# Approximation Algorithms

# Lecture 2: SetCover and ShortestSuperString

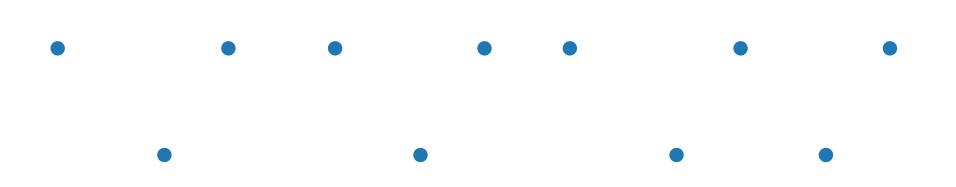
Part I: SetCover

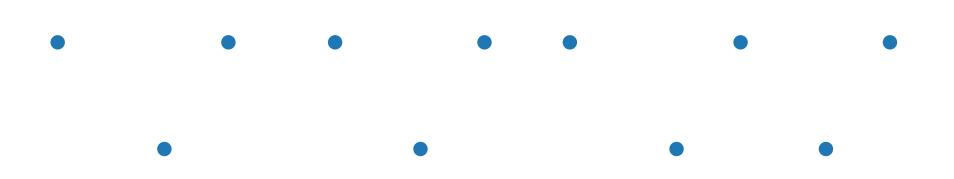
Joachim Spoerhase

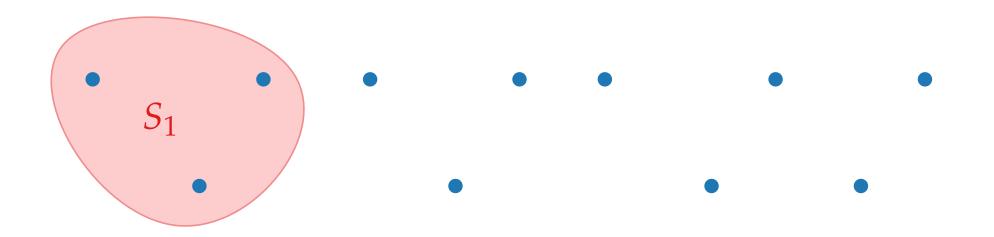
Winter 2021/22

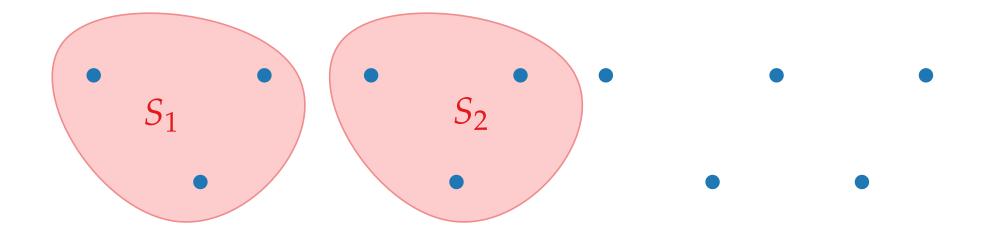
Given a **ground set** *U* 

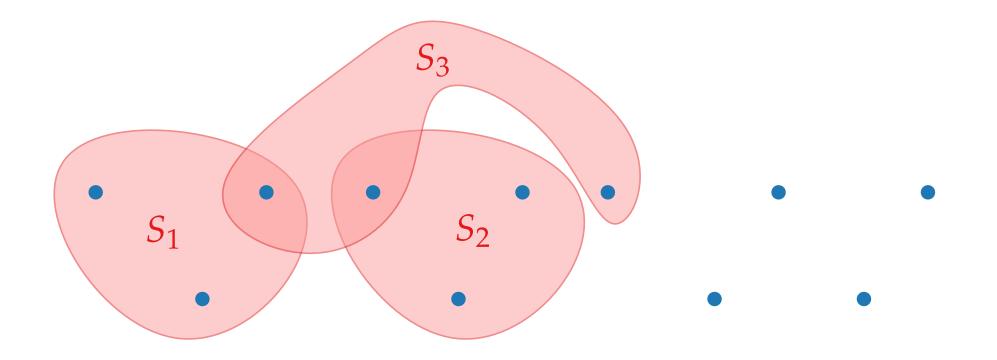
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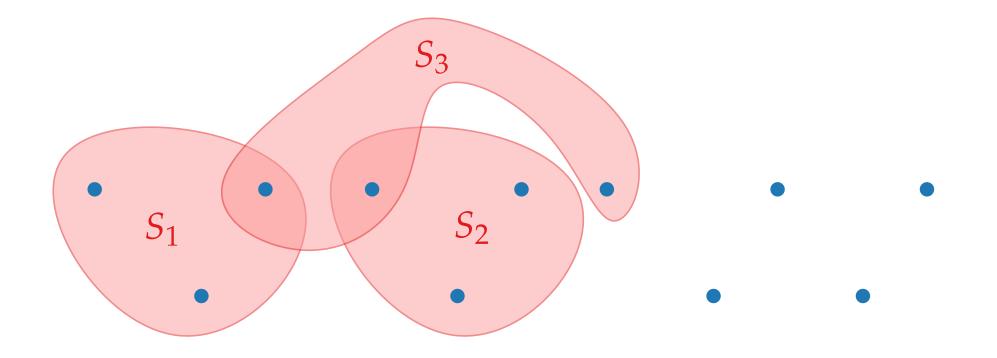


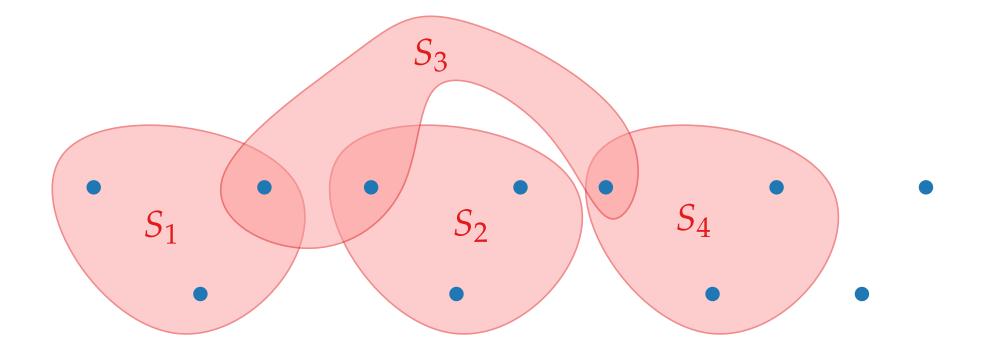


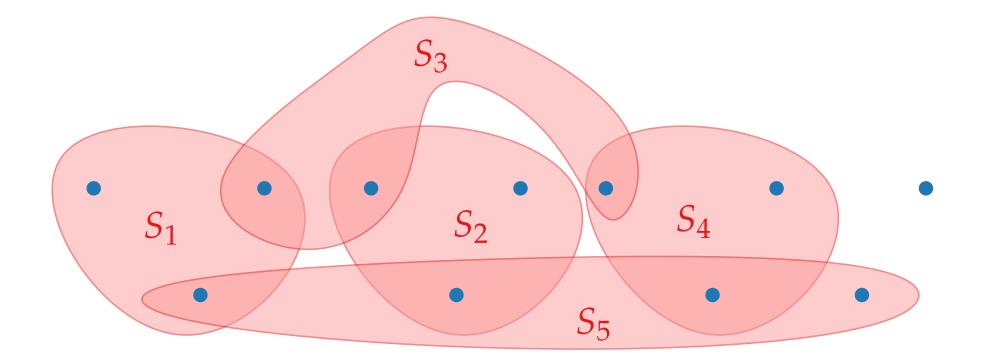


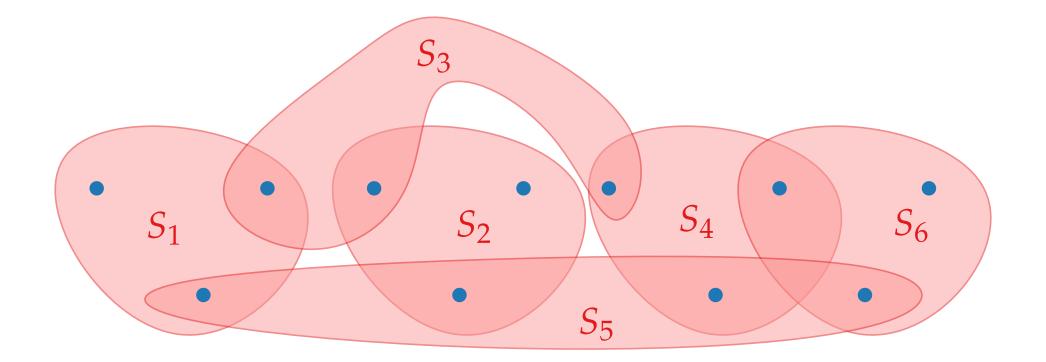




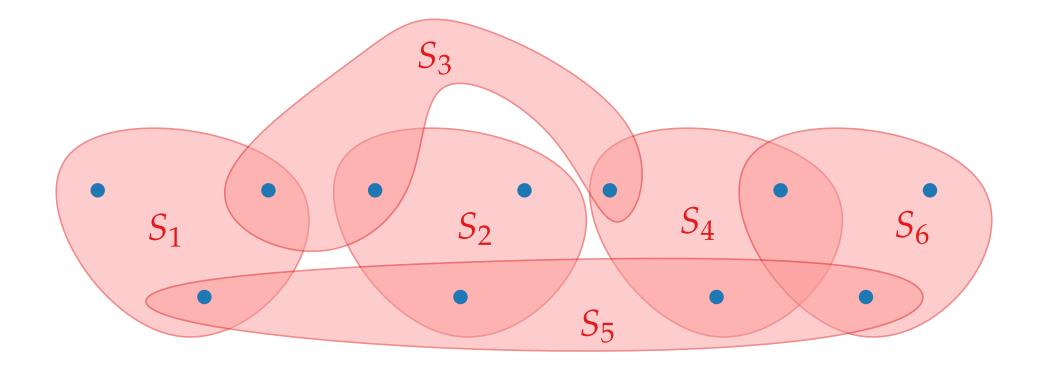




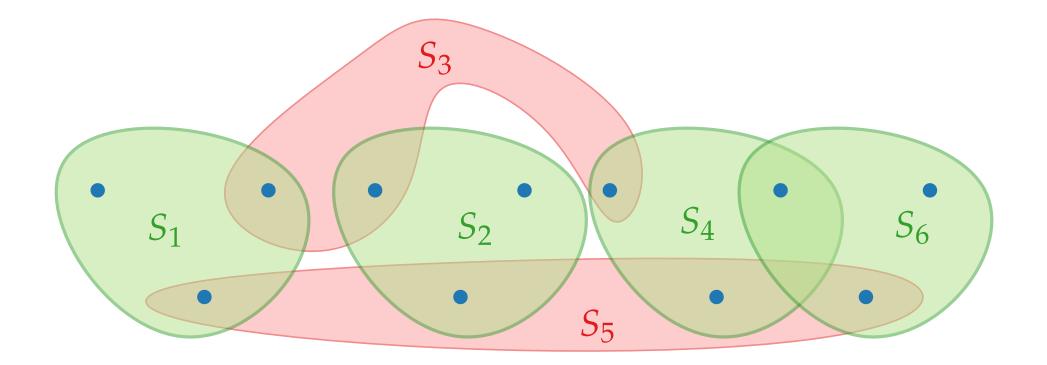




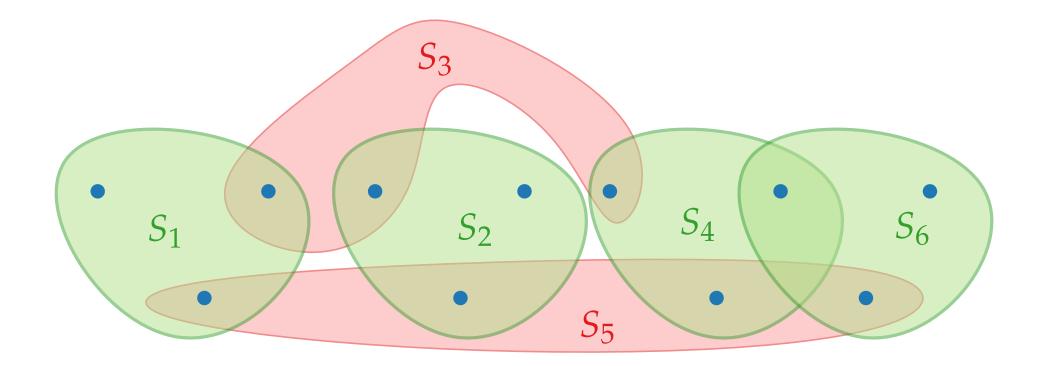
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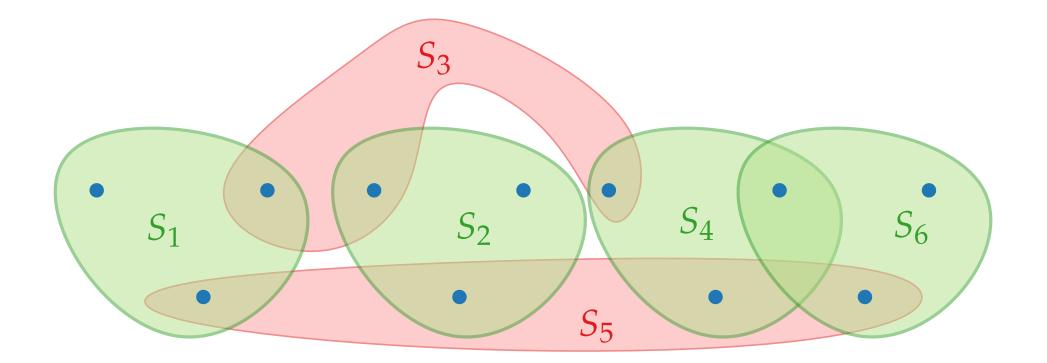


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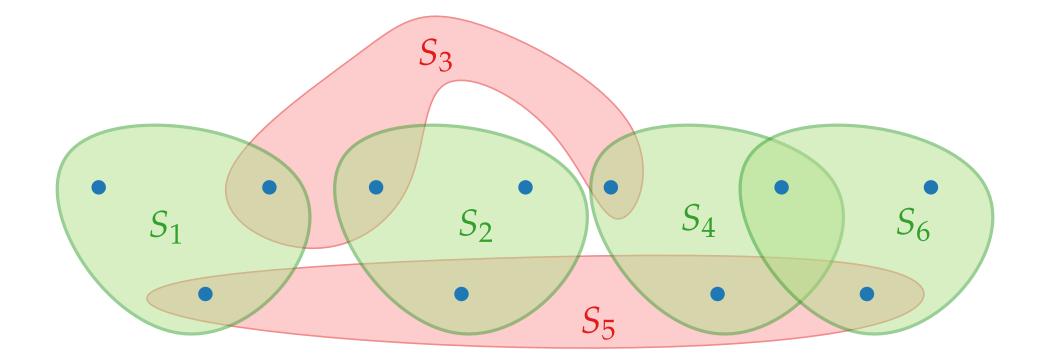


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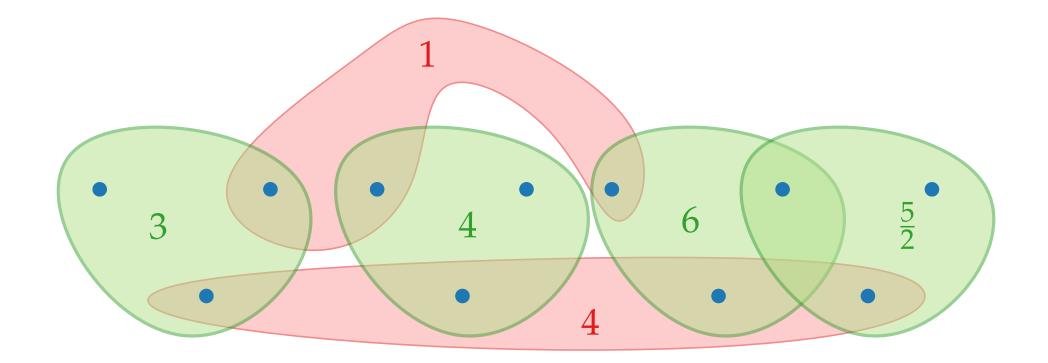
Each  $S \in S$  has cost c(S) > 0.



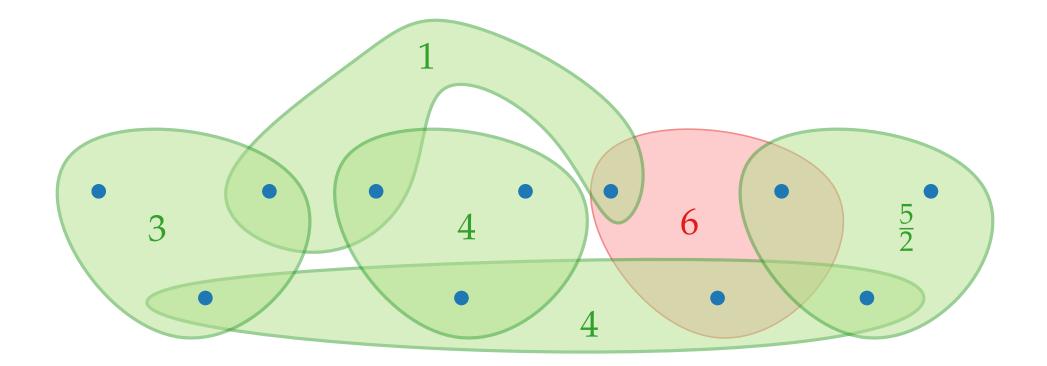
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# Approximation Algorithms

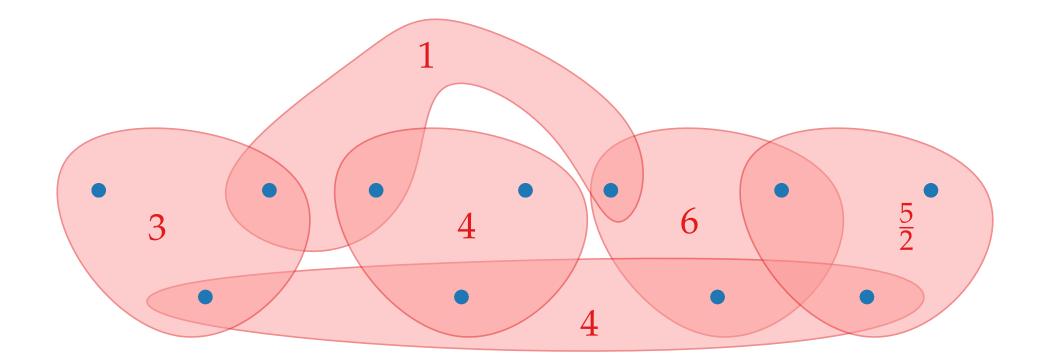
# Lecture 2: SetCover and ShortestSuperString

#### Part II: Greedy for SetCover

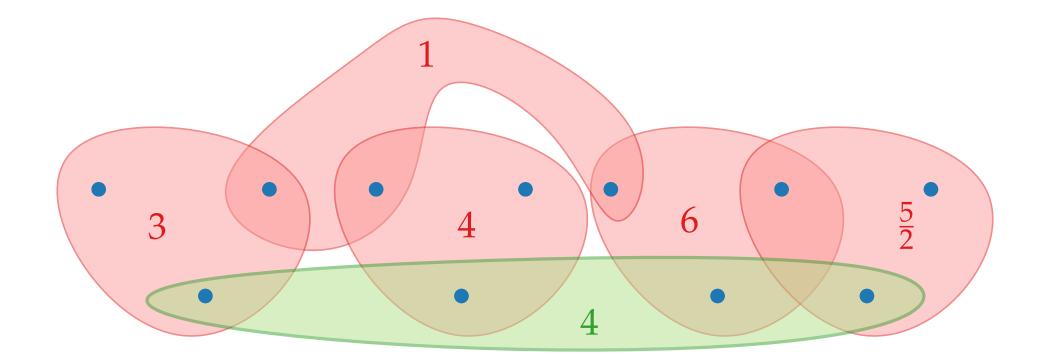
Joachim Spoerhase

Winter 2020/21

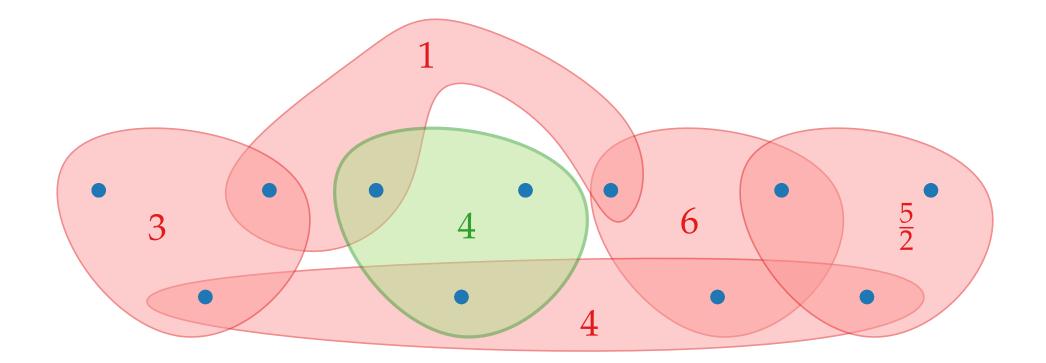
What is the real cost of picking a set?

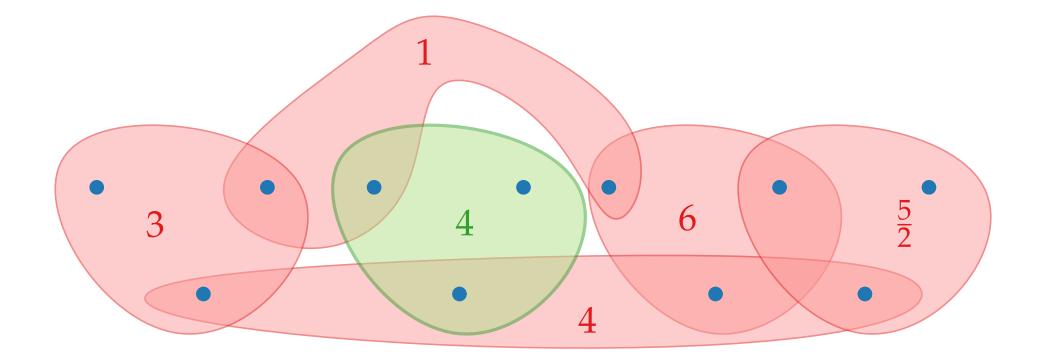


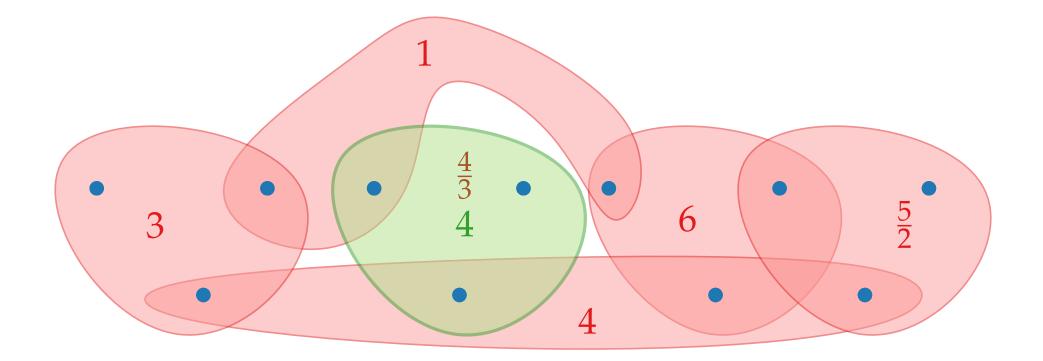
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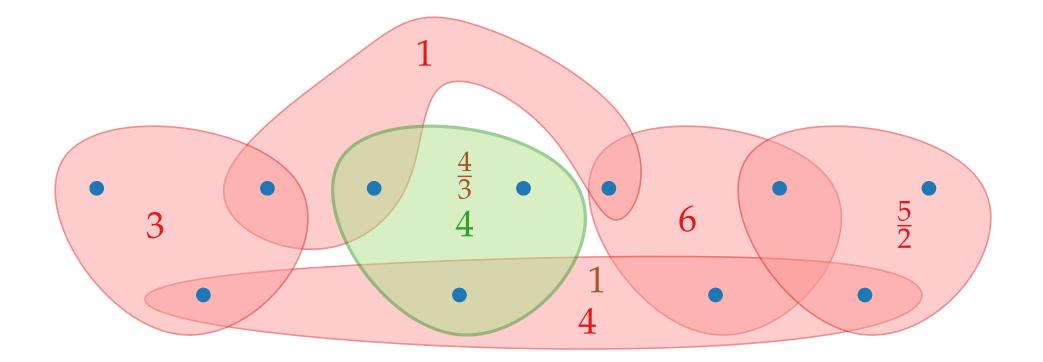


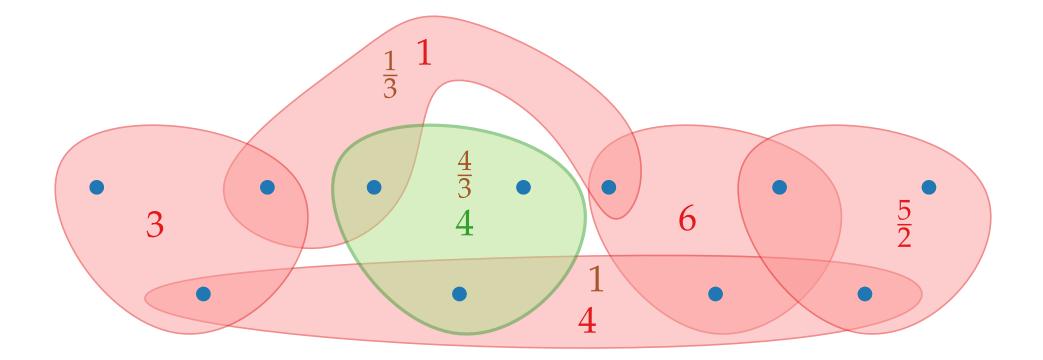
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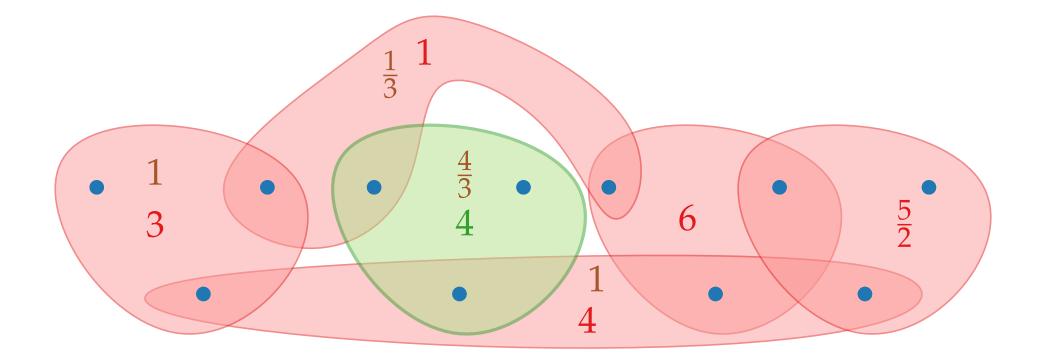


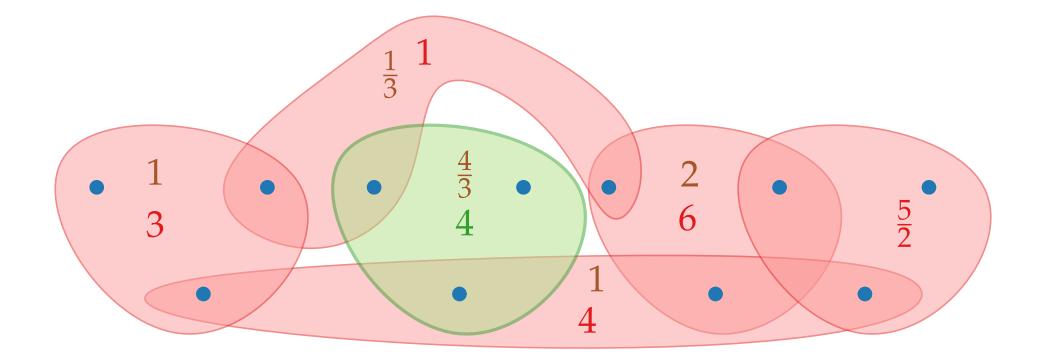


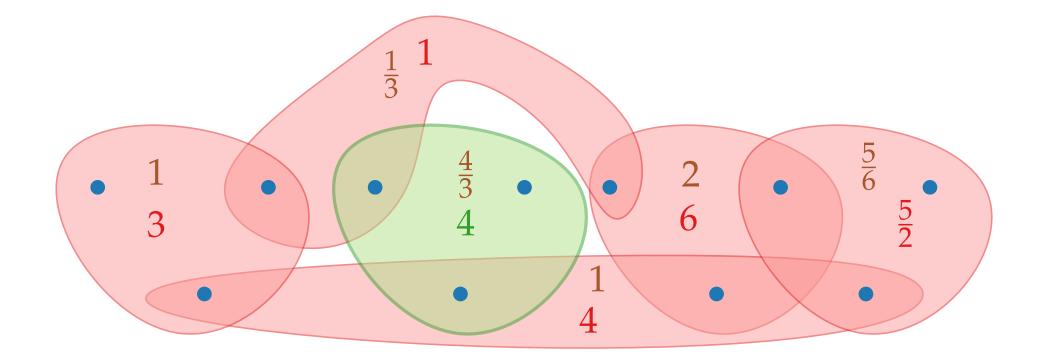




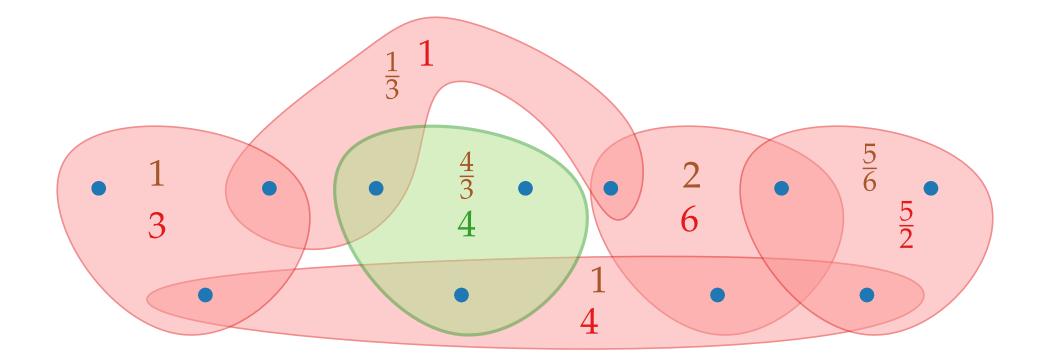


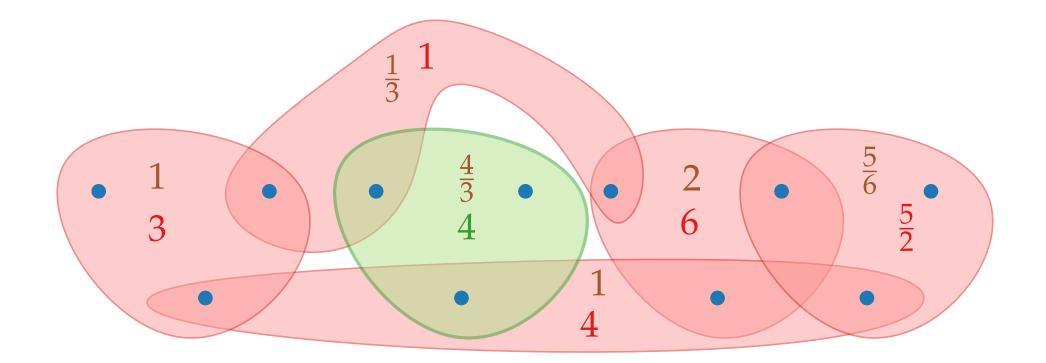


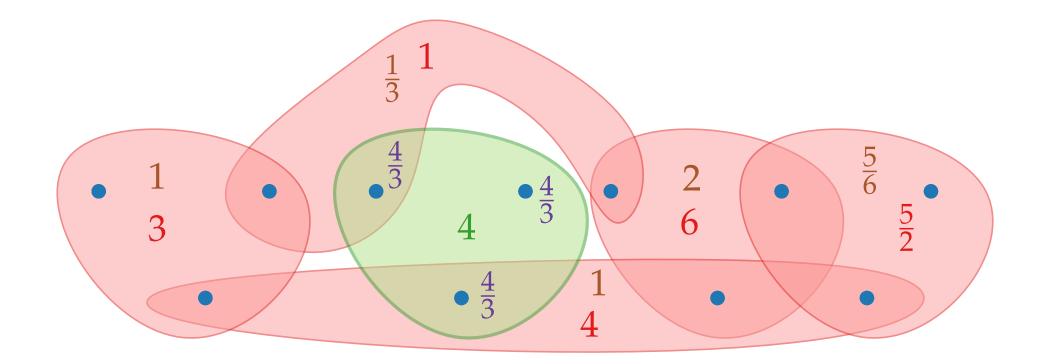


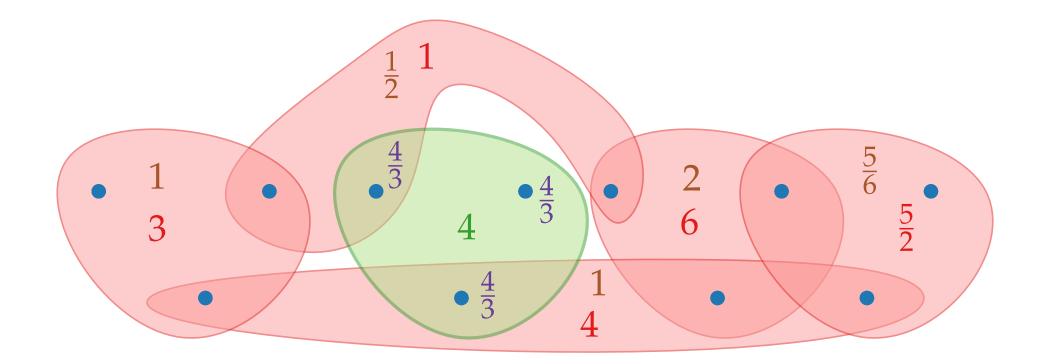


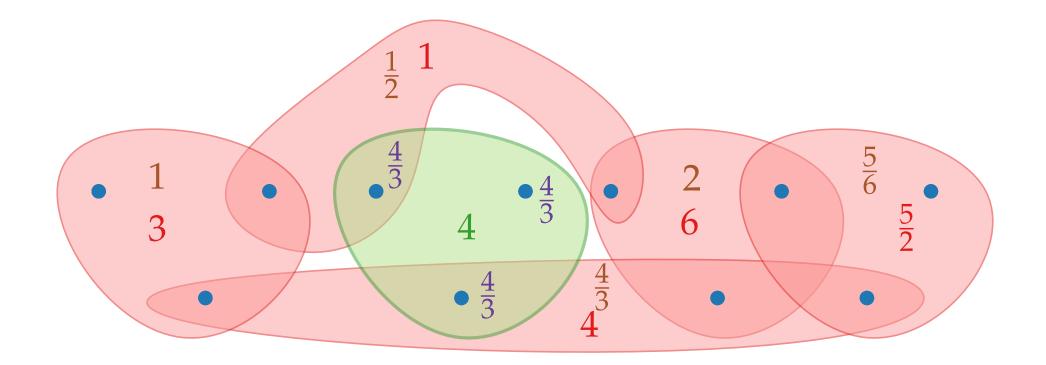
What is the real cost of picking a set? Set with *k* elements and cost *c* has per-element cost  $\frac{c}{k}$ . What happens if we "buy" a set?

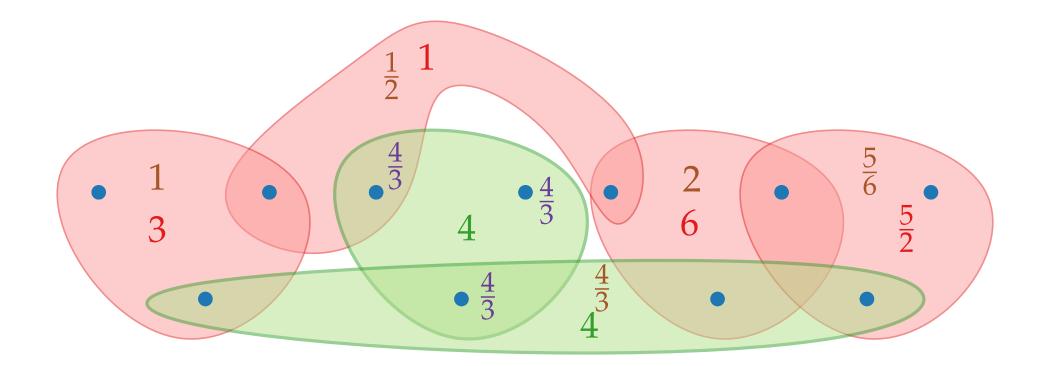


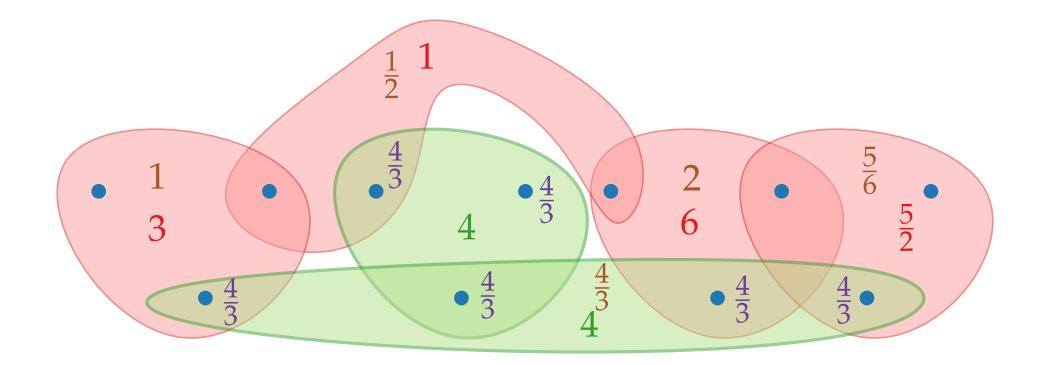


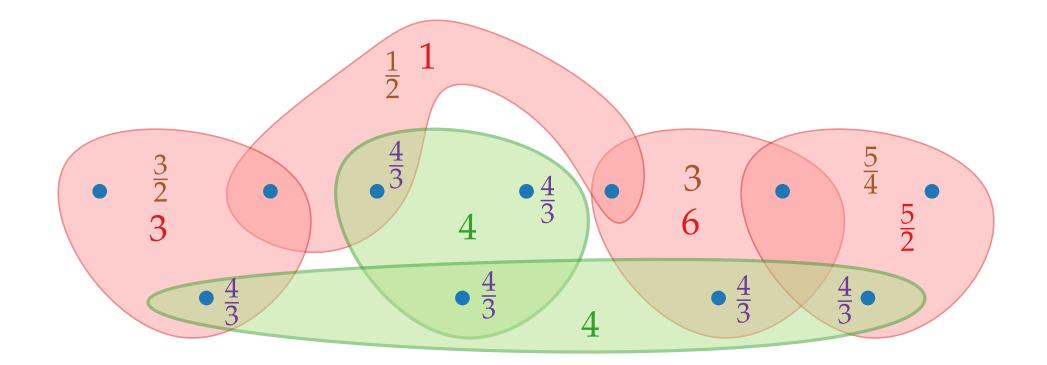


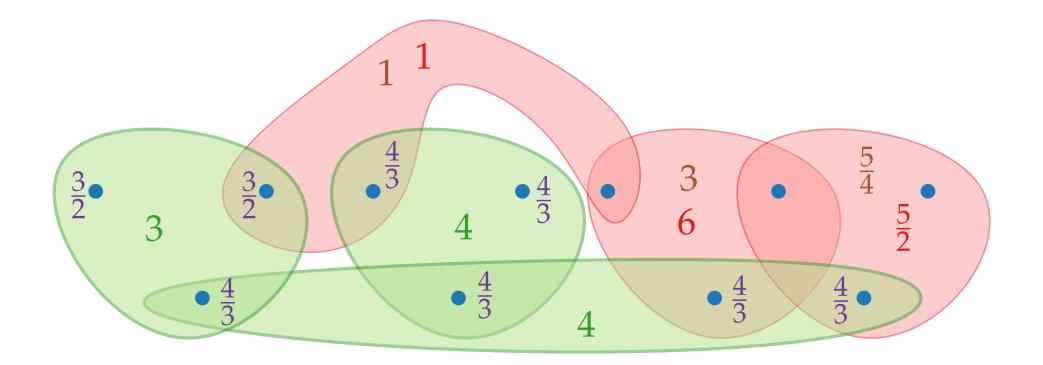


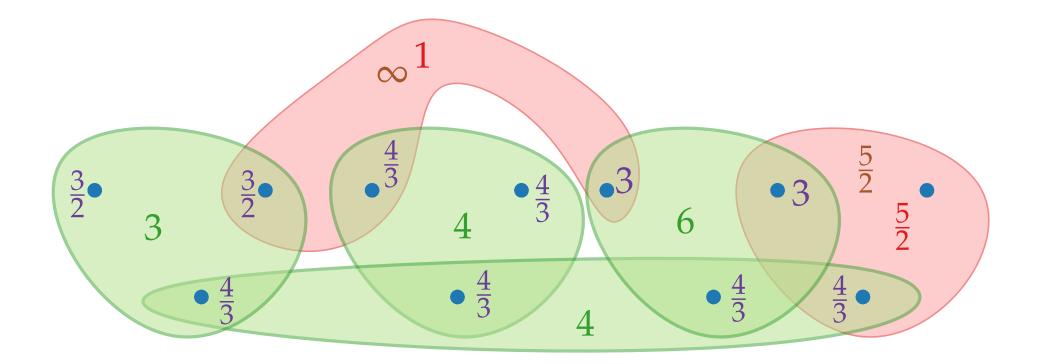


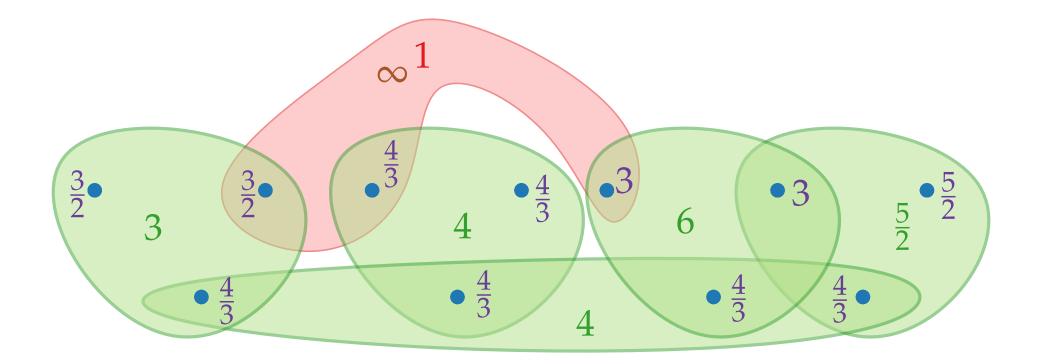


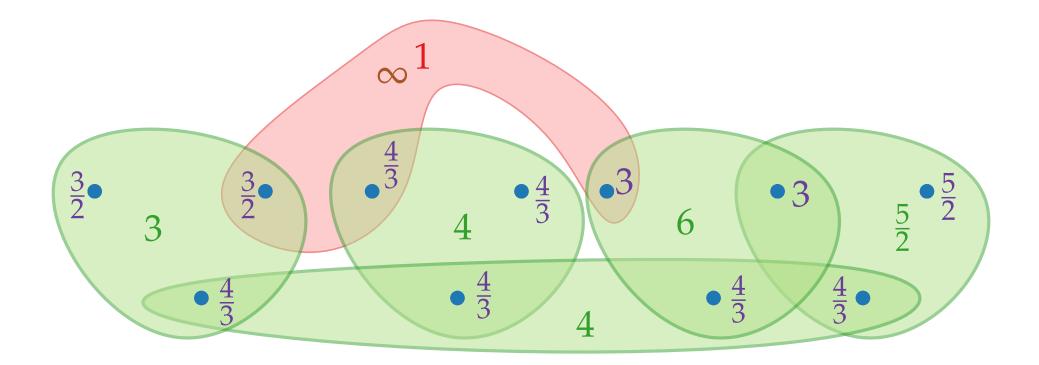






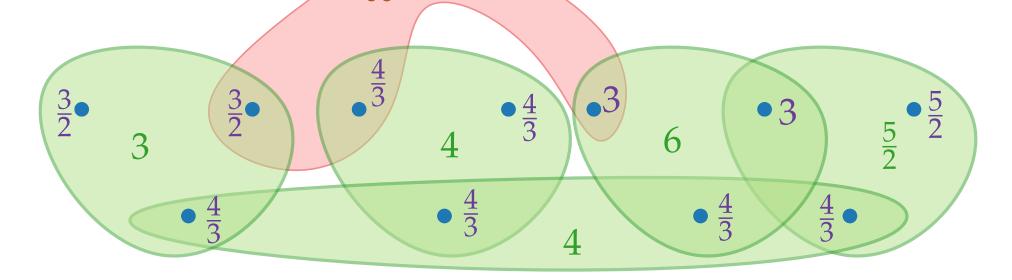




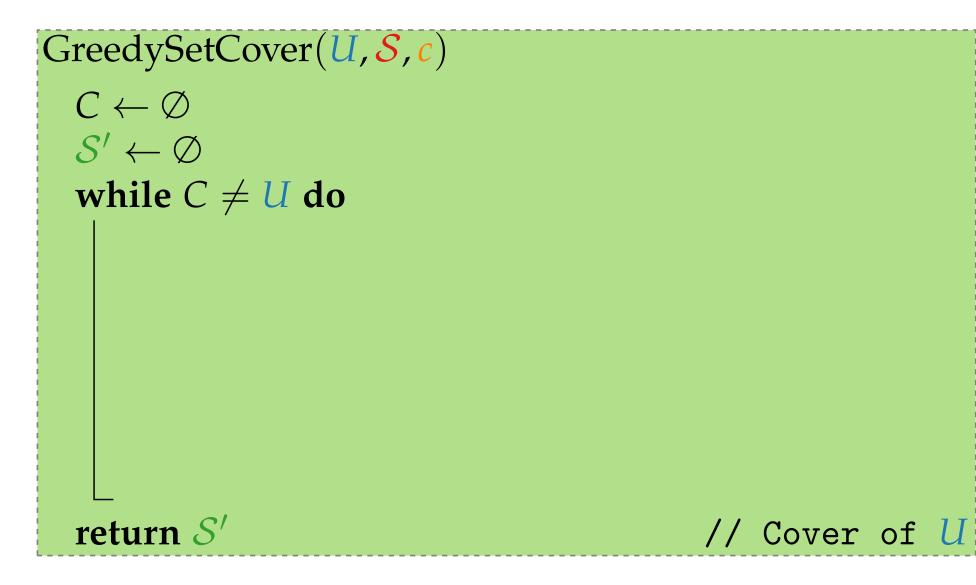


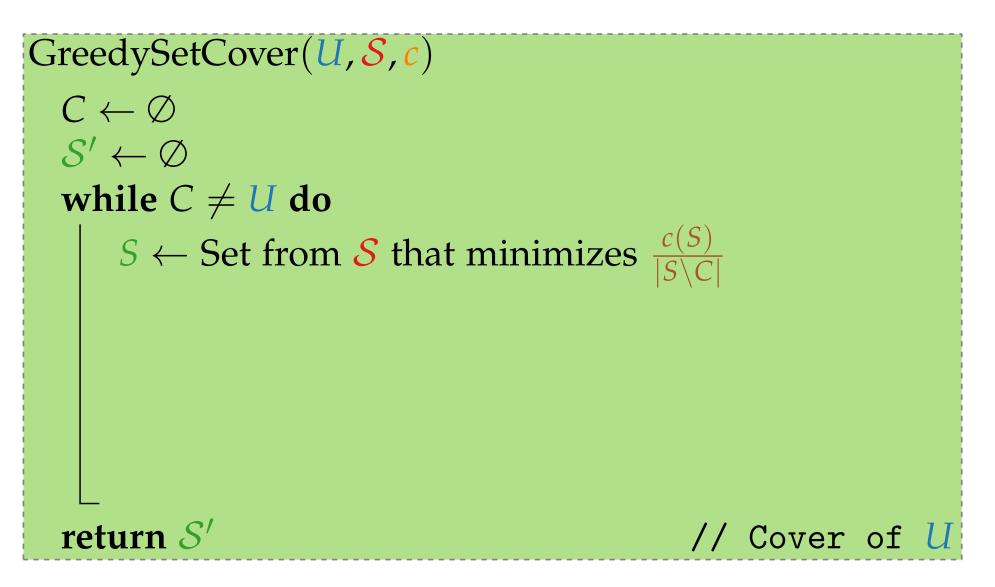
What is the real cost of picking a set? Set with *k* elements and cost *c* has per-element cost  $\frac{c}{k}$ . What happens if we "buy" a set? Fix price of elements bought and recompute per-element cost. Cost.  $\sum_{u \in U} \operatorname{price}(u)$ 

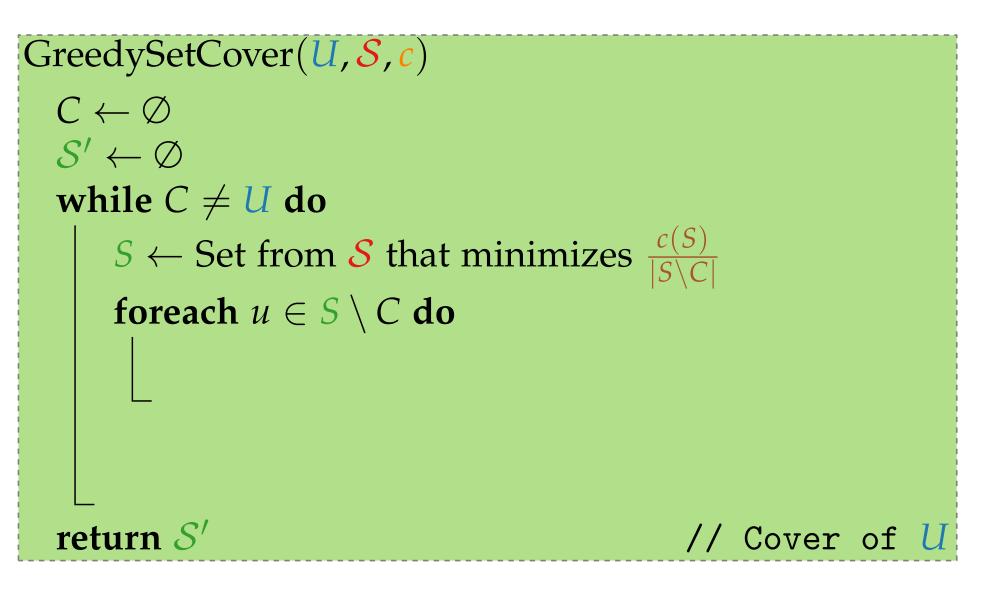
Greedy: Always choose the set with the minimum per-element cost. 1

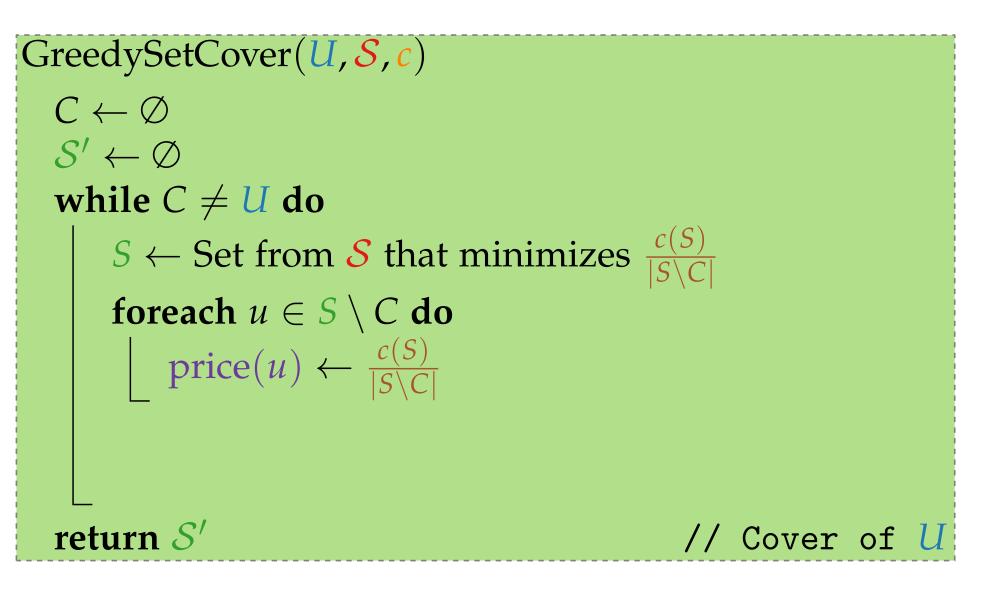


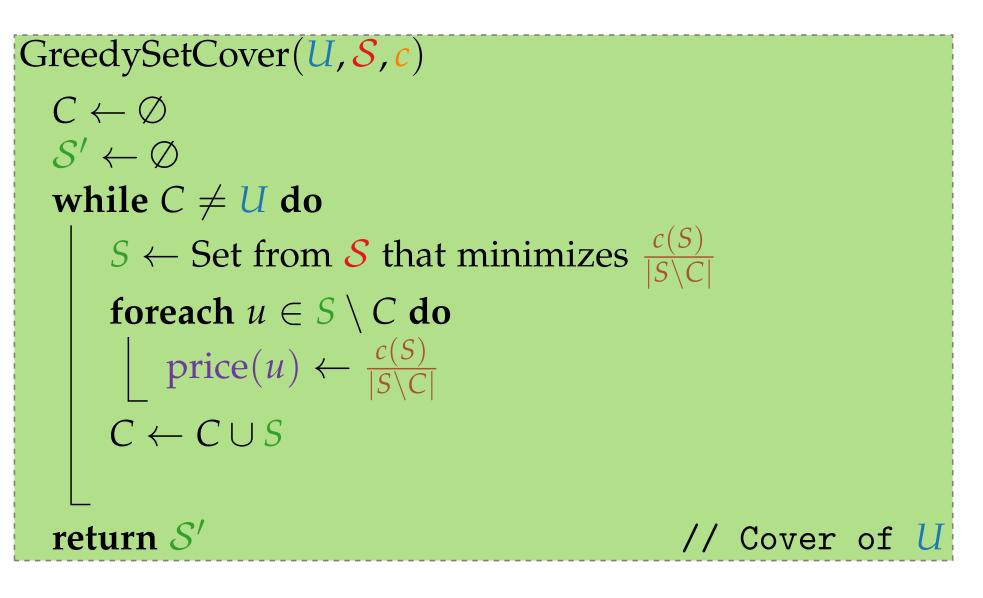
# GreedySetCover(*U*, *S*, *c*) $C \leftarrow \emptyset$ $\mathcal{S}' \leftarrow \emptyset$ return S'// Cover of U

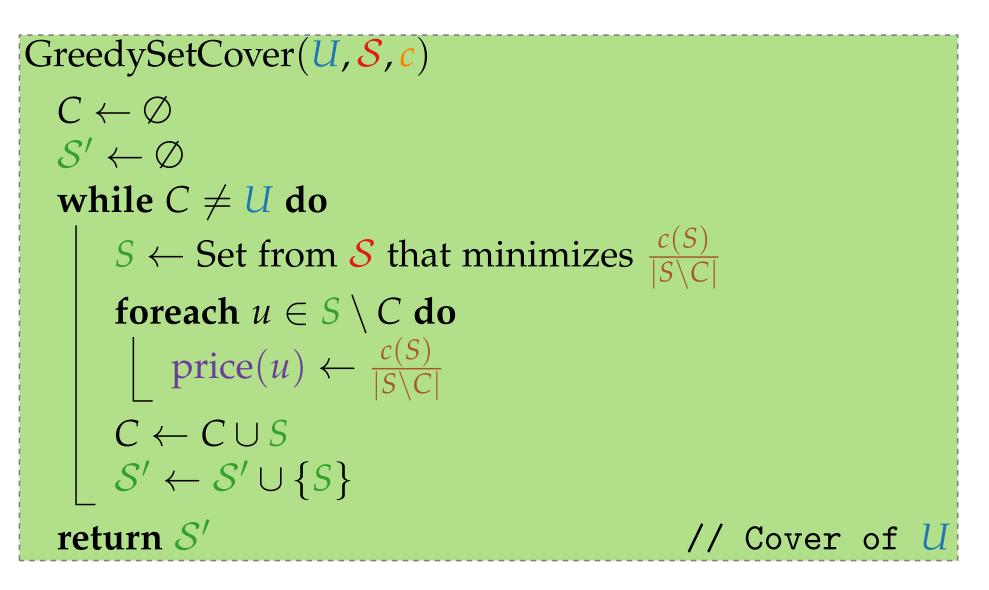












# Approximation Algorithms

# Lecture 2: SetCover and ShortestSuperString

Part III: Analysis

Joachim Spoerhase

Winter 2021/22

**Theorem.** GreedySetCover is a factor- $\mathcal{H}_k$ -approximation algorithm for SETCOVER, where *k* is the cardinality of the largest set in  $\mathcal{S}$  and  $\mathcal{H}_k := 1 + \frac{1}{2} + \frac{1}{3} + \ldots + \frac{1}{k} \to 0.5 + \ln k.$ 

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**Lemma.** Let  $S \in S$  and  $u_1, \ldots, u_\ell$  be the elements of S in the order they are covered ("bought") by GreedySetCover. Then price $(u_j) \leq$ 

Proof.

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Lemma.	Let $S \in S$ and $u_1, \ldots, u_\ell$ be the elements of $S$ in
	the order they are covered ("bought") by
	GreedySetCover. Then
	$\operatorname{price}(u_j) \leq$

**Proof.** Iteration at which alg. buys  $u_i \Rightarrow$ 

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**Proof.** Iteration at which alg. buys  $u_j \Rightarrow \leq j - 1$  elements of *S* already bought

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≤ *j* − 1 elements of *S* already bought
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 **per-element cost** for *S*:

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≤ *j* − 1 elements of *S* already bought
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 per-element cost for *S*: ≤ *c*(*S*)/(*l* − *j* + 1)

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 $\leq \sum_{i=1}^{m} c(S_i) \cdot \mathcal{H}_k$  =

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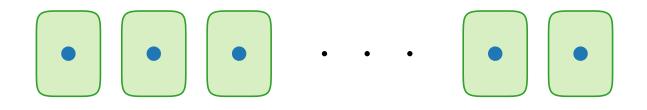
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price(U) =  $\sum_{u \in U} \operatorname{price}(u) \leq \sum_{i=1}^{m} \operatorname{price}(S_i)$   
 $\leq \sum_{i=1}^{m} c(S_i) \cdot \mathcal{H}_k = \operatorname{OPT} \cdot \mathcal{H}_k$ 

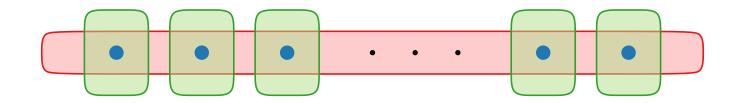
# Analysis tight?

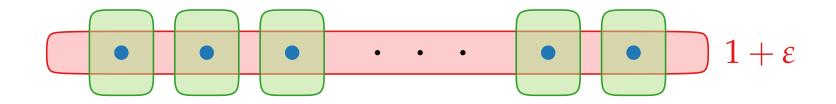
**Theorem.** GreedySetCover is a factor- $\mathcal{H}_k$ -approximation algorithm for SETCOVER, where *k* is the cardinality of the largest set in  $\mathcal{S}$  and  $\mathcal{H}_k := 1 + \frac{1}{2} + \frac{1}{3} + \ldots + \frac{1}{k} \leq 1 + \ln k.$ 

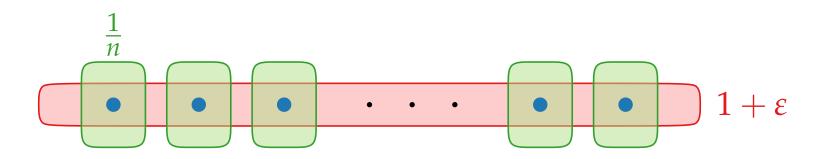
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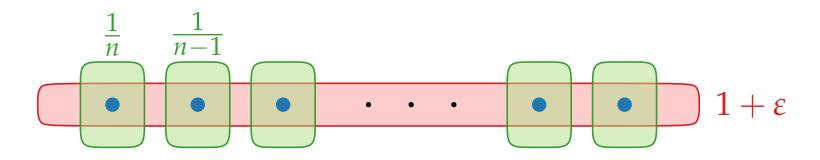
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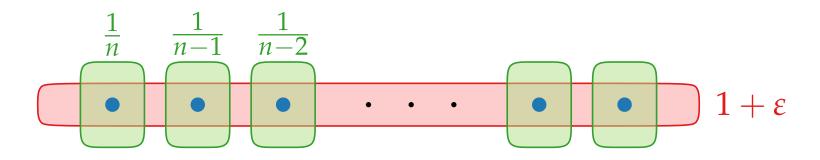


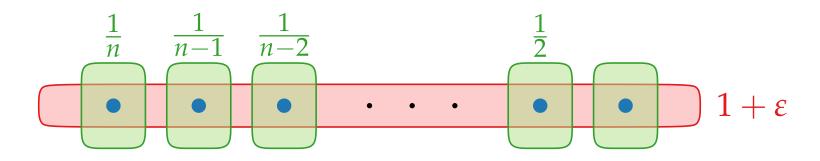


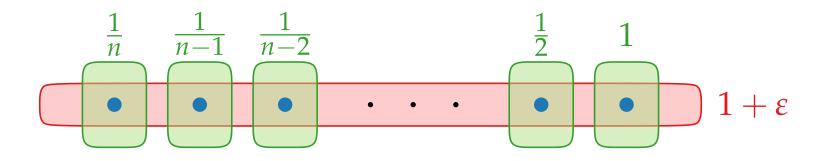


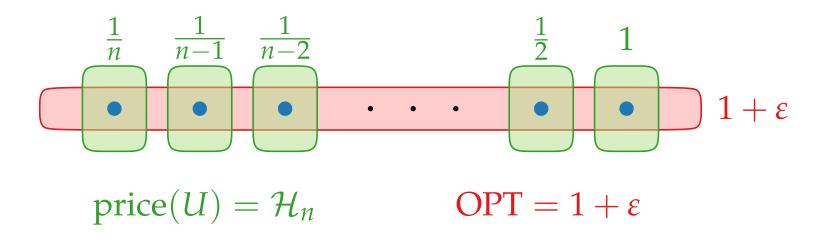




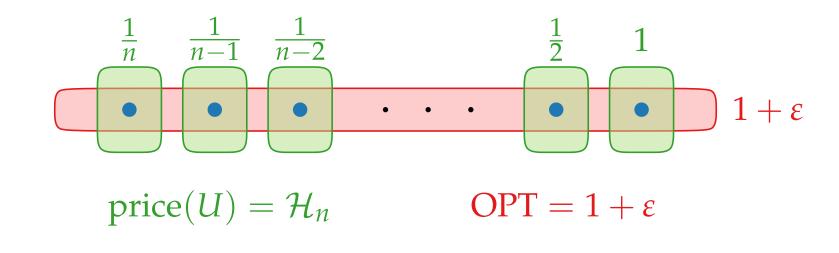






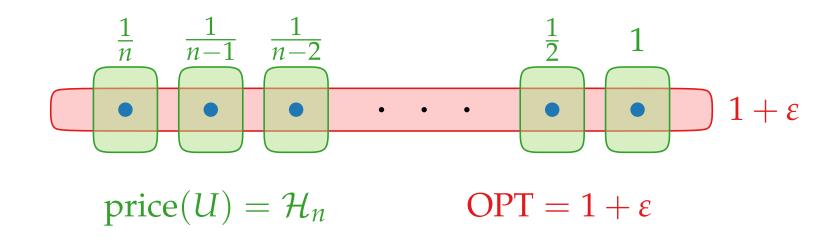


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better?

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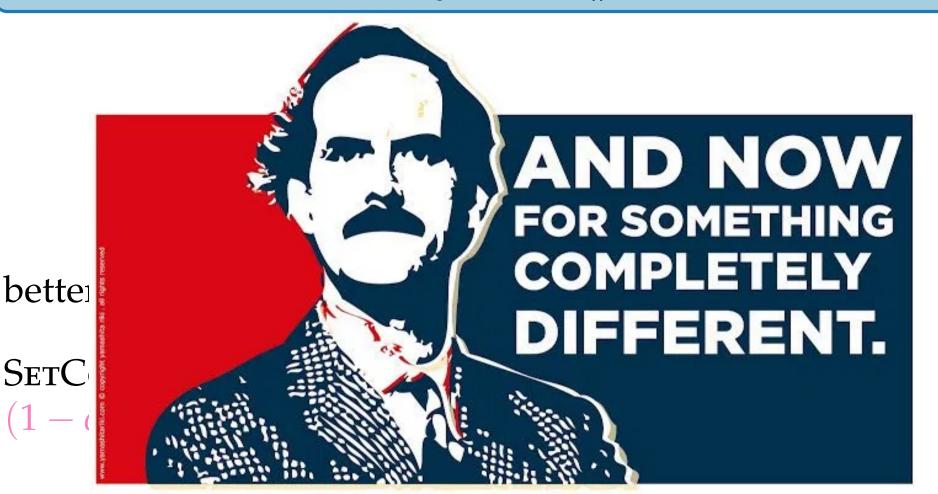


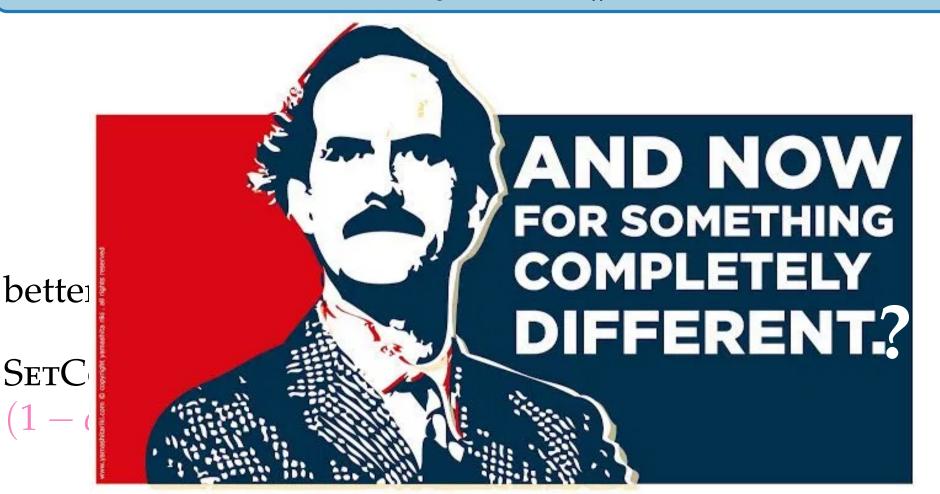
better?

SetCover cannot be approximated within factor  $(1 - o(1)) \cdot \ln(n)$  (unless P=NP)

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8 - 13





# Approximation Algorithms

# Lecture 2: SetCover and ShortestSuperString

#### Part IV: ShortestSuperString

Joachim Spoerhase

Winter 2021/22

Given a set  $\{s_1, \ldots, s_n\} \subseteq \Sigma^+$  of strings over a finite alphabet  $\Sigma$ .

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#### **Example.** $U := \{cbaa, abc, bcb\}$ cbaabcb

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#### abc

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abc bcb cbaa

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Example.  $U := \{cbaa, abc, bcb\}$  cbaabcb abcbaa abc bcbcbaa

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Example.  $U := \{cbaa, abc, bcb\}$  cbaabcb  $\int \int cbaabcb$   $u := \{cbaa, abc, bcb\}$  cbaabcb  $u := \{cbaa, abc, bcb\}$  cbaabcb abcbaa bcbcbaa

Given a set  $\{s_1, \ldots, s_n\} \subseteq \Sigma^+$  of strings over a finite alphabet  $\Sigma$ . Find a **shortest string** *s* (*superstring*) such that each  $s_i$ ,  $i = 1, \ldots, n$  is a *substring* of *s*.

Example. $U := \{cbaa, abc, bcb\}$  cbaabcb $\bigvee$  "covers" all strings in UW.l.o.g.: No string  $s_i$ is a substring of any<br/>other string  $s_j$ .abc<br/>bcb<br/>cbaa

SETCOVER Instance: ground set U, set family S, costs c.

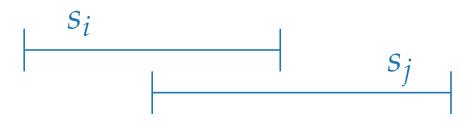
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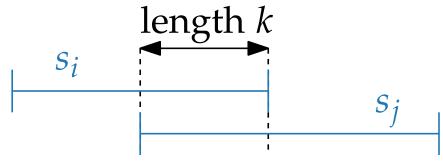
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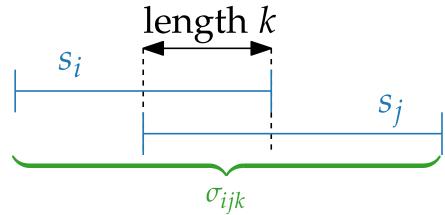
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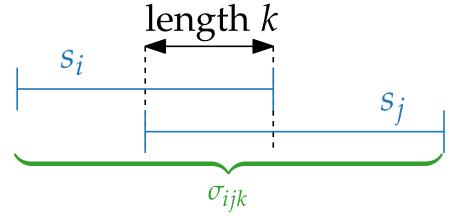
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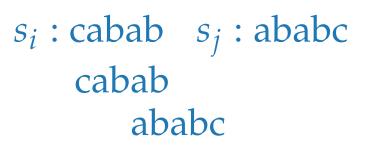
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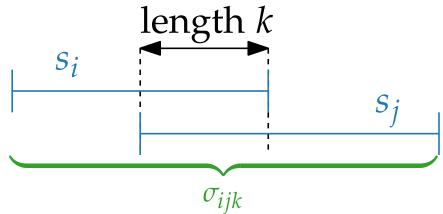
Let be  $\sigma_{ijk}$  be the unique string with prefix  $s_i$  and suffix  $s_j$  where  $s_i$  and  $s_j$  overlap on k characters (for suitable i, j, k)

 $s_i$  : cabab  $s_j$  : ababc

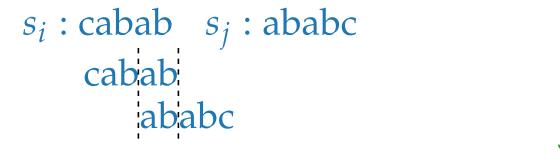


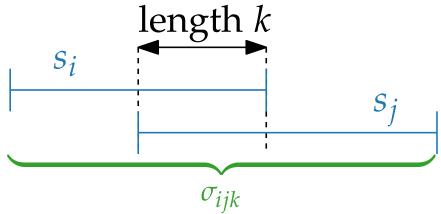
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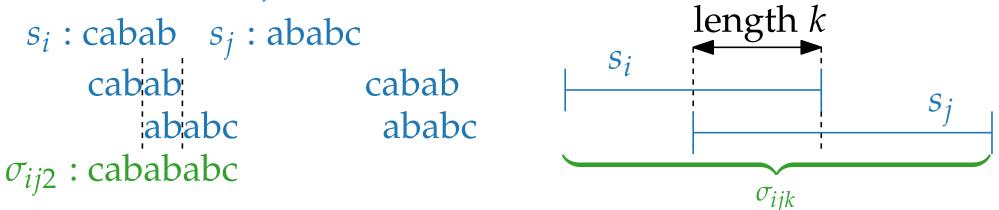




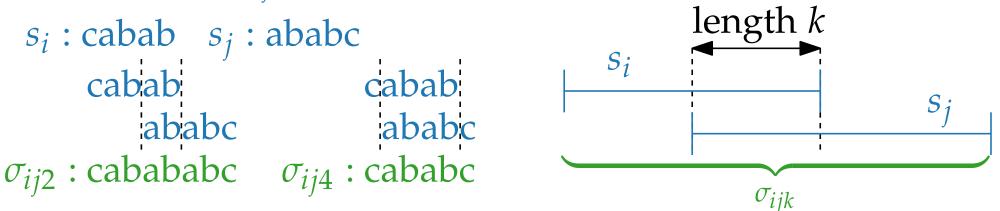
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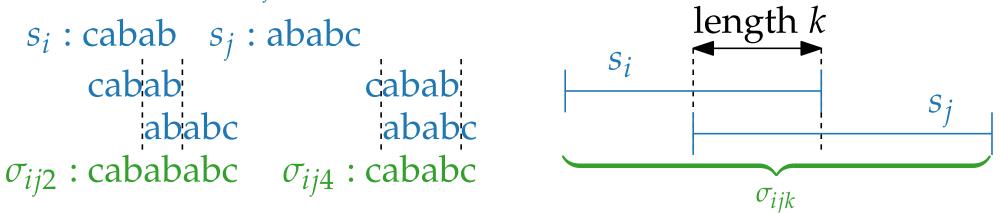


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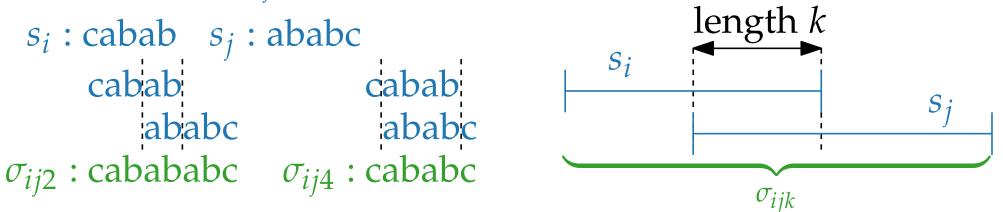


 $S(\sigma_{ijk}) = \{s \in U \mid s \text{ substring of } \sigma_{ijk}\}$  contains the elements of the ground set covered by  $\sigma_{ijk}$ .

### SSS as a SetCover Problem

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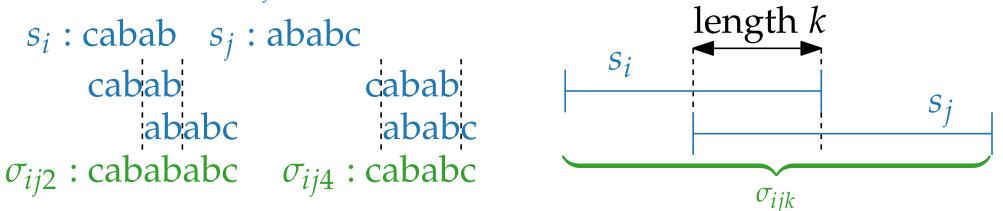
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 $c\left(S(\sigma_{ijk})\right) = |\sigma_{ijk}| \quad \text{(number of characters in } \sigma_{ijk})$  $S = \{S(\sigma_{ijk}) \mid k > 0\} \quad \text{(possibly } i = j)$ 

# Approximation Algorithms

## Lecture 2: SetCover and ShortestSuperString

#### Part V: Solving ShortestSuperString via SetCover

Joachim Spoerhase

Winter 2021/22

**Lemma.** Let  $OPT_{SSS}$  be the length of a shortest superstring of U and  $OPT_{SC}$  be the minimum cost of the corresponding SetCover instance. Then:

 $OPT_{SSS} \leq OPT_{SC}$ 

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#### **Proof.**

Consider an optimal set cover  $\{S(\pi_1), \ldots, S(\pi_k)\}$  of *U*.

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 $s := \pi_1 \circ \cdots \circ \pi_k$  is a superstring of U of length  $\sum_{i=1}^k |\pi_i| = \sum_{i=1}^k c(S(\pi_i)) = OPT_{SC}.$ 

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Thus,  $OPT_{SSS} \leq |s| = OPT_{SC}$ .

#### Lemma.

### $\text{OPT}_{\text{sc}} \leq 2 \cdot \text{OPT}_{\text{SSS}}$

### Lemma. $OPT_{sc} \leq 2 \cdot OPT_{SSS}$

**Proof.** Consider optimal superstring *s*.

Lemma.	$OPT_{sc} \leq 2 \cdot OPT_{SSS}$
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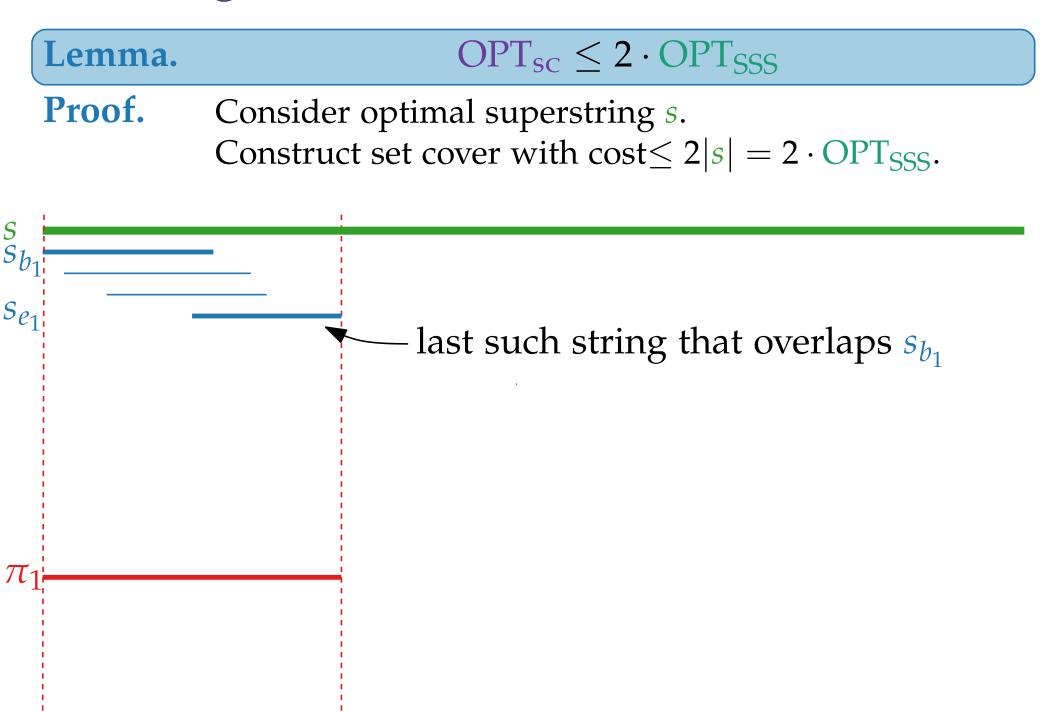
Lemma.	$OPT_{sc} \leq 2 \cdot OPT_{SSS}$
Proof.	Consider optimal superstring <i>s</i> . Construct set cover with $cost \le 2 s  = 2 \cdot OPT_{SSS}$ .

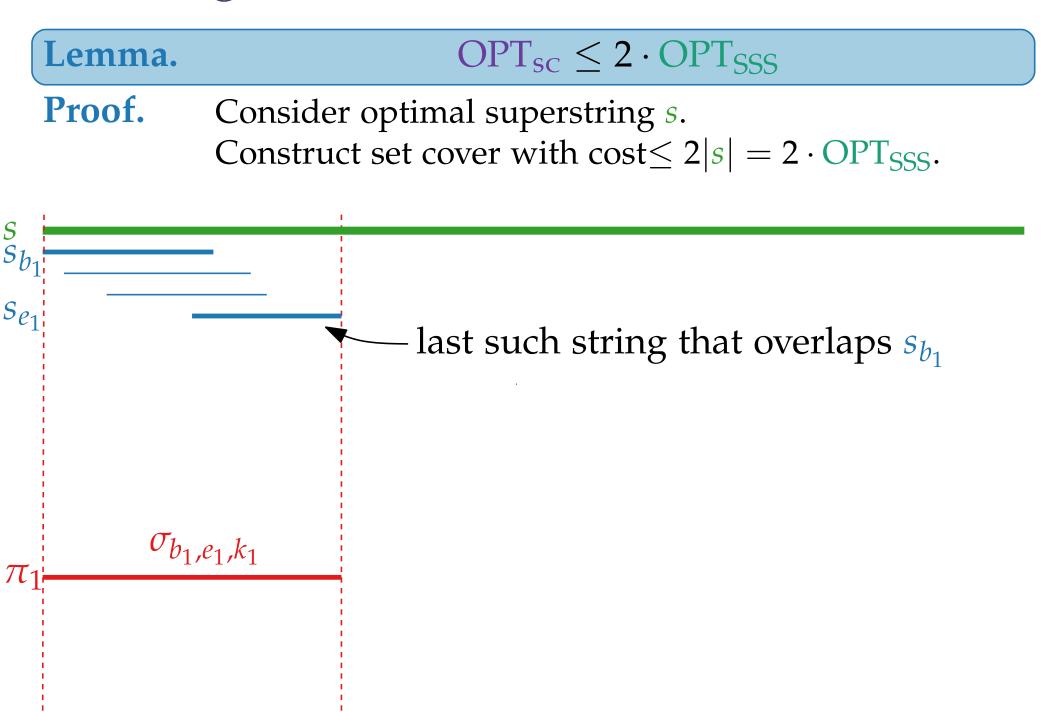
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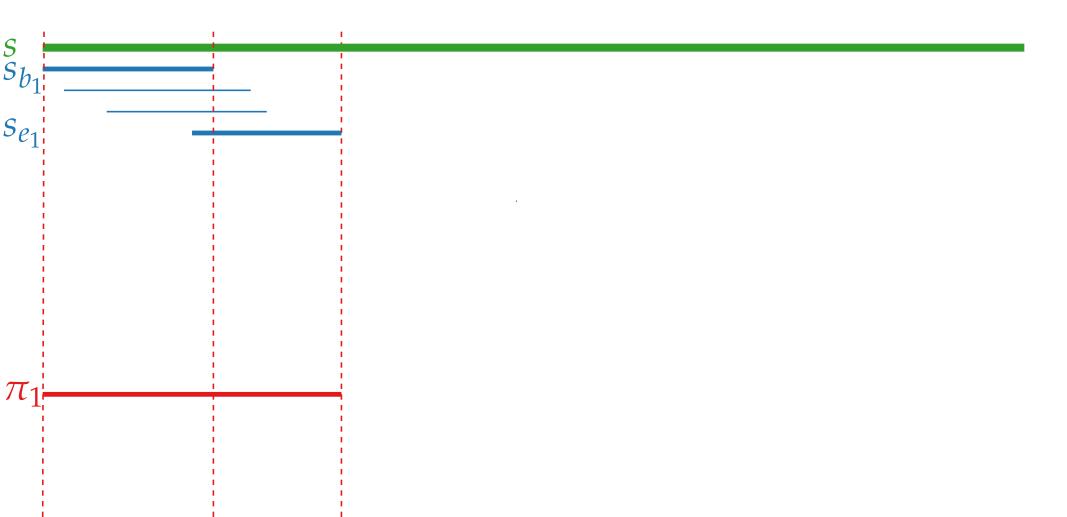
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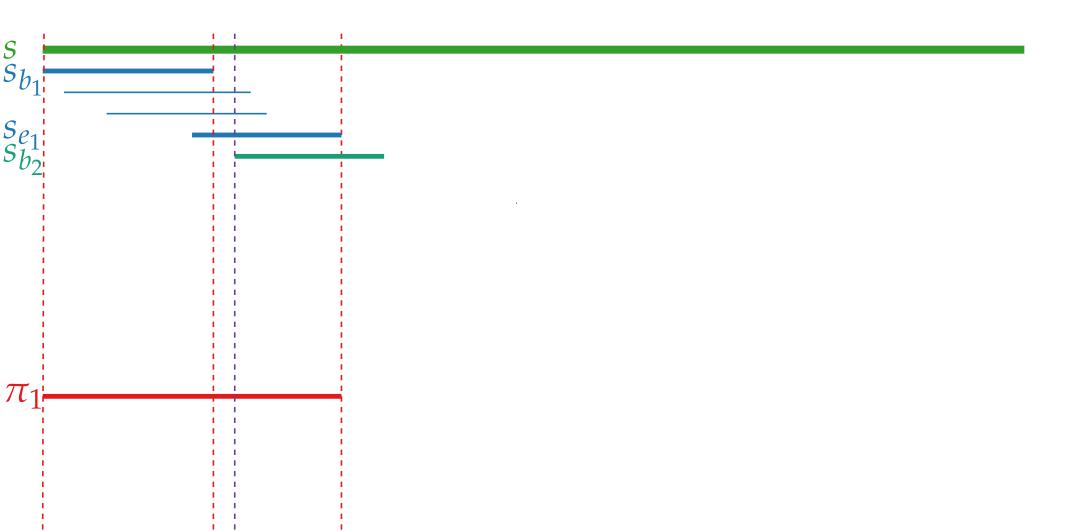




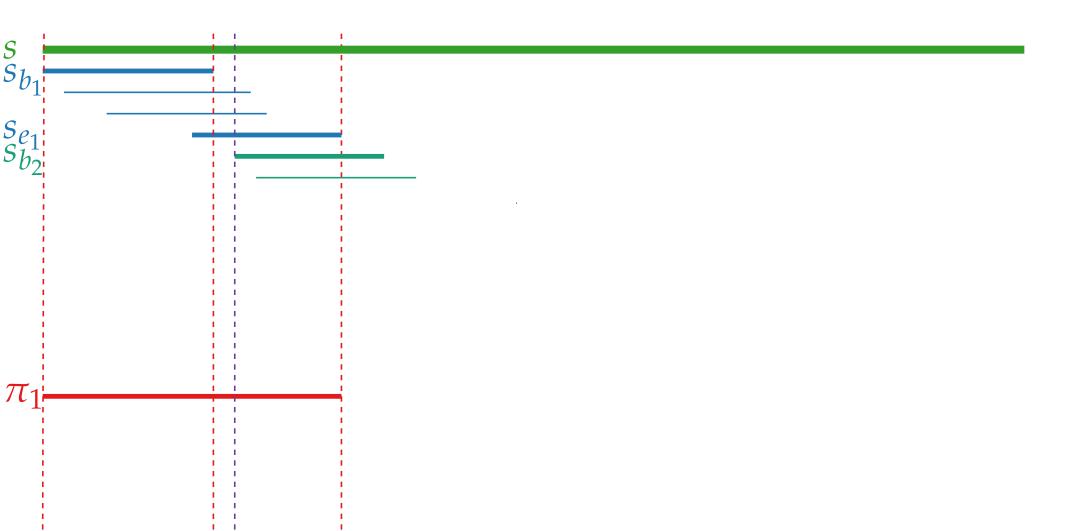
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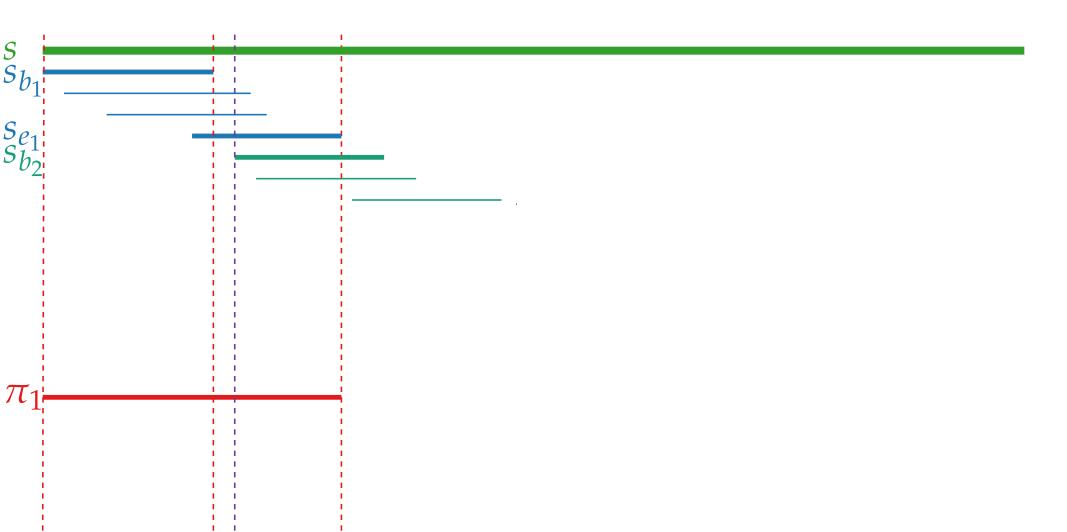
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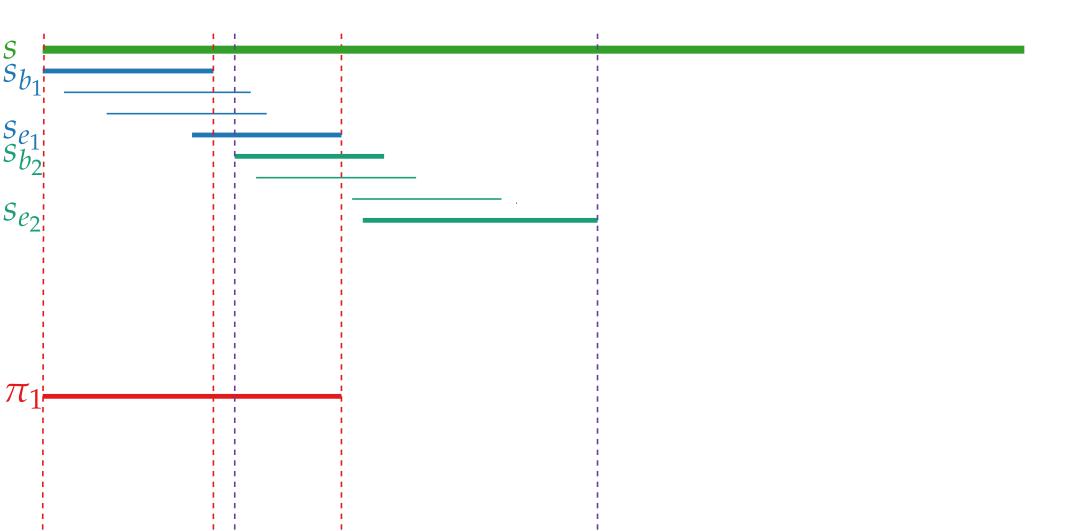
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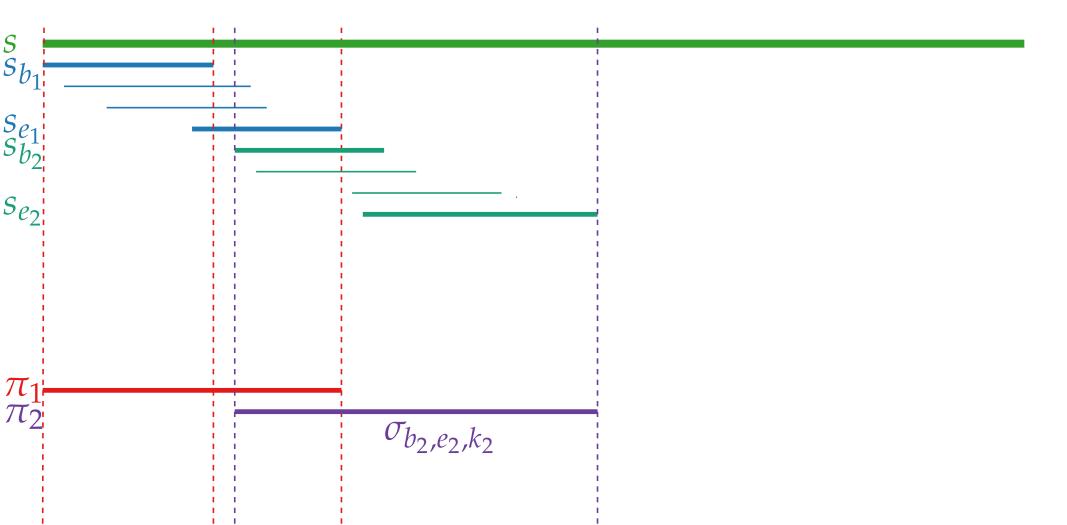
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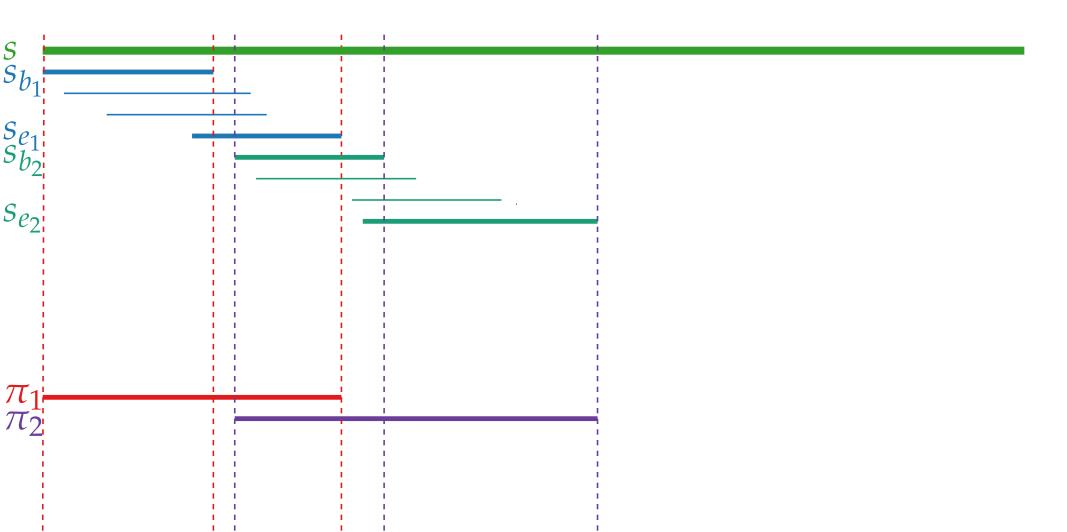
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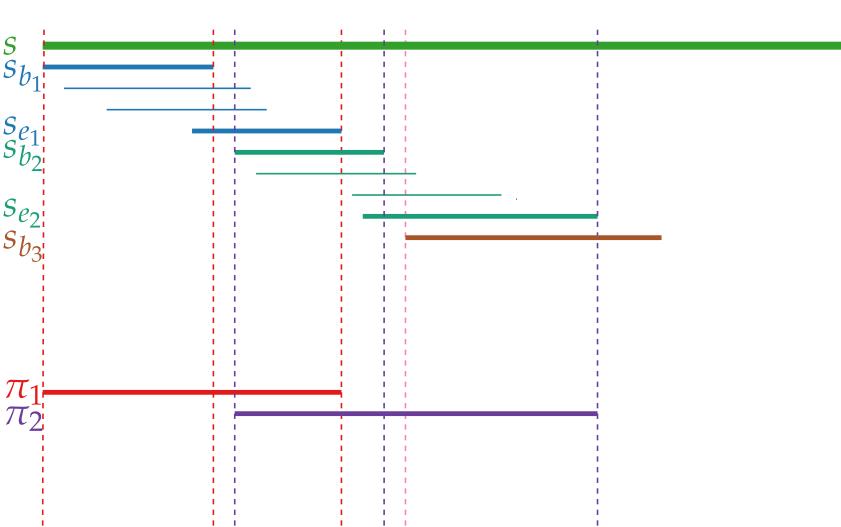
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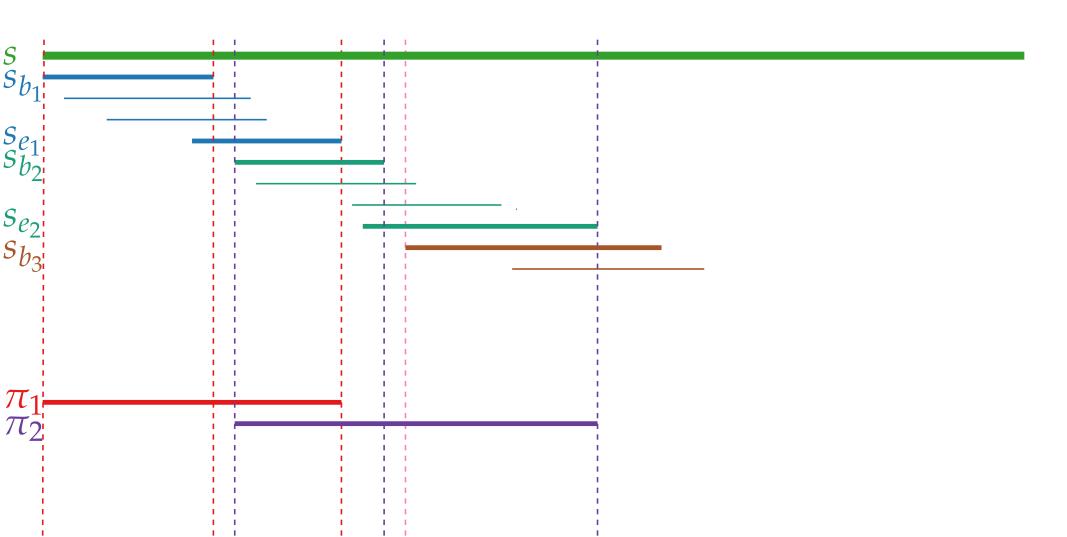
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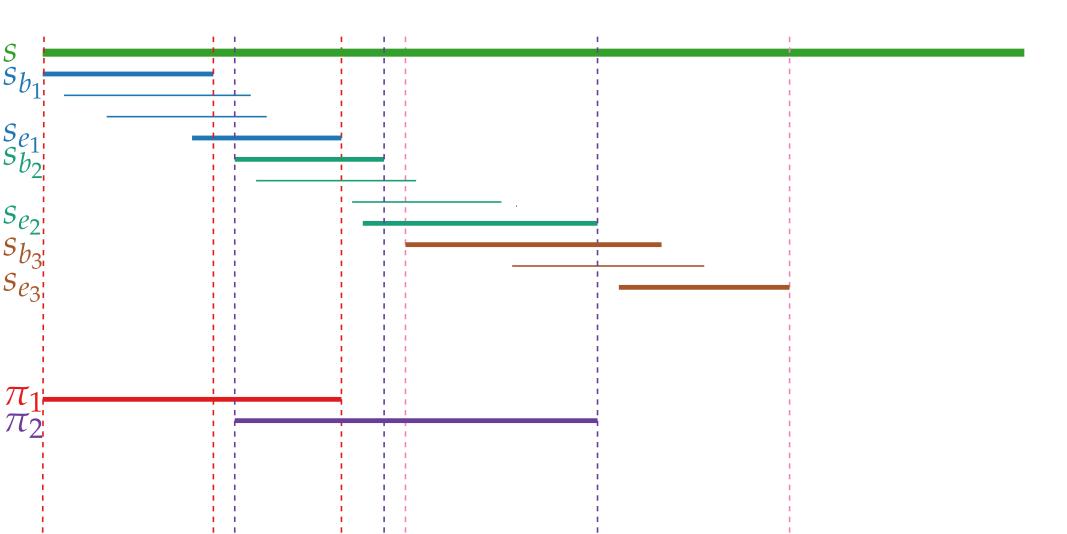
### $(\text{Lemma.} \quad \text{OPT}_{\text{SC}} \leq 2 \cdot \text{OPT}_{\text{SSS}})$



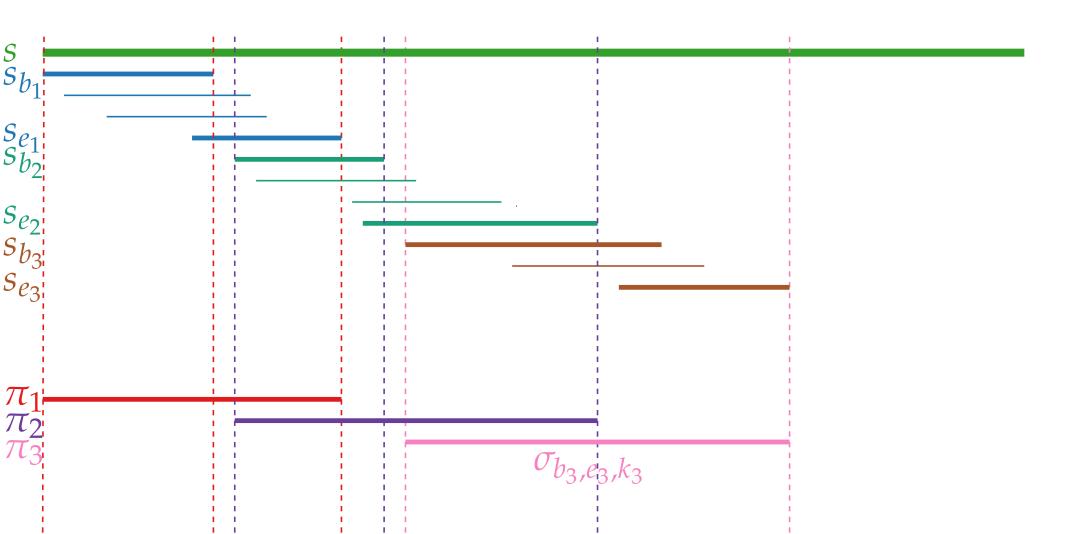
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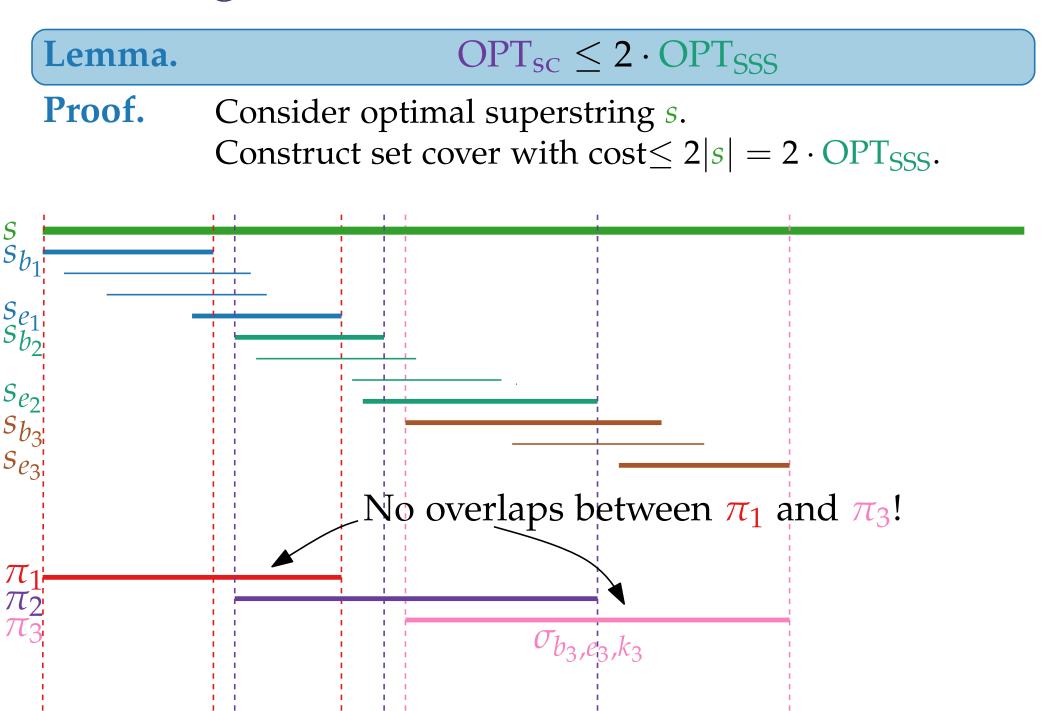


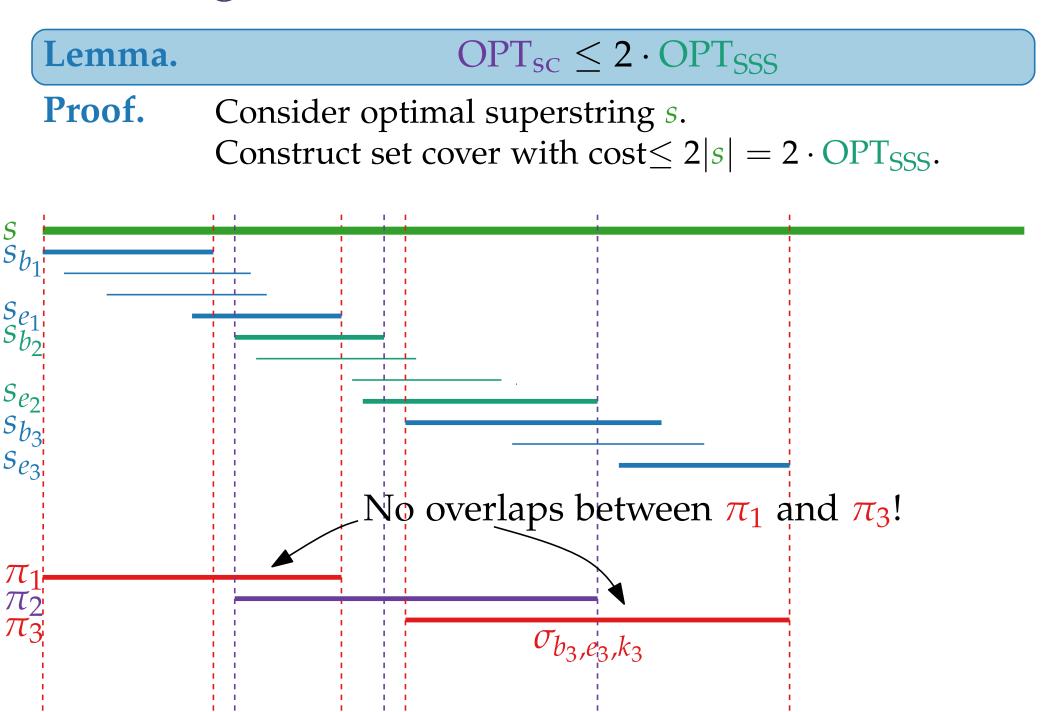
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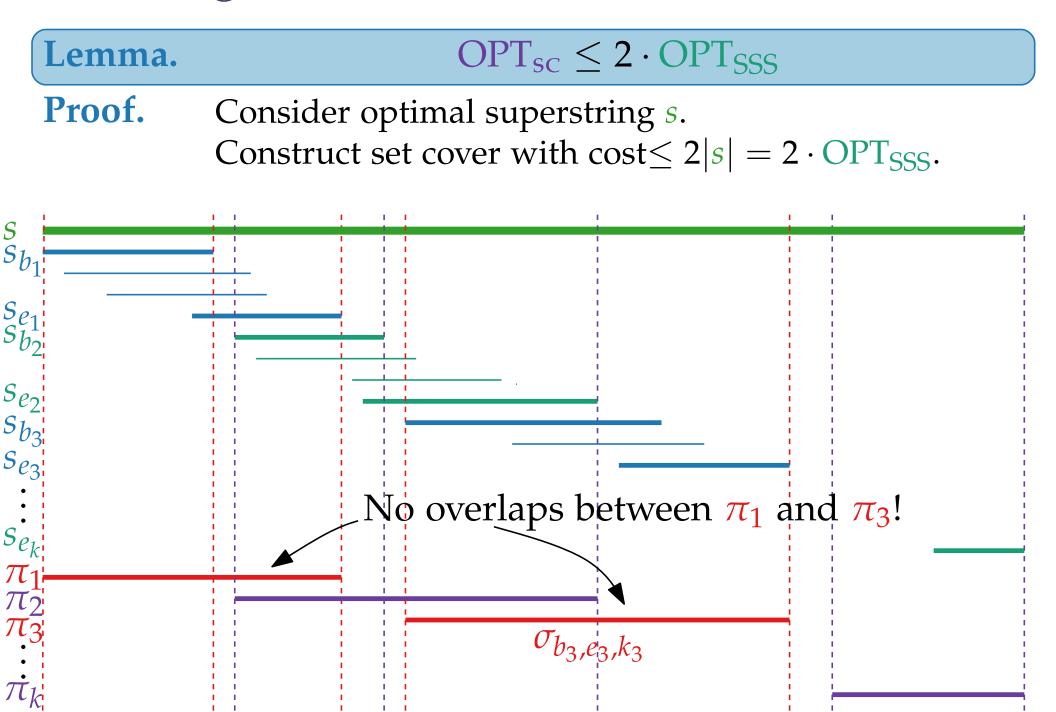


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### $OPT_{sc} \leq 2 \cdot OPT_{SSS}$

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Lemma.

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### $\text{OPT}_{\text{sc}} \leq 2 \cdot \text{OPT}_{\text{SSS}}$

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 $\sum_i |\pi_i| \le 2|s| = 2 \cdot \text{OPT}_{\text{SSS}}$ 

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**Theorem.** This algorithms is a factor- $2\mathcal{H}_n$ -approximation algorithm for SHORTESTSUPERSTRING.

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better?

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SHORTESTSUPERSTRING cannot be approximation within factor  $\frac{333}{332} \approx 1.003$  (unless P=NP).