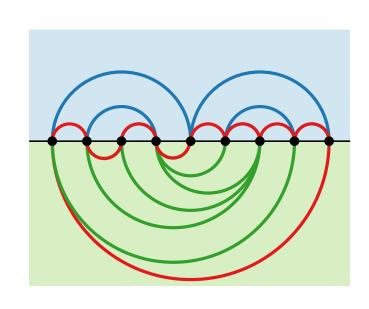
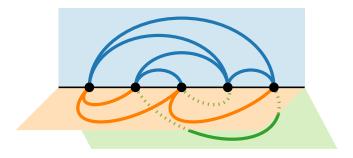


Visualization of Graphs

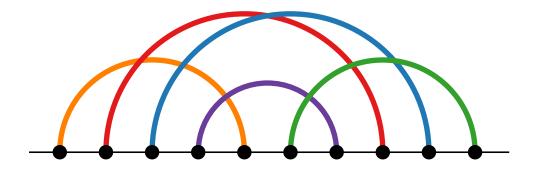


Lecture 12:

Linear Layouts
(Book Embeddings)

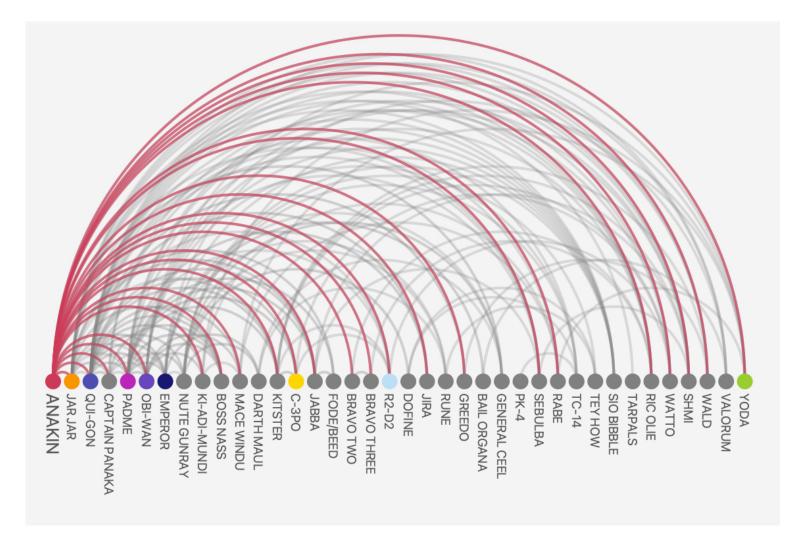


Alexander Wolff



Summer term 2025

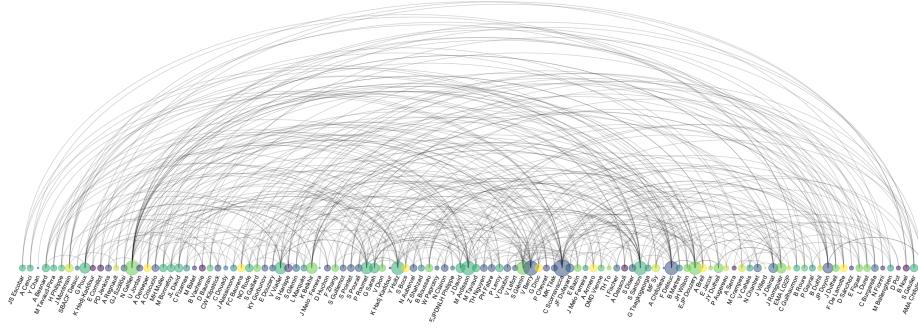
Drawing Style: Arc Diagrams



Interactions in Star Wars Episode I

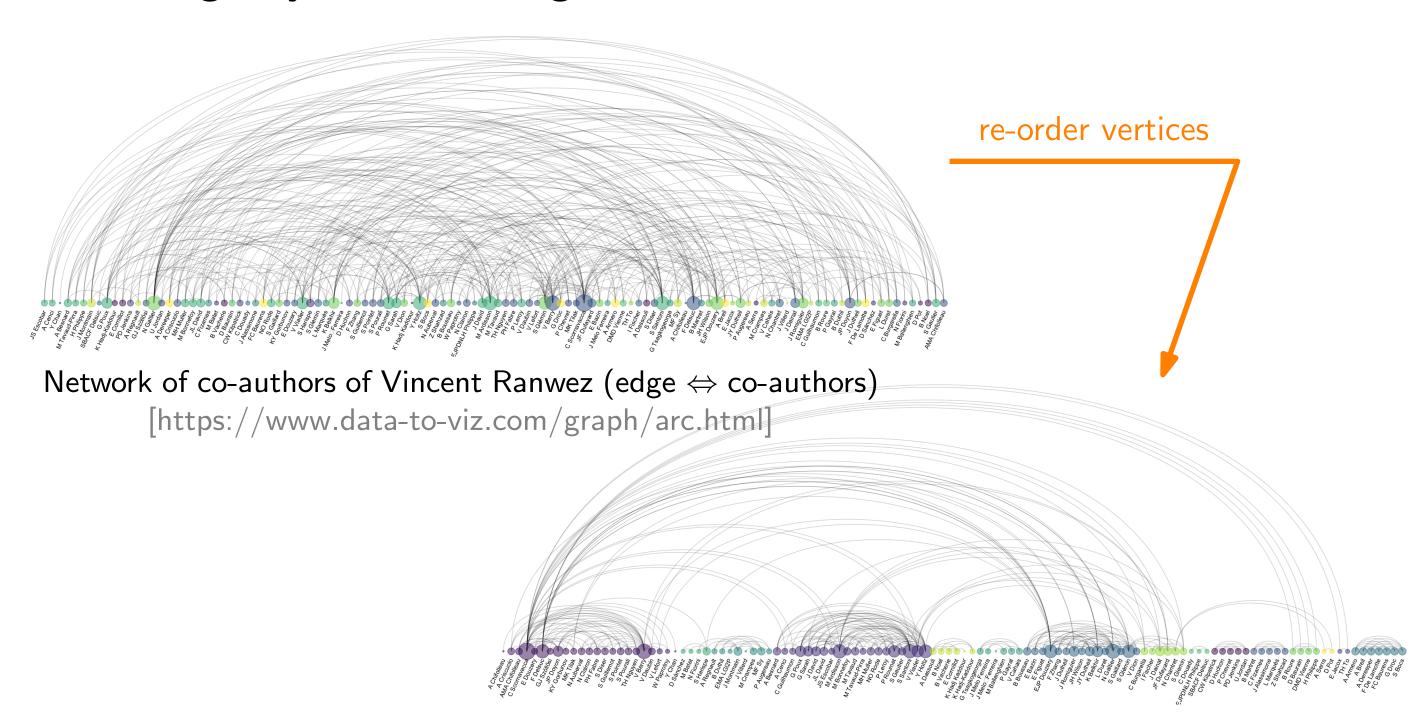
[https://harmoniccode.blogspot.com/2020/11/arc-charts.html]

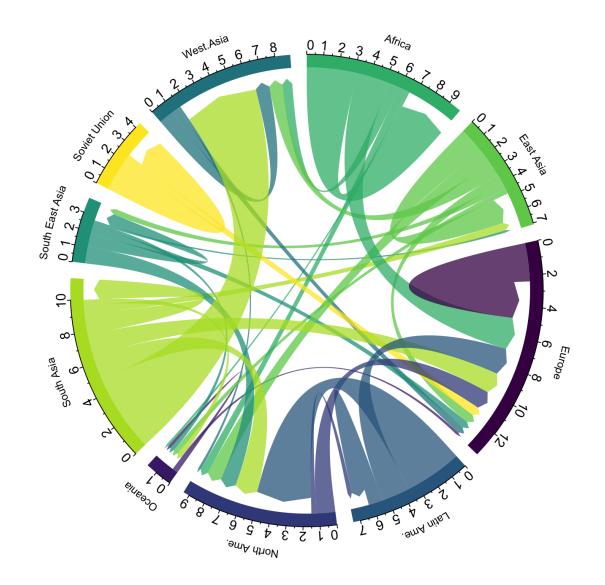
Drawing Style: Arc Diagrams



Network of co-authors of Vincent Ranwez (edge ⇔ co-authors) [https://www.data-to-viz.com/graph/arc.html]

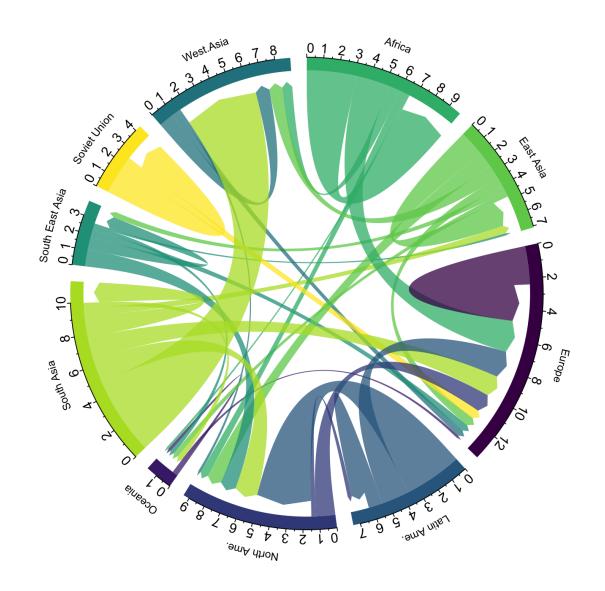
Drawing Style: Arc Diagrams



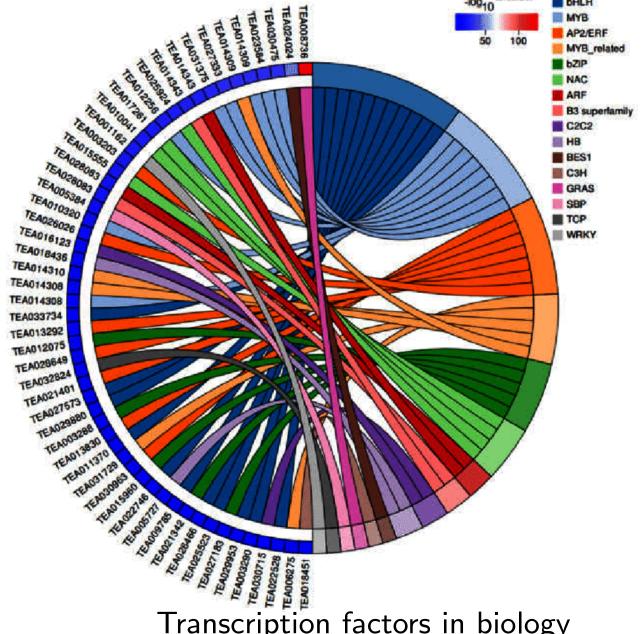


Migration between continents

[https://www.data-to-viz.com/story/AdjacencyMatrix.html]



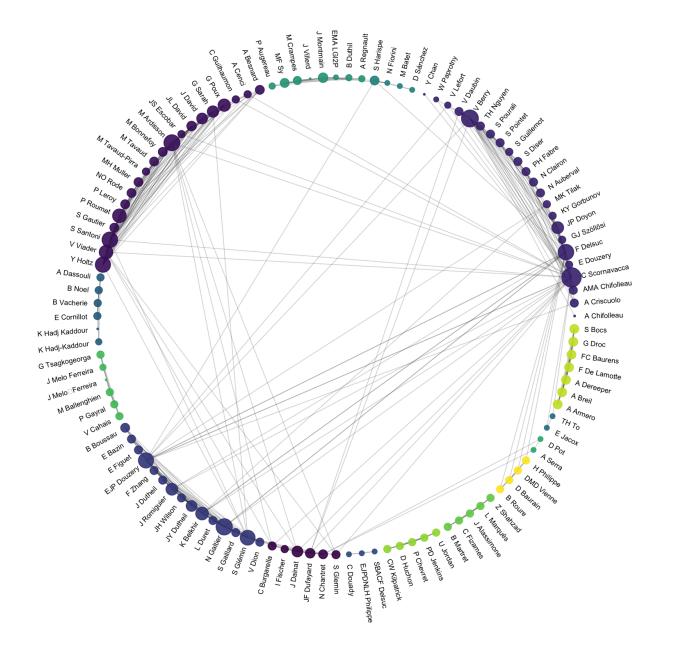
Migration between continents

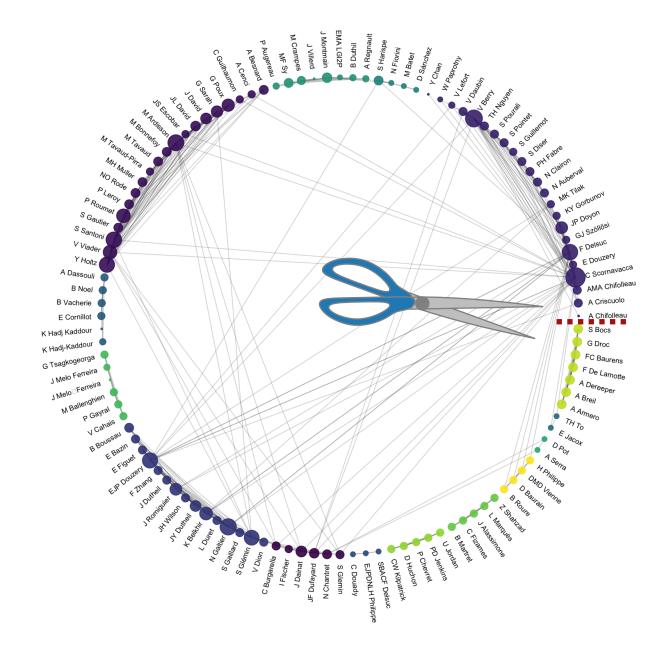


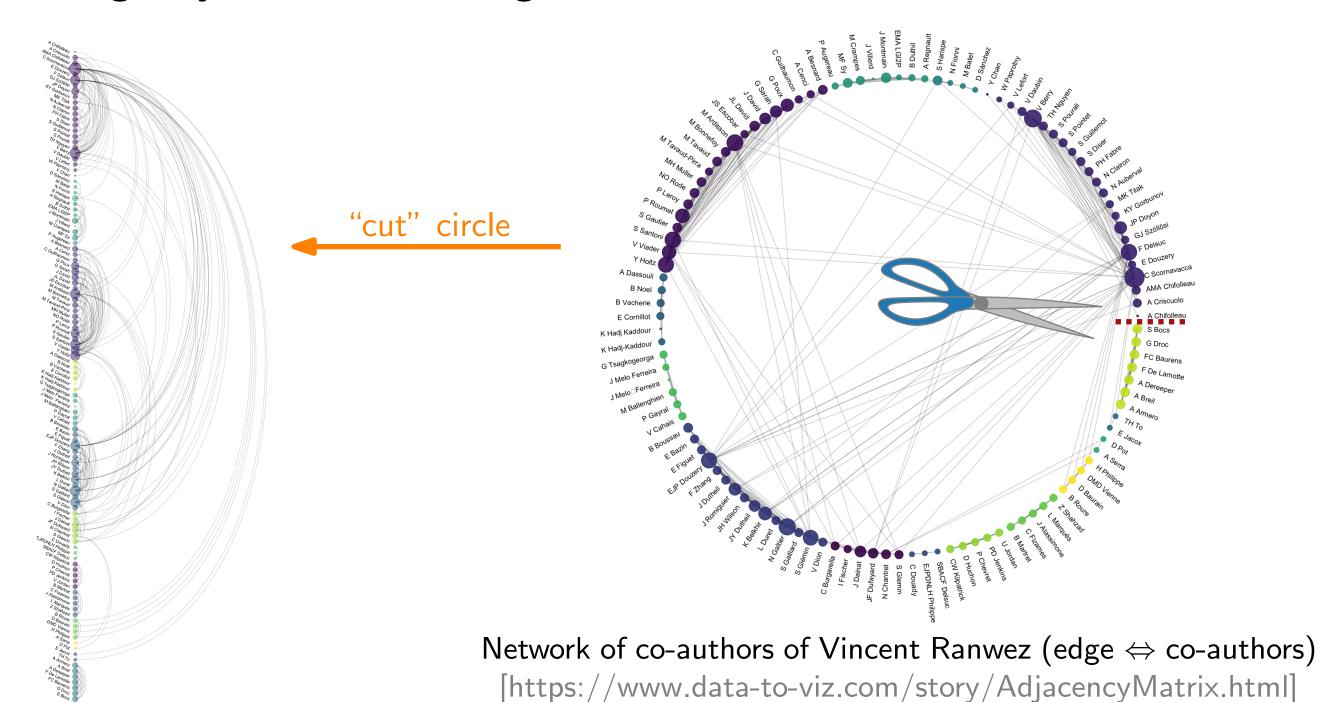
Transcription factors in biology [Wang, Xuejin, Zhao 2020]

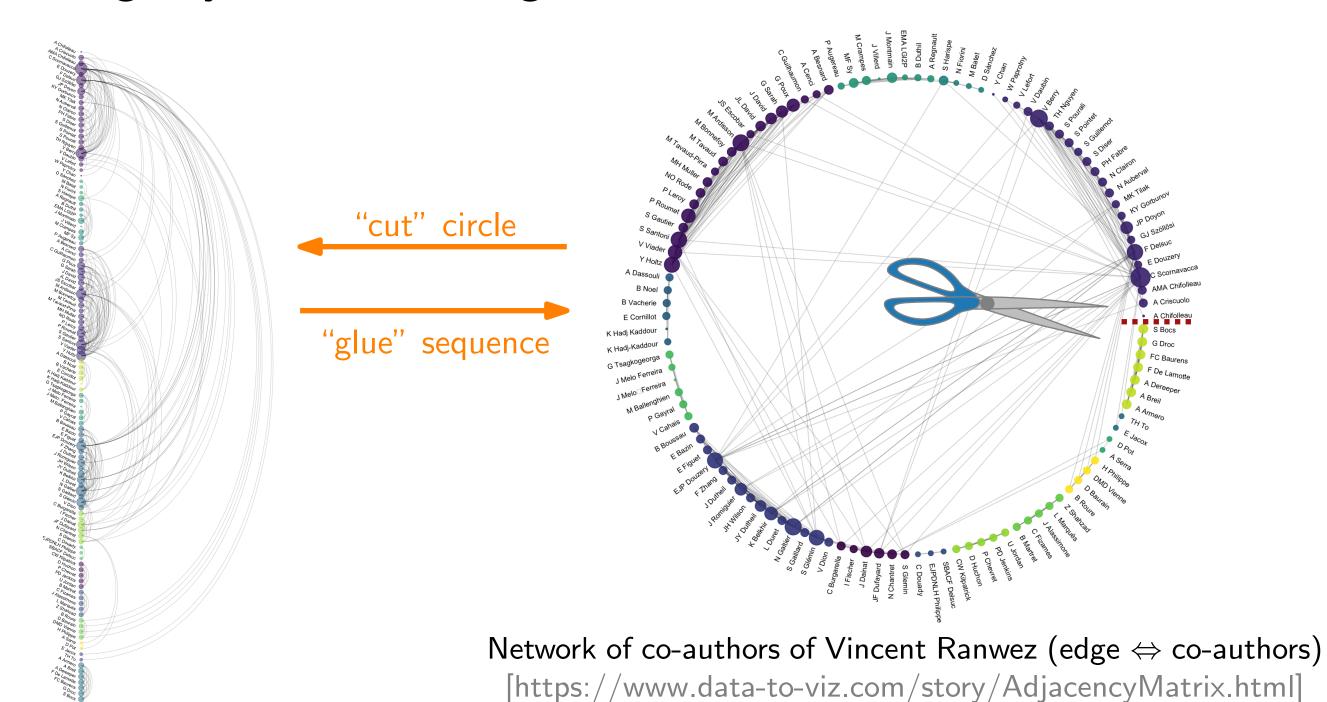
Exploration of the Effects of Different Blue LED Light Intensities on Flavonoid and Lipid Metabolism in Tea Plants via Transcriptomics and Metabolomics.

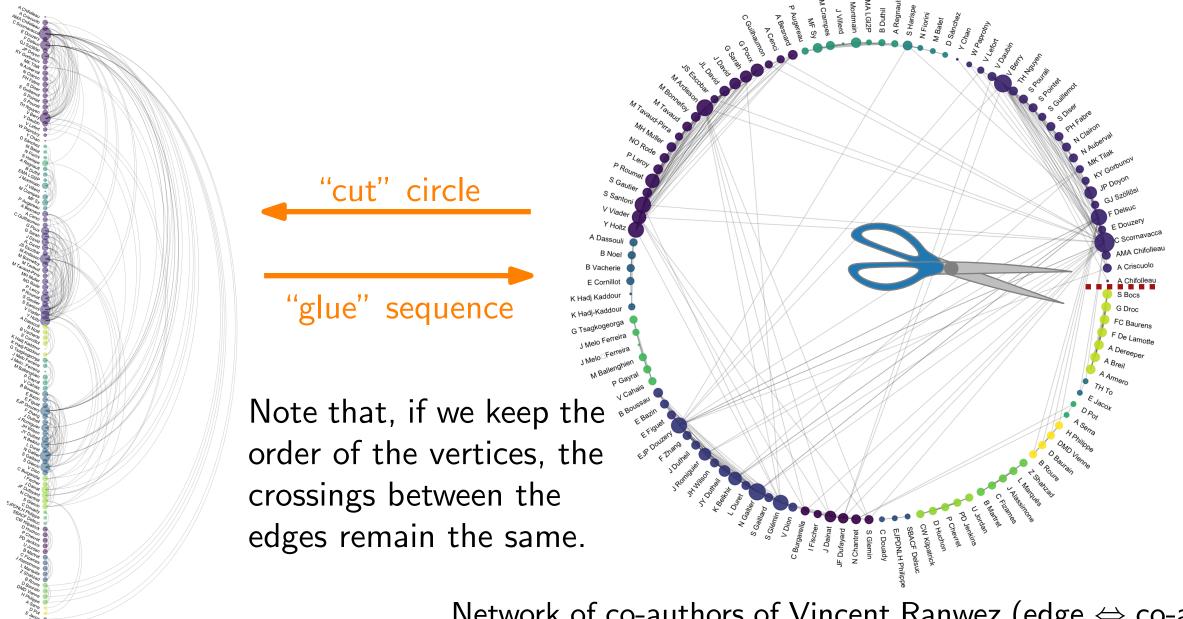
[https://www.data-to-viz.com/story/AdjacencyMatrix.html]





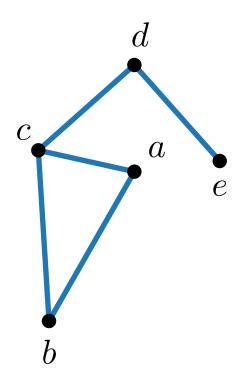






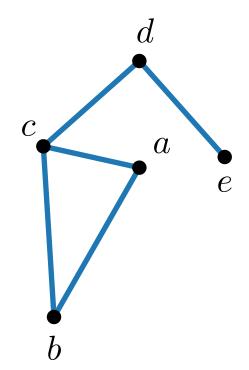
Network of co-authors of Vincent Ranwez (edge ⇔ co-authors) [https://www.data-to-viz.com/story/AdjacencyMatrix.html]

Given: \blacksquare graph G



Given: \blacksquare graph G

Task: Find a linear order \prec of V(G)

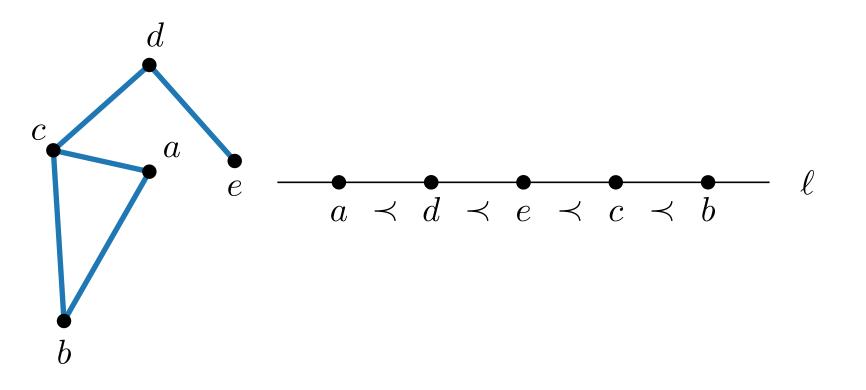


 $a \prec d \prec e \prec c \prec b$

Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

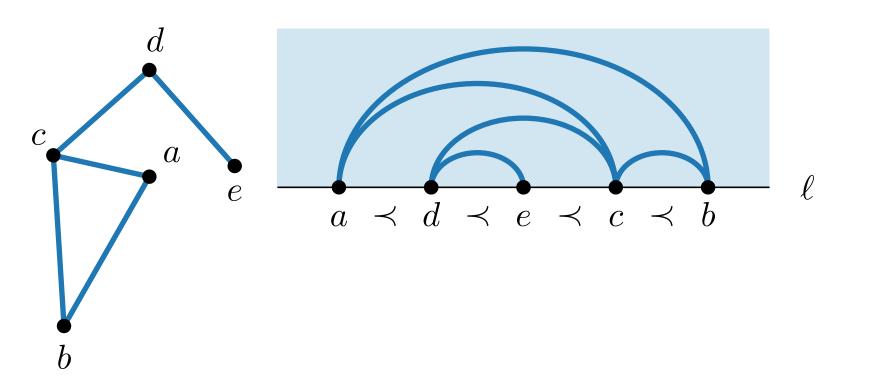
lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ



Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

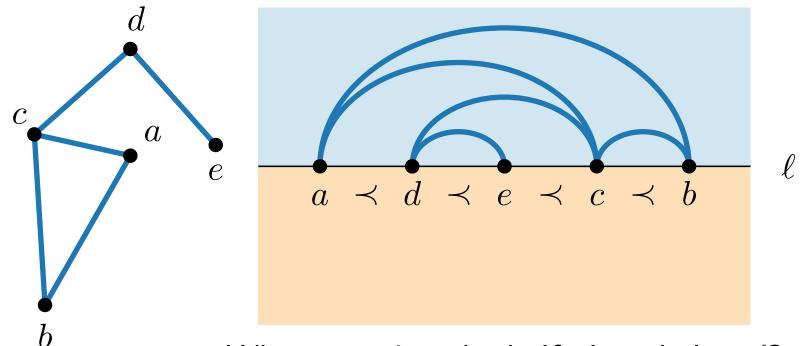
- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- the edges E(G) are drawn as x-monotone arcs in the half plane above ℓ .



Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- \blacksquare the edges E(G) are drawn as x-monotone arcs in the half plane above ℓ .

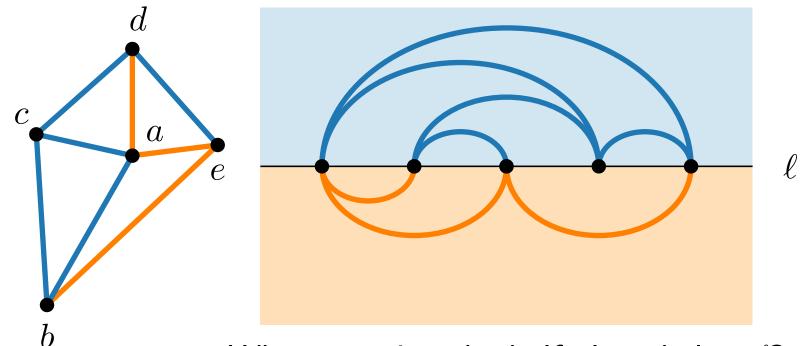


Why not using the half plane below ℓ ?

Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- the edges E(G) are drawn as x-monotone arcs in the half plane above ℓ .

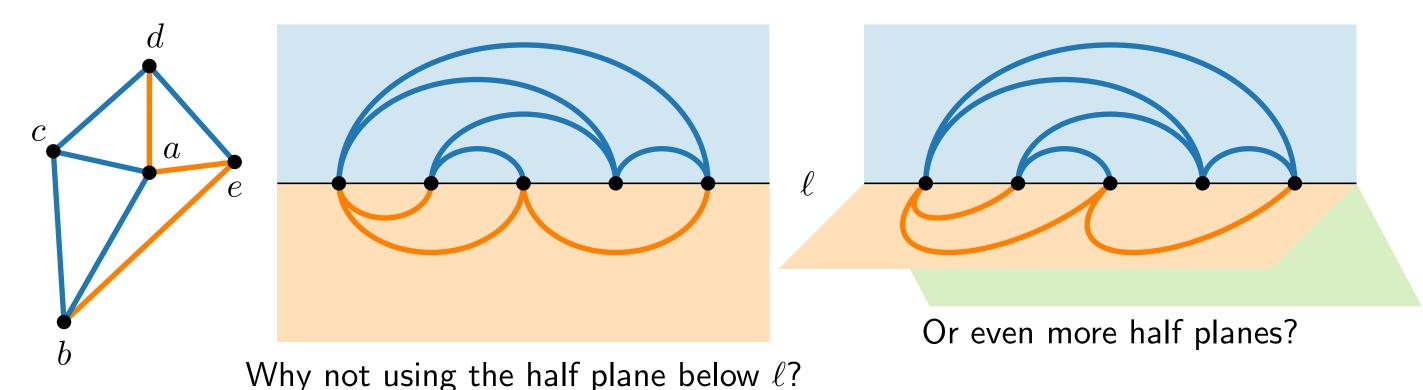


Why not using the half plane below ℓ ?

Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

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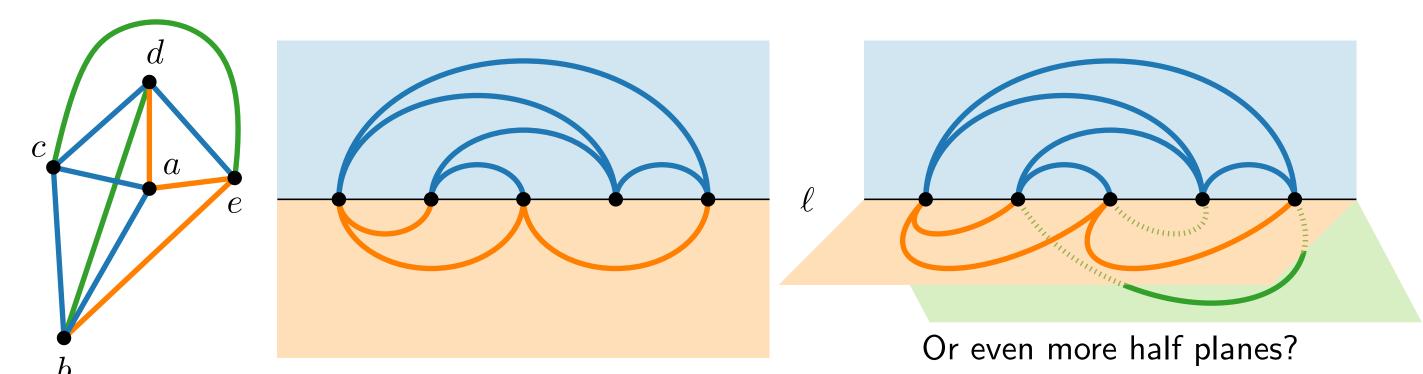


Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

Why not using the half plane below ℓ ?

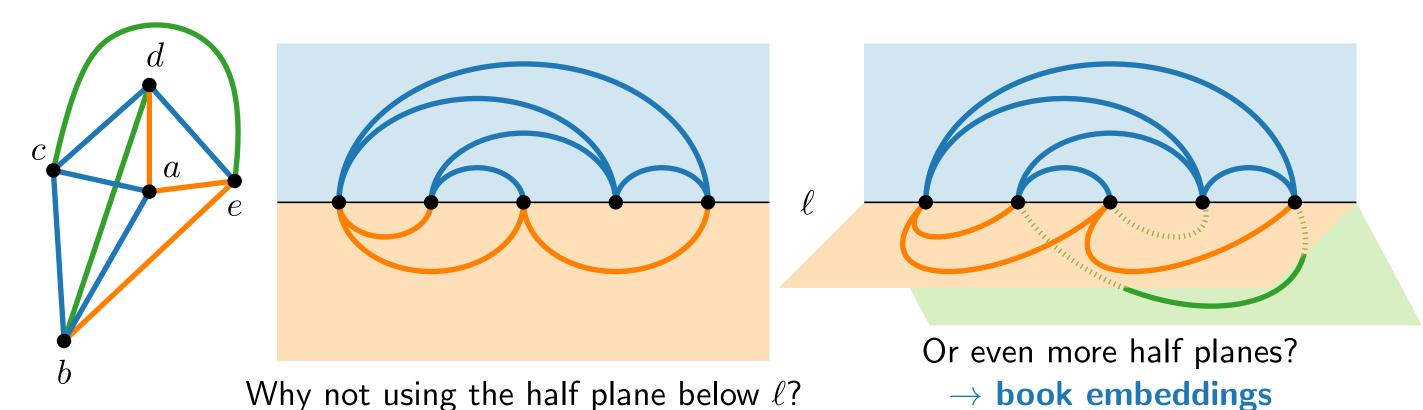
- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
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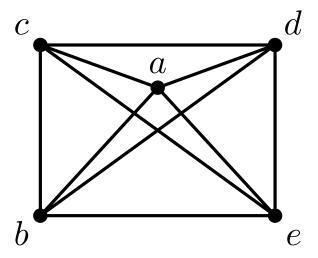
Given: \blacksquare graph G

Task: Find a linear order \prec of V(G) such that there is a planar drawing where

- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- the edges E(G) are drawn as x-monotone arcs in the half plane above ℓ .

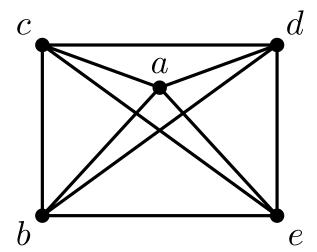


Given: \blacksquare graph G



Given: \blacksquare graph G

■ integer *k*



k = 3

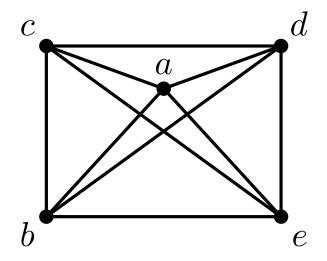
Given: \blacksquare graph G

■ integer k

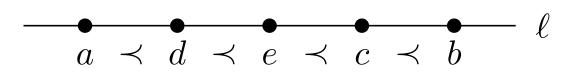
Task: Find a linear order \prec of V(G)

such that ...

lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and



k=3

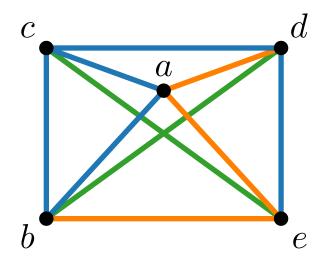


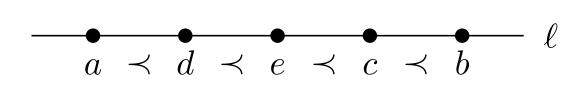
Given: \blacksquare graph G

■ integer k

Task: Find (i) a linear order \prec of V(G) and (ii) an assignment $p \colon E(G) \to \{1, \dots, k\}$ such that ...

lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and

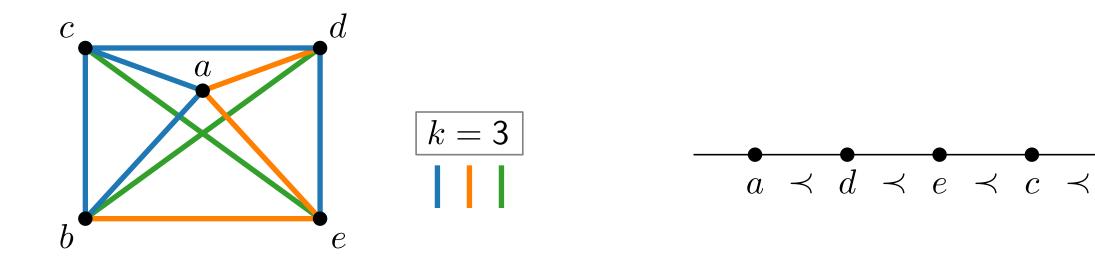




Given: \blacksquare graph G

■ integer k

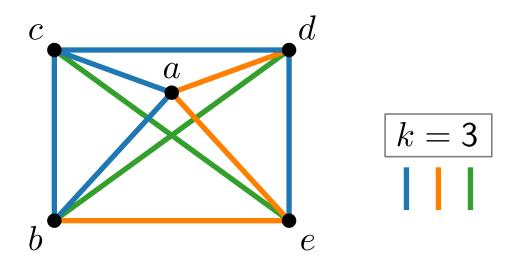
- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- for each $i \in \{1, ..., k\}$, the edges in $p^{-1}(i)$ are drawn as x-monotone arcs without crossings in a (separate) half plane delimited by ℓ .

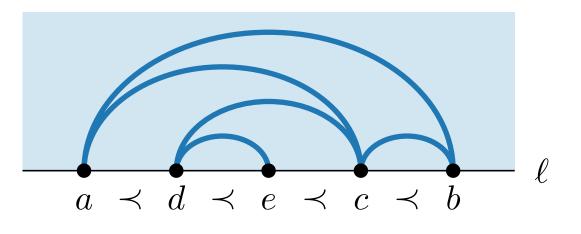


Given: \blacksquare graph G

■ integer k

- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- for each $i \in \{1, ..., k\}$, the edges in $p^{-1}(i)$ are drawn as x-monotone arcs without crossings in a (separate) half plane delimited by ℓ .

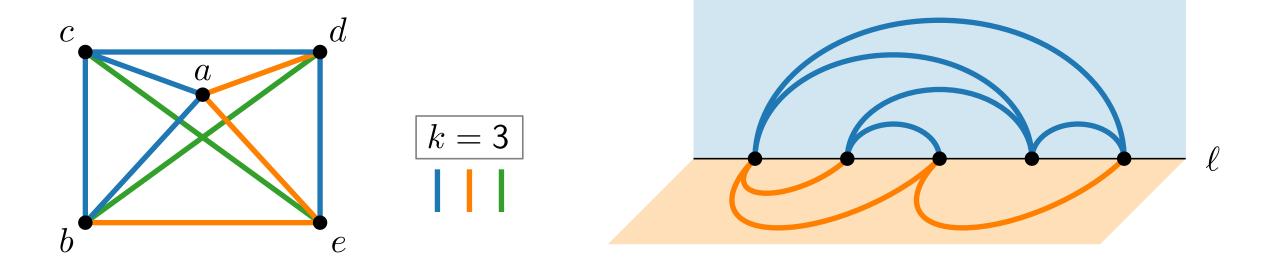




Given: \blacksquare graph G

■ integer k

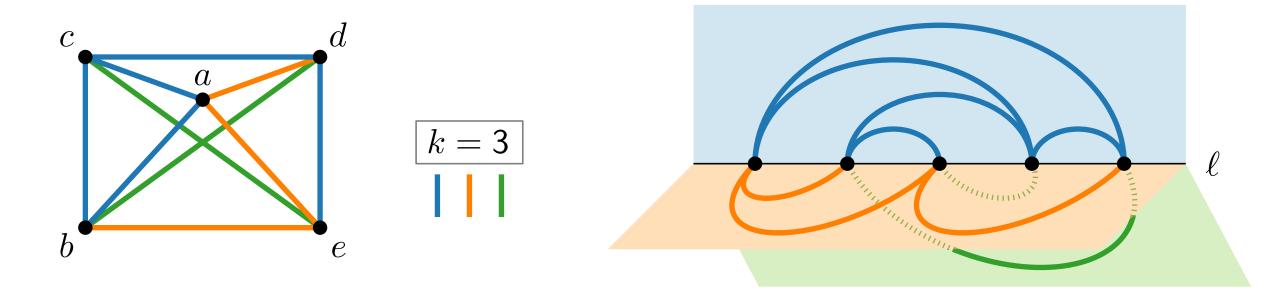
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Given: \blacksquare graph G

■ integer k

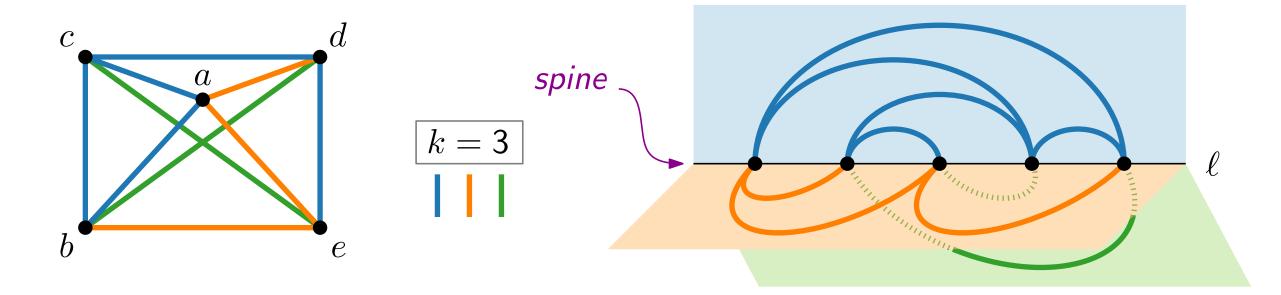
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Given: \blacksquare graph G

■ integer k

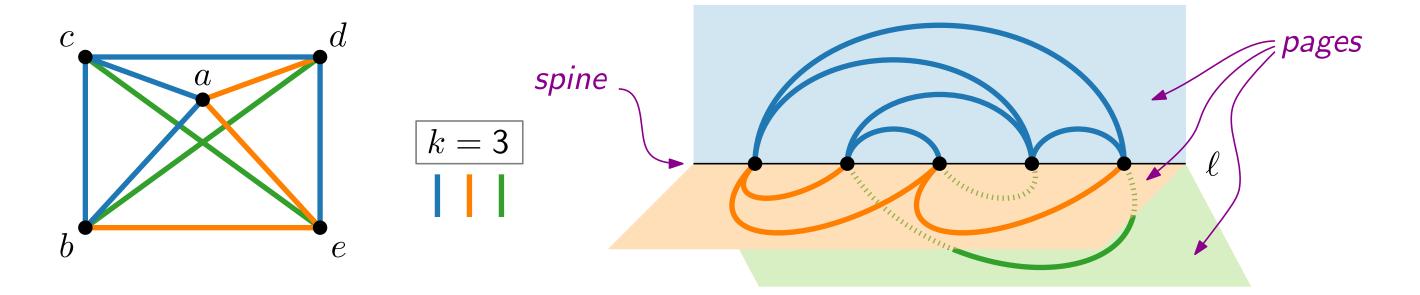
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Given: \blacksquare graph G

■ integer k

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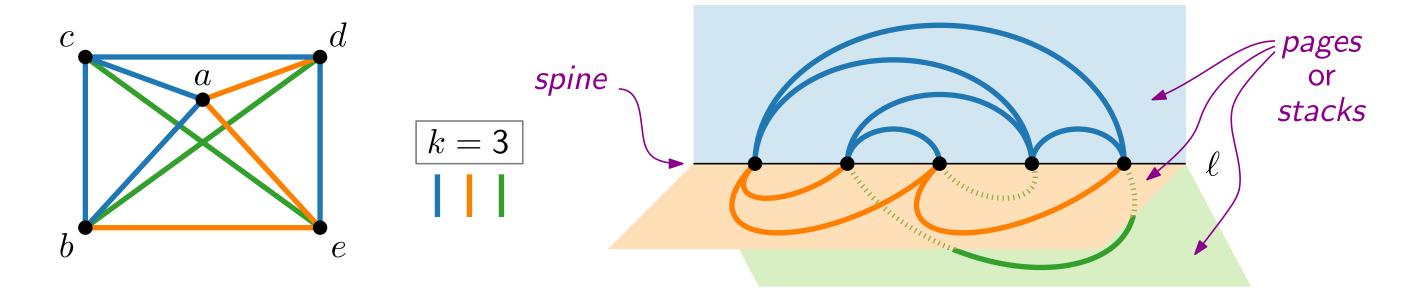


Book Embeddings (Stack Layouts)

Given: \blacksquare graph G

■ integer k

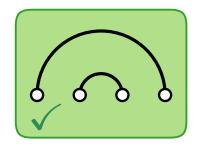
- lacktriangle the vertices V(G) in order \prec are arranged along a horizontal line ℓ and
- for each $i \in \{1, ..., k\}$, the edges in $p^{-1}(i)$ are drawn as x-monotone arcs without crossings in a (separate) half plane delimited by ℓ .

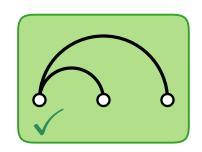


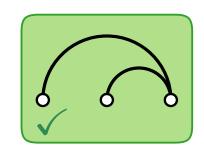
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.

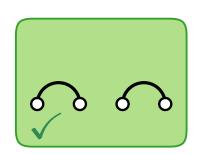
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.

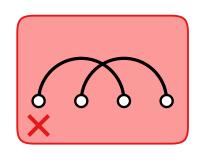
Stack Layouts:





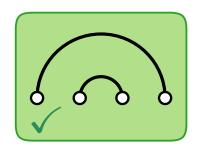


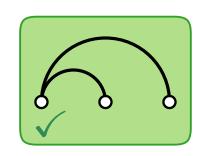


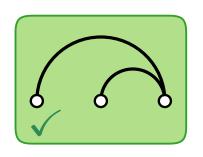


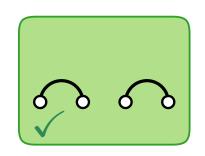
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.

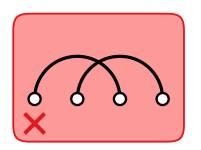
Stack Layouts:



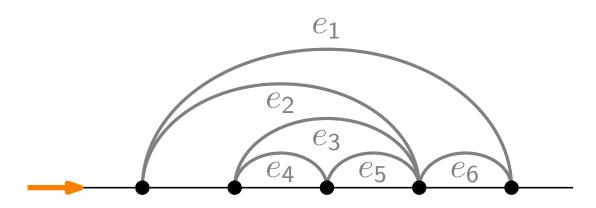




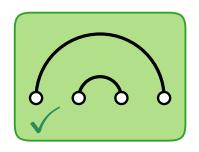


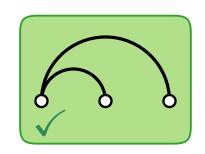


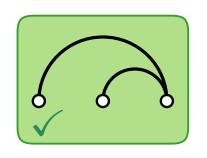
For one stack, traverse the spine from left to right.

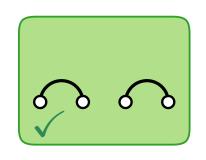


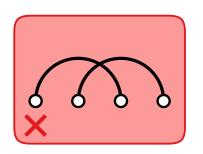
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.



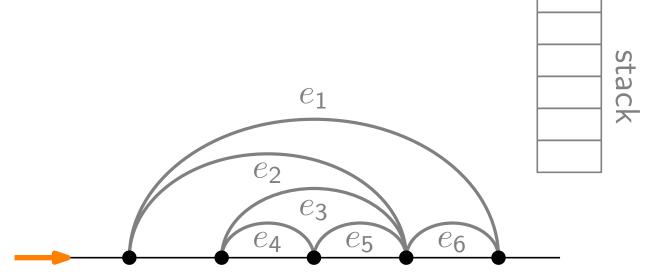




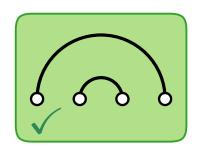


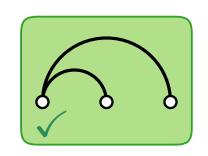


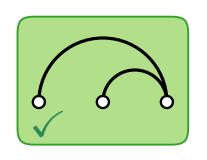
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- Whenever we encounter a vertex v, put the edges starting at v into a stack.

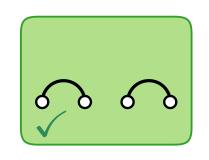


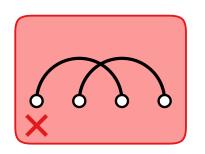
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.



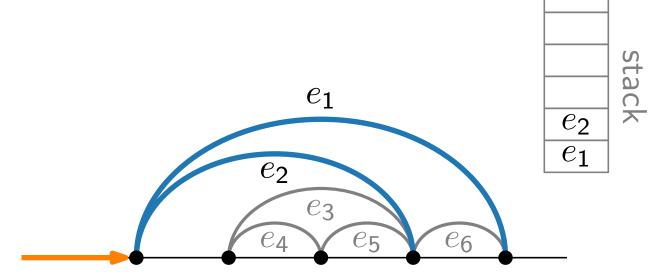




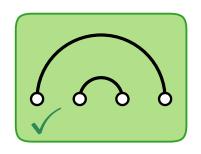


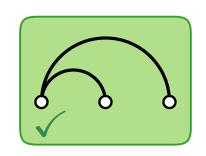


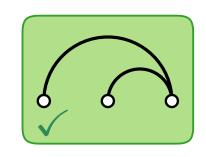
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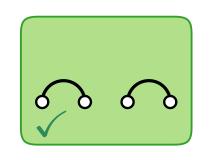


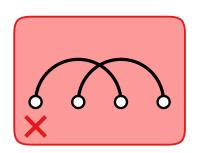
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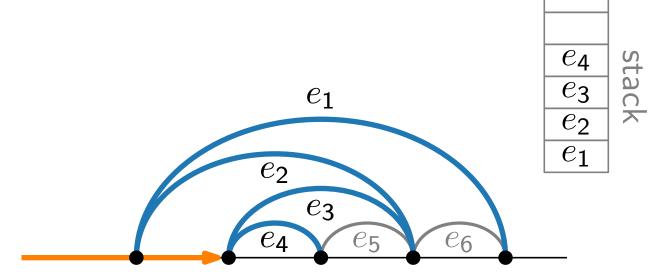




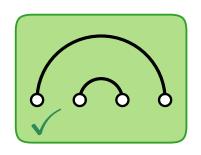


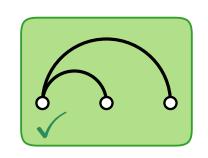


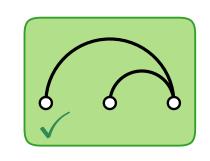
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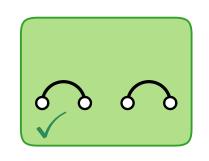


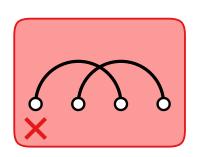
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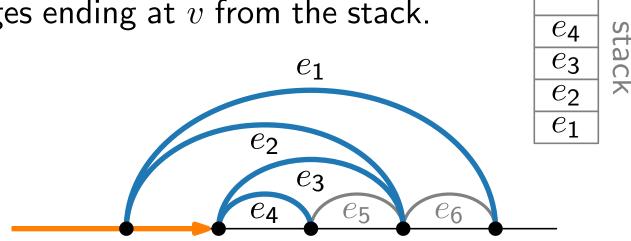




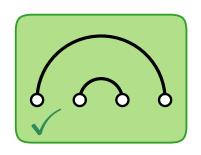


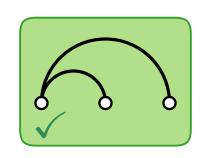


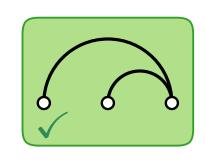
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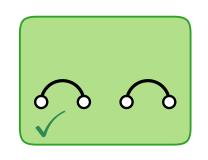


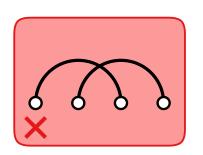
Consider stack layouts purely combinatorially in terms of allowed and forbidden patterns.



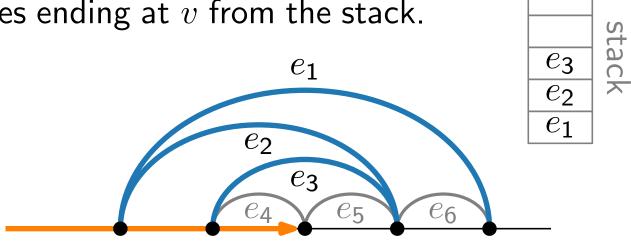




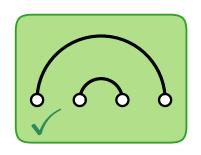


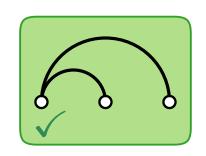


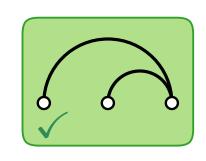
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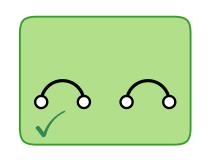


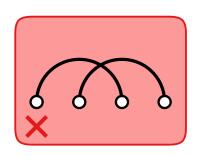
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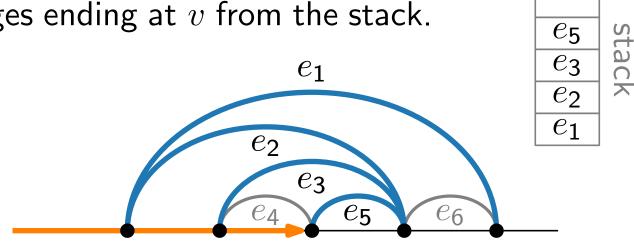




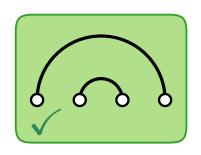


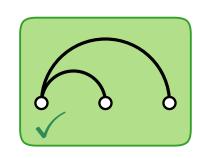


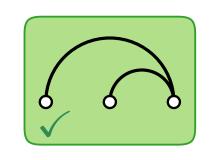
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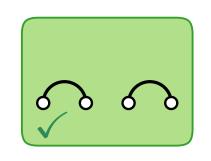


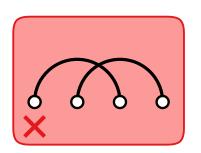
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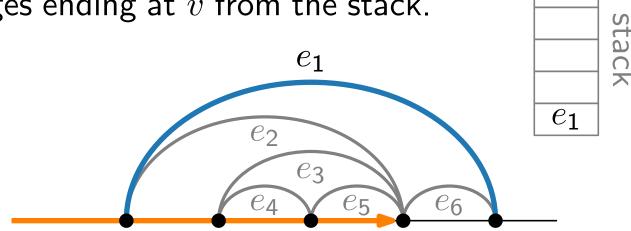




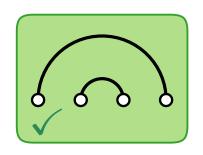


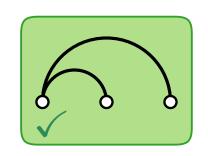


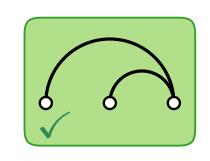
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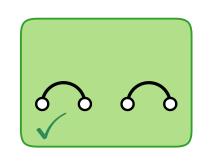


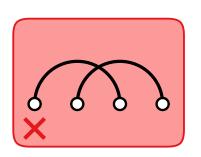
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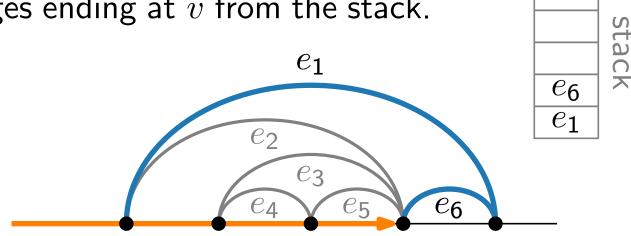




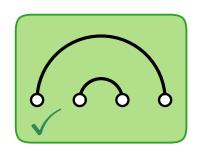


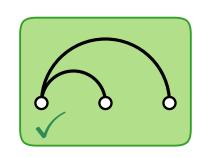


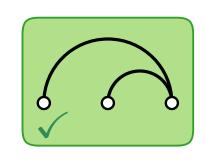
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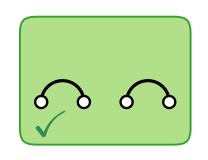


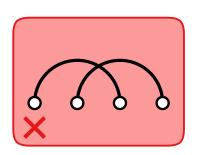
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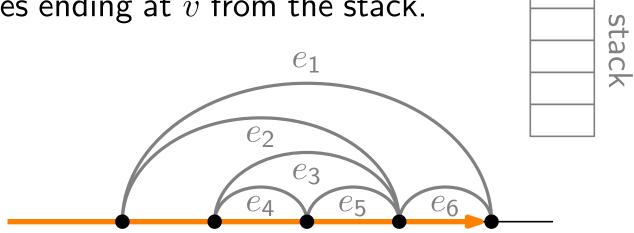








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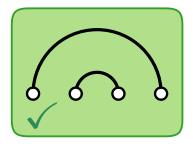


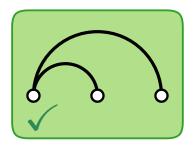
Have people studied linear layouts using other data structures than stacks?

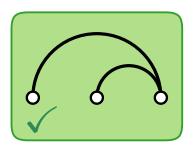
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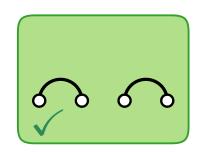
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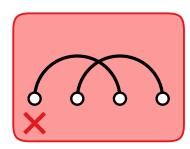
Stack Layouts:



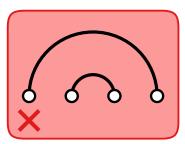


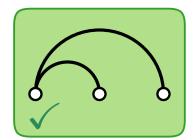


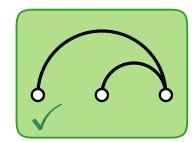


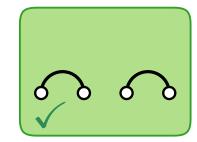


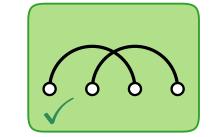
Queue Layouts:











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Stack Layouts: Queue Layouts: e_2 e_4 ueu e_{5}

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Stack Layouts: Queue Layouts: e_2 e_{4} e_2 e_1

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Stack Layouts: Queue Layouts: e_2 $e_{\mathbf{4}}$ e_{4} $e_{\mathtt{3}}$ e_{5} e_{2} e_1

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Stack Layouts: Queue Layouts: e_2 e_{4} ueu e_{5}

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Example:

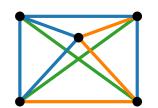
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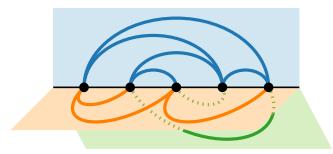
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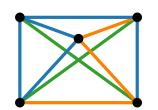


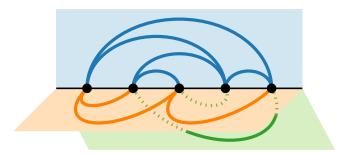


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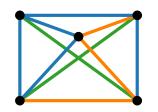


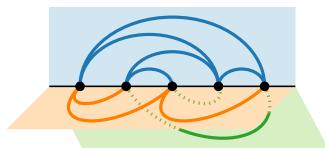


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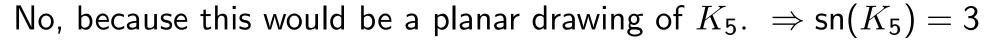


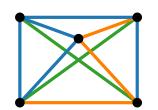


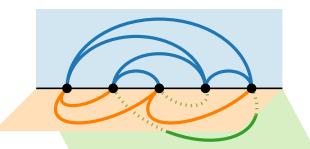
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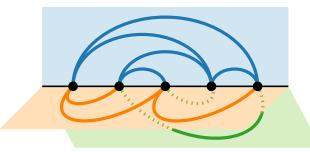


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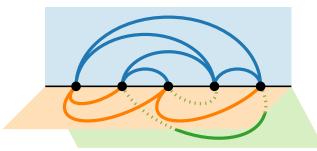
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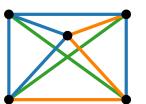
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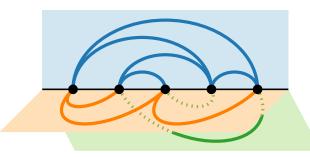
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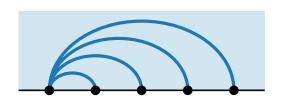
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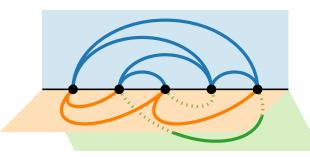


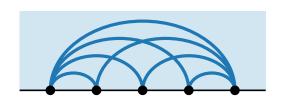
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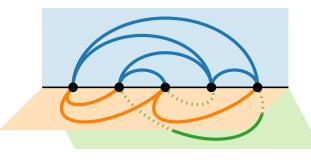


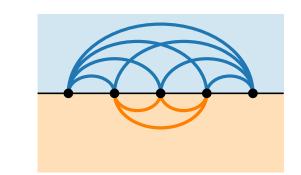
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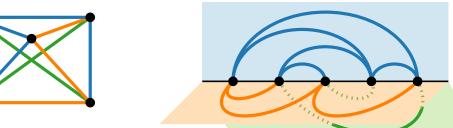


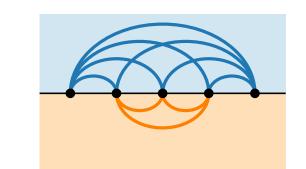


- Some graphs require more pages than other graphs to admit a stack (queue) layout.
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A graph G has stack number $\operatorname{sn}(G) = k$ (queue number $\operatorname{qn}(G) = k$) if G admits a k-page stack (queue) layout but no (k-1)-page stack (queue) layout.

- We have seen that K_5 has a 3-page stack layout.
- Does K_5 have a 2-page stack layout? No, because this would be a planar drawing of K_5 . \Rightarrow sn $(K_5) = 3$
- Does K_5 have a 1-page queue layout? No, because if we have all edges on one page, there are nestings.
- Does K_5 have a 2-page queue layout? Yes! \Rightarrow qn $(K_5) = 2$





Theorem.

[Bernhart & Kainen 1979]

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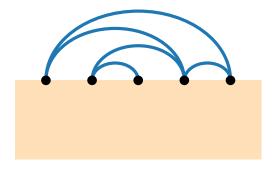
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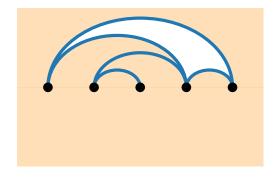
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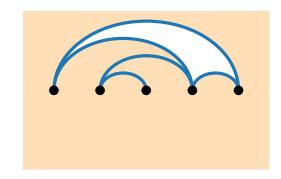
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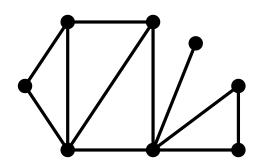
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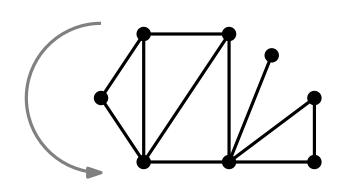
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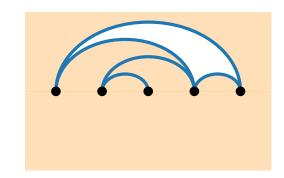
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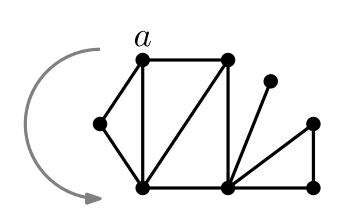
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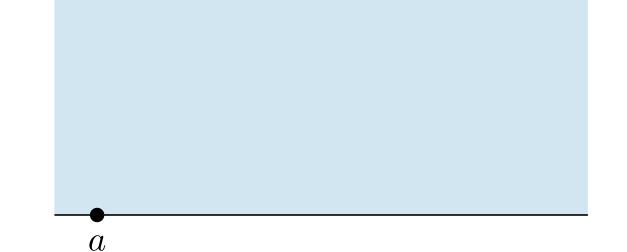
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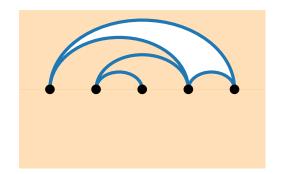
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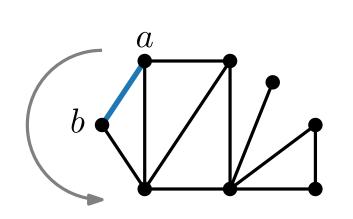
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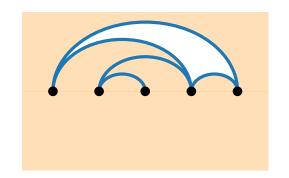
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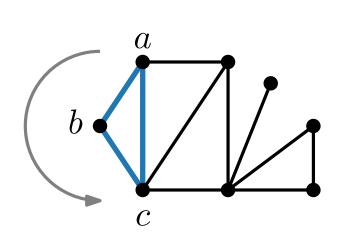
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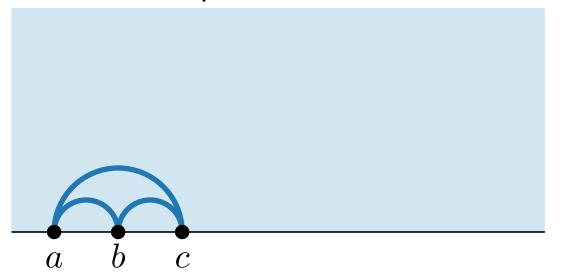
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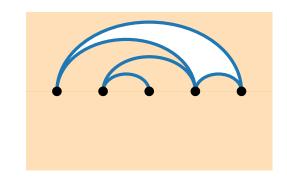
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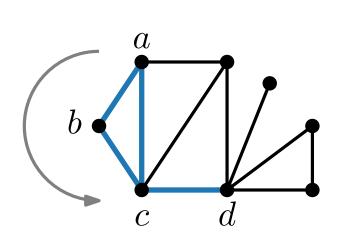
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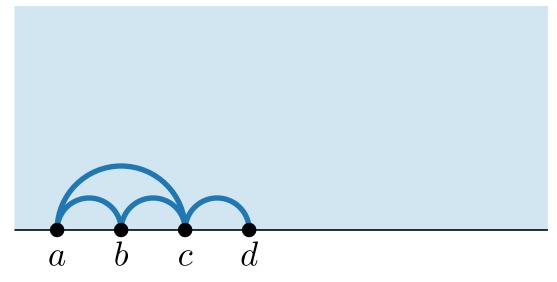
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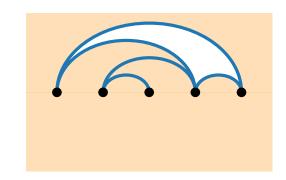
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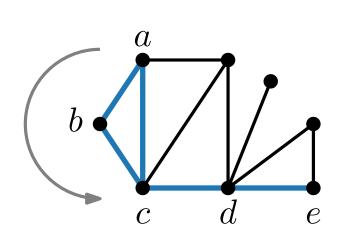
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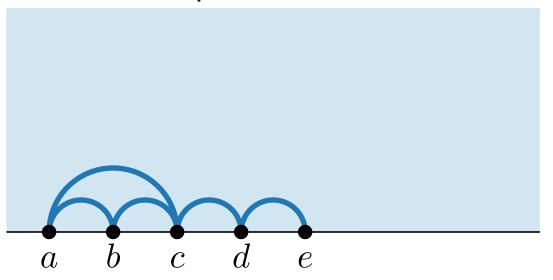
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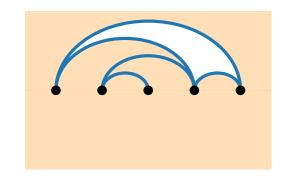
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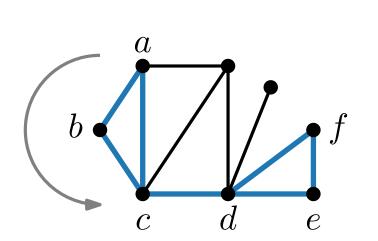
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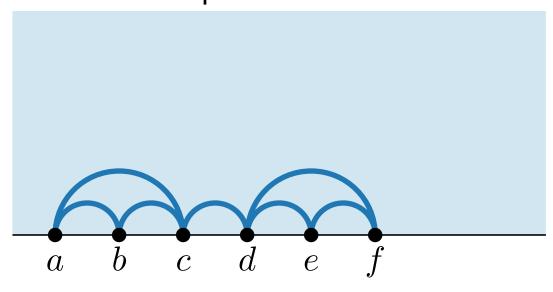
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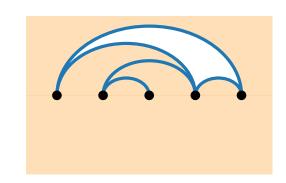
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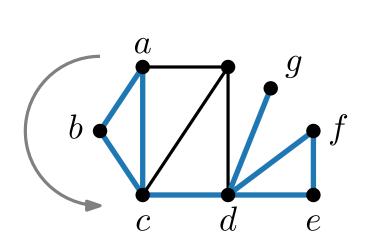
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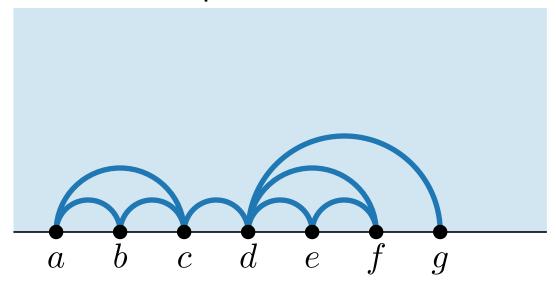
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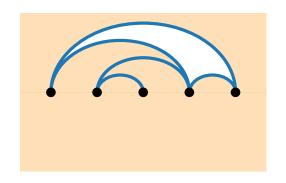
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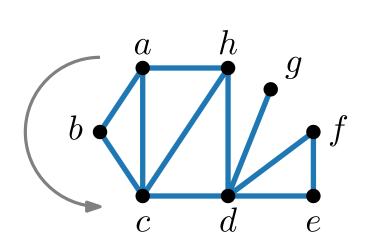
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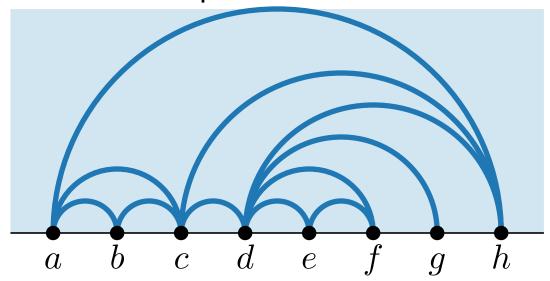
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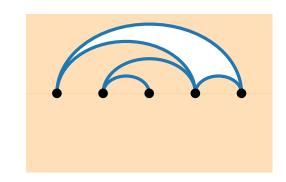
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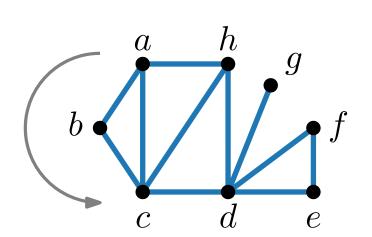
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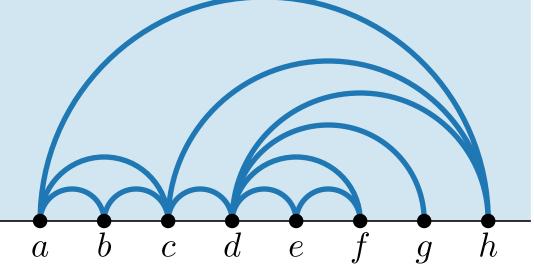
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Note that the planar embedding is preserved.

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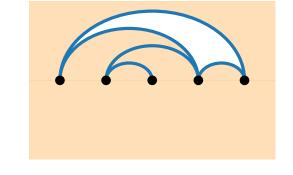
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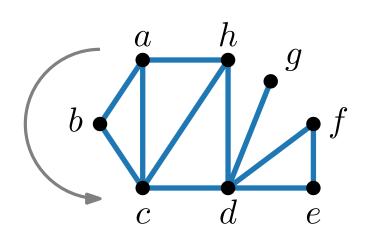
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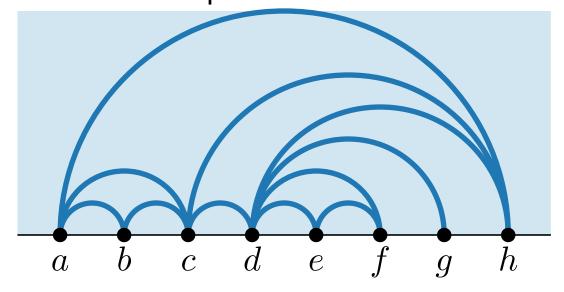
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We can think of "morphing" the one drawing into the other.

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For a graph G holds: $\operatorname{sn}(G) \leq 2 \Leftrightarrow G$ is a subgraph of a planar Hamiltonian graph

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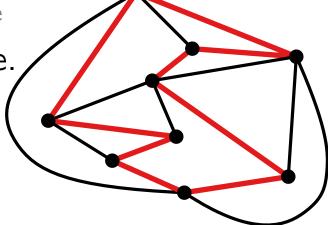
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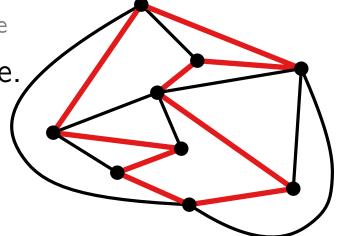
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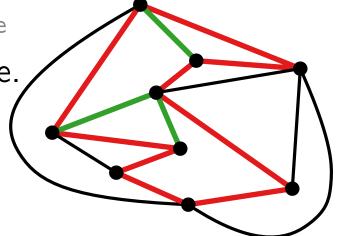
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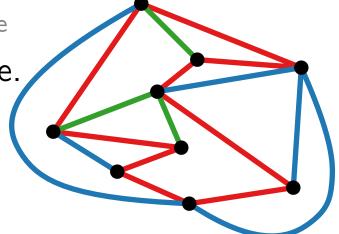
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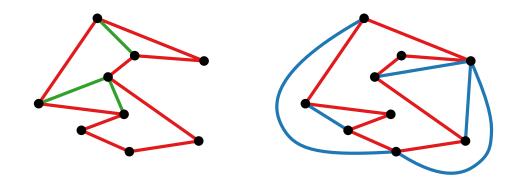
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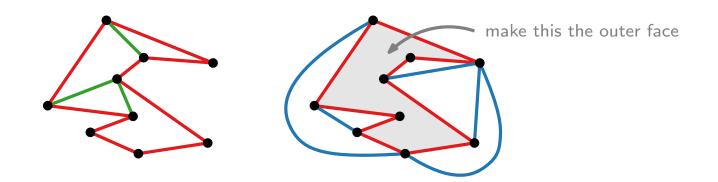
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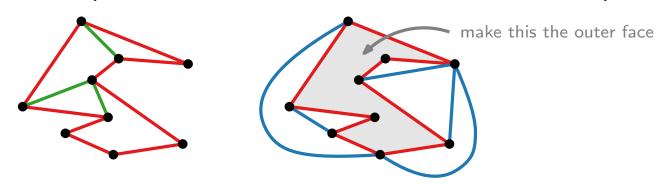
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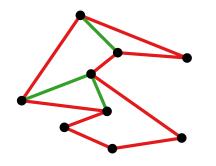
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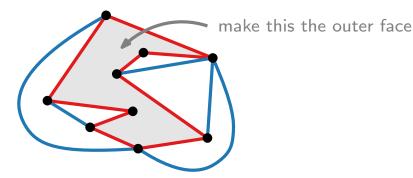
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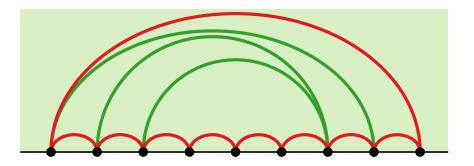
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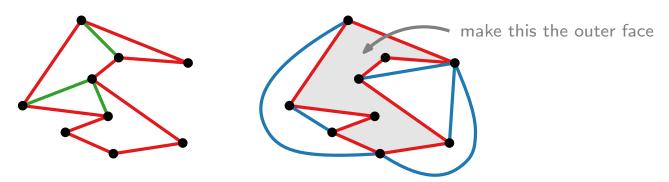
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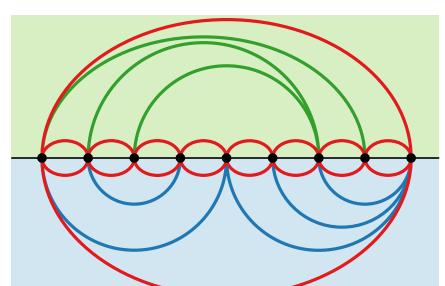
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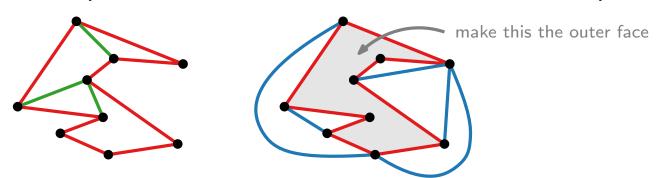
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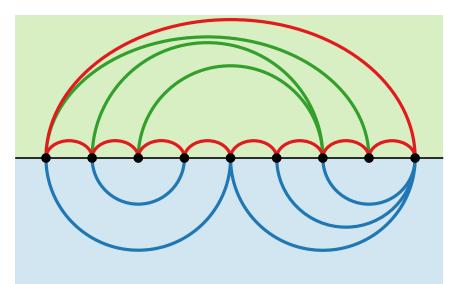
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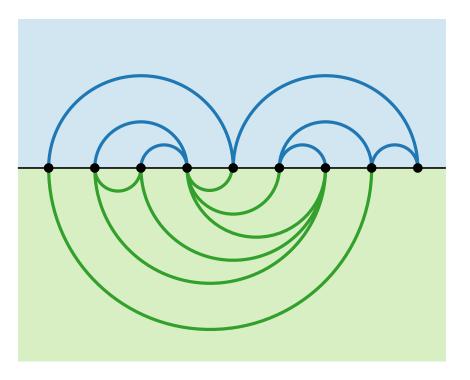
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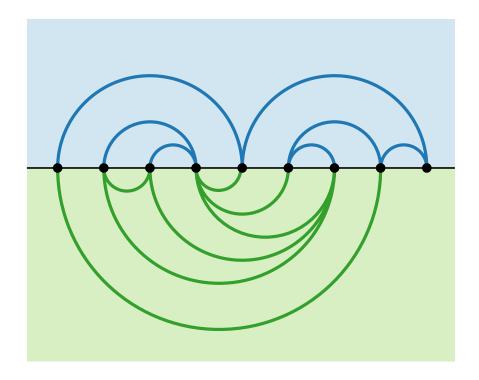
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 - **Clearly**, Γ is planar.



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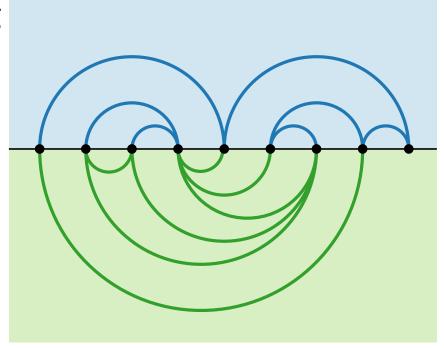
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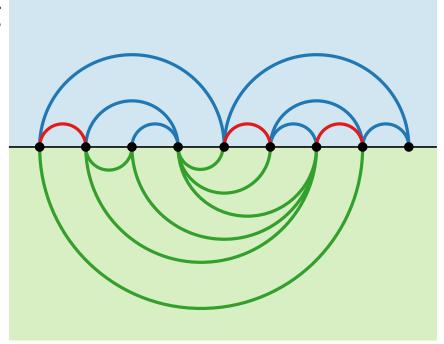
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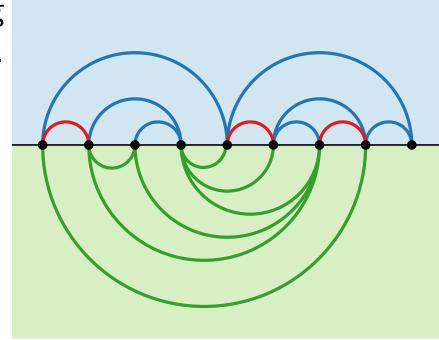
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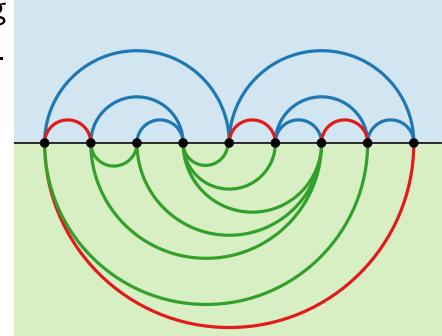
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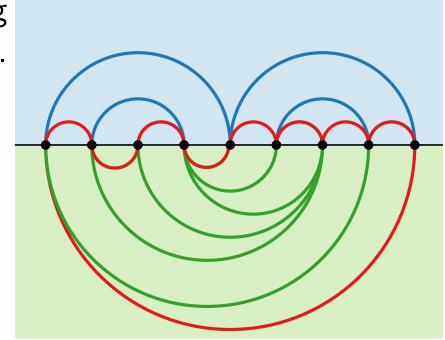
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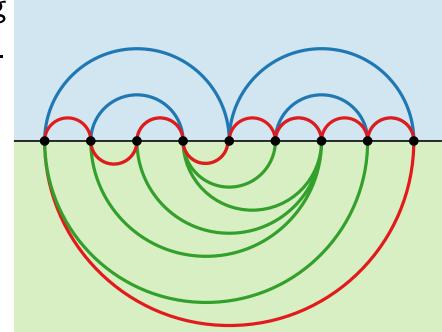
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This result includes planar bipartite and series-parallel graphs.

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Conjecture.

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For $n \to \infty$, there are n-vertex planar graphs such that $\operatorname{sn}(G) \to \infty$. (The stack number of planar graphs is not bounded by a constant.)

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Theorem.

[Yannakakis 2020,

Bekos, Kaufmann, Klute, Pupyrev, Raftopoulou & Ueckerdt 2020]

There is a planar graph G with $sn(G) \geq 4$.

But are there planar graphs that need 4 stacks?

Yes! (The planar graph presented by Bekos et al. has 275 vertices and 819 edges.)

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We first show that $\operatorname{sn}(K_n) \geq n/2$.

Consider any order \prec of the vertices on the spine and name them v_1, \ldots, v_n accordingly.

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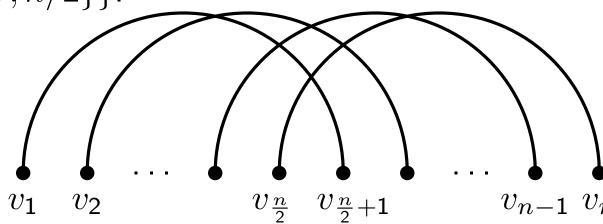
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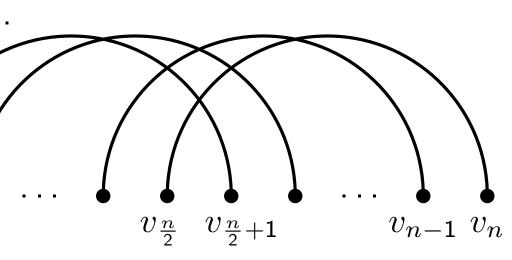
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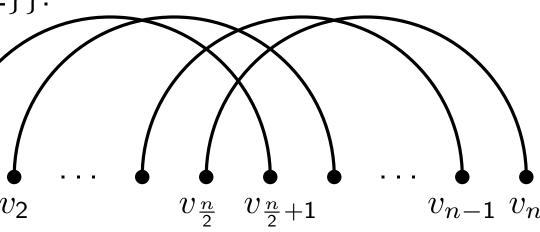
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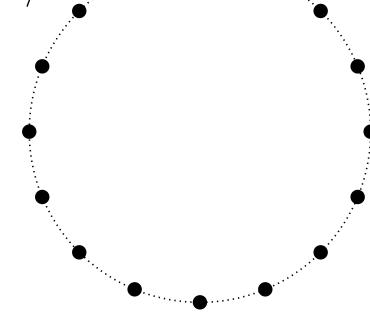
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We now show that $\operatorname{sn}(K_n) \leq n/2$.

 \blacksquare Arrange the vertices of K_n on a circle.



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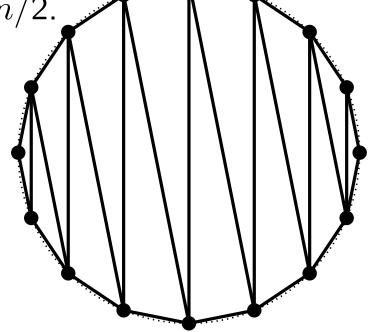
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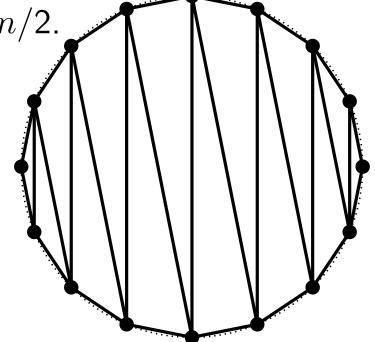
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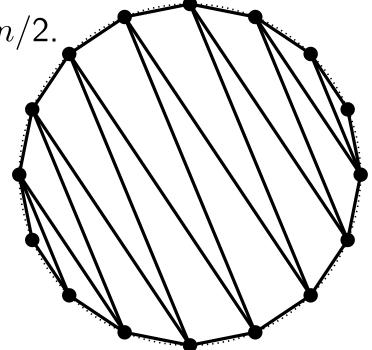
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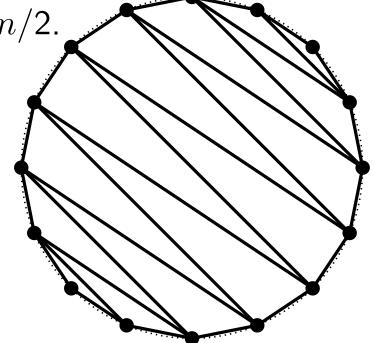
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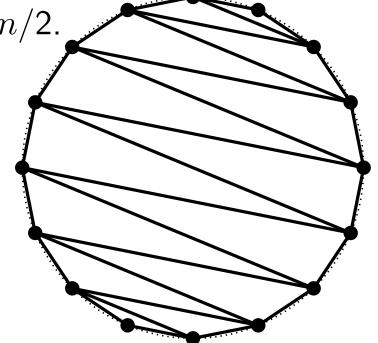
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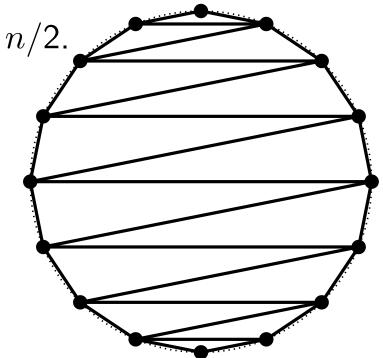
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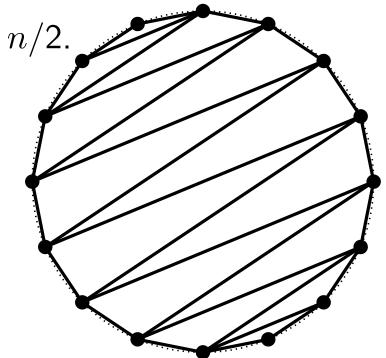
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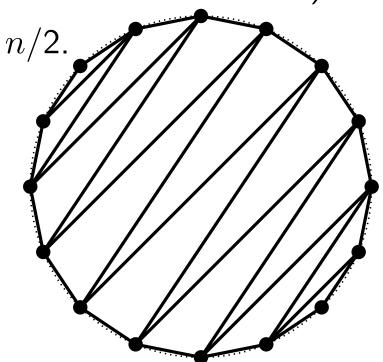
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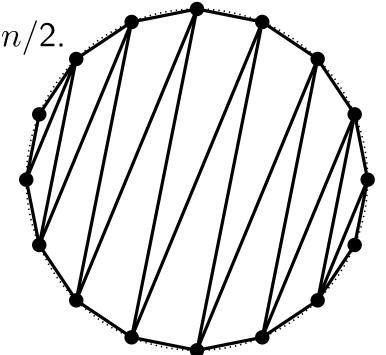
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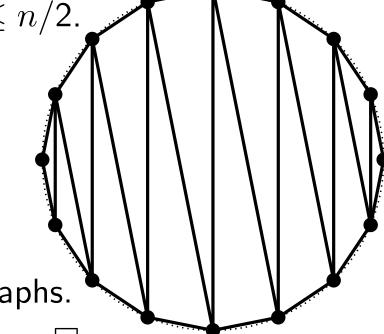
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Theorem. [Heath & Rosenberg 1992]

For every tree T, qn(T) = 1.

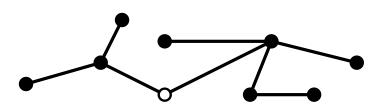
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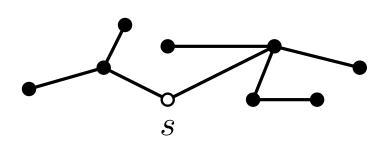
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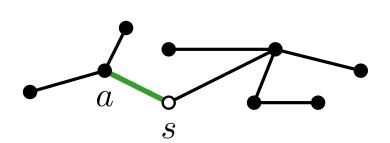




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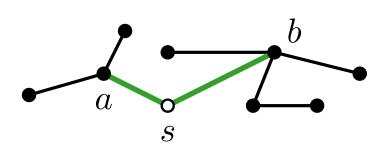




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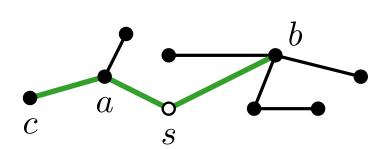


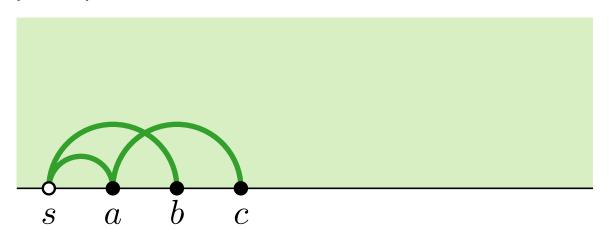


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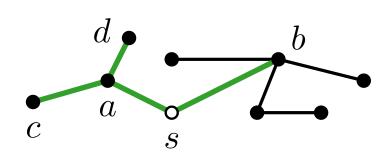


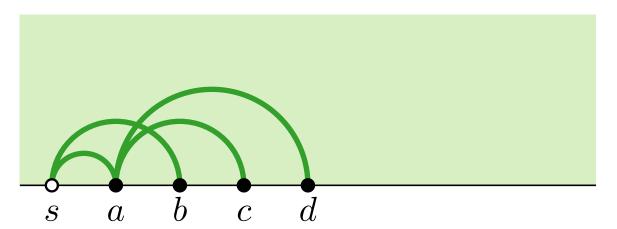


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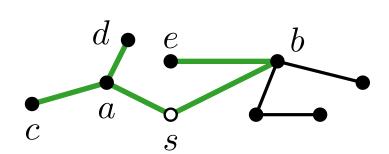


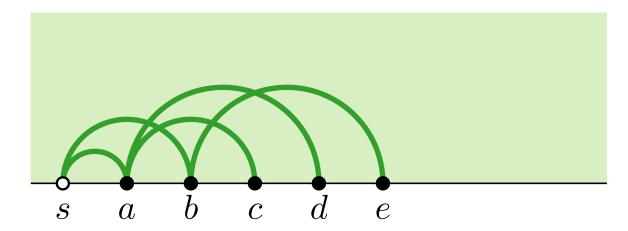


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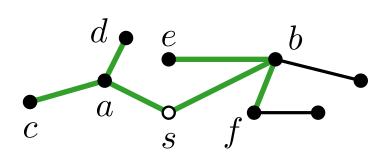


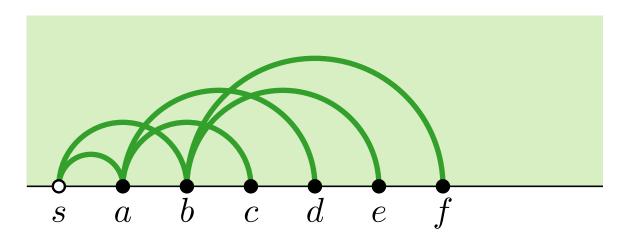


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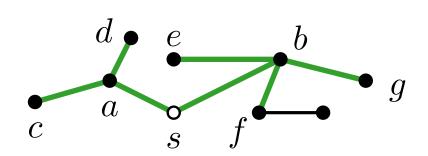


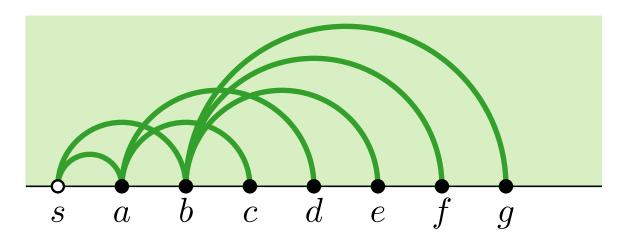


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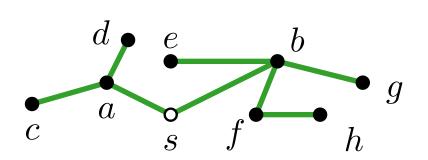


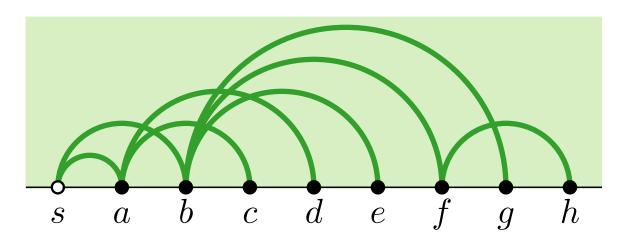


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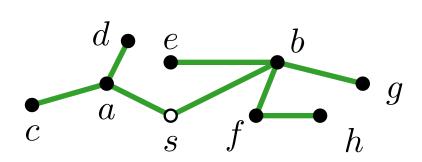


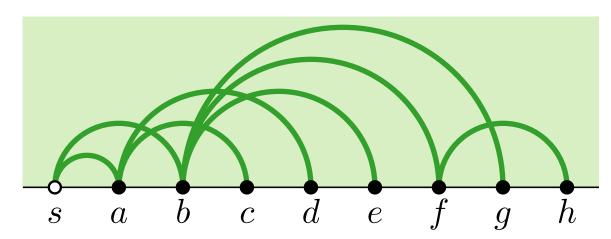
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The exploration order in a breadth-first search (BFS) traversal yields a queue layout.





If there was a nesting uv above xy, we would find u before x in the BFS, but discover a neighbor of x before a neighbor of u.

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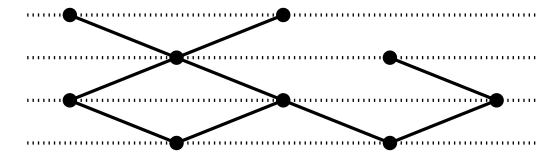
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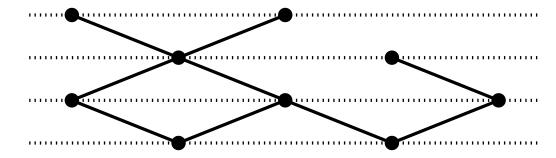


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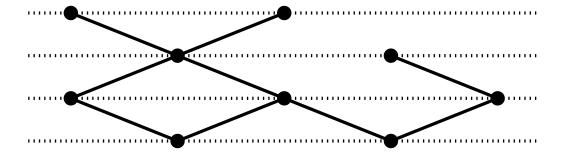
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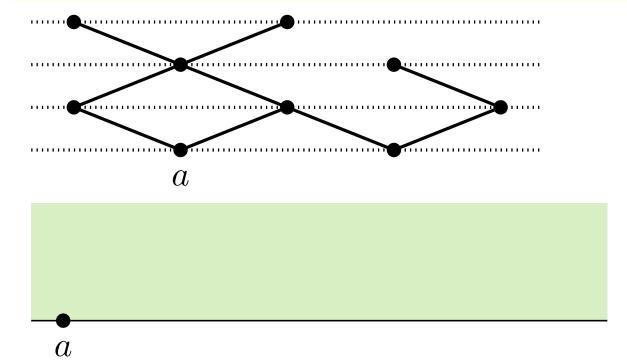
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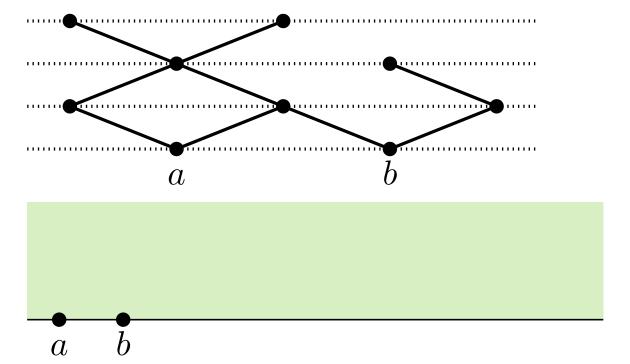
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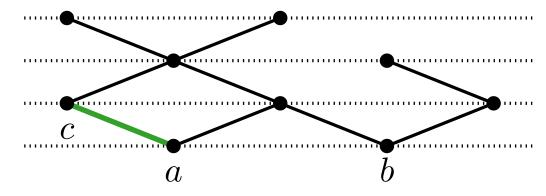
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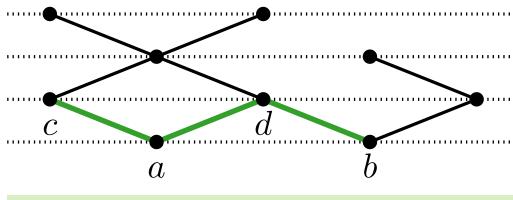
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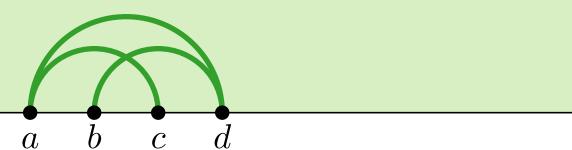
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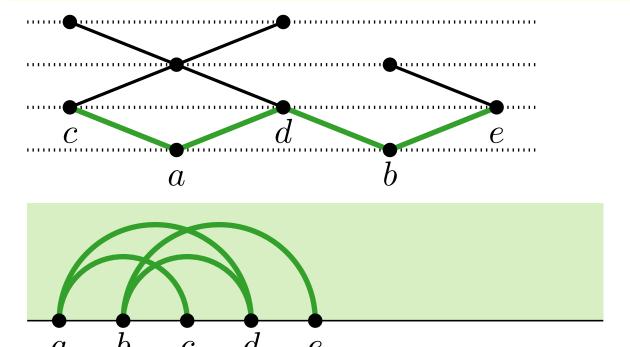
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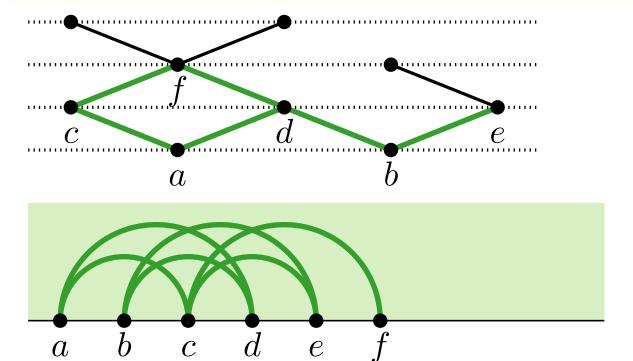
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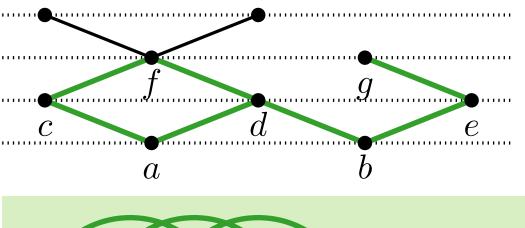
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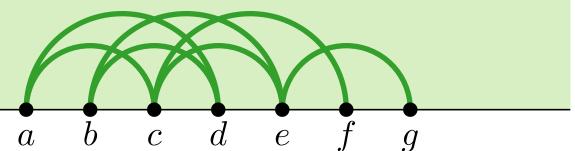
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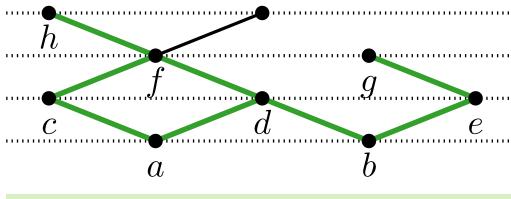
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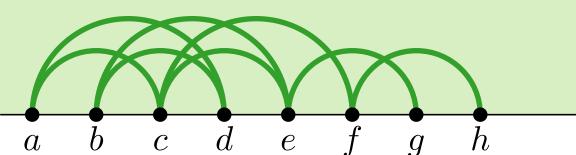
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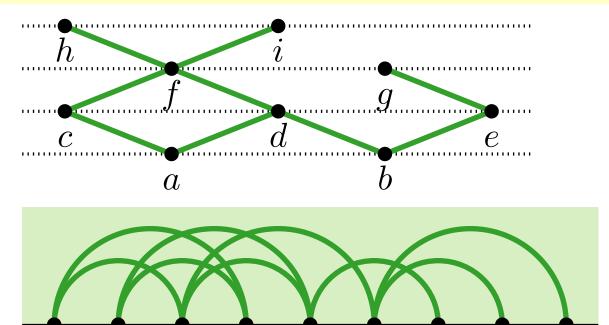
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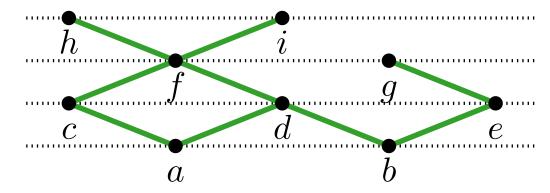
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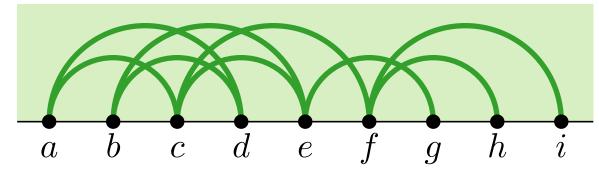
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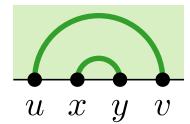
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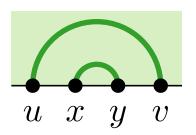
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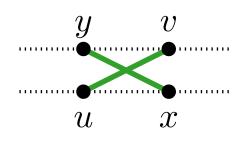
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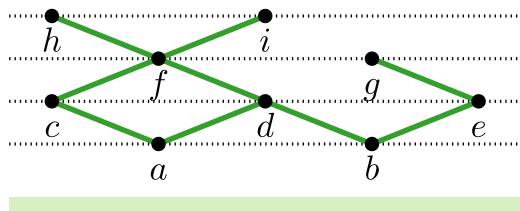
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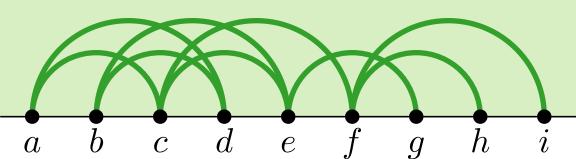
Proof.

- Take a leveled-planar drawing, order the vertices from bottom to top and left to right; this yields a queue layout.
- If there was a nesting uv above xy, u would be to the left of x on one level, and y would be to the left of v on the level above; this would not be planar.









Theorem.

[Heath & Rosenberg 1992]

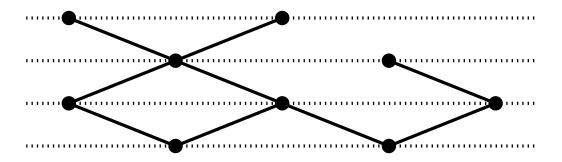
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For a graph G holds:

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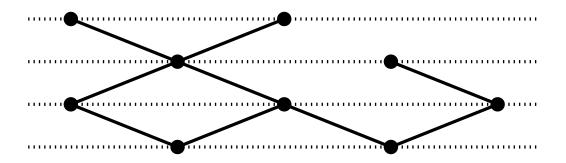
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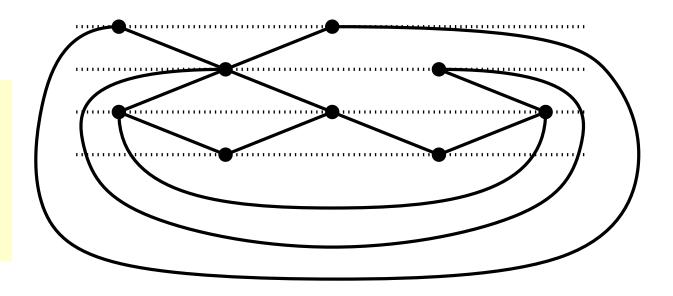
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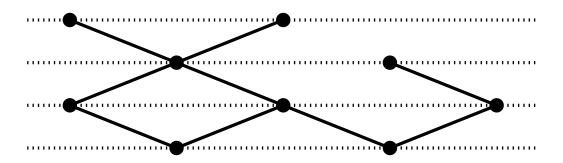
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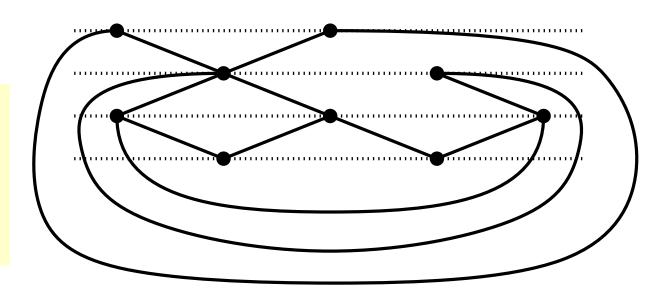
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2-Page and 3-Page Queue Layouts

Theorem.

[Heath & Rosenberg 1992, Rengarajan & Veni Madhavan 1995.]

For every outerplanar graph G, $qn(G) \leq 2$.

Theorem.

[Rengarajan & Veni Madhavan 1996.]

For every series-parallel graph G, $qn(G) \leq 3$.

Queue Layouts of Planar Graphs

We have seen planar graphs have stack number at most 4. What is the max. queue number?

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For every planar graph G, $qn(G) \in \mathcal{O}(\sqrt{n})$.

Conjecture 1. [Heath, Leighton & Rosenberg 1991]

There is a constant C such that, for every planar graph G, $qn(G) \leq C$.

Conjecture 2.

[Pemmaraju 1992 Heath & Rosemberg 2011]

For $n \to \infty$, there are n-vertex planar graphs such that $qn(G) \to \infty$. (No bounding constant)

Theorem.

[Di Battista, Frati & Pach 2013]

For every planar graph G, $qn(G) \in \mathcal{O}(\log^2 n)$.

Theorem.

[Dujmović 2015]

For every planar graph G, $qn(G) \in \mathcal{O}(\log n)$.

Theorem.

[Dujmović, Joret, Micek, Morin,

Ueckerdt & Wood 2020]

For every planar graph G, $qn(G) \leq 49$.

[Bekos, Gronemann & Raftopoulou 2021]

For every planar graph G, $qn(G) \leq 42$.

Theorem.

[Alam, Bekos, Gronemann, Kaufmann & Pupyrev 2020]

There is a planar graph G with $qn(G) \geq 4$.

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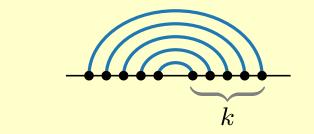
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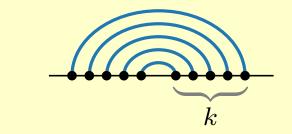
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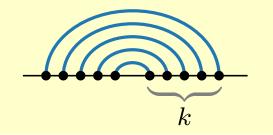
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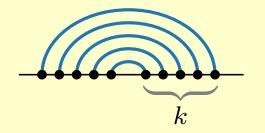
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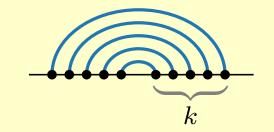
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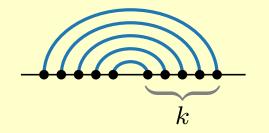
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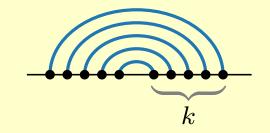
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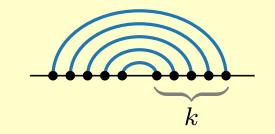
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- \blacksquare For the running time, see the implementation described by [Heath & Rosenberg 1992]. \Box

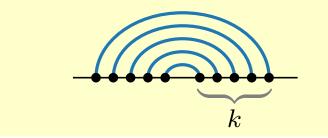
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Is there a symmetric argument for k-twists in stack layouts (with fixed vertex order)?

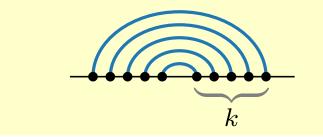
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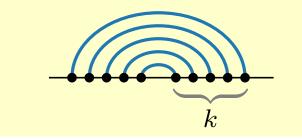
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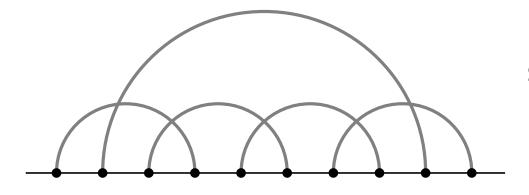
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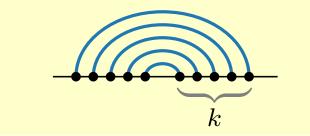
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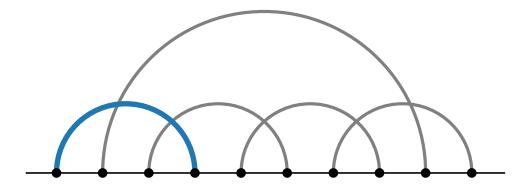
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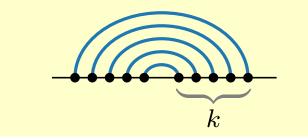
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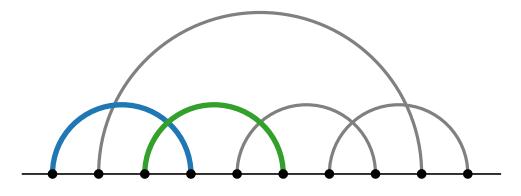
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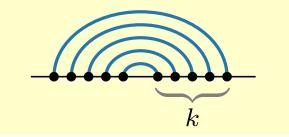
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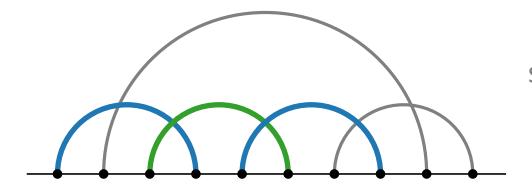
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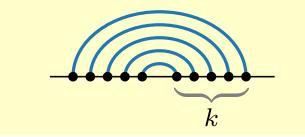
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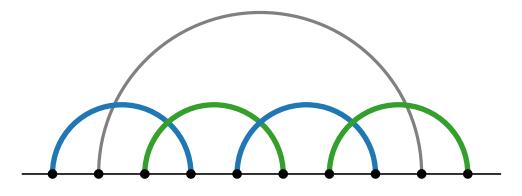
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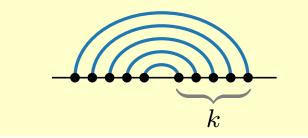
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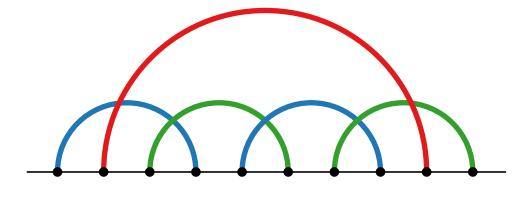
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size of largest twist: 2

number of stacks needed: 3

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Queue Layouts of Complete Graphs

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- Then, $qn(K_n) \leq n/2$ follows directly from Lemma 1.

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So, is determining the stack number easier if the order of the vertices on the spine is given?

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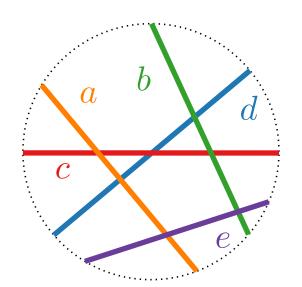
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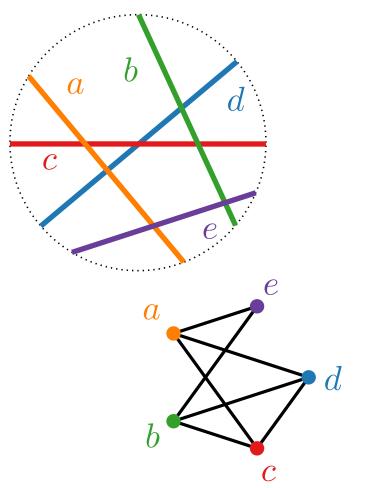
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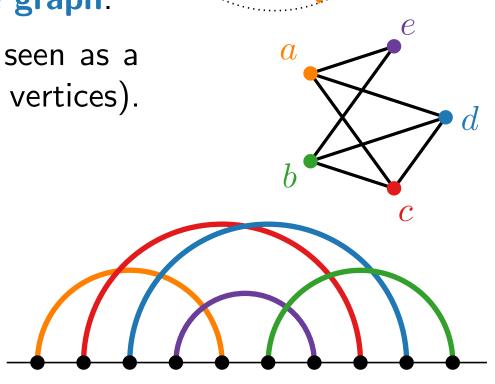
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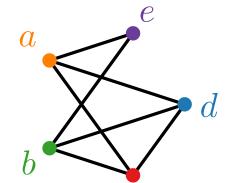
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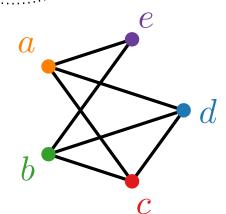
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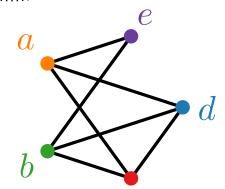
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- Coloring circle graphs is NP-complete for $k \ge 4$ colors. \square



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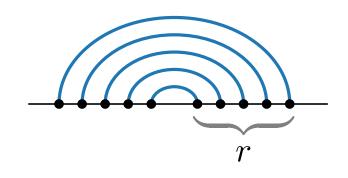
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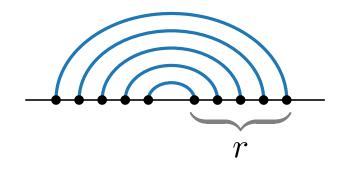
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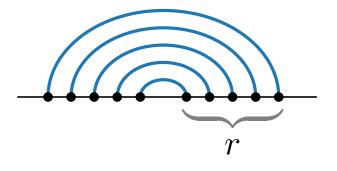
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- If $r \le k$, then there is k-page queue layout due to Lemma 1.



Discussion

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- By the book-embedding paradigm, page number and book thickness are alternative terms for stack number.
- There are many more variants, e.g., for fixed vertex order, directed graphs, using other data structures, . . .

Literature

Sources for the overview:

- [Ueckerdt 2022] Invited Talk on WG 2022: Stack and queue layouts of planar graphs.
- Pupyrev 2024] Website on Linear Layouts:
 https://spupyrev.github.io/linearlayouts.html

Some of the referenced papers:

- [Bernhart & Kainen 1979] *The book thickness of a graph.*
- [Yannakakis 1986] Embedding planar graphs in four pages.
- [Heath & Rosenberg 1992] Laying out graphs using queues.
- [Bekos, Kaufmann, Klute, Pupyrev, Raftopoulou & Ueckerdt 2020] Four pages are indeed necessary for planar graphs.
- [Dujmović, Joret, Micek, Morin, Ueckerdt & Wood 2020]
 Planar graphs have bounded queue-number.
- [Bekos, Gronemann & Raftopoulou 2021]
 An improved upper bound on the queue number of planar graphs.