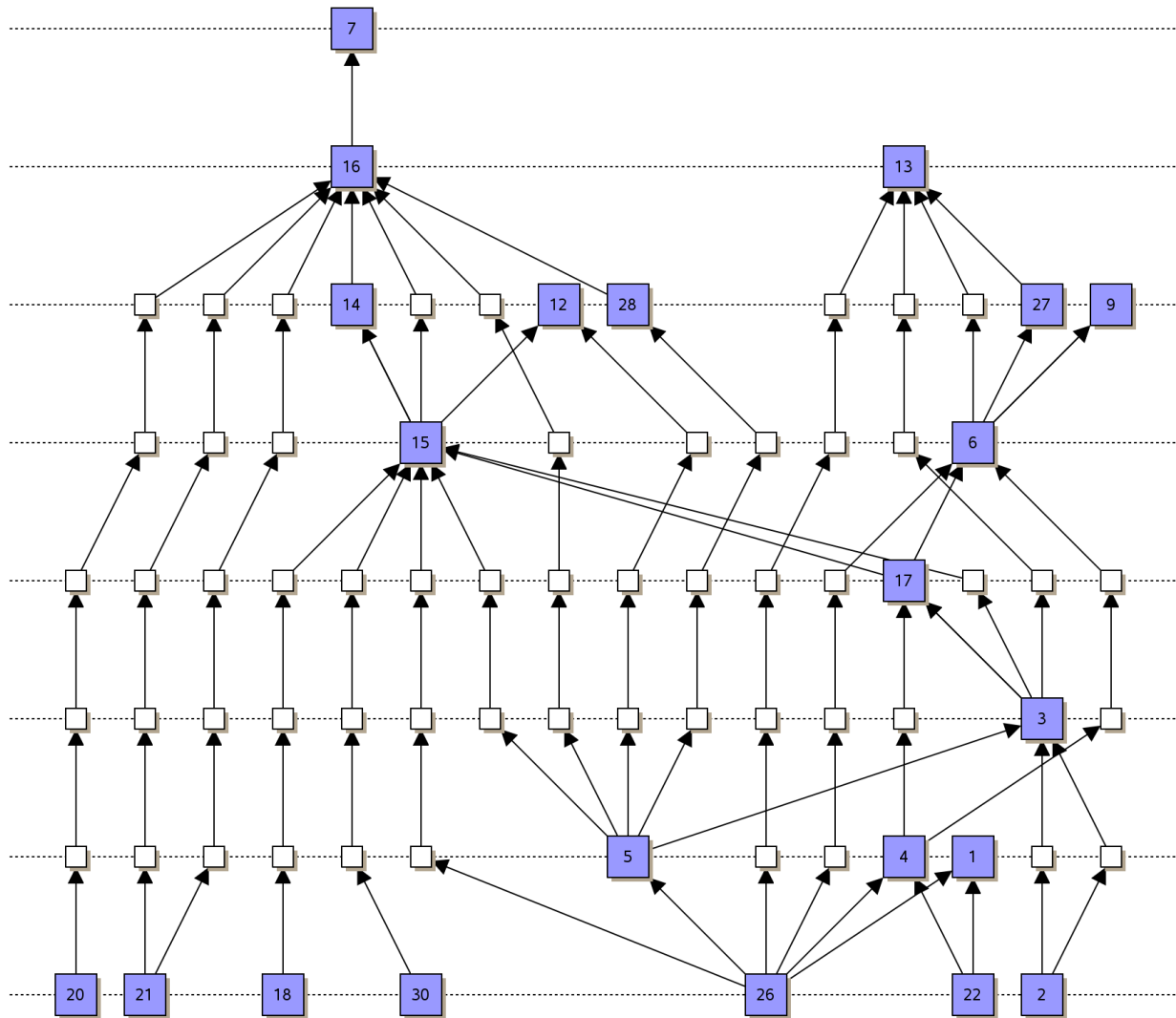
# Iterative heuristic

- compute an initial layout
- apply the following steps as long as improvements can be made:
  1. vertex positioning,
  2. edge straightening,
  3. compactifying the layout width.

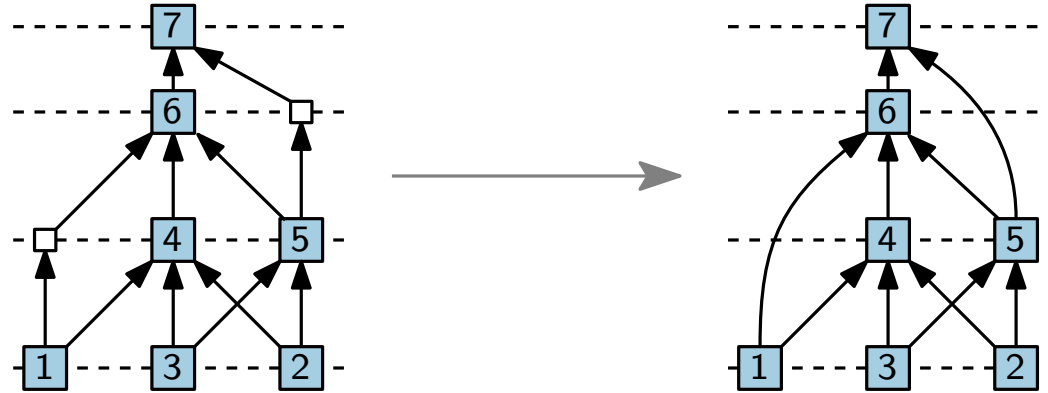
# Example



# Example



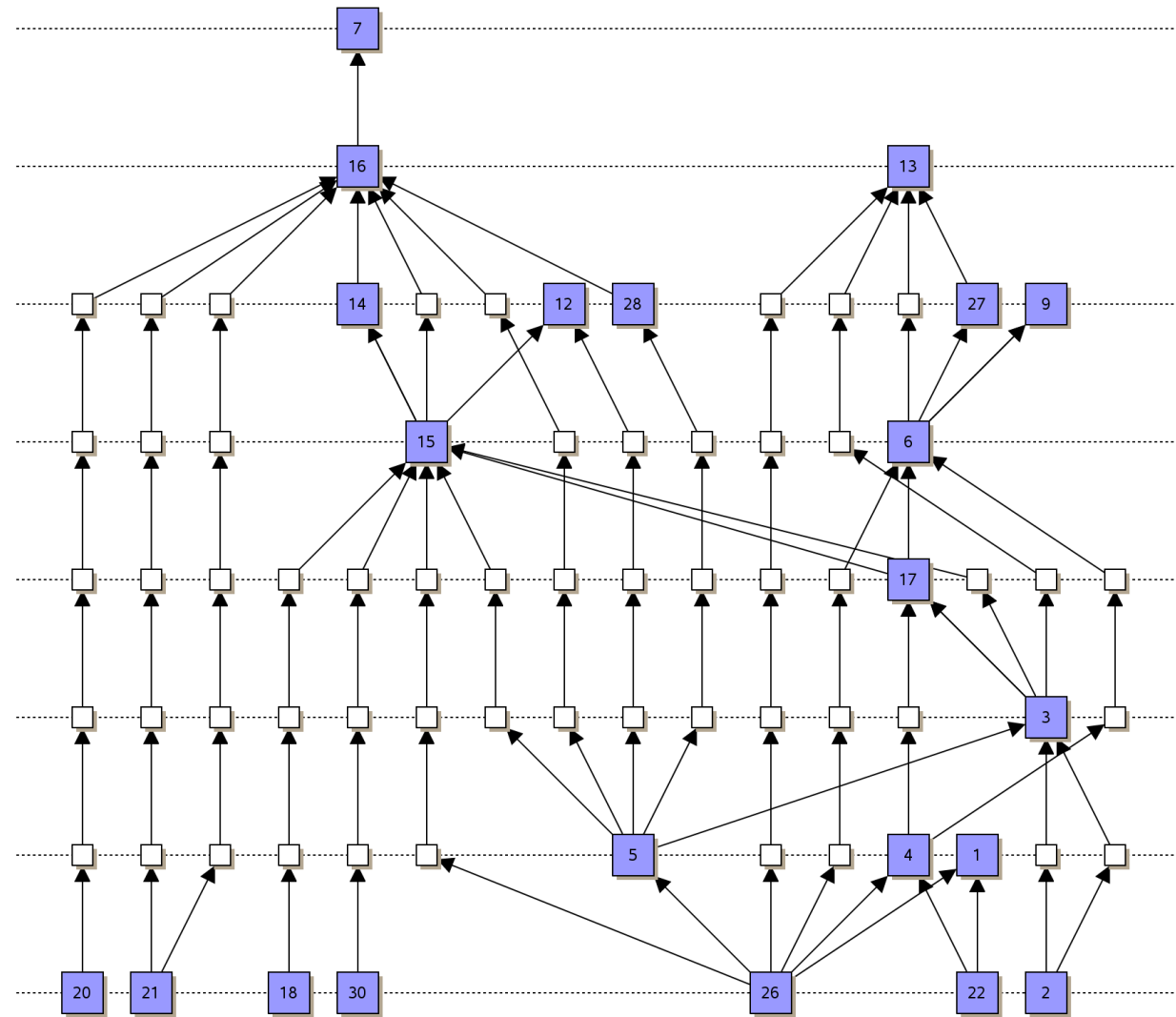
# Step 5: Drawing edges



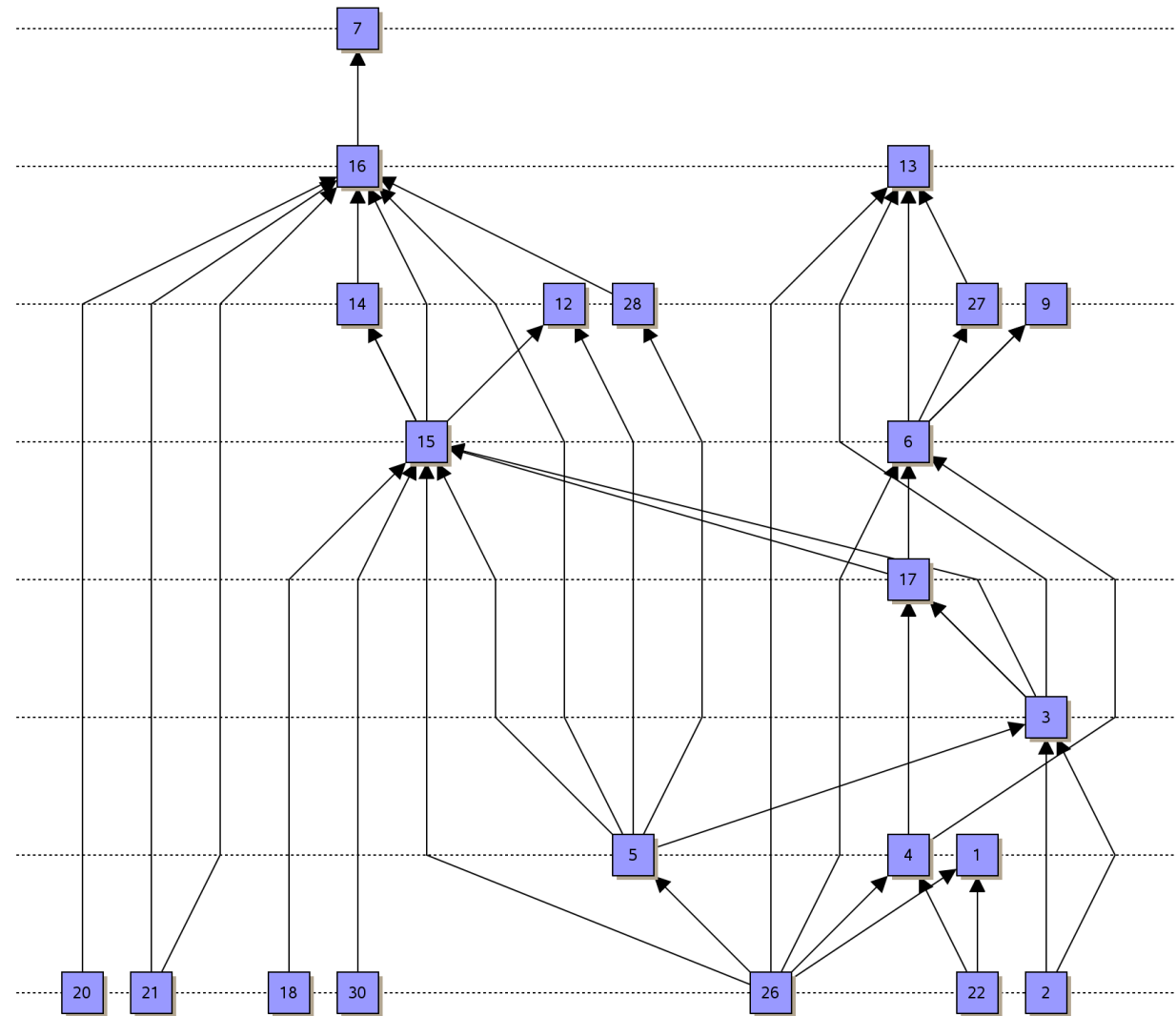
## Possibility.

Substitute polylines by Bézier curves

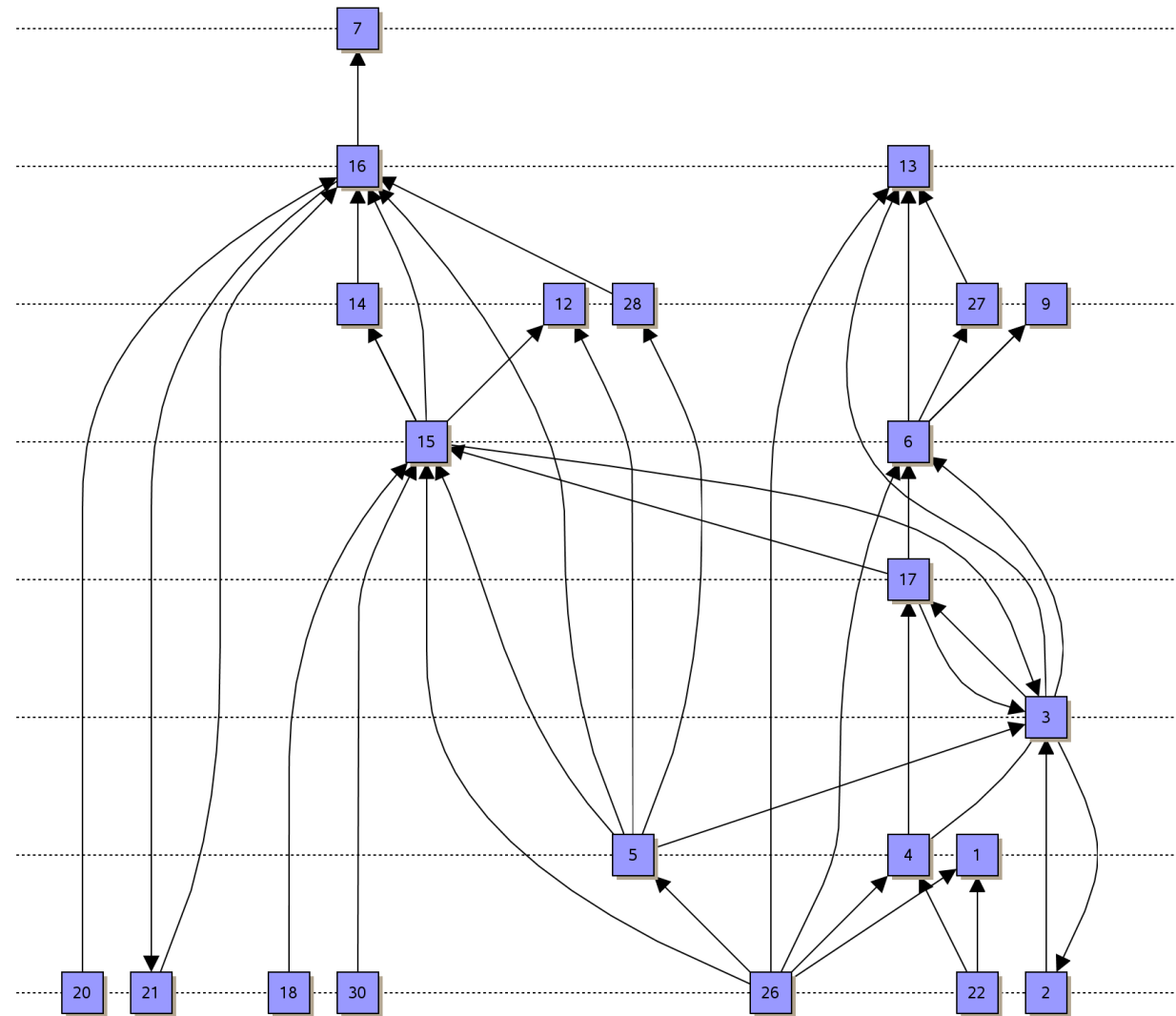
# Example



# Example



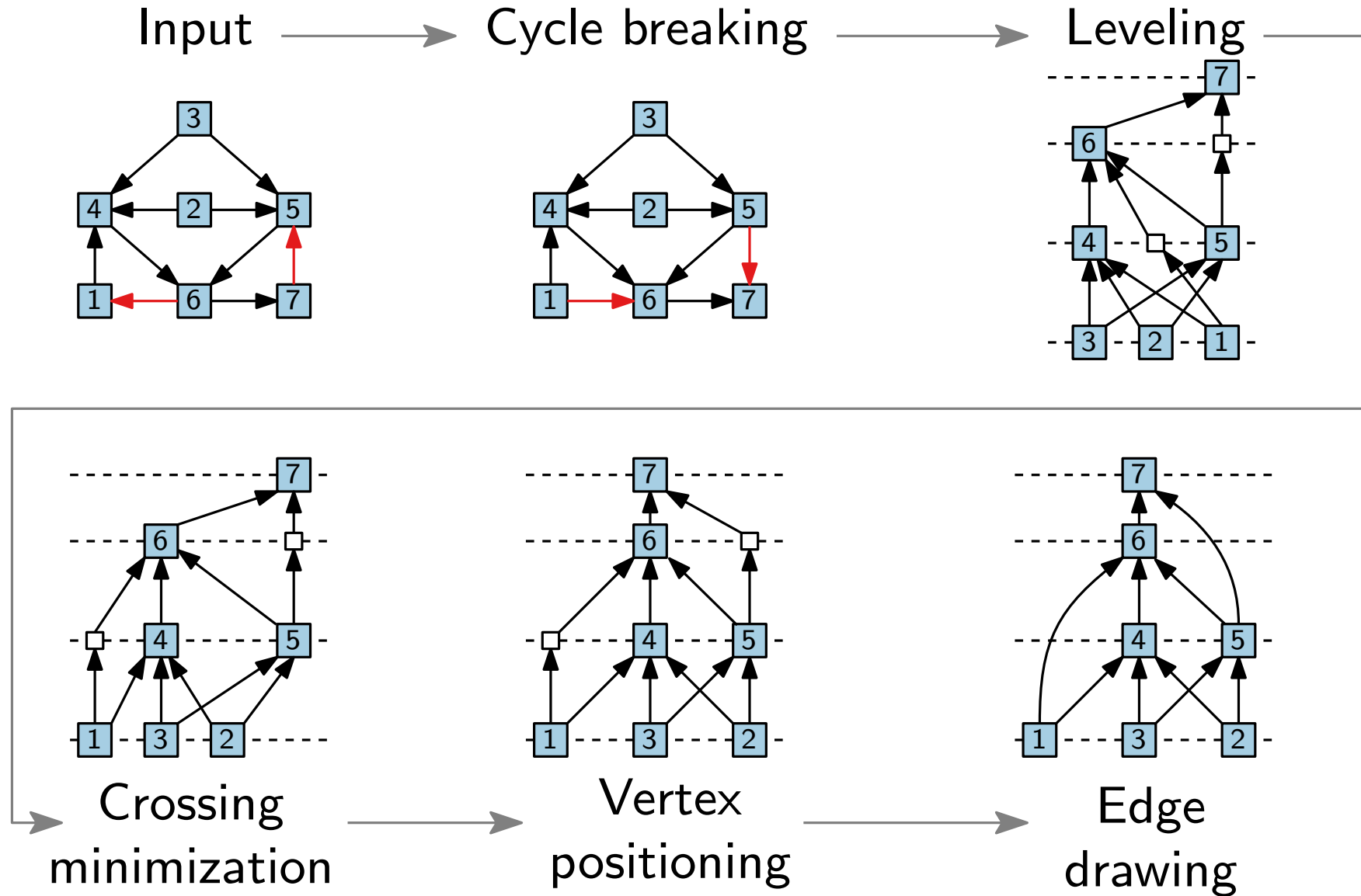
# Example





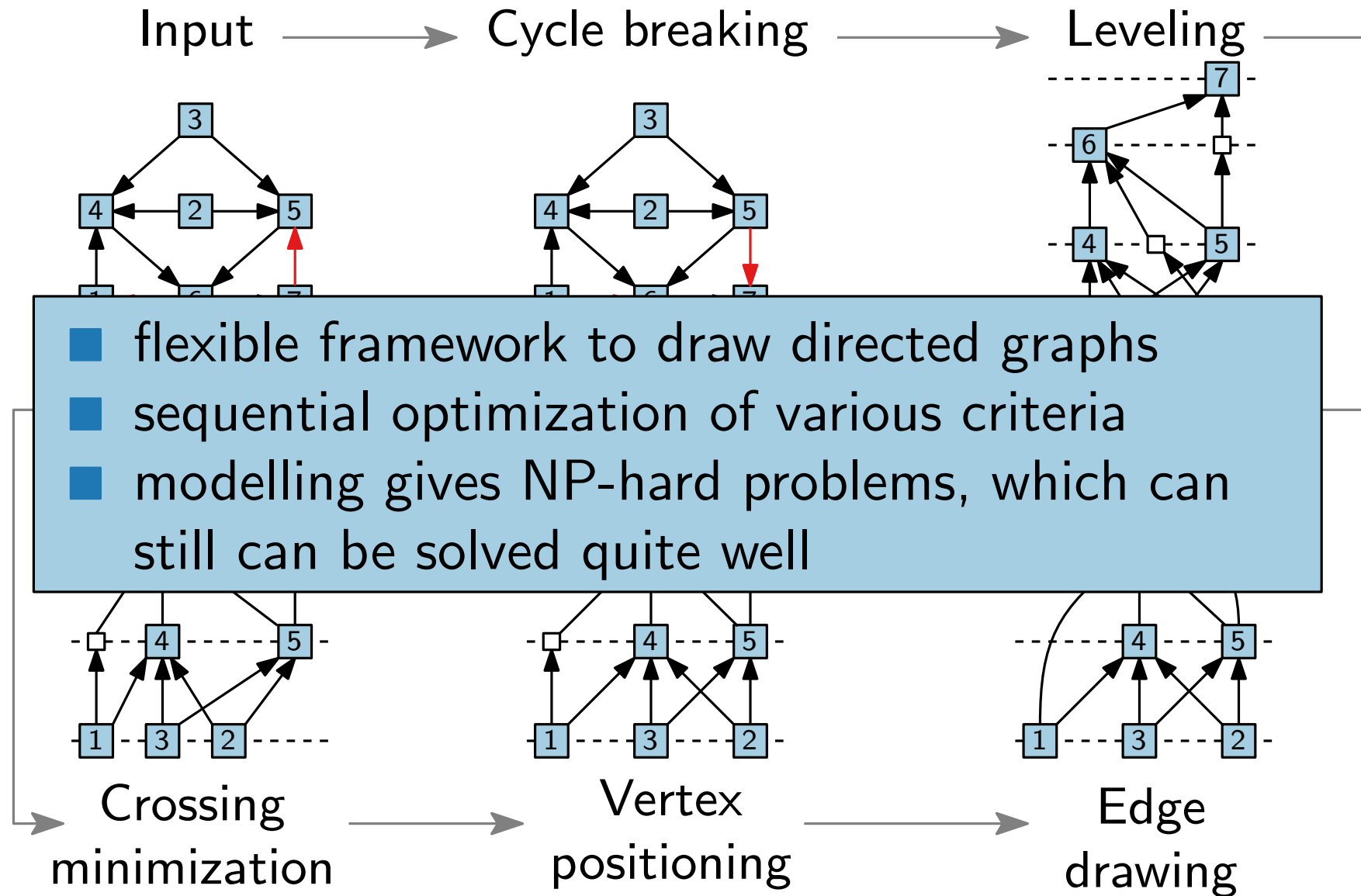
# Classical approach – Sugiyama framework

[Sugiyama, Tagawa, Toda '81]



# Classical approach – Sugiyama framework

[Sugiyama, Tagawa, Toda '81]



# Literature

Detailed explanations of steps and proofs in

- [GD Ch. 11] and [DG Ch. 5]

based on

- [Sugiyama, Tagawa, Toda '81] Methods for visual understanding of hierarchical system structures

and refined with results from

- [Berger, Shor '90] Approximation algorithms for the maximum acyclic subgraph problem
- [Eades, Lin, Smith '93] A fast and effective heuristic for the feedback arc set problem
- [Garey, Johnson '83] Crossing number is NP-complete
- [Eades, Whiteside '94] Drawing graphs in two layers
- [Eades, Wormland '94] Edge crossings in drawings of bipartite graphs
- [Jünger, Mutzel '97] 2-Layer Straightline Crossing Minimization: Performance of Exact and Heuristic Algorithms