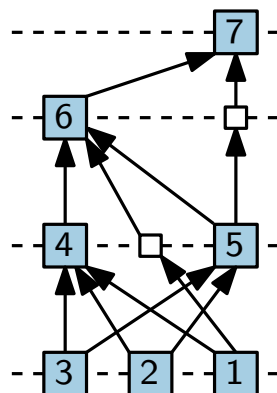
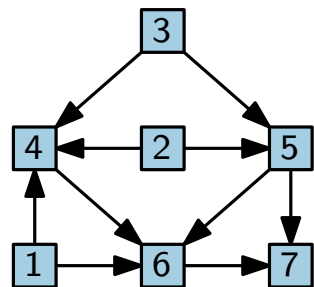
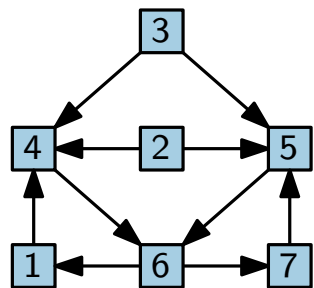


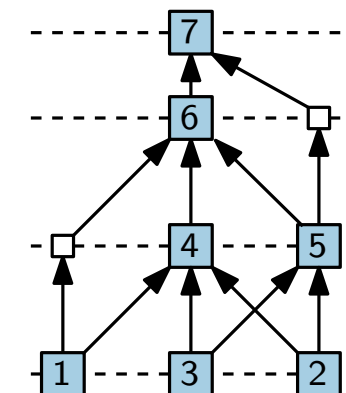
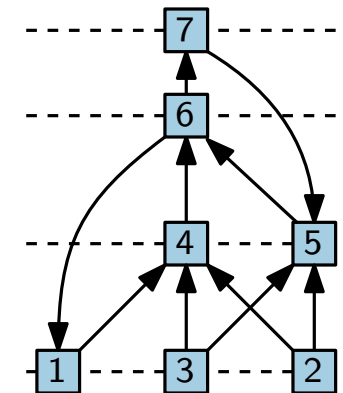
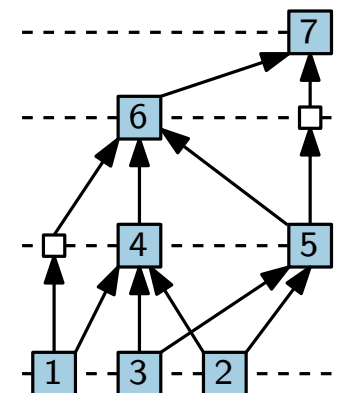
Visualization of Graphs

Lecture 8: Hierarchical Layouts: Sugiyama Framework

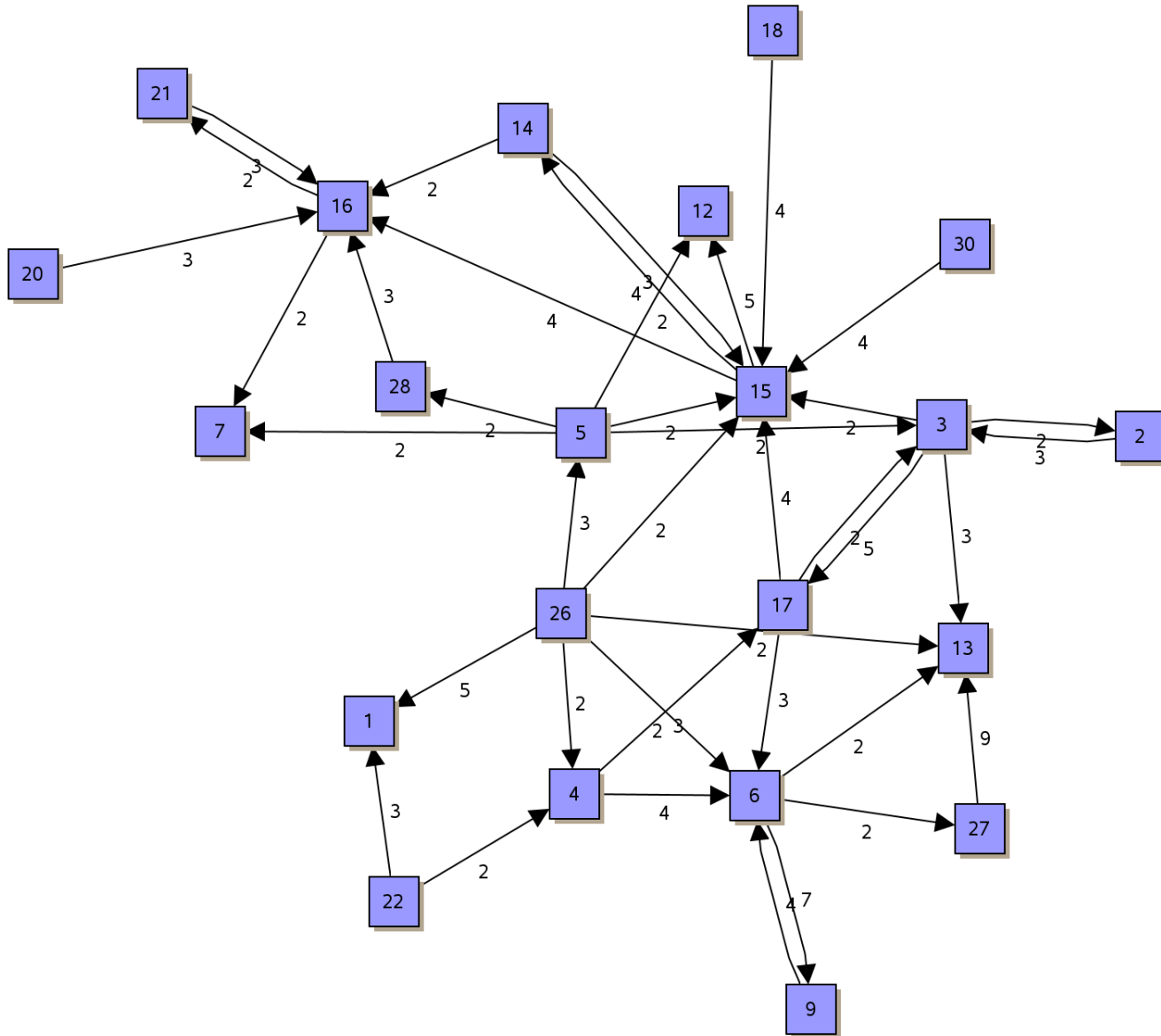


Alexander Wolff

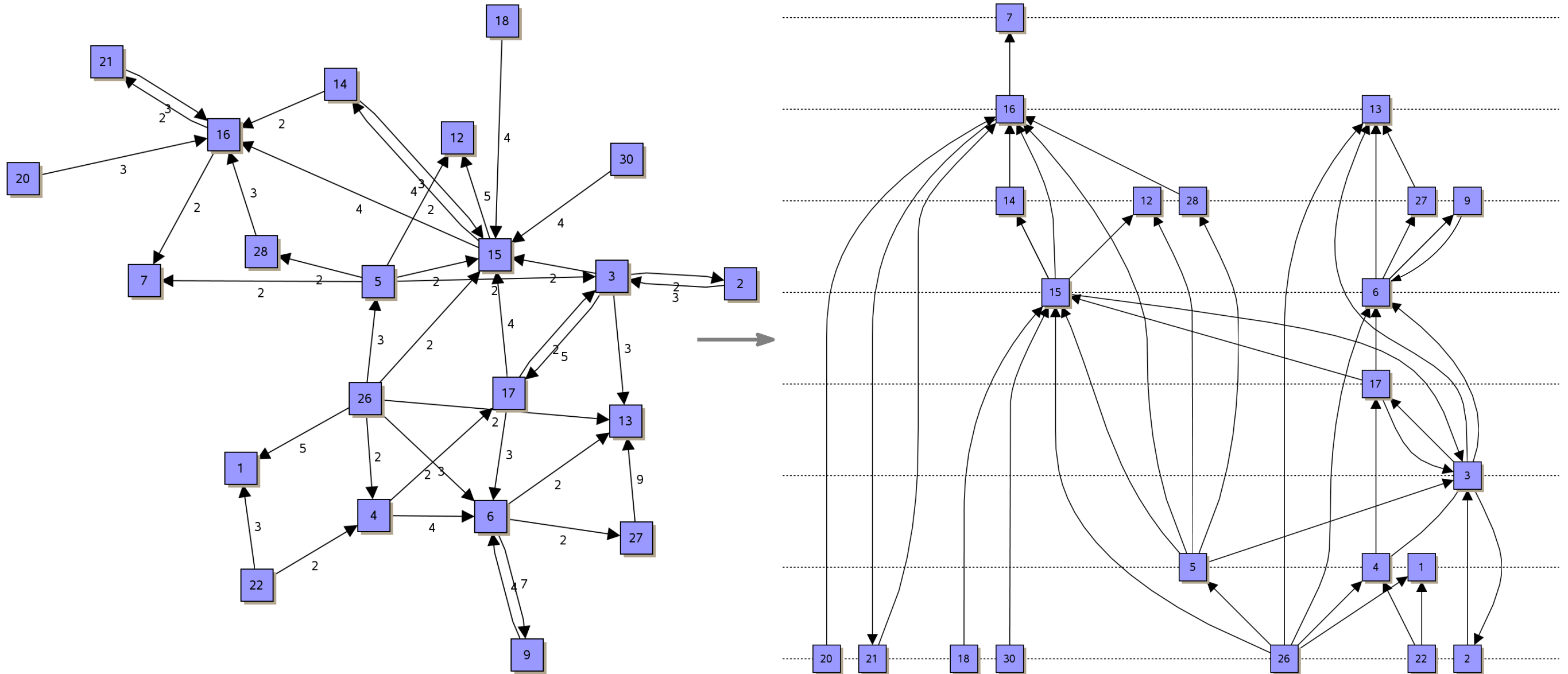
Summer term 2025



Hierarchical Drawings – Motivation



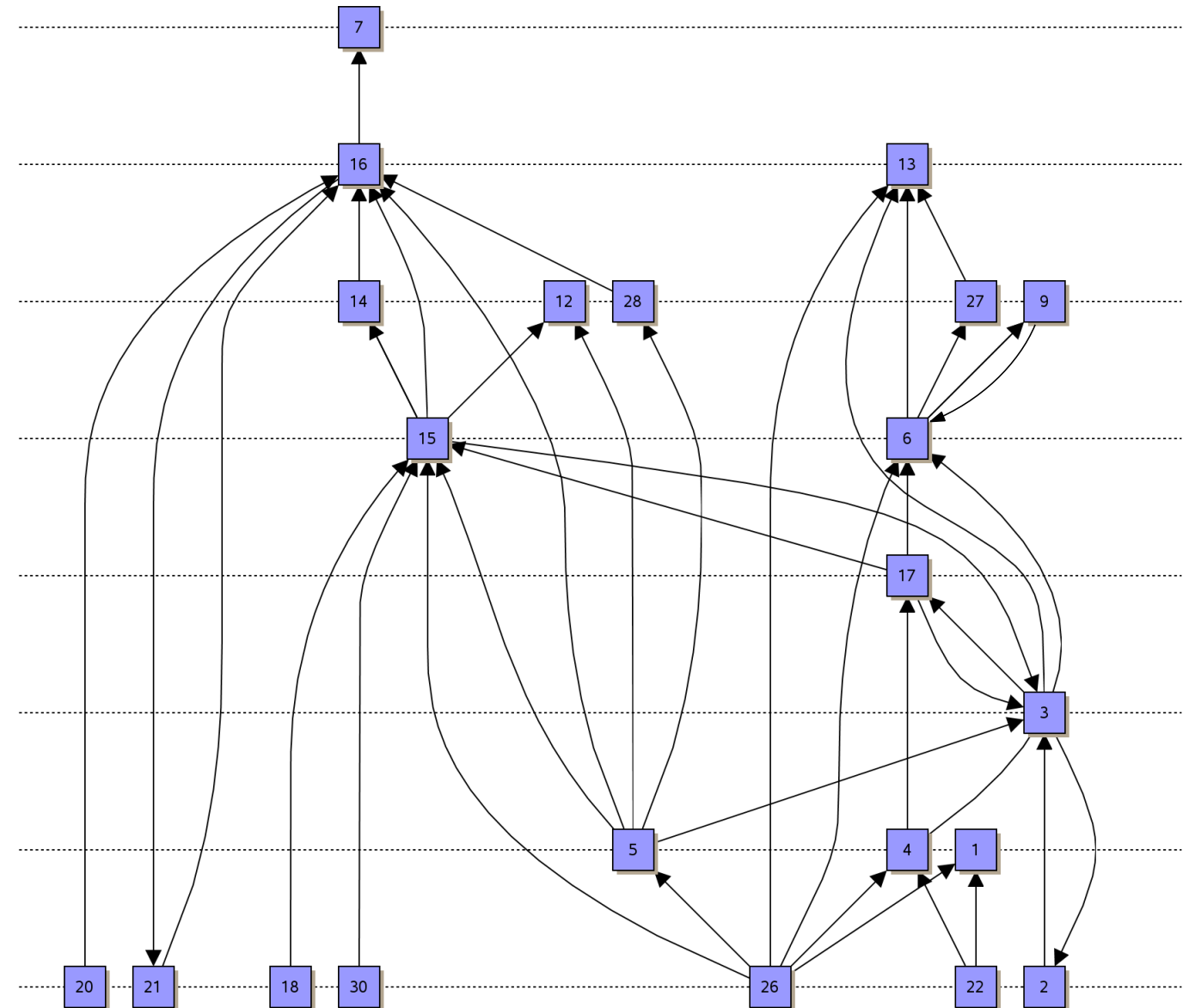
Hierarchical Drawings – Motivation



Hierarchical Drawing

Problem Statement:

- Input: digraph G
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

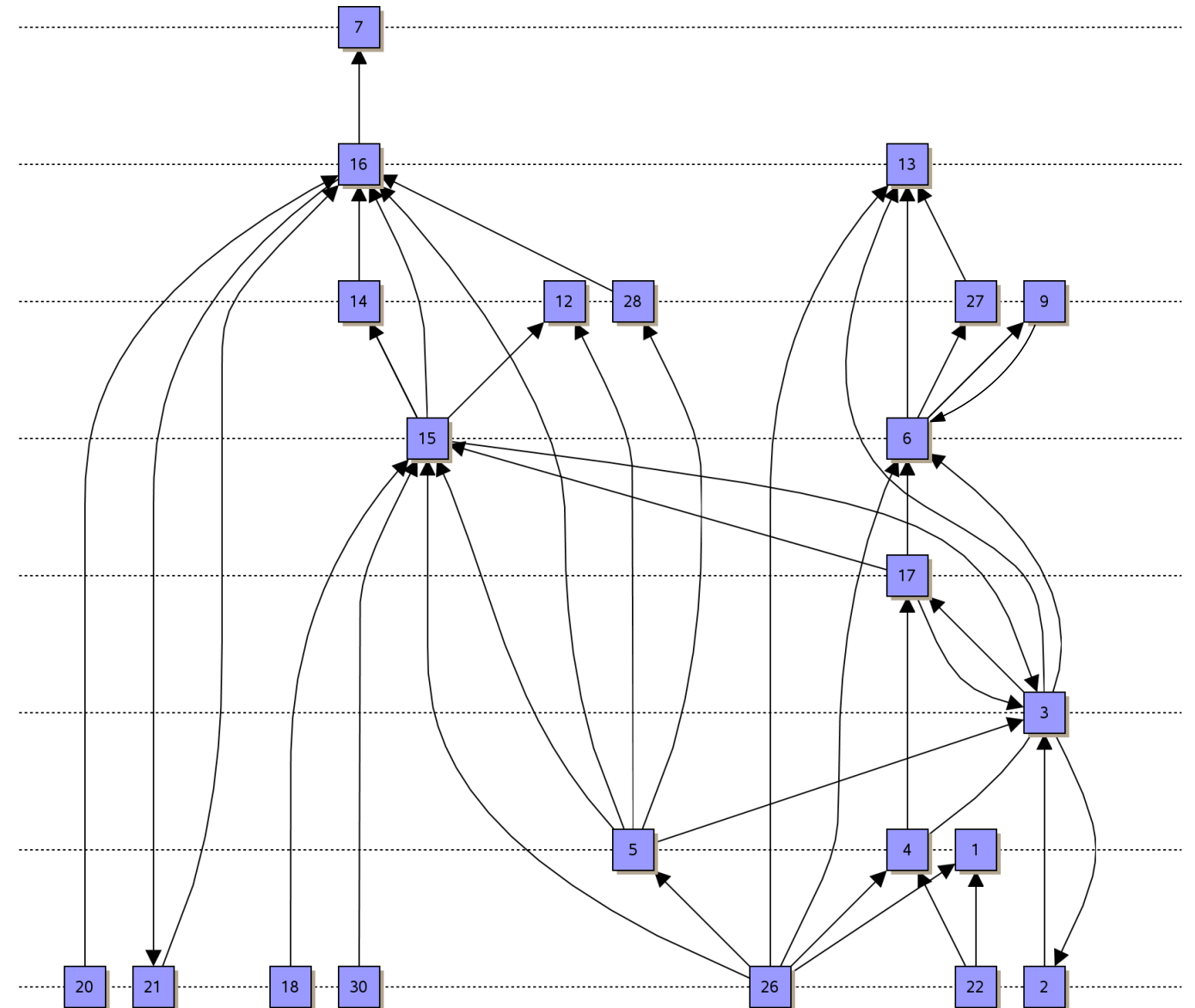


Hierarchical Drawing

Problem Statement:

- Input: digraph G
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Desirable Properties:



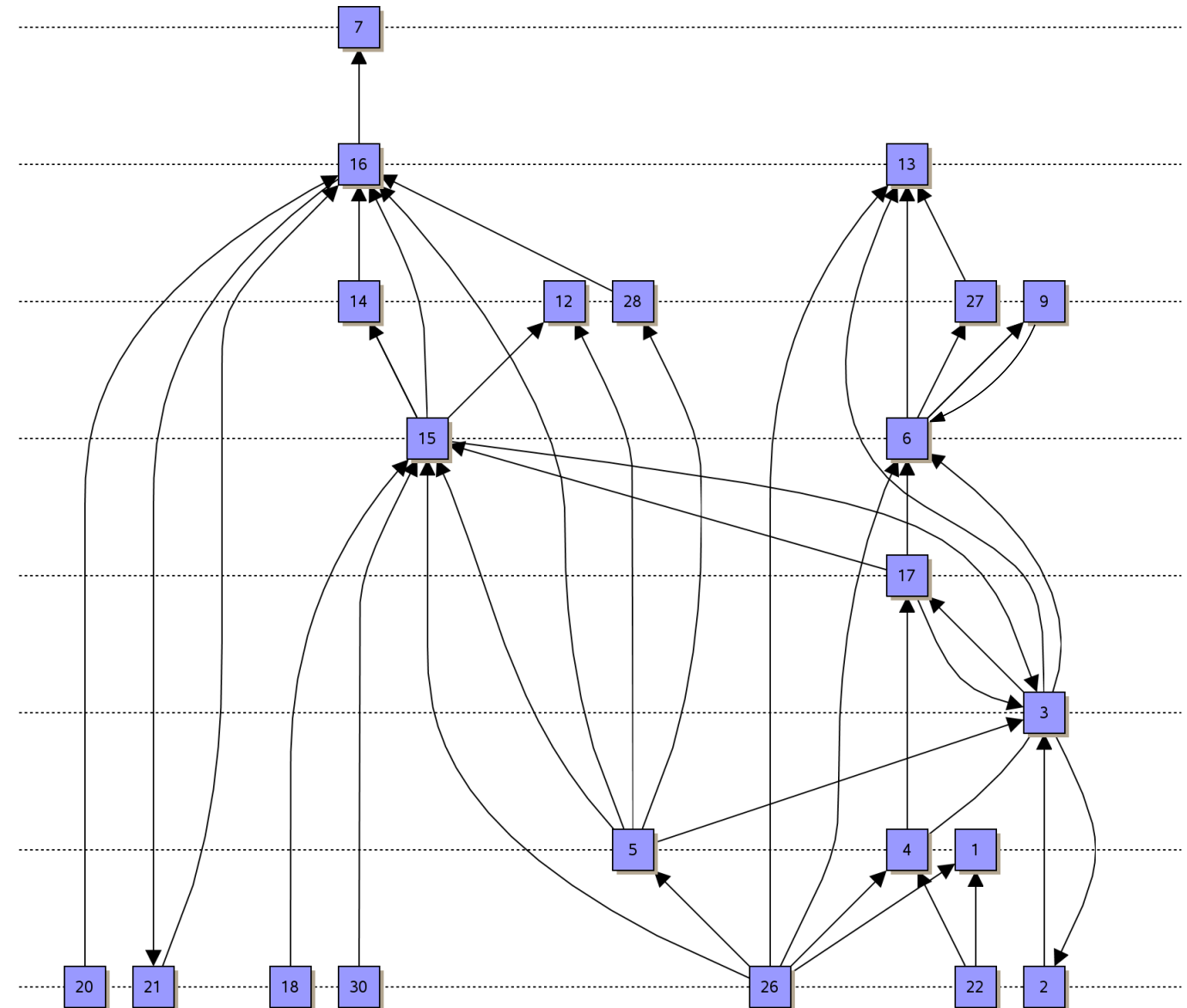
Hierarchical Drawing

Problem Statement:

- Input: digraph G
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties:

- edges are directed upwards,



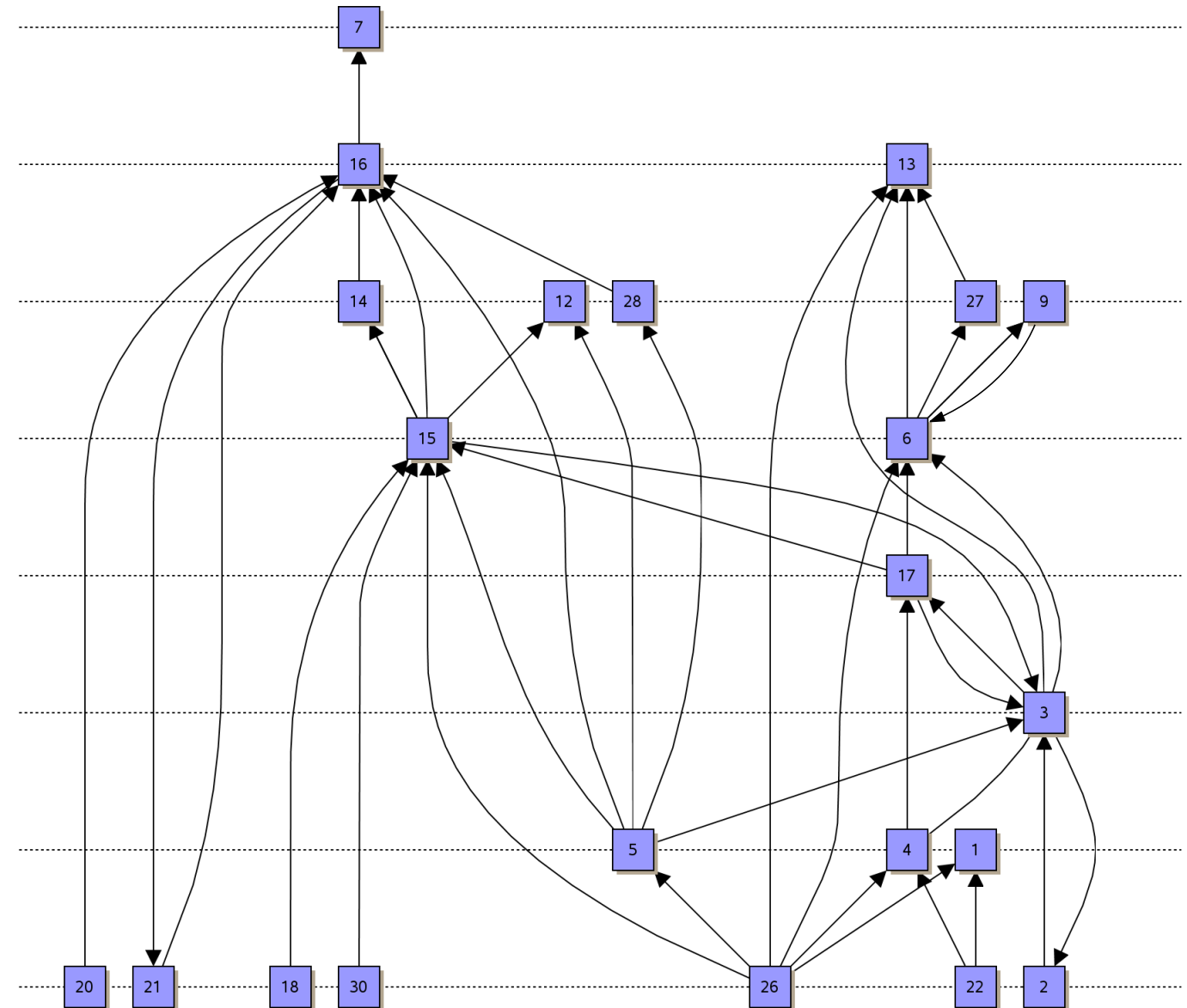
Hierarchical Drawing

Problem Statement:

- Input: digraph G
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties:

- edges are directed upwards,
- vertices lie on (few) horizontal lines,



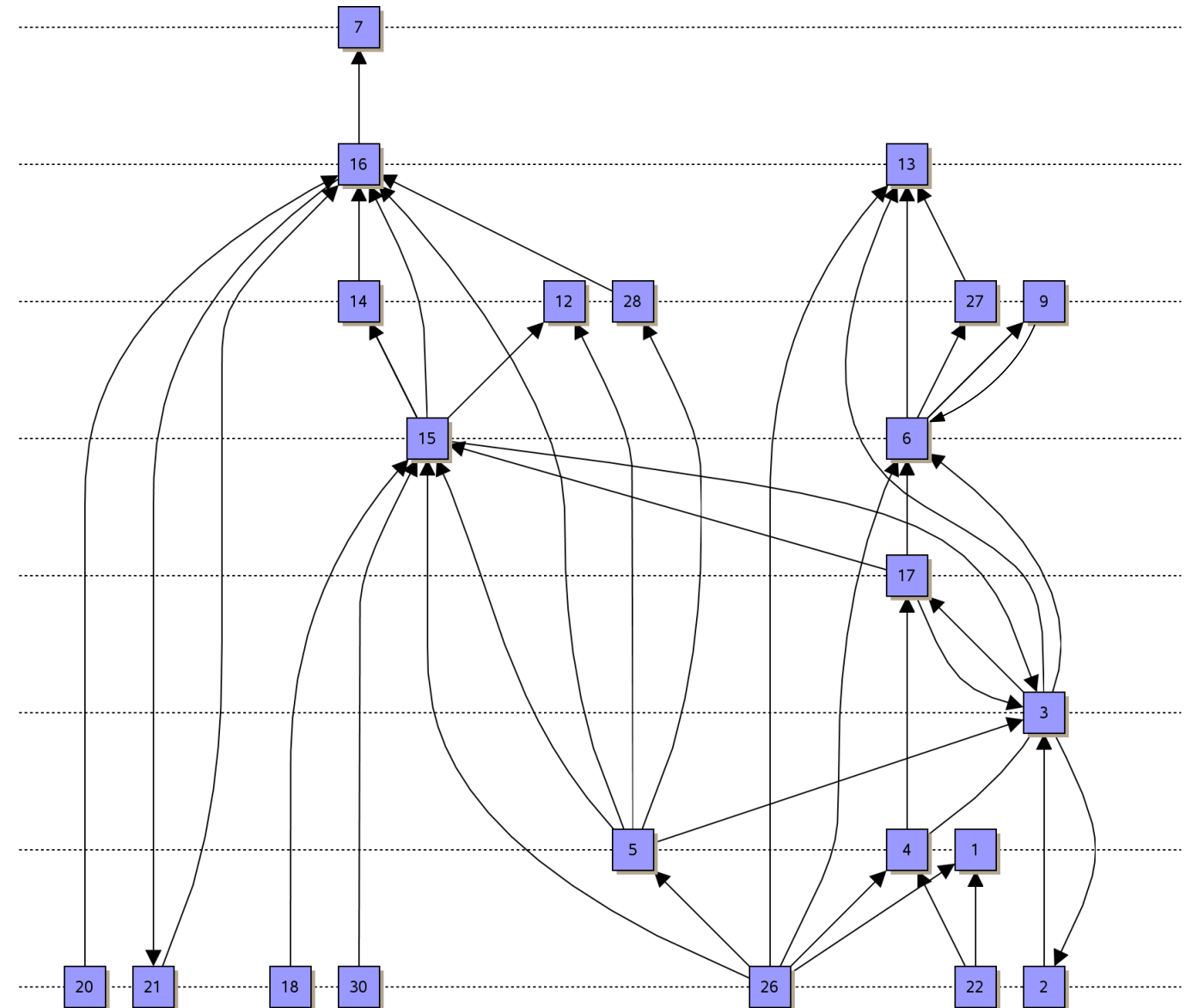
Hierarchical Drawing

Problem Statement:

- Input: digraph G
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties:

- edges are directed upwards,
- vertices lie on (few) horizontal lines,
- few pairs of edges cross,



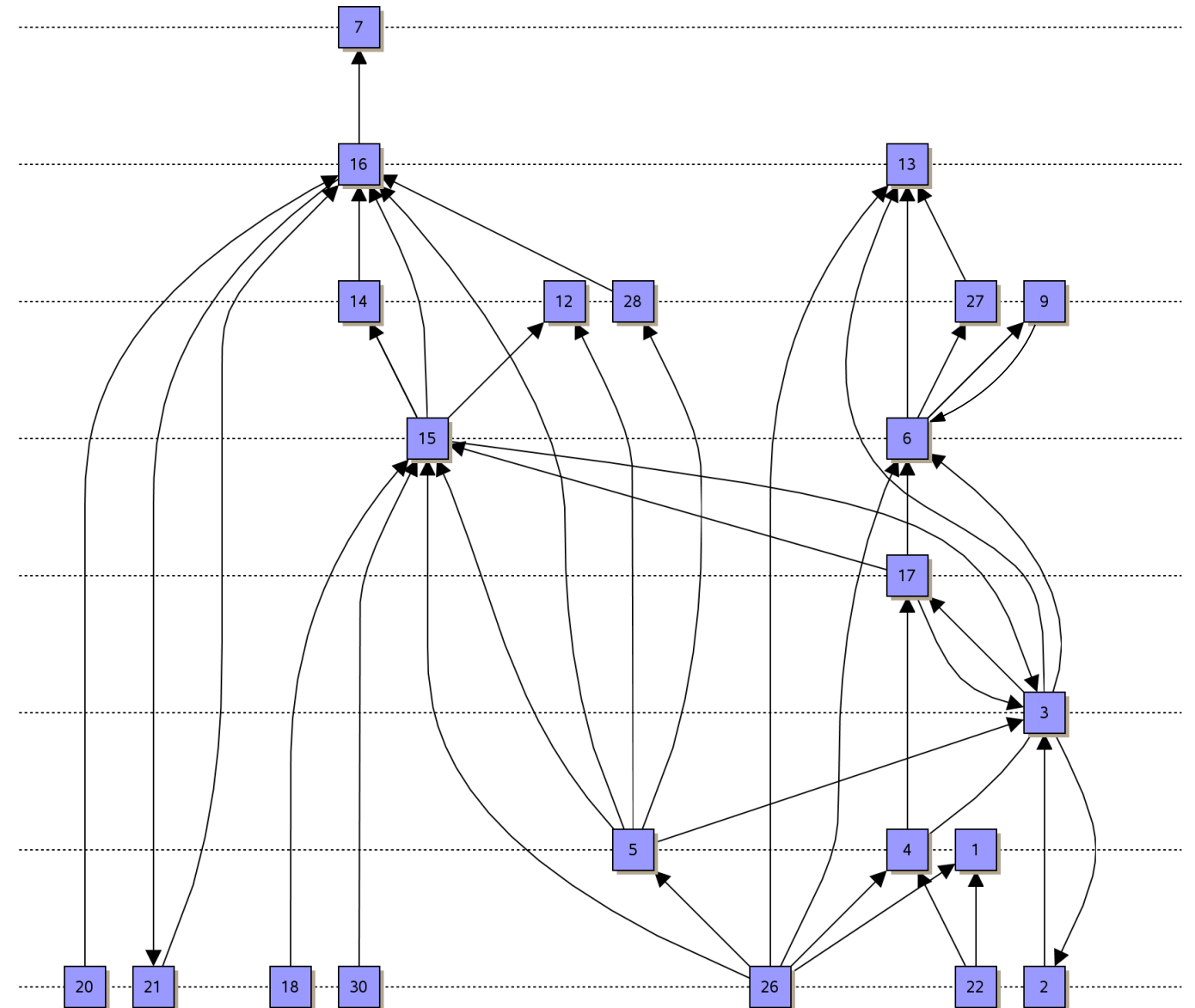
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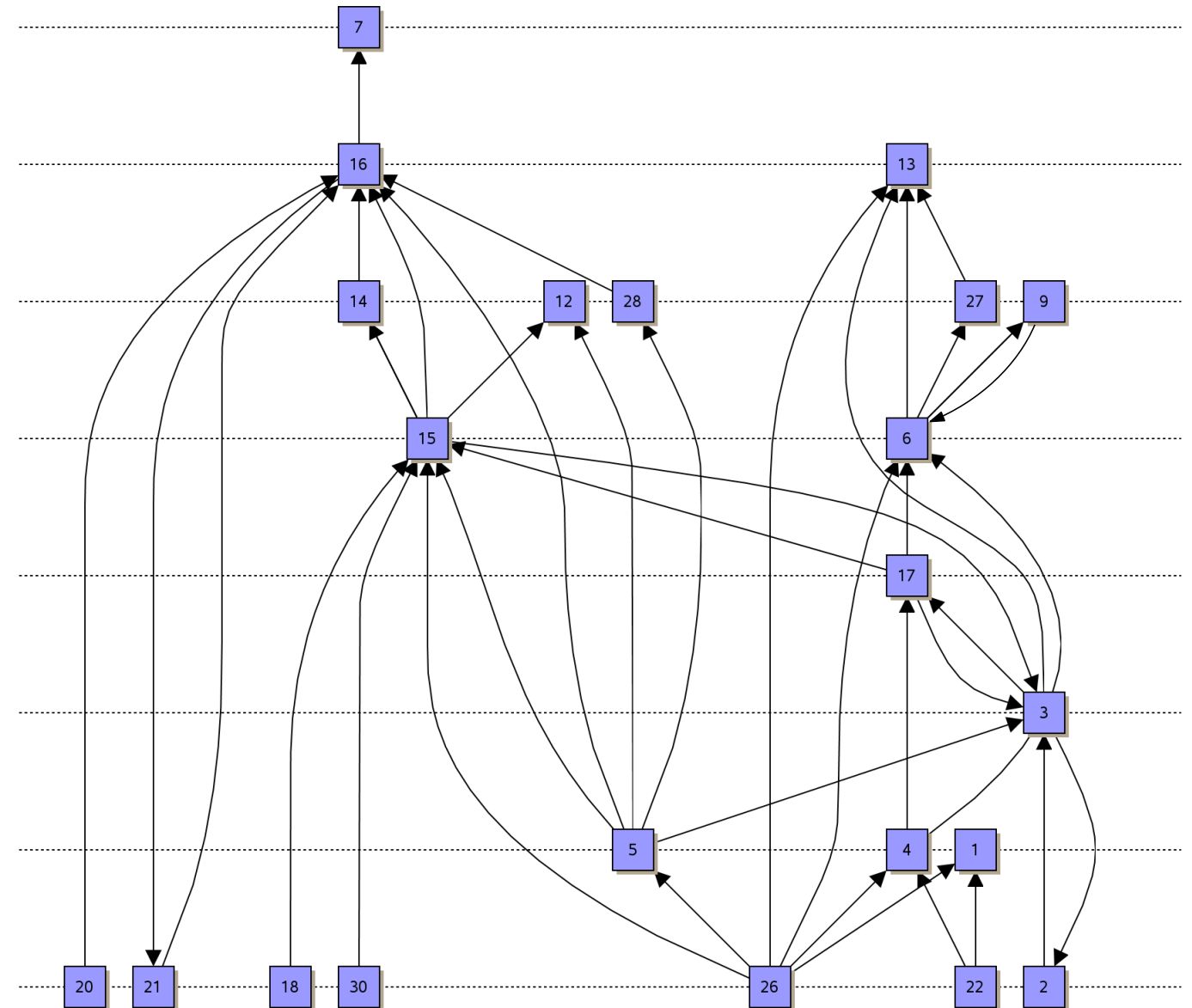
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Hierarchical Drawing

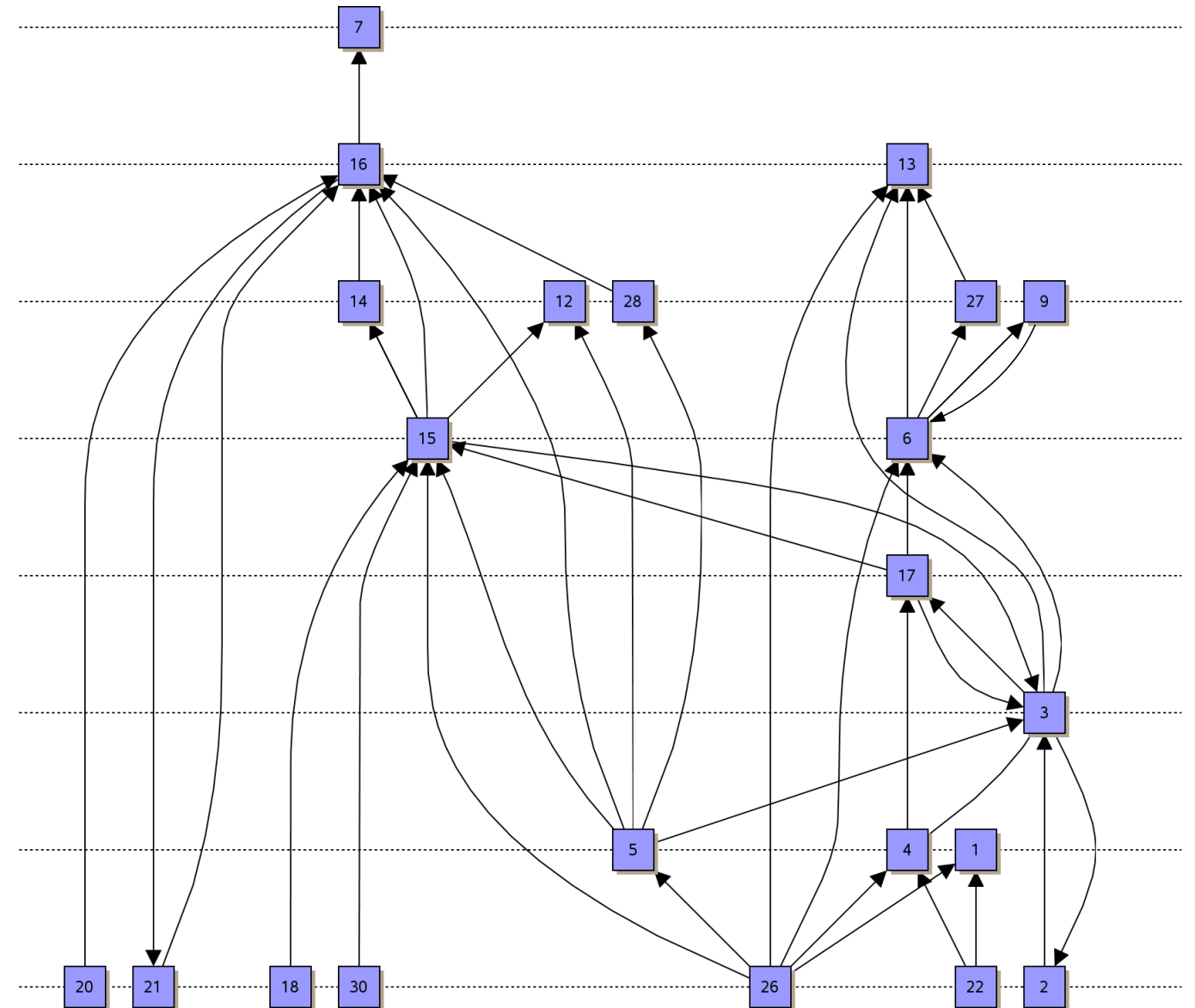
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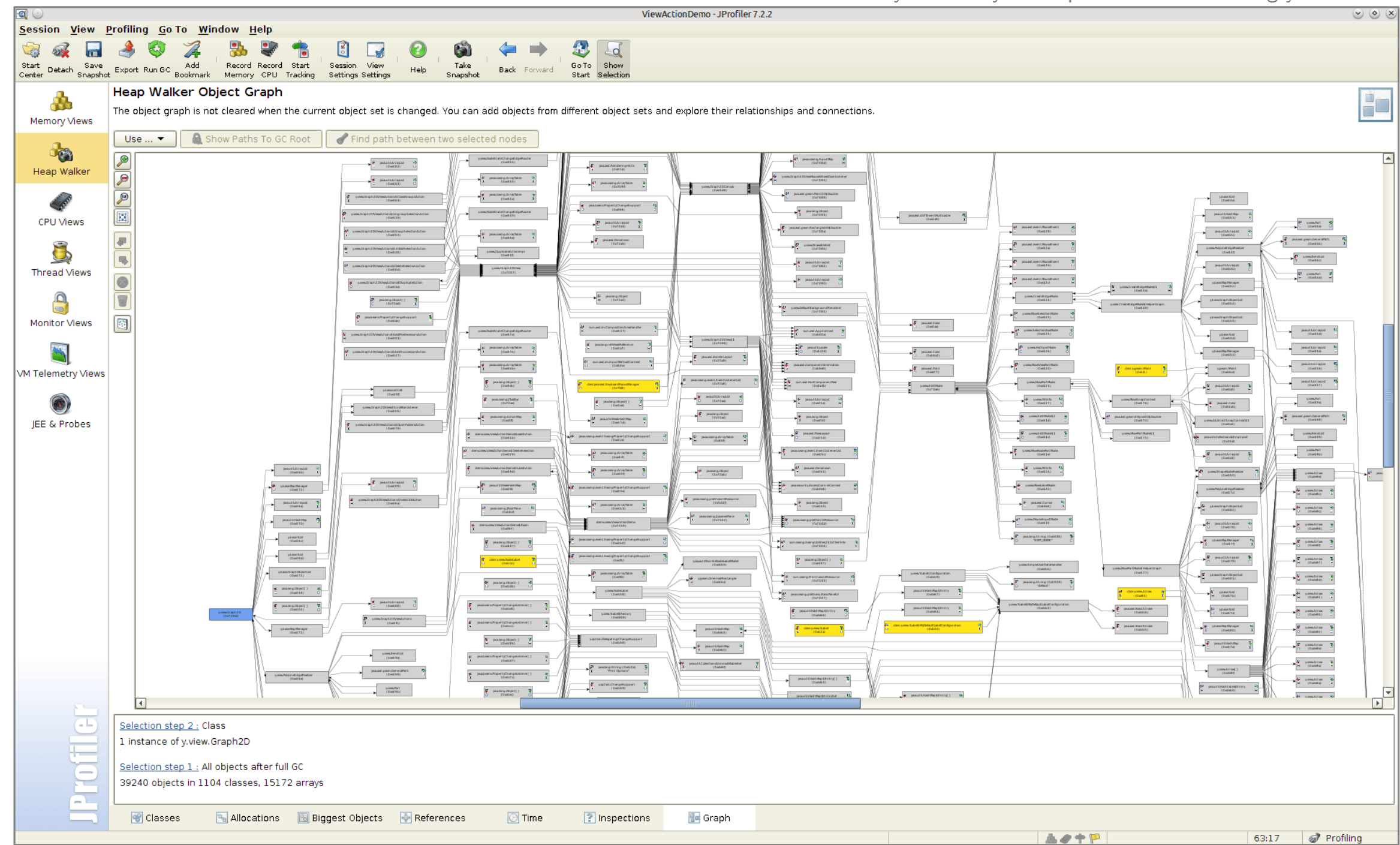
- edges are directed upwards,
- vertices lie on (few) horizontal lines,
- few pairs of edges cross,
- edges are short,
- vertices are evenly spaced.

Criteria can be contradictory!

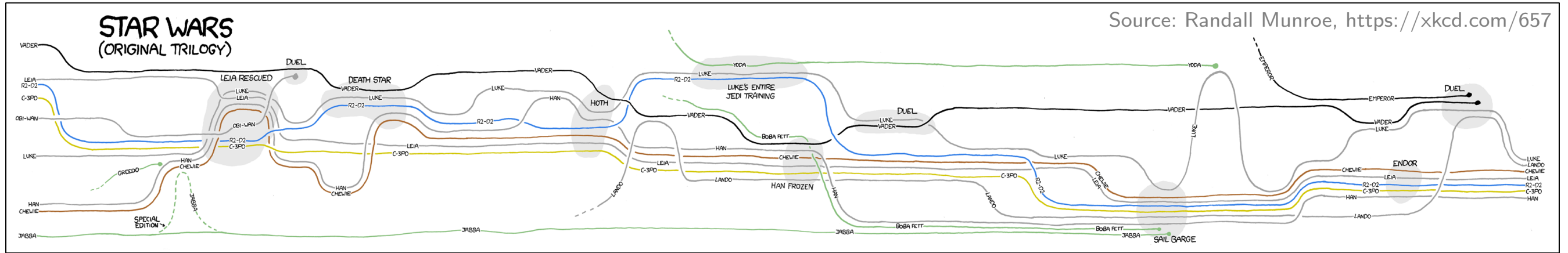


Hierarchical Drawing – Applications

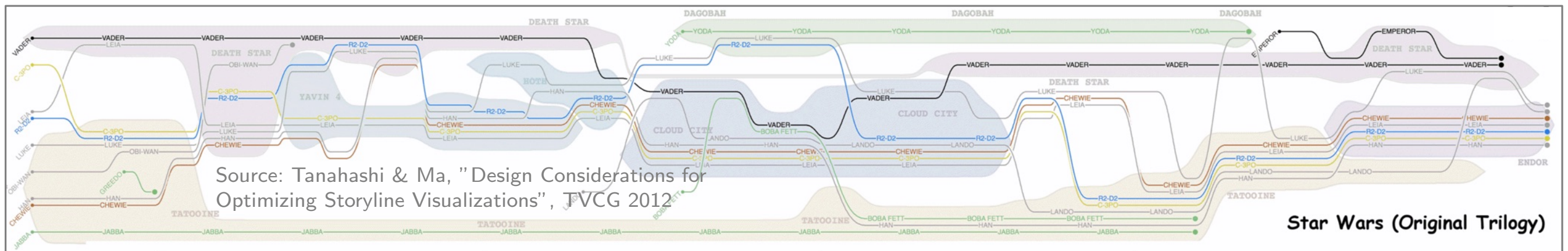
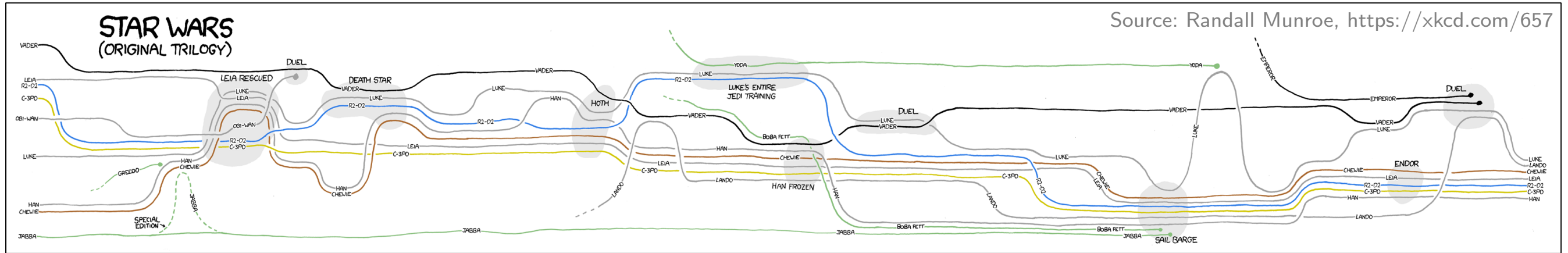
yEd Gallery: Java profiler JProfiler using yFiles



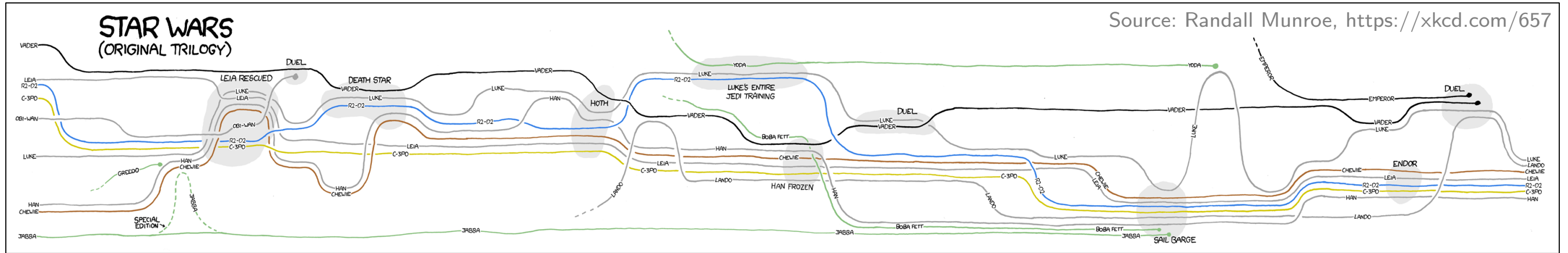
Hierarchical Drawing – Applications



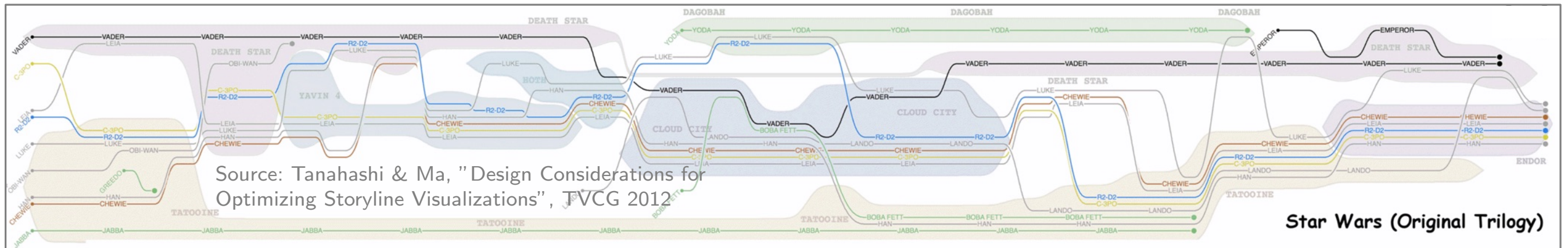
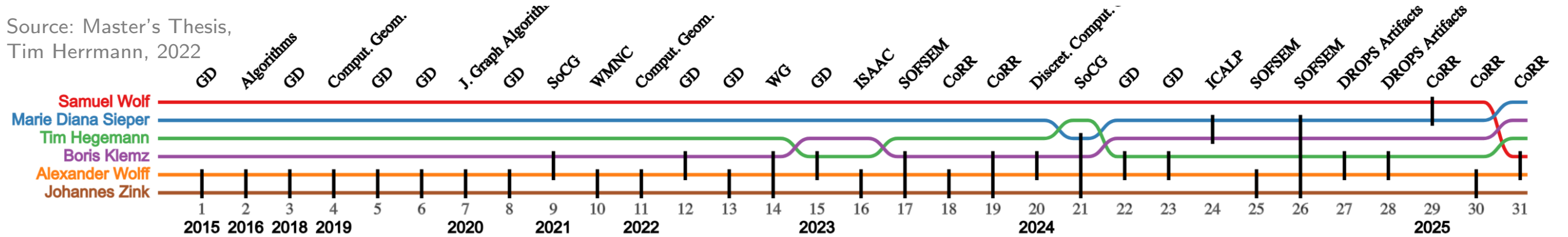
Hierarchical Drawing – Applications



Hierarchical Drawing – Applications

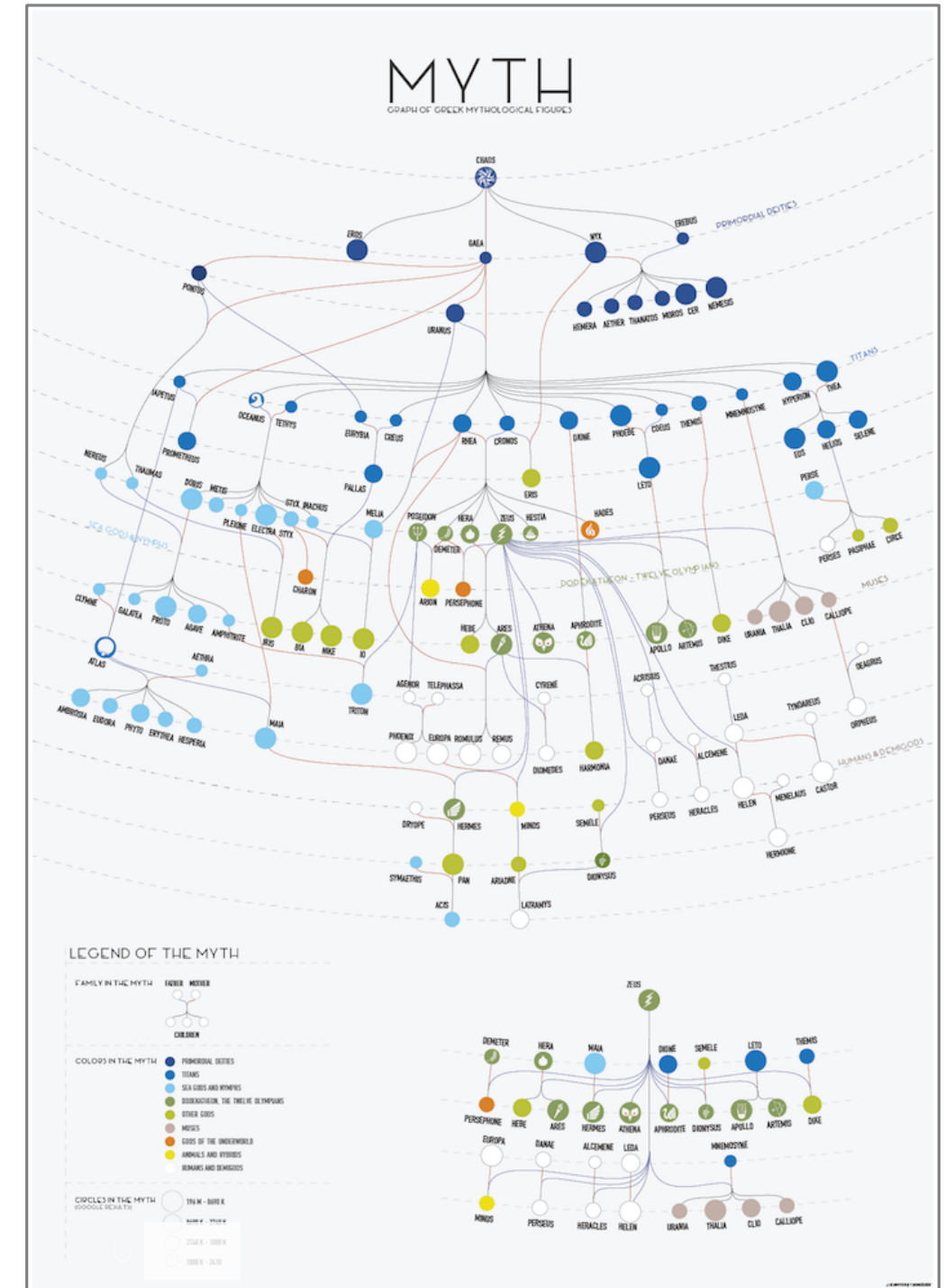


Source: Master's Thesis,
Tim Herrmann, 2022



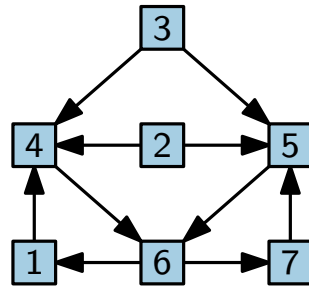
Hierarchical Drawing – Applications

Source: Visualization that won the Graph Drawing Contest, Creative Track, 2016. Klawitter & Mchedlidze



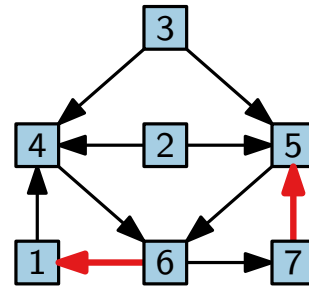
Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]

Input



Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]

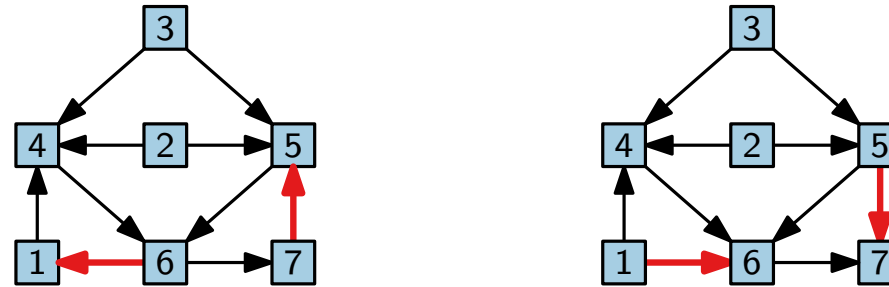
Input



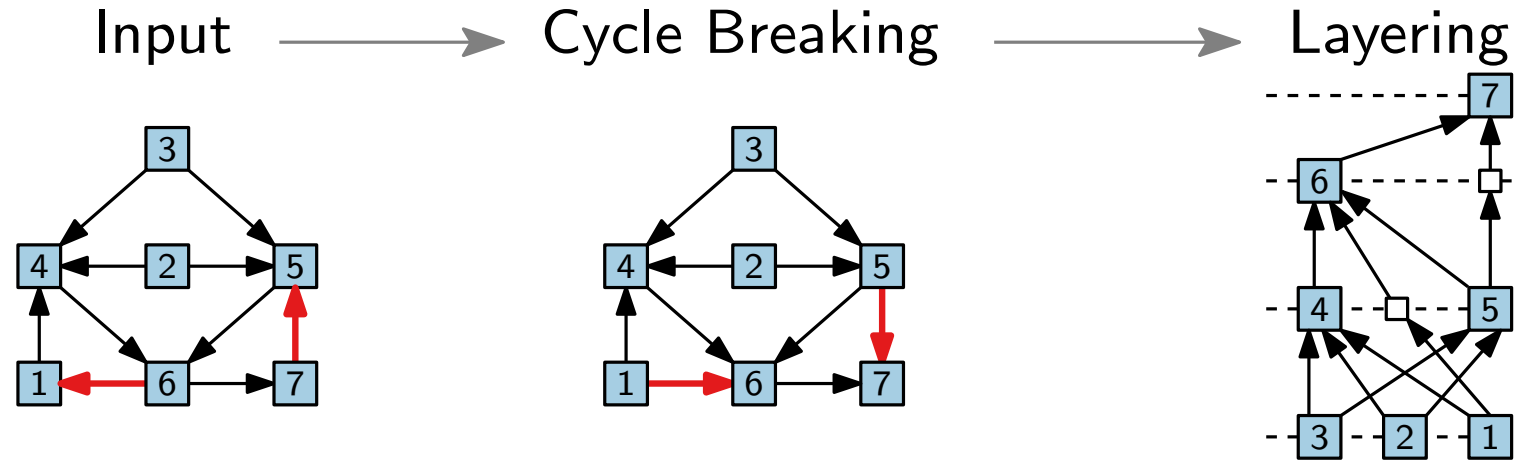
Classical Approach: Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]

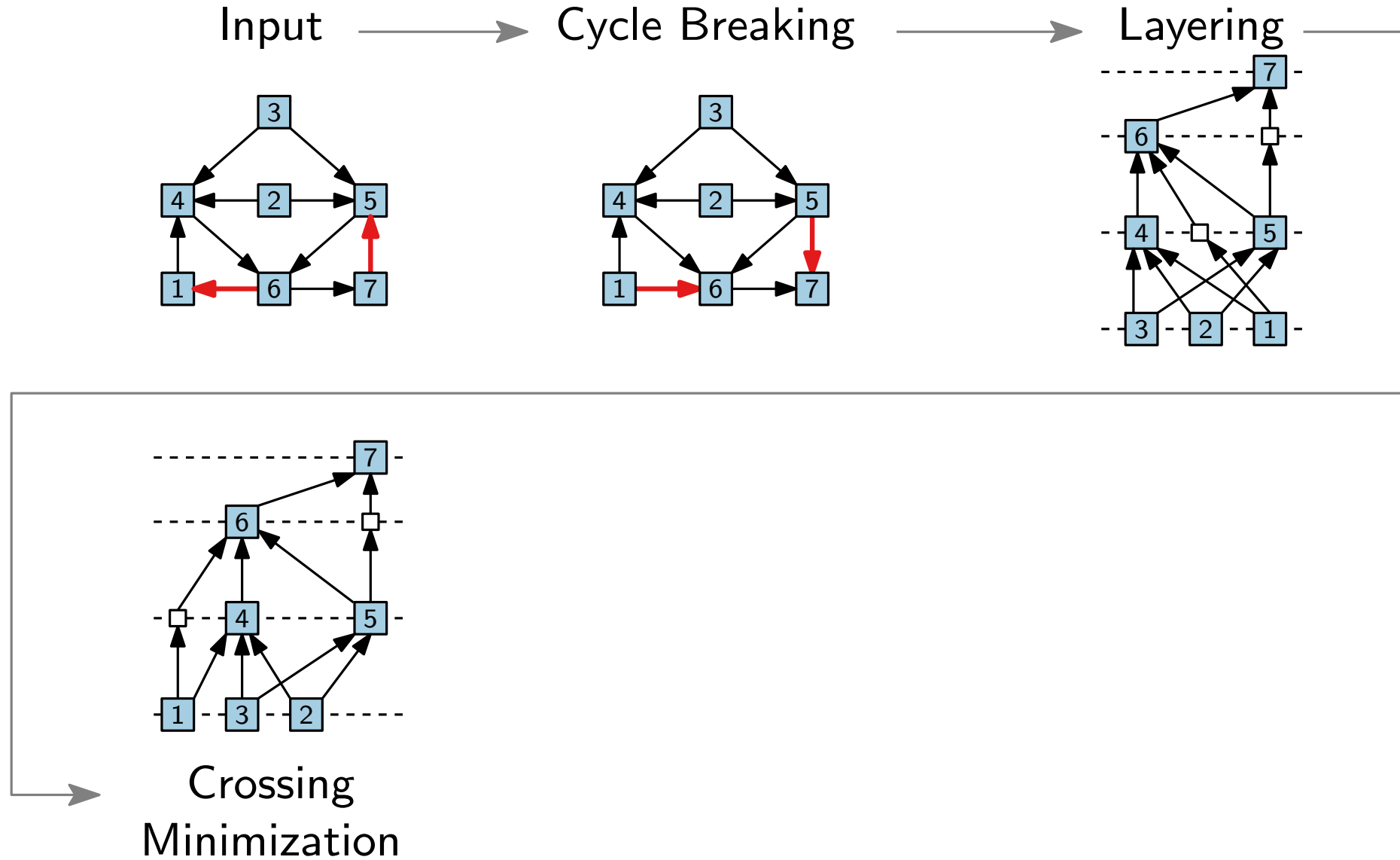
Input $\longrightarrow$ Cycle Breaking



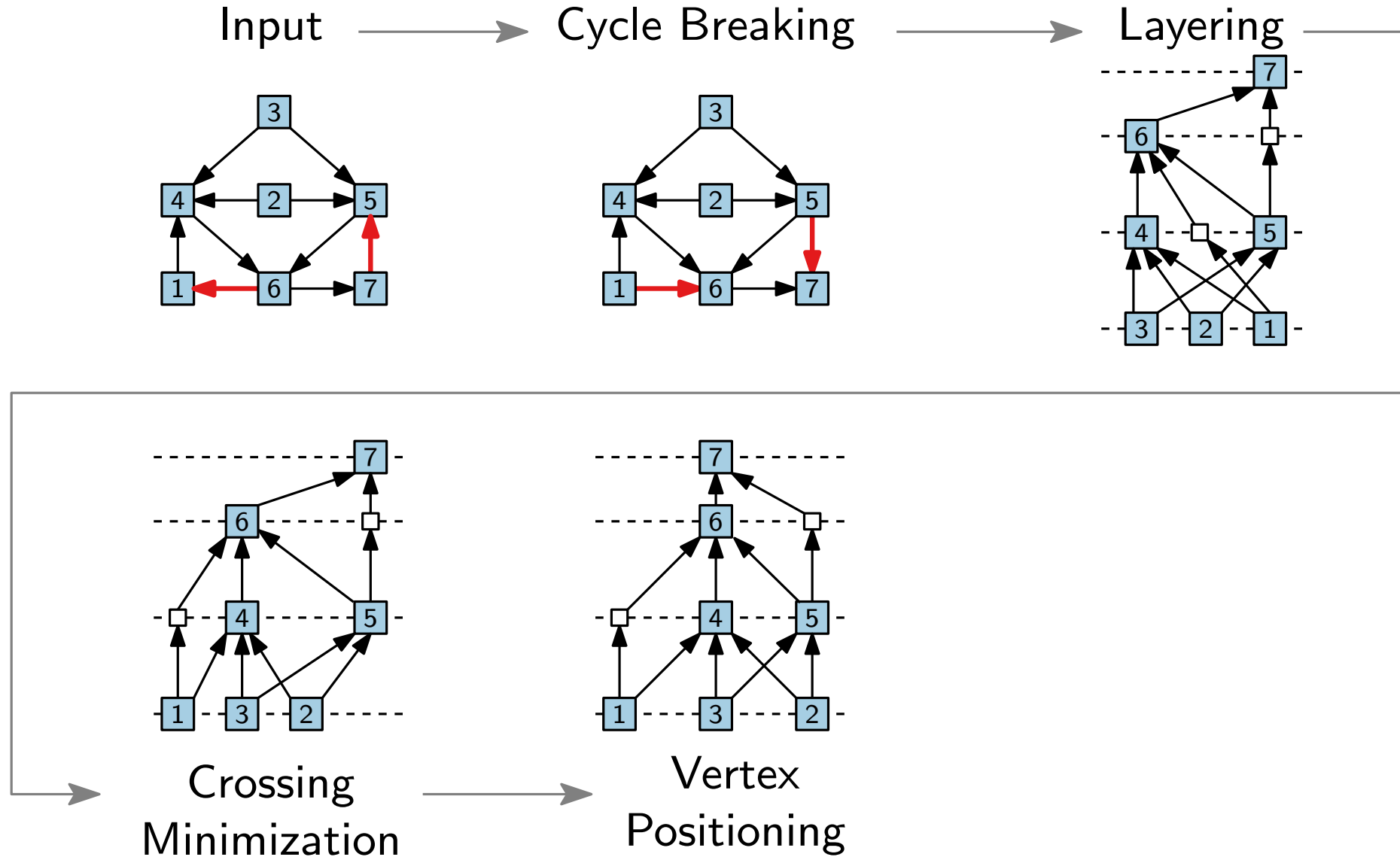
Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]



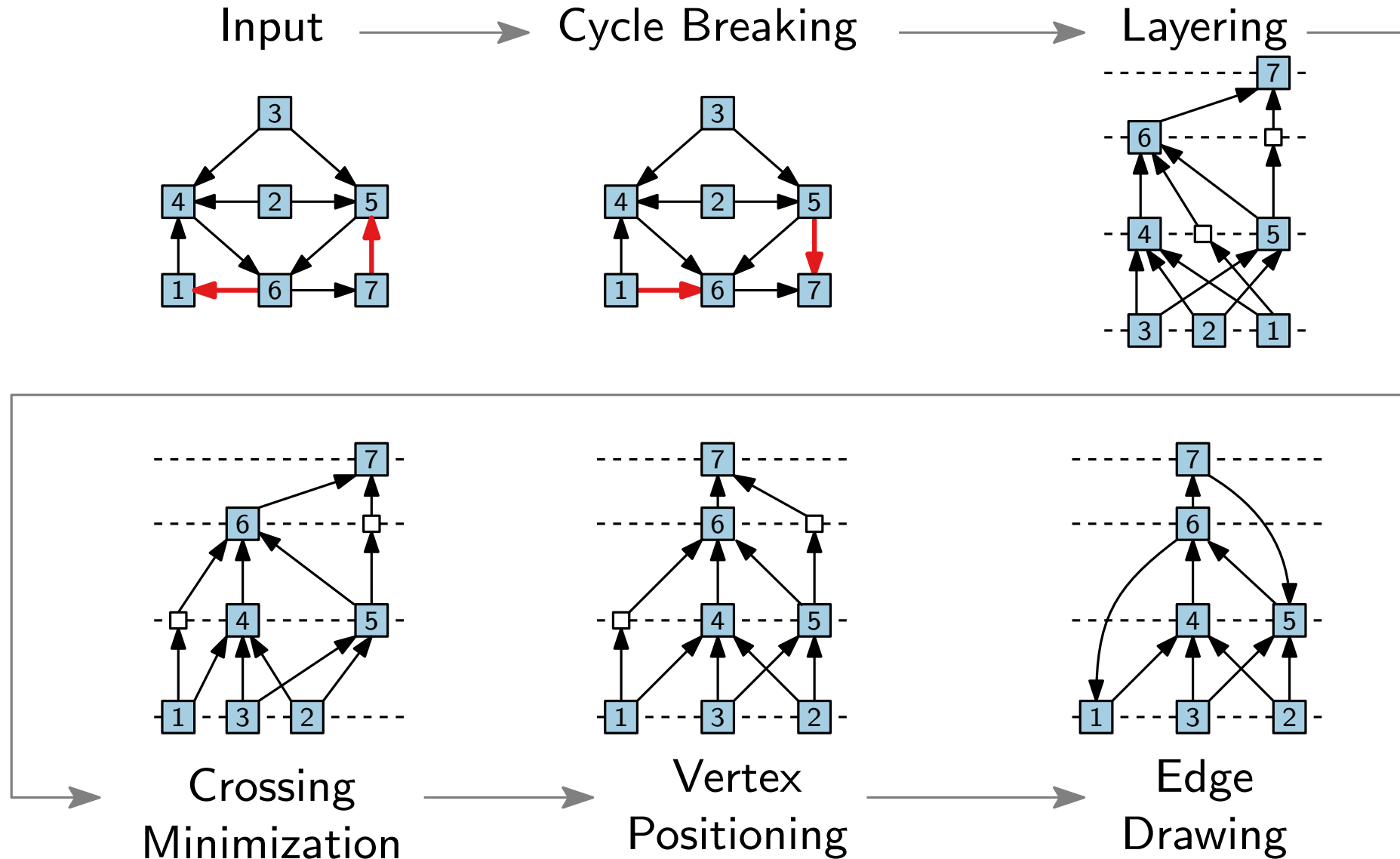
Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]



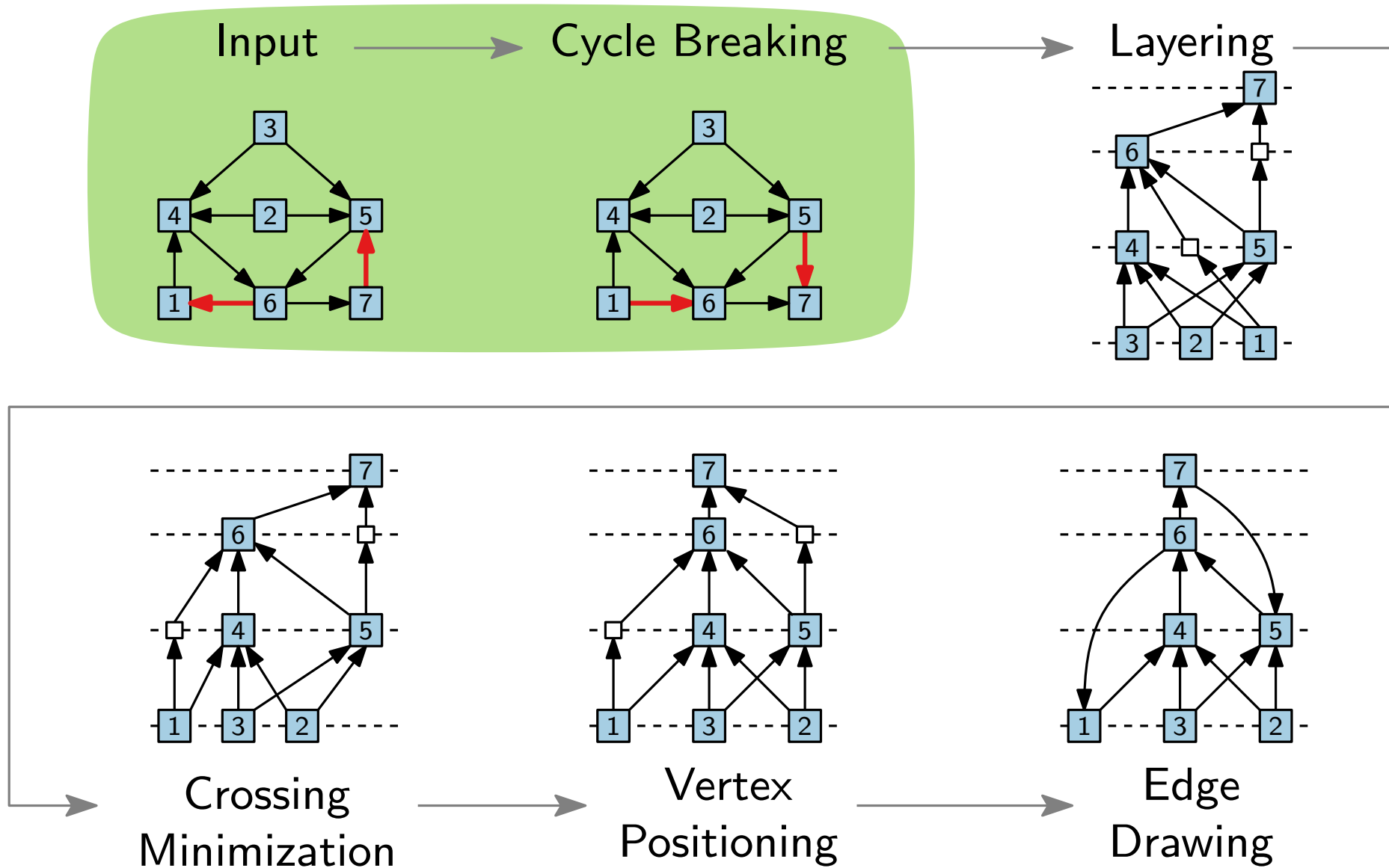
Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]



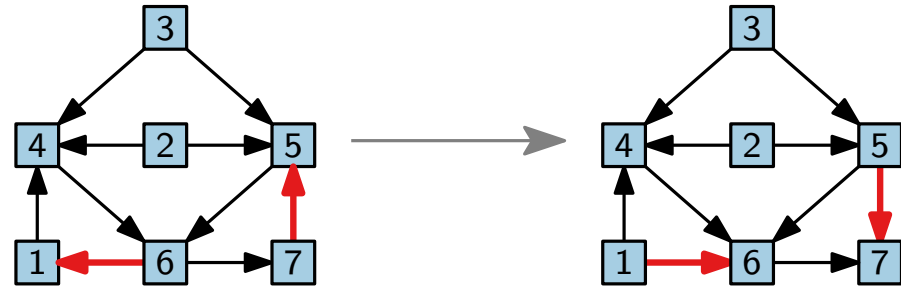
Classical Approach: Sugiyama Framework [Sugiyama, Tagawa, Toda '81]



Step 1: Cycle Breaking

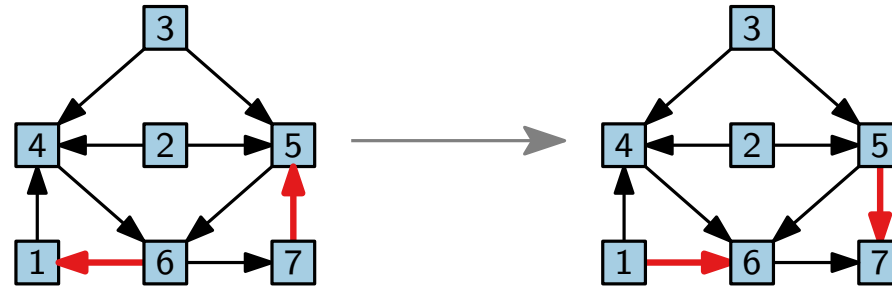


Step 1: Cycle Breaking



Approach.

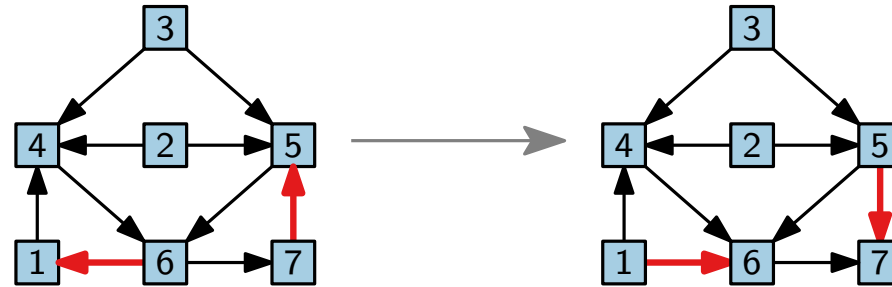
Step 1: Cycle Breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.

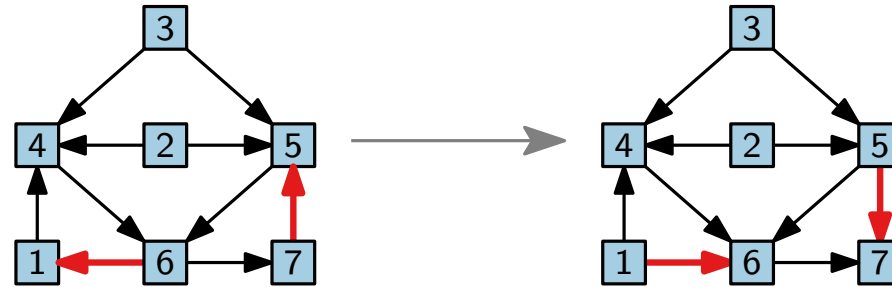
Step 1: Cycle Breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Step 1: Cycle Breaking

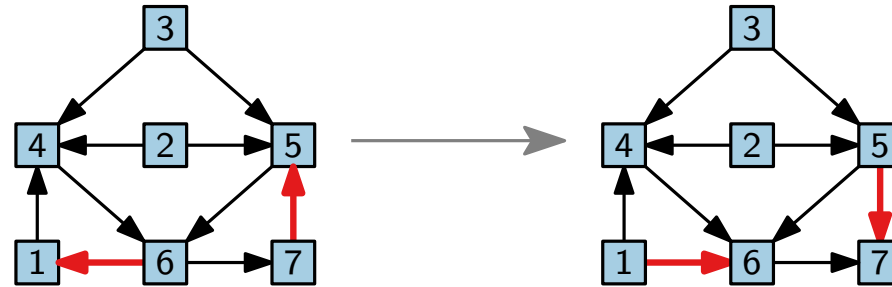


Approach.

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Problem MINIMUM FEEDBACK ARC SET (**FAS**).

Step 1: Cycle Breaking



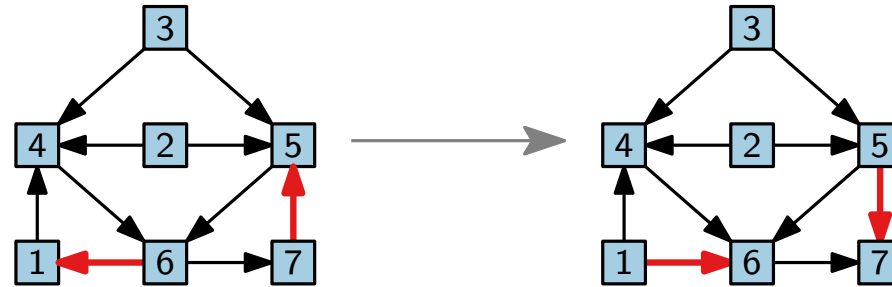
Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph G
- Output:

Step 1: Cycle Breaking



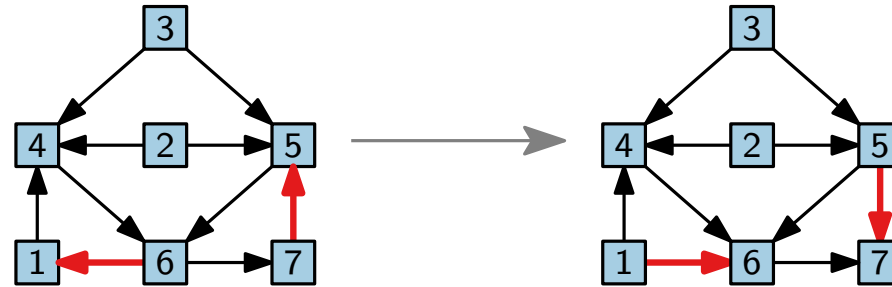
Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph G
- Output: minimum-size set $E^* \subseteq E(G)$ such that $G^* = (V(G), E(G) \setminus E^*)$ acyclic

Step 1: Cycle Breaking



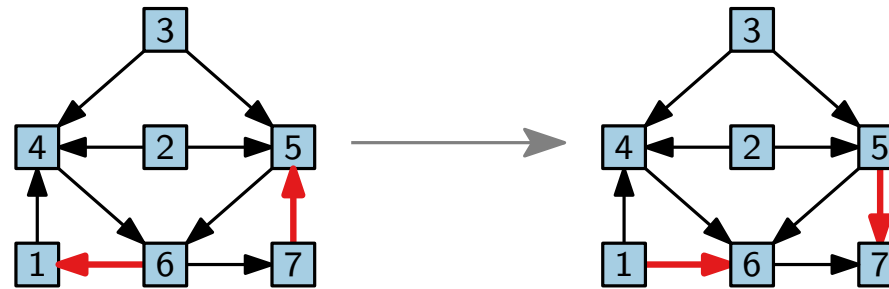
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- Find minimum-size set E^* of edges that are not upward.
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Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph G
- Output: minimum-size set $E^* \subseteq E(G)$ such that $G^* = (V(G), \cancel{E(G)} \setminus \cancel{E^*})$ acyclic
 $(E(G) \setminus E^*) \cup E_{\text{rev}}^*$

Step 1: Cycle Breaking



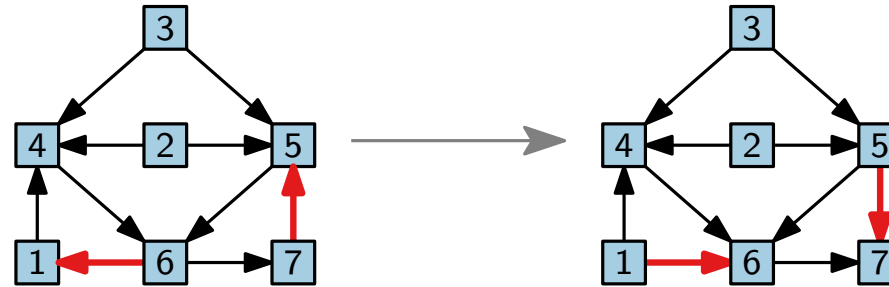
Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ~~ARC~~ SET (~~F~~~~A~~~~S~~).

- Input: directed graph G
 - Output: minimum-size set $E^* \subseteq E(G)$ such that $G^* = (V(G), \cancel{E(G)} \setminus \cancel{E^*})$ acyclic
- edges in E^* but reversed
- $(E(G) \setminus E^*) \cup E_{\text{rev}}^*$

Step 1: Cycle Breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ~~ARC~~ SET (~~F~~AS).

- Input: directed graph G
- Output: minimum-size set $E^* \subseteq E(G)$ such that $G^* = (V(G), \cancel{E(G)} \setminus \cancel{E^*})$ acyclic

... NP-hard ☹️

edges in E^* but reversed

$(E(G) \setminus E^*) \cup E_{\text{rev}}^*$

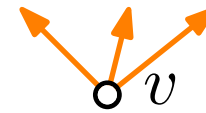
Heuristic 1

[Berger, Shor '90]

o v

Heuristic 1

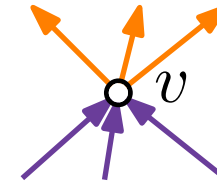
[Berger, Shor '90]



$$E^{\rightarrow}(v) \quad := \quad \{(v, u) : (v, u) \in E(G)\}$$

Heuristic 1

[Berger, Shor '90]

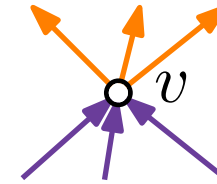


$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

$$E^{\leftarrow}(v) := \{(u, v) : (u, v) \in E(G)\}$$

Heuristic 1

[Berger, Shor '90]



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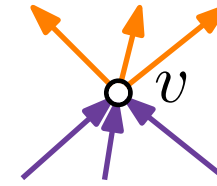
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Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):



$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

$$E^{\leftarrow}(v) := \{(u, v) : (u, v) \in E(G)\}$$

$$E(v) := E^{\rightarrow}(v) \cup E^{\leftarrow}(v)$$

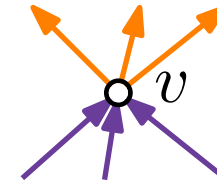
Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

$E' \leftarrow \emptyset$

return $G' = (V(G), E')$



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Heuristic 1

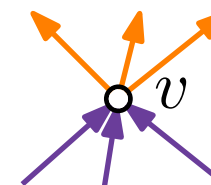
[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

$E' \leftarrow \emptyset$

foreach $v \in V(G)$ **do**

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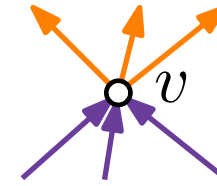
$E' \leftarrow \emptyset$

foreach $v \in V(G)$ **do**

if $|E^{\rightarrow}(v)| \geq |E^{\leftarrow}(v)|$ **then**

 └

return $G' = (V(G), E')$



$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

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Heuristic 1

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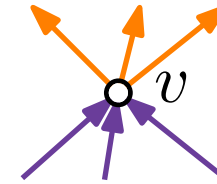
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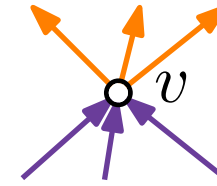
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Heuristic 1

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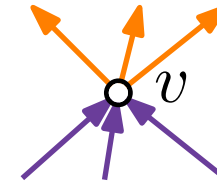
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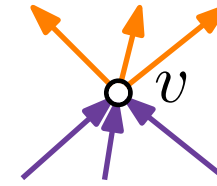
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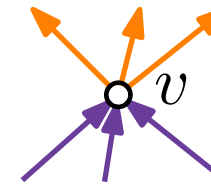
$E' \leftarrow E' \cup E^{\rightarrow}(v)$

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$E' \leftarrow E' \cup E^{\leftarrow}(v)$

 remove v and $E(v)$ from G .

return $G' = (V(G), E')$



$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

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Heuristic 1

[Berger, Shor '90]

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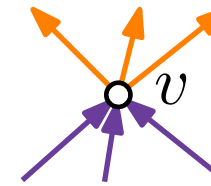
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■ G' is a DAG.

Heuristic 1

[Berger, Shor '90]

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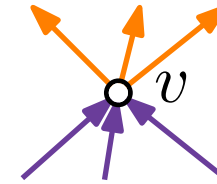
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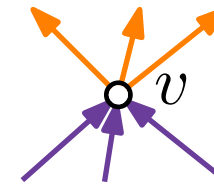
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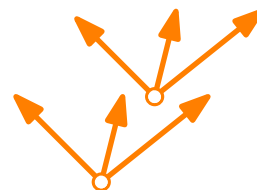
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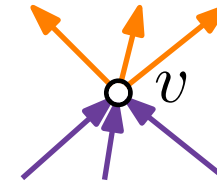
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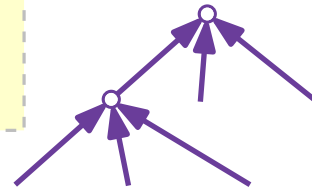
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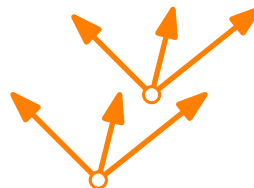
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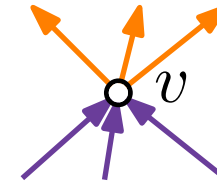
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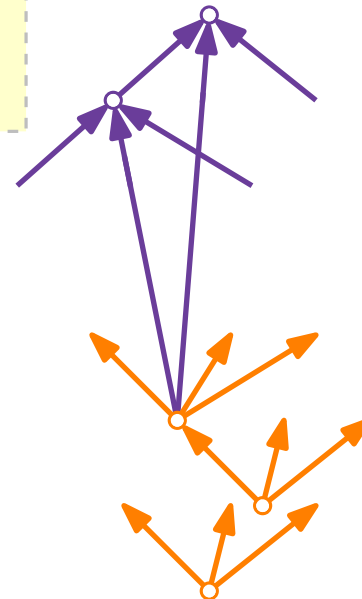


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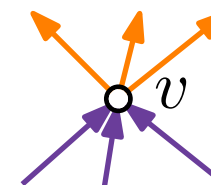
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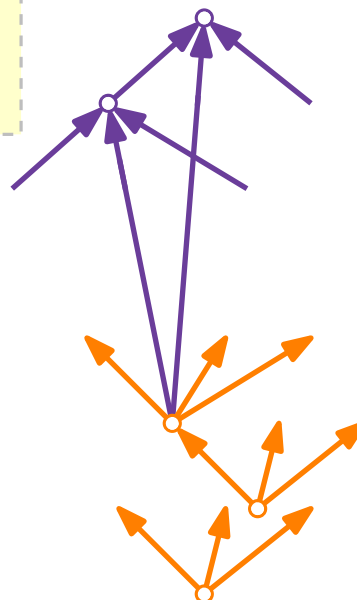


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Place the vertices on distinct y-coordinates.

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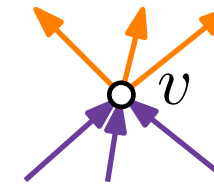
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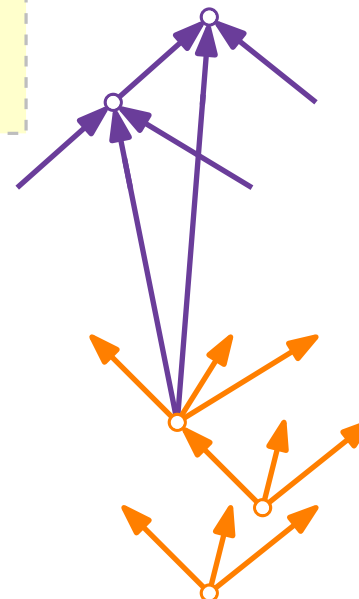


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Place the vertices on distinct y-coordinates.

y-coordinates increase/decrease towards the middle.

■ G' is a DAG.

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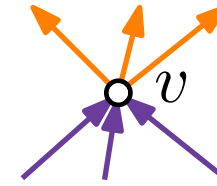
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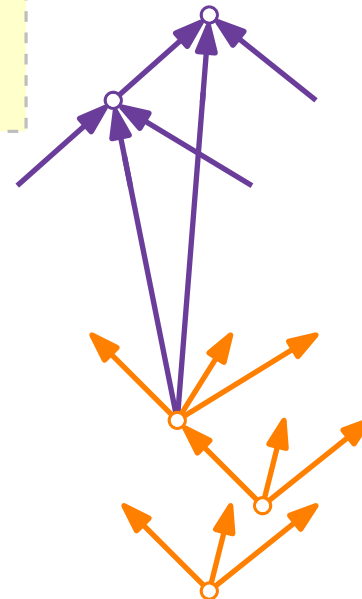


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All edges point upwards.

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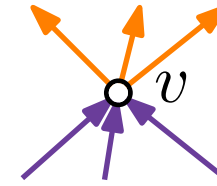
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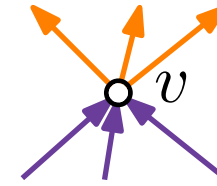
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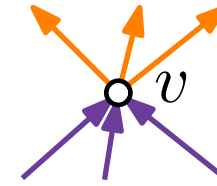
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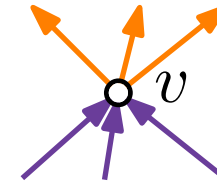
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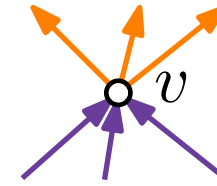
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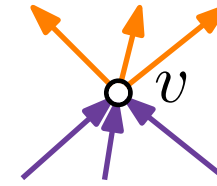
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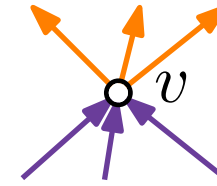
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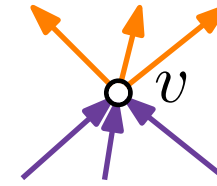
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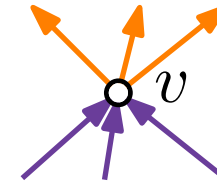
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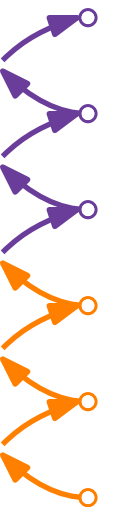
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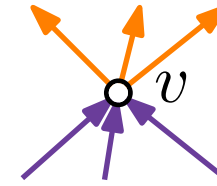
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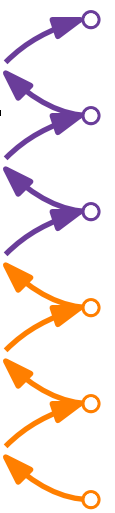
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In this order, add the edges of $E(G) \setminus E'$ in rev. direction.



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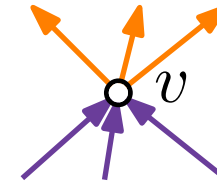
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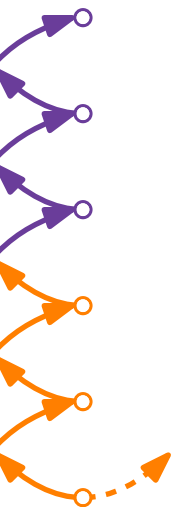
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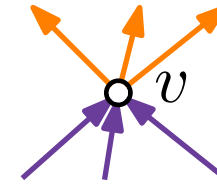
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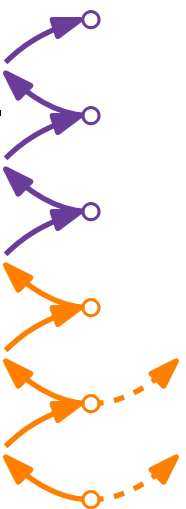
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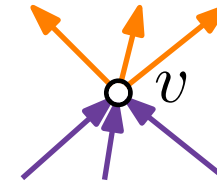
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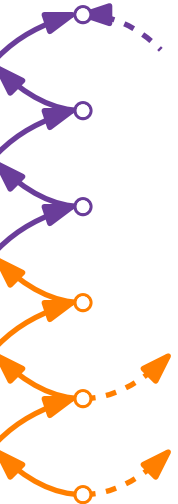
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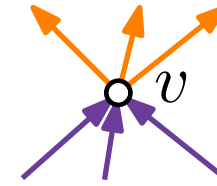
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$E' \leftarrow E' \cup E^{\leftarrow}(v)$

 remove v and $E(v)$ from G .

return $G' = (V(G), E')$



$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

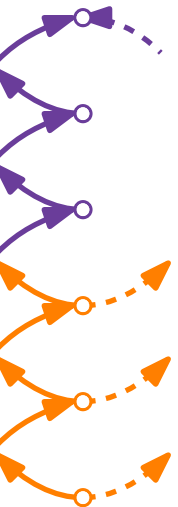
$$E^{\leftarrow}(v) := \{(u, v) : (u, v) \in E(G)\}$$

$$E(v) := E^{\rightarrow}(v) \cup E^{\leftarrow}(v)$$

Proof Idea.

Use the vertex order from before (edges in E' upwards).

In this order, add the edges of $E(G) \setminus E'$ in rev. direction.



- G' is a DAG.
- $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

$E' \leftarrow \emptyset$

foreach $v \in V(G)$ **do**

if $|E^{\rightarrow}(v)| \geq |E^{\leftarrow}(v)|$ **then**

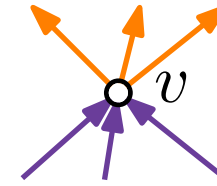
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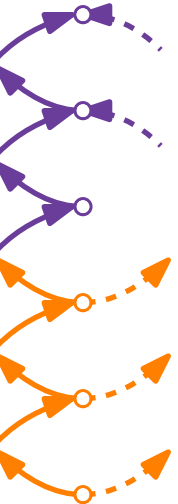
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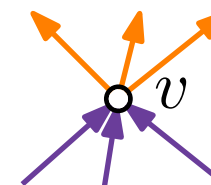
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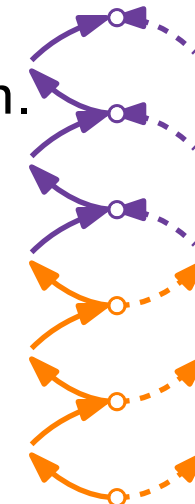
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$$E(v) := E^{\rightarrow}(v) \cup E^{\leftarrow}(v)$$

Proof Idea.

Use the vertex order from before (edges in E' upwards).

In this order, add the edges of $E(G) \setminus E'$ in rev. direction.



■ G' is a DAG.

■ $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

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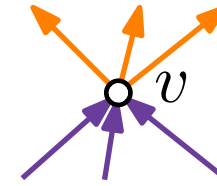
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$$E^{\rightarrow}(v) := \{(v, u) : (v, u) \in E(G)\}$$

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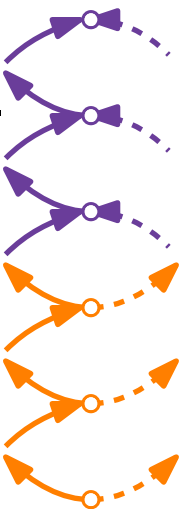
Proof Idea.

Use the vertex order from before (edges in E' upwards).

In this order, add the edges of $E(G) \setminus E'$ in rev. direction.

Added edges have other endpoint more in the middle.

→ All edges point upwards.



- G' is a DAG.
- $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

$E' \leftarrow \emptyset$

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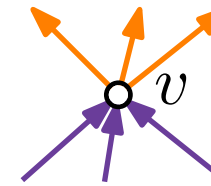
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■ Runtime:

■ G' is a DAG.

■ $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

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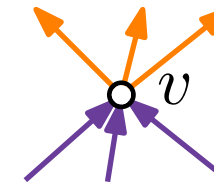
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$$E^{\leftarrow}(v) := \{(u, v) : (u, v) \in E(G)\}$$

$$E(v) := E^{\rightarrow}(v) \cup E^{\leftarrow}(v)$$

■ Runtime: $\mathcal{O}(|V(G)| + |E(G)|)$

■ G' is a DAG.

■ $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

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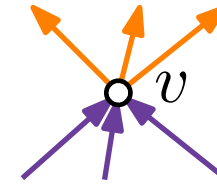
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$$E^{\leftarrow}(v) := \{(u, v) : (u, v) \in E(G)\}$$

$$E(v) := E^{\rightarrow}(v) \cup E^{\leftarrow}(v)$$

■ Runtime: $\mathcal{O}(|V(G)| + |E(G)|)$

■ Quality guarantee: $|E'| \geq$

■ G' is a DAG.

■ $E(G) \setminus E'$ is a feedback set.

Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph G):

$E' \leftarrow \emptyset$

foreach $v \in V(G)$ **do**

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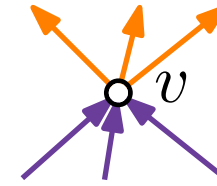
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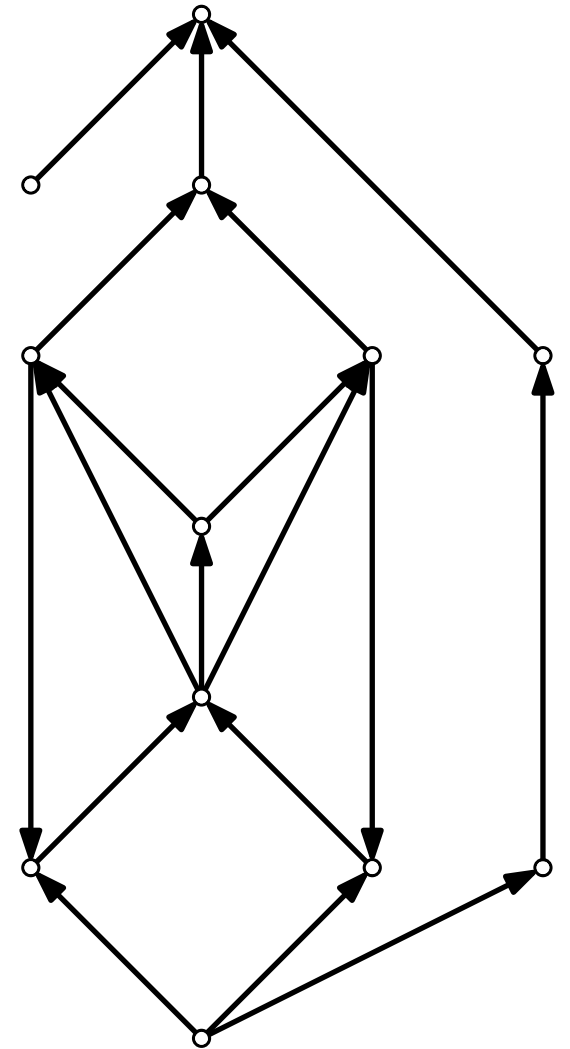
- Runtime: $\mathcal{O}(|V(G)| + |E(G)|)$
- Quality guarantee: $|E'| \geq |E(G)|/2$

■ G' is a DAG.

■ $E(G) \setminus E'$ is a feedback set.

Heuristic 2

[Eades, Lin, Smyth '93]



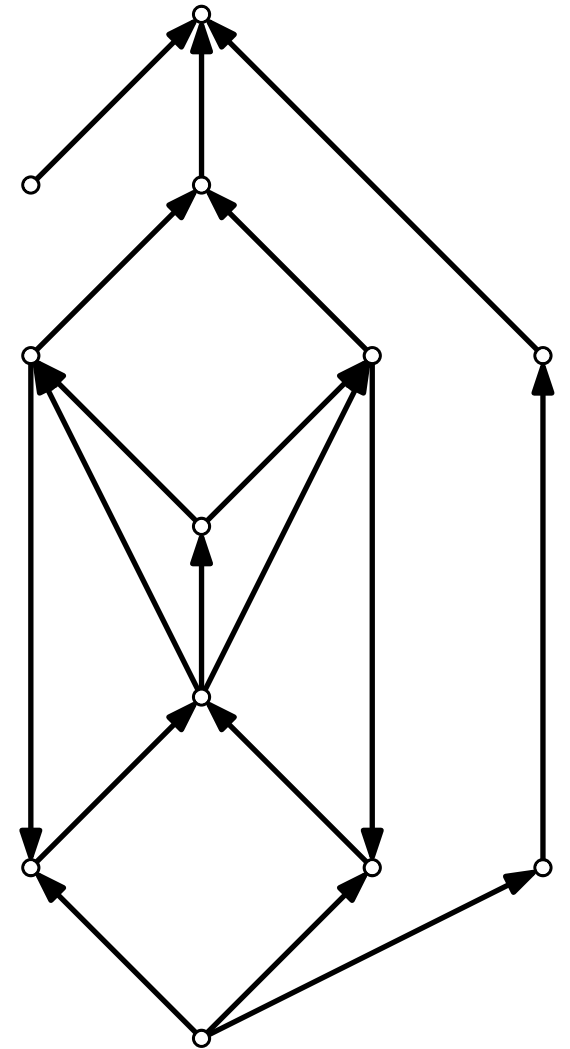
Heuristic 2

[Eades, Lin, Smyth '93]

GreedyMakeAcyclic2(Digraph G):

$E' \leftarrow \emptyset$

return $G' = (V(G), E')$



Heuristic 2

[Eades, Lin, Smyth '93]

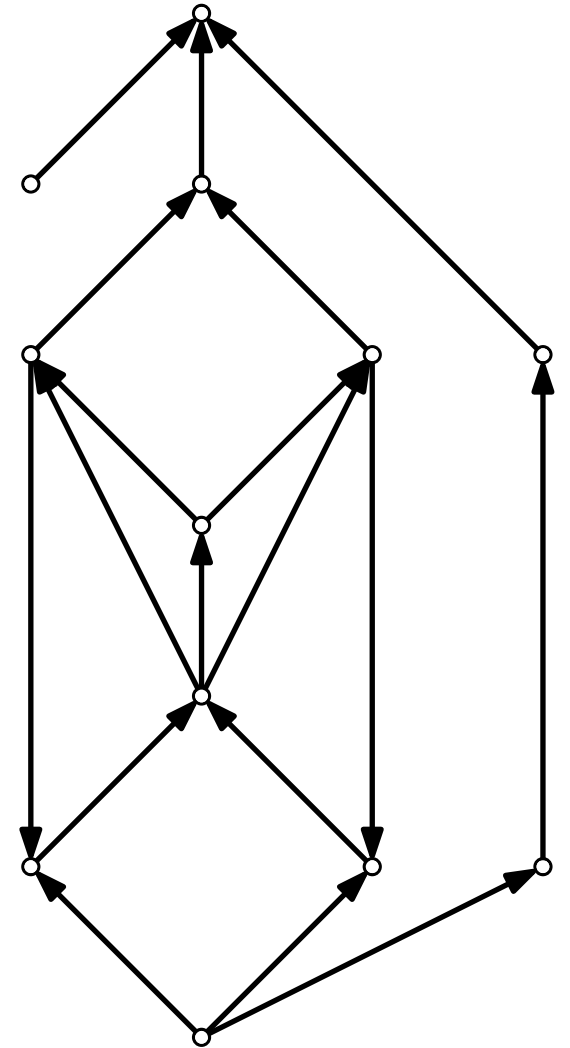
GreedyMakeAcyclic2(Digraph G):

$E' \leftarrow \emptyset$

while $V(G) \neq \emptyset$ **do**

|

return $G' = (V(G), E')$



Heuristic 2

[Eades, Lin, Smyth '93]

GreedyMakeAcyclic2(Digraph G):

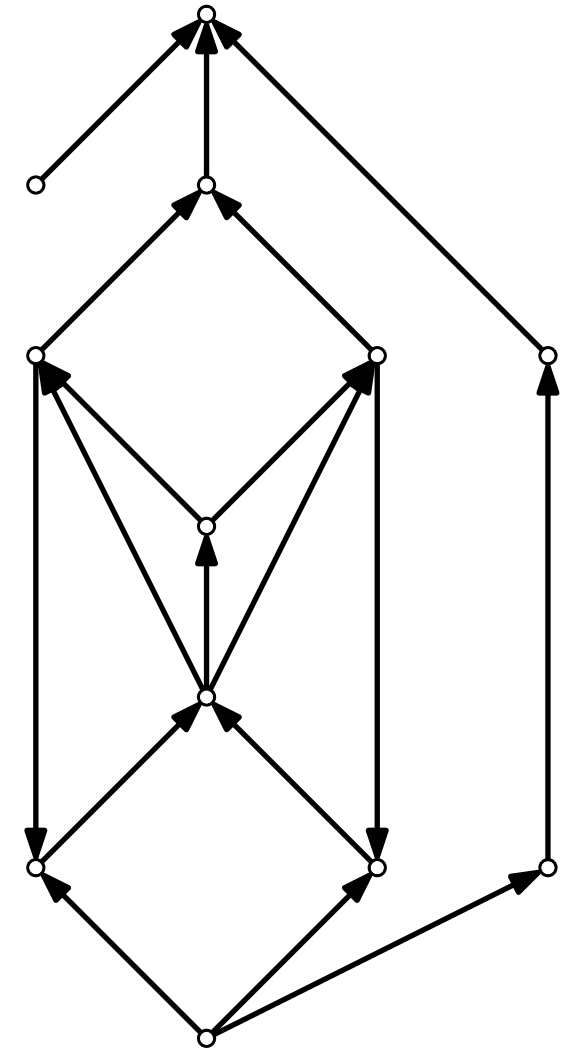
$E' \leftarrow \emptyset$

while $V(G) \neq \emptyset$ **do**

while $V(G)$ contains a sink v **do**

 |

return $G' = (V(G), E')$



Heuristic 2

[Eades, Lin, Smyth '93]

GreedyMakeAcyclic2(Digraph G):

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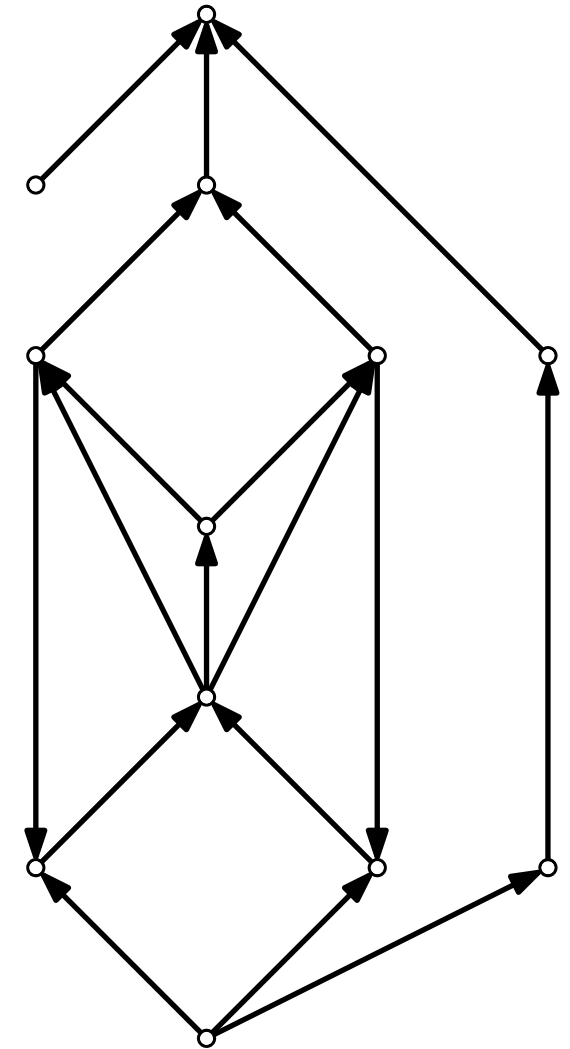
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$E' \leftarrow E' \cup E^{\leftarrow}(v)$

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Heuristic 2

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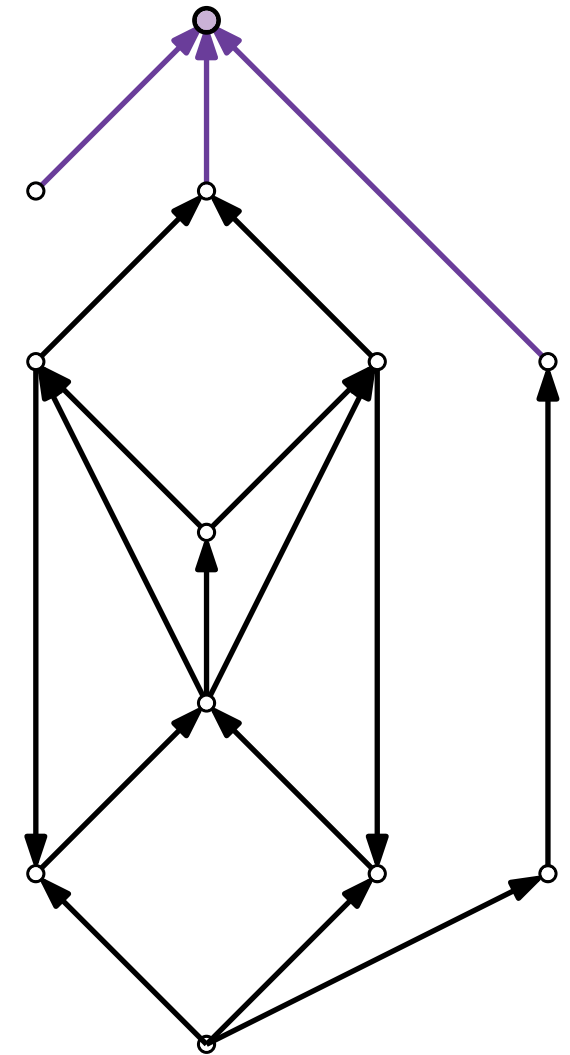
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[Eades, Lin, Smyth '93]



Heuristic 2

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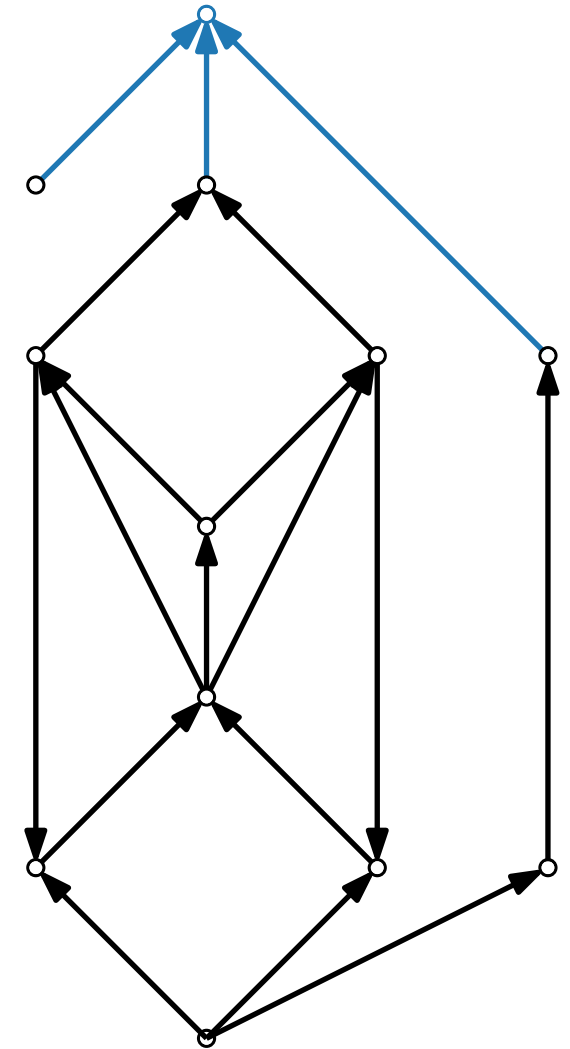
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Heuristic 2

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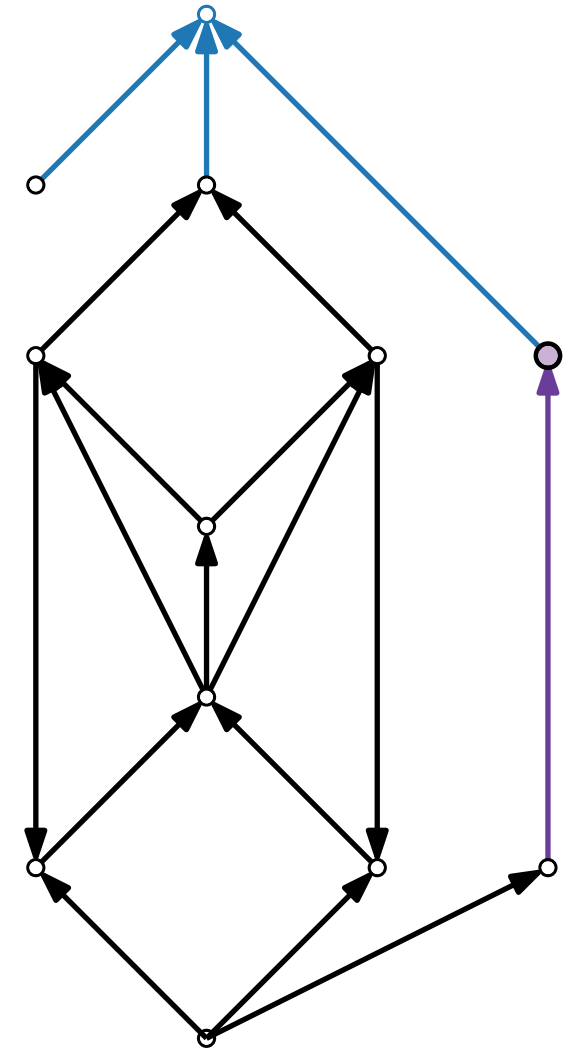
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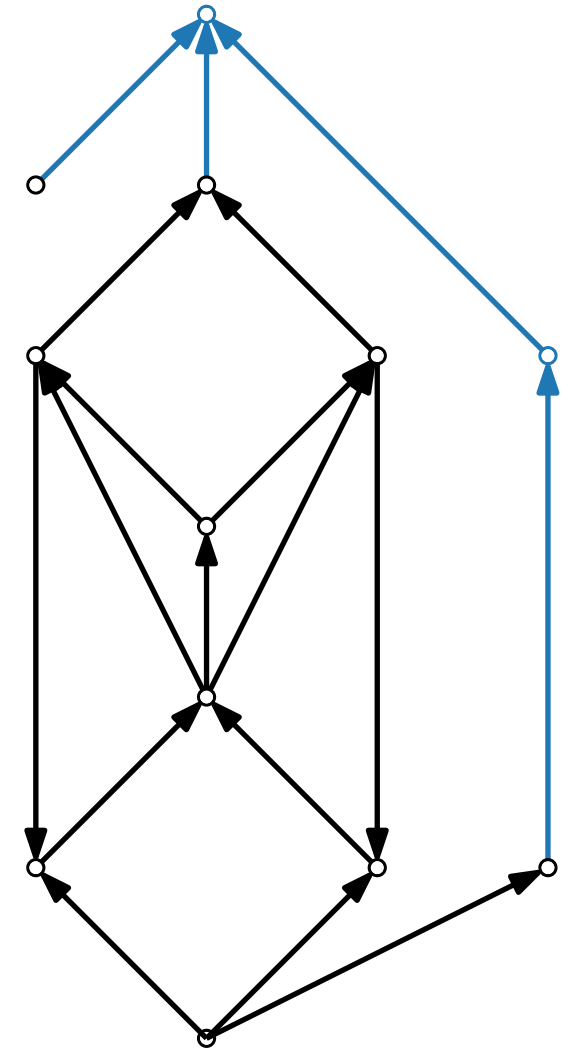
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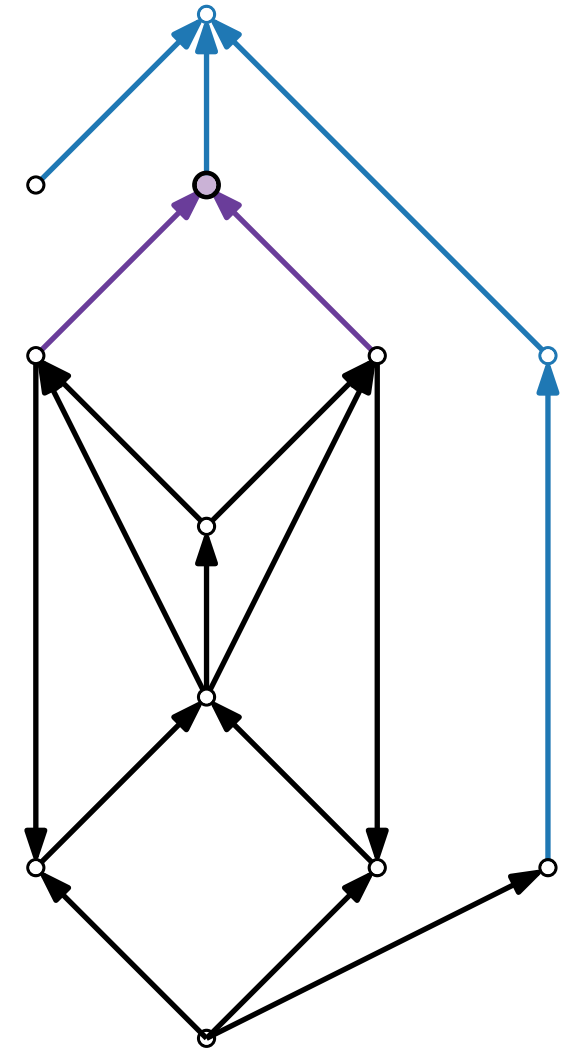
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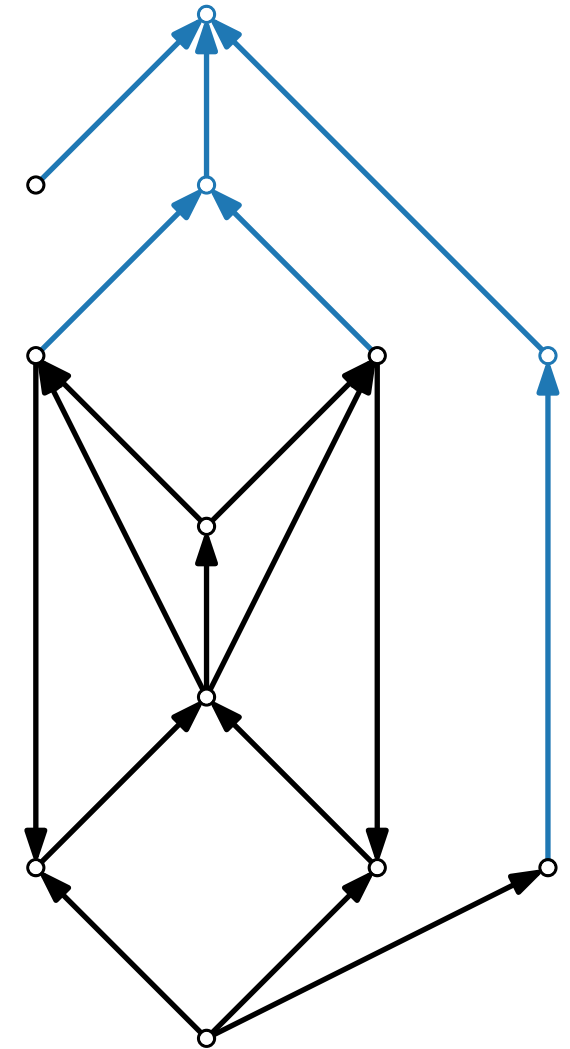
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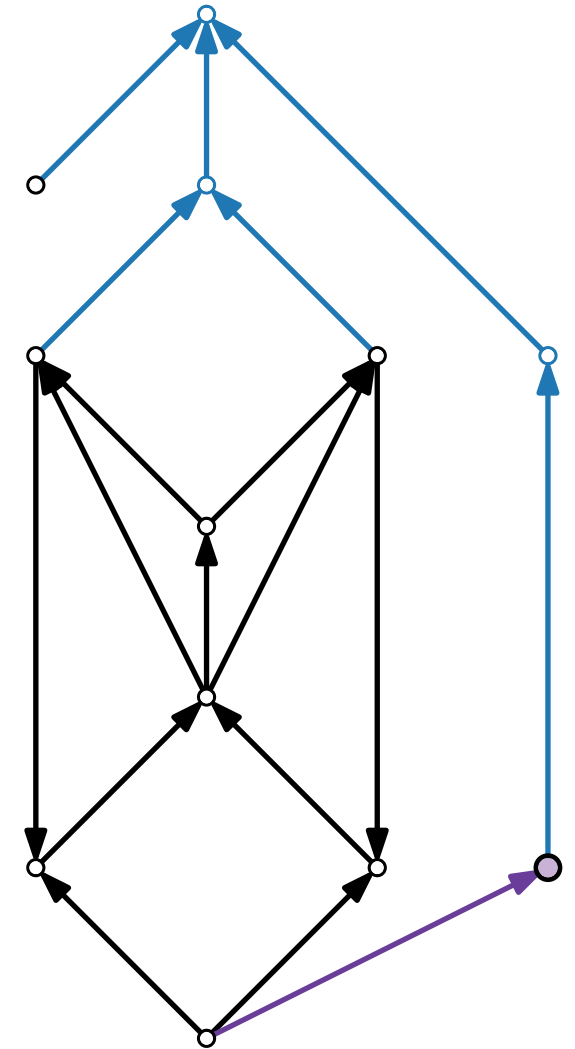
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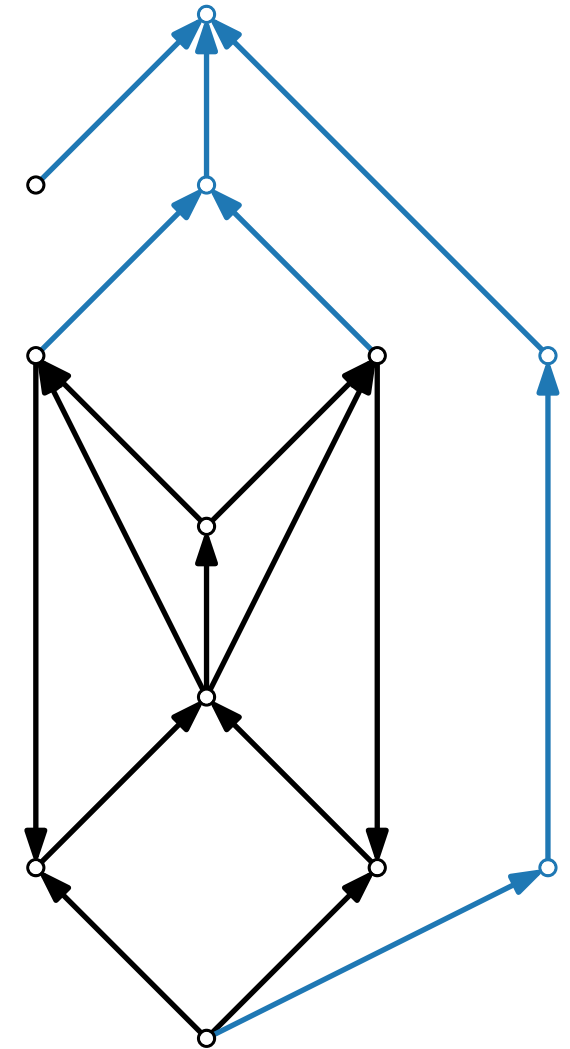
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[Eades, Lin, Smyth '93]



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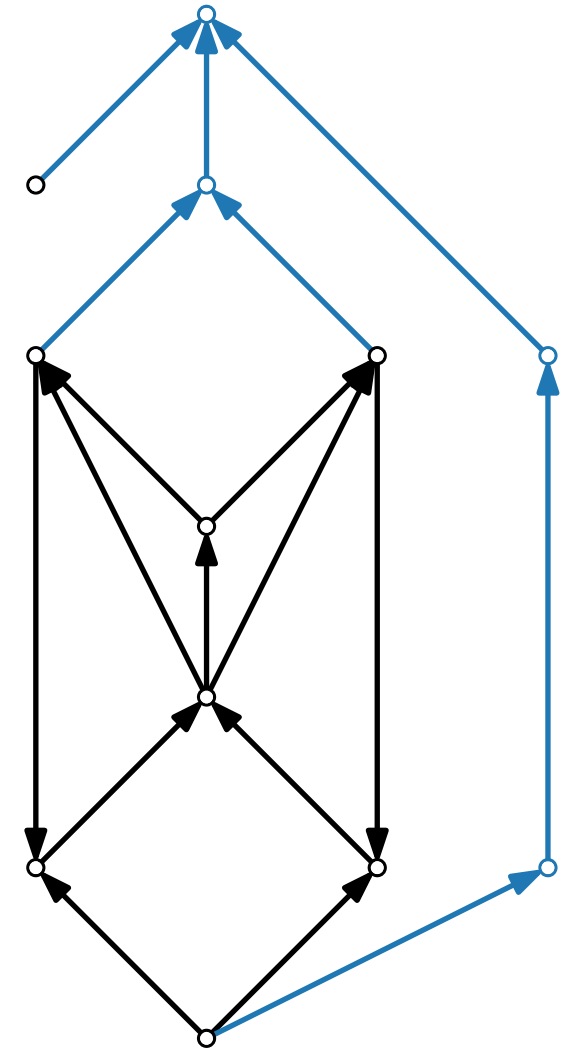
$E' \leftarrow E' \cup E^{\leftarrow}(v)$

 Remove v and $E^{\leftarrow}(v)$.

 Remove all **isolated vertices** from $V(G)$.

return $G' = (V(G), E')$

[Eades, Lin, Smyth '93]



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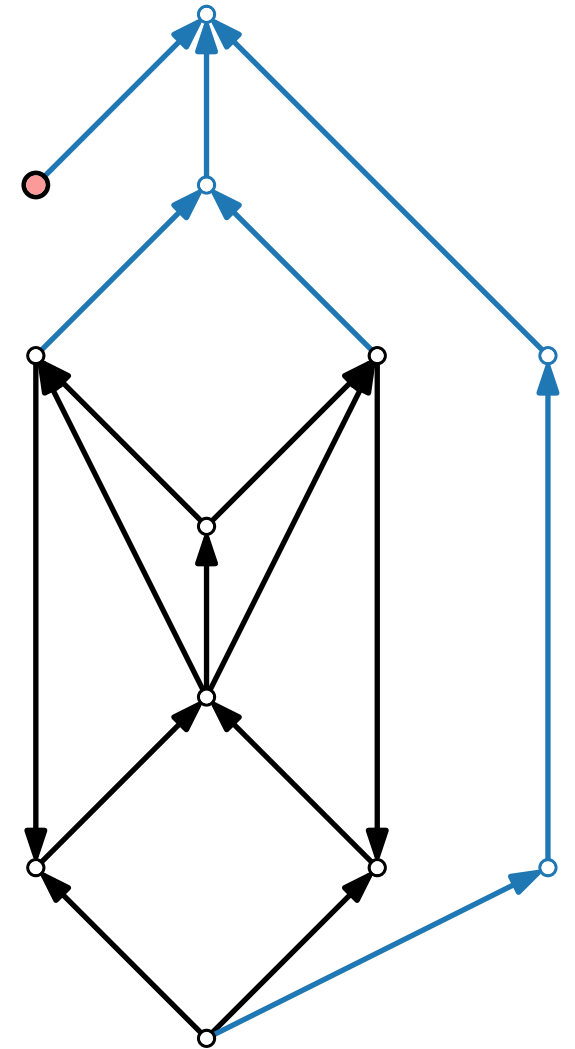
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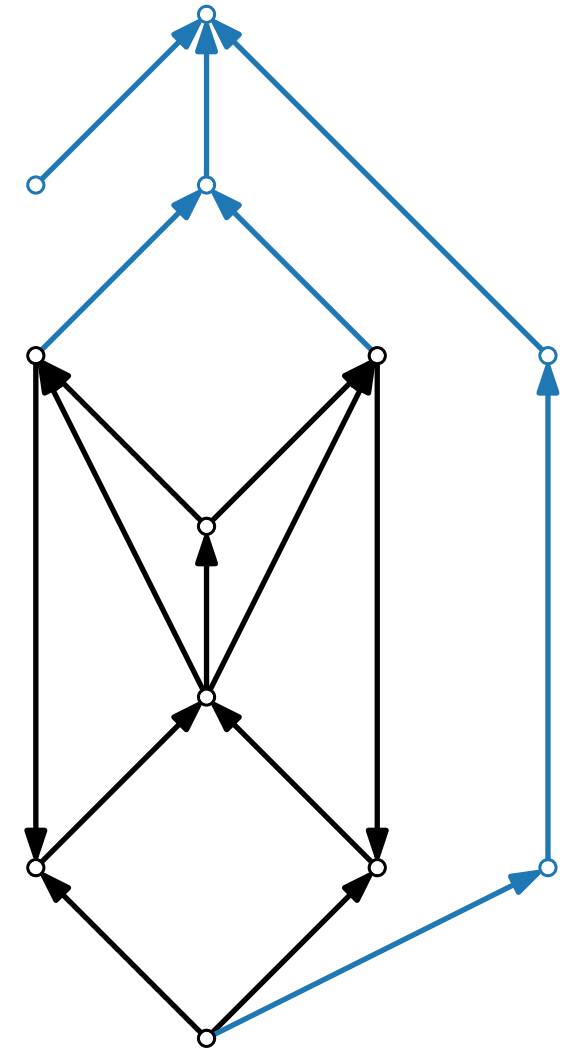
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[Eades, Lin, Smyth '93]



Heuristic 2

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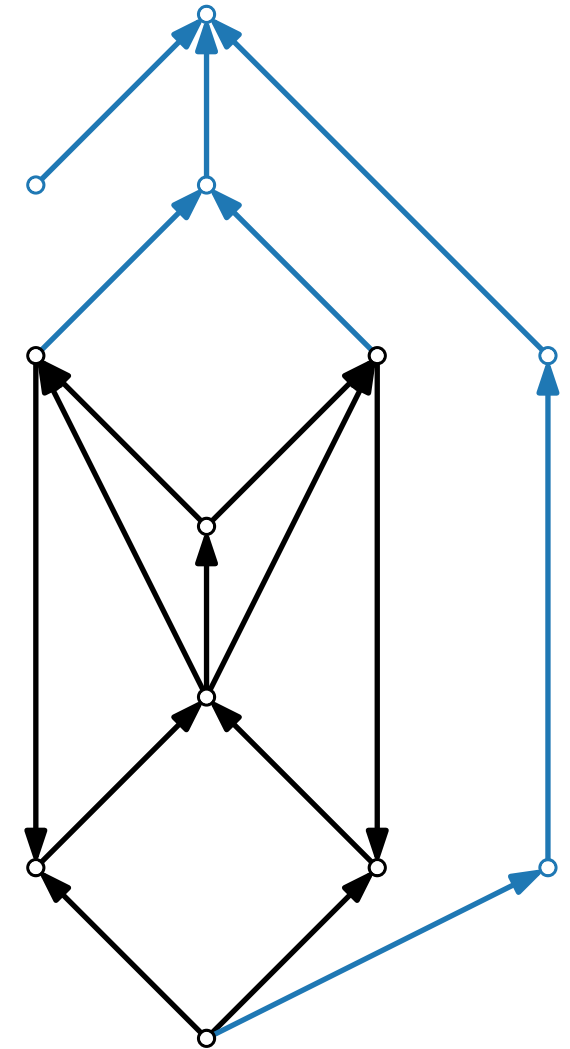
$E' \leftarrow E' \cup E^{\leftarrow}(v)$

 Remove v and $E^{\leftarrow}(v)$.

 Remove all **isolated vertices** from $V(G)$.

while $V(G)$ contains a **source** v **do**

return $G' = (V(G), E')$



Heuristic 2

[Eades, Lin, Smyth '93]

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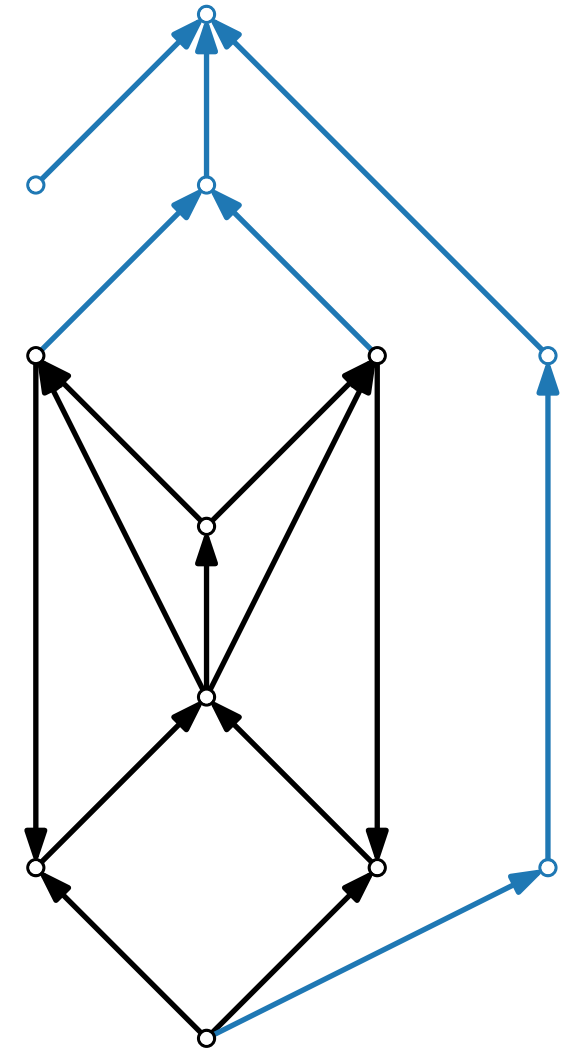
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Heuristic 2

[Eades, Lin, Smyth '93]

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 Remove v and $E^{\leftarrow}(v)$.

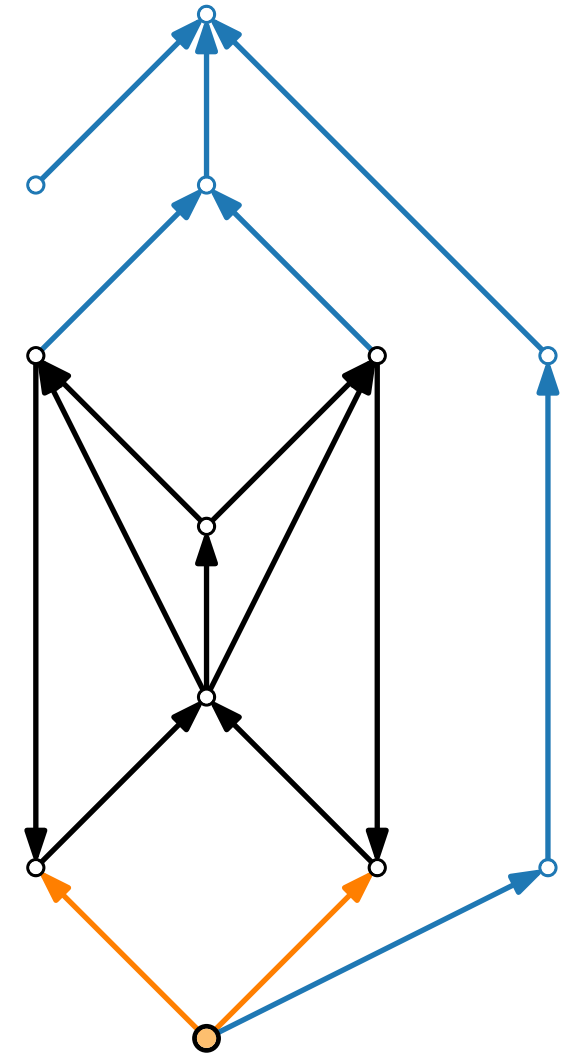
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Heuristic 2

[Eades, Lin, Smyth '93]

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$E' \leftarrow \emptyset$

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 Remove v and $E^{\leftarrow}(v)$.

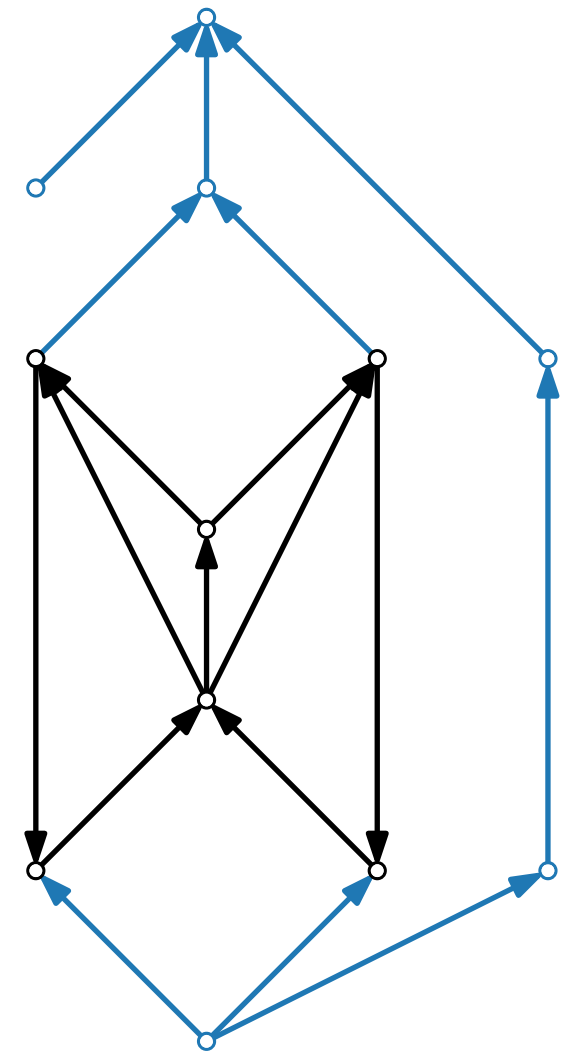
 Remove all **isolated vertices** from $V(G)$.

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$E' \leftarrow E' \cup E^{\rightarrow}(v)$

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Heuristic 2

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 Remove v and $E^{\leftarrow}(v)$.

 Remove all **isolated vertices** from $V(G)$.

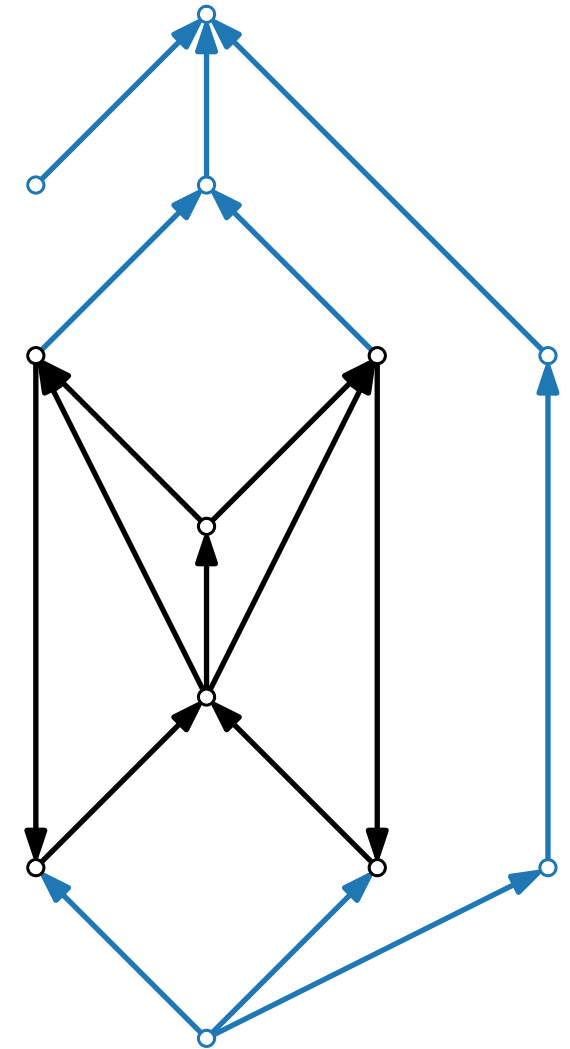
while $V(G)$ contains a **source** v **do**

$E' \leftarrow E' \cup E^{\rightarrow}(v)$

 Remove v and $E^{\rightarrow}(v)$.

if $V(G) \neq \emptyset$ **then**

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Heuristic 2

[Eades, Lin, Smyth '93]

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 Remove all **isolated vertices** from $V(G)$.

while $V(G)$ contains a **source** v **do**

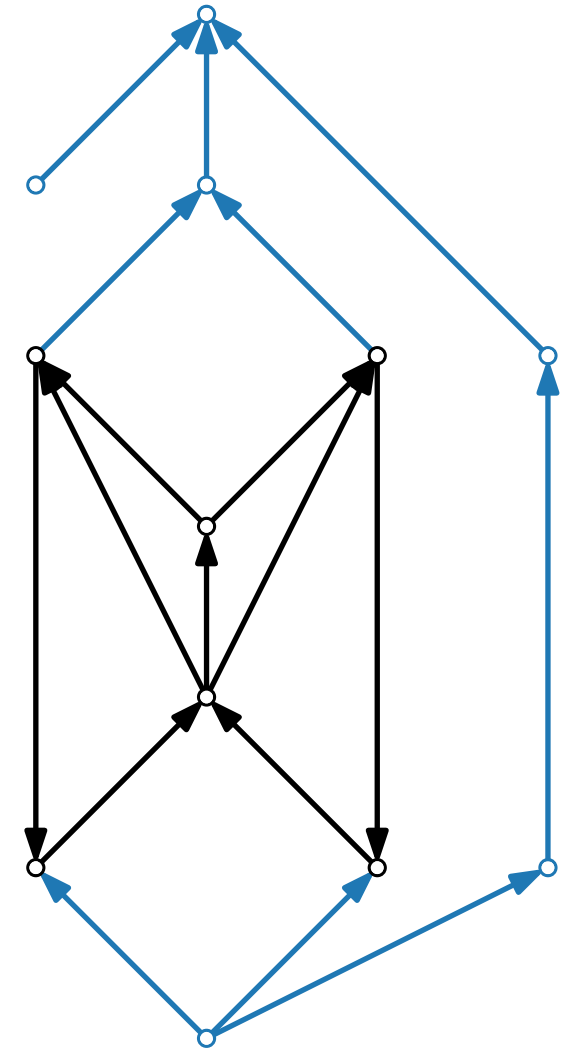
$E' \leftarrow E' \cup E^{\rightarrow}(v)$

 Remove v and $E^{\rightarrow}(v)$.

if $V(G) \neq \emptyset$ **then**

 Let $v \in V(G)$ such that $|E^{\rightarrow}(v)| - |E^{\leftarrow}(v)|$ maximal.

return $G' = (V(G), E')$



Heuristic 2

[Eades, Lin, Smyth '93]

GreedyMakeAcyclic2(Digraph G):

$E' \leftarrow \emptyset$

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$E' \leftarrow E' \cup E^{\leftarrow}(v)$

 Remove v and $E^{\leftarrow}(v)$.

 Remove all **isolated vertices** from $V(G)$.

while $V(G)$ contains a **source** v **do**

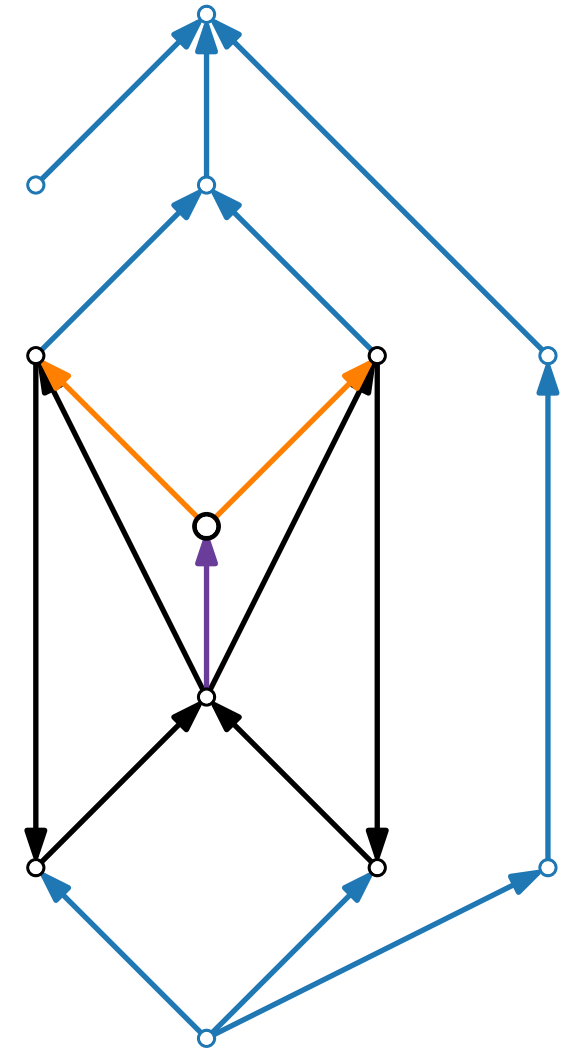
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Heuristic 2

[Eades, Lin, Smyth '93]

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$E' \leftarrow \emptyset$

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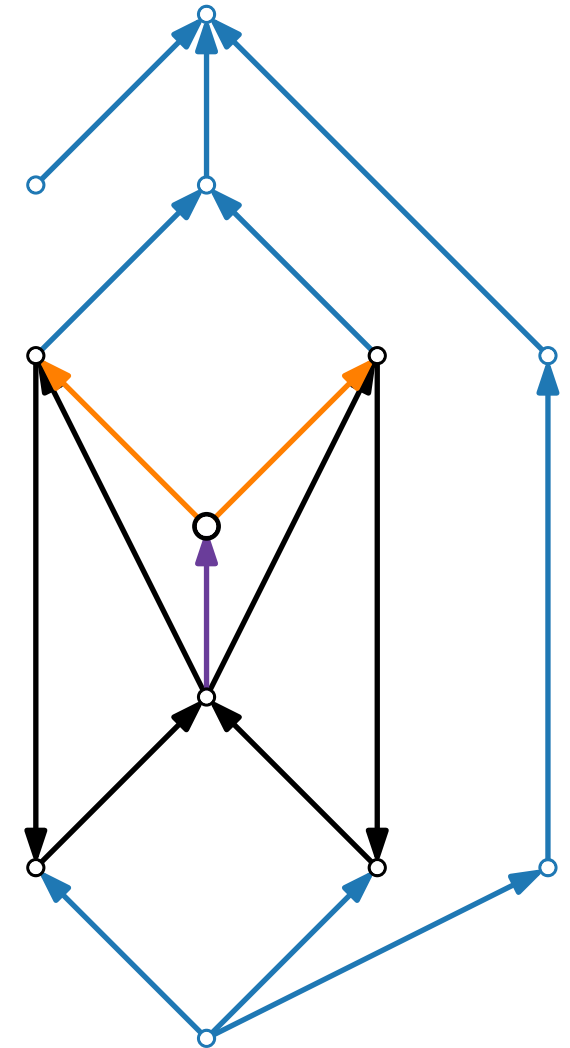
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Heuristic 2

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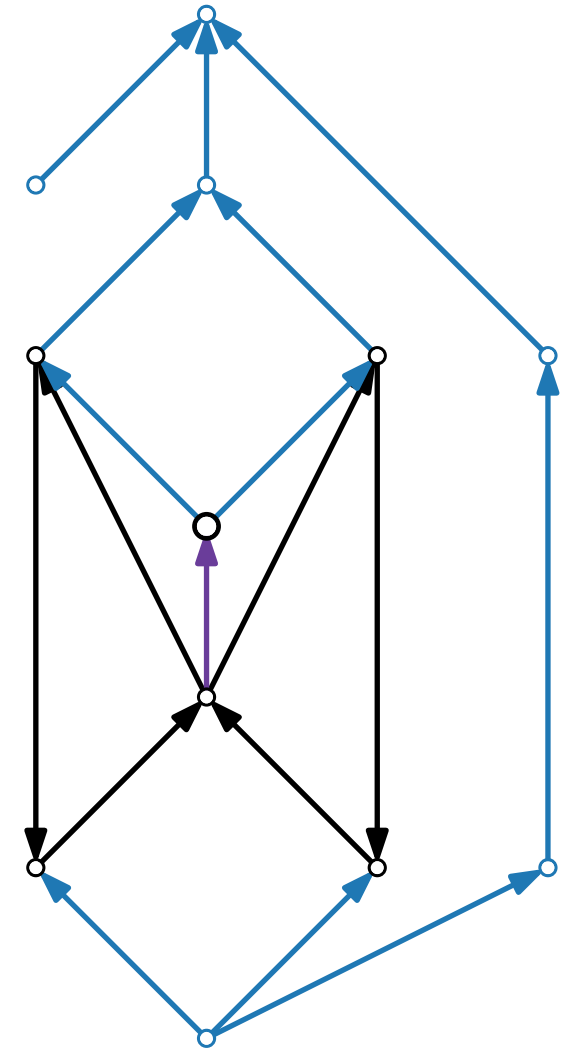
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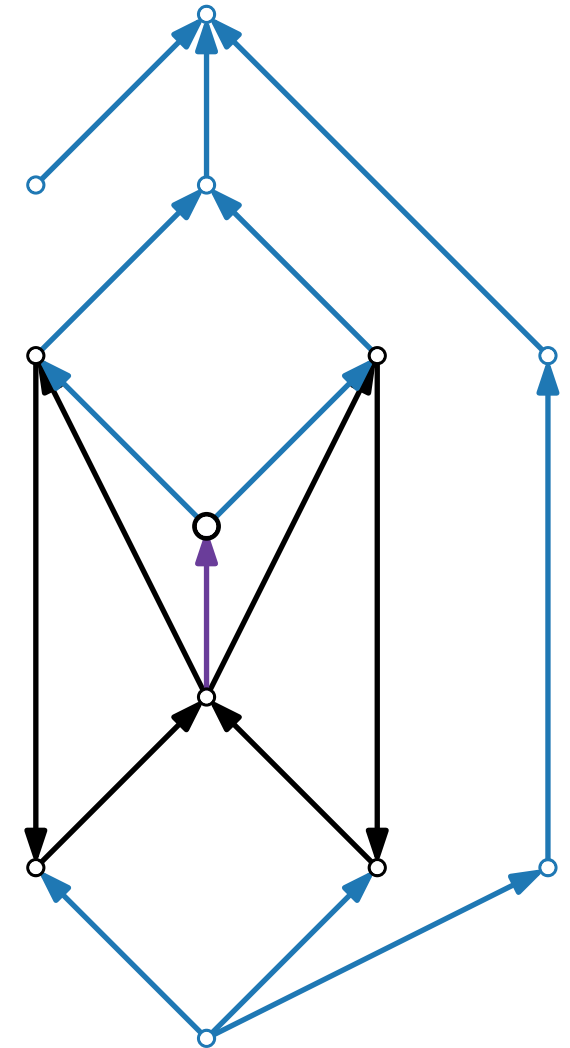
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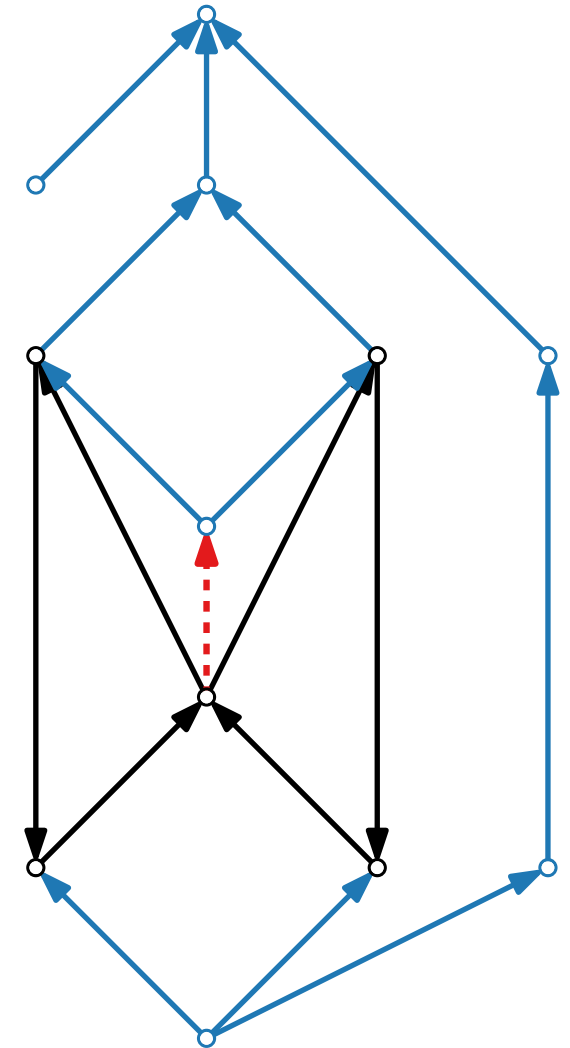
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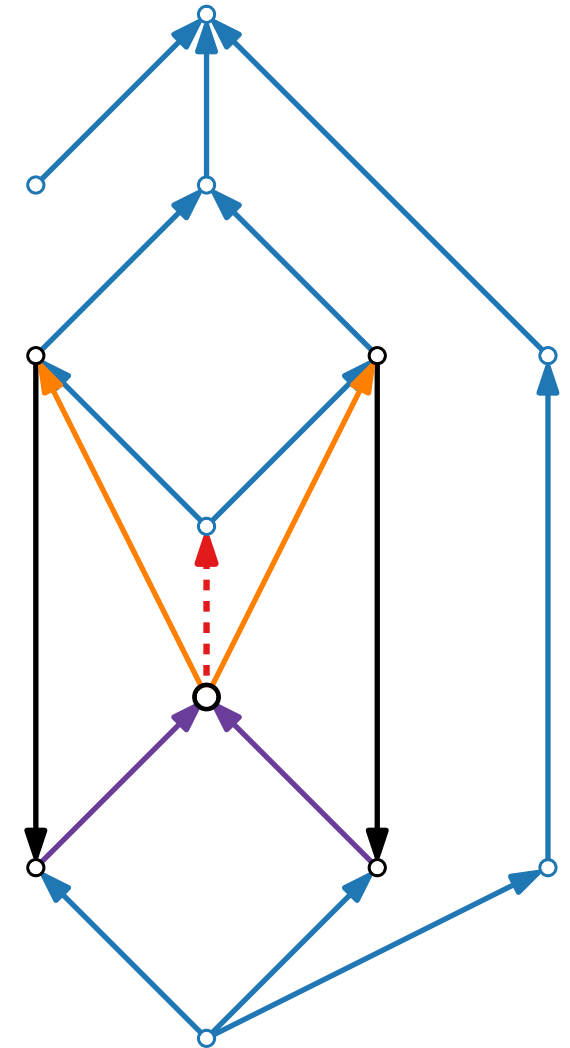
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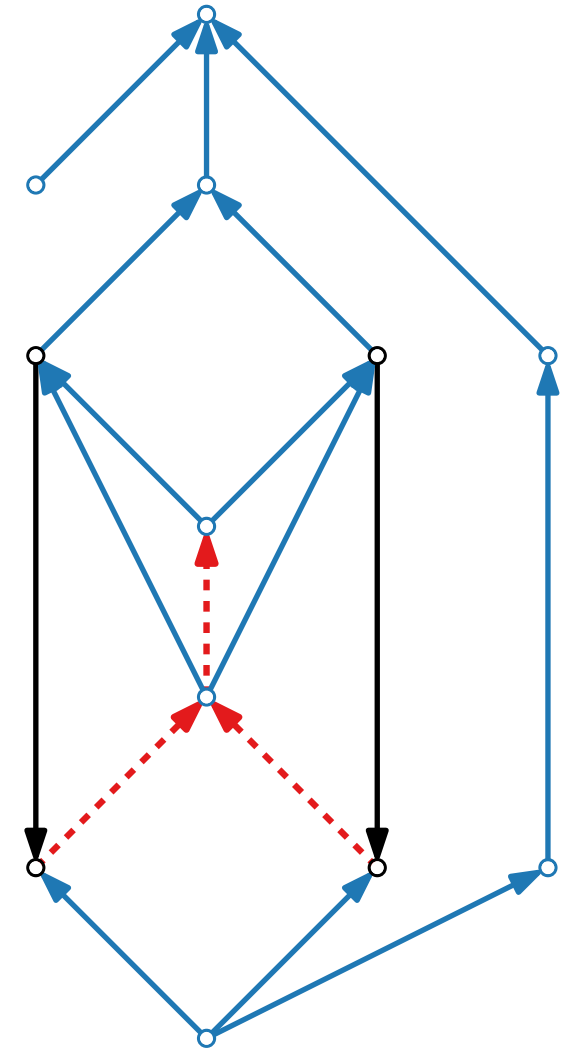
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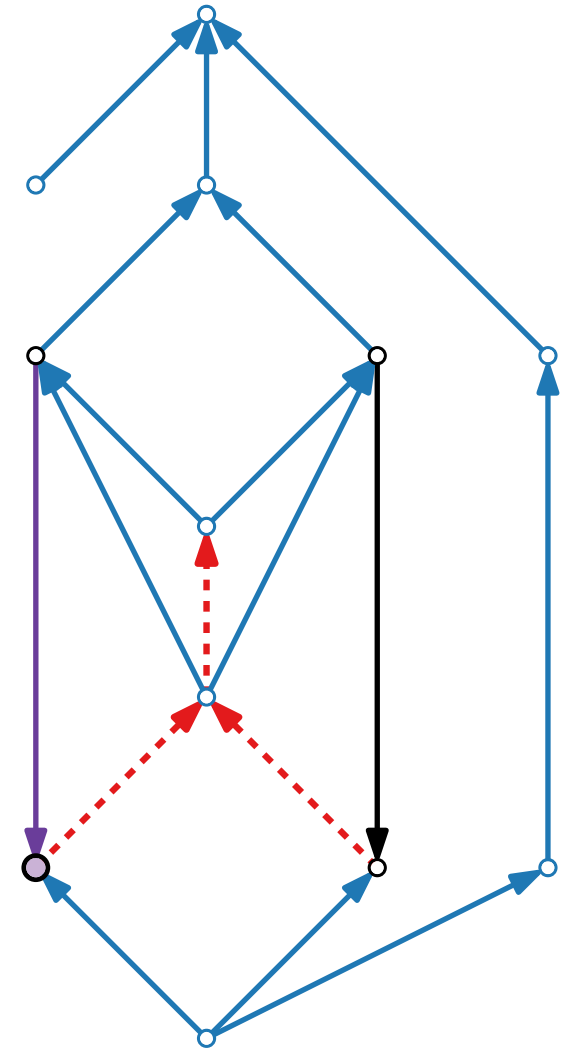
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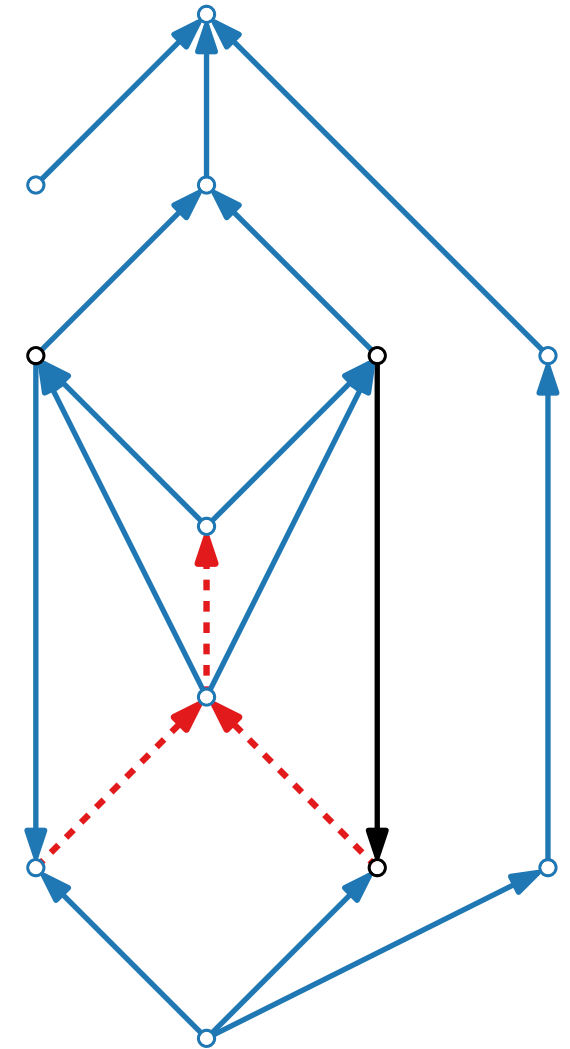
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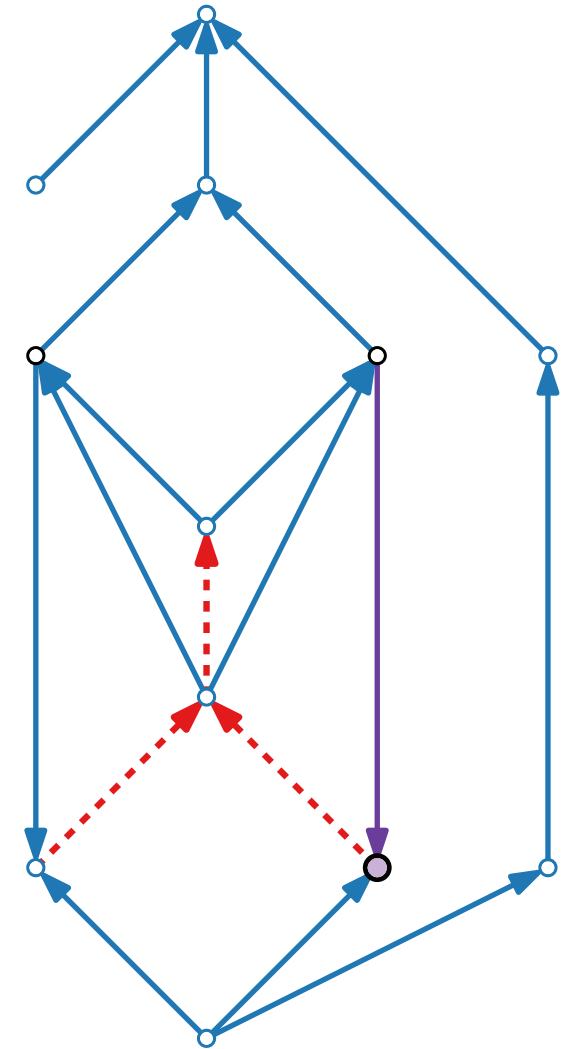
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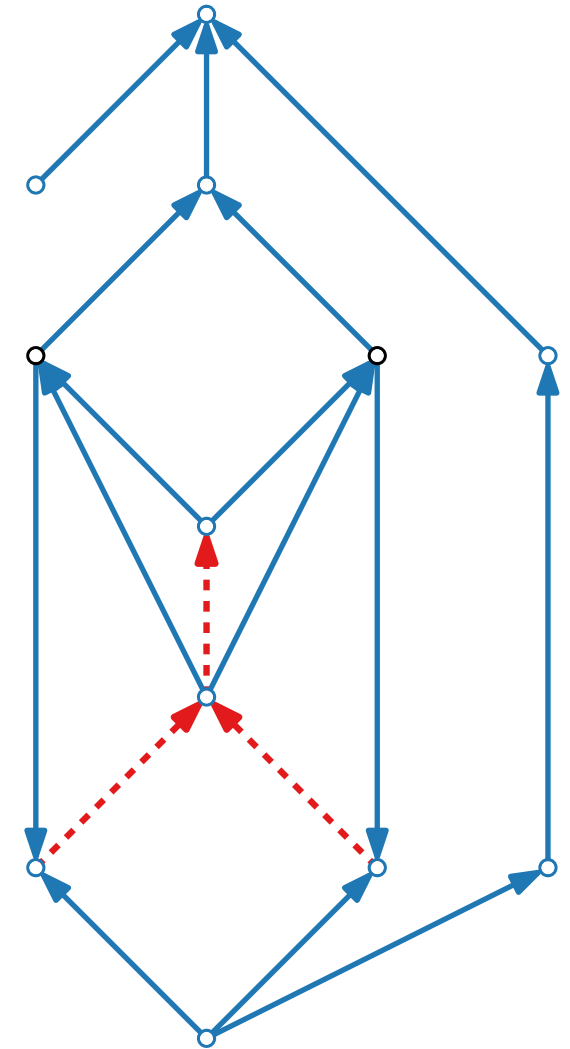
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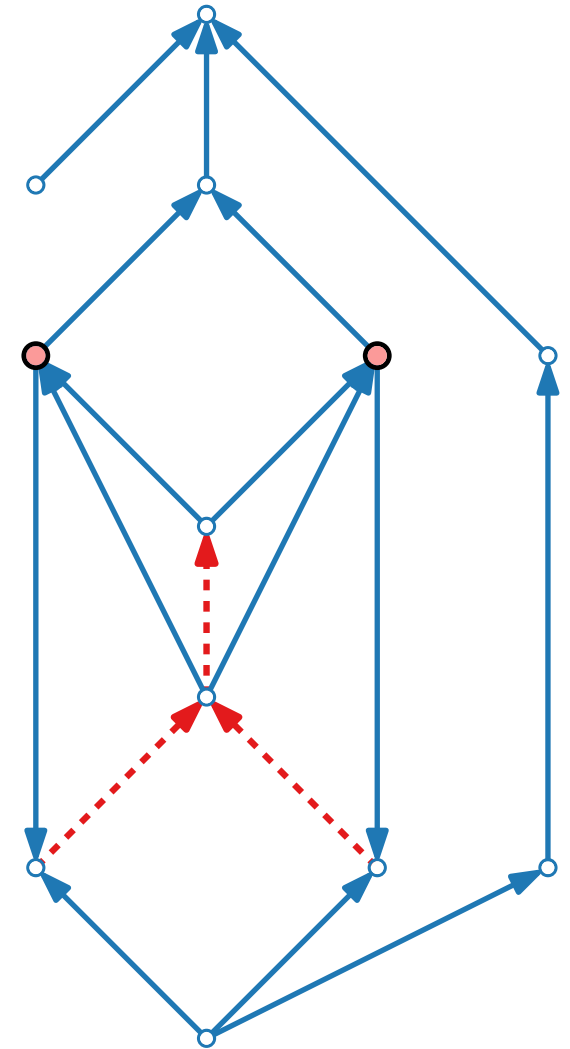
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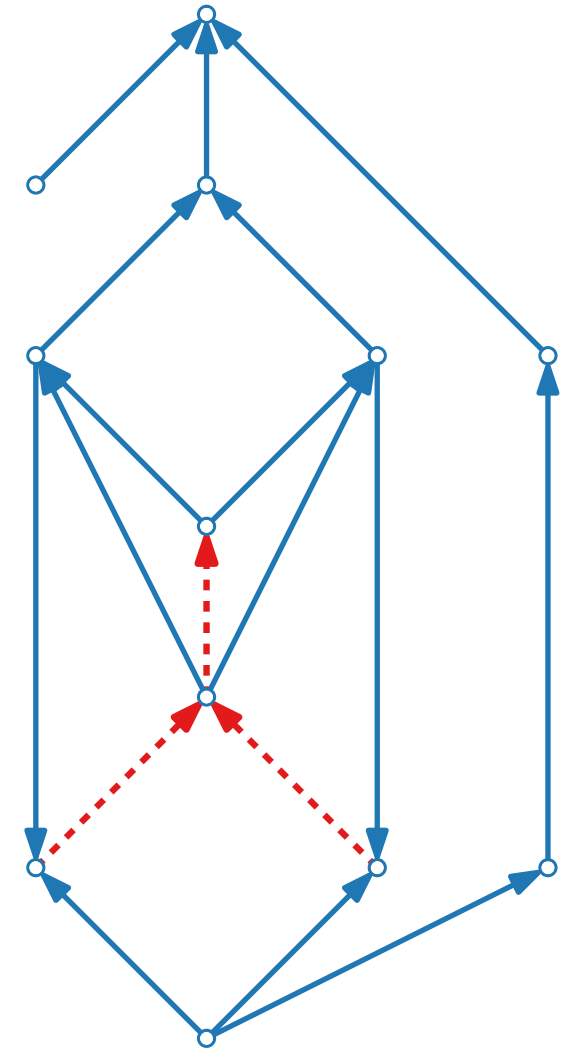
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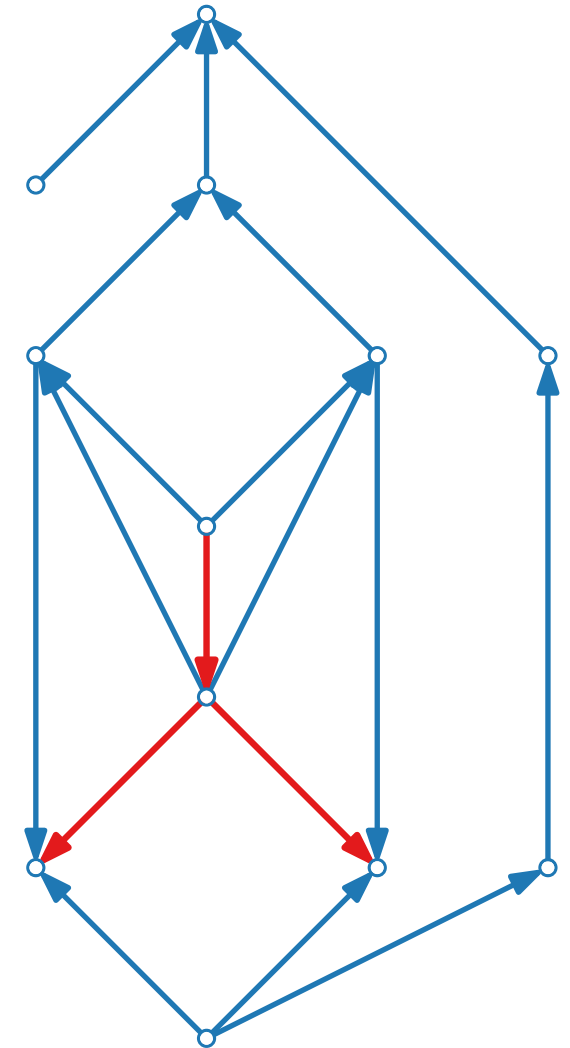
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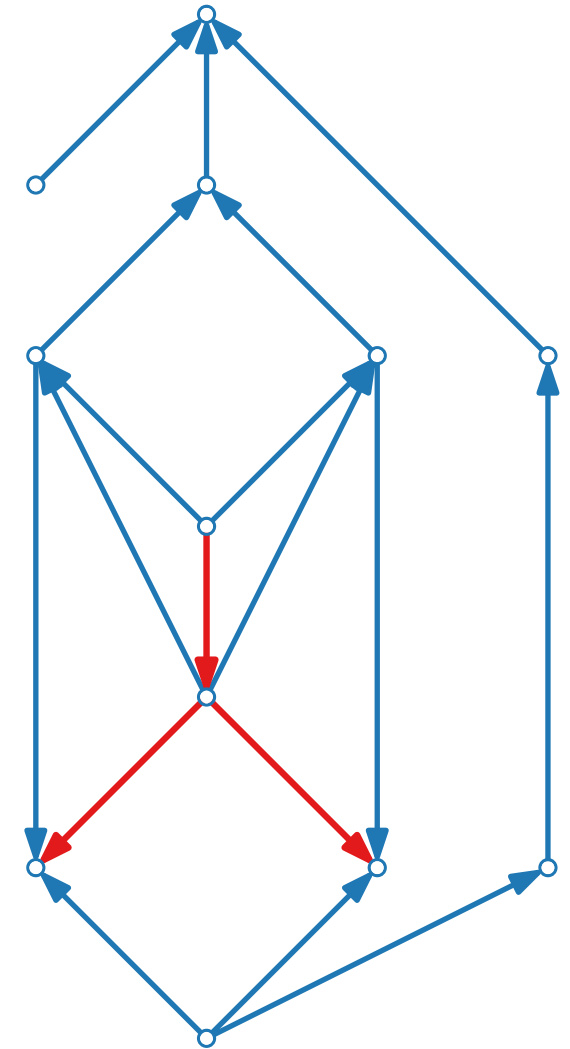
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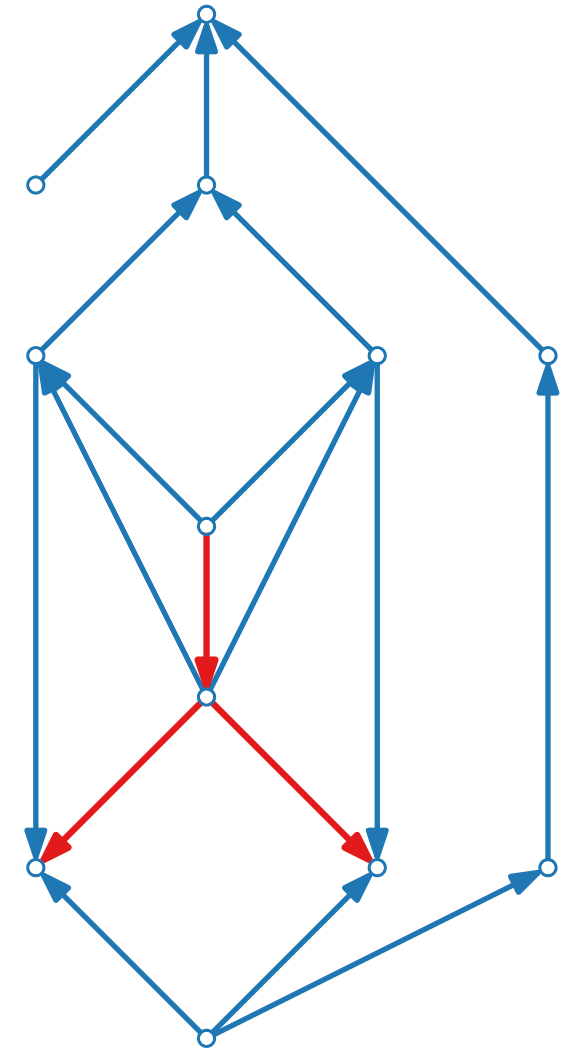
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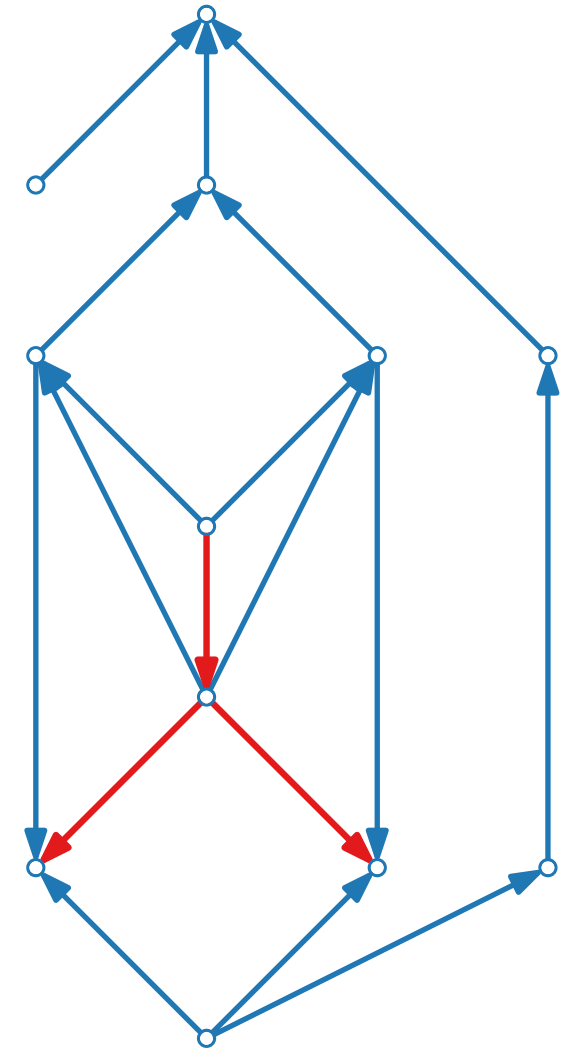
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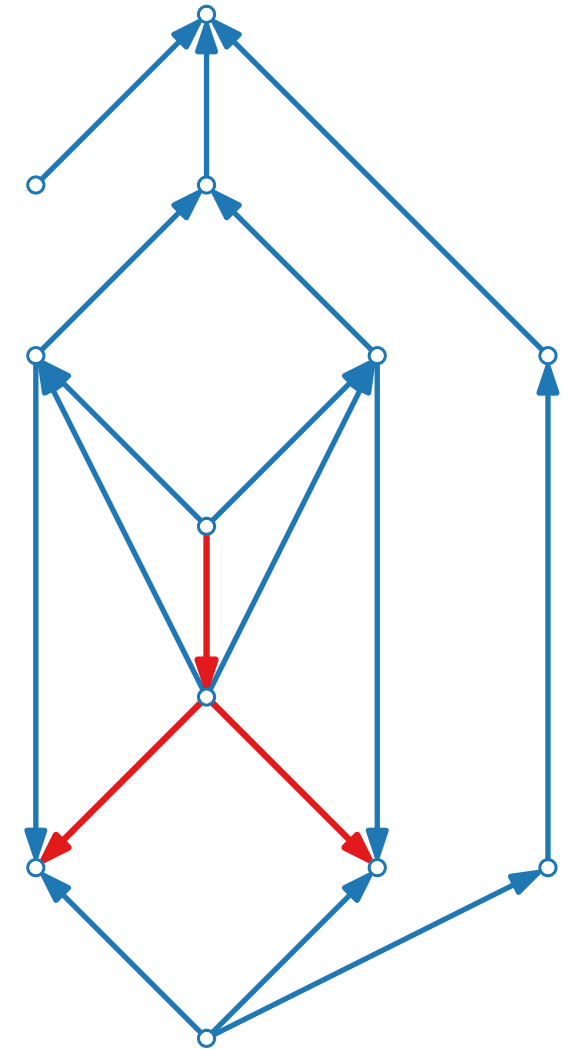
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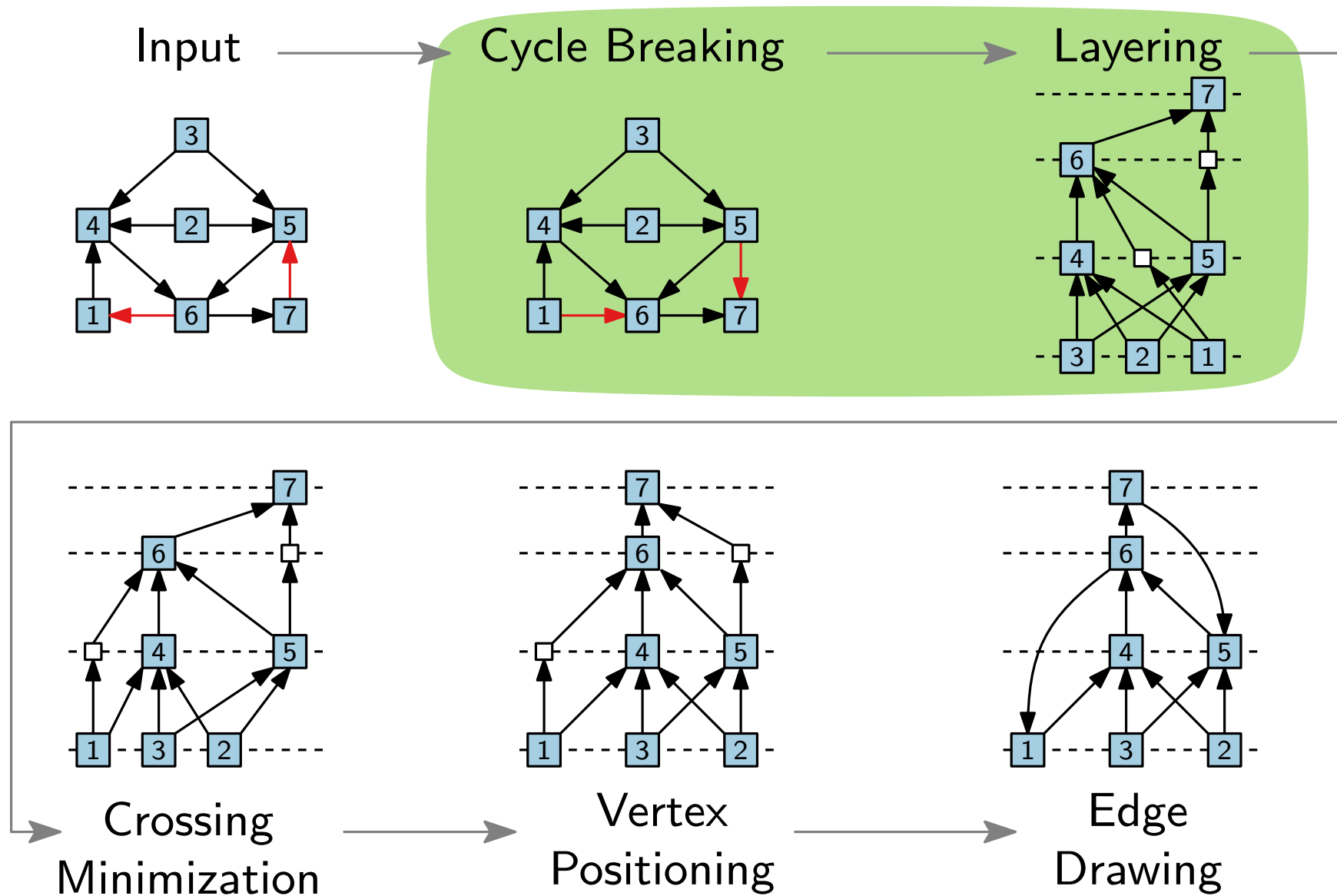
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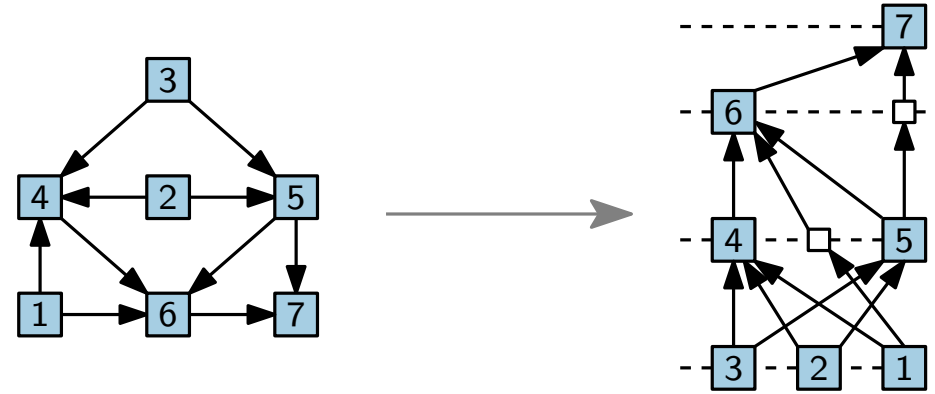
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Step 2: Layering



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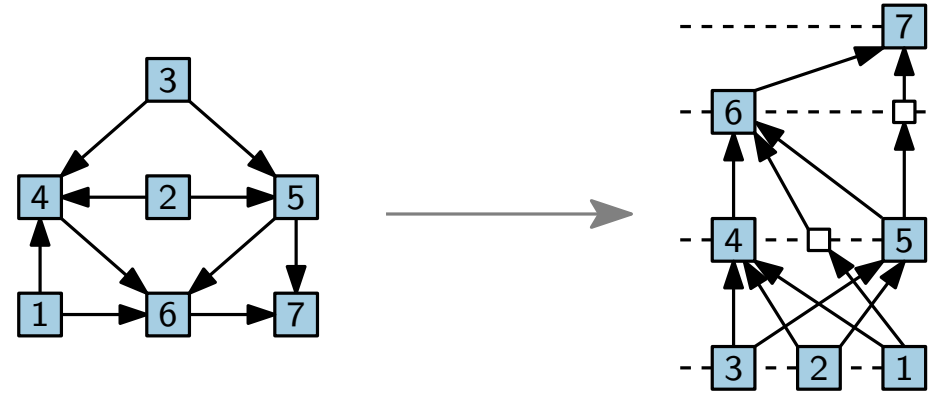
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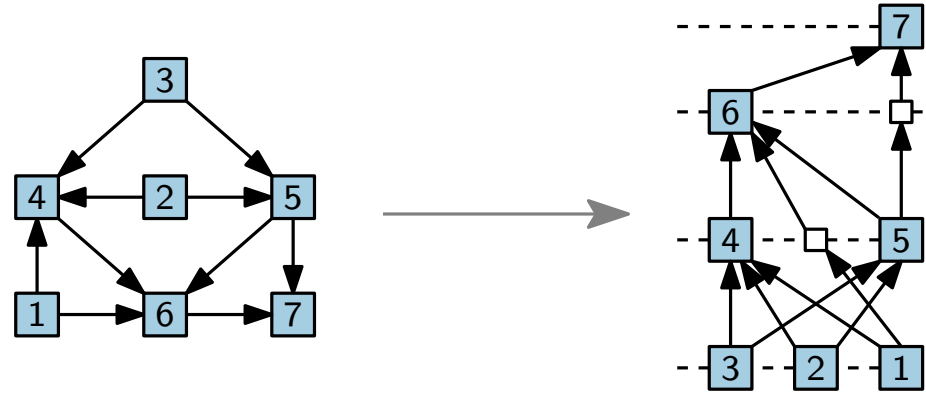
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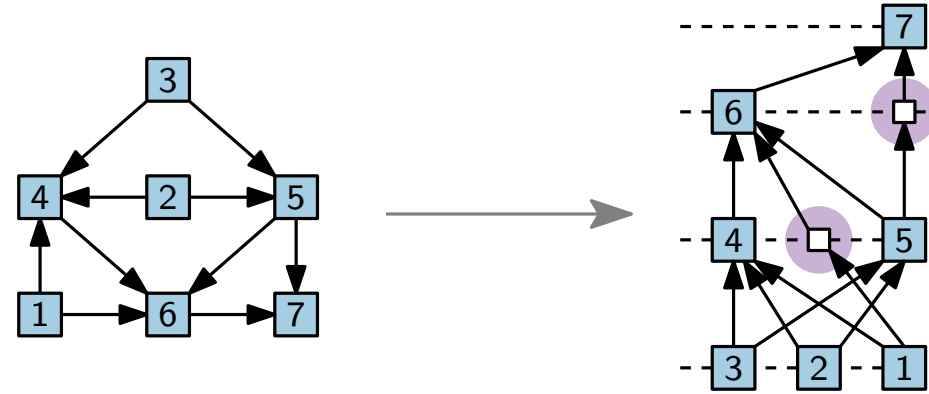
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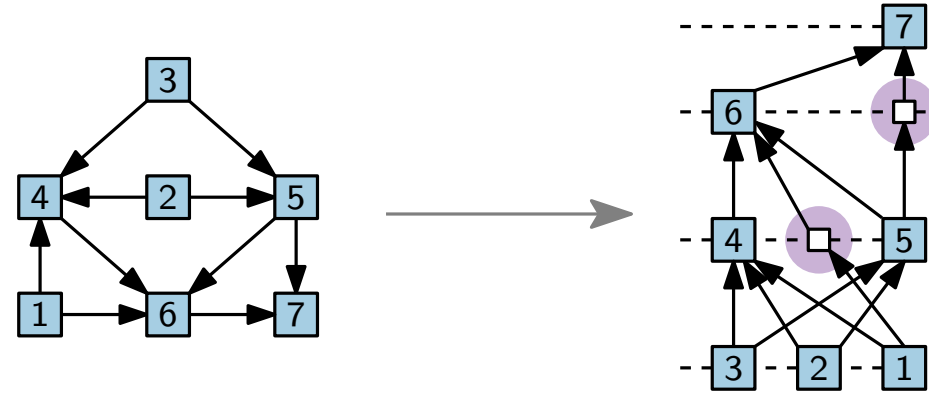
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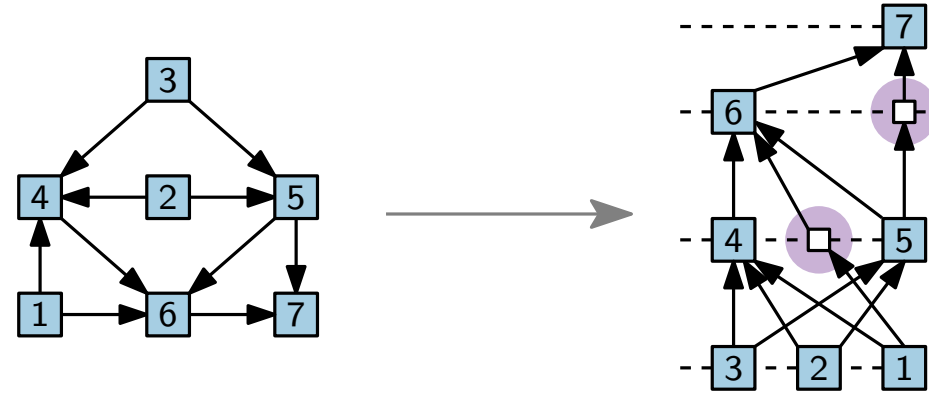
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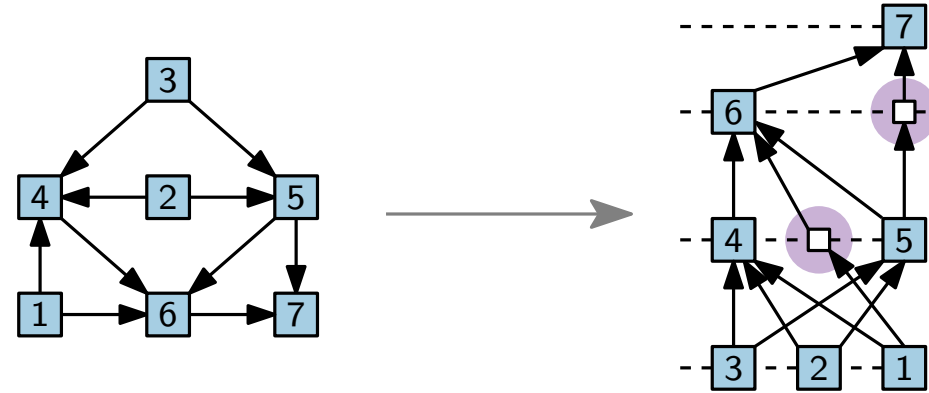
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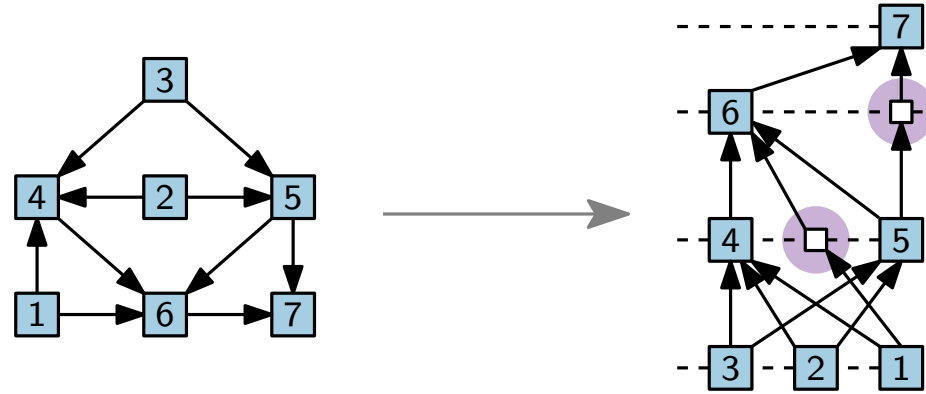
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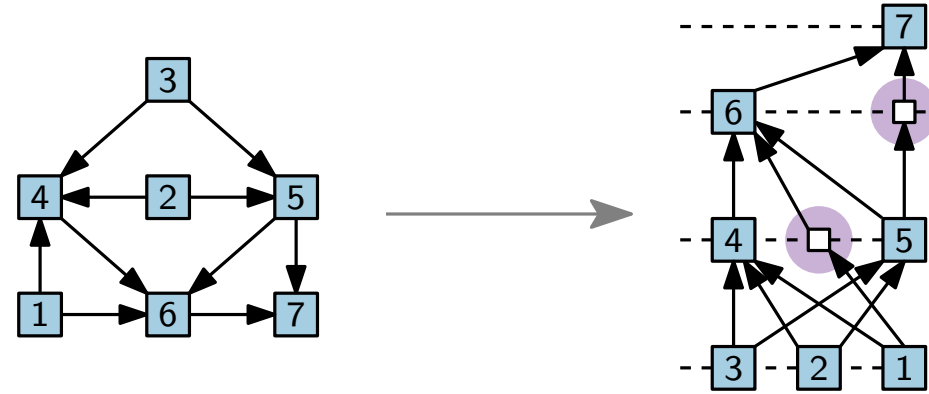
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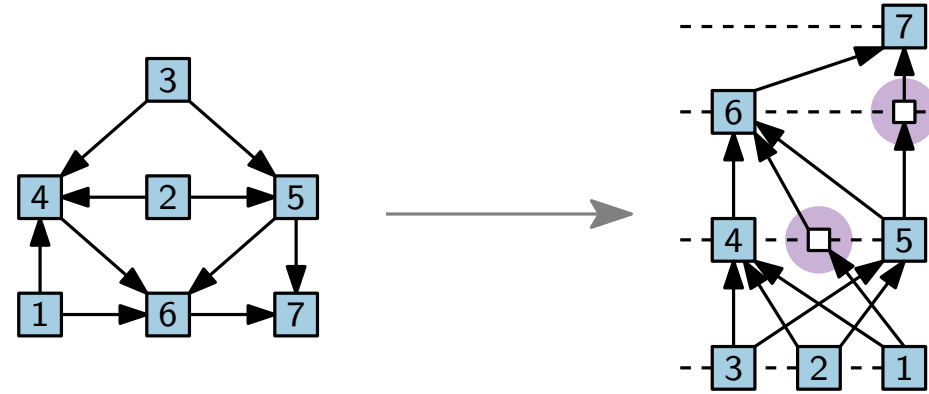
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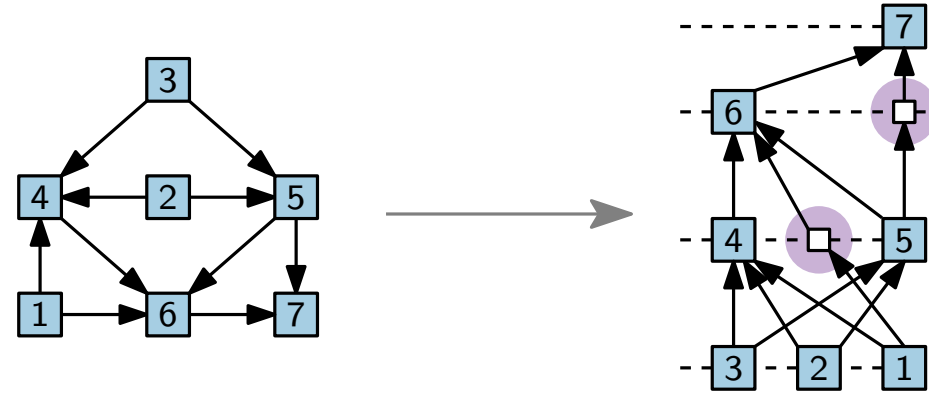
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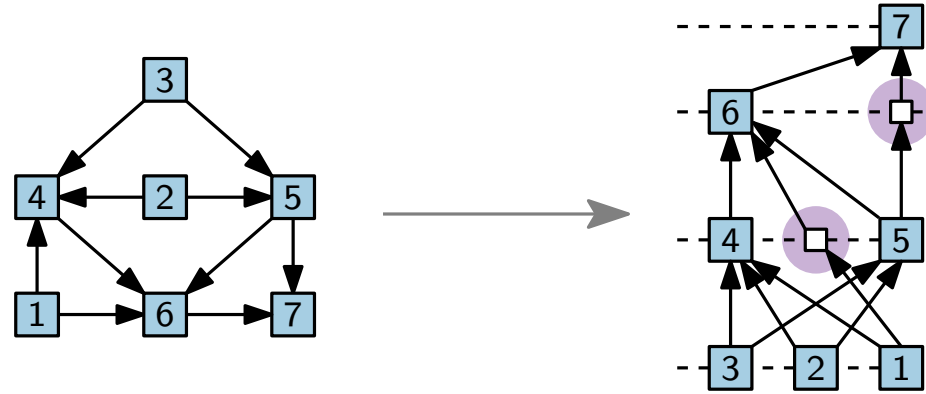
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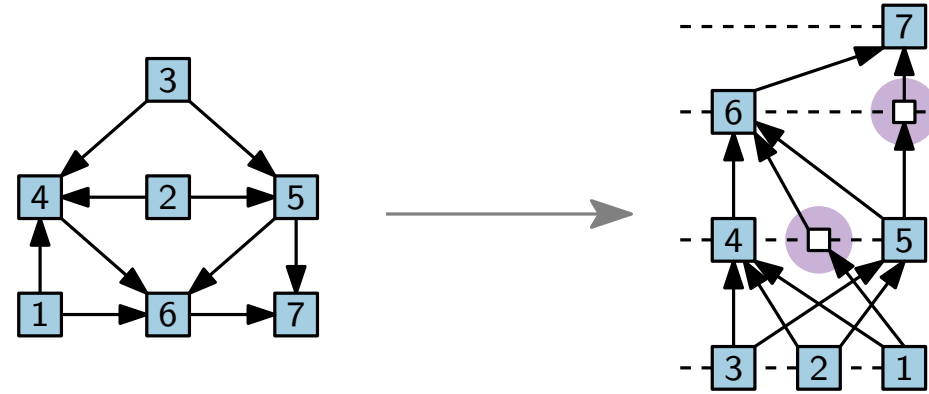
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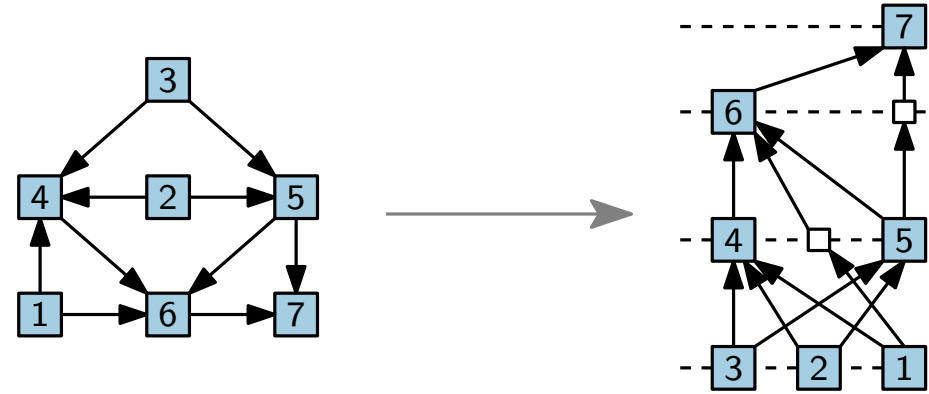
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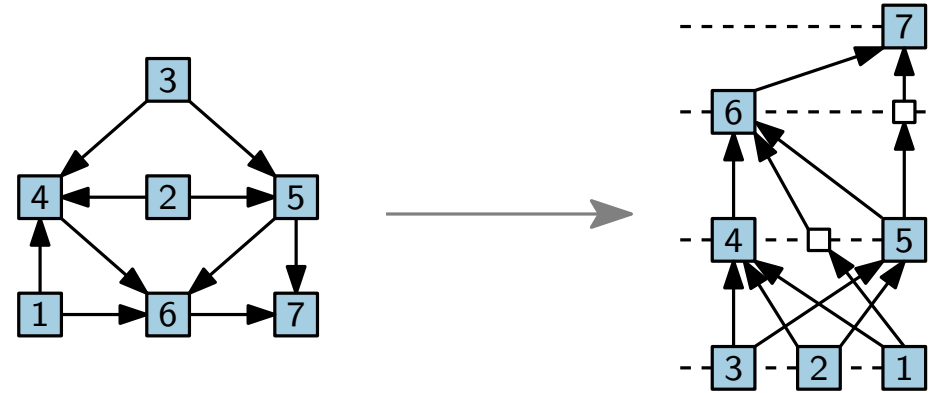
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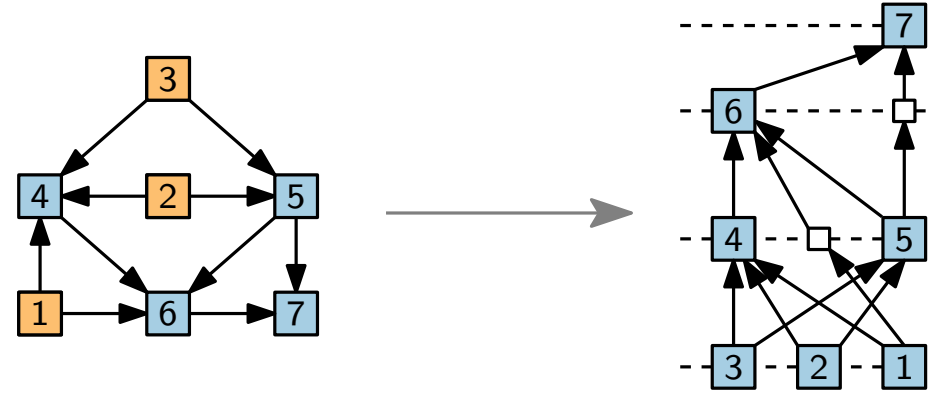
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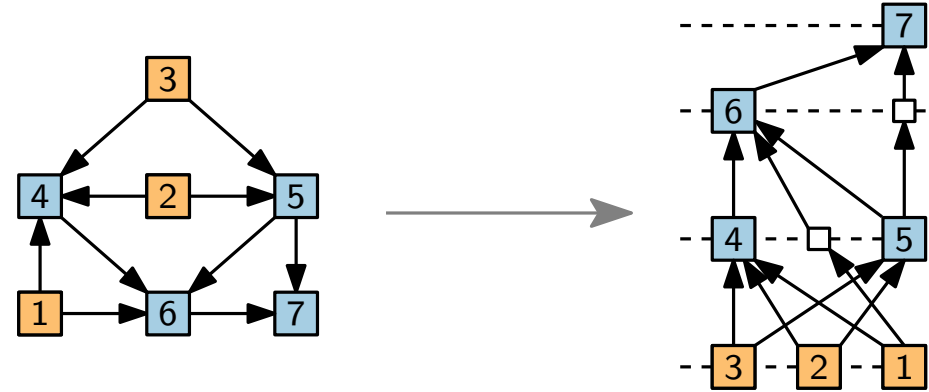
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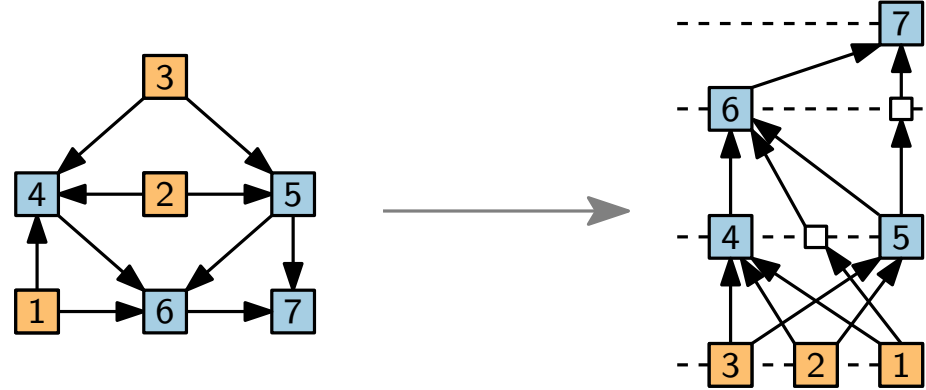
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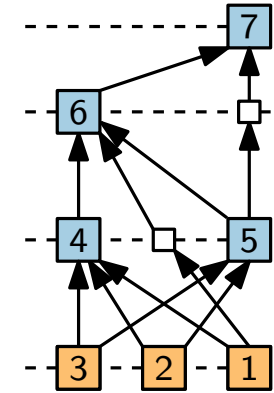
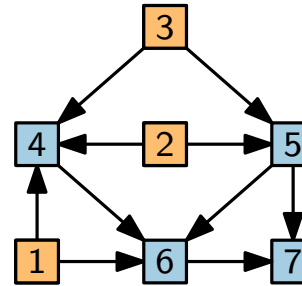
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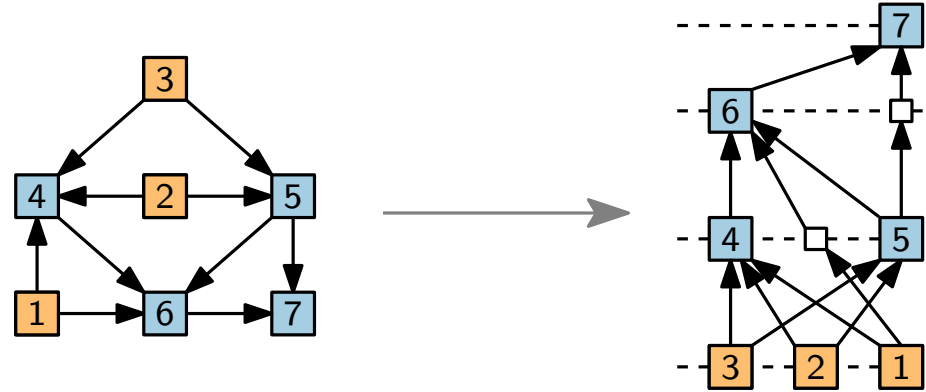
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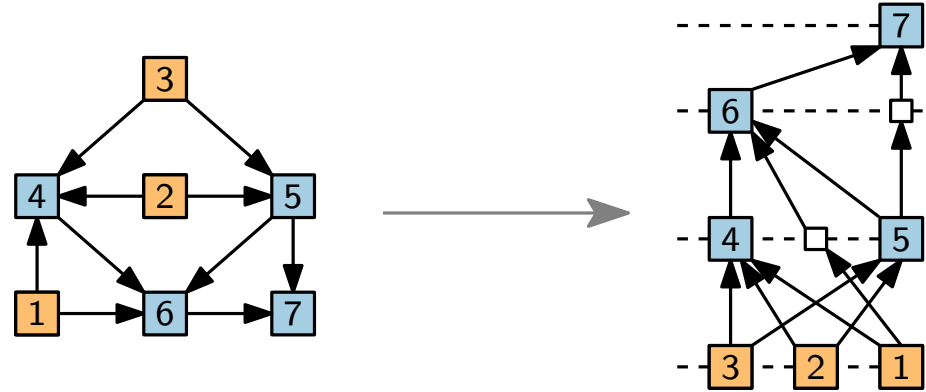
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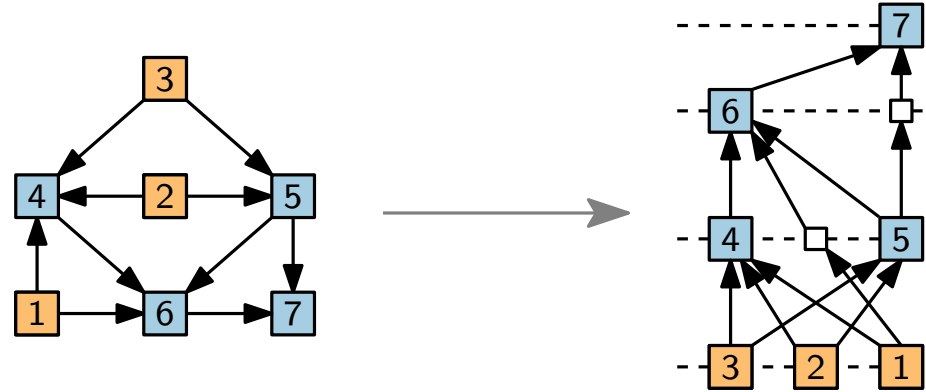
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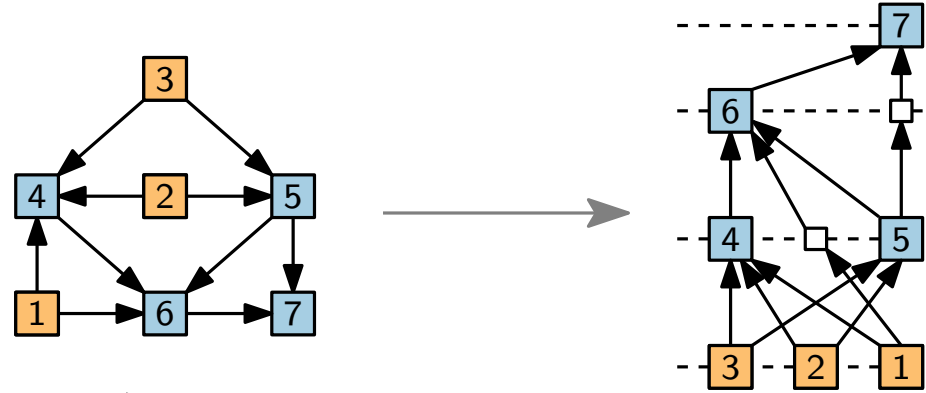
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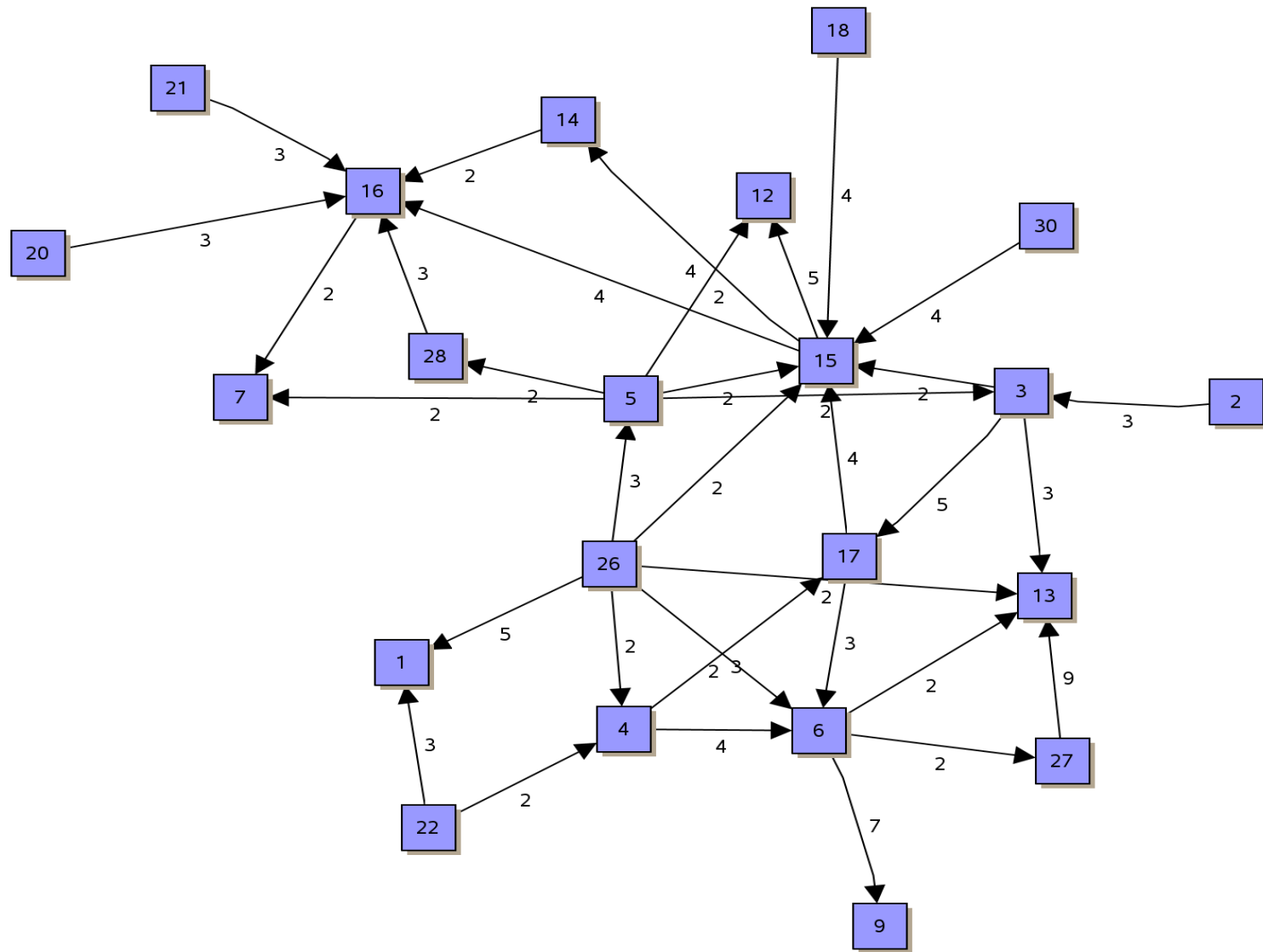
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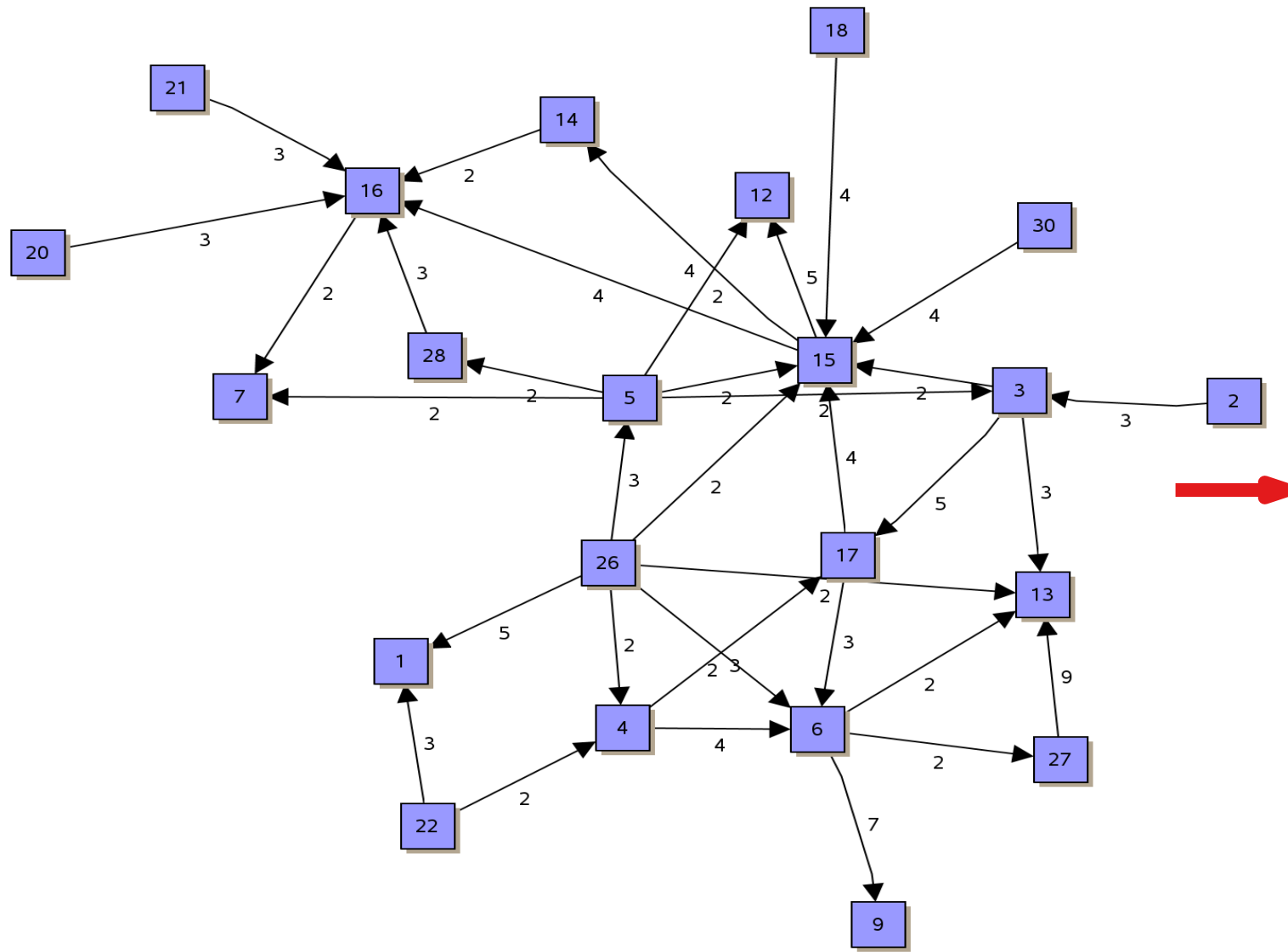
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- Can be implemented in linear time, for example, using a recursive algorithm.
- Closely related to topological sorting.

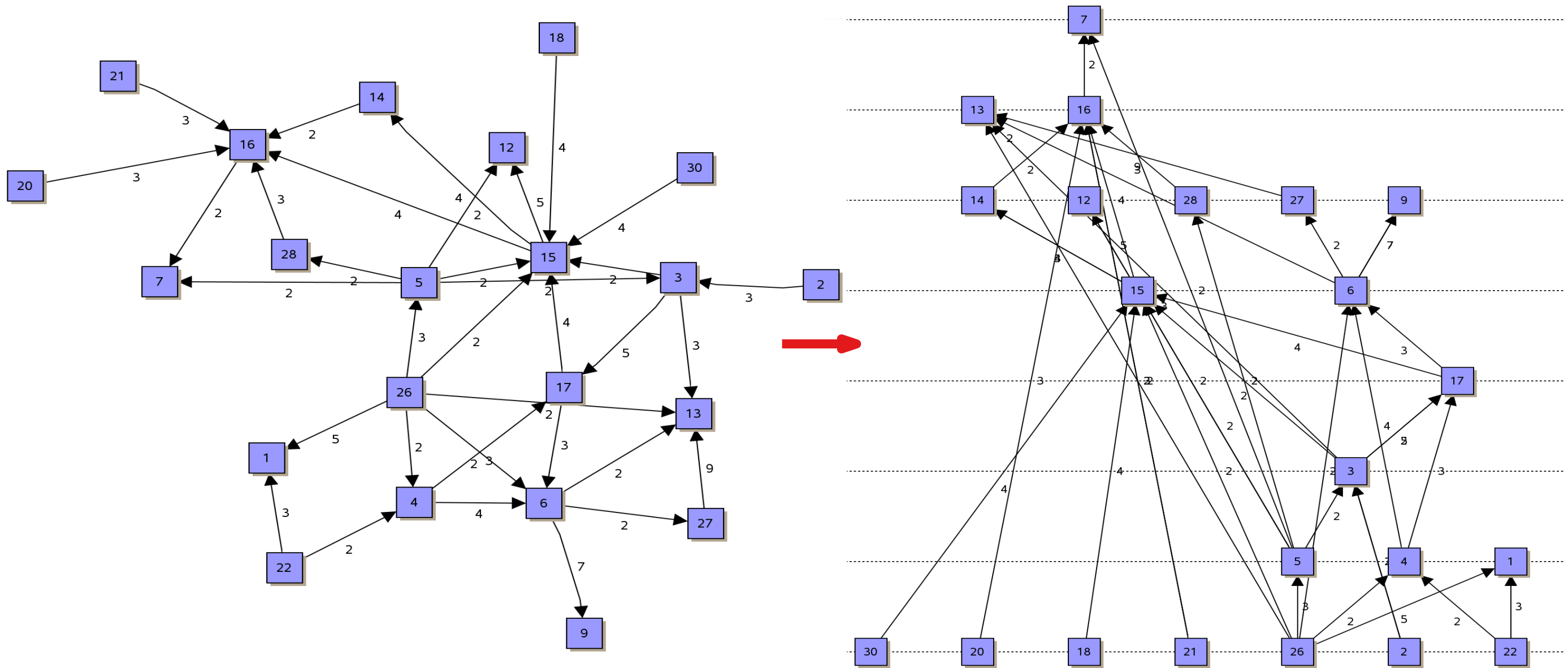
Example



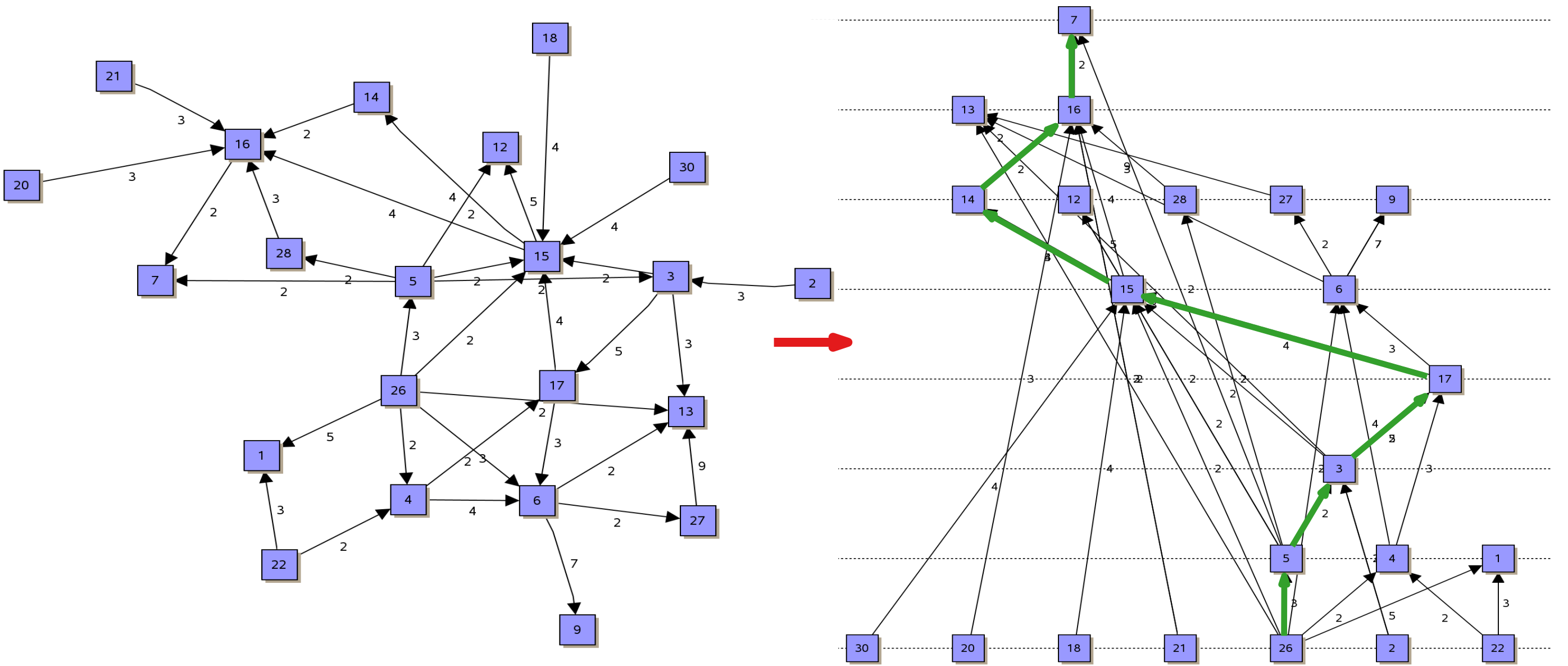
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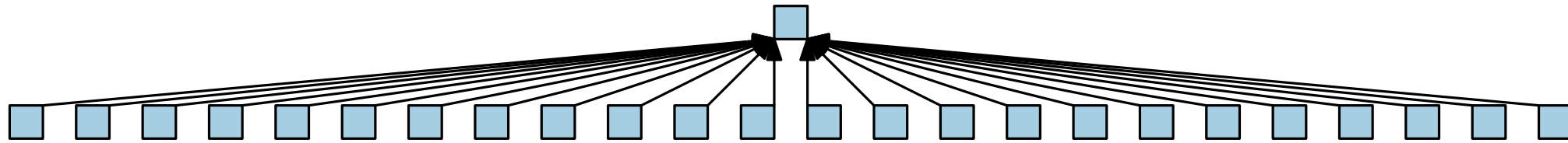
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- The total edge length can be minimized in polynomial time.

Width



Drawings can be very wide.

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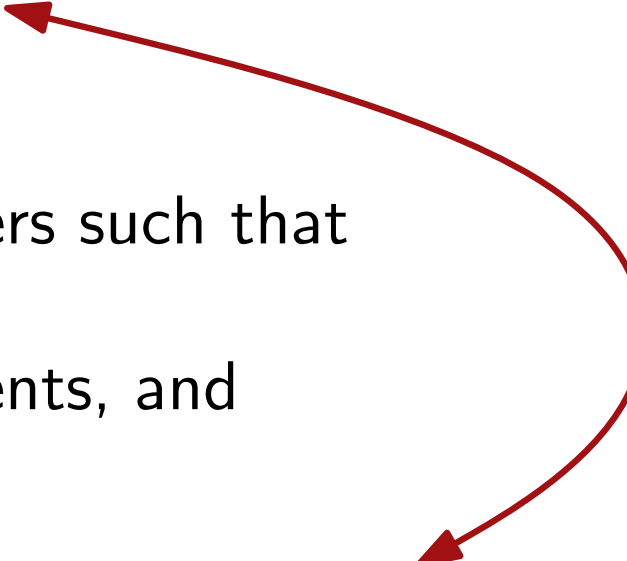
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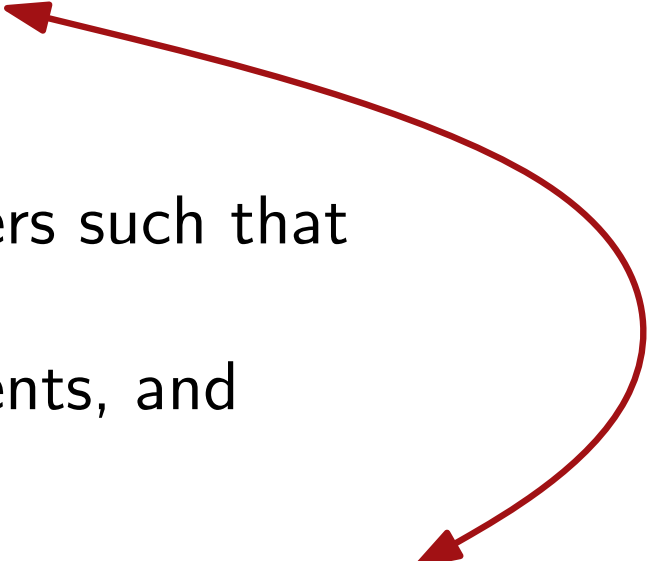
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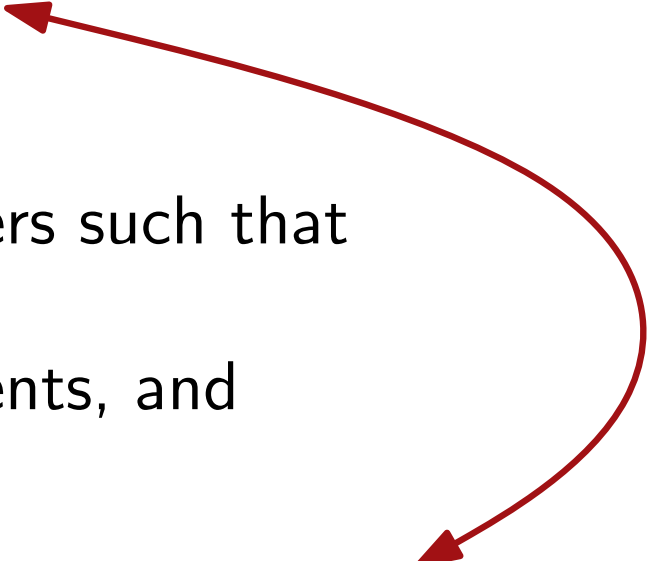
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same!



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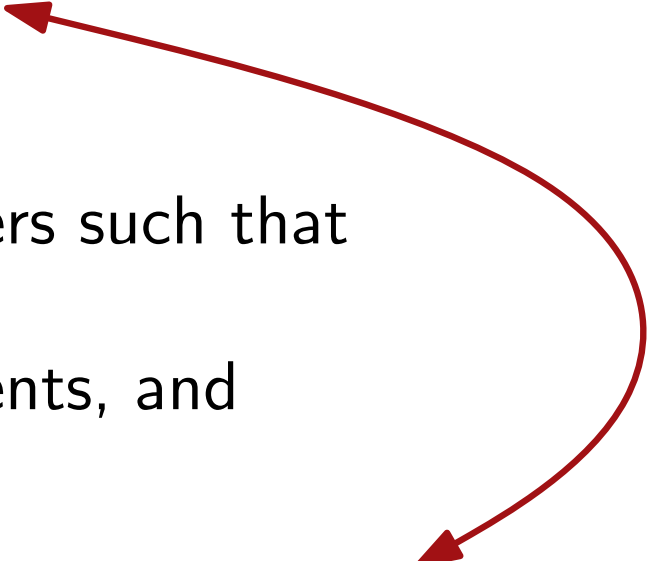
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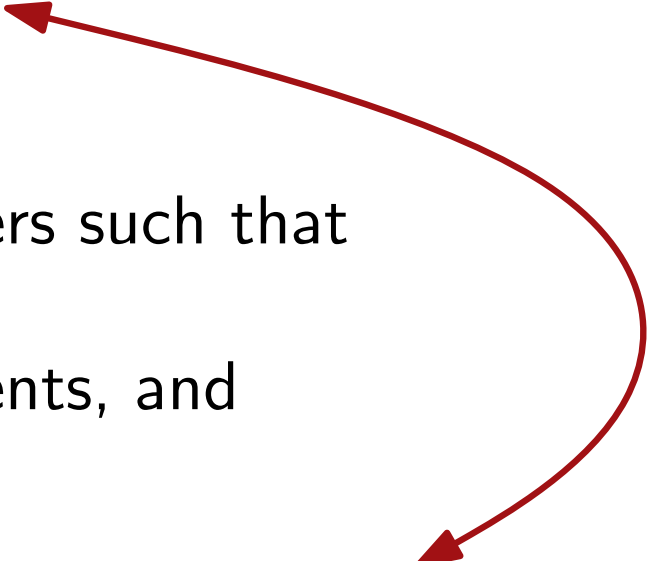
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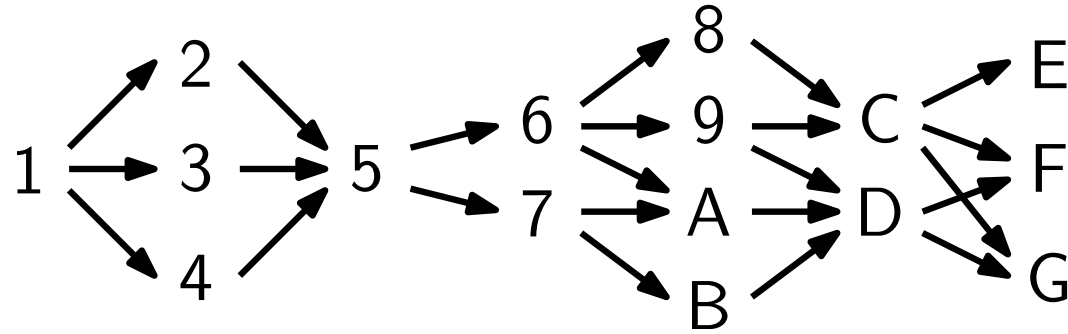
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- For each point in time $t = 1, 2, \dots$, we can schedule $\leq W$ available jobs.
- As long as there are free machines and available jobs, take the first available job and assign it to a free machine.

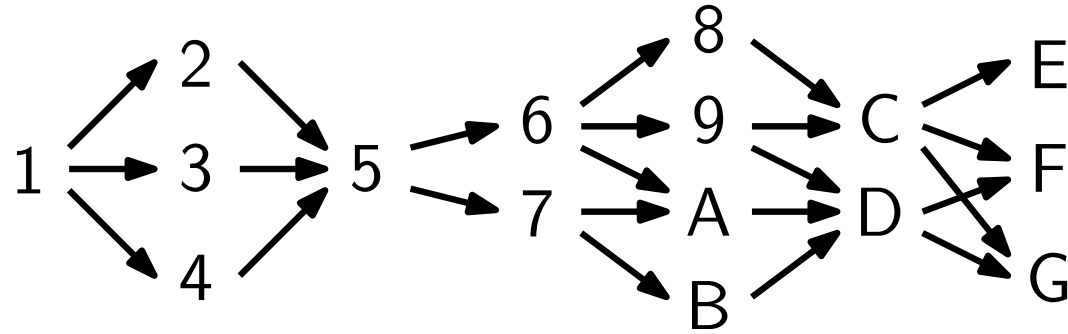
Approximating Precedence-Const. Multi-Processor Scheduling

Input: Precedence graph (divided into layers of arbitrary width)



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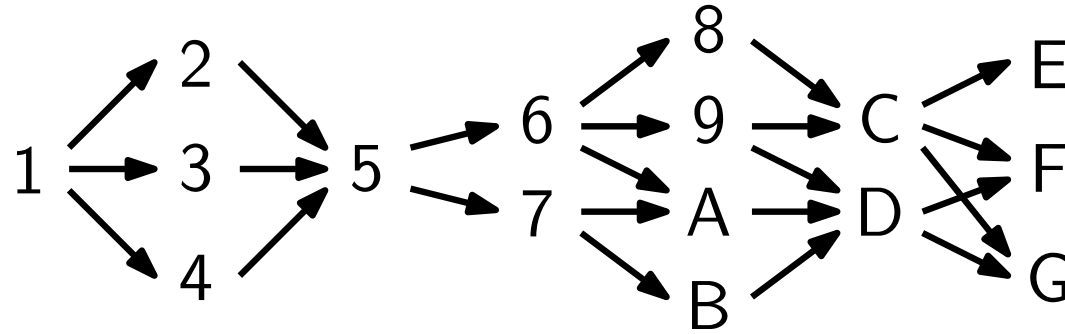
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Number of machines is $W = 2$.

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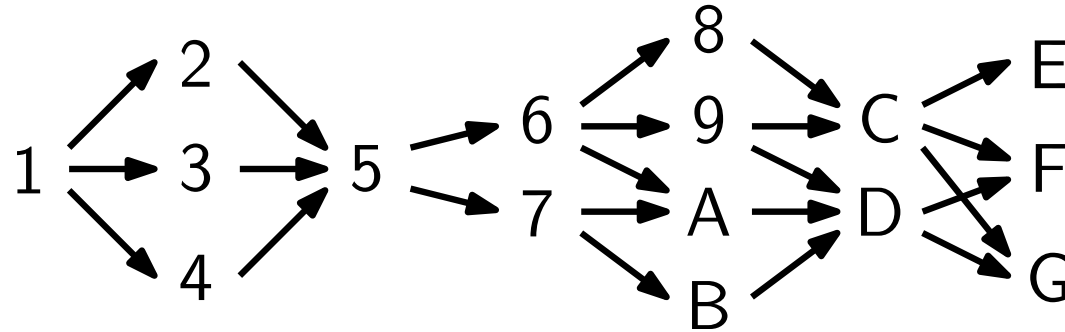


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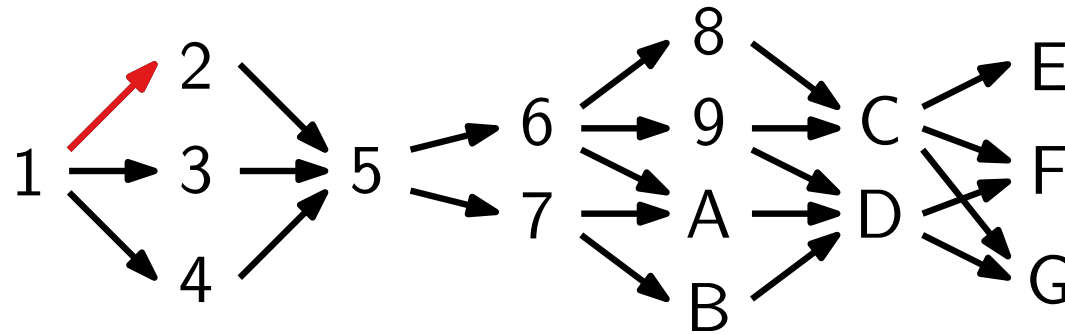
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M_1										
M_2										
t	1	2	3	4	5	6	7	8	9	10

Approximating Precedence-Const. Multi-Processor Scheduling

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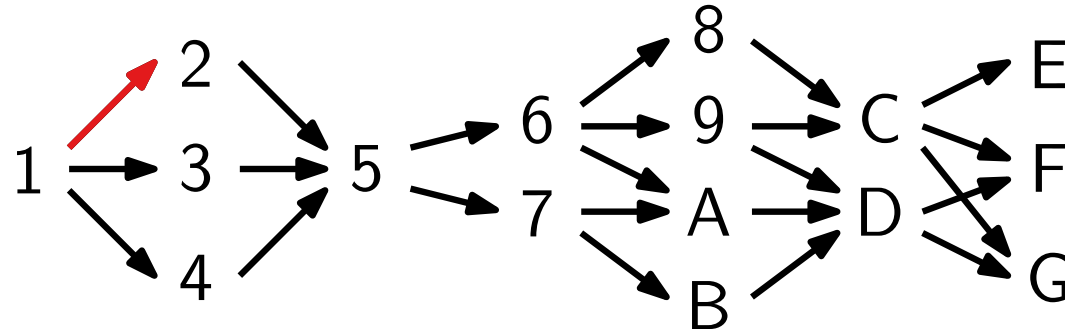
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M_1	1
M_2	—
t	1 2 3 4 5 6 7 8 9 10

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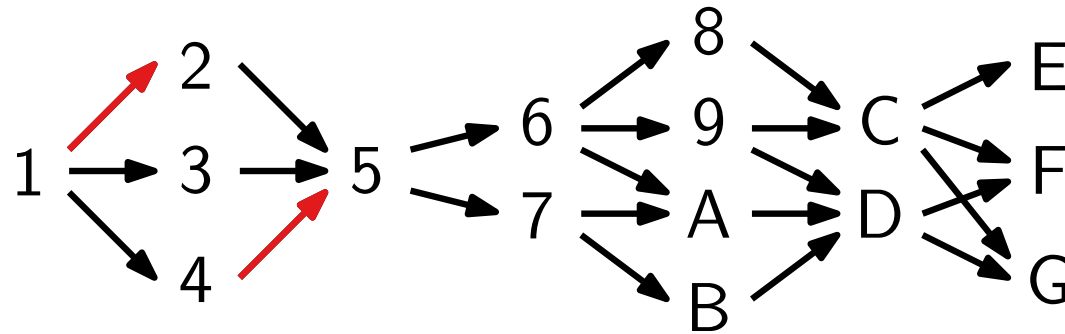
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M_1	1	2								
M_2	–	3								
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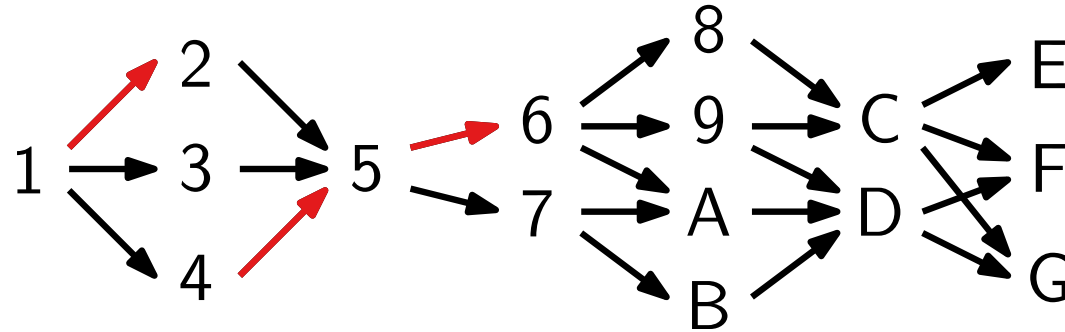
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M_1	1	2	4								
M_2	–	3	–								
t	1	2	3	4	5	6	7	8	9	10	

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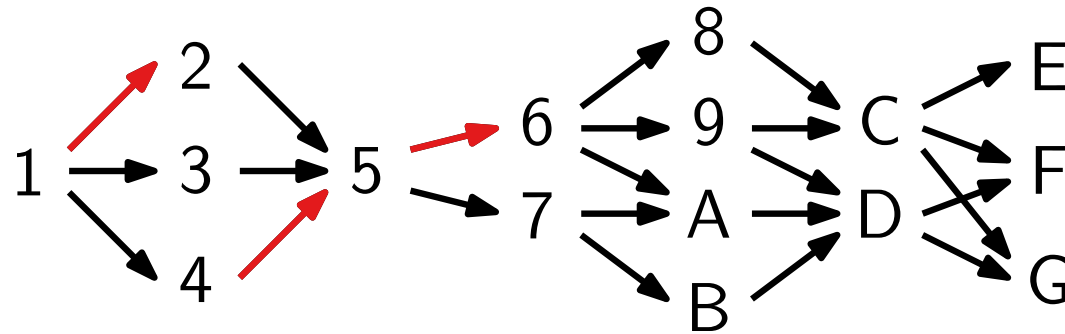
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M_1	1	2	4	5						
M_2	–	3	–	–						
t	1	2	3	4	5	6	7	8	9	10

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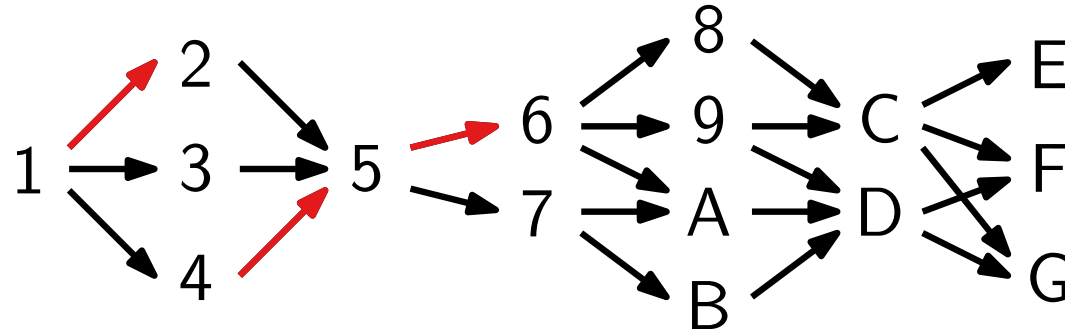
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6						
M_2	–	3	–	–	7						
t	1	2	3	4	5	6	7	8	9	10	

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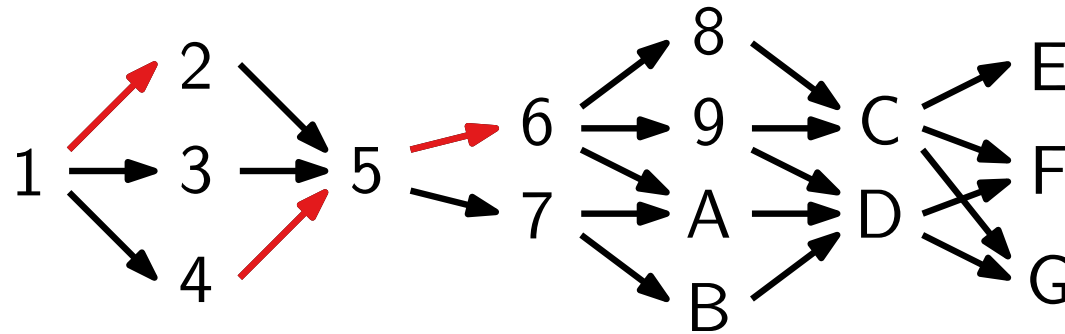
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M_2	–	3	–	–	7	9				
t	1	2	3	4	5	6	7	8	9	10

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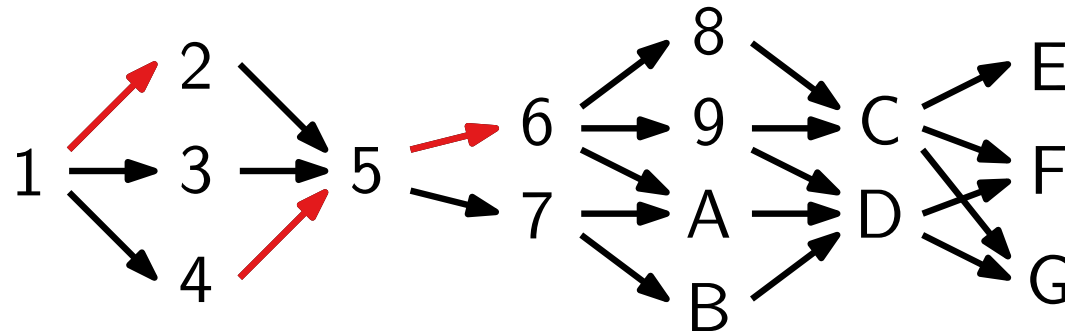
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Output: Schedule

M_1	1	2	4	5	6	8	A				
M_2	–	3	–	–	7	9	B				
t	1	2	3	4	5	6	7	8	9	10	

Approximating Precedence-Const. Multi-Processor Scheduling

Input: Precedence graph (divided into layers of arbitrary width)



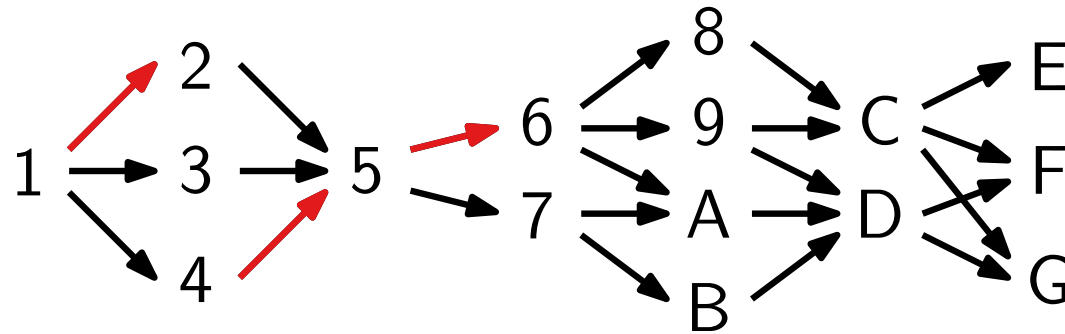
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8	A	C		
M_2	–	3	–	–	7	9	B	D		
t	1	2	3	4	5	6	7	8	9	10

Approximating Precedence-Const. Multi-Processor Scheduling

Input: Precedence graph (divided into layers of arbitrary width)



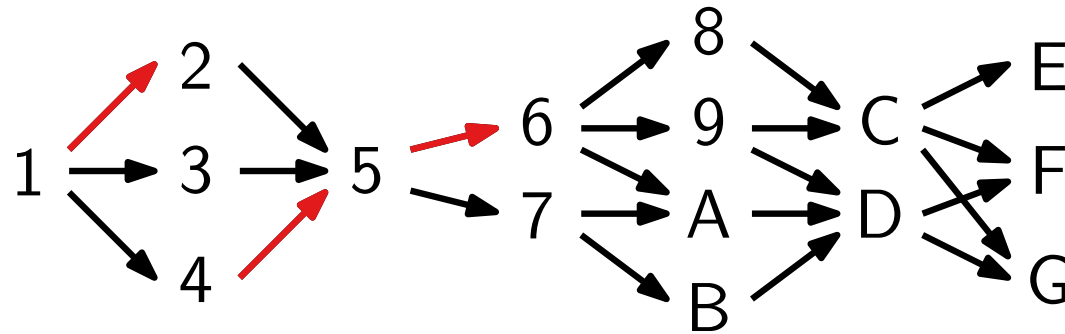
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8	A	C	E	
M_2	–	3	–	–	7	9	B	D	F	
t	1	2	3	4	5	6	7	8	9	10

Approximating Precedence-Const. Multi-Processor Scheduling

Input: Precedence graph (divided into layers of arbitrary width)



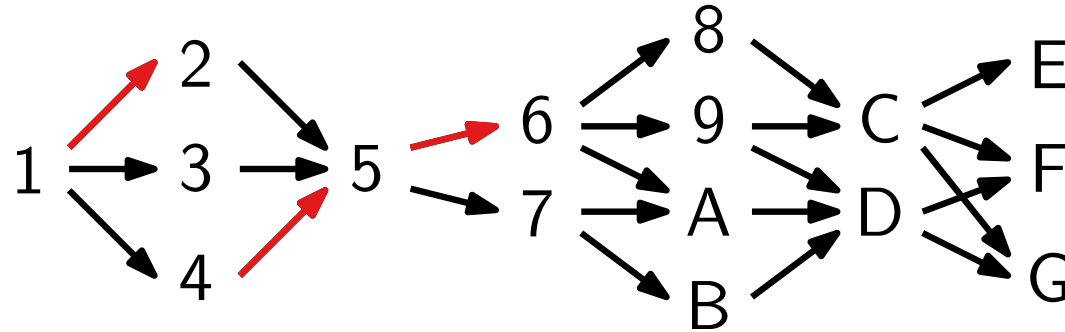
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

Approximating Precedence-Const. Multi-Processor Scheduling

Input: Precedence graph (divided into layers of arbitrary width)



Number of machines is $W = 2$.

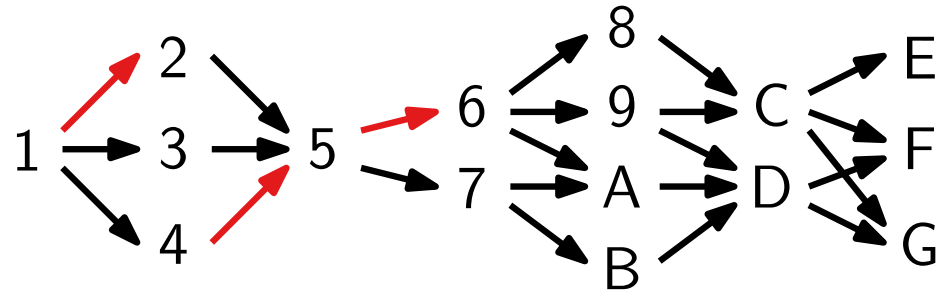
Output: Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

Question: Good approximation factor?

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



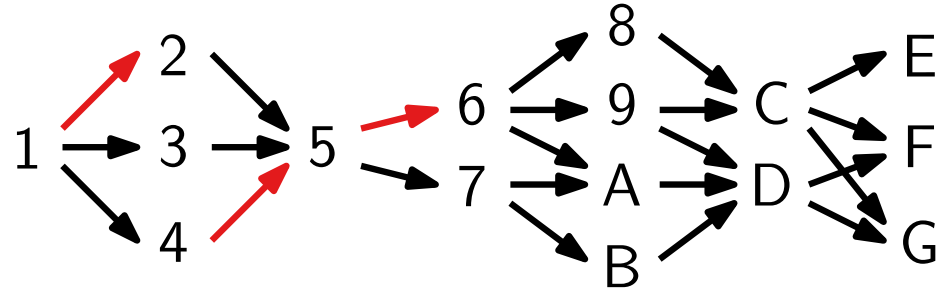
Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

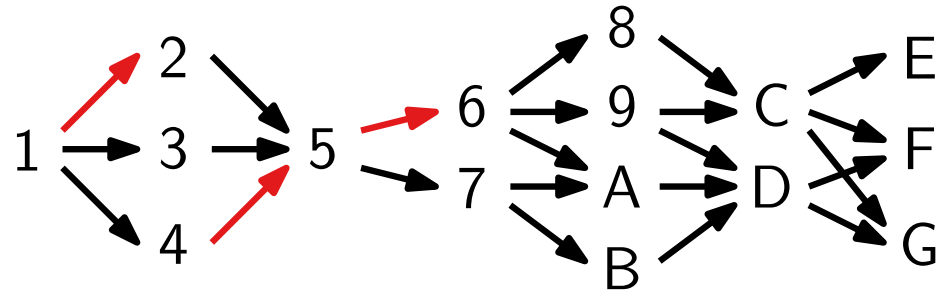
M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$\text{OPT} \geq$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

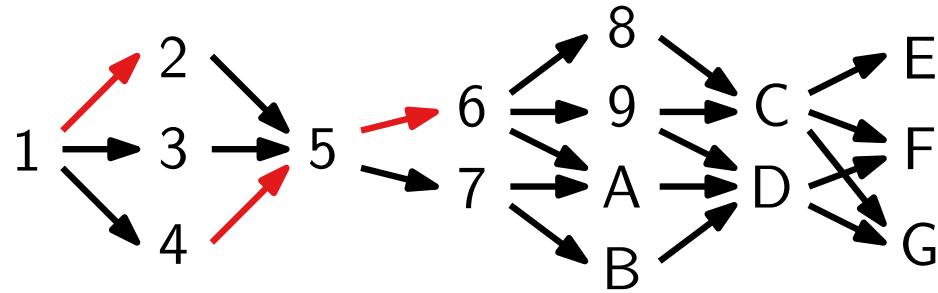
M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$$\text{OPT} \geq \lceil n/2 \rceil$$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

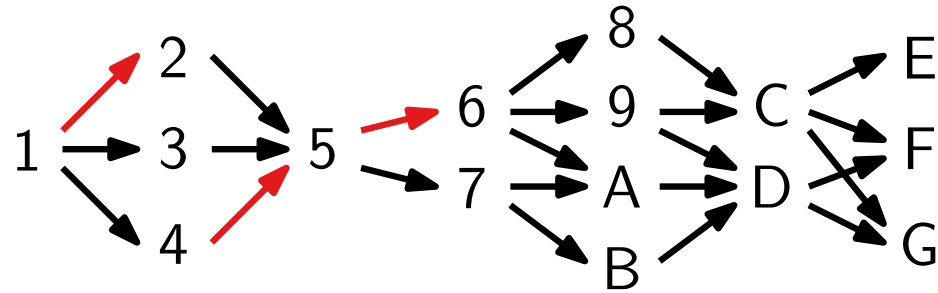
M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$$\text{OPT} \geq \lceil n/2 \rceil \quad \text{and} \quad \text{OPT} \geq$$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

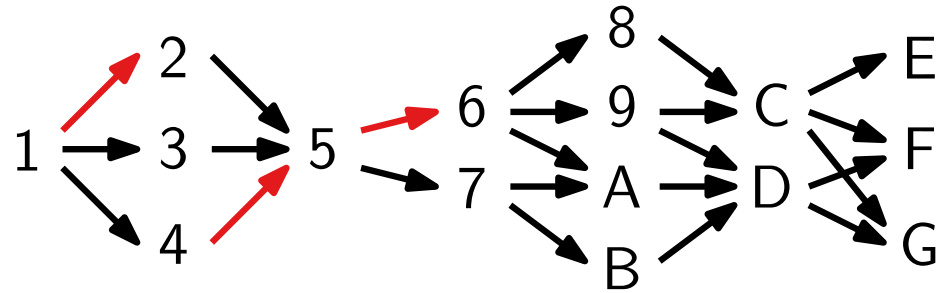
M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

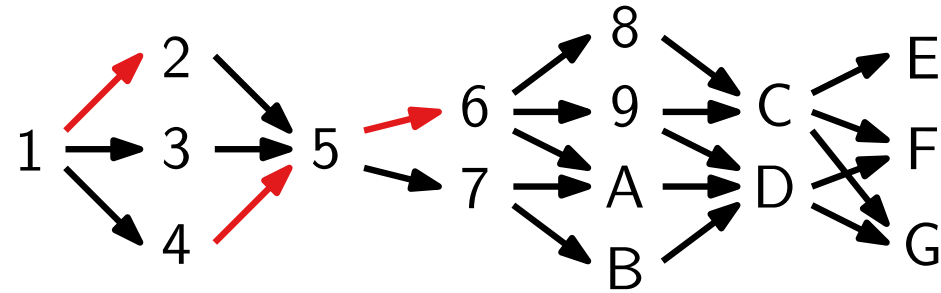
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Goal: measure the quality of our algorithm using the lower bounds

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	–	3	–	–	7	9	B	D	F	–
t	1	2	3	4	5	6	7	8	9	10

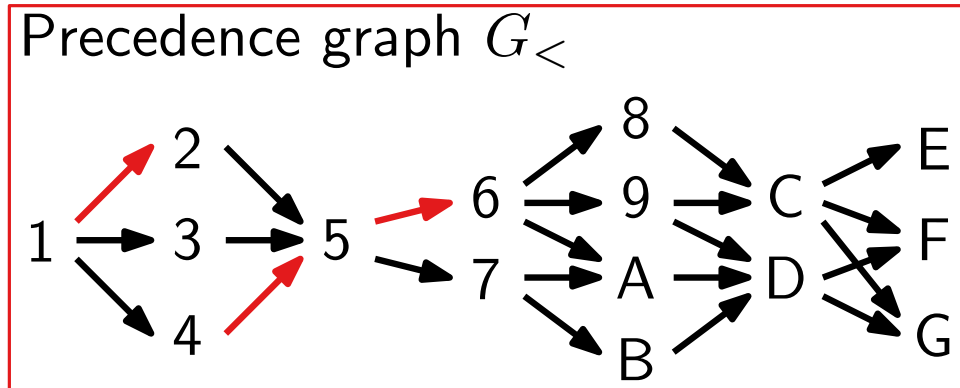
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Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq$

Approximating PCMPS – Analysis for $W = 2$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

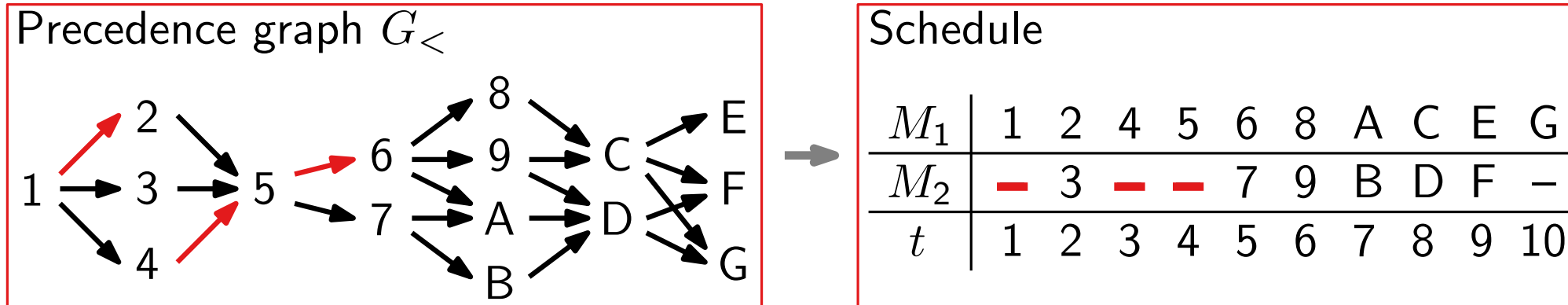
„The art of the lower bound“

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Approximating PCMPS – Analysis for $W = 2$



„The art of the lower bound“

$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

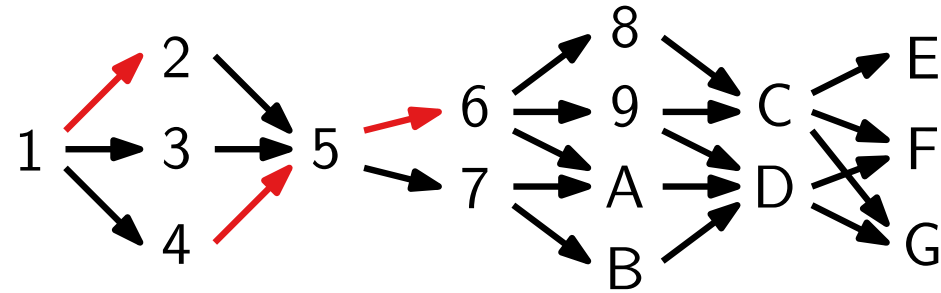
Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq$

↑ insertion of pauses (—) in the schedule
(except the last) maps to layers of $G_{<}$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

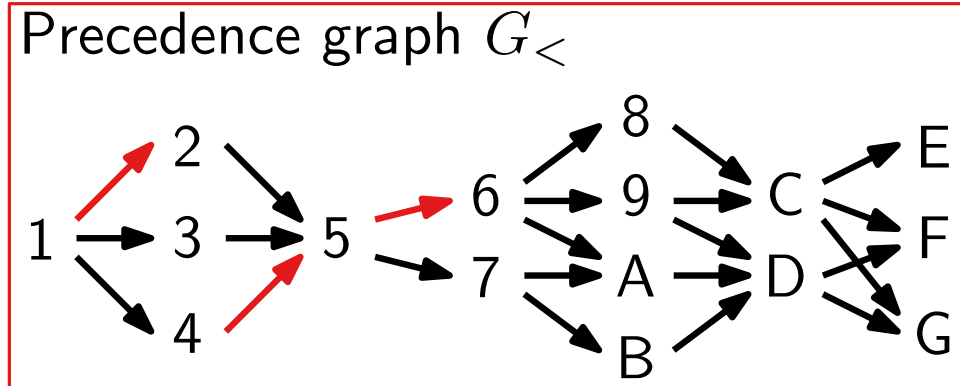
$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \lceil \frac{n+\ell}{2} \rceil$

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(except the last) maps to layers of $G_{<}$

Approximating PCMPS – Analysis for $W = 2$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

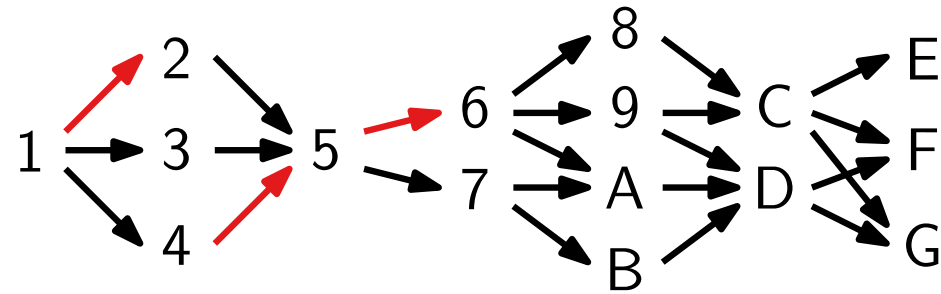
Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \left\lceil \frac{n+\ell}{2} \right\rceil \approx$

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(except the last) maps to layers of $G_{<}$

Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

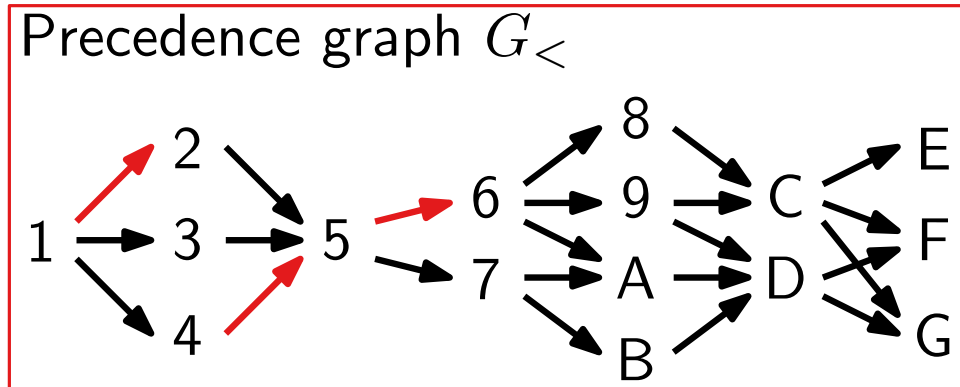
$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \left\lceil \frac{n+\ell}{2} \right\rceil \approx \lceil n/2 \rceil + \ell/2$

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Approximating PCMPS – Analysis for $W = 2$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

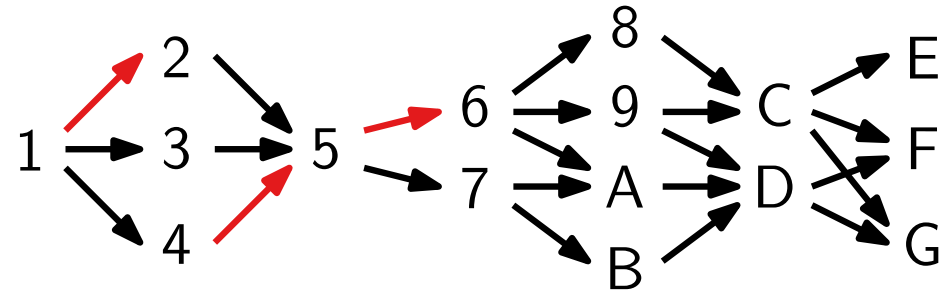
Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \left\lceil \frac{n+\ell}{2} \right\rceil \approx \lceil n/2 \rceil + \ell/2 \leq$

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Approximating PCMPS – Analysis for $W = 2$

Precedence graph $G_{<}$



Schedule

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M_2	—	3	—	—	7	9	B	D	F	—
t	1	2	3	4	5	6	7	8	9	10

„The art of the lower bound“

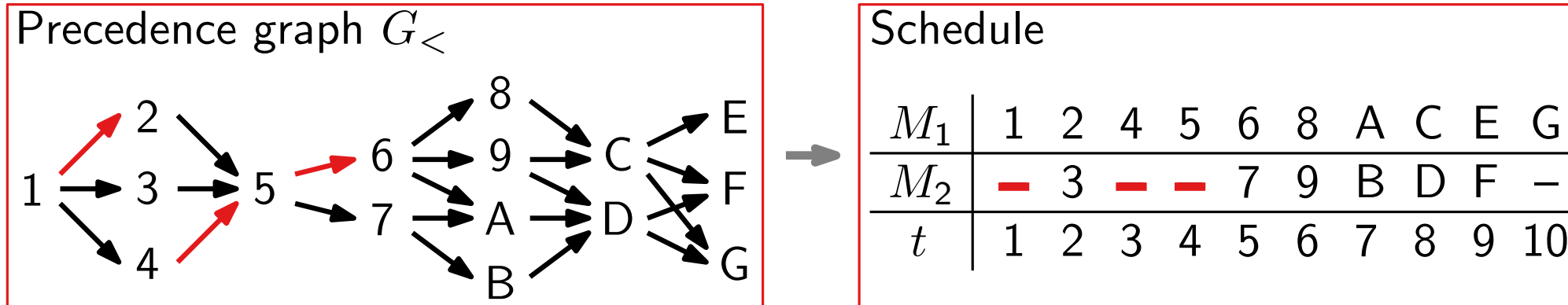
$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \left\lceil \frac{n+\ell}{2} \right\rceil \approx \lceil n/2 \rceil + \ell/2 \leq 3/2 \cdot \text{OPT}$

↑ insertion of pauses (—) in the schedule
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Approximating PCMPS – Analysis for $W = 2$



„The art of the lower bound“

$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_{<} (= \text{length of longest path in } G_{<})$

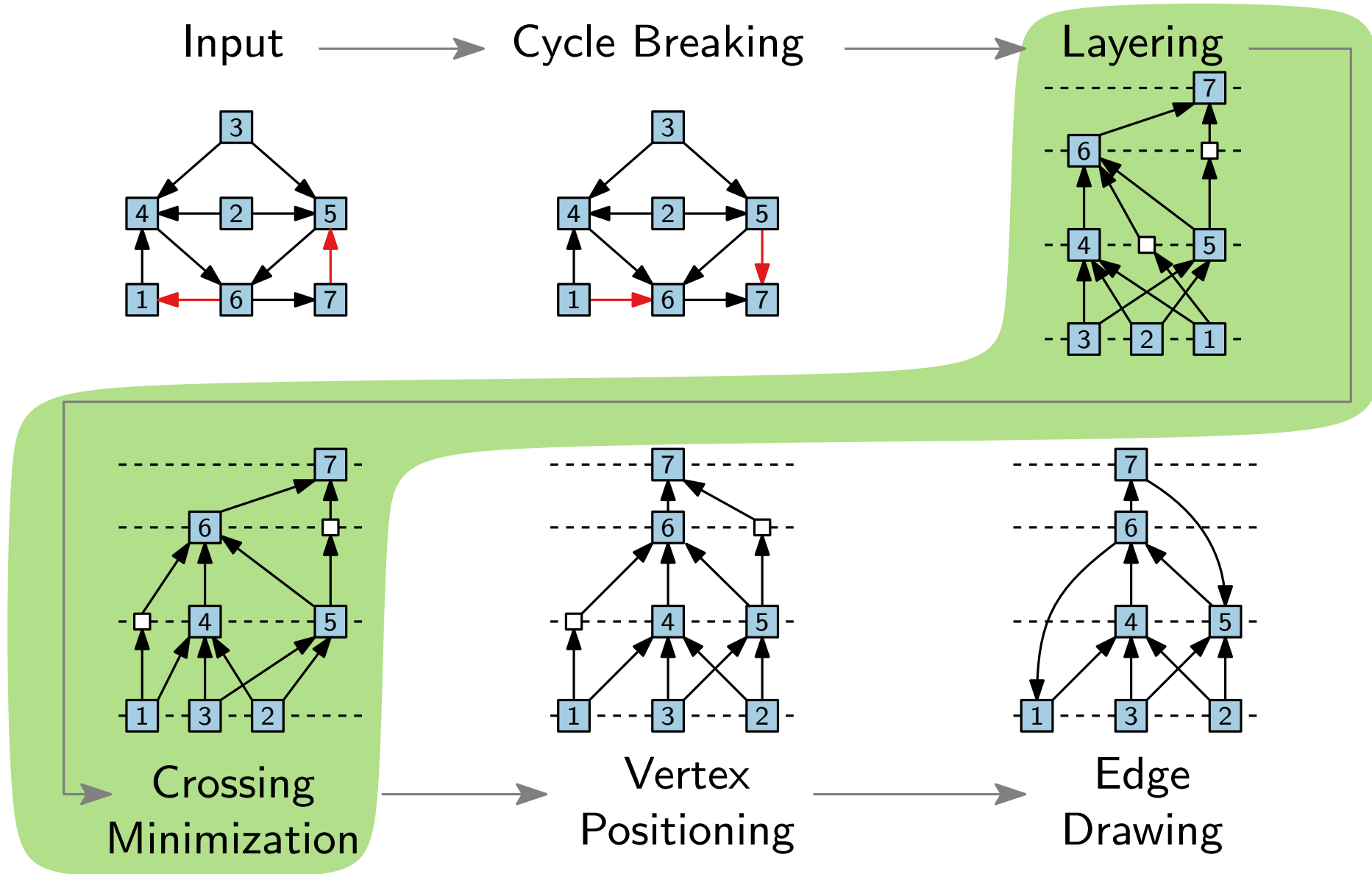
Goal: measure the quality of our algorithm using the lower bounds

$\leq (2 - 1/W) \cdot \text{OPT}$ in general case

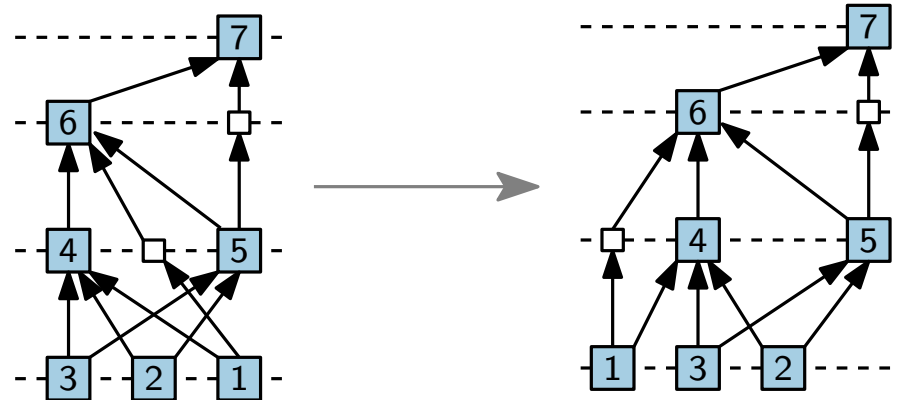
Bound. $\text{ALG} \leq \lceil \frac{n+\ell}{2} \rceil \approx \lceil n/2 \rceil + \ell/2 \leq 3/2 \cdot \text{OPT}$

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(except the last) maps to layers of $G_{<}$

Step 3: Crossing Minimization

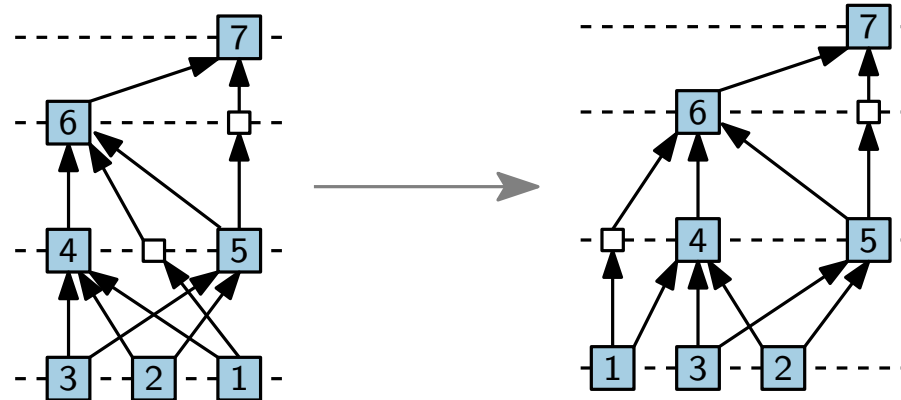


Step 3: Crossing Minimization



Problem.

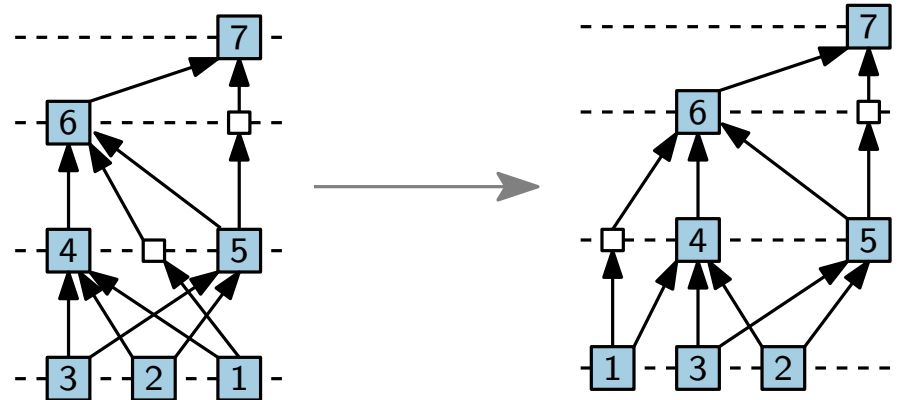
Step 3: Crossing Minimization



Problem.

- Input: Graph G , layering $y: V(G) \rightarrow \{1, \dots, n\}$

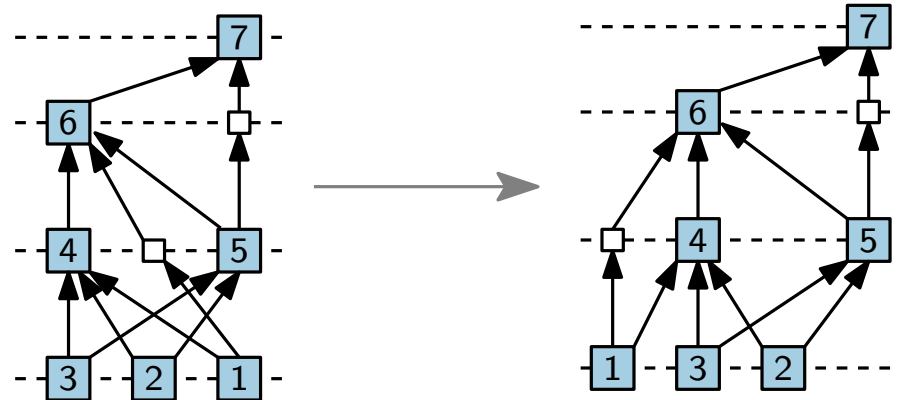
Step 3: Crossing Minimization



Problem.

- Input: Graph G , layering $y: V(G) \rightarrow \{1, \dots, n\}$
- Output: (Re-)ordering of vertices in each layer such that the number of crossings is minimized.

Step 3: Crossing Minimization



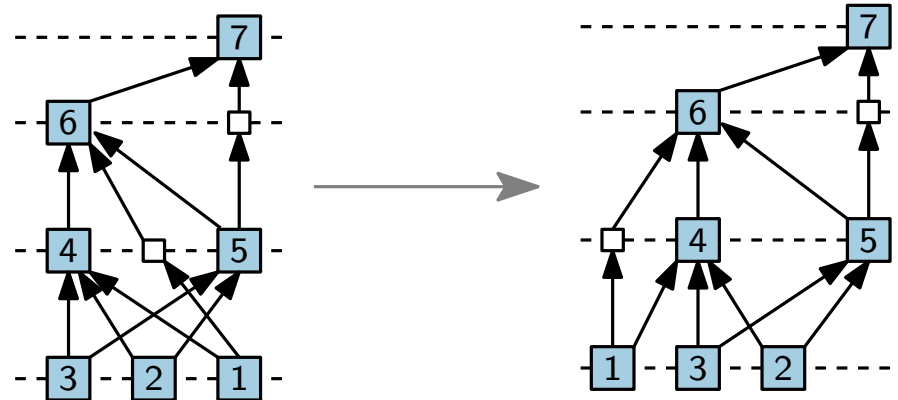
Problem.

- Input: Graph G , layering $y: V(G) \rightarrow \{1, \dots, n\}$
- Output: (Re-)ordering of vertices in each layer such that the number of crossings is minimized.

- NP-hard, even for two layers.

[Garey & Johnson '83]

Step 3: Crossing Minimization

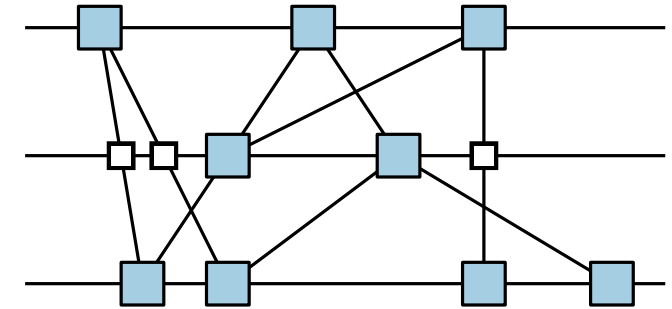


Problem.

- Input: Graph G , layering $y: V(G) \rightarrow \{1, \dots, n\}$
- Output: (Re-)ordering of vertices in each layer such that the number of crossings is minimized.
- NP-hard, even for two layers. [Garey & Johnson '83]
- Hardly any approaches optimize over multiple layers. 😞

Iterative Crossing Reduction

Observation. The number of crossings depends only on permutations of adjacent layers.

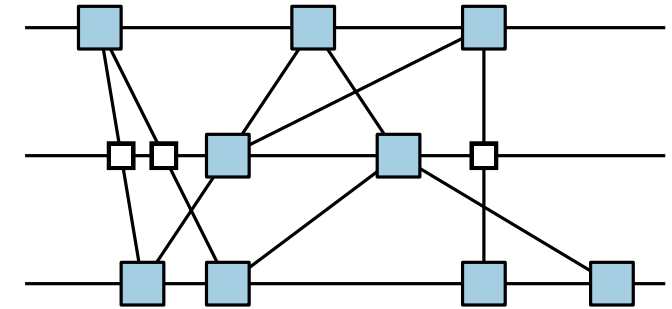


Iterative Crossing Reduction

Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
- Treat dummy vertices as “regular” vertices.



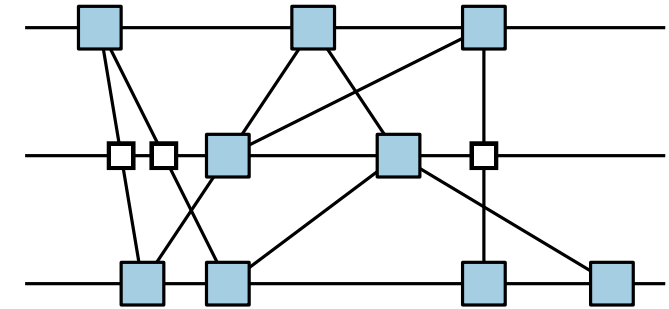
Iterative Crossing Reduction

Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
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Algorithm scheme.



Iterative Crossing Reduction

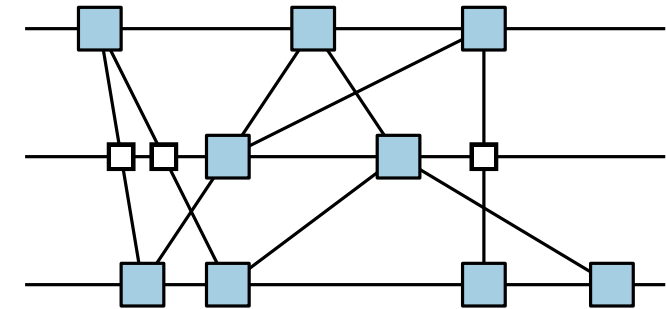
Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
- Treat dummy vertices as “regular” vertices.

Algorithm scheme.

- (1) choose a random permutation of L_1



Iterative Crossing Reduction

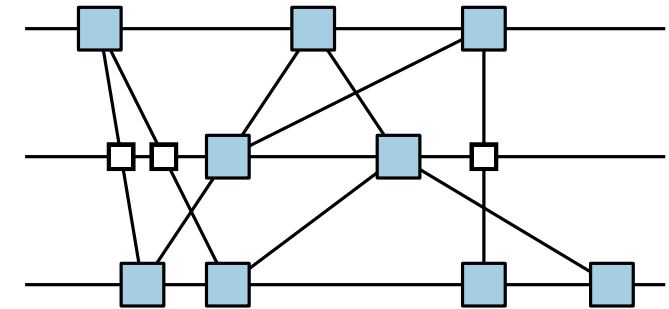
Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
- Treat dummy vertices as “regular” vertices.

Algorithm scheme.

- (1) choose a random permutation of L_1
- (2) iteratively consider pairs of adjacent layers (L_i, L_{i+1})



Iterative Crossing Reduction

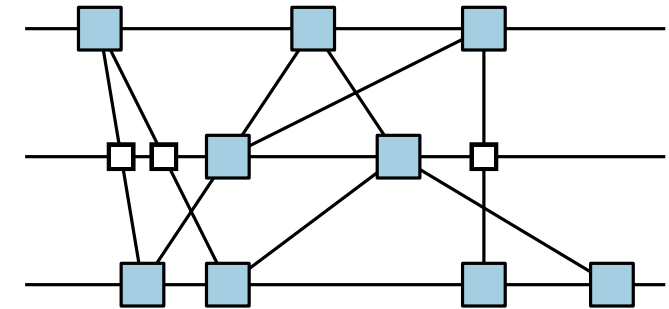
Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
- Treat dummy vertices as “regular” vertices.

Algorithm scheme.

- (1) choose a random permutation of L_1
- (2) iteratively consider pairs of adjacent layers (L_i, L_{i+1})
- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed



Iterative Crossing Reduction

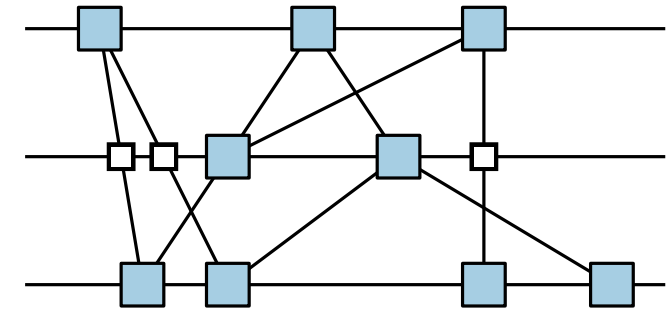
Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

- Permute one layer after the other.
- Treat dummy vertices as “regular” vertices.

Algorithm scheme.

- (1) choose a random permutation of L_1
- (2) iteratively consider pairs of adjacent layers (L_i, L_{i+1})
- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed
- (4) repeat steps (2)–(3) in the reverse order (starting from topmost layer L_h)



Iterative Crossing Reduction

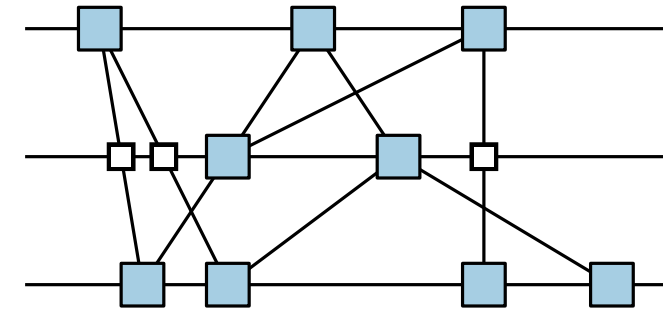
Observation. The number of crossings depends only on permutations of adjacent layers.

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Algorithm scheme.

- (1) choose a random permutation of L_1
- (2) iteratively consider pairs of adjacent layers (L_i, L_{i+1})
- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed
- (4) repeat steps (2)–(3) in the reverse order (starting from topmost layer L_h)
- (5) repeat steps (2)–(4) until no further improvement is achieved



Iterative Crossing Reduction

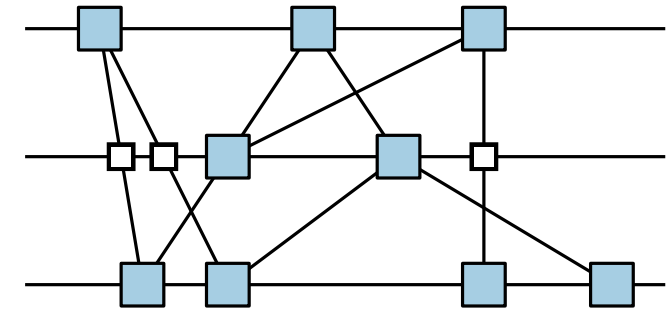
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- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed
- (4) repeat steps (2)–(3) in the reverse order (starting from topmost layer L_h)
- (5) repeat steps (2)–(4) until no further improvement is achieved
- (6) repeat steps (1)–(5) with different starting permutations on L_1



Iterative Crossing Reduction

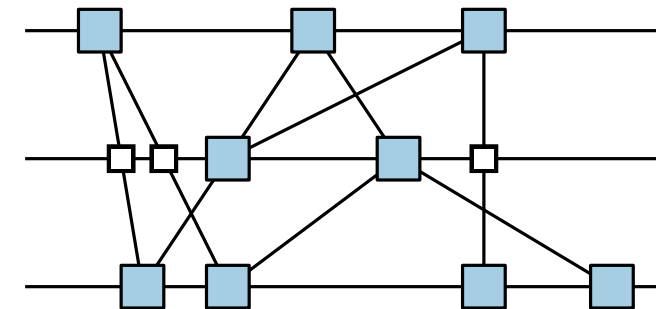
Observation. The number of crossings depends only on permutations of adjacent layers.

Idea.

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Algorithm scheme.

- (1) choose a random permutation of L_1
- (2) iteratively consider pairs of adjacent layers (L_i, L_{i+1})
- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed
- (4) repeat steps (2)–(3) in the reverse order (starting from topmost layer L_h)
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Iterative Crossing Reduction

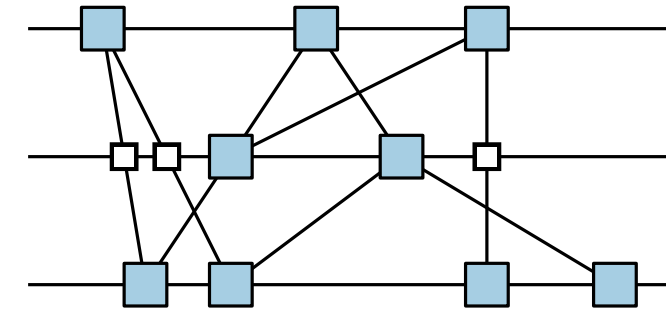
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Algorithm scheme.

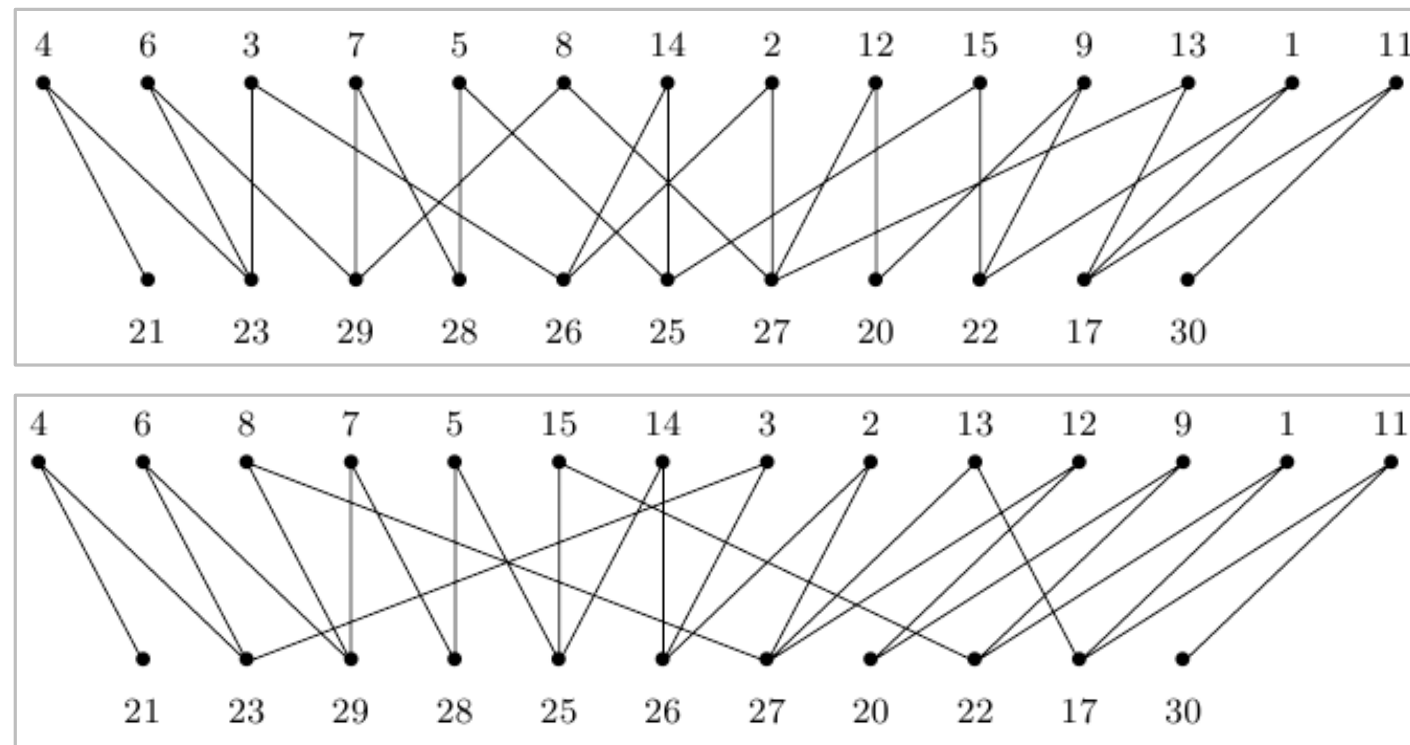
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- (3) minimize crossings by permuting L_{i+1} while keeping L_i fixed
- (4) repeat steps (2)–(3) in the reverse order (starting from topmost layer L_h)
- (5) repeat steps (2)–(4) until no further improvement is achieved
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one-sided crossing minimization

One-Sided Crossing Minimization

Problem.

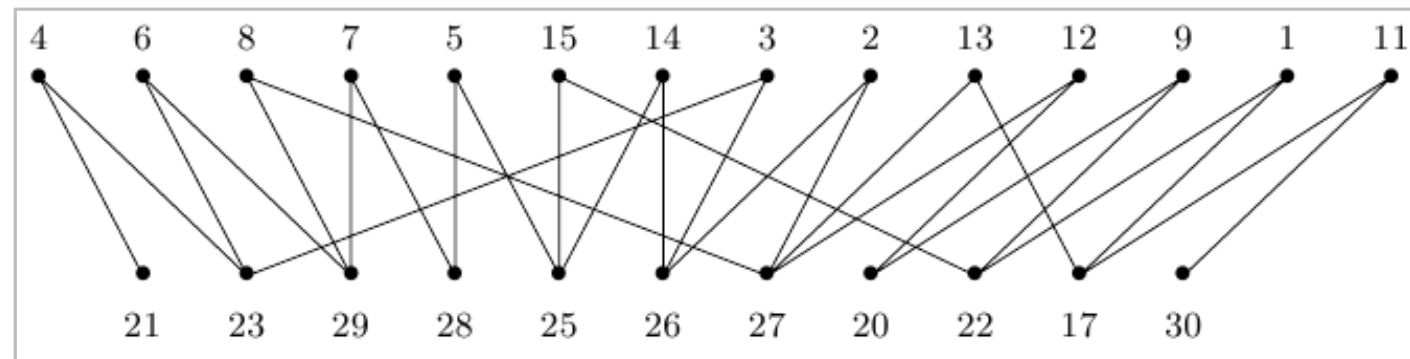
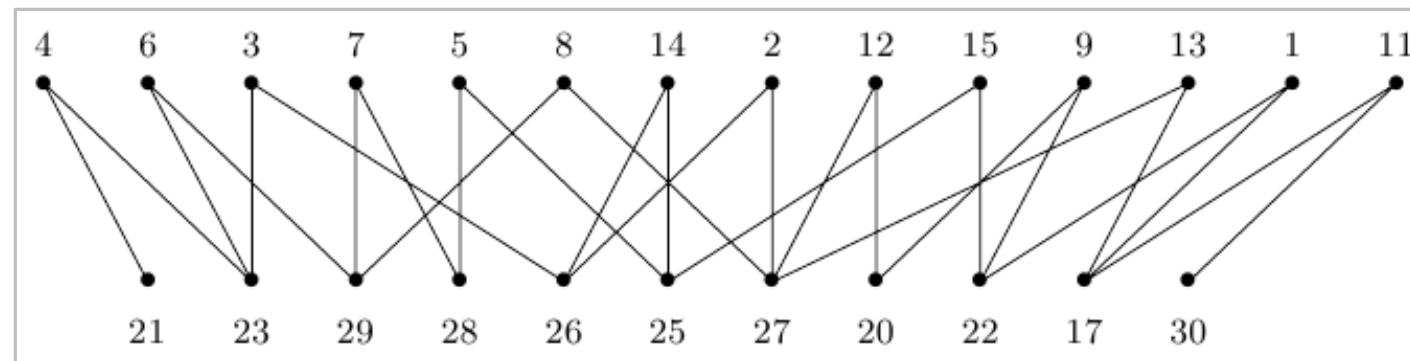


[Kaufmann & Wagner: Drawing Graphs]

One-Sided Crossing Minimization

Problem.

- Input:
 - bipartite graph G with $V(G) = L_1 \cup L_2$,
 - permutation π_1 on L_1

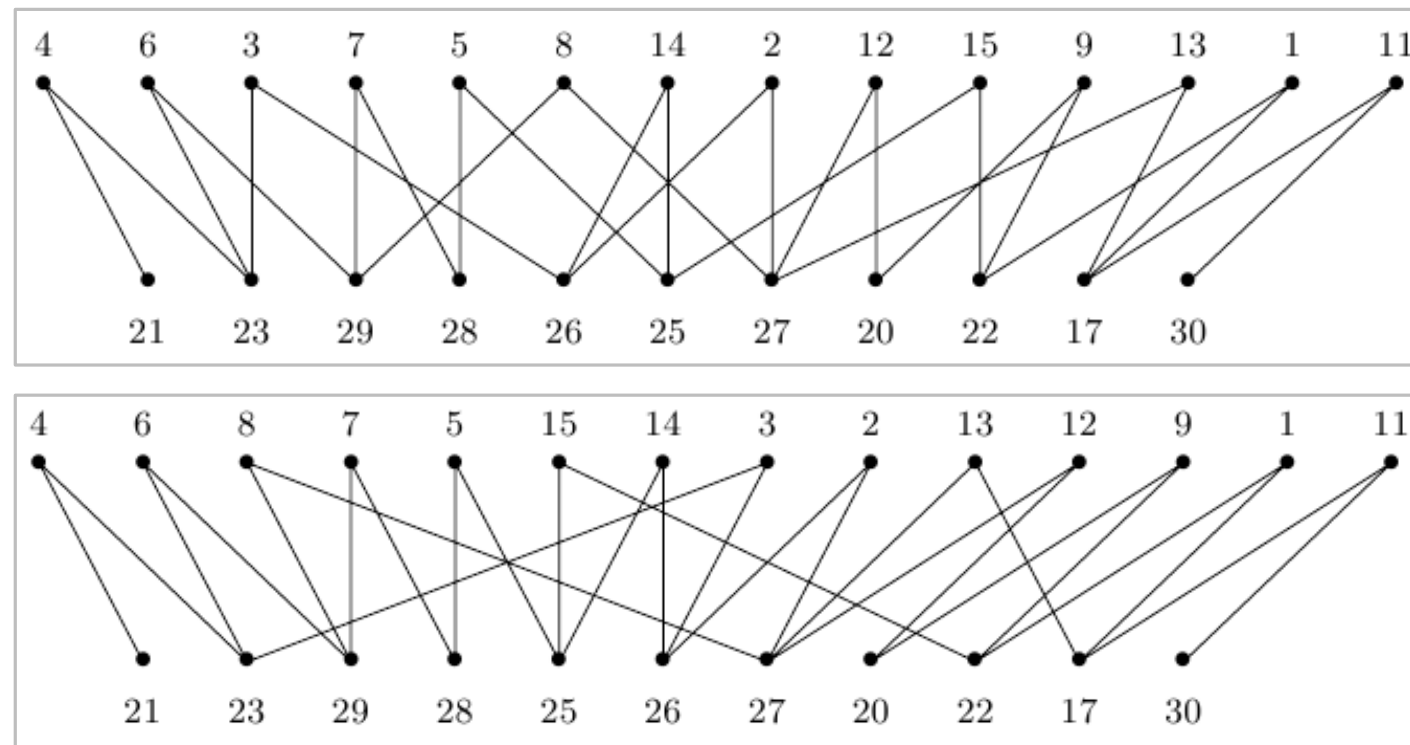


[Kaufmann & Wagner: Drawing Graphs]

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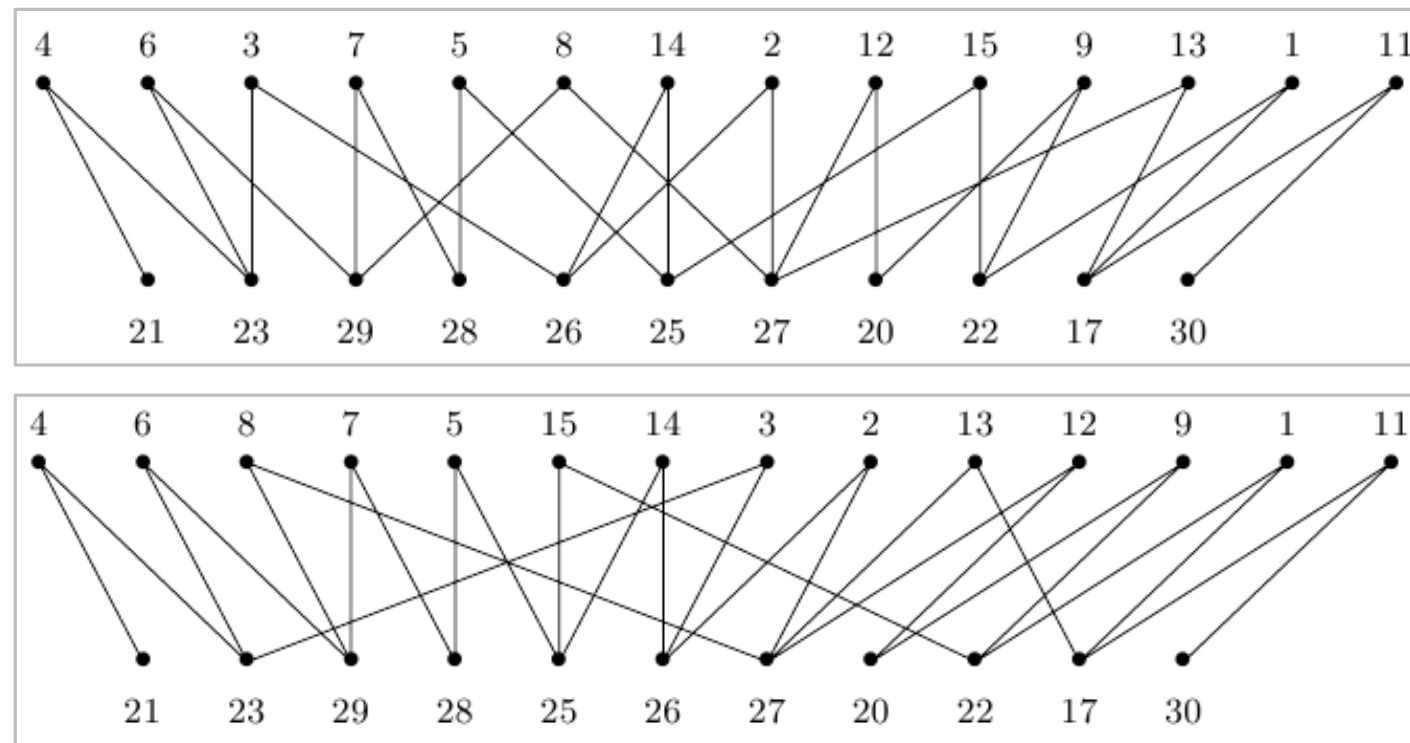
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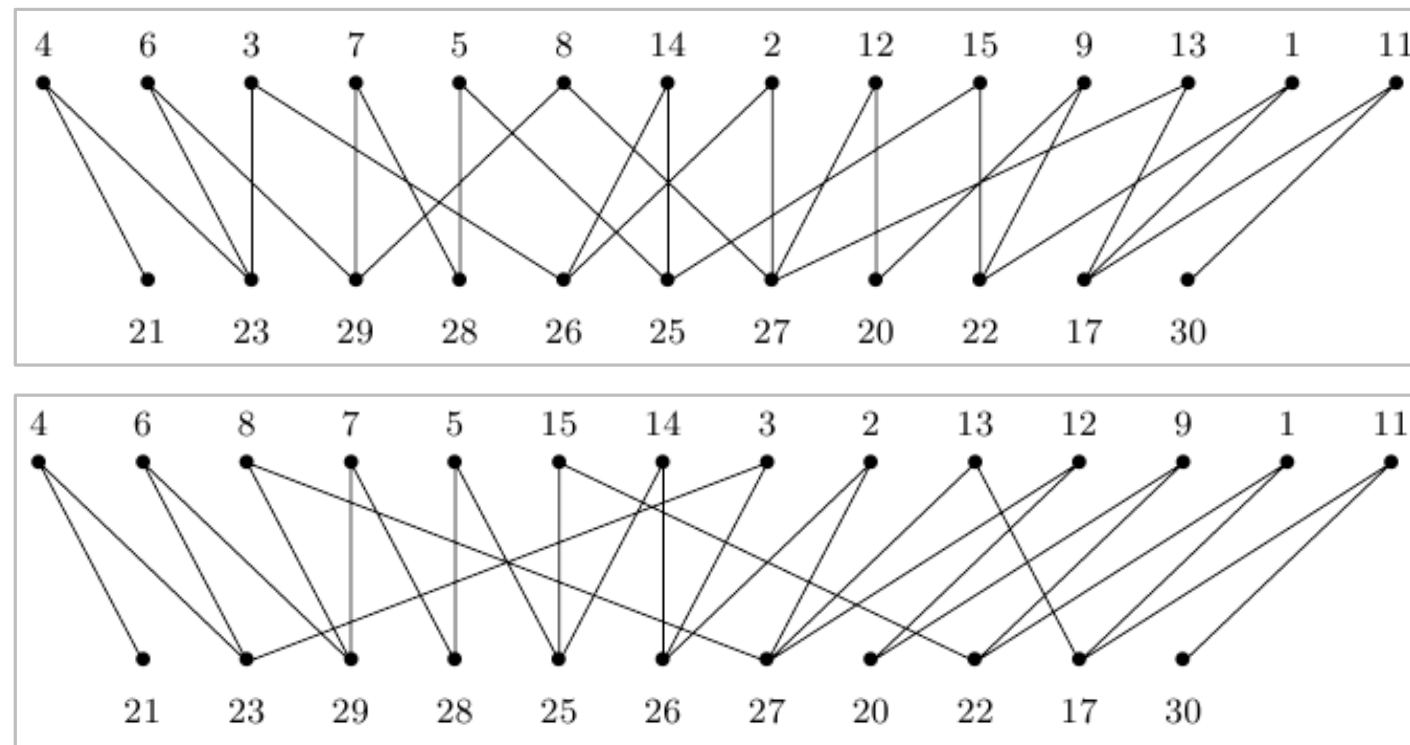
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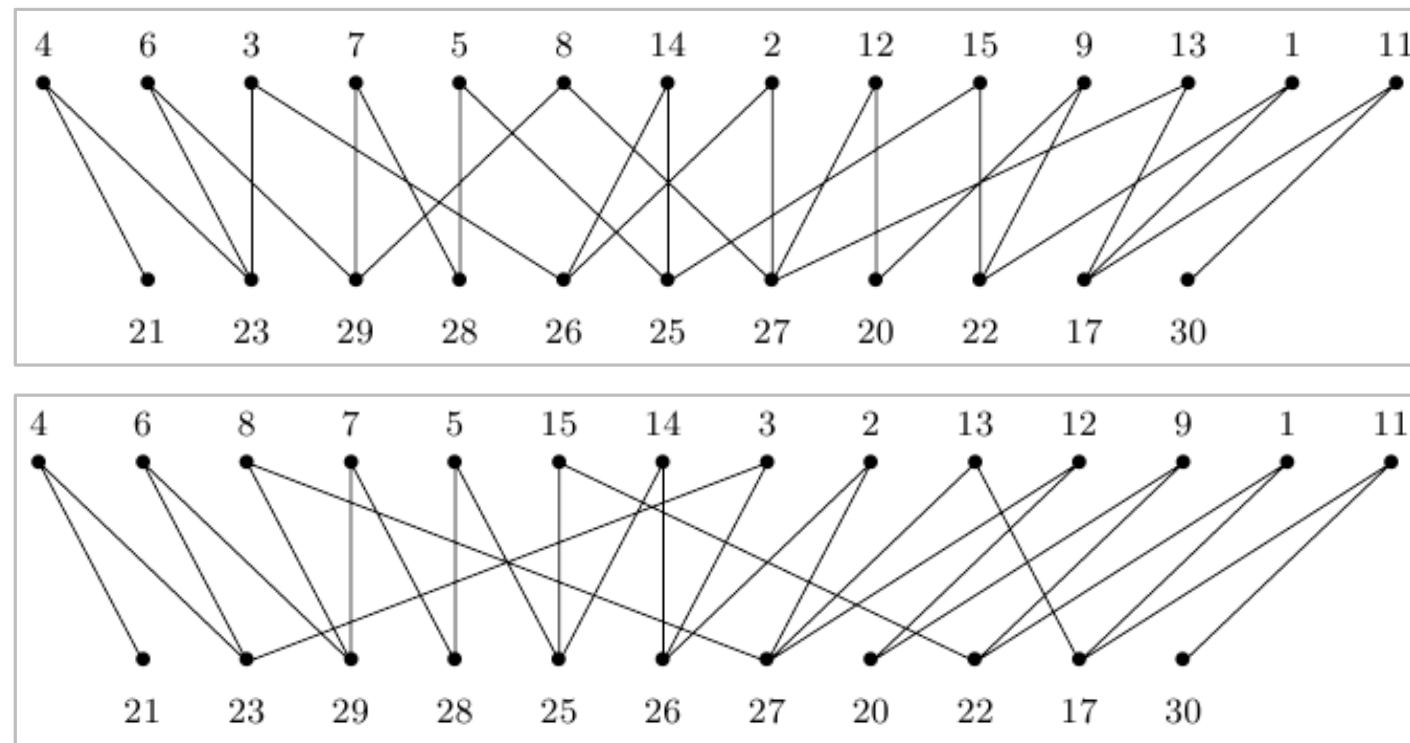
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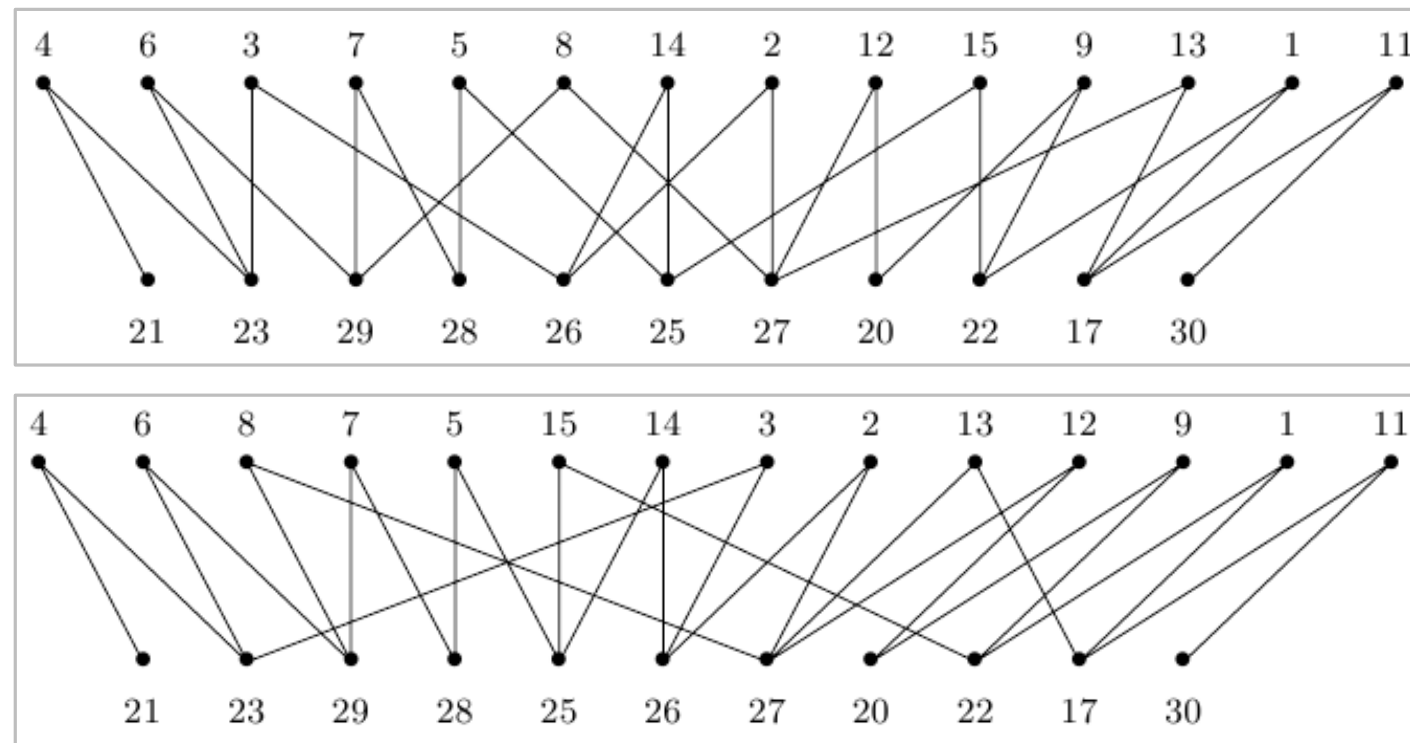
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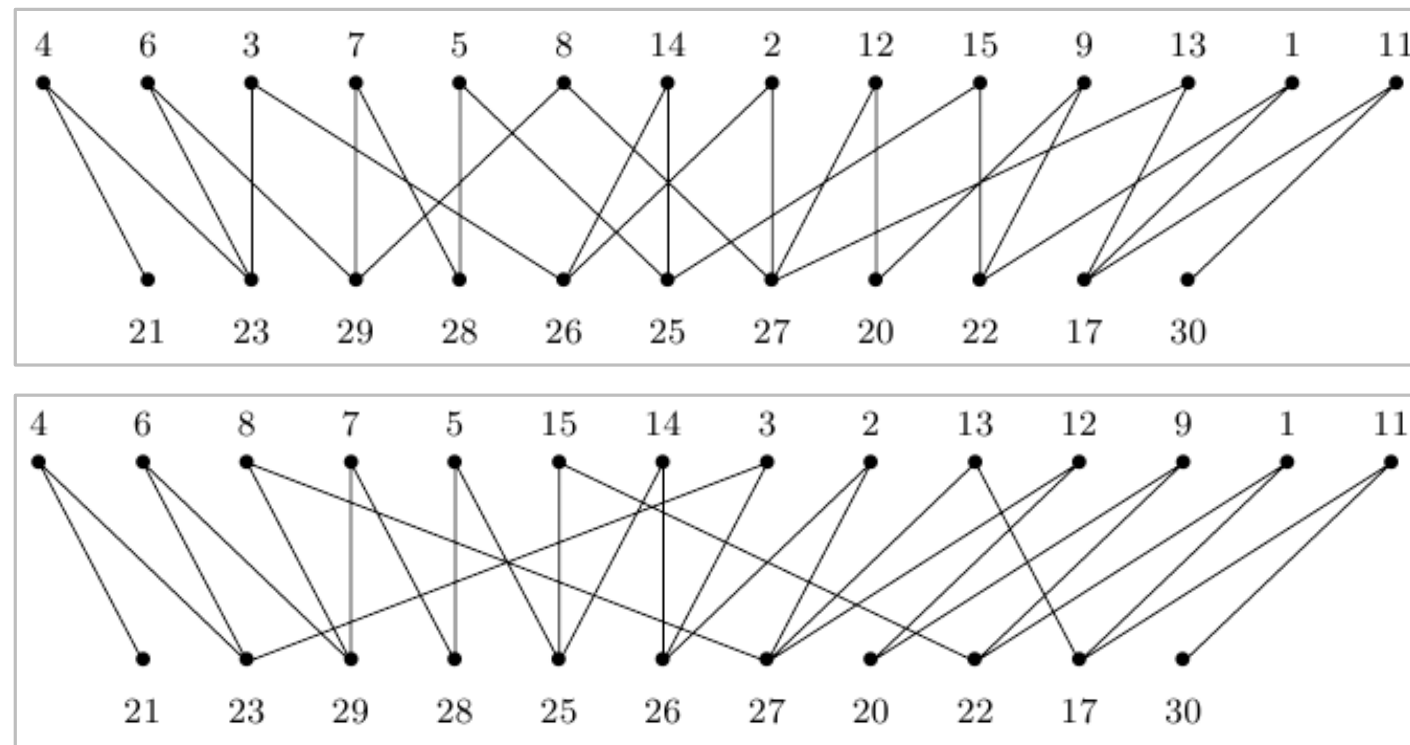
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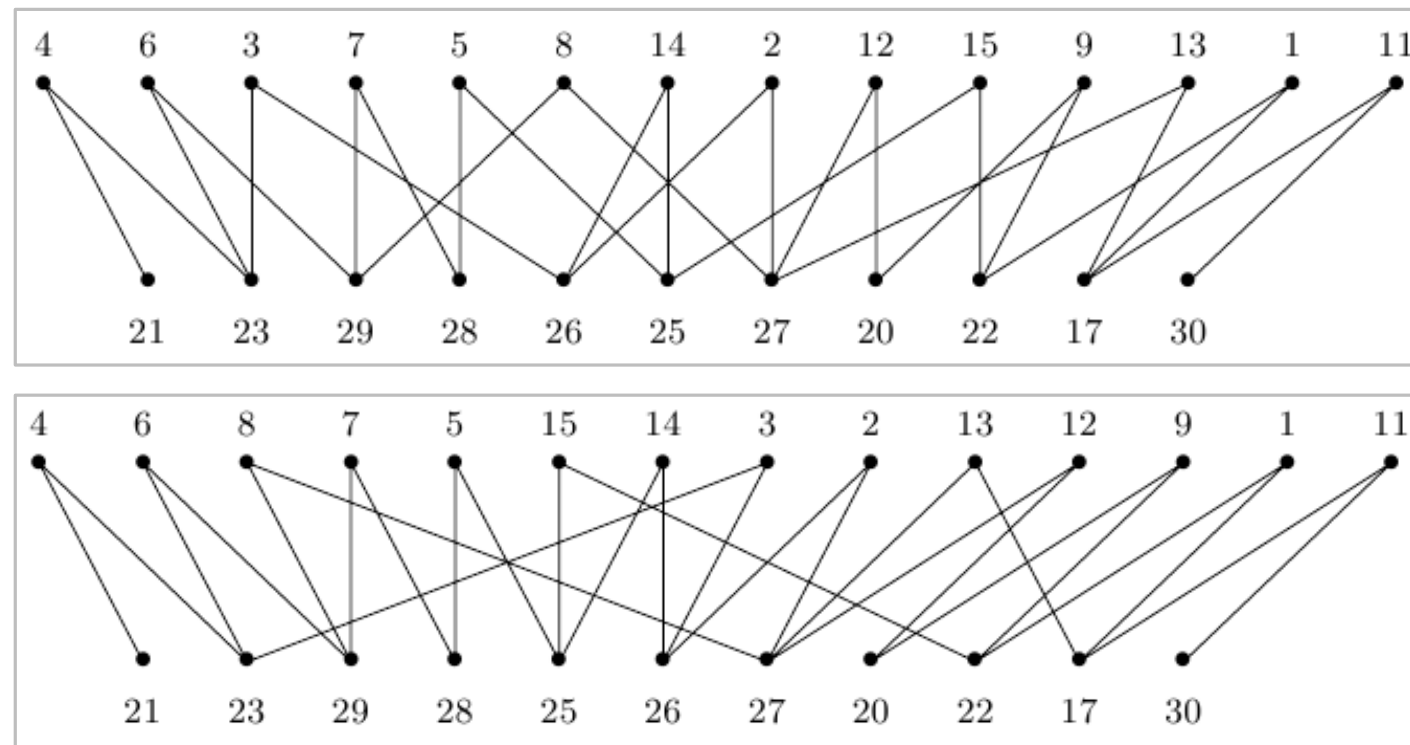
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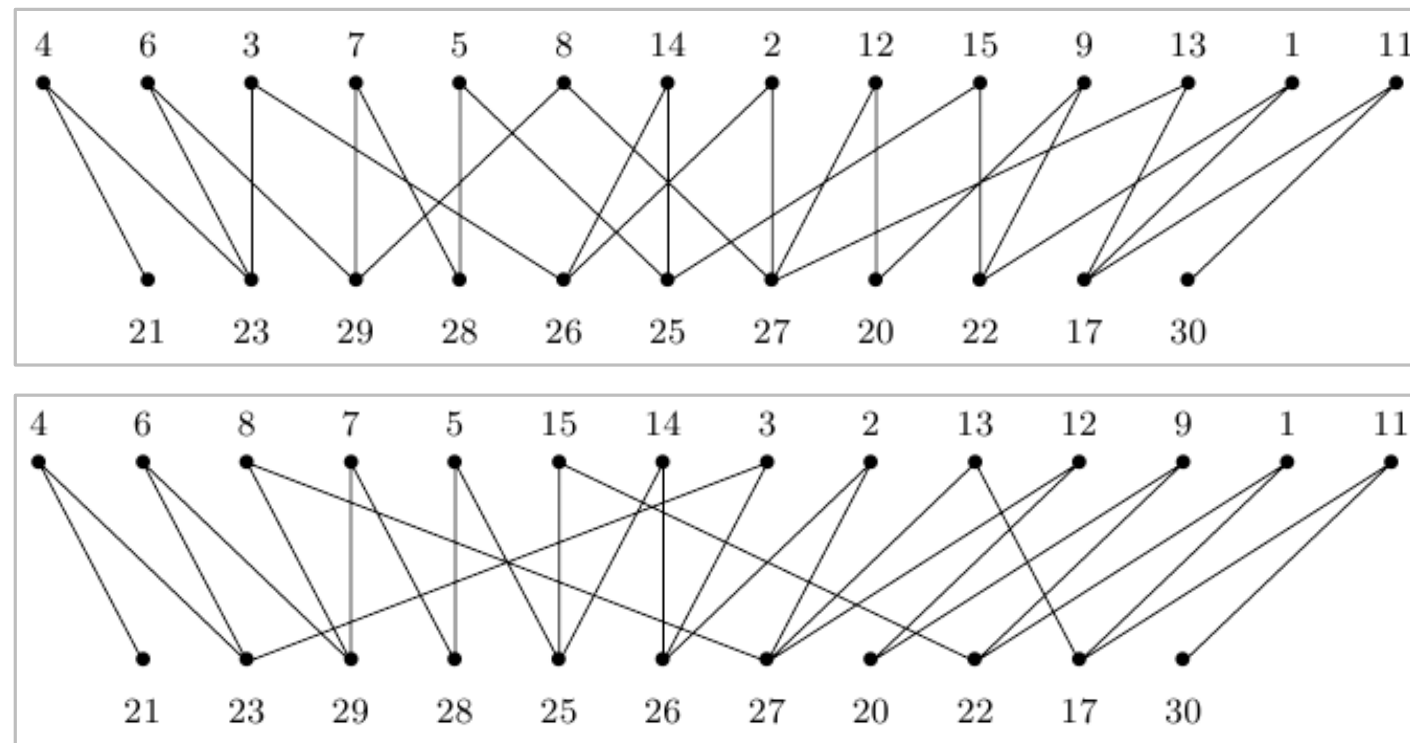
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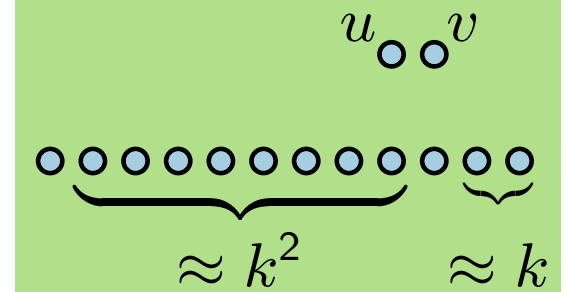
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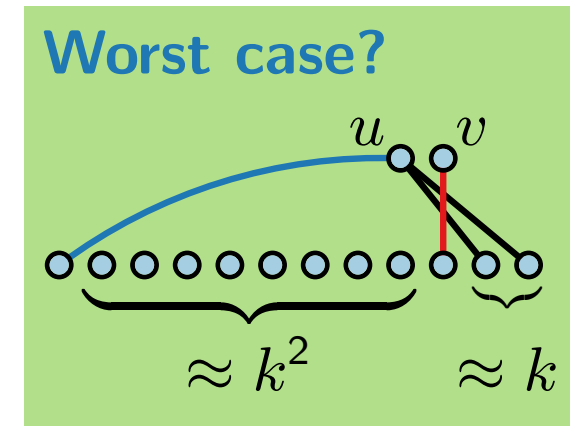
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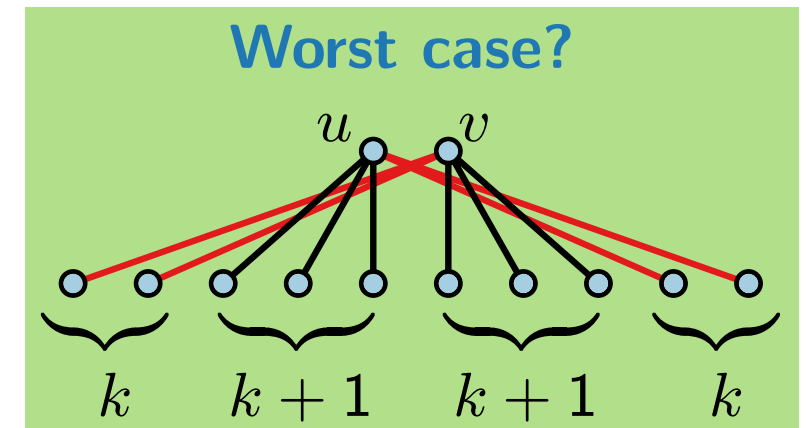
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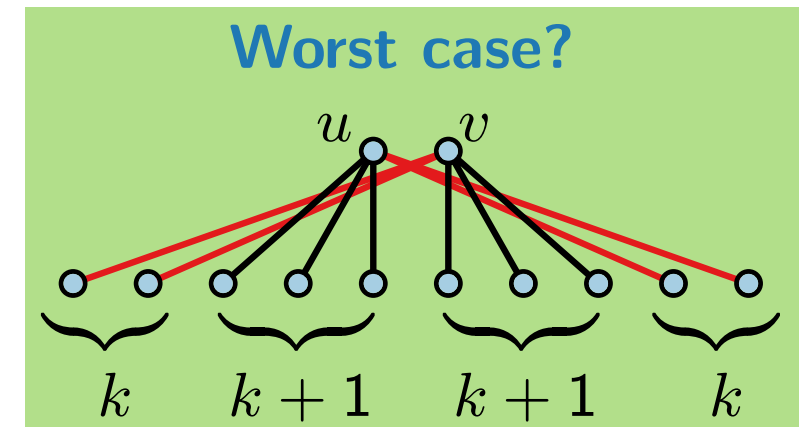
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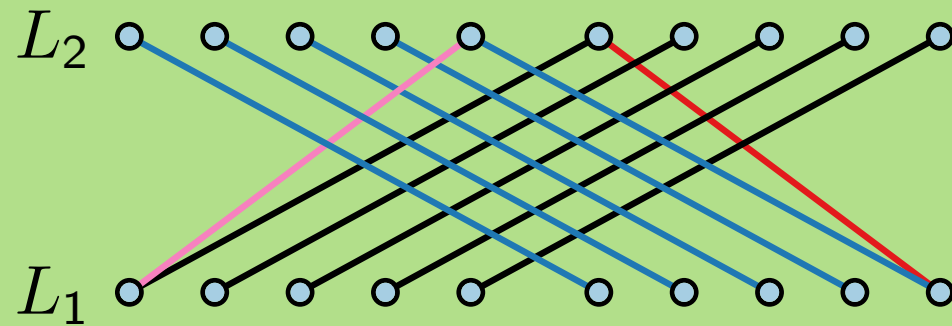
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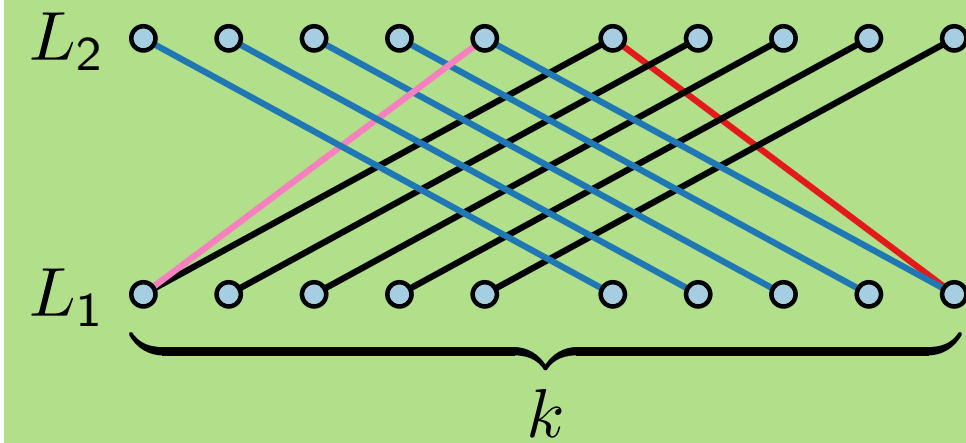


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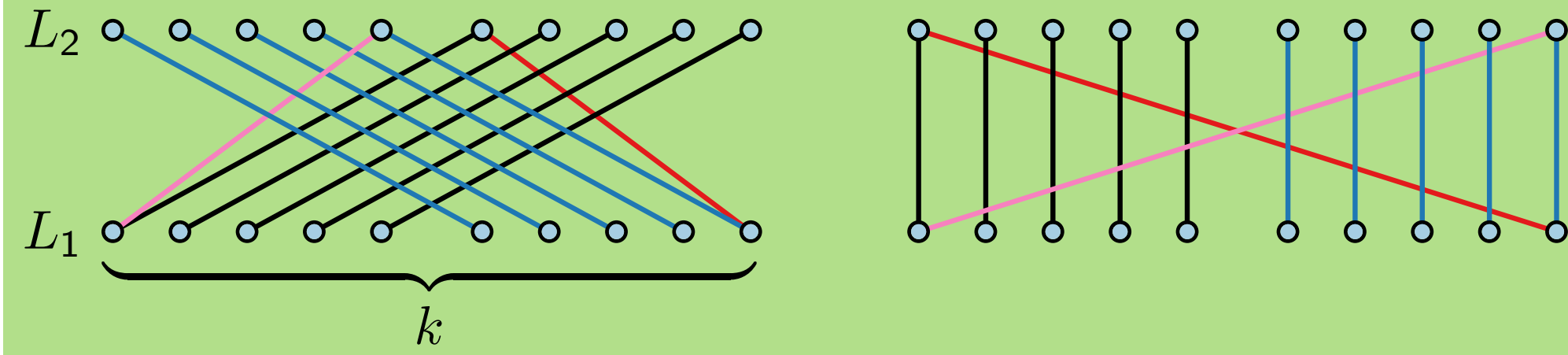


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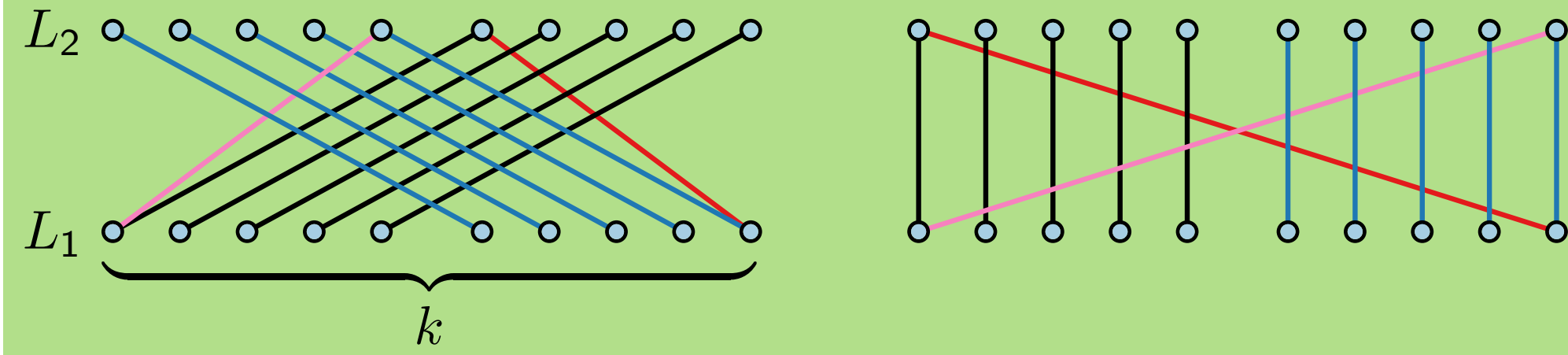


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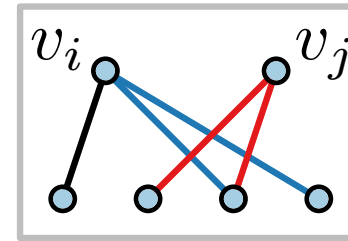
crossings: $\approx k^2/4$

$\approx 2k$

Integer Linear Program (ILP)

[Jünger & Mutzel, '97]

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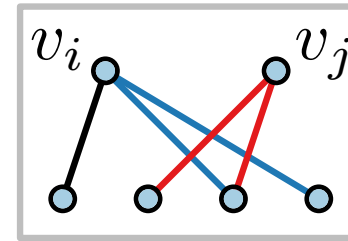


$$c_{ij} = 3$$

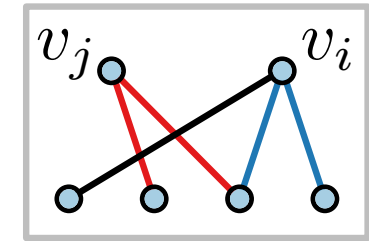
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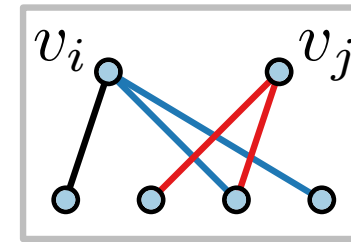


$$c_{ji} = 2$$

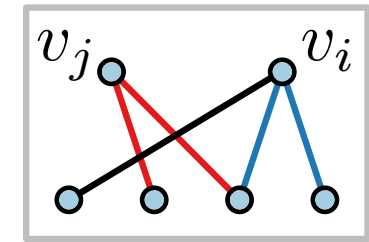
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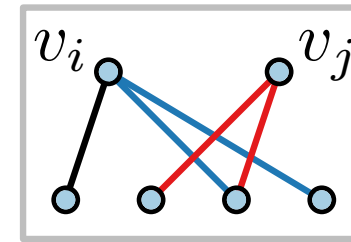
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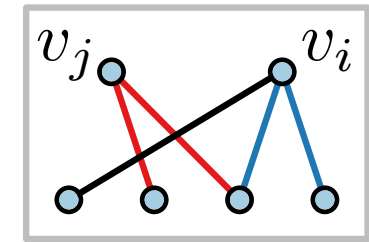
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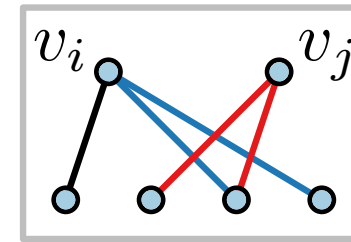
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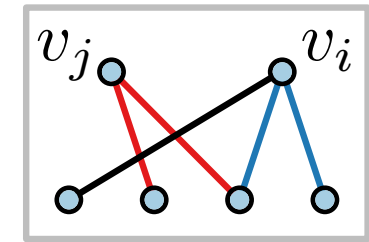
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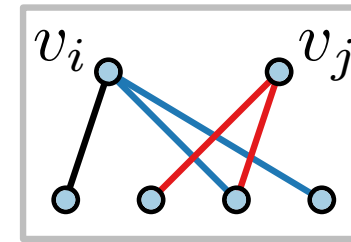
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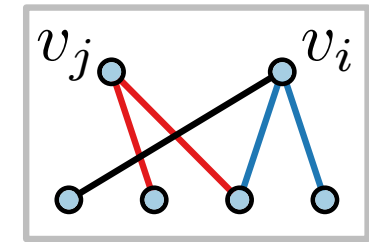
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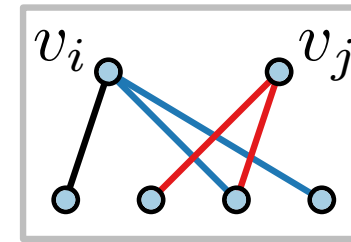
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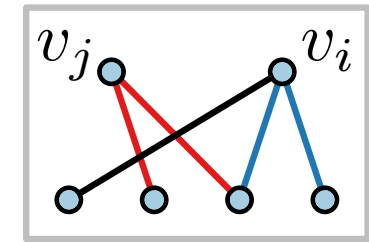
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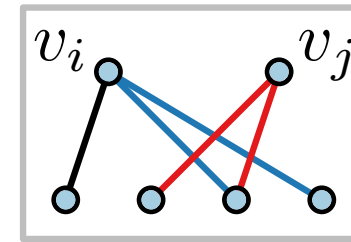
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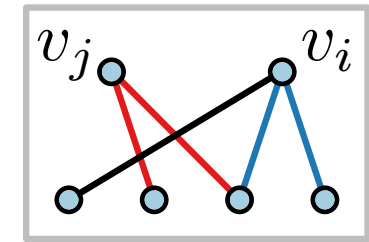
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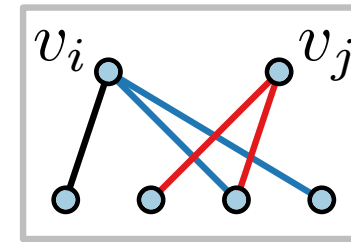
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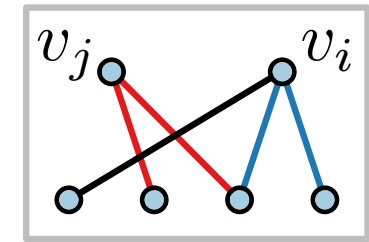
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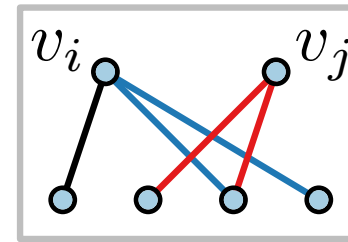
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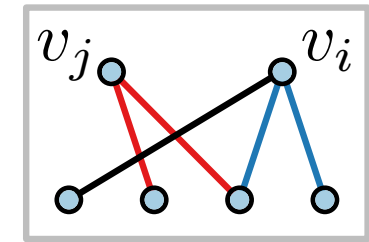
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i.e., if $x_{ij} = 1$ and $x_{jk} = 1$, then $x_{ik} = 1$

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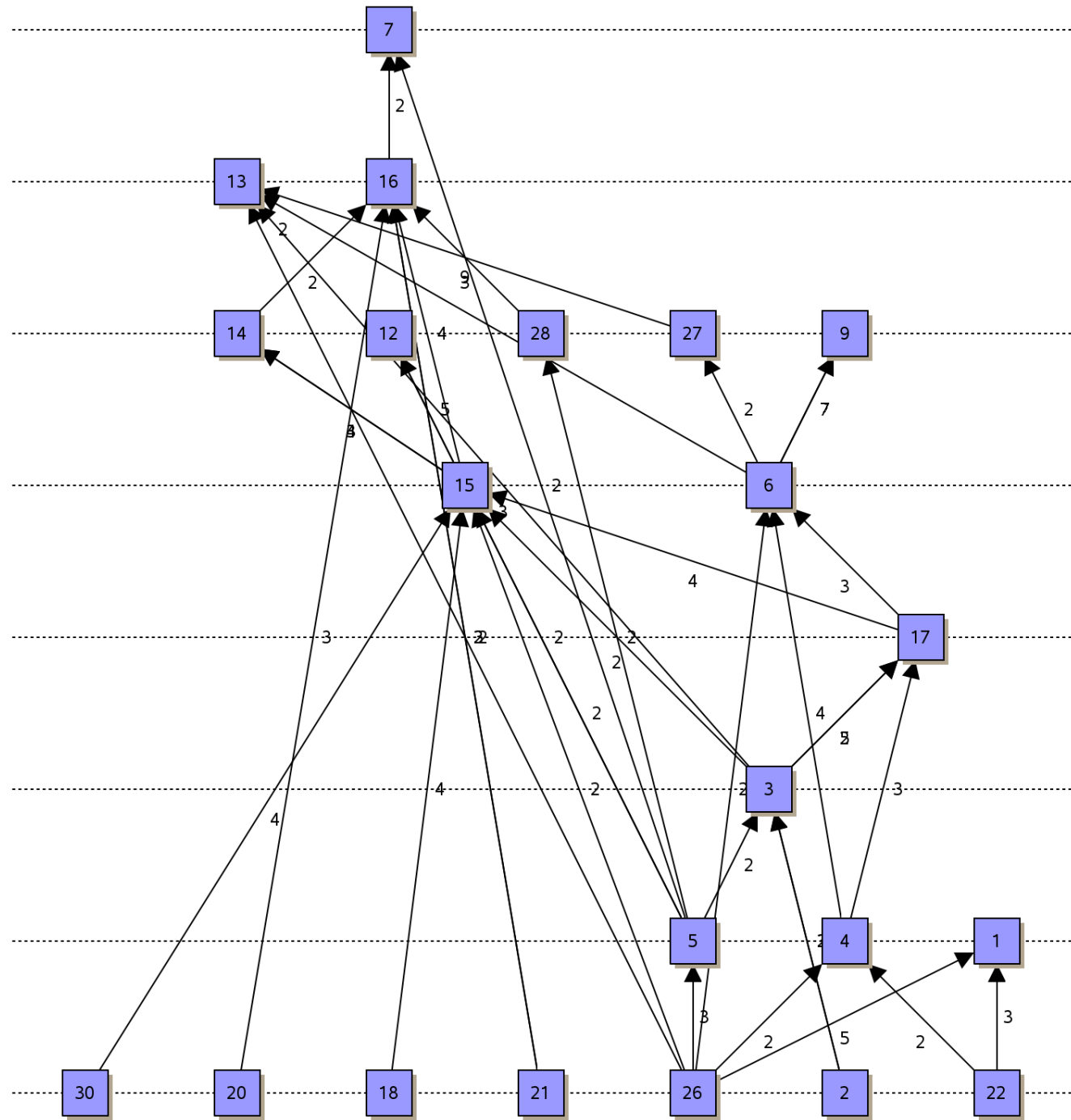
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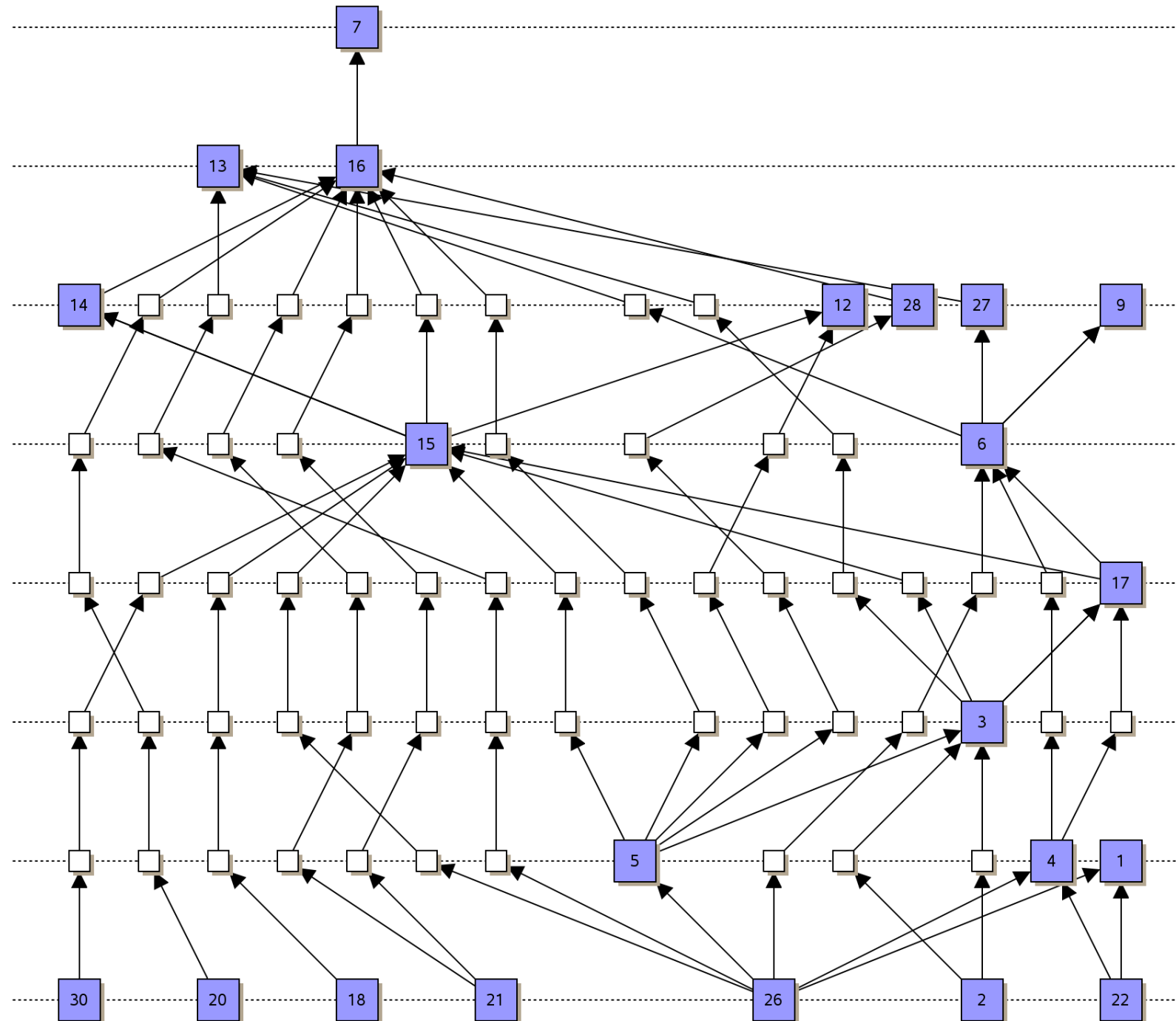
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- finds optimal solution
- solution in polynomial time is not guaranteed

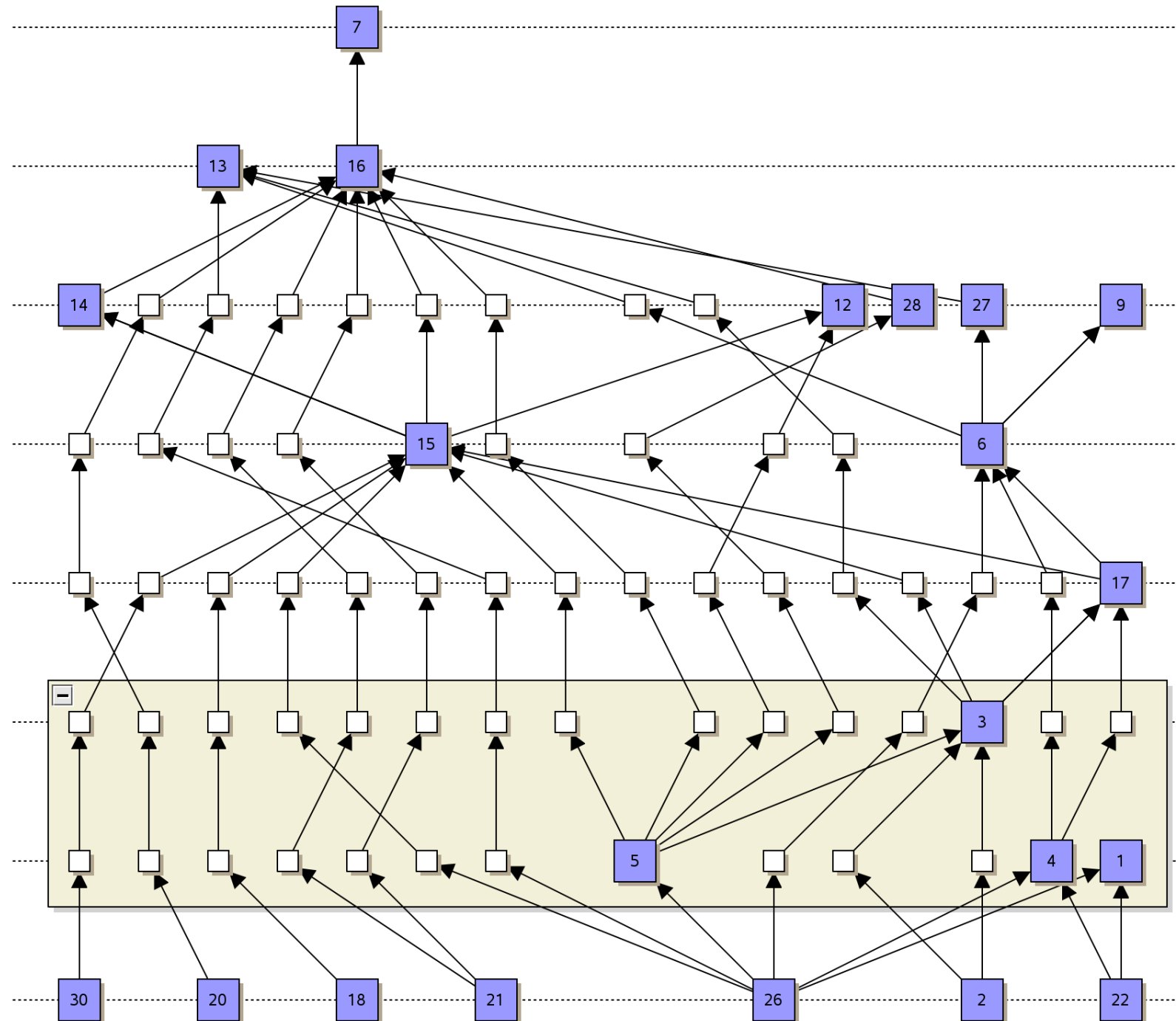
Iterations on Example



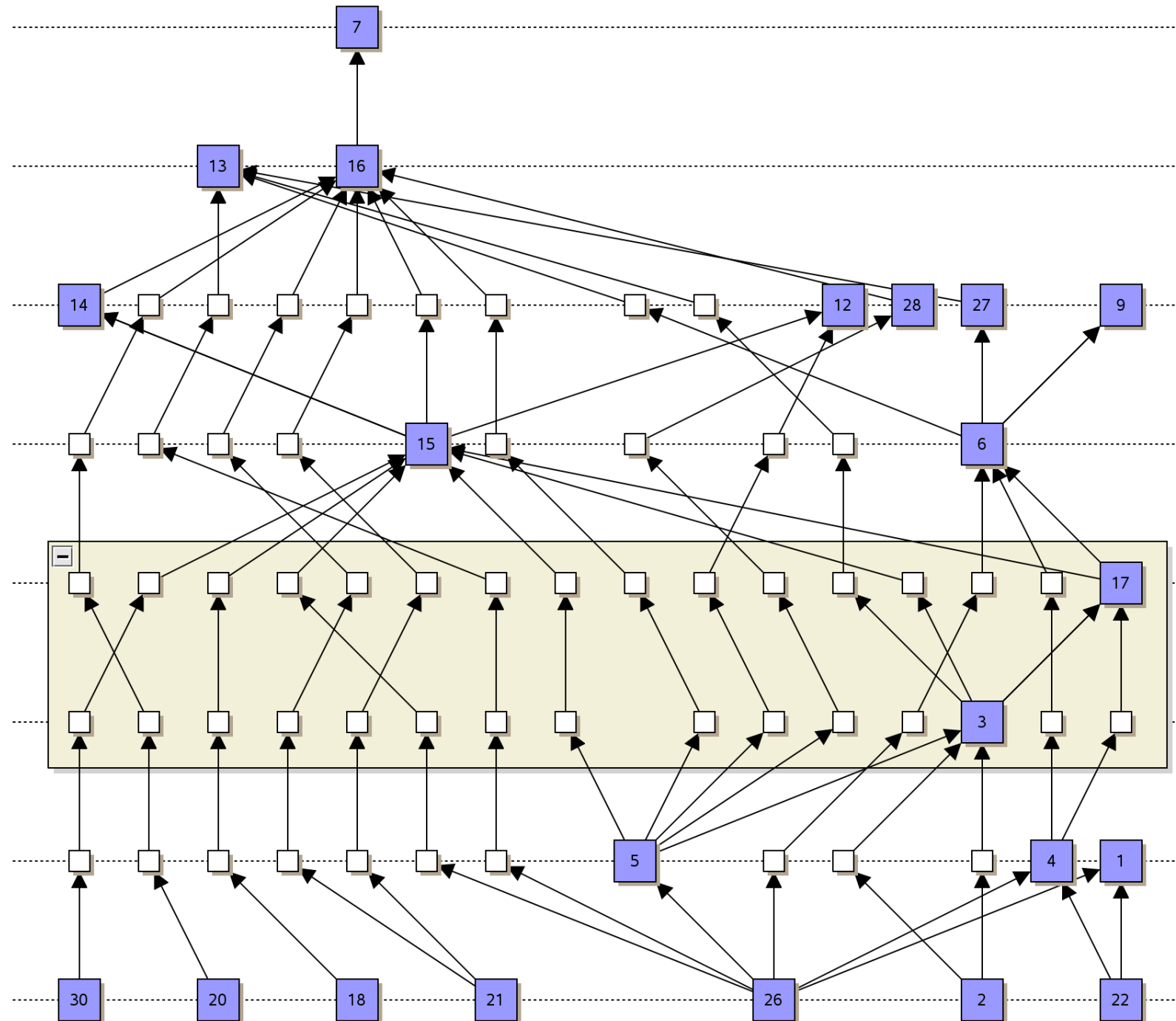
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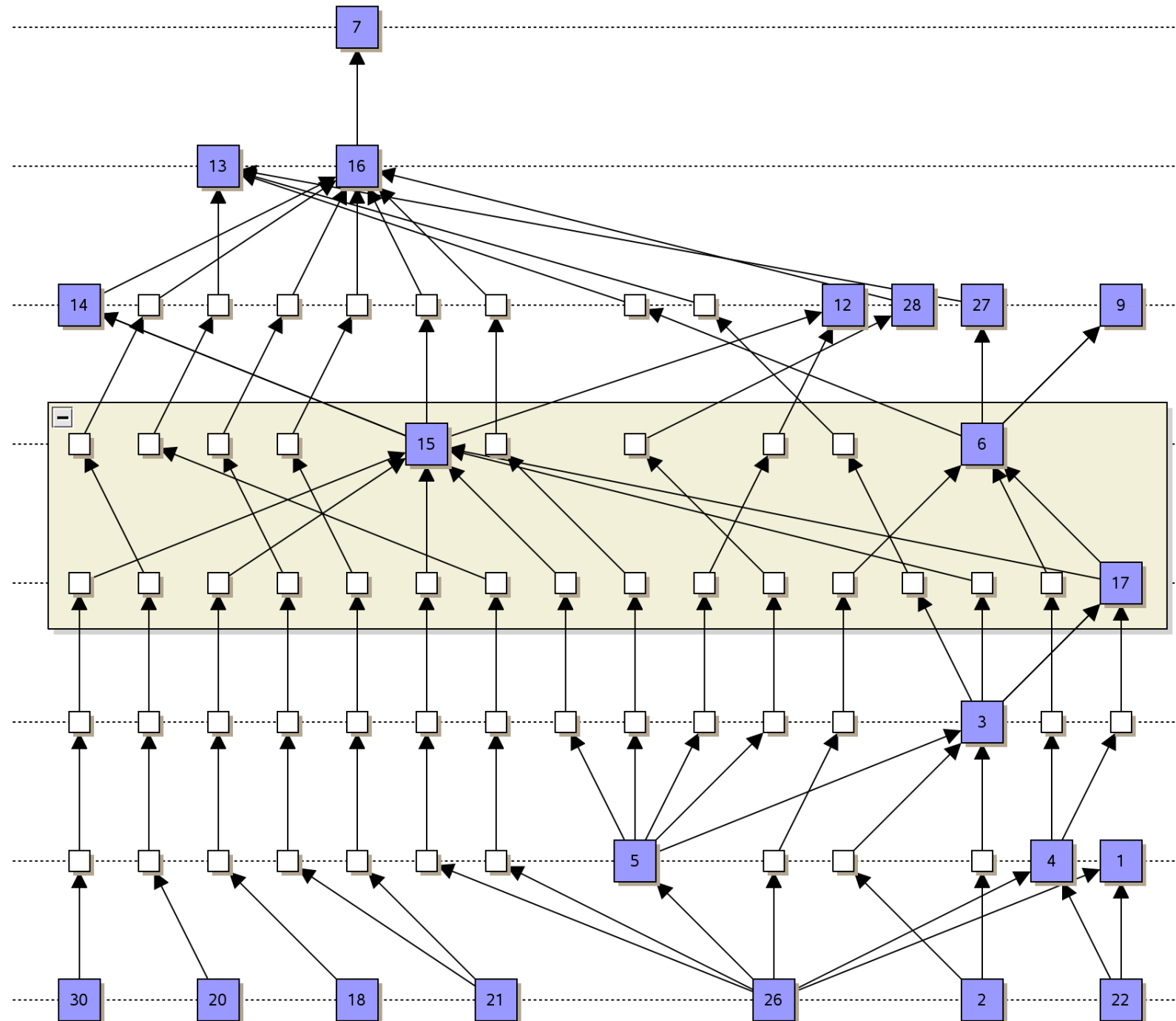
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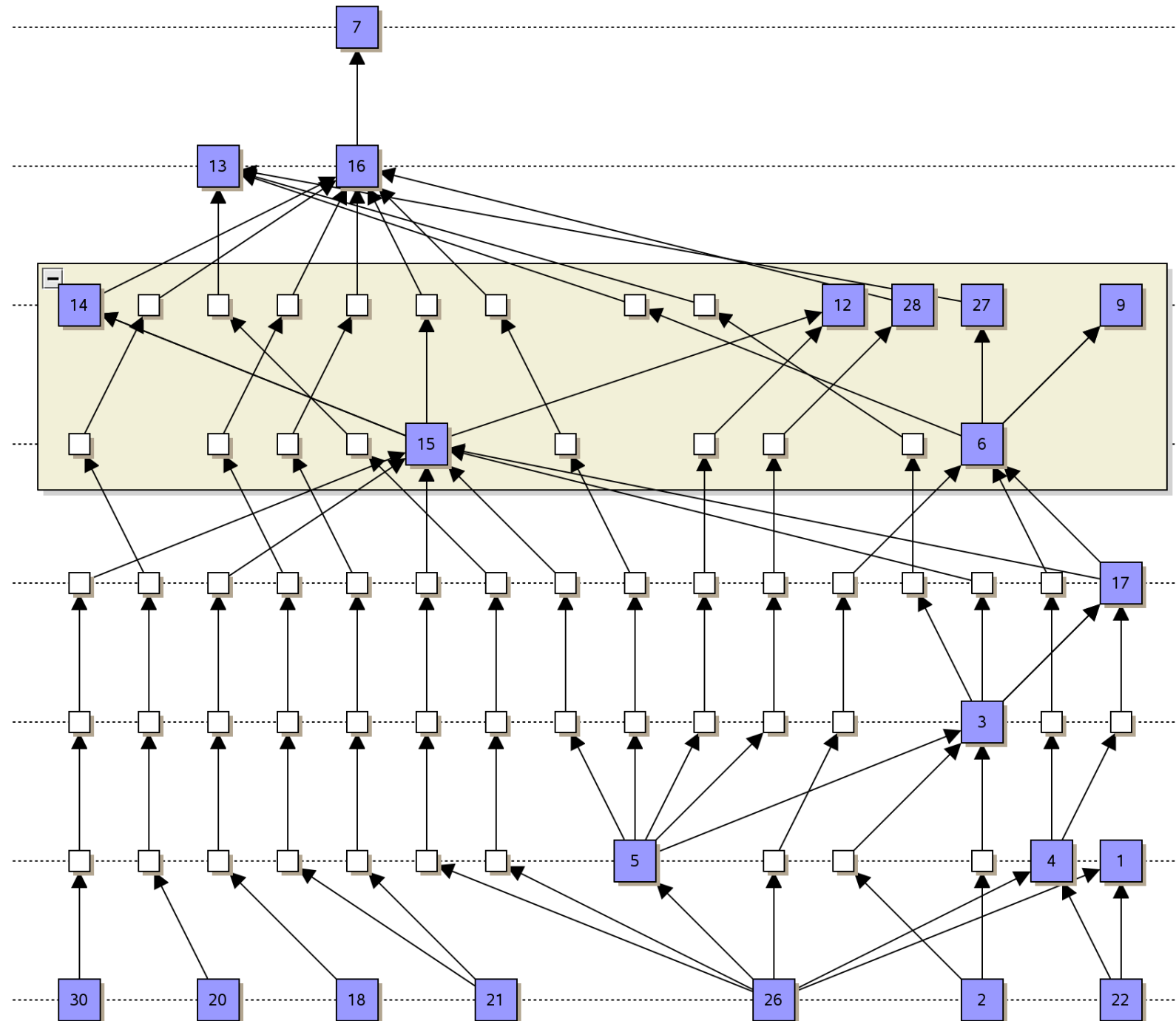


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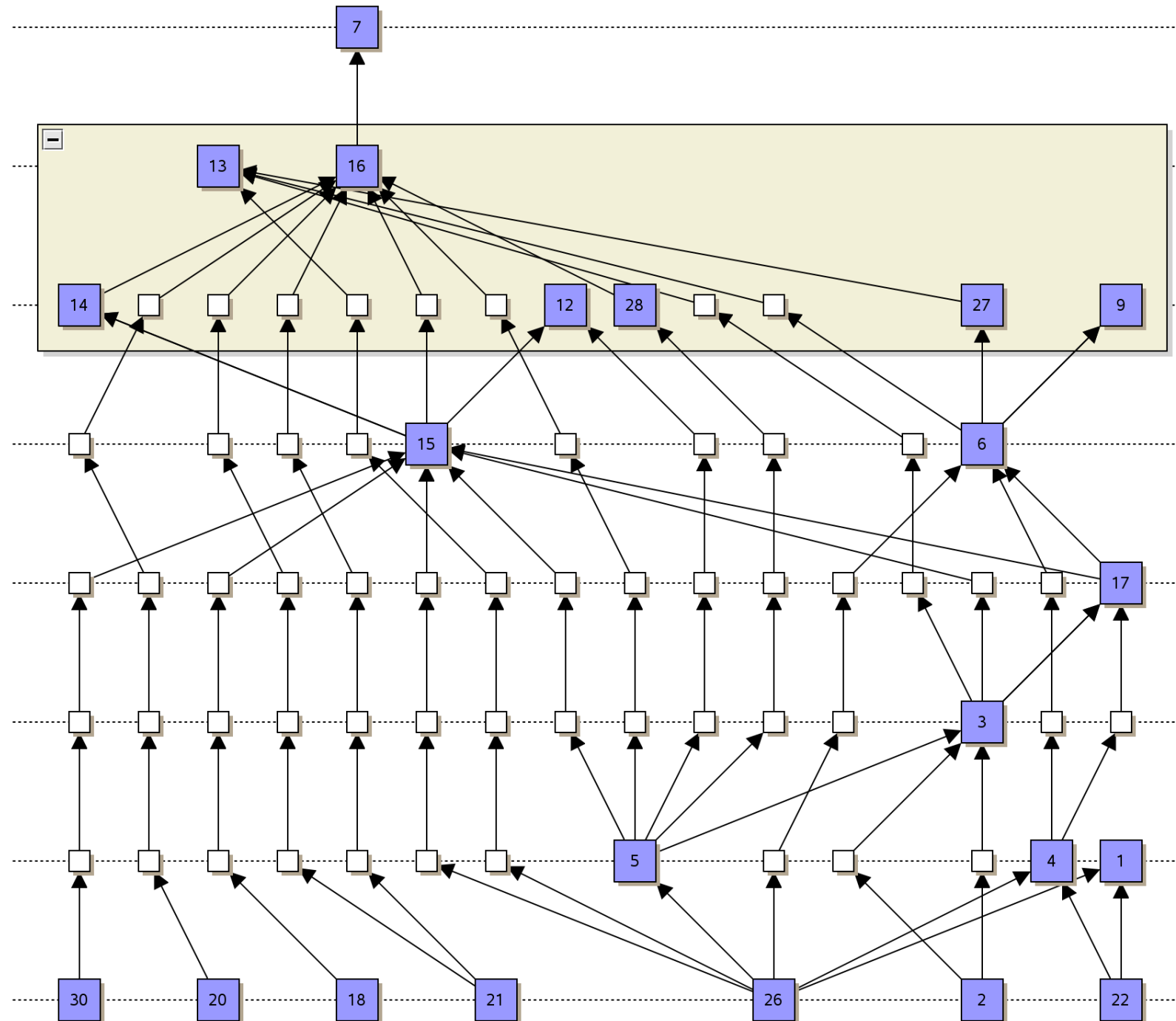


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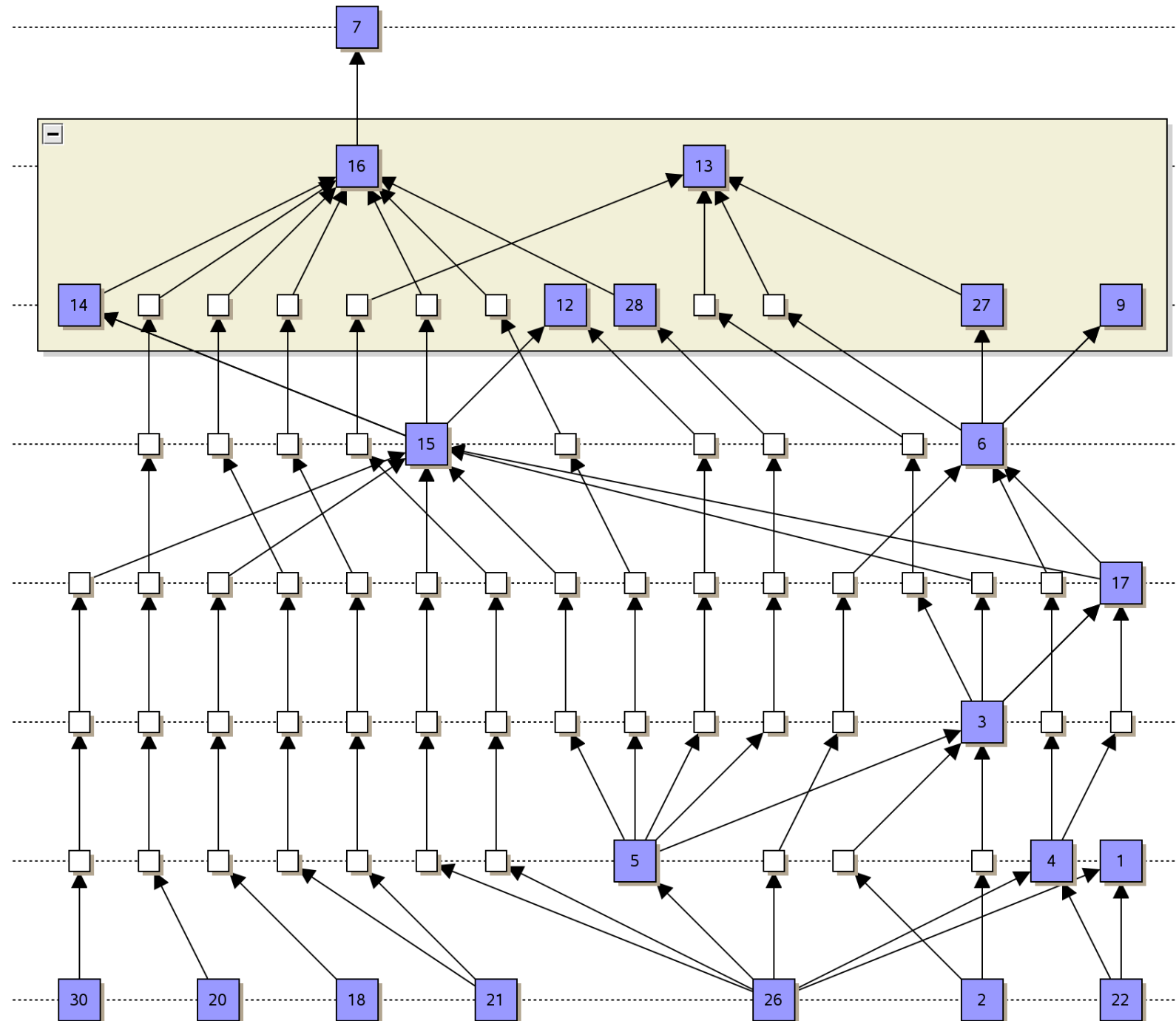




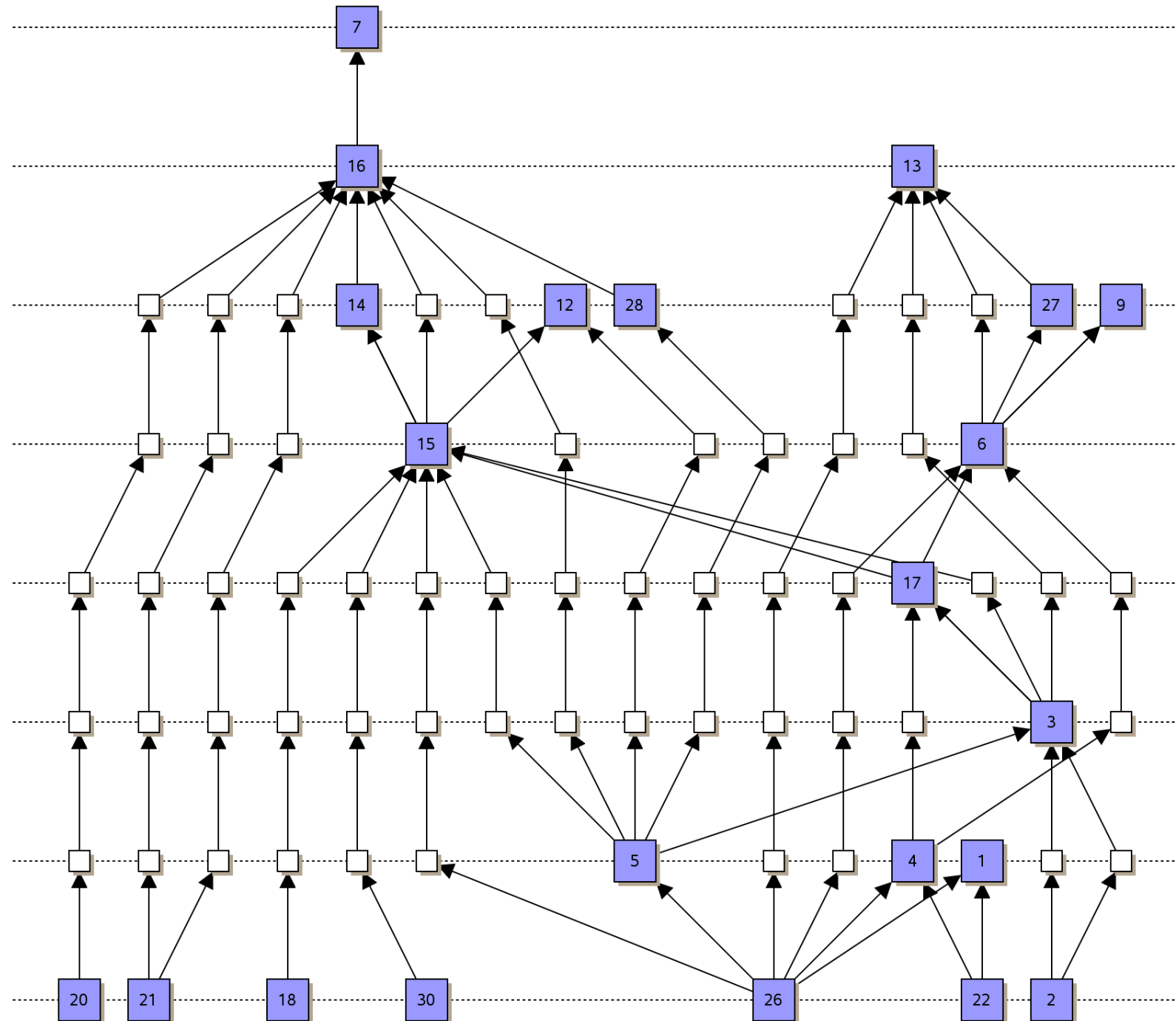
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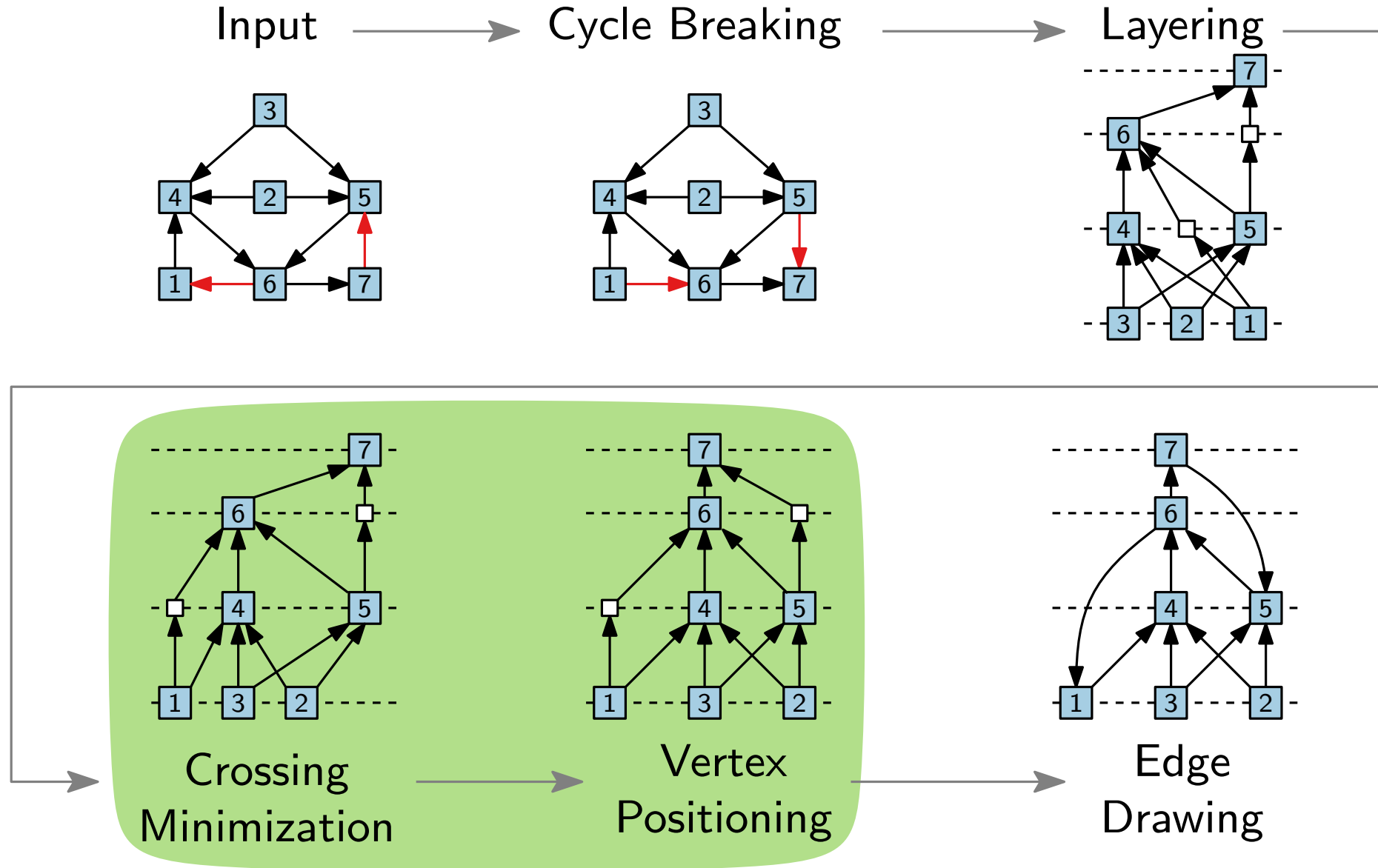
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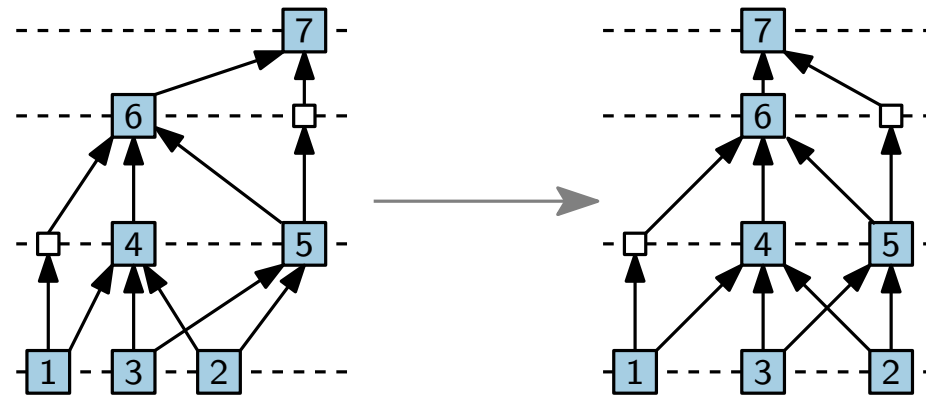
Iterations on Example



Step 4: Vertex Positioning



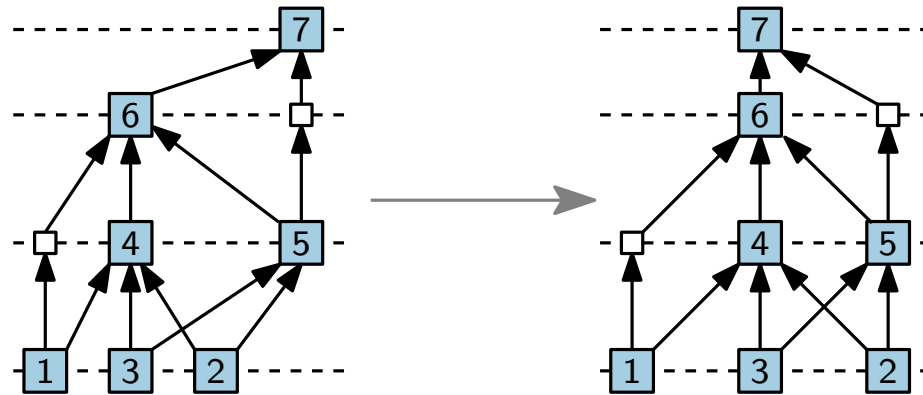
Step 4: Vertex Positioning



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Goals.

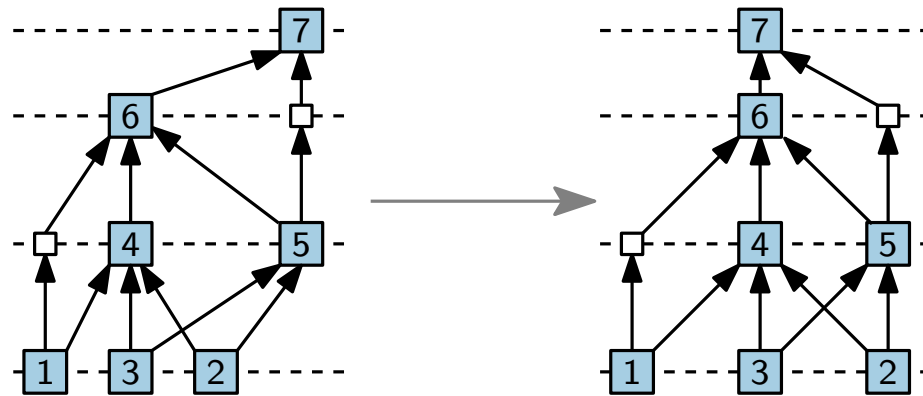
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- vertices on a layer evenly spaced
- prefer vertical edges



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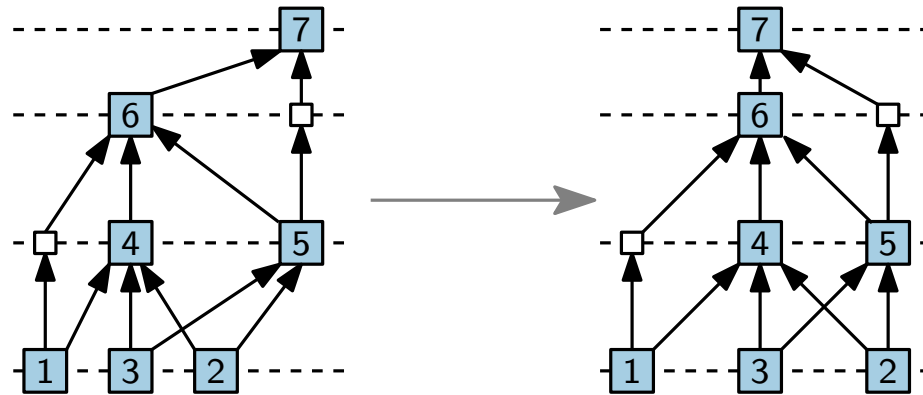


- **Exact:** Quadratic Program (QP)

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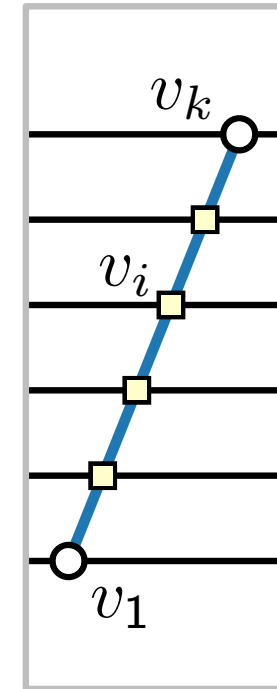
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- **Exact:** Quadratic Program (QP)
- **Heuristic:** Iterative approach

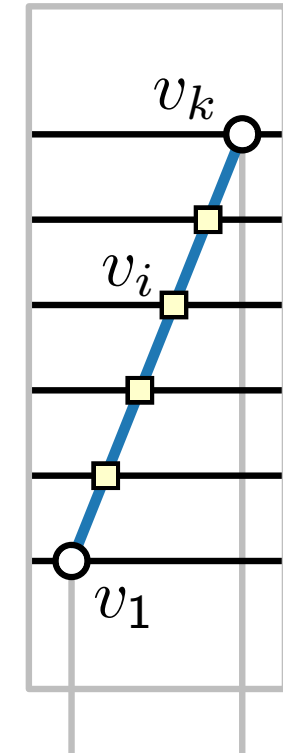
Quadratic Program

- Let $e = (v_1, v_k)$ be an edge of G , and let $p_e = (v_1, \dots, v_k)$ be the corresponding path with dummy vertices $v_2, \dots, v_{k-1}$.



Quadratic Program

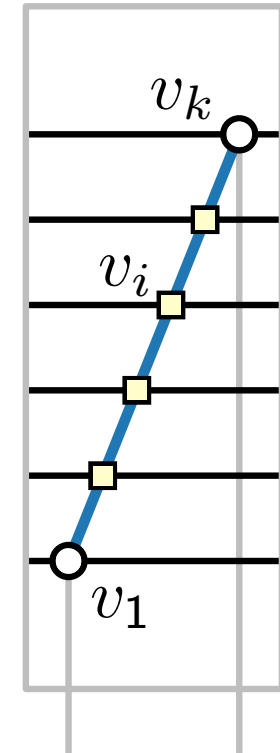
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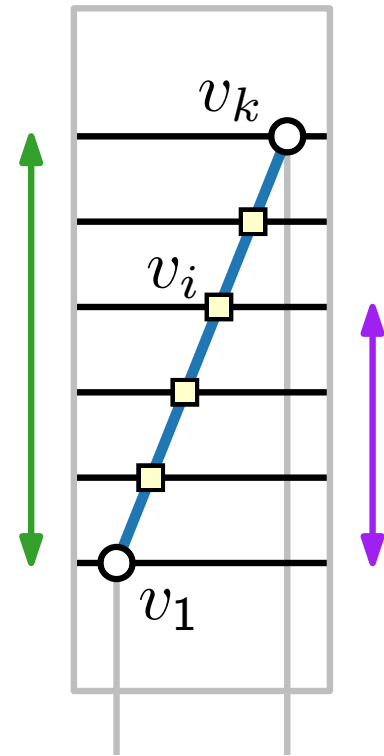
$$\overline{x(v_i)} =$$



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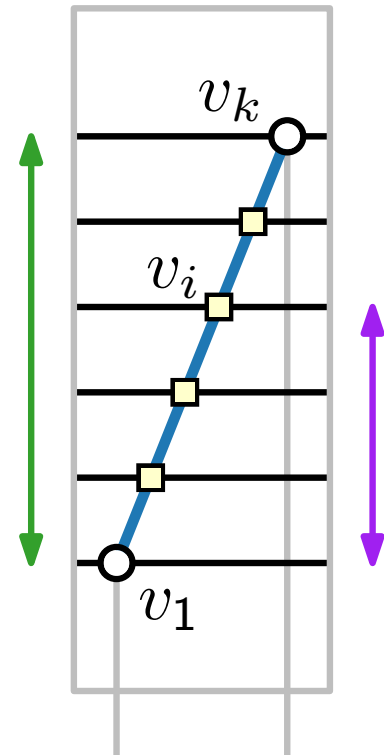
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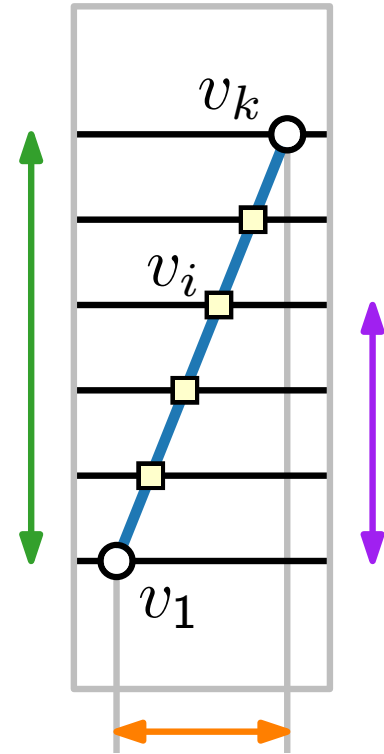
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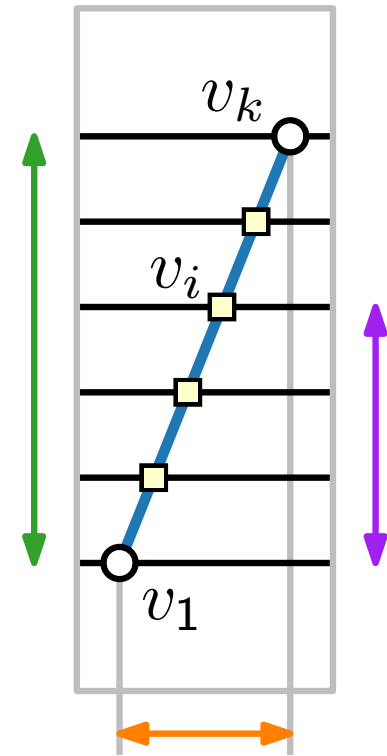
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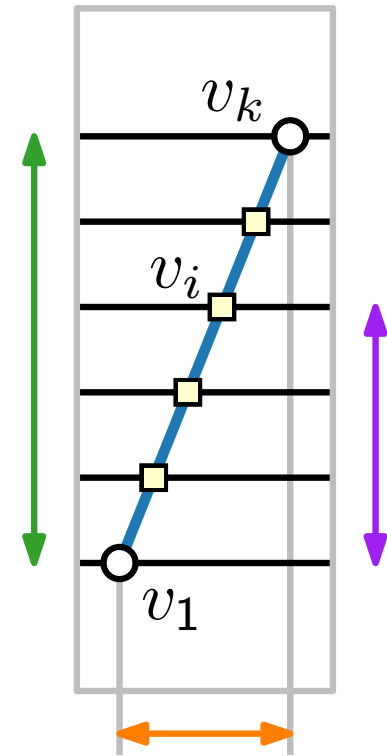


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- Define the deviation from the line



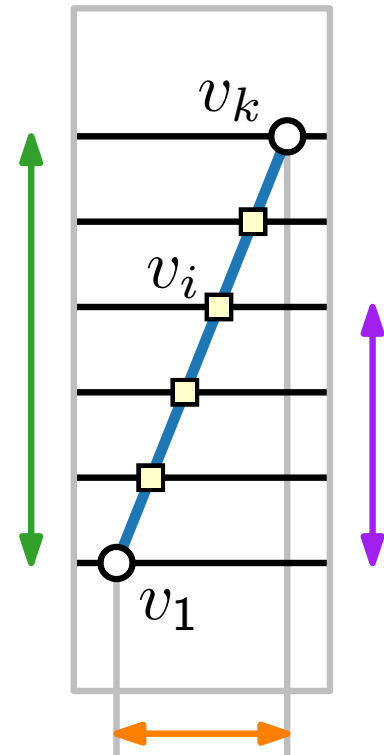
Quadratic Program

- Let $e = (v_1, v_k)$ be an edge of G , and let $p_e = (v_1, \dots, v_k)$ be the corresponding path with dummy vertices $v_2, \dots, v_{k-1}$.
- x -coordinate of v_i according to the line segment $\overline{v_1 v_k}$ (with equal spacing of the layers):

$$\overline{x(v_i)} = x(v_1) + \frac{i-1}{k-1} (x(v_k) - x(v_1))$$

- Define the deviation from the line

$$\text{dev}(p_e) :=$$



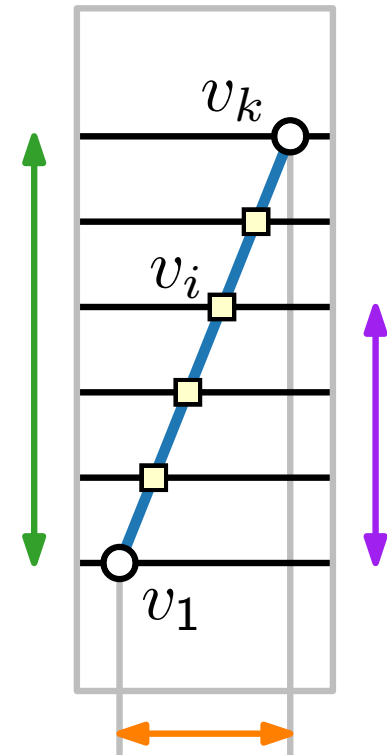
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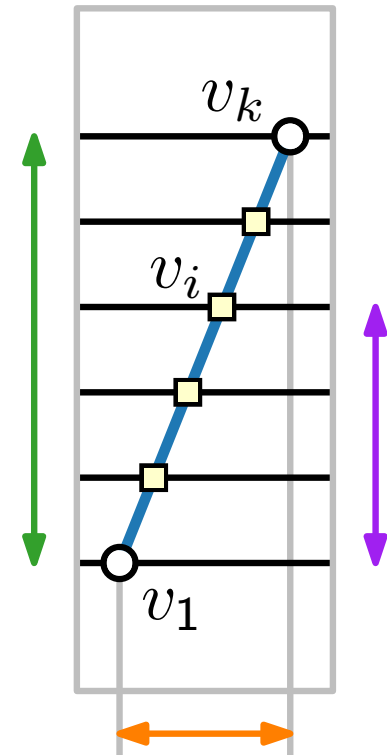
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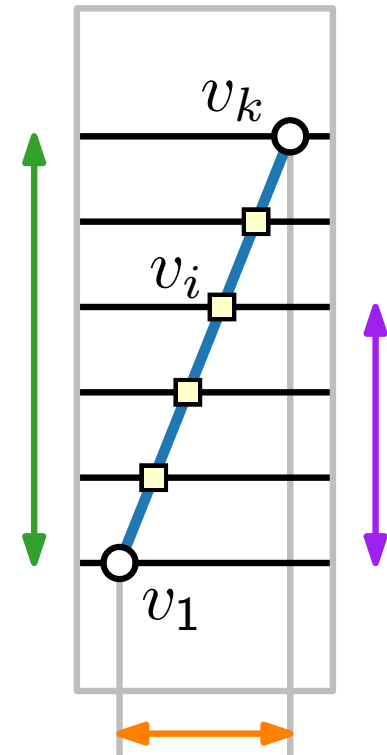
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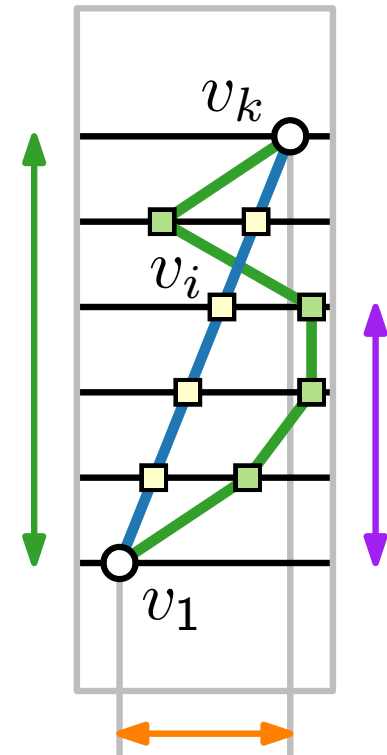
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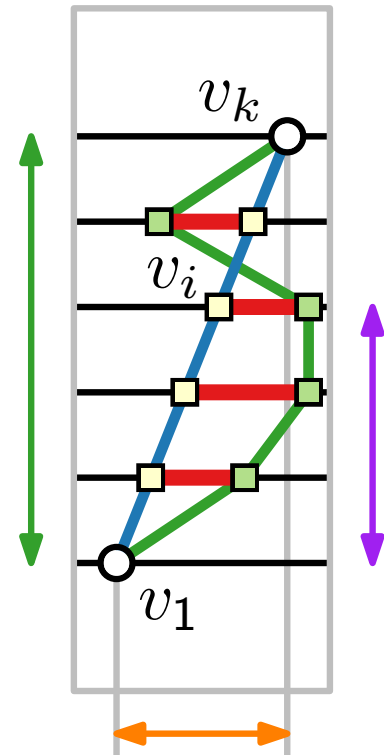
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Quadratic Program

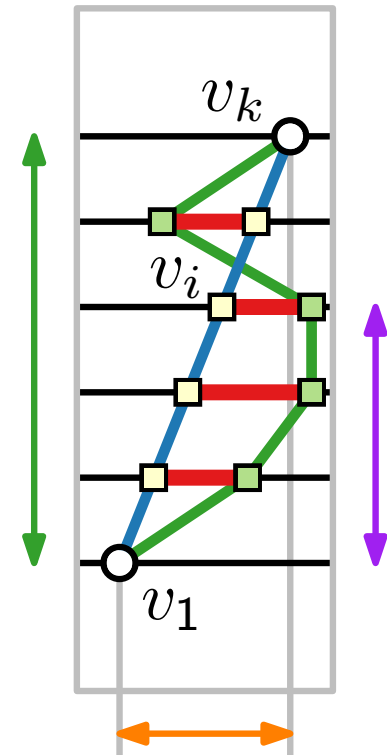
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- Objective function:



Quadratic Program

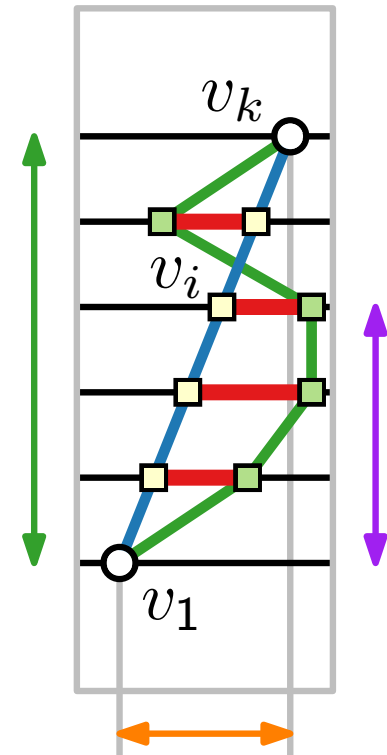
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Quadratic Program

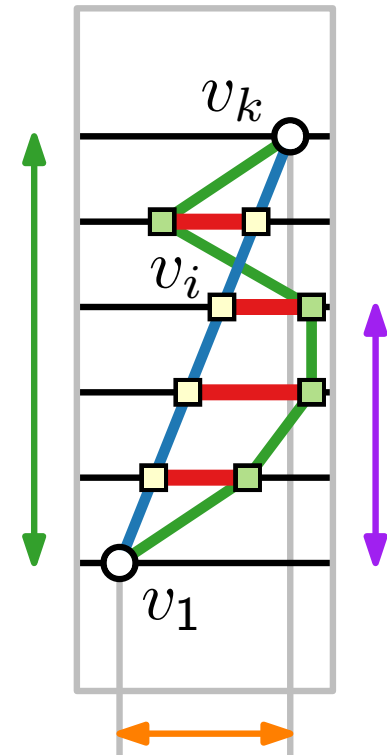
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Quadratic Program

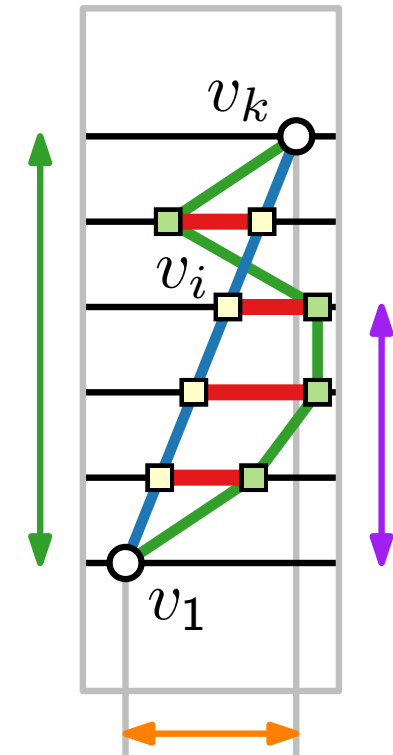
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Quadratic Program

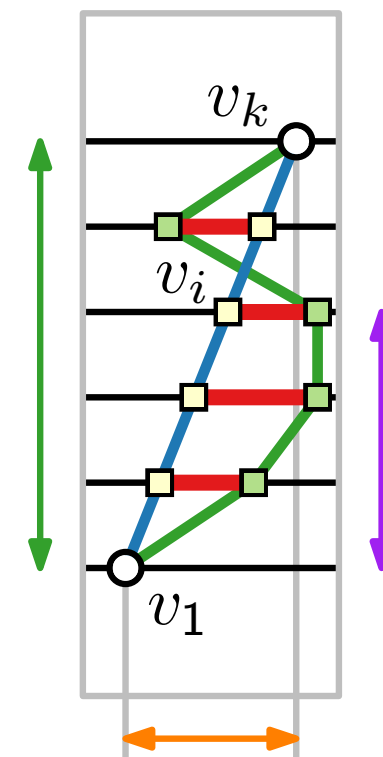
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Quadratic Program

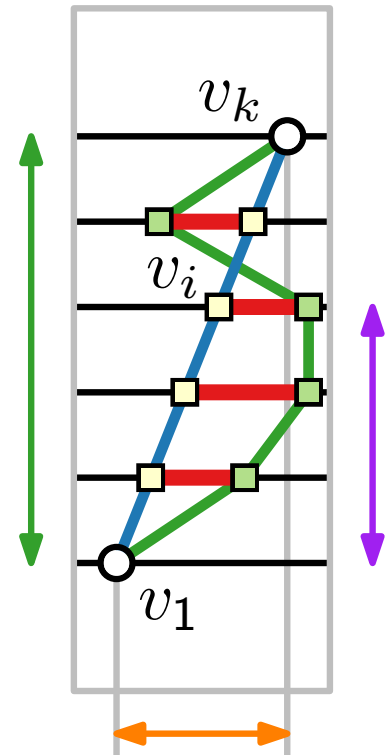
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- QP is time-expensive.

Quadratic Program

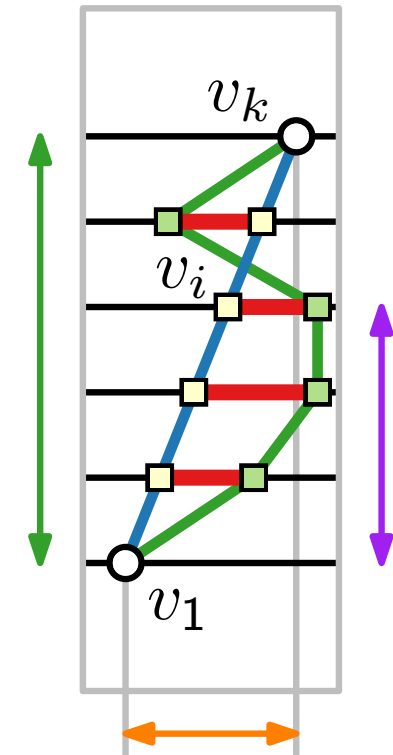
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- QP is time-expensive.
- Width can be exponential.

Iterative Heuristic

- Compute an initial layout

Iterative Heuristic

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- Apply the following steps as long as improvements can be made:

Iterative Heuristic

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Iterative Heuristic

- Compute an initial layout
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 1. vertex positioning
 2. edge straightening

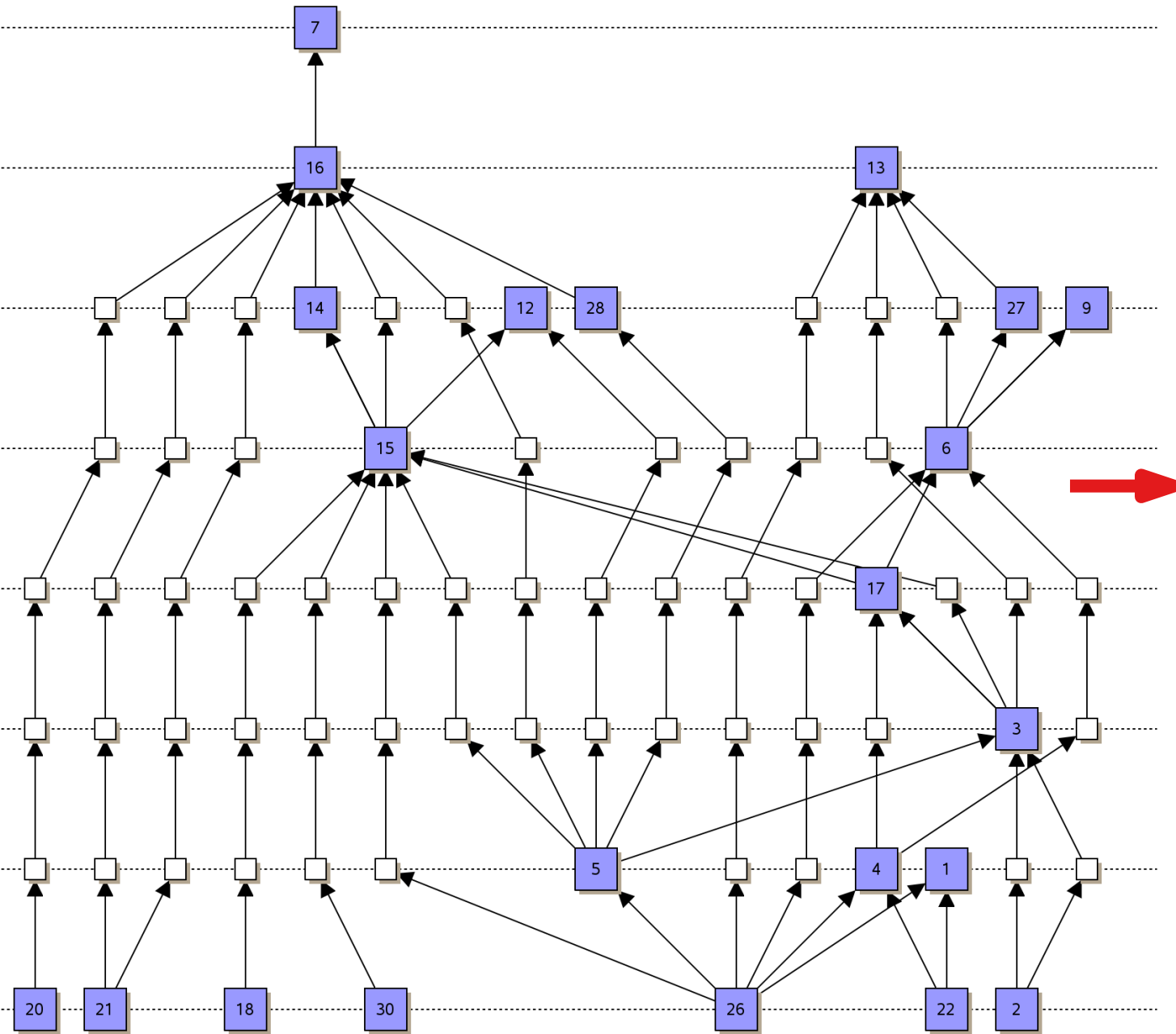
Iterative Heuristic

- Compute an initial layout
- Apply the following steps as long as improvements can be made:
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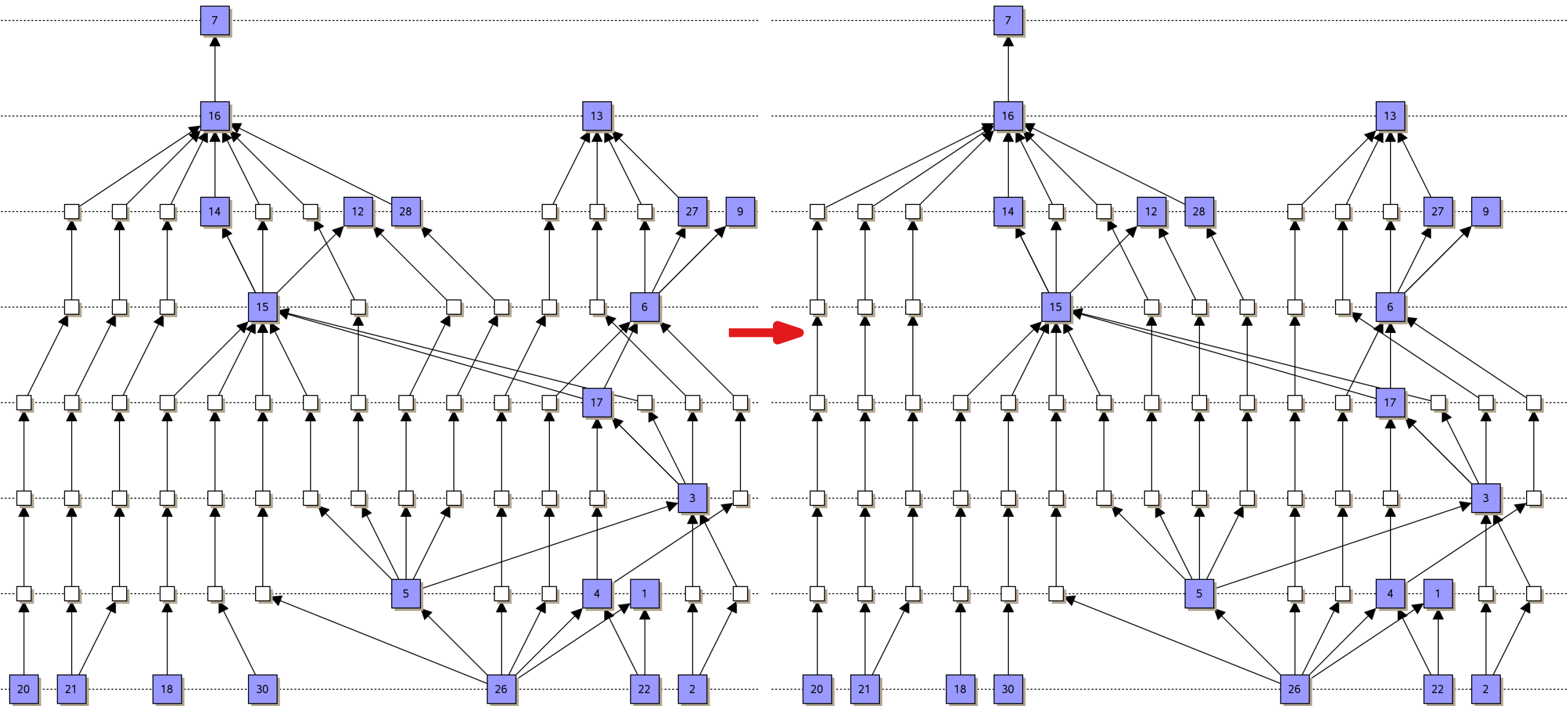
Iterative Heuristic

- Compute an initial layout
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 1. vertex positioning
 2. edge straightening
 3. compactifying the layout (to reduce the width)
- Other algorithms, e.g., the one of Brandes and Köpf
[GD 2002, see also Brandes, Walter, Zink: arXiv 2020]:
 - tries to align vertices vertically
 - does horizontal compaction afterwards
 - linear running time

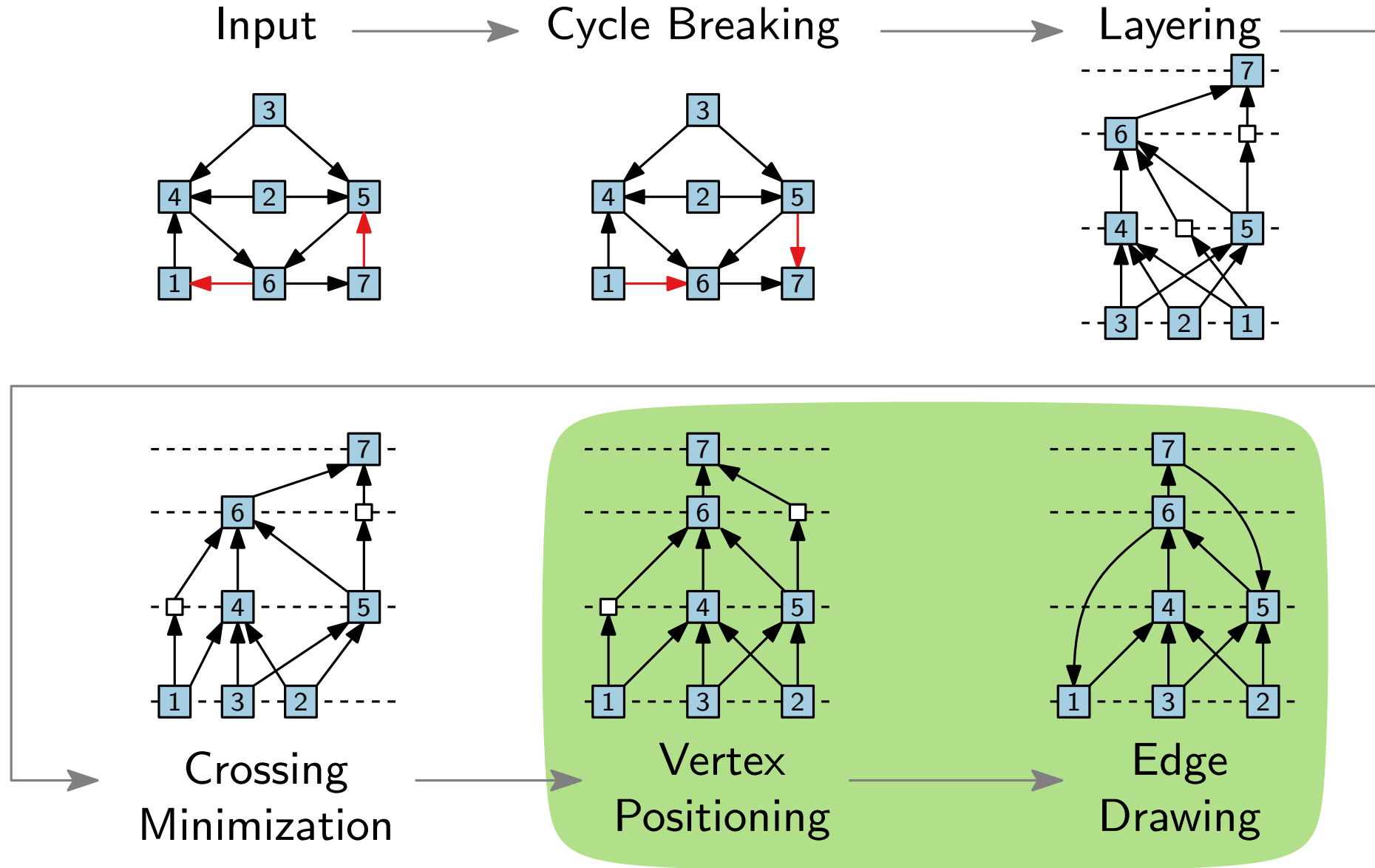
Example



Example



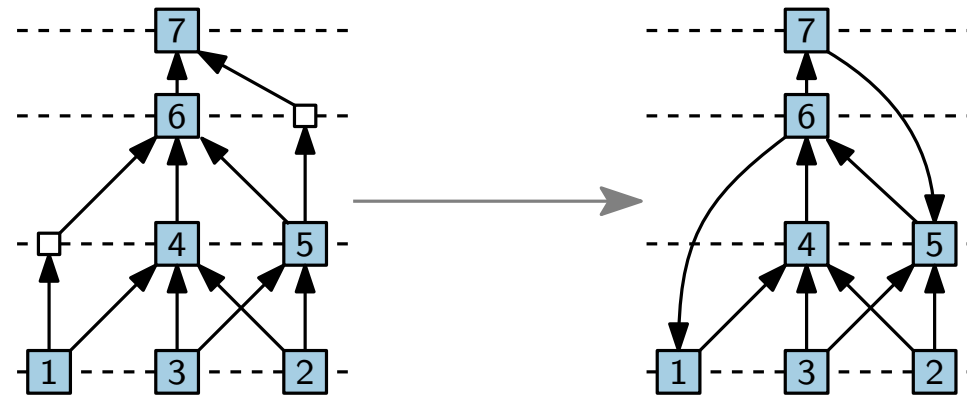
Step 5: Drawing Edges



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Possibility.

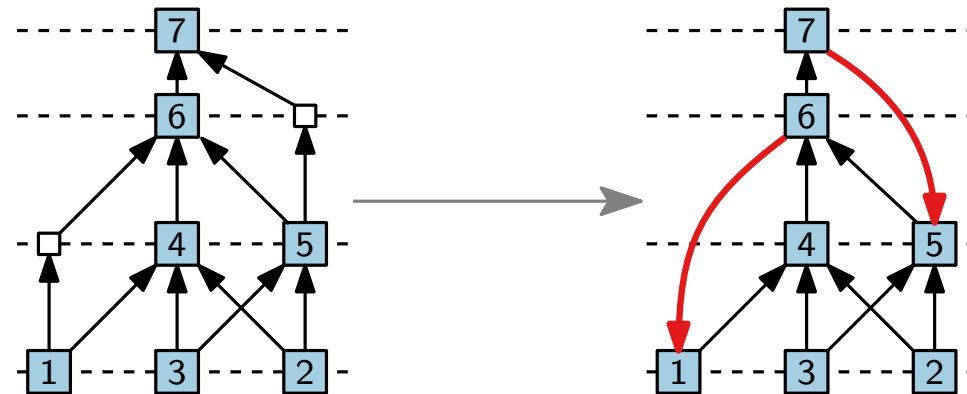
Substitute polylines by Bézier curves.



Step 5: Drawing Edges

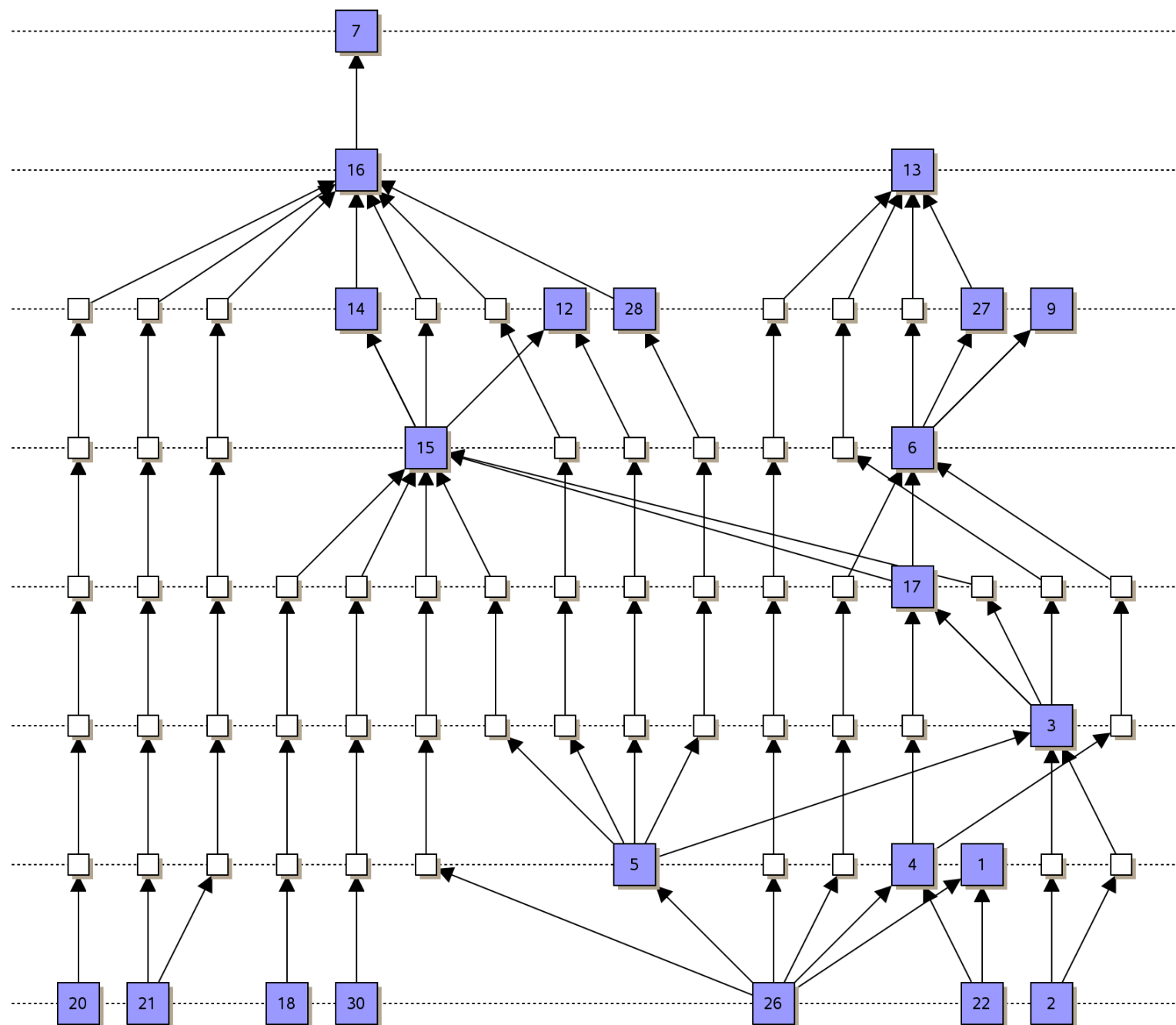
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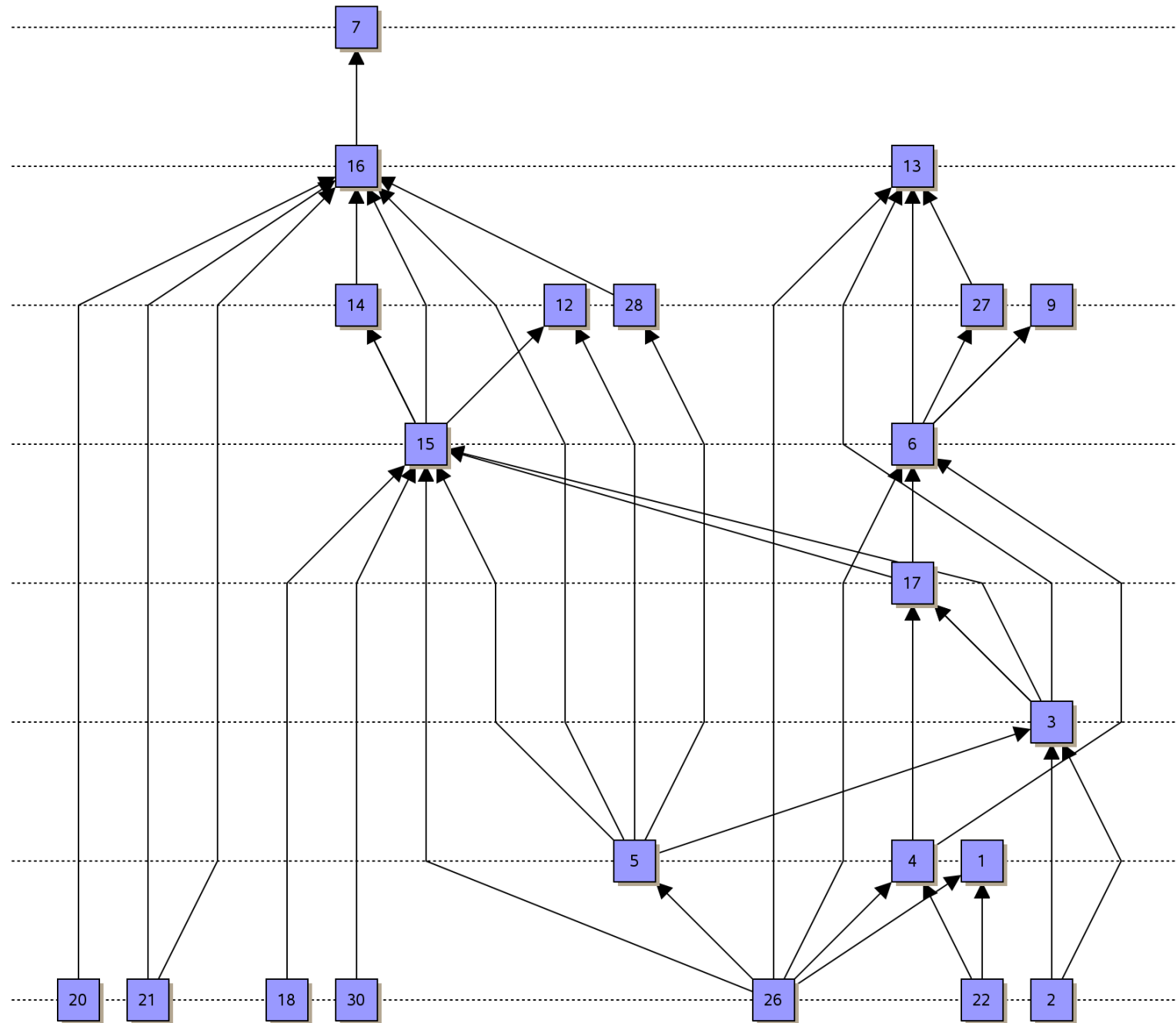


Remark.

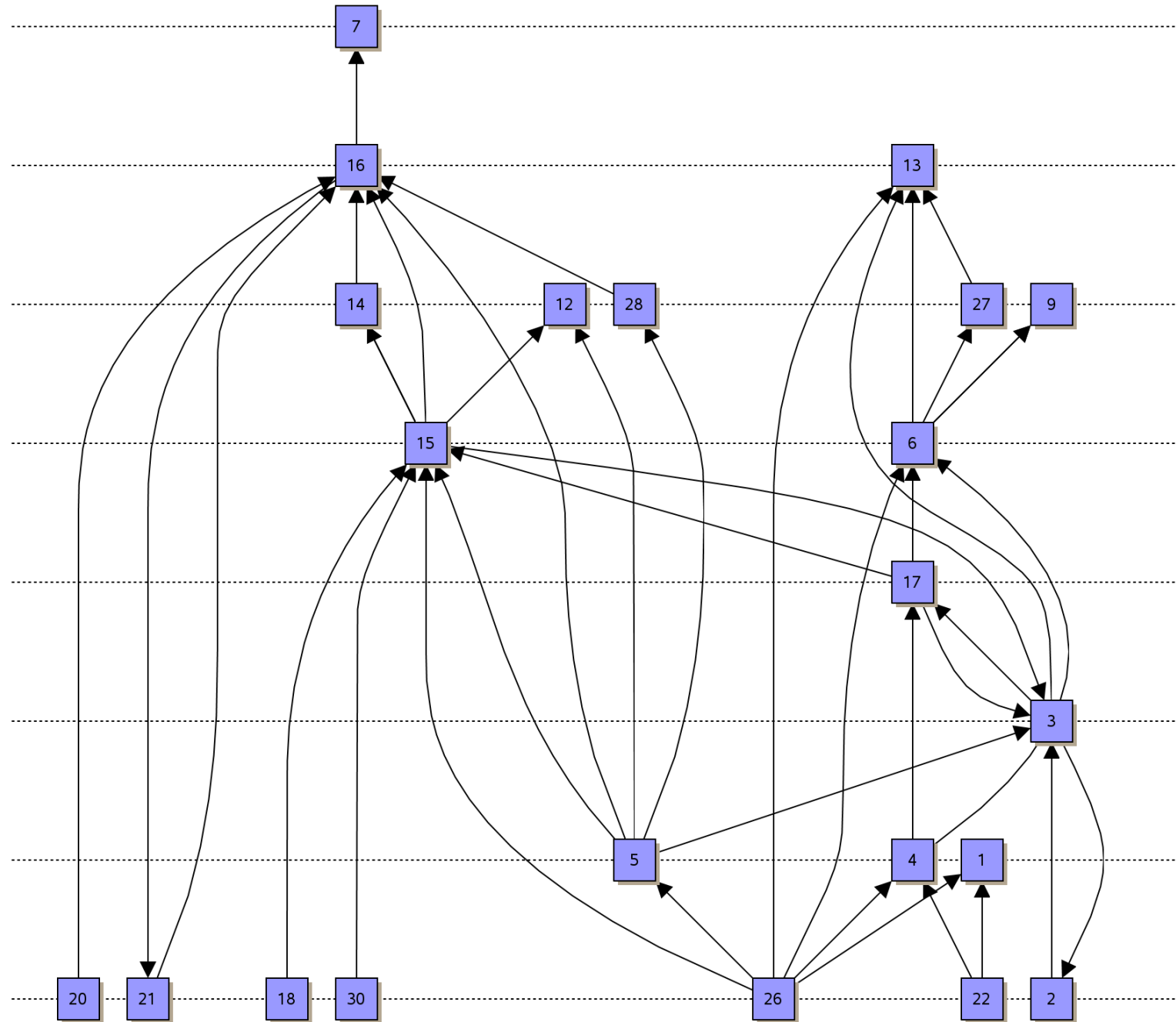
Draw reversed edges downwards.



Example

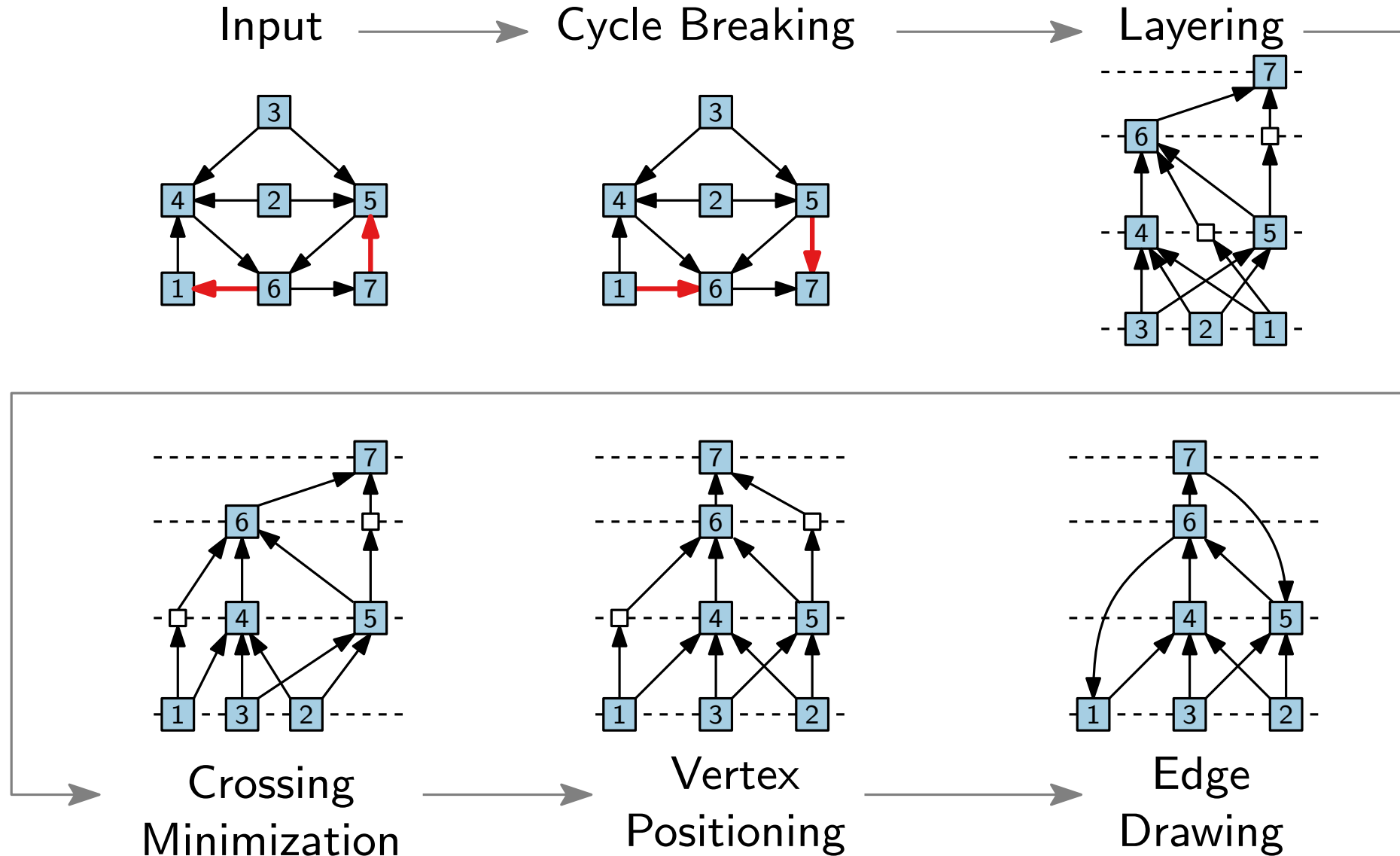


Example



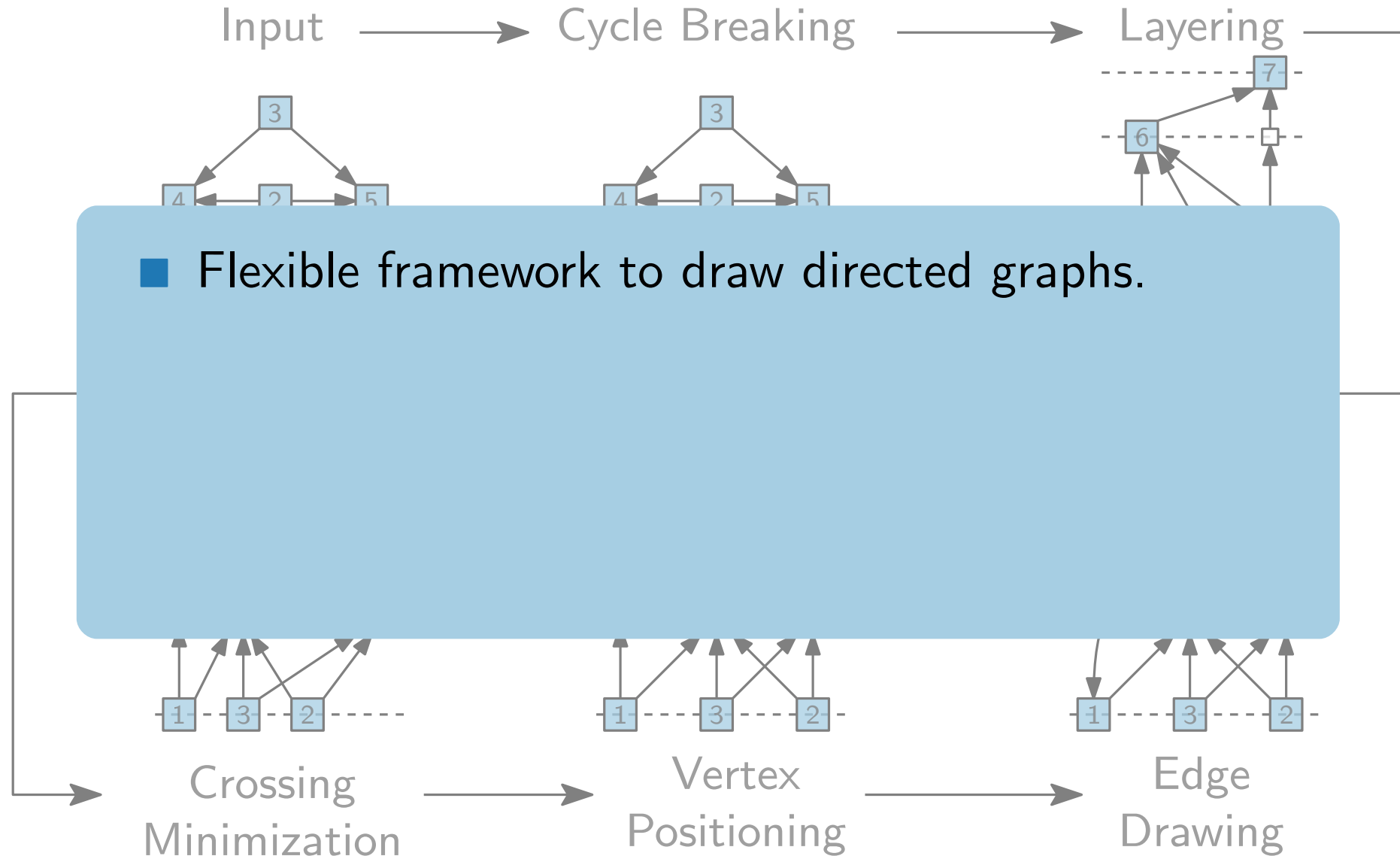
Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]



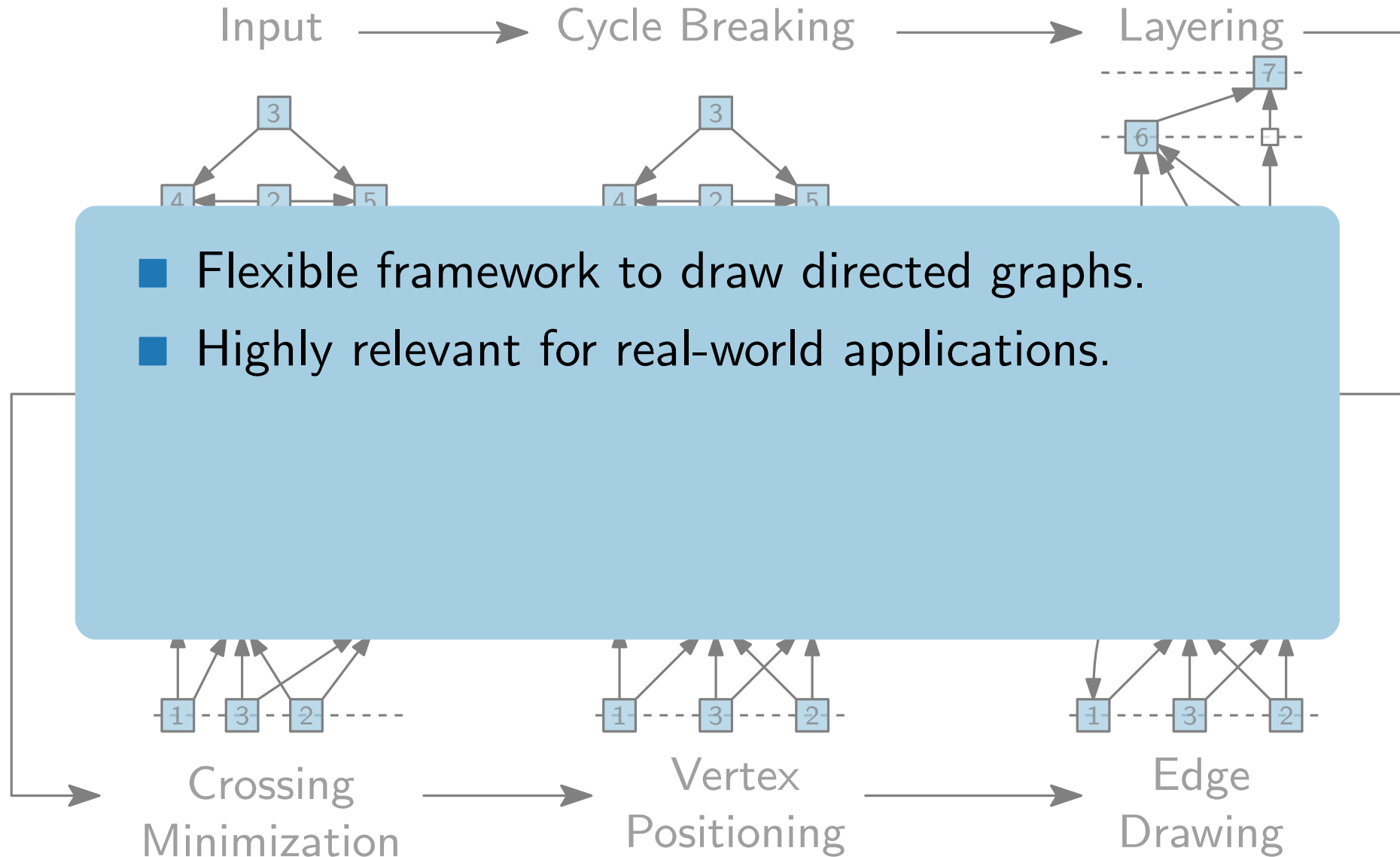
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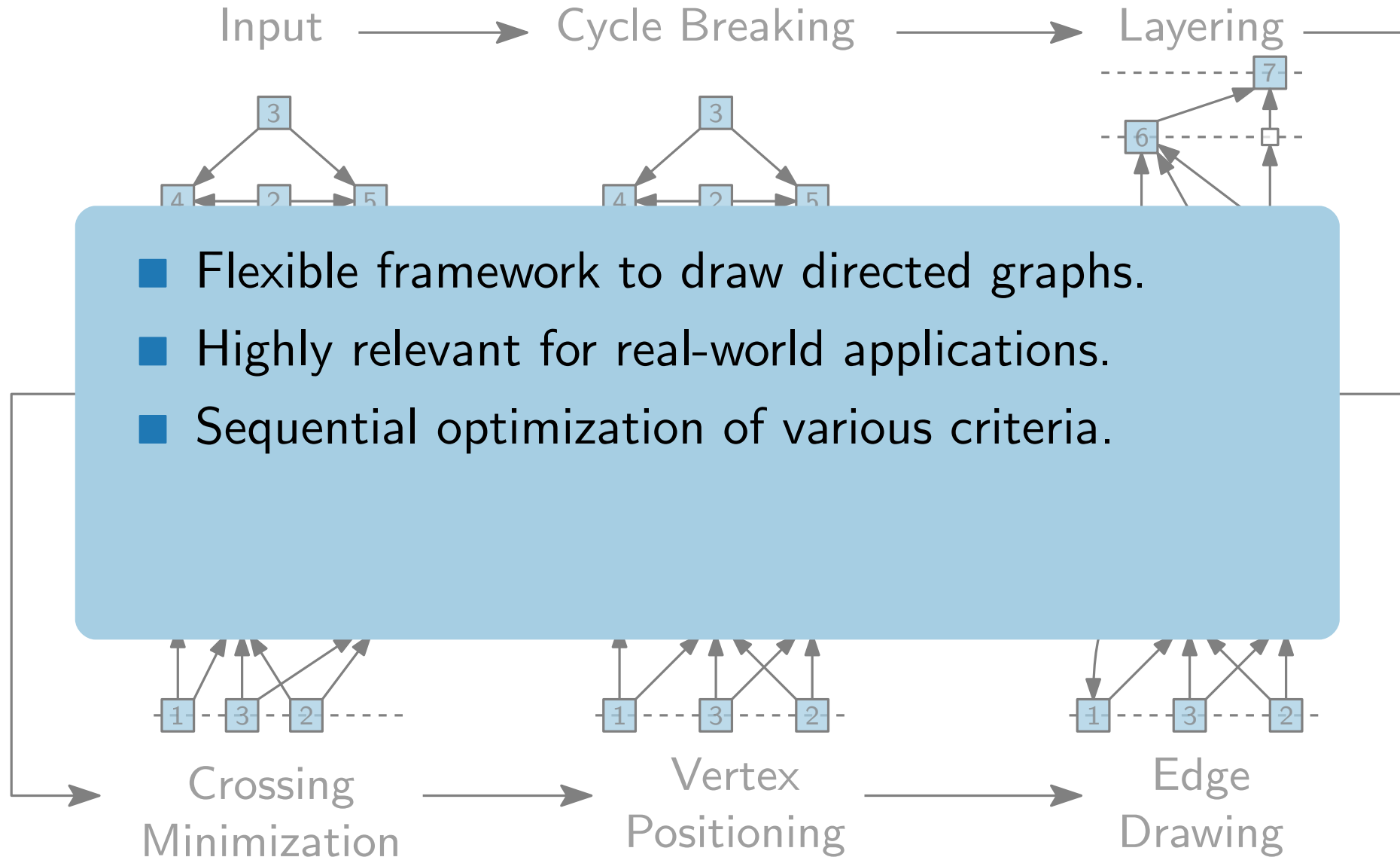
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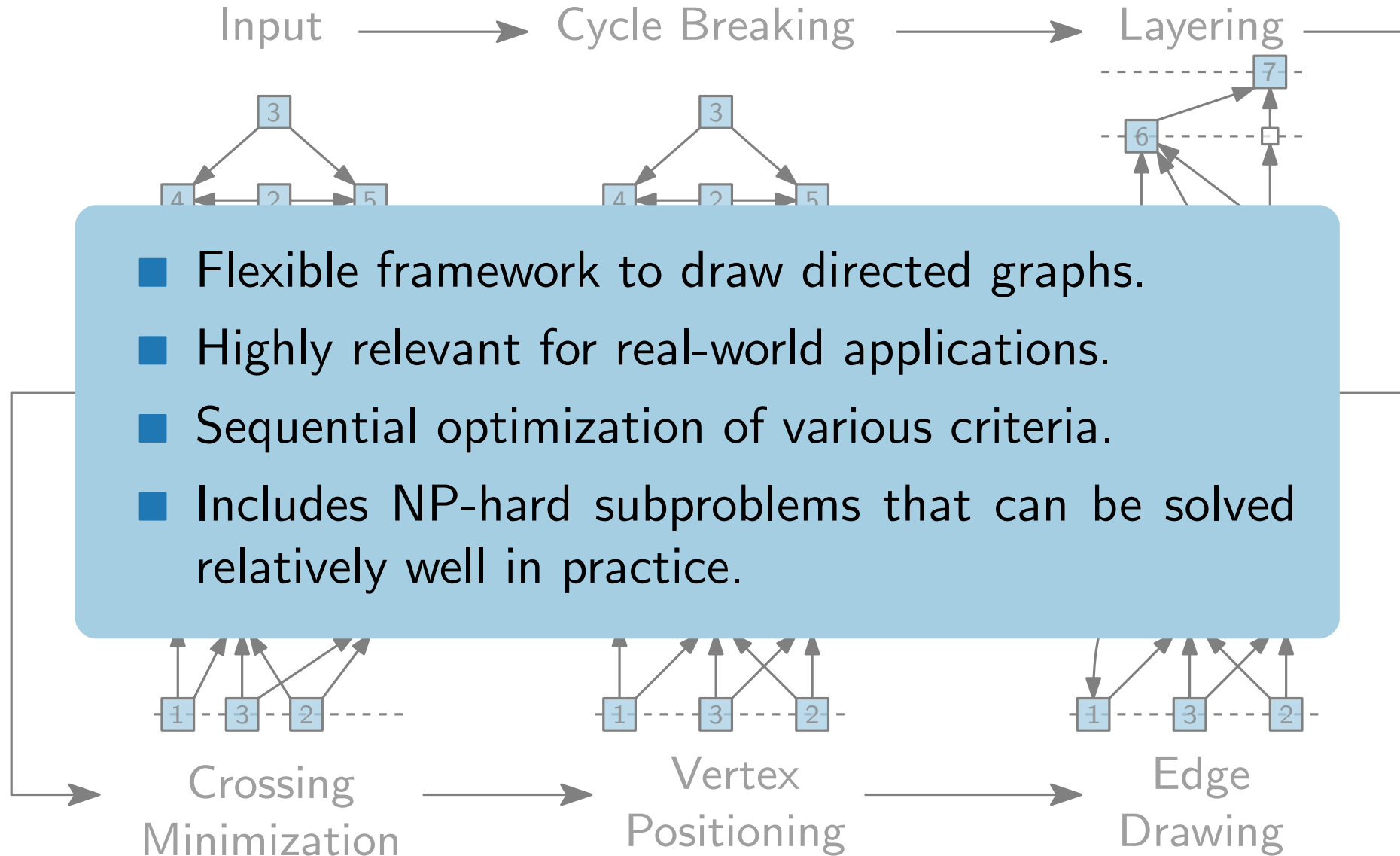
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Literature

Detailed explanations of steps and proofs in

- [GD Ch. 11] and [DG Ch. 5]

based on

- [Sugiyama, Tagawa, Toda '81]

Methods for visual understanding of hierarchical system structures

and refined with results from

- [Berger, Shor '90] Approximation algorithms for the maximum acyclic subgraph problem
- [Eades, Lin, Smith '93] A fast and effective heuristic for the feedback arc set problem
- [Garey, Johnson '83] Crossing number is NP-complete
- [Eades, Kelly '86] Heuristics for reducing crossings in 2-layered networks.
- [Eades, Whiteside '94] Drawing graphs in two layers
- [Eades, Wormland '94] Edge crossings in drawings of bipartite graphs
- [Jünger, Mutzel '97]

2-Layer Straightline Crossing Minimization: Performance of Exact and Heuristic Algorithms