

#### After this lecture, you'll...

- Learn about machine-translation-based cross-lingual transfer
- Understand why MT-based CL transfer is difficult for token-level tasks
- Learn about word alignment (WA) algorithms, symbolic and semantic
- Be aware of "mark-then-translate" as an alternative to WA





- Translation-Based CL Transfer
- Word Alignment
  - Symbolic word alignment
  - Semantic word alignment
- Mark-then-Translate





- Cross-Lingual transfer: transfer supervised models for concrete NLP tasks
  - Models trained on labeled data in high-resource source language...
  - ...make predictions on texts in low-resource target languages with little or no labeled data









- Only a <u>handful</u> of NLP tasks have annotated data in many languages
  - Part-of-speech tagging (<u>Universal Dependencies</u>, UD)
  - Syntactic parsing (UD)
  - Named Entity Recognition (e.g., WikiANN)
- Higher-level semantic tasks often have only English training data
  - Generally more difficult tasks, e.g.:
    - Natural Language Inference (NLI)
    - Semantic Text Similarity (STS)
    - Question Answering (QA)
    - Causal Commonsense Reasoning
    - •





• How about we use state-of-the-art **machine translation** to get annotated data in the languages we care about?

Two common strategies:

#### 1. Translate train

- Automatically translate our training dataset in the source language  $L_{\!S}$  to the target language  $L_{\!T}$
- We obtain a (noisy) monolingual training dataset in L
- We train a dedicated model  $M_T$  for  $L_T$
- For instances  $I_T$  from  $L_T$  we make predictions with  $M_T$



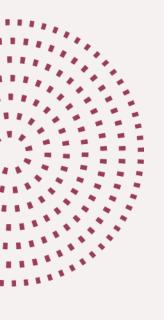




- How about we use state-of-the-art **machine translation** to get annotated data in the languages we care about?
- Two common strategies:

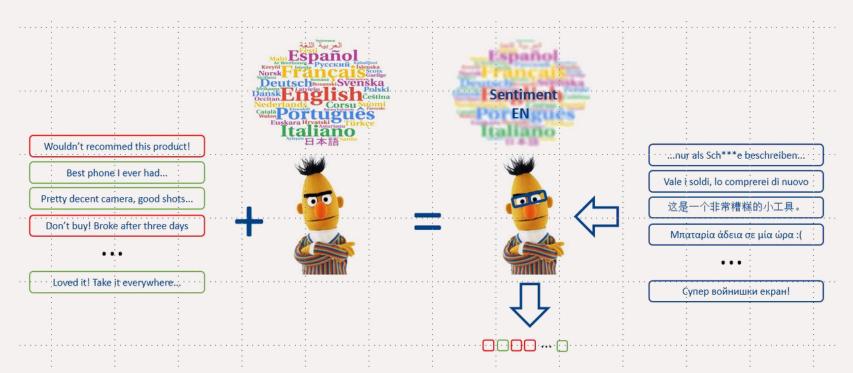
#### 2. Translate test

- Train the model  $M_S$  using the clean training data in  $L_S$
- At inference time, for input  $I_T$  in  $L_T$ :
  - First translate  $I_T$  to the source language  $L_S$
  - Make the prediction with the model  $M_S$  on the translation





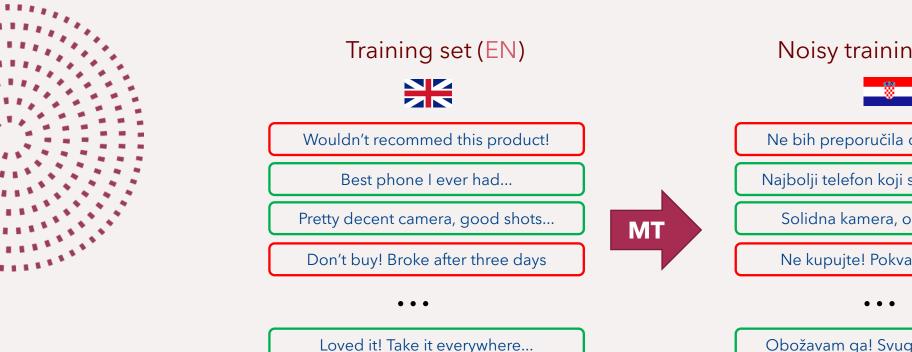
- Cross-lingual transfer with MMTs is conceptually trivial
  - 1. Place a task-specific head on top of the Transformer body
  - 2. Perform standard fine-tuning using task-specific training data in  $L_{\rm S}$
  - 3. Use the Transformer and classifier to make predictions for data in  $L_T$







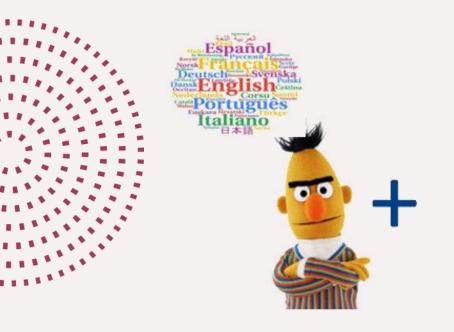
1. Automatically translate our training dataset in the source language L<sub>S</sub> to the target language L<sub>T</sub>



Noisy training set (HR) Ne bih preporučila ovaj proizvod... Najbolji telefon koji sam ikad imao... Solidna kamera, odlične slike... Ne kupujte! Pokvarilo se brzo... Obožavam ga! Svugdje ga nosim...



- 2. Train (i.e., fine-tune an MMT) on the translated train set
- 3. Make inference with the obtained model on target language input



Noisy training set (HR)



Ne bih preporučila ovaj proizvod...

Najbolji telefon koji sam ikad imao...

Solidna camera, odlične slike...

Ne kupujte! Pokvarilo se brzo...

• • •

Obožavam ga! Svugdje ga nosim...



Baš super player, preporučam



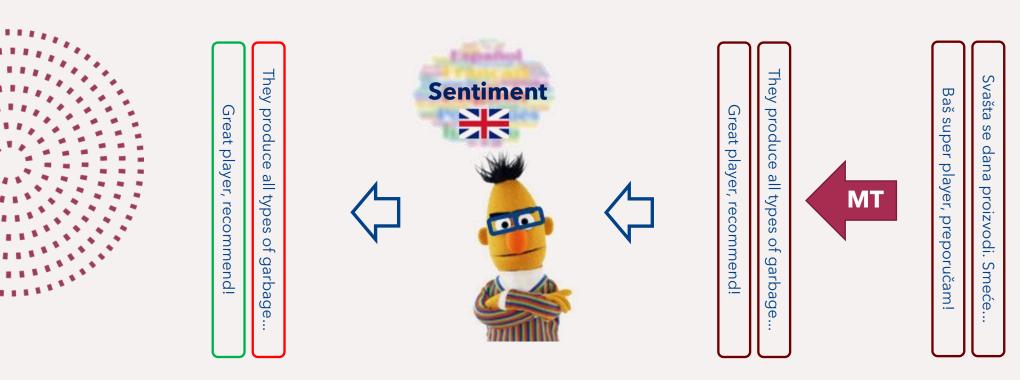


1. Train (i.e., fine-tune an MMT) on the original training set in  $L_{\rm S}$ 





- 1. At inference, first translate the input from  $L_T$  to  $L_S$
- 2. Then make prediction with the model trained on  $L_S$  data





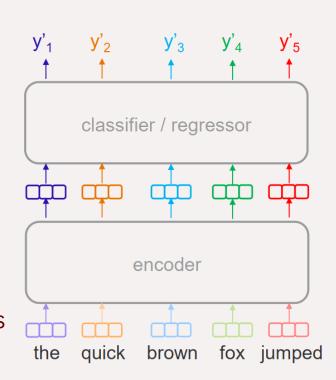
ions

- Q: Translation-based vs. zero-shot CL transfer?
- Q: Translate-train vs. translate-test?
- Q: Shortcomings of translation-based transfer?
- The quality of translation-based transfer, obviously, depends on the quality of machine translation
- Translation-based CL transfer typically comparable or better than zero-shot CL transfer for higher-resource languages
  - For languages with <u>strong MT models</u>
- Translate-train typically more robust that translate test
  - Especially for higher-level semantic tasks (QA, NLI, ...)
  - Q: Why?



### **Translation Transfer for Token Classification?**

- Token-level classification (or regression), also known as sequence labeling, denotes tasks in which a label (class or score) is to be assigned to <u>each input token</u>
- Examples:
  - Part-of-speech tagging
  - Named entity recognition
  - Any of the other IE tasks where we need to extract
  - the span of tokens that represent a concept instance
  - Labels are at the token level
    - Translation-based transfer requires label projection
      - Traditionally, LP based on word alignment
        - Words from the translation  $I_T$  to the tokens of the source input  $I_S$  as tokens from  $I_S$  have labels

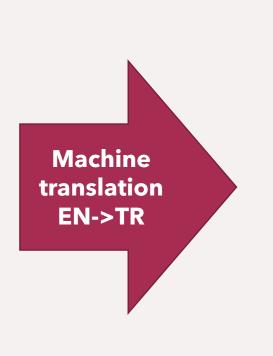


### **Translation Transfer for Token Classification?**

• Example: Named Entity Recognition



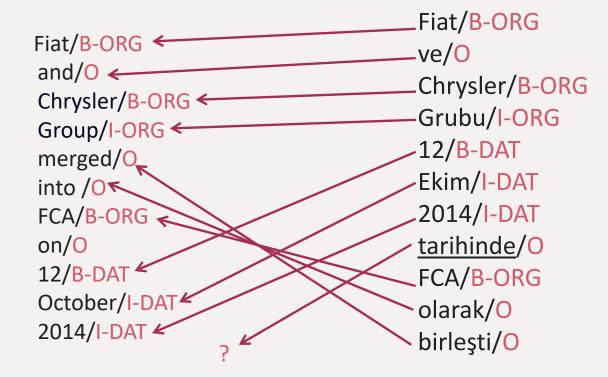
Fiat/B-ORG and/O Chrysler/B-ORG Group/I-ORG merged/O into /O FCA/B-ORG on/O 12/B-DAT October/I-DAT 2014/I-DAT

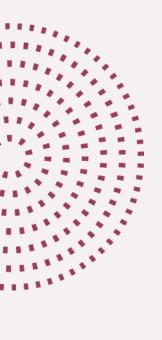


Fiat/? ve/? Chrysler/? Grubu/? 12/? Ekim/? 2014/? tarihinde/? FCA/? olarak/? birleşti/?

### **Translation Transfer for Token Classification?**

- To be able to transfer labels from  $l_S$  to its translation  $l_T$  (or vice-versa), we need to establish the **word alignment**
- This method of transfer is called **annotation (or label) projection**





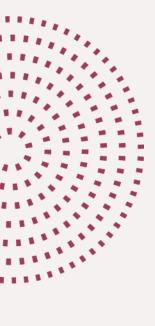
### Content

- Translation-Based CL Transfer
- Word Alignment
  - Symbolic word alignment
  - Semantic word alignment
- Mark-then-Translate





- Word alignment is a task of finding mutual word translations between parallel texts (aka bitext), typically sentences that are translations of each other
- Word alignment is a "messy" task because of:
  - 1-N, N-1, and N-N relations between words
  - Different word orders (and other morphosyntactic differences) between languages
- Word alignment was crucial in statistical machine translation
  - WA and SMT itself → two sides of the same coin
  - Shift to <u>NMT</u> reduced the importance of WA
    - Still important for CL transfer for token-level tasks!



# **Word Alignment**





- Word alignment is practically (for CL transfer) defined as aligning each token  $t_i$  of the target sentence  $\mathbf{t} = \{t_1, t_2, ..., t_m\}$  to a token  $s_j$  of the source sentence  $\mathbf{s} = \{s_1, s_2, ..., s_n\}$
- In reality, not all target language tokens have a direct translation in the source sentence  $\rightarrow$  we introduce a special "empty" token  $s_0$ 
  - $\mathbf{s} = \{\underline{s_0}, s_1, s_2, ..., s_n\}$
- Multiple tokens from  $\boldsymbol{t}$  can be aligned to the same source token  $\boldsymbol{s}_j$





- IBM Word Alignment Models
  - Originally SMT models
  - Later on primarily used as word alignment models

#### Translation formulation:

- We're going to <u>swap</u> source and target language for a moment
  - Source in the translation formulation is "target" for alignment
- We're searching for the most likely translation s for a given input t

```
s^* = \operatorname{argmax}_{\mathbf{s}} P(\mathbf{s}|\mathbf{t}) (which, given Bayes rule)

\propto \operatorname{argmax}_{\mathbf{s}} P(\mathbf{t}|\mathbf{s}) P(\mathbf{s})
```

Translation model

Language model (of translation target language,  $L_S$ )







#### IBM Models

- Translation model: estimates the probabilities P(t|s)
- IBM Model 2:
  - Let's assume alignments a<sub>1</sub>, a<sub>2</sub>, ..., a<sub>m</sub>
  - Alignment  $a_k = (i, j)$  means that  $t_i$  is aligned to  $s_j$

$$P(\mathbf{t}|\mathbf{s}) = \prod_{i=1}^{m} q_p(j|i,m,n) * q_w(t_i|s_j)$$

Position alignment score (for positions i and j given lengths m and n)

Word translation scores (regardless of positions of words)





- If we had estimates  $q_p(j|i,m,n)$  for all position pairs i and j
- And estimates  $q_w(t|s)$  for all word pairs
- We could then easily compute the "optimal" word alignment (according to the IBM Model 2) for any two parallel sentences t and s

#### Algorithm

- For each  $t_i$  in t
  - Select  $s_j$  in **s** for which  $q_p(j|i,m,n)*q_w(t_i|s_j)$  is the largest







- Q: How do we obtain position alignment scores  $q_p$  and word translation scores  $q_w$ ?
- We estimate them from the parallel corpus using an <u>expectation</u> <u>maximization (EM) algorithm</u>
- Parallel corpus = a set of sentence translation pairs  $\{s^{(k)}, t^{(k)}\}_k$



- Q: How do we obtain position alignment scores  $q_p$  and word translation scores  $q_w$ ?
- Parallel corpus: {s<sup>(k)</sup>, t<sup>(k)</sup>}<sub>k</sub>
- Let's for a moment assume that we also have "gold" word alignments in our training corpus (which in reality, we won't have)
  - We can directly do the <u>maximum likelihood estimation</u> (MLE) of  $q_w$  and  $q_p$  as follows (function "c" indicates the raw count):

$$q_p(j|i,m,n) = \frac{c(j|i,m,n)}{c(i,m,n)}$$

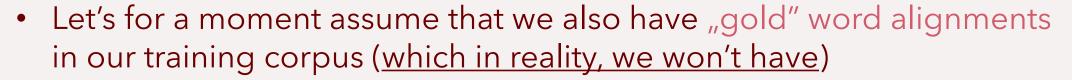
Number of times (in our training parallel corpus with gold alignments) that the i-th word in t (which is of length m) was aligned with the j-th word in t (which is of length t)











• We can directly do the <u>maximum likelihood estimation</u> (MLE) of  $q_w$  and  $q_p$  as follows (function "c" indicates the raw count):

$$q_w(t|s) = \frac{c(t,s)}{c(s)}$$

Number of times some target word (e.g., *Hund*) was aligned to some source word (e.g., *dog*)

Number of times that source word (e.g., dog) appeared in the parallel corpus





- Q: How do we obtain position alignment scores  $q_p$  and word translation scores  $q_w$ ?
- In reality, we won't have word alignments provided on our parallel corpus  $\{\mathbf{s}^{(k)},\mathbf{t}^{(k)}\}_k$
- We cannot really count c(t|s) and c(j|i,m,n)
- But words and positions of alignments will tend to appear more often over the sentences of our parallel corpus
- "Learning" algorithm: a variant of **expectation maximization**, iteratively:
  - 1. Estimate changes to counts c(t|s) and c(j|i,m,n) (expected counts) from current parameter values ( $q_p$  and  $q_w$ )
  - 2. Update all parameters ( $q_p$  and  $q_w$ ) based on new expected counts





- Parallel corpus  $\{\mathbf{s}^{(k)}, \mathbf{t}^{(k)}\}_k$
- Let's assume some parameter initialization  $q_p(j|i,m,n)$ ,  $q_w(t|s)$ , e.g., with random values
- The EM algorithm then iterates over each sentence pair  $s^{(k)}$ ,  $t^{(k)}$  and:
  - Computes the probability of alignment  $\delta(k, i, j)$  for positions i (from  $\mathbf{t}^{(k)}$ ) and j (from  $\mathbf{s}^{(k)}$ ) as follows:

$$\delta(k, i, j) = \frac{q_{p}(j|i, m_{k}, n_{k}) * q_{w}(t_{i}^{k}|s_{j}^{k})}{\sum_{j'=0}^{n} q_{p}(j'|i, m_{k}, n_{k}) * q_{w}(t_{i}^{k}|s_{j'}^{k})}$$



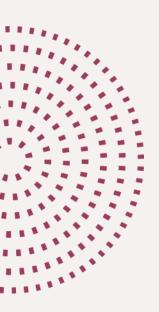


$$\delta(k, i, j) = \frac{q_{p}(j|i, m_{k}, n_{k}) * q_{w}(t_{i}^{k}|S_{j}^{k})}{\sum_{j'=0}^{n} q_{p}(j'|i, m_{k}, n_{k}) * q_{w}(t_{i}^{k}|S_{j'}^{k})}$$

<u>Alignment algorithm</u>: (initialize all parameters  $(q_p, q_w)$ , e.g., to random values)

- For step in 1 to S (S iterations of the algorithm):
  - Initialize all counts (c(j|i,m,n)) and c(i,m,n),  $c(t_i^k,s_j^k)$ ,  $c(s_j^k)$  to **zero**
  - **for each** training pair of sentences  $\mathbf{t}^{(k)}$  and  $\mathbf{s}^{(k)}$ :
    - **for** *i* in 1 to  $m_k$  (iterating over all tokens of  $\mathbf{t}^{(k)}$ ):
      - **for** j in 0 to  $n_k$  (iterating over all tokens of  $s^{(k)}$ ):
        - Compute  $\delta(k, i, j)$  according to the above formula
        - Update count expectations:
          - $c(j|i, m_k, n_k) \leftarrow c(j|i, m_k, n_k) + \delta(k, i, j)$
          - $c(i, m_k, n_k) \leftarrow c(i, m_k, n_k) + \delta(k, i, j)$
          - $c(t_i^k, s_i^k) \leftarrow c(t_i^k, s_i^k) + \delta(k, i, j)$
          - $c(s_i^k) \leftarrow c(s_i^k) + \delta(k, i, j)$
  - Update the parameters based on collected (expected) counts

• 
$$q_p(j|i,m,n) = \frac{c(j|i,m,n)}{c(i,m,n)}$$
 and  $q_w(t|s) = \frac{c(t,s)}{c(s)}$ 







- Q: Why does this (intuitively) work?
  - Words that are translations of each other will appear in multiple pairs of sentence translations
  - Thus their count accumulation c(t, s) will be larger
- Based on morpho-syntactic similarities/differences between languages a "more informed" initialization of the positional alignments  $q_p$  possible
  - E.g., if the languages have same word order  $\rightarrow q_p(j|i, m, n)$  can be set larger for values of i and j that are closer to each other









Dyer, C., Chahuneau, V., & Smith, N. A. (2013). <u>A simple, fast, and effective reparameterization of IBM model 2.</u> In Proceedings of the 2013 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (pp. 644-648).

- IBM Model 2 is "sparse" and has very many parameters
  - $q_p(j|i,m,n) \rightarrow i^*j$  parameters for every different combination of lengths of sentences in the training set (every different m-and-n combination)
  - Likelihood of aligning certain position i and j is probably similar for various sentence lengths m and n
- FastAlign is a sparse WA model that reduces the number of parameters
  - Essentially a "reparametrization" of IBM Model 2

## Word Alignment: FastAlign





Dyer, C., Chahuneau, V., & Smith, N. A. (2013, June). <u>A simple, fast, and effective reparameterization of IBM model 2.</u> In Proceedings of the 2013 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (pp. 644-648).



- Essentially a "reparametrization" of IBM Model 2
- Instead of having an (updatable) parameters  $q_p(j|i,m,n)$  for each combination (i,j,m,n), we compute it with a function:

$$q_p(j|i,m,n) = p_0 \underline{if} j = 0$$
 (i.e.,  $p_0$  is the probability of no alignment)

$$(1 - p_0) * \frac{\exp(\lambda * h(i,j,m,n))}{\sum_{j'=1}^{n} \exp(\lambda * h(i,j,m,n))} \text{ otherwise (if j > 0)}$$



# Word Alignment: FastAlign





Dyer, C., Chahuneau, V., & Smith, N. A. (2013, June). <u>A simple, fast, and effective reparameterization of IBM model 2.</u> In Proceedings of the 2013 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (pp. 644-648).

- FastAlign is a sparse WA model that reduces the number of parameters
  - Essentially a "reparametrization" of IBM Model 2
    - $q_p(j|i,m,n) = p_0 \underline{if} j = 0$  (i.e.,  $p_0$  is the probability of no alignment)

$$(1 - p_0) * \frac{\exp(\lambda * h(i,j,m,n))}{\sum_{j'=1}^{n} \exp(\lambda * h(i,j,m,n))} \text{ otherwise } (j > 0)$$

- Where *h* is a fixed function of <u>relative positional distance</u>:
  - h(i, j, m, n) = -|i/m j/n|
  - Larger  $h \rightarrow$  lower probability of alignment between positions i (in t) and j (in s)



### Word Alignment: FastAlign





Dyer, C., Chahuneau, V., & Smith, N. A. (2013, June). <u>A simple, fast, and effective reparameterization of IBM model 2.</u> In Proceedings of the 2013 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies (pp. 644-648).

- FastAlign is a sparse WA model that reduces the number of parameters
  - Essentially a "reparametrization" of IBM Model 2
    - $q_p(j|i,m,n) = p_0 if j = 0$  (i.e.,  $p_0$  is the probability of no alignment)

$$(1 - p_0) * \frac{\exp(\lambda * h(i,j,m,n))}{\sum_{j'=1}^{n} \exp(\lambda * h(i,j,m,n))} \text{ otherwise } (j > 0)$$

- $\lambda$  ( $\geq 0$ ): decides <u>how strongly</u> we prefer alignments of close positions
  - $\lambda$  < 1: scales down the effect of relative distance of *i* and *j* 
    - Appropriate for syntactically dissimilar languages
  - $\lambda > 1$ : emphasizes the effect of relative distance of *i* and *j* 
    - Appropriate for syntactically similar languages







- Problems with "symbolic" word alignment methods
  - Same as for any other NLP task/problem
  - Do not capture semantic relations between words
    - Probability/count of alignment  $q_w(\text{car}, \text{Auto})$  is independent of the probability of alignment  $q_w(\text{automobile}, \text{Auto})$
  - Strictly requires parallel data
    - The more the better
    - Hard to find/create large parallel corpora for low-resource langs
    - Not able to align words that are <u>not</u> in the parallel "training" corpus



### Content

- Translation-Based CL Transfer
- Word Alignment
  - Symbolic word alignment
  - Semantic word alignment
- Mark-then-Translate





- We assume we have a semantic representation for each  $t_i$  from  ${\bf t}$  and each word  $s_j$  from  ${\bf s}$
- The representations of words from the target language  $L_T$  need to be semantically aligned with the representations of words from the source language  $L_S$
- Q: how can we obtain embeddings that satisfy this?
  - 1. Cross-lingual word embedding spaces (CLWEs)
  - 2. Multilingual Transformers (e.g., mBERT)

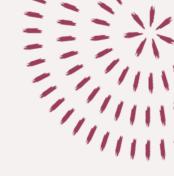
## **Semantic Word Alignment**



- Let  $\mathbf{t}_i \in \mathbb{R}^d$  be an embedding of the token  $\mathbf{t}_i$  from  $\mathbf{t}$
- Let  $\mathbf{s}_j \in \mathbb{R}^d$  be an embedding of the token  $\mathbf{s}_j$  from  $\mathbf{s}$
- Then we can obtain the similarity matrix  $S \in \mathbb{R}^{m \times n}$  which contains cosine similarities between all vectors  $\mathbf{t}_i$  from  $\mathbf{t}$  and  $\mathbf{s}_i$  from  $\mathbf{s}$ 
  - $S_{ij} = cos(\mathbf{t}_i, \mathbf{s}_j)$
- We use the similarity matrix S to obtain the alignments:
  - Greedy alignment
  - Greedy alignment with removal
  - Optimal alignment (with removal)
- Does <u>not require</u> parallel data

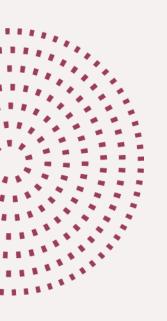






#### Greedy alignment

- For each word  $t_i$  we find the  $s_j$  that is semantically most similar to  $t_i$  according to cosine similarity between their embeddings:  $\cos(\mathbf{t}_i, \mathbf{s}_j)$
- I.e., in each row S[i:], we find the cell  $S_{ij}$  (column j) with max. value
- The same column j (i.e., same word  $\mathbf{s}_j$ ) may be chosen for multiple rows (i.e., multiple words  $\mathbf{t}_i$  may be aligned to the same  $\mathbf{s}_i$ )







#### Greedy alignment with removal

- Iteratively:
- 1. Find the most similar pair ( $\mathbf{t}_i$ ,  $\mathbf{s}_j$ ), i.e., the cell  $S_{ij}$  with the maximal value (among the remaining <u>eligible</u> cells) and make the alignment ( $\mathbf{t}_i$ ,  $\mathbf{s}_i$ )
- 2. Prevent any further alignments that involve  $s_i$ 
  - I.e., set all values S[:, j] to -1 (minimal cosine)

## **Semantic Word Alignment**



- Optimal alignment (with removal)
  - We are solving the following optimization problem: we're looking for a set of alignments that maximize the sum of pairwise similarities
  - Let A be a binary matrix (values 0 or 1):  $A_{ij} = 1$  indicates that an alignment has been established between  $t_i$  and  $s_j$ 
    - Constraint: A can have only one "1" in each row and each column

$$A^* = \operatorname{argmax}_{A \in \{0, 1\} \text{mxn}} \sum_{i=1}^{m} \sum_{j=1}^{n} A_{ij} S_{ij}$$







Optimal alignment (with removal)

$$A^* = \operatorname{argmax}_{A \in \{0, 1\} \text{mxn}} \sum_{i=1}^{m} \sum_{j=1}^{n} A_{ij} S_{ij}$$

- This is a well-known problem called bipartite graph matching
  - Also known as (optimal) alignment problem
- Efficient algorithms exist (solve it in polynomial time)
  - <u>The Hungarian algorithm</u> (Kuhn-Munkres algorithm, from 1955) solved the problem in O(n<sup>4</sup>)
  - Later better algorithms with complexity O(n³)



### Content

- Translation-Based CL Transfer
- Word Alignment
  - Symbolic word alignment
  - Semantic word alignment
- Mark-then-Translate



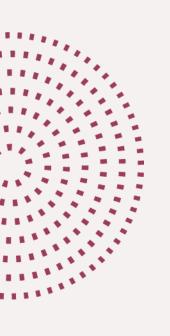


- **Simple idea:** put special tags around the tokens, indicating their label and translate with some MT system
  - Used a lot in token-level CL transfer, empirically tested only recently

"<ORG>Fiat</ORG> and <ORG>Chrysler Group</ORG> merged into <ORG>FCA</ORG> on <DAT>12 October 2014</DAT>"



"<ORG>Fiat</ORG> ve <ORG>Chrysler Grubu </ORG> <DAT>12 Ekim 2014 </DAT> <a href="mailto:tarihinde">tarihinde</a> <ORG>FCA</ORG> olarak birleşti"



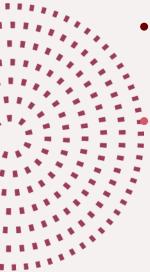






Chen, Y., Jiang, C., Ritter, A., & Xu, W. (2023, July). <u>Frustratingly Easy Label Projection for Cross-lingual Transfer</u>. In Findings of the Association for Computational Linguistics: ACL 2023 (pp. 5775-5796).

- More directly dependent on the quality of MT (i.e., abundance of parallel data between languages)
  - Mark-then-Translate gets better as MT models get better
    - Chen et al. (2023) experiment with Google Translate and open-source NLLB ("No Language Left Behind", covered in L9)
    - Report MtT better than WA-based label projection for many languages and tasks
      - Though mostly for high- and moderate-resource languages









Ebing B. and Glavaš, G. 2025. <u>The Devil Is in the Word Alignment Details: On Translation-Based Cross-Lingual Transfer for Token Classification Tasks</u>. In Findings of the Association for Computational Linguistics: ACL 2025.

- Proper empirical comparison between Mark-then-Translate and <u>optimal</u> word alignment (WA)
  - MtT: strong for high-resource languages
  - WA-based label projection comparable for high-resource languages and better for low-resource languages



