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## 2. Exercise for “Algorithmen, KI & Data Science 1”

### 1 Complexity

1. Complete the following table with the symbols  $O$ ,  $\Omega$ ,  $\Theta$ . Use  $O$  and  $\Omega$  only if  $\Theta$  cannot be used.

*Hint:* Try plotting the functions if you are unsure (you can find a code snippet in the provided .ipynb). You do not need to prove your solution mathematically.

Additional information:

$\Omega$ -notation characterizes a lower bound on the asymptotic behavior of a function (similar as  $O$ -notation characterizes an upper bound). It says that a function grows at least as fast as a certain rate. The formal definition is given by:

For a given function  $g(n)$ ,  $\Omega(g(n))$  denotes a set of functions:  $\Omega(g(n)) = \{f(n) : \text{there exists positive constants } c, \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$

	$\log(n)$	$2^{n/2}$	$\sqrt{n}$	5	$2^n$	$1/n$	$n$	$e^n$	$n^2$
$\log(n)$							$O$		
$2^{n/2}$									
$\sqrt{n}$									
5									
$2^n$									
$1/n$									
$n$									
$e^n$									
$n^2$									

	$\log(n)$	$2^{n/2}$	$\sqrt{n}$	5	$2^n$	$1/n$	$n$	$e^n$	$n^2$
$\log(n)$	$\Theta$	$O$	$O$	$\Omega$	$O$	$\Omega$	$O$	$O$	$O$
$2^{n/2}$	$\Omega$	$\Theta$	$\Omega$	$\Omega$	$O$	$\Omega$	$\Omega$	$O$	$\Omega$
$\sqrt{n}$	$\Omega$	$O$	$\Theta$	$\Omega$	$O$	$\Omega$	$O$	$O$	$O$
5	$O$	$O$	$O$	$\Theta$	$O$	$\Omega$	$O$	$O$	$O$
$2^n$	$\Omega$	$\Omega$	$\Omega$	$\Omega$	$\Theta$	$\Omega$	$\Omega$	$O$	$\Omega$
$1/n$	$O$	$O$	$O$	$O$	$O$	$\Theta$	$O$	$O$	$O$
$n$	$\Omega$	$O$	$\Omega$	$\Omega$	$O$	$\Omega$	$\Theta$	$O$	$O$
$e^n$	$\Omega$	$\Omega$	$\Omega$	$\Omega$	$\Omega$	$\Omega$	$\Omega$	$\Theta$	$\Omega$
$n^2$	$\Omega$	$O$	$\Omega$	$\Omega$	$O$	$\Omega$	$\Omega$	$O$	$\Theta$

2. For each of the following functions  $f_i$ , provide a function  $g_i$  having as few terms as possible and satisfying  $f_i \in \Theta(g_i)$ .

*Hint:* Try plotting your solution if unsure.

- Example:  $f_0(n) = 3n^2 + 3 \in \Theta(n^2)$

- $f_1(n) = n^2 2^n + 4^n + 3^n$
- $f_2(n) = n(n - 1)/2$
- $f_3(n) = \log(n^{70})$
- $f_4(n) = 9n \log(n) + 30n(\log(n))^2 + n$

- $f_1(n) \in \Theta(4^n)$
- $f_2(n) \in \Theta(n^2)$
- $f_3(n) \in \Theta(\log n)$
- $f_4(n) \in \Theta(n(\log(n))^2)$

3. Given Algorithm 1, explain in your own words, what the algorithm does. Determine its time complexity in Big- $O$  notation. Assuming that *nums* is sorted. Implement the algorithm *algo2(nums, v)* in the provided .ipynb that solves the problem in  $O(\log(n))$ , where *n* is the length of *nums*.

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**Algorithm 1** algo1(nums, v)

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for  $i = 0$  to nums.length - 1 do
  if nums[ $i$ ] == v then
    return  $i$ 
  end if
end for
return NIL

```

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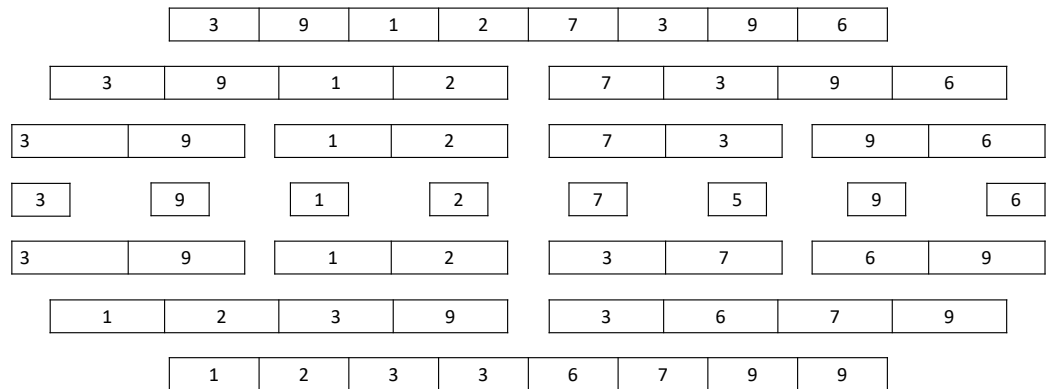
The algorithm searches for the element *v* in the array *nums*. If *v* is in *nums*, the index of *v* in *nums* is returned. Otherwise, the algorithm returns *NIL*. The algorithm is known as linear search.

Its time complexity is  $O(n)$  (if we only look at worst-case running time  $\Theta(n)$  would be more precise).

If *nums* is sorted, binary search is an algorithm that performs the task in  $O(\log(n))$ .

## 2 Sorting

1. Illustrate each step of merge sort as shown in lecture 5 slides 16-17 on the following sequence:  $\langle 3, 9, 1, 2, 7, 3, 9, 6 \rangle$ .



2. What value does *partition* (as presented in the lecture slides) return when all elements in the subarray  $A[p : r]$  have the same value?

■ The value  $r$

3. Give a brief argument that running time of *partition* on a subarray of size  $n$  is  $O(n)$  (Big- $O$  notation).

■ In the loop, there are  $p - (r - 1)$  iterations that take constant time plus the additional *exchange* outside the loop. This yields,  $r - p$  iterations that take at most constant time. Since  $r - p$  is the size of the subarray *partition* is called

on, this yields  $O(n)$ , if *partition* is called on a subarray of size  $n$ .

4. Implement the method *insertsort*(*nums*) in the corresponding .ipynb file. Given a list of integers *nums*, the method should sort the list in **descending order** using insertion sort. Sorting should be done in-place.
5. Implement the method *quicksort*(*nums*, *p*, *r*) and *partition*(*nums*, *p*, *r*) in the corresponding .ipynb file. Given a list of integers *nums*, a starting index *p*, and an end index *r*, the method *quicksort* should sort the list in **descending order** using quicksort. Sorting should be done in-place.