Lecture 1: Convex Hull or Mixing Things

Part I:
Organizational & Overview

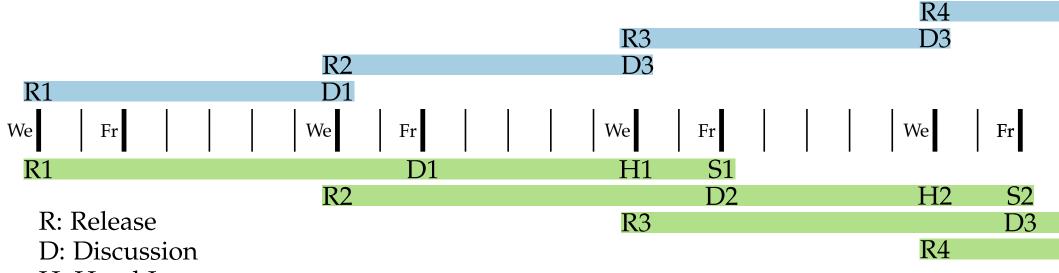
# Organizational

Lectures: Pre-recorded videos (as you see here)

Release date: One week before the lecture

Wed 10:15 – 11:45: Questions/Discussion in Zoom

Questions/Tasks in the Videos



H: Hand In S: Solutions

**Tutorials:** One sheet per lecture

 $\geq$  50%: bonus on exam grade

Fri 14:15 – 15:45: Solutions/Discussion in Zoom

### Our Lectures and Seminars

Algorithms and Data Structures

Algorithmic Graph Theory

WS

Advanced Algorithms

Computational Geometry

Approximation Algorithms

SS

Exact Algorithms

Graph Visualization Algorithms for Geographic Information Systems

Seminar Graph Visualization

Seminar Algorithms for Programming Contests

Master Project Master Thesis

Learning goals: At the end of this lecture you will be able to

- decide which algorithms help to solve a number of fundamental *geometric* problems,
- analyze new problems and find *efficient* solutions with the concepts of the lecture.

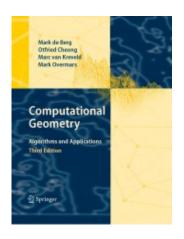
### Requirements:

- Big-Oh notation (Landau); e.g.,  $O(n \log n)$ 
  - Some basic *Algorithms & Data Structures* (Balanced) binary search tree, priority queue
  - Some basic *Algorithmic Graph Theory* Breadth-first search, Dijkstra's algorithm

### Content (Prelim.)

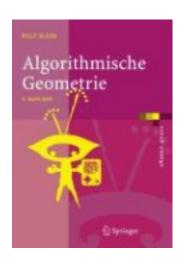
- 1. Convex Hull in 2D
- 2. Segment Intersection
- 3. Polygon Triangulation
- 4. Linear Programming
- 5. Orthogonal Range Queries
- 6. Point Location
- 7. Voronoi Diagram
- 8. Delaunay Triangulation
- 9. Convex Hull in 3D
- 10. Motion Planning
- 11. Simplex Range Searching
- 12. Visibility Graph & Shortest Path

### Literature



M. de Berg, O. Cheong, M. van Kreveld, M. Overmars: Computational Geometry: Algorithms & Applications. Springer, 3rd edition, 2008

Main resource for this course! Abbreviated as: Comp. Geom A&A



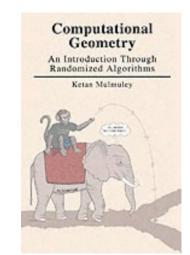
Rolf Klein:

Algorithmische Geometrie: Grundlagen, Methoden, Anwendungen.

Springer, 2nd edition, 2005

Ketan Mulmuley:

Computational Geometry: An Introduction Through Randomized Algorithms. Prentice Hall, 1st edition, 1993



Lecture 1: Convex Hull or Mixing Things

> Part II: Mixing Things

# Mixing Things

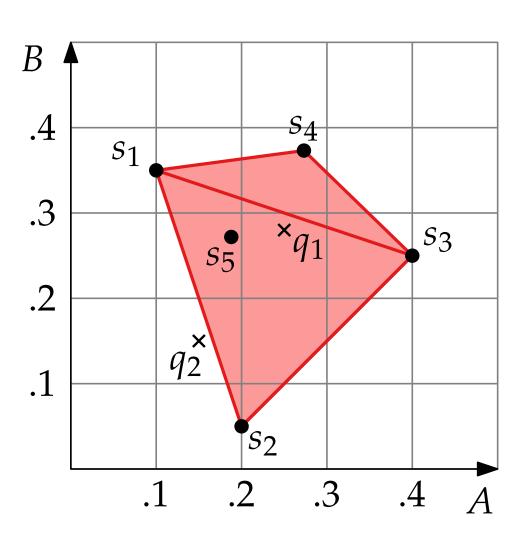
Given...

subst.	fract. A	fract. B
$S_1$	10 %	35 %
$s_2$	20 %	5 %
$s_3$	40 %	25 %

can we mix

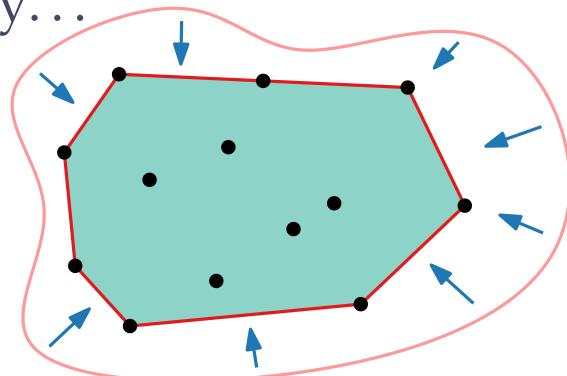
$\overline{-q_1}$	25 %	28 %
$q_2$	15 %	15 %

using  $s_1$ ,  $s_2$ ,  $s_3$ ?



**Observation.** Given a set  $S \subset \mathbb{R}^{2d}$  of substances, we can mix a substance  $q \in \mathbb{R}^{2d}$  using the substances in  $S \Leftrightarrow q \in CH(S)$ .

Formally.



Given  $S \subset \mathbb{R}^2$ , how do we define the *convex hull* CH(S)?

Physics approach: – take (large enough) elastic rope

- stretch and let go
- take area inside (and on) the rope

Maths approach: – define *convex* 

- define 
$$CH(S) = \bigcap_{C \supseteq S: C \text{ convex}} C$$

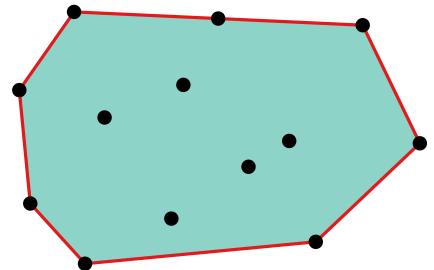
### Towards Computation

$$CH(S) \stackrel{\text{def}}{=} \bigcap C$$

$$C \supseteq S: C \text{ convex}$$

Problem with maths approach:





Maybe we can do with a little less?

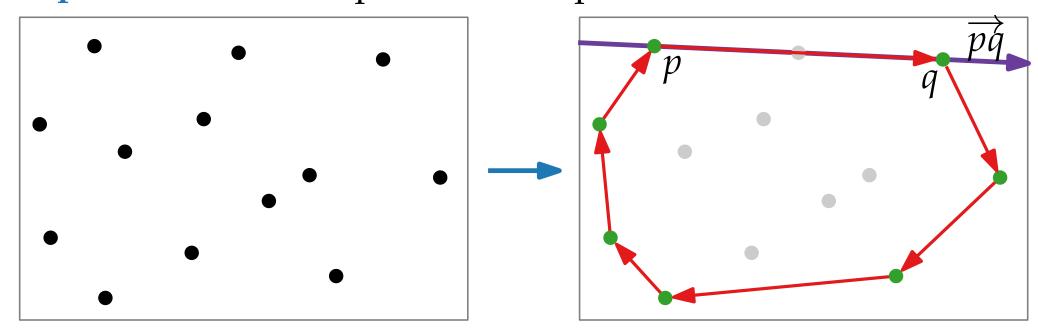
$$CH(S) = \bigcap_{\substack{H \supseteq S: \\ H \text{ closed halfplane}}} H = \bigcap_{\substack{H \supseteq S: \\ |\partial H \cap S| \ge 2}} H$$

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> Part III: Algorithmic Approach

## Algorithmic Approach

**Input:** set *S* of *n* points in the plane, that is,  $S \subset \mathbb{R}^2$ 



Output: list of vertices of CH(S) in clockwise order

**Observation.** (p,q) is an edge of  $CH(S) \Leftrightarrow$  each point in S lies – strictly to the right of the directed line  $\overrightarrow{pq}$  or – on the line segment  $\overline{pq}$ 

# Finally, an Algorithm

### FirstConvexHull(*S*)

$$E \leftarrow \emptyset$$

**foreach**  $(p,q) \in S \times S$  with  $p \neq q$  **do** 

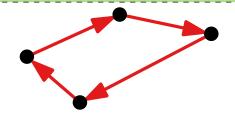
 $valid \leftarrow true$ 

foreach  $r \in S$  do

**if not** (r strictly right of  $\overrightarrow{pq}$  **or**  $r \in \overline{pq}$ ) **then**  $valid \leftarrow false$ 

if valid then

from *E* construct sorted list *L* of vertices of CH(*S*) **return** *L* 



r strictly right of  $\overrightarrow{pq}$   $\downarrow \qquad \qquad \downarrow \qquad$ 

*Important:* 

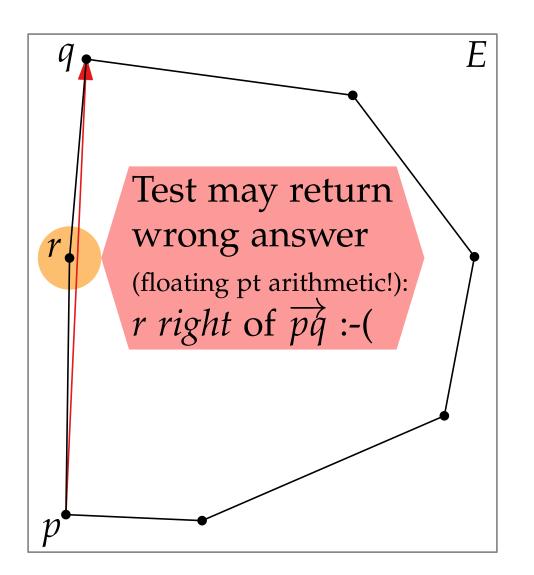
Test takes O(1) time!

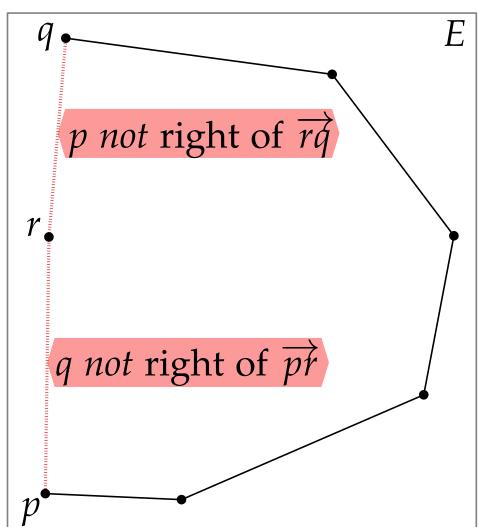
# Running Time Analysis

```
FirstConvexHull(S)
  E \leftarrow \emptyset
                                                                    (n^2-n)·
  foreach (p,q) \in S \times S with p \neq q do
       valid \leftarrow true
       foreach r \in S do
            if not (r strictly right of \overrightarrow{pq} or r \in \overline{pq}) then \subseteq \subseteq
                 valid \leftarrow false
       if valid then
           E \leftarrow E \cup \{(p,q)\}
  from E construct sorted list L of vertices of CH(S)
  return L
```

Lemma. We can compute the convex hull of n pts in the plane in  $\Theta(n^3)$  time.

### **Discussion** if not (r strictly right of $\overrightarrow{pq}$ or $r \in \overline{pq}$ ) then $valid \leftarrow false$





**Observation.** Algorithm FirstConvexHull is not *robust*.

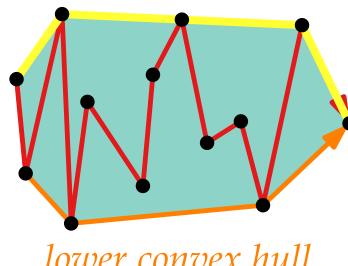
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> Part IV: Graham Scan

### New Ideas (Graham Scan)

- split computation in two
- bring pts in lexicographic order
- proceed incrementally

### upper convex hull



lower convex hull

```
UpperConvexHull(S: set of pts in the plane)
  \langle p_1, p_2, \dots, p_n \rangle \leftarrow \text{sort } S \text{ lexicographically}
  L \leftarrow \langle p_1, p_2 \rangle
  for i \leftarrow 3 to n do // compute upper convex hull of \{p_1, p_2, \ldots, p_n\}
       L.append(p_i)
       while |L| > 2 and last 3 pts in L make a left turn do
           remove second last pt from L
  return L
```

# Running Time Analysis

```
UpperConvexHull(S: set of pts in the plane)
\langle p_1, p_2, \dots, p_n \rangle \leftarrow \text{sort } S \text{ lexicographically} \qquad O(n \log n)
L \leftarrow \langle p_1, p_2 \rangle
\text{for } i \leftarrow 3 \text{ to } n \text{ do} \qquad (n-2) \cdot \dots
L.\text{append}(p_i) \qquad O(n)
\text{while } |L| > 2 \text{ and } \text{last } 3 \text{ pts in } L \text{ make a left turn } \text{do}
\text{remove second last pt from } L
\text{return } L
```

### Amortized analysis:

- each pt  $p_2, ..., p_{n-1}$  pays 1 € for its potential removal later on
- this pays for the total effort of all executions of the while loop

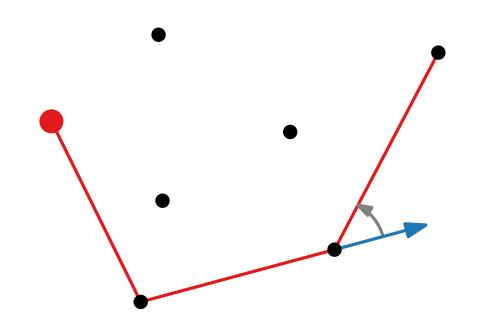
**Theorem.** We can compute the convex hull of n pts in the plane in  $O(n \log n)$  time – in a robust way.

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Part V:
Output-Sensitive Algorithms

## Output-Sensitive Algorithms

■ Jarvis' gift-wrapping algorithm (aka Jarvis' march) Runtime?  $O(n \cdot h)$ 



Chan's exponential-search algorithm

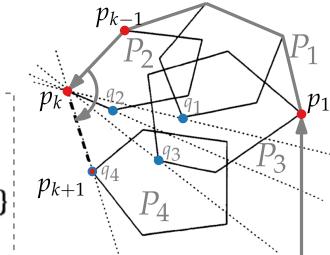
 $O(n \log h)$ 

... where h = |CH(S)| = size of the output

## Chan's Algorithm

### **Algorithm** Hull2D(P), where $P \subset E^2$

- 1. for t = 1, 2, ... do
- 2.  $L \leftarrow \text{Hull2D}(P, m, H)$ , where  $m = H = \min\{2^{2^t}, n\}$
- 3. if  $L \neq incomplete$  then return L



### **Algorithm** Hull2D(P, m, H), where $P \subset E^2$ , $3 \le m \le n$ , and $H \ge 1$

- 1. partition P into subsets  $P_1, \ldots, P_{\lceil n/m \rceil}$  each of size at most m
- 2. for  $i = 1, ..., \lceil n/m \rceil$  do
- 3. compute  $conv(P_i)$  by Graham's scan and store its vertices in an array in ccw order  $[in O(m \log m) \text{ time}]$
- 4.  $p_0 \leftarrow (0, -\infty)$
- 5.  $p_1 \leftarrow$  the rightmost point of P
- 6. for k = 1, ..., H do
- 7. for  $i = 1, ..., \lceil n/m \rceil$  do
- 8. compute the point  $q_i \in P_i$  that maximizes  $\angle p_{k-1} p_k q_i \ (q_i \neq p_k)$  by performing a binary search on the vertices of  $conv(P_i)$
- 9.  $p_{k+1} \leftarrow \text{the point } q \text{ from } \{q_1, \dots, q_{\lceil n/m \rceil}\} \text{ that maximizes } \angle p_{k-1} p_k q$
- 10. if  $p_{k+1} = p_1$  then return the list  $(p_1, \ldots, p_k)$
- 11. return incomplete

## Chan's Algorithm

[Text copied on October 17, 2017 from: https://en.wikipedia.org/wiki/Chan's\_algorithm]

Initially, we assume that the value of h is known and make a parameter m = h. This assumption is not realistic, but we remove it later. The algorithm starts by arbitrarily partitioning P into at most  $1 + \frac{n}{m}$  subsets Q with at most m points each. Then, it computes the convex hull of each subset Q using an  $O(n \log n)$  algorithm – **Graham's scan**. Note that, as there are O(n/m) subsets of O(m) points each, this phase takes  $O(n/m) \cdot O(m \log m) = O(n \log m)$  time.

The second phase consists of executing the **Jarvis' march** algorithm algorithm and using the precomputed convex hulls to speed up the execution. At each step in Jarvis's march, we have a point  $p_i$  in the convex hull, and need to find a point  $p_{i+1} = f(p_i, P)$  such that all other points of P are to the right of the line  $p_i p_{i+1}$ . If we know the convex hull of a set Q of m points, then we can compute  $f(p_i, Q)$  in  $O(\log m)$  time, by using binary search. We can compute  $f(p_i, Q)$  for all the O(n/m) subsets Q in  $O(n/m \log m)$  time. Then, we can determine  $f(p_i, P)$  using the same technique as normally used in Jarvis's march, but only considering the points that are  $f(p_i, Q)$  for some subset Q. As Jarvis's march repeats this process O(h) times, the second phase also takes  $O(n \log m)$  time, and therefore  $O(n \log h)$  time if m = h.

By running the two phases described above, we can compute the convex hull of n points in  $O(n \log h)$  time, assuming that we know the value of h. If we make m < h, we can abort the execution after m + 1 steps, therefore spending only  $O(n \log m)$  time (but not computing the convex hull). We can initially set m as a small constant (we use 2 for our analysis, but in practice numbers around 5 may work better), and increase the value of m until m > h, in which case we obtain the convex hull as a result.

If we increase the value of m too slowly, we may need to repeat the steps mentioned before too many times, and the execution time will be large. On the other hand, if we increase the value of m too quickly, we risk making m much larger than h, also increasing the execution time. Similar to strategy used by Chazelle and Matoušek's algorithm, Chan's algorithm squares the value of m at each iteration, and makes sure that m is never larger than n. In other

words, at iteration t (starting at 1), we have  $m = \min(n, 2^{2^t})$ . The total running time of the algorithm is

$$\sum_{t=1}^{\lceil \log \log h \rceil} O\left(n \log(2^{2^t})\right) = O(n) \sum_{t=1}^{\lceil \log \log h \rceil} O(2^t) = O\left(n \cdot 2^{1+\lceil \log \log h \rceil}\right) = O(n \log h).$$

To generalize this construction for the 3-dimensional case, an  $O(n \log n)$  algorithm to compute the 3-dimensional convex hull should be used instead of Graham scan, and a 3-dimensional version of Jarvis's march needs to be used. The time complexity remains  $O(n \log h)$ .