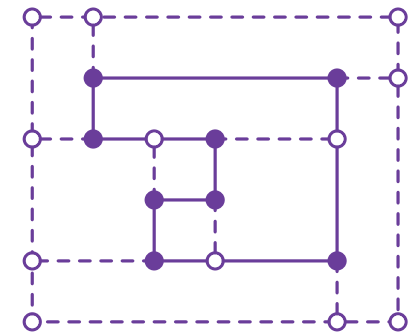
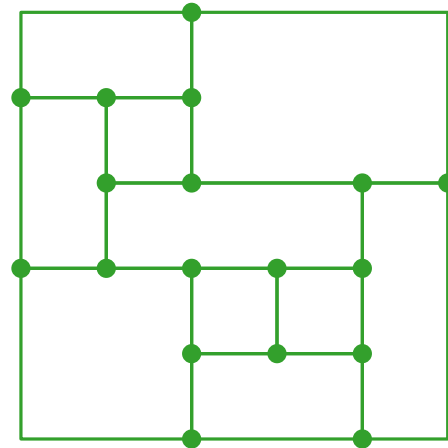
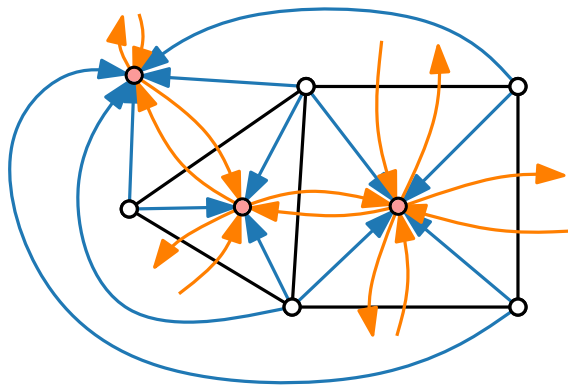


# Visualisation of graphs

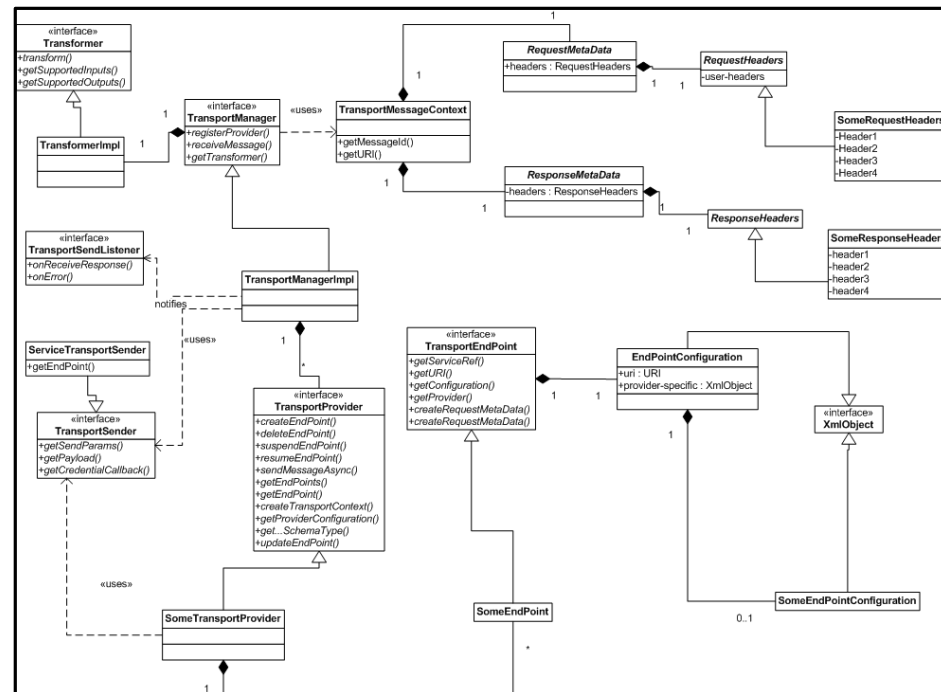
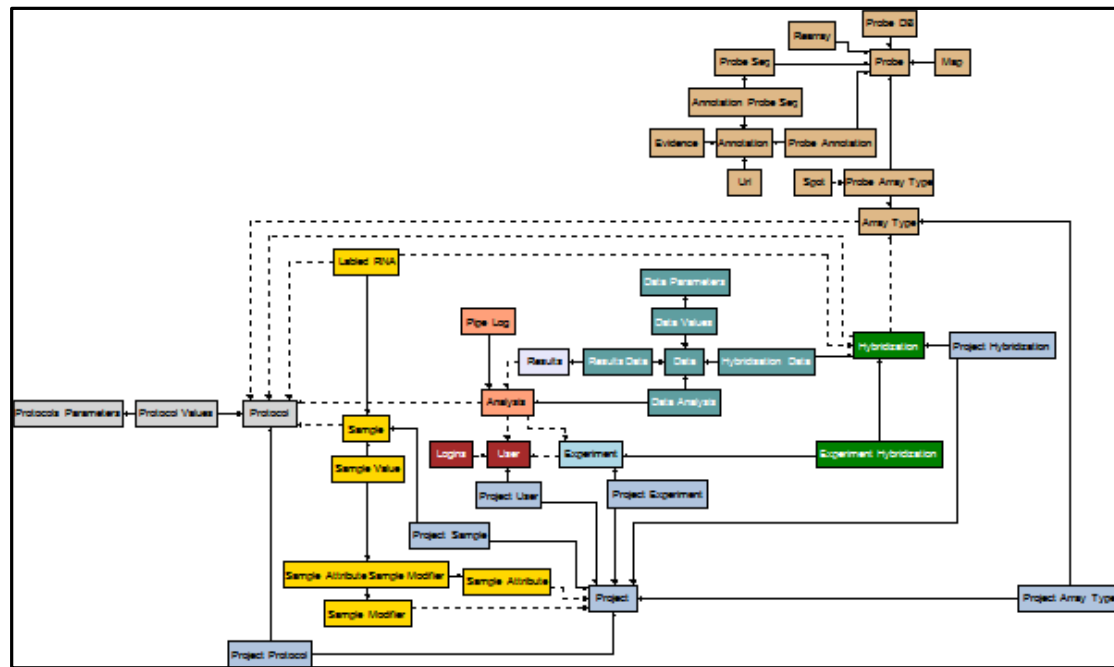
## Orthogonal layouts Flow methods

Jonathan Klawitter · Summer semester 2020



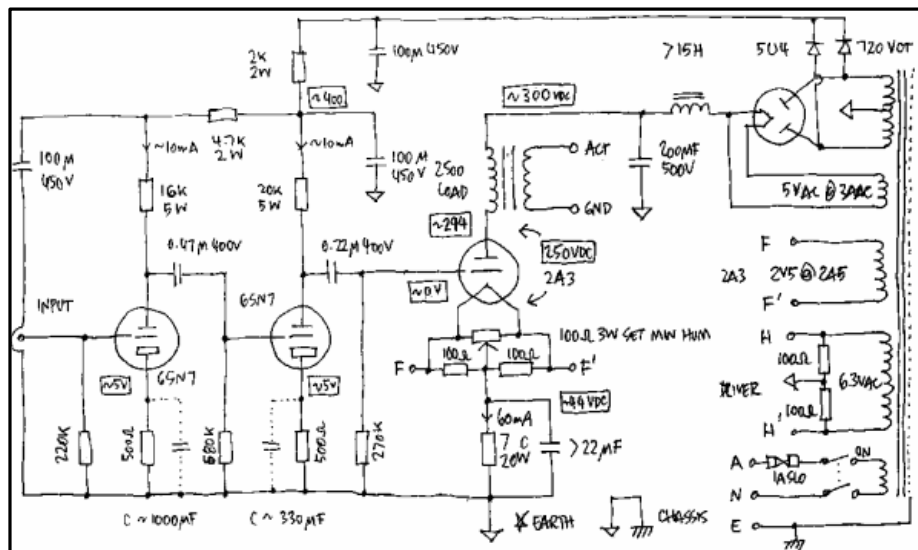
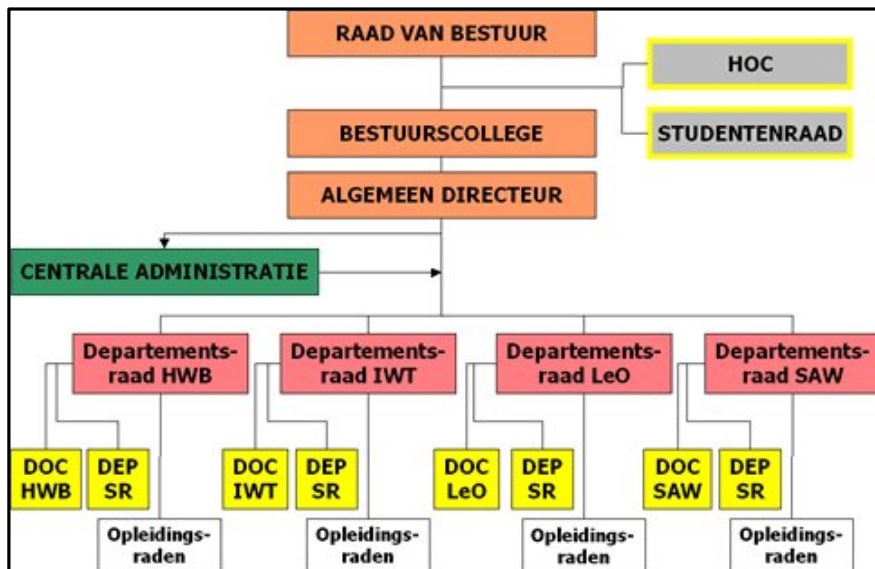
# Orthogonal layout – applications

ER diagram in OGDF



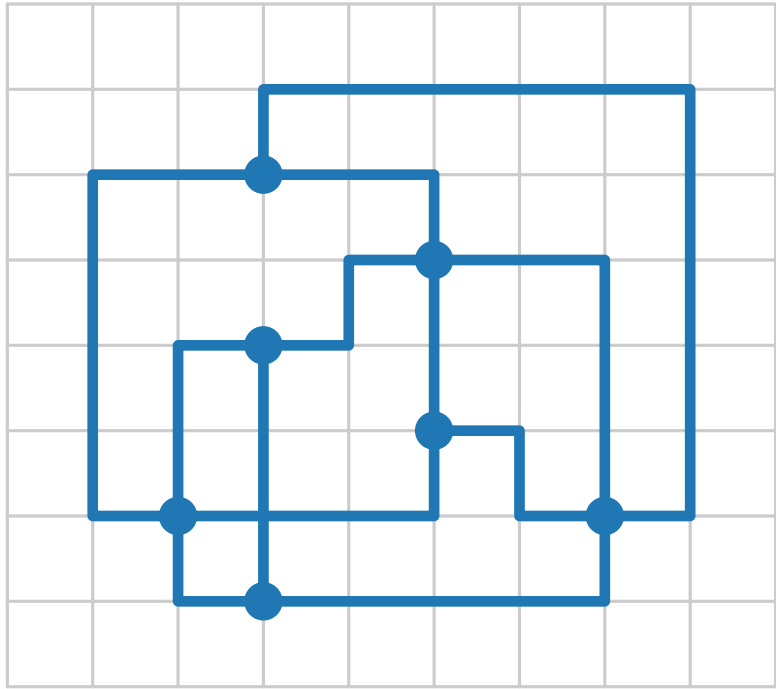
UML diagram by Oracle

Organigram of HS Limburg

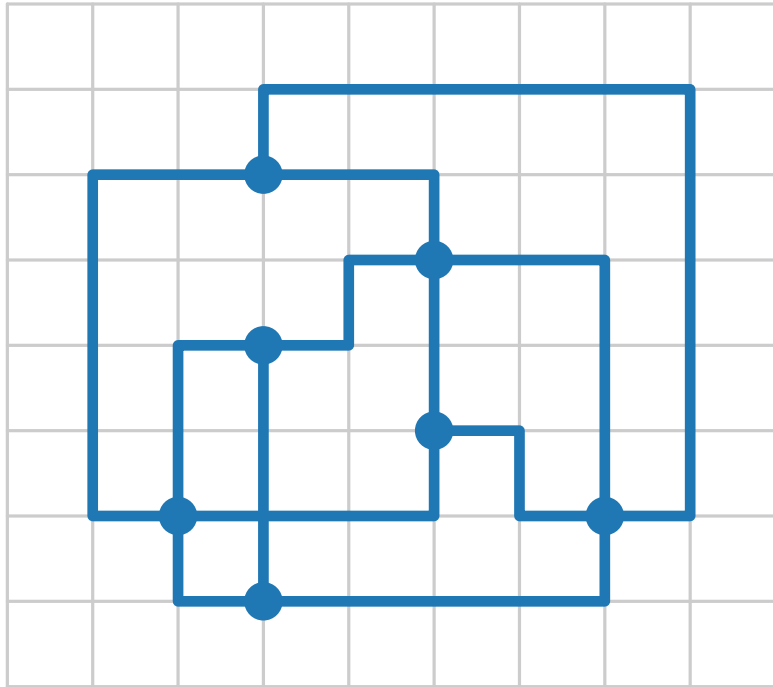


Circuit diagram by Jeff Atwood

# Orthogonal layout – definition



# Orthogonal layout – definition

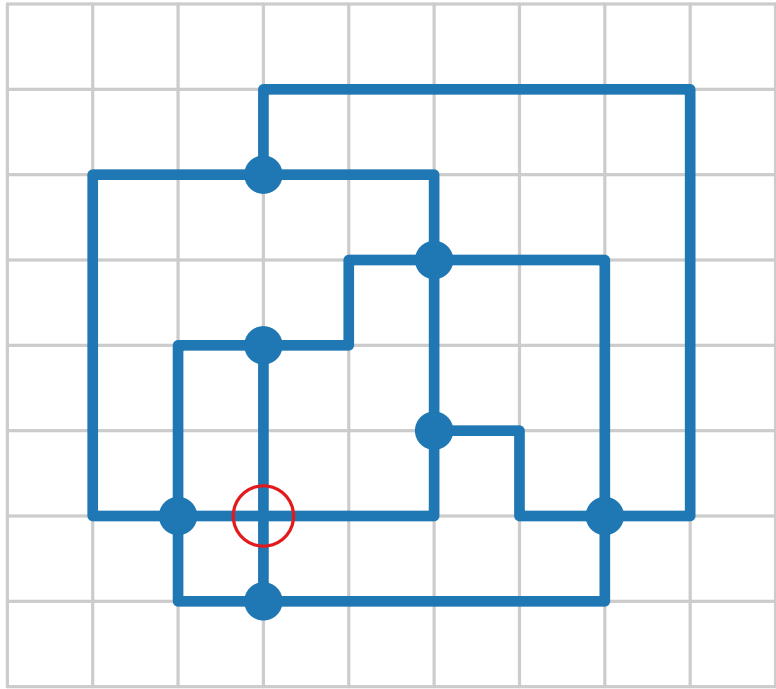


## Definition.

A drawing  $\Gamma$  of a graph  $G = (V, E)$  is called **orthogonal** if

- vertices are drawn as points on a grid,
- each edge is represented as a sequence of alternating horizontal and vertical segments, and
- pairs of edges are disjoint or cross orthogonally.

# Orthogonal layout – definition

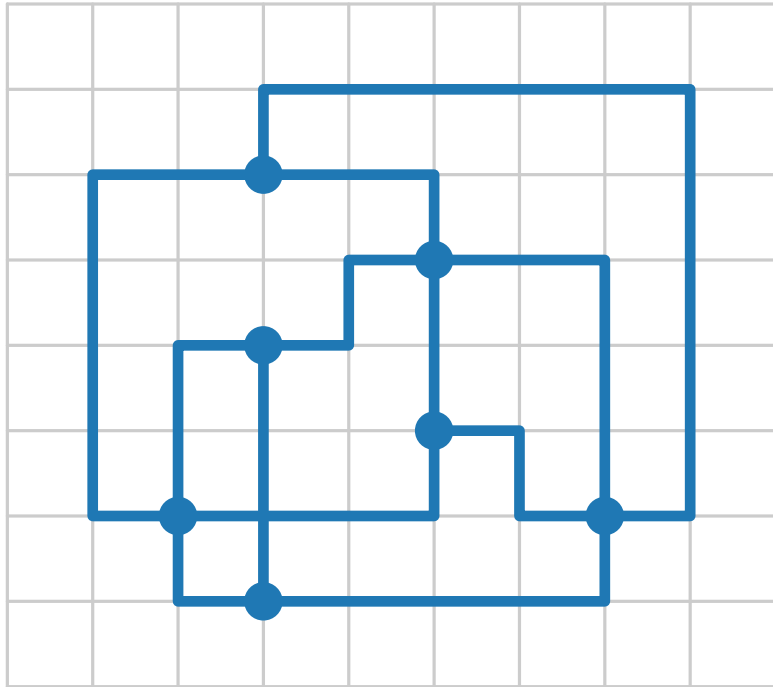


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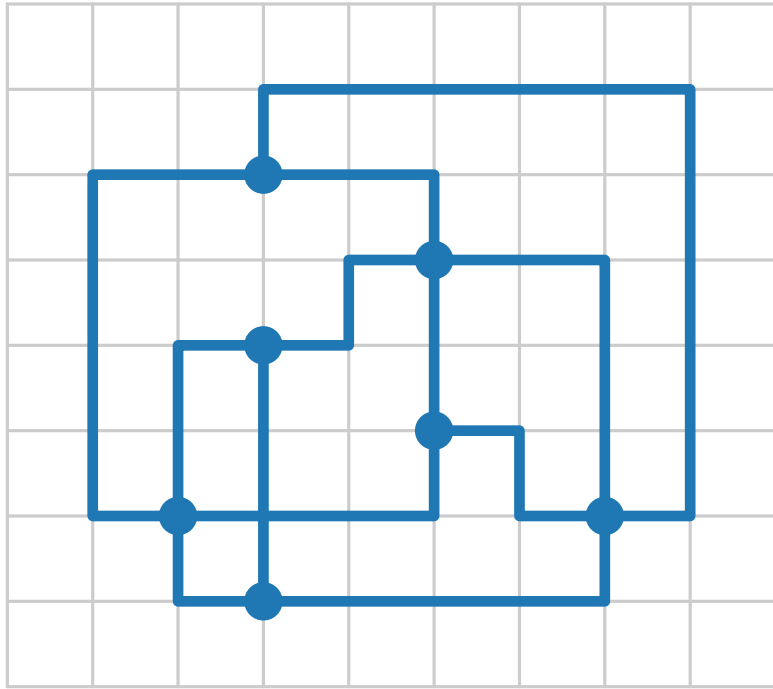
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- Edges lie on grid  $\Rightarrow$  **bends** lie on grid points
- Max degree of each vertex is at most 4

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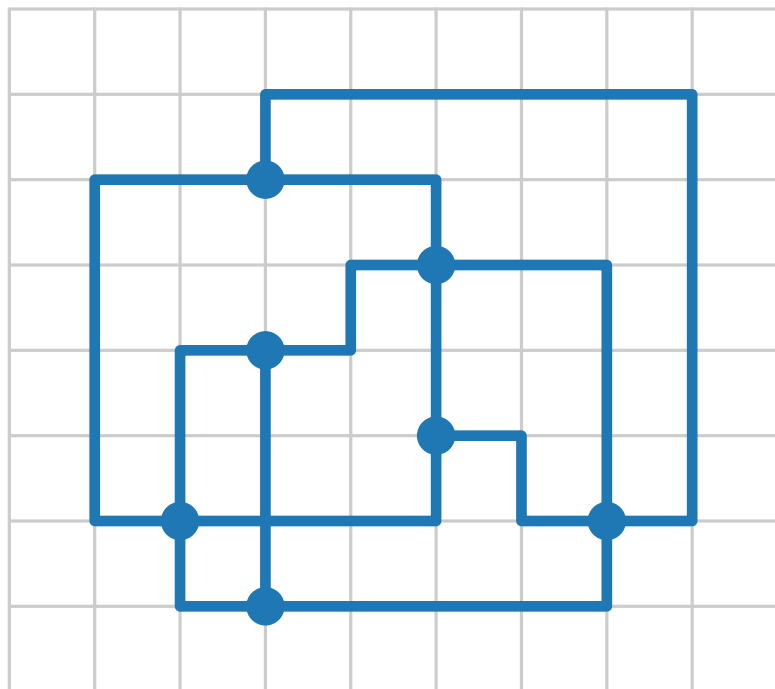
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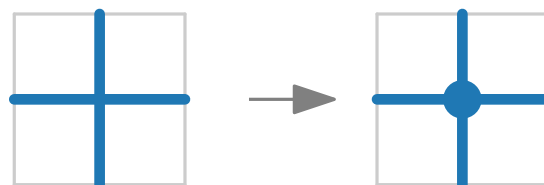
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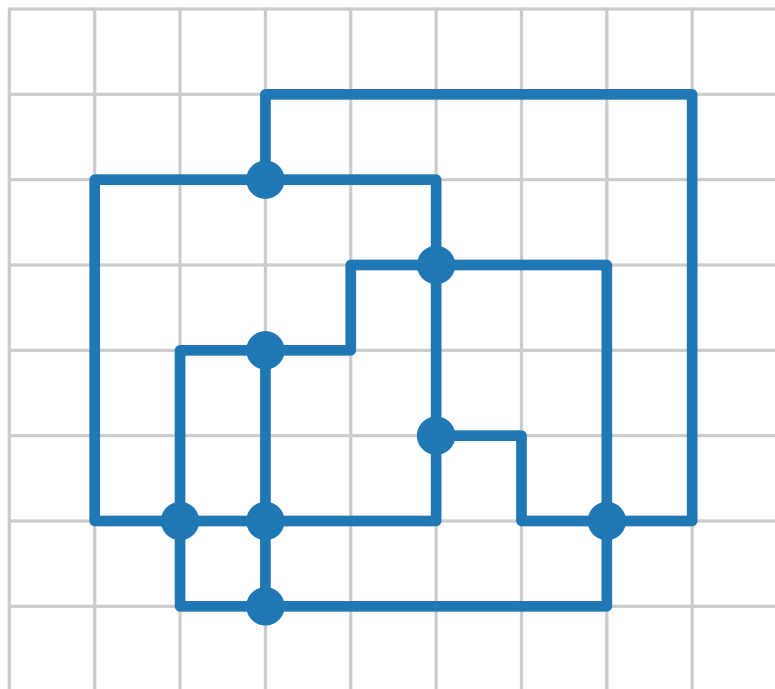
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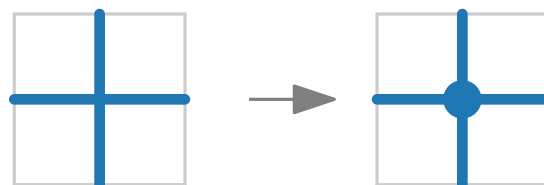
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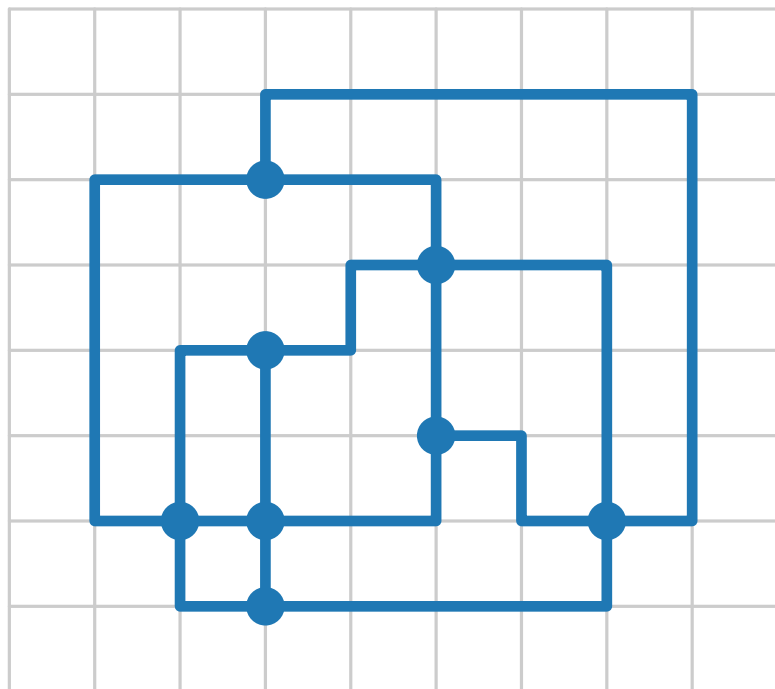
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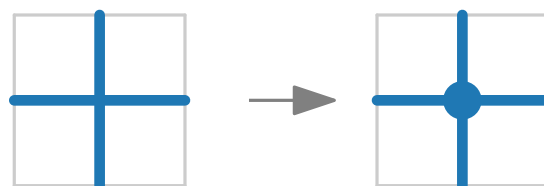
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## Aesthetic criteria.

- Number of bends
- Length of edges
- Width, height, area
- Monotonicity of edges
- ...

# Topology - Shape - Metrics

Three-step approach:

[Tam87]

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$

# Topology - Shape - Metrics

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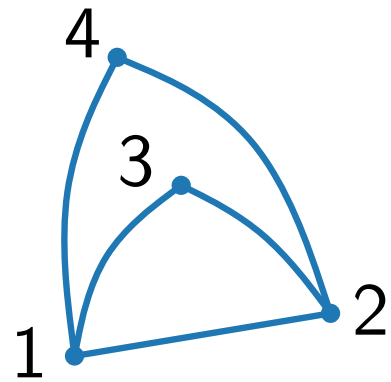
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reduce  
crossings

combinatorial  
embedding/  
planarisation



# Topology - Shape - Metrics

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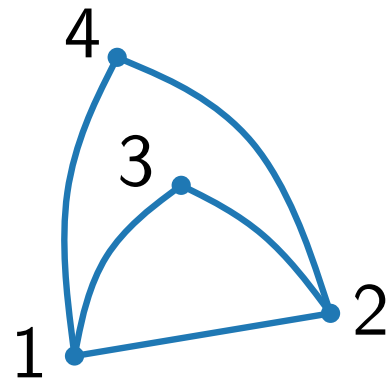
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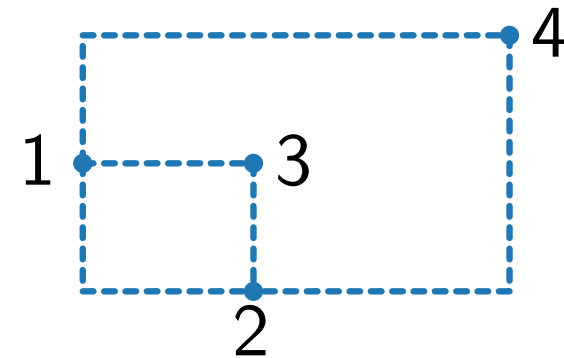
reduce  
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combinatorial  
embedding/  
planarisation



bend minimisation

orthogonal  
representation



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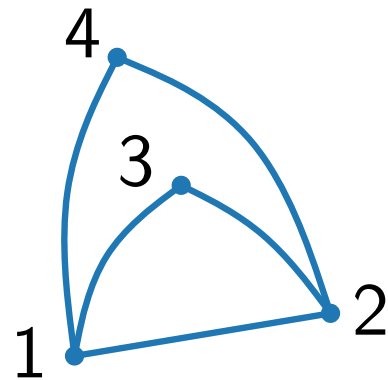
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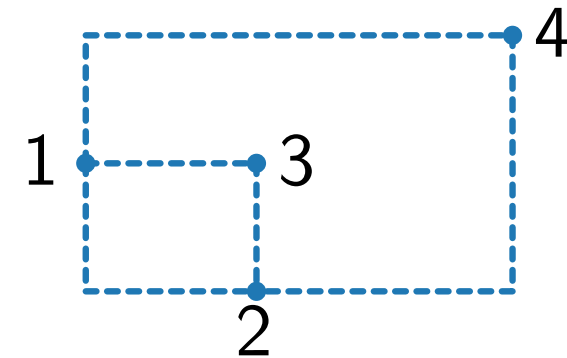
reduce  
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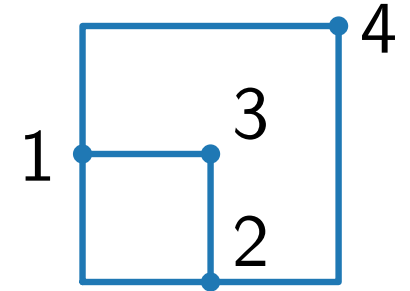
bend minimisation

orthogonal  
representation



planar  
orthogonal  
drawing

area mini-  
misation



# Orthogonal representation

## Idea.

Describe orthogonal drawing combinatorially.

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Let  $G = (V, E)$  be a plane graph with faces  $F$  and outer face  $f_0$ .



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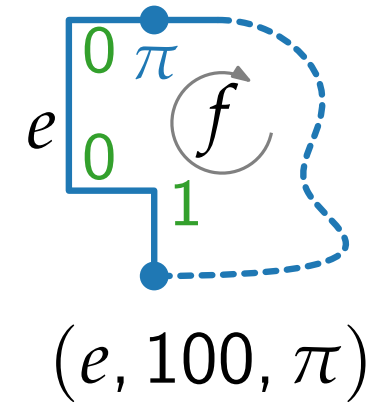
## Definitions.

Let  $G = (V, E)$  be a plane graph with faces  $F$  and outer face  $f_0$ .

- Let  $e$  be an edge with the face  $f$  to the right.

An **edge description** of  $e$  wrt  $f$  is a triple  $(e, \delta, \alpha)$  where

- $\delta$  is a sequence of  $\{0, 1\}^*$  ( $0 =$  right bend,  $1 =$  left bend)
- $\alpha$  is angle  $\in \{\frac{\pi}{2}, \pi, \frac{3\pi}{2}, 2\pi\}$  between  $e$  and next edge  $e'$



# Orthogonal representation

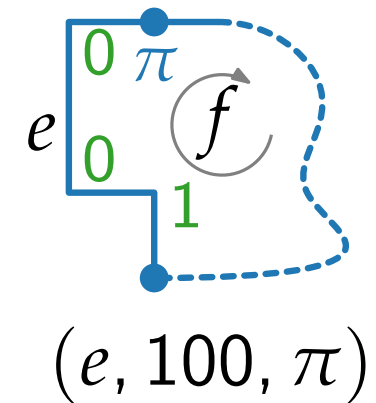
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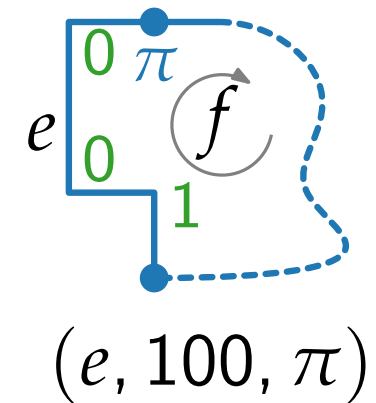
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- An **orthogonal representation**  $H(G)$  of  $G$  is defined as

$$H(G) = \{H(f) \mid f \in F\}.$$

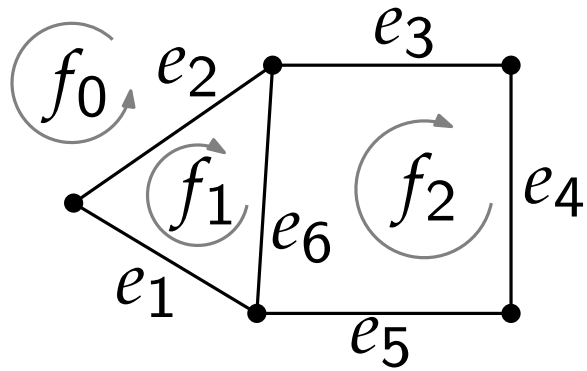


# Orthogonal representation – example

$$H(f_0) = ((e_1, 11, \frac{\pi}{2}), (e_5, 111, \frac{3\pi}{2}), (e_4, \emptyset, \pi), (e_3, \emptyset, \pi), (e_2, \emptyset, \frac{\pi}{2}))$$

$$H(f_1) = ((e_1, 00, \frac{3\pi}{2}), (e_2, \emptyset, \frac{\pi}{2}), (e_6, 00, \pi))$$

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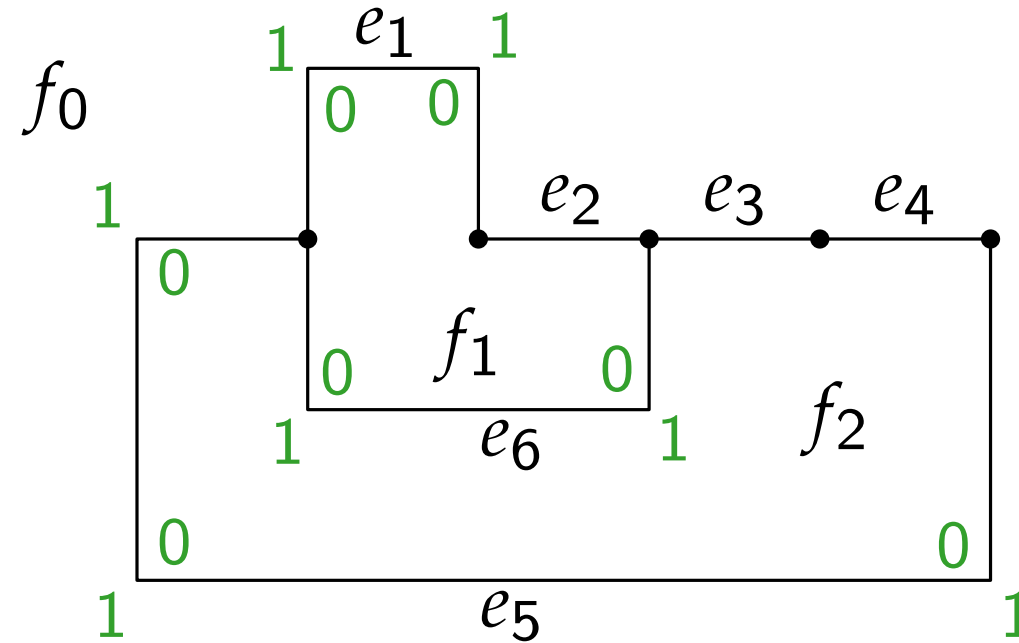
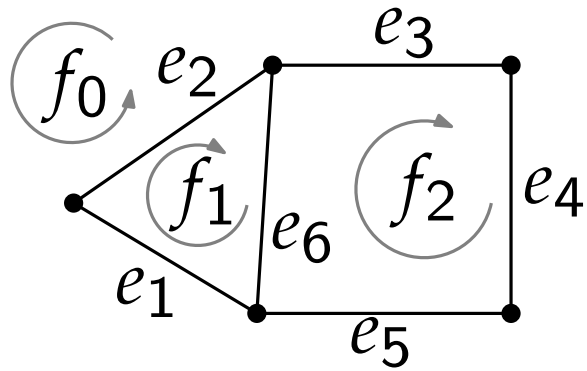
Combinatorial “drawing” of  $H(G)$ ?

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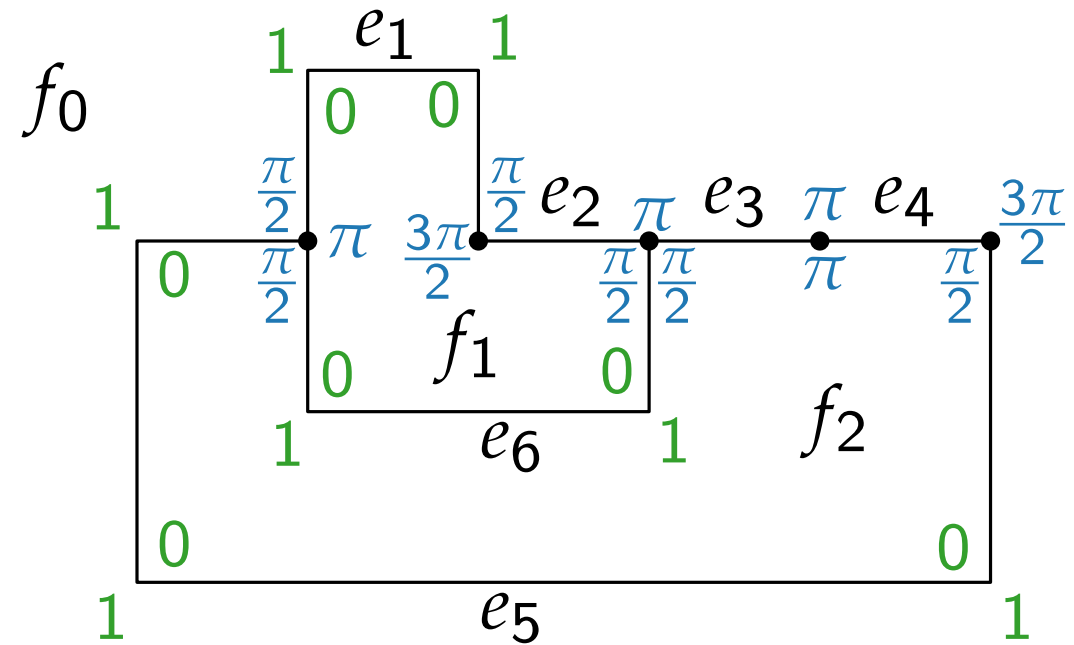
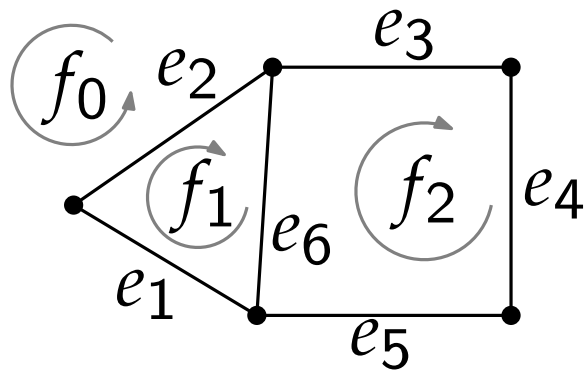


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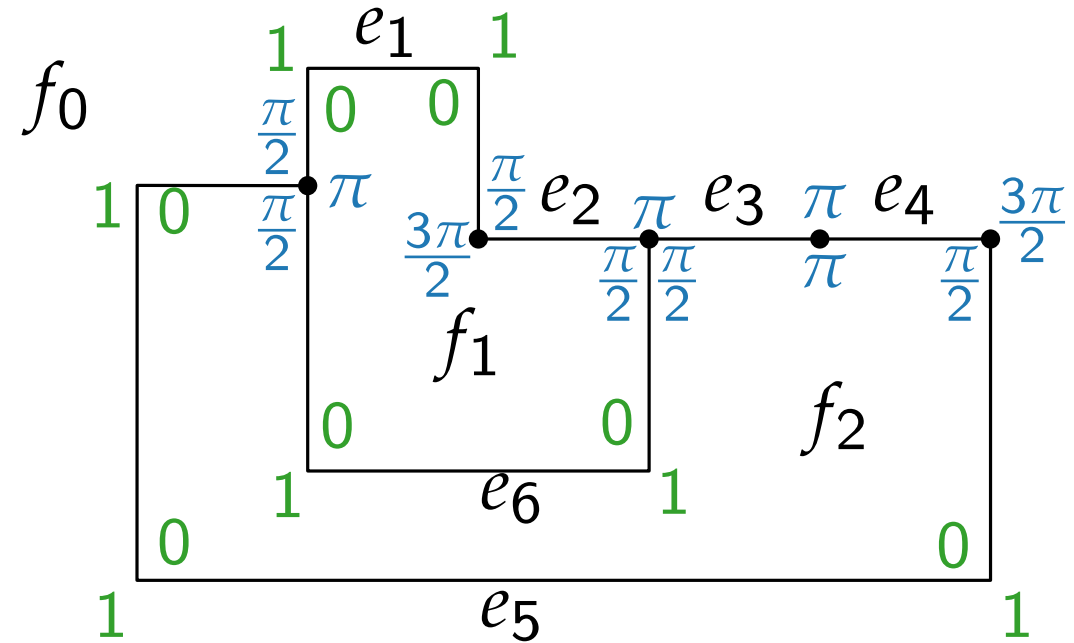
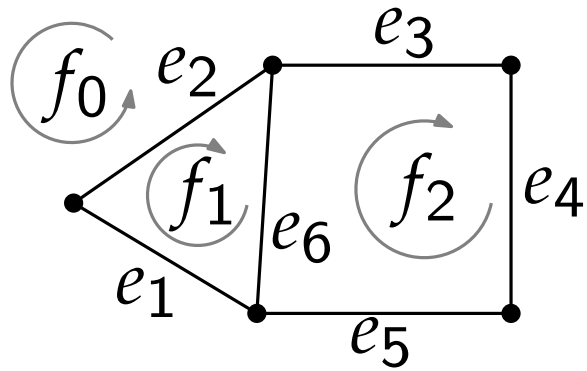


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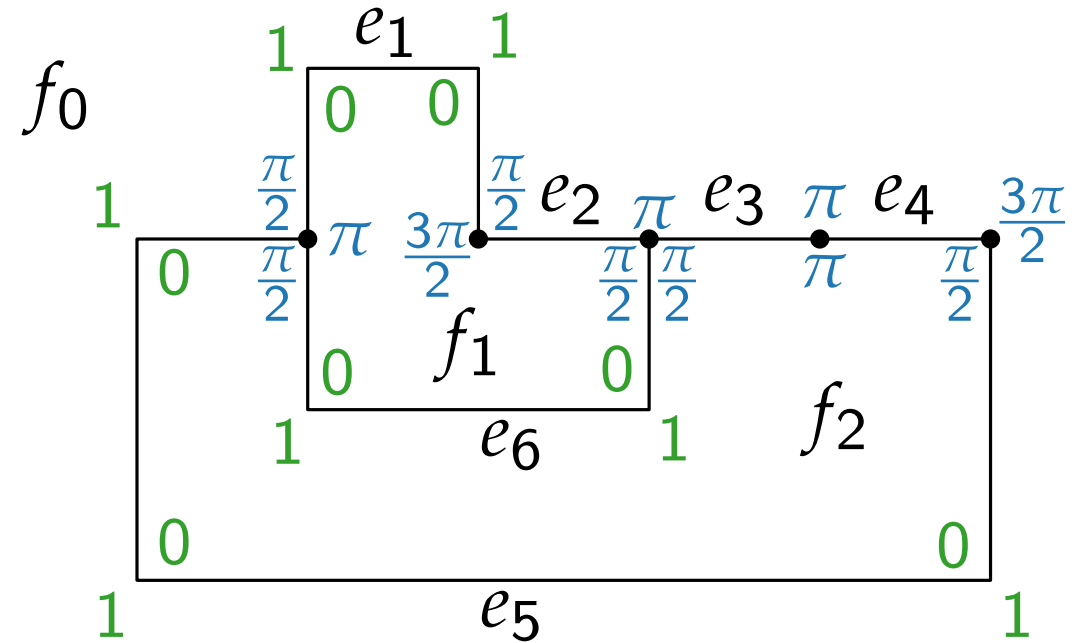
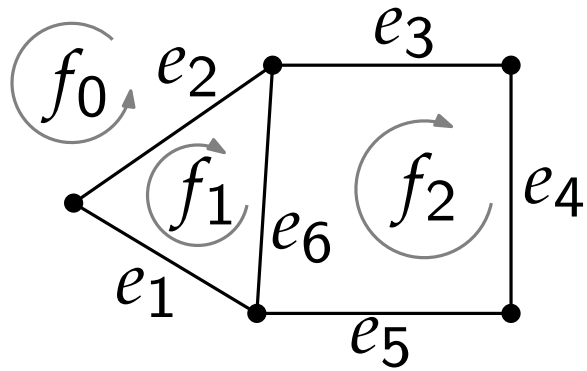


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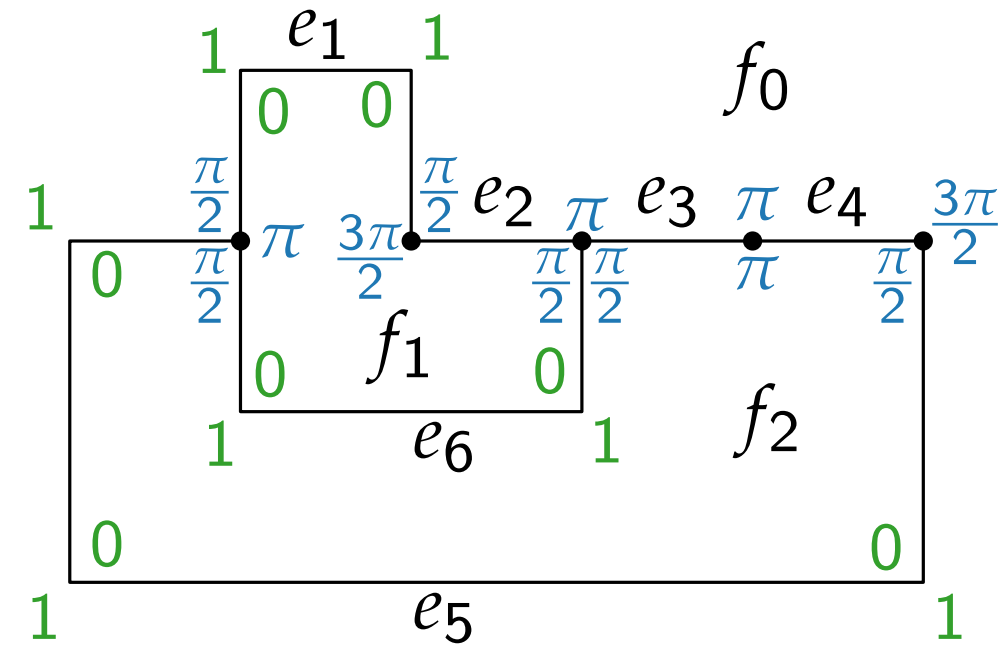


Concrete coordinates are not fixed yet!



# Correctness of an orthogonal representation

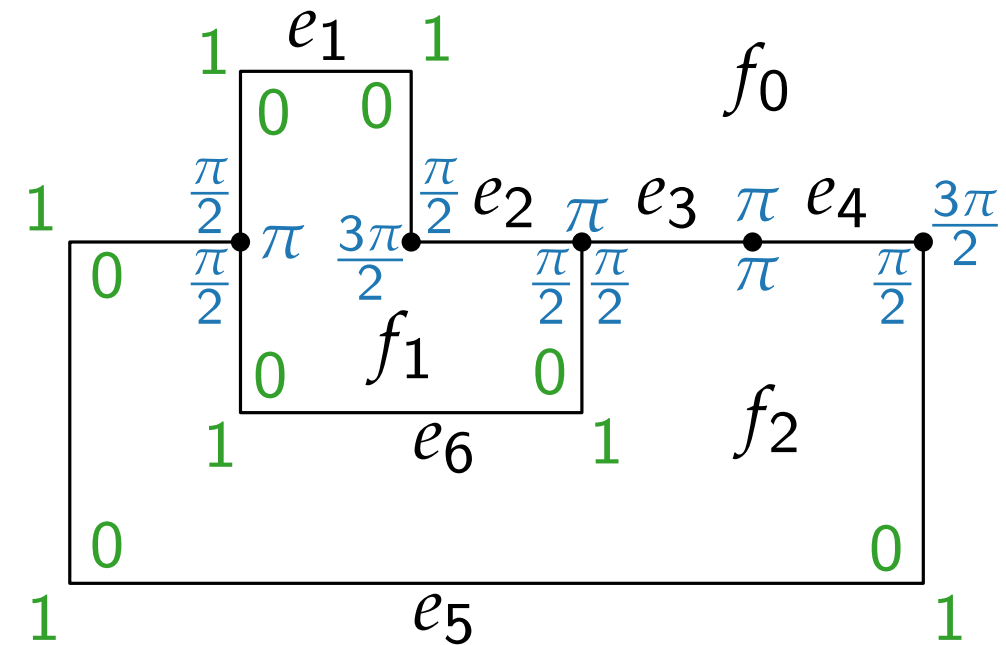
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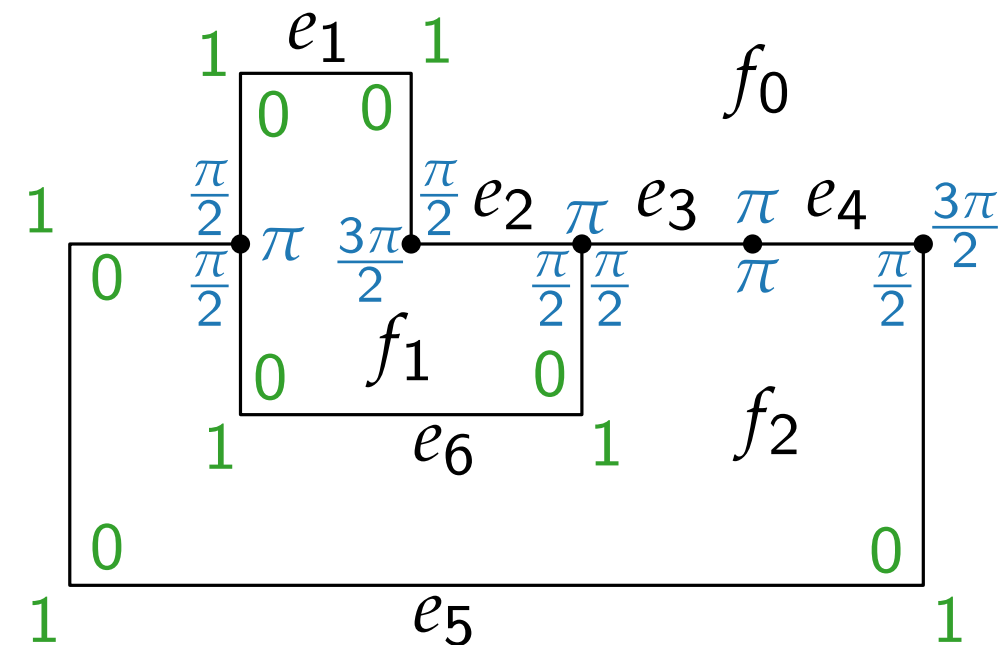
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For  $C(r) := |\delta|_0 - |\delta|_1 + 2 - 2\alpha/\pi$  it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$



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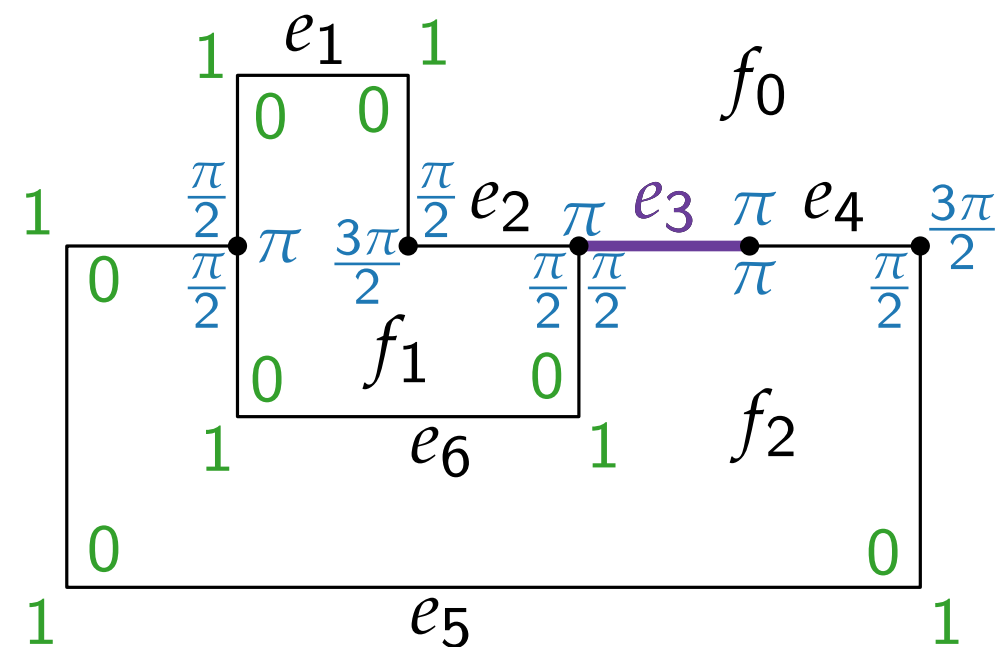
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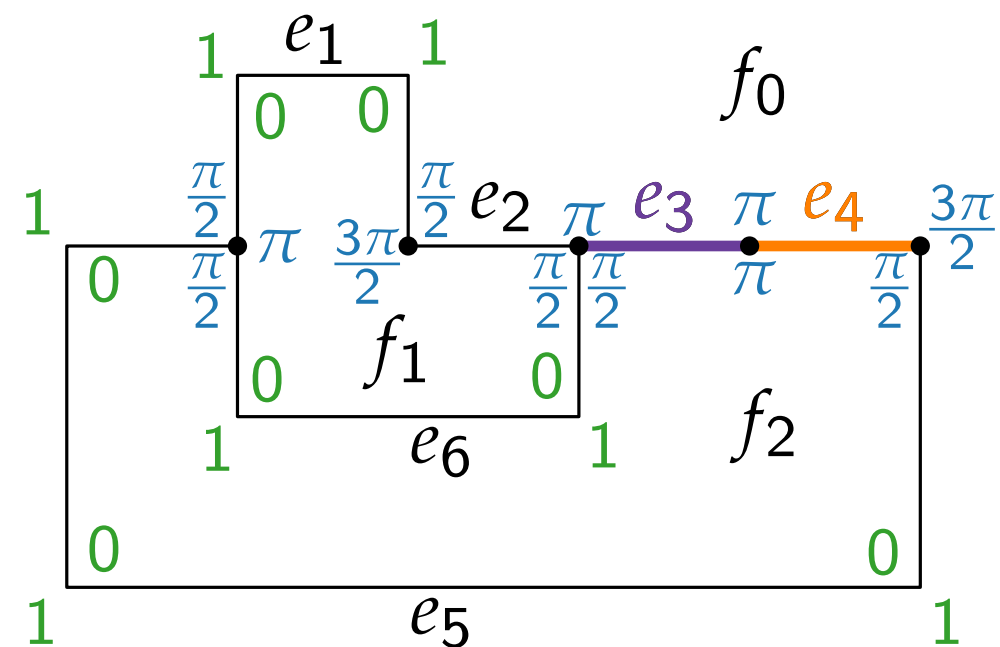
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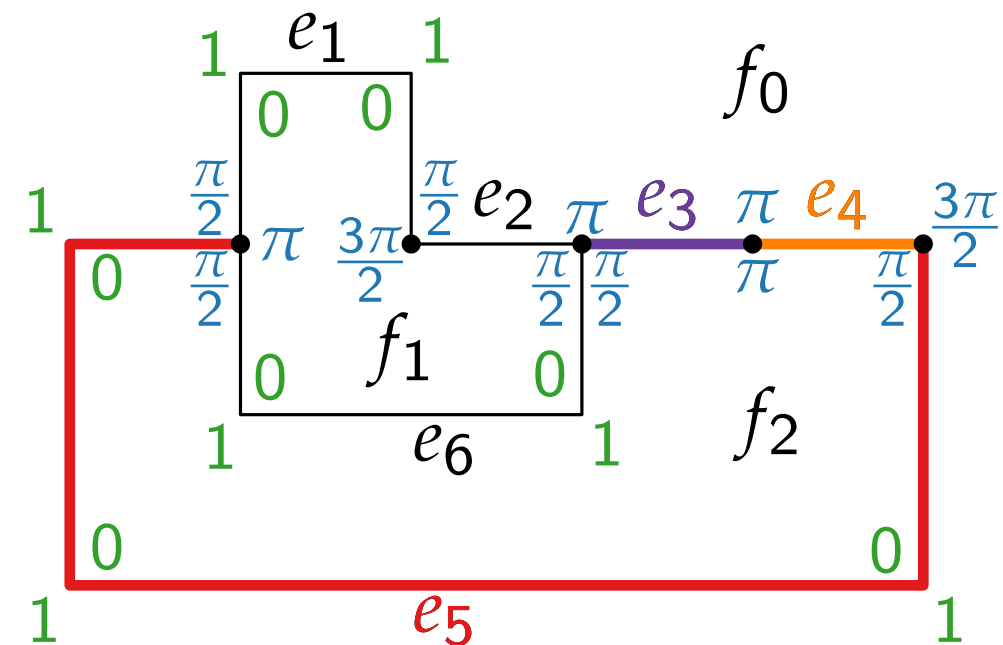
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$$C(e_5) = 3 - 0 + 2 - \frac{2\pi}{2\pi} = 4$$

# Correctness of an orthogonal representation

(H1)  $H(G)$  corresponds to  $F, f_0$ .

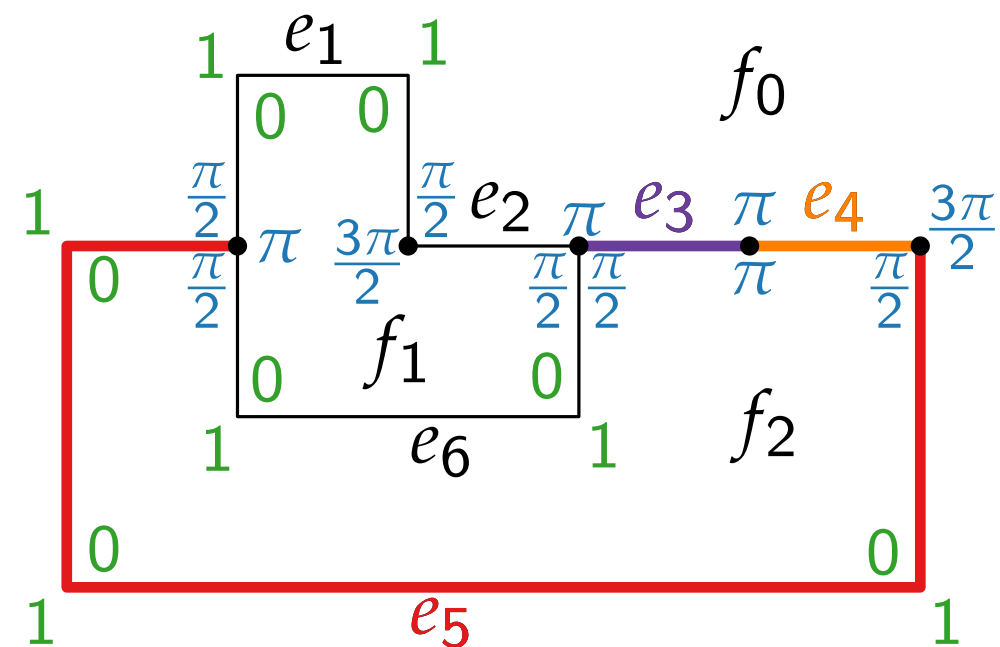
(H2) For an edge  $\{u, v\}$  shared by faces  $f$  and  $g$  with  $((u, v), \delta_1, \alpha_1) \in H(f)$  and  $((v, u), \delta_2, \alpha_2) \in H(g)$  sequence  $\delta_1$  is reversed and inverted  $\delta_2$ .

(H3) Let  $|\delta|_0$  (resp.  $|\delta|_1$ ) be the number of zeros (resp. ones) in  $\delta$  and  $r = (e, \delta, \alpha)$ .

For  $C(r) := |\delta|_0 - |\delta|_1 + 2 - 2\alpha/\pi$  it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$

(H4) For each vertex  $v$  the sum of incident angles is  $2\pi$ .



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# Bend minimisation with given embedding

## Geometric bend minimisation.

- Given:
- Plane graph  $G = (V, E)$  with maximum degree 4
  - Combinatorial embedding  $F$  and outer face  $f_0$
- Find: Orthogonal drawing with minimum number of bends that preserves the embedding.



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Compare with the following variation.

## Combinatorial bend minimisation.

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## Idea.

Formulate as a network flow problem:

- a unit of flow =  $\sphericalangle \frac{\pi}{2}$
- vertices  $\xrightarrow{\sphericalangle}$  faces ( $\# \sphericalangle \frac{\pi}{2}$  per face)
- faces  $\xrightarrow{\sphericalangle}$  neighbouring faces ( $\#$  bends toward the neighbour)

# Reminder: $s$ - $t$ flow network

**Flow network**  $(D = (V, A); s, t; u)$  with

- directed graph  $D = (V, A)$
- edge *capacity*  $u: A \rightarrow \mathbb{R}_0^+$
- *source*  $s \in V$ , *sink*  $t \in V$

A function  $X: A \rightarrow \mathbb{R}_0^+$  is called  **$s$ - $t$ -flow**, if:

$$0 \leq X(i, j) \leq u(i, j) \quad \forall (i, j) \in A \quad (1)$$

$$\sum_{(i,j) \in A} X(i, j) - \sum_{(j,i) \in A} X(j, i) = 0 \quad \forall i \in V \setminus \{s, t\} \quad (2)$$

# Reminder: general flow network

**Flow network**  $(D = (V, A); \ell; u; b)$  with

- directed graph  $D = (V, A)$
- edge *lower bound*  $\ell: A \rightarrow \mathbb{R}_0^+$
- edge *capacity*  $u: A \rightarrow \mathbb{R}_0^+$
- node *production/consumption*  $b: V \rightarrow \mathbb{R}$  with  $\sum_{i \in V} b(i) = 0$

A function  $X: A \rightarrow \mathbb{R}_0^+$  is called **valid flow**, if:

$$\ell(i, j) \leq X(i, j) \leq u(i, j) \quad \forall (i, j) \in A \quad (3)$$

$$\sum_{(i,j) \in A} X(i, j) - \sum_{(j,i) \in A} X(j, i) = b(i) \quad \forall i \in V \quad (4)$$

# Problems for flow networks

## Valid flow problem.

Find a valid flow  $X: A \rightarrow \mathbb{R}_0^+$ , i.e., such that

- lower bounds  $\ell(e)$  and capacities  $u(e)$  are respected (inequalities (3)) and
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- *Cost function*  $\text{cost}: A \rightarrow \mathbb{R}_0^+$  and  
 $\text{cost}(X) := \sum_{(i,j) \in A} \text{cost}(i,j) \cdot X(i,j)$

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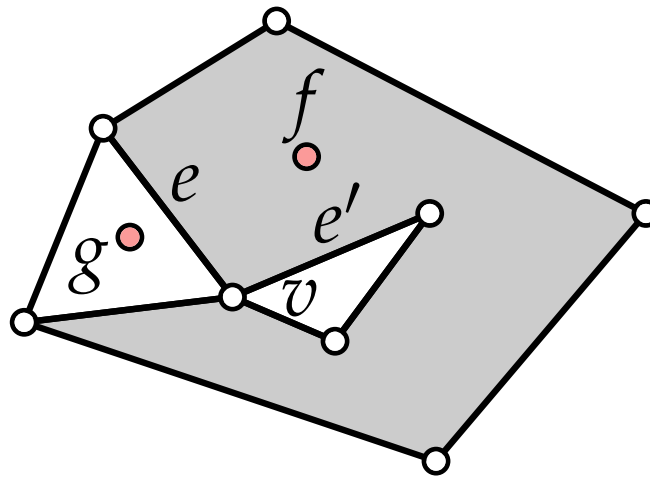
## Minimum cost flow problem.

Find a valid flow  $X: A \rightarrow \mathbb{R}_0^+$ , that minimises cost function  $\text{cost}(X)$  (over all valid flows).



# Flow network for bend minimisation

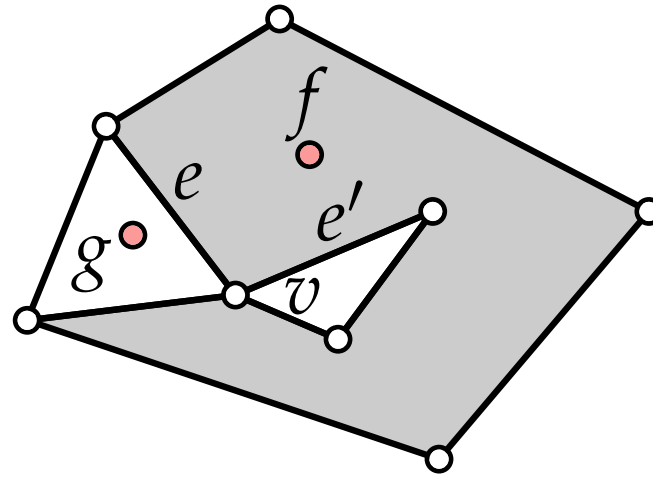
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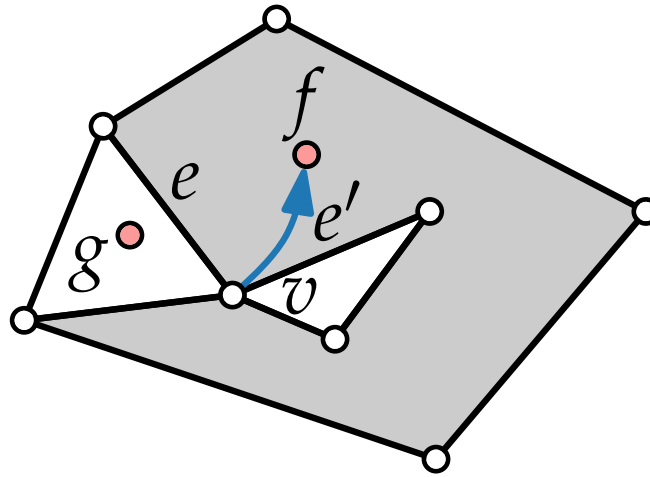
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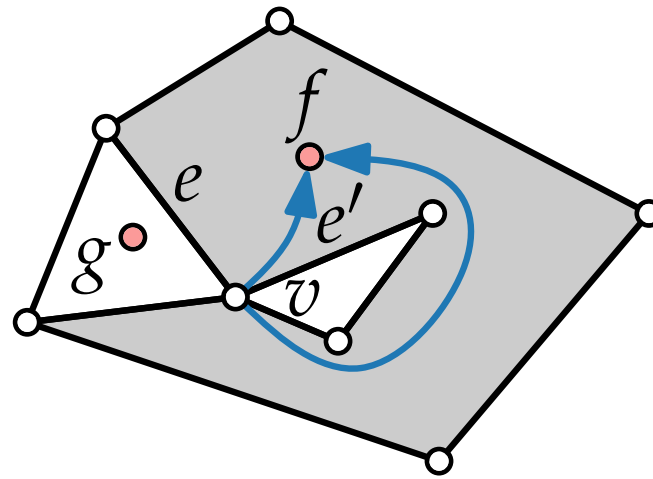
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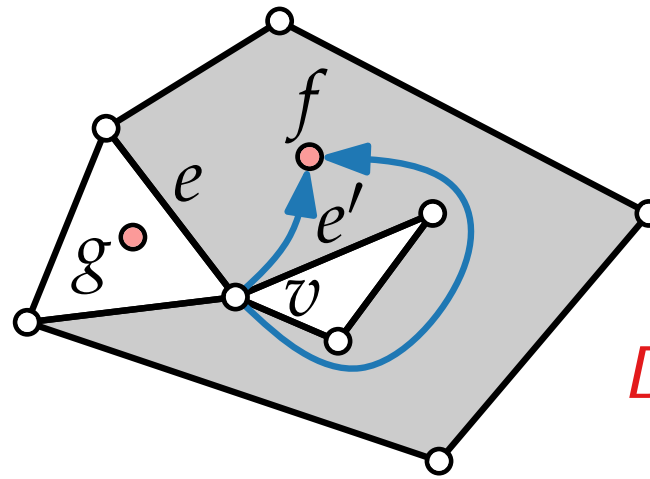
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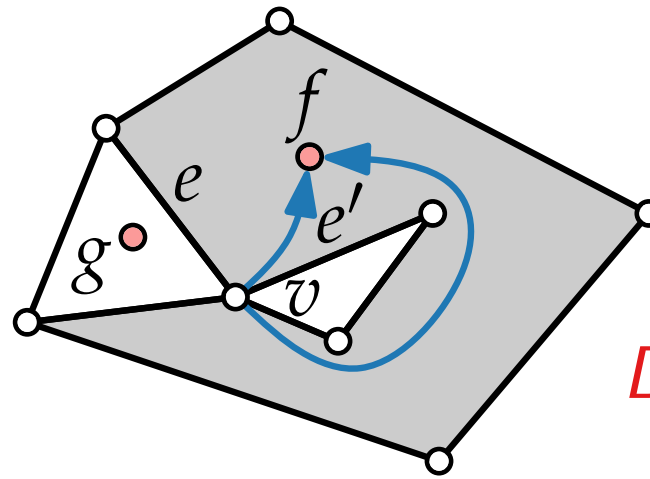


*Directed multigraph!*

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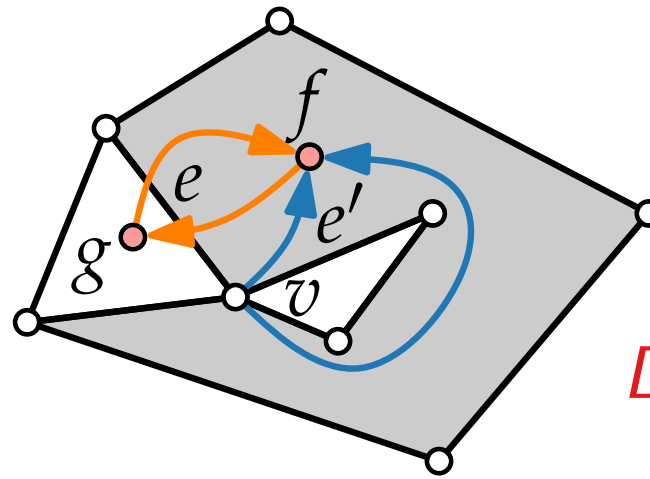


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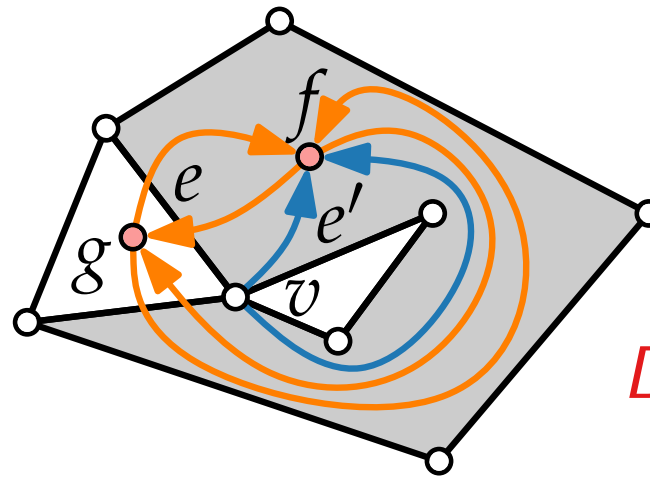


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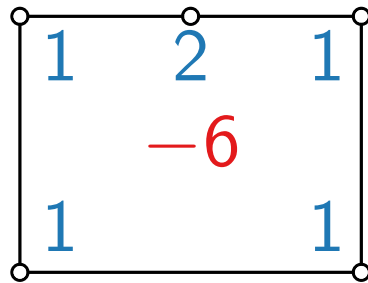
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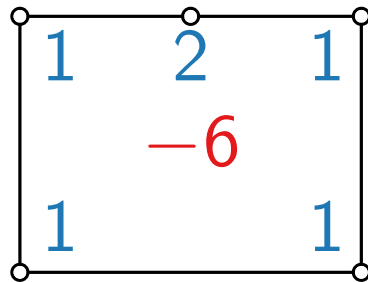
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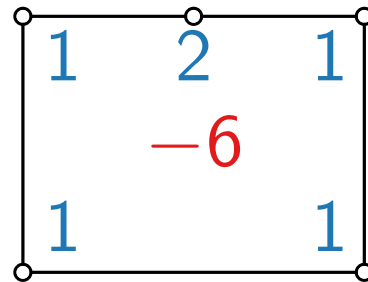
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$\forall (v, f) \in A, v \in V, f \in F$

$\ell(v, f) := 1 \leq X(v, f) \leq 4 =: u(v, f)$

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$\text{cost}(v, f) = 0$

$\forall (f, g) \in A, f, g \in F$

$\ell(f, g) := 0 \leq X(f, g) \leq \infty =: u(f, g)$

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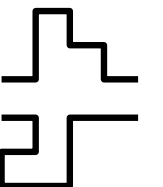
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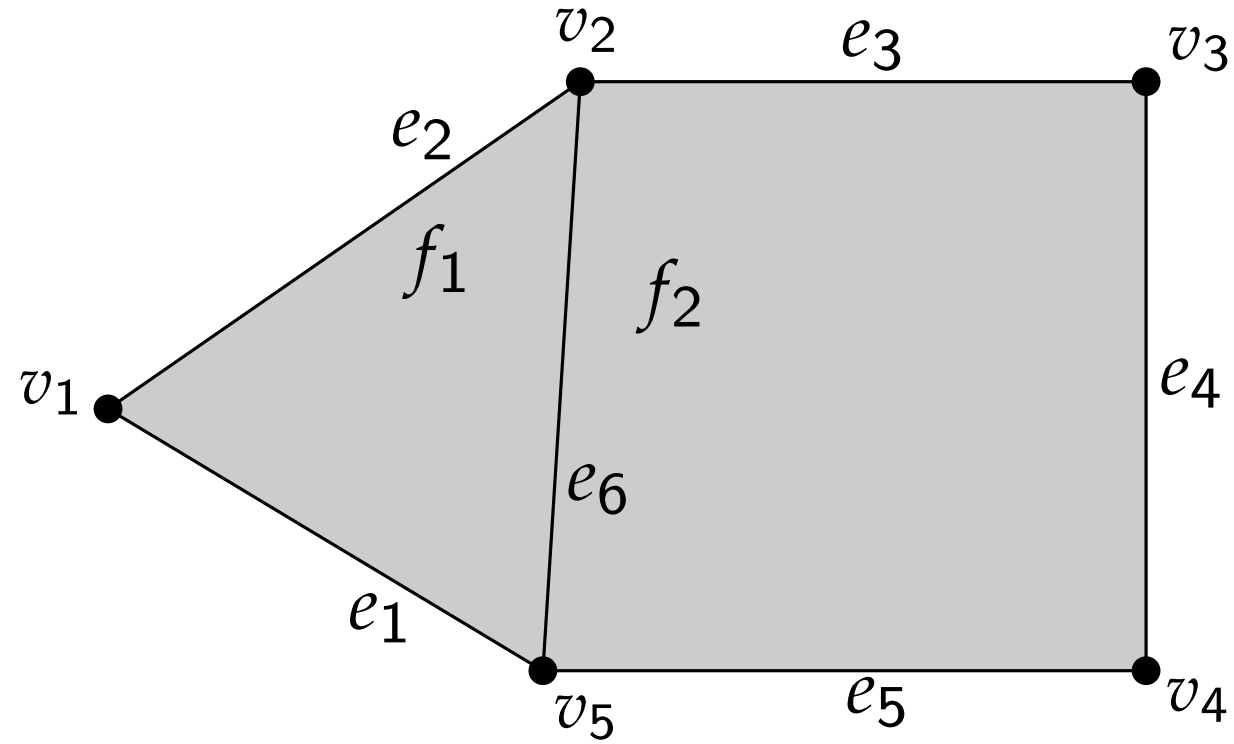
We model only the  
*number* of bends.  
Why is it enough?

→ Exercise

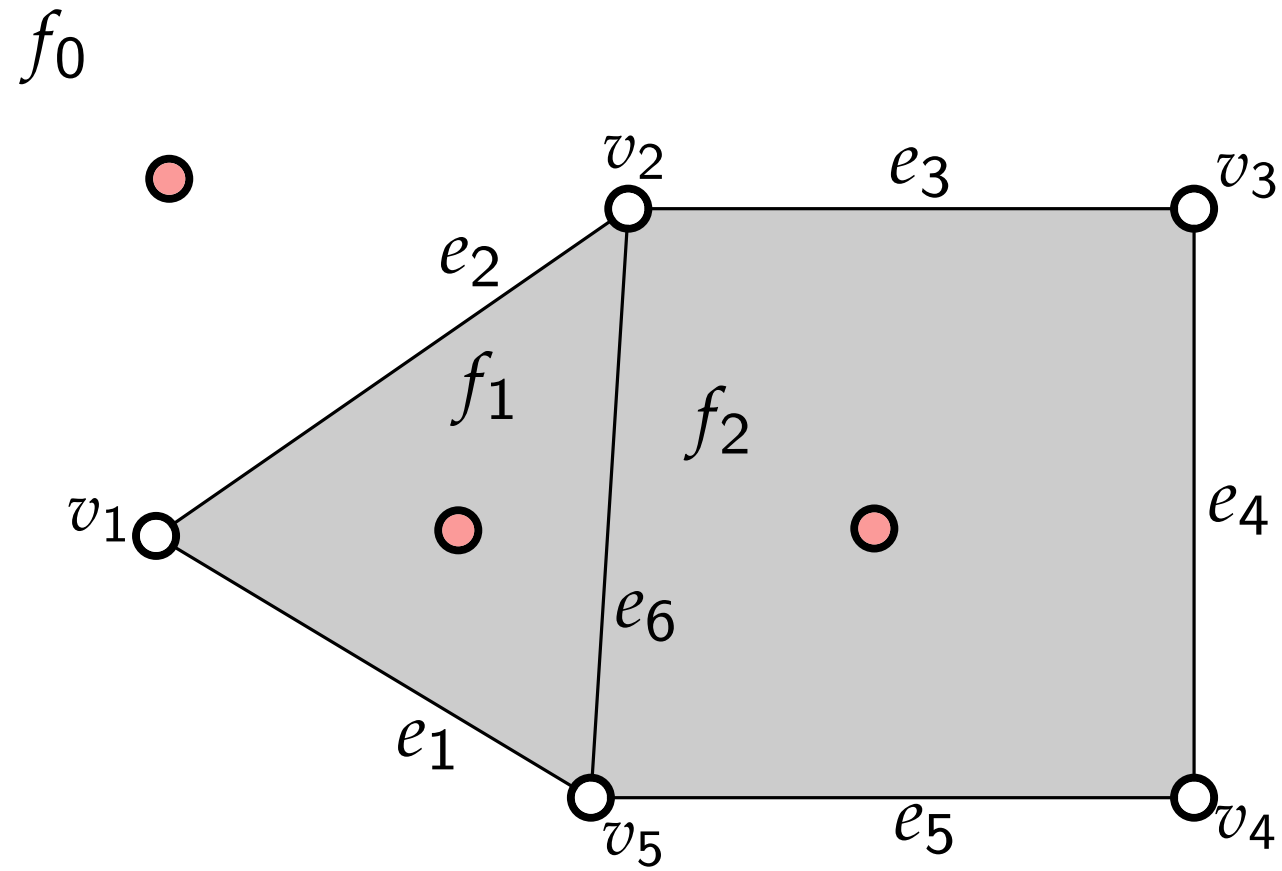




# Flow network example

 $f_0$ 

# Flow network example

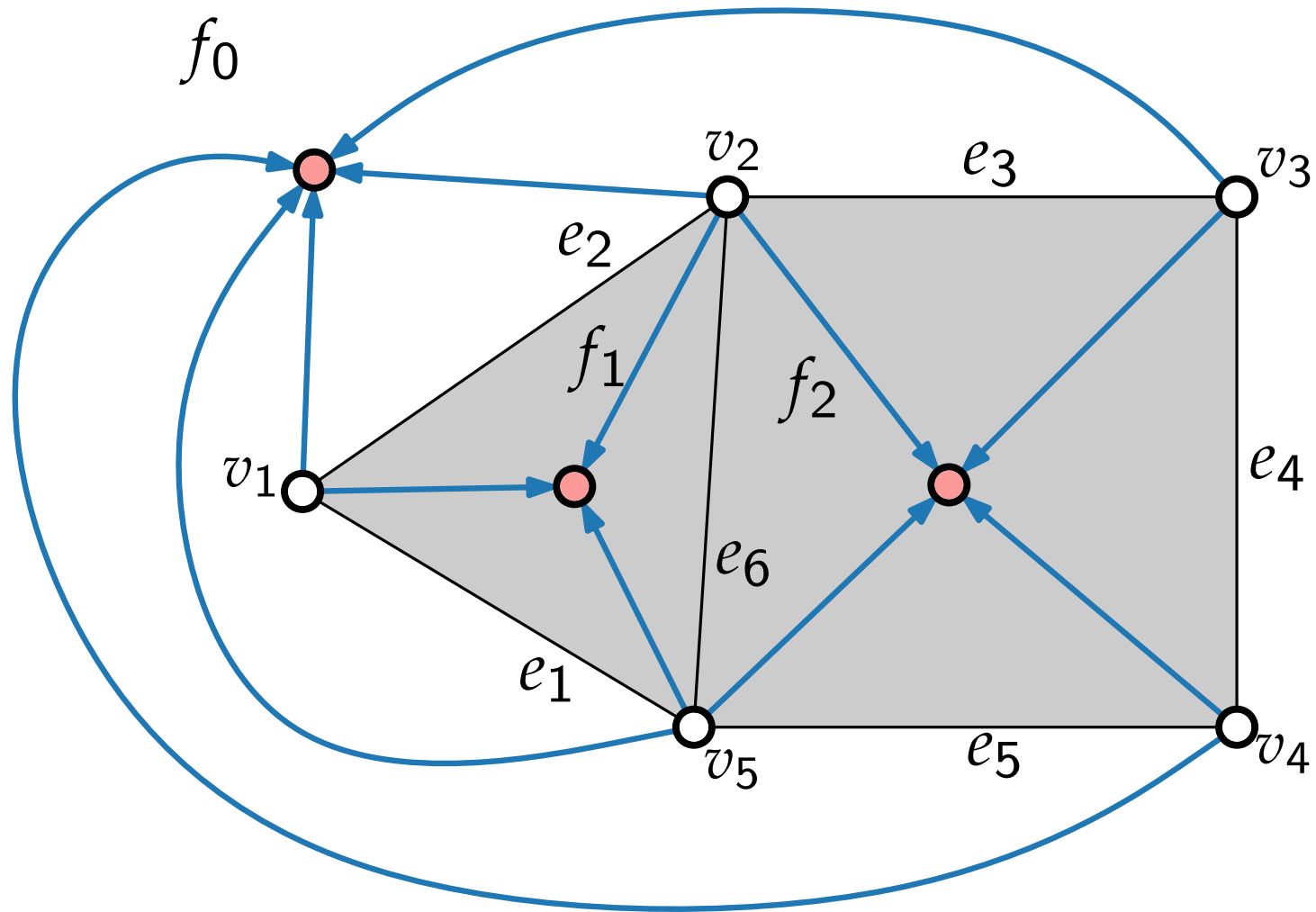


Legend

$V$  ○

$F$  ●

# Flow network example



Legend

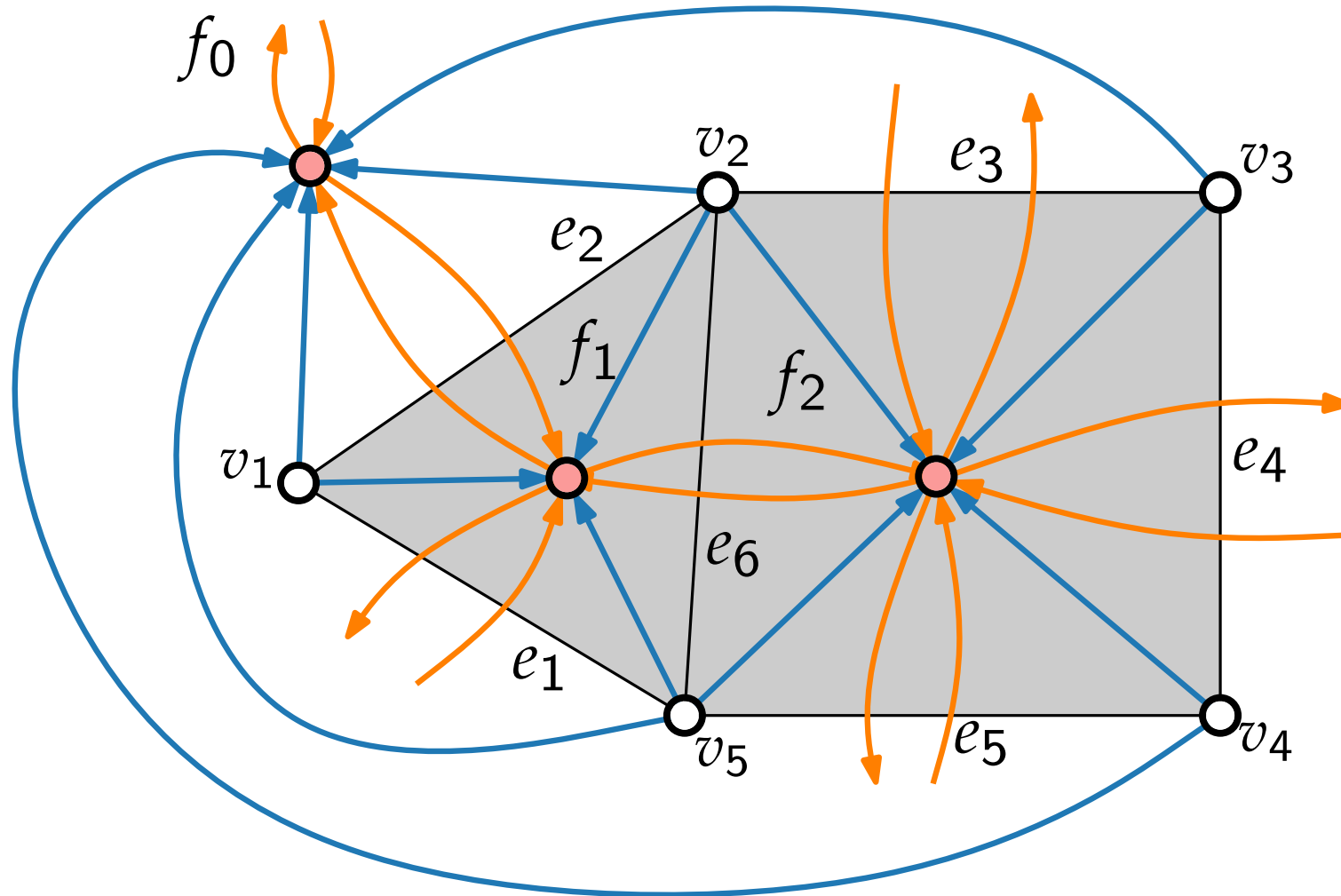
$V$  ○

$F$  ●

$l/u/cost$

$V \times F \supseteq \xrightarrow{1/4/0}$

# Flow network example



## Legend

$V$  ○

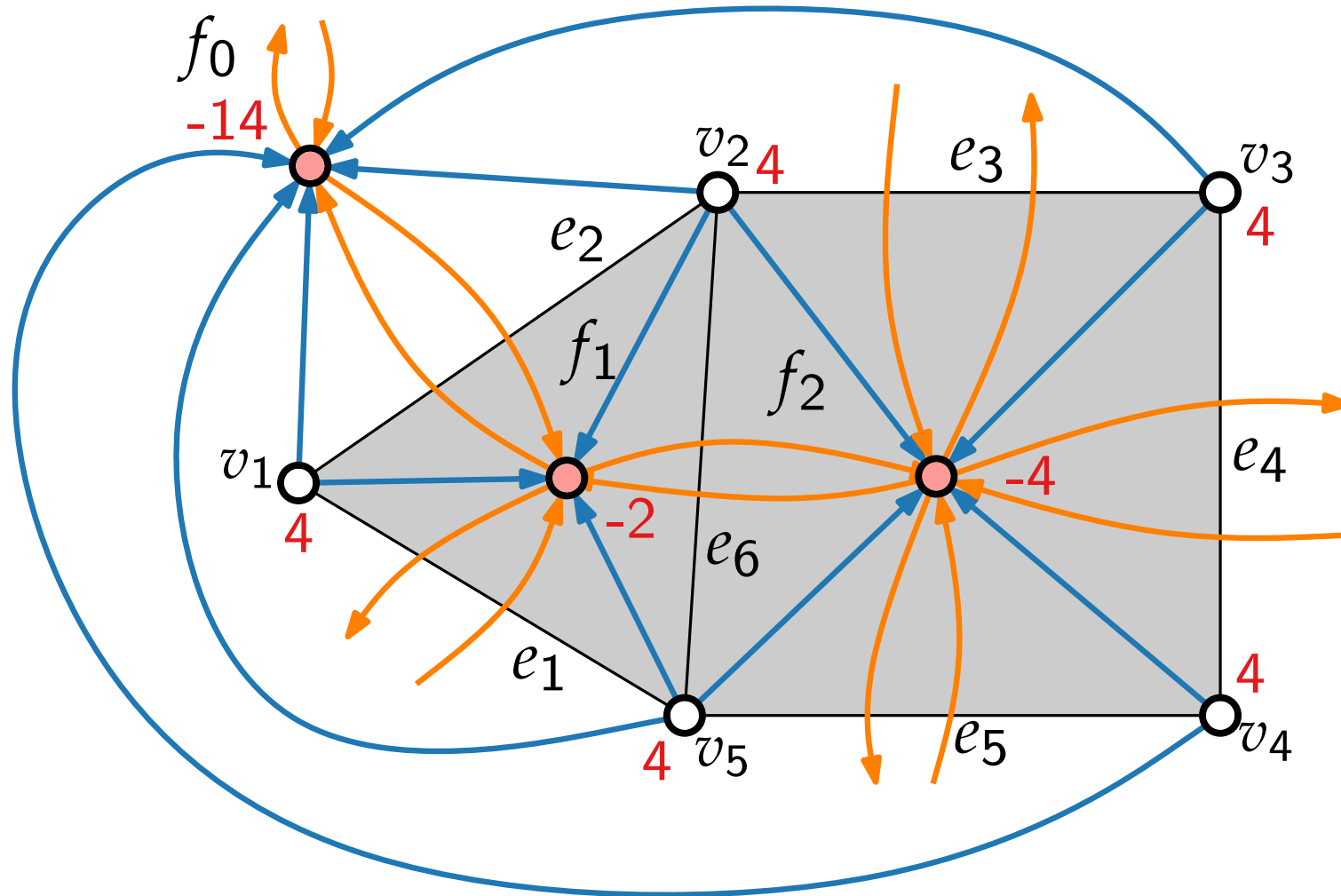
$F$  ●

$\ell/u/\text{cost}$

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# Flow network example



## Legend

$V$  ○

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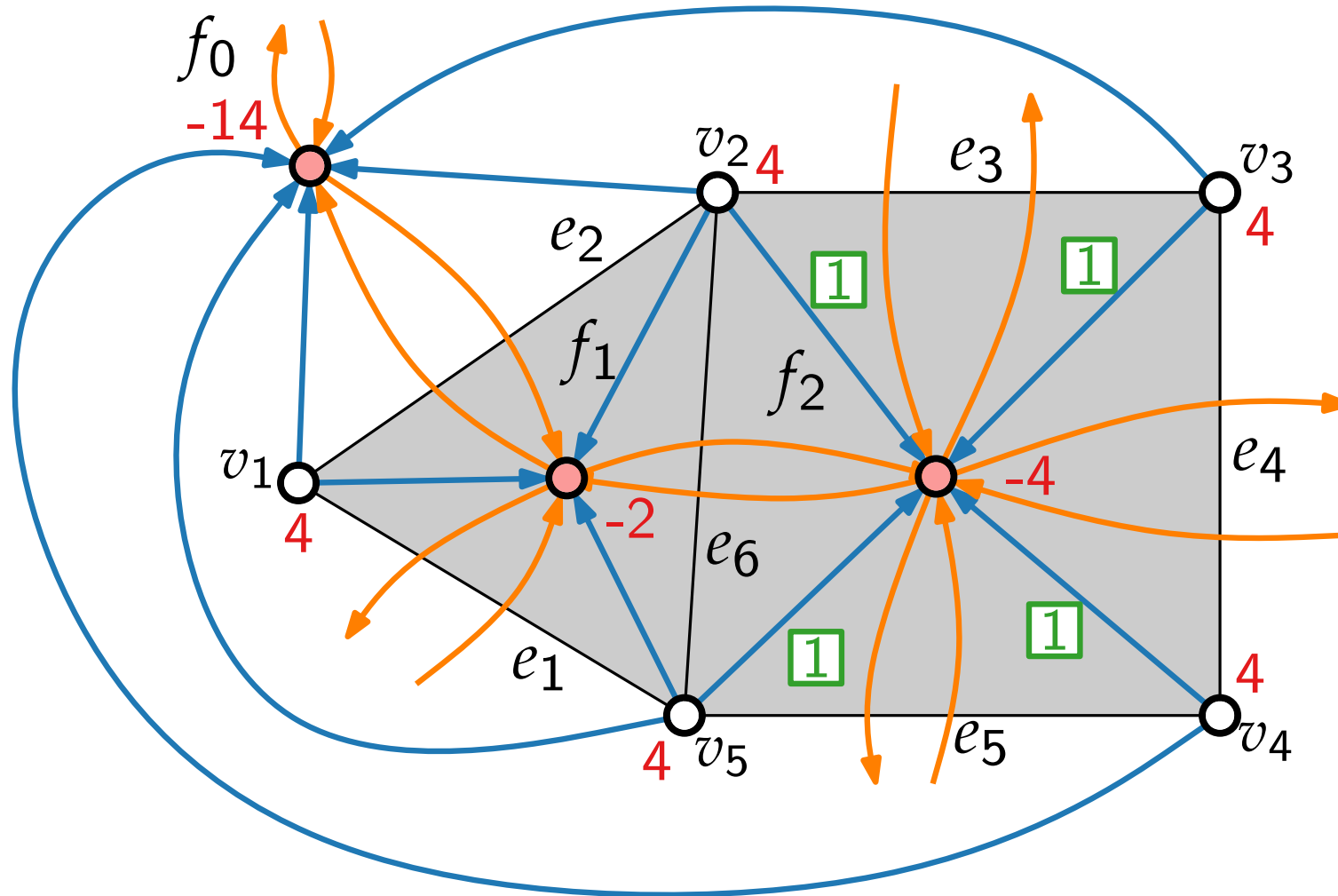
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$4 = b\text{-value}$

# Flow network example



## Legend

$V$  ○

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$l/u/cost$

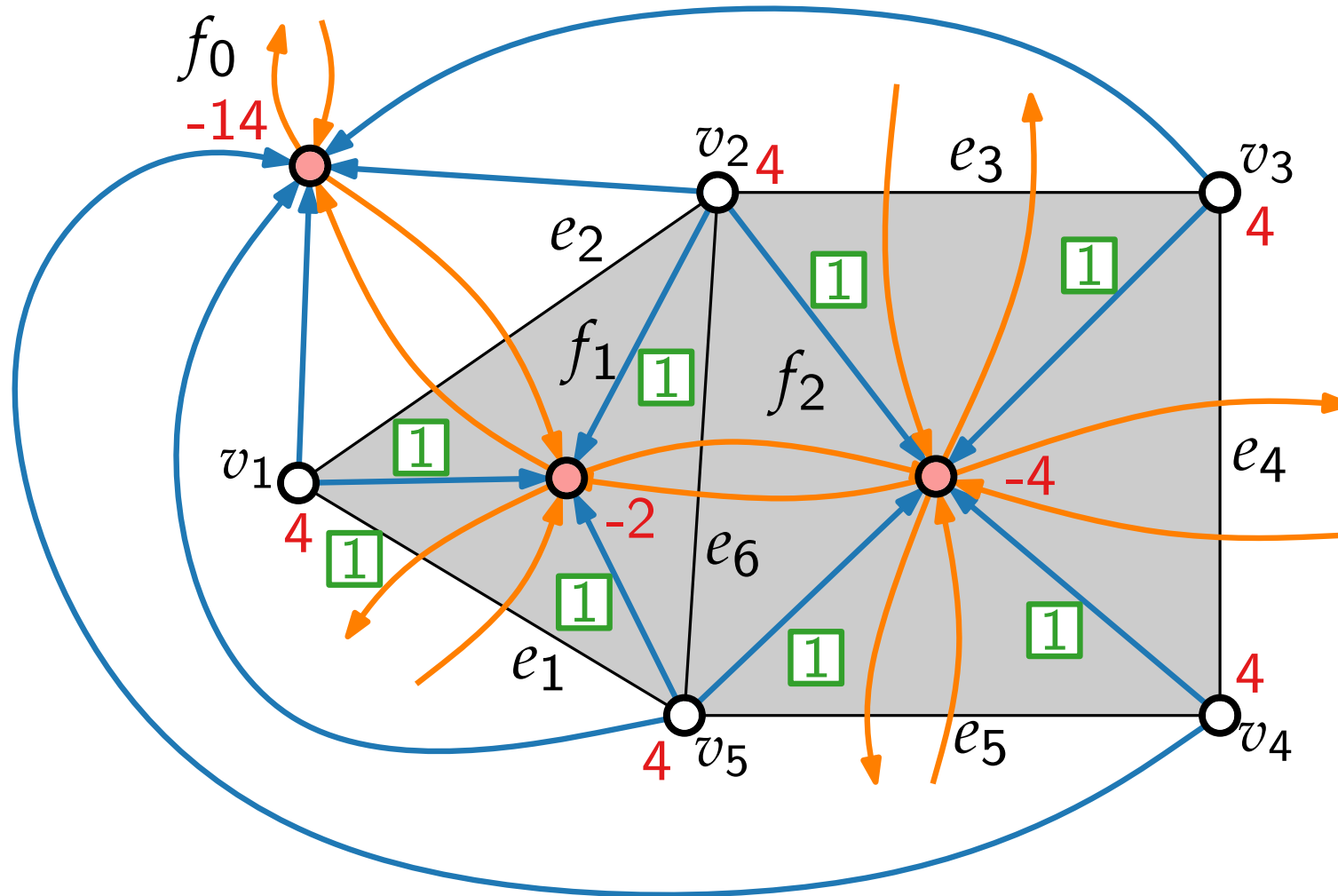
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4 =  $b$ -value

3 flow

# Flow network example



## Legend

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$F$  ●

$l/u/cost$

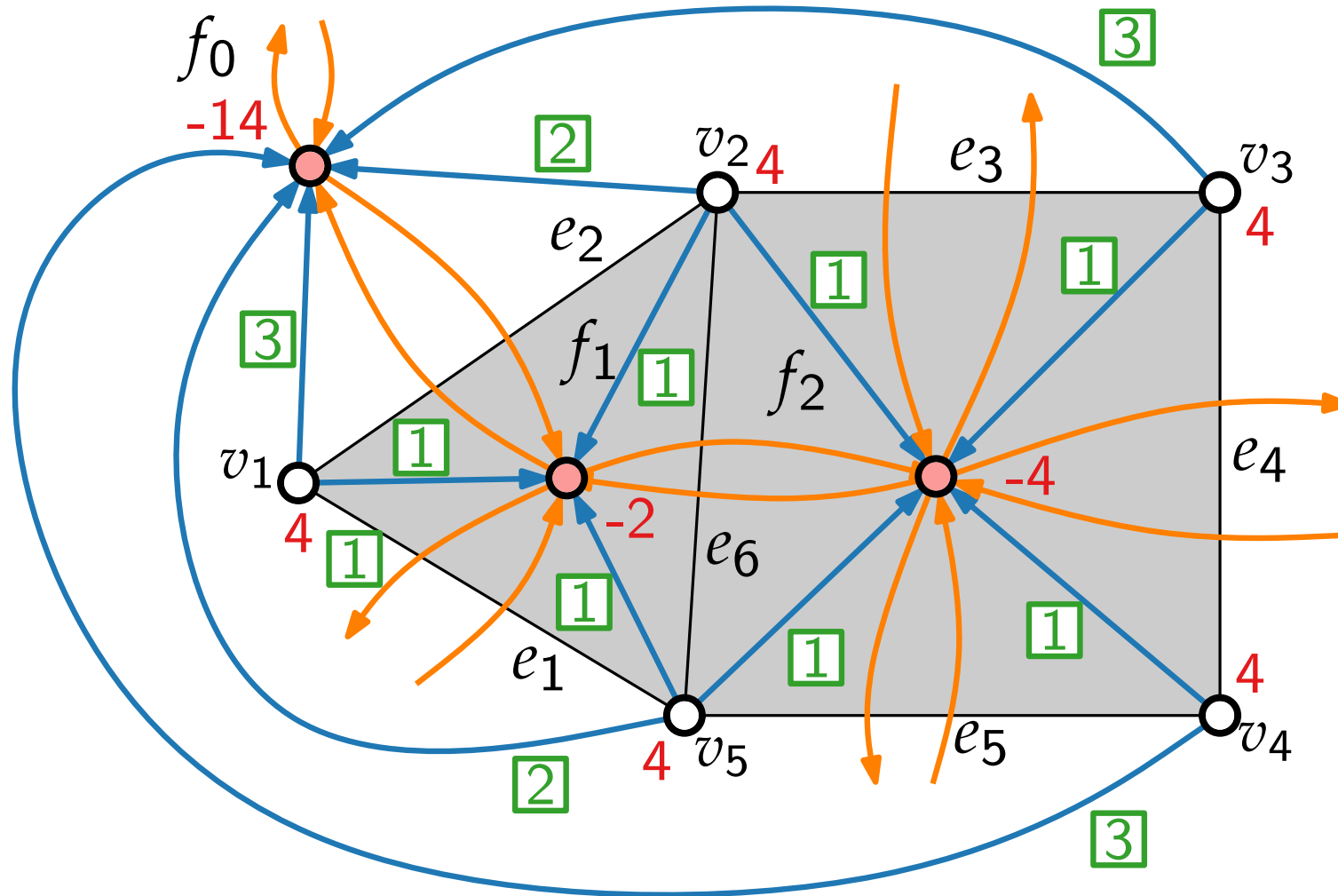
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## Legend

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$F$  ●

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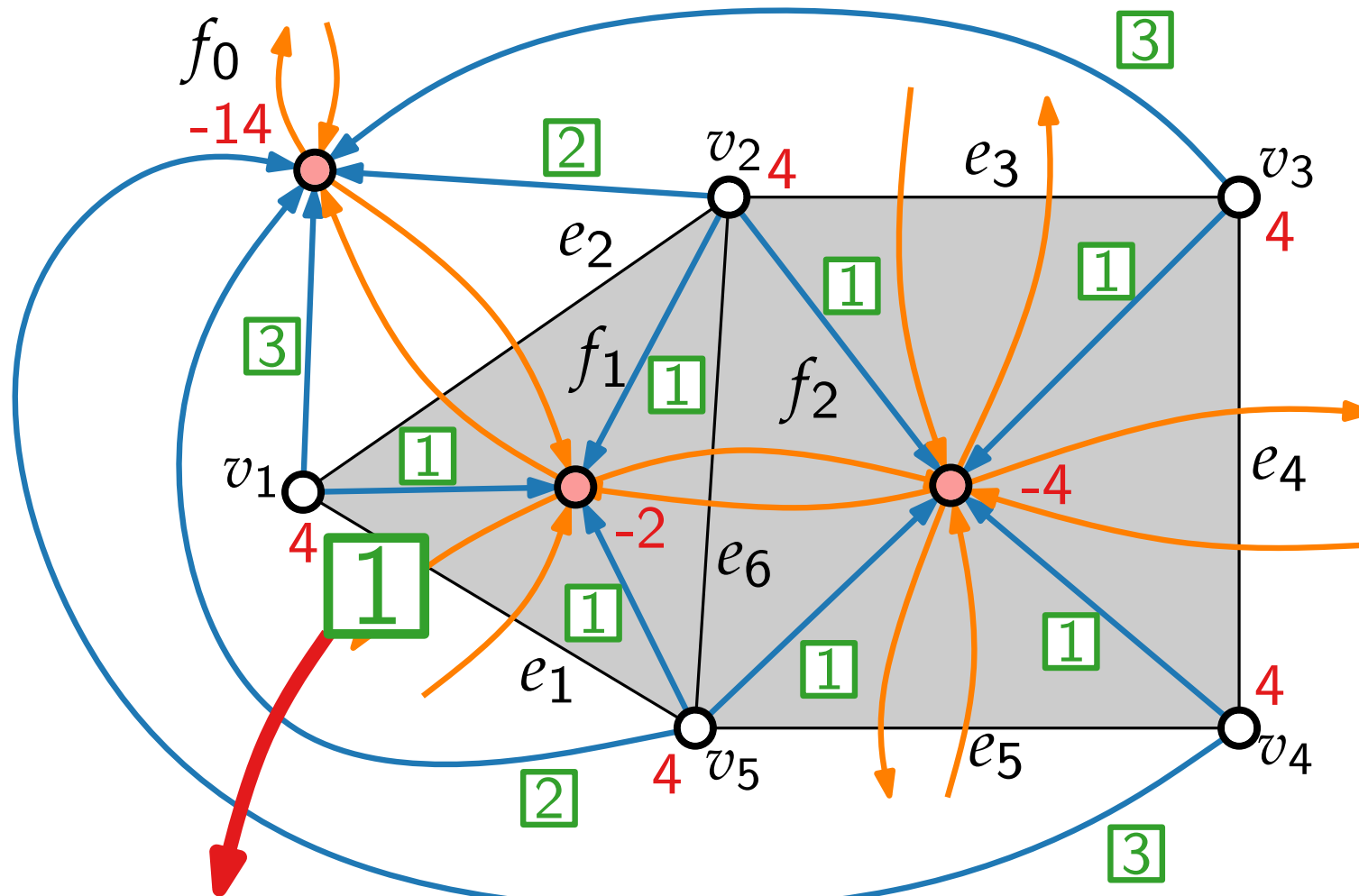
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4 =  $b$ -value

3 flow



# Flow network example



cost = 1  
one bend  
(outward)

## Legend

$V$  ○

$F$  ●

$l/u/cost$

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$F \times F \supseteq \xrightarrow{0/\infty/1}$

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3 flow

# Bend minimisation – result

**Theorem.** [Tamassia '87]

A plane graph  $(G, F, f_0)$  has a valid orthogonal representation  $H(G)$  with  $k$  bends iff the flow network  $N(G)$  has a valid flow  $X$  with cost  $k$ .

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- Transform from flow to orthogonal description.
  - Show properties (H1)–(H4).

(H1)

(H2)

(H3)

(H4)

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(H2)

(H3)

(H4) Total angle at each vertex =  $2\pi$



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(H1)  $H(G)$  matches  $F, f_0$  ✓

(H2) Bend order inverted and reversed on opposite sides ✓

(H3)

(H4) Total angle at each vertex =  $2\pi$  ✓

# Bend minimisation – result

## Theorem. [Tamassia '87]

A plane graph  $(G, F, f_0)$  has a valid orthogonal representation  $H(G)$  with  $k$  bends iff the flow network  $N(G)$  has a valid flow  $X$  with cost  $k$ .

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(H3) Angle sum of  $f = \pm 4$  ✓ Exercise.

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- This special flow problem for a planar network  $N(G)$  can be solved in  $O(n^{3/2})$  time. [Cornelsen, Karrenbauer GD 2011]
- Bend minimization without a given combinatorial embedding is an NP-hard problem. [Garg, Tamassia SIAM J. Comput. 2001]

# Topology - Shape - Metrics

## Three-step approach:

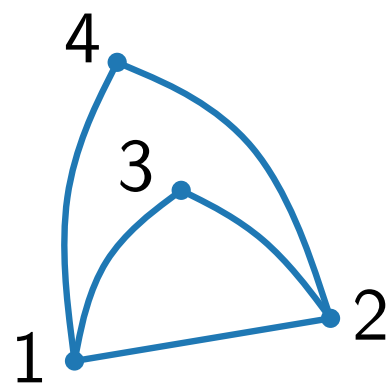
[Tamassia SIAM J. Comput. 1987]

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$

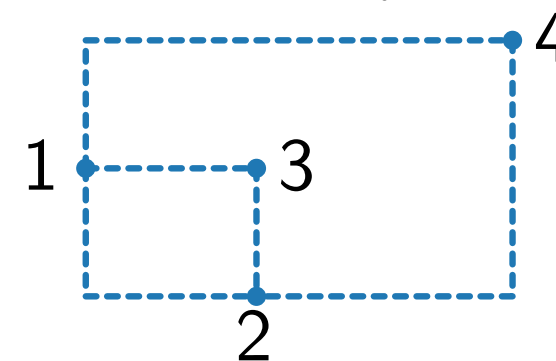
reduce  
crossings

combinatorial  
embedding/  
planarisation



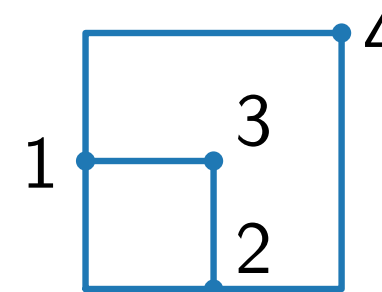
bend minimisation

orthogonal  
representation



planar  
orthogonal  
drawing

area mini-  
misation



# Compaction

## Compaction problem.

Given:

- Plane graph  $G = (V, E)$  with maximum degree 4
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Find: Compact orthogonal layout of  $G$  that realizes  $H(G)$

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All faces are rectangles.

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## Idea.

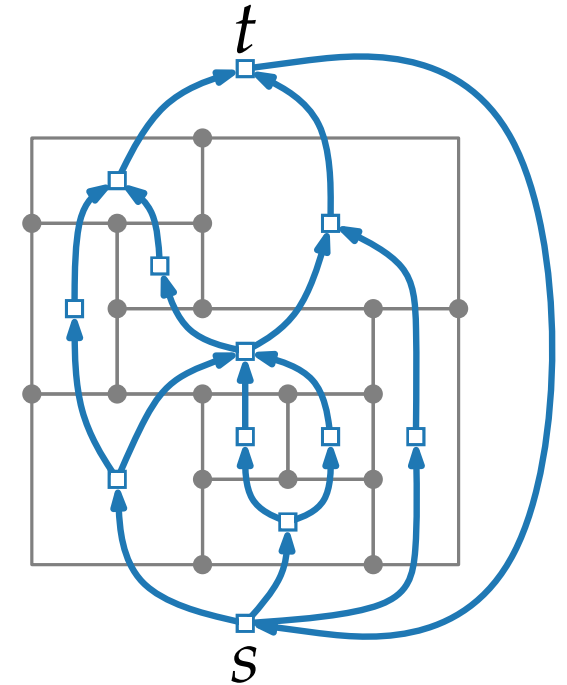
- Formulate flow network for horizontal/vertical compaction

# Flow network for edge length assignment

## Definition.

Flow Network  $N_{\text{hor}} = ((W_{\text{hor}}, A_{\text{hor}}); \ell; u; b; \text{cost})$

- $W_{\text{hor}} = F \setminus \{f_0\} \cup \{s, t\}$  □
- $A_{\text{hor}} = \{(f, g) \mid f, g \text{ share a horizontal segment and } f \text{ lies below } g\} \cup \{(t, s)\}$
- $\ell(a) = 1 \quad \forall a \in A_{\text{hor}}$
- $u(a) = \infty \quad \forall a \in A_{\text{hor}}$
- $\text{cost}(a) = 1 \quad \forall a \in A_{\text{hor}}$
- $b(f) = 0 \quad \forall f \in W_{\text{hor}}$

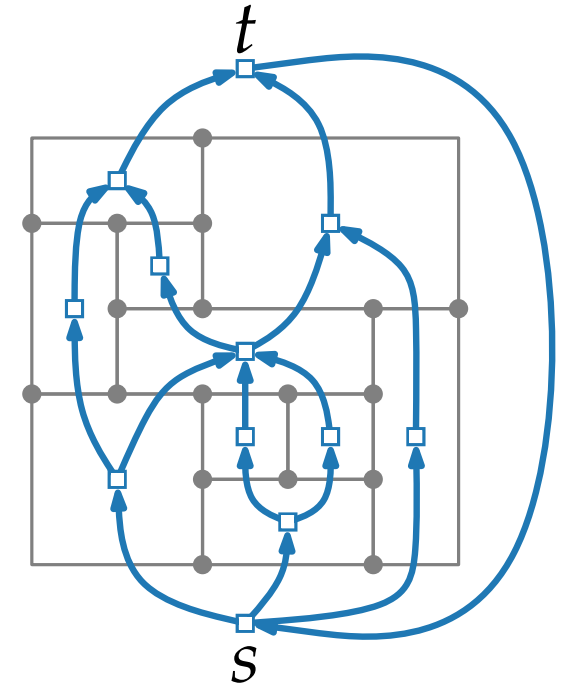


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$s$  and  $t$  represent lower and upper side of  $f_0$

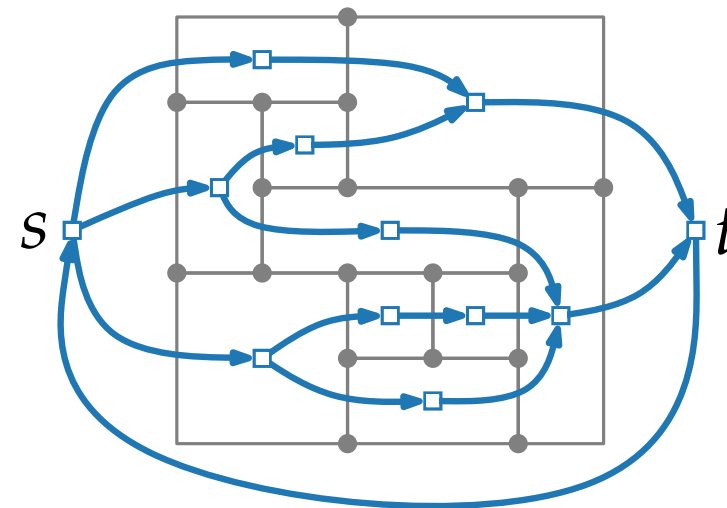


# Flow network for edge length assignment

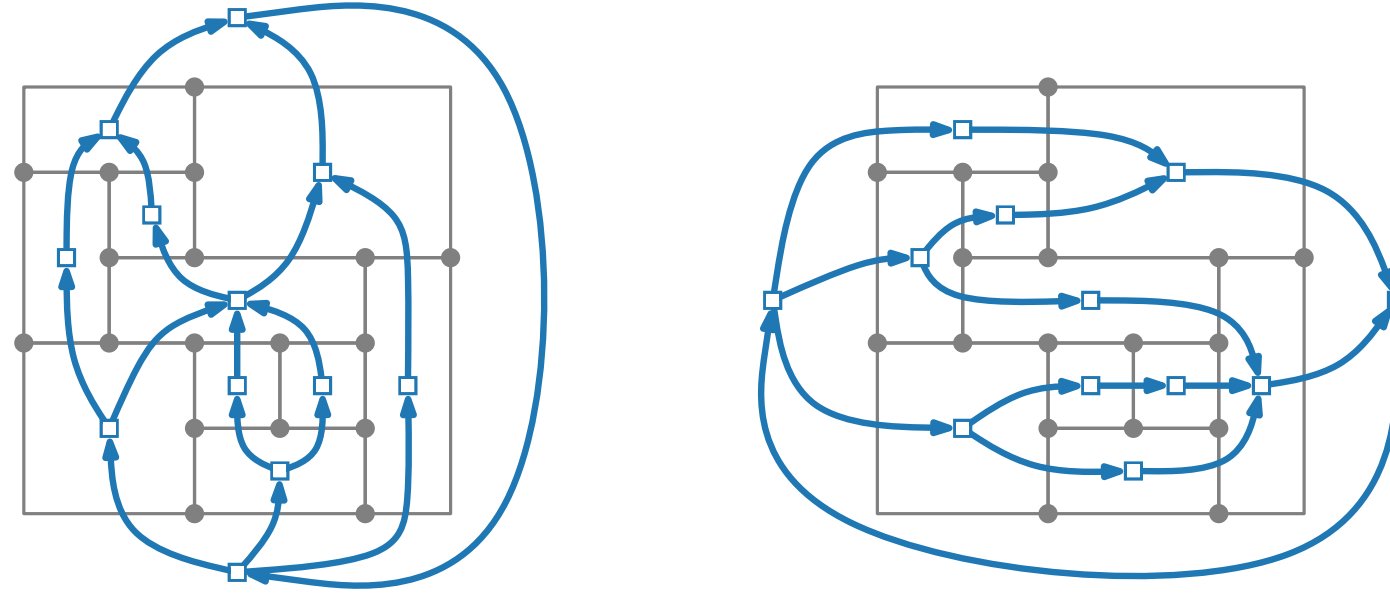
## Definition.

Flow Network  $N_{\text{ver}} = ((W_{\text{ver}}, A_{\text{ver}}); \ell; u; b; \text{cost})$

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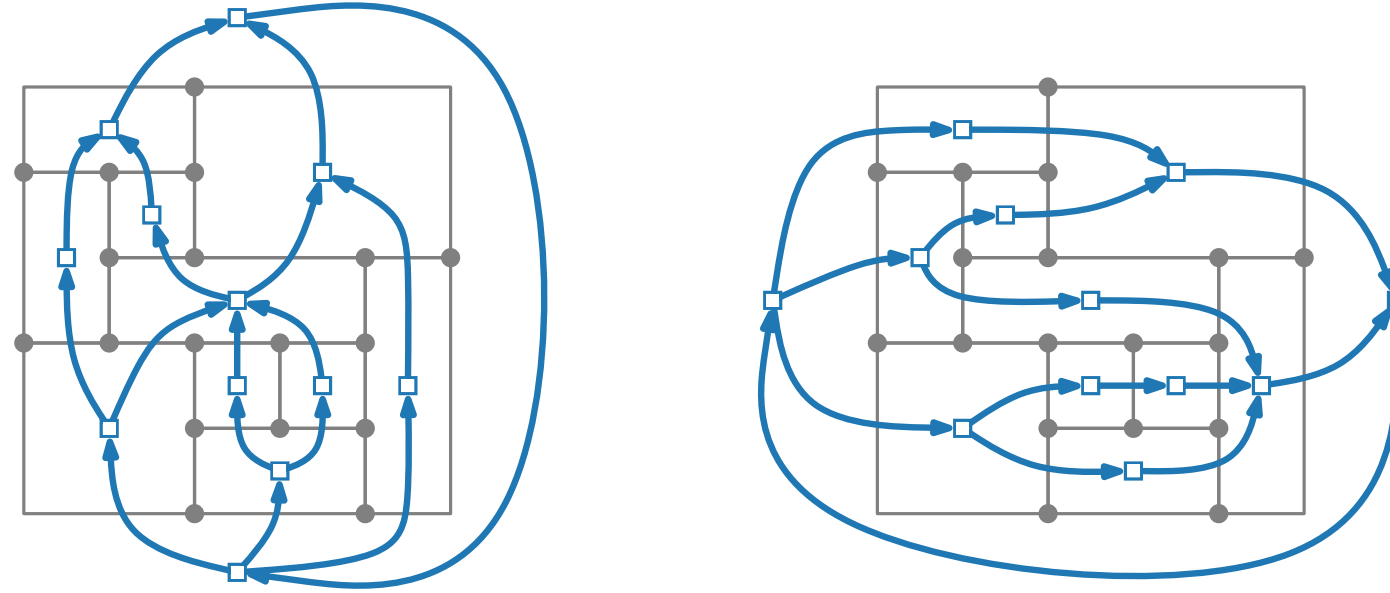
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## Theorem.

Valid min-cost-flows for  $N_{\text{hor}}$  and  $N_{\text{ver}}$  exists iff corresponding edge lengths induce orthogonal drawing.

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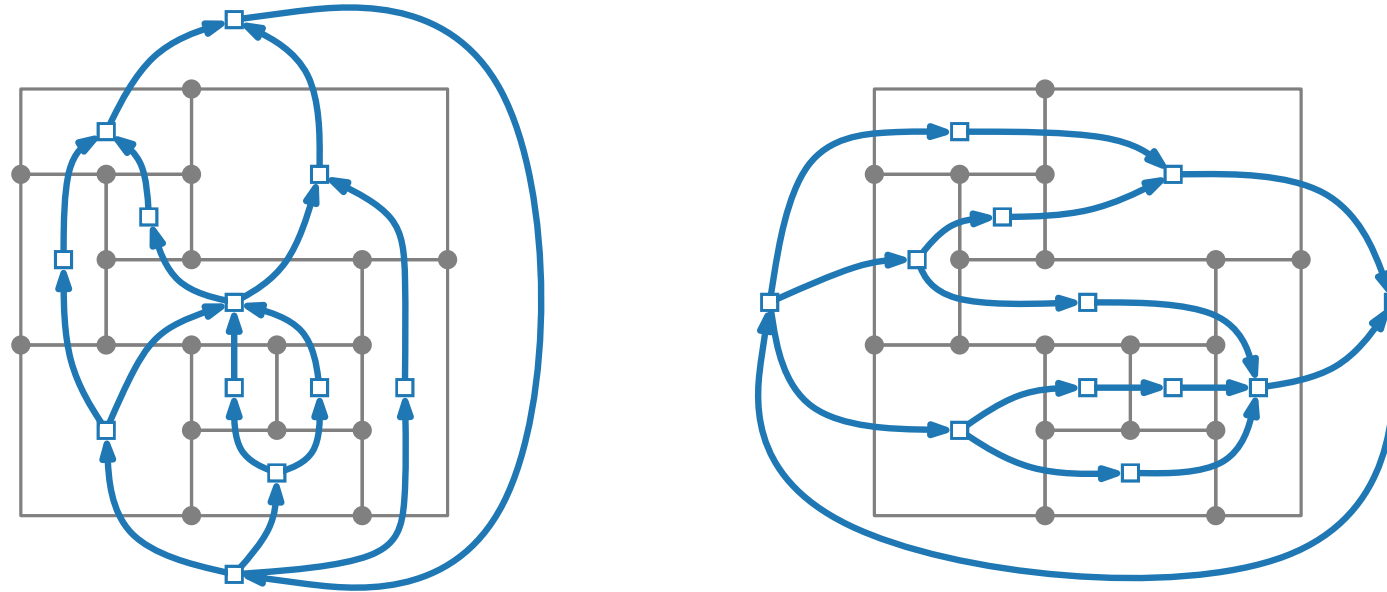
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What values of the drawing represent the following?

- $|X_{\text{hor}}(t, s)|$  and  $|X_{\text{ver}}(t, s)|$ ?
- $\sum_{a \in A_{\text{hor}}} X_{\text{hor}}(a) + \sum_{a \in A_{\text{ver}}} X_{\text{ver}}(a)$

# Compaction – result



What if not all  
faces rectangular?

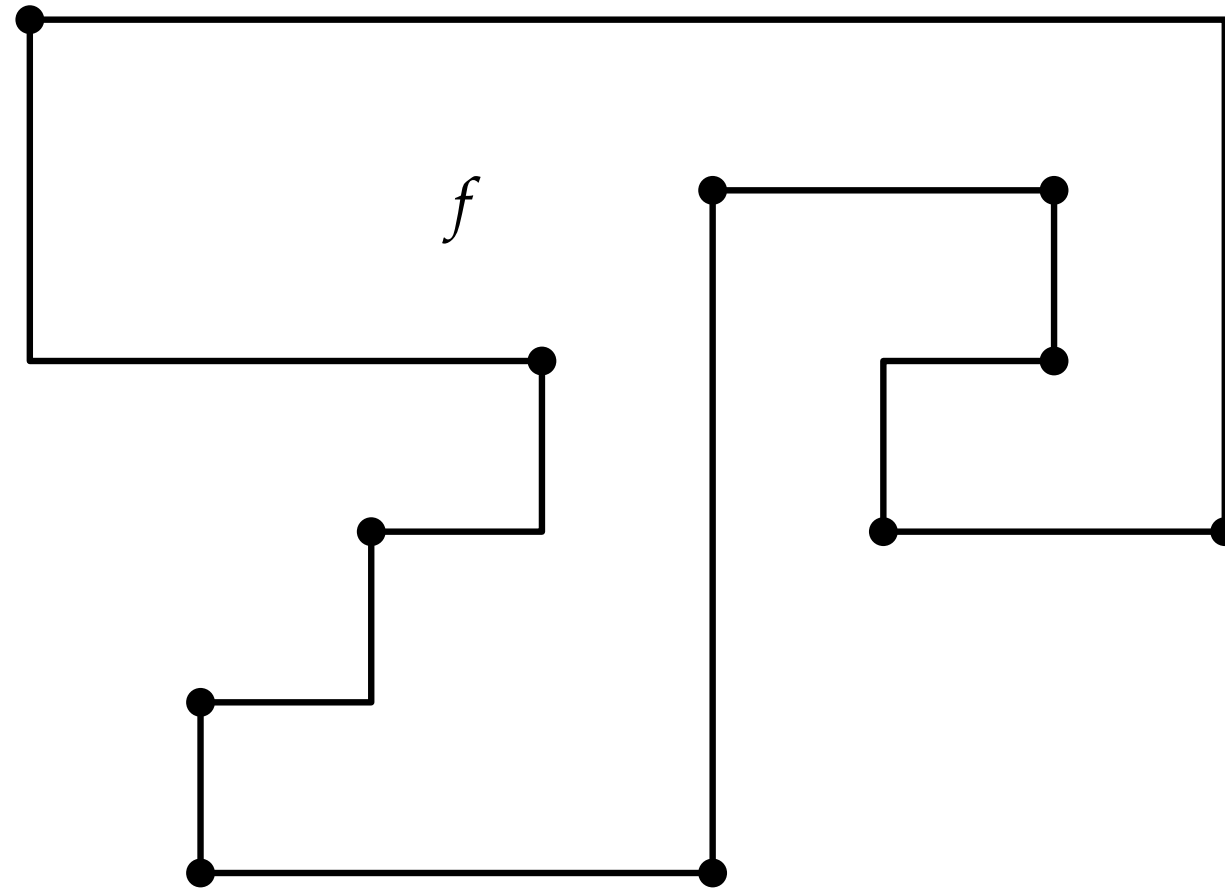
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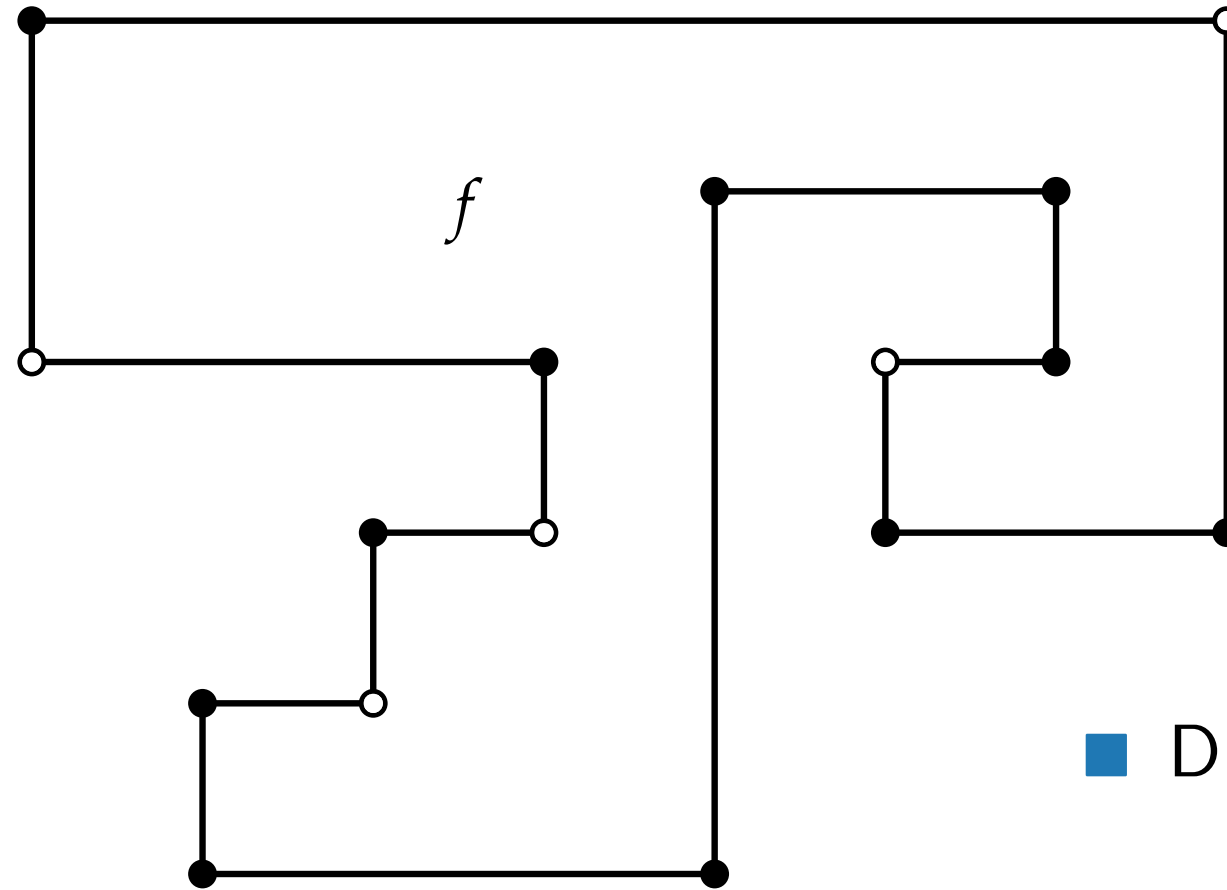
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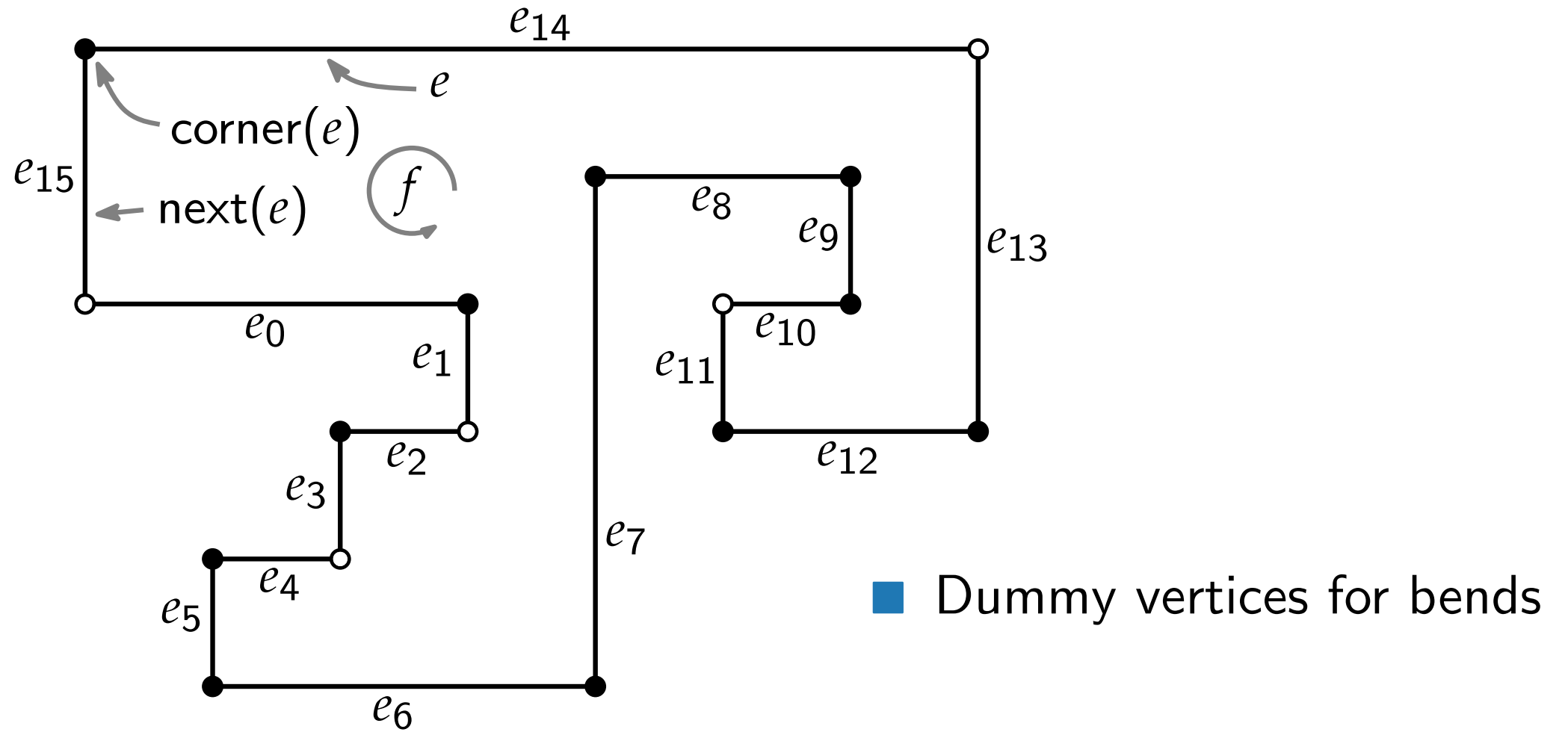


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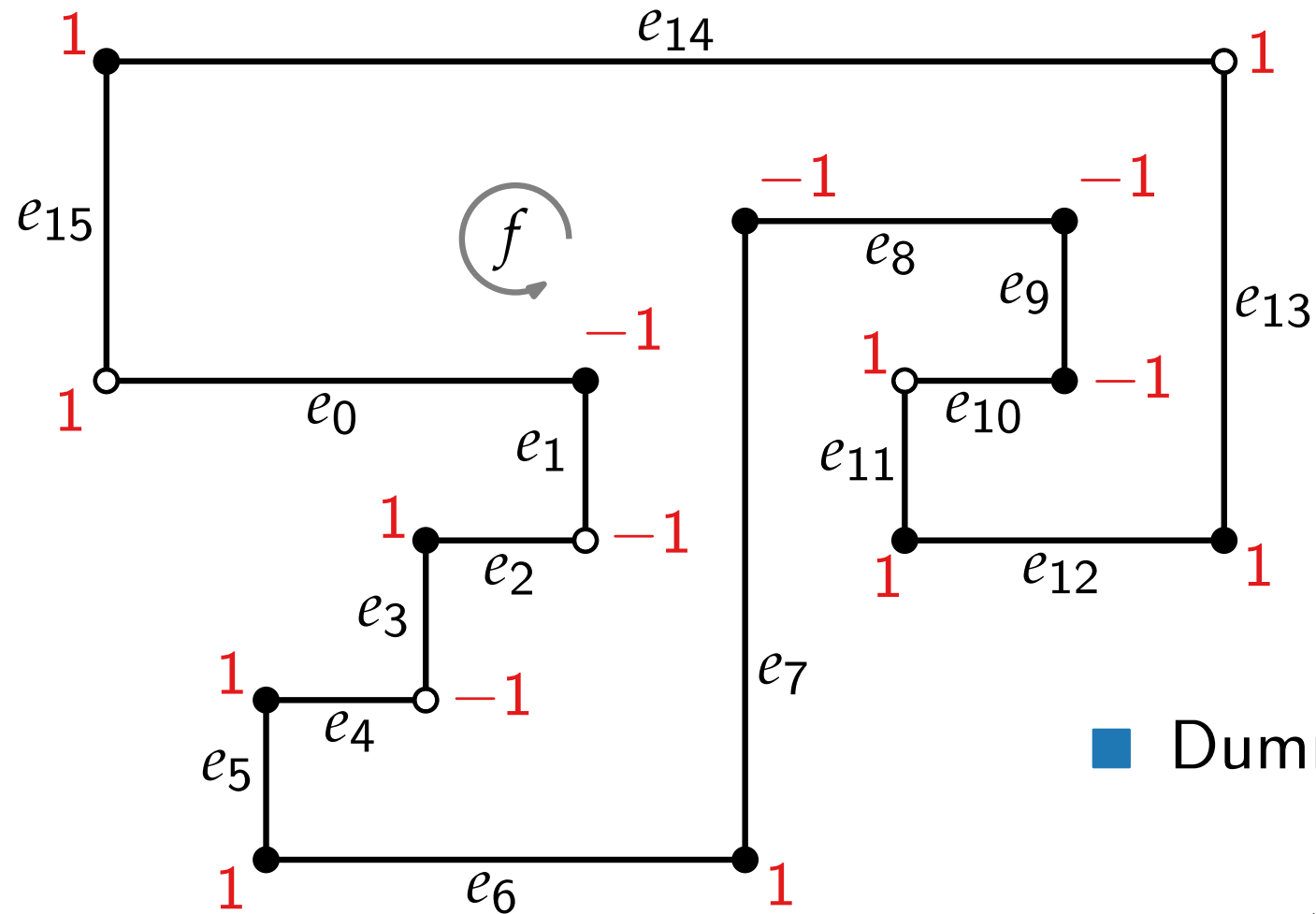


■ Dummy vertices for bends

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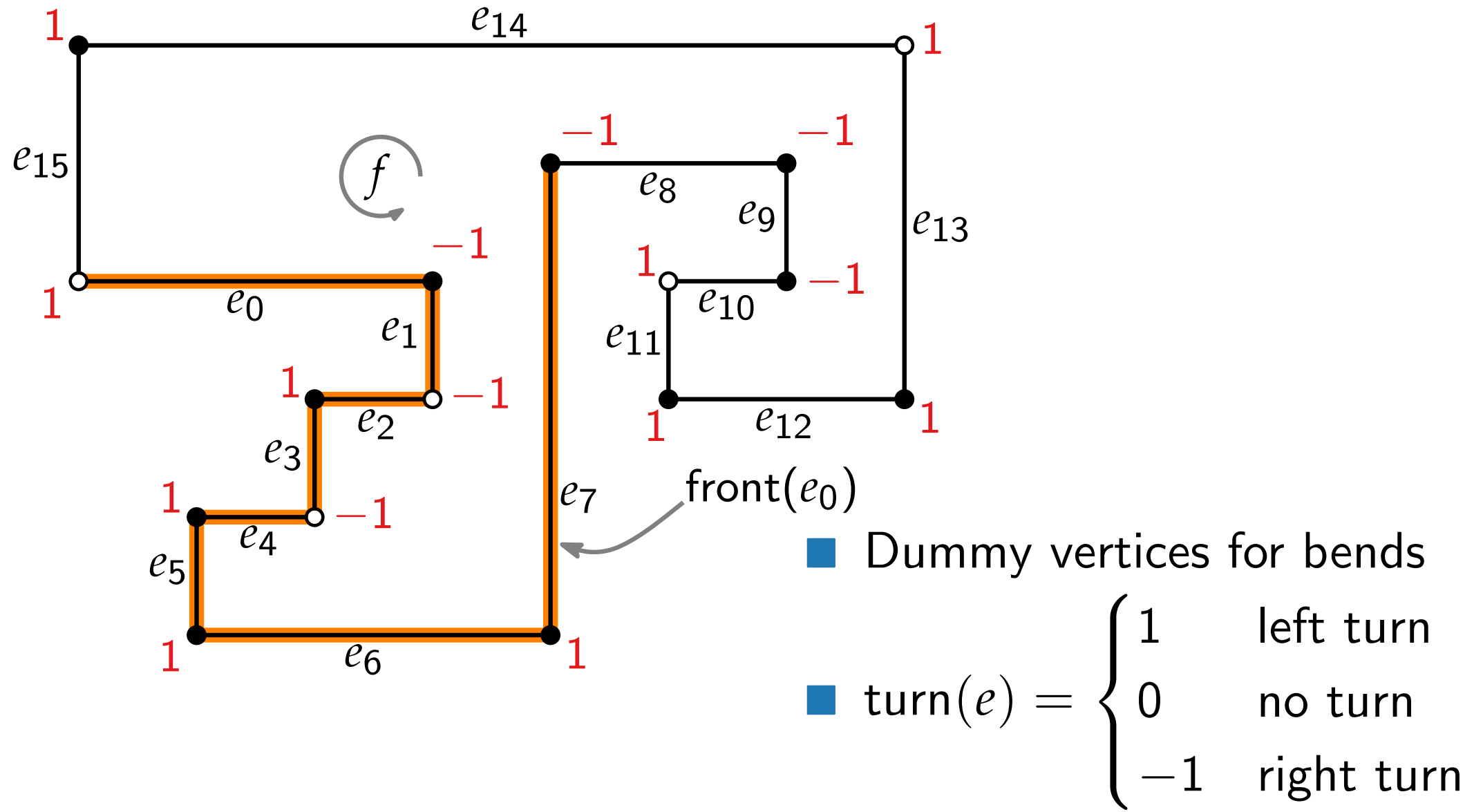
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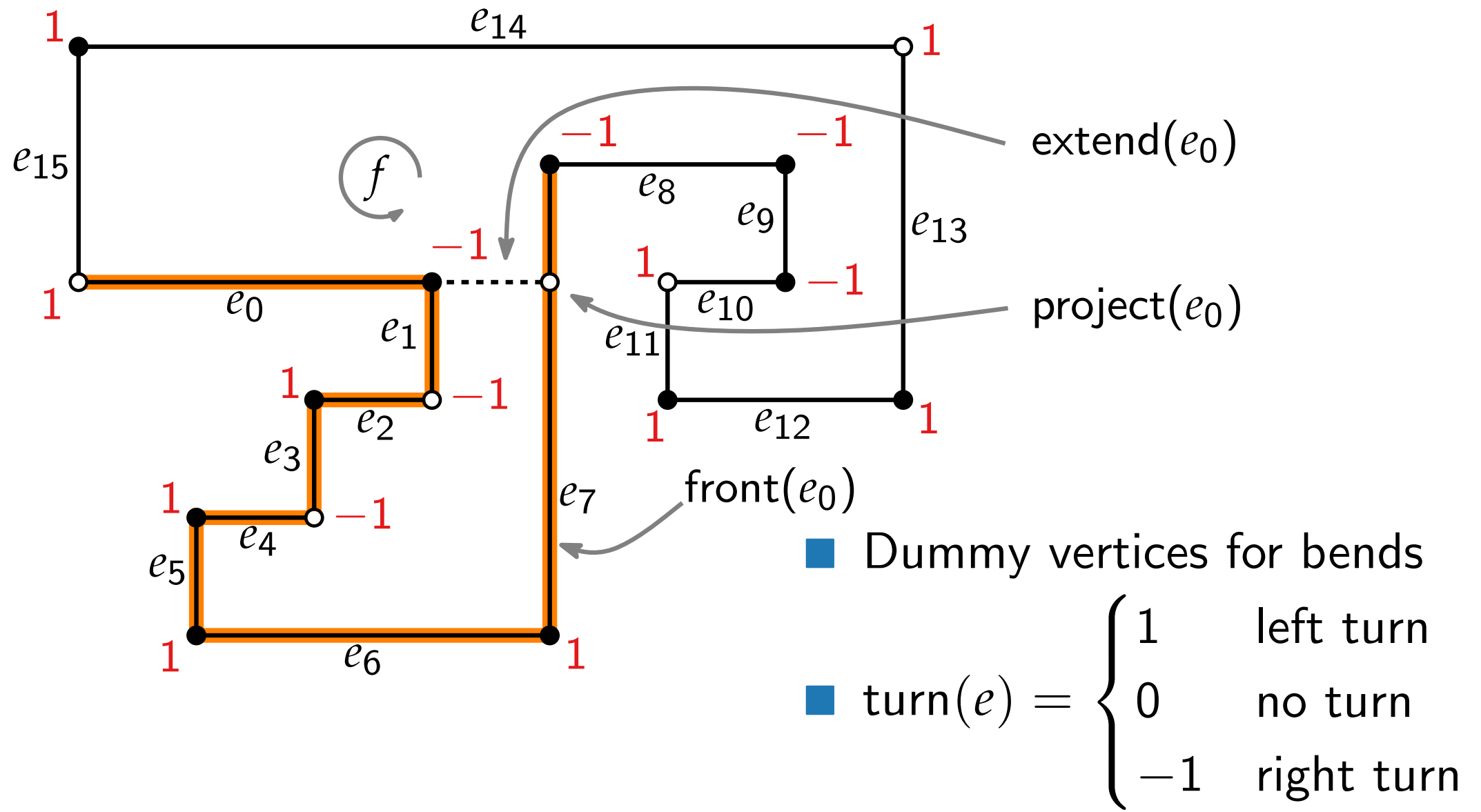
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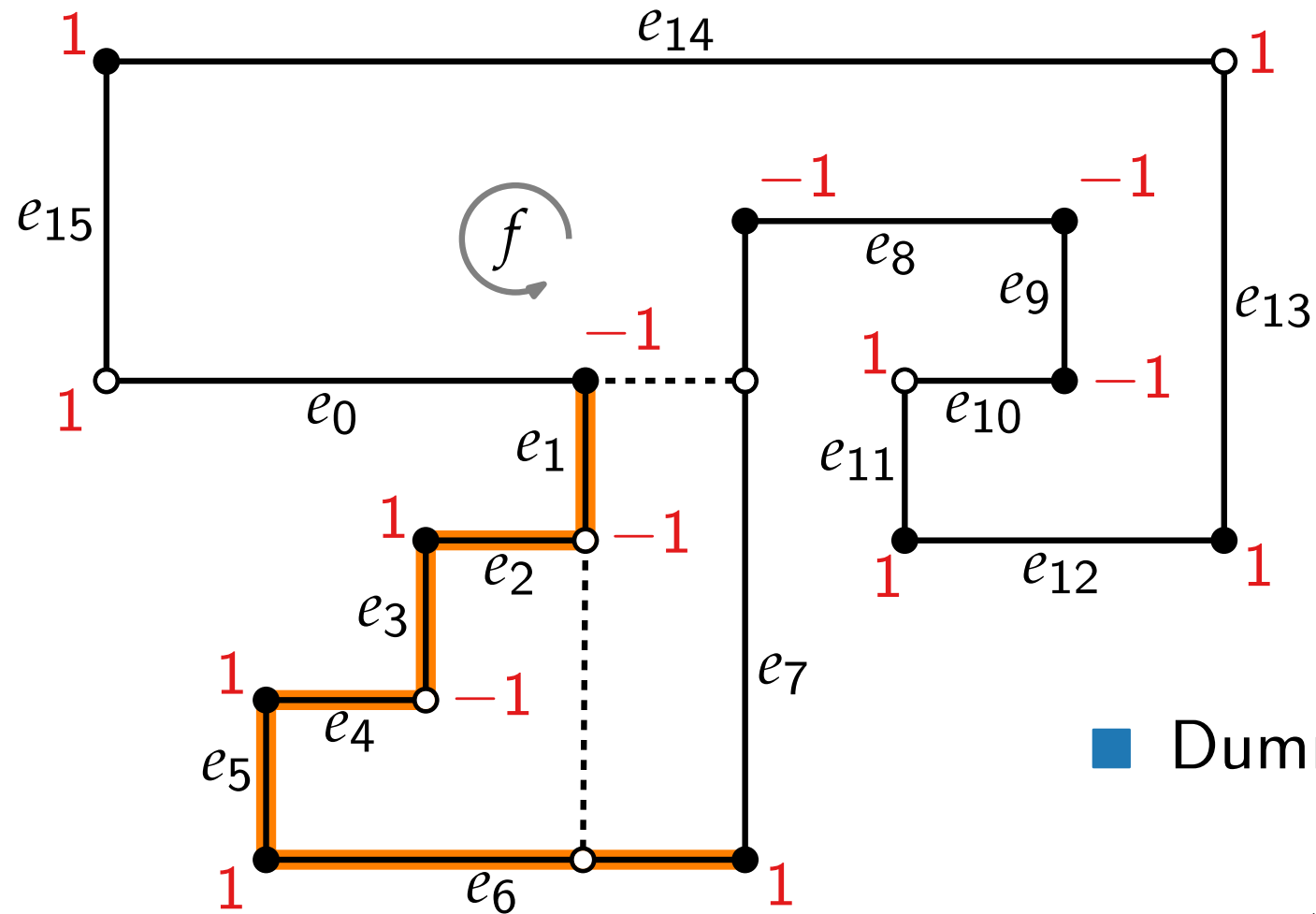
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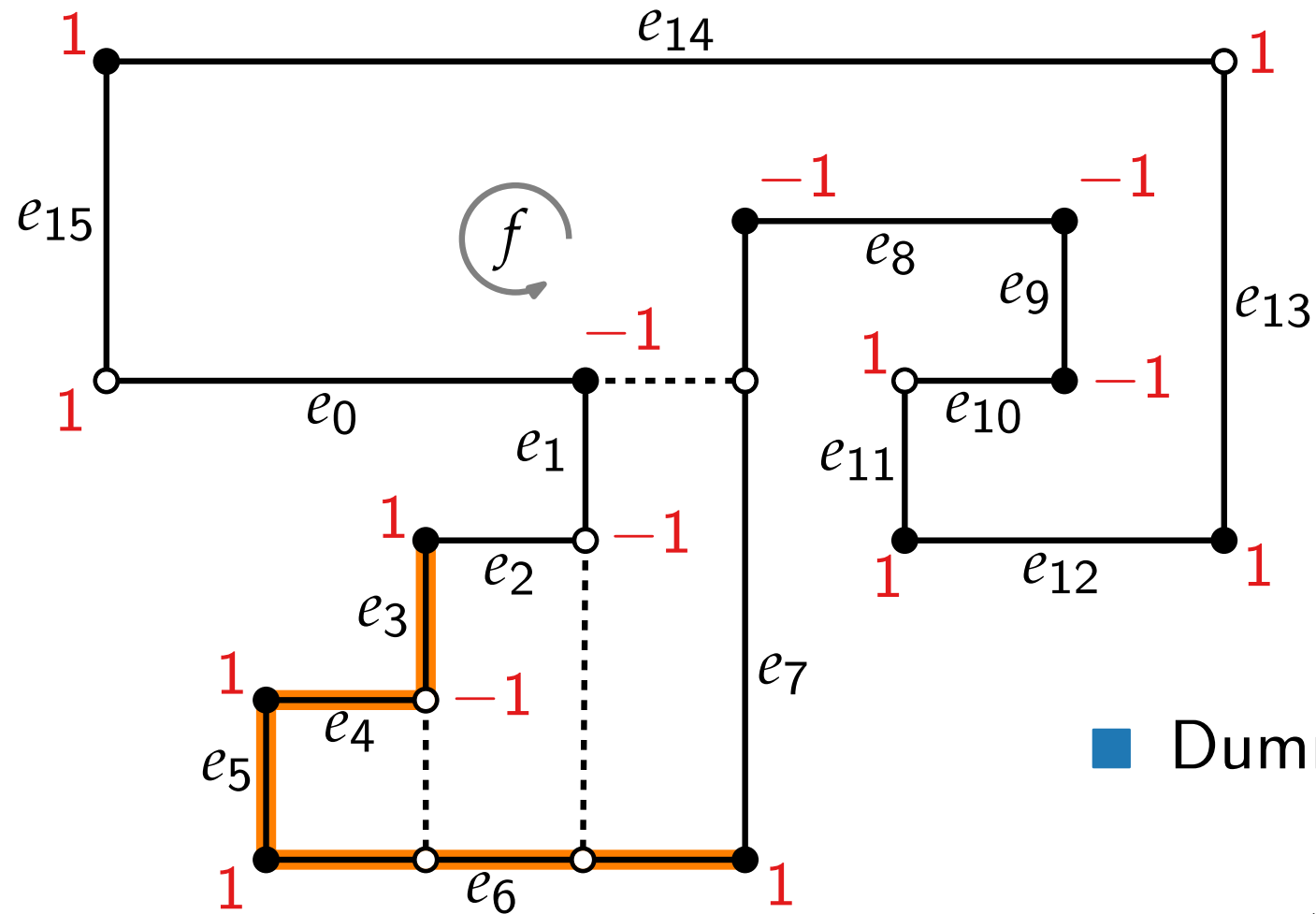


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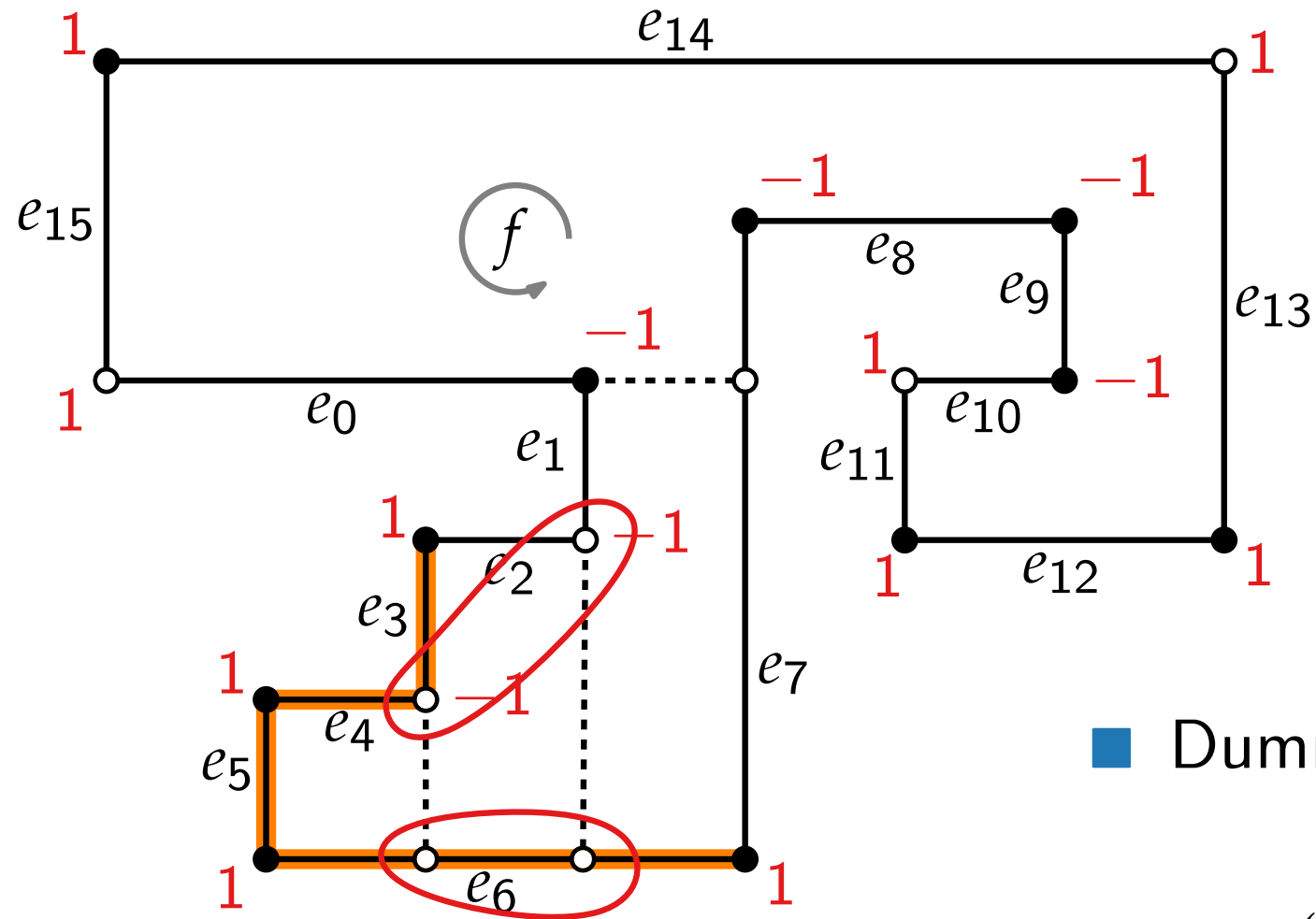
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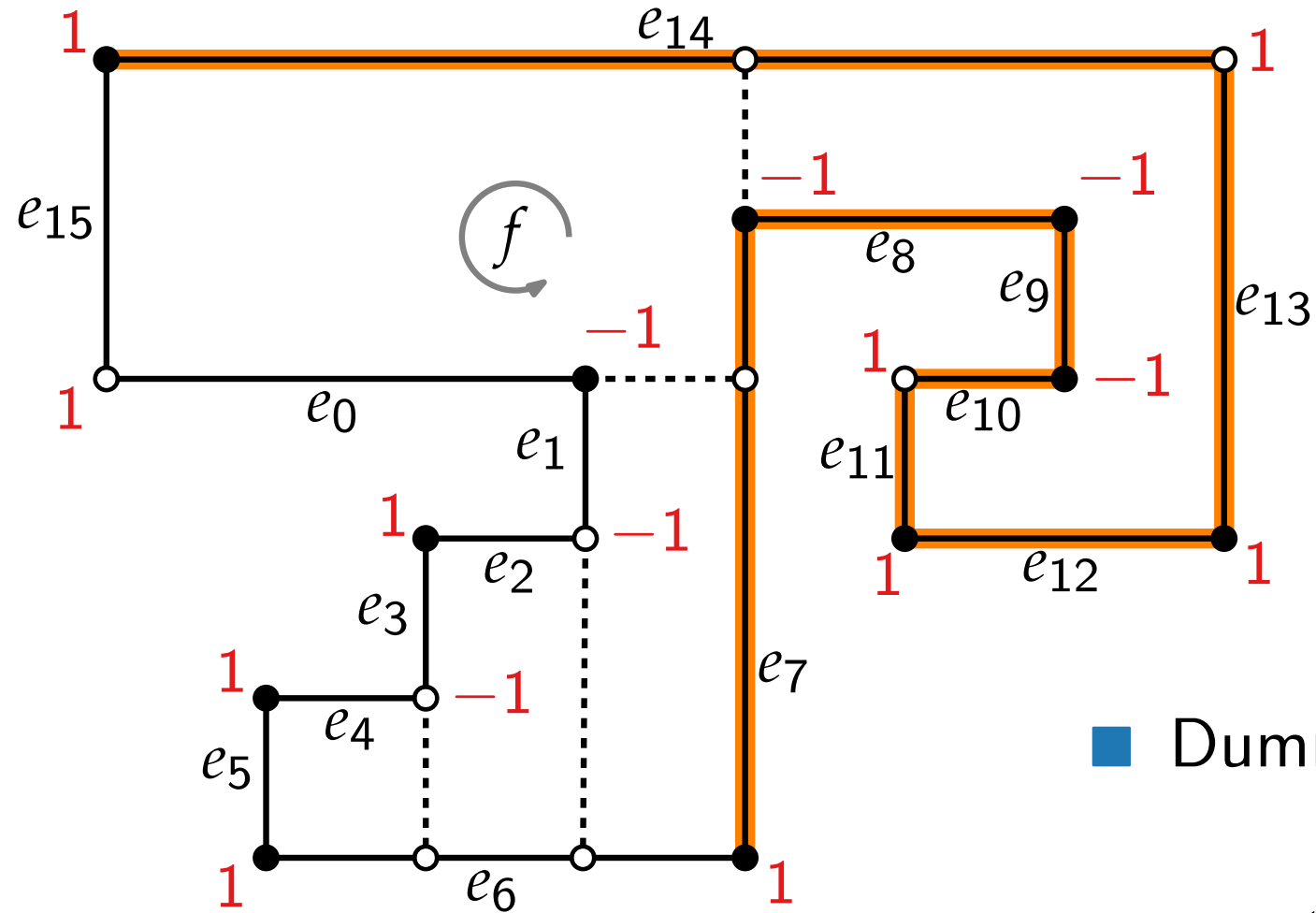
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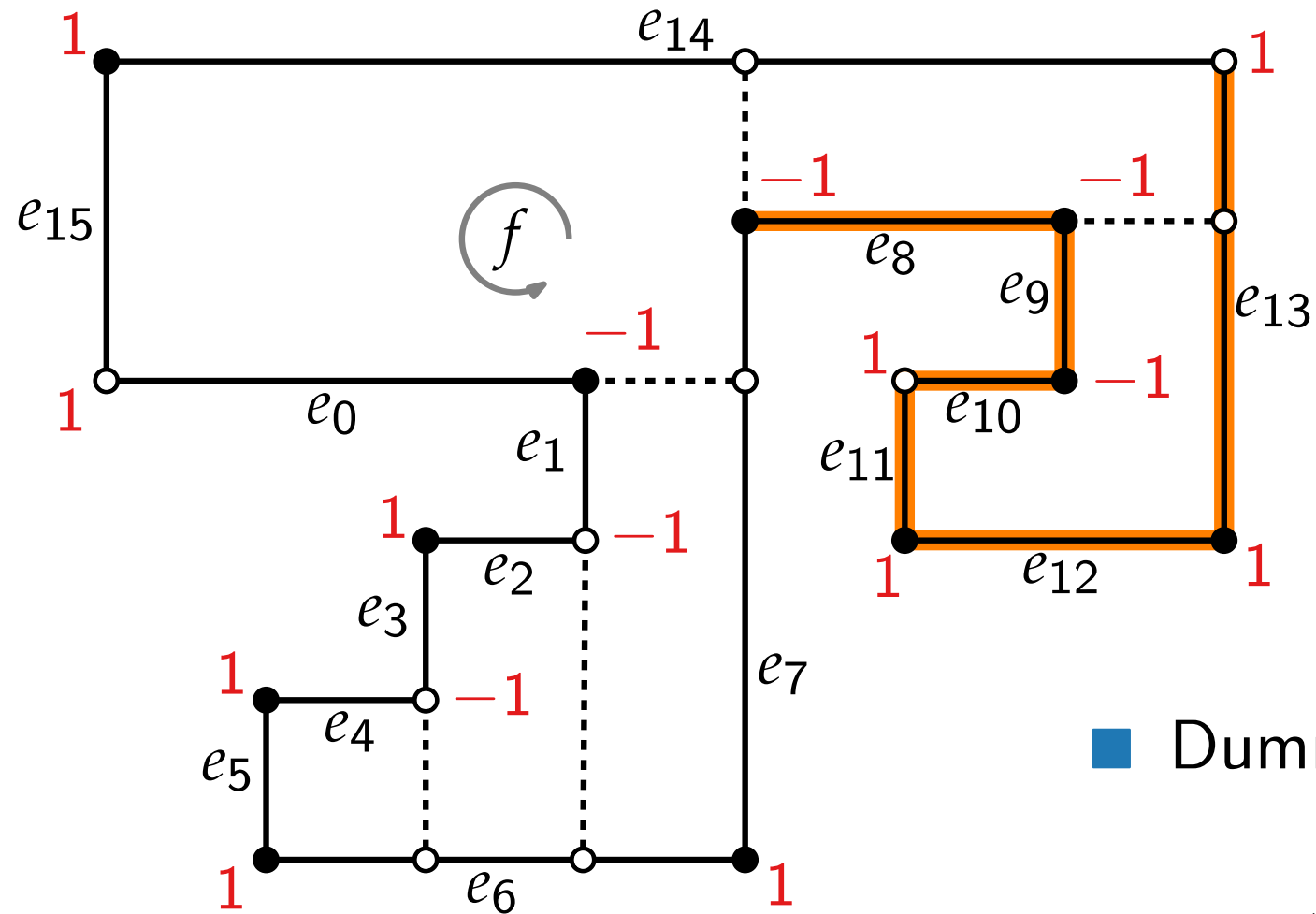
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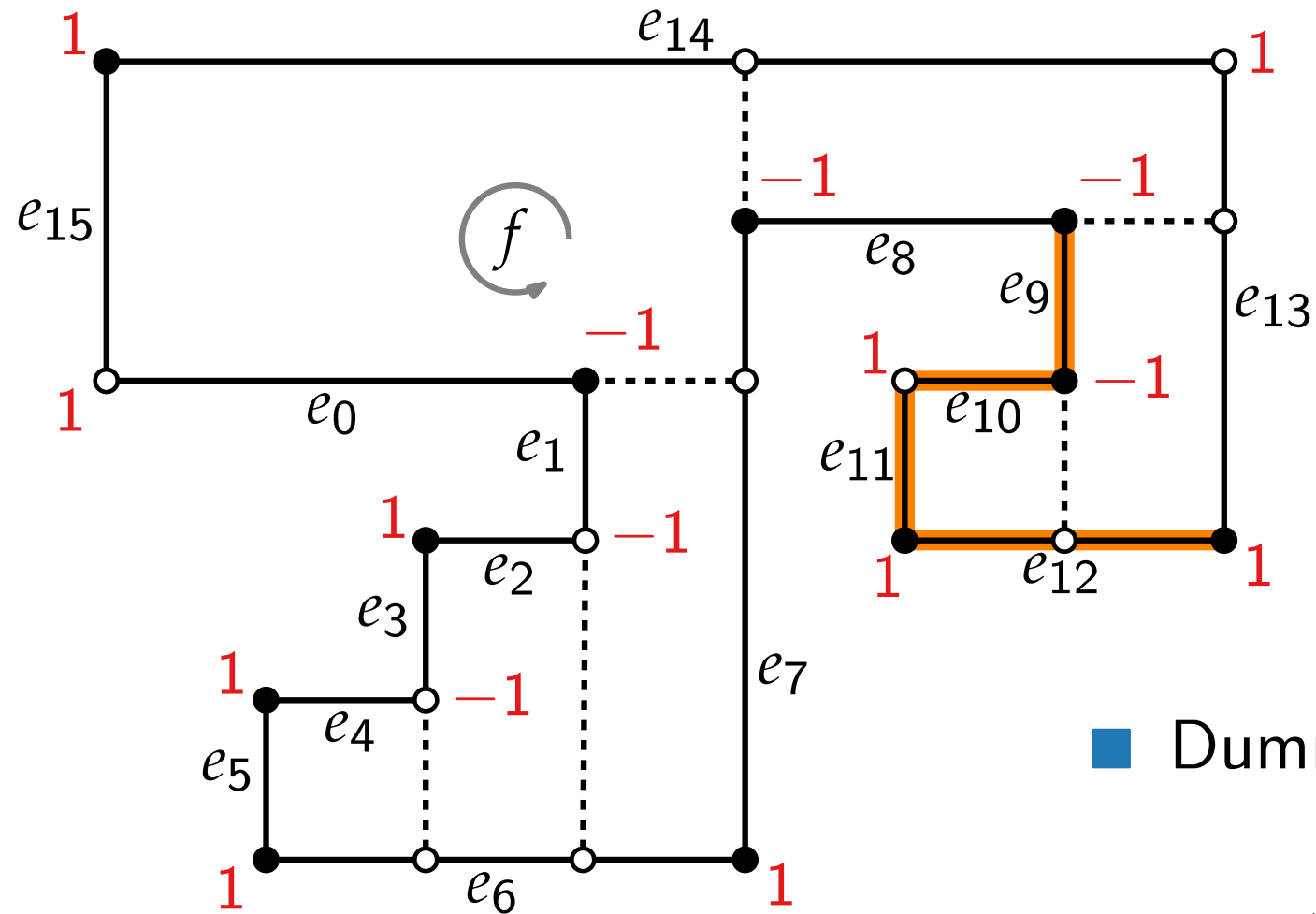
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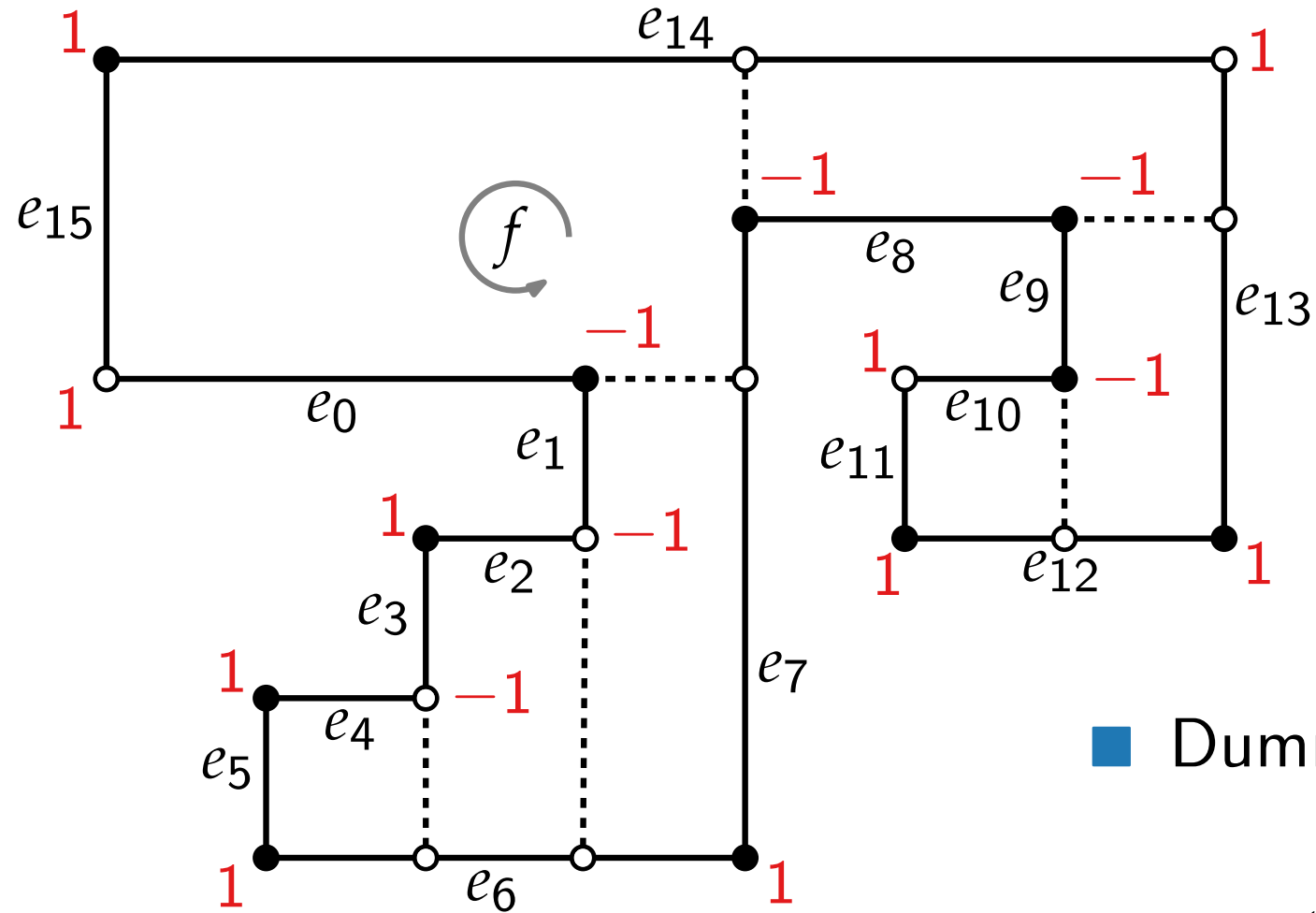
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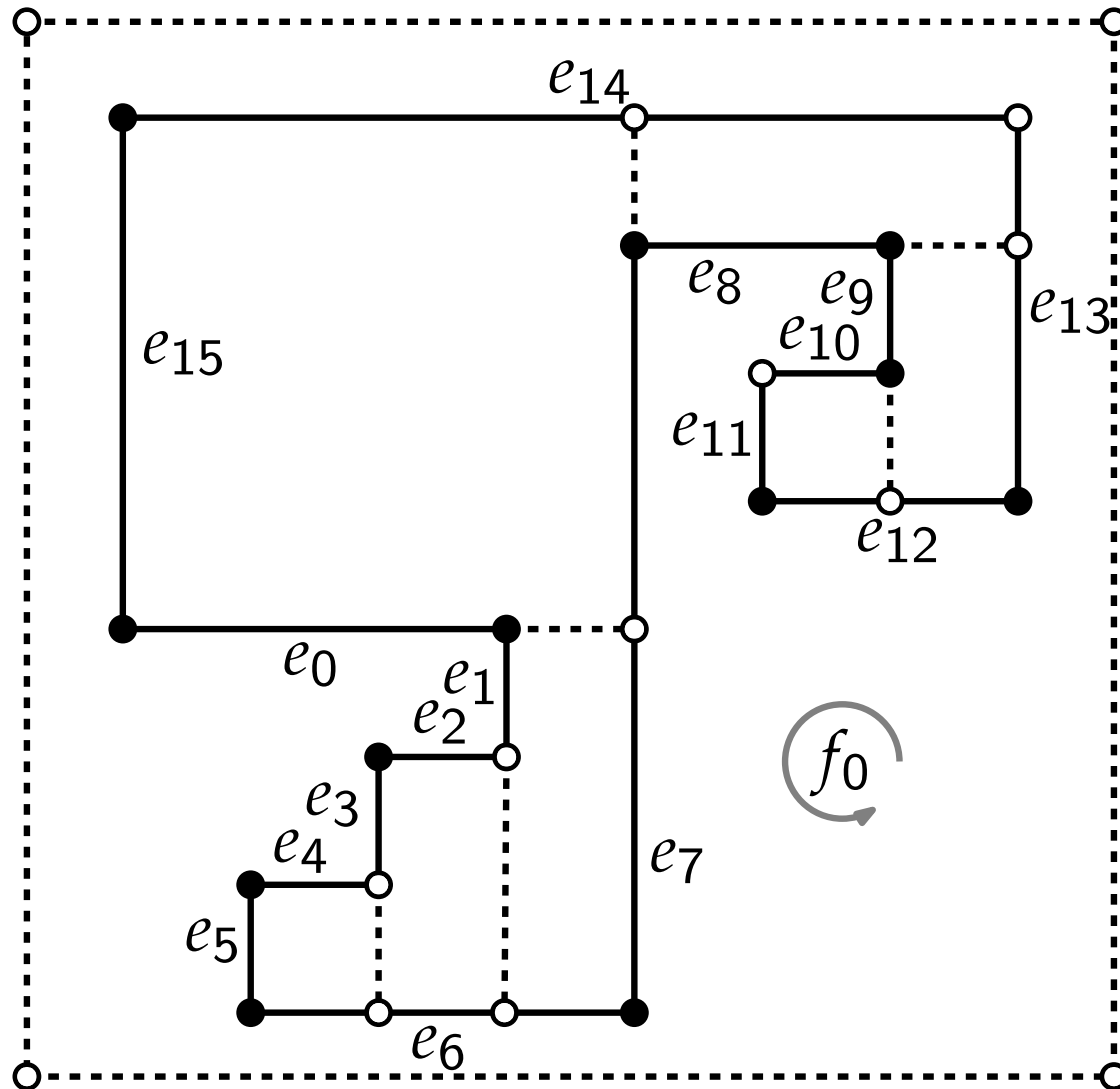


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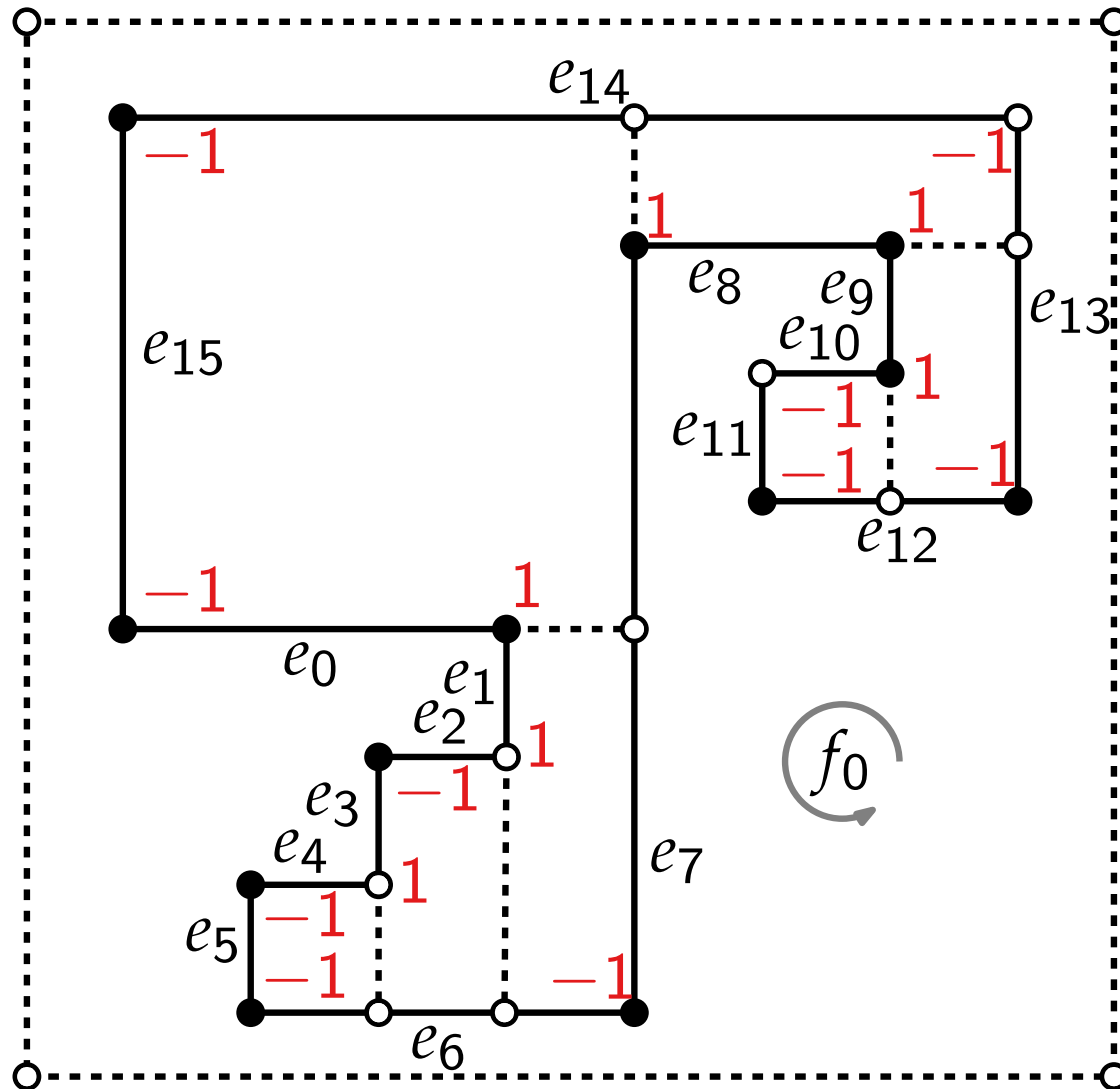


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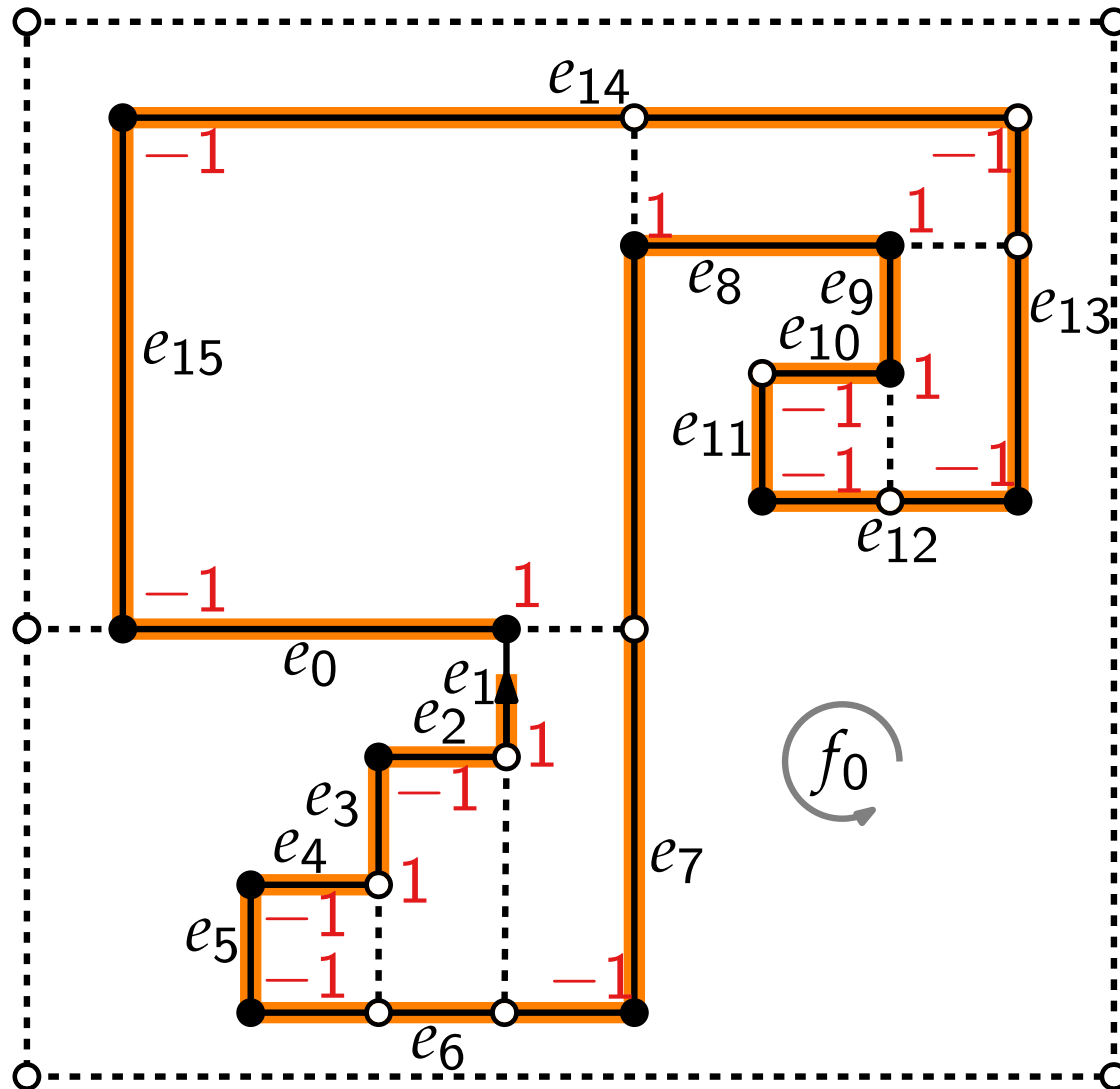
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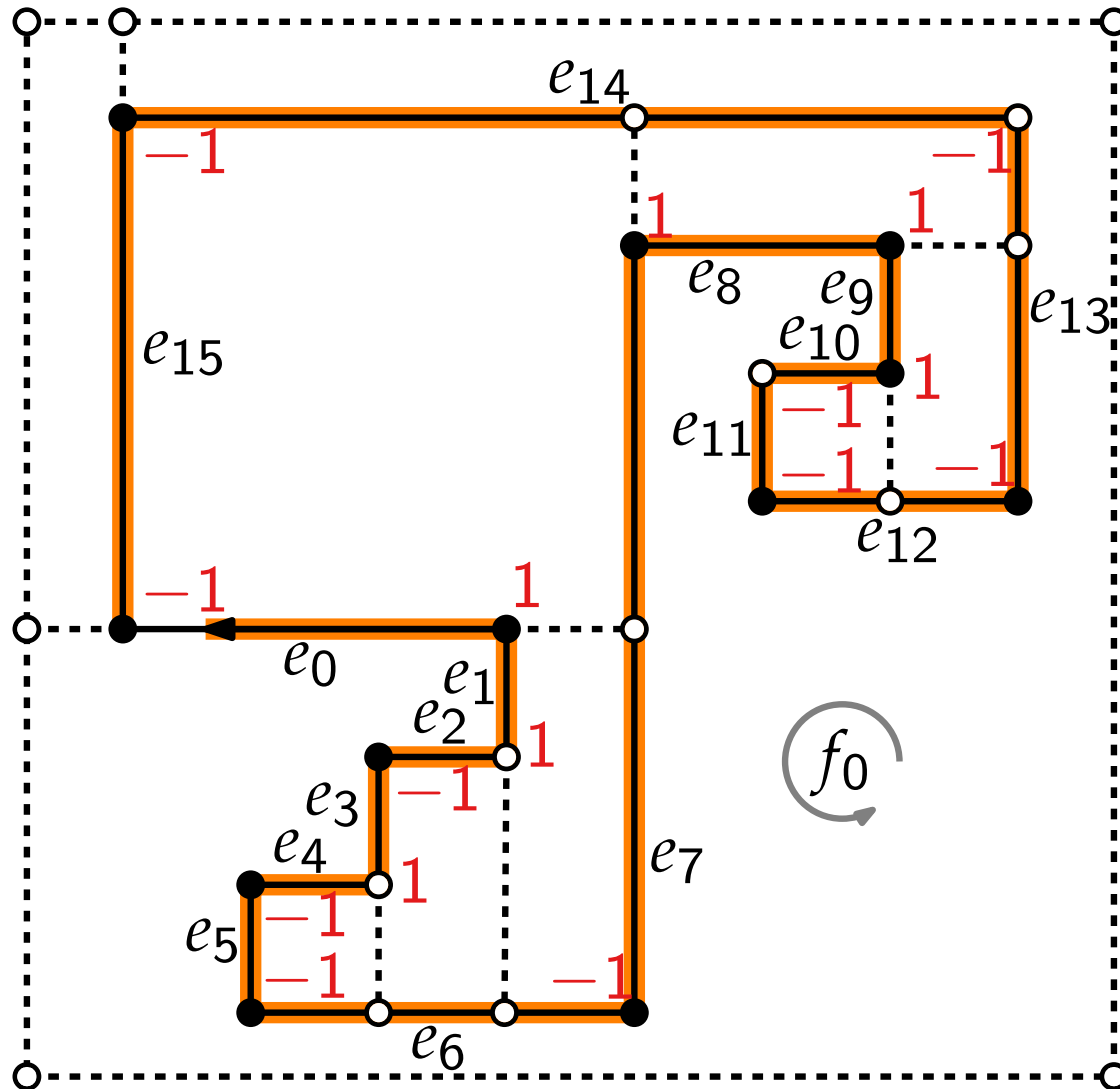
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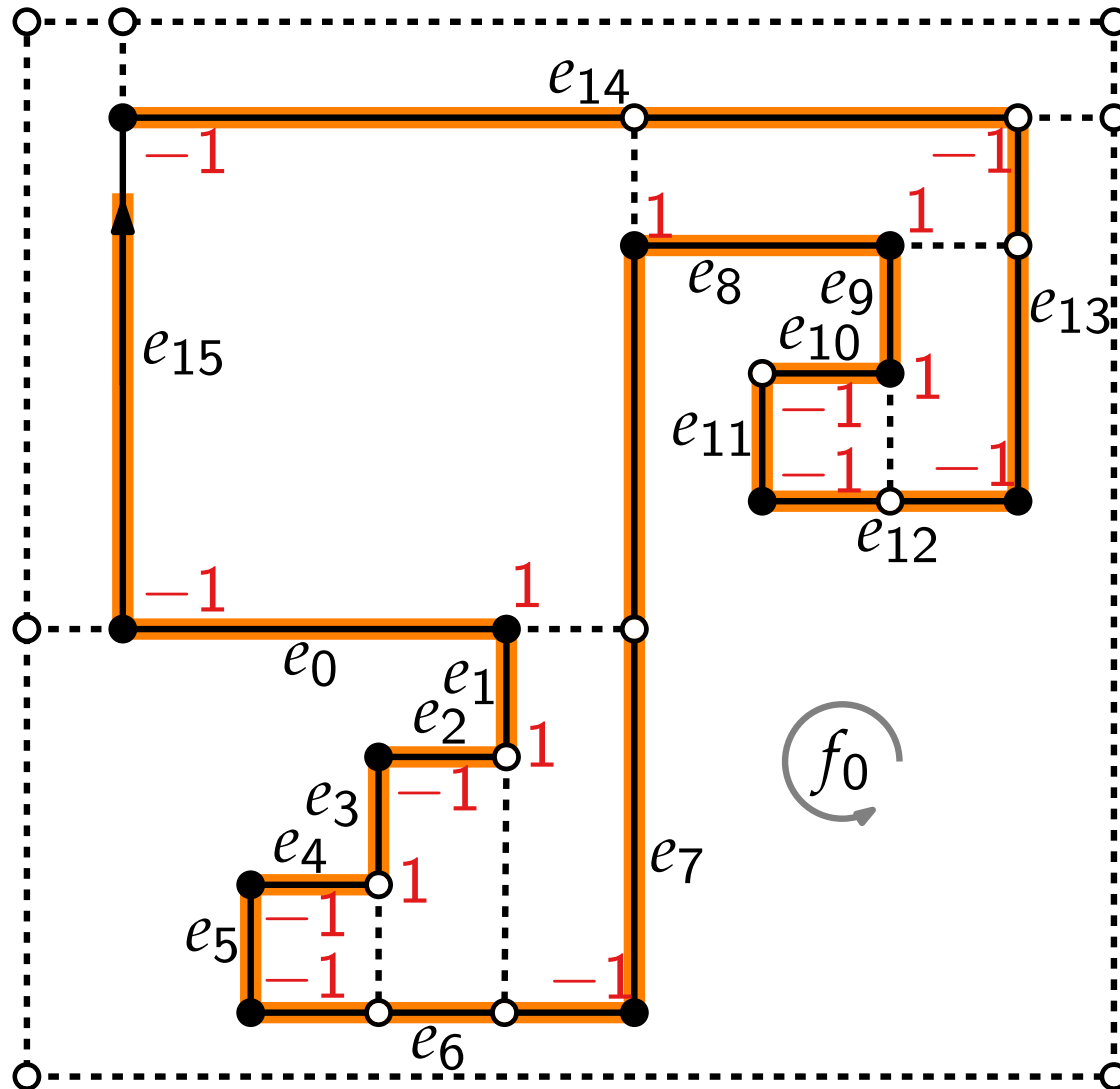
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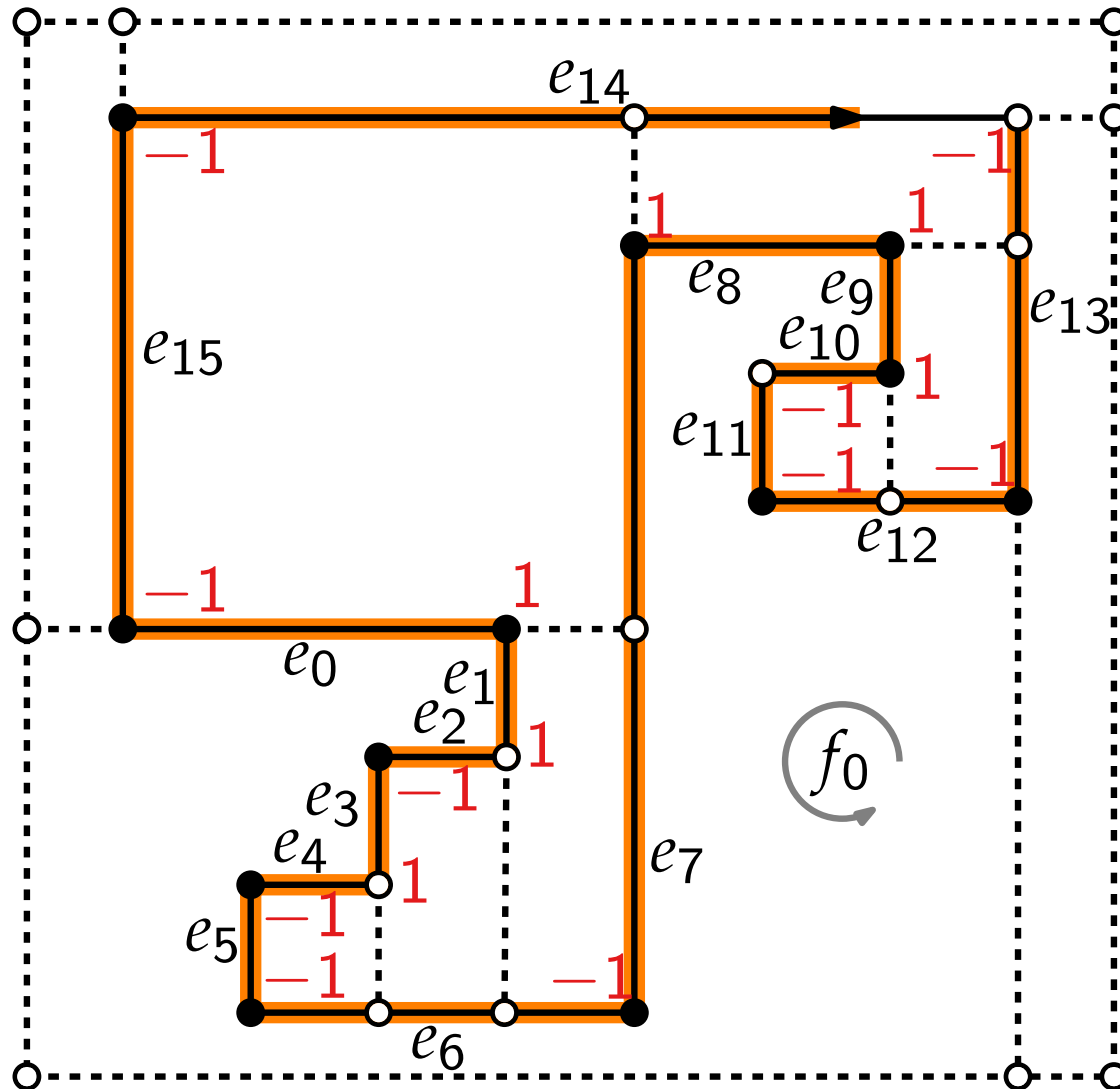
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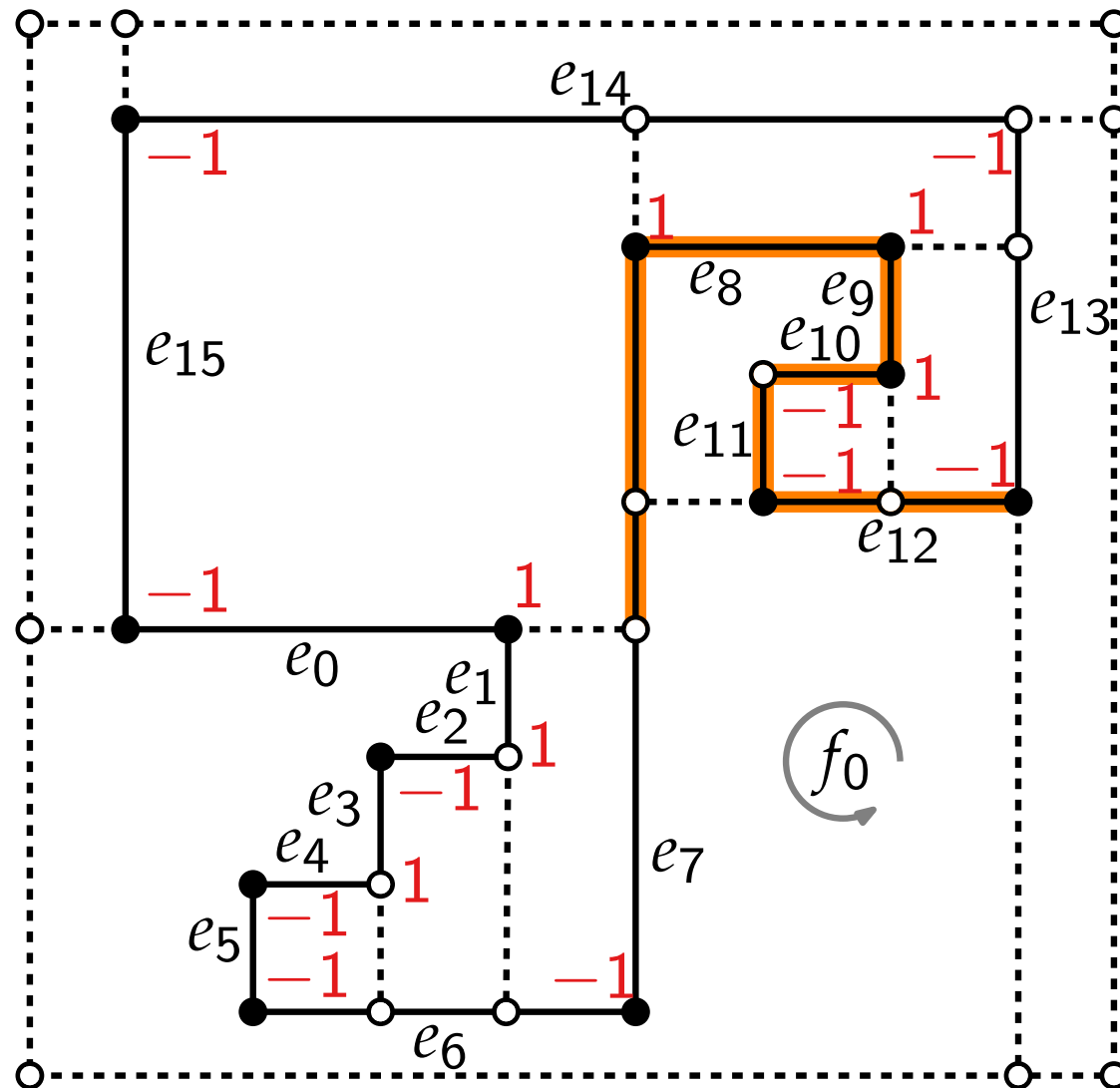
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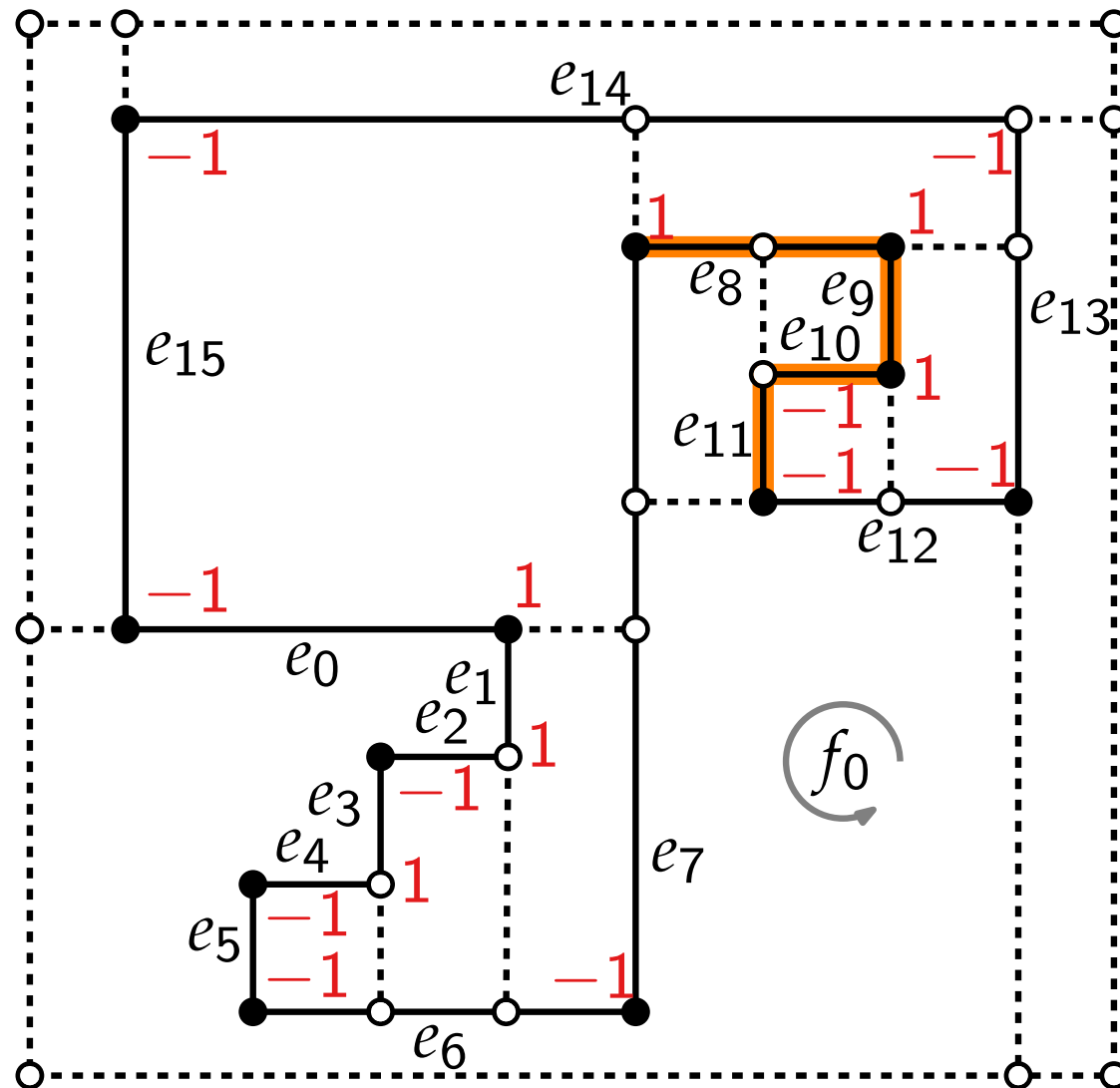


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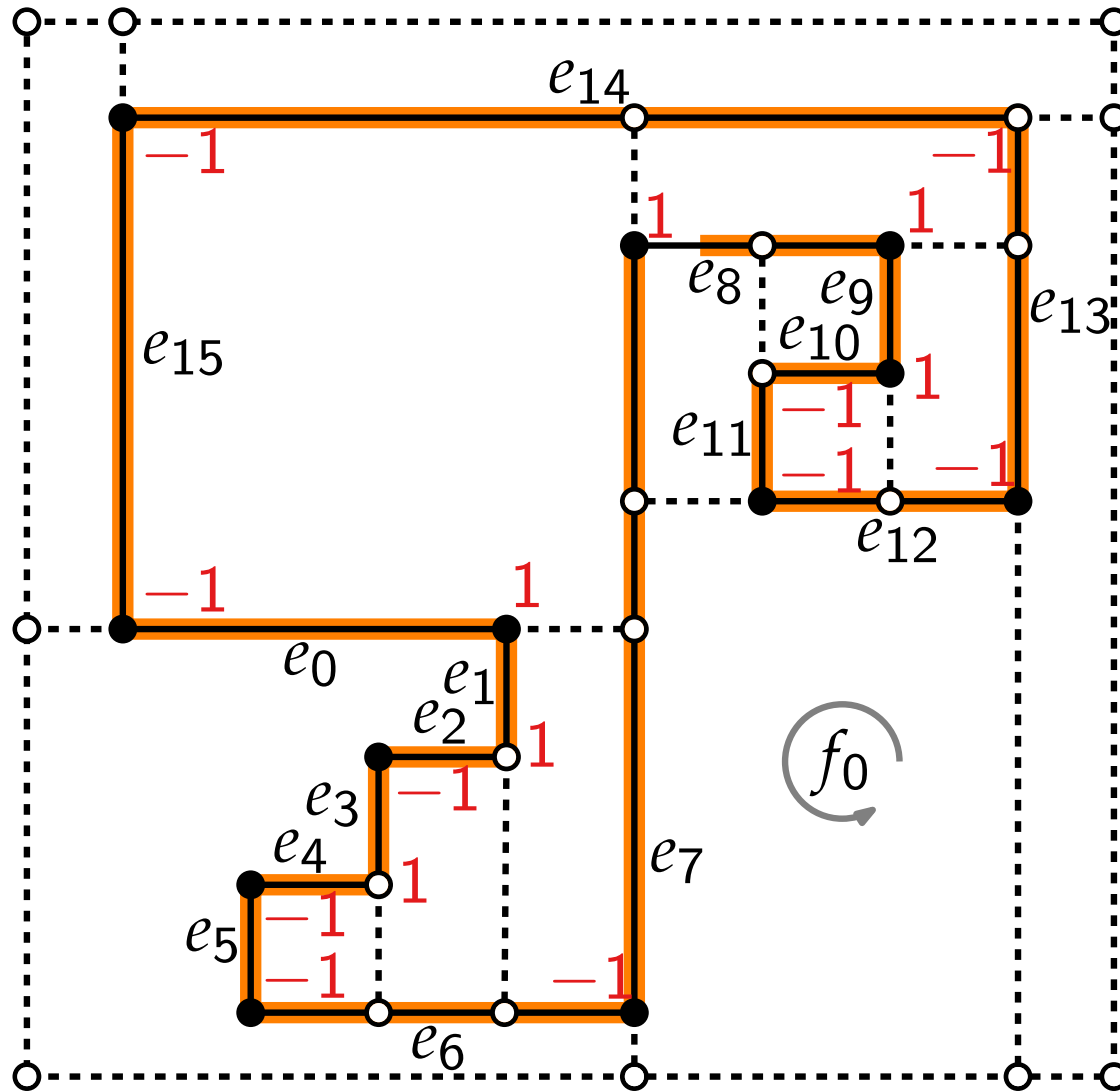




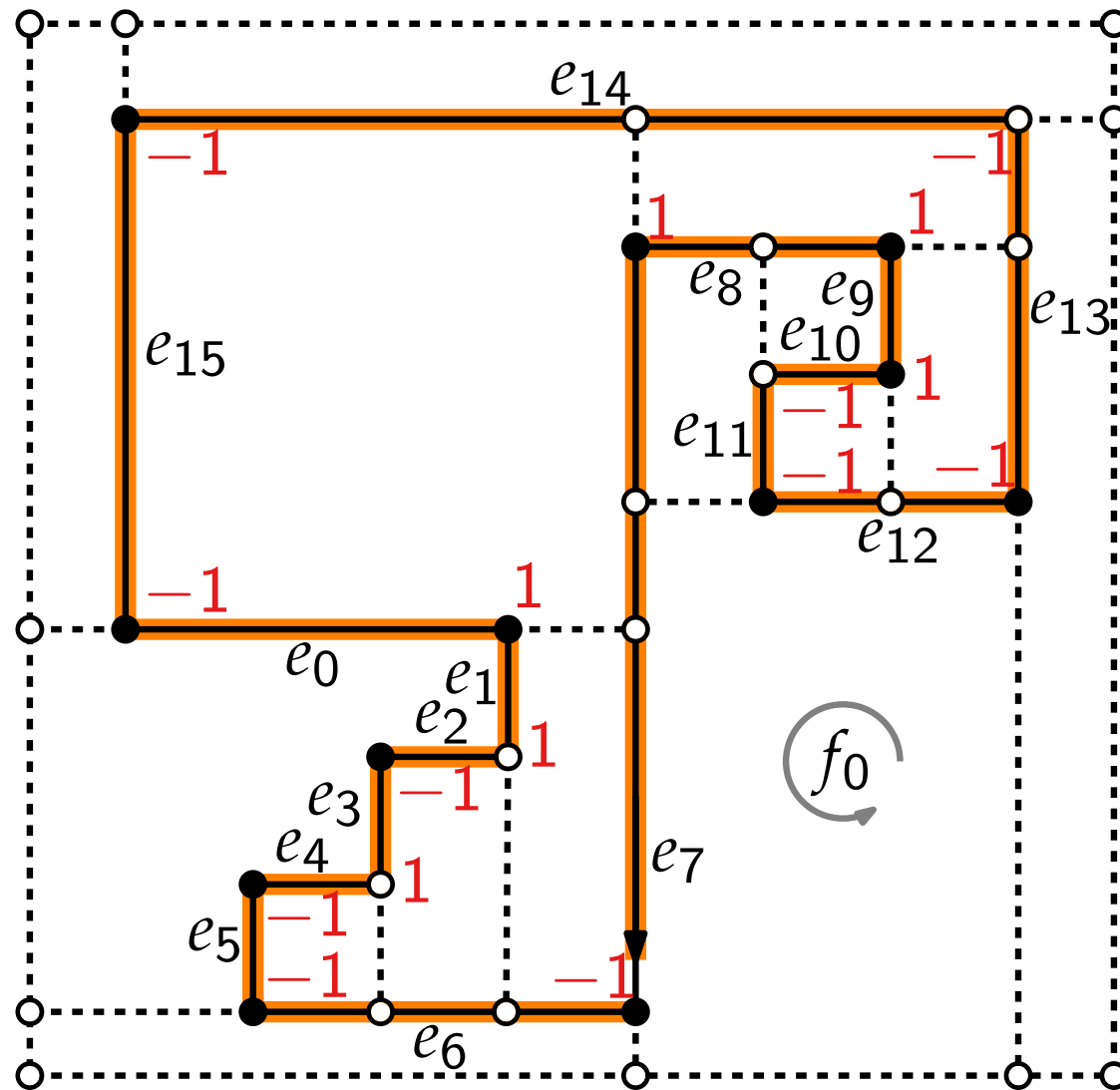
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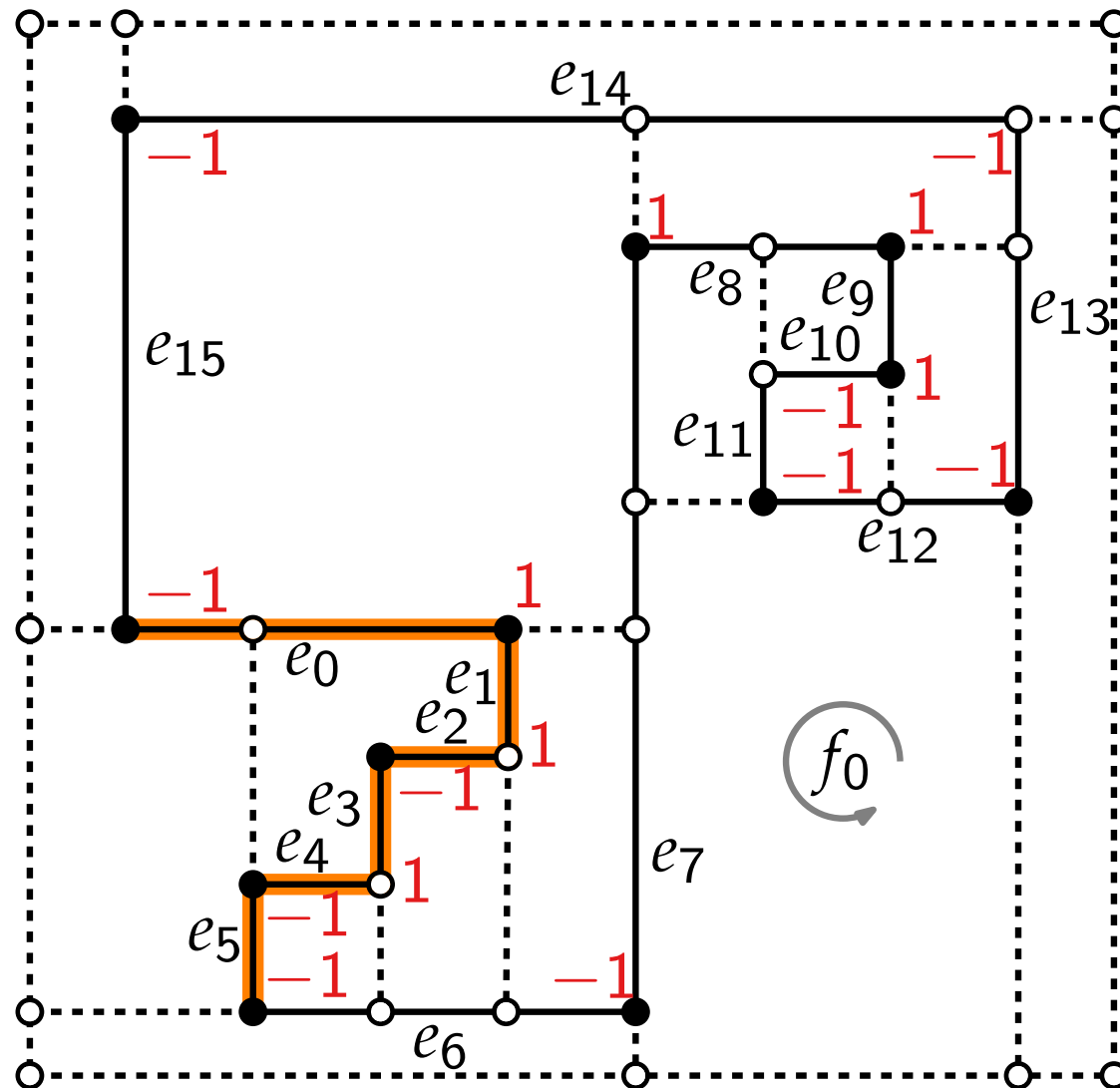
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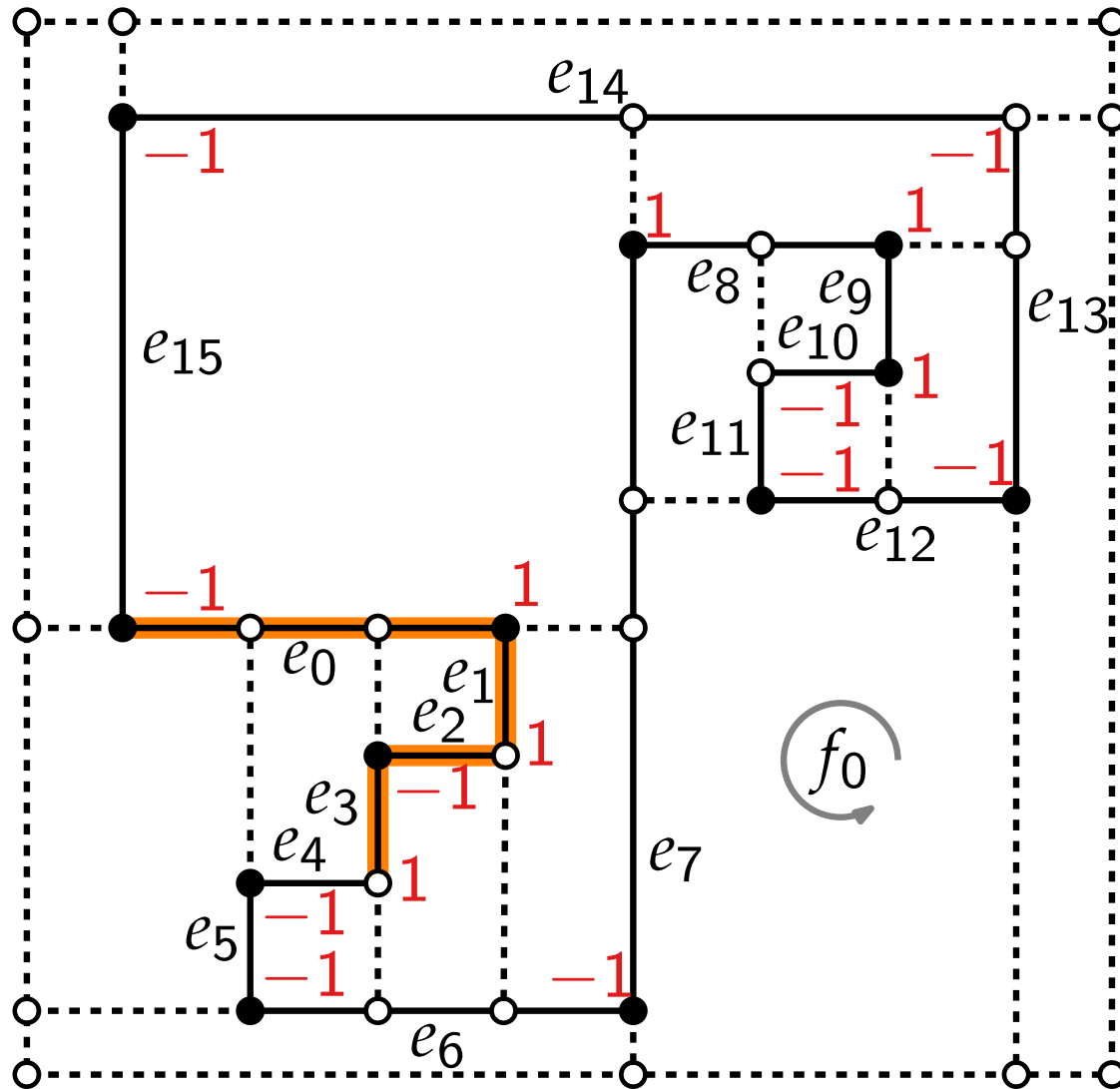
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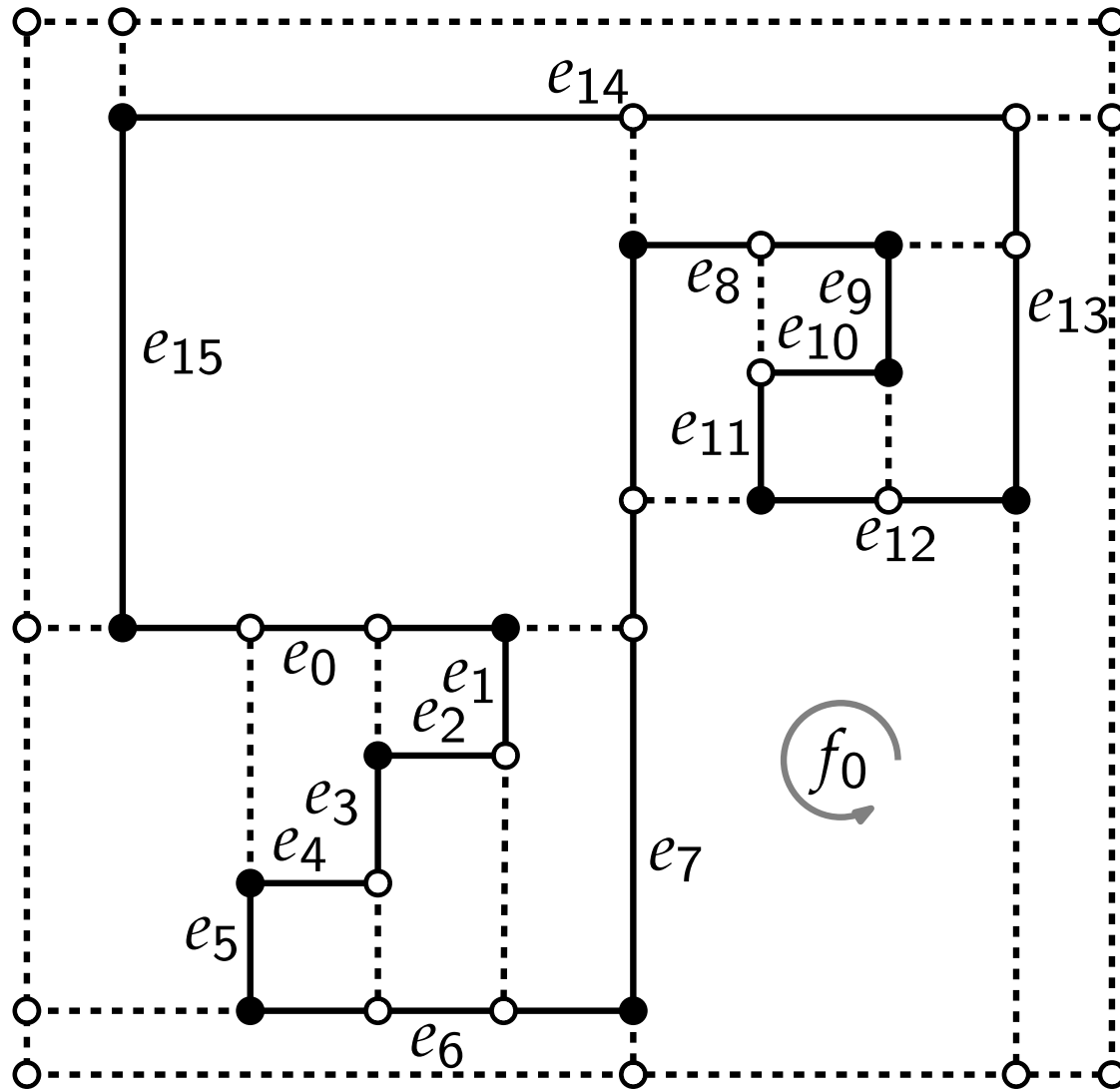
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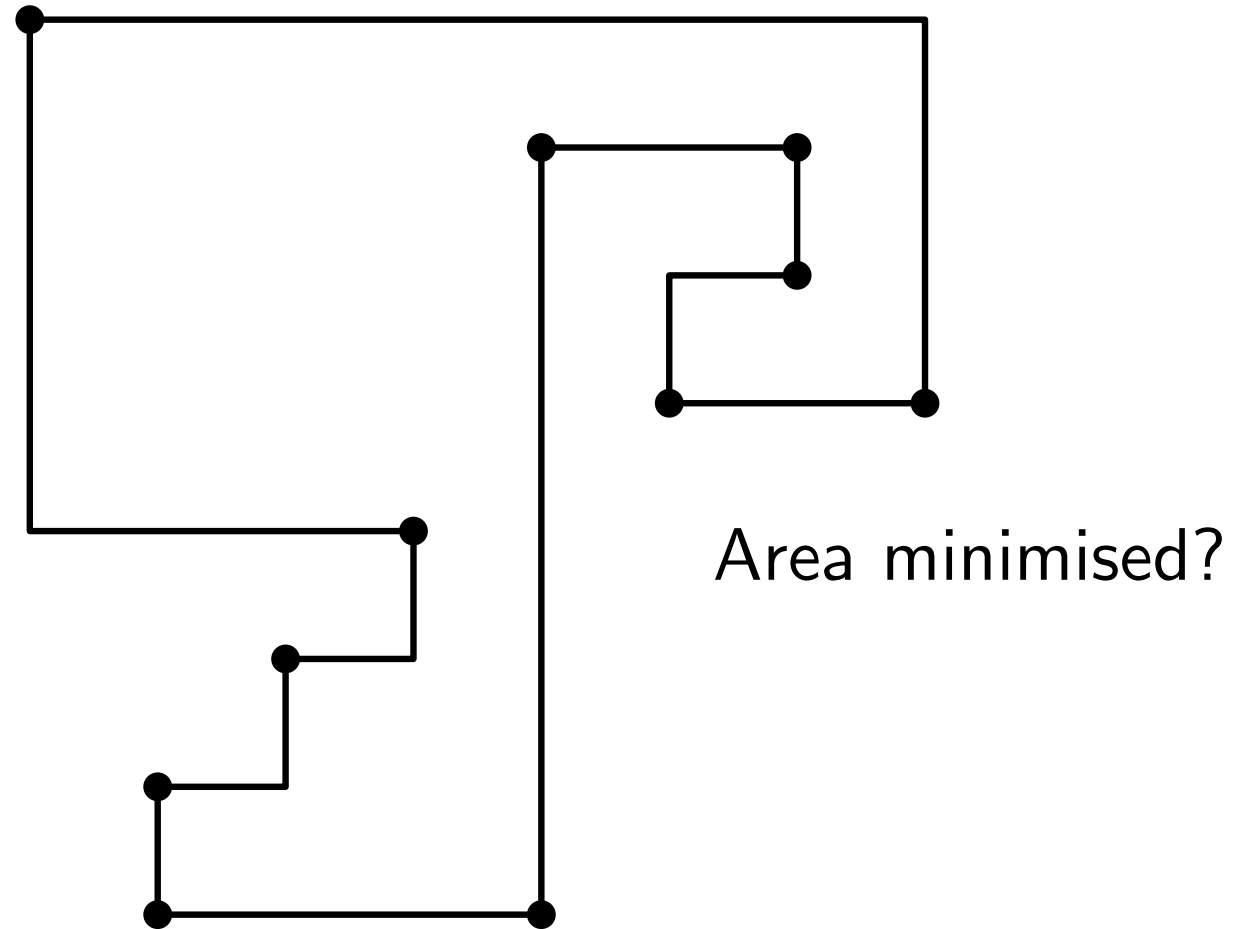
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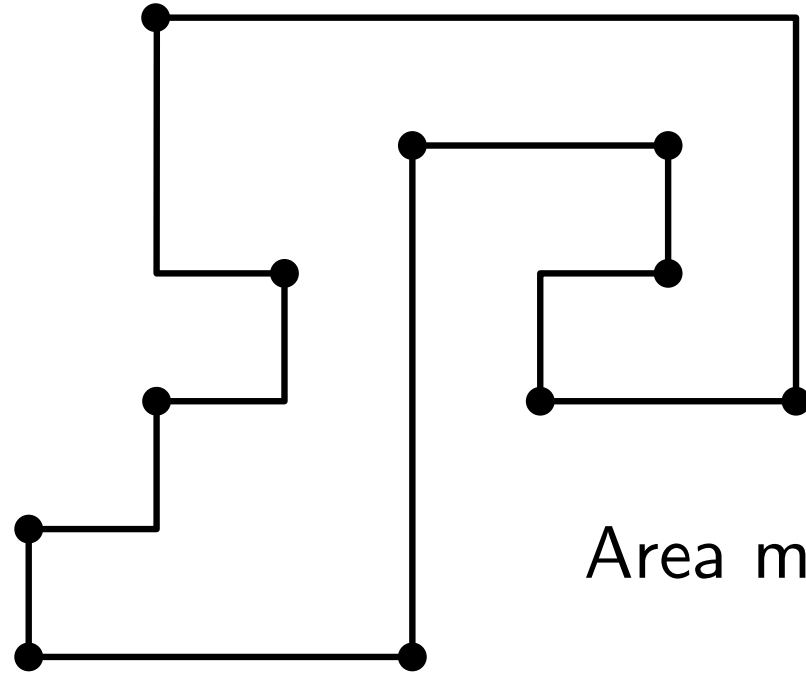
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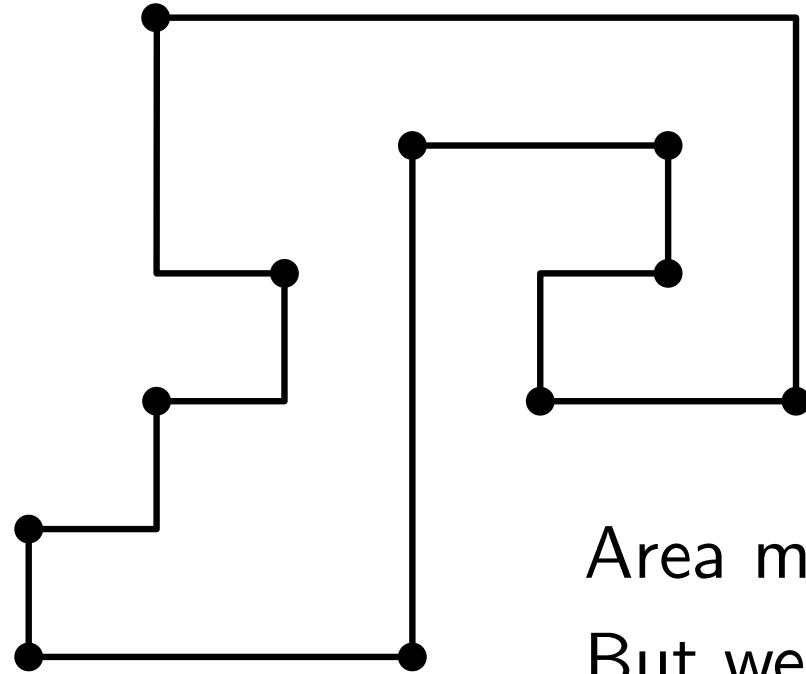
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Area minimized? **No!**



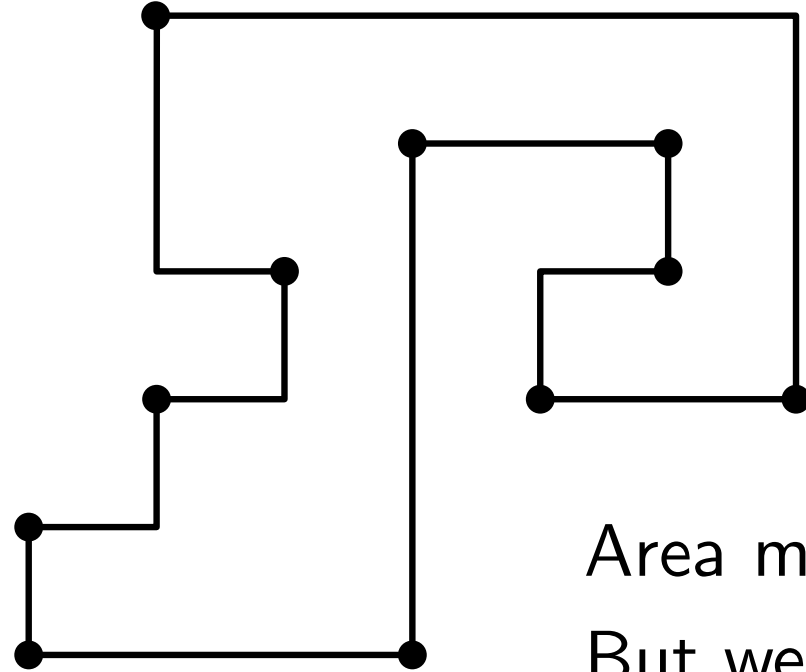
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But we get bound  $O((n + b)^2)$  on the area.

Compaction for given orthogonal representation is in general NP-hard.

# Compactifying is NP-hard [Patrignani '01]

- Reduction via **SAT**

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## ■ Reduction via **SAT**

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- $m$  clauses  $C_1, \dots, C_m$ ;
- each clause: Disjunction of literals  $x_i/\overline{x_i}$   
e.g.:  $C_1 = x_1 \vee \overline{x_2} \vee x_3$
- Is  $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$  satisfiable, i.e., is there an assignment to the variables satisfying every clause?

# Compactifying is NP-hard [Patrignani '01]

## ■ Reduction via **SAT**

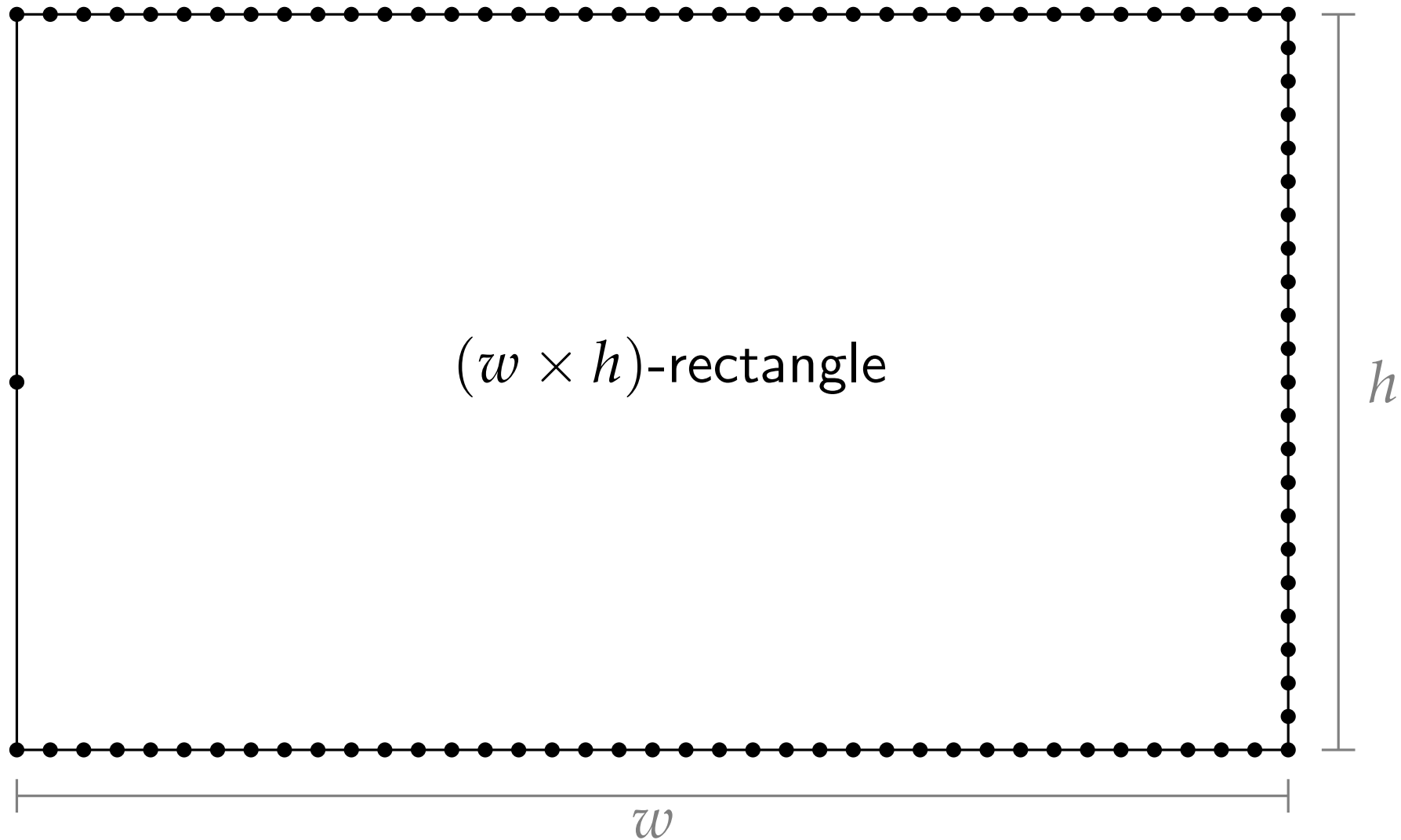
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  - each clause: Disjunction of literals  $x_i/\bar{x}_i$   
e.g.:  $C_1 = x_1 \vee \bar{x}_2 \vee x_3$
  - Is  $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$  satisfiable, i.e., is there an assignment to the variables satisfying every clause?
- Find an appropriate value  $K$  such that  
 $(G, H)$  can be drawn in  $K$  area  $\Leftrightarrow \Phi$  is satisfiable.

# Compactifying is NP-hard [Patrignani '01]

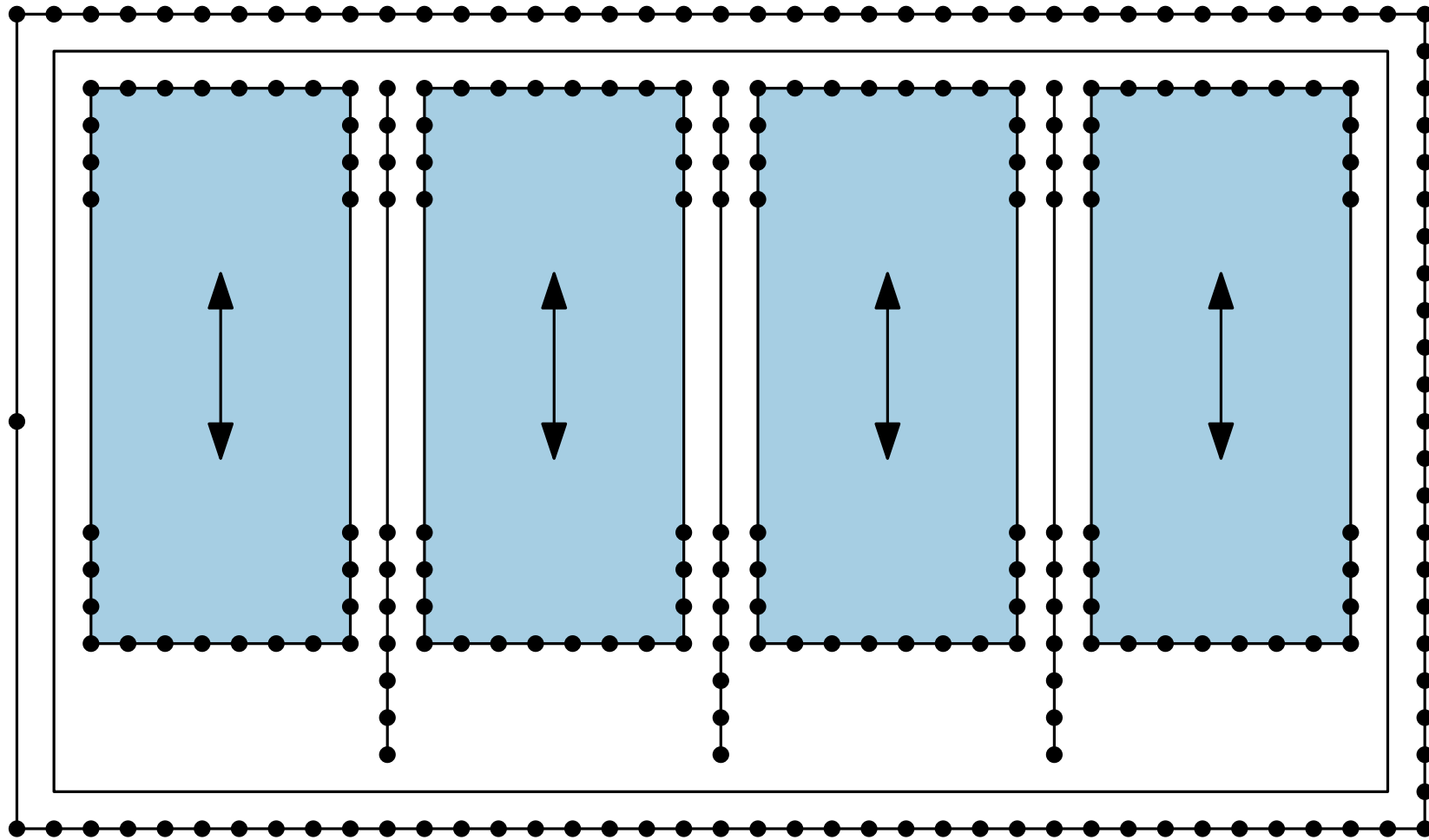
## ■ Reduction via **SAT**

- $n$  variables  $x_1, \dots, x_n$
  - $m$  clauses  $C_1, \dots, C_m$ ;
  - each clause: Disjunction of literals  $x_i/\overline{x_i}$   
e.g.:  $C_1 = x_1 \vee \overline{x_2} \vee x_3$
  - Is  $\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$  satisfiable, i.e., is there an assignment to the variables satisfying every clause?
- Find an appropriate value  $K$  such that  
 $(G, H)$  can be drawn in  $K$  area  $\Leftrightarrow \Phi$  is satisfiable.
- High level structure of  $(G, H)$
- boundary
  - belts, and pistons
  - clause gadgets
  - variable gadgets

# Boundary, **belt**, and “piston” gadget

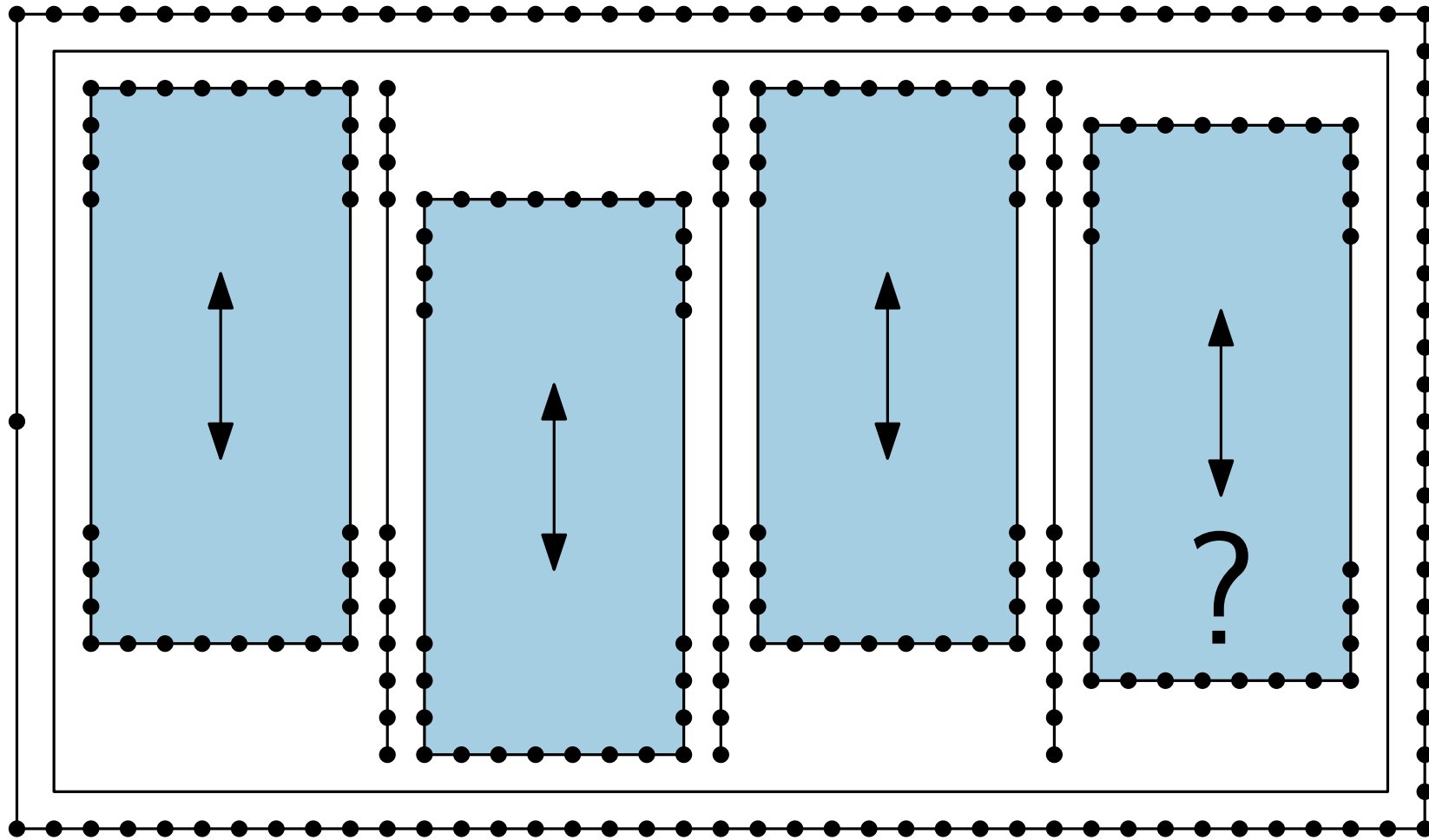


# Boundary, **belt**, and “piston” gadget

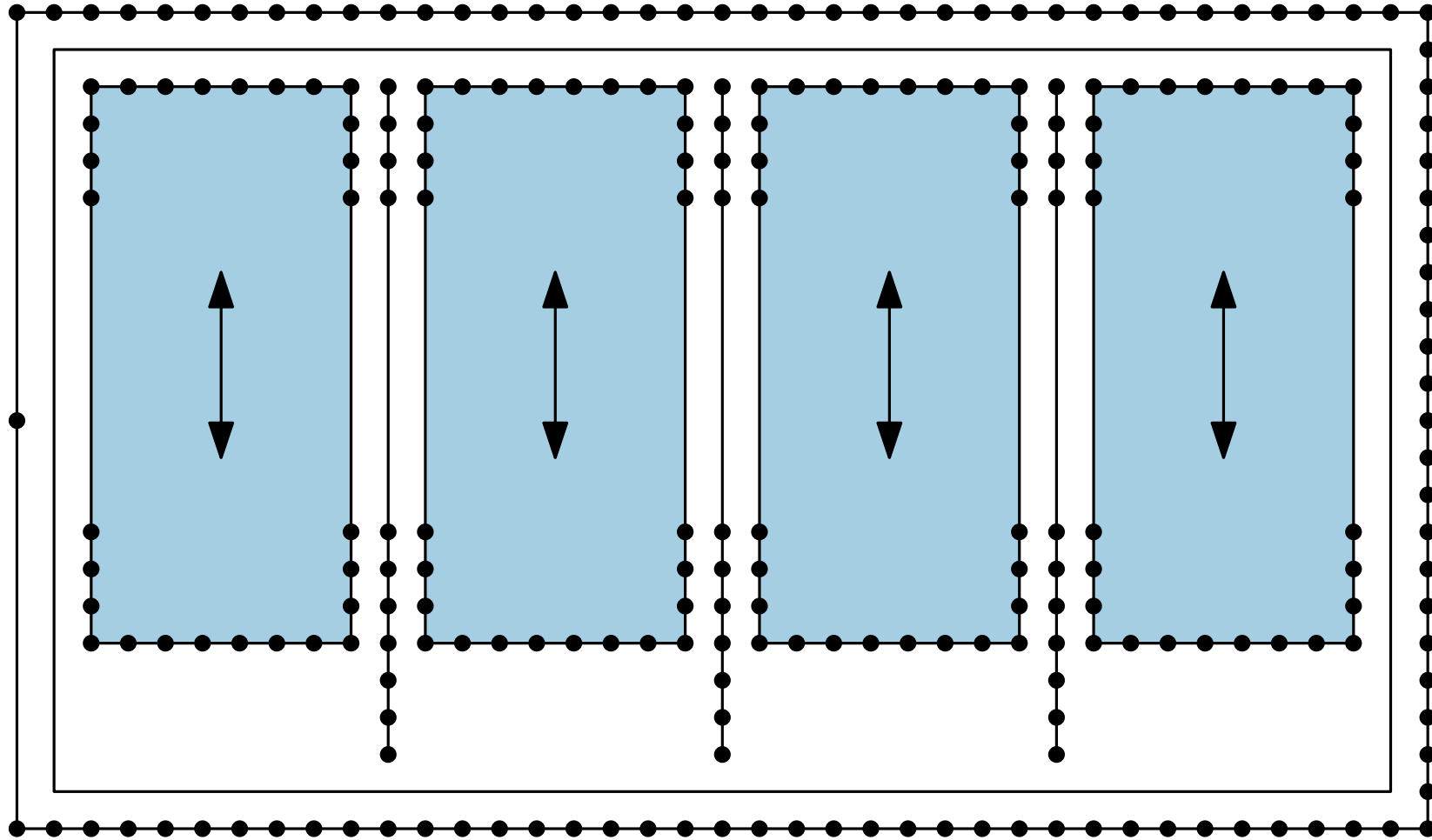




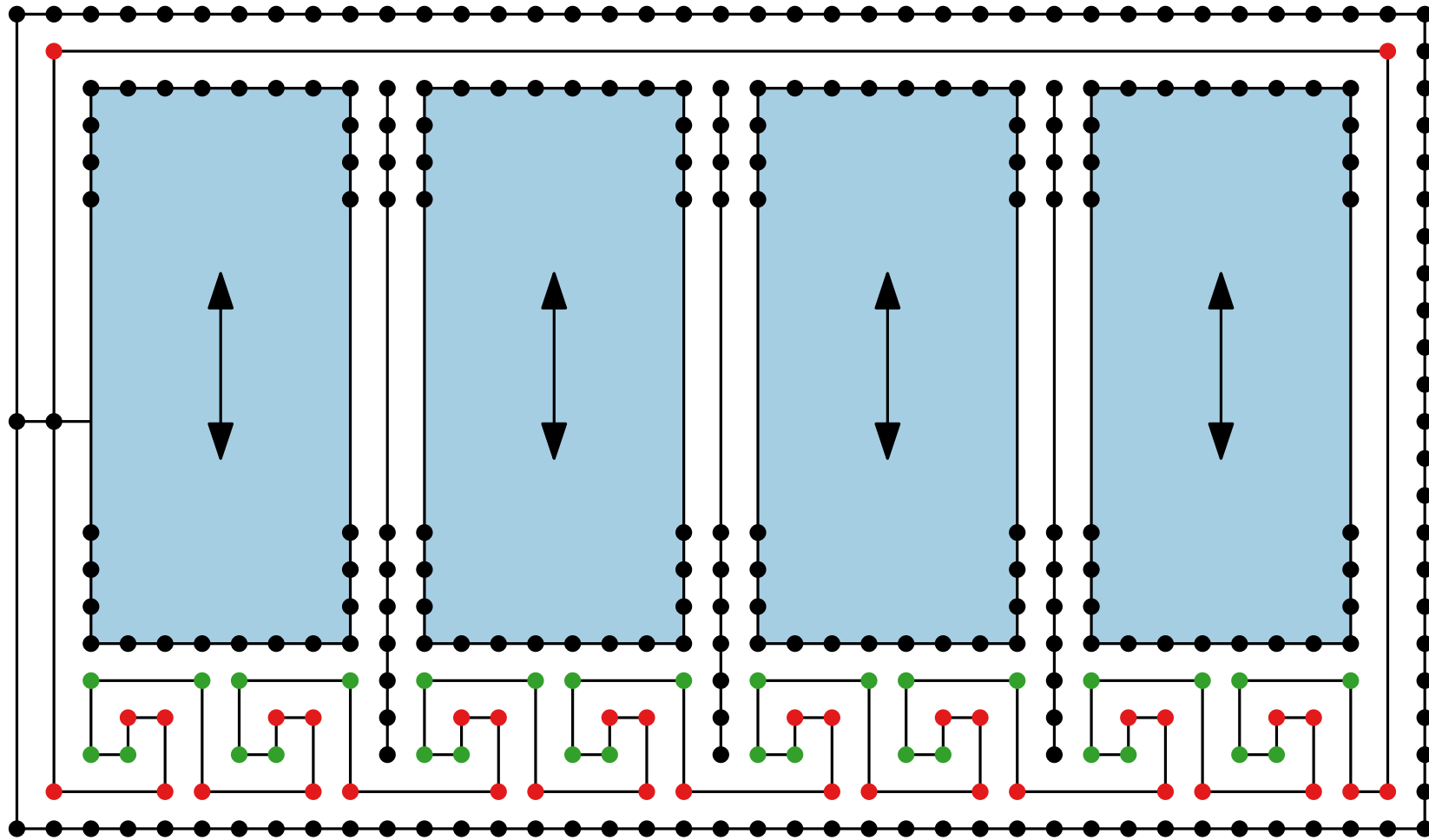
# Boundary, **belt**, and “piston” gadget



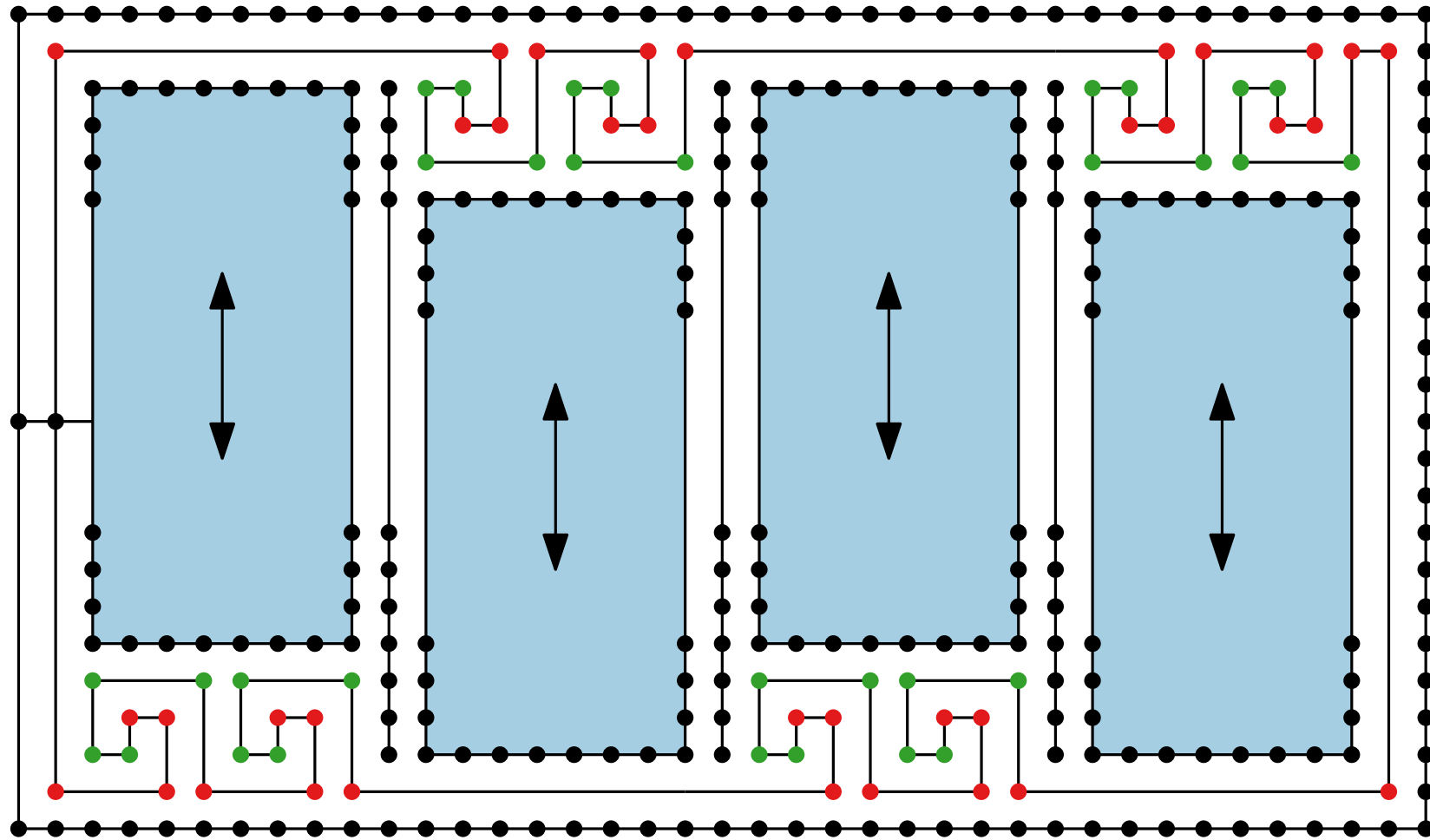
# Boundary, **belt**, and “piston” gadget



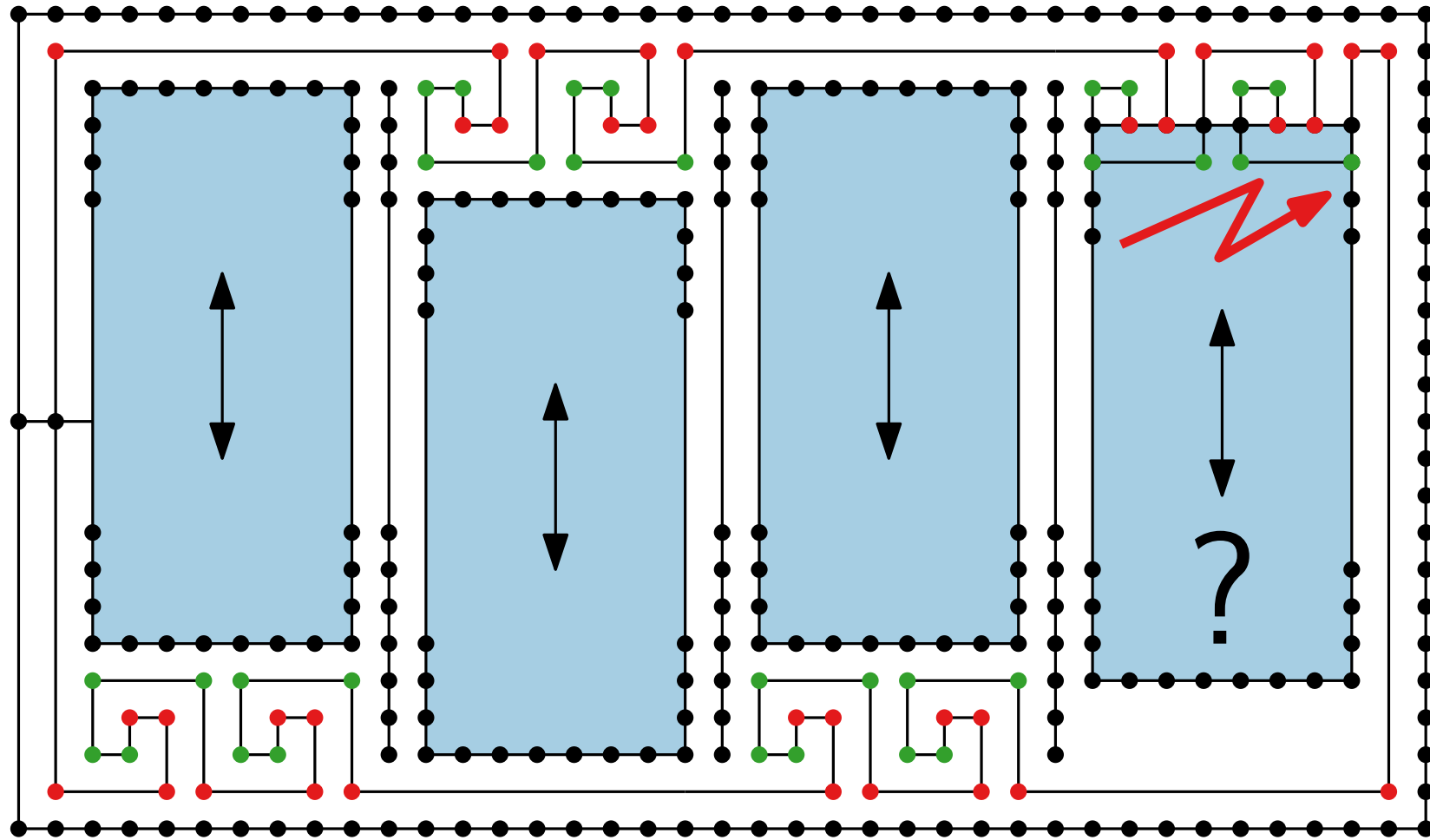
# Boundary, **belt**, and “piston” gadget



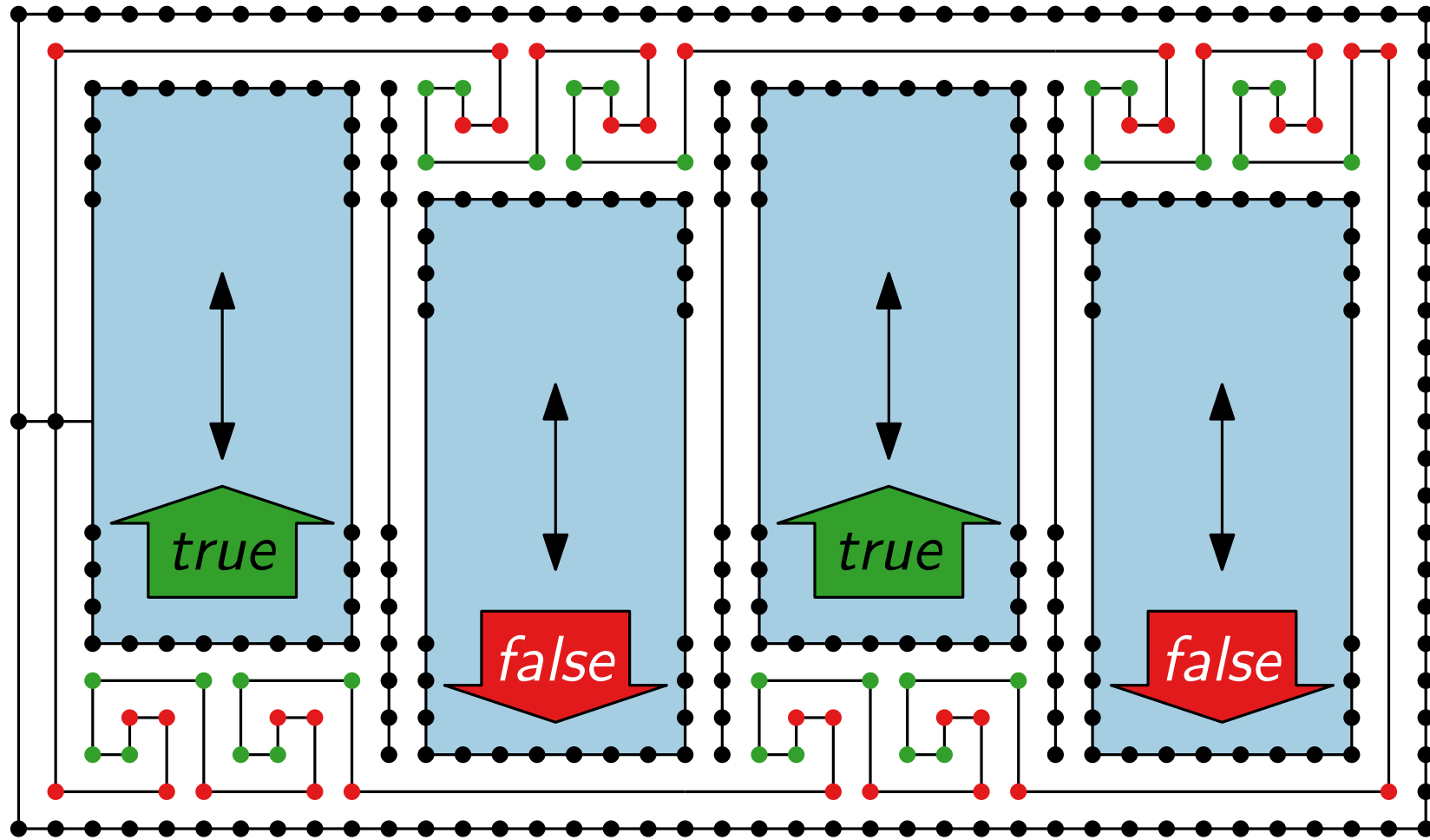
# Boundary, **belt**, and “piston” gadget



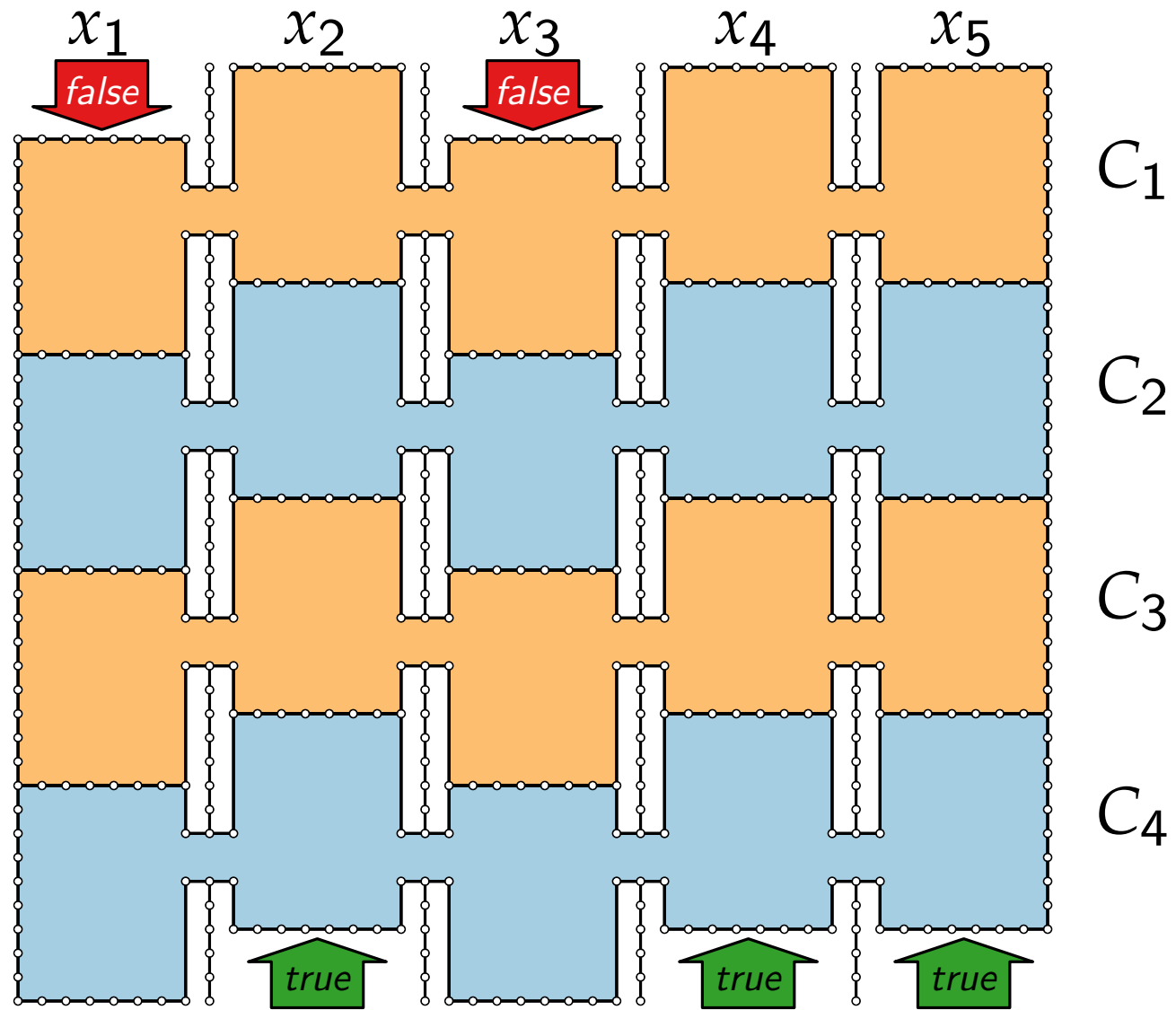
# Boundary, **belt**, and “piston” gadget



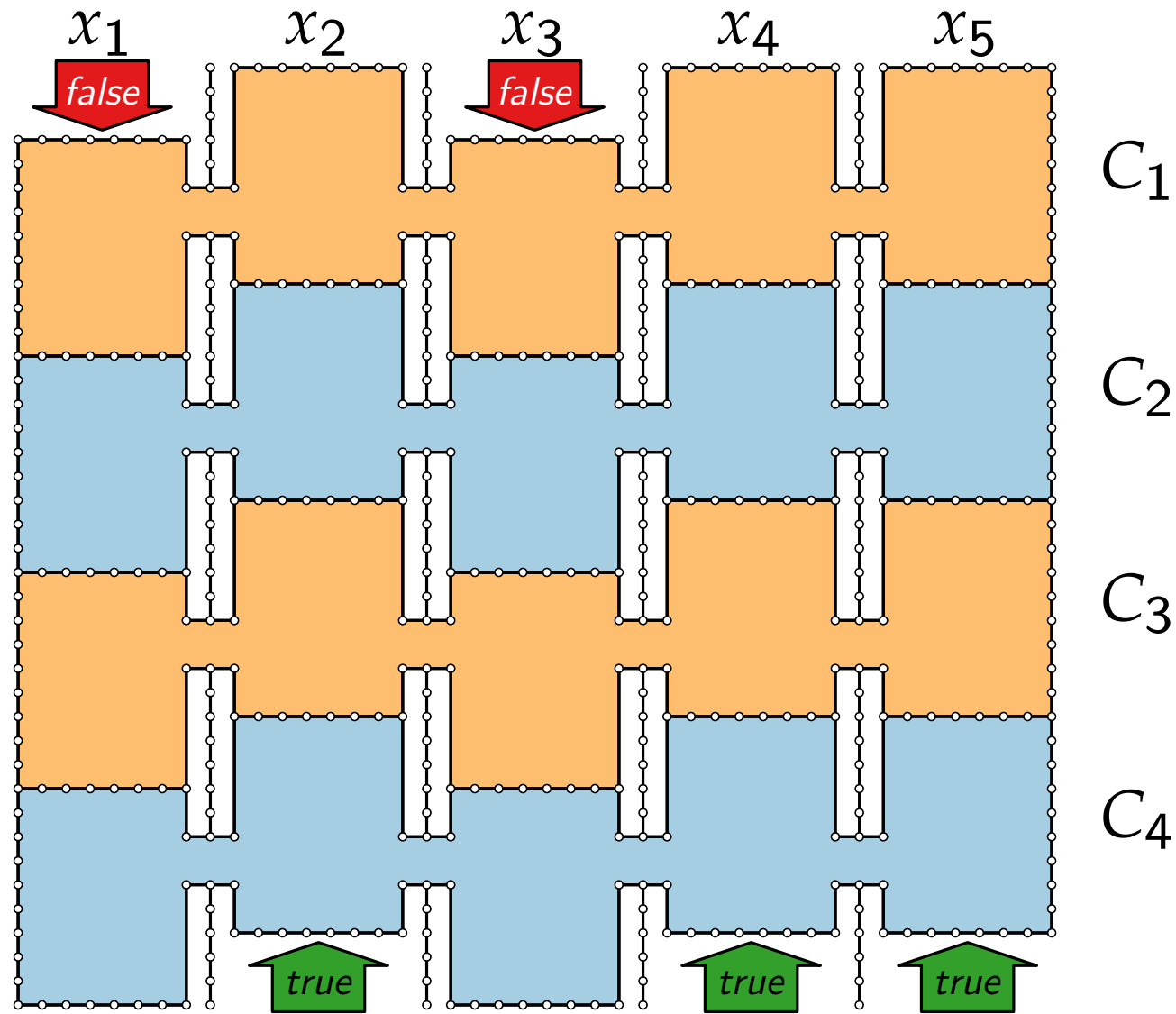
# Boundary, **belt**, and “piston” gadget



# Clause gadgets



# Clause gadgets



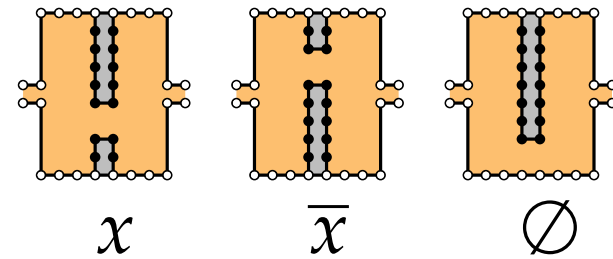
Example:

$$C_1 = x_2 \vee \overline{x_4}$$

$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

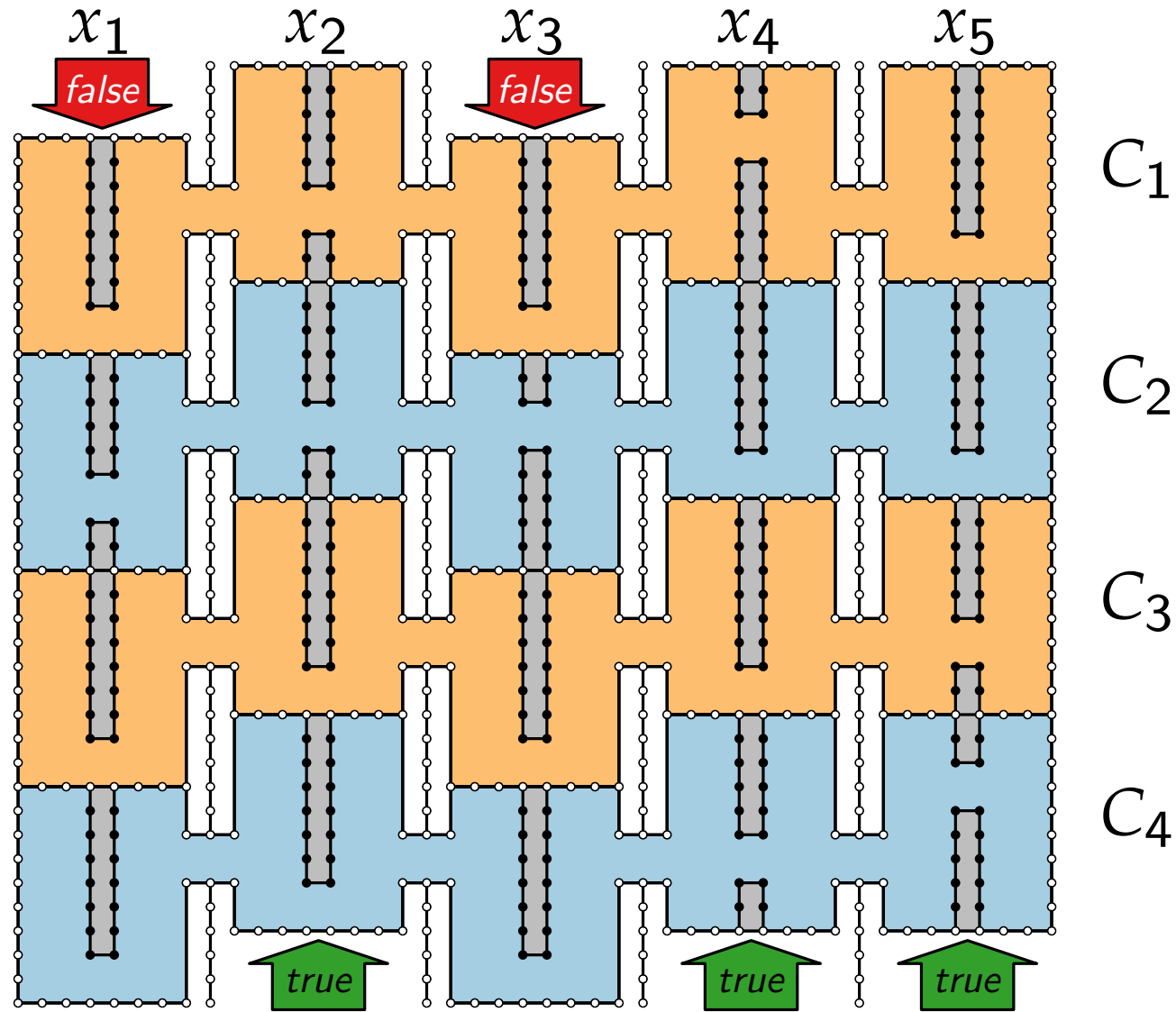
$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$





# Clause gadgets



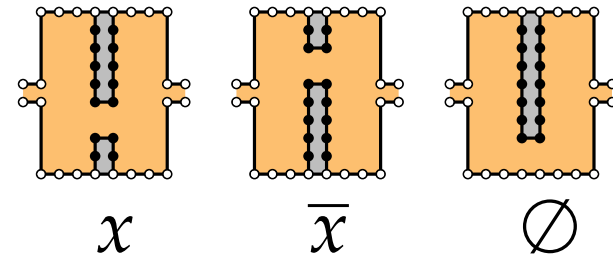
Example:

$$C_1 = x_2 \vee \overline{x_4}$$

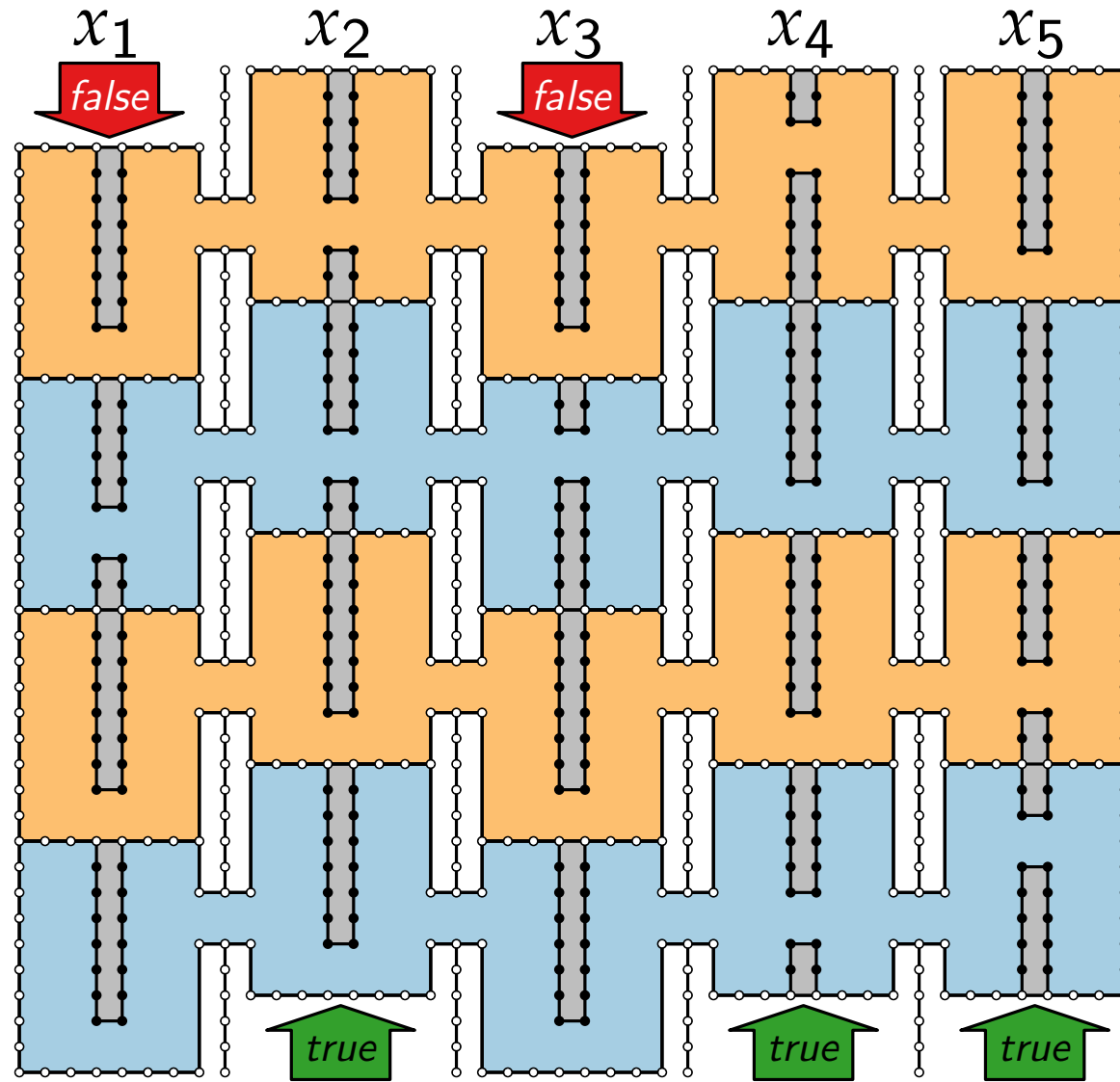
$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$



# Clause gadgets


 $C_1$ 

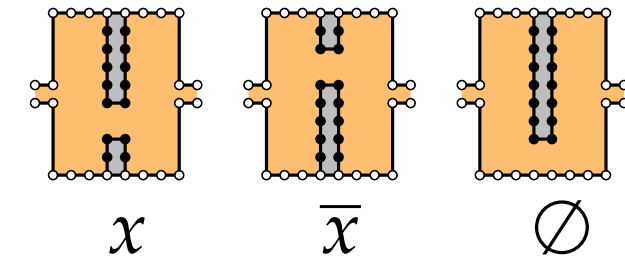
Example:

$$C_1 = x_2 \vee \overline{x_4}$$

$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

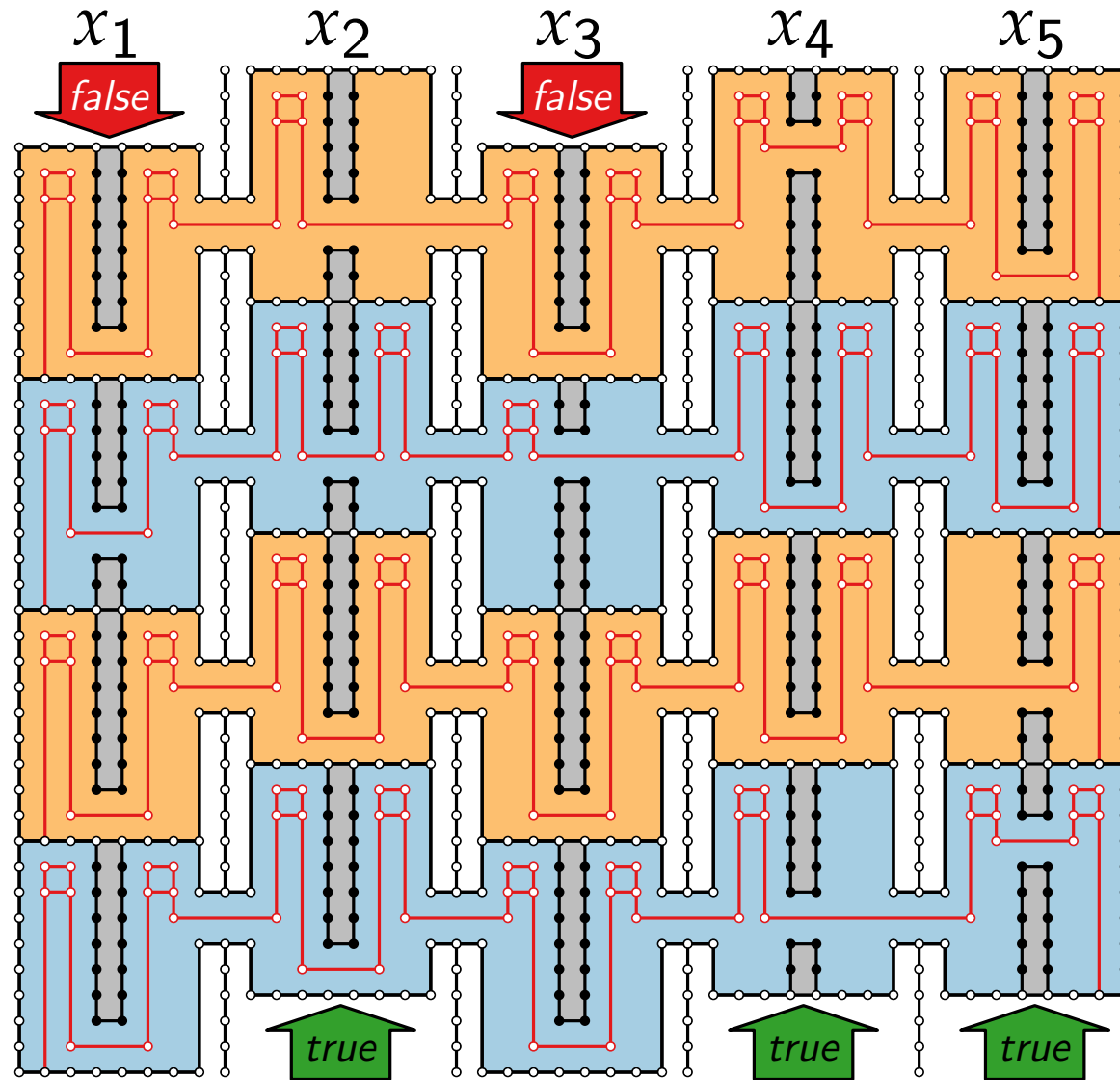
$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$

 $C_2$ 
 $C_3$ 
 $C_4$ 


insert  $(2n - 1)$ -chain  
through each clause

# Clause gadgets



$C_1$

Example:

$$C_1 = x_2 \vee \overline{x_4}$$

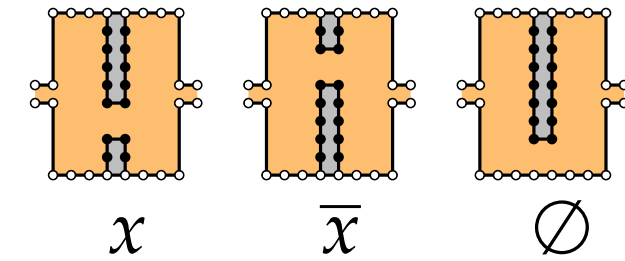
$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$

$C_2$

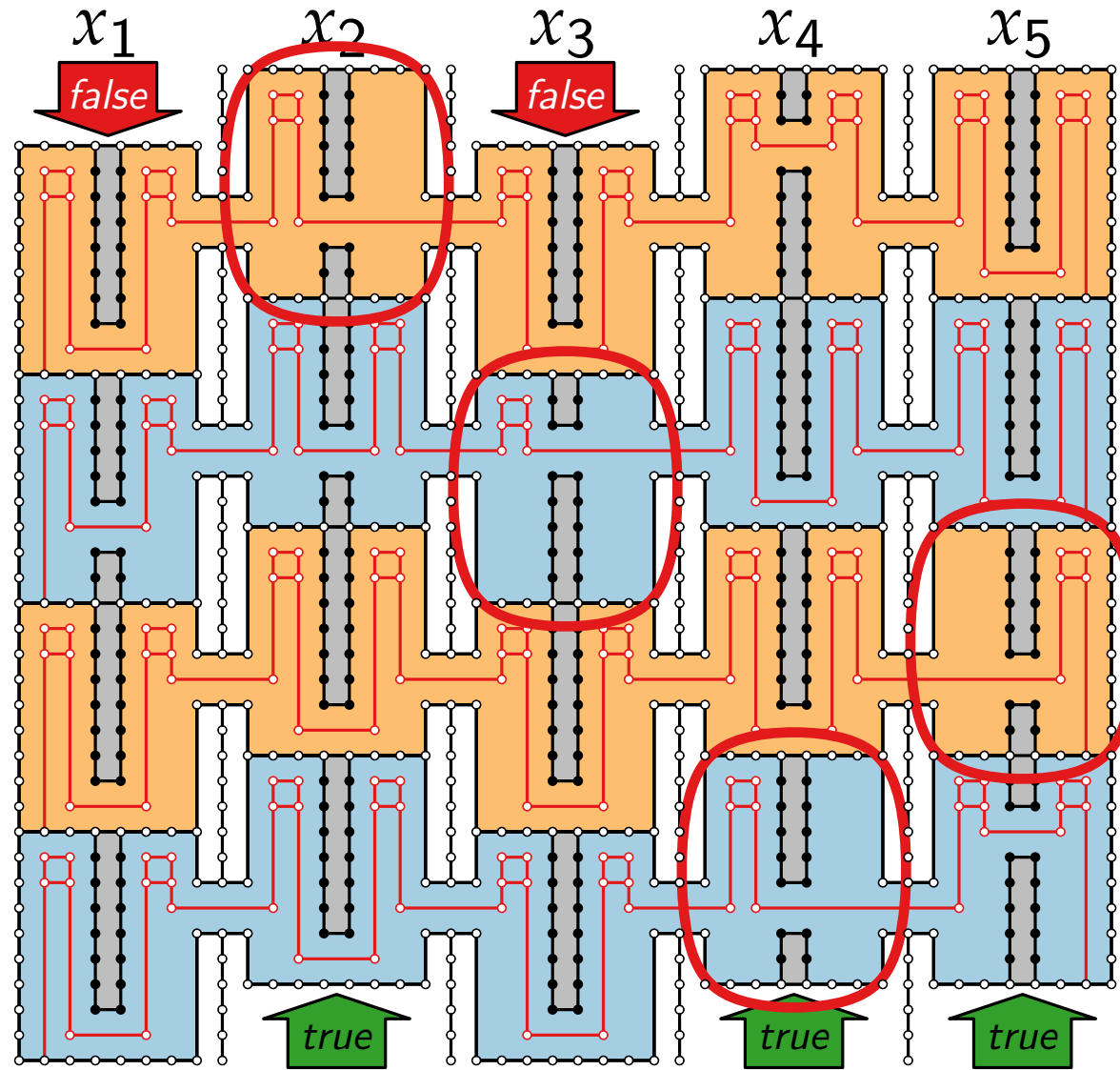
$C_3$



$C_4$

insert  $(2n - 1)$ -chain  
through each clause

# Clause gadgets



$C_1$

Example:

$$C_1 = x_2 \vee \overline{x_4}$$

$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

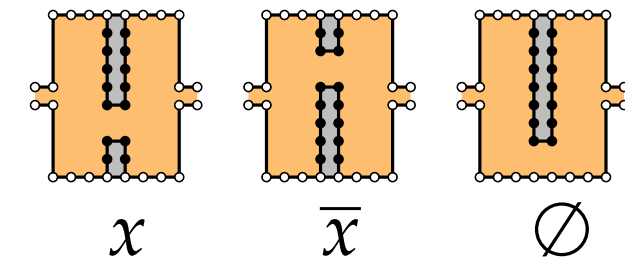
$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$

$C_2$

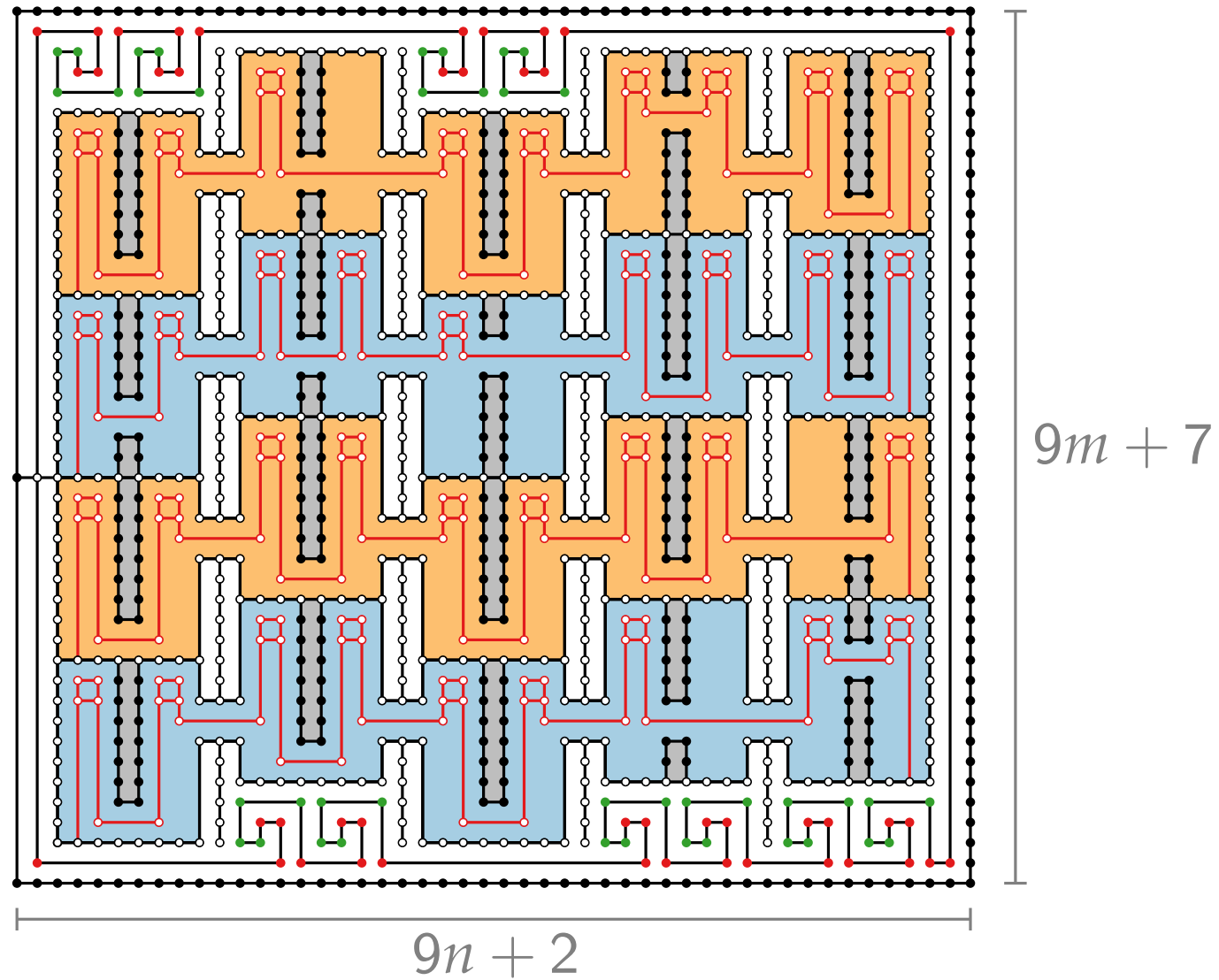
$C_3$

$C_4$

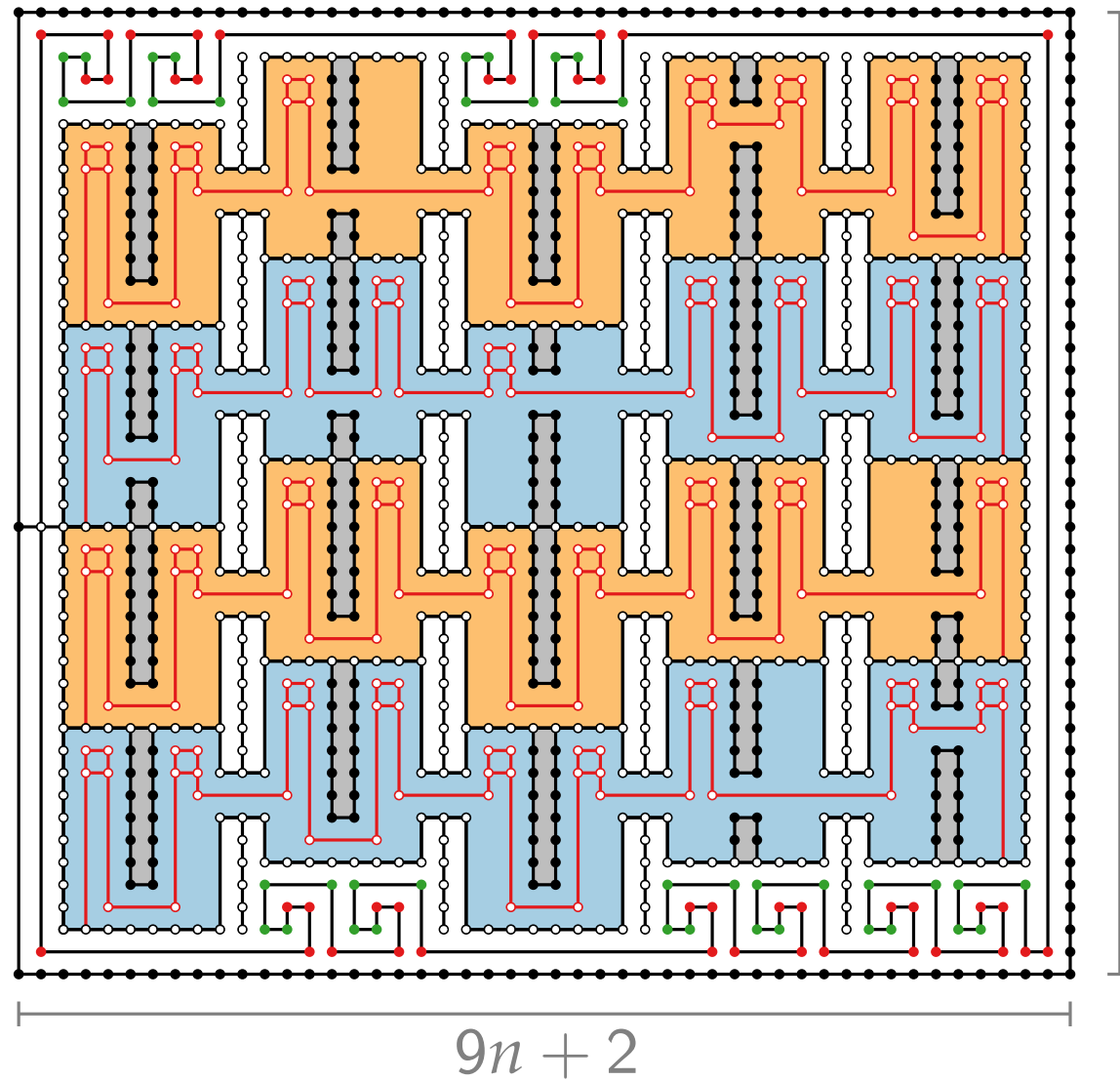


insert  $(2n - 1)$ -chain  
through each clause

# Complete reduction



# Complete reduction

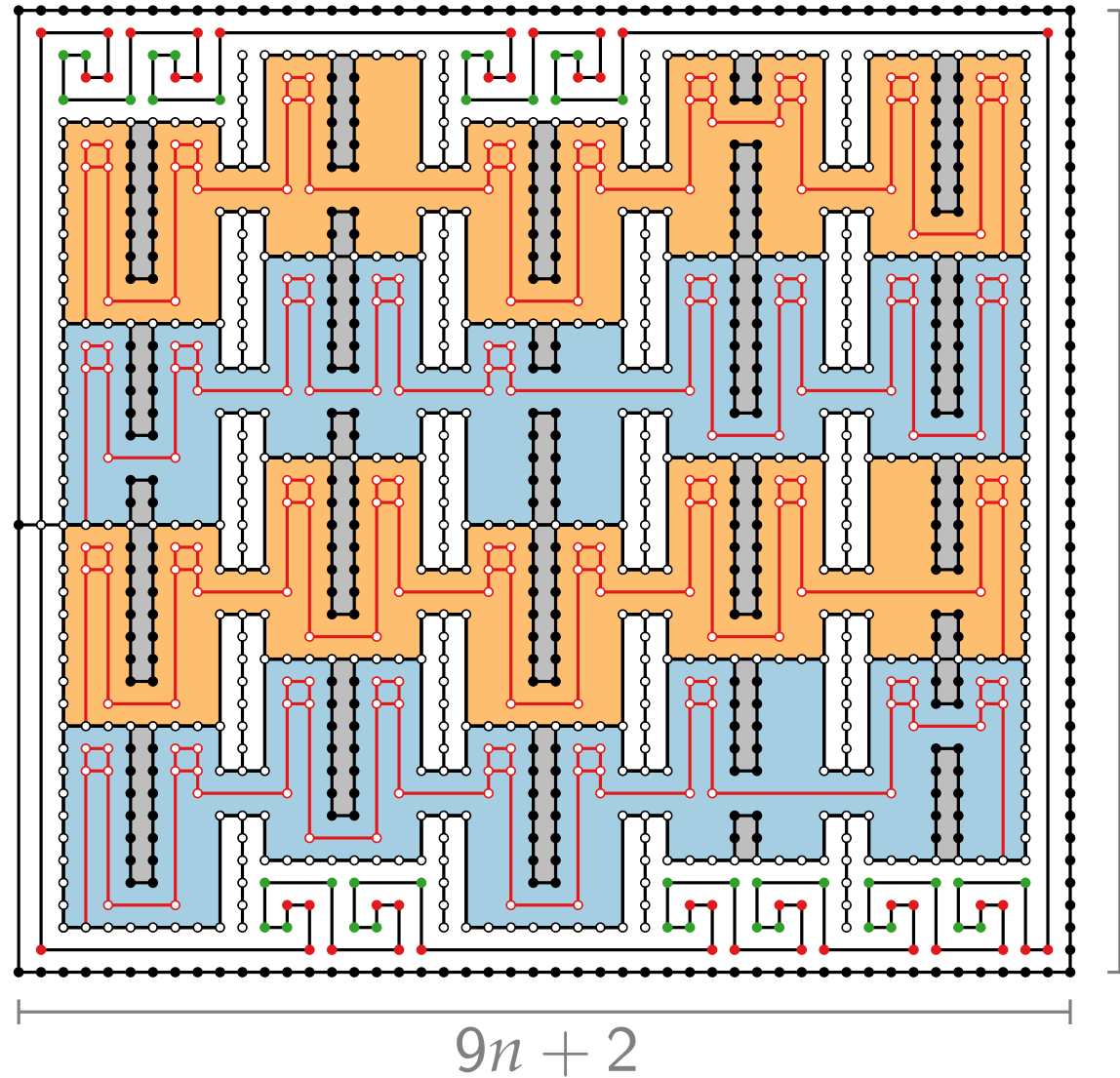


Pick

$$K = (9n + 2) \cdot (9m + 7)$$

$$9m + 7$$

# Complete reduction



Pick

$$K = (9n + 2) \cdot (9m + 7)$$

$$9m + 7$$

Then:

$(G, H)$  has an area  $K$   
drawing

$\Leftrightarrow$

$\Phi$  satisfiable



# Literature

- [GD Ch. 5] for detailed explanation
- [Tam87] Tamassia “On embedding a graph in the grid with the minimum number of bends” 1987 – original paper on flow for bend minimisation
- [Pat01] Patrignani “On the complexity of orthogonal compaction” ’ 2001– NP-hardness proof of compactification