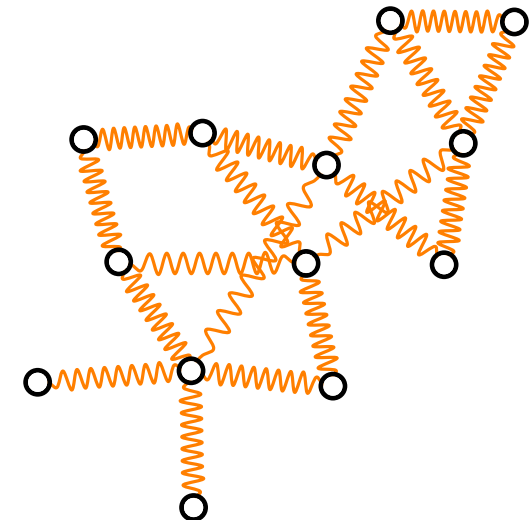
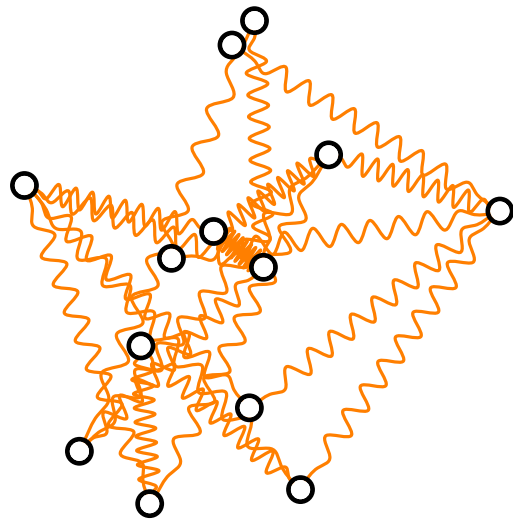


Visualization of Graphs

Lecture 2: Force-Directed Drawing Algorithms

Part I: Algorithmic Framework

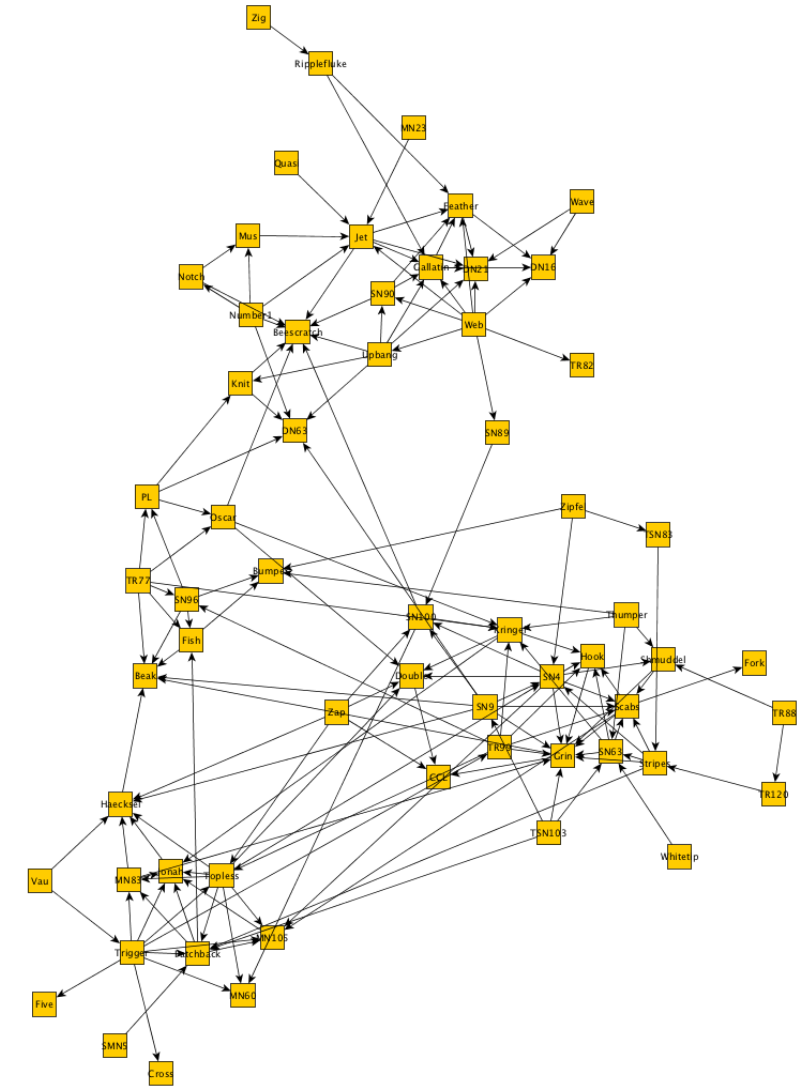
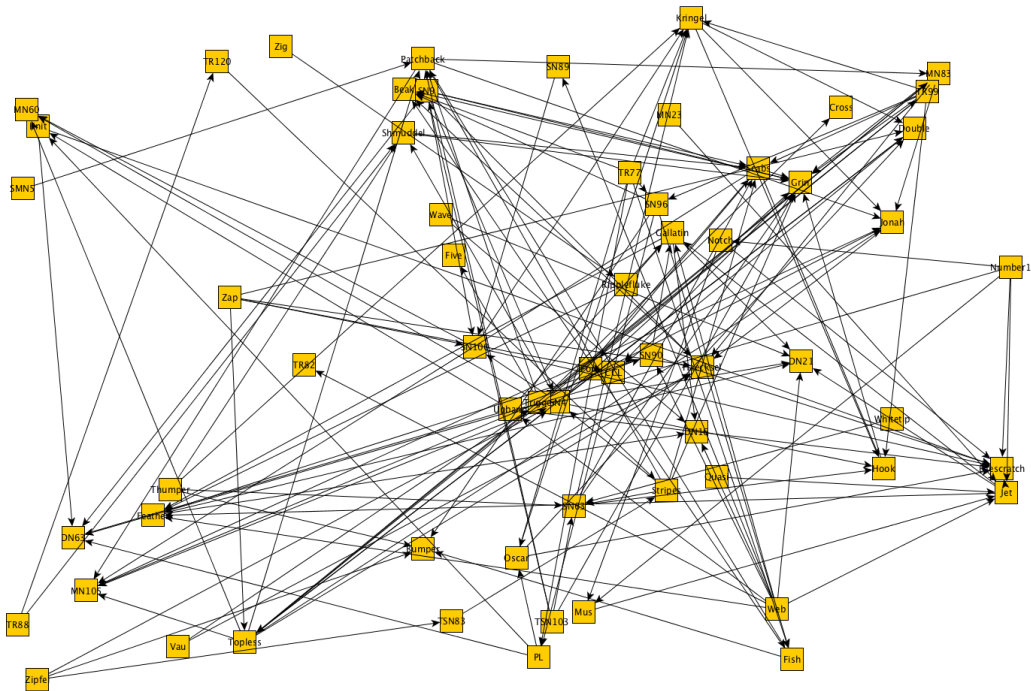
Alexander Wolff



General Layout Problem

Input: Graph G

Output: Clear and readable straight-line drawing of G



General Layout Problem

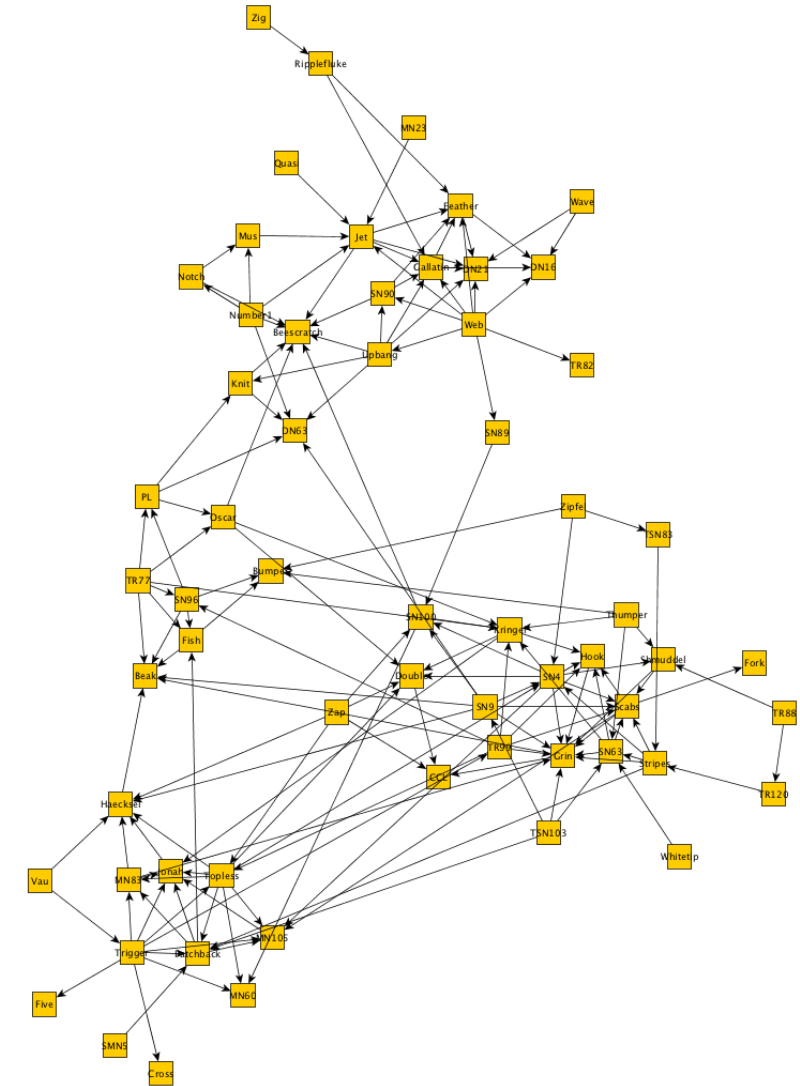
Input: Graph G

Output: Clear and readable straight-line drawing of G

Drawing aesthetics:

- adjacent vertices are close
- non-adjacent vertices are far apart
- edges short, straight-line, **similar length**
- densely connected parts (clusters) form communities
- as few crossings as possible
- nodes distributed evenly

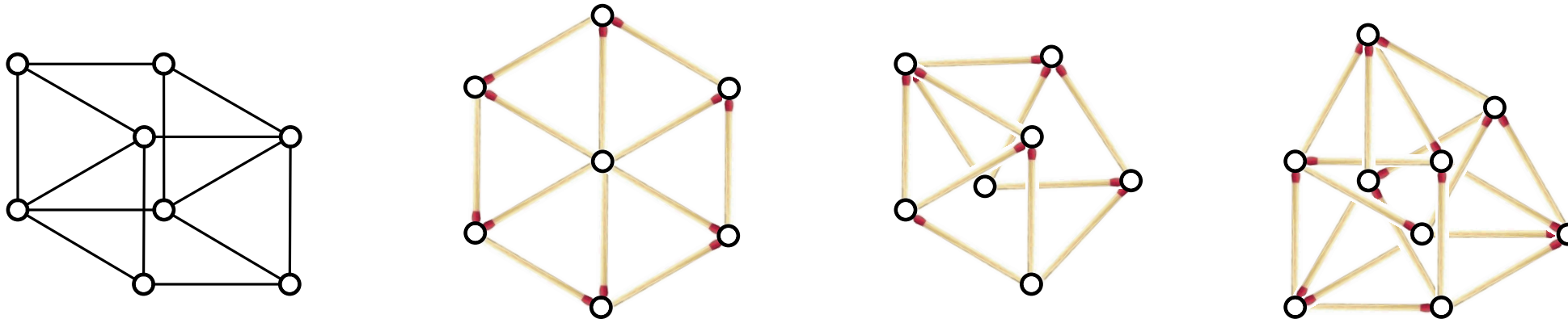
Optimization criteria partially contradict each other.



Fixed Edge Lengths?

Input: Graph G , required edge length $\ell(e)$ for each $e \in E(G)$.

Output: Drawing of G that realizes the given edge lengths.

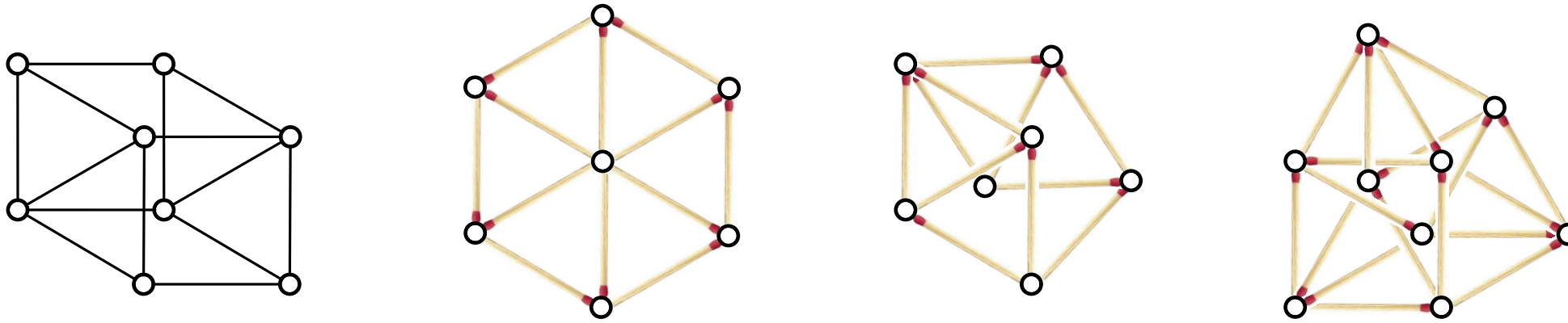


NP-hard for

Fixed Edge Lengths?

Input: Graph G , required edge length $\ell(e)$ for each $e \in E(G)$.

Output: Drawing of G that realizes the given edge lengths.



NP-hard for

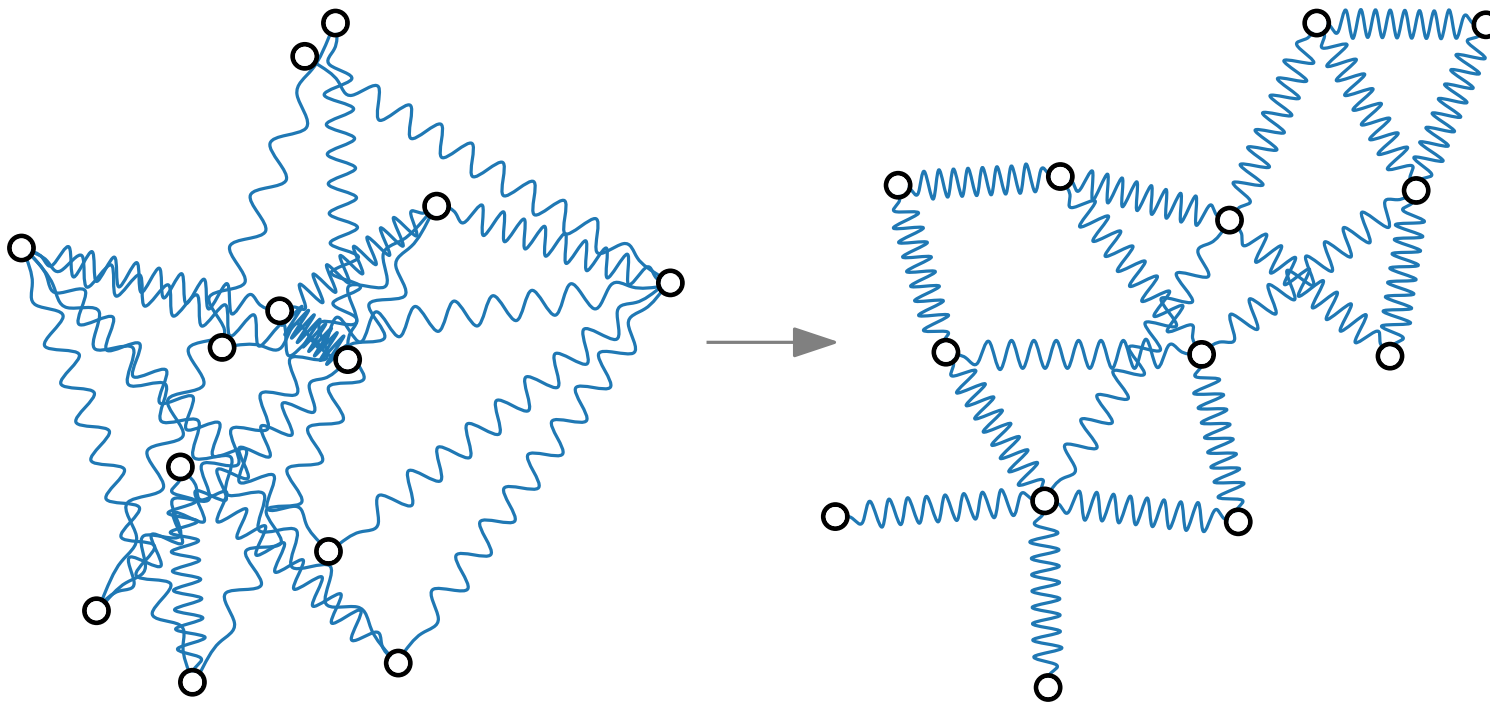
- uniform edge lengths in any dimension [Johnson '82]
- uniform edge lengths in planar drawings [Eades, Wormald '90]
- edge lengths $\{1, 2\}$ [Saxe '80]

Physical Analogy

Idea.

[Eades '84]

“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”

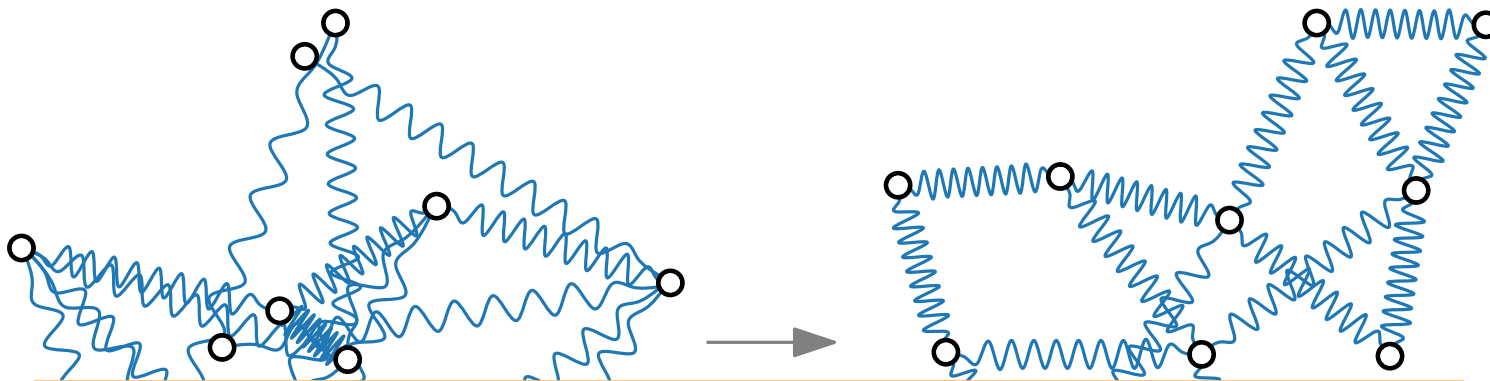


Physical Analogy

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“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



So-called **spring-embedder** algorithms that work according to this or similar principles are among the most frequently used graph-drawing methods in practice.

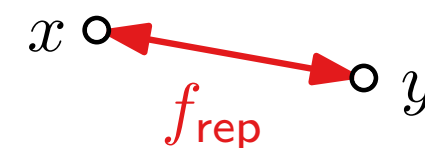
Attractive forces.

pairs $\{u, v\}$ of adjacent vertices:



Repulsive forces.

any pair $\{x, y\}$ of vertices:



Force-Directed Algorithms

ForceDirected($G = (V, E)$, $p = (p_v)_{v \in V}$, $\varepsilon > 0$, $K \in \mathbb{N}$)

initial layout → p

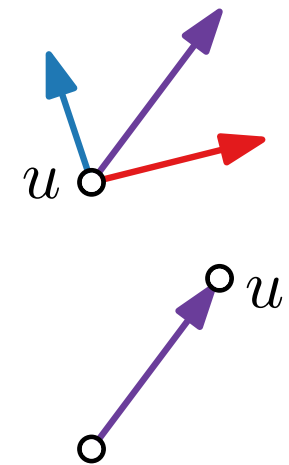
threshold → ε

max # iterations → K

```

 $t \leftarrow 1$ 
while  $t < K$  and  $\max_{v \in V} \|F_v(t)\| > \varepsilon$  do
  foreach  $u \in V$  do
     $F_u(t) \leftarrow \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{uv \in E} f_{\text{attr}}(u, v)$ 
  foreach  $u \in V$  do
     $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
    cooling factor →  $\delta(t)$ 
   $t \leftarrow t + 1$ 
return  $p$ 
end layout →  $p$ 

```



Visualization of Graphs

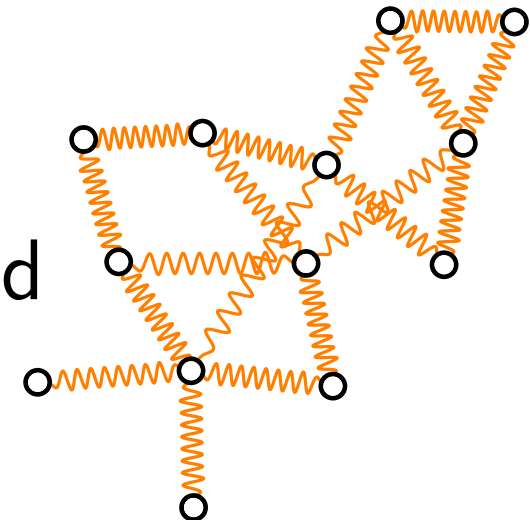
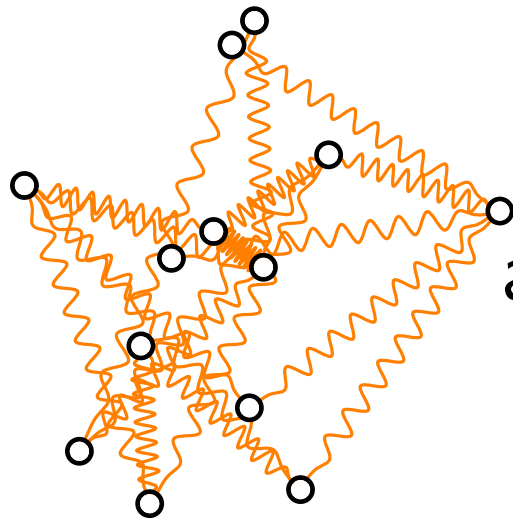
Lecture 2:

Force-Directed Drawing Algorithms

Part II:

Spring Embedders by Eades
and by Fruchterman & Reingold

Alexander Wolff



Spring Embedder by Eades – Model

■ Repulsive forces

repulsion constant (e.g., 2.0)

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

■ Attractive forces

spring constant (e.g., 1.0)

$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$

■ Resulting displacement vector

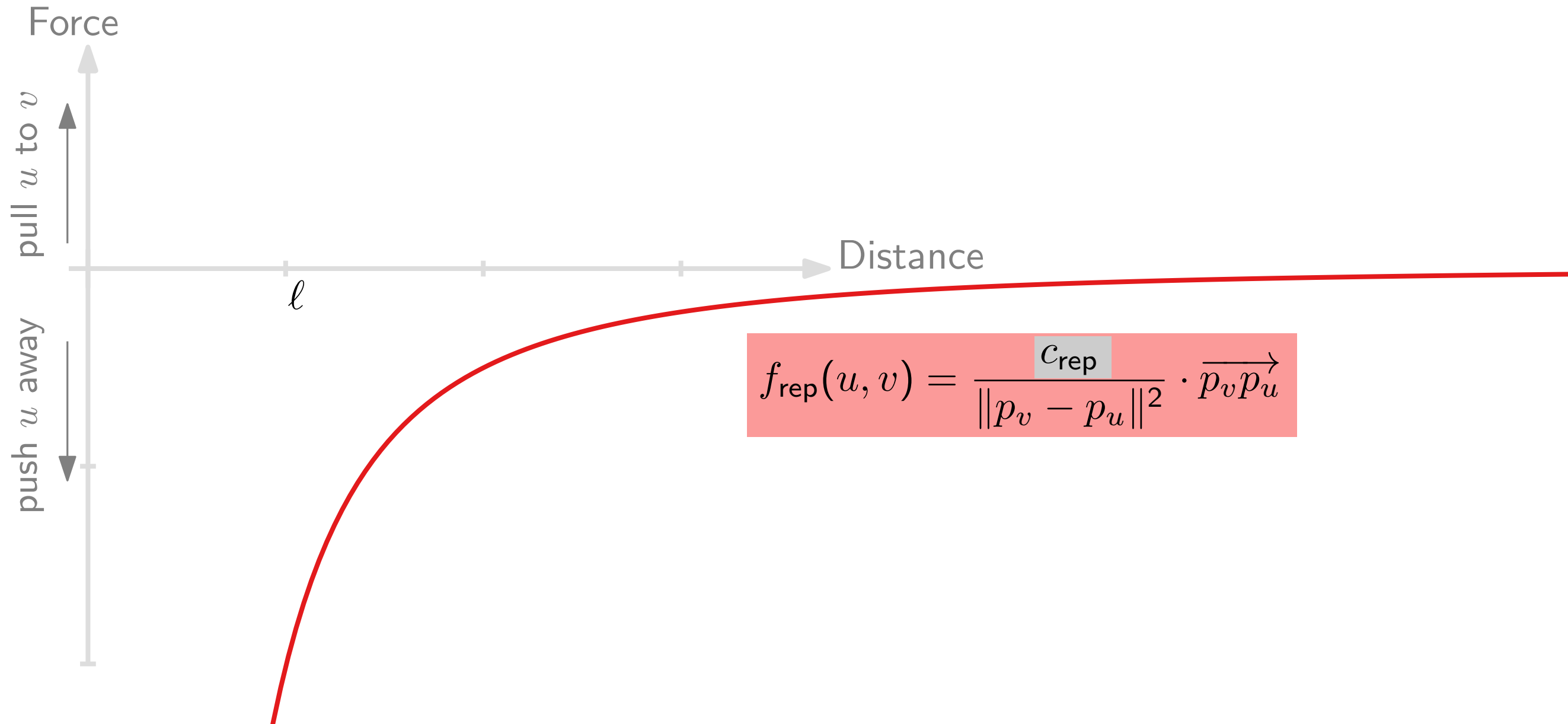
$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$$

```
ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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  foreach  $u \in V$  do
     $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
   $t \leftarrow t + 1$ 
return  $p$ 
```

Notation.

- $\overrightarrow{p_u p_v}$ = unit vector pointing from u to v
- $\|p_u - p_v\|$ = Euclidean distance between u and v
- ℓ = ideal spring length for edges

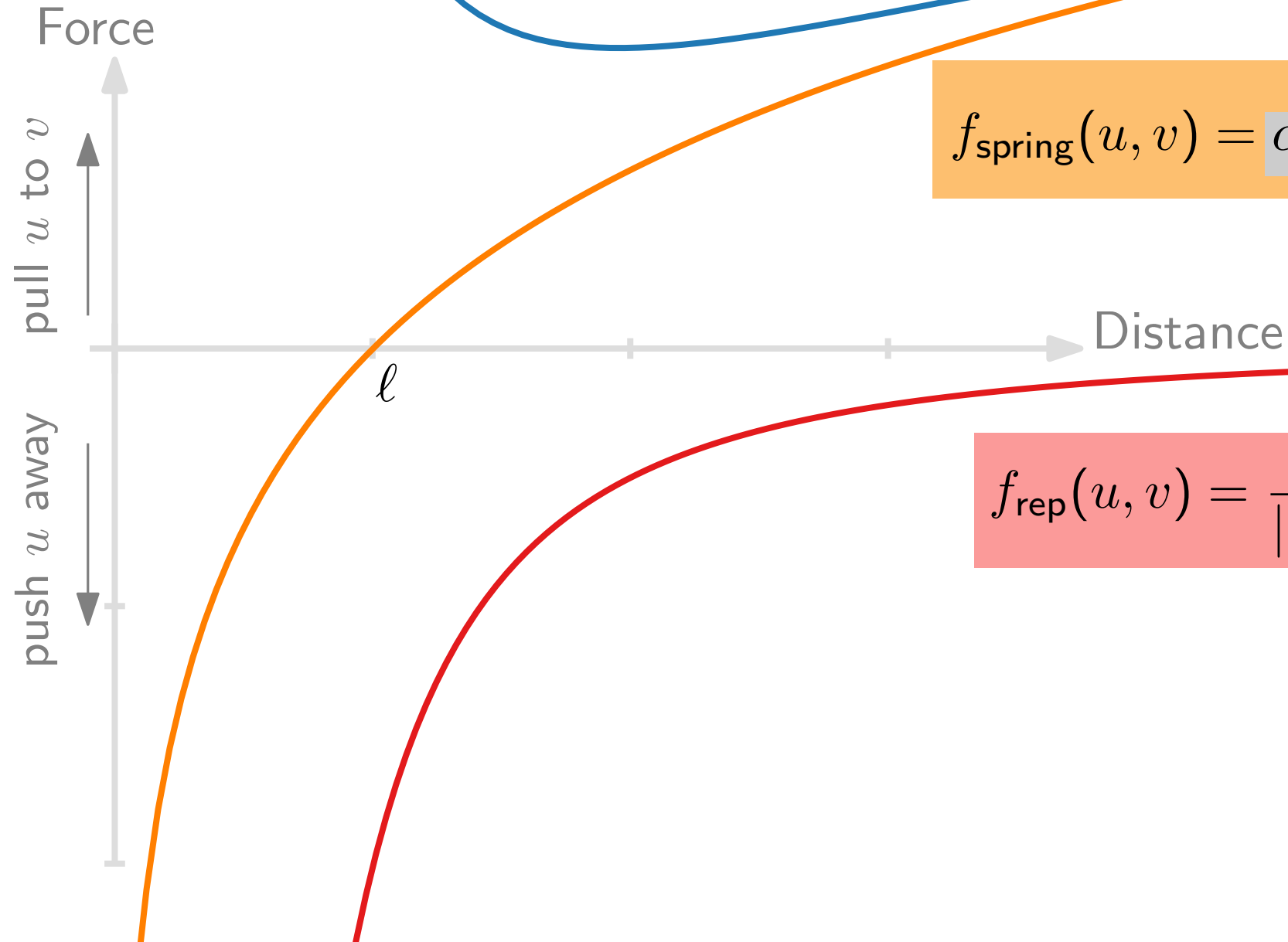
Spring Embedder by Eades – Force Diagram



$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

Spring Embedder by Eades – Force Diagram

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$



$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

Spring Embedder by Eades – Discussion

Advantages.

Spring Embedder by Eades – Discussion

Advantages.

- very simple algorithm
- good results for small and medium-sized graphs
- empirically good representation of symmetry and structure

Disadvantages.

- System may not be stable at the end.
- Converging to local minima.
- Computation of f_{spring} in $\mathcal{O}(|E(G)|)$ time and f_{rep} in $\mathcal{O}(|V(G)|^2)$ time.

Influence.

- original paper by Peter Eades [Eades '84] got ~ 2000 citations
- basis for many further ideas

Variant by Fruchterman & Reingold

■ Repulsive forces

repulsion constant (e.g. 2.0)

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

■ Attractive forces

spring constant (e.g. 1.0)

$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$

■ Resulting displacement vector

$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$$

```
ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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  foreach  $u \in V$  do
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   $t \leftarrow t + 1$ 
return  $p$ 
```

Notation.

- $\|p_u - p_v\|$ = Euclidean distance between u and v
- $\overrightarrow{p_u p_v}$ = unit vector pointing from u to v
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Variant by Fruchterman & Reingold

■ Repulsive forces

$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

■ Attractive forces

$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

■ Resulting displacement vector

$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$$

```

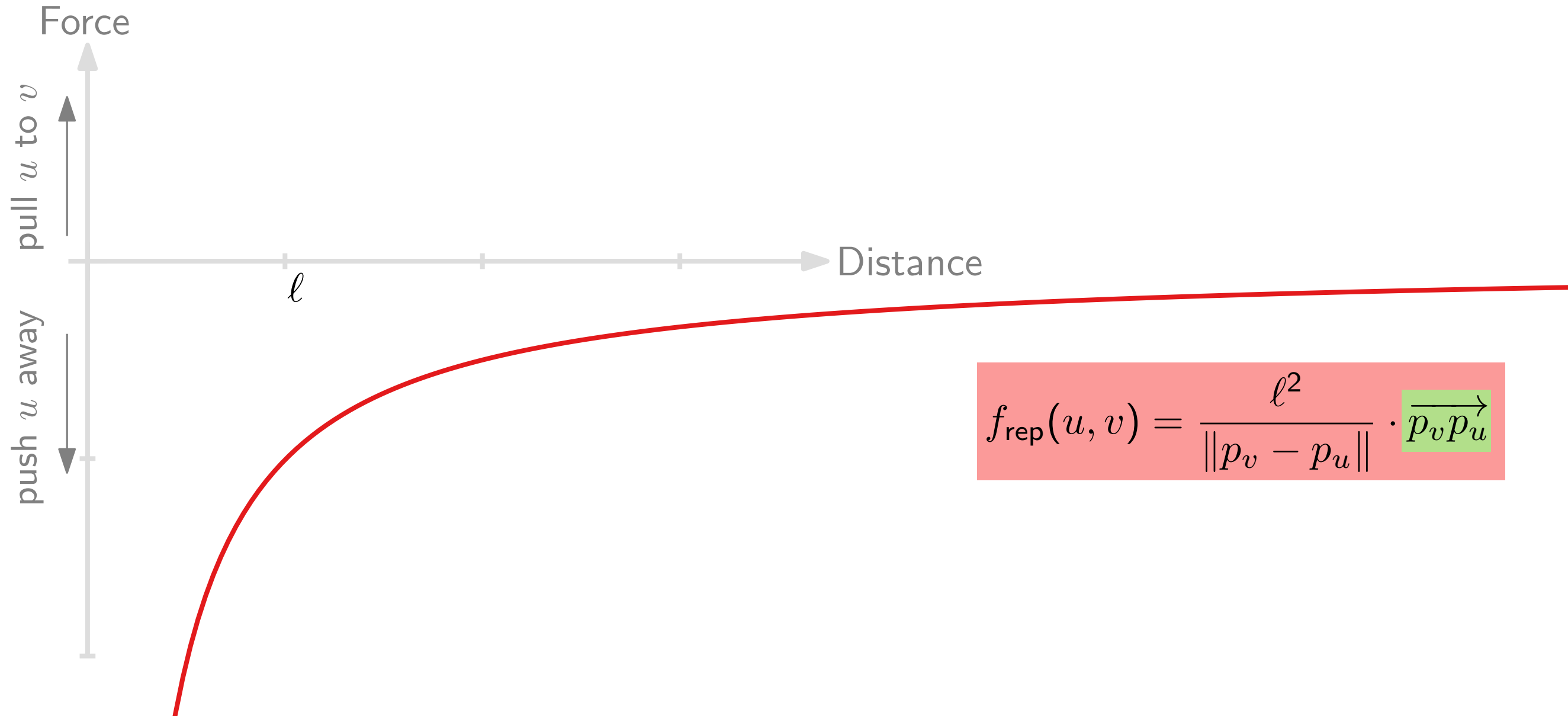
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return  $p$ 

```

Notation.

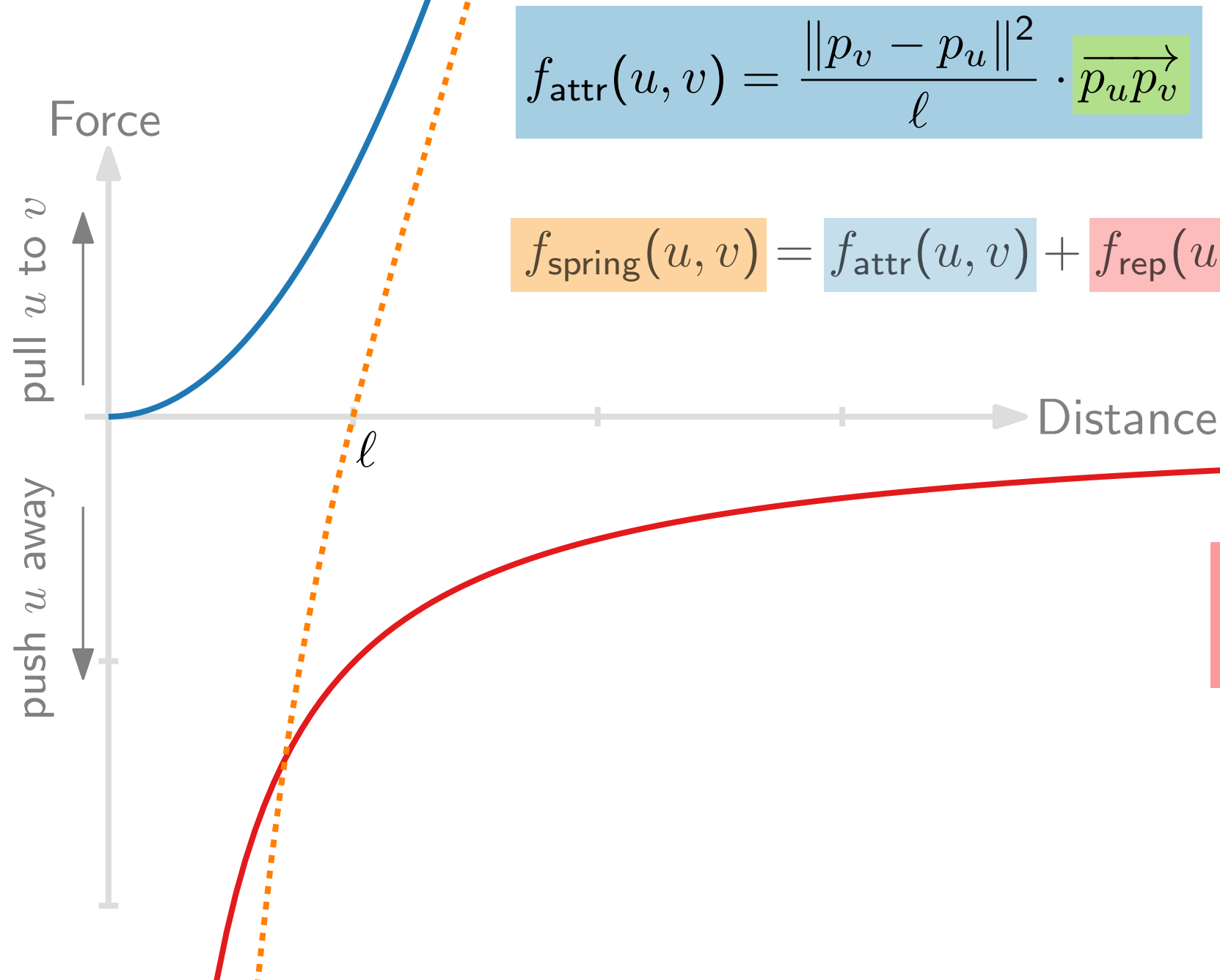
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Fruchterman & Reingold – Force Diagram



$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

Fruchterman & Reingold – Force Diagram



$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{spring}}(u, v) = f_{\text{attr}}(u, v) + f_{\text{rep}}(u, v)$$

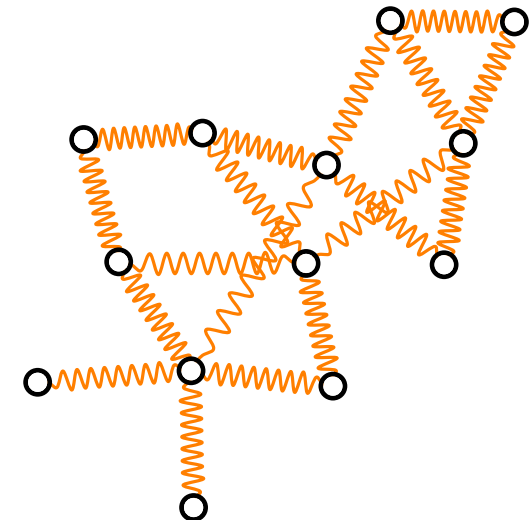
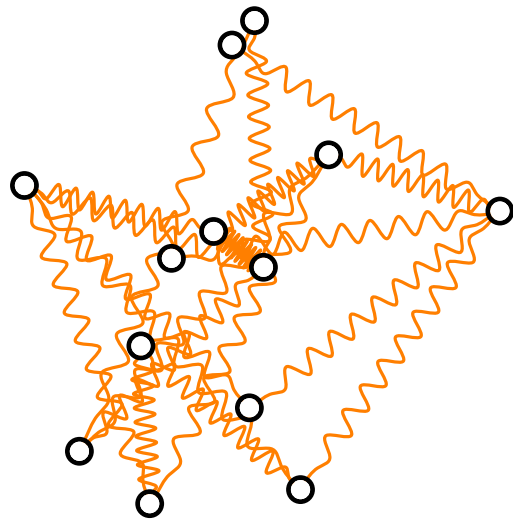
$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

Visualization of Graphs

Lecture 2: Force-Directed Drawing Algorithms

Part III: Variants & Improvements

Alexander Wolff



Adaptability

Inertia.

- Define vertex mass $\Phi(v) = 1 + \deg(v)/2$
- Set $f_{\text{attr}}(p_u, p_v) \leftarrow f_{\text{attr}}(p_u, p_v) \cdot 1/\Phi(v)$

Gravitation.

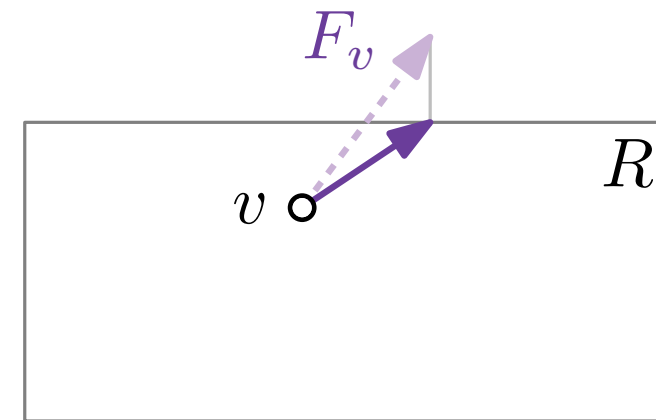
- Define centroid $p_{\text{bary}} = 1/|V| \cdot \sum_{v \in V} p_v$
- Add force $f_{\text{grav}}(p_v) = c_{\text{grav}} \cdot \Phi(v) \cdot \overrightarrow{p_v p_{\text{bary}}}$

Restricted drawing area.

If F_v points beyond area R , clip vector appropriately at the border of R .

And many more...

- magnetic orientation of edges [GD Ch. 10.4]
- other energy models
- planarity preserving
- speed-ups



Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

```

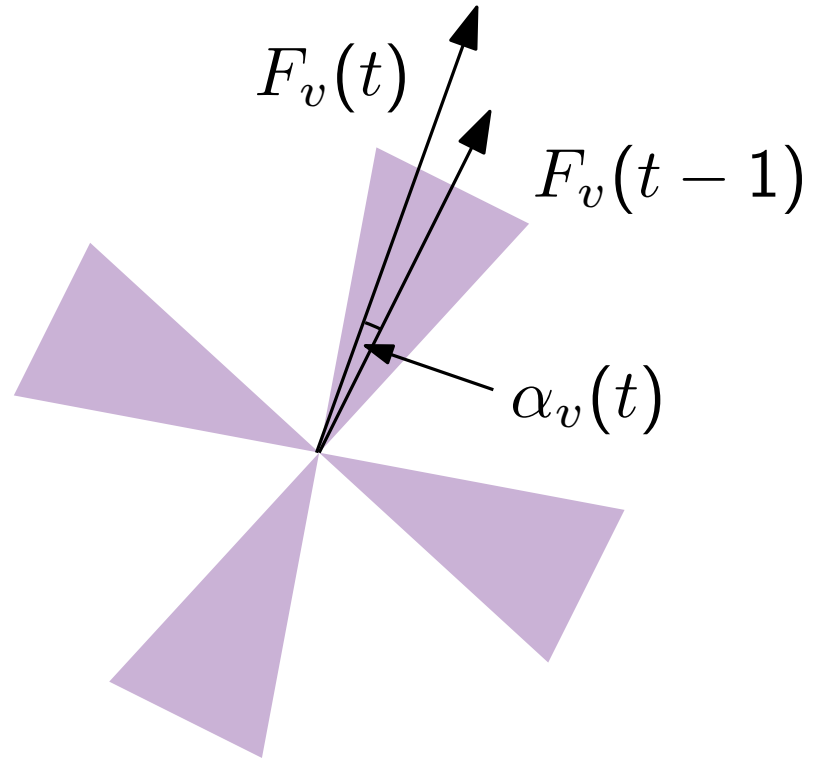
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     $t \leftarrow t + 1$ 
  return  $p$ 

```

$\delta_v(t)$

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]

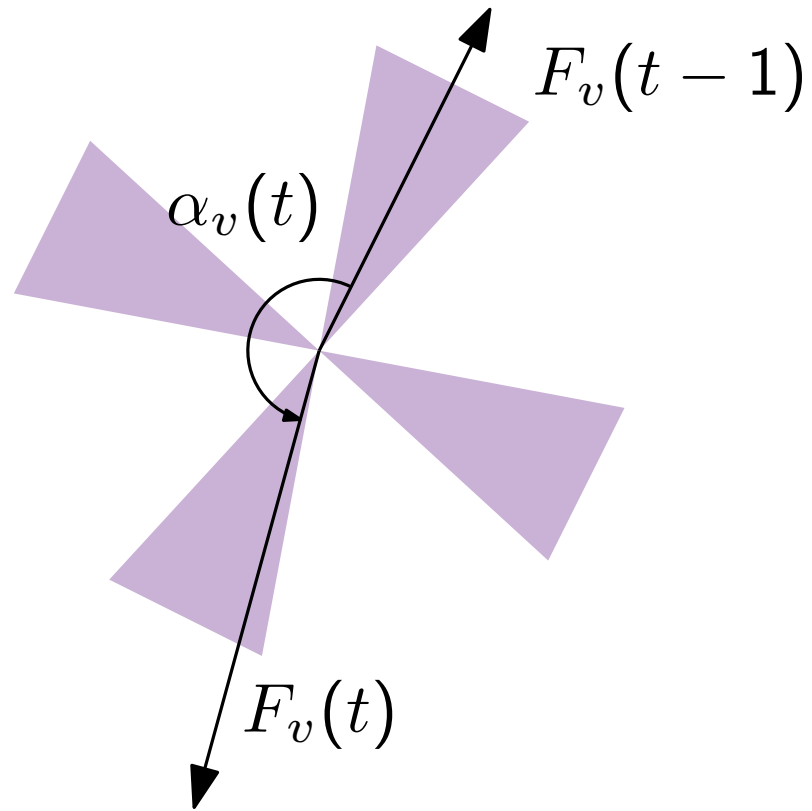


Same direction.

→ increase temperature $\delta_v(t)$

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



Same direction.

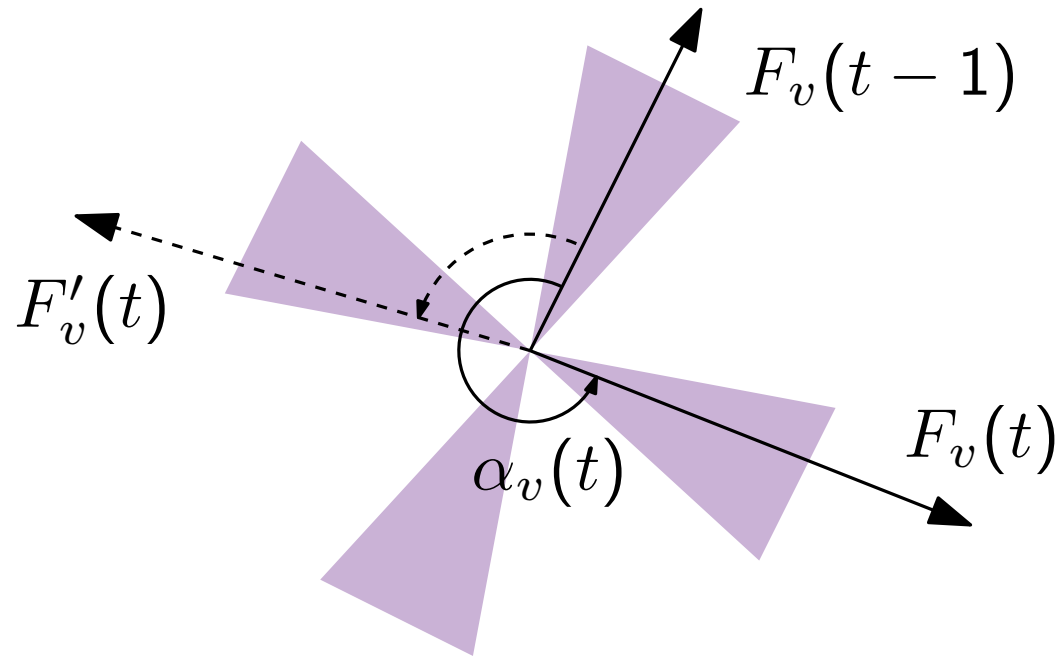
→ increase temperature $\delta_v(t)$

Oszillation.

→ decrease temperature $\delta_v(t)$

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



Same direction.

→ increase temperature $\delta_v(t)$

Oszillation.

→ decrease temperature $\delta_v(t)$

Rotation.

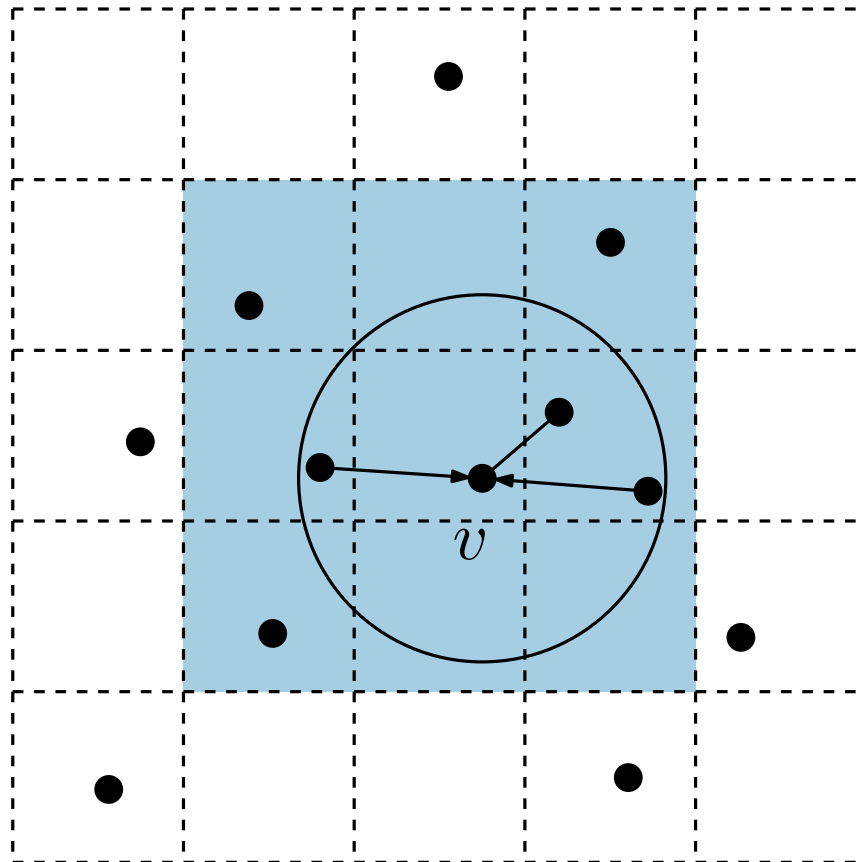
■ count rotations

■ if applicable

→ decrease temperature $\delta_v(t)$

Speeding up “Convergence” via Grids

[Fruchterman & Reingold '91]



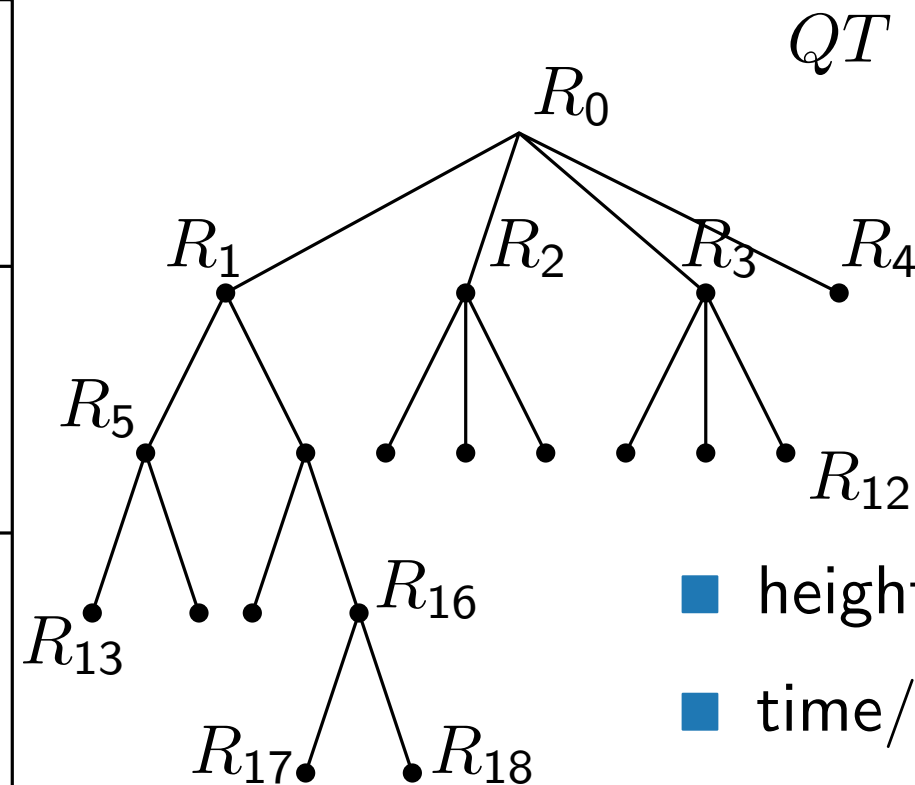
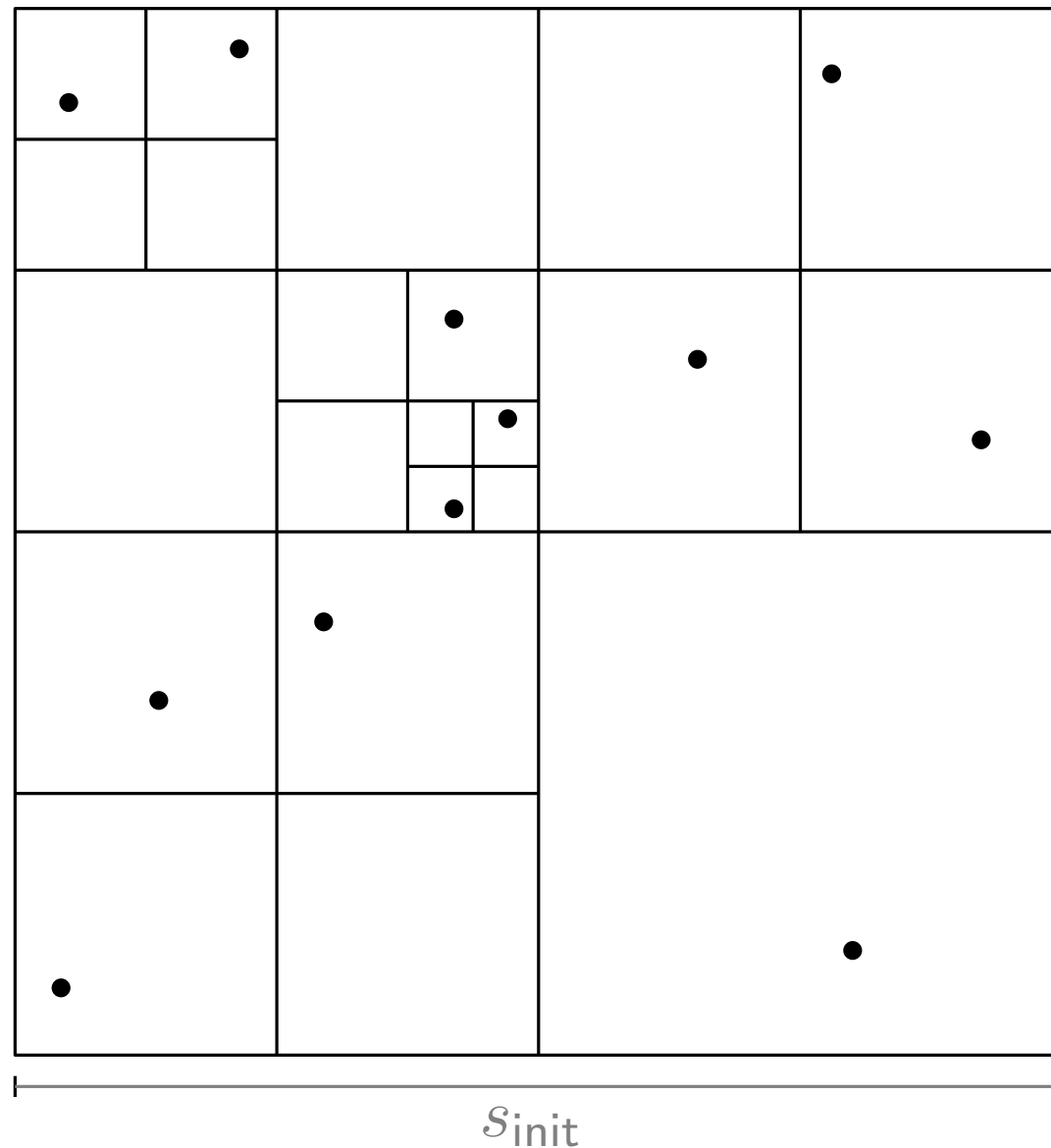
- divide plane into grid
- consider repelling forces only to vertices in neighboring cells
- and only if distance is less than some max distance

Discussion.

- good idea to improve actual runtime
- asymptotic runtime does not improve
- might introduce oscillation and thus a quality loss

Speeding up with Quad Trees

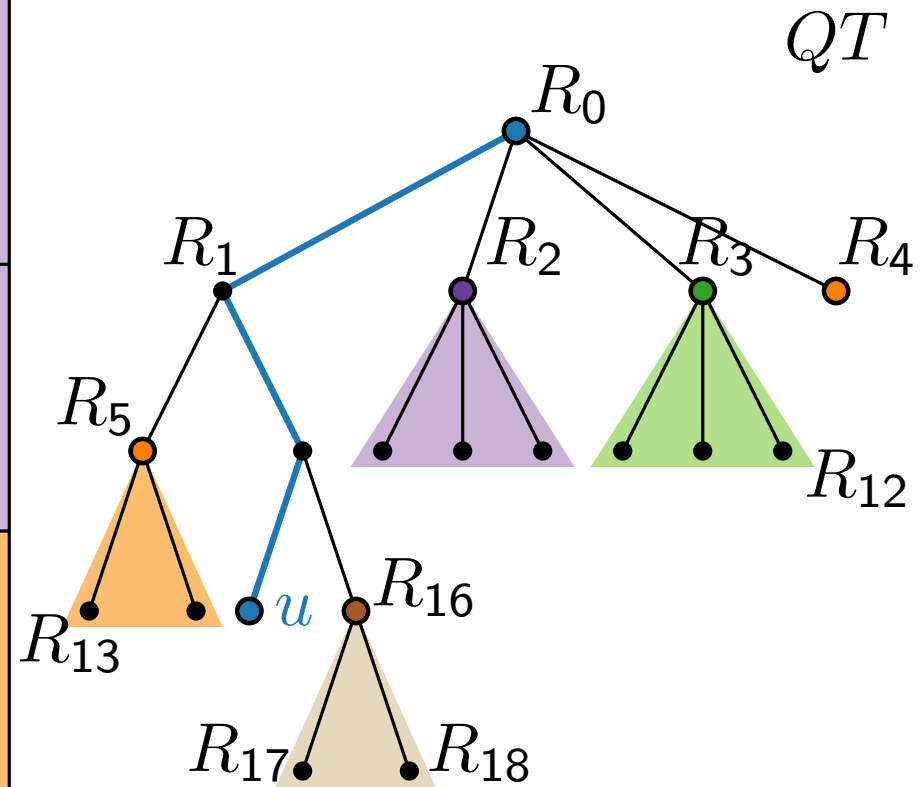
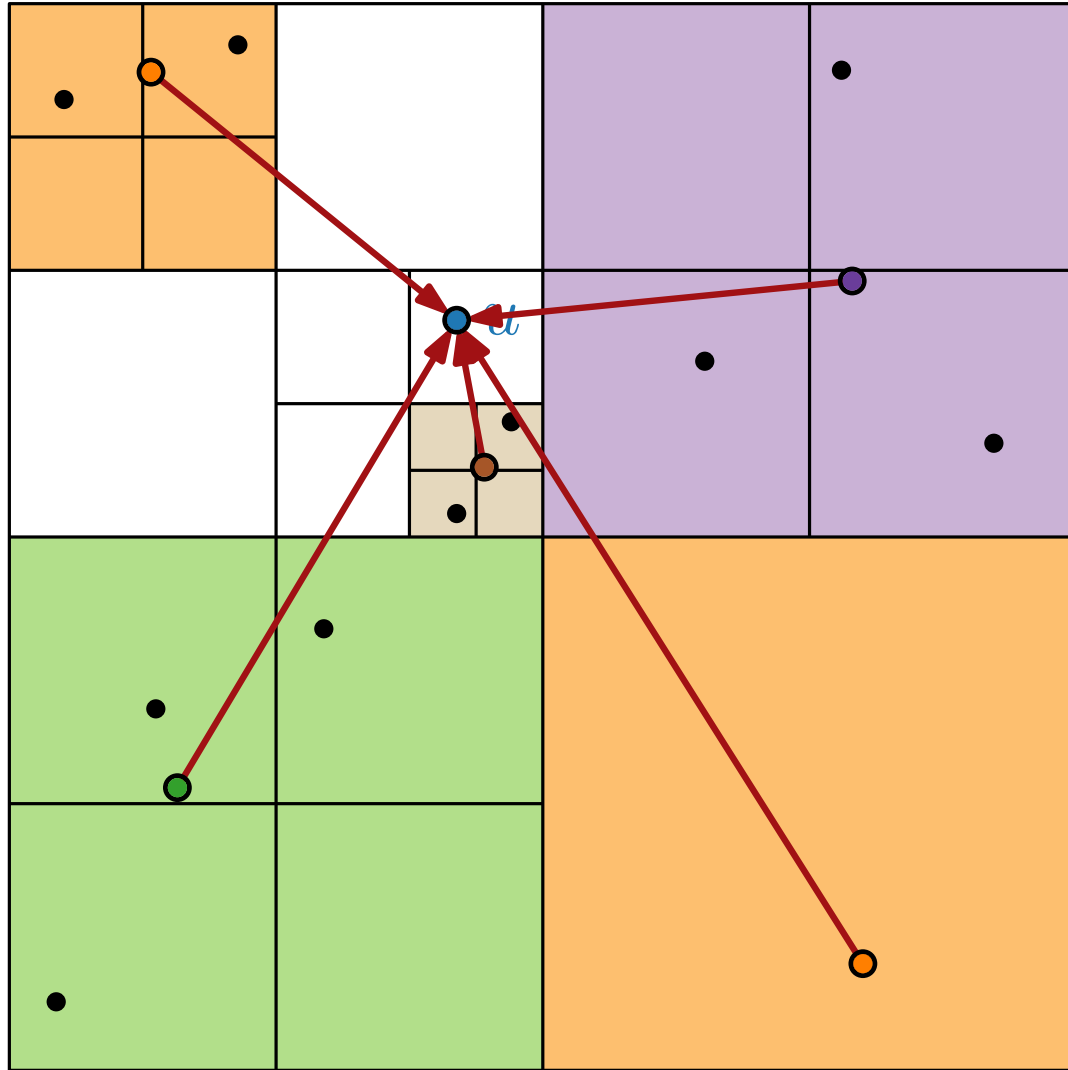
[Barnes, Hut '86]



- height $h \leq \log \frac{s_{init}}{d_{min}} + \frac{3}{2}$
- time/space in $\mathcal{O}(hn)$
- compressed quad tree can be computed in $\mathcal{O}(n \log n)$ time
- $h \in \mathcal{O}(\log n)$ if vertices evenly distributed

Speeding up with Quad Trees

[Barnes, Hut '86]



$$f_{\text{rep}}(R_i, p_u) = |R_i| \cdot f_{\text{rep}}(\sigma_{R_i}, p_u)$$

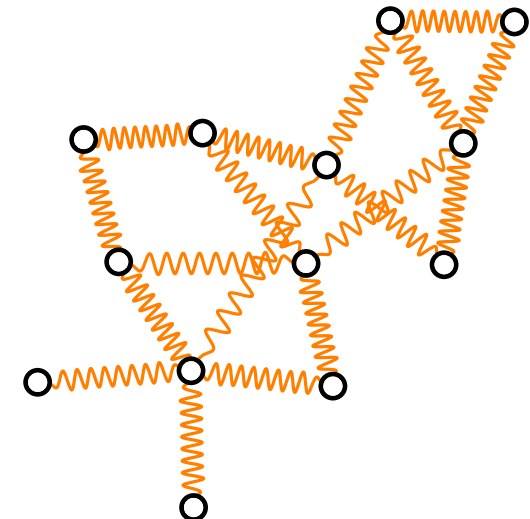
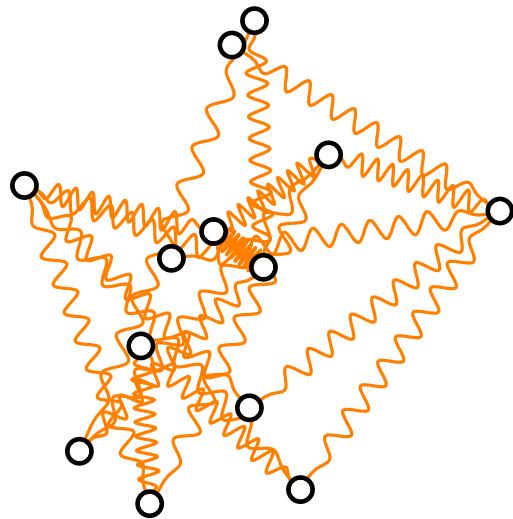
for each child R_i of a vertex on path from u to root.

Visualization of Graphs

Lecture 2: Force-Directed Drawing Algorithms

Part IV: Tutte Embedding

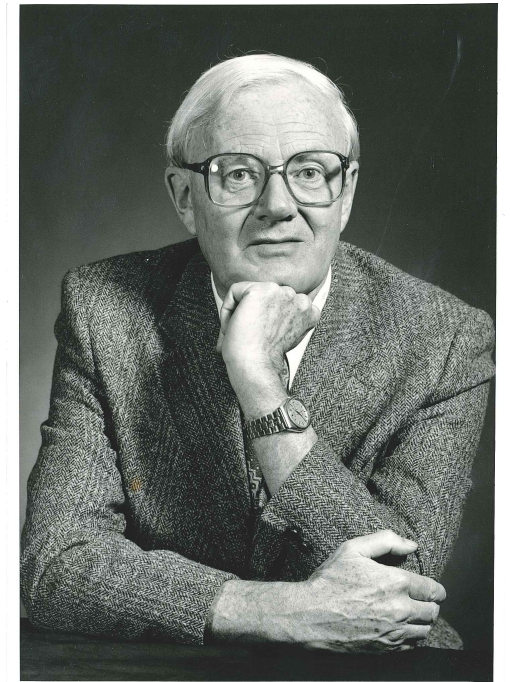
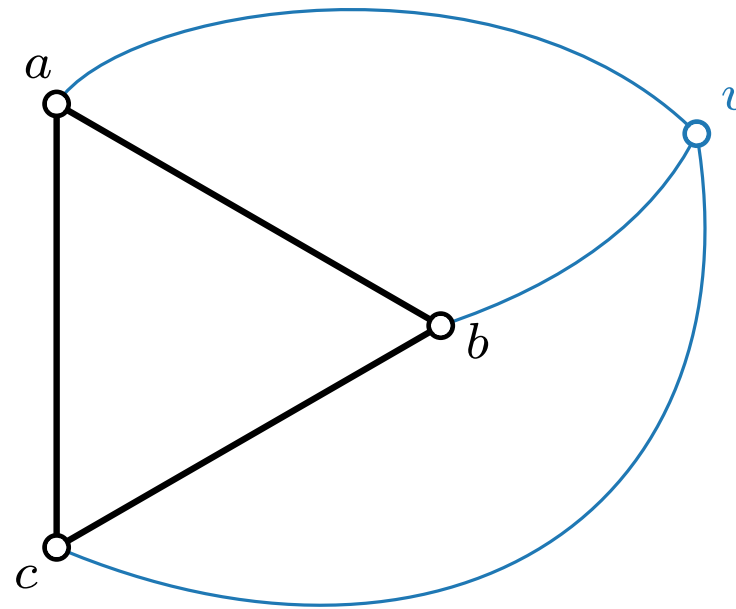
Alexander Wolff



Idea

Consider a fixed triangle (a, b, c)
with one common neighbor v

Where would you place v ?

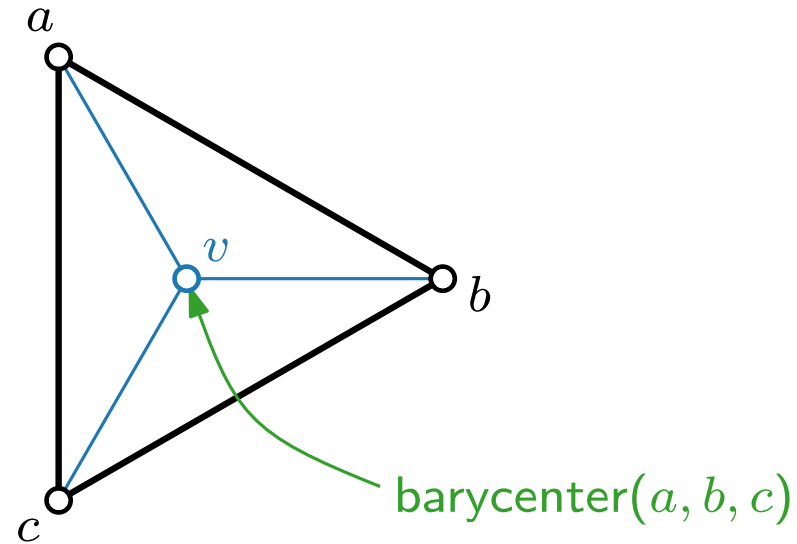


William T. Tutte
1917 – 2002

Idea

Consider a fixed triangle (a, b, c)
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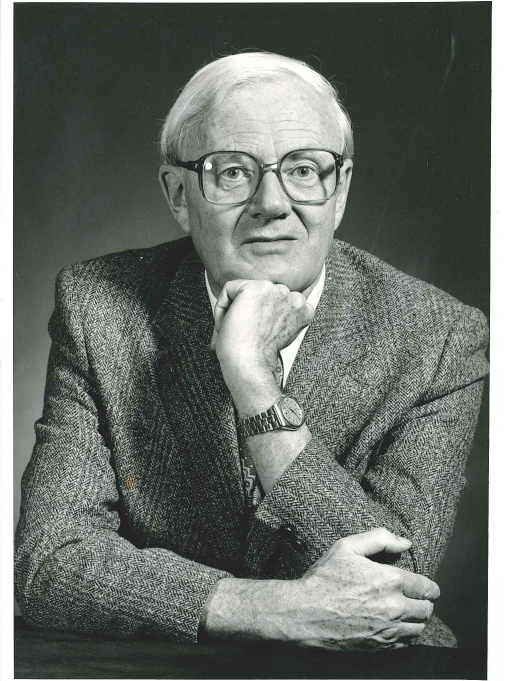
Where would you place v ?



$$\text{barycenter}(x_1, \dots, x_k) = \sum_{i=1}^k x_i / k$$

Idea.

Repeatedly place every vertex at barycenter of neighbors.



William T. Tutte
1917 – 2002

Tutte's Forces

Goal.

$$\begin{aligned} p_u &= \text{barycenter}(\text{Adj}[u]) \\ &= \sum_{v \in \text{Adj}(u)} p_v / \deg(u) \end{aligned}$$

$$\begin{aligned} F_u(t) &= \sum_{uv \in E} p_v / \deg(u) - p_u \\ &= \sum_{v \in \text{Adj}[u]} (p_v - p_u) / \deg(u) \\ &= \sum_{v \in \text{Adj}[u]} \|p_u - p_v\| / \deg(u) \end{aligned}$$

```

ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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  return  $p$ 

```

$$\text{barycenter}(x_1, \dots, x_k) = \sum_{i=1}^k x_i / k$$

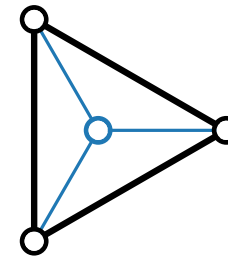
■ Repulsive forces

$$f_{\text{rep}}(u, v) = 0$$

■ Attractive forces

$$f_{\text{attr}}(u, v) = \begin{cases} 0 & \text{if } u \text{ fixed,} \\ \frac{1}{\deg(u)} \cdot \|p_u - p_v\| & \text{otherwise.} \end{cases}$$

Solution: $p_u = (0, 0) \forall u \in V$



Fix coordinates
of outer face!

Linear System of Equations

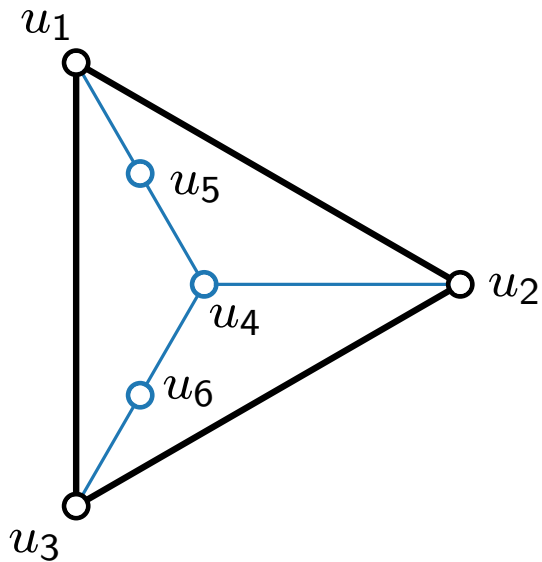
Goal. $p_u = (x_u, y_u)$

$$p_u = \text{barycenter}(\text{Adj}[u]) = \sum_{v \in \text{Adj}[u]} p_v / \deg(u)$$

$$\begin{aligned} x_u &= \sum_{v \in \text{Adj}[u]} x_v / \deg(u) \Leftrightarrow \deg(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \deg(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0 \\ y_u &= \sum_{v \in \text{Adj}[u]} y_v / \deg(u) \Leftrightarrow \deg(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \deg(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0 \end{aligned}$$

$$Ax = b \quad Ay = b \quad b = (0)_n$$

Two Systems of linear equations:



$$A = \begin{matrix} & \begin{matrix} u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \end{matrix} \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{matrix} & \begin{pmatrix} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{pmatrix} \end{matrix}$$

$$A_{ii} = \deg(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

Laplacian matrix of G

n variables, n constraints, $\det(A) = 0$

\Rightarrow no unique solution



Linear System of Equations

Goal. $p_u = (x_u, y_u)$

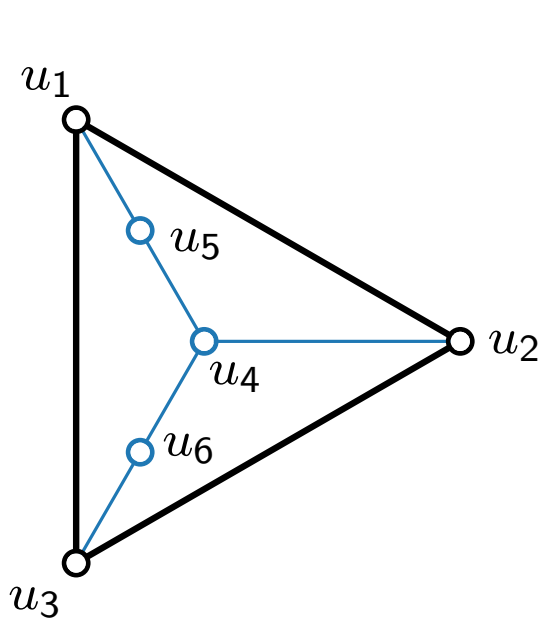
$$p_u = \text{barycenter}(\text{Adj}[u]) =$$

Theorem.

Tutte drawing

Tutte's barycentric algorithm admits a unique solution.
It can be computed in polynomial time.

$$\begin{aligned} x_u &= \sum_{v \in \text{Adj}[u]} x_v / \deg(u) \Leftrightarrow \deg(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \deg(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0 \\ y_u &= \sum_{v \in \text{Adj}[u]} y_v / \deg(u) \Leftrightarrow \deg(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \deg(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0 \end{aligned}$$



$$\begin{array}{c} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{array} \begin{array}{c} u_1 \quad u_2 \quad u_3 \quad u_4 \quad u_5 \quad u_6 \end{array} \begin{array}{c} A \\ \left(\begin{array}{cccccc} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{array} \right) \end{array}$$

Laplacian matrix of G

$$A_{ii} = \deg(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

k variables, k constraints, $\det(A) > 0$

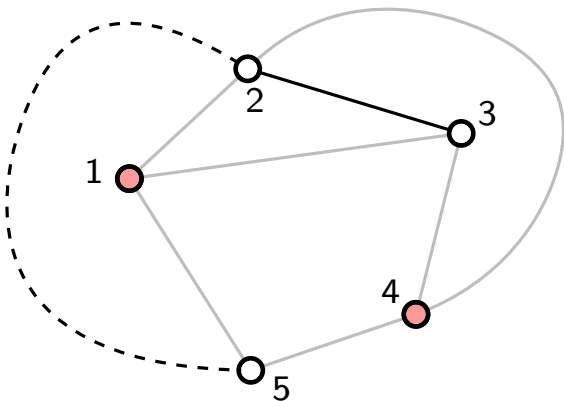
$k = \# \text{free vertices}$

\Rightarrow unique solution



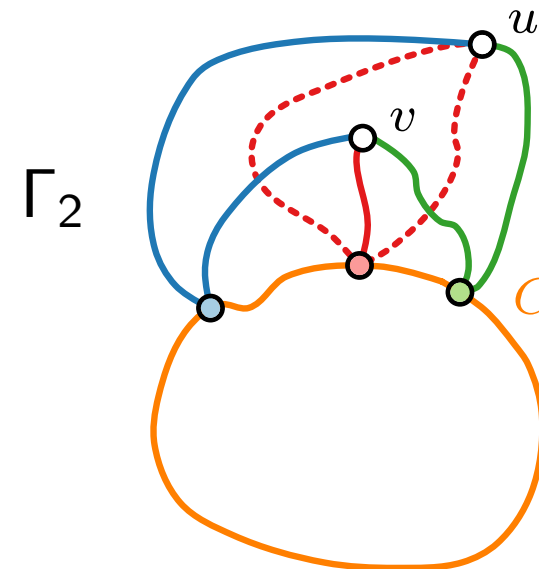
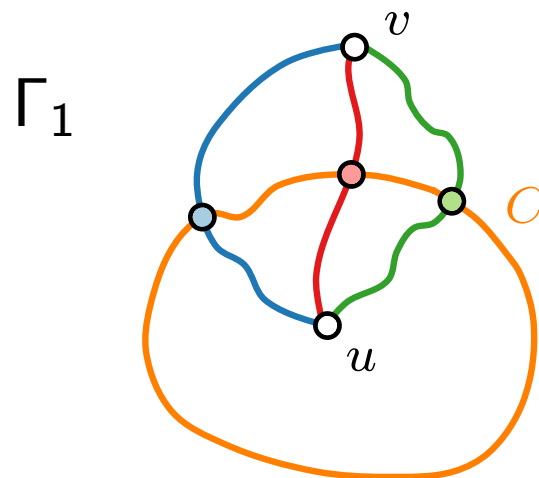
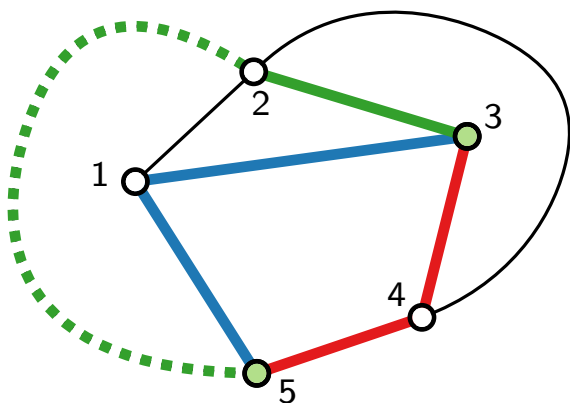
3-Connected Planar Graphs

- planar:** G can be drawn in such a way that no edges cross each other
- connected:** $\exists u-v$ path for every vertex pair $\{u, v\}$.
- k -connected:** $G - \{v_1, \dots, v_{k-1}\}$ is connected for **any** $k - 1$ vertices v_1, \dots, v_{k-1} .



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Or (equivalently):
 There are at least k vertex-disjoint $u-v$ paths for every vertex pair $\{u, v\}$.



Theorem. [Whitney 1933]
 Every 3-connected planar graph has a unique planar embedding.

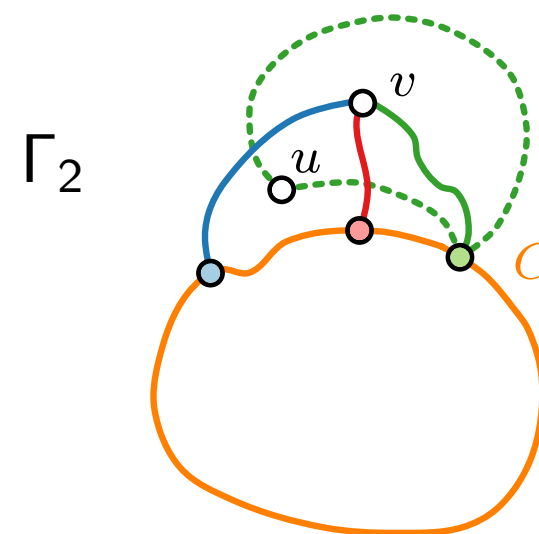
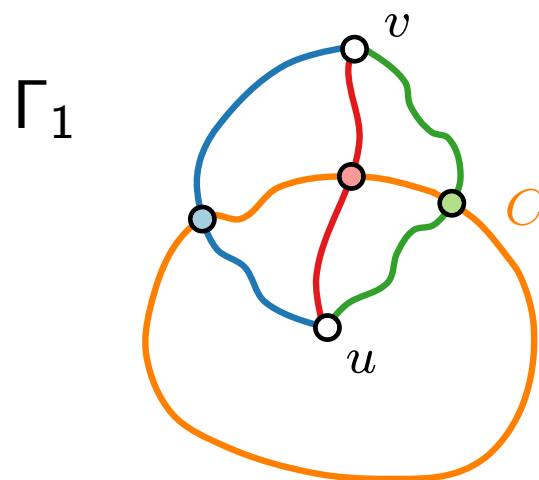
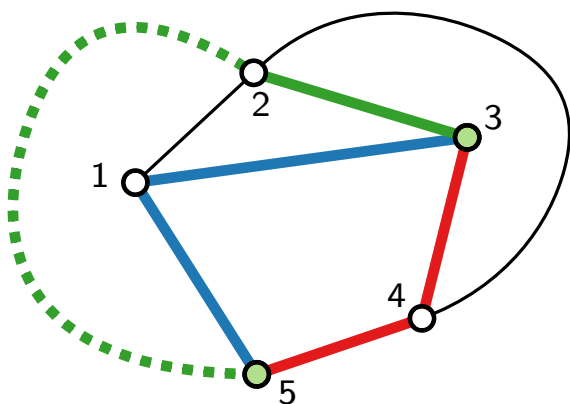
Proof sketch.

Γ_1, Γ_2 embeddings of G .

Let C be a face of Γ_2 , but not of Γ_1 .
 u inside C in Γ_1 , v outside C in Γ_1
 both on same side in Γ_2

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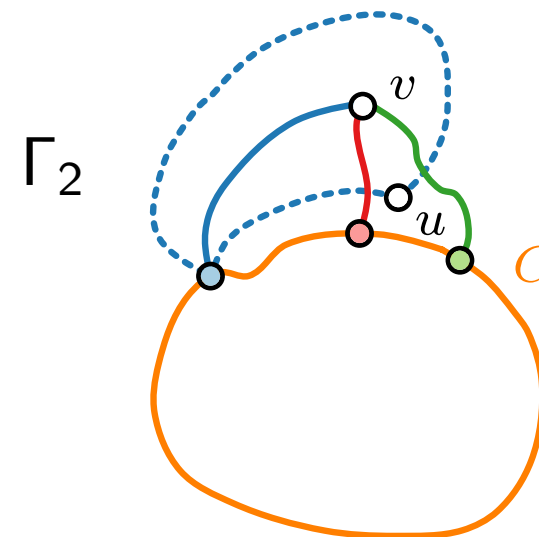
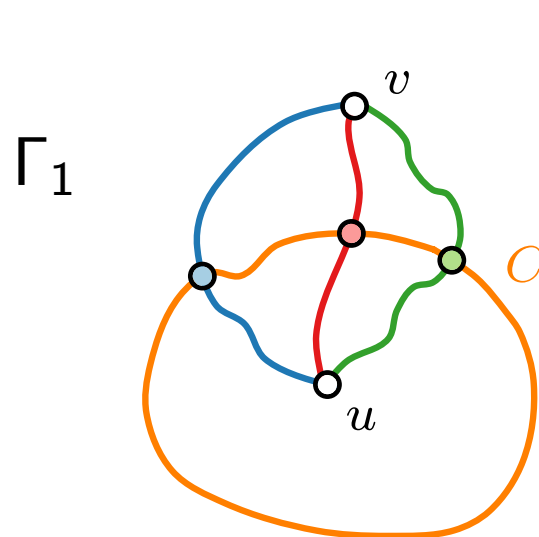
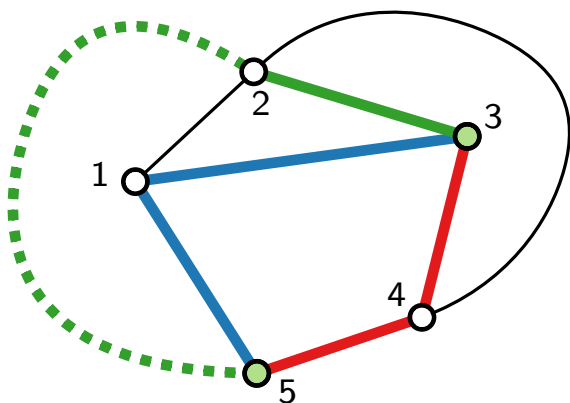
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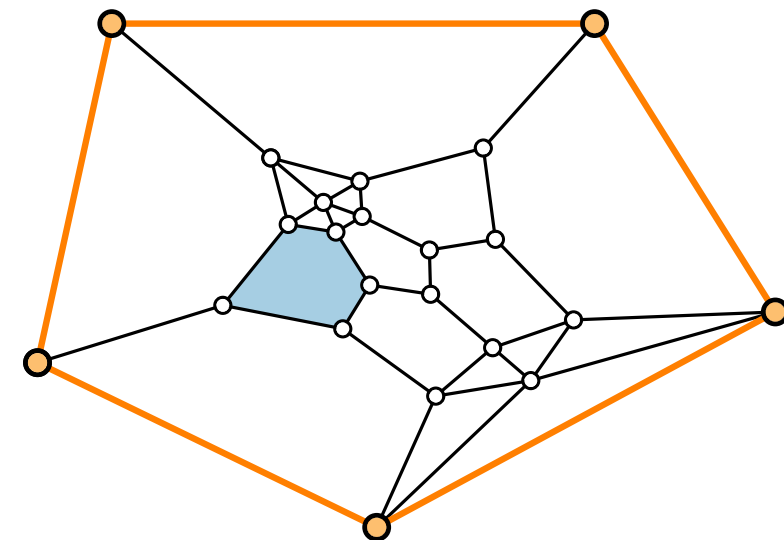
Tutte's Theorem

Theorem.

[Tutte 1963]

Let G be a 3-connected planar graph, and let C be a face of its unique embedding.

If we fix C on a strictly convex polygon, then the Tutte drawing of G is planar and all its faces are strictly convex.

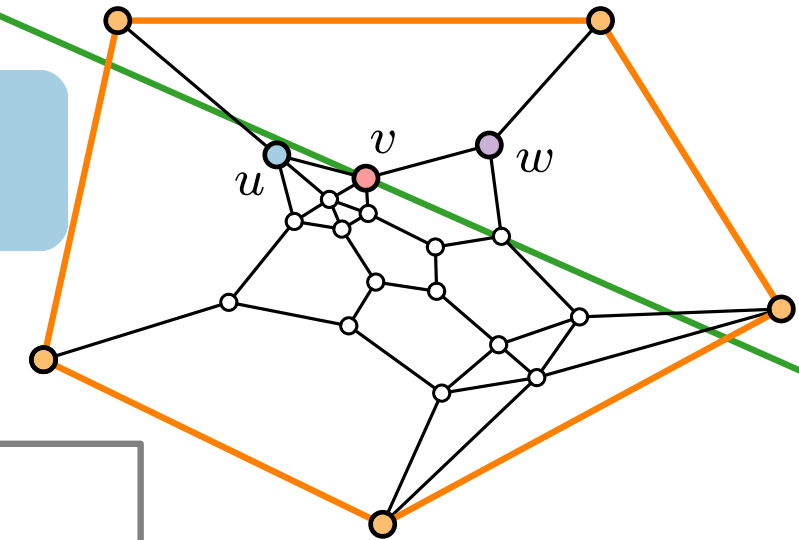
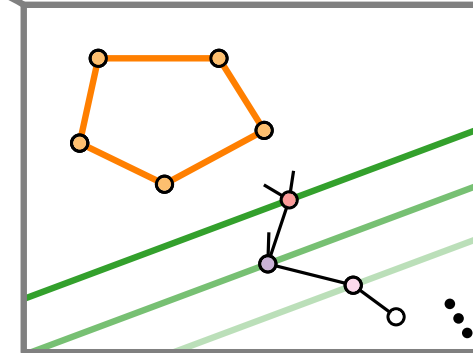


Properties of Tutte Drawings

Property 1. Let $v \in V$ free, ℓ line through v .
 $\exists uv \in E$ on one side of $\ell \Rightarrow \exists vw \in E$ on other side

Otherwise, all forces to same side ...

Property 2. All free vertices lie inside C .



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Property 3. Let ℓ be any line.
 Let V_ℓ be all vertices on one side of ℓ .
 Then $G[V_\ell]$ is connected.

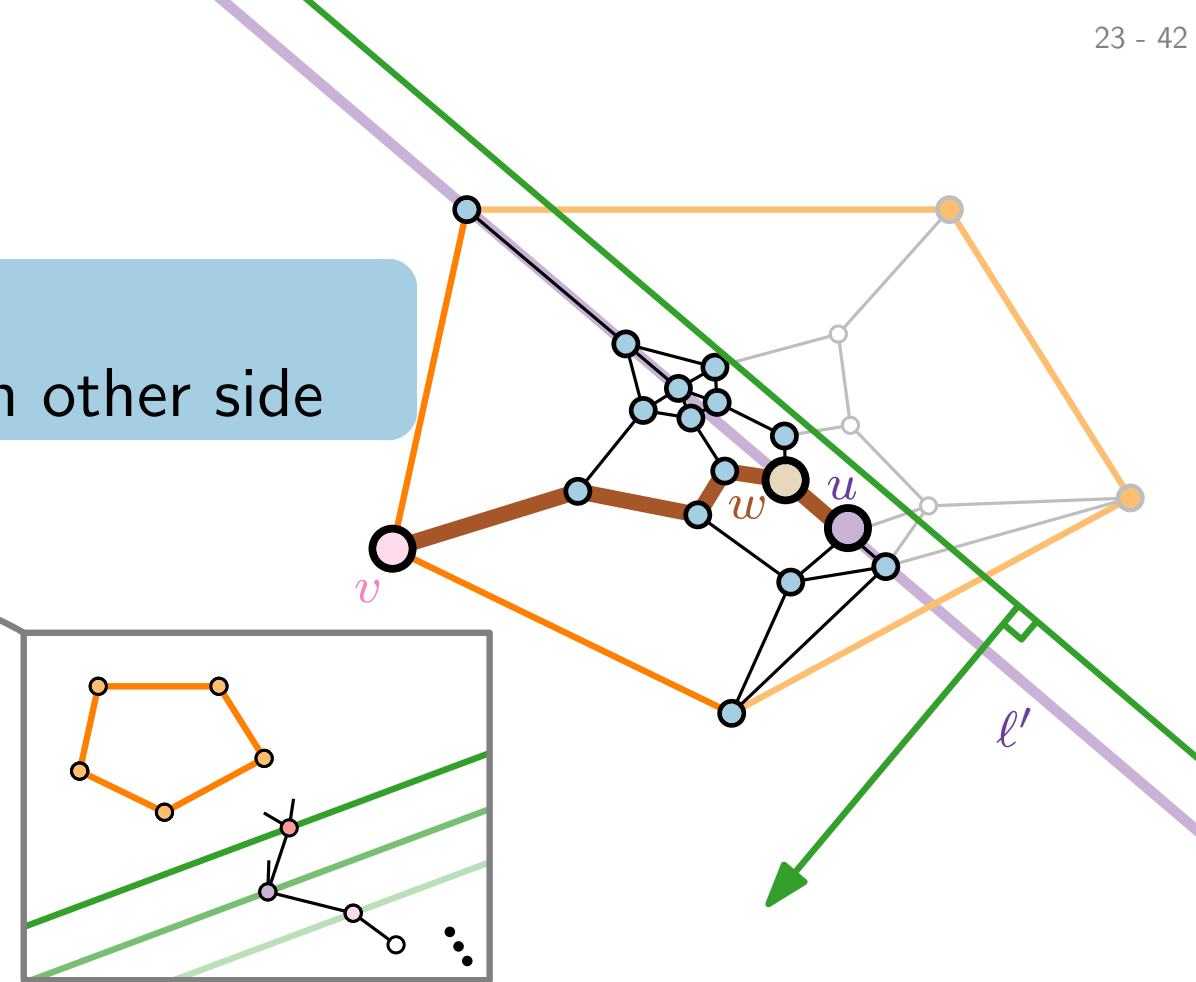
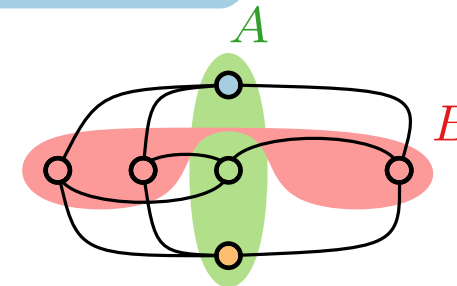
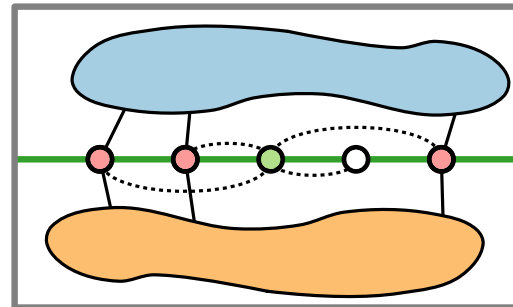
v furthest away from ℓ

Pick any vertex u , ℓ' parallel to ℓ through u

G connected, v not on $\ell' \Rightarrow \exists w$ on ℓ' with neighbor further away from ℓ
 $\Rightarrow \exists$ path from u to v

Property 4. No vertex is collinear with all of its neighbors.

Not all vertices collinear
 G 3-connected
 $\Rightarrow K_{3,3}$ minor



Proof of Tutte's Theorem

Lemma. Let uv be a non-boundary edge, ℓ line through uv . Then the two faces f_1, f_2 incident to uv lie completely on opposite sides of ℓ .

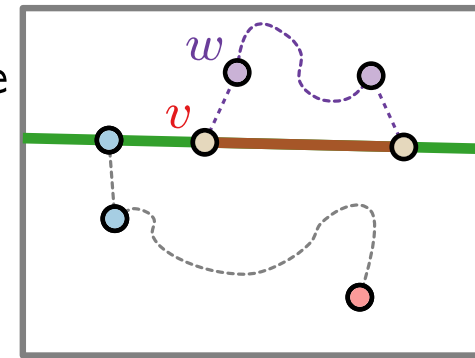
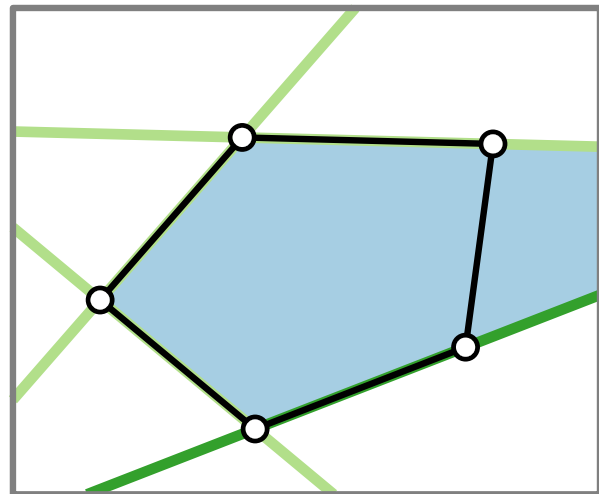
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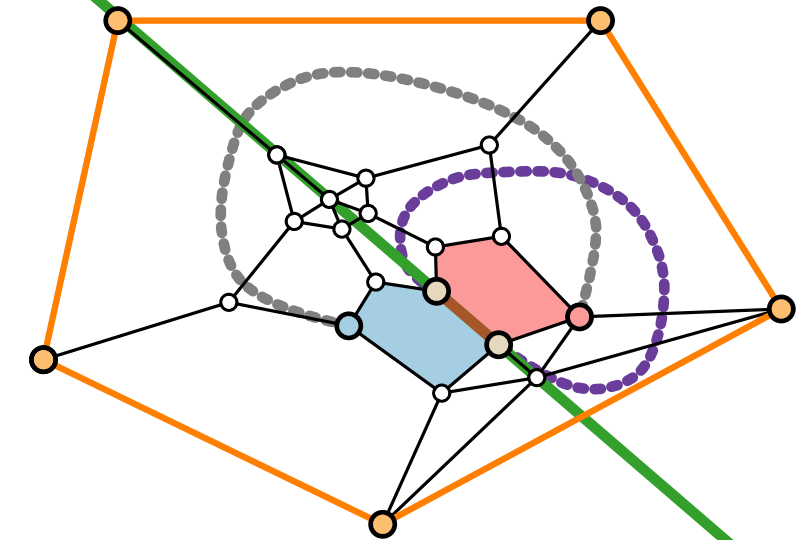
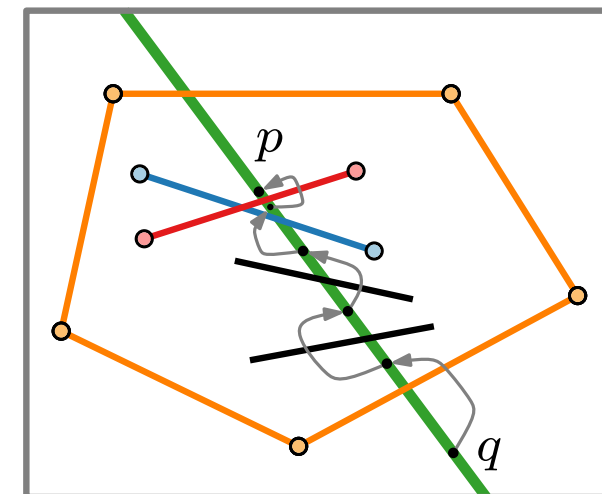
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Lemma. All faces are strictly convex.

Property 2. All free vertices lie inside C .
 $\Rightarrow q$ in one face
 jumping over edge
 \rightarrow #faces the same
 $\Rightarrow p$ inside one face



Lemma. The drawing is planar.



Literature

Main sources:

- [GD Ch. 10] Force-Directed Methods
- [DG Ch. 4] Drawing on Physical Analogies

Original papers:

- [Eades 1984] A heuristic for graph drawing
- [Fruchterman, Reingold 1991] Graph drawing by force-directed placement
- [Tutte 1963] How to draw a graph