## Computational Geometry

Lecture 5:
Orthogonal Range Queries
or
Fast Access to Data Bases

Part I:<br>1D Range Searching

## Orthogonal Range Queries

Example: Personnel management in a company


Typical queries for data bases!

## 1D Range Searching

Task:
Preprocess a finite set $P \subset \mathbb{R}$ such that for any interval $\left[x, x^{\prime}\right]$ the set $P \cap\left[x, x^{\prime}\right]$ can be reported quickly.

Solution: balanced binary search trees...
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(1.) Search $x=6$.
(2.) Search $x^{\prime}=21$.
(3.) Return
all leaves 'inbetween".


Small changes: - keys only in leaves

- inner nodes store max. of their left subtrees


Observe: The result of a query is the disjoint union of at most $2 h$ canonical subsets, where
$-h \in O(\log n)$ is the tree height,

- a canonical subset is an interval that contains all points stored in a subtree.
Theorem. A set of $n$ real numbers can be preprocessed in output $O(n \log n)$ time and $O(n)$ space such that 1D range sensitive! queries take $O(k+\log n)$ time, where $k=\mid$ output $\mid$.


## Extensions to 2D

Task: $\quad$ Preprocess a finite set $P \subset \mathbb{R}^{2}$ such that for any range query $R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$ the set $P \cap R$ can be reported quickly.

## Solutions:

- one tree;
query path alternates between $x$ - and $y$-coord. $\} k d$-tree
■ first-level tree for $x$-coordinates; many second-level trees for $y$-coord.

Assume: General position!
Here: no two points have the same $x$ - or $y$-coordinate.

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## Part II:

Kd-Trees: Contruction

## Extensions to 2D

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## Kd-Trees: Example



- Split any region that contains more than one point.
- Horizontal split lines/segm. belong to the region below. Vertical left.


## Kd-Trees: Construction



## Pseudo-code:

BuildKdTree(points $P$, int depth) if $|P|=1$ then
return (leaf storing the pt in $P$ ) else
if depth is even then
split $P$ with the vertical line
$\ell: x=x_{\text {median }(P)}$ into
$P_{1}$ (pts left of or on $\ell$ ) and $P_{2}=P \backslash P_{1}$
else
$L$ split $P$ horizontally...
$v_{\text {left }} \leftarrow \operatorname{BuildKdTree}\left(P_{1}\right.$, depth +1$)$
$v_{\text {right }} \leftarrow \operatorname{BuildKdTree}\left(P_{2}\right.$, depth +1$)$
create a node $v$ storing $\ell$ make $v_{\text {left }}$ and $v_{\text {right }}$ the children of $v$ return (v)

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Part III:<br>Kd-Trees: Analysis \& Querying

Kd-Trees: Analysis
Construction time?
$T(n)=\left\{\begin{array}{ll}O(1) & \text { if } n=1 \\ O(n)+2 T(\lceil n / 2\rceil) & \text { else. }\end{array}\right\} \stackrel{\text { see Mergesort! }}{=} O(n \log n)$

Lemma. A kd-tree for a set of $n$ pts in the plane takes $O(n \log n)$ time to construct and uses $O(n)$ storage.

## Kd-Trees: Querying



Lemma. Querying a kd-tree for $n \mathrm{pts}$ in the plane with an axis-parallel rectangle $R$ takes $O(k+\sqrt{n})$ time, where $k=\mid$ output $\mid$.
regions intersected by query boundary:
$Q(n)=\left\{\begin{array}{ll}O(1) & \text { if } n=1 \\ 2+2 Q(\lceil n / 4\rceil) & \text { else. }\end{array}=O(\sqrt{n})\right.$ $\square$

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Part IV:<br>Range Trees: Querying \& Construction

## Extensions to 2D

Task: $\quad$ Preprocess a finite set $P \subset \mathbb{R}^{2}$ such that for any range query $R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$ the set $P \cap R$ can be reported quickly.

## Solutions:

- one tree;
$\left.\begin{array}{l}\text { one tree; } \\ \text { query path alternates between } x \text { - and } y \text {-coord. }\end{array}\right\} k d$-tree
- first-level tree for $x$-coordinates; many second-level trees for $y$-coord.
range tree

Assume: General position!
Here: no two points have the same $x$ - or $y$-coordinate.

## Range Trees: Query Algorithm

1. Search in main tree for $x$-coordinate
2. For each node $u$ on the path from $v_{\text {split }}$ to $\mu$ :
For the right child $v$ of $u$ :
Search in auxiliary tree $\mathcal{T}_{\%}$ for points with y -coordinate $\in\left[y, y^{\prime}\right]$
3. Symmetrically for the path from $v_{\text {split }}$ to $\mu^{\prime}$.


## Range Trees: Construction

Build2DRangeTree(point[ ] P)
construct 2nd-level tree $\mathcal{T}_{P}$ on $P$ ( $y$-order)
if $P=\{p\}$ then
create leaf $v$ :
else

$$
\begin{aligned}
& x_{\text {mid }}=\text { median x-coordinate of } P \\
& P_{\text {left }}=\text { pts in } P \text { with x-coordinate } \leq x_{\text {mid }} \\
& P_{\text {right }}= \\
& v_{\text {left }}=\text { Build2DRangeTree }\left(P_{\text {left }}\right) \\
& v_{\text {right }}=\text { Build2DRangeTree }\left(P_{\text {right }}\right)
\end{aligned}
$$

create node $v$ :
return $v$

$\stackrel{v}{p}$
Running time?
$O(n \log n):-($
Better:
Pre-sort once, then build tree bottom-up in linear time.

Total
construction time $O(n \log n)$

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Part V:<br>Range Trees: Analysis

## Range Trees: Space Consumption

Each node $v$ of the 1st-level tree has a pointer to a 2 nd-level tree $\mathcal{T}_{v}$ with $\left|\mathcal{T}_{v}\right|=\Theta(|P(v)|)$.

Q: What's the total space consumption of all 2nd-level trees?

What's your guess:

- $\Theta\left(n^{2}\right)$,
- $\Theta(n \log n)$,
- $\Theta\left(n \log ^{2} n\right)$, or $■ \Theta(n)$ ?

A: Each $p \in P$ is stored in $h=\Theta(\log n)$ 2nd-level trees.
How many trees will contain a given point?

$\Rightarrow \Theta(n \log n)$ space

## Range Trees: Query time

$$
\begin{aligned}
& T(n, k)= \sum_{u \in \text { paths to } \mu \text { and } \mu^{\prime}} O\left(k_{u}+\log n\right) \\
&= O\left(\sum_{u} k_{u}\right)+O\left(\sum_{u} \log n\right) \\
&=O(k)+2 h \cdot O(\log n) \\
&=O\left(k+\log ^{2} n\right)
\end{aligned}
$$


$\mathbb{R}^{d}$ ?
$O\left(n \log ^{d-1} n\right)$ storage and construction time $O\left(k+\log ^{d} n\right)$ query time

## Comparison

|  | kd -tree | range tree |
| :--- | :---: | :---: |
| construction time | $O(n \log n)$ | $O(n \log n)$ |
| storage | $O(n)$ | $O(n \log n)$ |
| query time | $O(k+\sqrt{n})$ | $O\left(k+\log ^{2} n\right)$ |

## Note: trade-off between space and query time

## General Sets of Points

Idea: use composite numbers $(a \mid b)$ with lex order

$$
\begin{aligned}
& \begin{aligned}
& p=(x, y) \rightarrow \hat{p}=((x \mid y),(y \mid x)) \rightarrow \text { unique coordin. } \\
& \text { range } R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right] \\
& \downarrow \\
& \quad \hat{R}=\left[(x \mid-\infty),\left(x^{\prime} \mid+\infty\right)\right] \times\left[(y \mid-\infty),\left(y^{\prime} \mid+\infty\right)\right]
\end{aligned}
\end{aligned}
$$

Show: $p \in R \Leftrightarrow \hat{p} \in \hat{R}$
This removes our assumption about the input points being in general position.
We can use kd-trees and range trees for any set of points; no matter how many points have the same $x$ - or $y$-coord.

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Part VI:<br>Fractional Cascading

## Fractional Cascading

Task 1: Given sets $B \subset A \subset \mathbb{N}$ stored in sorted order in arrays $A[1 . . n]$ and $B[1 . . m]$, support 1 d range queries in the multiset $A \cup B$ in $k+1 \cdot \log n$ time!
We allow $n \log m$ bits extra space.
Task 2: Speed up 2d range queries:
$O\left(k+\log ^{2} n\right) \rightarrow O(k+\log n)$ time!
query with
range $[20,65]$

$\operatorname{link} a \in A$
with smallest $b \geq a$ in $B$

## Layered Range Trees



$$
[16,53] \times[18,60] \rightarrow(21,49),(33,30),(52,23)
$$

Theorem: Let $d \geq 2$ and let $P$ be a set of $n$ pts in $\mathbb{R}^{d}$. Given $O\left(n \log ^{d-1} n\right)$ preprocessing time \& storage, $d$-dim range queries on $P$ can be answered in $O\left(k+\log ^{d-1} n\right)$ time.

