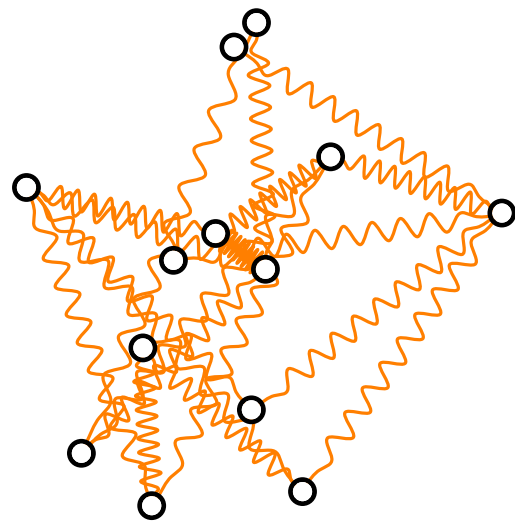


# Visualization of Graphs

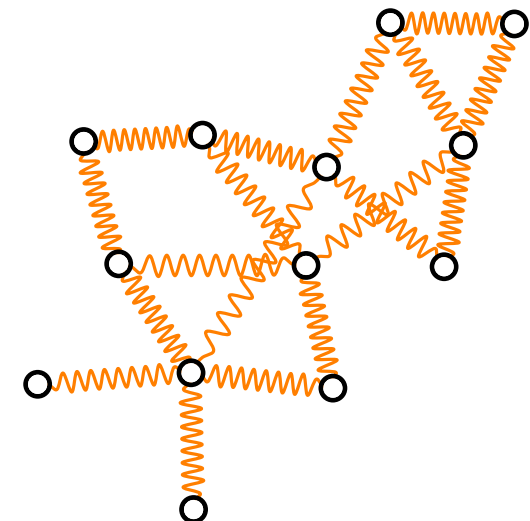
## Lecture 2: Force-Directed Drawing Algorithms



### Part I: Spring Embedders

Alexander Wolff

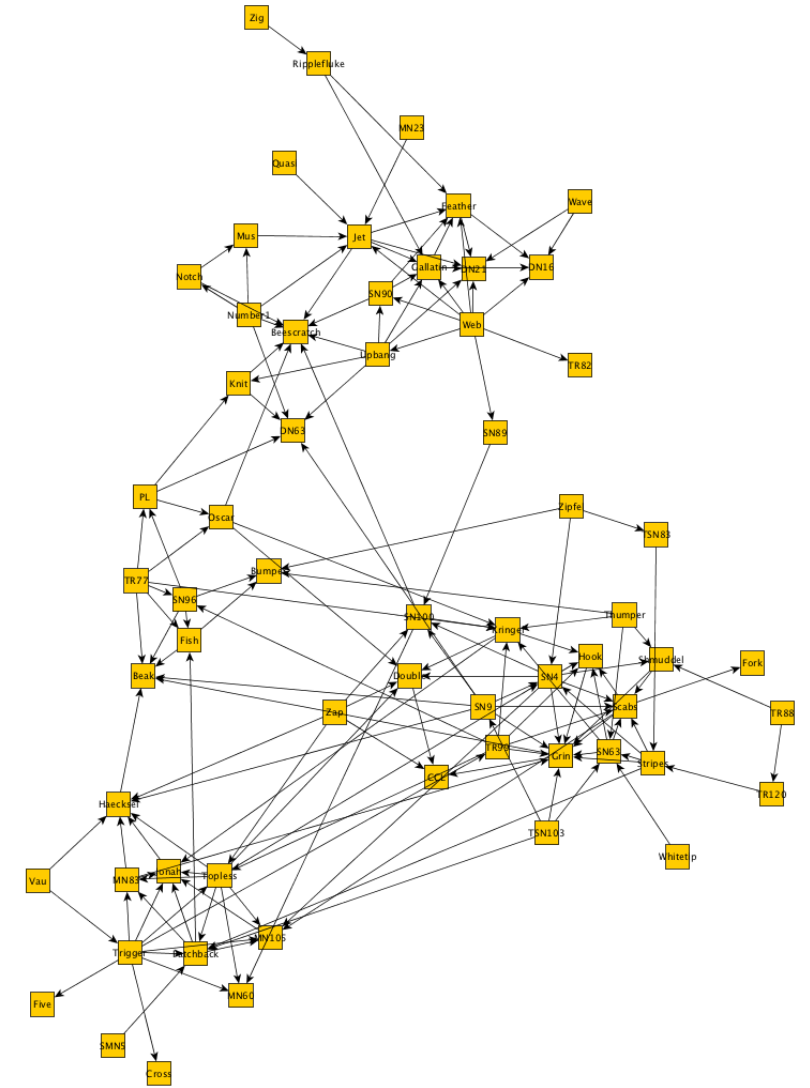
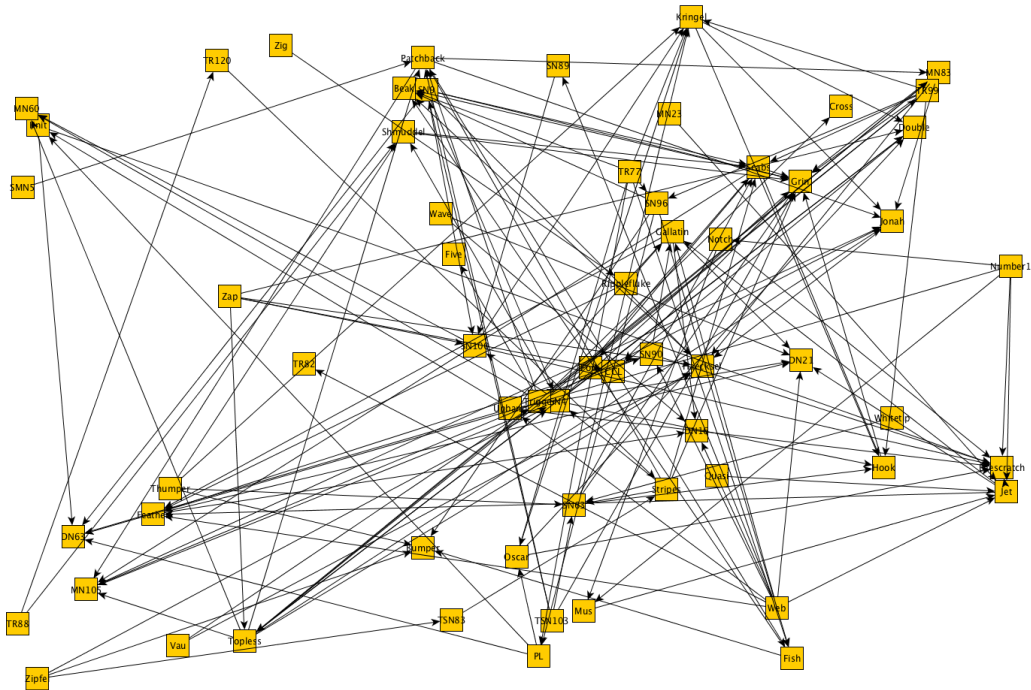
Summer term 2026



# General Layout Problem

**Input:** Graph  $G$

**Output:** Clear and readable straight-line drawing of  $G$



# General Layout Problem

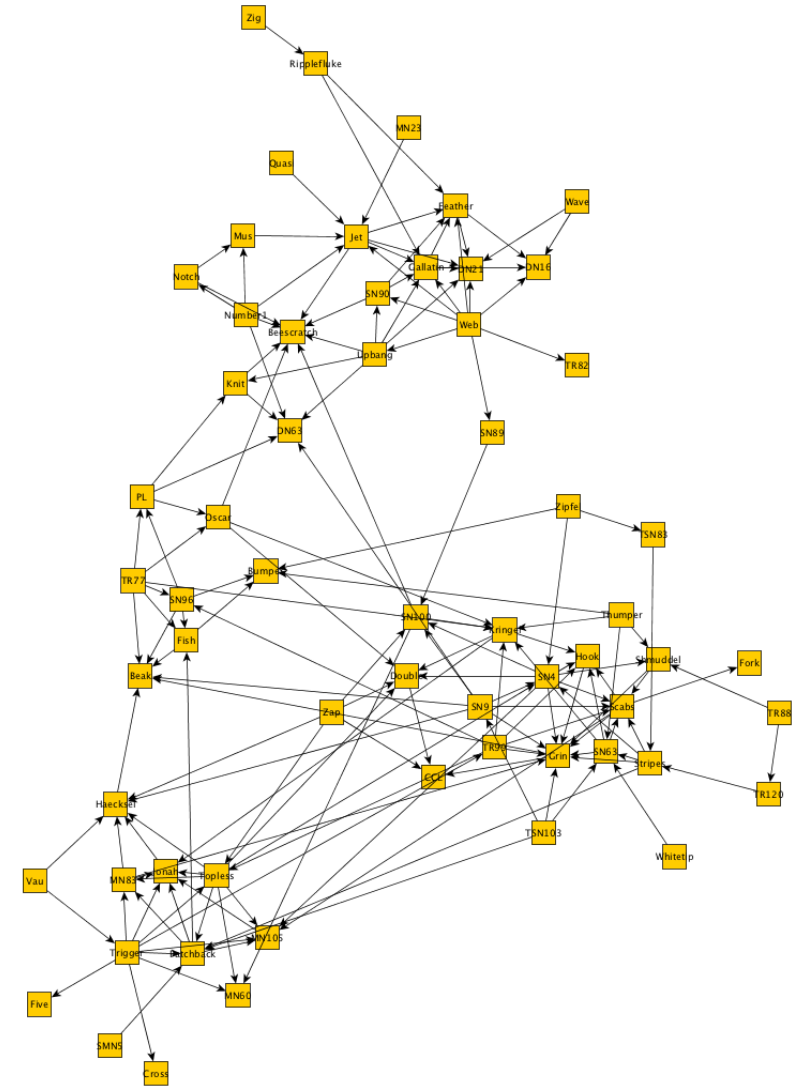
**Input:** Graph  $G$

**Output:** Clear and readable straight-line drawing of  $G$

**Drawing aesthetics to optimize:**

- adjacent vertices are close
- non-adjacent vertices are far apart
- edges short, straight-line, **similar length**
- densely connected parts (clusters) form communities
- as few crossings as possible
- nodes distributed evenly

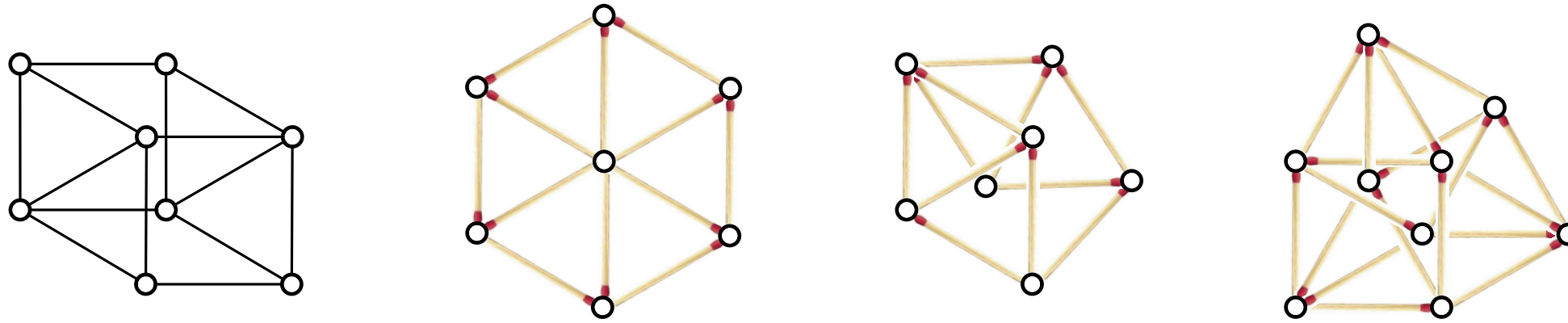
Optimization criteria partially contradict each other.



# Fixed Edge Lengths?

**Input:** Graph  $G$ , required length  $\ell(e)$  for each edge  $e \in E(G)$ .

**Output:** Drawing of  $G$  that realizes the given edge lengths.



**NP-hard** for

- uniform edge lengths in any dimension
- uniform edge lengths in planar drawings
- edge lengths in  $\{1, 2\}$

[Johnson '82]

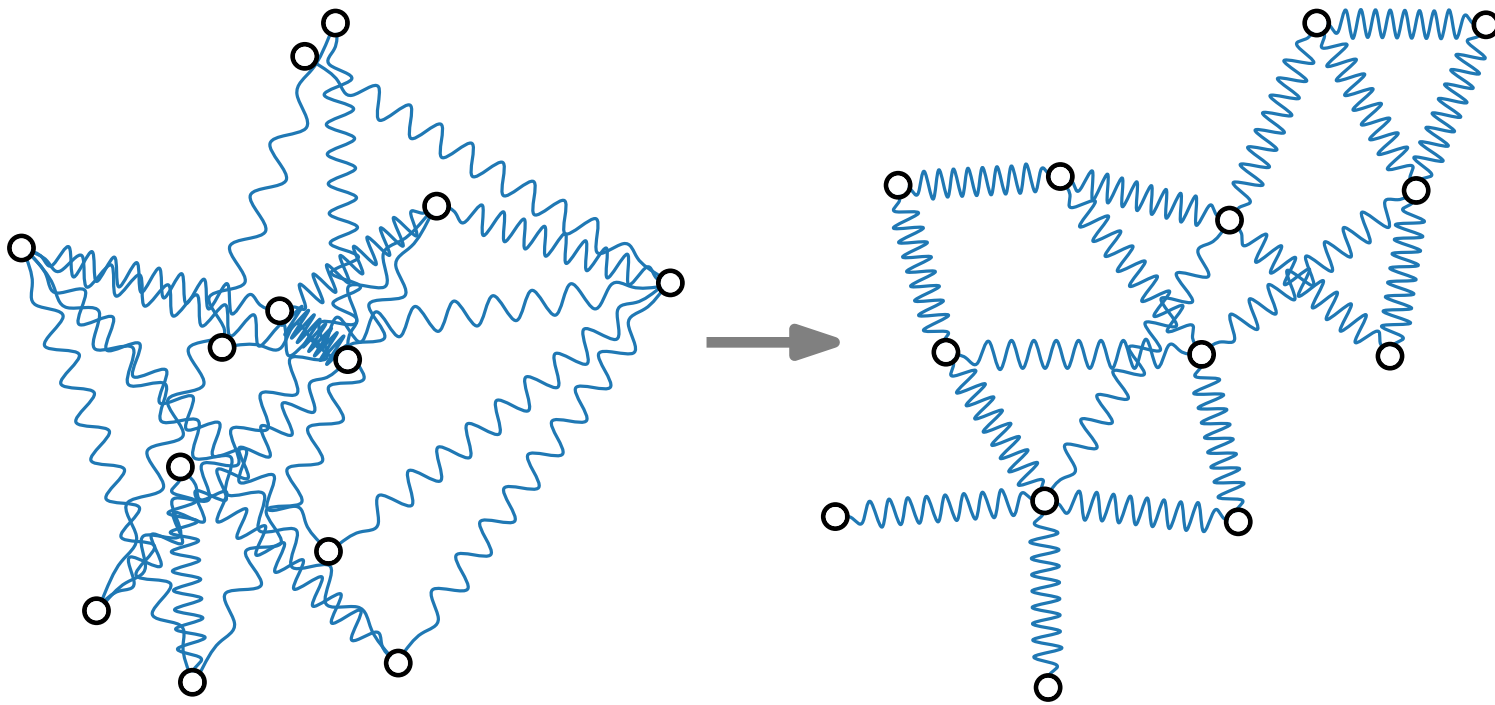
[Eades, Wormald '90]

[Saxe '80]

# Physical Analogy

**Idea.** [Eades '84]

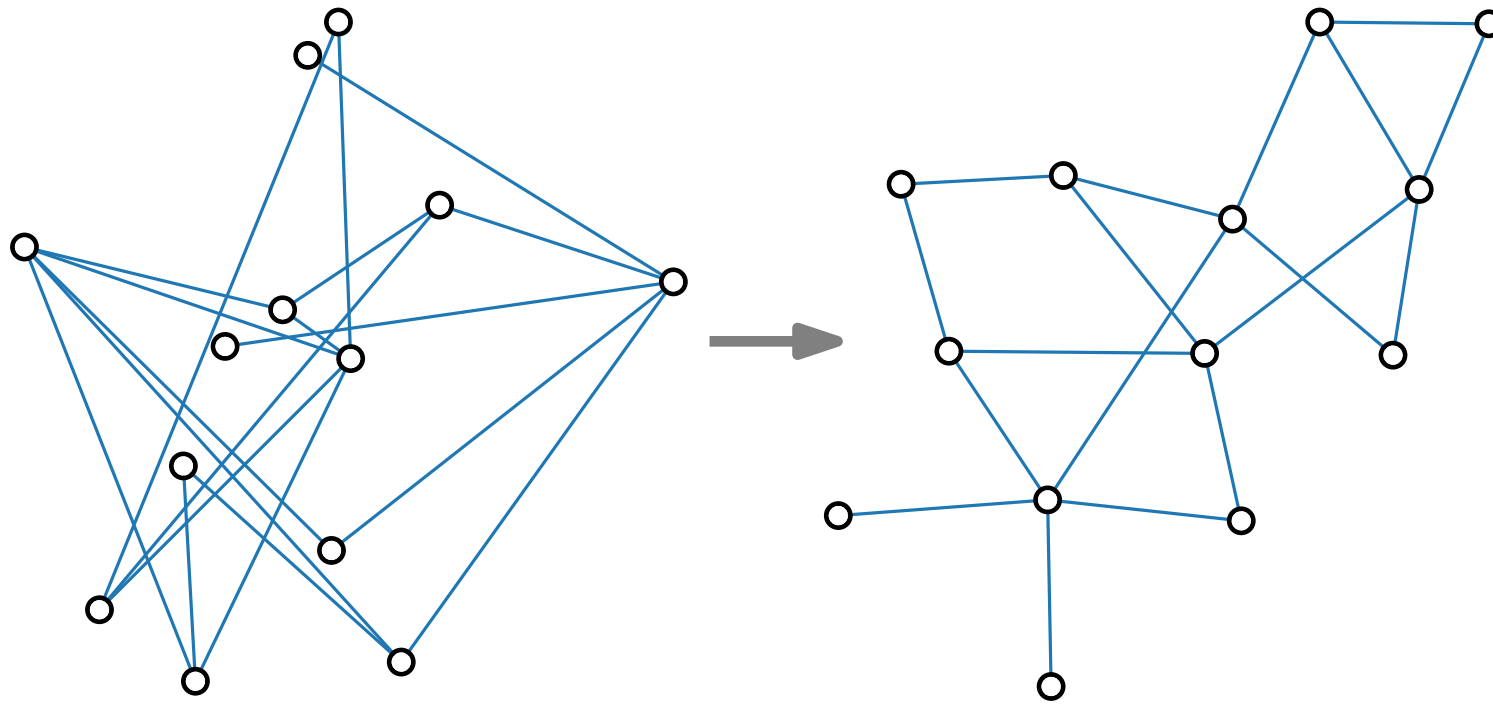
“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system... The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



# Physical Analogy

**Idea.** [Eades '84]

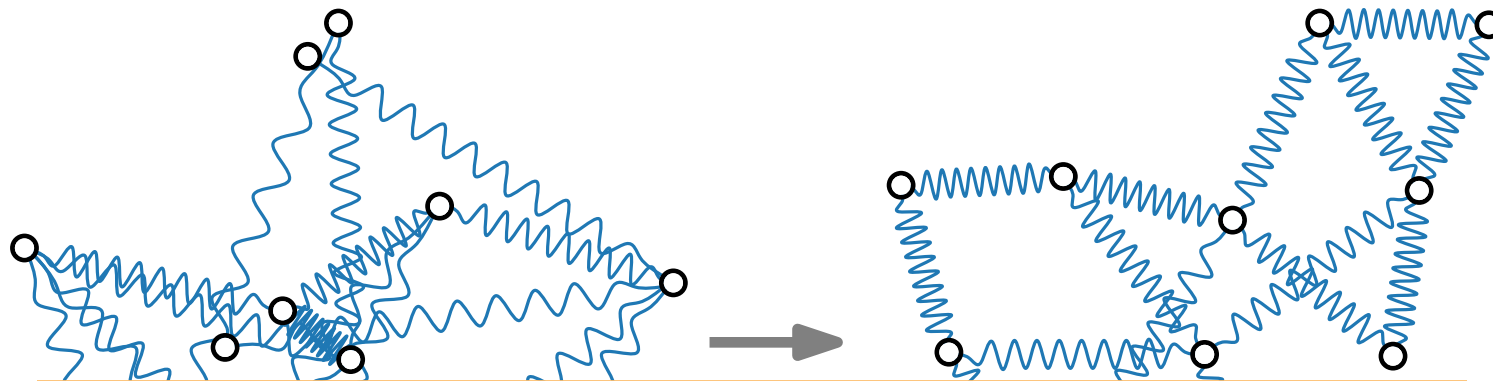
“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system... The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



# Physical Analogy

**Idea.** [Eades '84]

“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system... The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



So-called **spring-embedder** algorithms that work according to this or similar principles are among the most frequently used graph-drawing methods in practice.

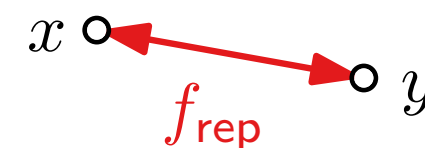
## Attractive forces.

pairs  $\{u, v\}$  of adjacent vertices:



## Repulsive forces.

any pair  $\{x, y\}$  of vertices:



# Force-Directed Algorithms

initial layout; may be randomly chosen positions

max # iterations

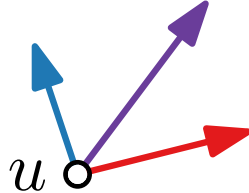
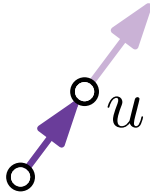
```

ForceDirected(graph  $G$ ,  $p = (p_v)_{v \in V(G)}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
   $t \leftarrow 1$ 
  while  $t \leq K$  and  $\max_{v \in V(G)} \|F_v(t-1)\| > \varepsilon$  do
    foreach  $u \in V(G)$  do
       $F_u(t) \leftarrow \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)$ 
    foreach  $u \in V(G)$  do
       $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
     $t \leftarrow t + 1$ 
  return  $p$ 
  
```

threshold (assume  $F_v(0) = \infty$ )

vertices adjacent to  $u$

cooling factor

$\delta(t)$

$t$

end layout

# Spring Embedder by Eades – Model

## ■ Repulsive forces

repulsion constant (e.g., 2.0)

$$f_{\text{rep}}(p_u, p_v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

## ■ Attractive forces

spring constant (e.g., 1.0)

$$f_{\text{spring}}(p_u, p_v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(p_u, p_v) = f_{\text{spring}}(p_u, p_v) - f_{\text{rep}}(p_u, p_v)$$

## ■ Resulting displacement vector

$$F_u = \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)$$

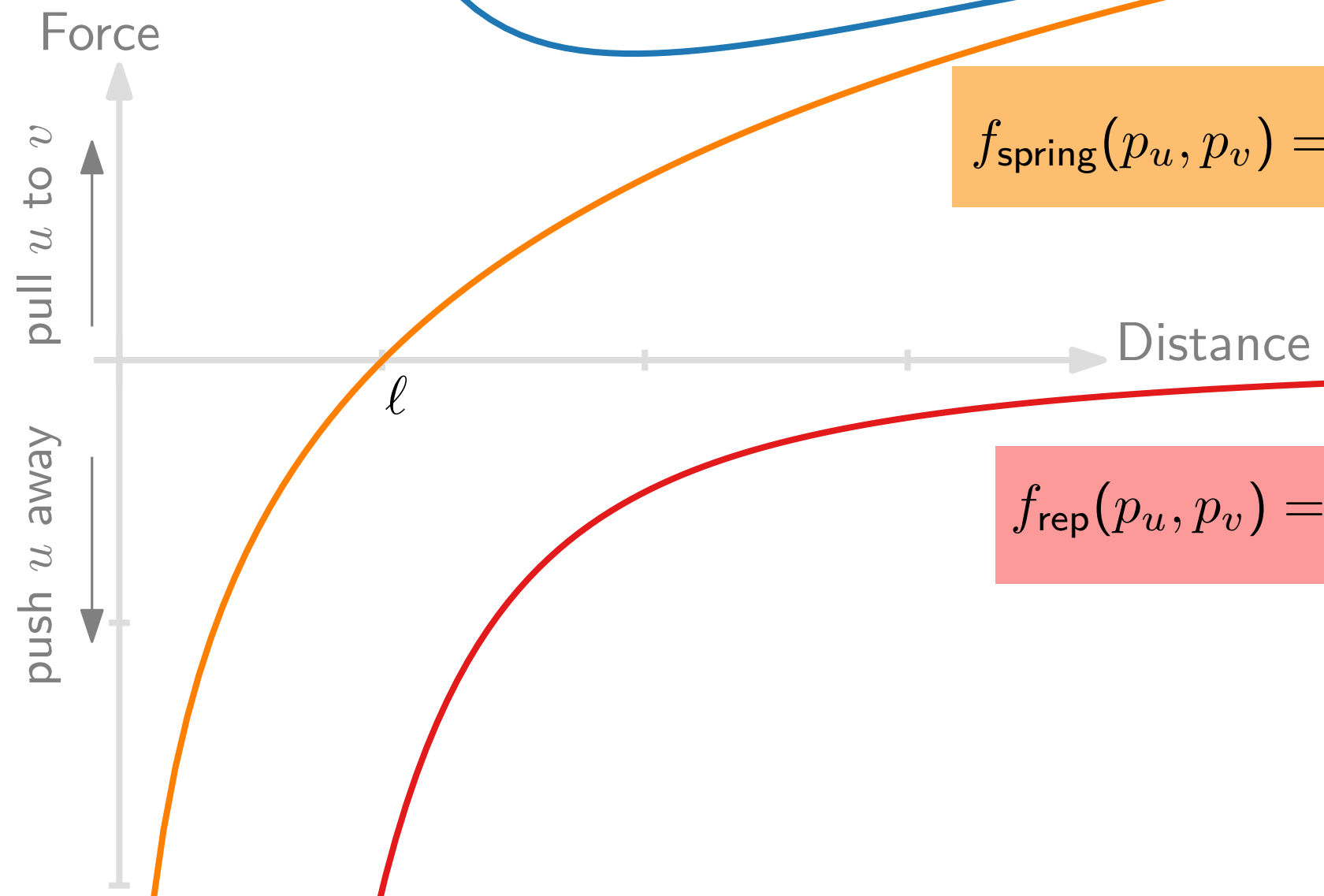
```
ForceDirected(graph G, p = (p_v)_{v \in V(G)}, \epsilon > 0, K \in \mathbb{N})
t \leftarrow 1
while t \le K and \max_{v \in V(G)} \|F_v(t-1)\| > \epsilon do
  foreach u \in V(G) do
    F_u(t) \leftarrow \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)
  foreach u \in V(G) do
    p_u \leftarrow p_u + \delta(t) \cdot F_u(t)
  t \leftarrow t + 1
return p
```

## Notation.

- $\overrightarrow{p_u p_v}$  = unit vector pointing from  $u$  to  $v$
- $\|p_v - p_u\|$  = Euclidean distance between  $u$  and  $v$
- $\ell$  = ideal spring length for edges

# Spring Embedder by Eades – Force Diagram

$$f_{\text{attr}}(p_u, p_v) = f_{\text{spring}}(p_u, p_v) - f_{\text{rep}}(p_u, p_v)$$



$$f_{\text{spring}}(p_u, p_v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{l} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{rep}}(p_u, p_v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

# Spring Embedder by Eades – Discussion

## Advantages.

- very simple algorithm
- good results for small and medium-sized graphs
- empirically good representation of symmetry and structure

## Disadvantages.

- System may not be stable at the end.
- May converge to a local minimum that is not a global minimum.
- Computing  $f_{\text{spring}}$  takes  $\mathcal{O}(|E(G)|)$  time; computing  $f_{\text{rep}}$  takes  $\mathcal{O}(|V(G)|^2)$  time.

## Influence.

- The original paper by Peter Eades [Eades '84] has been cited more than 2000 times.
- It has become the basis for many further ideas.

# Variant by Fruchterman & Reingold

## ■ Repulsive forces

$$f_{\text{rep}}(p_u, p_v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

## ■ Attractive forces

$$f_{\text{attr}}(p_u, p_v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

## ■ Resulting displacement vector

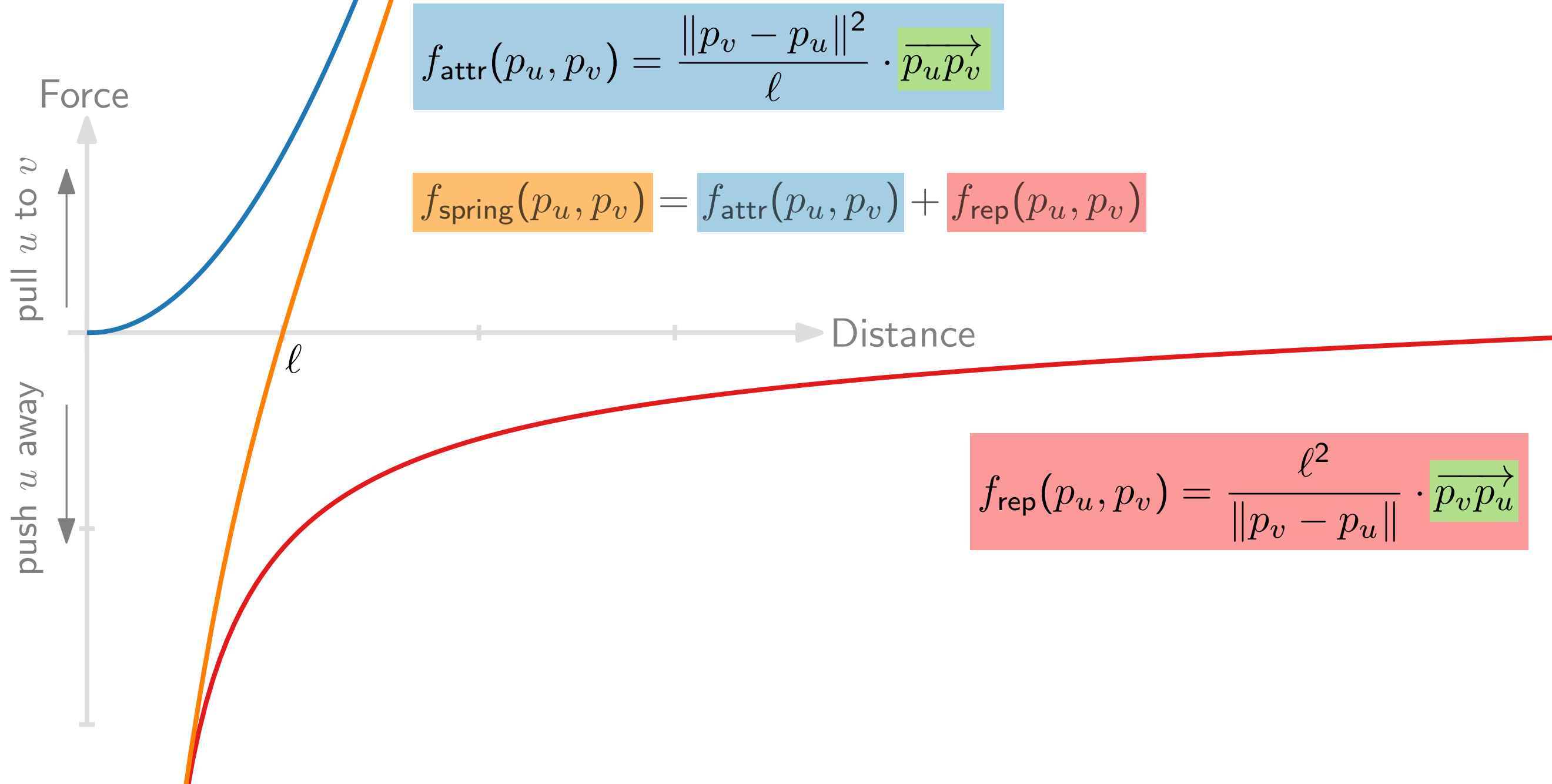
$$F_u = \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)$$

```
ForceDirected(graph  $G$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
 $t \leftarrow 1$ 
while  $t \leq K$  and  $\max_{v \in V(G)} \|F_v(t-1)\| > \varepsilon$  do
  foreach  $u \in V(G)$  do
     $F_u(t) \leftarrow \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)$ 
  foreach  $u \in V(G)$  do
     $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
   $t \leftarrow t + 1$ 
return  $p$ 
```

## Notation.

- $\|p_u - p_v\|$  = Euclidean distance between  $u$  and  $v$
- $\overrightarrow{p_u p_v}$  = unit vector pointing from  $u$  to  $v$
- $\ell$  = ideal spring length for edges

# Fruchterman & Reingold – Force Diagram



# Adaptability

## Inertia. (“Trägheit”)

- Define vertex mass  $\Phi(u) = 1 + \text{deg}(u)/2$
- Set  $f_{\text{attr}}^{\text{new}}(p_u, p_v) = f_{\text{attr}}^{\text{old}}(p_u, p_v) / \Phi(u)$

degree of vertex  $u$ , i.e.,  $|\text{Adj}[u]|$

## Gravitation.

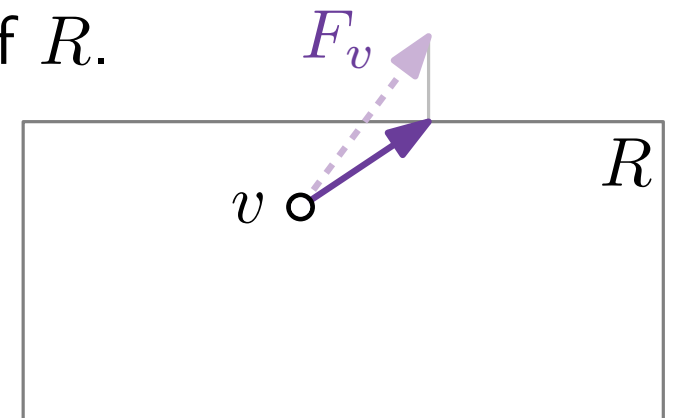
- Define centroid  $\sigma = 1/|V(G)| \cdot \sum_{v \in V(G)} p_v$
- Add force  $f_{\text{grav}}(v) = c_{\text{grav}} \cdot \Phi(v) \cdot \overrightarrow{p_v \sigma}$

## Restricted drawing area.

If  $F_v$  points beyond area  $R$ , clip vector appropriately at the border of  $R$ .

## And many more...

- magnetic orientation of edges [GD Ch. 10.4]
- other energy models
- planarity preserving
- speed-ups



# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

ForceDirected(graph  $G$ ,  $p = (p_v)_{v \in V(G)}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )

$t \leftarrow 1$

**while**  $t \leq K$  **and**  $\max_{v \in V(G)} \|F_v(t - 1)\| > \varepsilon$  **do**

**foreach**  $u \in V(G)$  **do**

$F_u(t) \leftarrow \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)$

**foreach**  $u \in V(G)$  **do**

$p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$

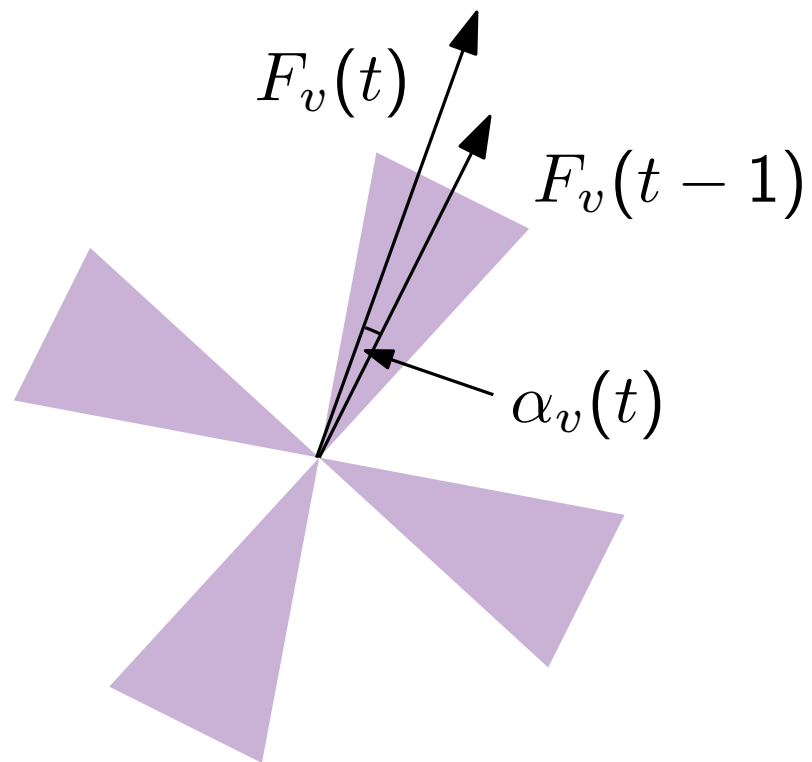
$t \leftarrow t + 1$

$\delta_v(t)$

**return**  $p$

# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]

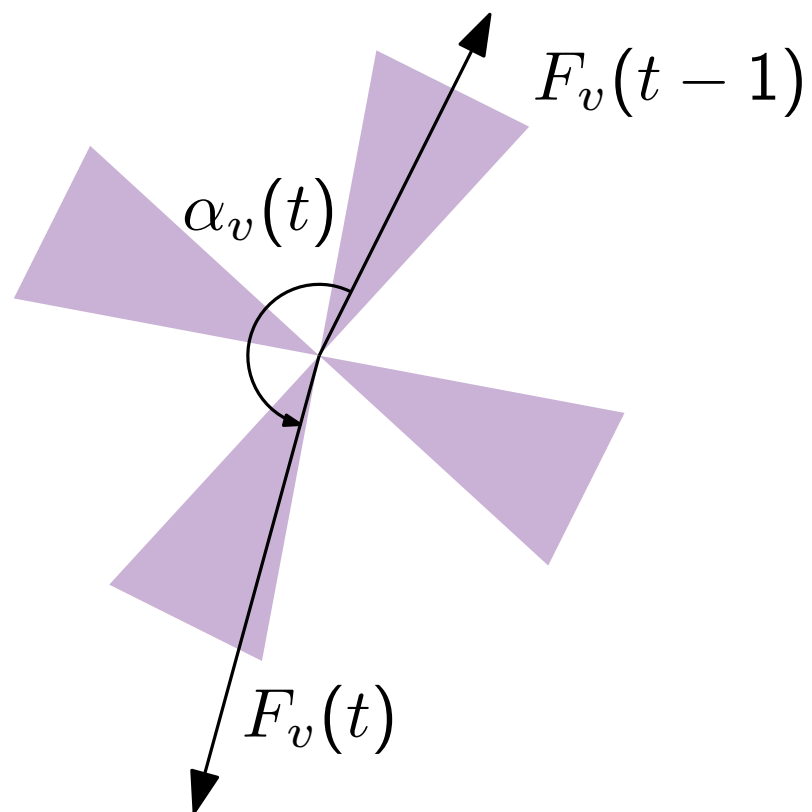


**Same direction.**

→ increase temperature  $\delta_v(t)$

# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



**Same direction.**

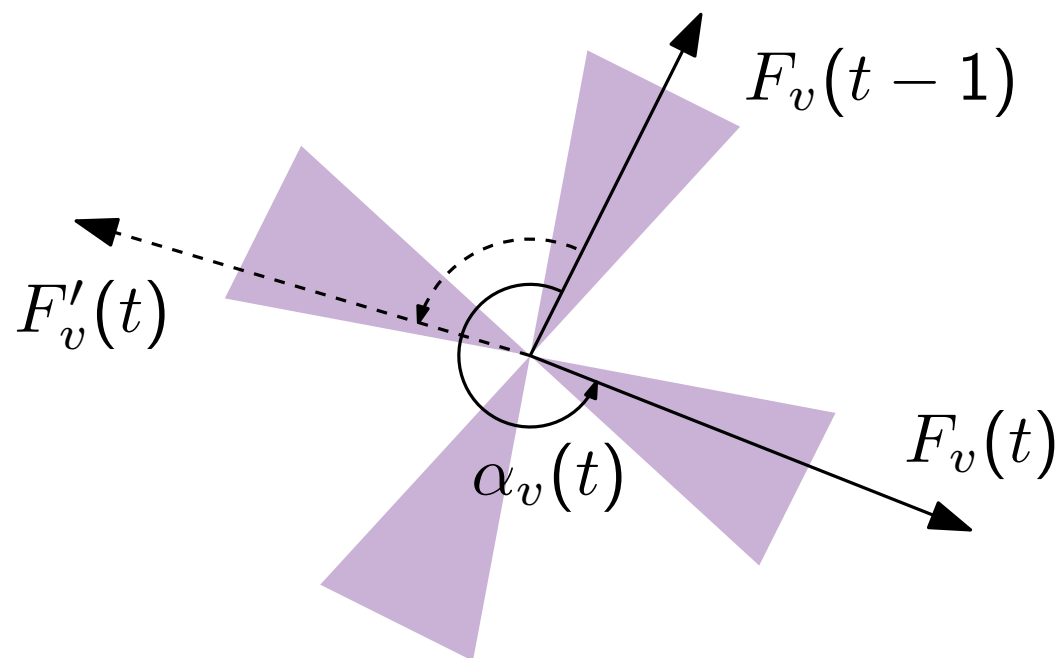
→ increase temperature  $\delta_v(t)$

**Oscillation.**

→ decrease temperature  $\delta_v(t)$

# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



## Same direction.

→ increase temperature  $\delta_v(t)$

## Oscillation.

→ decrease temperature  $\delta_v(t)$

## Rotation.

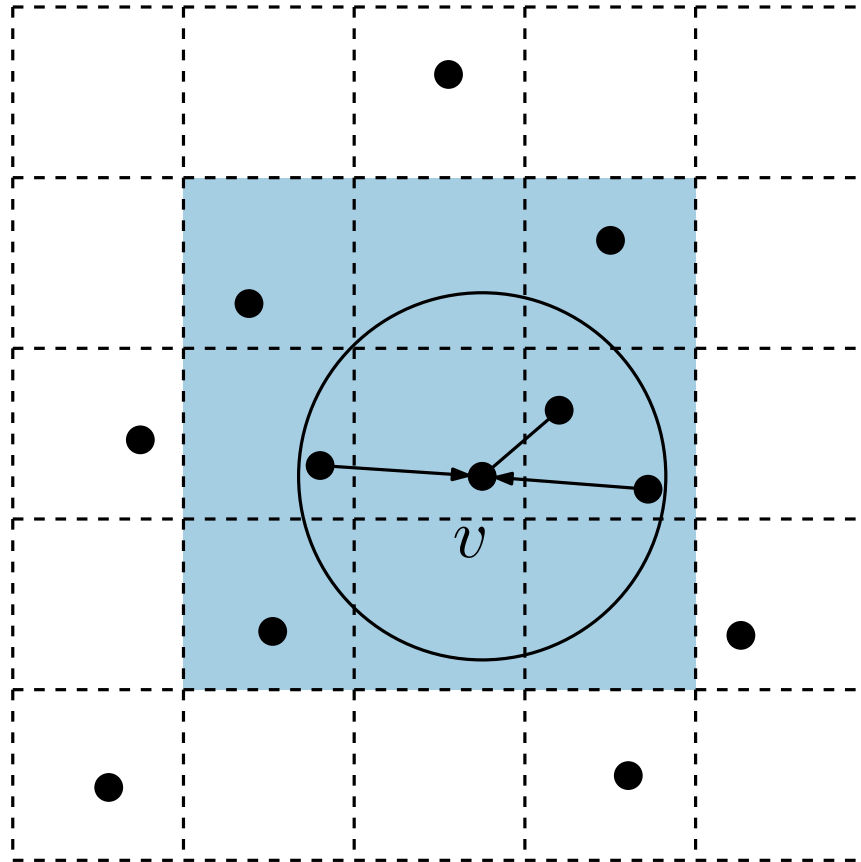
- count rotations

- if applicable

→ decrease temperature  $\delta_v(t)$

# Speeding up “Convergence” via Grids

[Fruchterman & Reingold '91]



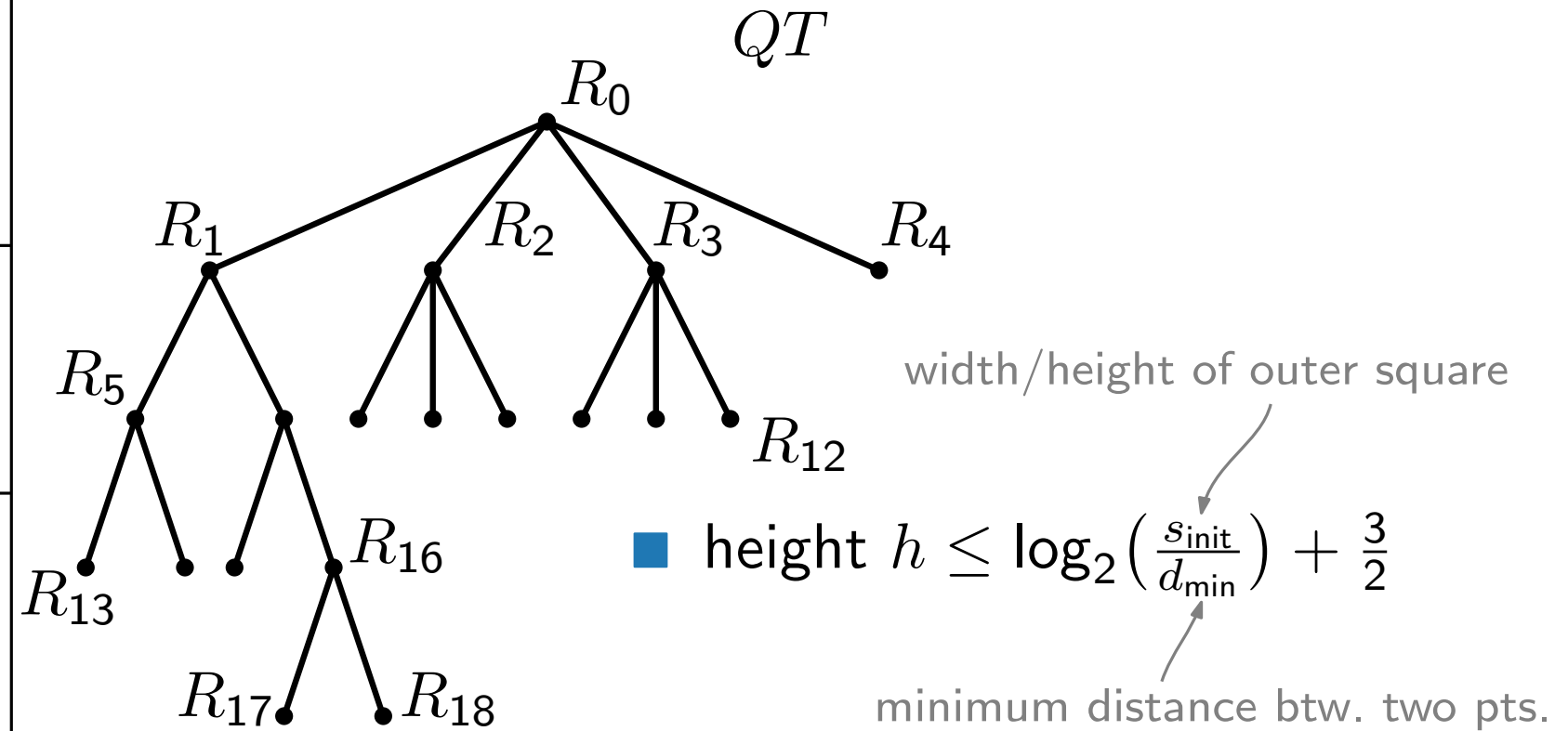
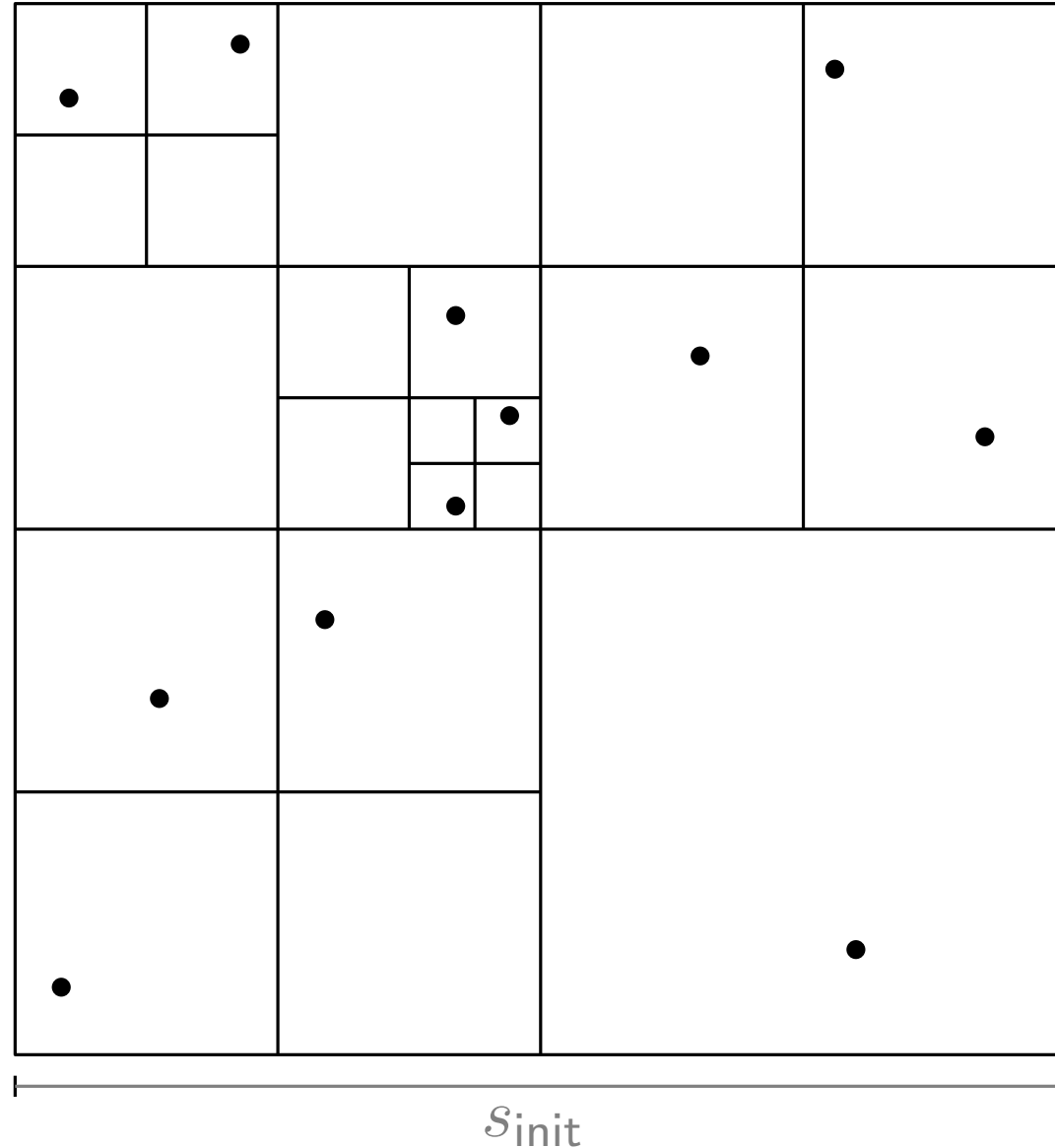
- divide plane into a grid
- consider repulsive forces only to vertices in neighboring cells
- and only if the distance is less than some threshold

## Discussion.

- good idea to improve actual runtime
- asymptotic runtime does not improve
- might introduce oscillation and thus a quality loss

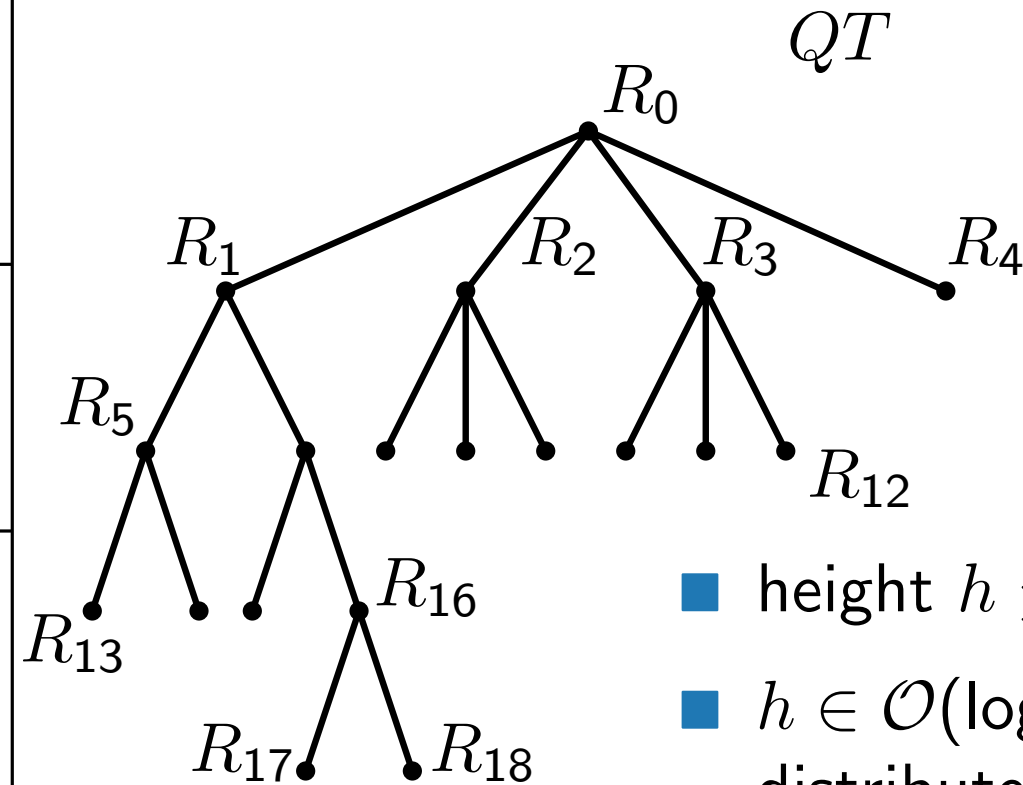
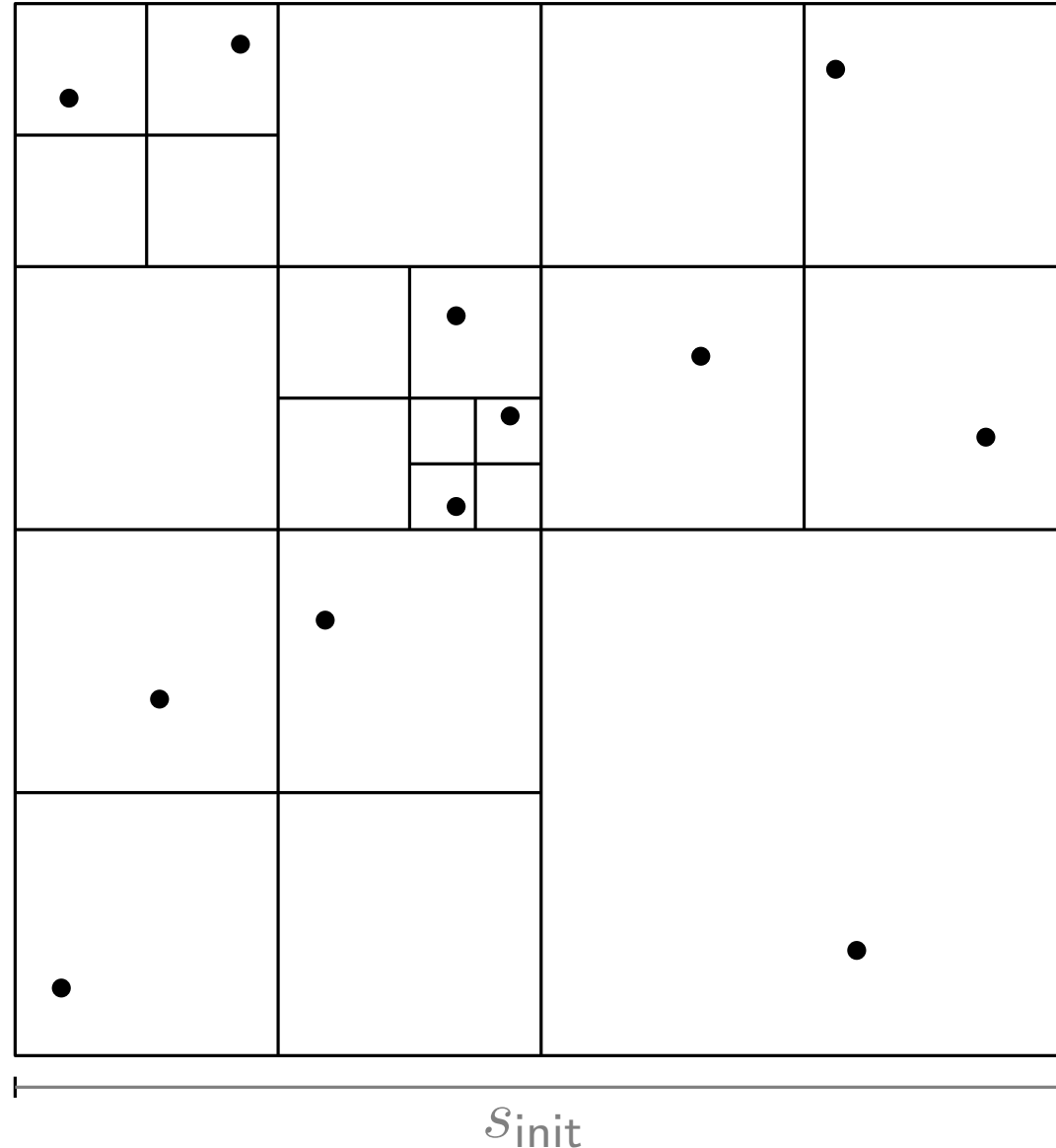
# Speeding up Repulsive-Force Computation with Quad Trees

[Barnes, Hut '86]



# Speeding up Repulsive-Force Computation with Quad Trees

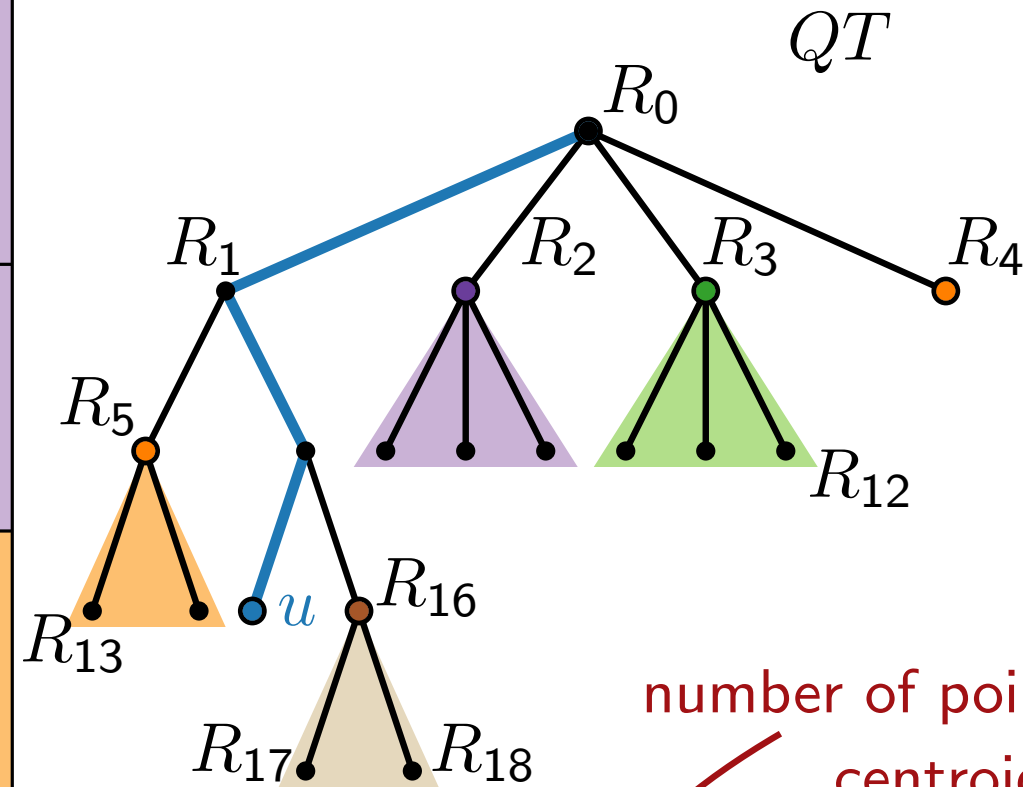
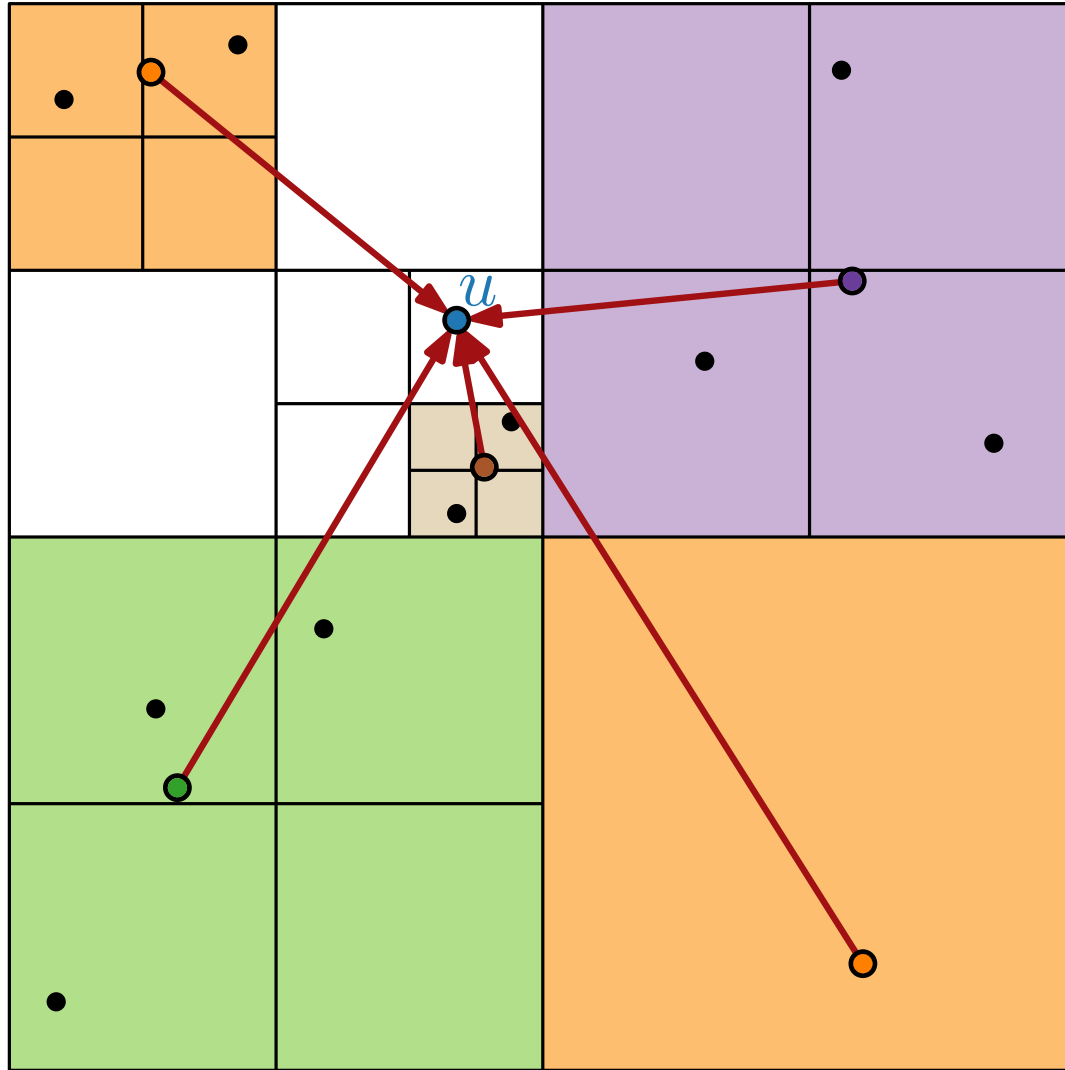
[Barnes, Hut '86]



- height  $h \leq \log_2\left(\frac{s_{init}}{d_{min}}\right) + \frac{3}{2}$
- $h \in \mathcal{O}(\log n)$  if vertices evenly distributed in the initial box
- time/space in  $\mathcal{O}(hn)$
- compressed quad tree can be computed in  $\mathcal{O}(n \log n)$  time

# Speeding up Repulsive-Force Computation with Quad Trees

[Barnes, Hut '86]



number of points in the subtree  $R_i$   
centroid of  $R_i$  (pre-computed)

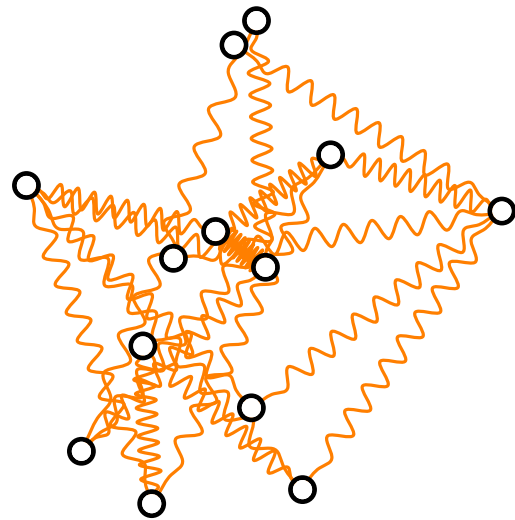
$$f_{\text{rep}}(R_i, p_u) = |R_i| \cdot f_{\text{rep}}(\sigma_{R_i}, p_u)$$

for each child  $R_i$  of a vertex on path from root to  $u$ .

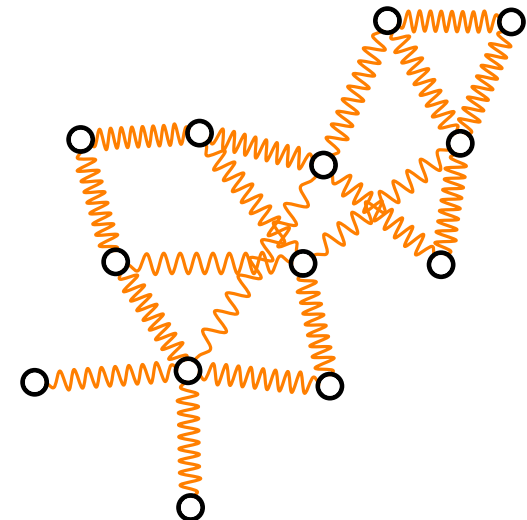
# Visualization of Graphs

## Lecture 2:

## Force-Directed Drawing Algorithms



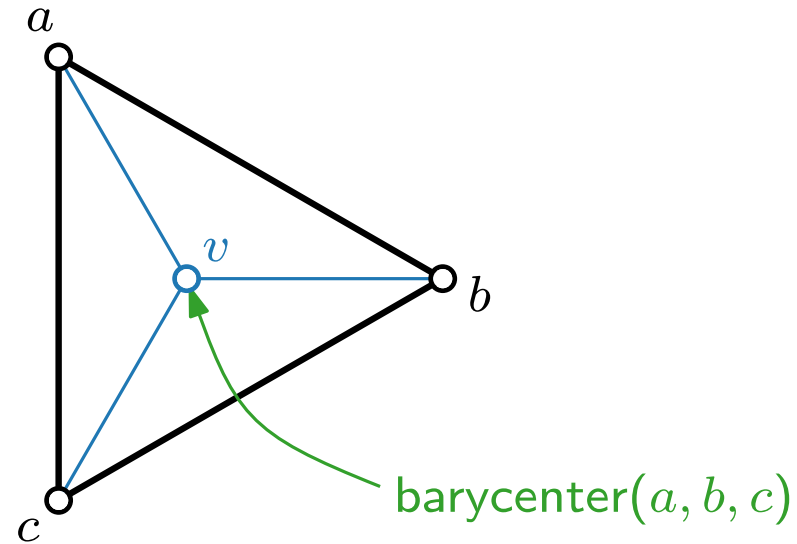
## Part II: Tutte Embeddings



# Idea

Consider a fixed triangle  $(a, b, c)$   
with a common neighbor  $v$

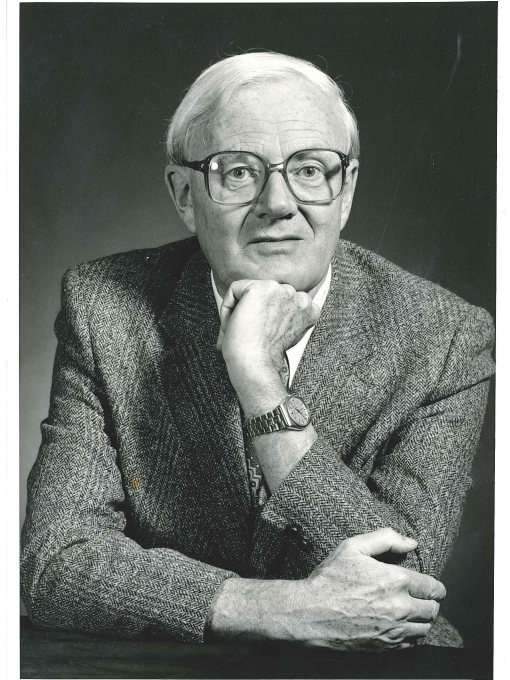
Where would you place  $v$ ?



$$\text{barycenter}(x_1, \dots, x_k) = \sum_{i=1}^k x_i / k$$

## Idea.

Repeatedly place every vertex at barycenter of neighbors.



William T. Tutte  
1917 – 2002

# Tutte's Forces

## Goal.

$$p_u = \text{barycenter}(\text{Adj}[u]) \\ = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u)$$

$$F_u(t) = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u) - p_u \\ = \sum_{v \in \text{Adj}[u]} (p_v - p_u) / \text{deg}(u)$$

$$= \sum_{v \in \text{Adj}[u]} \frac{\|p_u - p_v\|}{\text{deg}(u)} \overrightarrow{p_u p_v}$$

■ **Repulsive forces**  $f_{\text{rep}}(p_u, p_v) = 0$

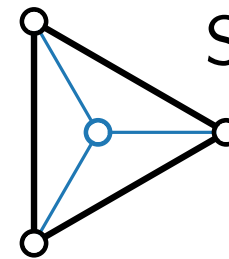
■ **Attractive forces**

$$f_{\text{attr}}(p_u, p_v) = \begin{cases} 0 & \text{if } u \text{ fixed,} \\ \frac{\|p_u - p_v\|}{\text{deg}(u)} \overrightarrow{p_u p_v} & \text{otherwise.} \end{cases}$$

```
ForceDirected(graph G, p = (p_v)_{v \in V}, \epsilon > 0, K \in \mathbb{N})
t \leftarrow 1
while t \le K and \max_{v \in V(G)} \|F_v(t-1)\| > \epsilon do
  foreach u \in V(G) do
    [ F_u(t) \leftarrow \sum_{v \in V(G)} f_{\text{rep}}(p_u, p_v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(p_u, p_v)
  foreach u \in V(G) do
    [ p_u \leftarrow p_u + \delta(t) 1 \cdot F_u(t)
  t \leftarrow t + 1
return p
```

barycenter( $x_1, \dots, x_k$ ) =  $\sum_{i=1}^k x_i / k$

Global minimum:  $p_u = (0, 0) \forall u \in V(G)$  ☹️



Solution: fix coordinates of outer face! ☺️

$\overrightarrow{p_u p_v}$  = unit vector pointing from  $u$  to  $v$

$\|p_u - p_v\|$  = Euclidean distance between  $u$  and  $v$

# System of Linear Equations

**Goal.**  $p_u = (x_u, y_u)$

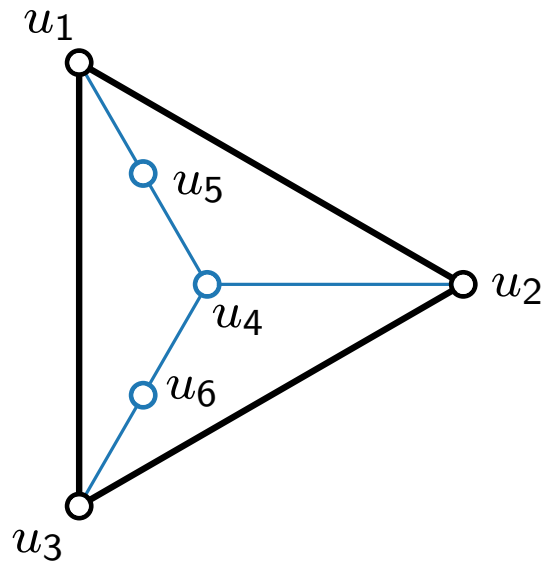
$$p_u = \text{barycenter}(\text{Adj}[u]) = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u)$$

$$x_u = \sum_{v \in \text{Adj}[u]} x_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \text{deg}(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0$$

$$y_u = \sum_{v \in \text{Adj}[u]} y_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \text{deg}(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0$$

$$Ax = b \quad Ay = b \quad b = (0)_n$$

Two systems of linear equations:



$$A = \begin{matrix} & \begin{matrix} u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \end{matrix} \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{matrix} & \begin{pmatrix} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{pmatrix} \end{matrix}$$

A

$$A_{ii} = \text{deg}(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

Laplacian matrix of  $G$

$n$  variables,  $n$  constraints,  $\det(A) = 0$

$\Rightarrow$  no unique solution



# System of Linear Equations

solve two systems of linear equations

**Goal.**  $p_u = (x_u, y_u)$

$p_u = \text{barycenter}(\text{Adj}[u]) =$

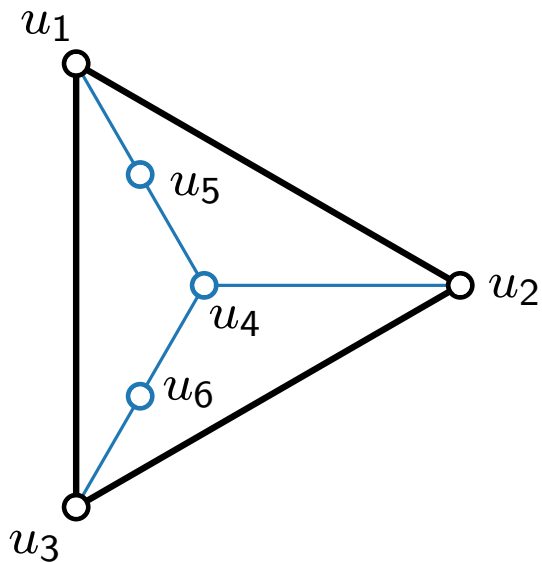
$$\begin{aligned} x_u &= \sum_{v \in \text{Adj}[u]} x_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \text{deg}(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0 \\ y_u &= \sum_{v \in \text{Adj}[u]} y_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \text{deg}(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0 \end{aligned}$$

**Theorem.**

Tutte's barycentric algorithm admits a **unique solution**.

It can be computed in **polynomial time**.

**= Tutte drawing**



	$u_1$	$u_2$	$u_3$	$u_4$	$u_5$	$u_6$	$A$
$u_1$	3	-1	-1	0	-1	0	$A'$
$u_2$	-1	3	-1	-1	0	0	
$u_3$	-1	-1	3	0	0	-1	
$u_4$	0	-1	0	3	-1	-1	
$u_5$	-1	0	0	-1	2	0	
$u_6$	0	0	-1	-1	0	2	

Laplacian matrix of  $G$

$k$  variables,  $k$  constraints,  $\det(A')^* > 0$

$k = \# \text{free vertices}$

$\Rightarrow$  unique solution

\*) because  $A'$  is diagonally dominant.



$$A_{ii} = \text{deg}(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

Solution:

1. No need to change fixed vertices.
2. Constraints that depend on fixed vertices are constant and can be moved into  $b$ .

# 3-Connected Planar Graphs

(up to the choice of the outer face and mirroring)

**$G$  planar:**  $G$  can be drawn such that no two edges cross each other.

**$G$  connected:**  $\exists u-v$  path for every vertex pair  $\{u, v\}$ .

**$k$ -connected:**  $G - \{v_1, \dots, v_{k-1}\}$  is connected for **any**  $k - 1$  vertices  $v_1, \dots, v_{k-1}$ .  
Or (equivalently if  $G \neq K_k$ ):  
There are at least  $k$  vertex-disjoint  $u-v$  paths for every vertex pair  $\{u, v\}$ .

**Theorem.** [Whitney 1933]

Every 3-connected planar graph has a **unique** planar embedding.

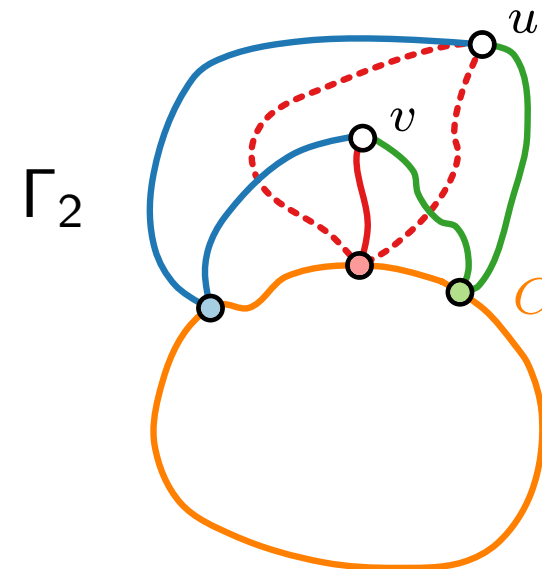
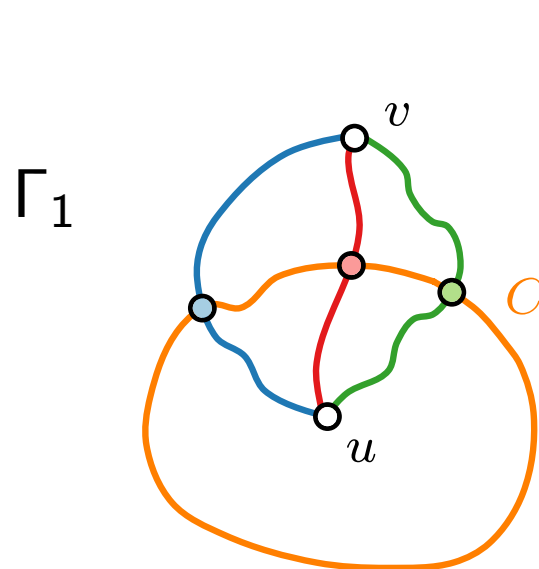
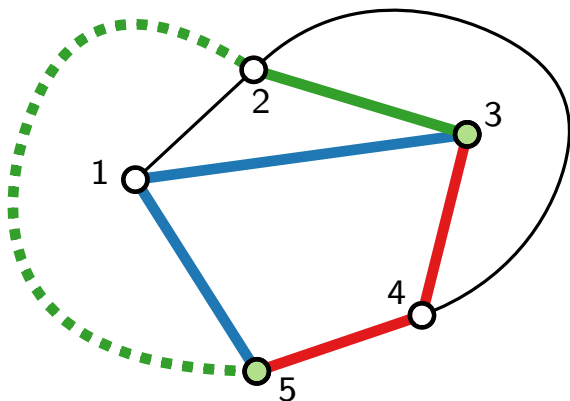
**Proof sketch.**

$\Gamma_1, \Gamma_2$  planar embeddings of  $G$ .

Let  $C$  be a face of  $\Gamma_2$ , but not of  $\Gamma_1$ .

$u$  inside  $C$  in  $\Gamma_1$ ,  $v$  outside  $C$  in  $\Gamma_1$

both on same side in  $\Gamma_2$



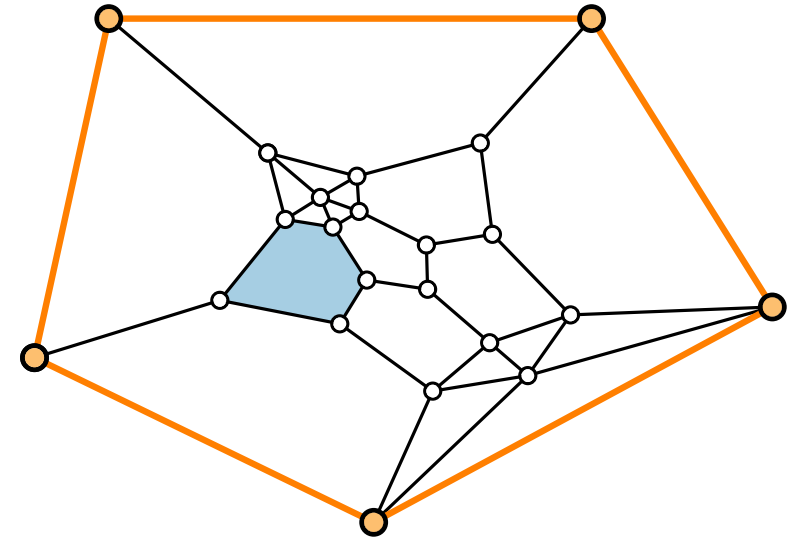
# Tutte's Theorem

## Theorem.

Let  $G$  be a 3-connected planar graph, and let  $C$  be a face of its unique embedding.

If we fix  $C$  on a strictly convex polygon, then the Tutte drawing of  $G$  is planar and all its faces are strictly convex.

[Tutte 1963]

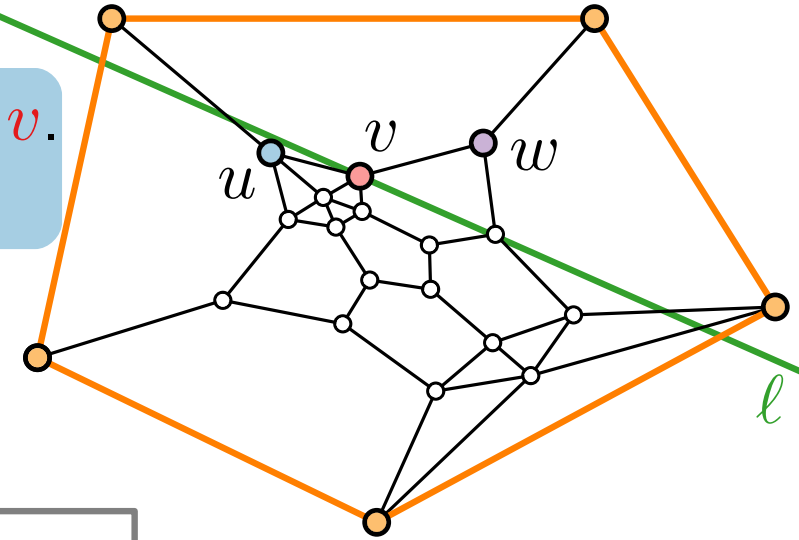
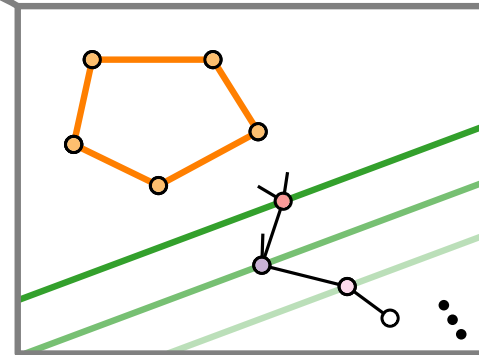


# Properties of Tutte Drawings

**Property 1.** Let  $v \in V(G)$  be free (i.e., not fixed),  $l$  line through  $v$ .  
 $\exists u \in \text{Adj}[v] \cap l^+ \Rightarrow \exists w \in \text{Adj}[v] \cap l^-$ .

Otherwise, all forces pull  $v$  to the same side ...

**Property 2.** All free vertices lie inside  $C$ .



# Proof of Tutte's Theorem

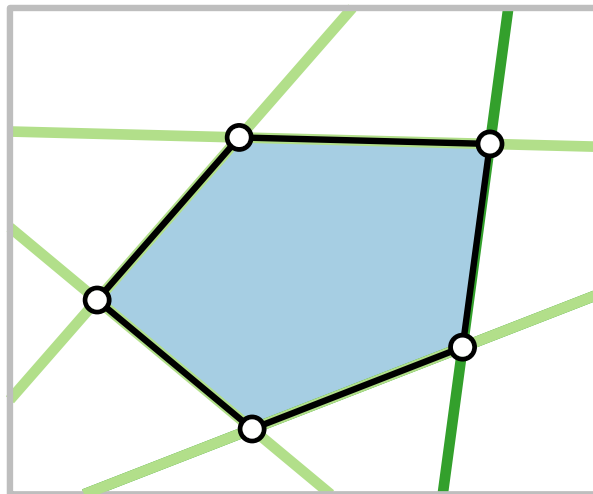
**Lemma.** Let  $uv$  be a non-boundary edge,  $l$  line through  $uv$ . Then the two faces  $f_1, f_2$  incident to  $uv$  lie completely on opposite sides of  $l$ .

**Property 1.** Let  $v$  be a free vertex,  $l$  line through  $v$ .  
 $\exists x \in \text{Adj}[v] \cap l^+ \Rightarrow \exists w \in \text{Adj}[v] \cap l^-$ .

**Property 3.** Let  $l$  be any line.  
 Let  $V_l$  be the set of vertices on one side of  $l$ .  
 Then  $G[V_l]$  is connected.

**Property 4.** No vertex is collinear with all its neighbors.

**Lemma.** All internal faces are strictly convex.



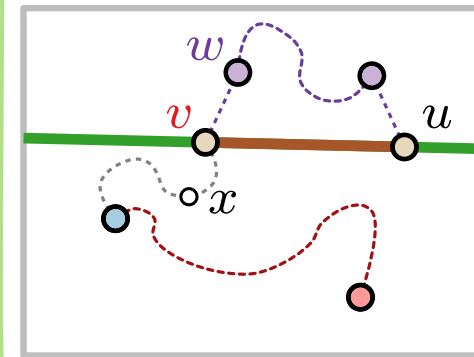
Assume that point  $p$  lies in two faces.

**Property 2.** All free vertices lie inside  $C$ .

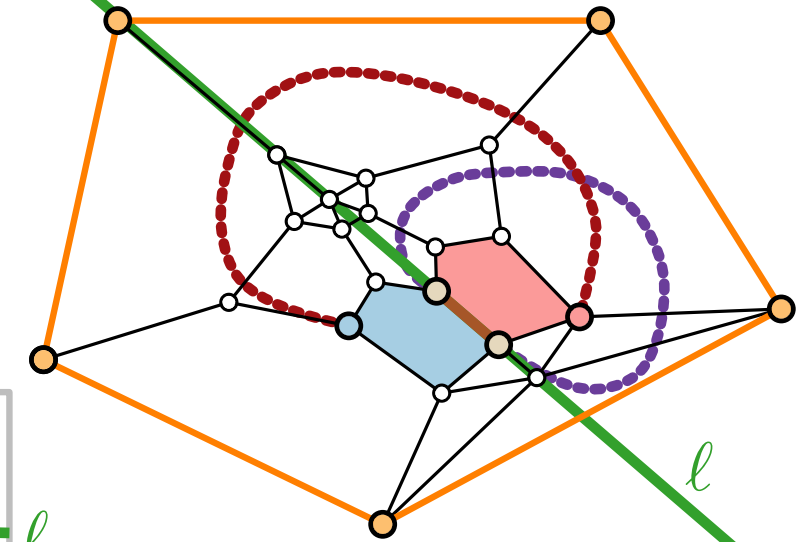
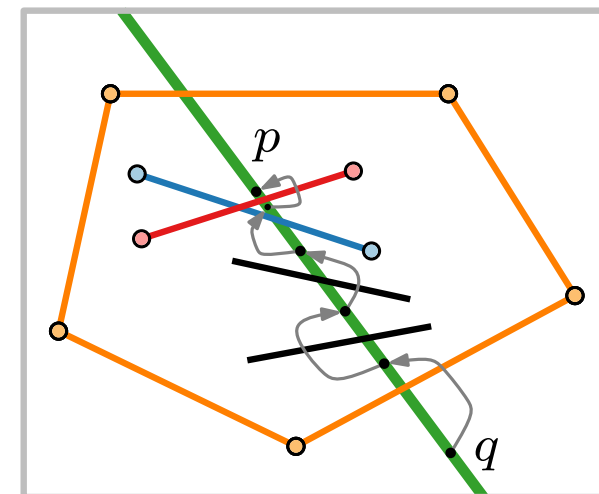
$\Rightarrow q$  lies in one (i.e., the outer) face.

When jumping an edge, #faces doesn't change.

$\Rightarrow p$  lies in one face. ⚡



**Lemma.** The drawing is planar.



# Discussion

- In practice, force-directed graph drawing methods are very often used.
- Numerous variants, adaptations, and extensions exist.
- They are well-suited for small and medium-size graphs (up to  $\approx 100$  vertices).
- A way to deal with larger graphs is to coarsen the graph by merging vertices. First draw the coarsened graph and then unpack and draw the vertices of the original graph.
- In practice, the related technique of *multidimensional scaling* (MDS) is often used, too. There, for every pair of vertices, an optimal distance (the distance in the graph) is determined and a drawing with these optimal distances is computed in high-dimensional space. Afterwards this drawing is projected into the plane.
- From a theoretical perspective, Tutte drawings possess many powerful properties.
- If a graph is not 3-connected, we can (temporarily) add sufficiently many edges.
- In practice, Tutte drawings are hardly used because the inner parts often become tiny.

# Literature

Main sources:

- [GD Ch. 10] Force-Directed Methods
- [DG Ch. 4] Drawing on Physical Analogies

Original papers:

- [Eades 1984] A heuristic for graph drawing
- [Fruchterman, Reingold 1991] Graph drawing by force-directed placement
- [Tutte 1963] How to draw a graph