

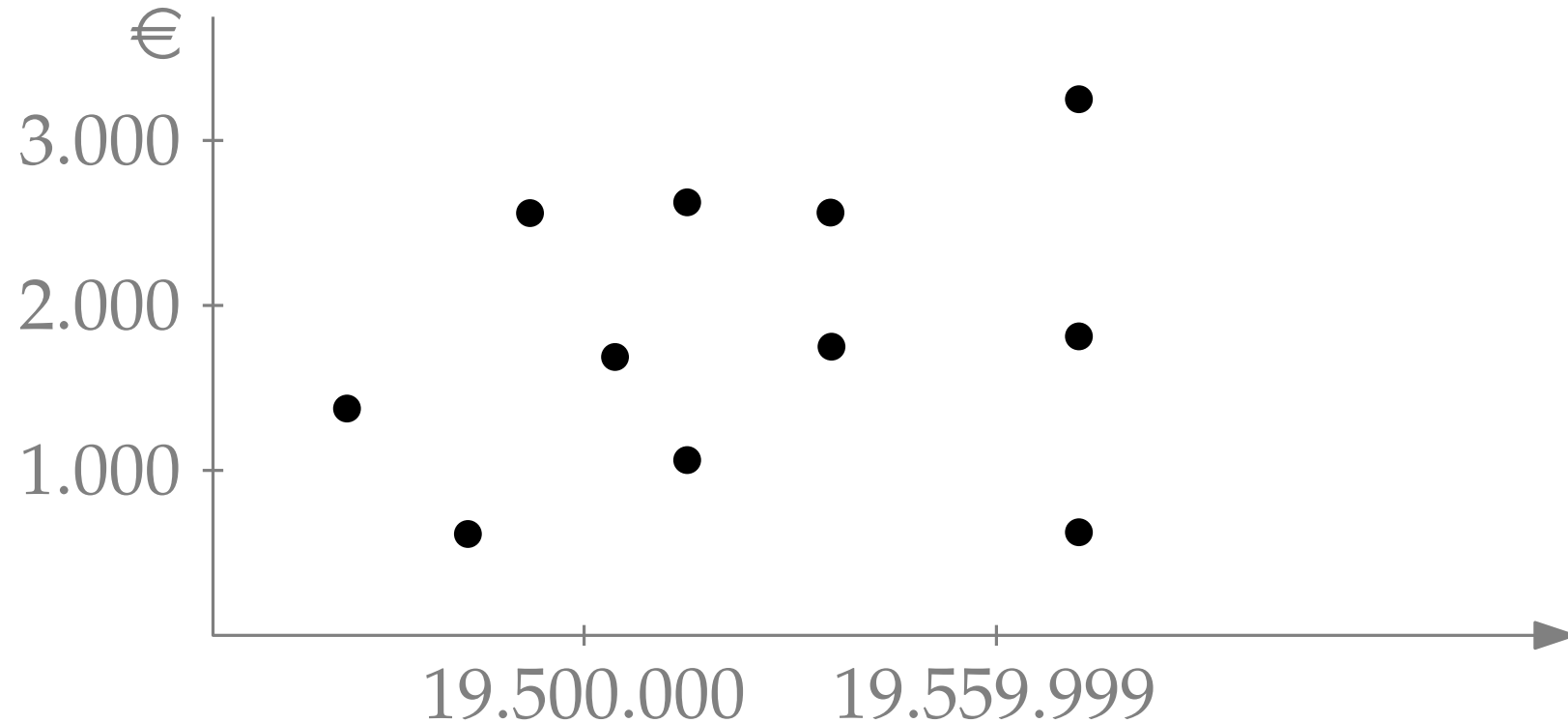
# Computational Geometry

## Orthogonal Range Queries or Fast Access to Data Bases

### Lecture #4

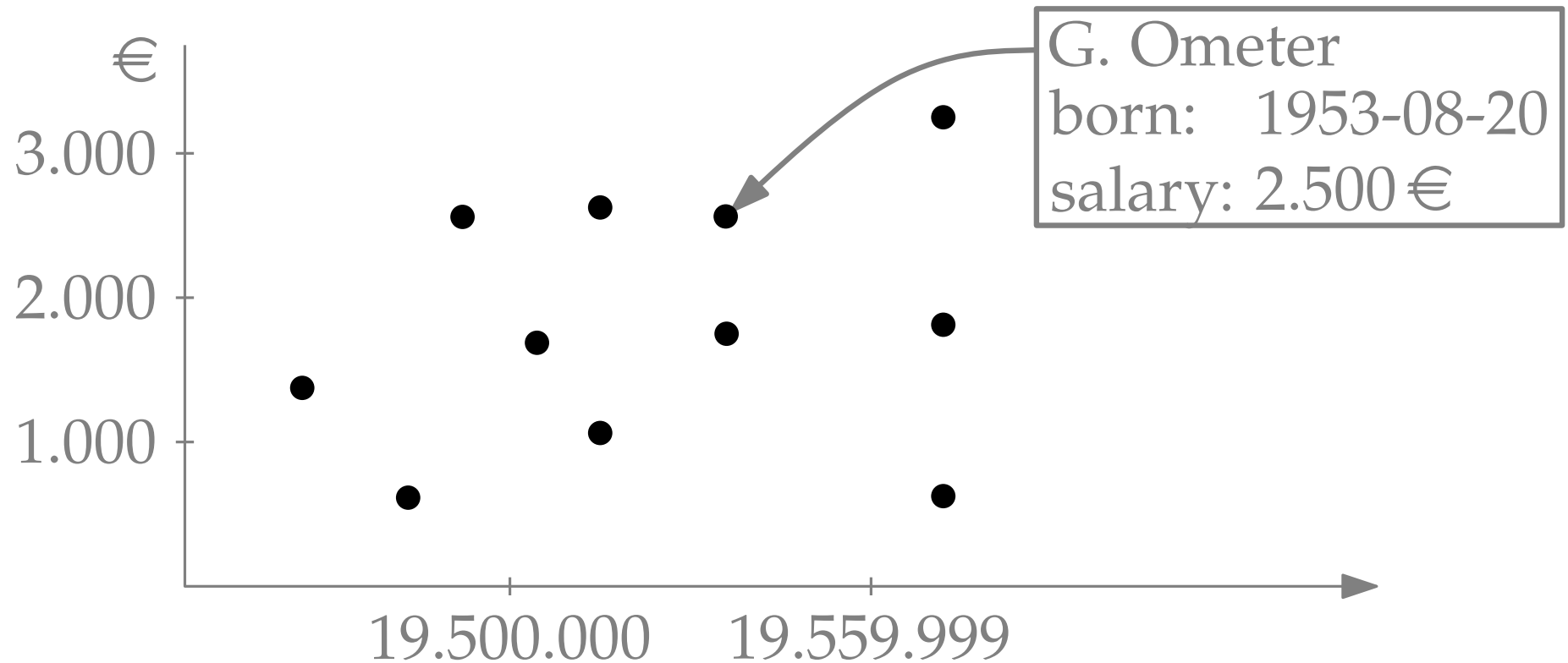
# Orthogonal Range Queries

**Example:** Personnel management in a company



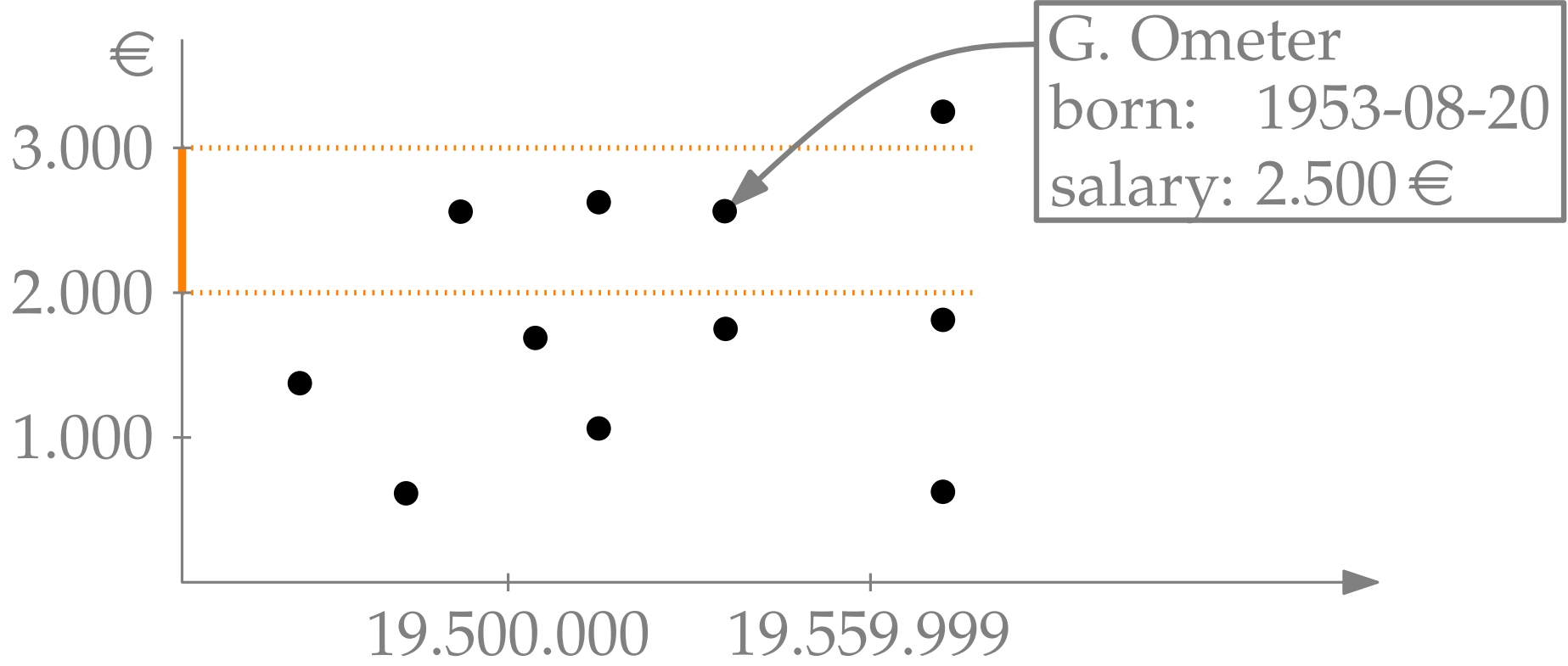
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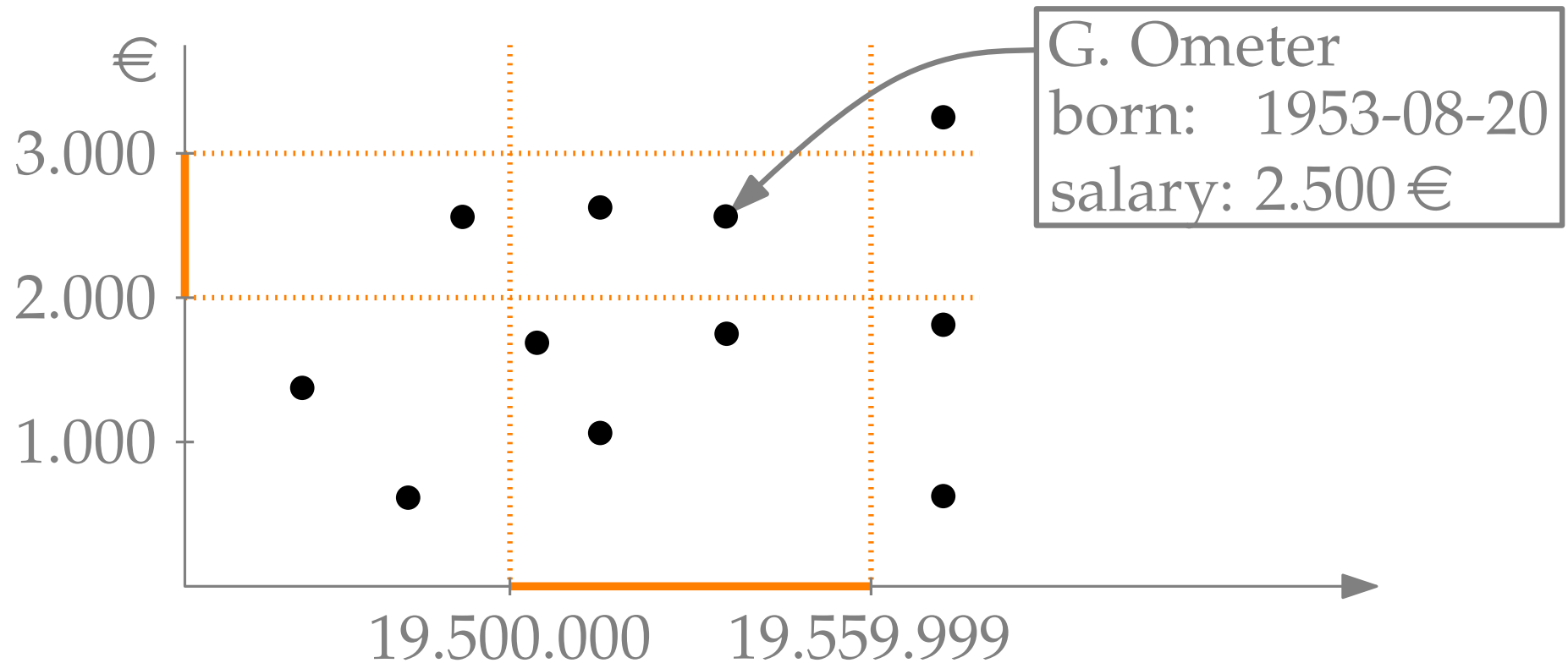
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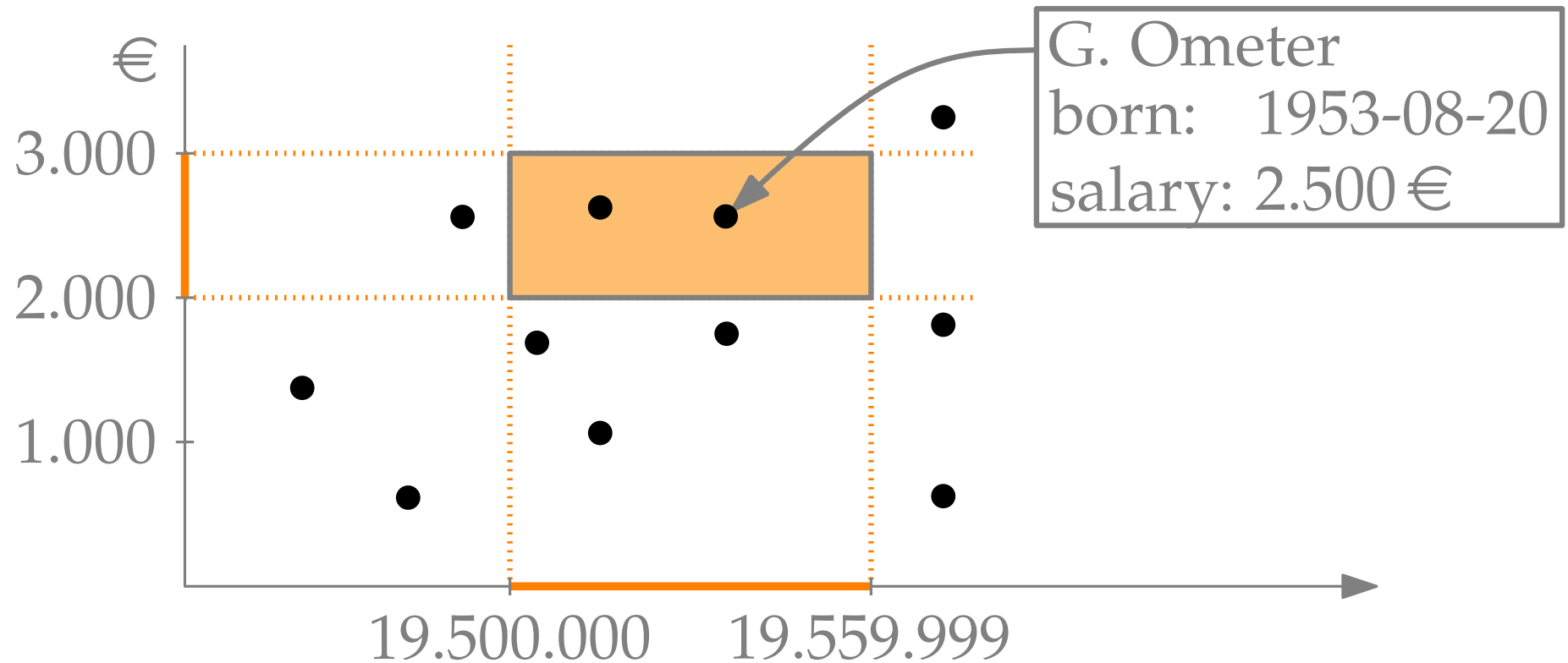
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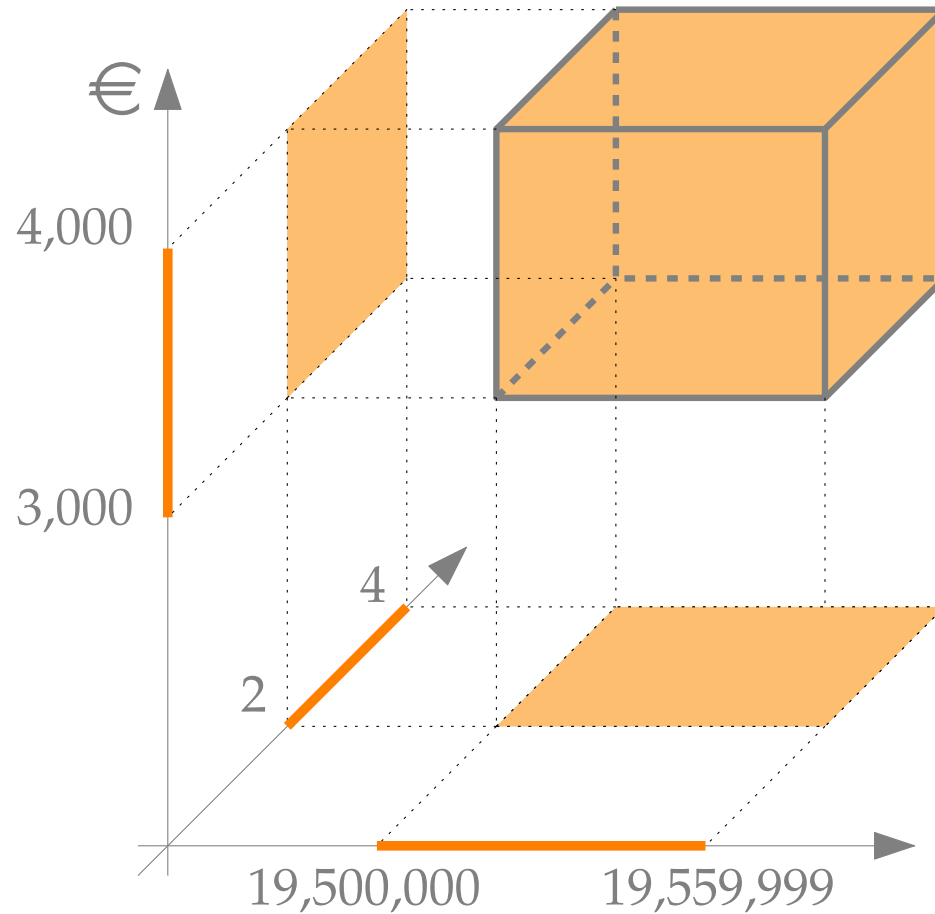
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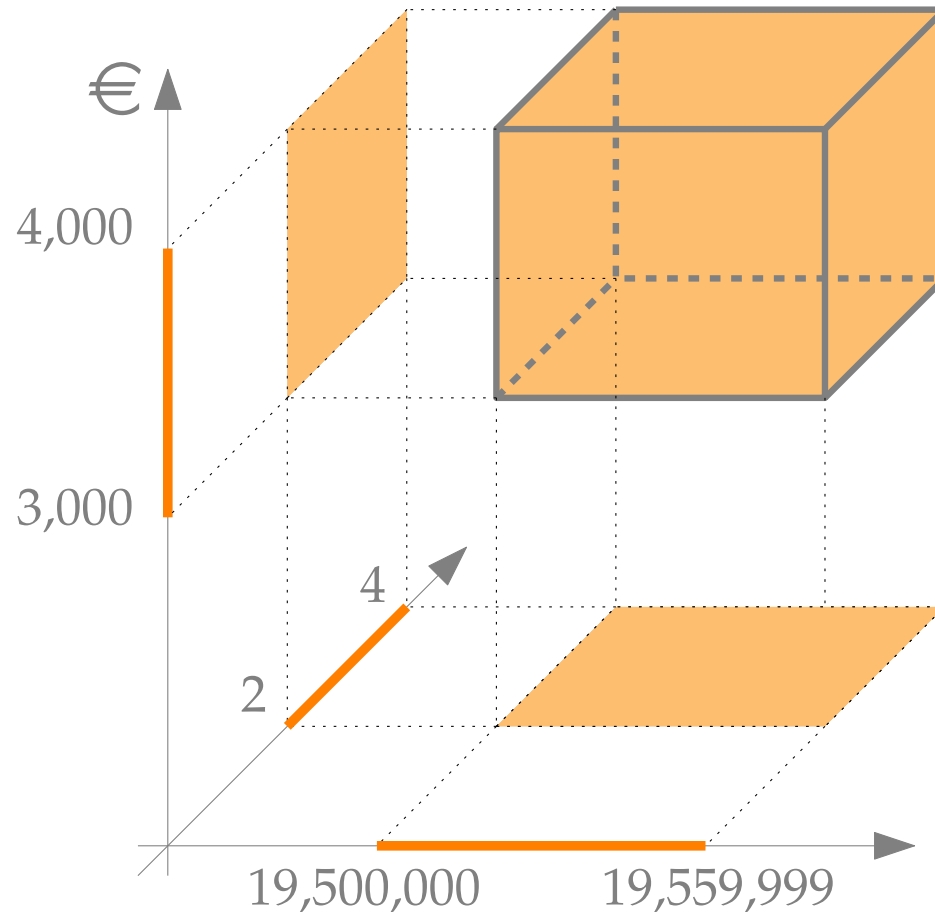
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*Typical queries for data bases!*



# 1D Range Searching

**Task:** Preprocess a finite set  $P \subset \mathbb{R}$  such that for any interval  $[x, x']$  the set  $P \cap [x, x']$  can be reported quickly. [2 min]

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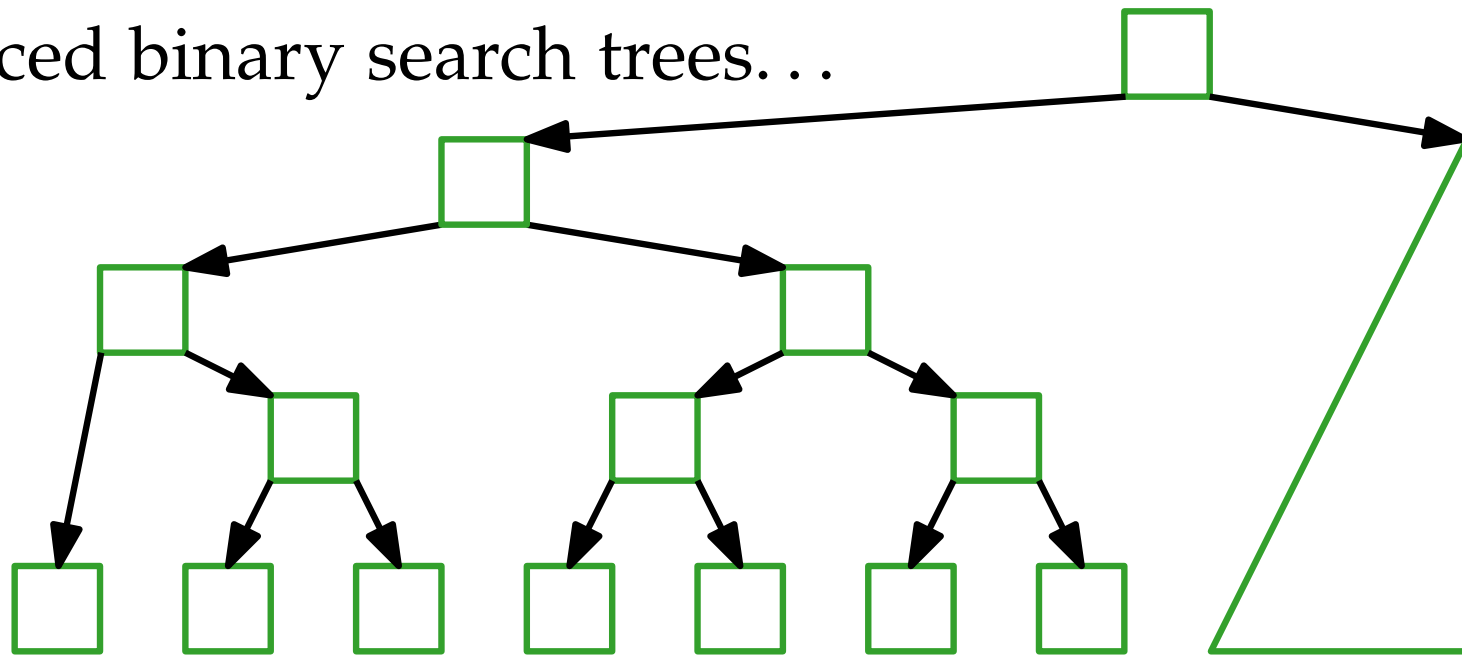
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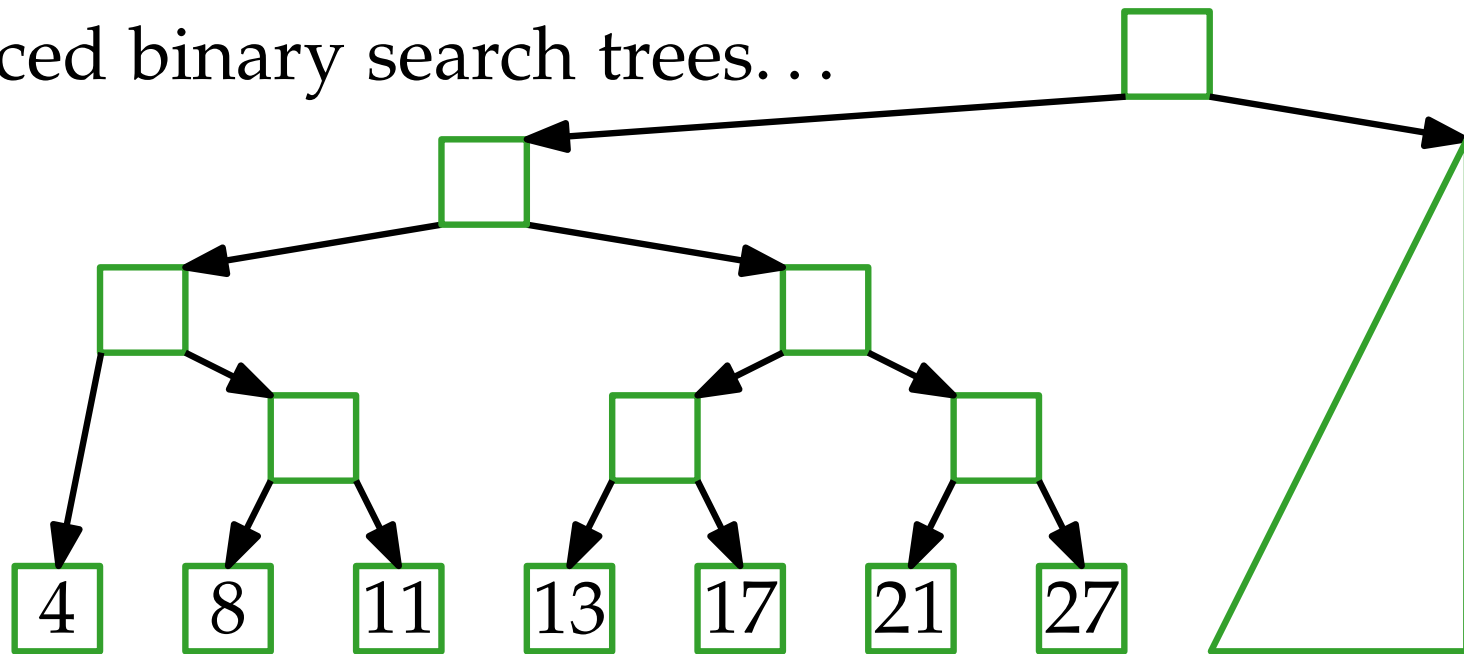
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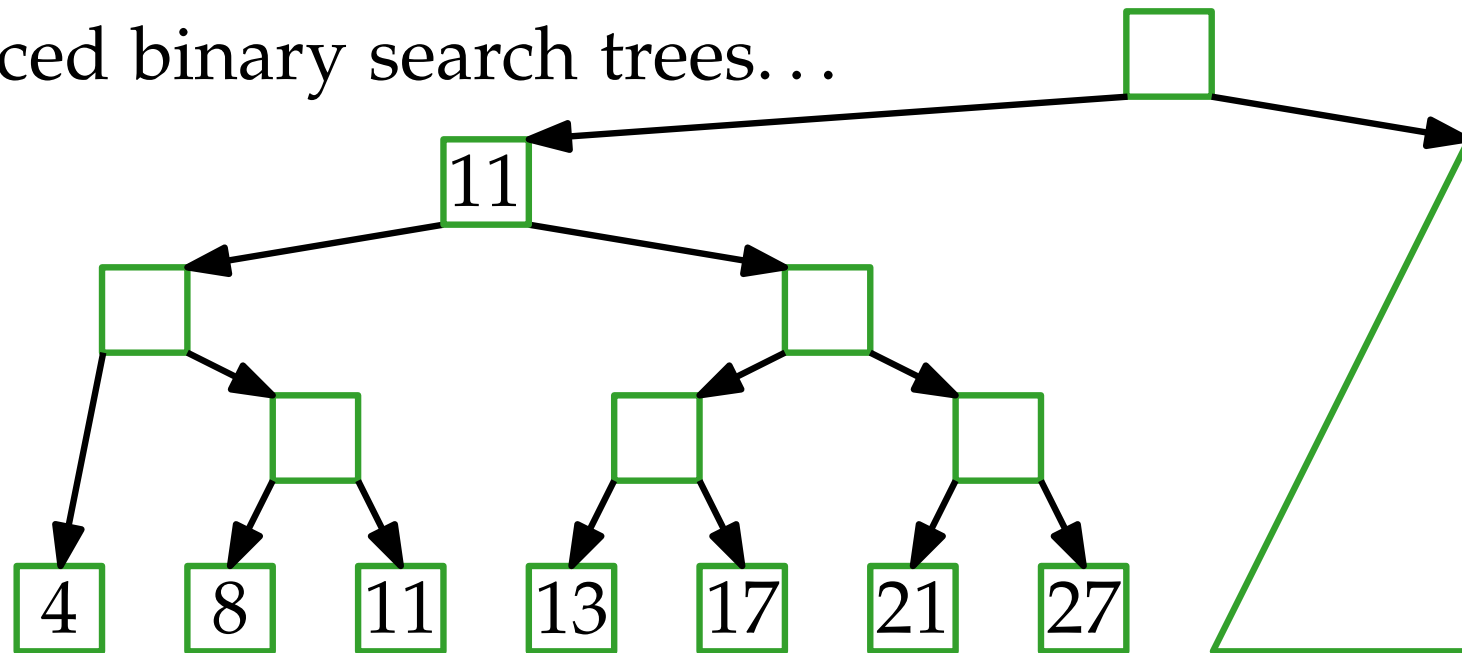


*Small changes:* – keys only in leaves

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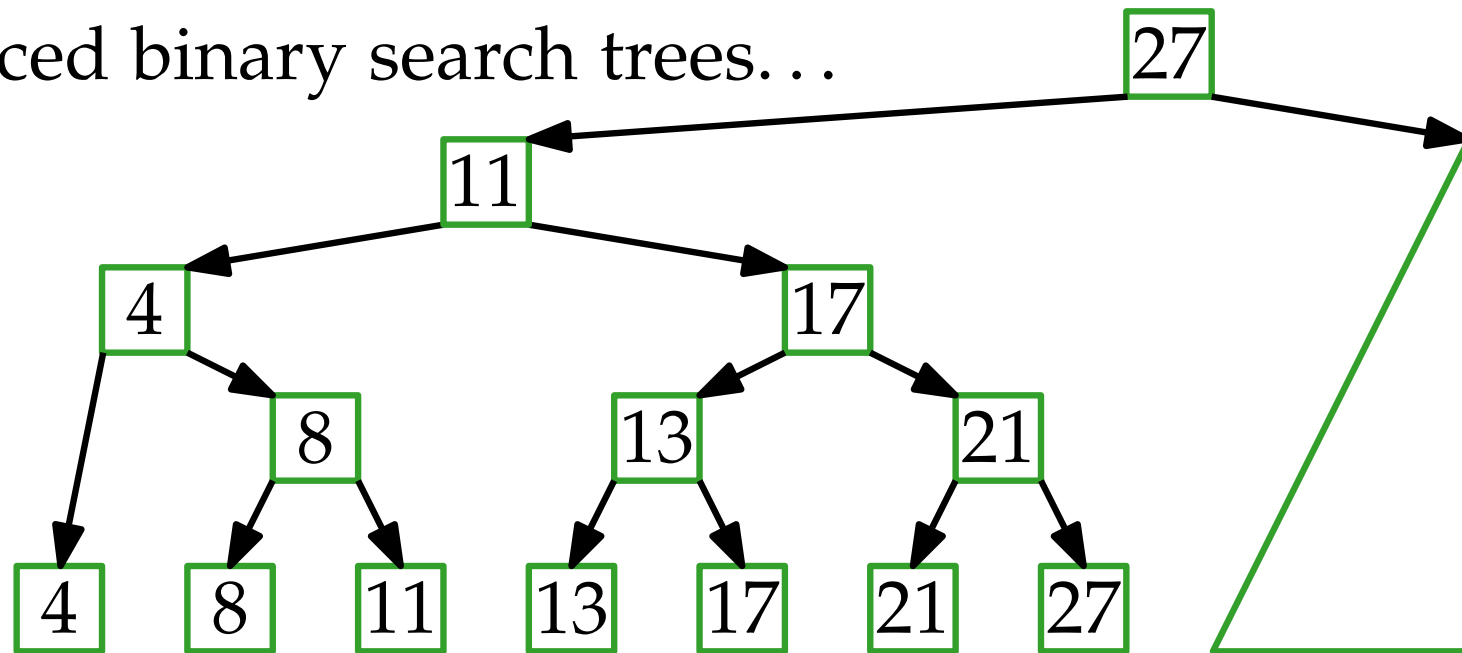
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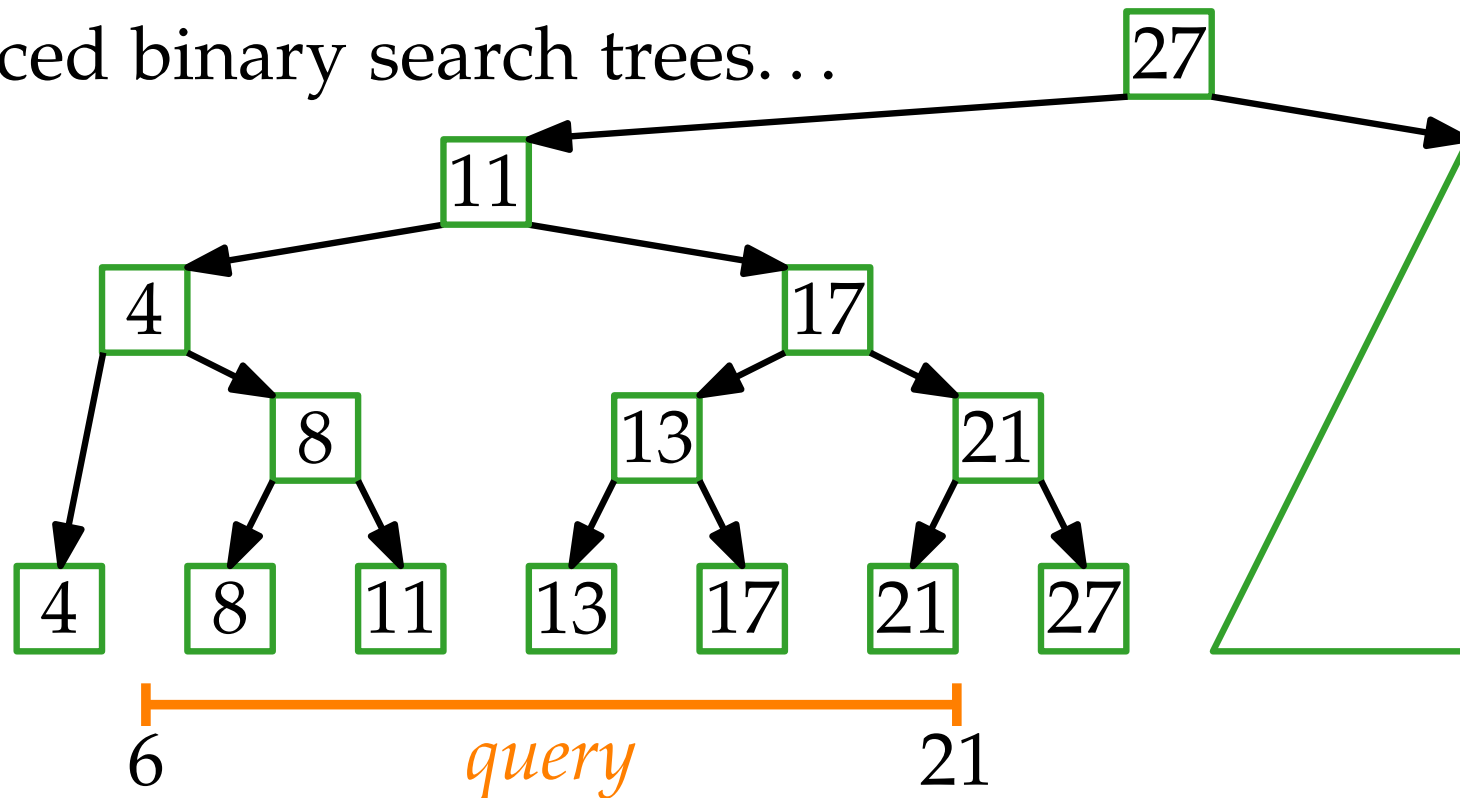
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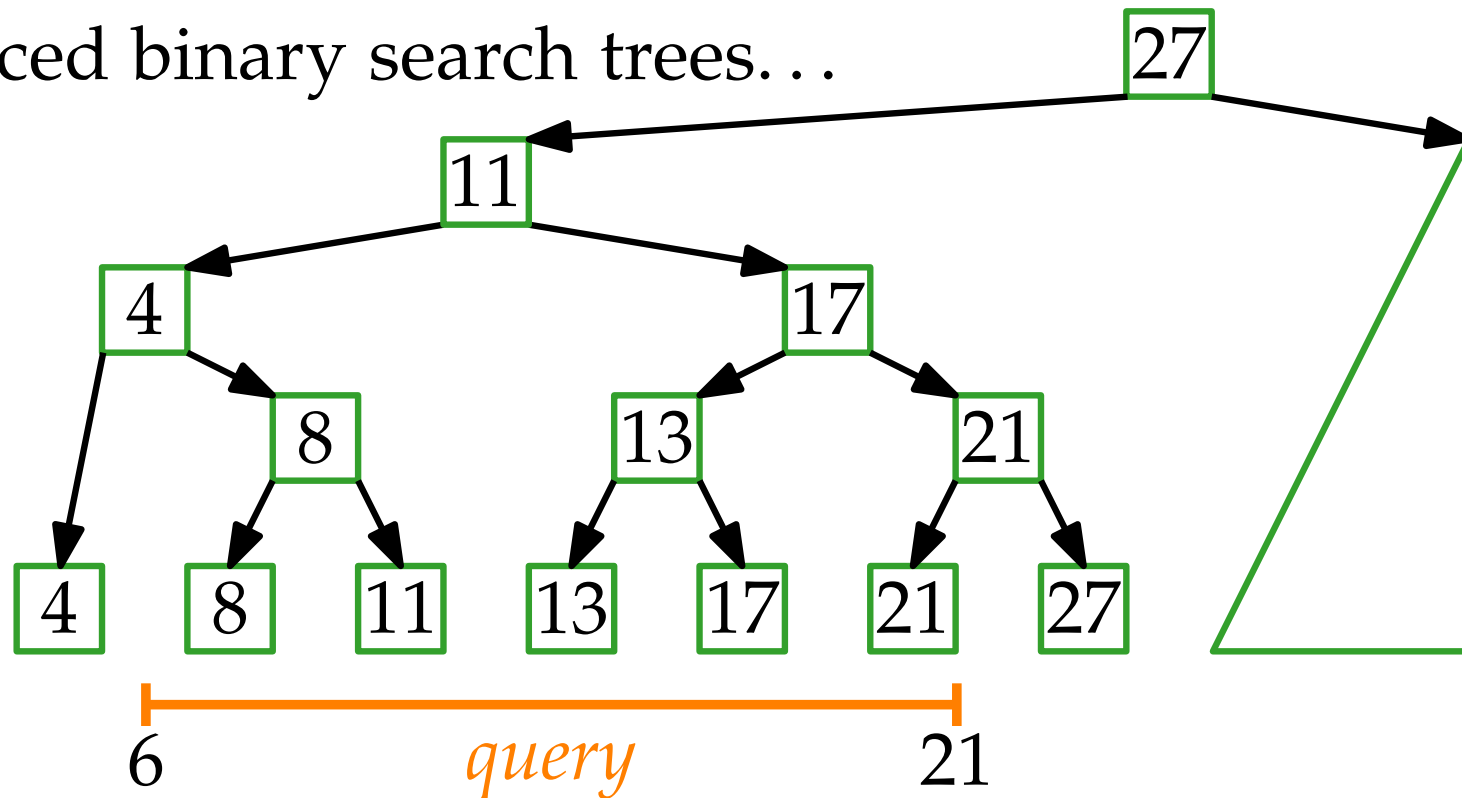
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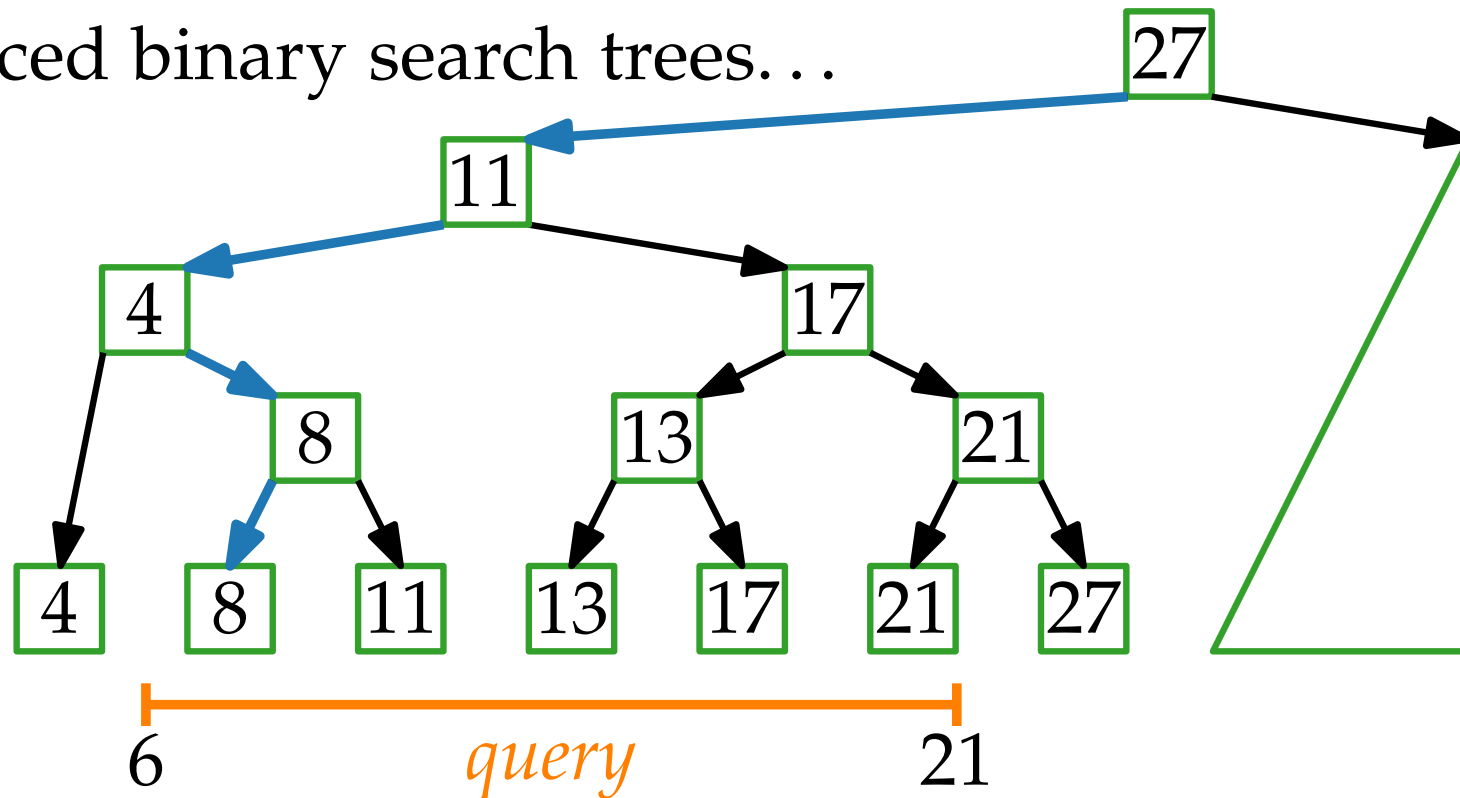


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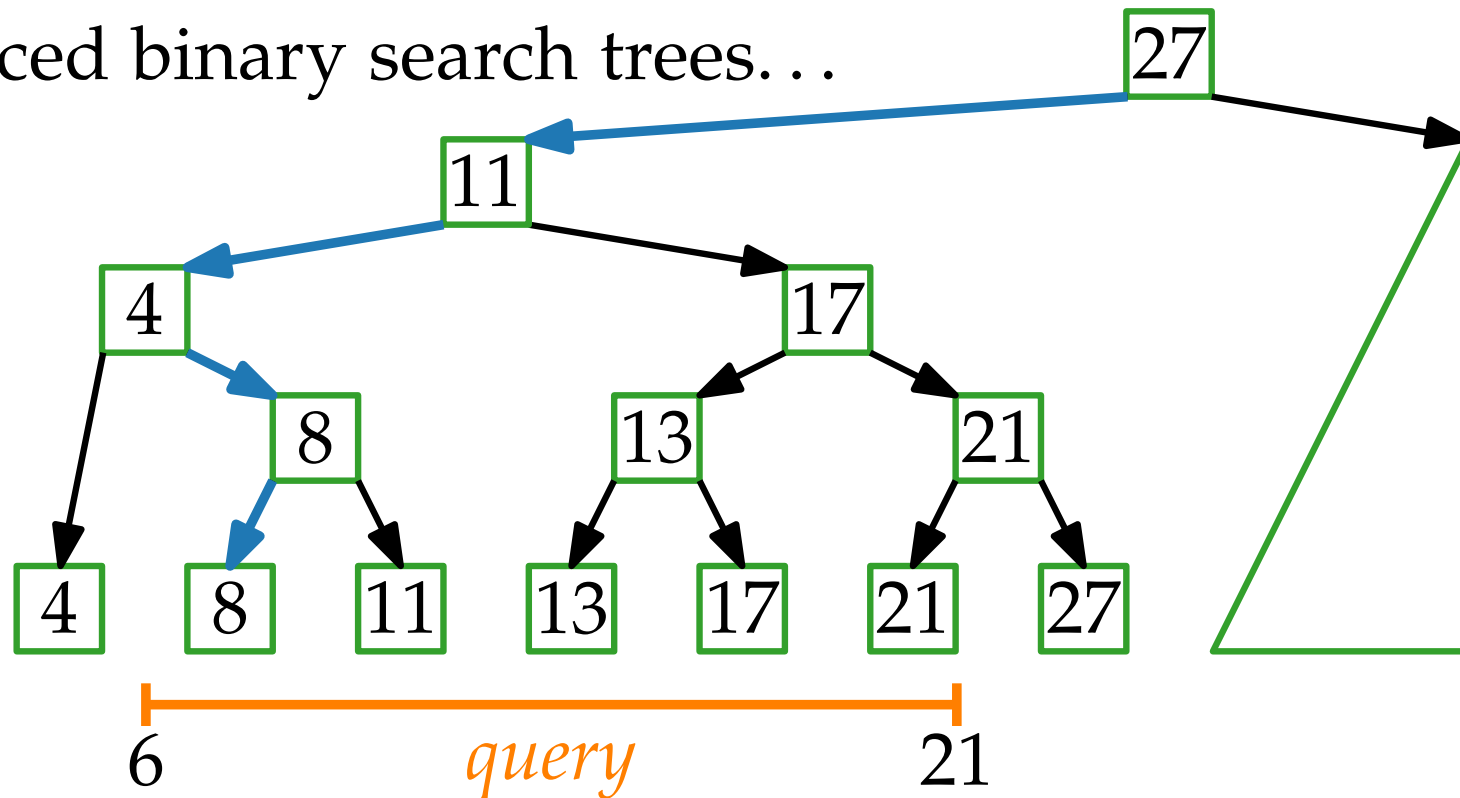
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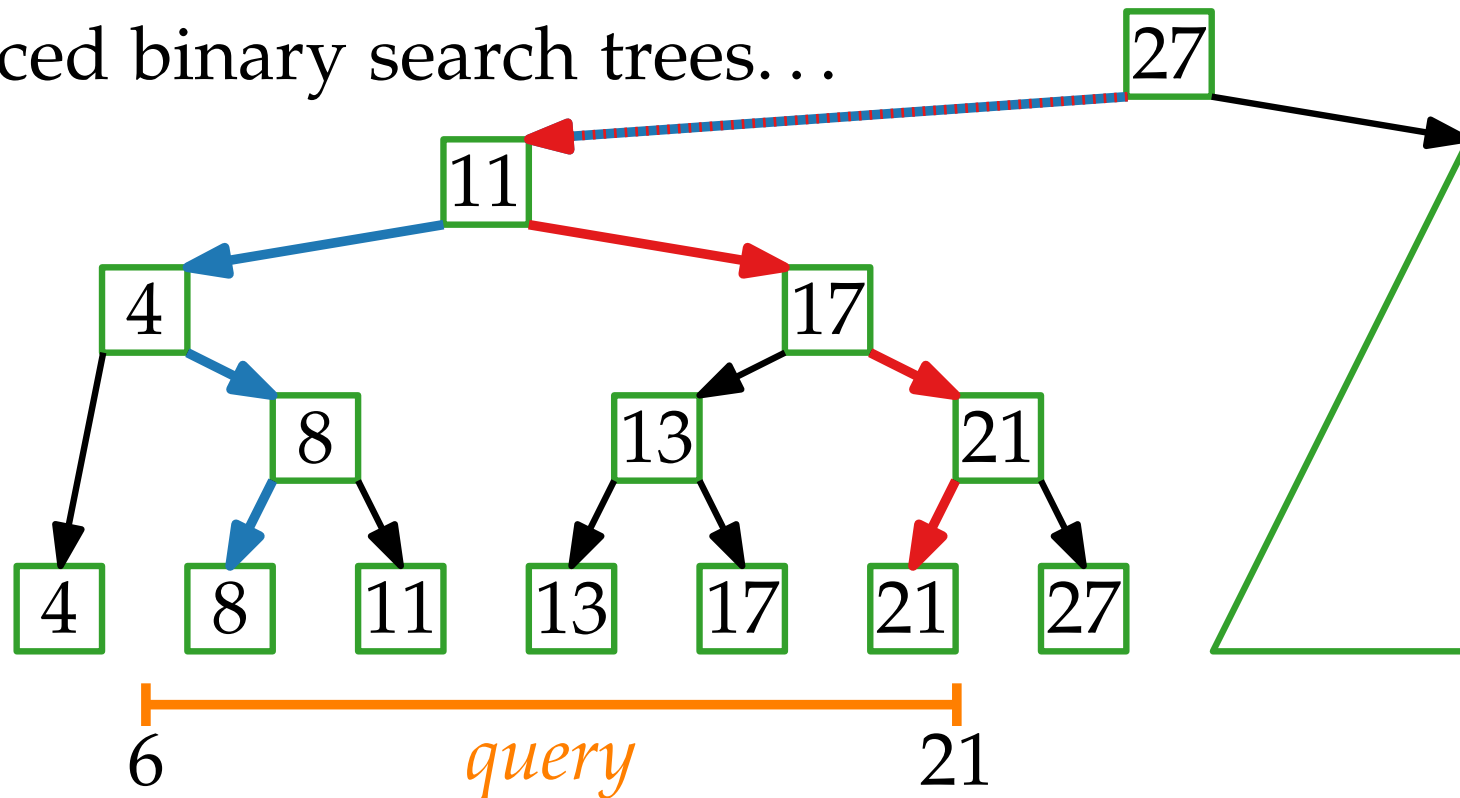
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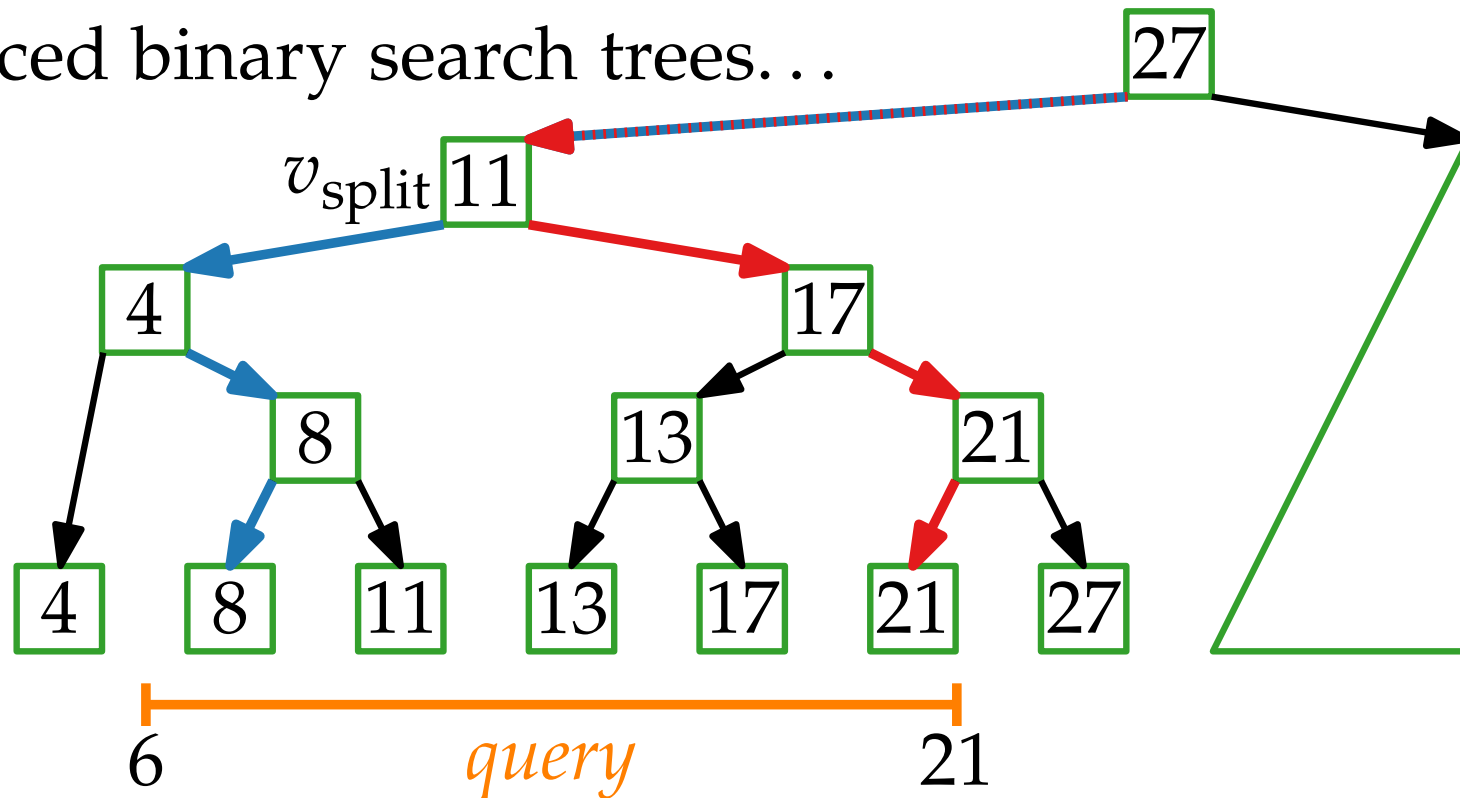
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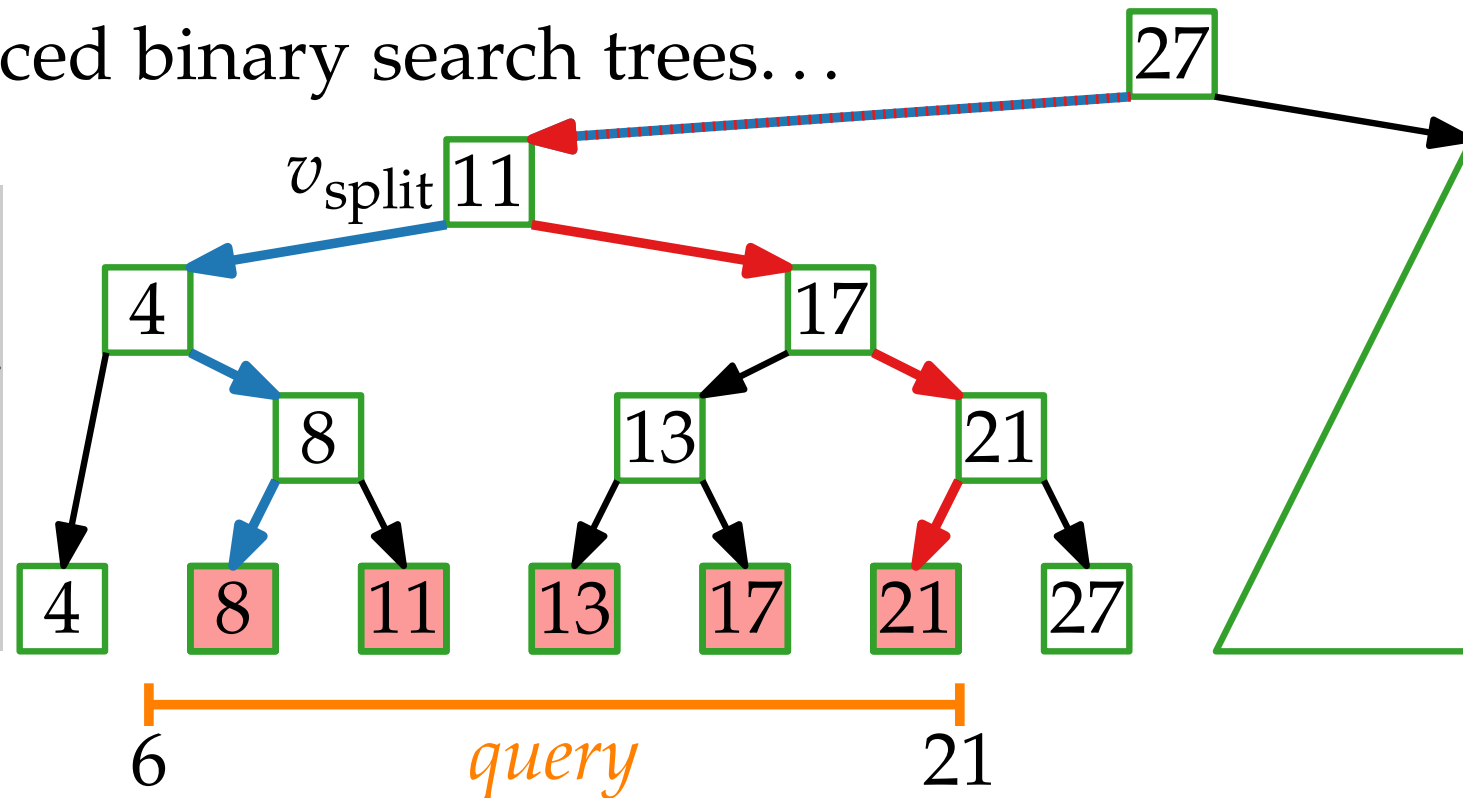
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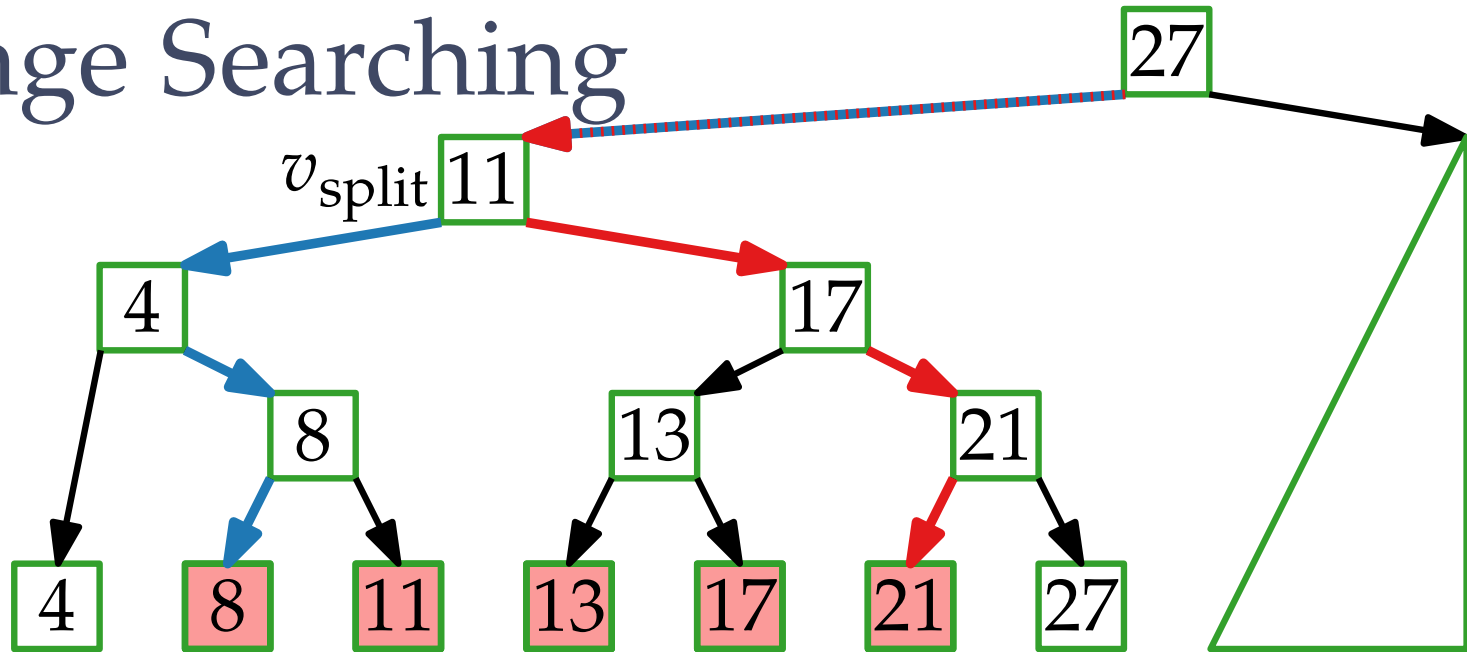
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3. Return all leaves 'inbetween'.



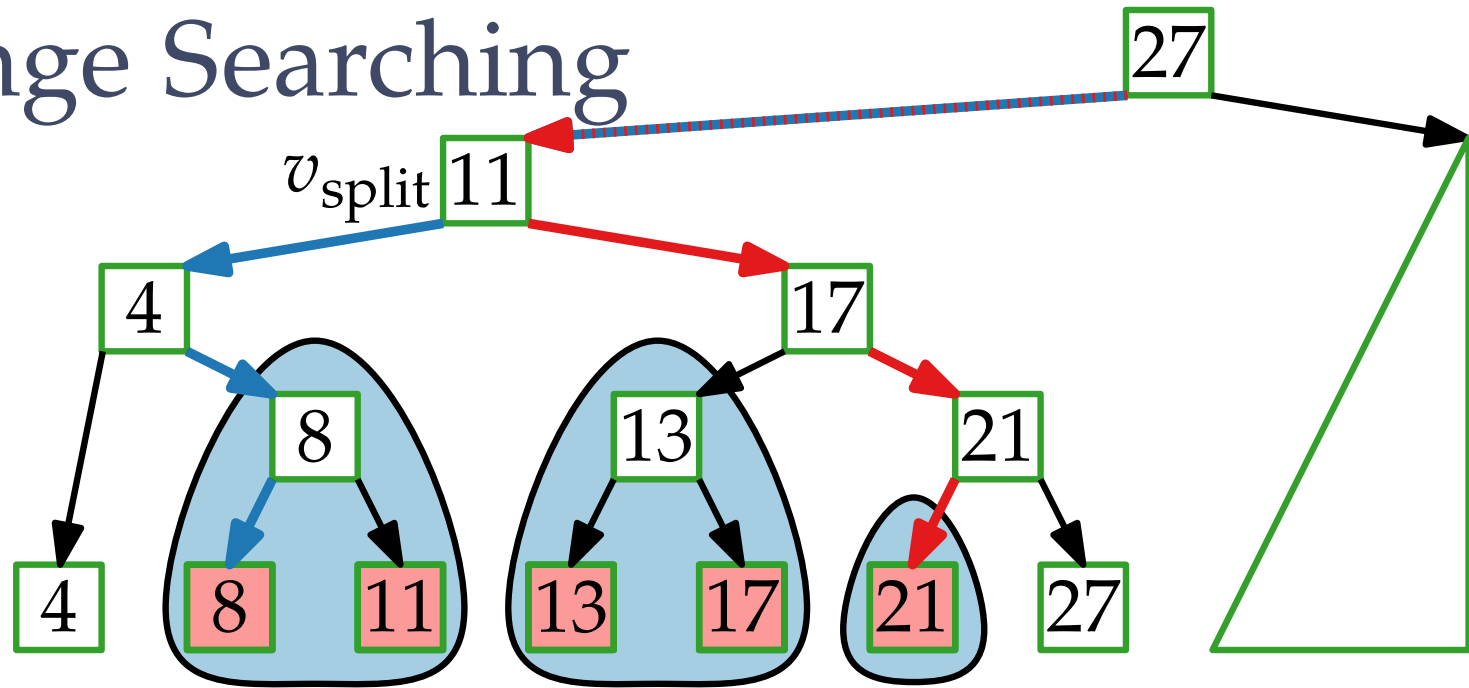
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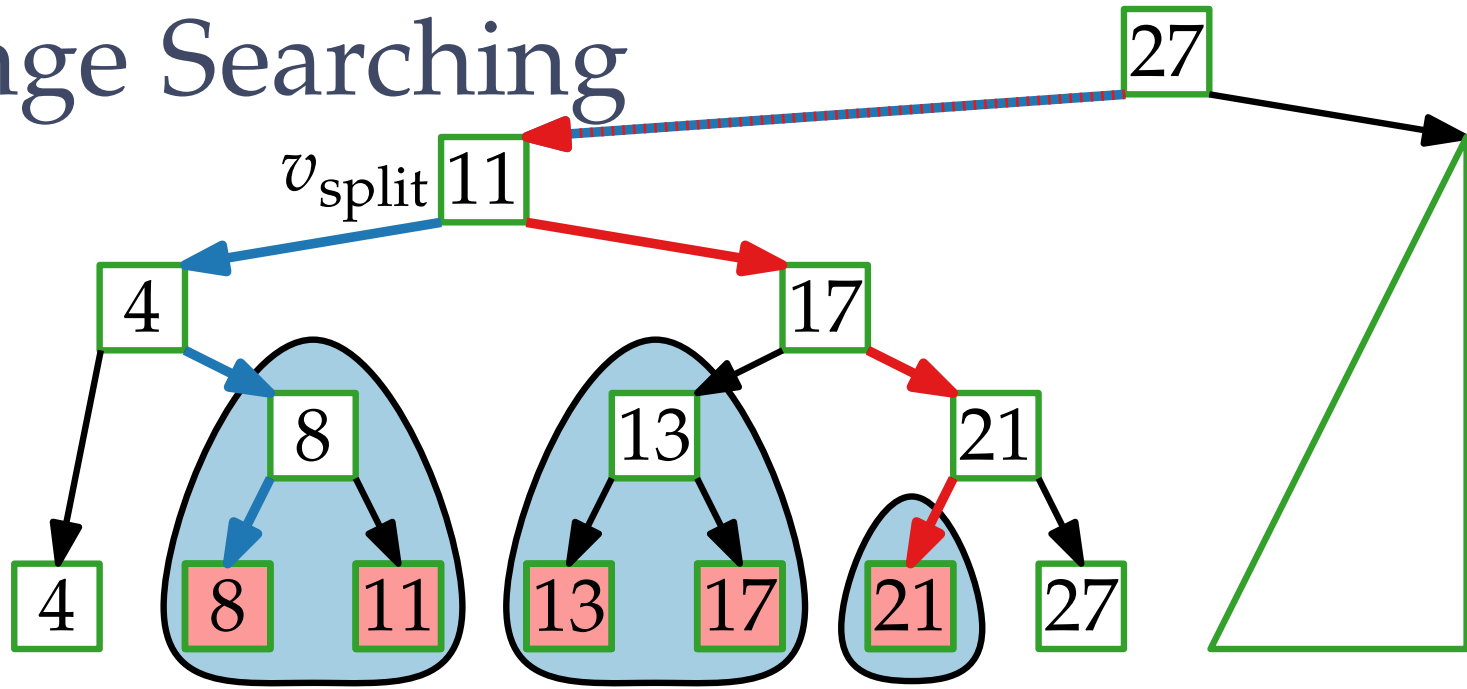
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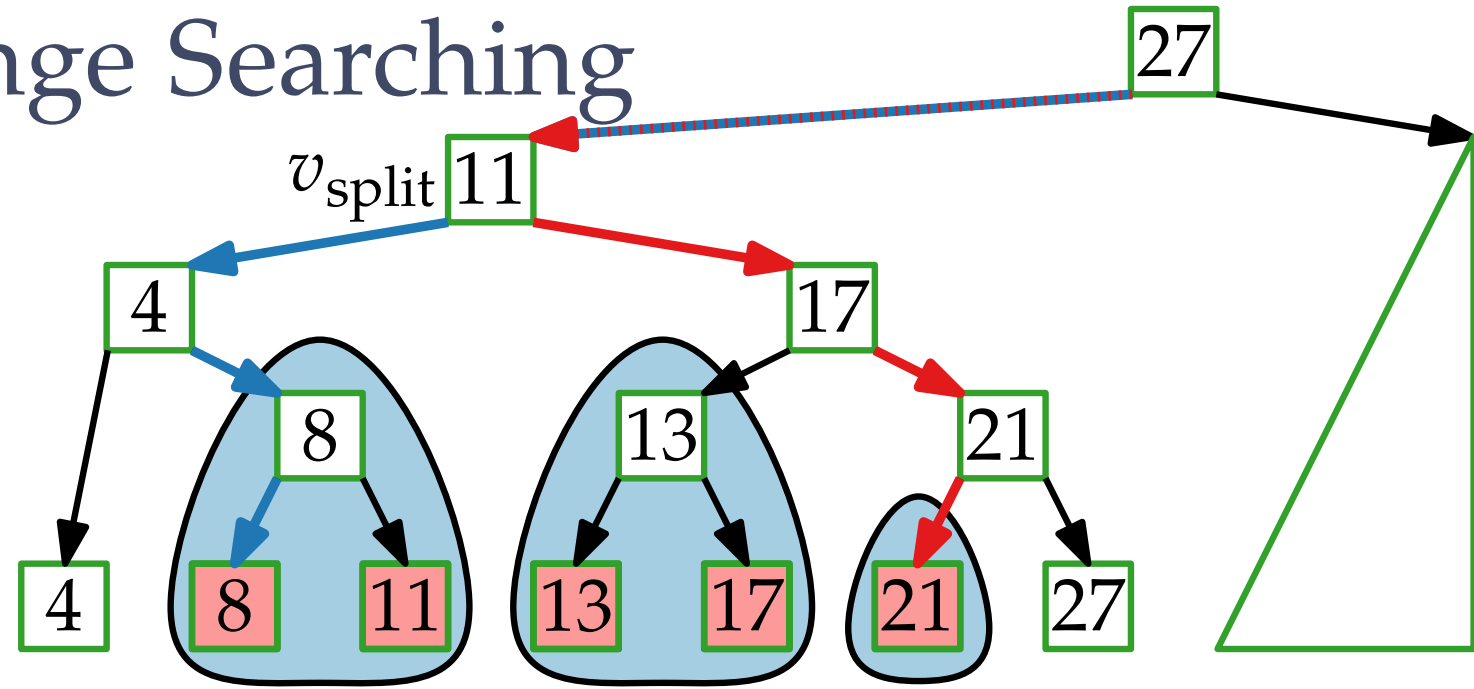
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**Observe:** The result of a query is the disjoint union of at most  $2h$  canonical subsets



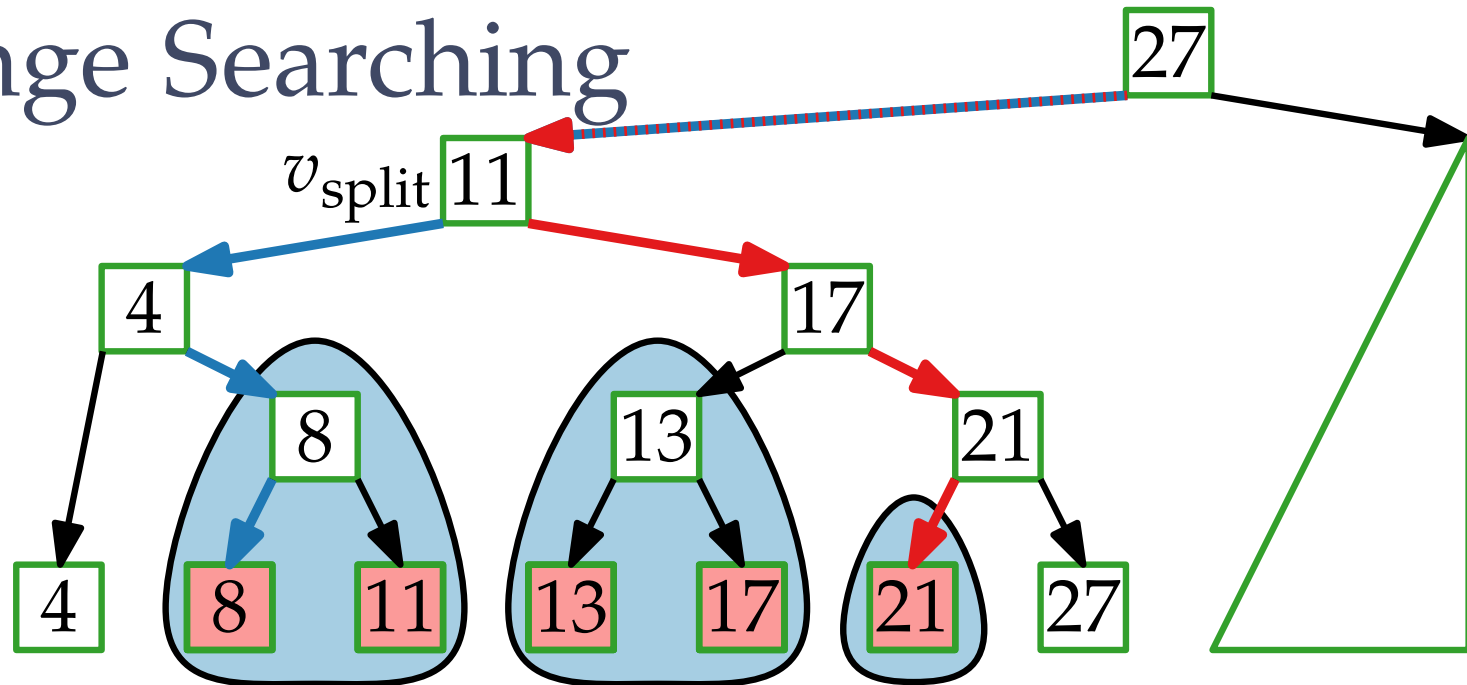
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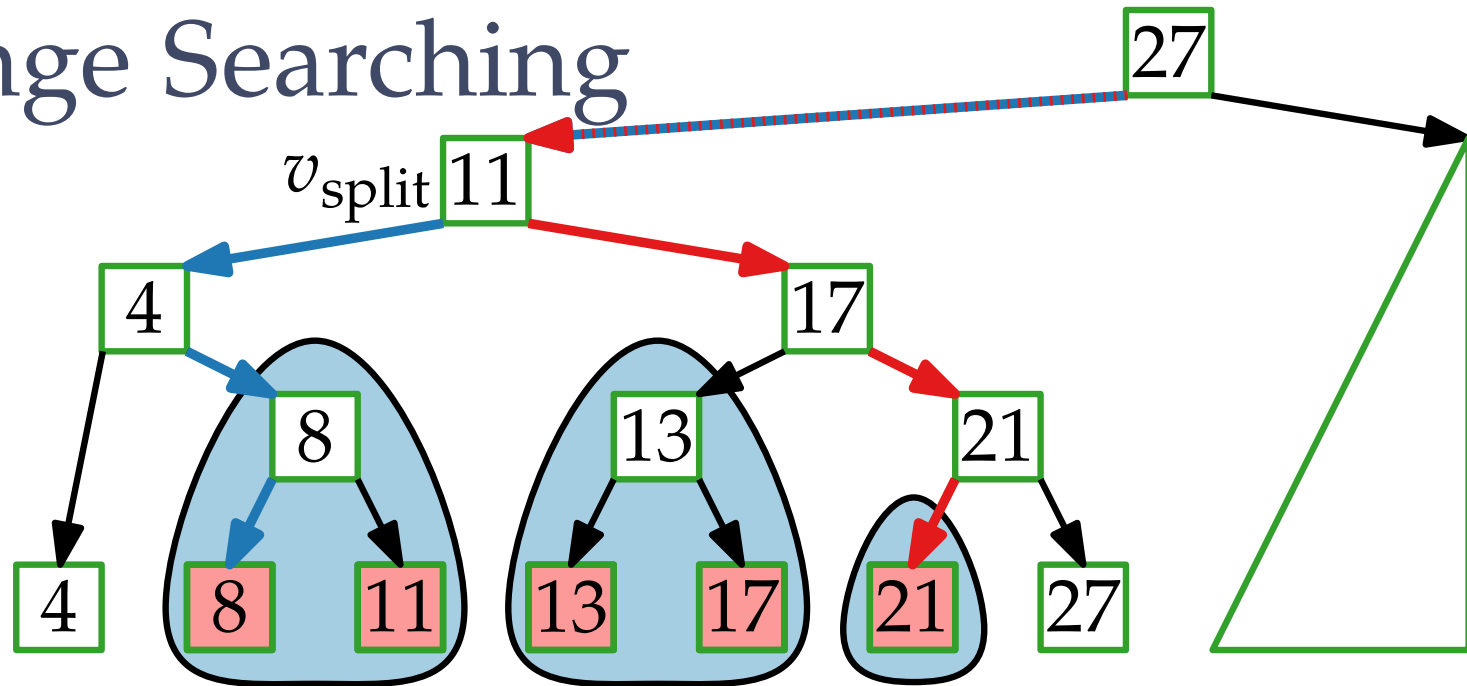
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- Observe:** The result of a query is the disjoint union of at most  $2h$  canonical subsets, where
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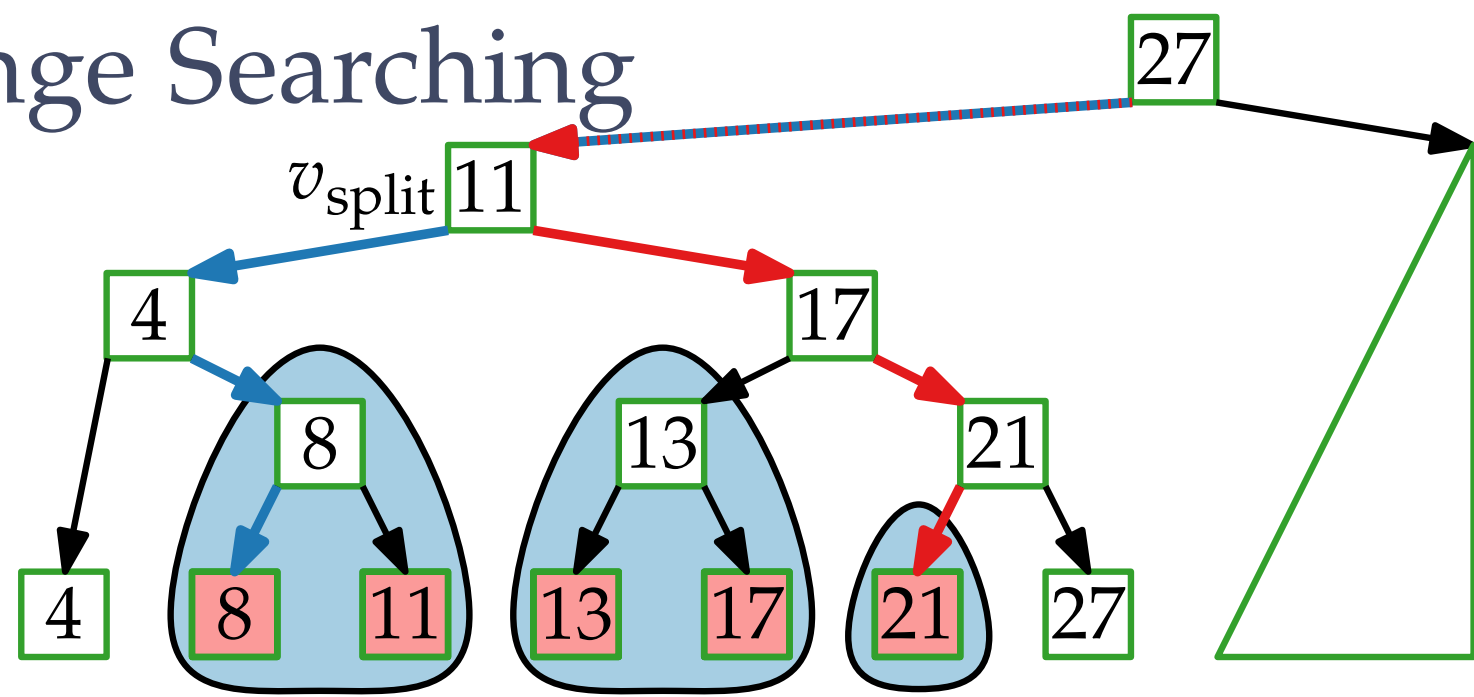


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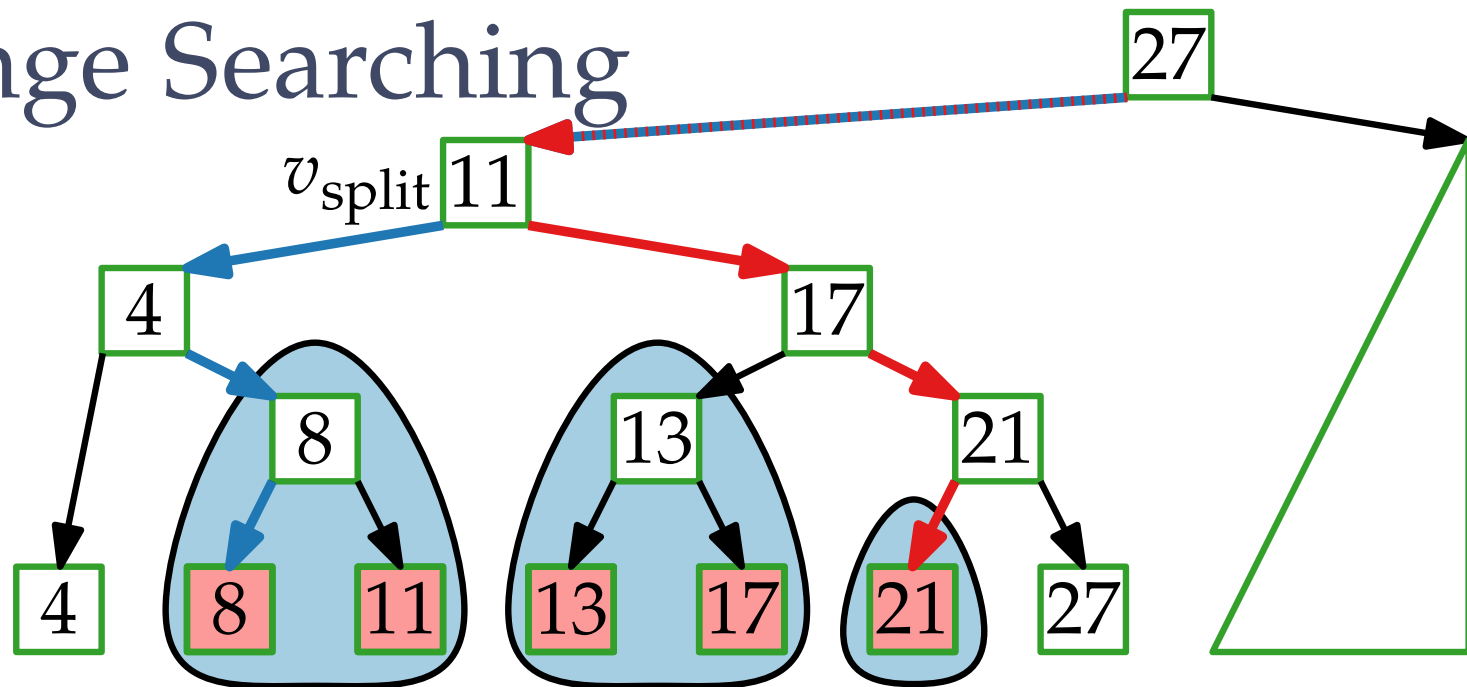


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*output sensitive!*

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**Task:** Preprocess a finite set  $P \subset \mathbb{R}^2$  such that for any range query  $R = [x, x'] \times [y, y']$  the set  $P \cap R$  can be reported quickly.

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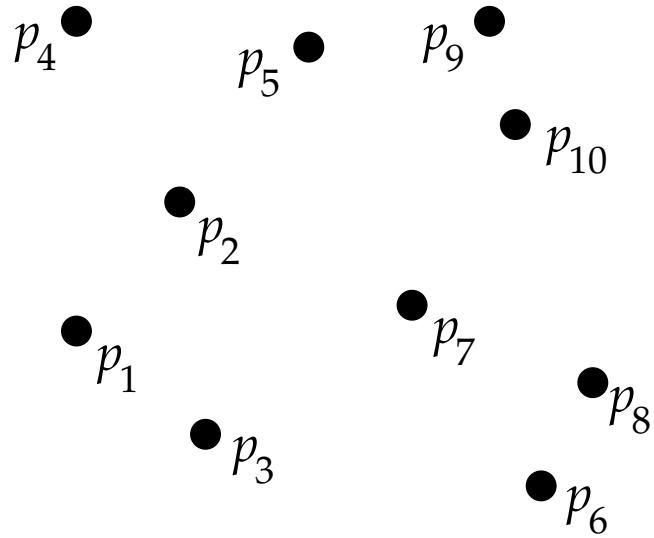
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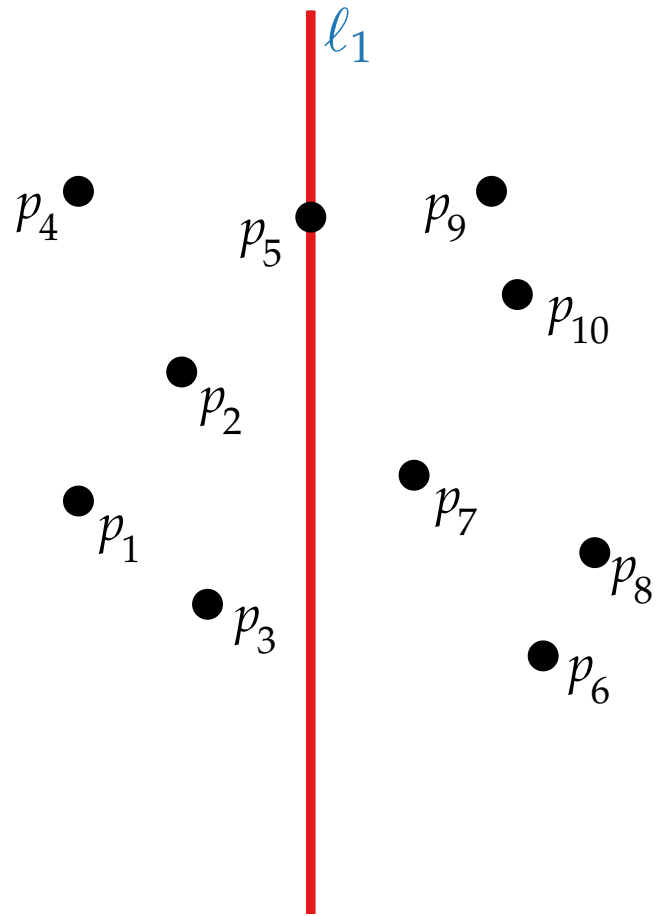
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# Kd-Trees: Example

[dBCvKO'08]

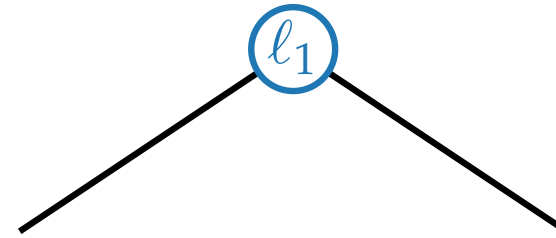
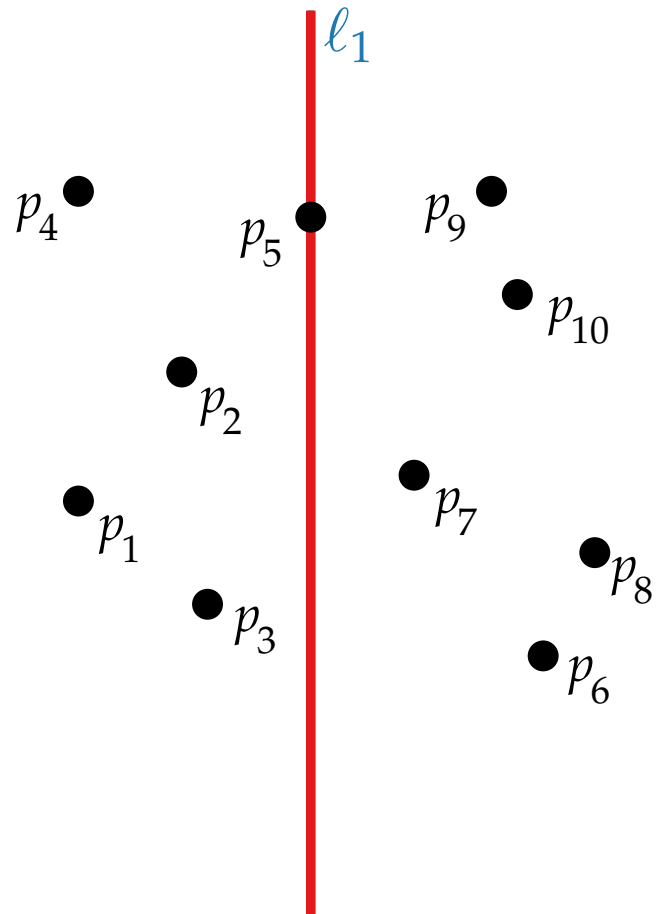


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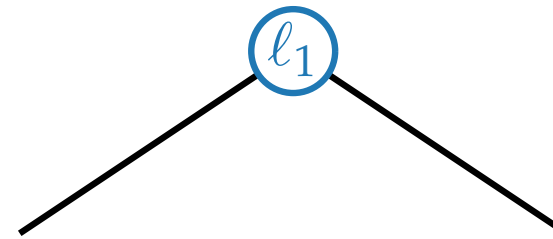
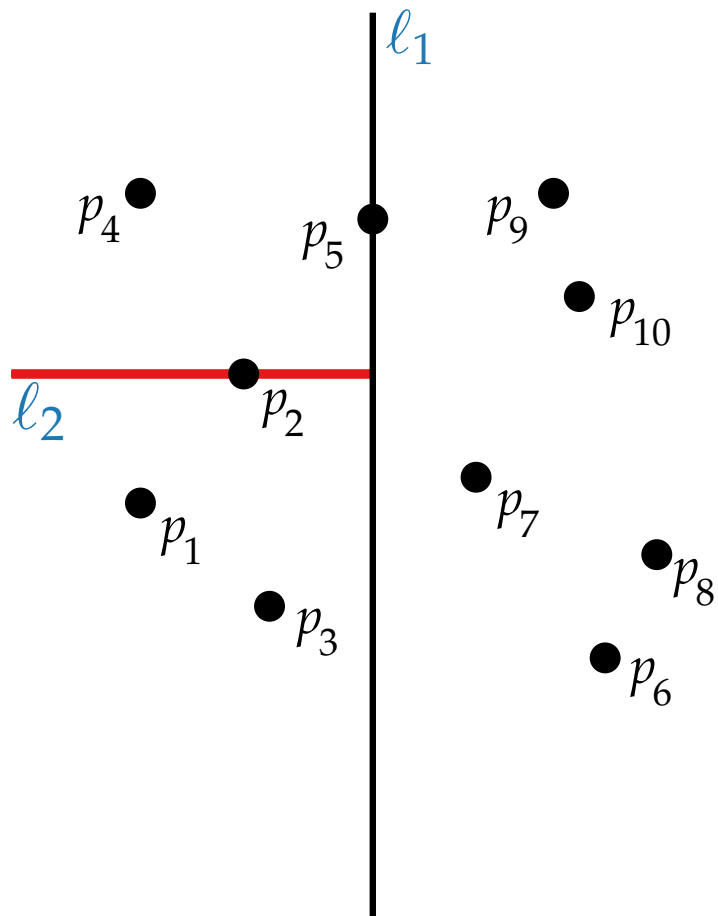


[dBCvKO'08]

- Split any region that contains more than one point.



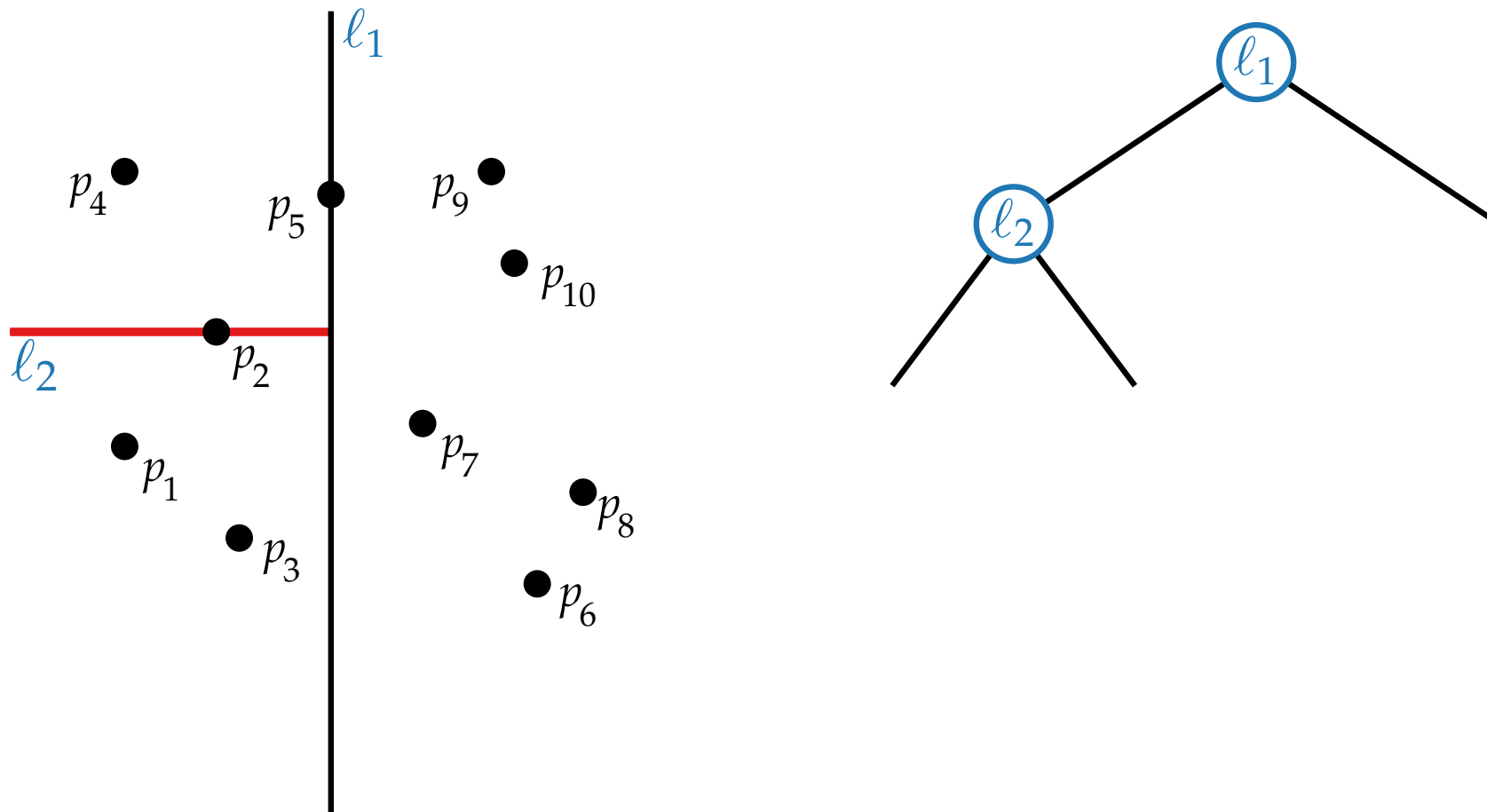
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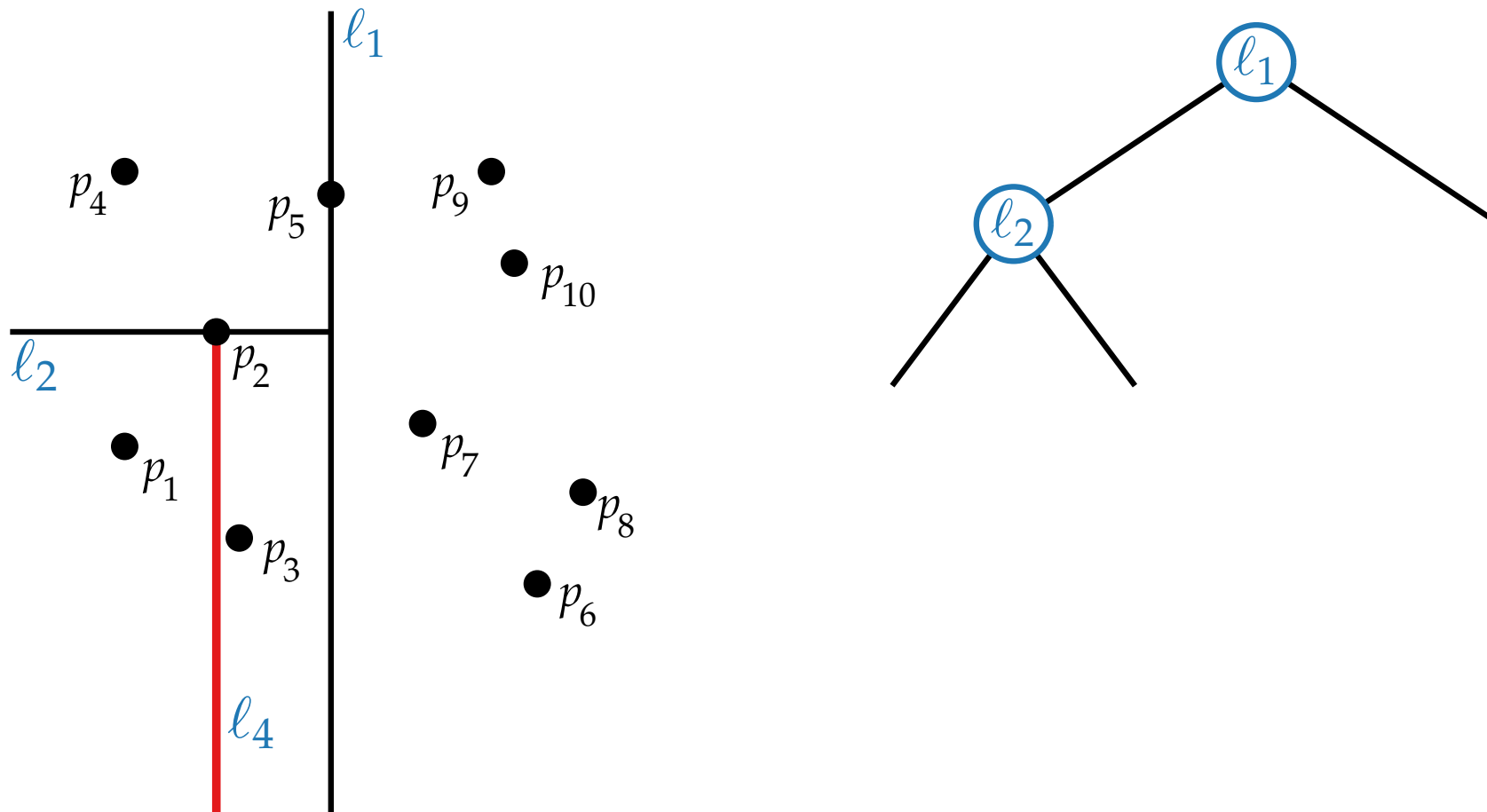
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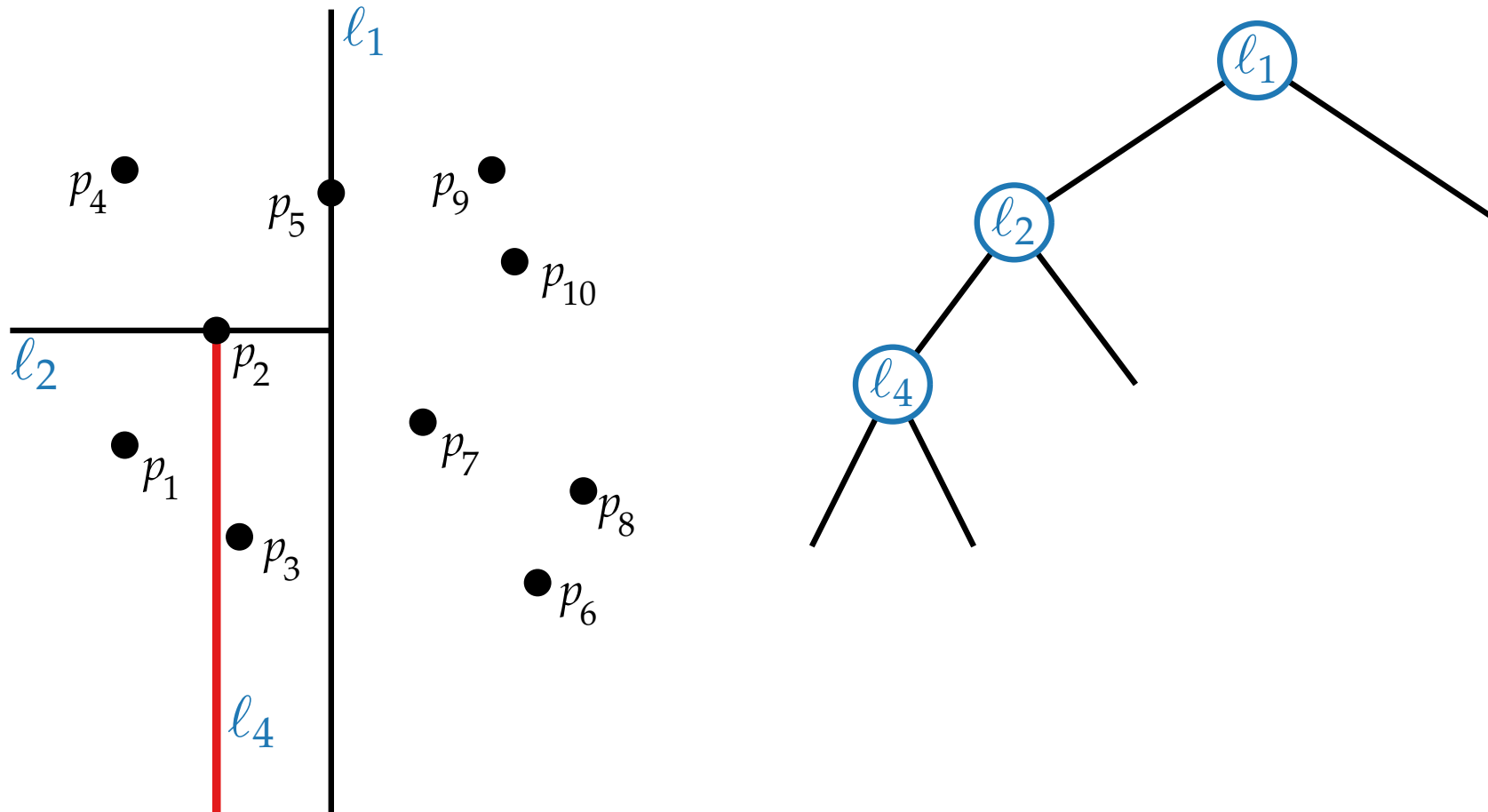
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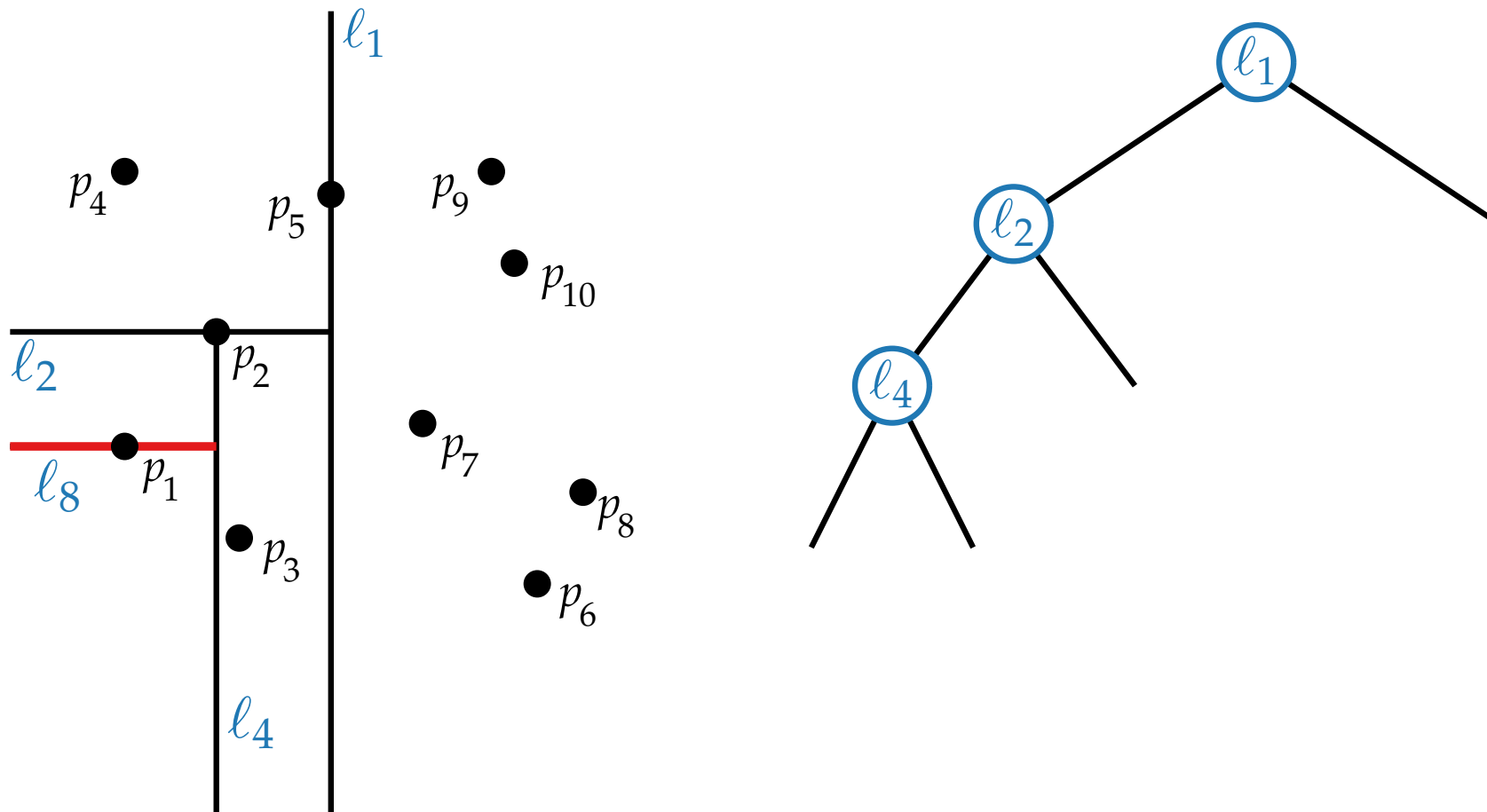
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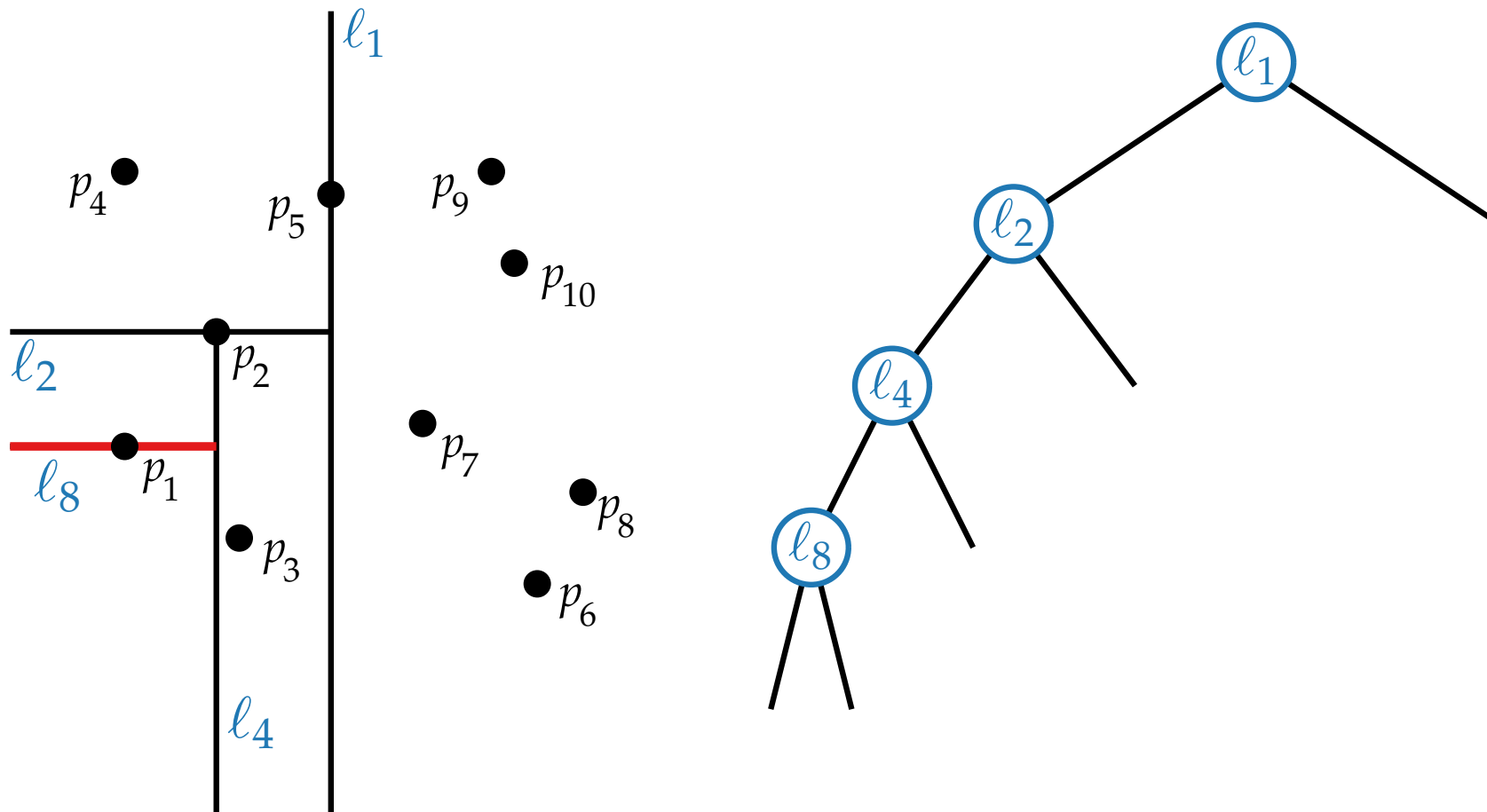


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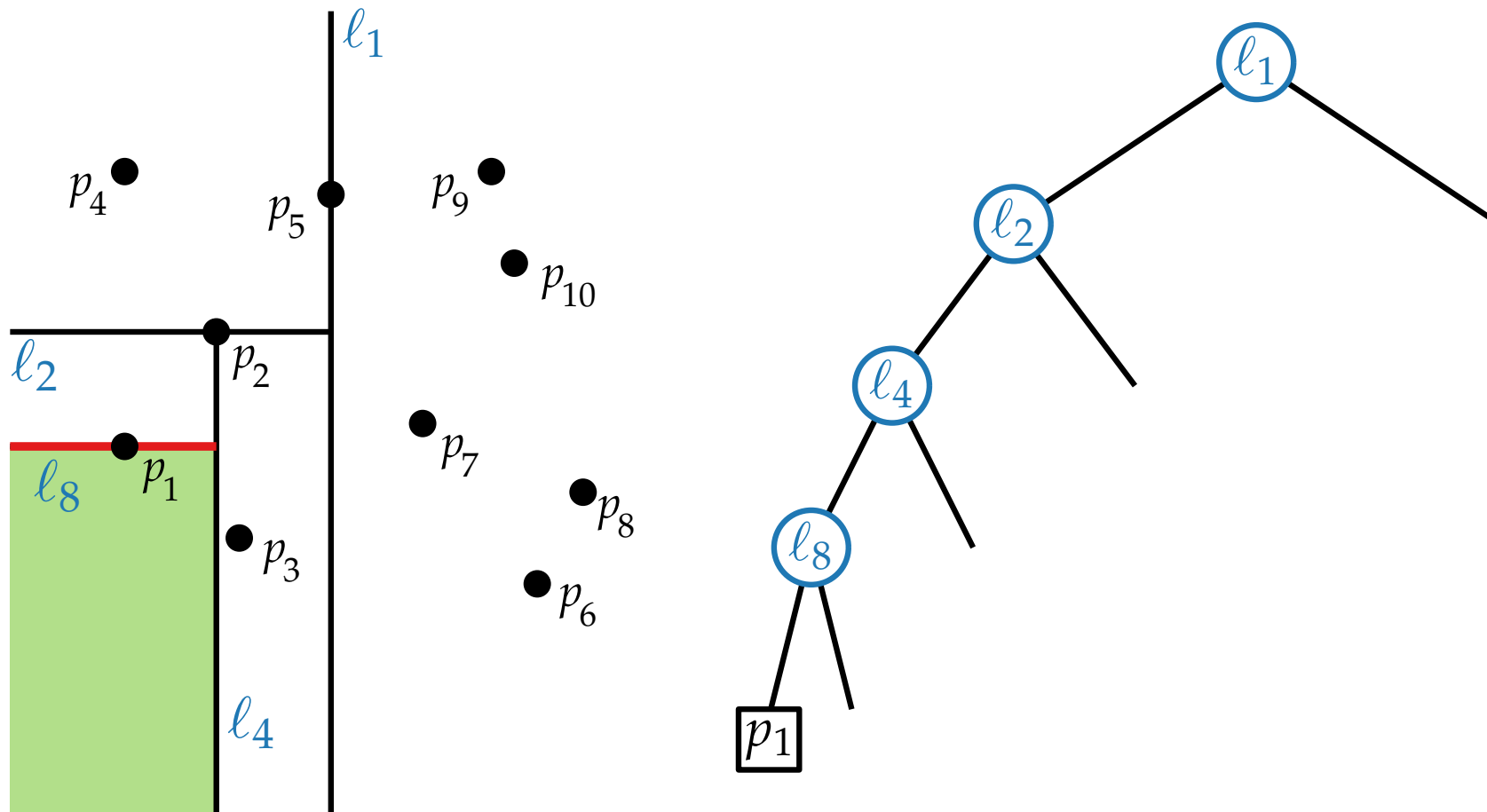
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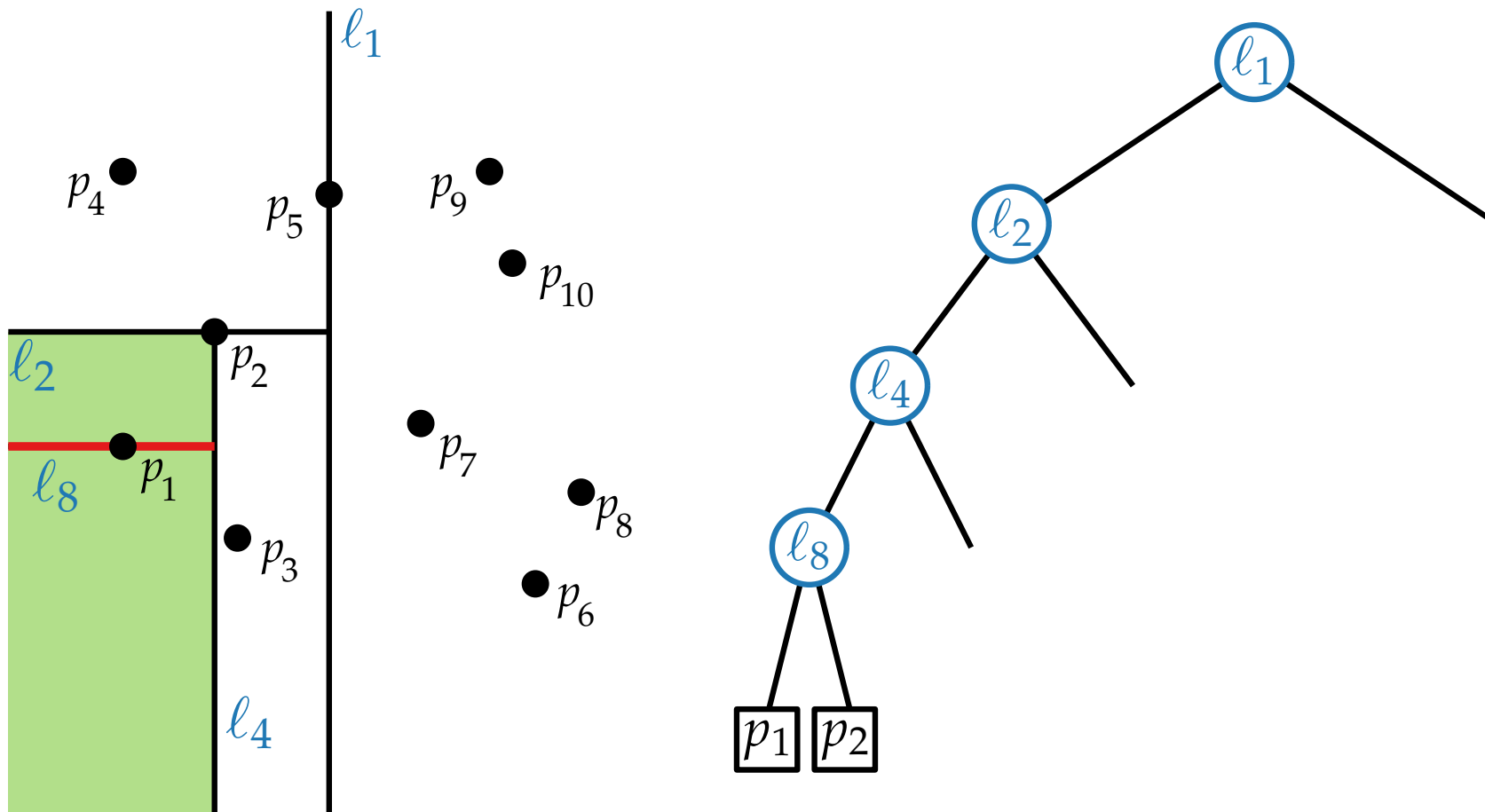
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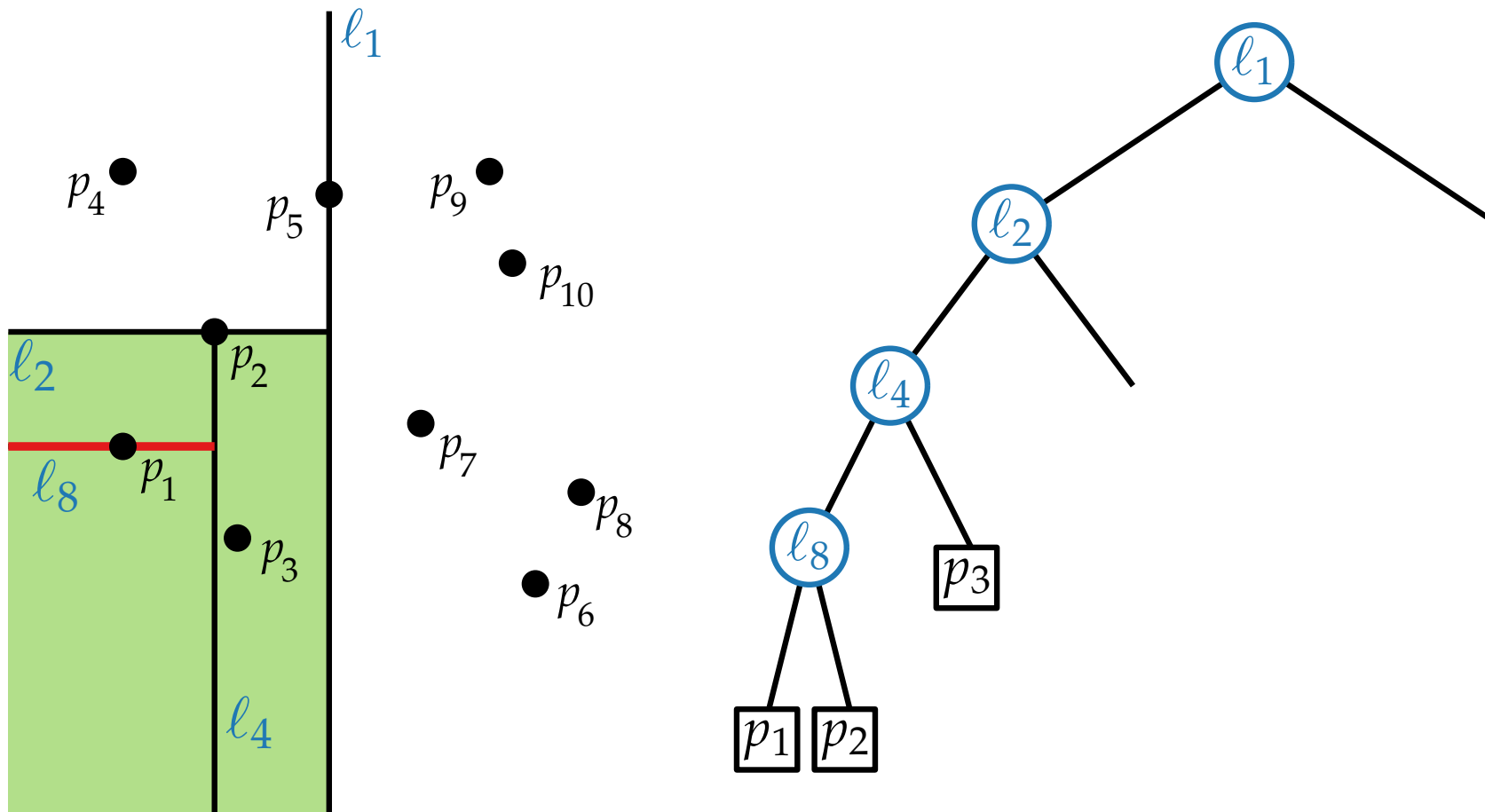


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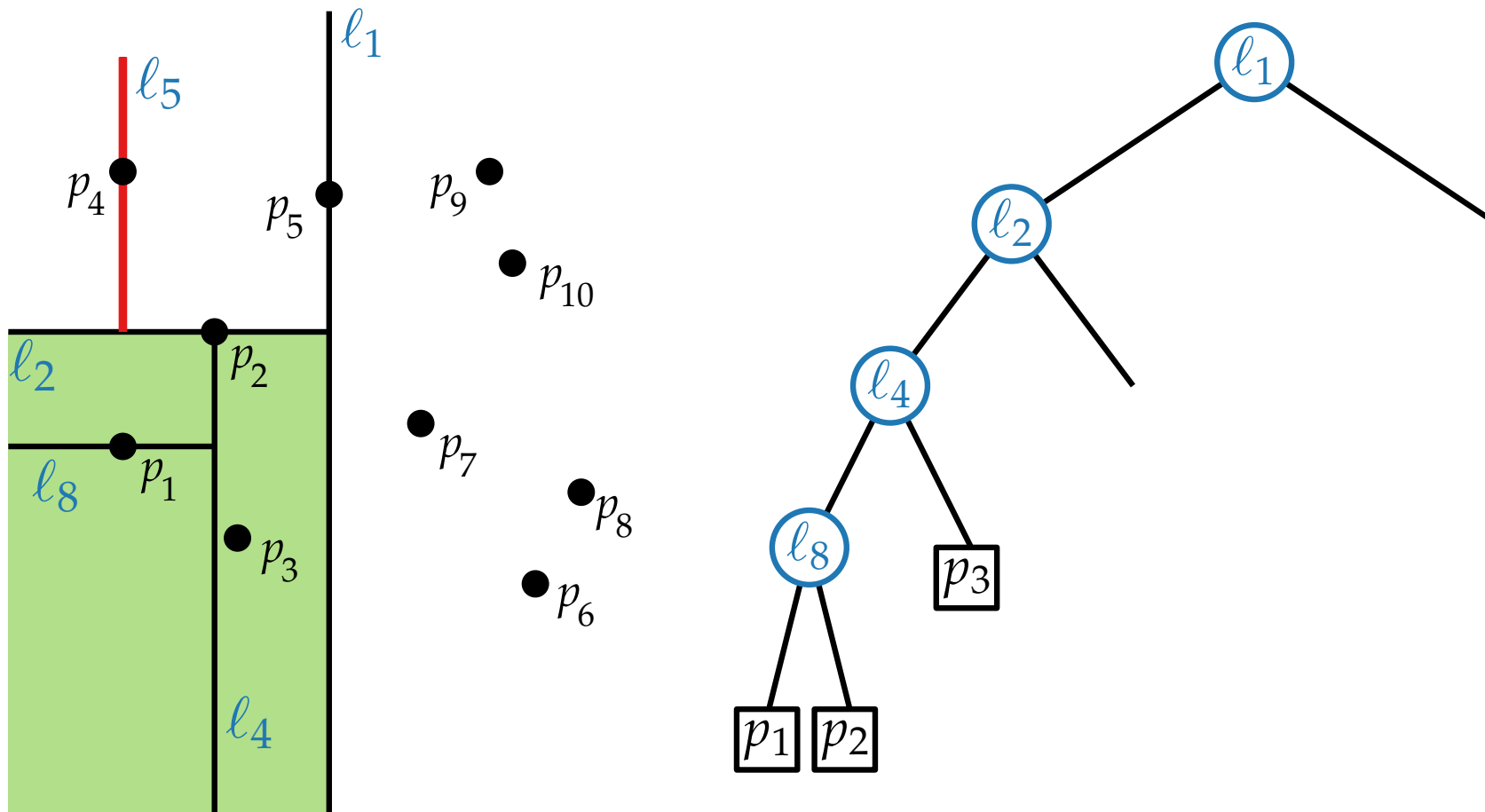
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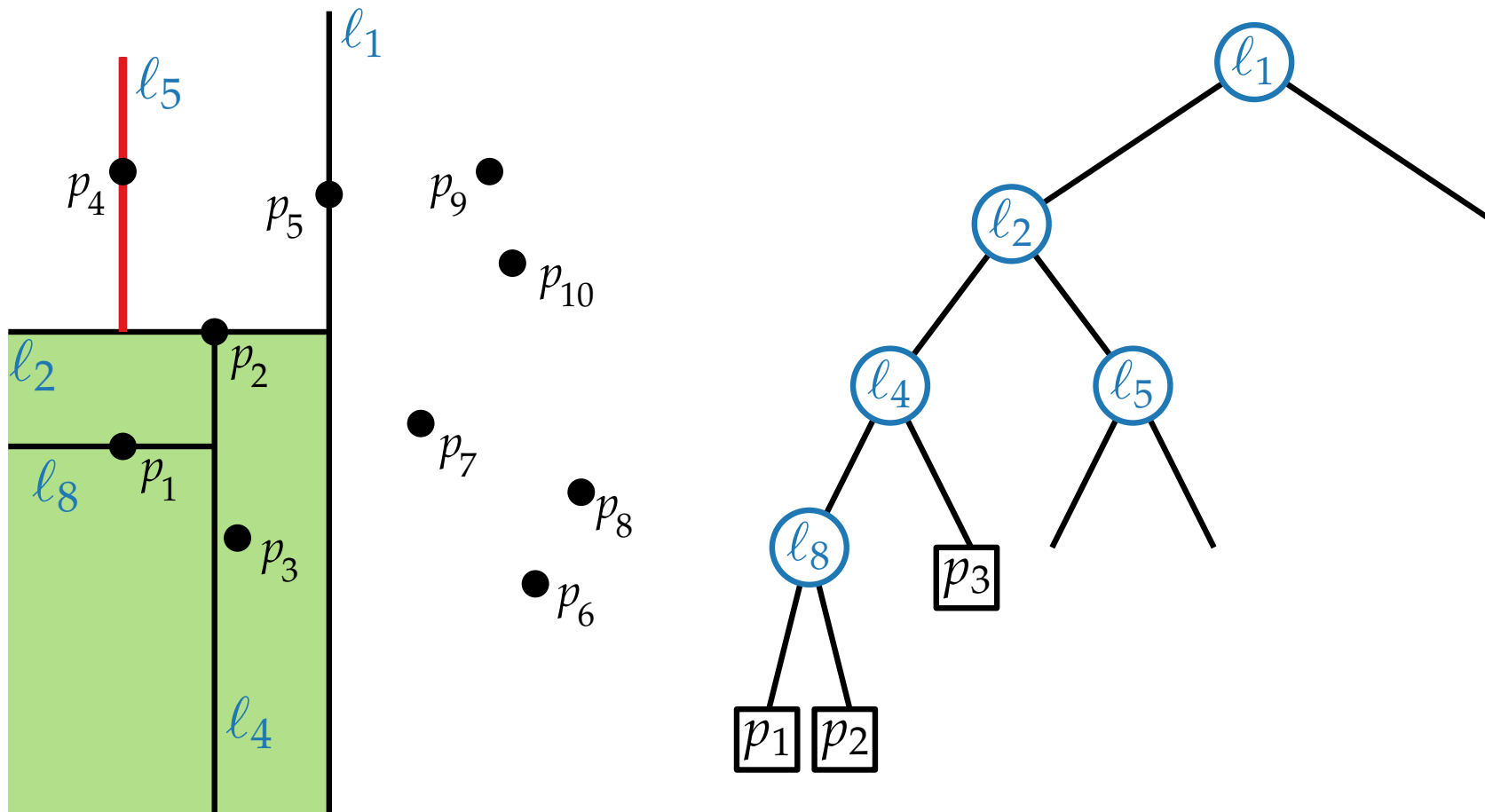
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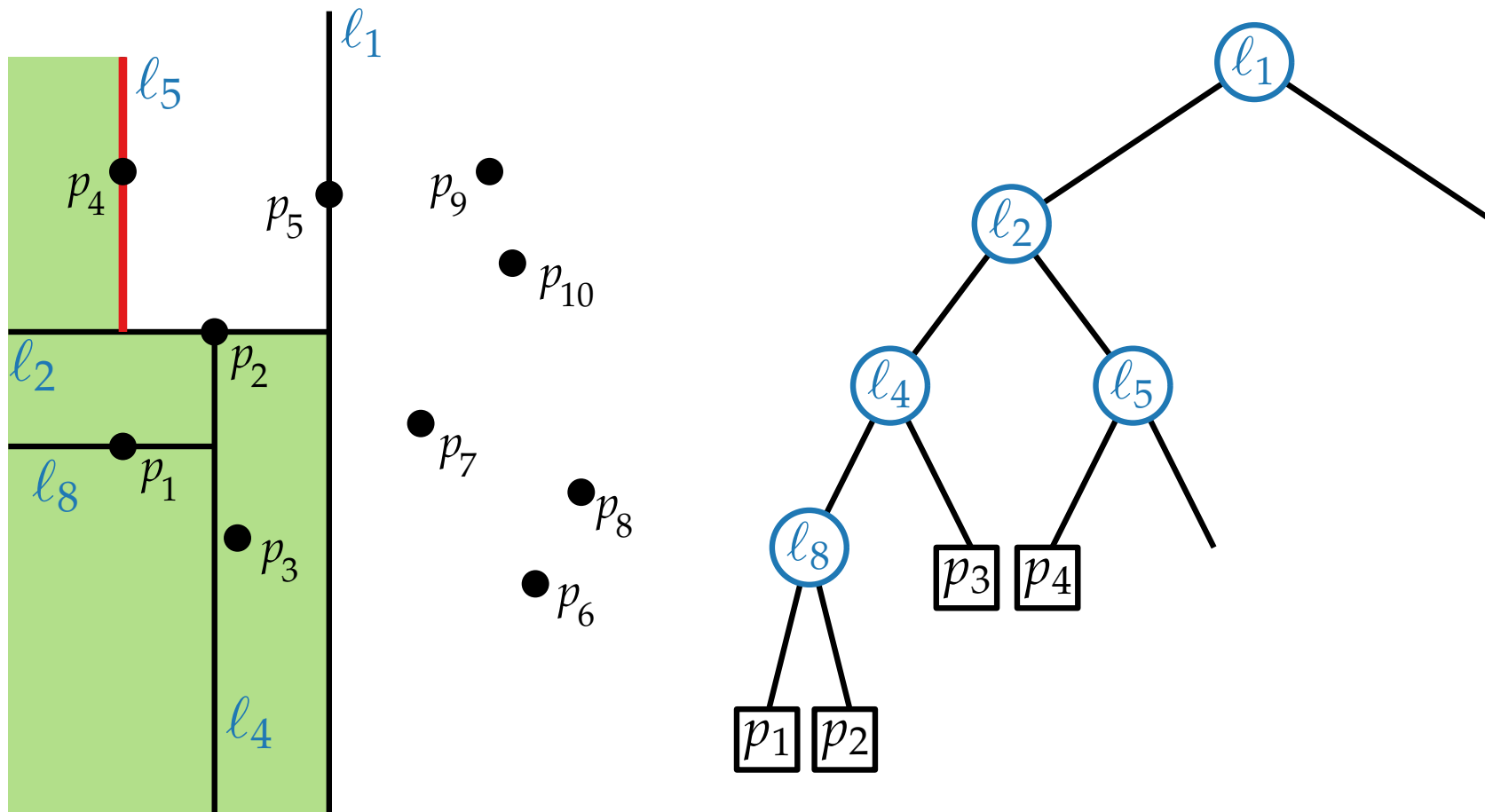
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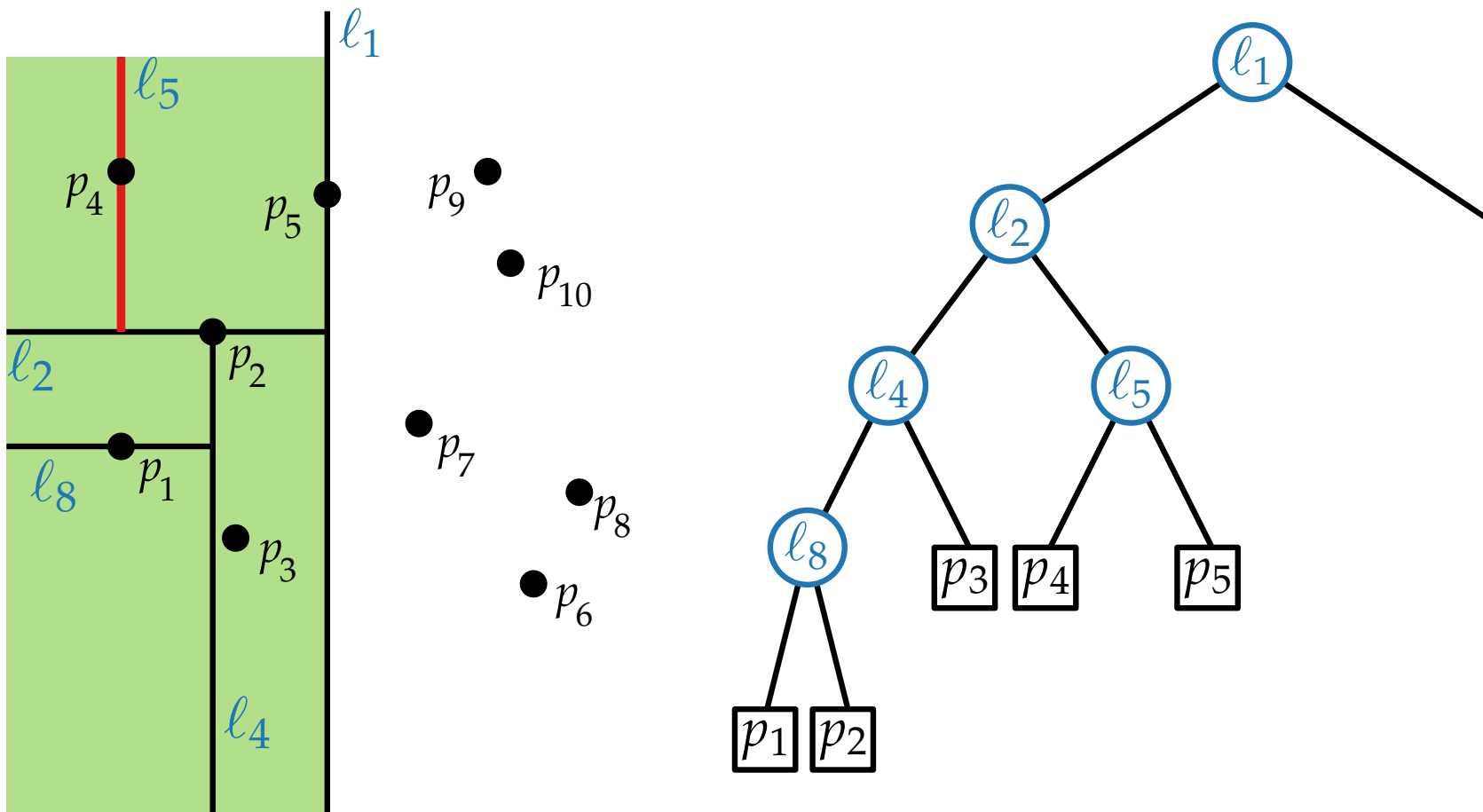
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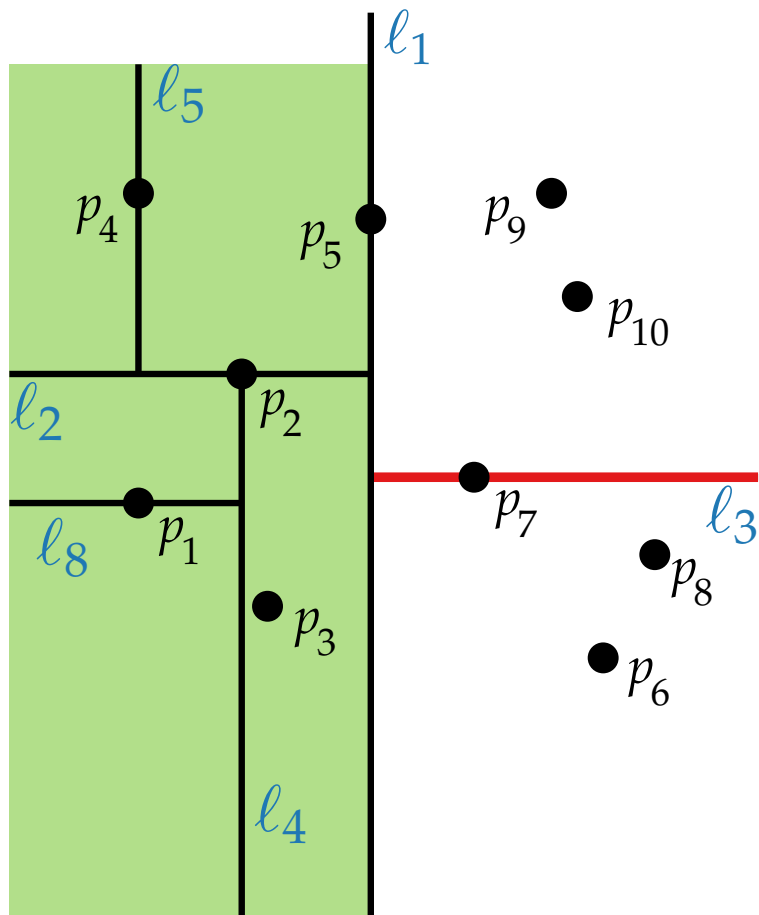
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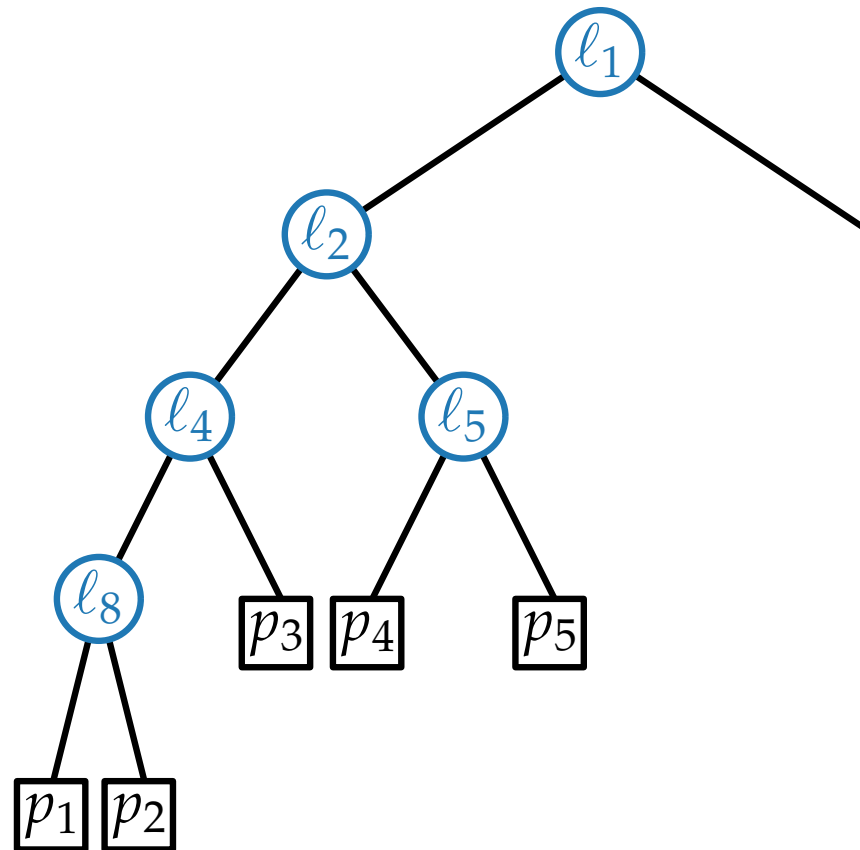
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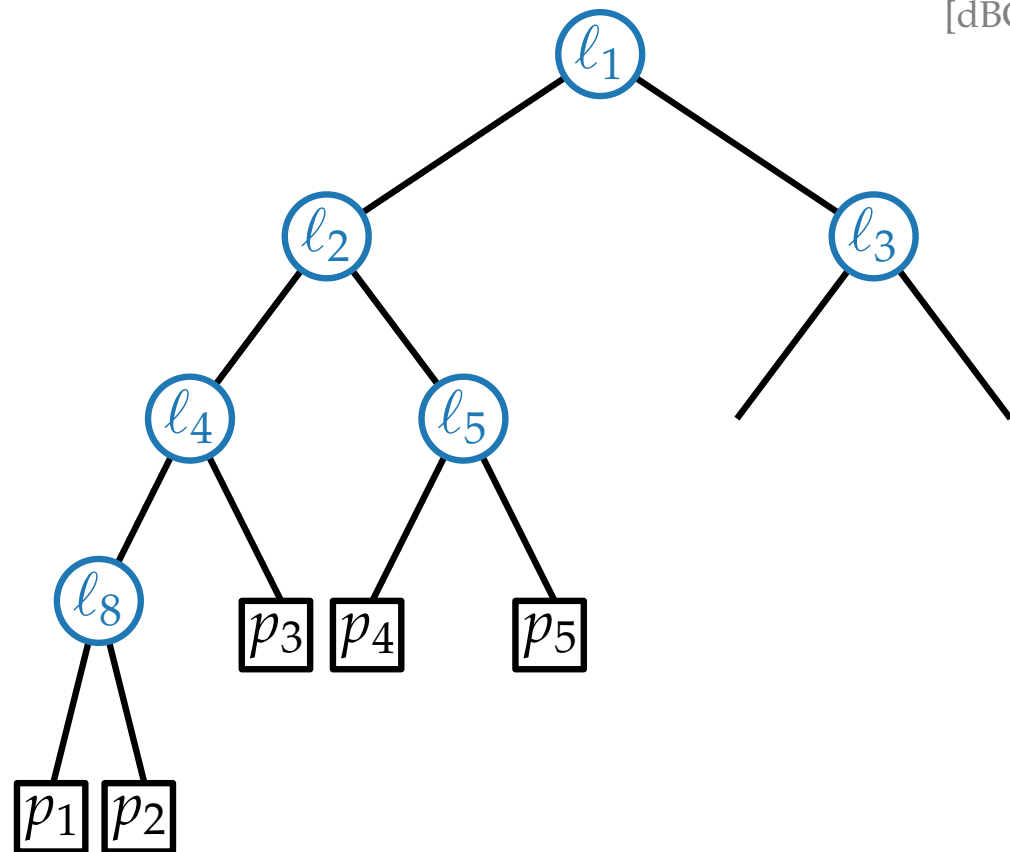
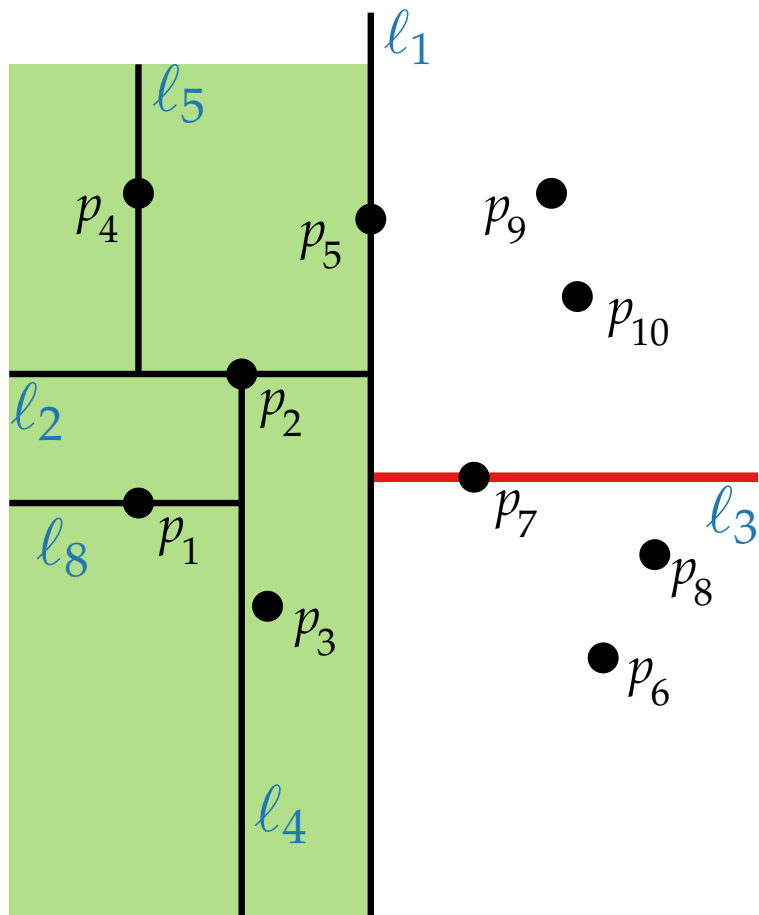


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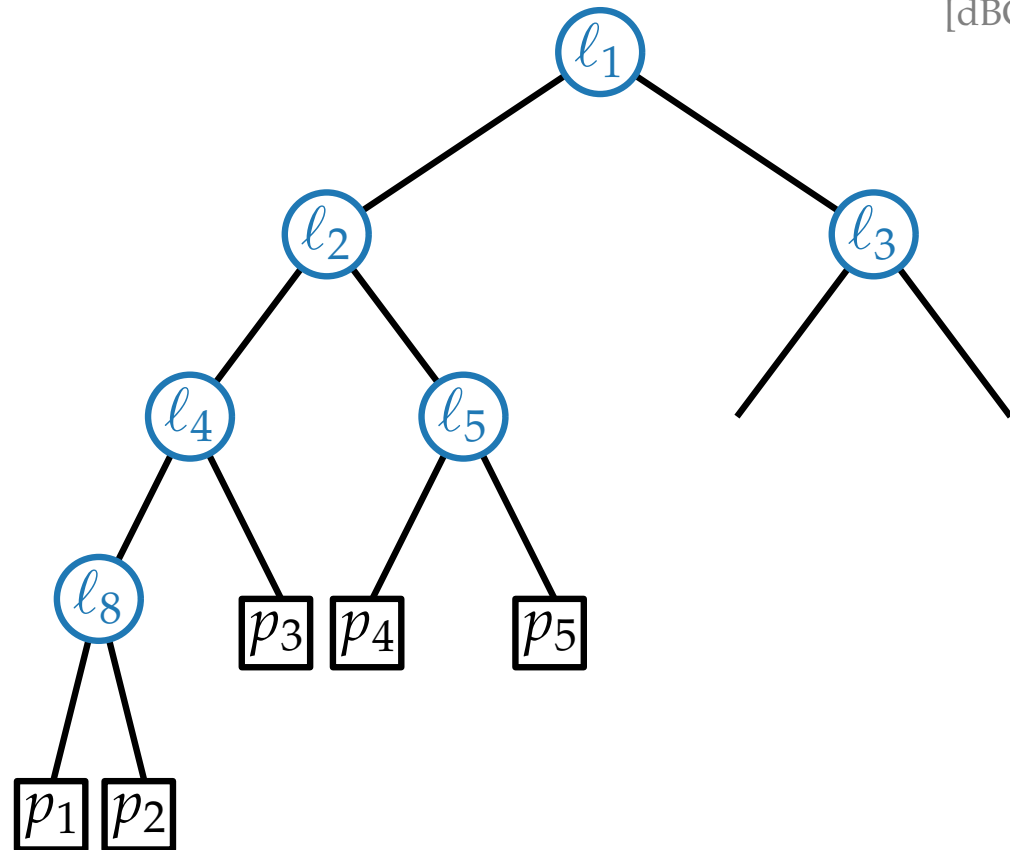
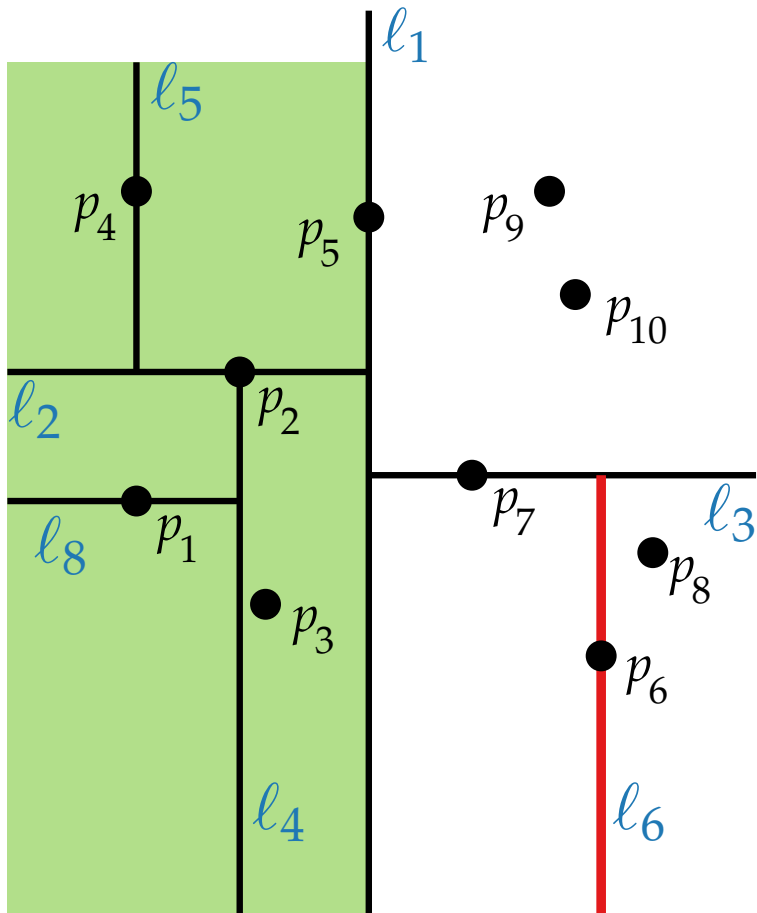
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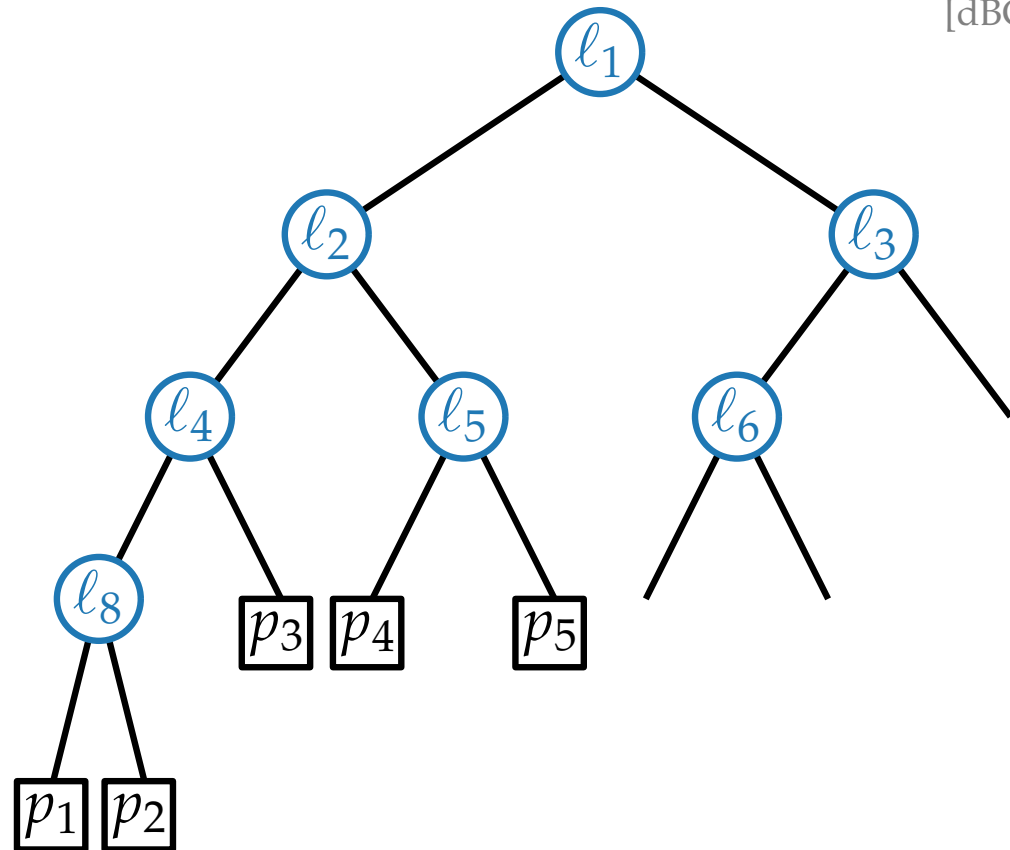
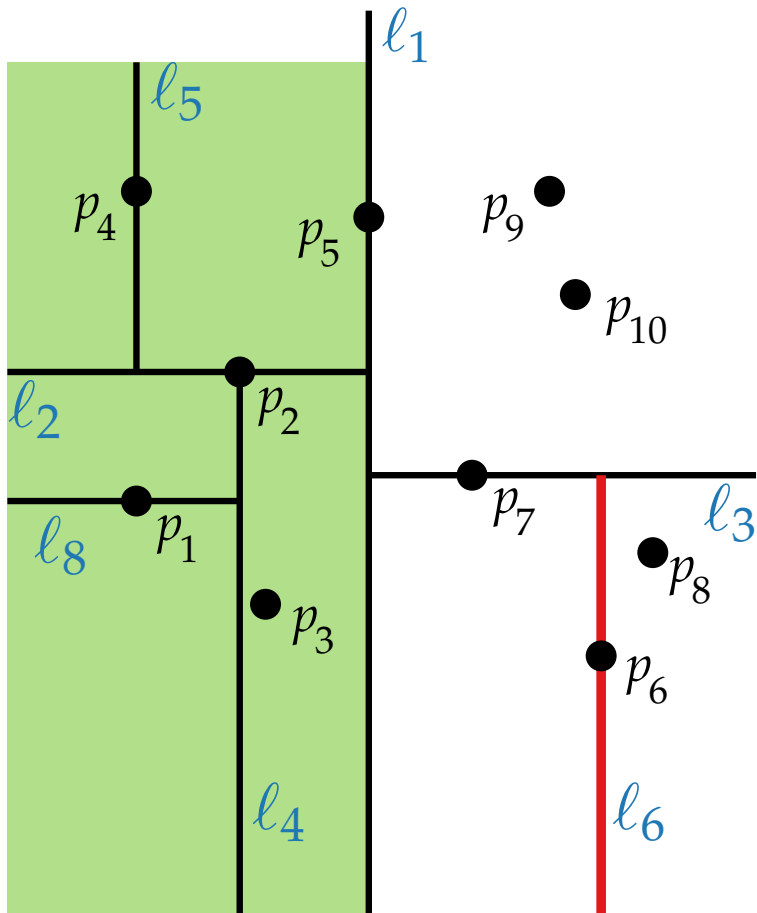


[dBCvKO'08]

- Split any region that contains more than one point.
- Horizontal split lines/segm. belong to the region below.  
Vertical left.



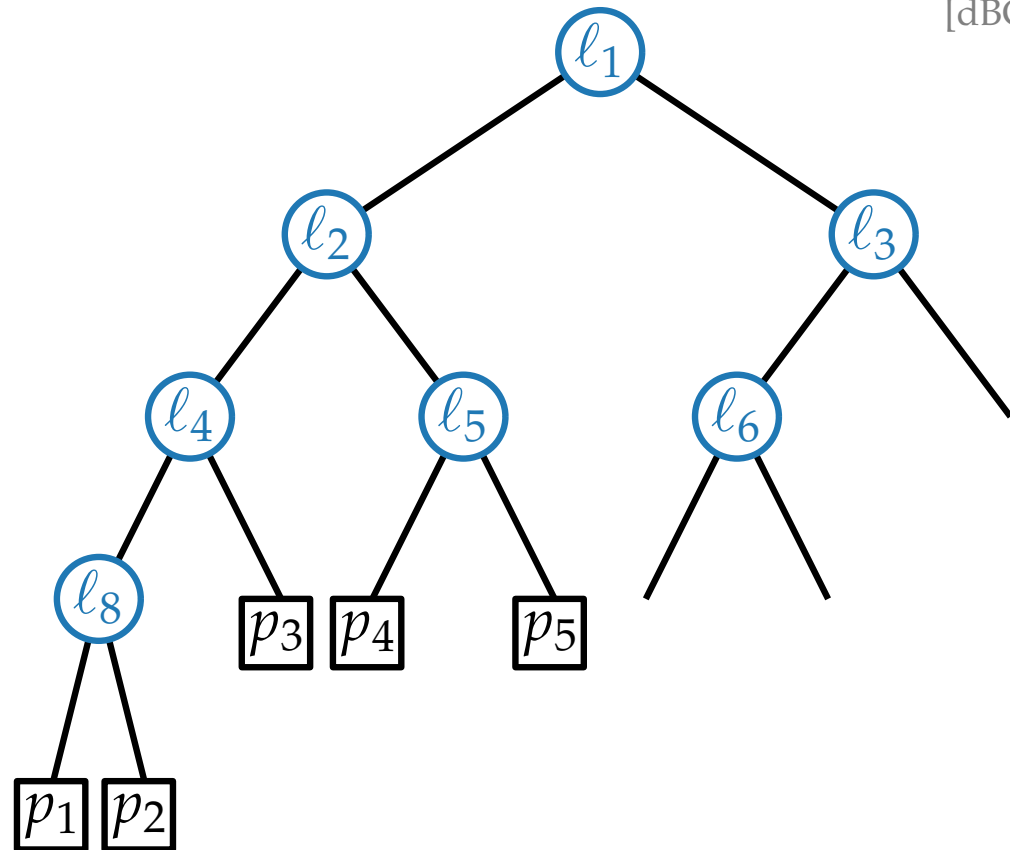
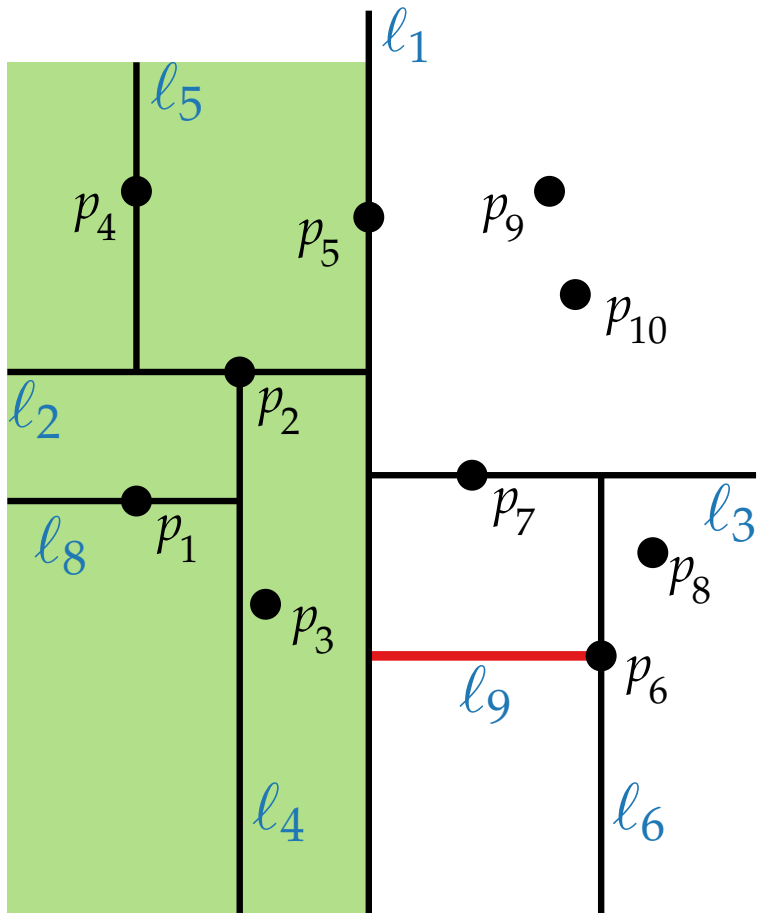
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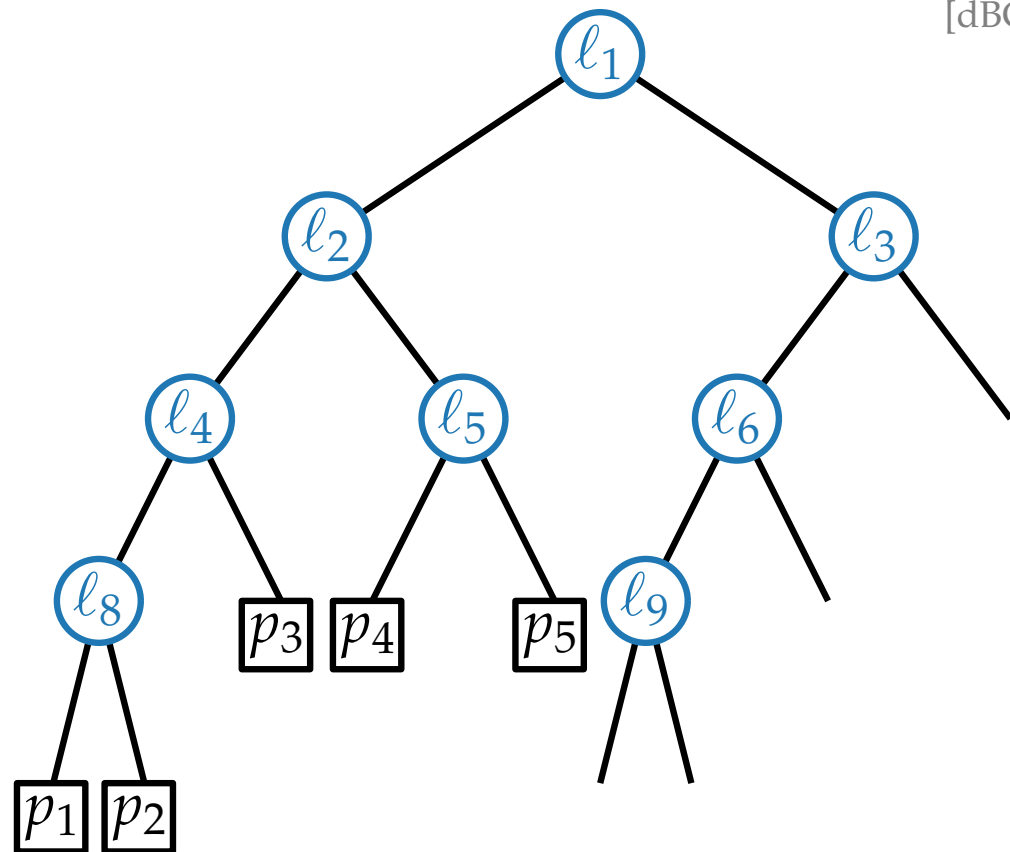
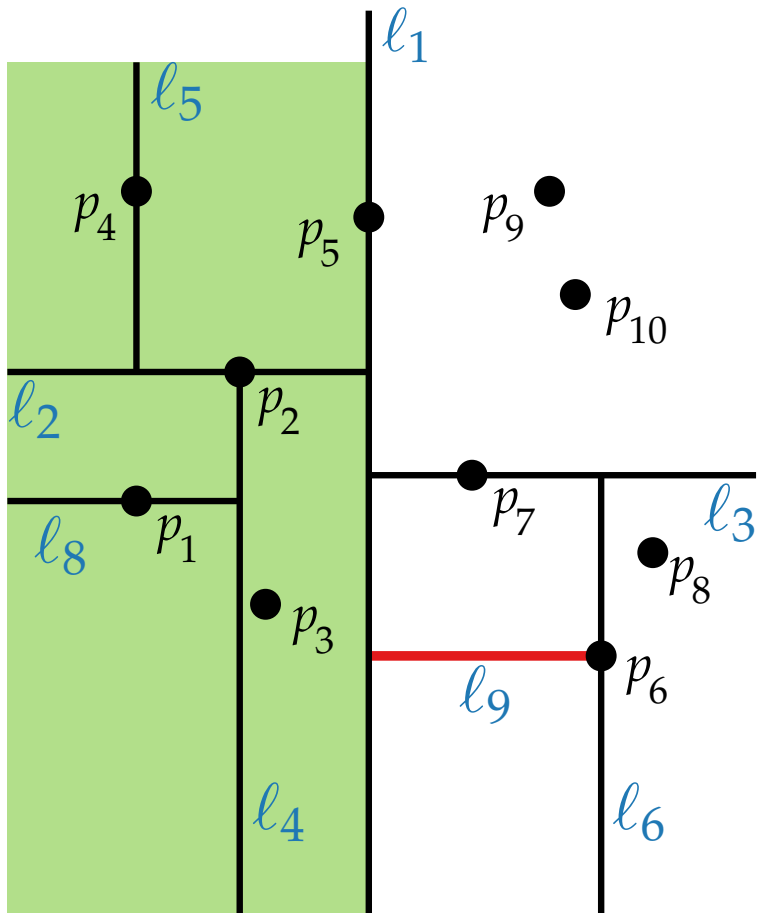
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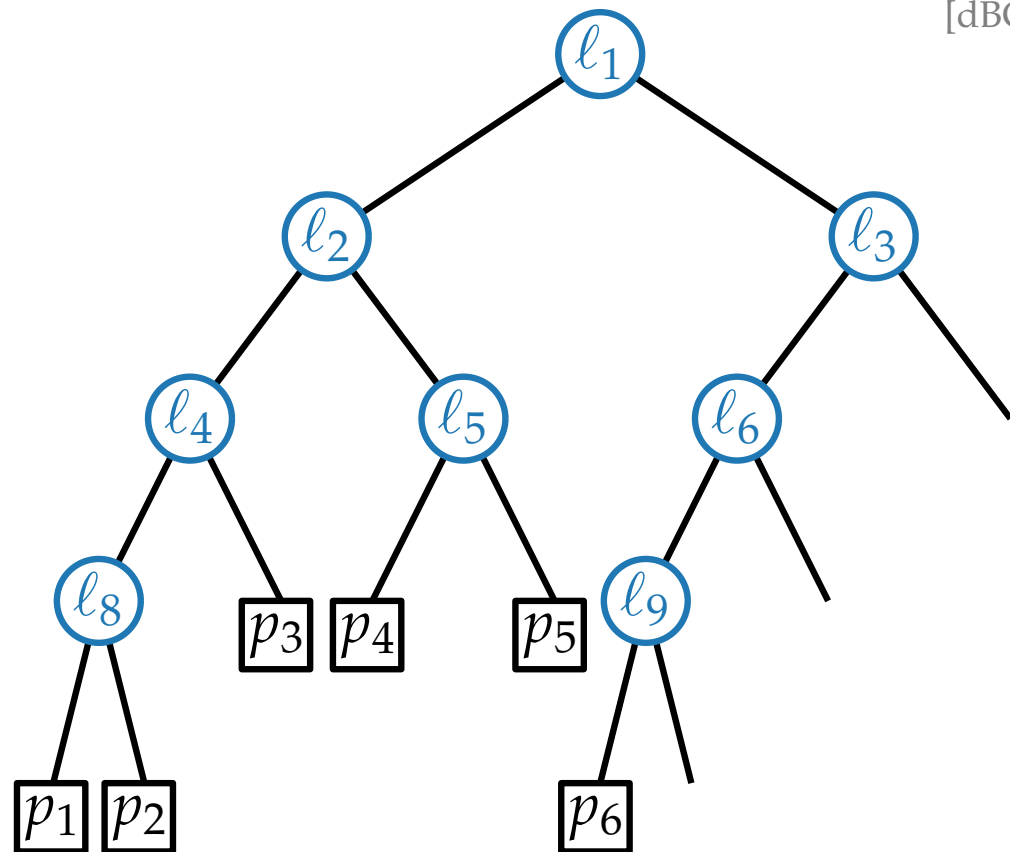
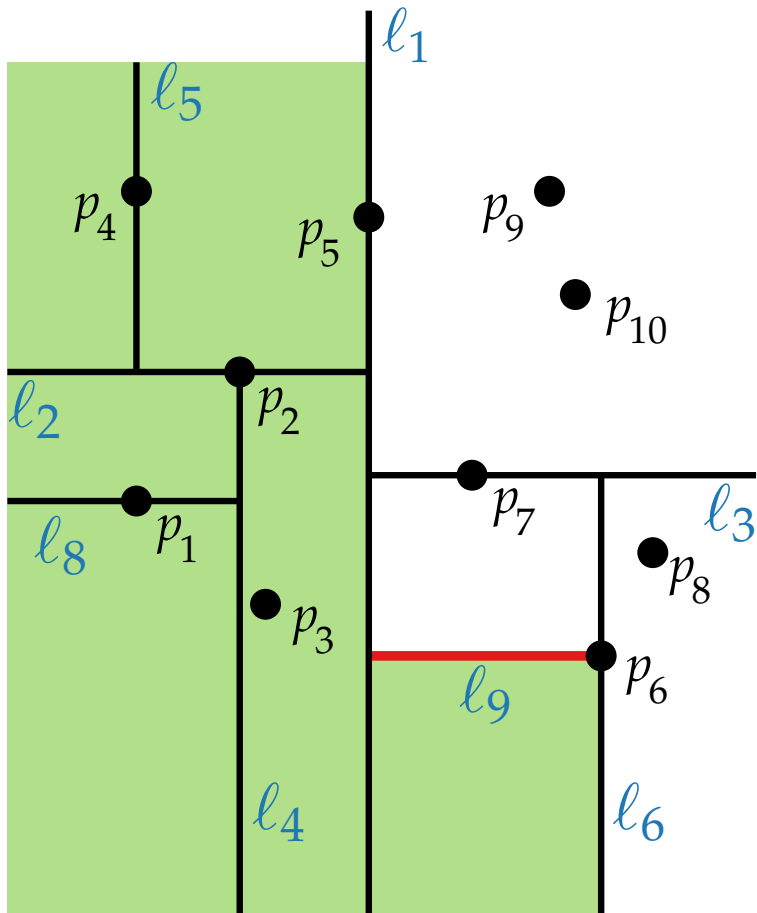
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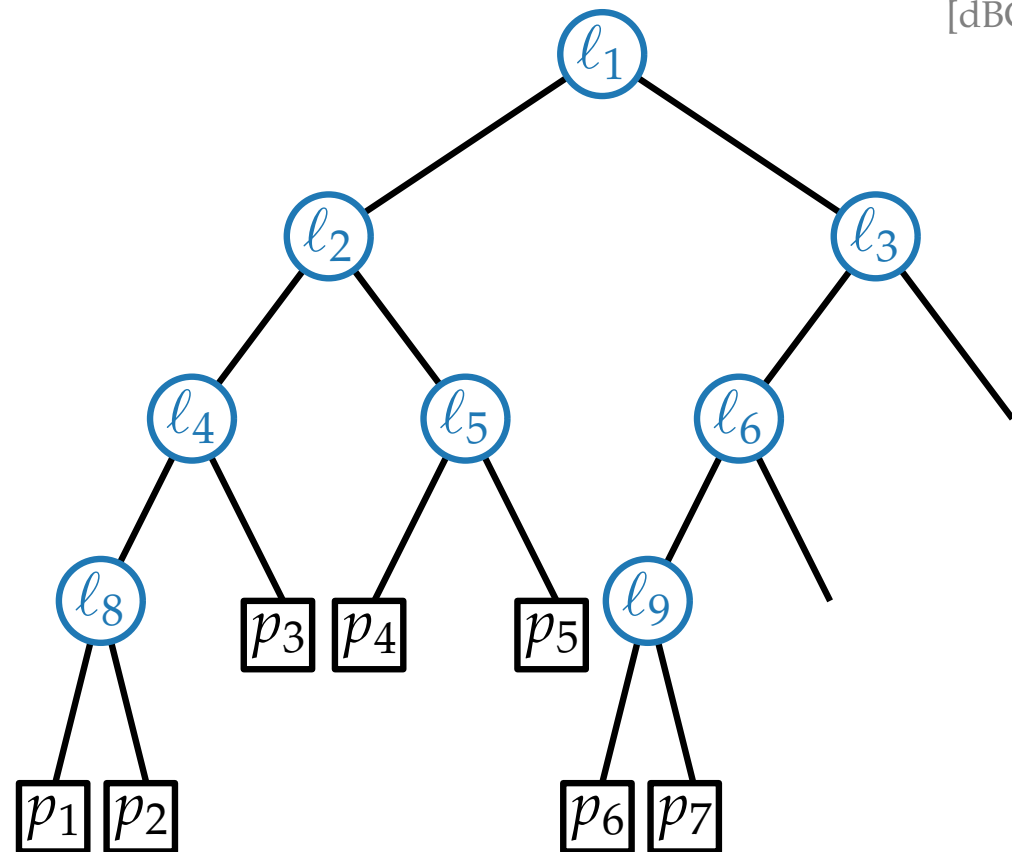
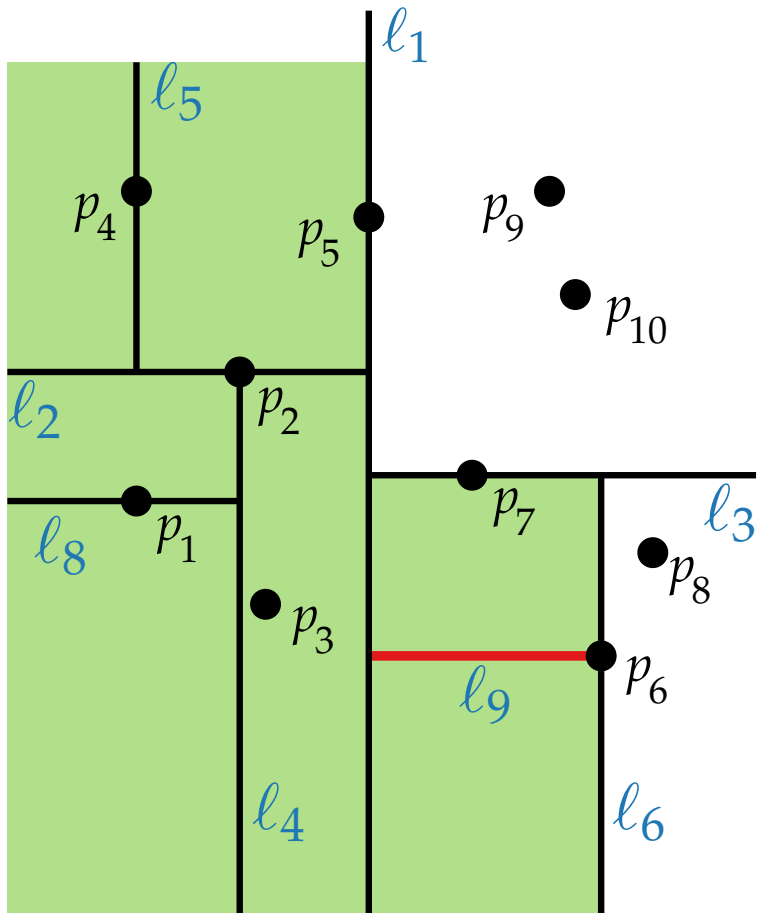
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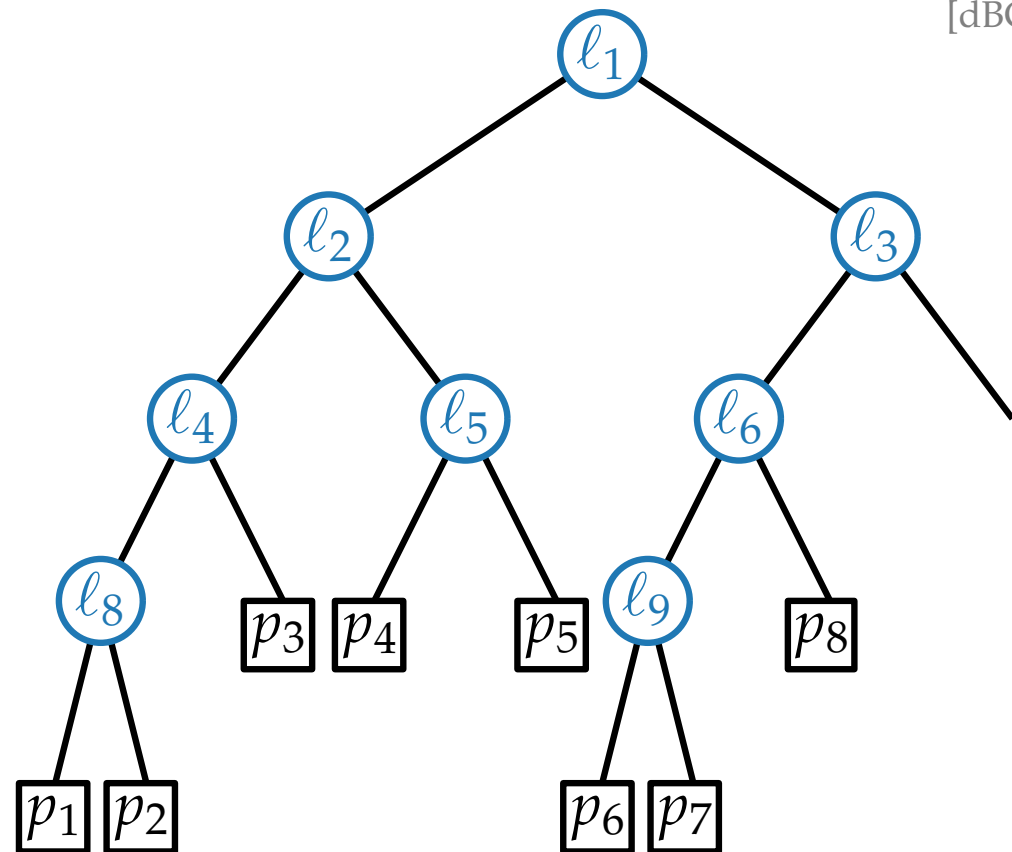
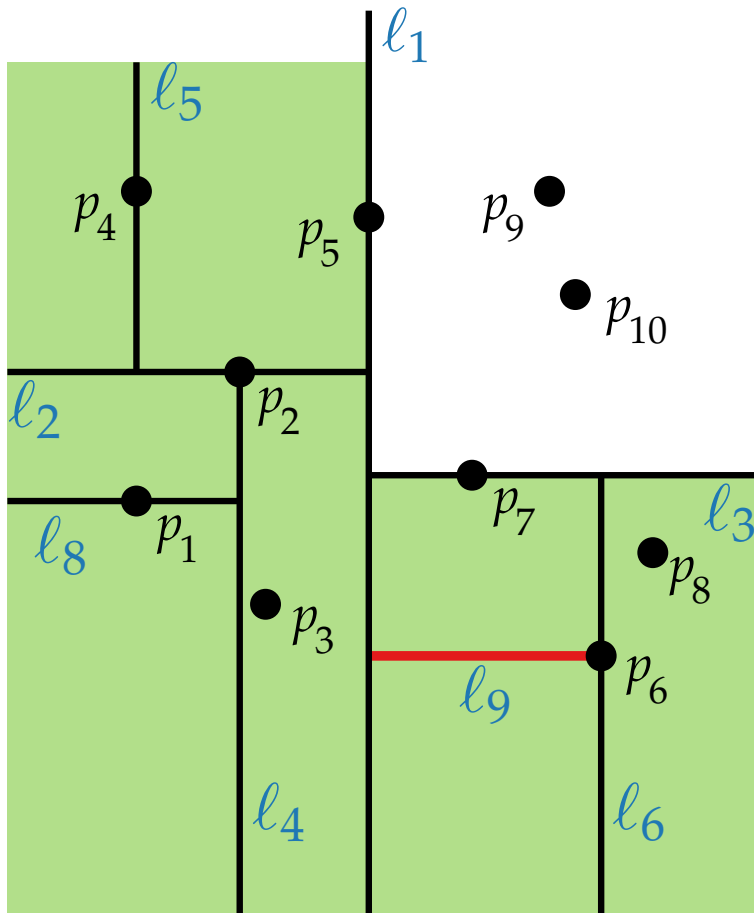
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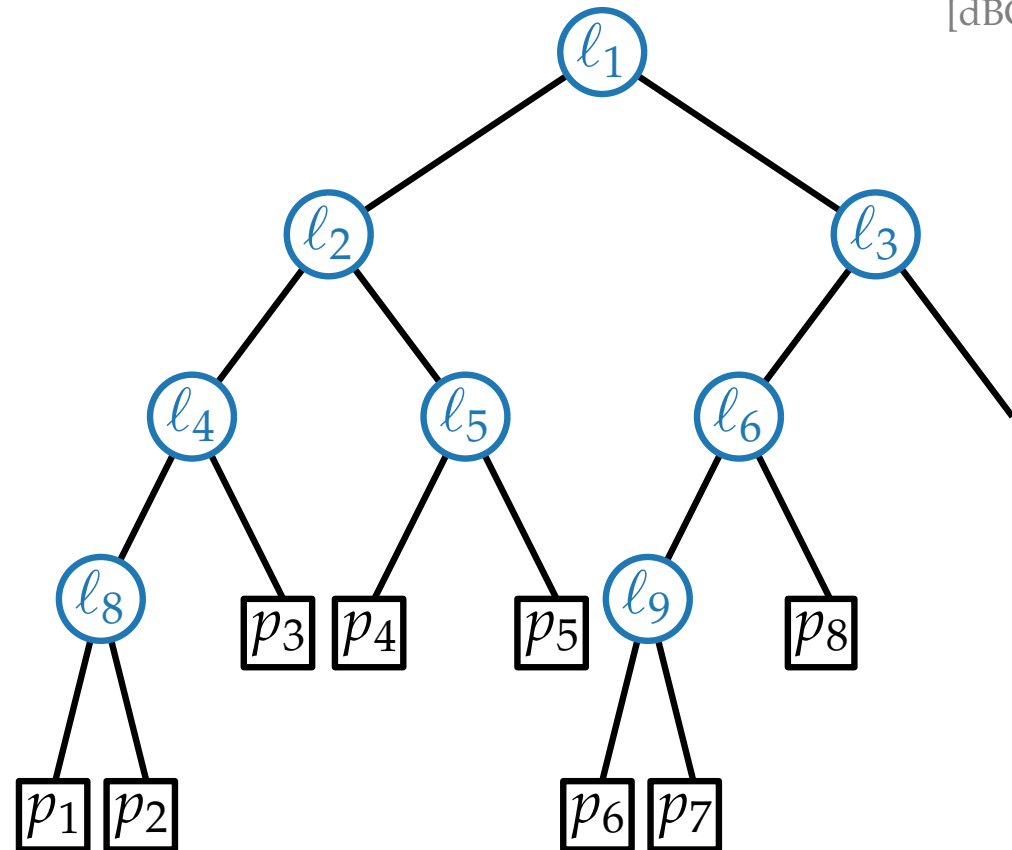
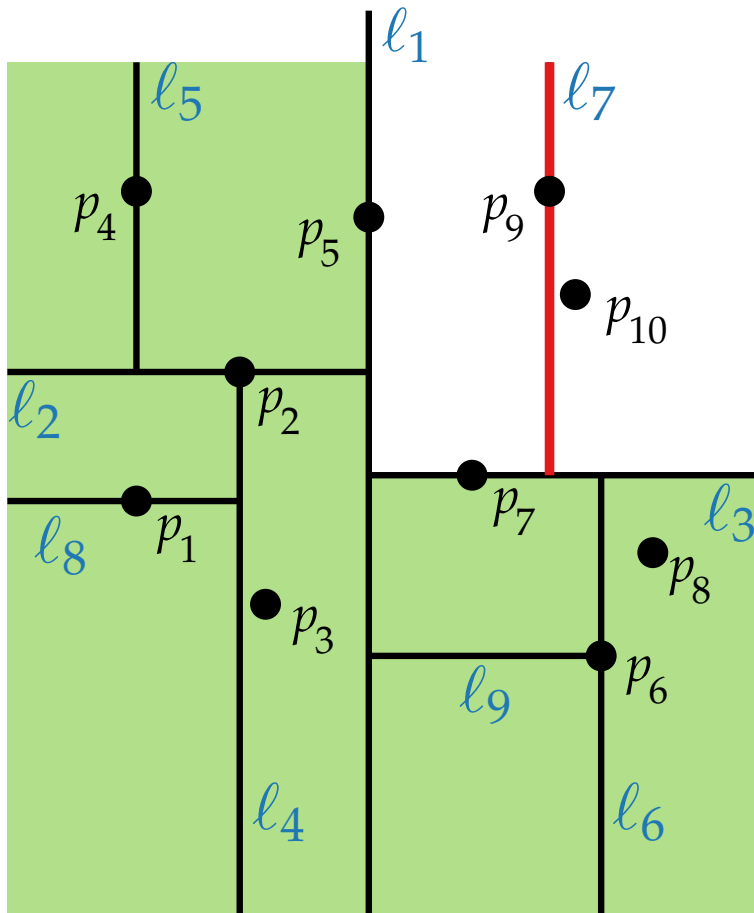
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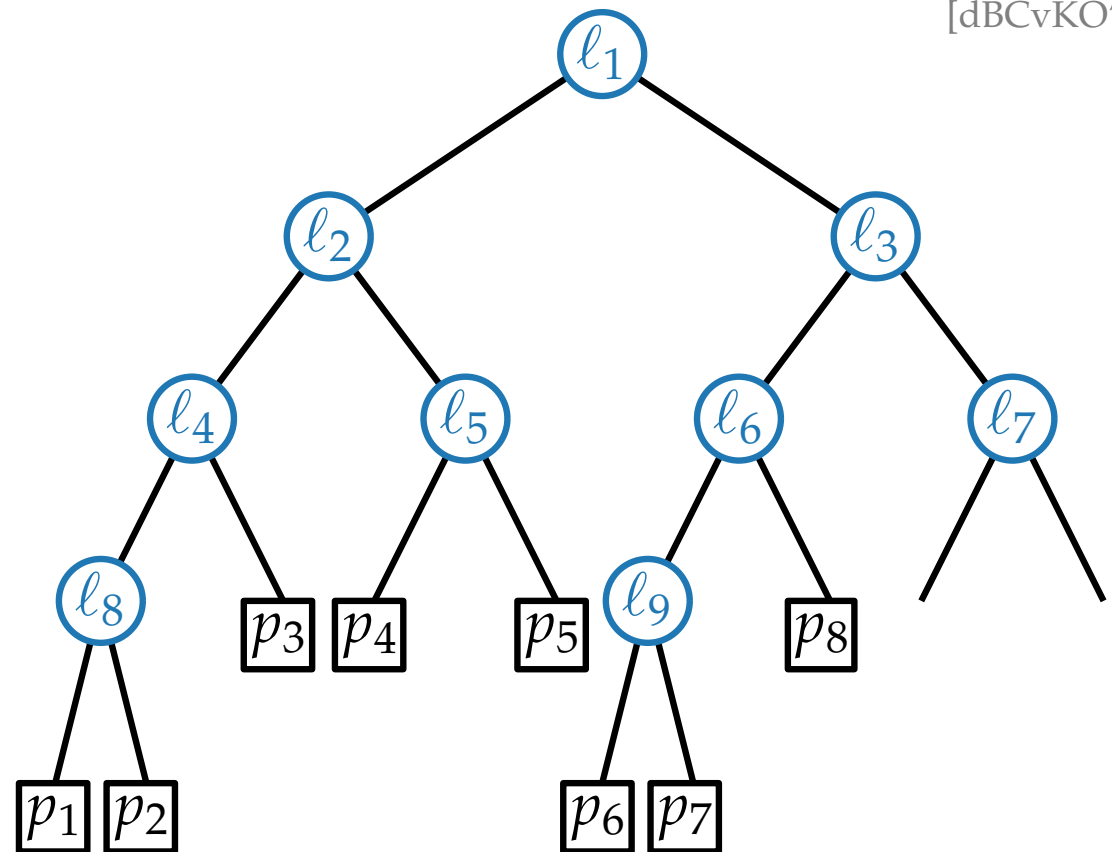
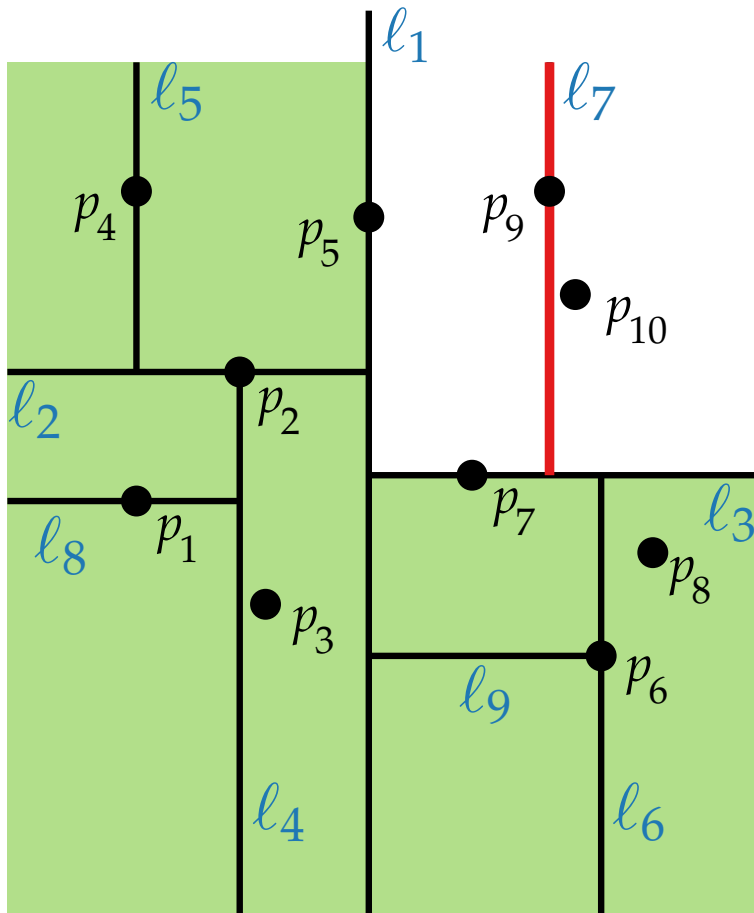
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[dBCvKO'08]

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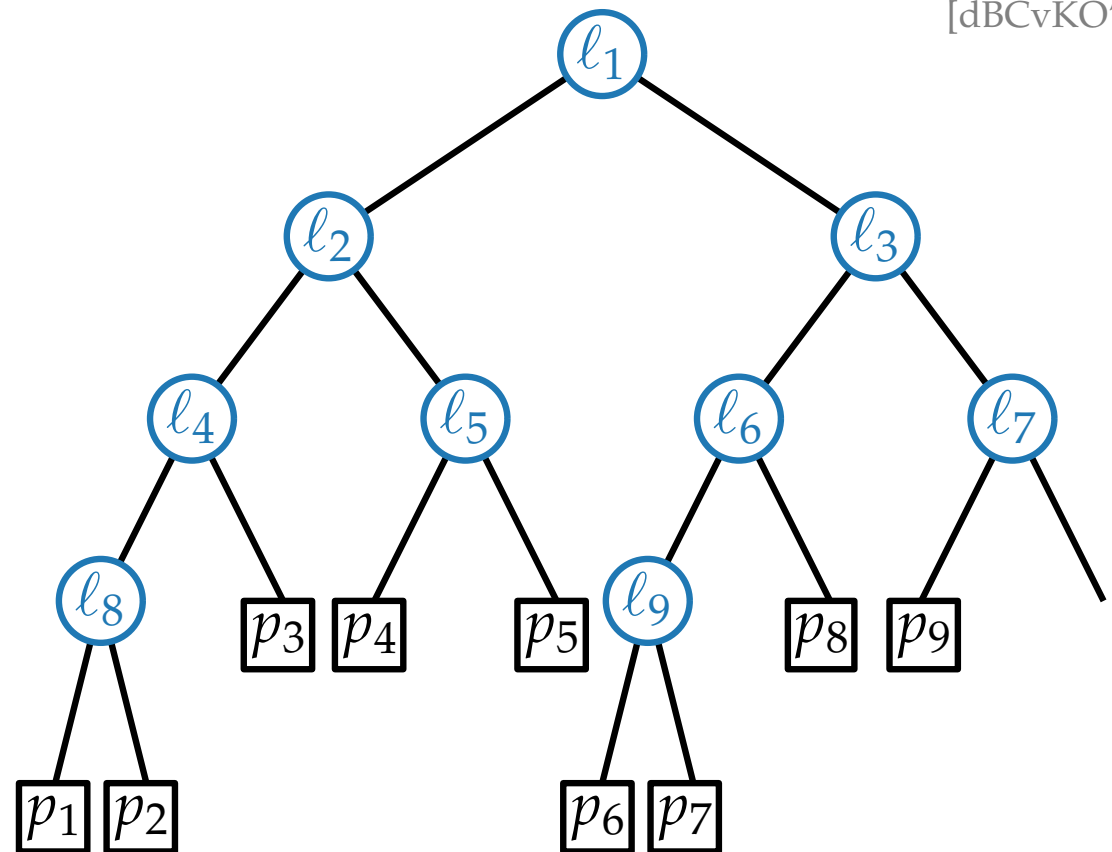
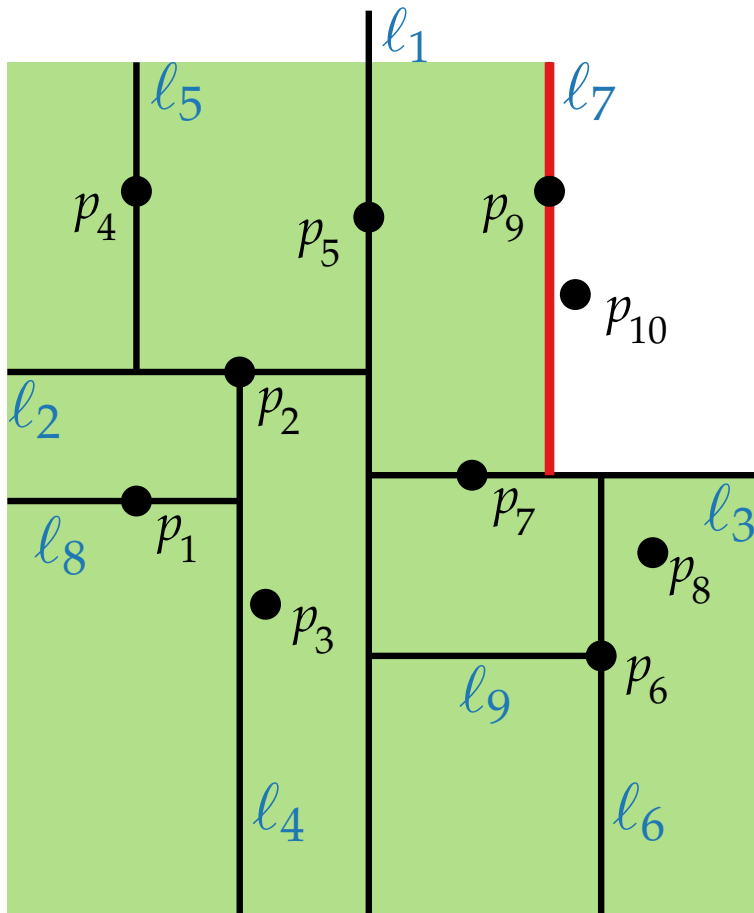


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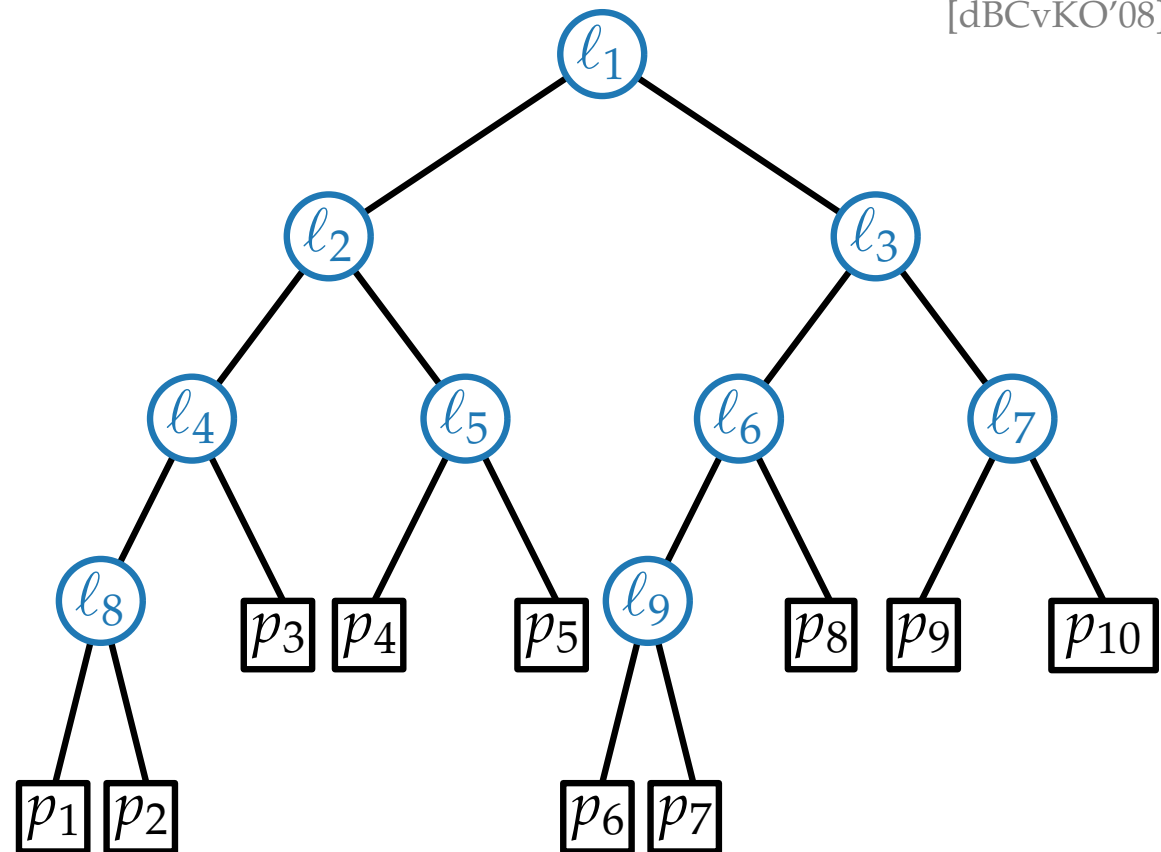
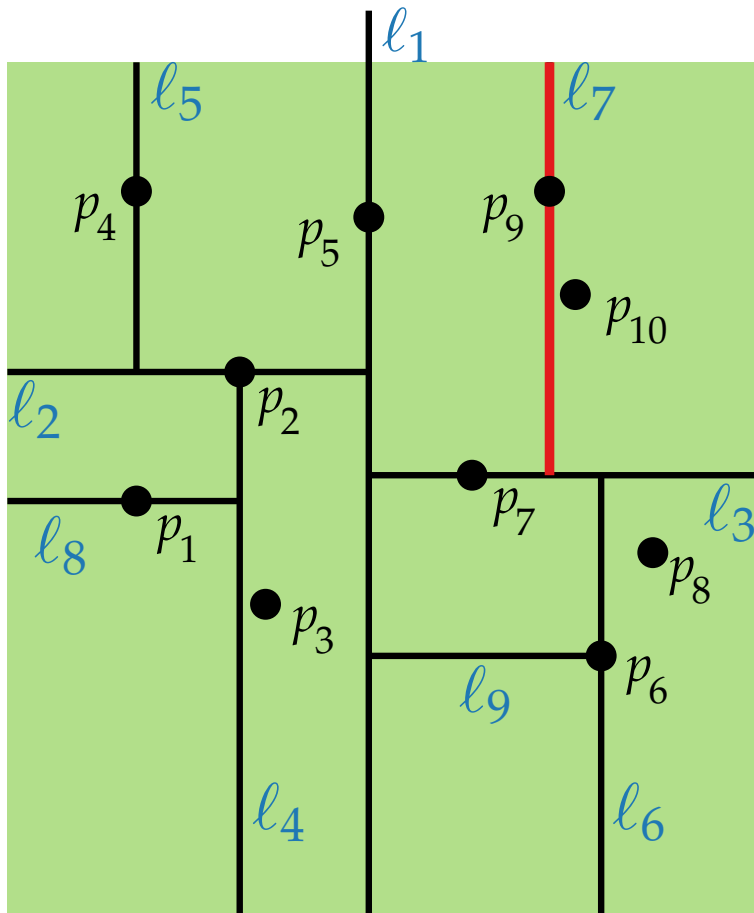
# Kd-Trees: Example



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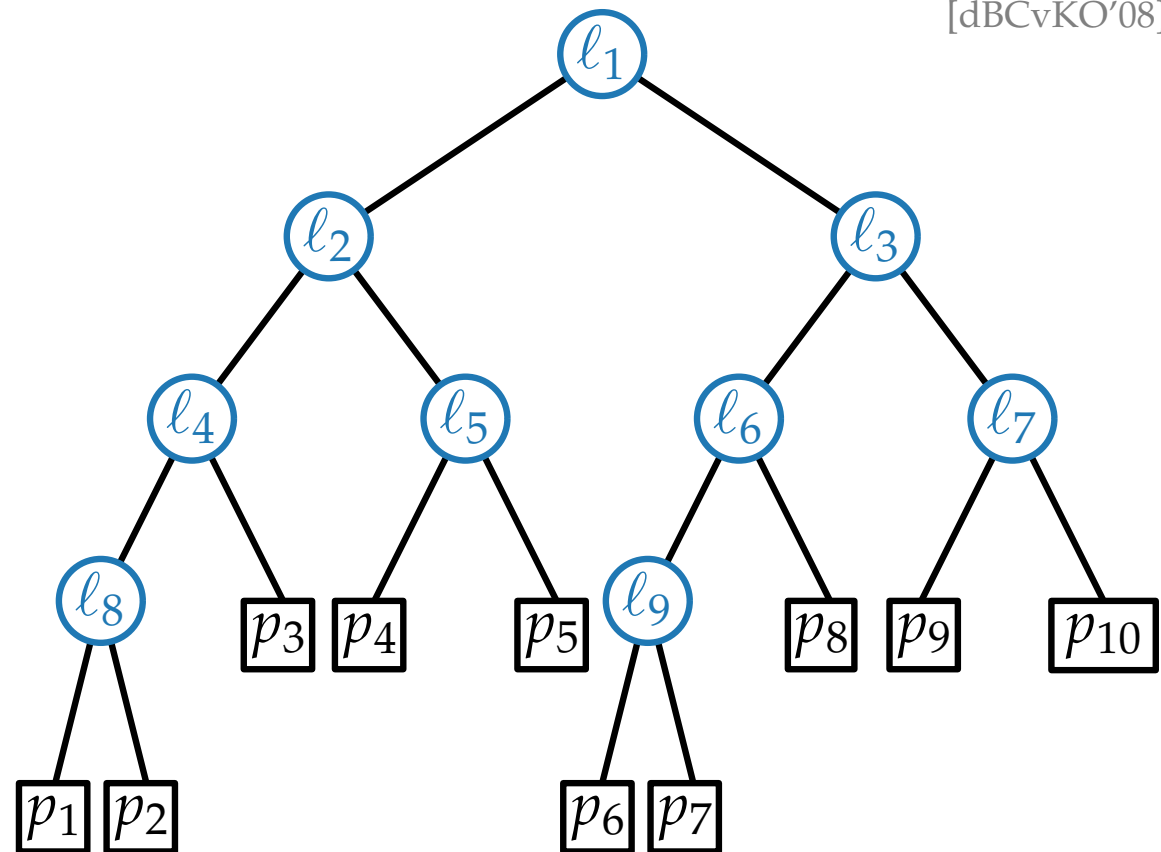
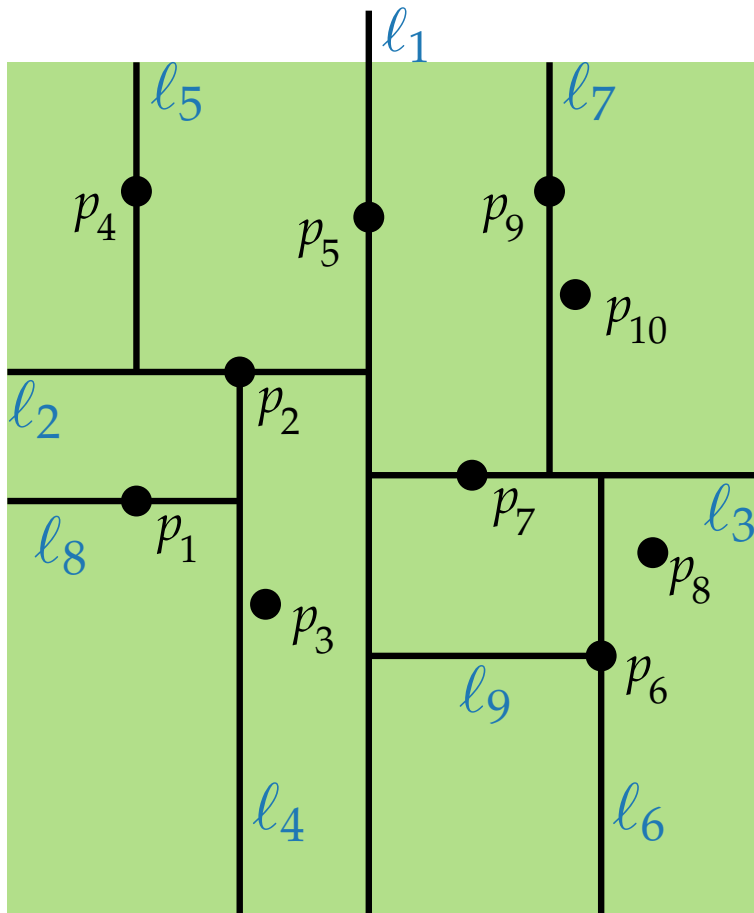
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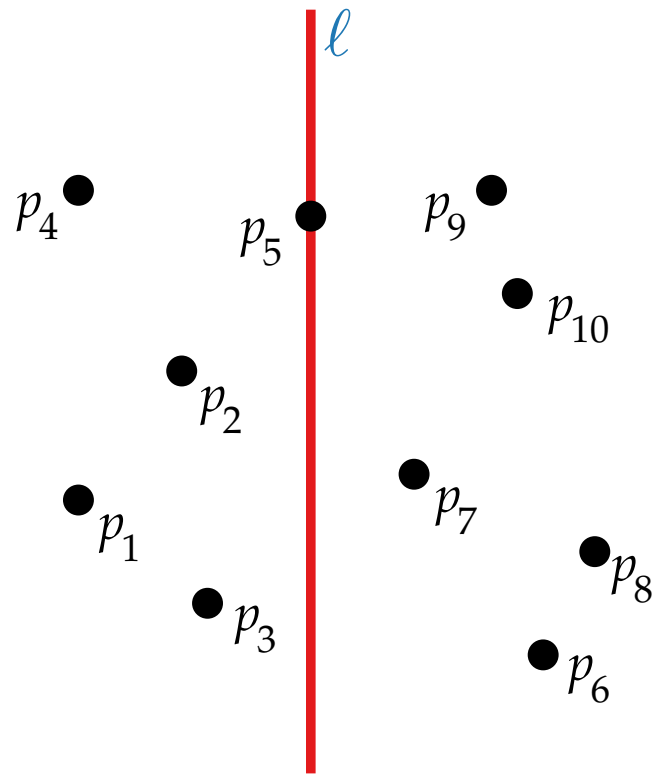
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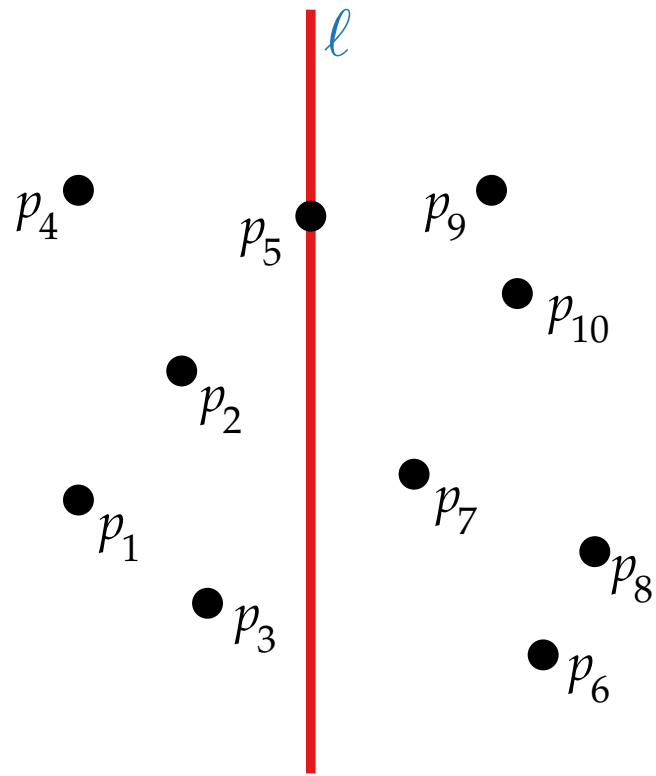
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# Kd-Trees: Construction

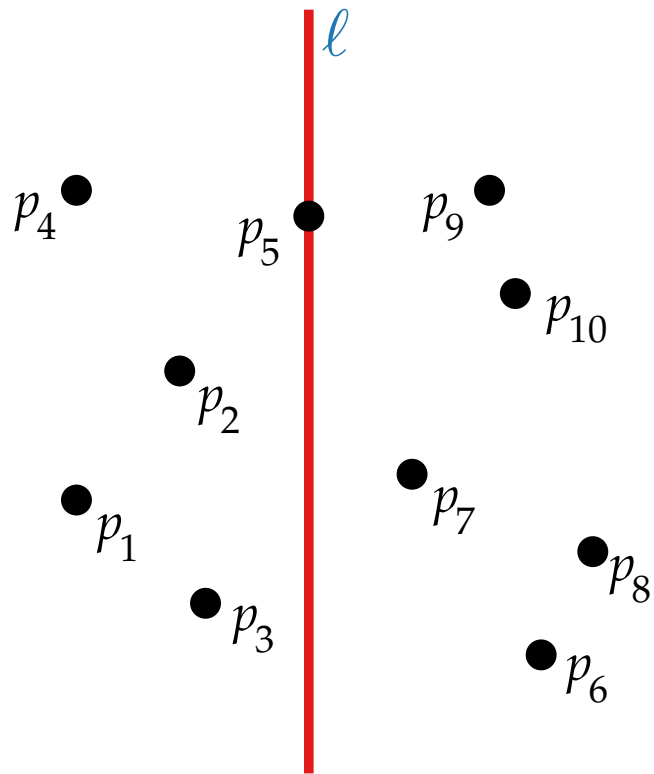


# Kd-Trees: Construction



Pseudo-code:

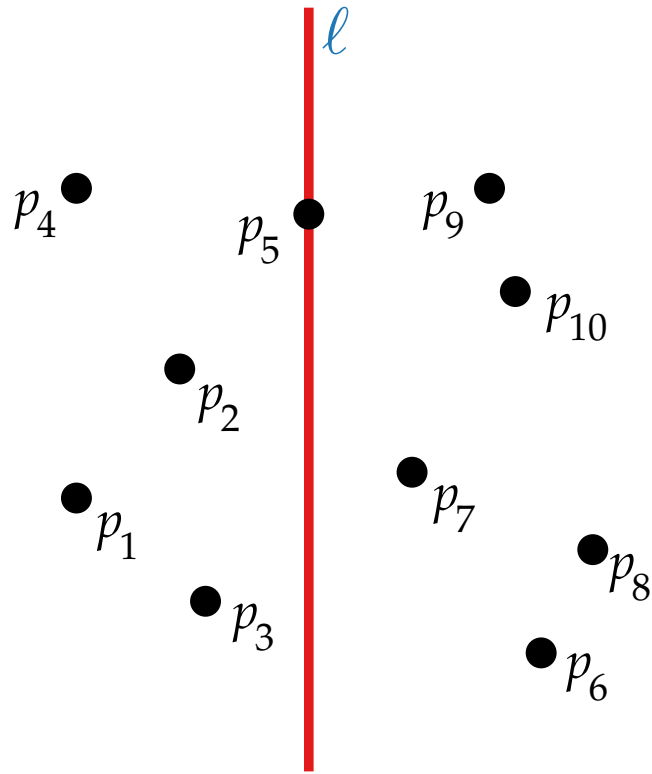
# Kd-Trees: Construction



## Pseudo-code:

```
BuildKdTree(points  $P$ , int  $depth$ )
```

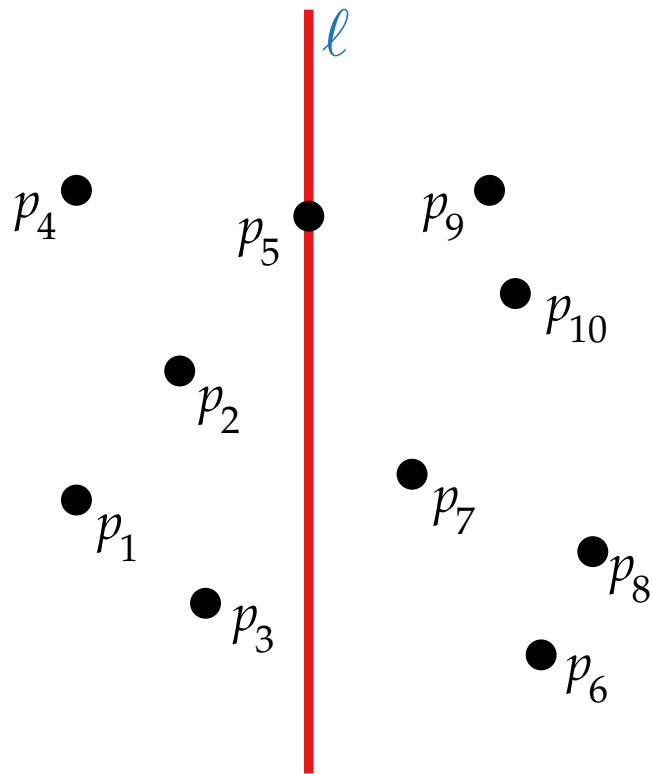
# Kd-Trees: Construction



## Pseudo-code:

```
BuildKdTree(points  $P$ , int  $depth$ )  
  if  $|P| = 1$  then  
    return (leaf storing the pt in  $P$ )  
  else
```

# Kd-Trees: Construction



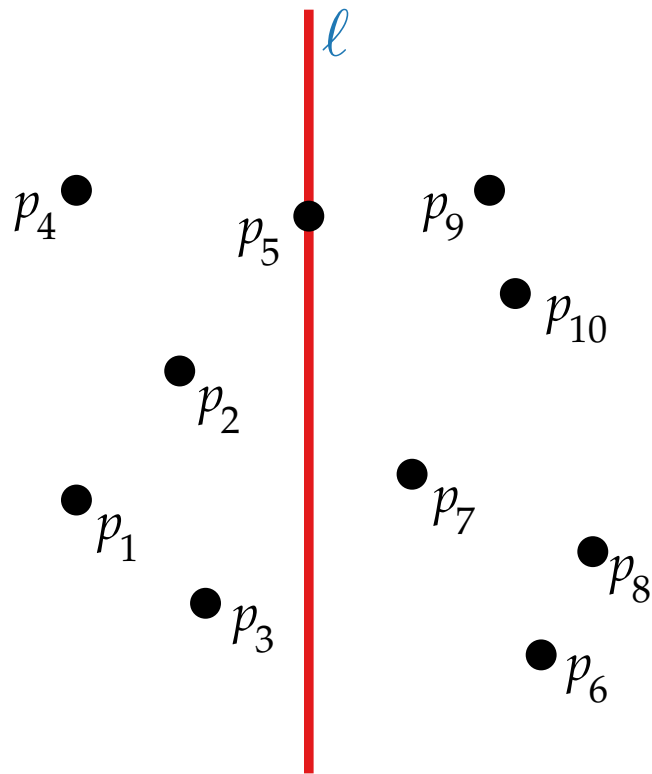
## Pseudo-code:

```

BuildKdTree(points  $P$ , int  $depth$ )
  if  $|P| = 1$  then
    | return (leaf storing the pt in  $P$ )
  else
    if  $depth$  is even then
      |
    else
      |
  
```



# Kd-Trees: Construction

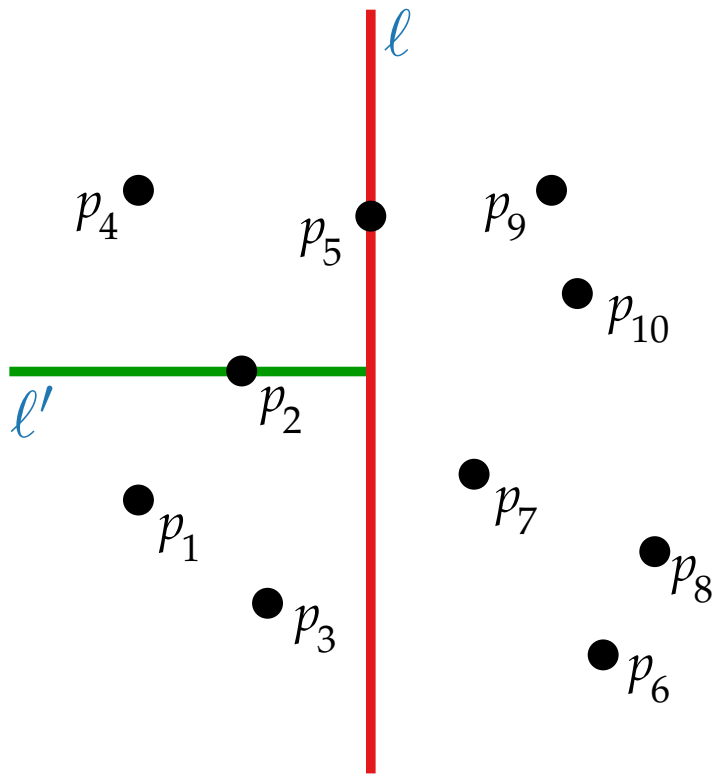


## Pseudo-code:

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      |    $\ell: x = x_{\text{median}(P)}$  into
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      |    $P_2 = P \setminus P_1$ 
    else
      |
  
```

# Kd-Trees: Construction

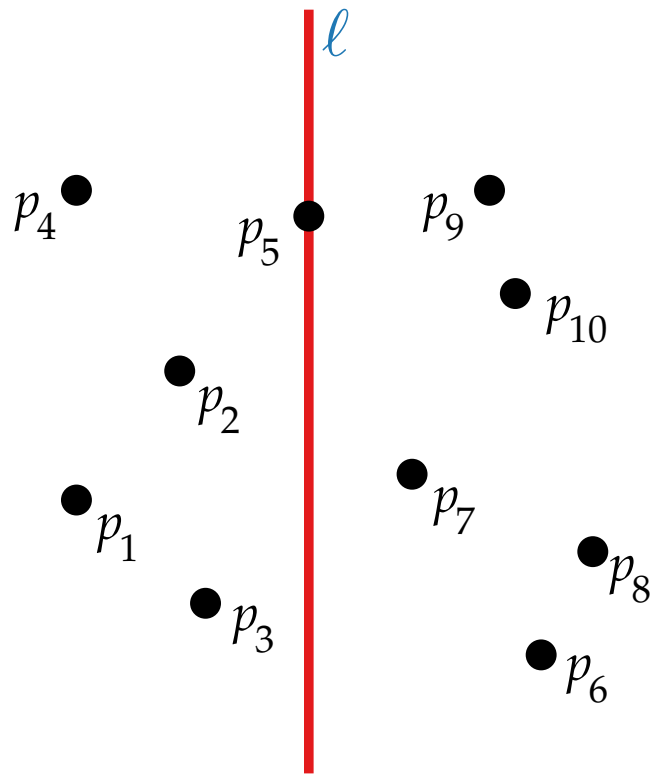


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# Kd-Trees: Construction

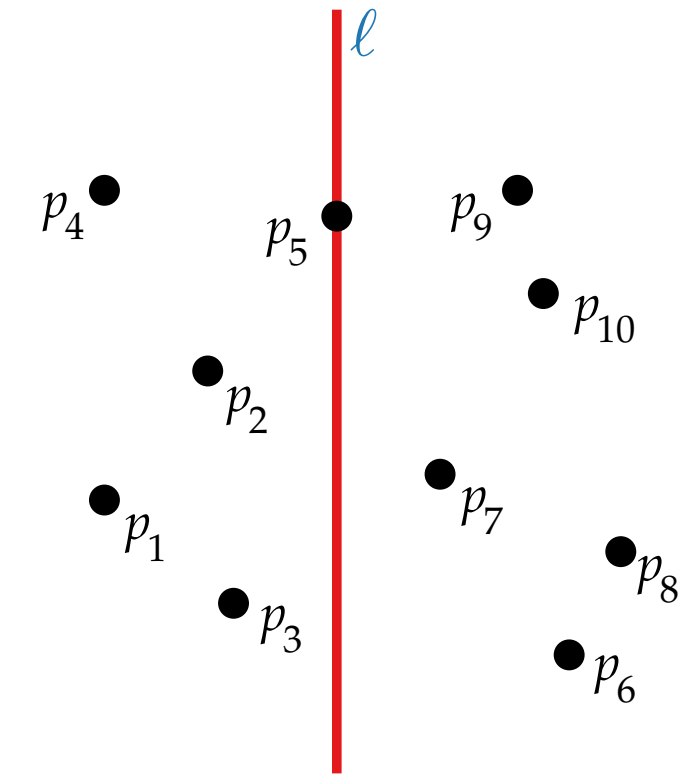


## Pseudo-code:

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    else
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     $v_{\text{left}} \leftarrow \text{BuildKdTree}(P_1, depth + 1)$ 
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```

# Kd-Trees: Construction



## Pseudo-code:

BuildKdTree(points  $P$ , int  $depth$ )

if  $|P| = 1$  then

    return (leaf storing the pt in  $P$ )

else

    if  $depth$  is even then

        split  $P$  with the vertical line

$l: x = x_{\text{median}(P)}$  into

$P_1$  (pts left of or on  $l$ ) and

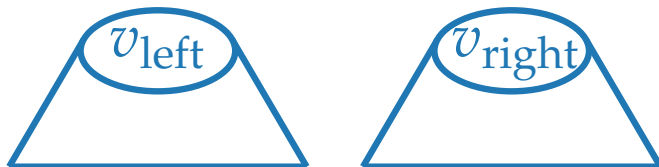
$P_2 = P \setminus P_1$

    else

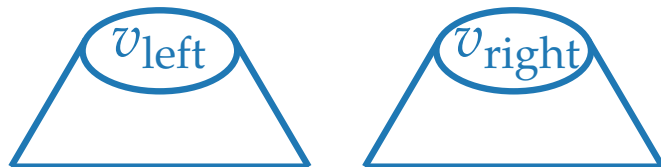
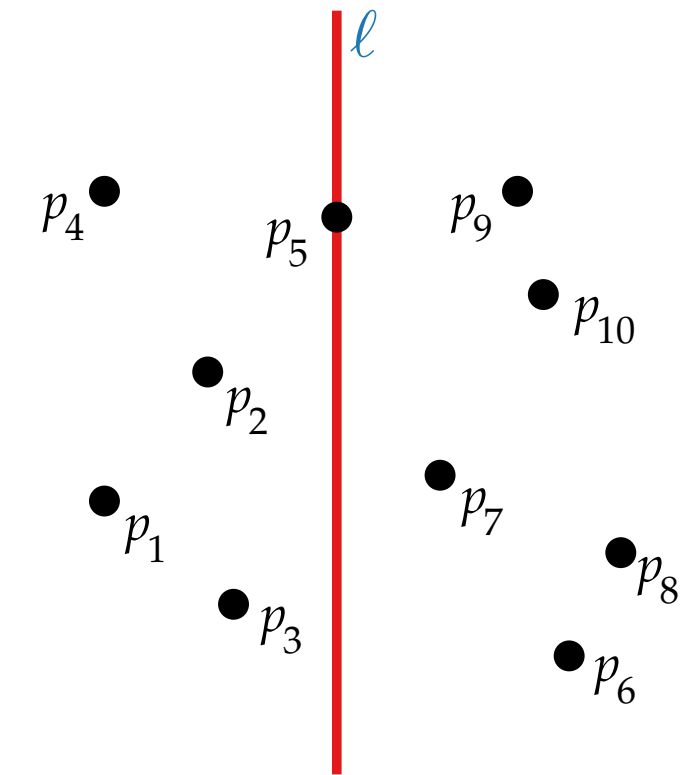
        split  $P$  horizontally...

$v_{\text{left}} \leftarrow \text{BuildKdTree}(P_1, depth + 1)$

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# Kd-Trees: Construction

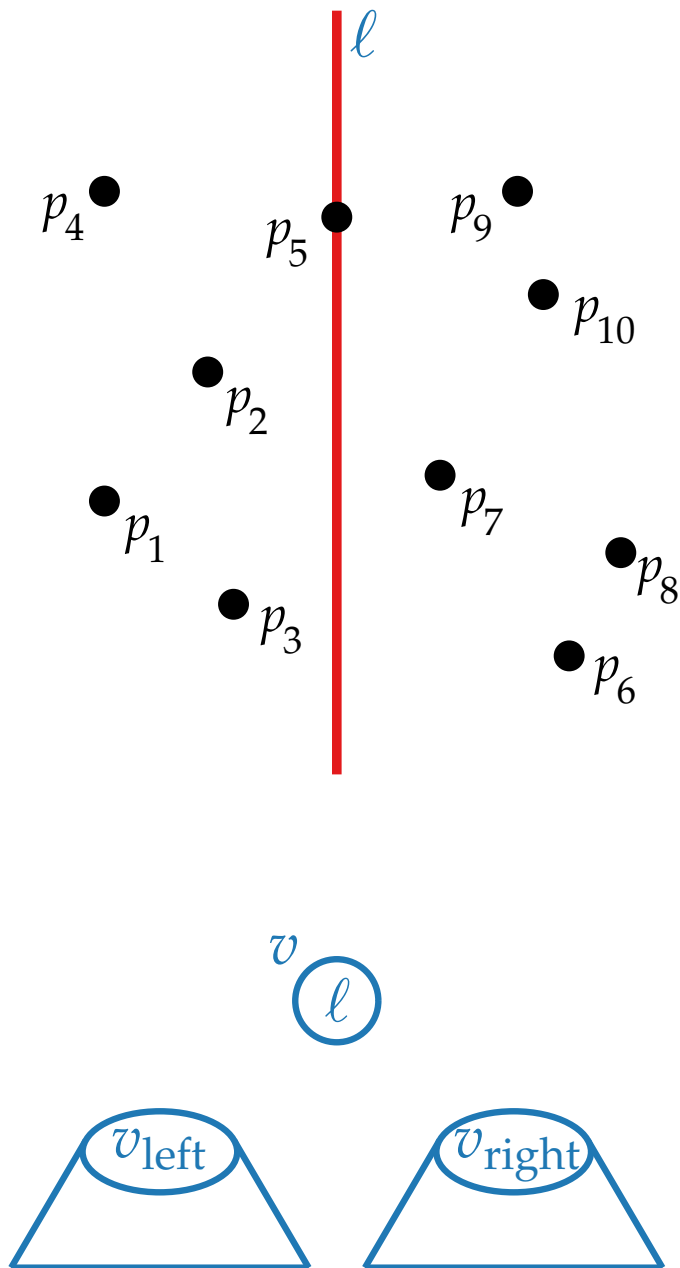


## Pseudo-code:

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```

# Kd-Trees: Construction



## Pseudo-code:

**BuildKdTree**(points  $P$ , int  $depth$ )

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**else**

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        split  $P$  with the vertical line

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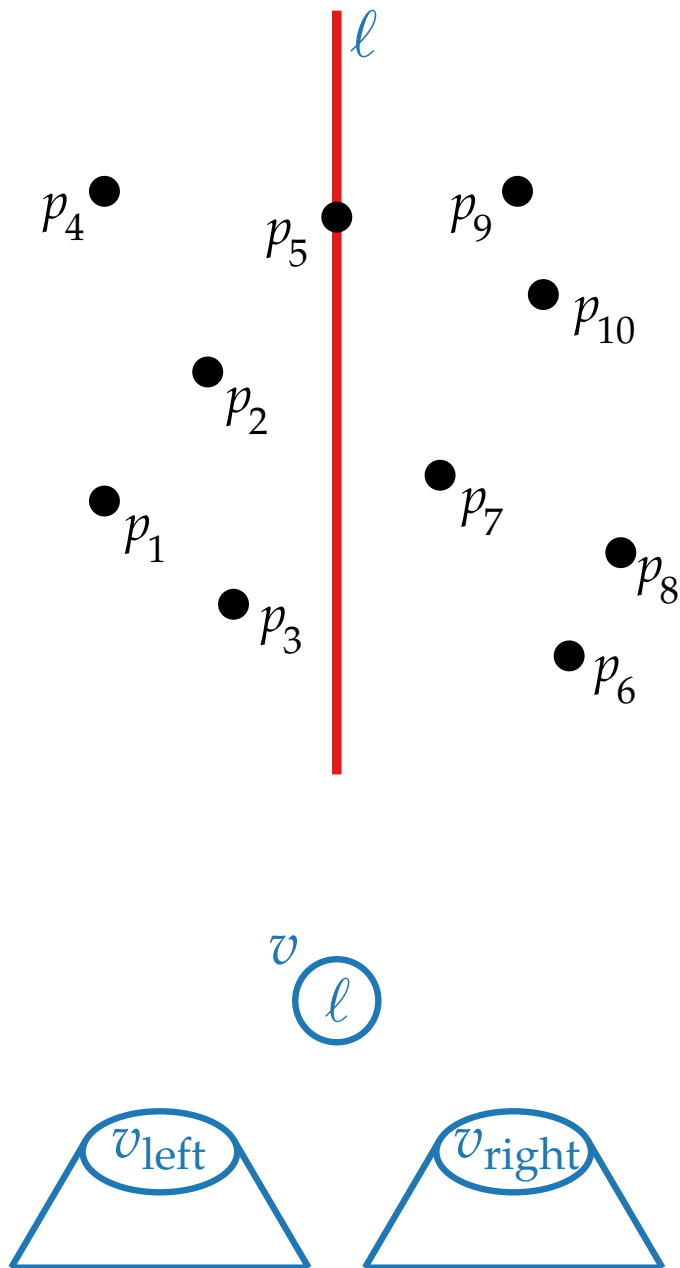
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# Kd-Trees: Construction

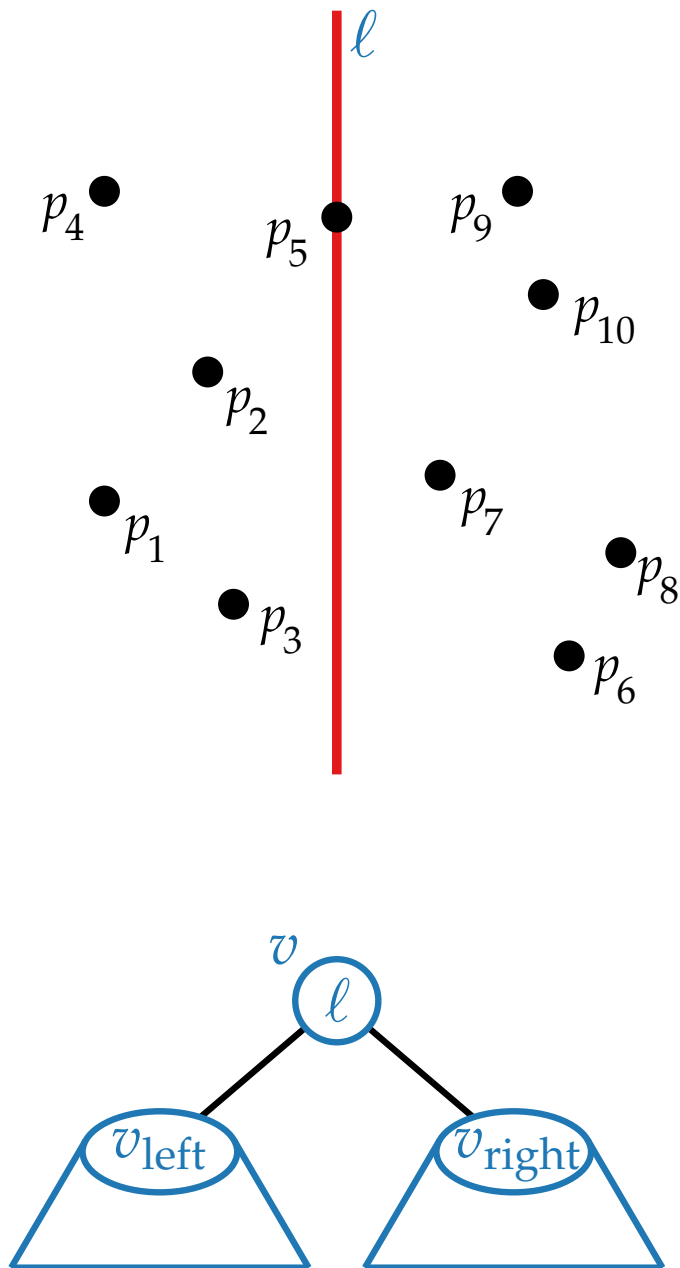


## Pseudo-code:

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    make  $v_{\text{left}}$  and  $v_{\text{right}}$  the children of  $v$ 
  
```

# Kd-Trees: Construction



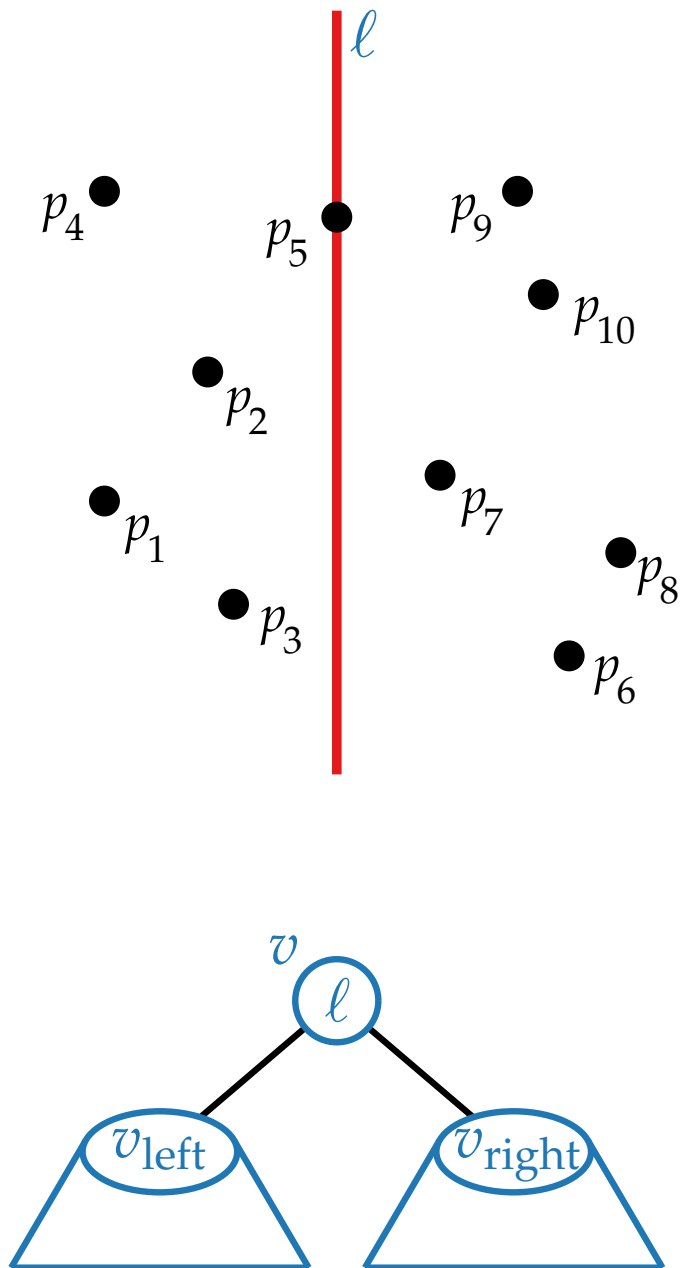
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    make  $v_{\text{left}}$  and  $v_{\text{right}}$  the children of  $v$

    return ( $v$ )

# Kd-Trees: Analysis

**Construction time?**

# Kd-Trees: Analysis

## Construction time?

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ O(n) + 2T(\lceil n/2 \rceil) & \text{else.} \end{cases}$$

# Kd-Trees: Analysis

## Construction time?

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ O(n) + 2T(\lceil n/2 \rceil) & \text{else.} \end{cases} = O(n \log n)$$

# Kd-Trees: Analysis

## Construction time?

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ O(n) + 2T(\lceil n/2 \rceil) & \text{else.} \end{cases} \quad \begin{matrix} \text{see Mergesort!} \\ \swarrow \\ \blacktriangledown \\ \end{matrix} \quad \equiv O(n \log n)$$

# Kd-Trees: Analysis

## Construction time?

$$T(n) = \left. \begin{cases} O(1) & \text{if } n = 1 \\ O(n) + 2T(\lceil n/2 \rceil) & \text{else.} \end{cases} \right\} \stackrel{\text{see Mergesort!}}{=} O(n \log n)$$

**Lemma:** A kd-tree for a set of  $n$  pts in the plane takes  $O(n \log n)$  time to construct and uses  $O(n)$  storage.

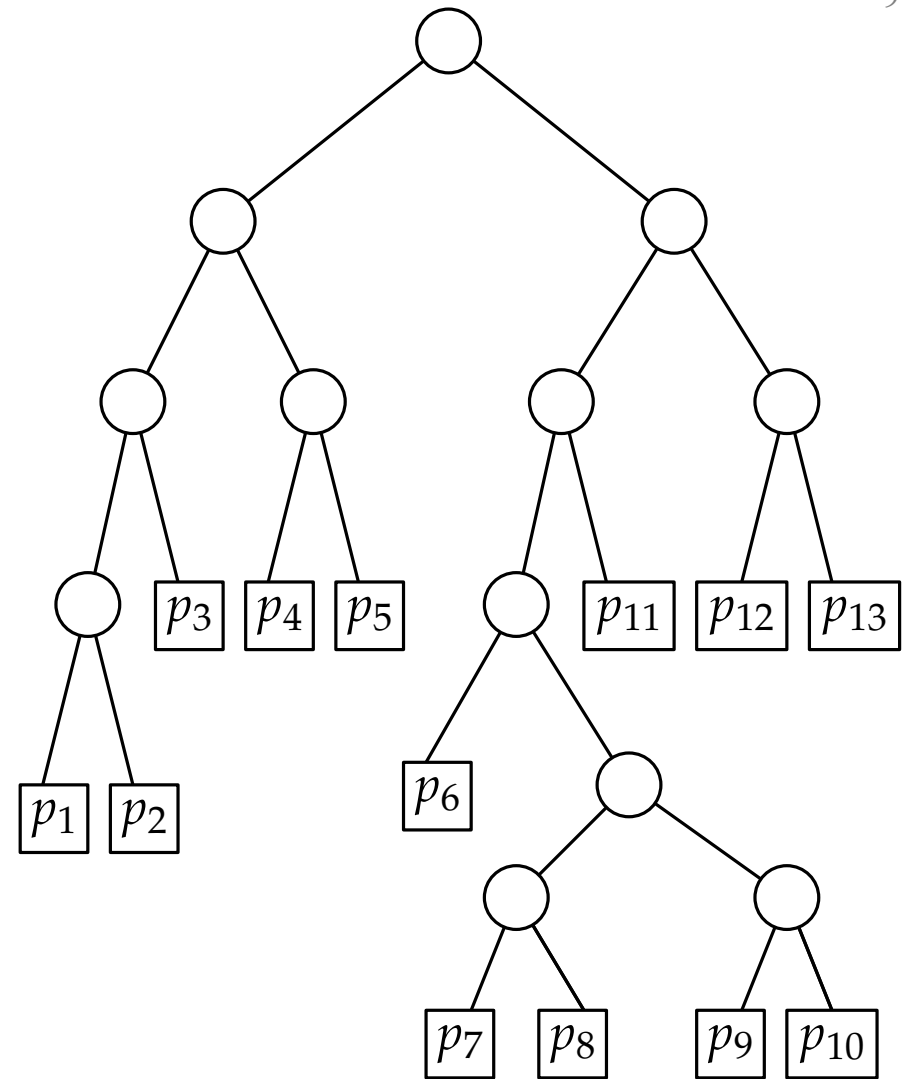
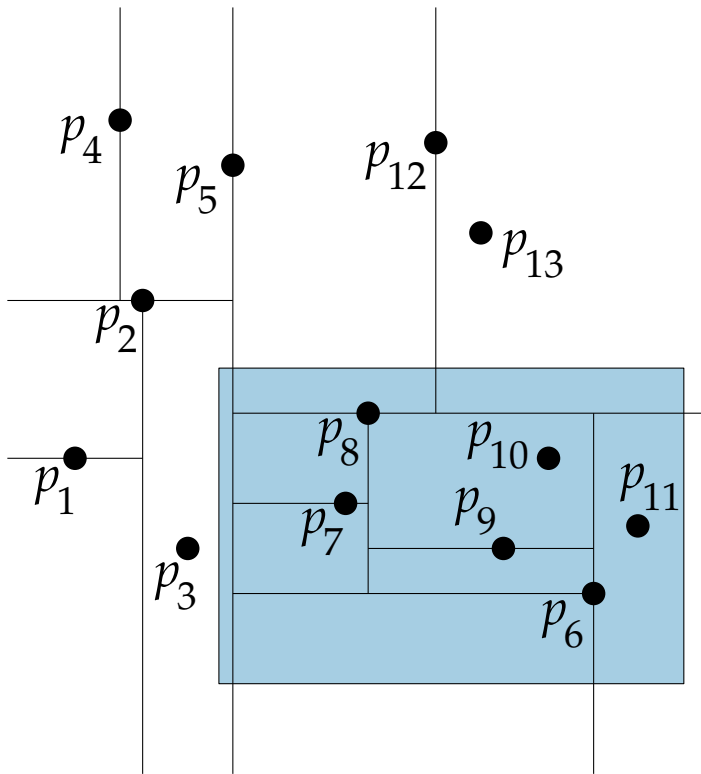
# Kd-Trees: Analysis

## Construction time?

$$T(n) = \begin{cases} O(1) & \text{if } n = 1 \\ O(n) + 2T(\lceil n/2 \rceil) & \text{else.} \end{cases} \stackrel{\text{see Mergesort!}}{=} O(n \log n)$$

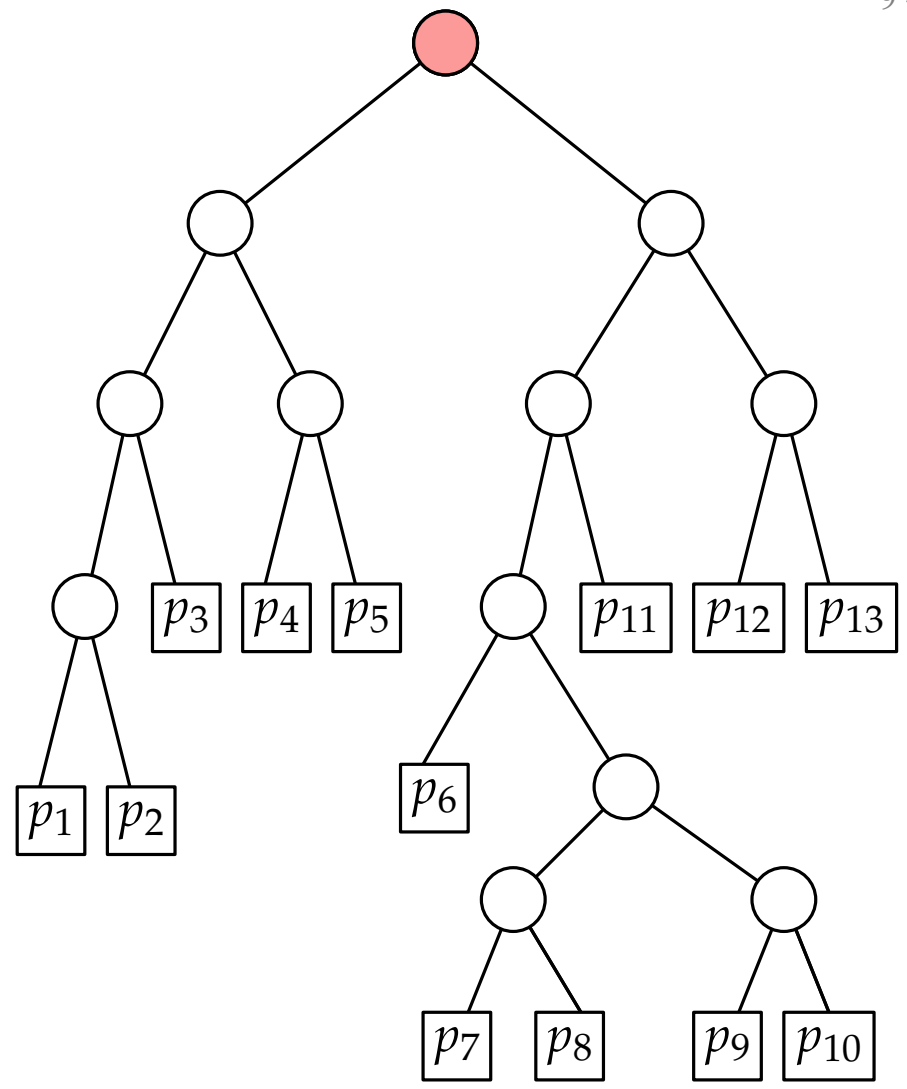
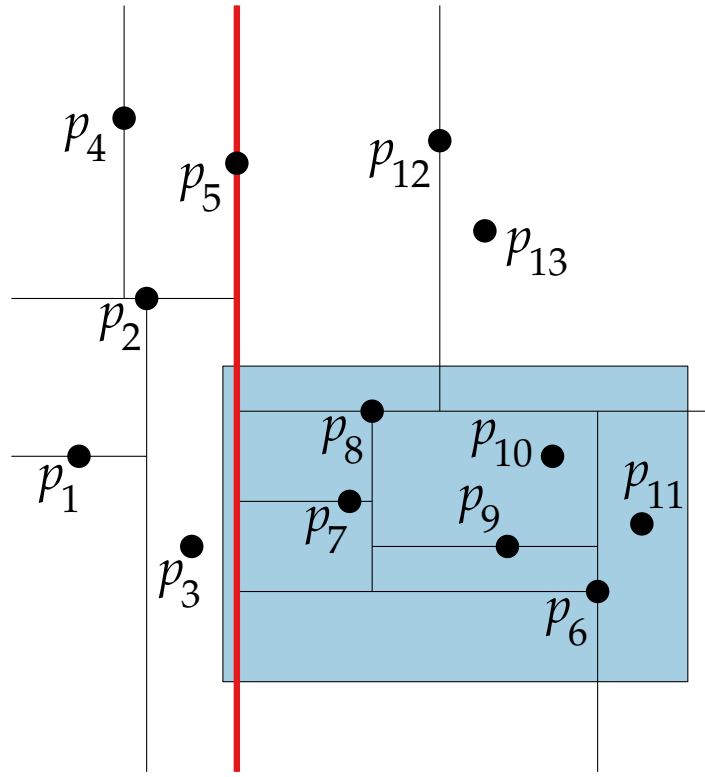
**Lemma:** A kd-tree for a set of  $n$  pts in the plane takes  $O(n \log n)$  time to construct and uses  $O(n)$  storage.

# Kd-Trees: Querying

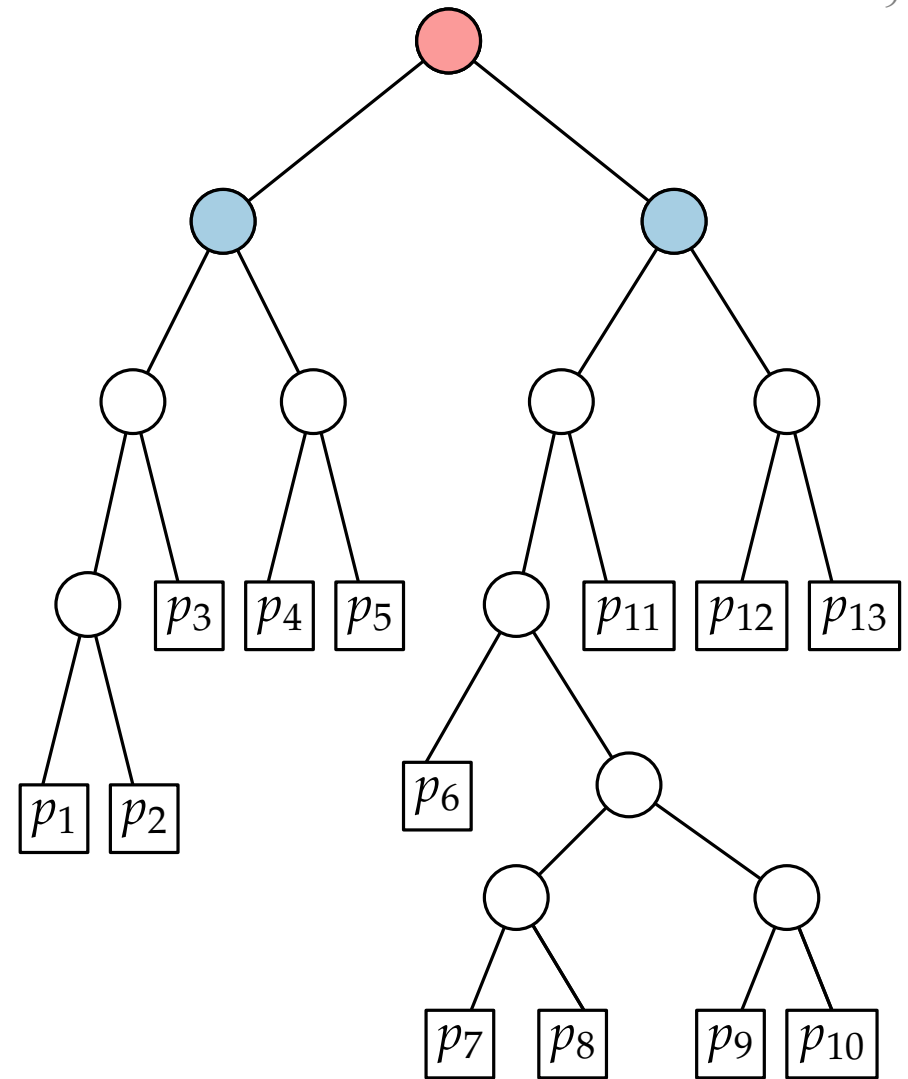
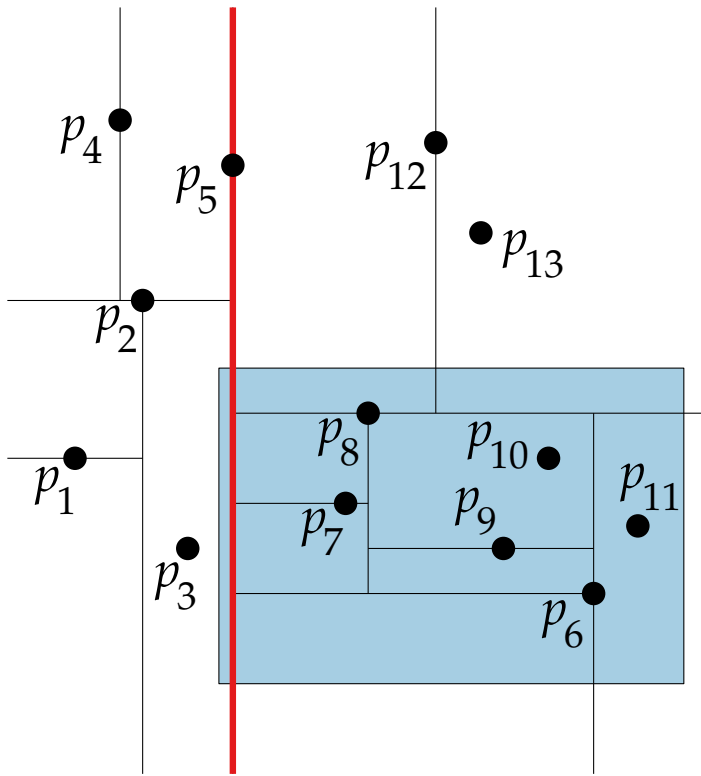




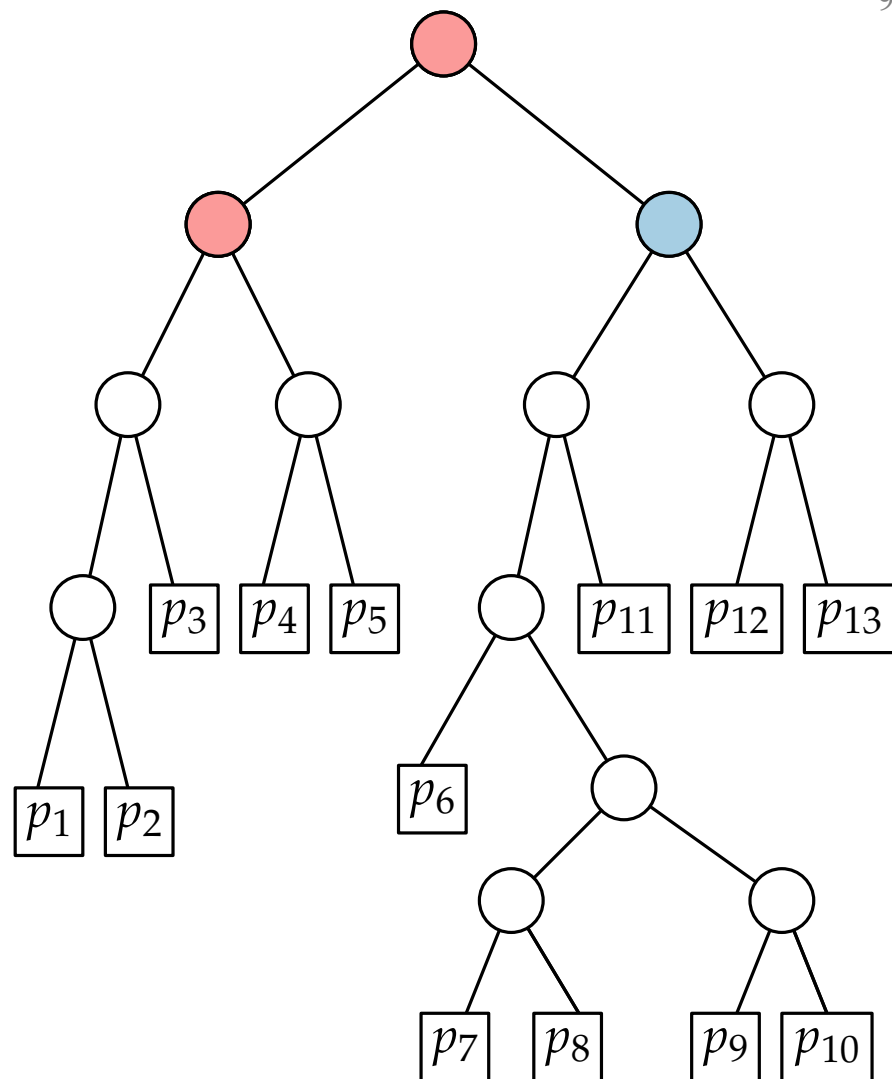
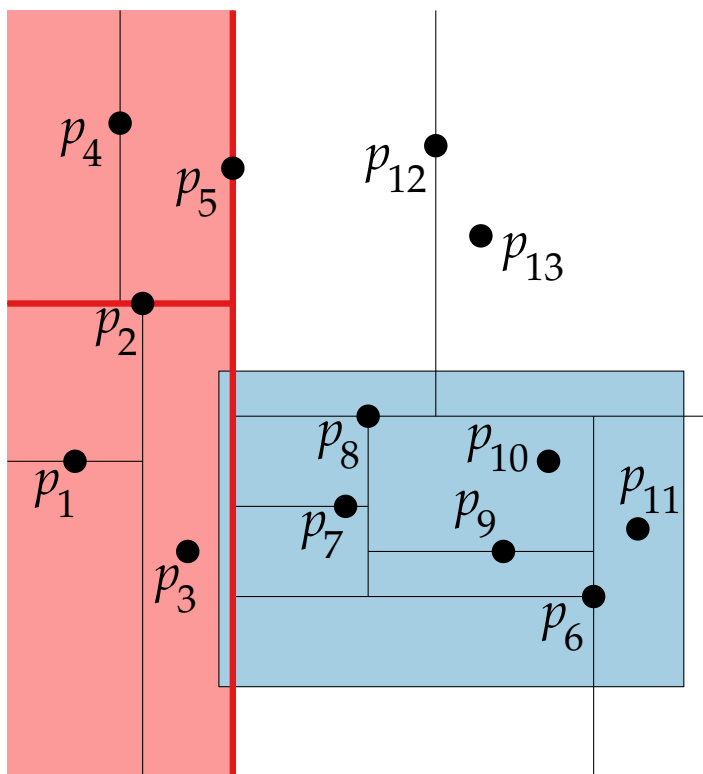
# Kd-Trees: Querying



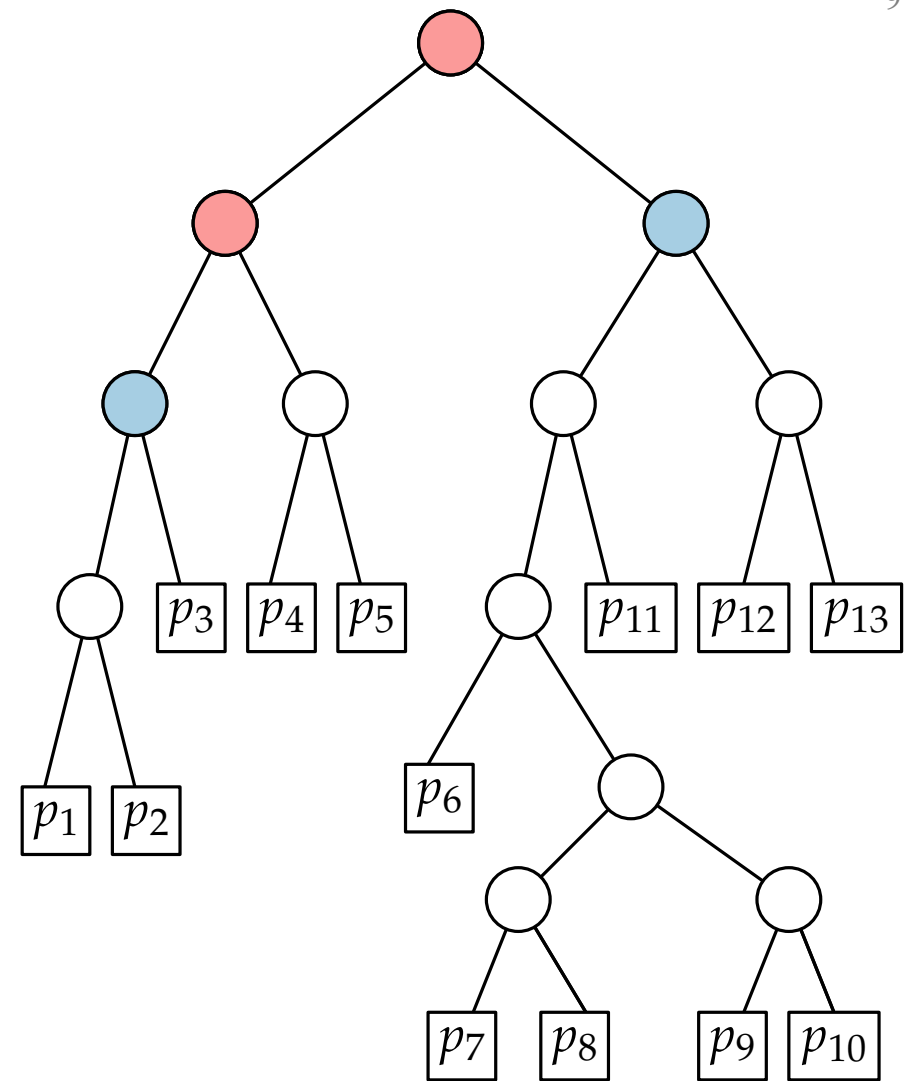
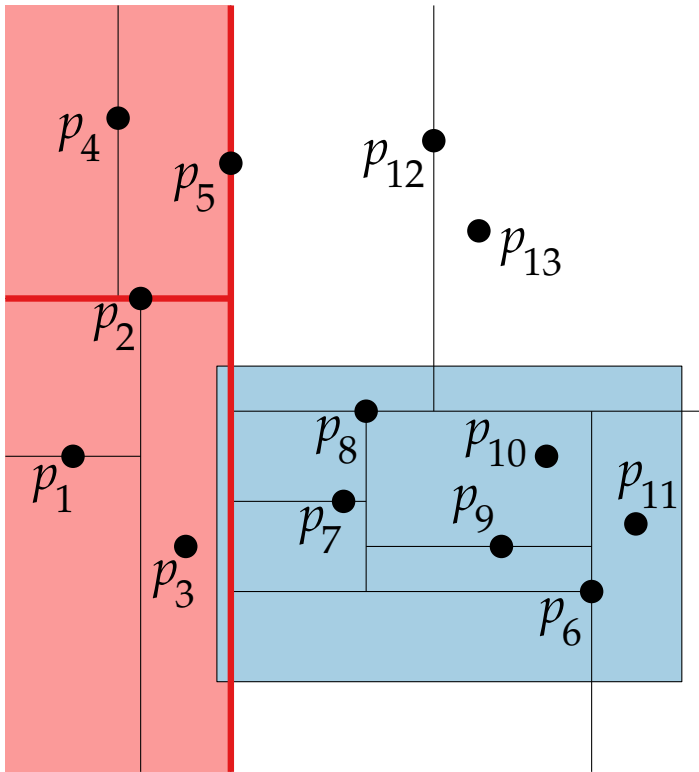
# Kd-Trees: Querying



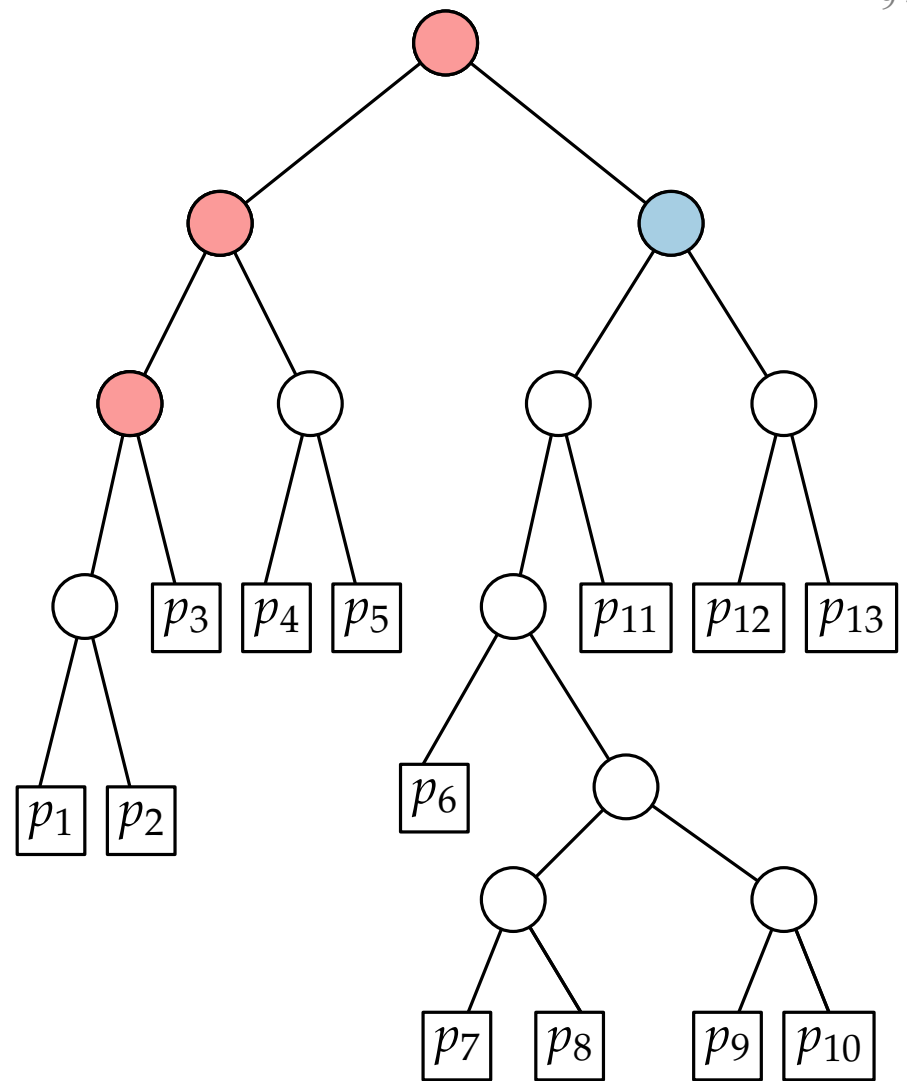
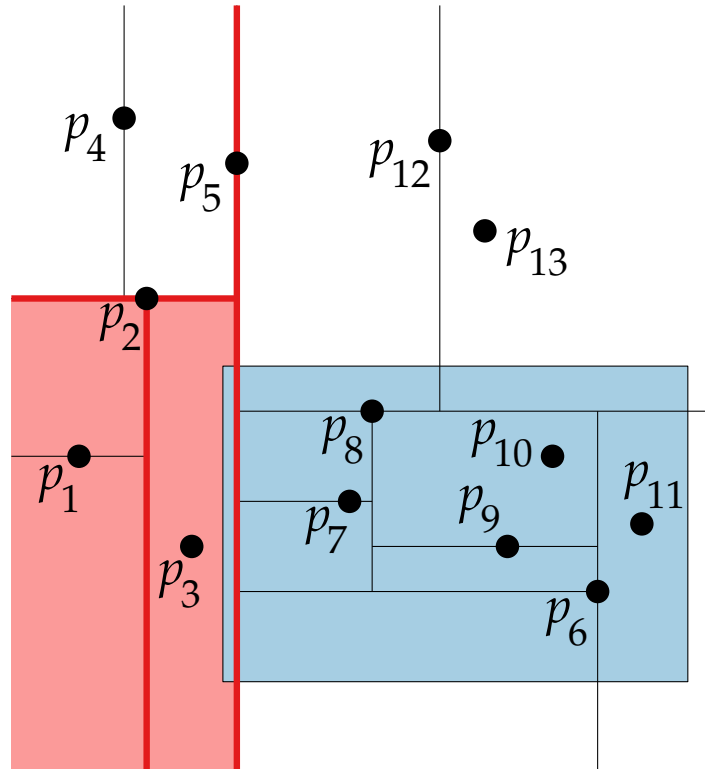
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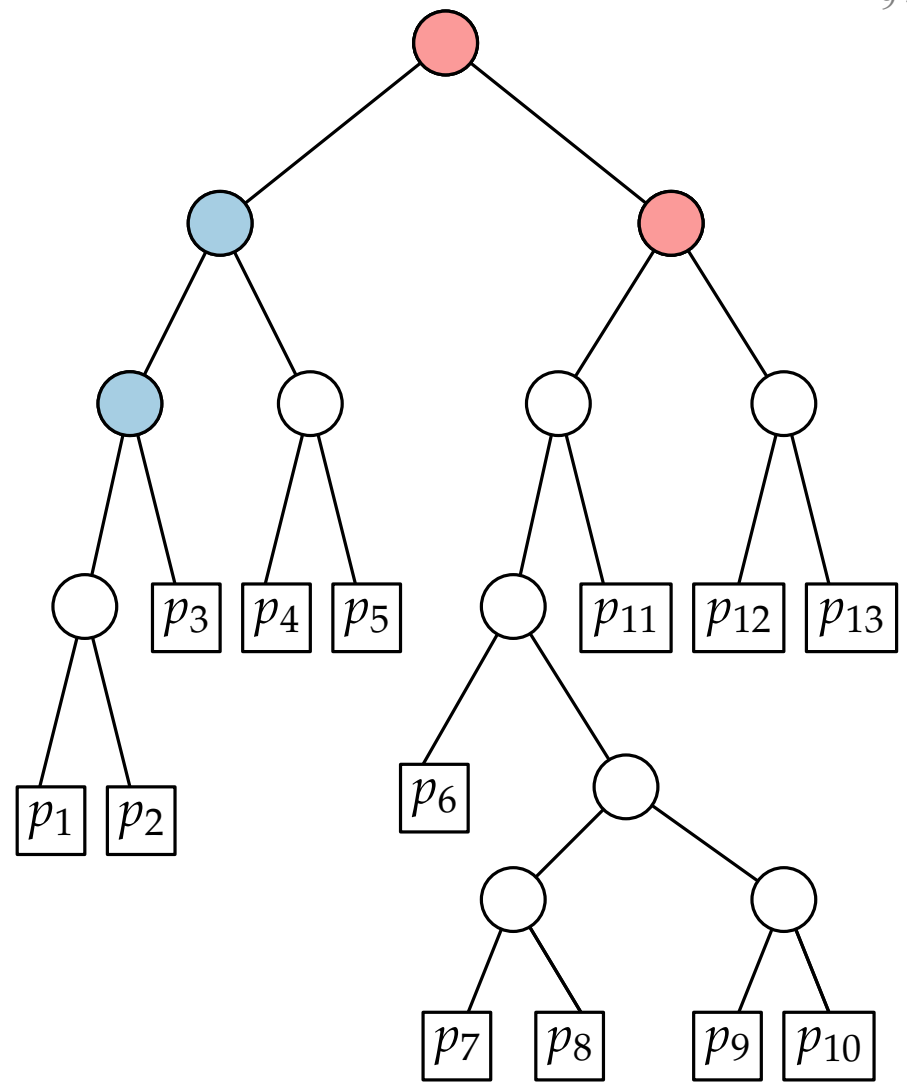
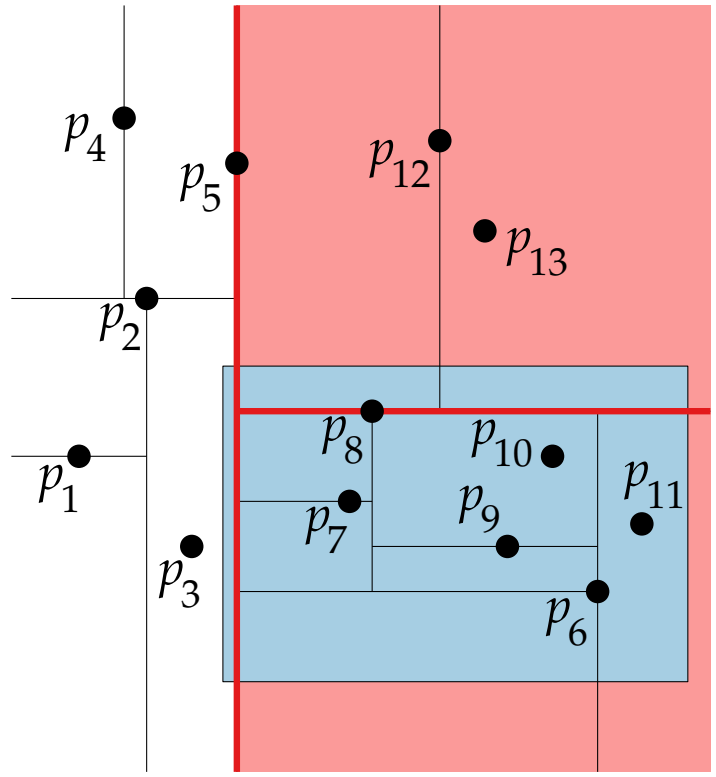
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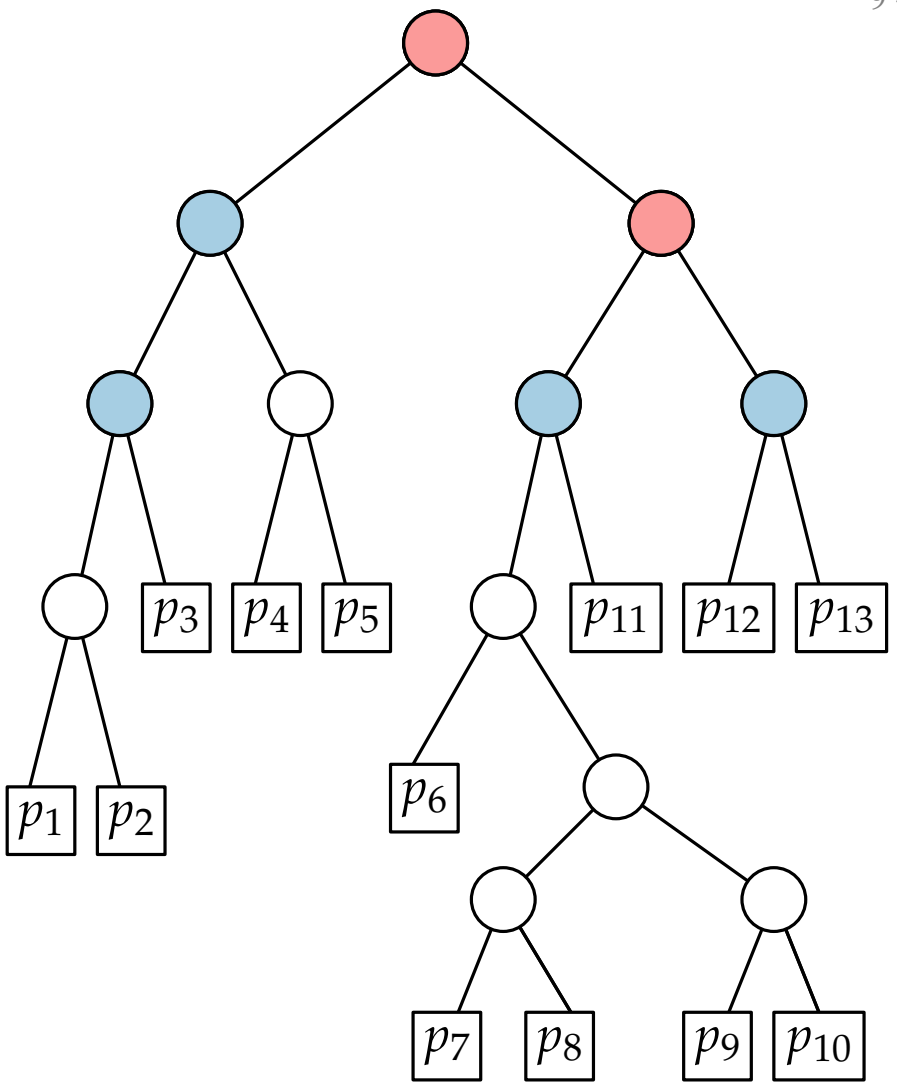
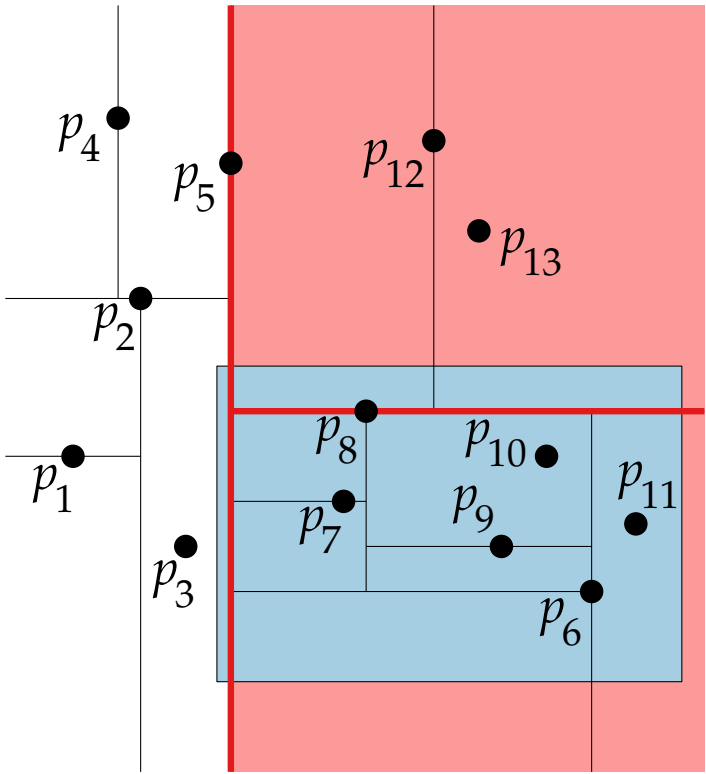
# Kd-Trees: Querying



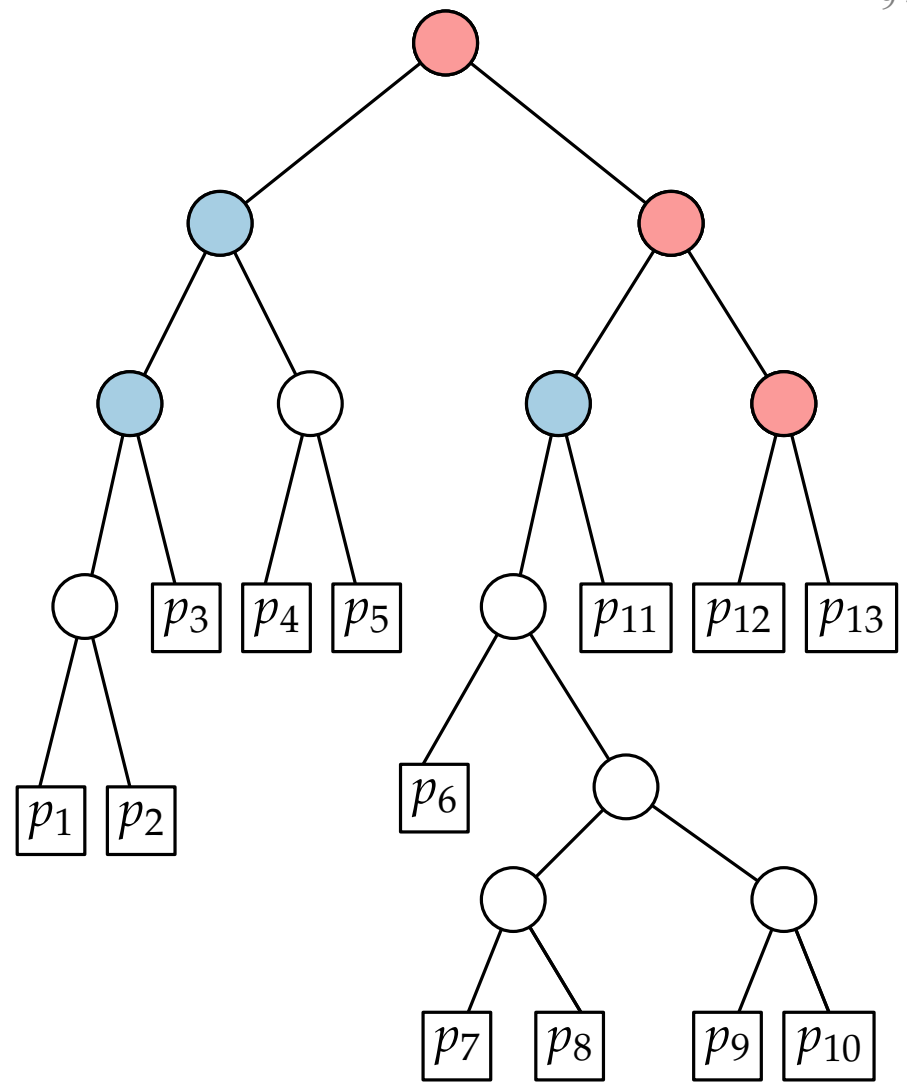
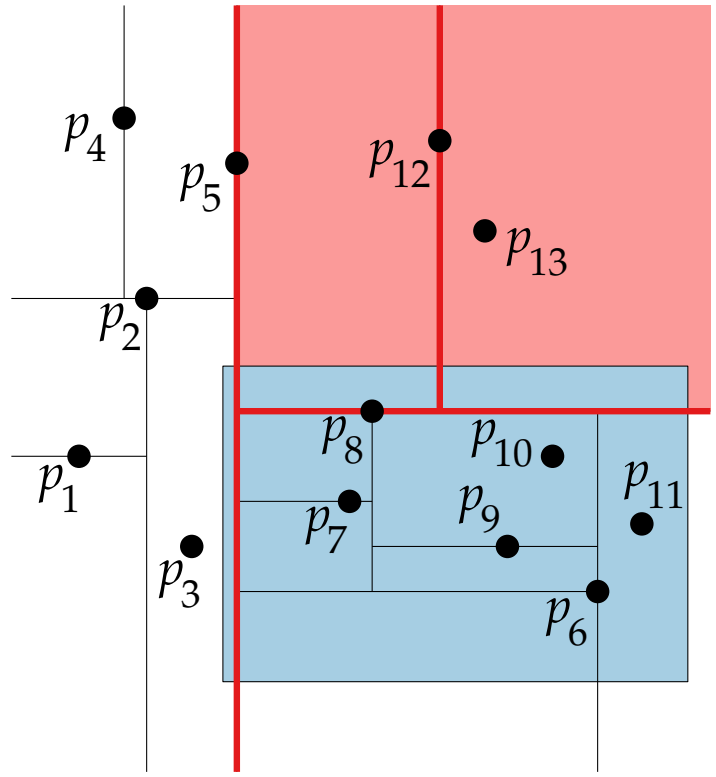
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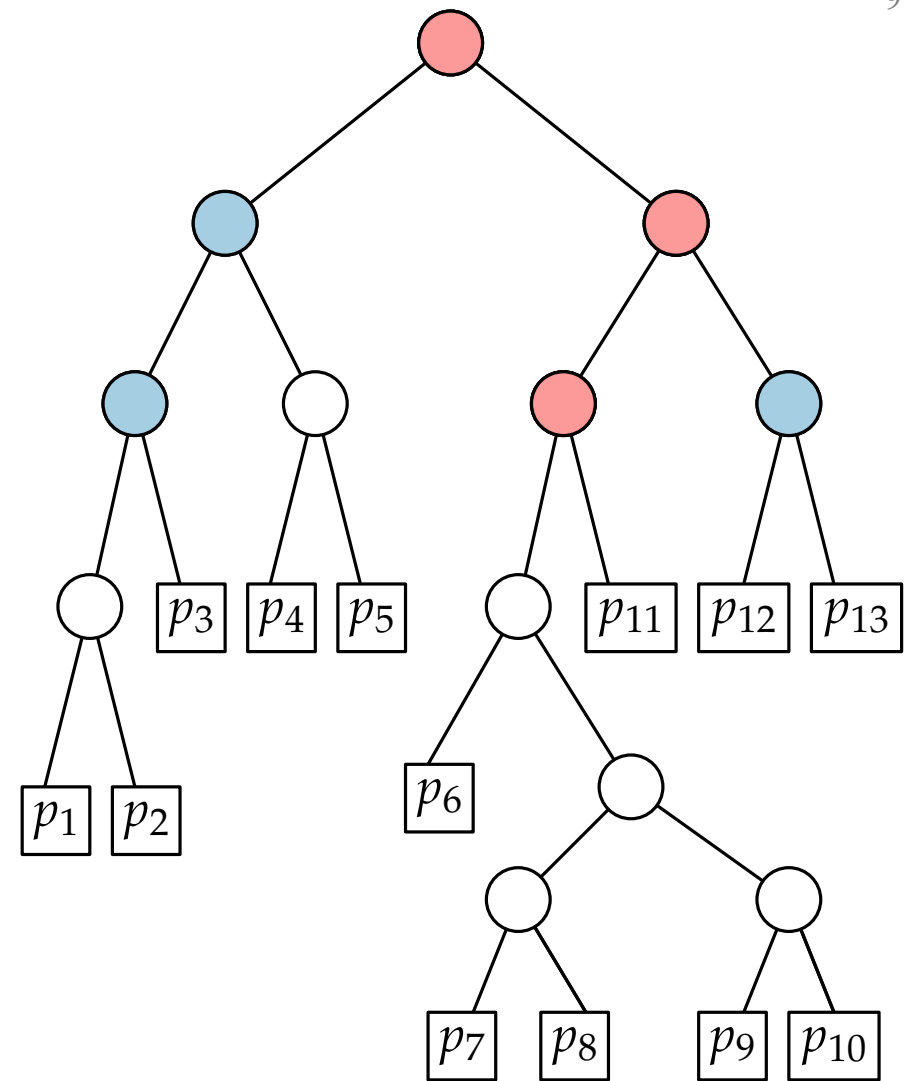
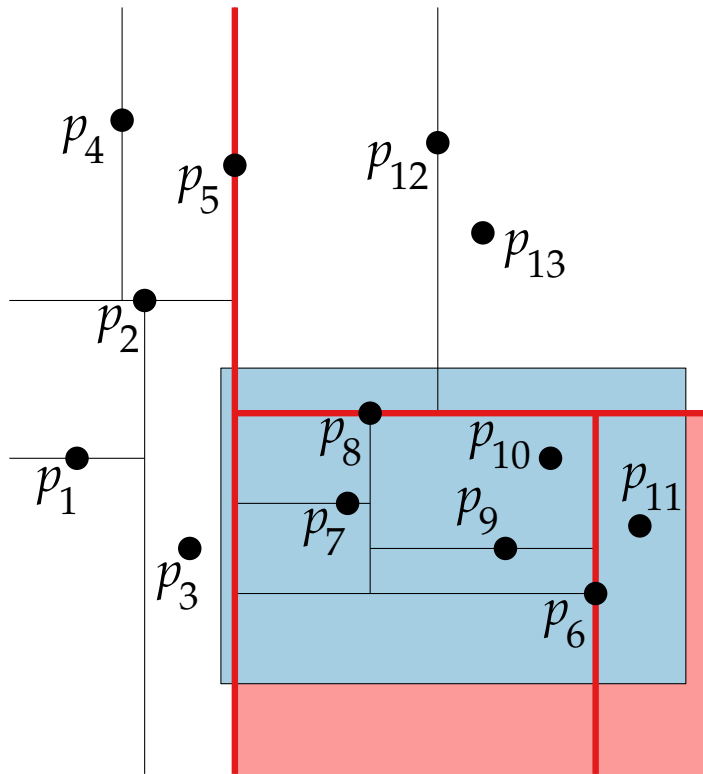


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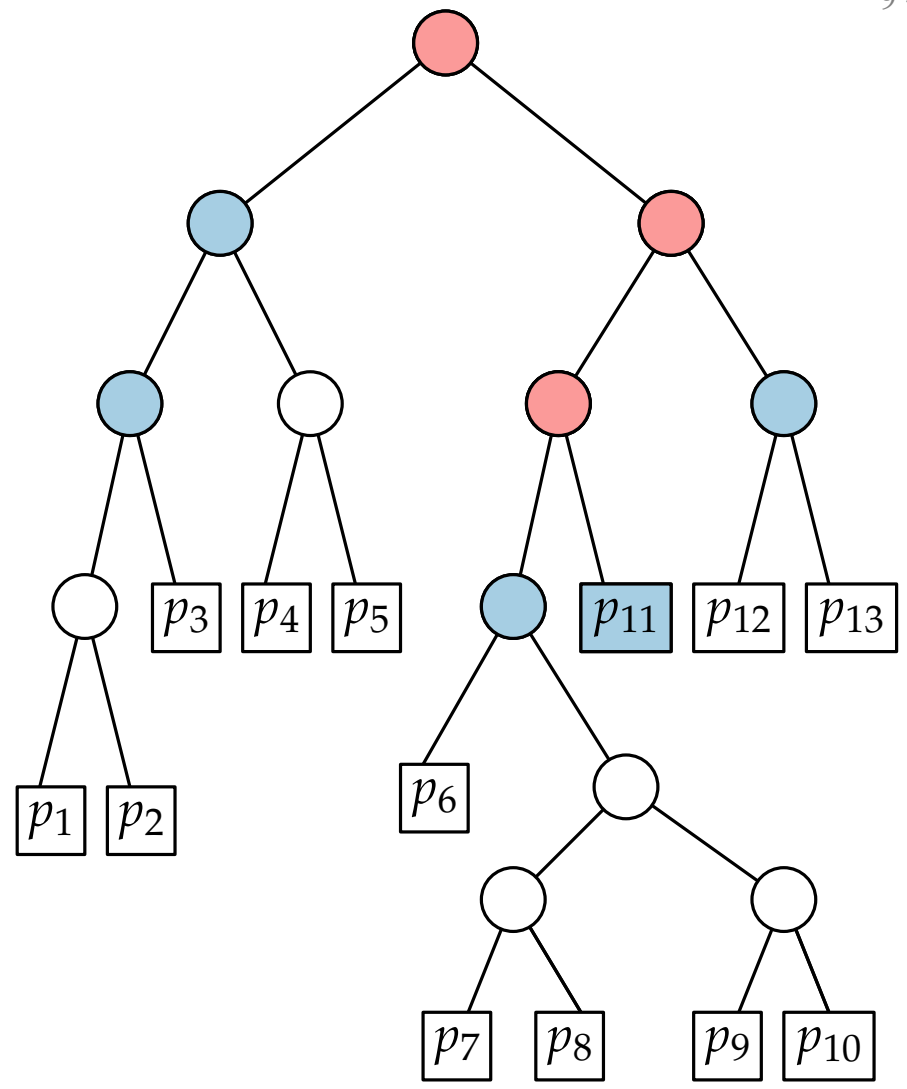
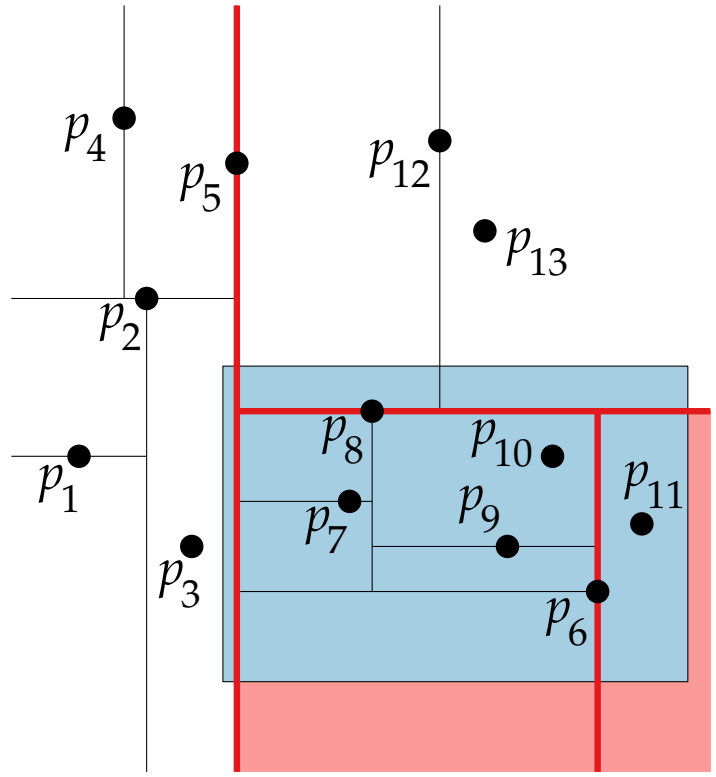




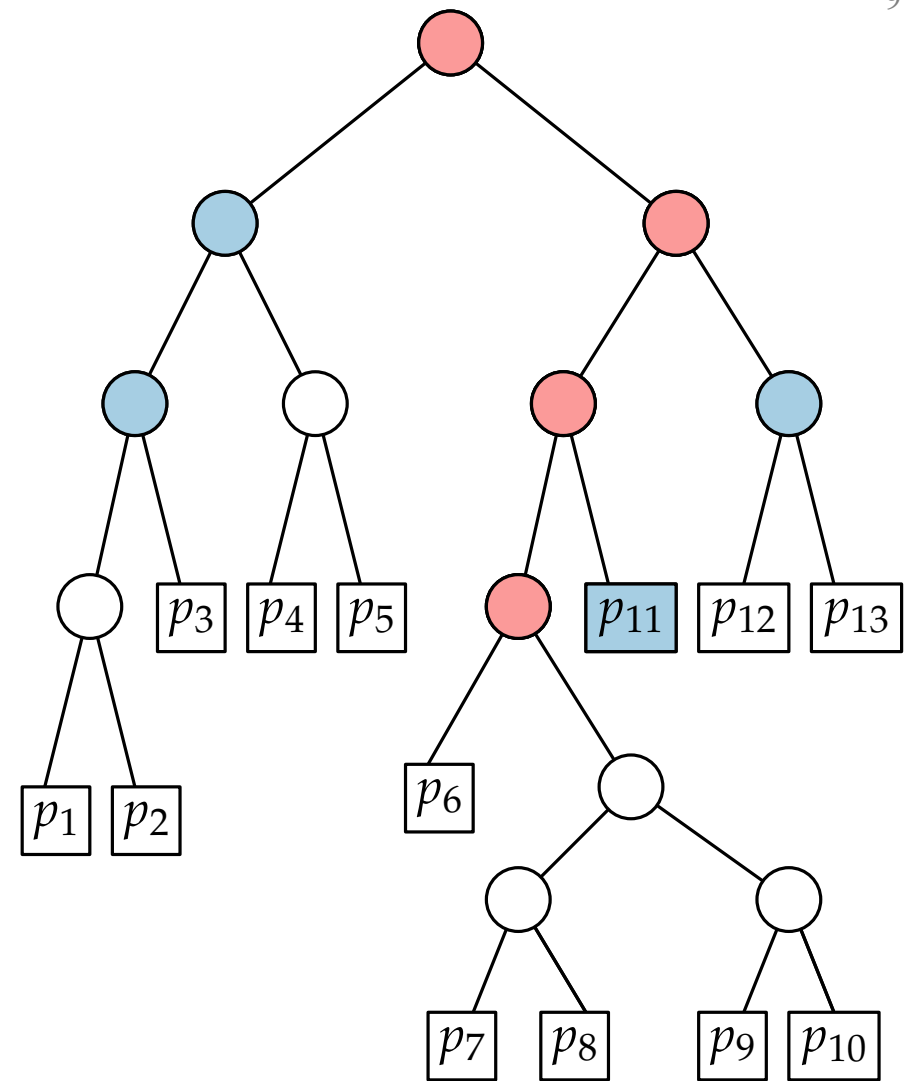
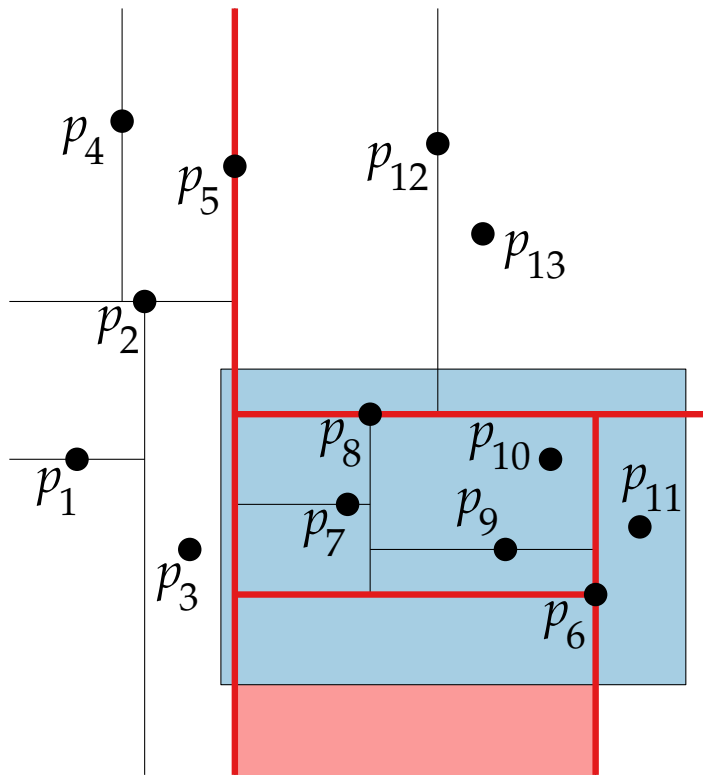
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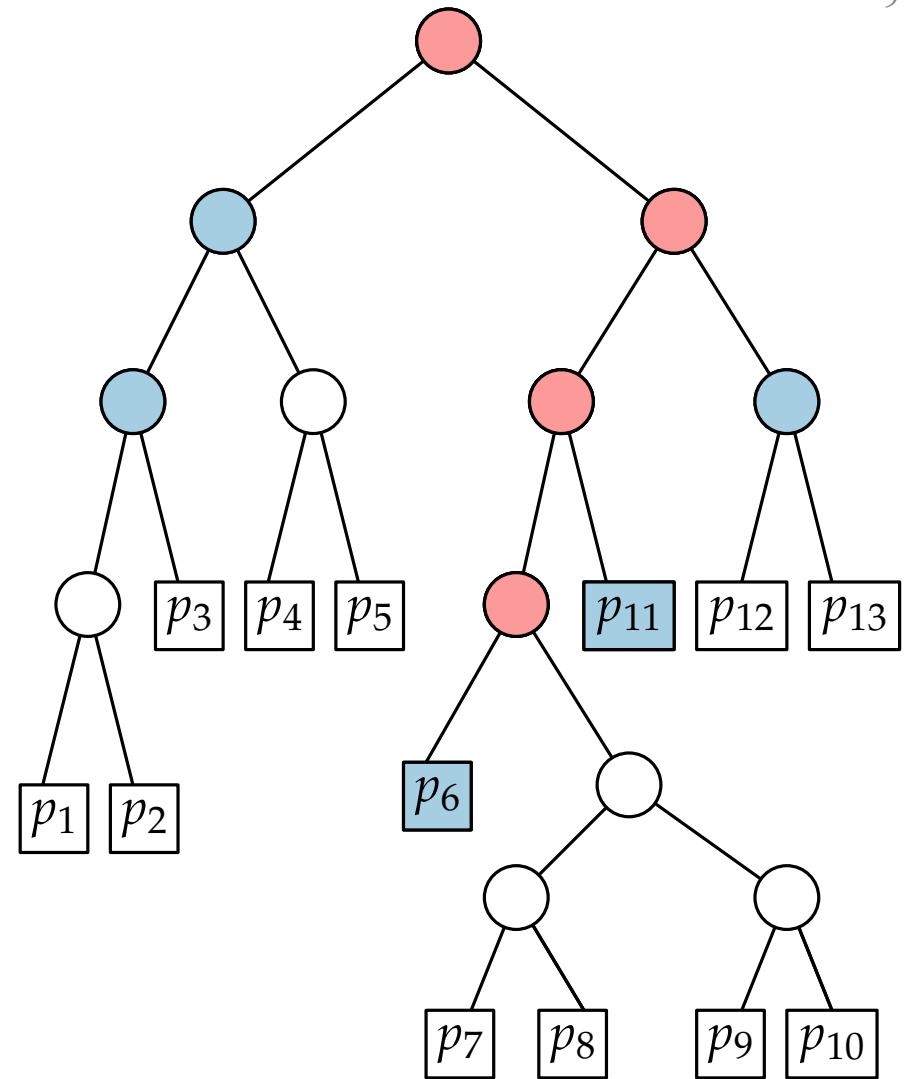
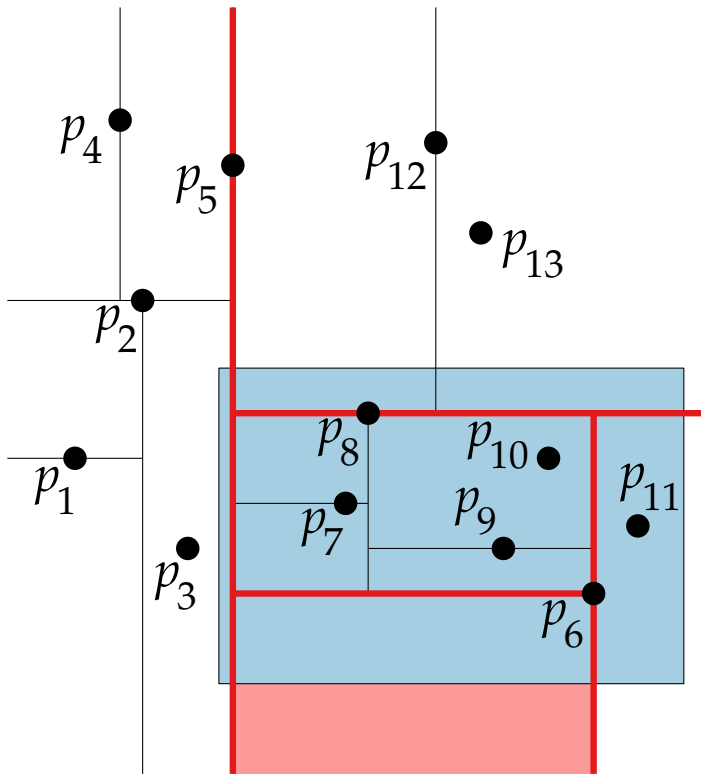
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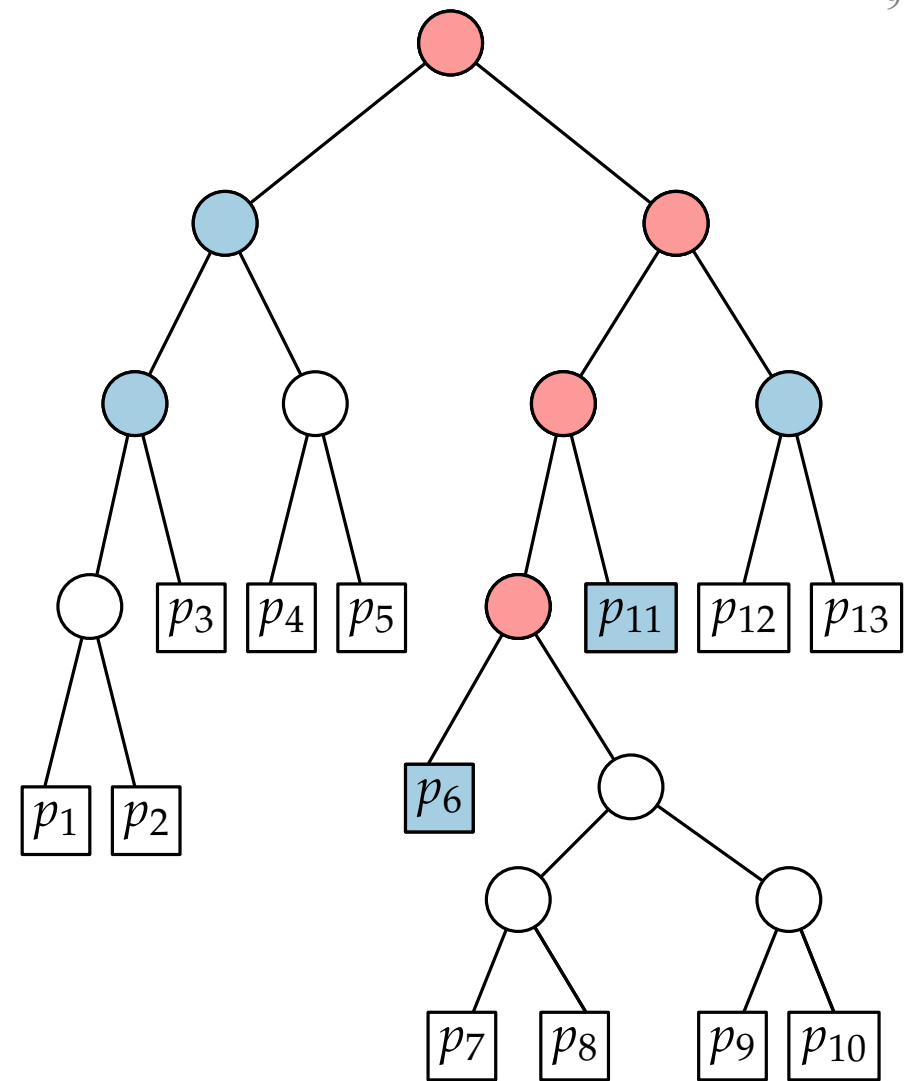
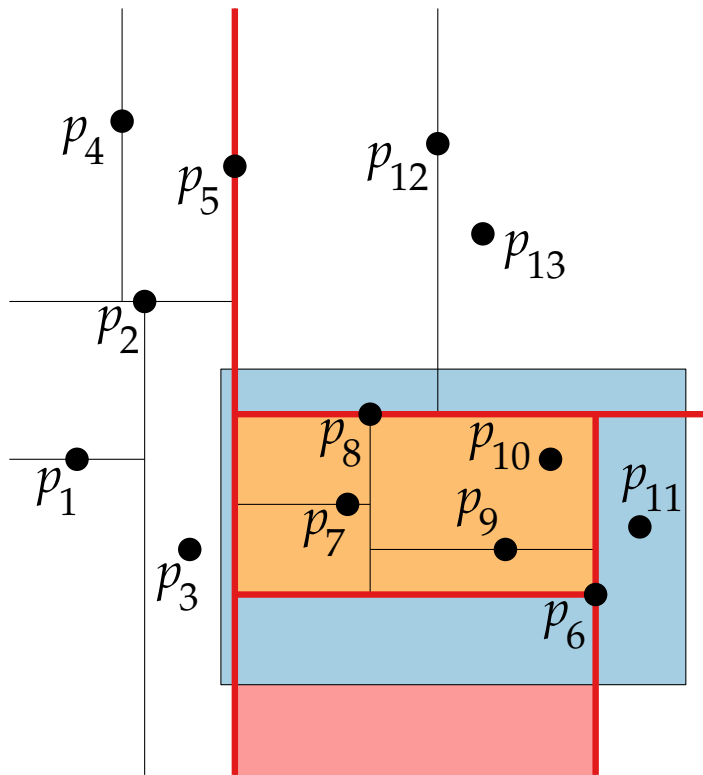
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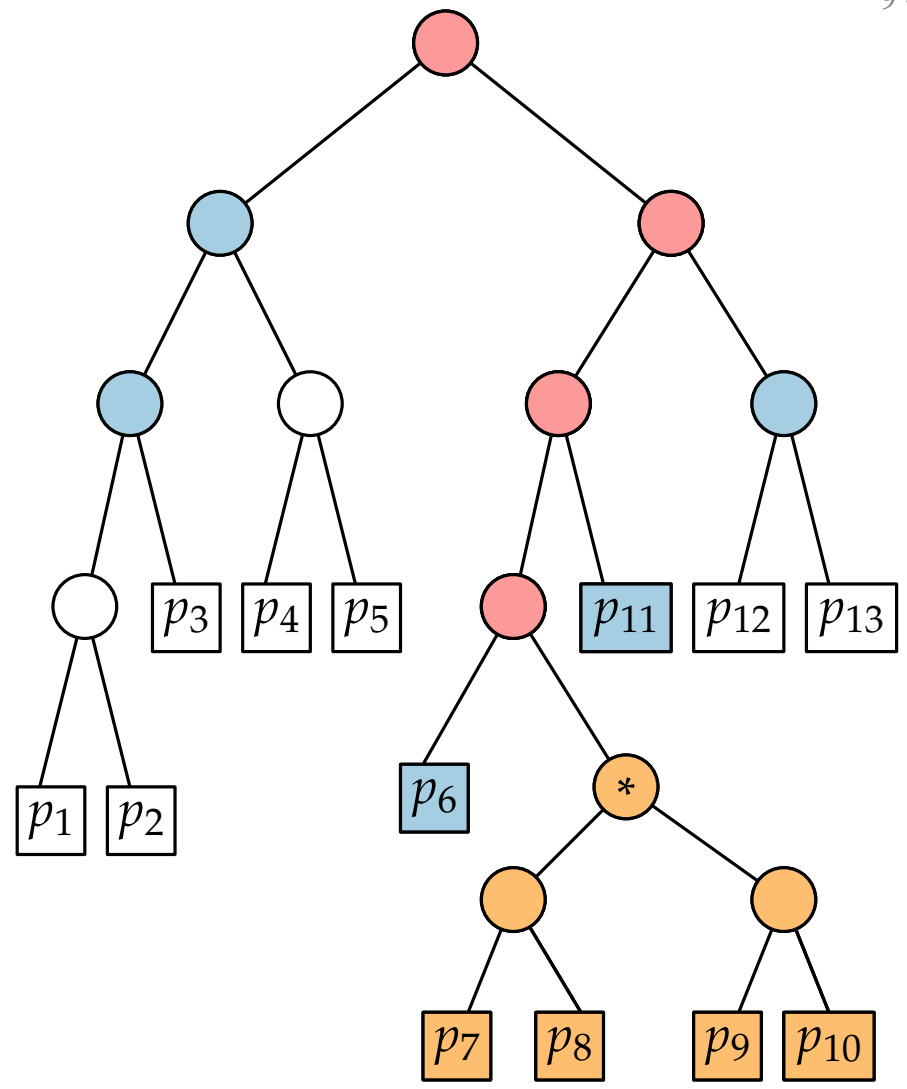
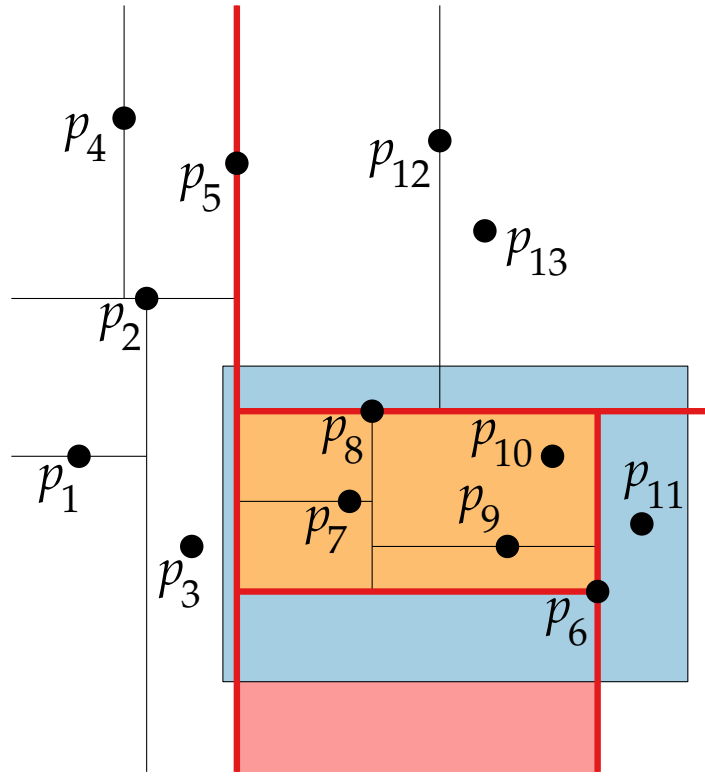
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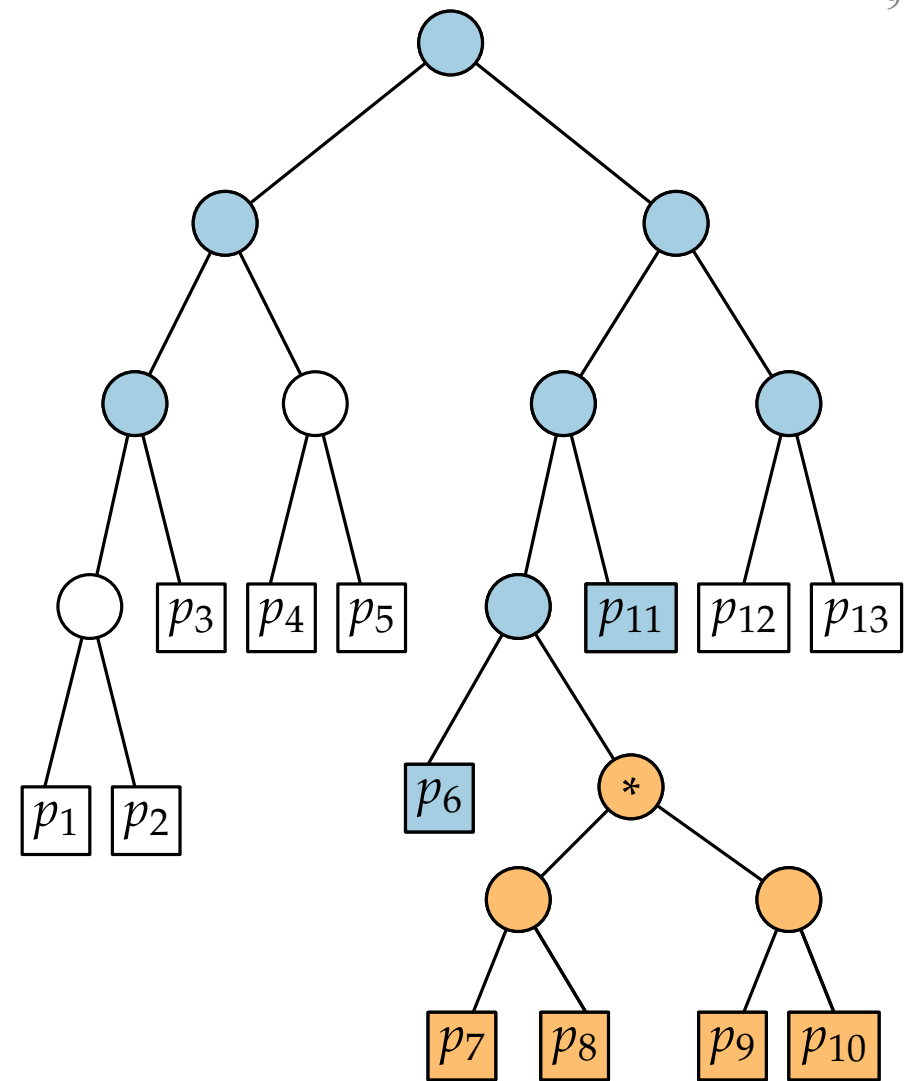
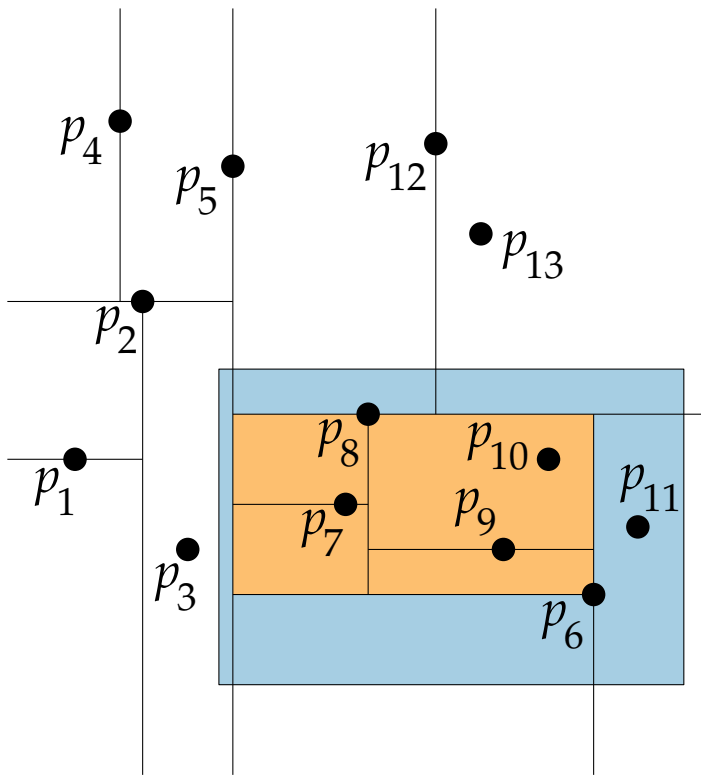
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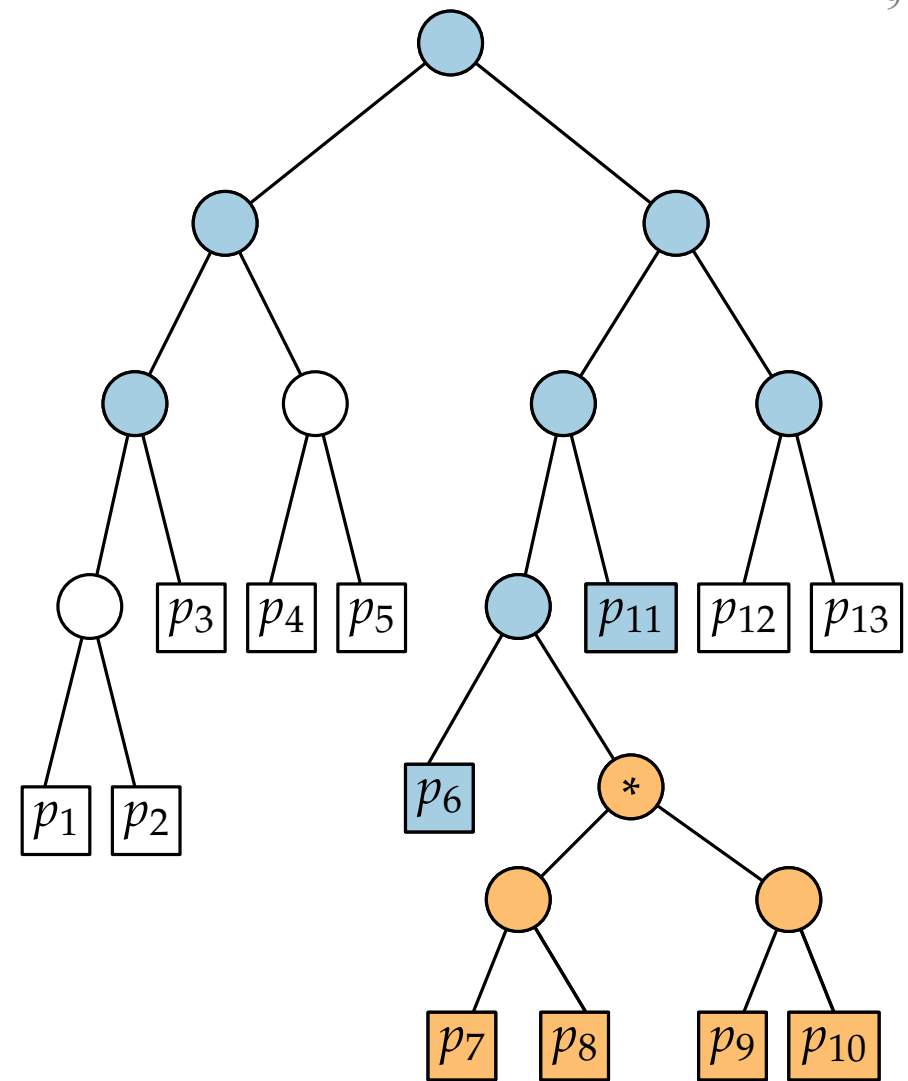
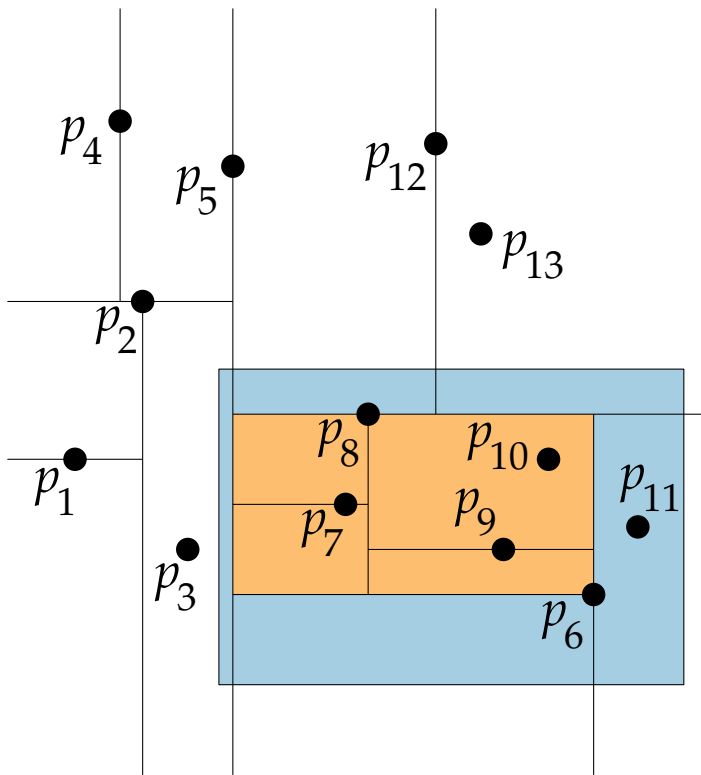


# Kd-Trees: Querying



**Lemma.** Querying a kd-tree for  $n$  pts in the plane with an axis-parallel rectangle  $R$  takes  $O(k + \sqrt{n})$  time, where  $k = |\text{output}|$ .

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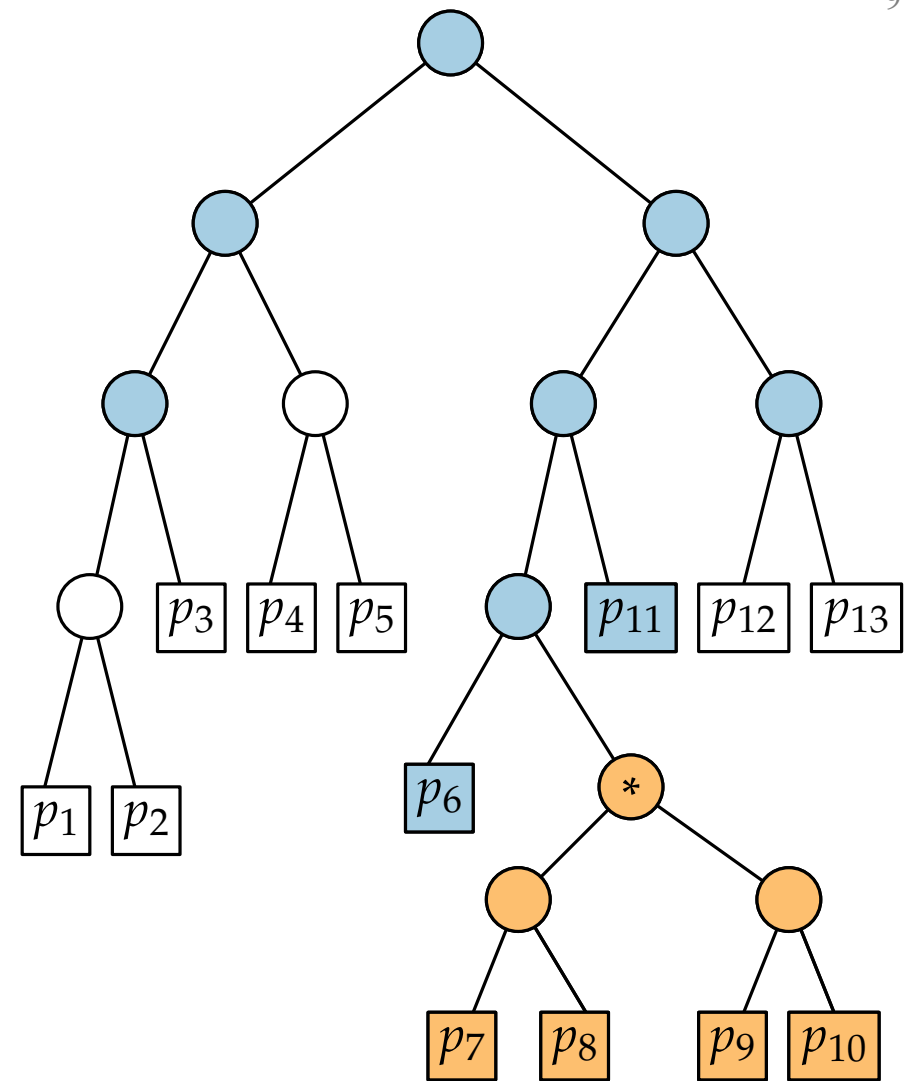
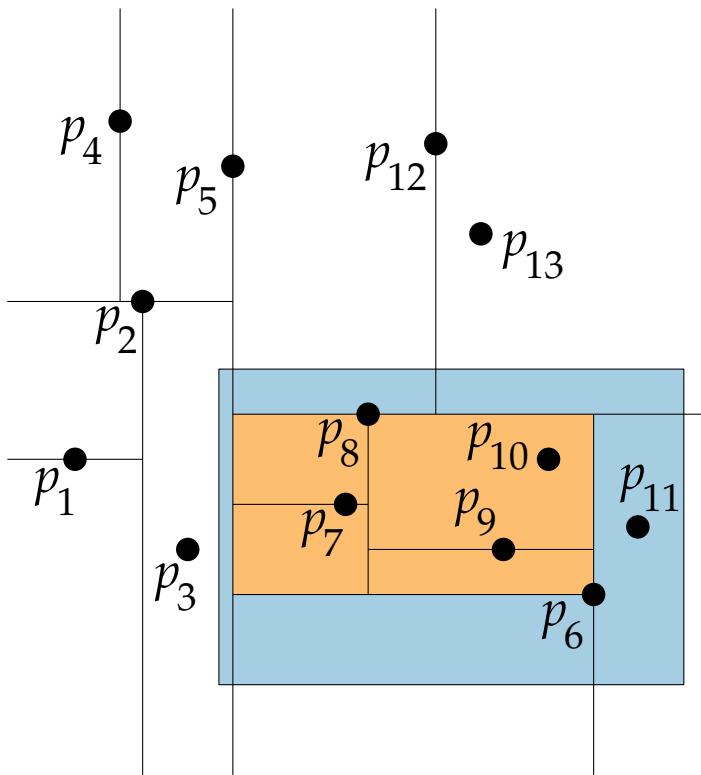


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# Kd-Trees: Querying

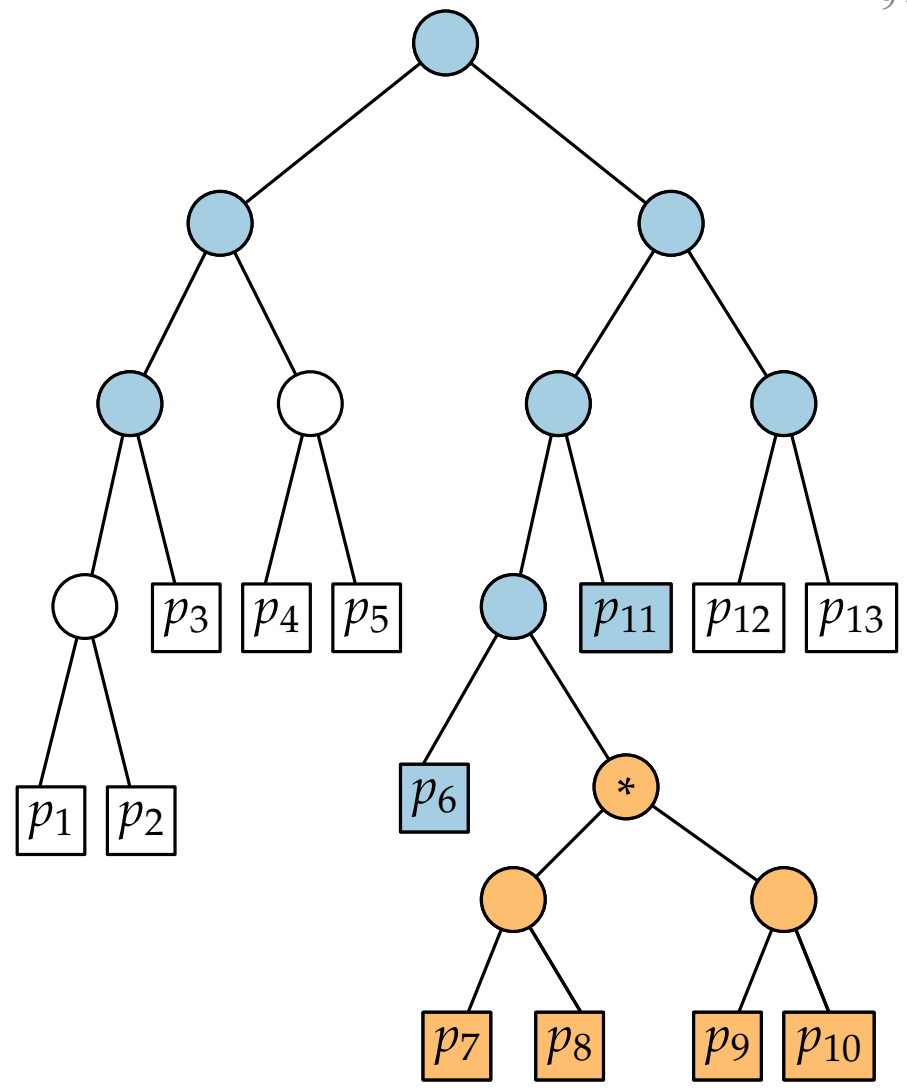
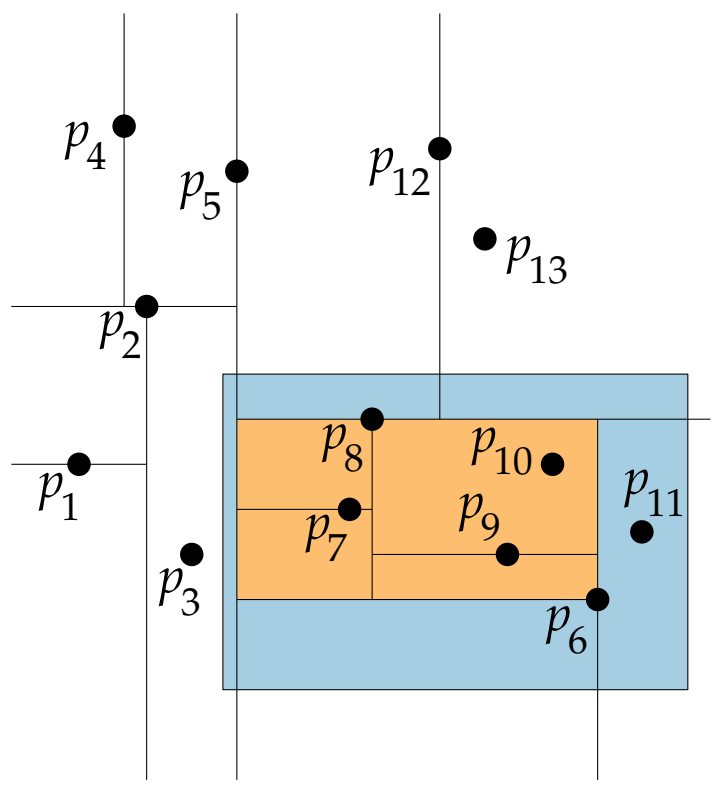


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in  $\mathbb{R}^d$

↓

$O(k + n^{1-1/d})$

# Extensions to 2D

**Task:** Preprocess a finite set  $P \subset \mathbb{R}^2$  such that for any range query  $R = [x, x'] \times [y, y']$  the set  $P \cap R$  can be reported quickly.

## Solutions:

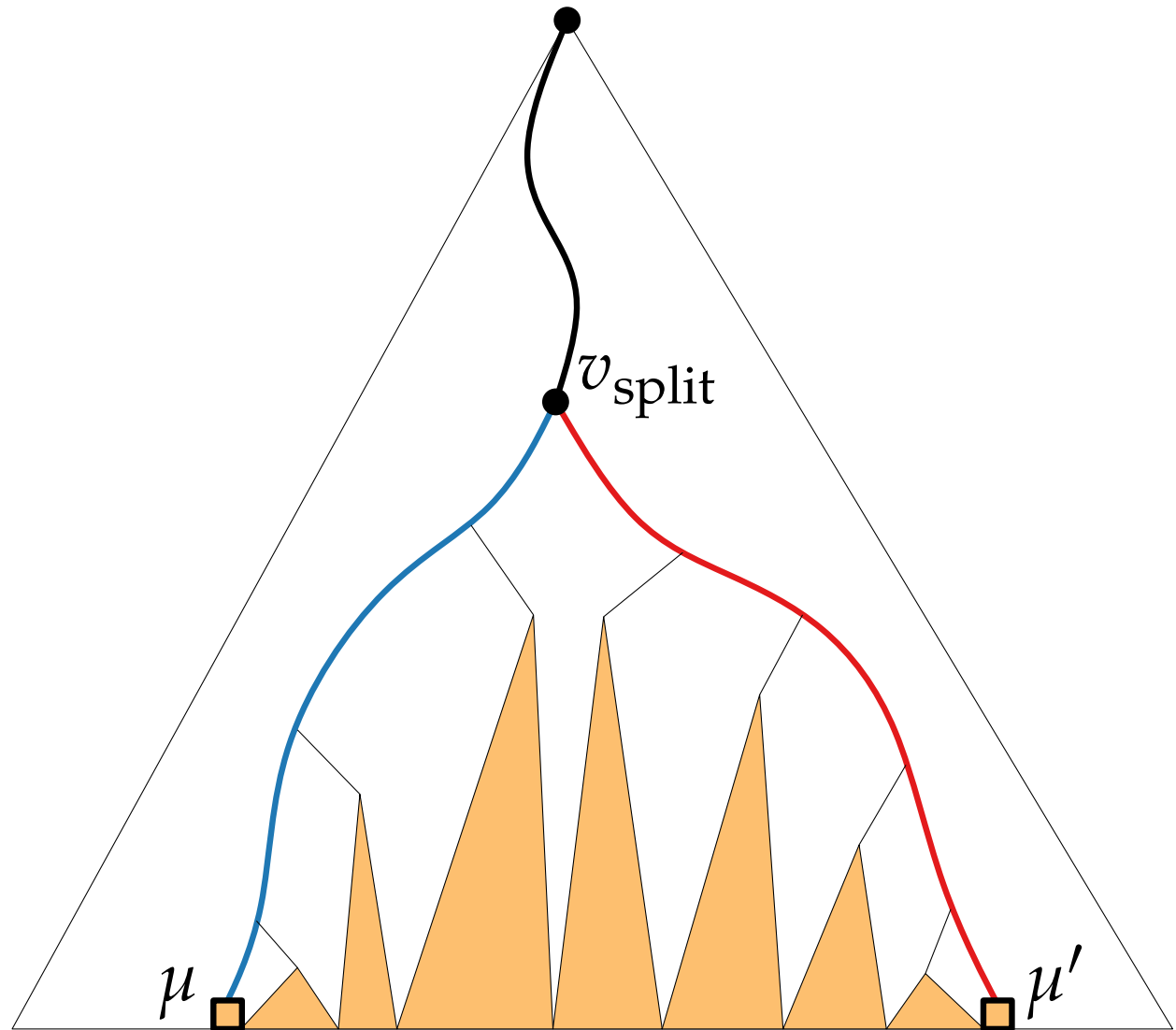
- *one* tree;  
query path alternates between  $x$ - and  $y$ -coord. } *kd-tree*
- first-level tree for  $x$ -coordinates;  
many second-level trees for  $y$ -coord. } *range tree*

**Assume:** *General position!*

Here: no two points have the same  $x$ - or  $y$ -coordinate.

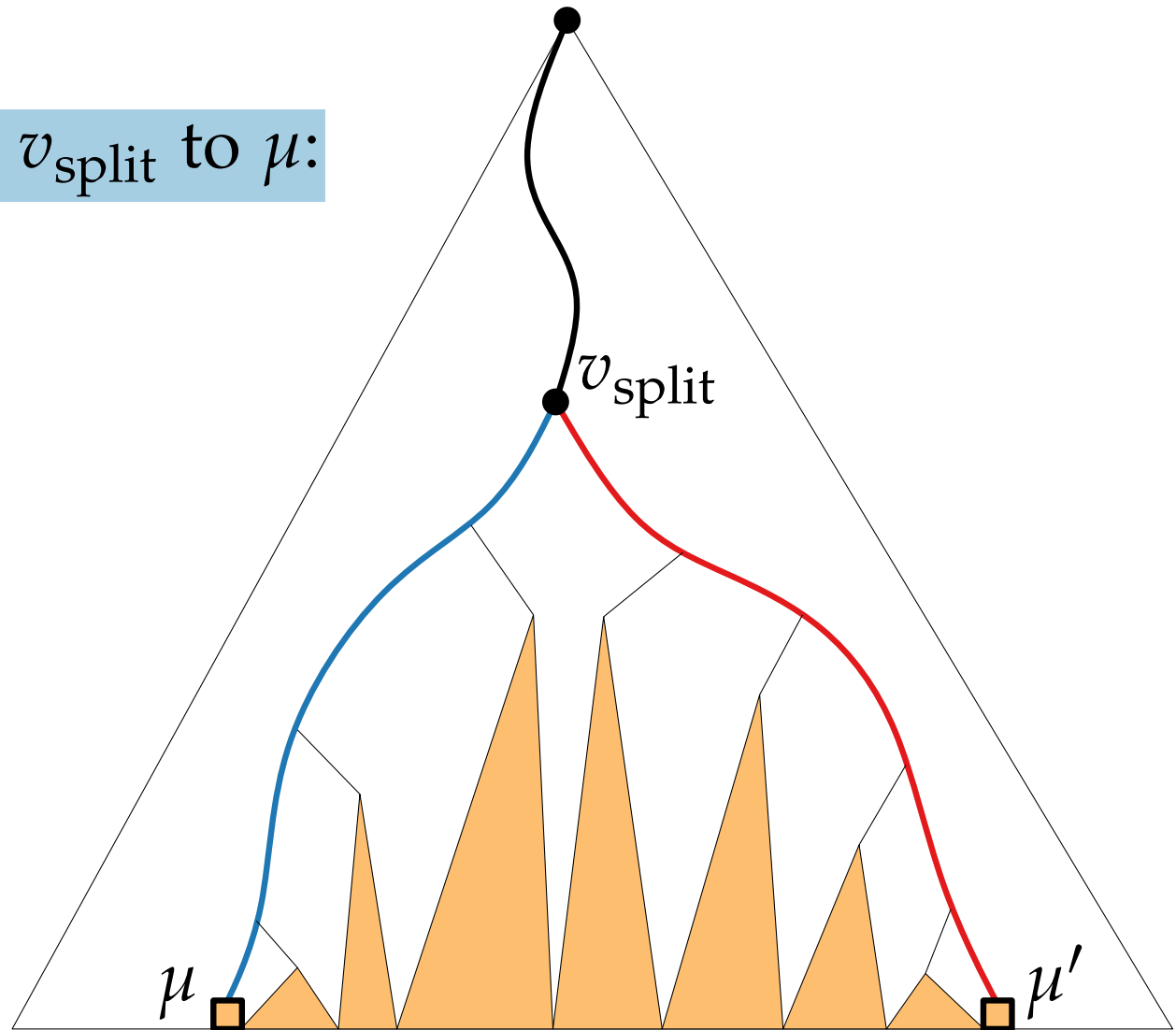
# Range Trees: Query Algorithm

1. Search in main tree for x-coordinate



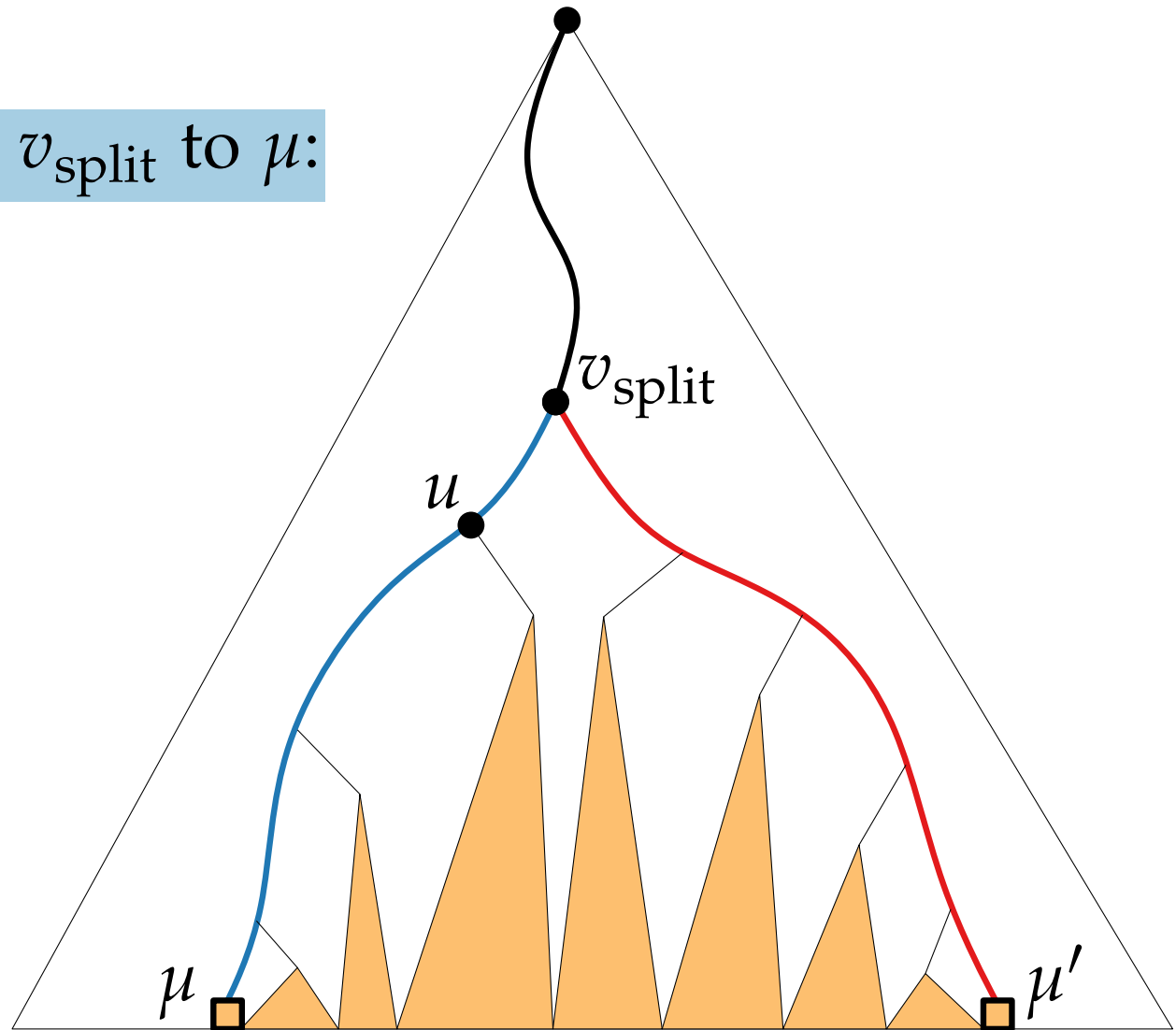
# Range Trees: Query Algorithm

1. Search in main tree for x-coordinate
2. For each node  $u$   
on the path from  $v_{\text{split}}$  to  $\mu$ :



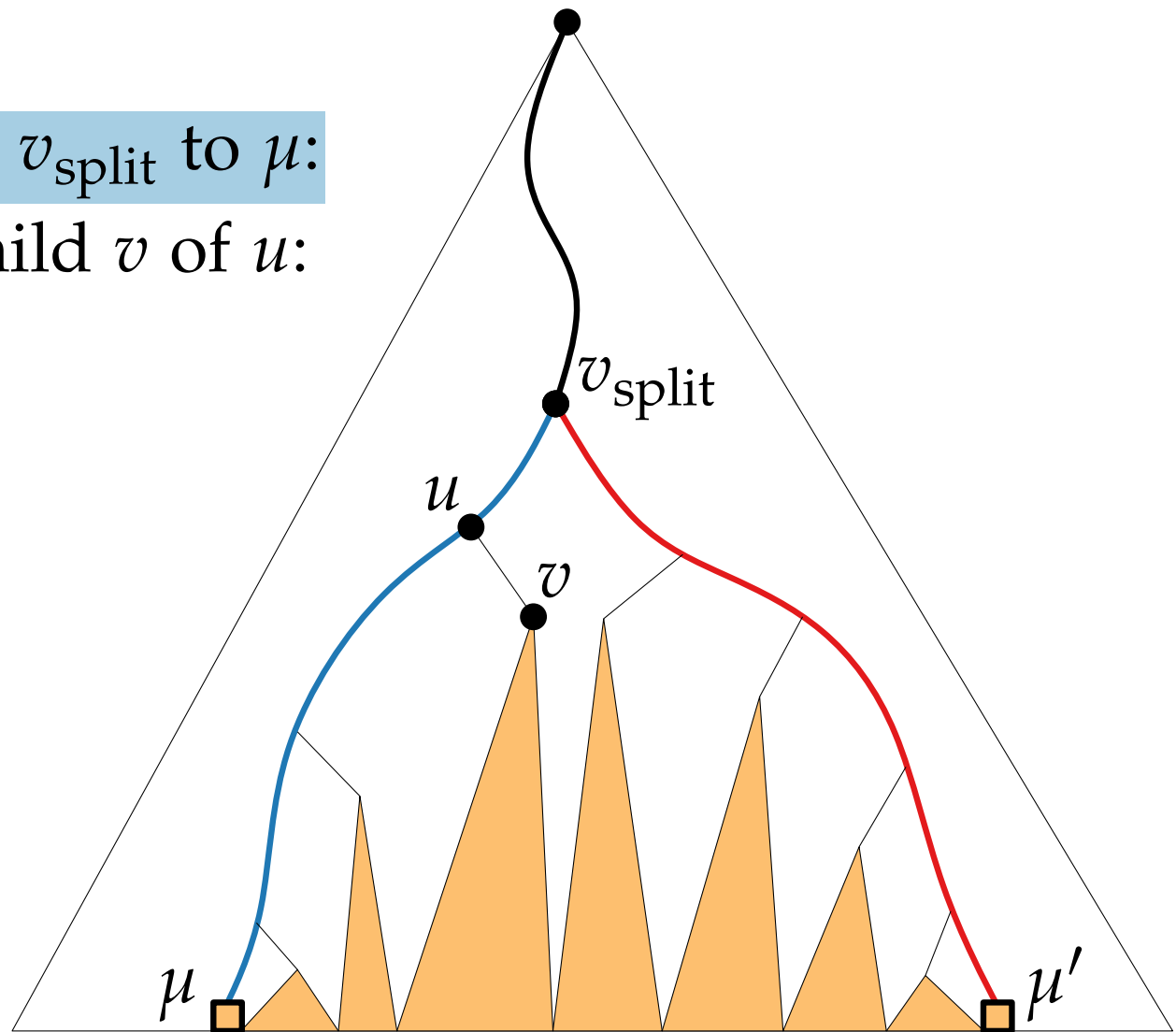
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For the right child  $v$  of  $u$ :

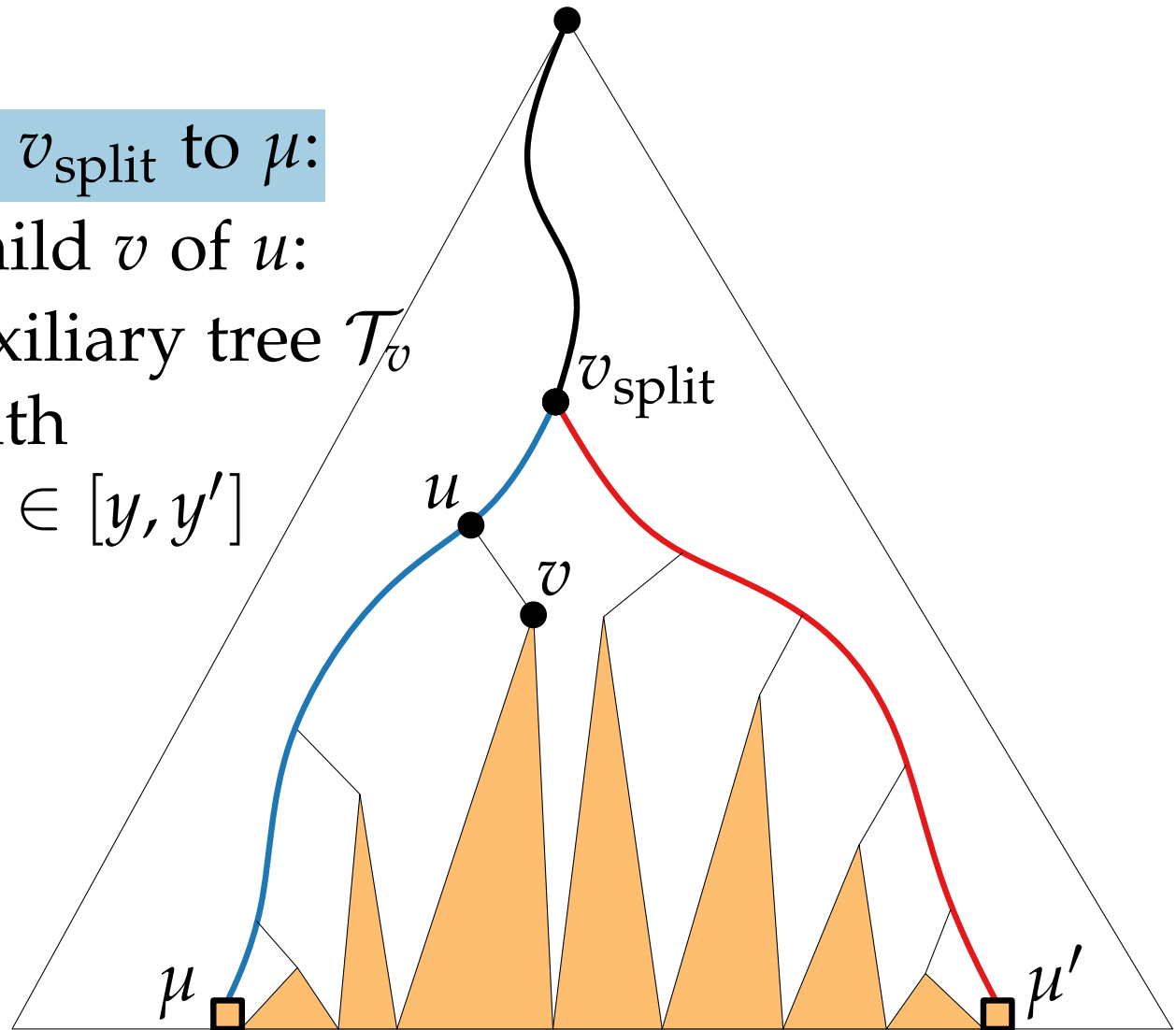


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Search in auxiliary tree  $\mathcal{T}_v$   
for points with  
y-coordinate  $\in [y, y']$



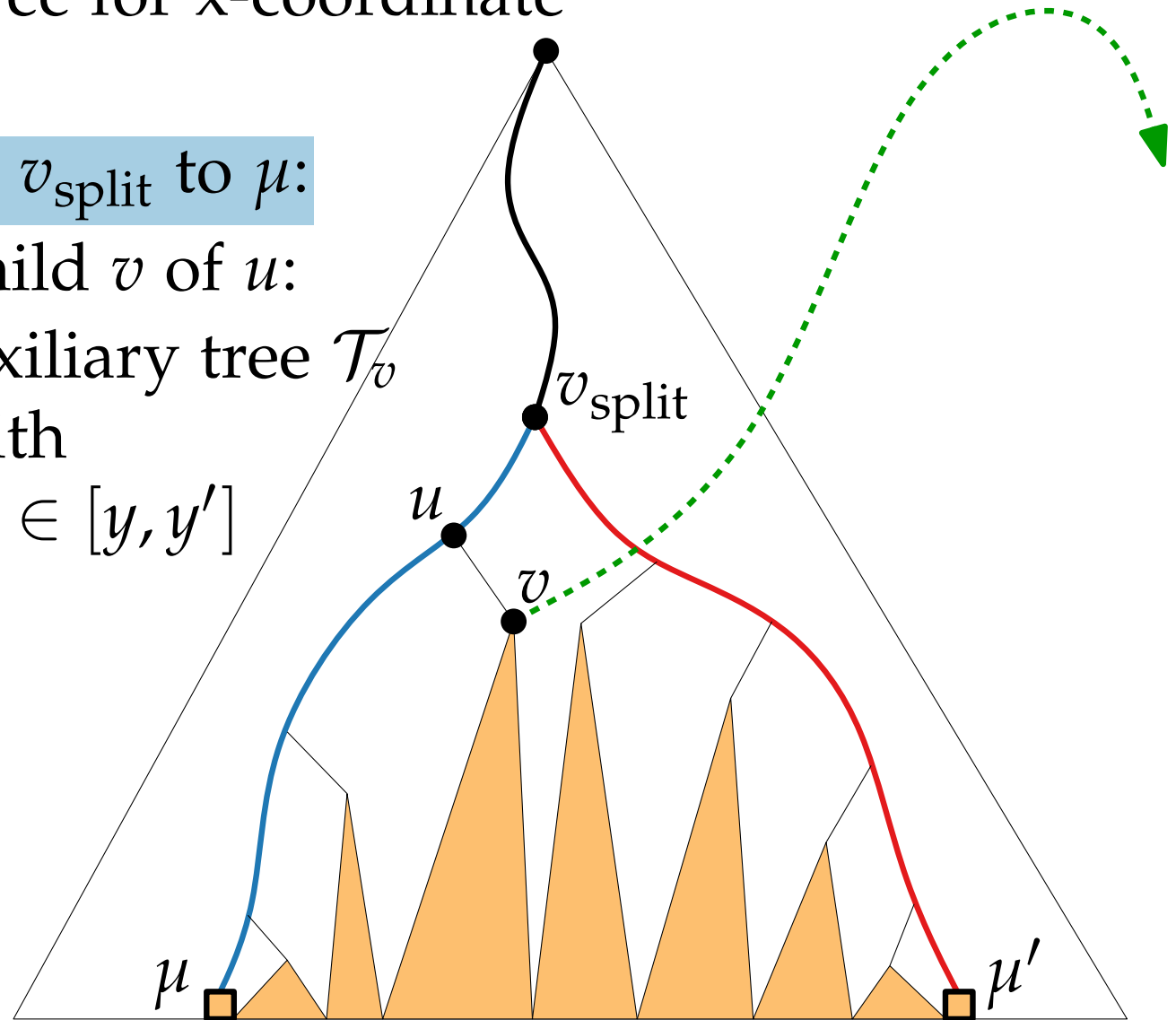


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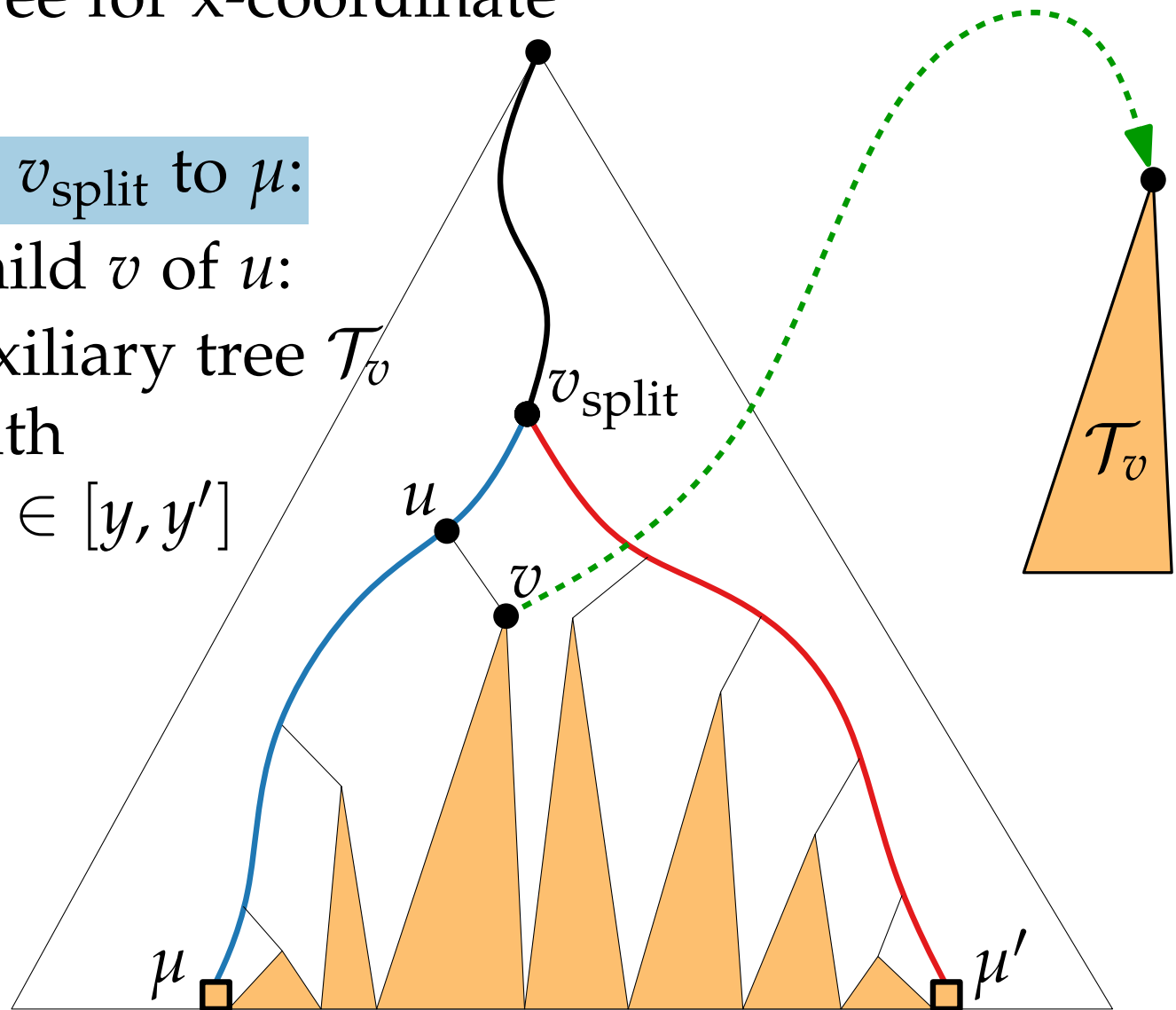


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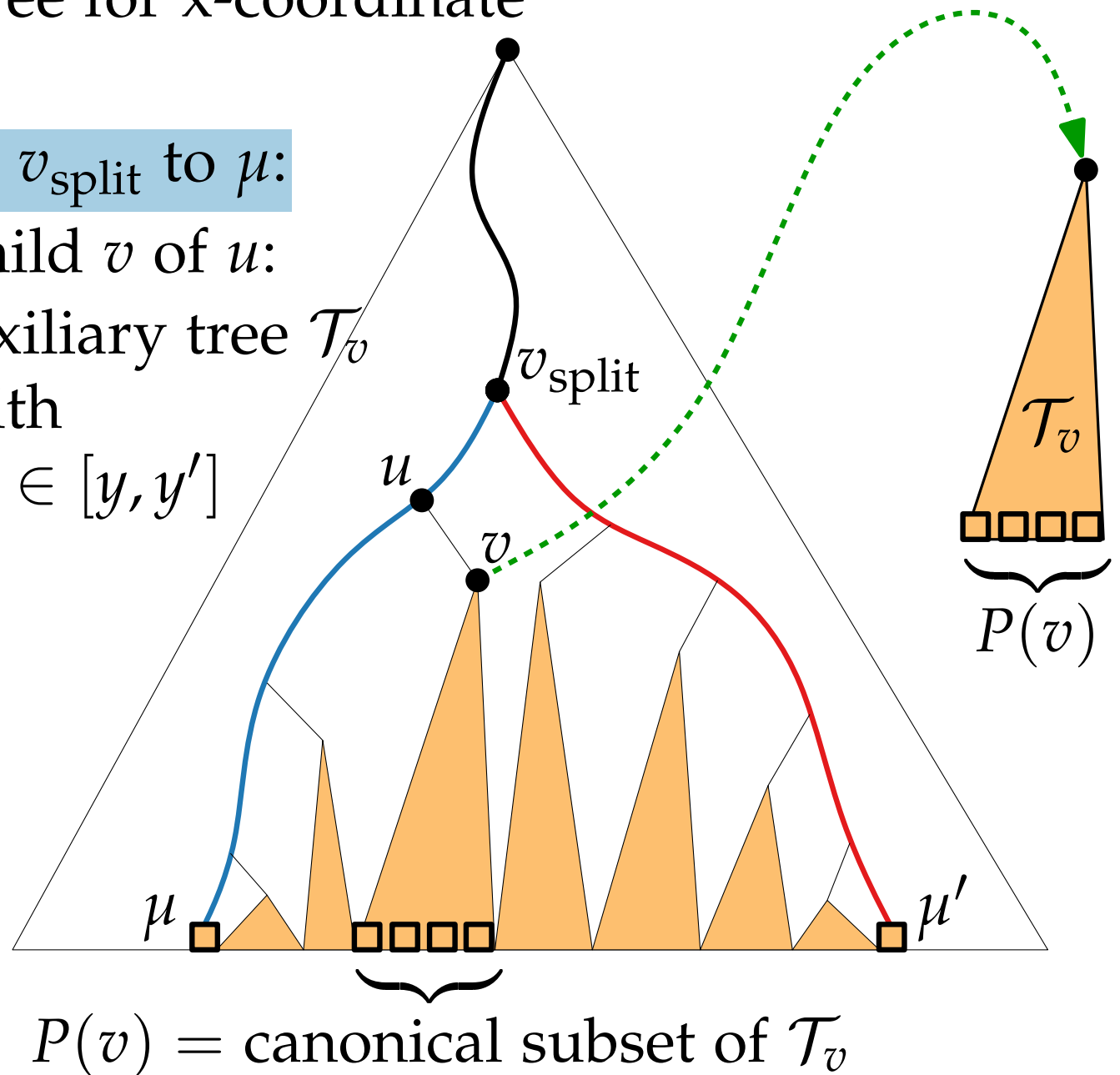


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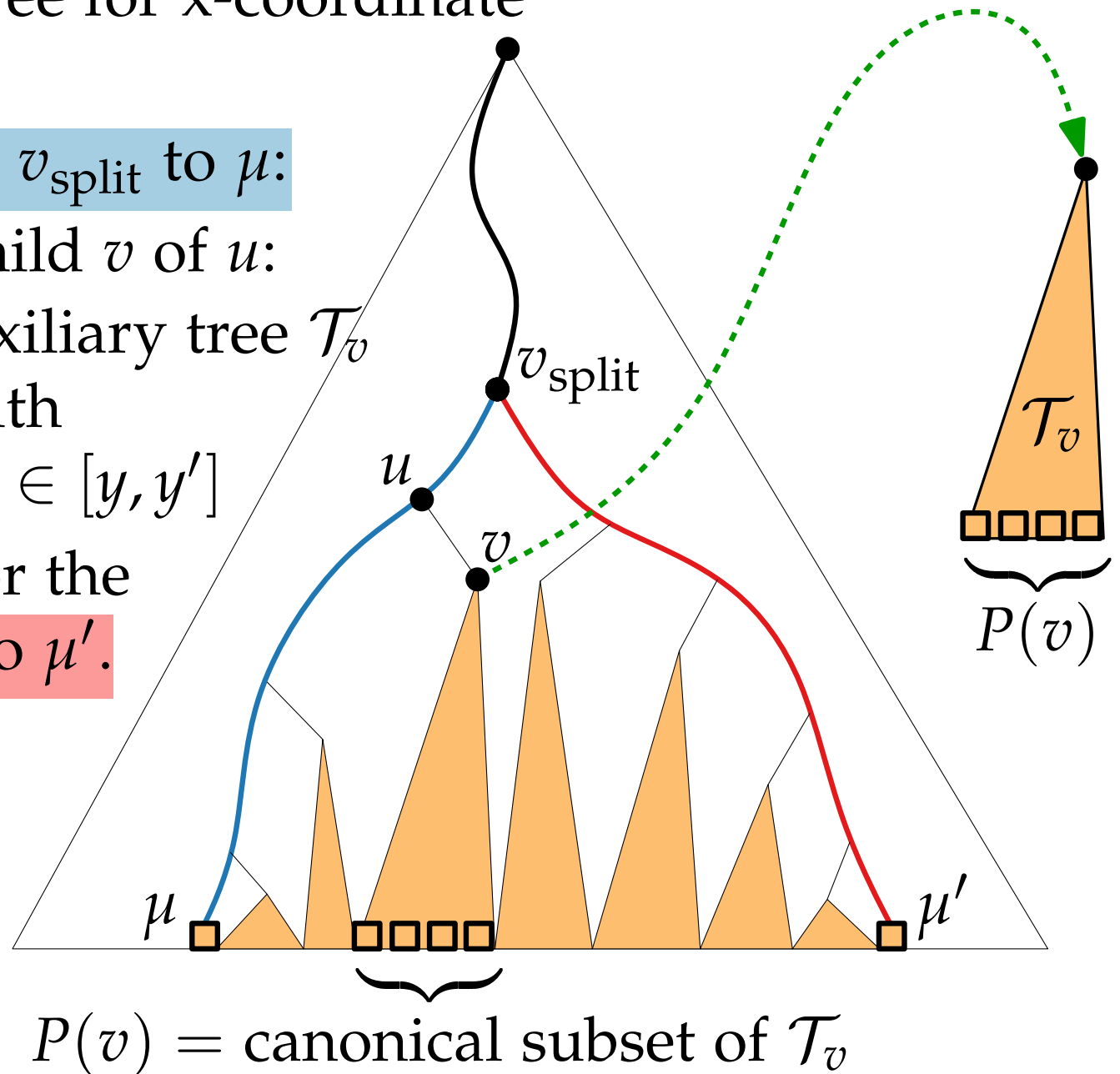
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3. Symmetrically for the  
path from  $v_{\text{split}}$  to  $\mu'$ .



# Range Trees: Construction

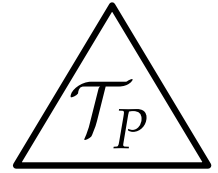
Build2DRangeTree(point[ ]  $P$ )

construct 2nd-level tree  $\mathcal{T}_P$  on  $P$  ( $y$ -order)

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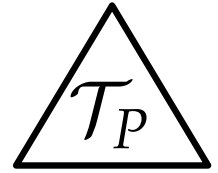
```
  if  $P = \{p\}$  then
```

```
    | create leaf  $v$ :
```

```
  else
```

```
    |
```

```
  return  $v$ 
```



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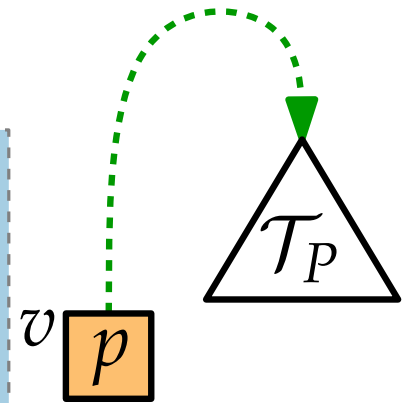
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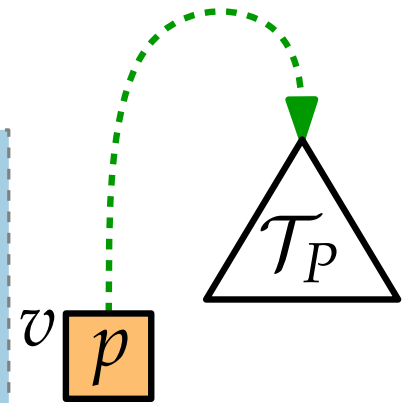
**else**

$x_{\text{mid}}$  = median x-coordinate of  $P$

$P_{\text{left}}$  = pts in  $P$  with x-coordinate  $\leq x_{\text{mid}}$

$P_{\text{right}}$  =  $\phantom{\text{pts in } P \text{ with x-coordinate }} >$

**return**  $v$



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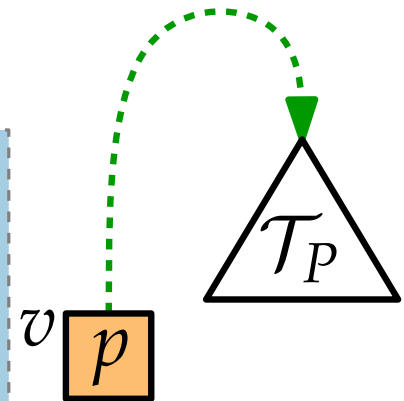
$P_{\text{left}}$  = pts in  $P$  with  $x$ -coordinate  $\leq x_{\text{mid}}$

$P_{\text{right}}$  =  $>$

$v_{\text{left}}$  = **Build2DRangeTree**( $P_{\text{left}}$ )

$v_{\text{right}}$  = **Build2DRangeTree**( $P_{\text{right}}$ )

**return**  $v$



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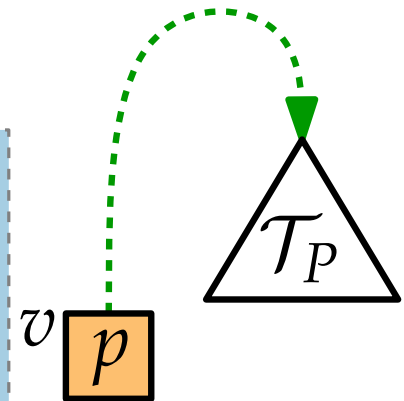
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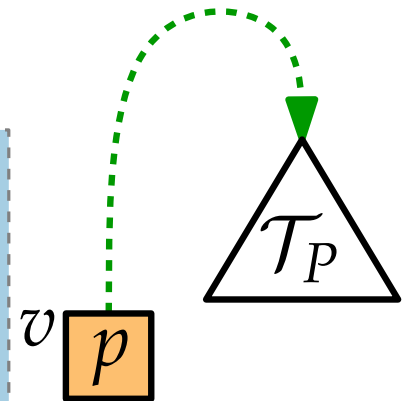
$v_{\text{left}}$  = Build2DRangeTree( $P_{\text{left}}$ )

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 $x_{\text{mid}}$

**return**  $v$



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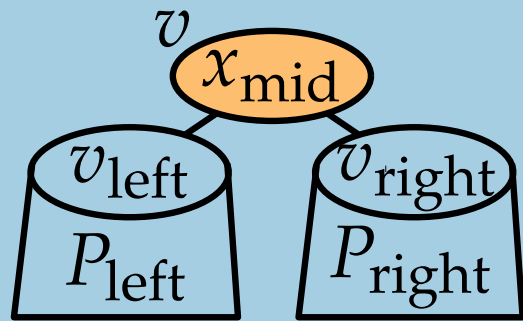
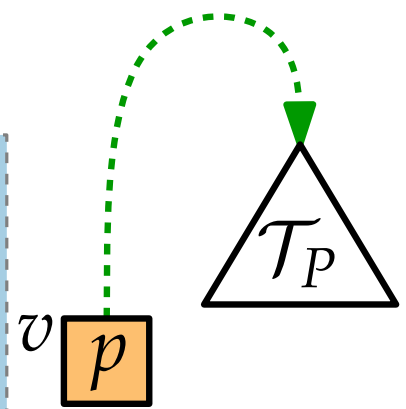
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    create node  $v$ :
     $v$ 
     $x_{mid}$ 
     $v_{left}$ 
     $v_{right}$ 
     $P_{left}$ 
     $P_{right}$ 

  return  $v$ 

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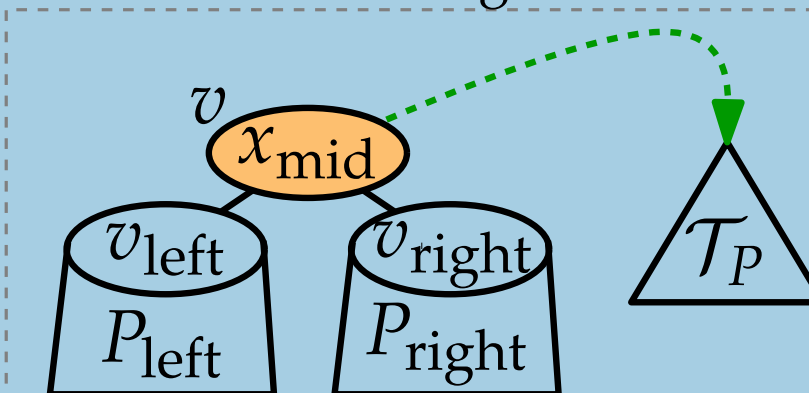
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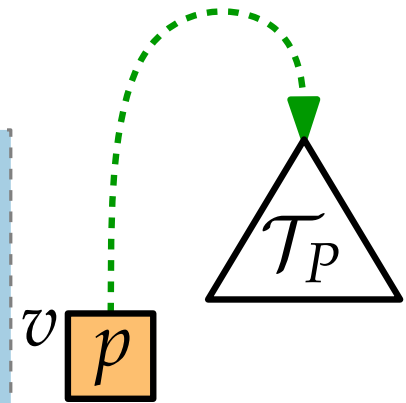
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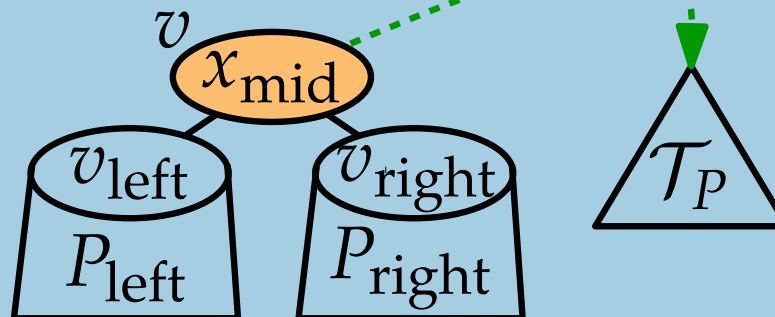
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Running time?

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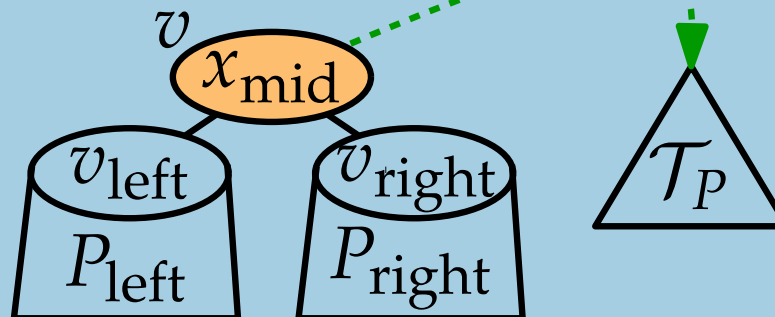
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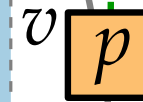
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Running time?

$O(n \log n)$  :- (





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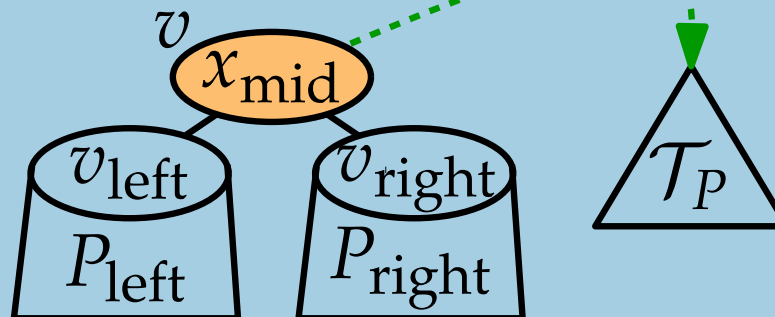
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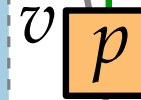


Running time?

$O(n \log n)$  :- (

*Better:*

Pre-sort once,  
then build tree  
bottom-up  
in linear time.



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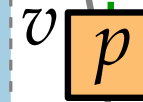
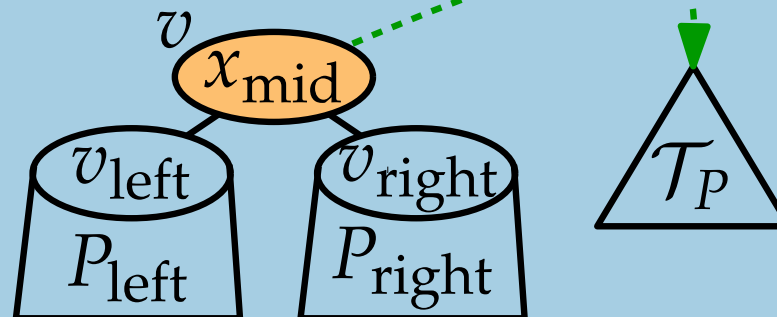
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$v_{\text{left}}$  = Build2DRangeTree( $P_{\text{left}}$ )

$v_{\text{right}}$  = Build2DRangeTree( $P_{\text{right}}$ )

create node  $v$ :

return  $v$



Running time?

$O(n \log n)$  :- (

*Better:*

Pre-sort once,  
then build tree  
bottom-up  
in linear time.

↓

Total  
construction  
time  $O(n \log n)$

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Each node  $v$  of the 1st-level tree has a pointer to a 2nd-level tree  $\mathcal{T}_v$  with  $|\mathcal{T}_v| = \Theta(|P(v)|)$ .

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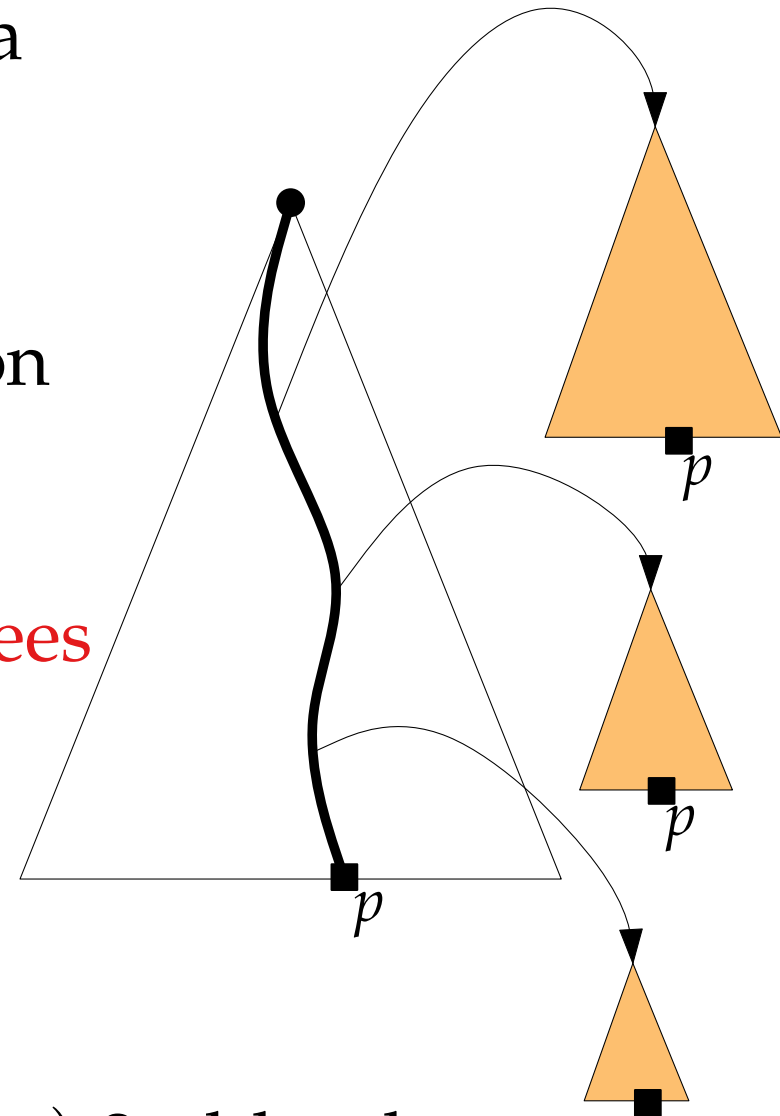
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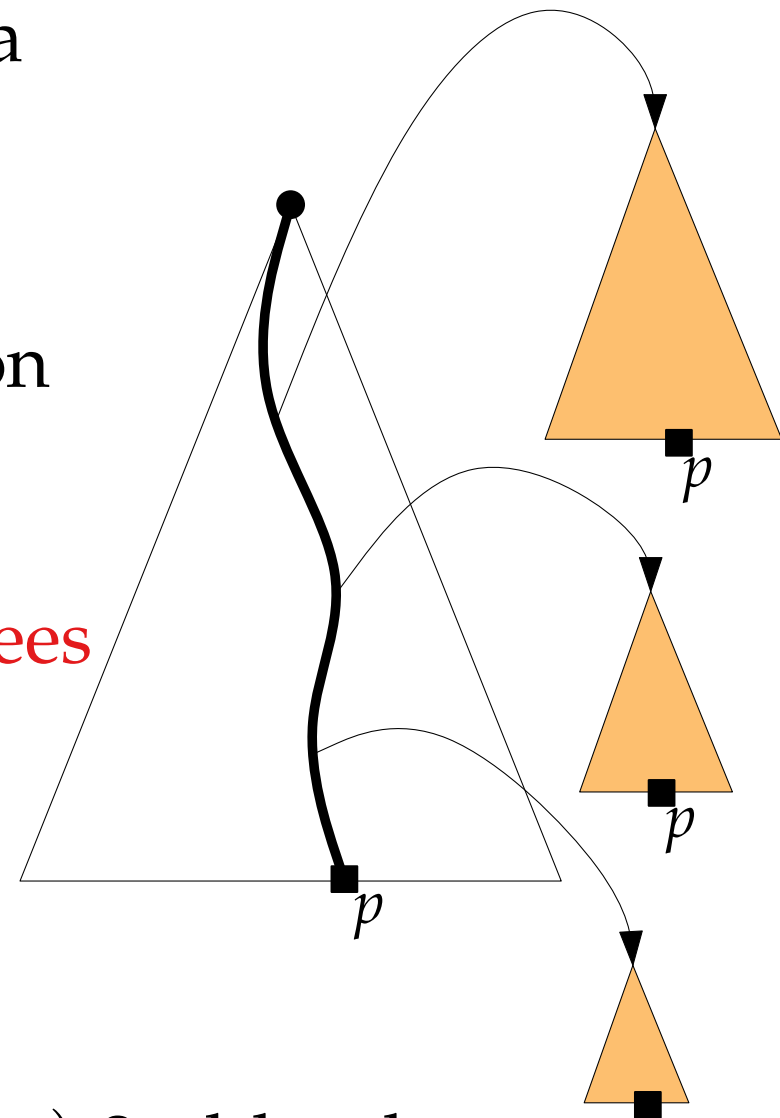
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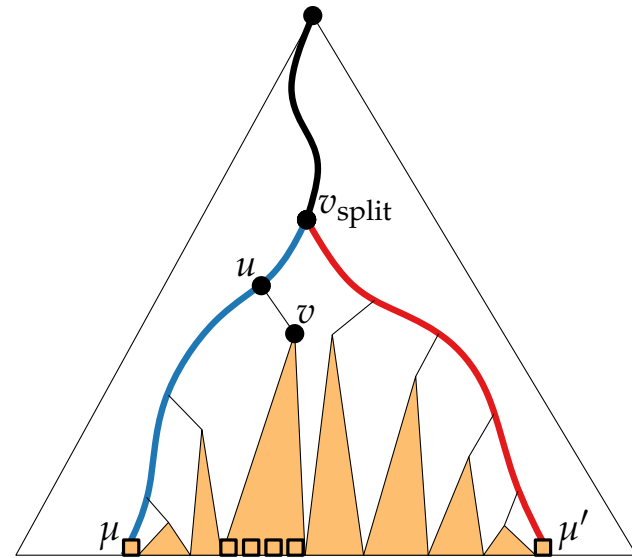
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$\Rightarrow \Theta(n \log n)$  space



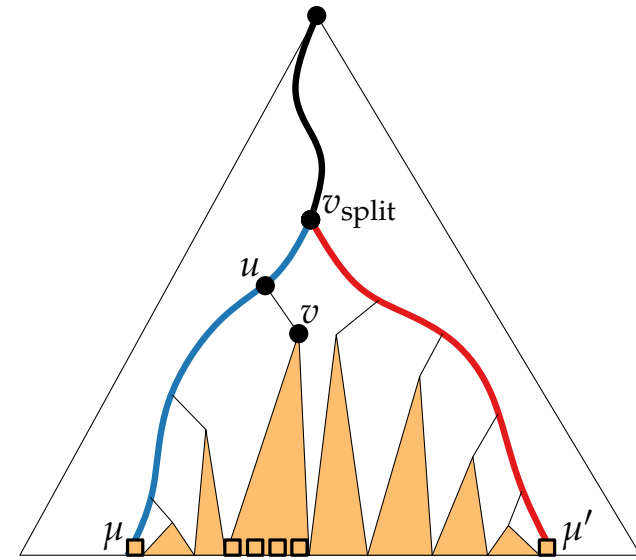
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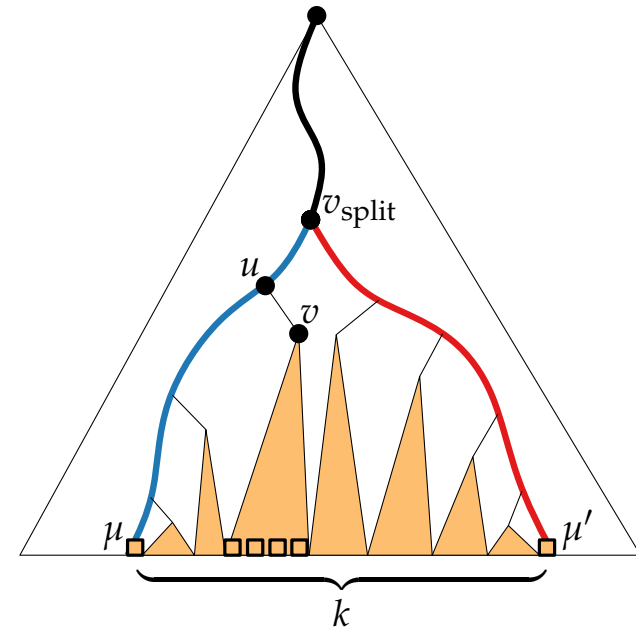
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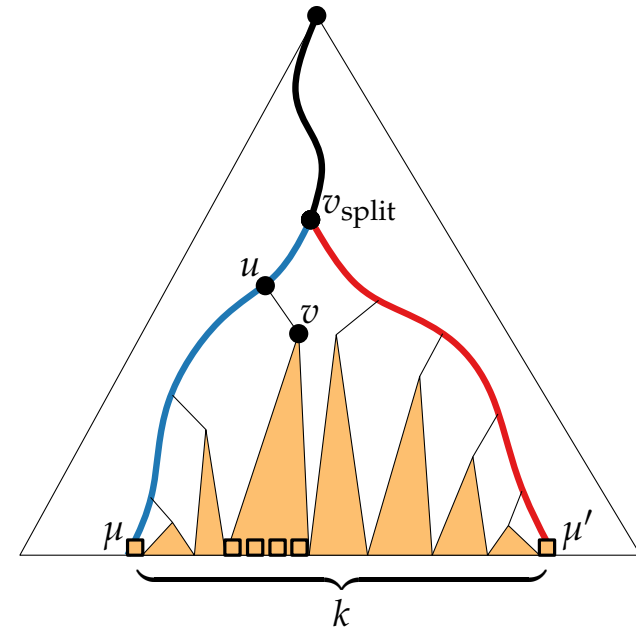
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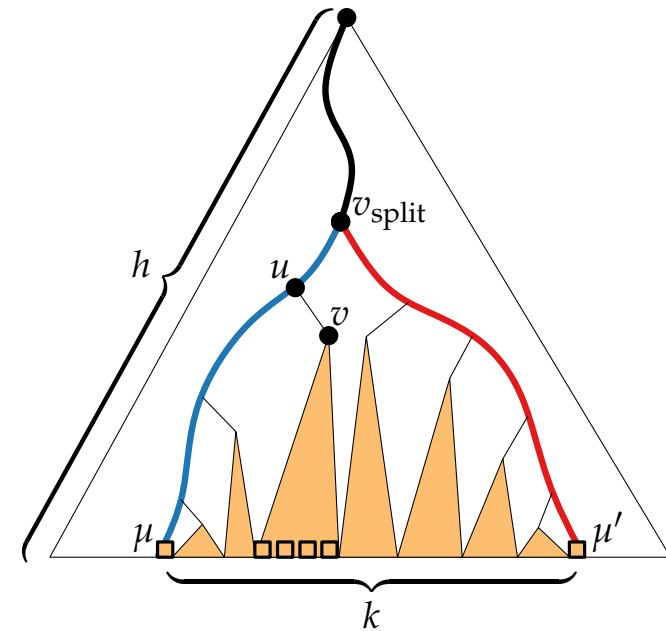
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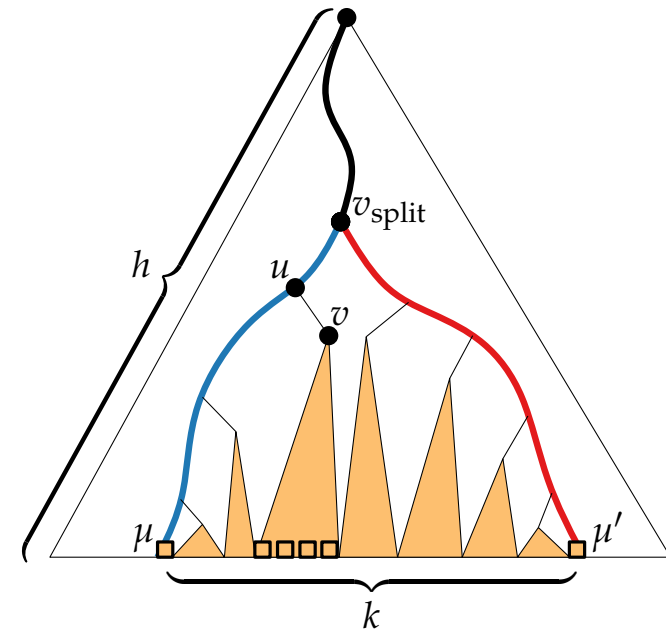
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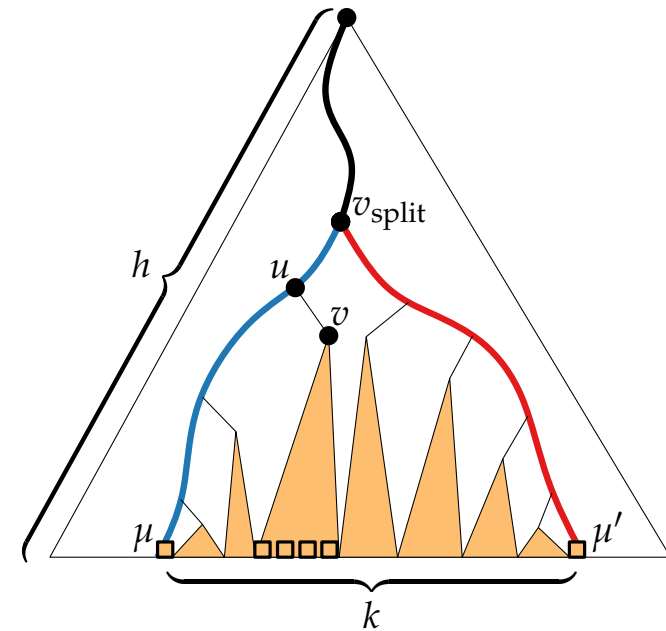
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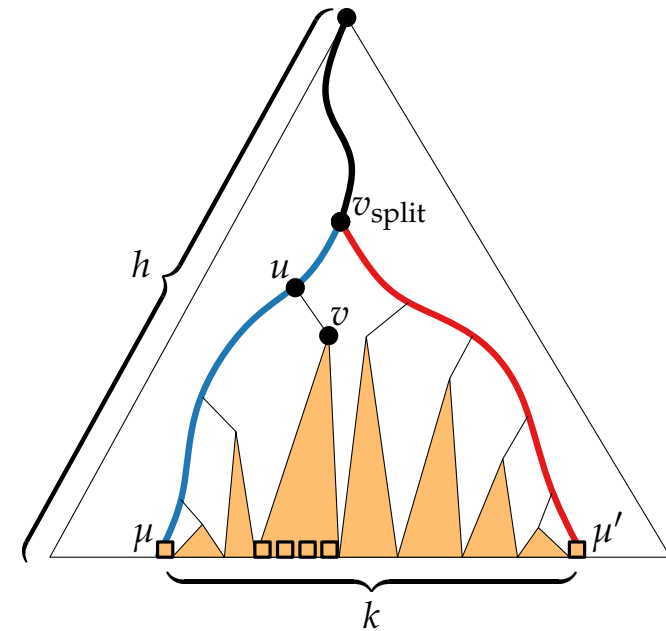
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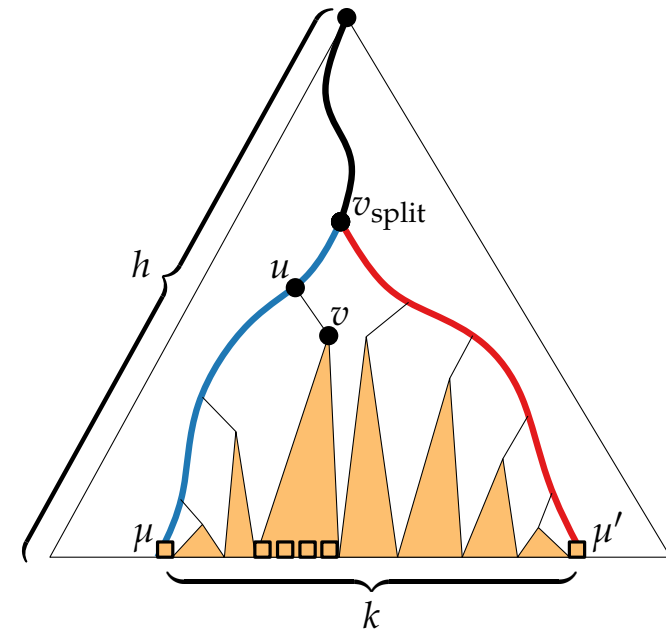


$\mathbb{R}^d$ ?

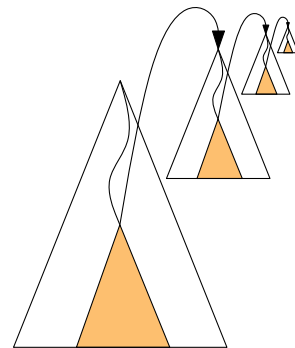


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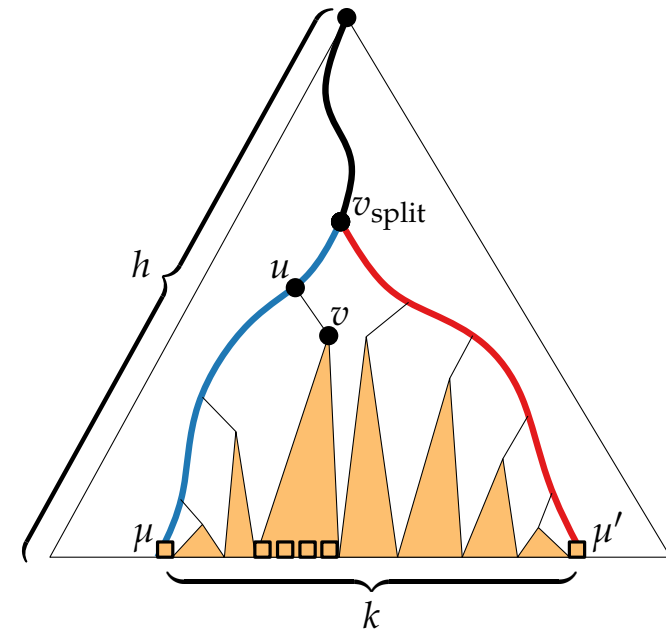


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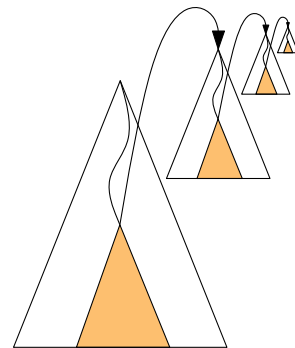
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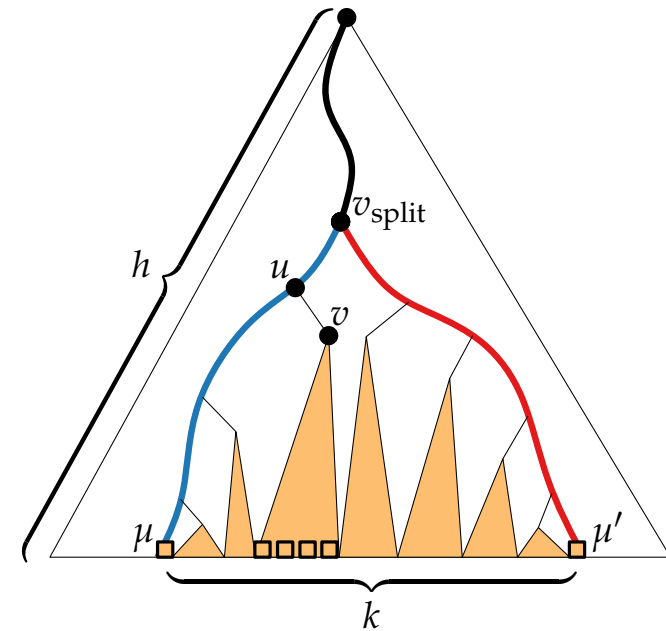
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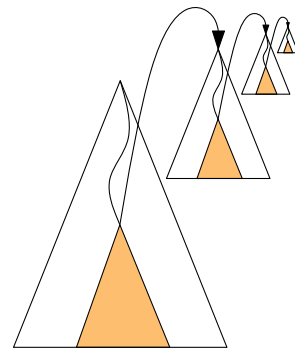
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See Chapter 5.4 in Comp. Geom A&A

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Note: *trade-off* between space and query time

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This removes our assumption about the input points being in general position.

We can use kd-trees and range trees for *any* set of points; no matter how many points have the same  $x$ - or  $y$ -coord.

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**Task 1:** Given sets  $B \subset A \subset \mathbb{N}$  stored in sorted order in arrays  $A[1..n]$  and  $B[1..m]$ , support 1d range queries in the multiset  $A \cup B$  in  $k + 1 \cdot \log n$  time!

$A$	3	10	19	23	30	37	59	62	70	80	100	105
-----	---	----	----	----	----	----	----	----	----	----	-----	-----

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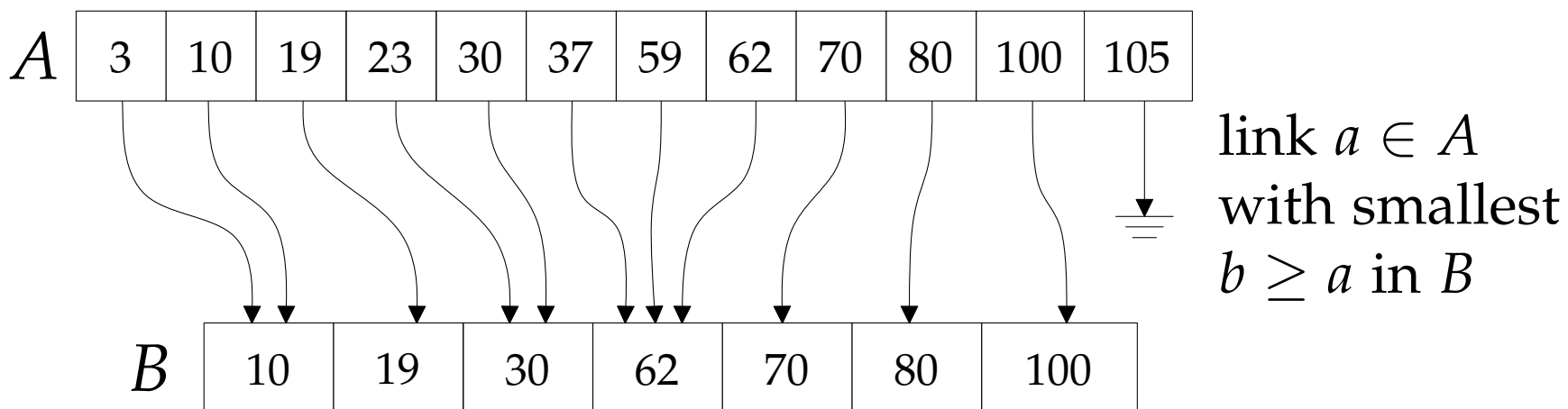
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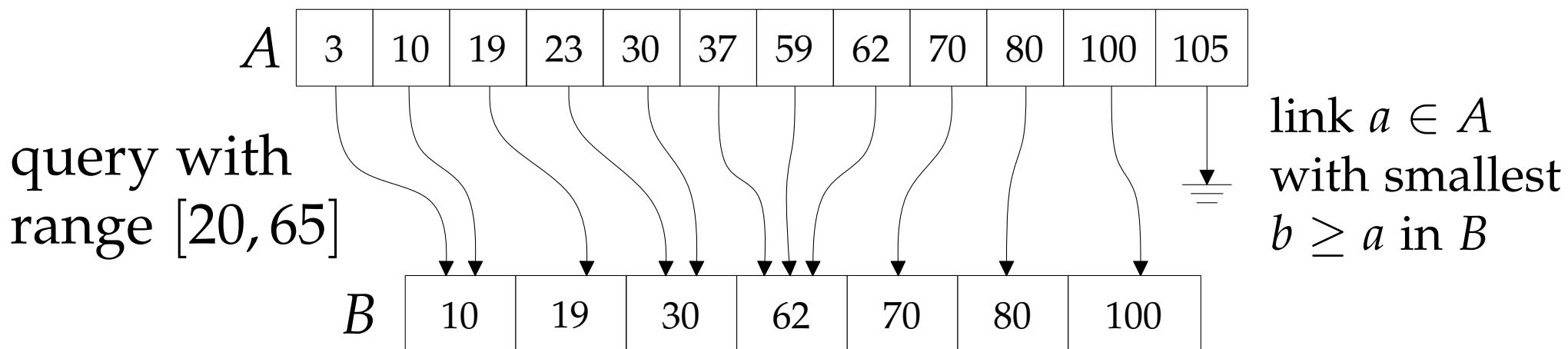
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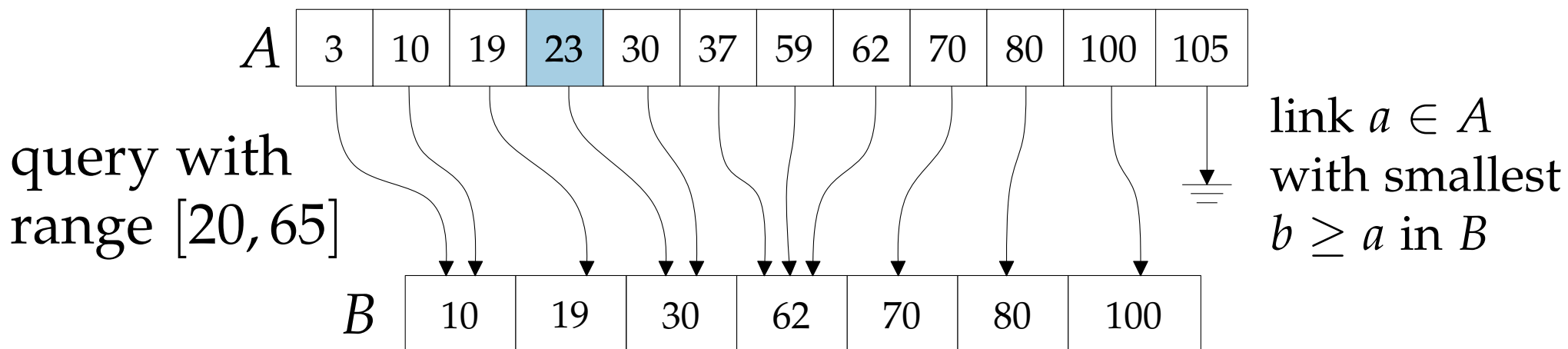




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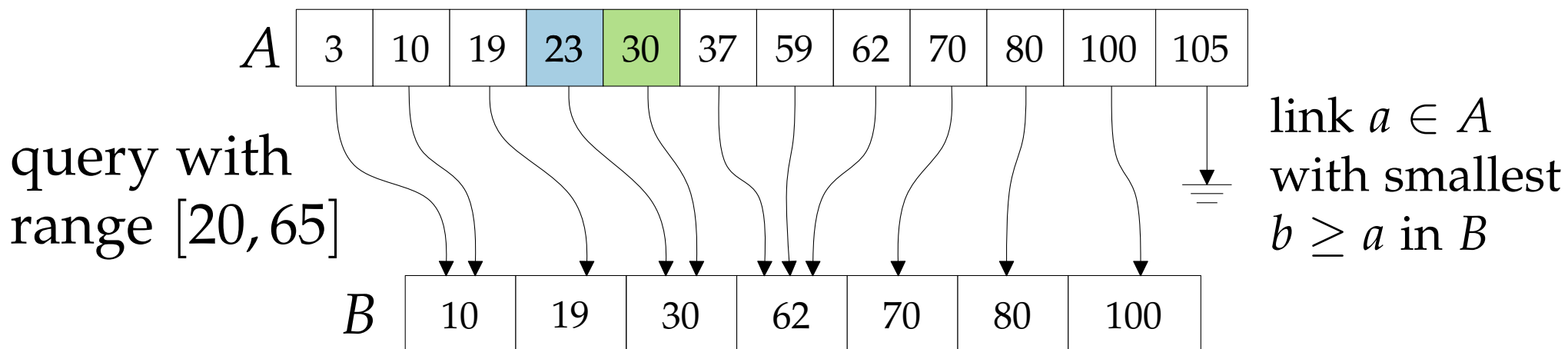
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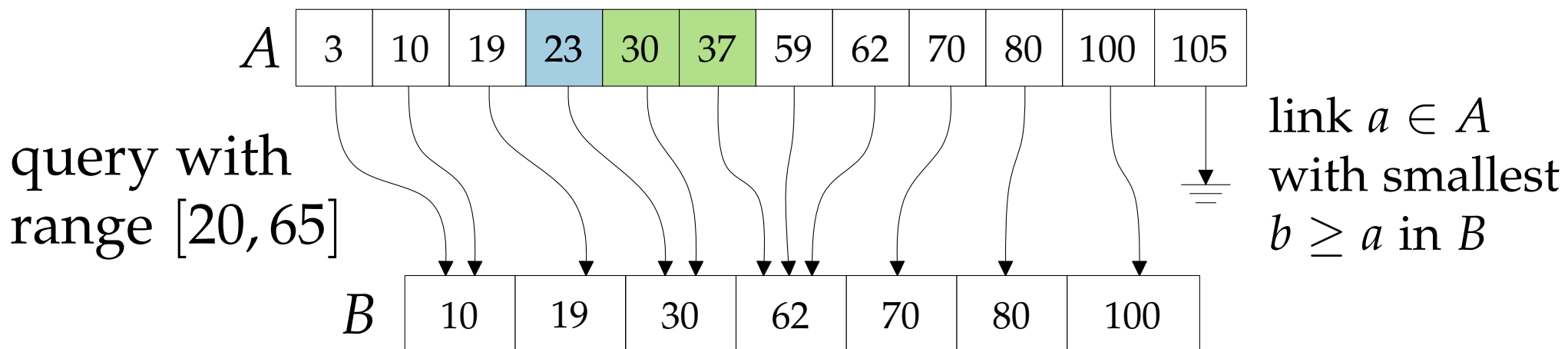
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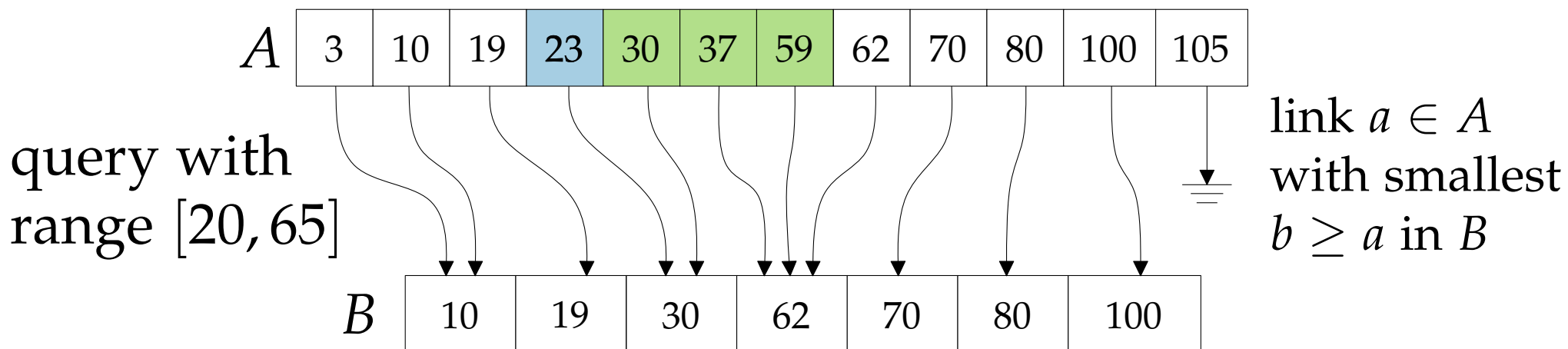
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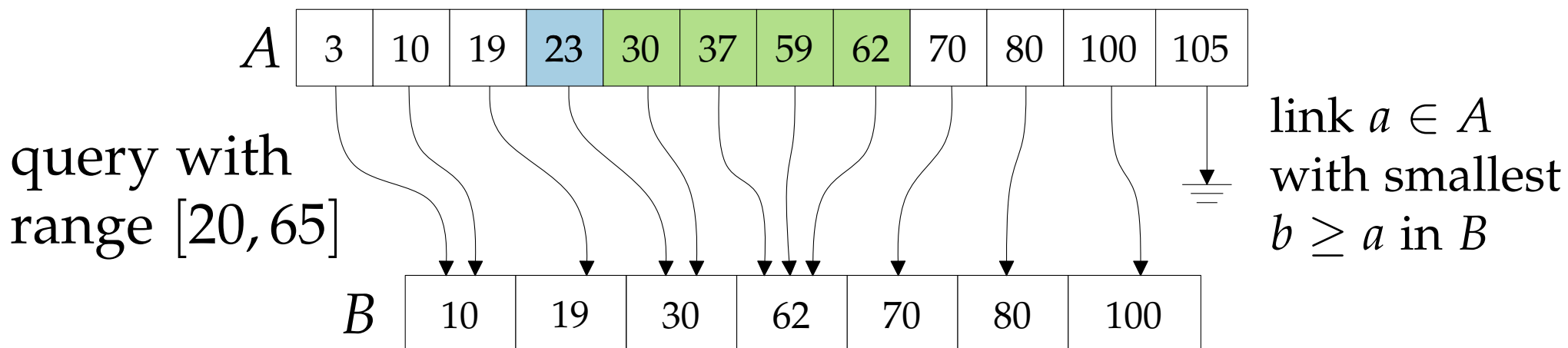
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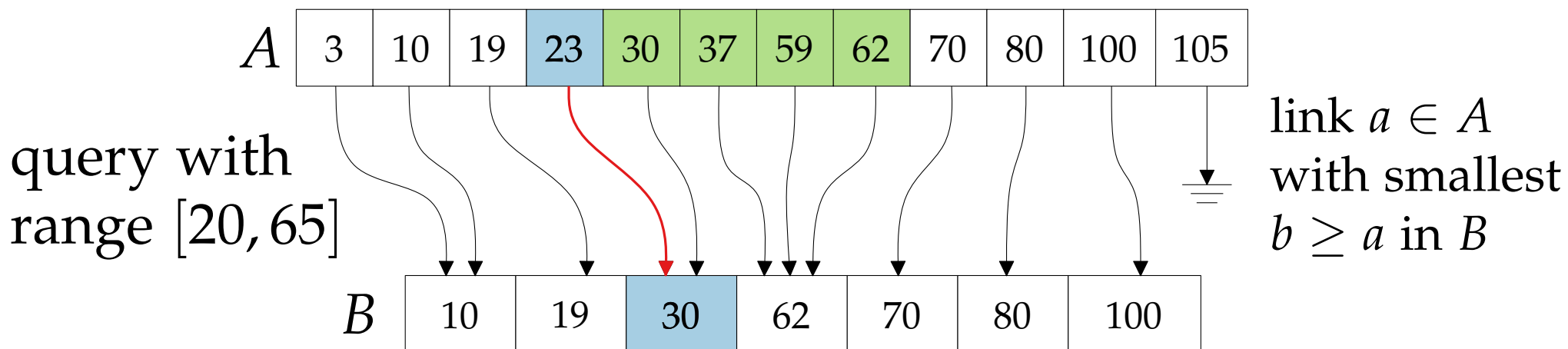
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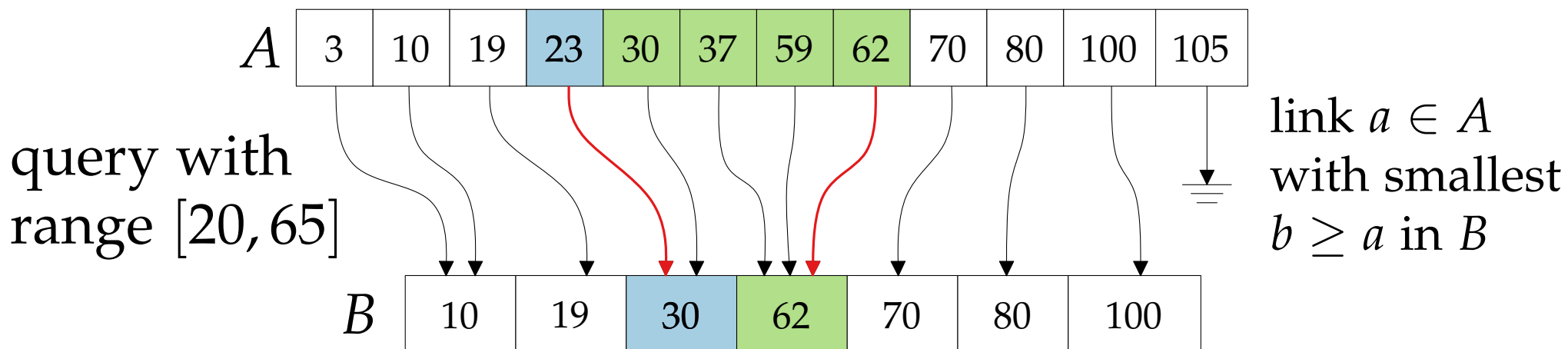
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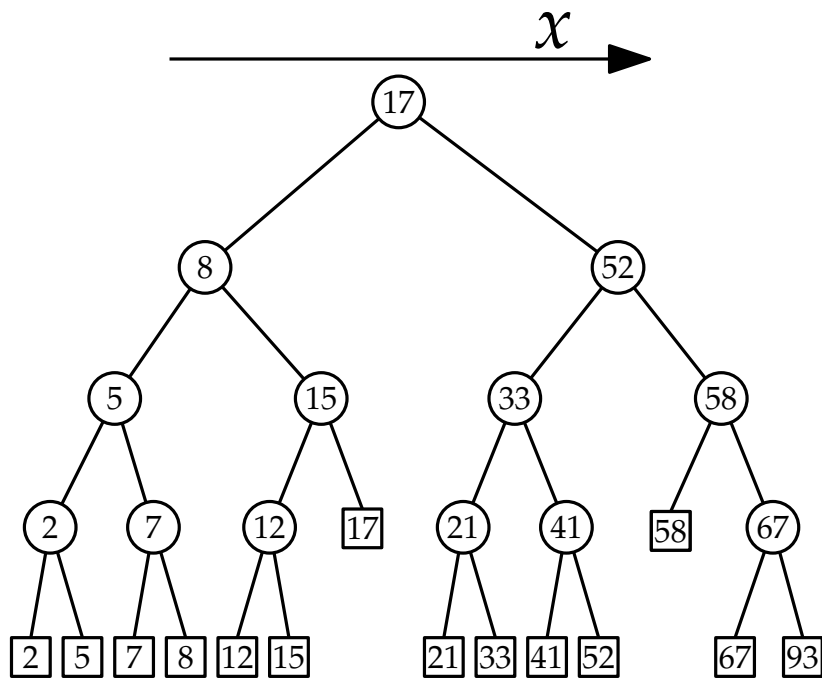
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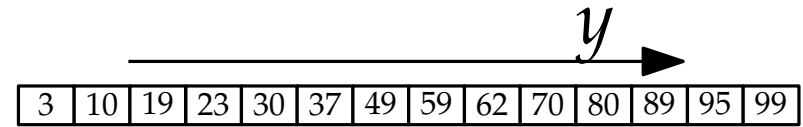
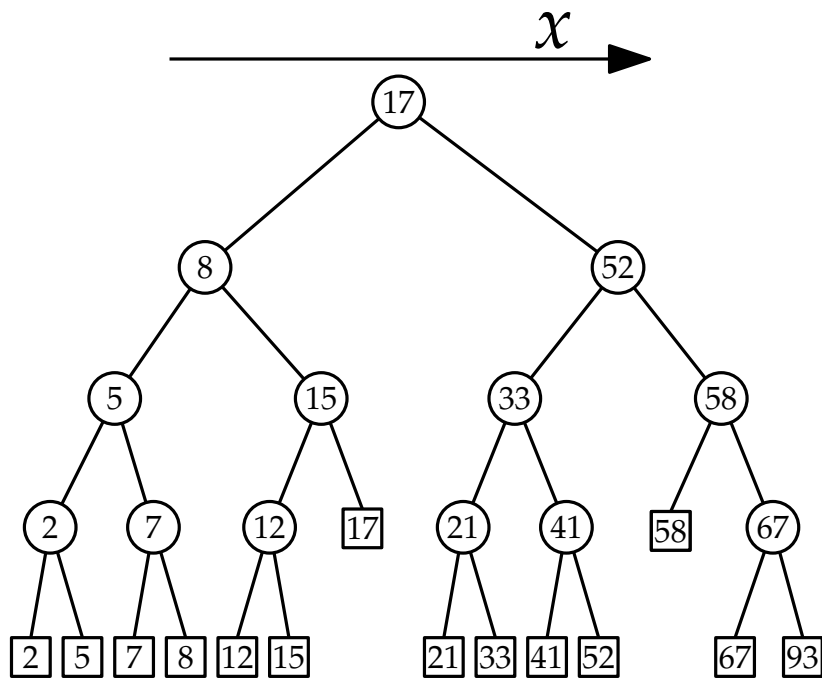


# Layered Range Trees

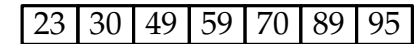
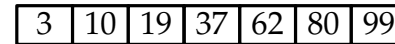
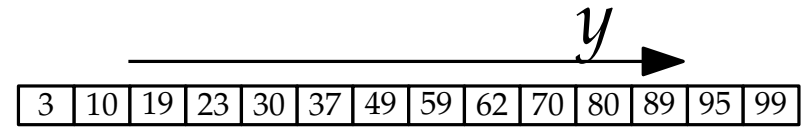
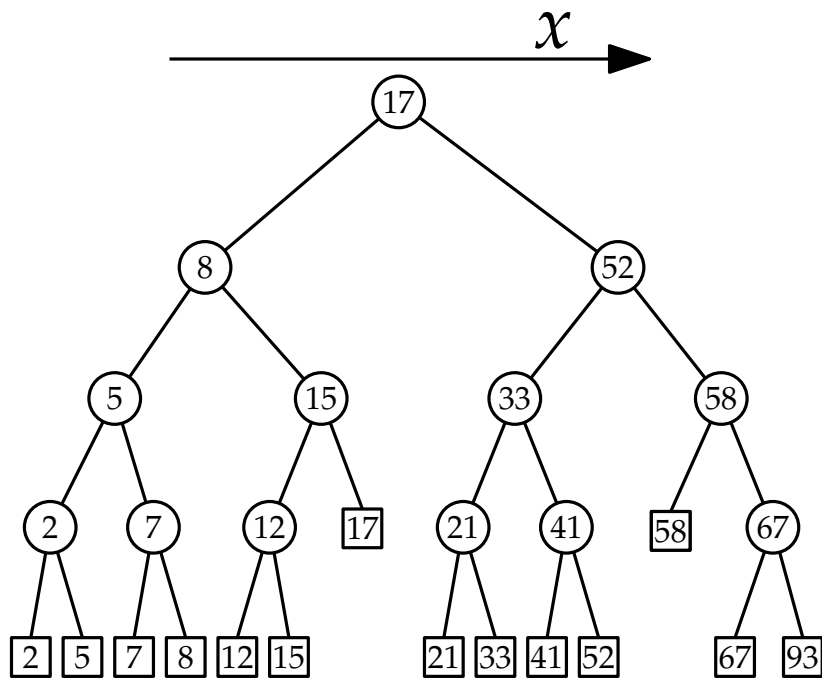




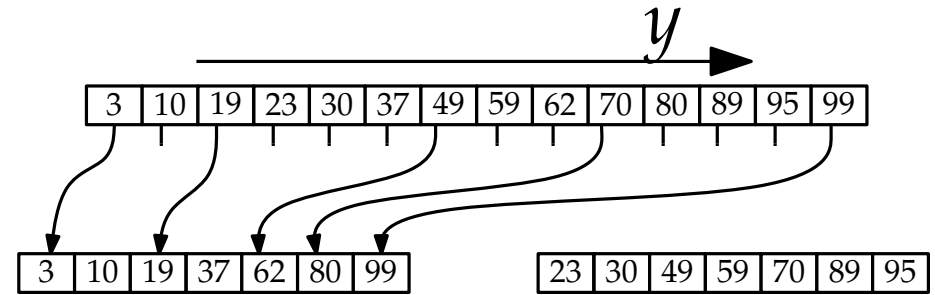
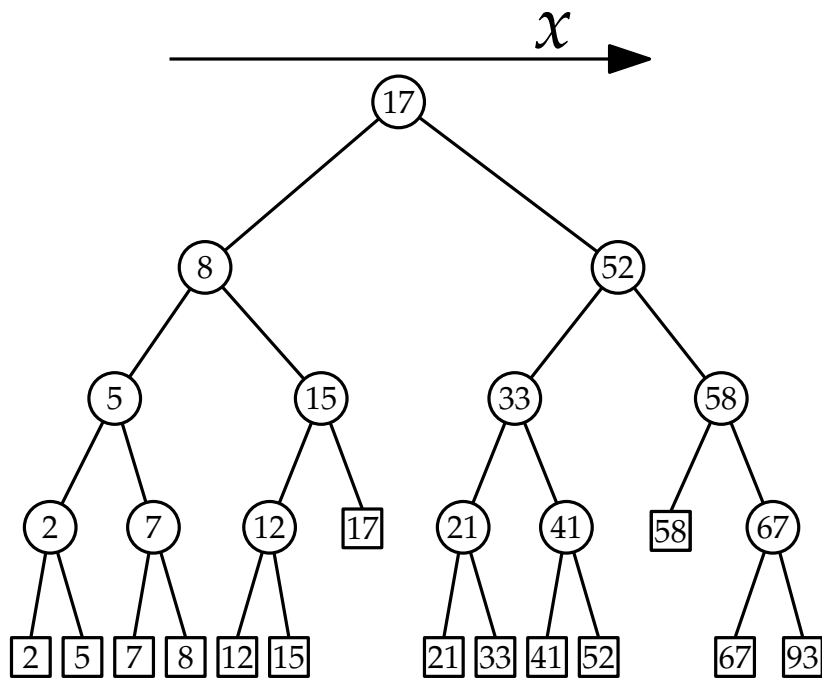
# Layered Range Trees



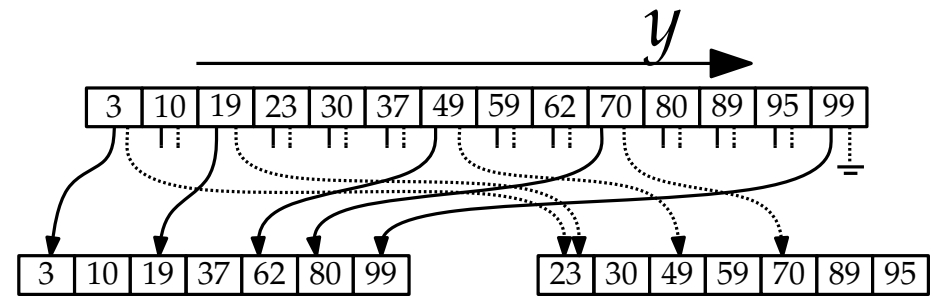
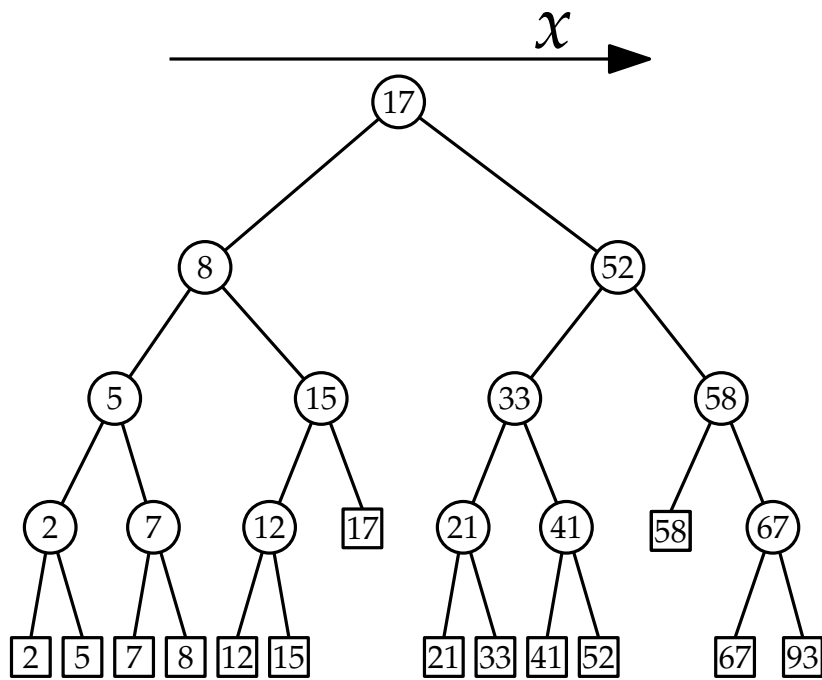
# Layered Range Trees



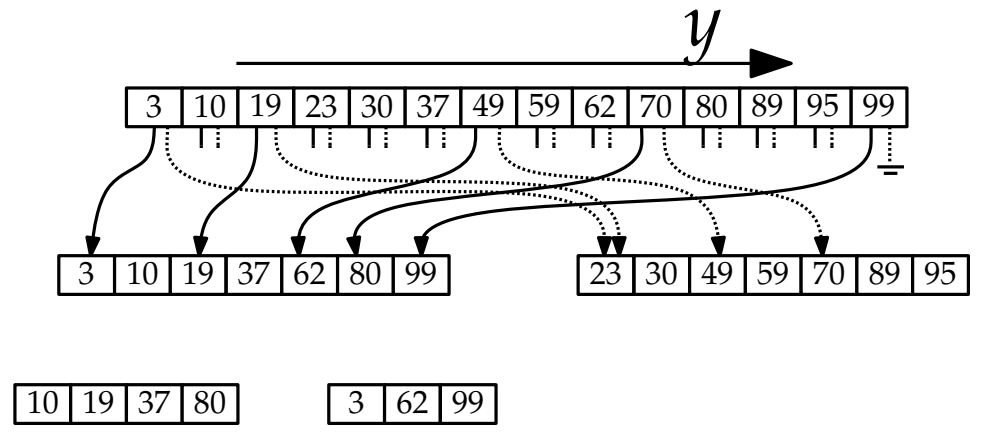
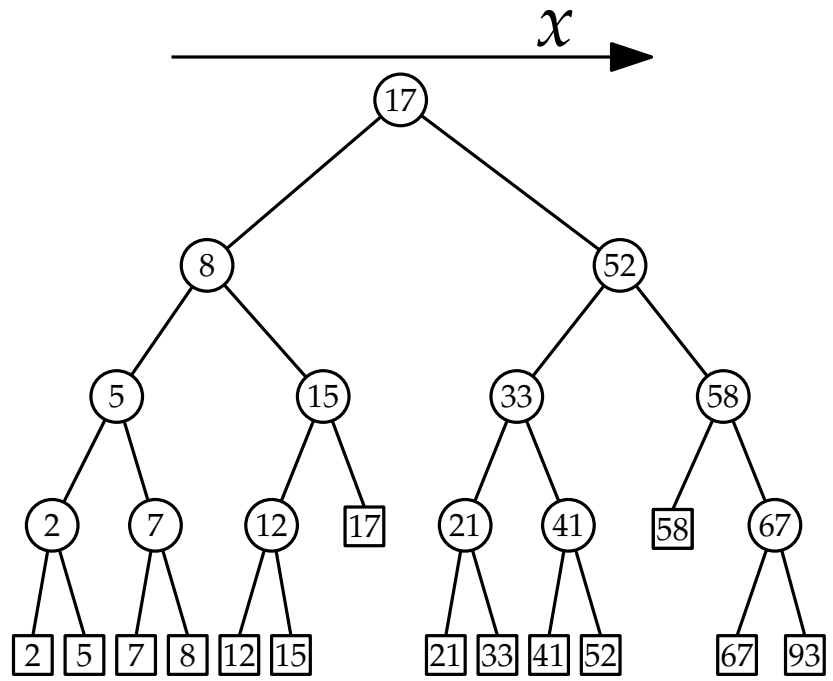
# Layered Range Trees



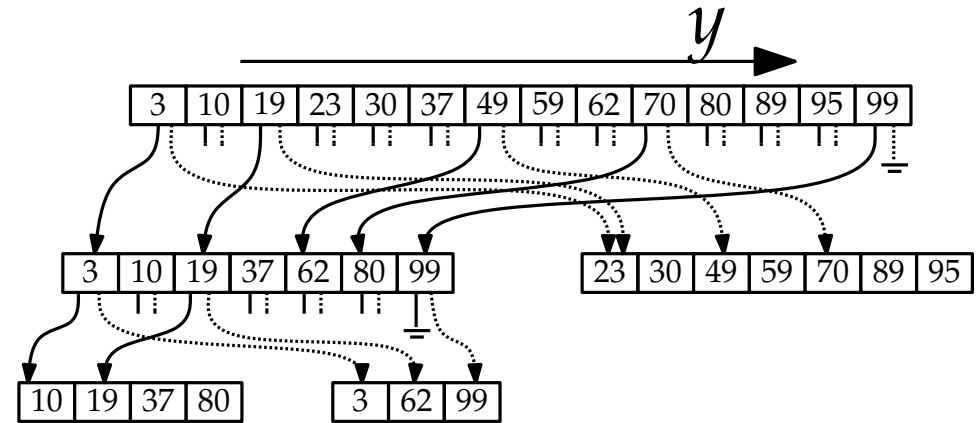
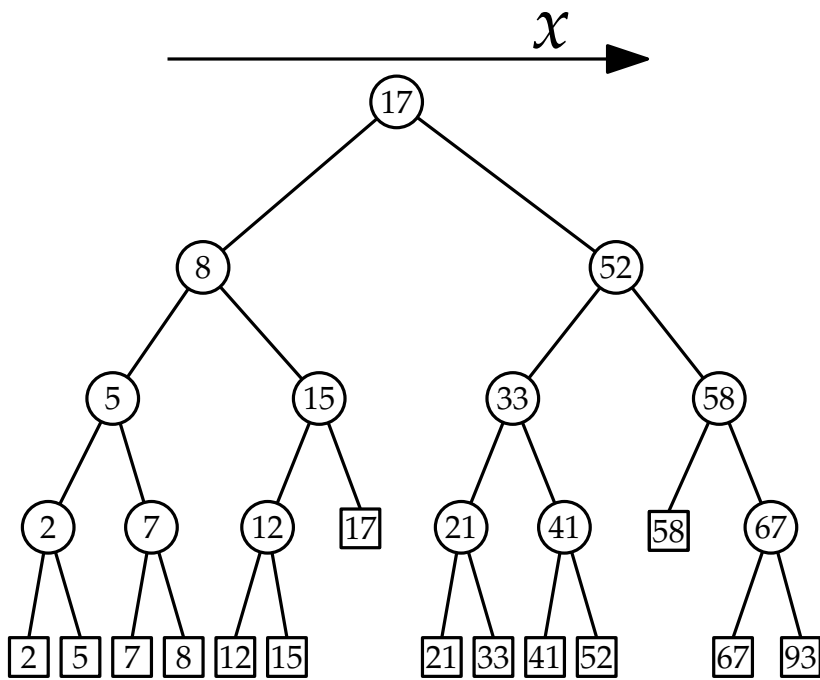
# Layered Range Trees



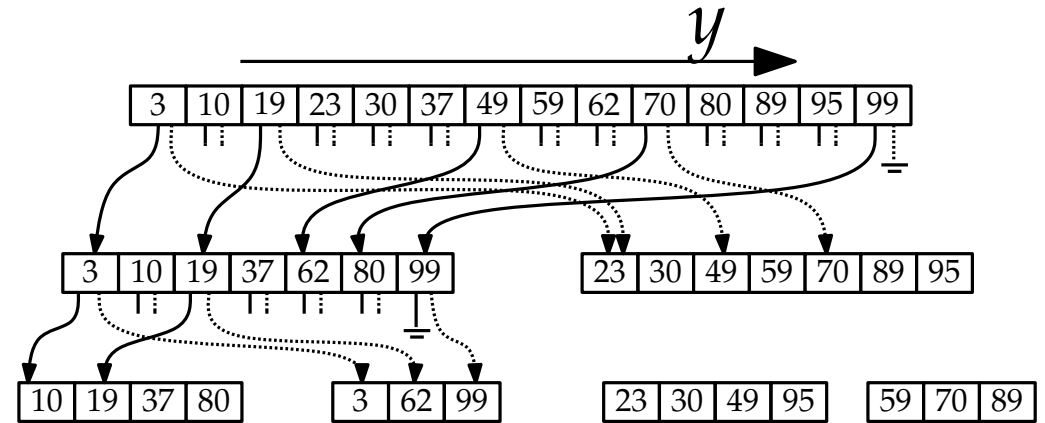
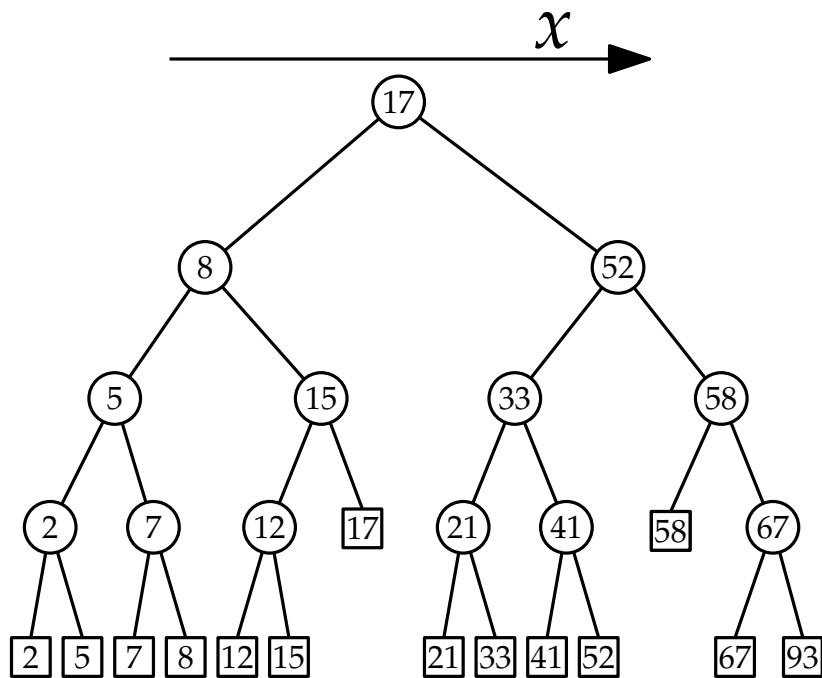
# Layered Range Trees



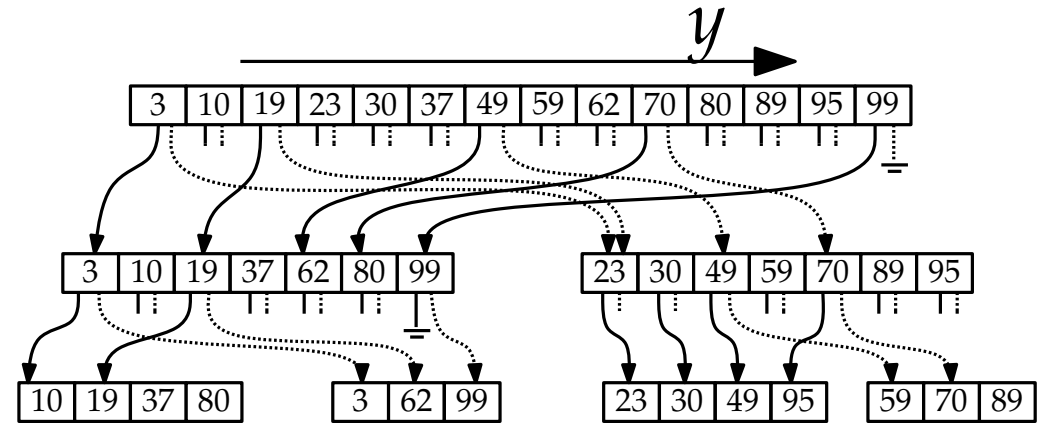
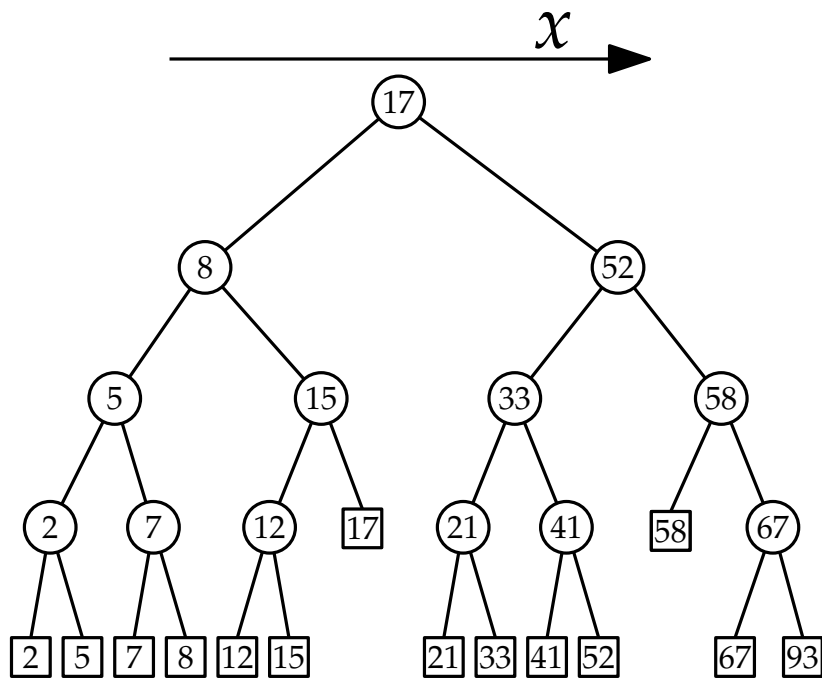
# Layered Range Trees



# Layered Range Trees

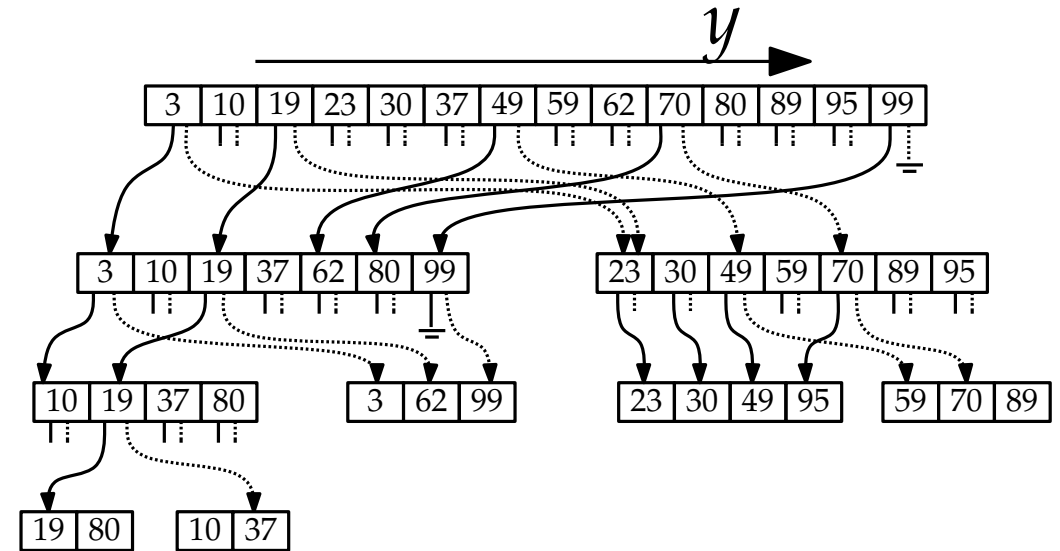
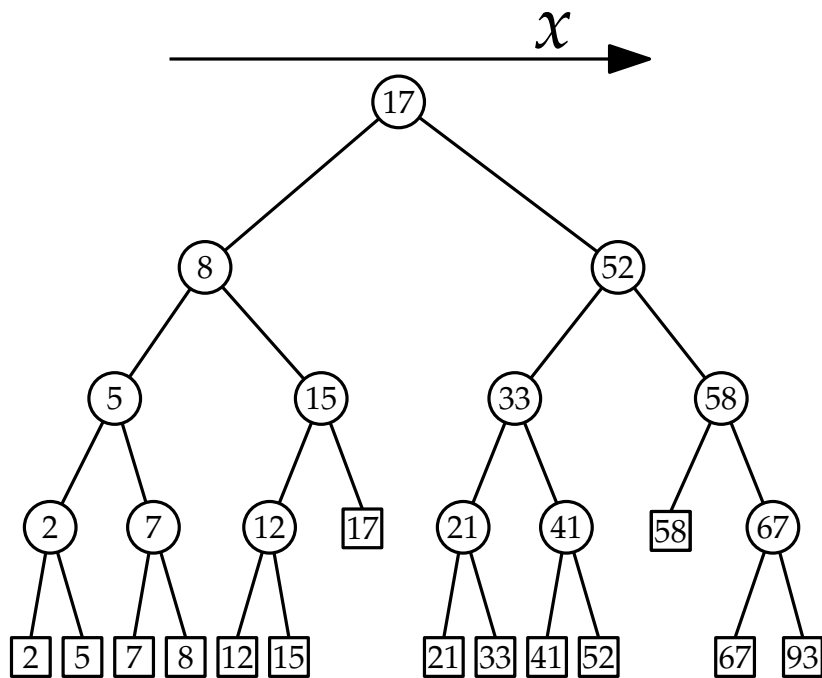


# Layered Range Trees

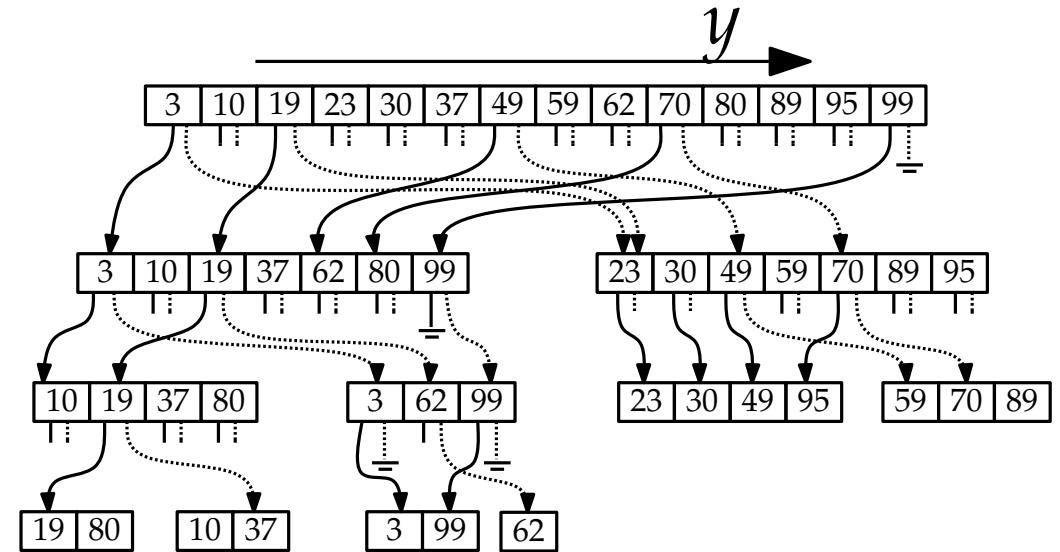
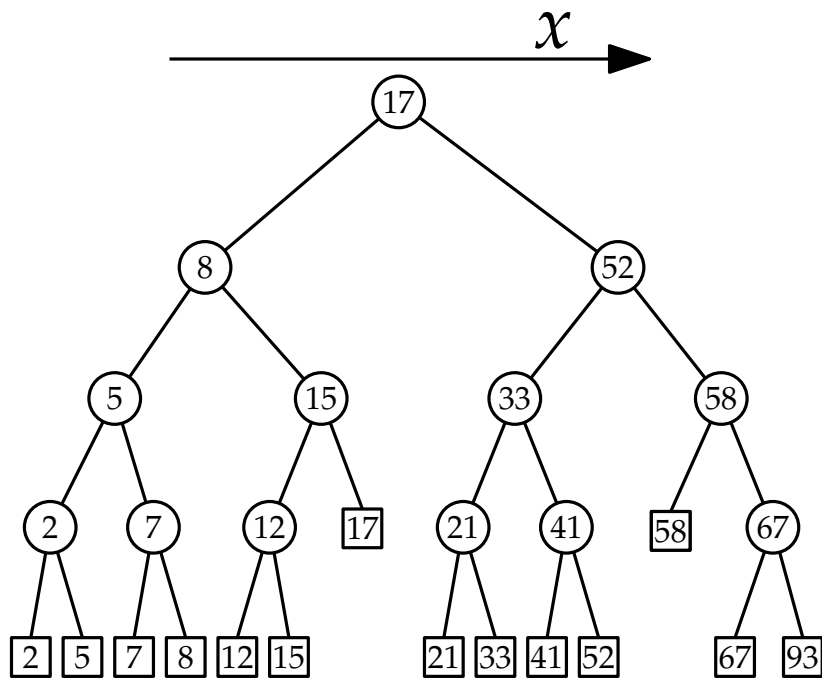




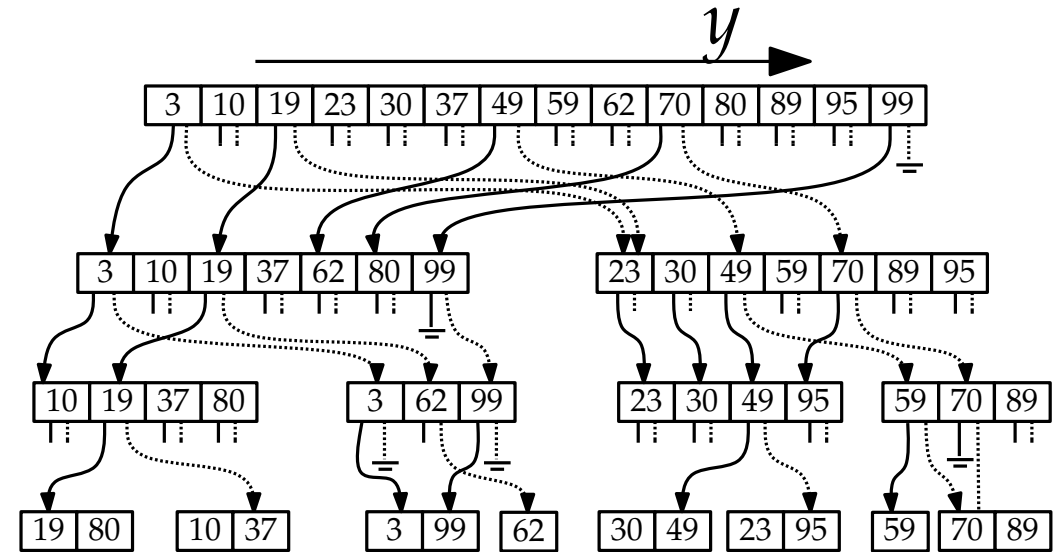
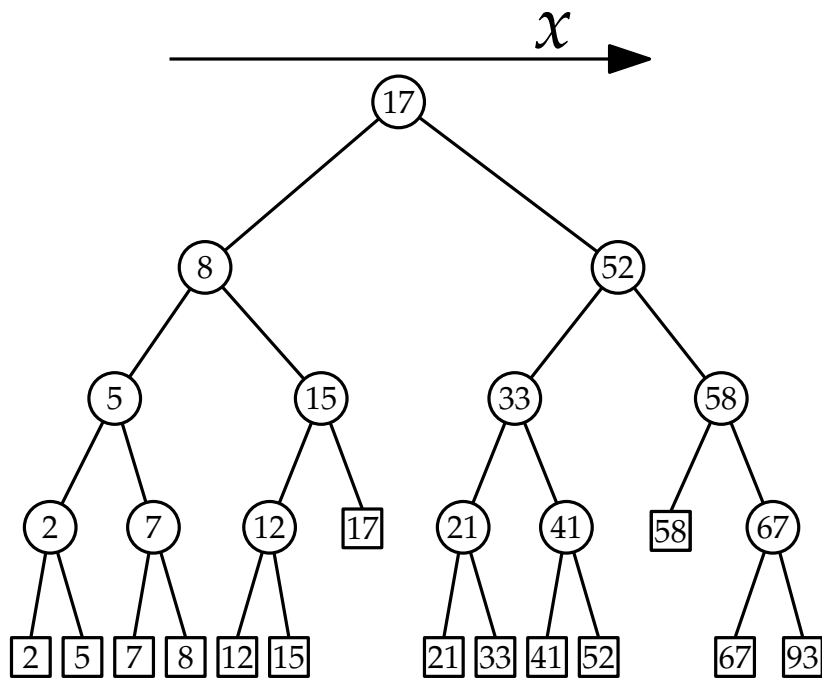
# Layered Range Trees



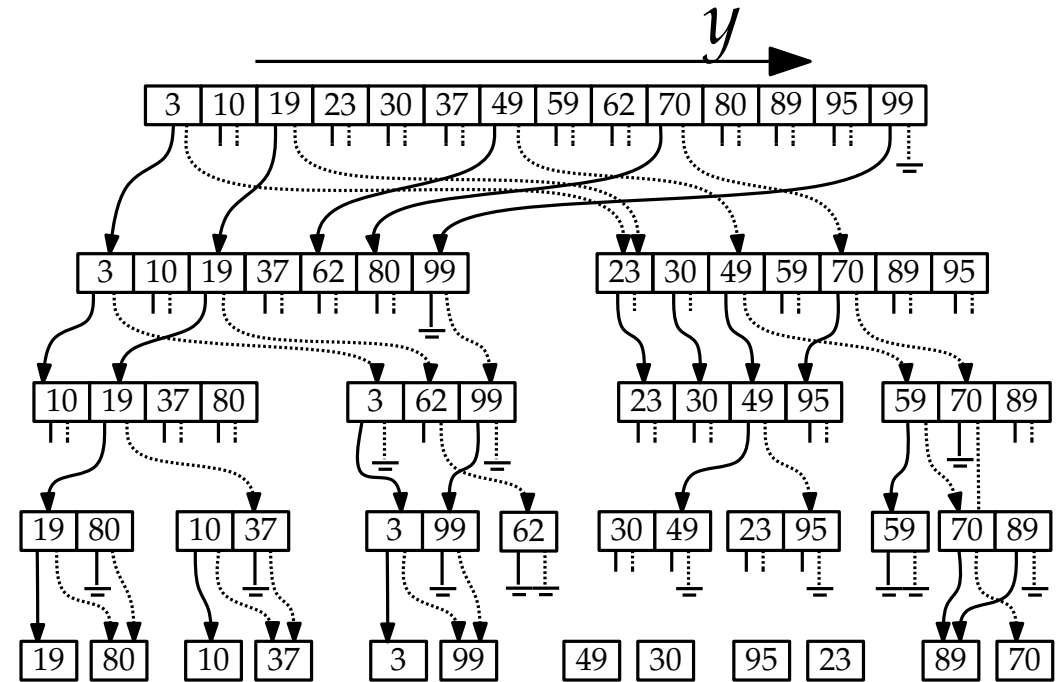
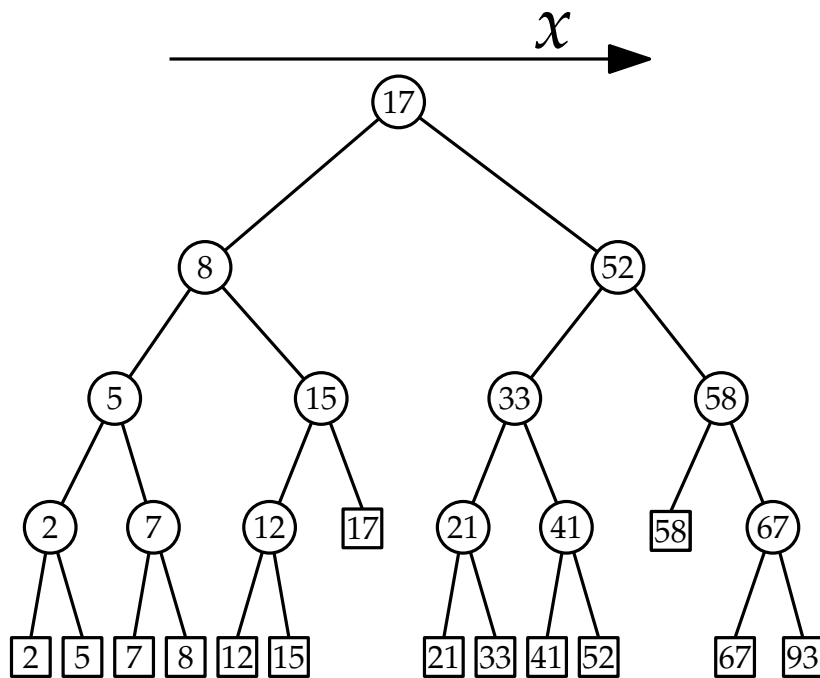
# Layered Range Trees



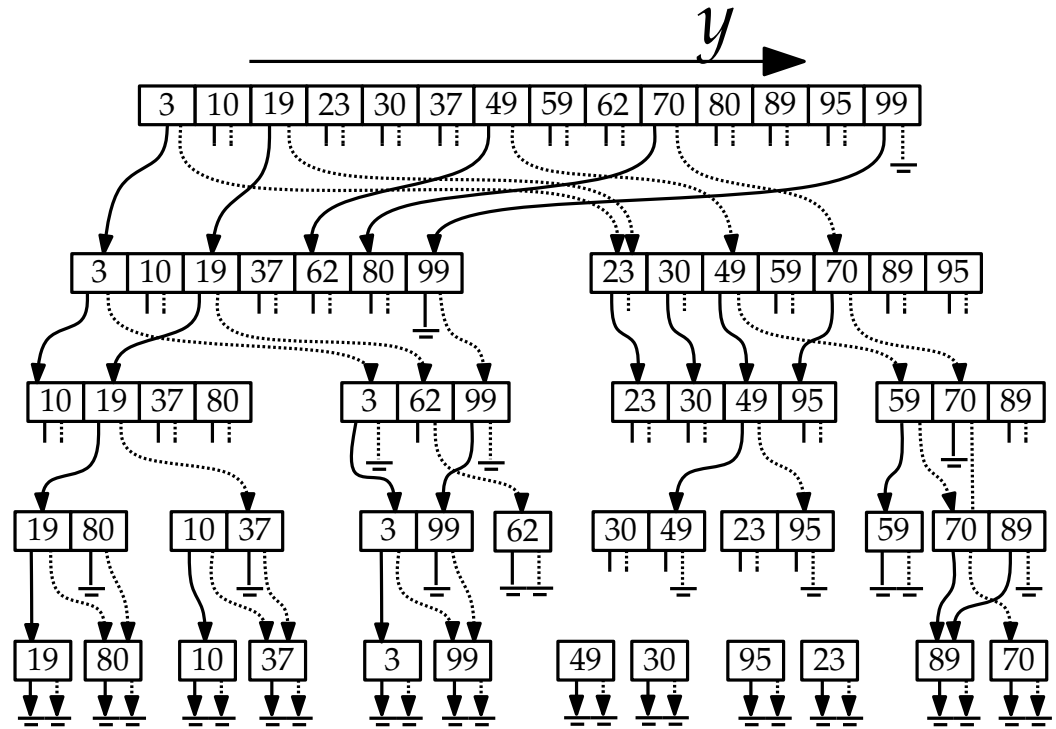
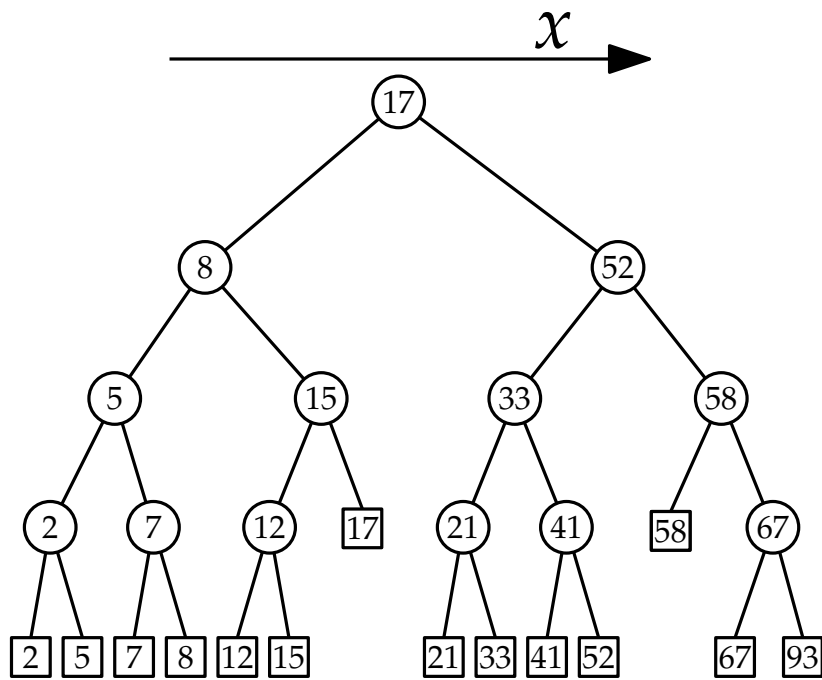
# Layered Range Trees



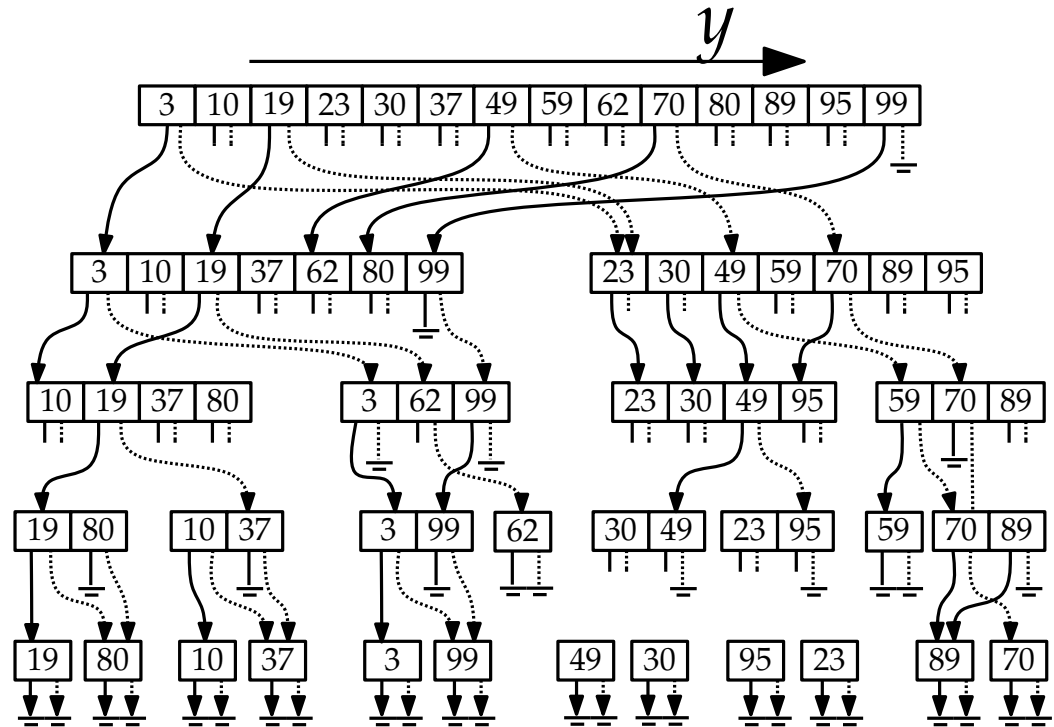
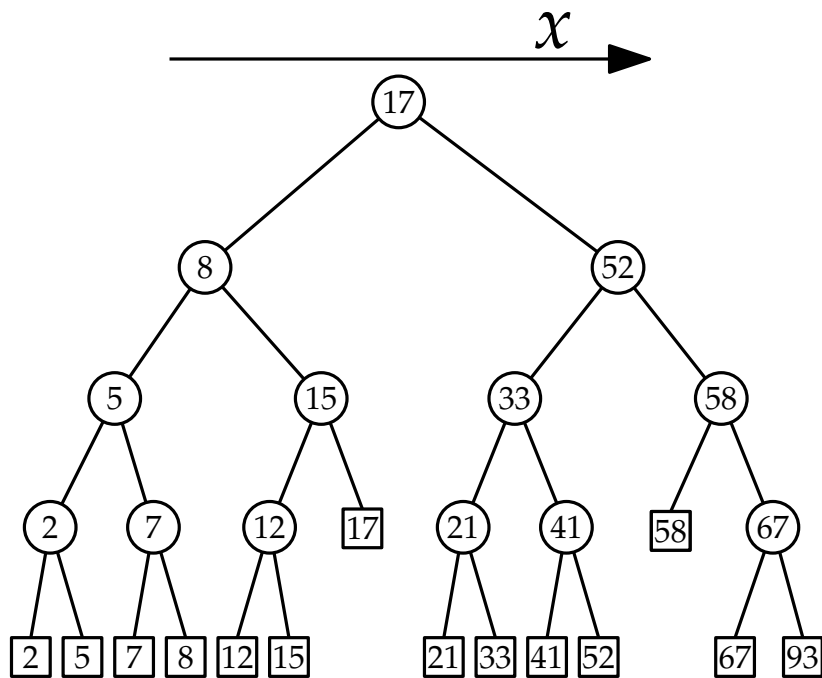
# Layered Range Trees



# Layered Range Trees

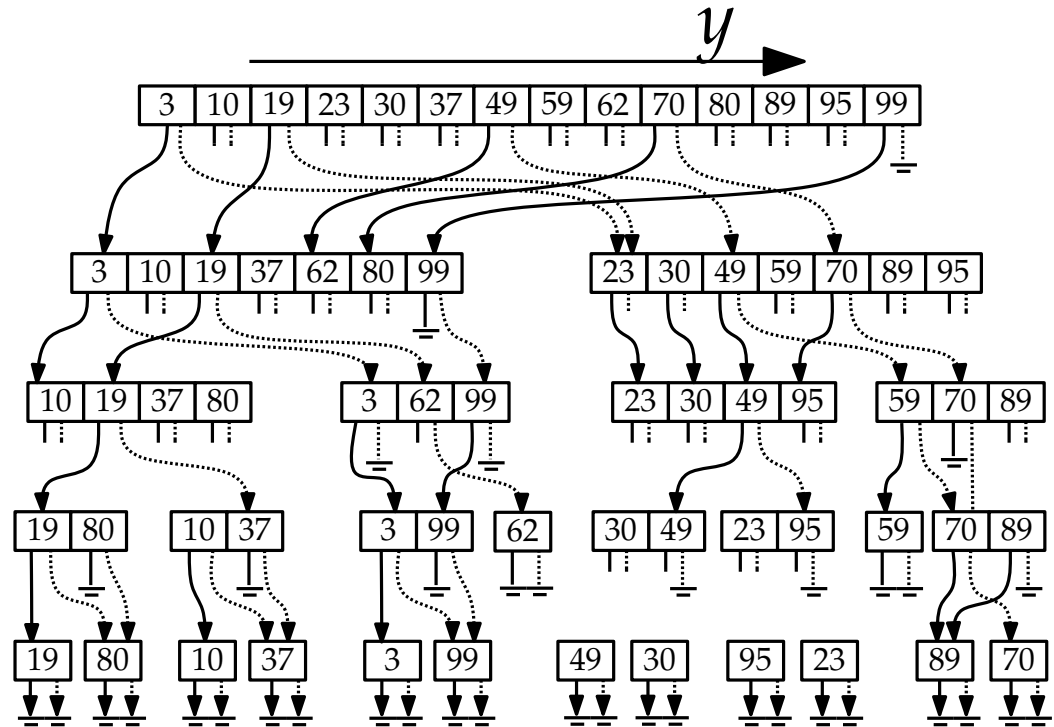
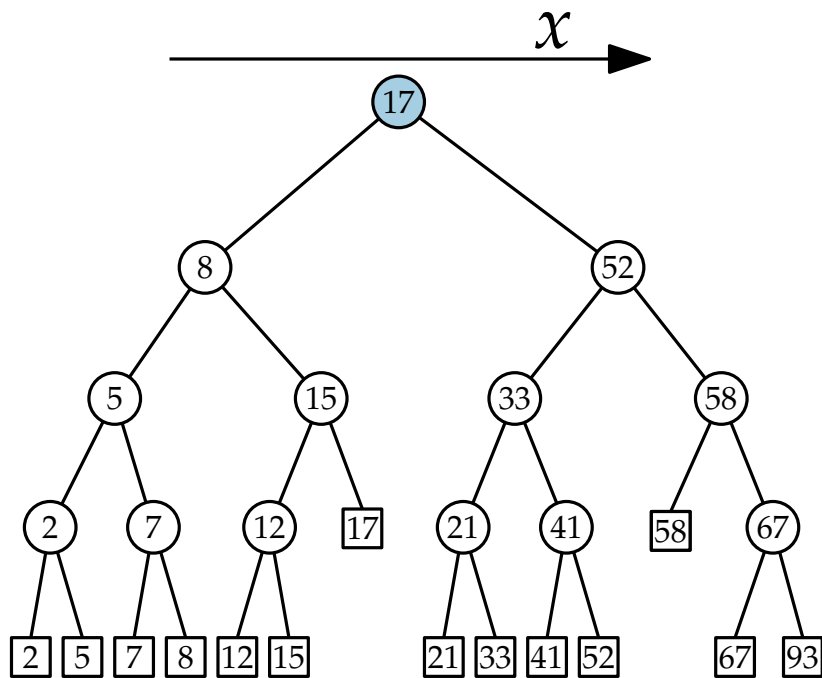


# Layered Range Trees



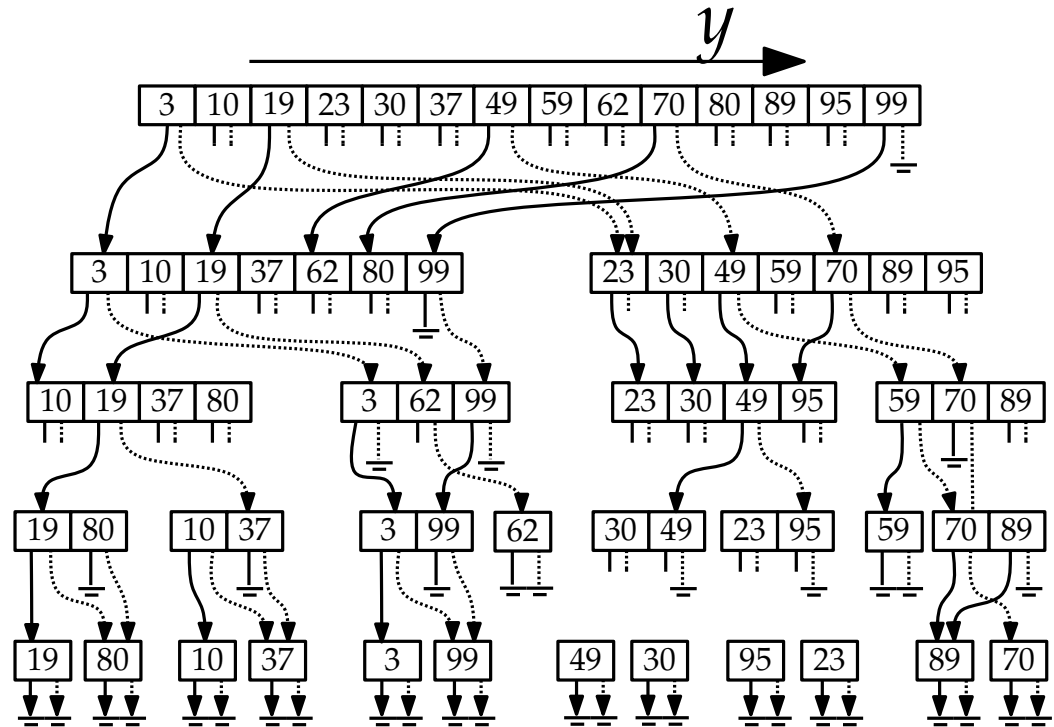
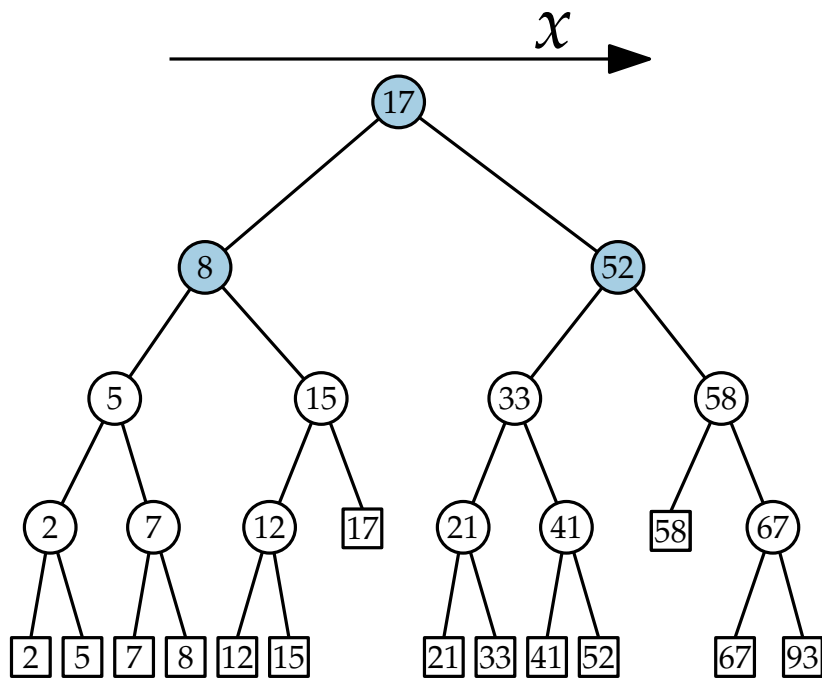
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



$$[16, 53] \times [18, 60]$$

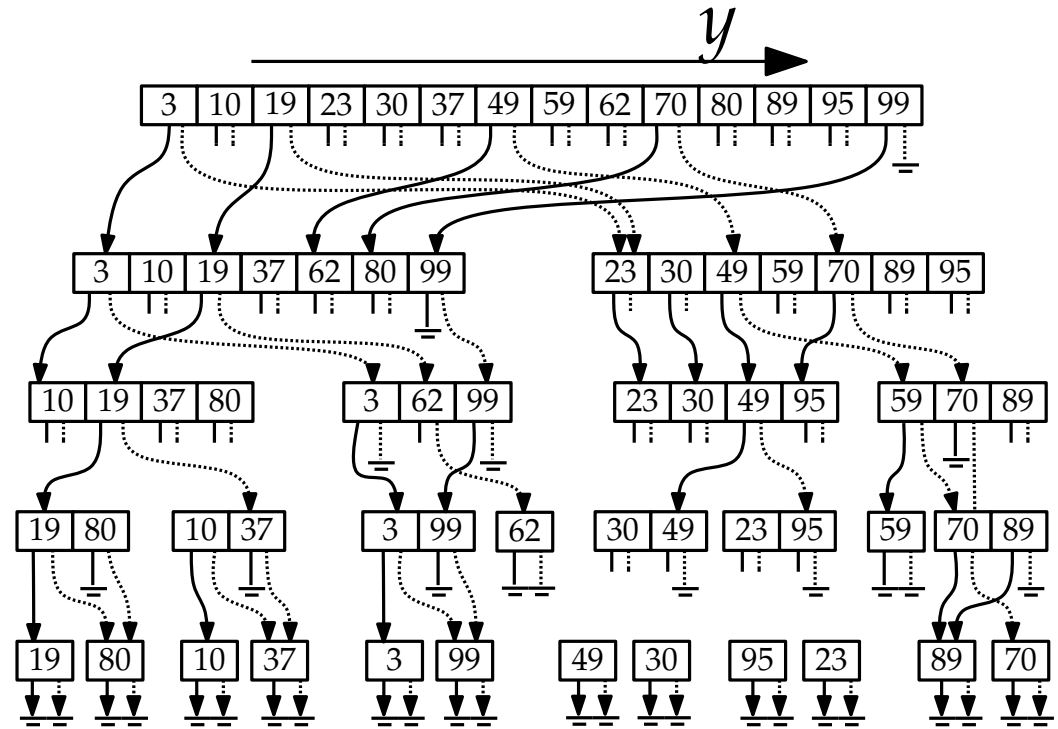
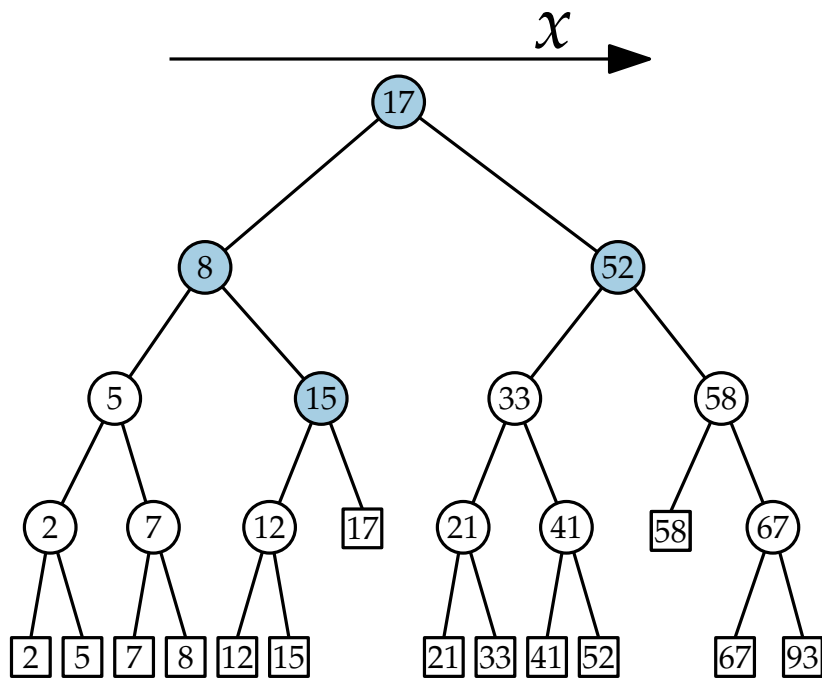
# Layered Range Trees



$$[16, 53] \times [18, 60]$$

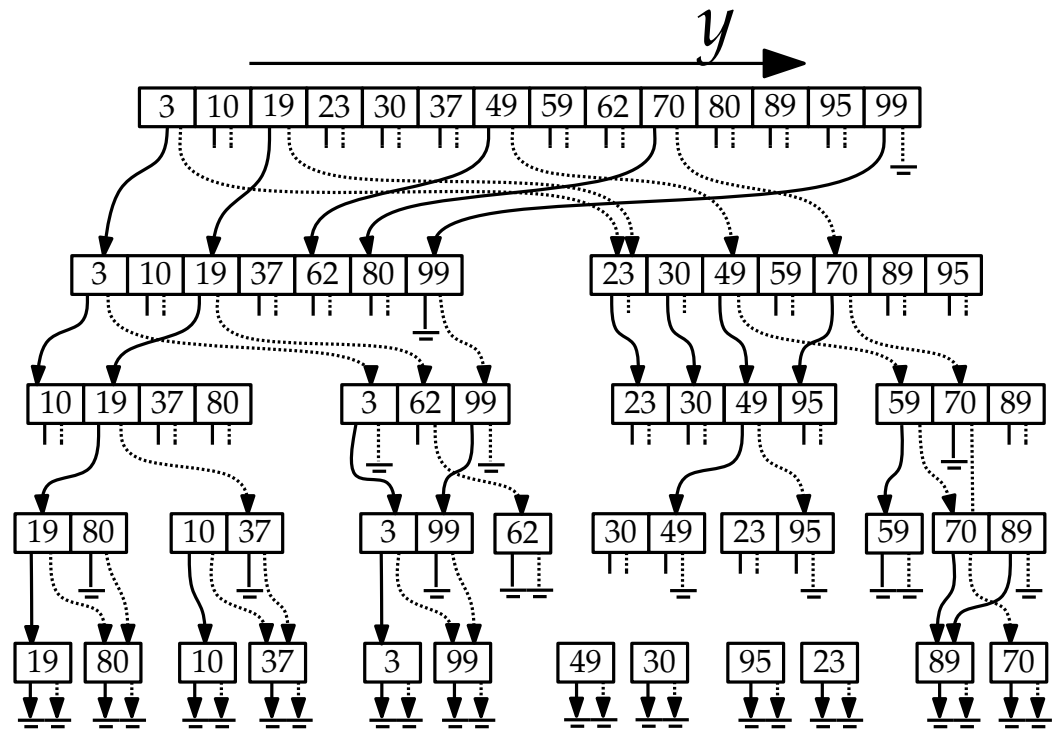
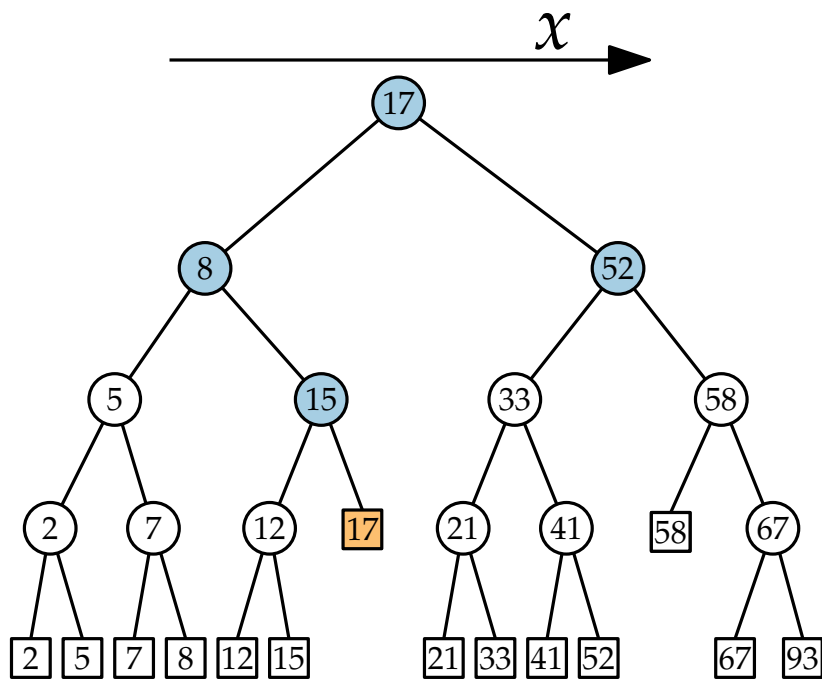


# Layered Range Trees



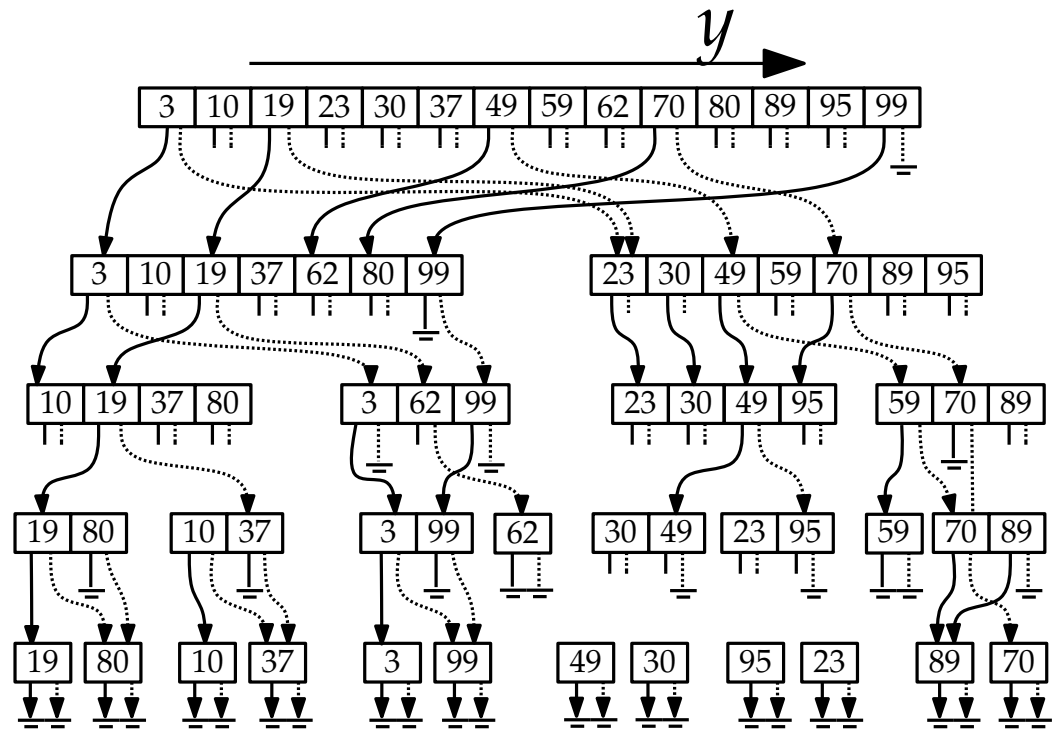
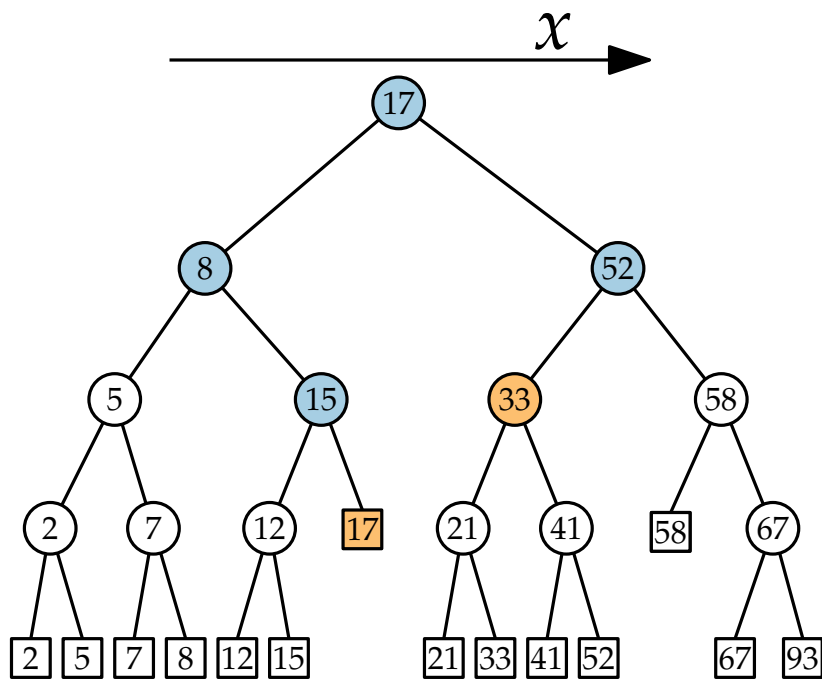
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



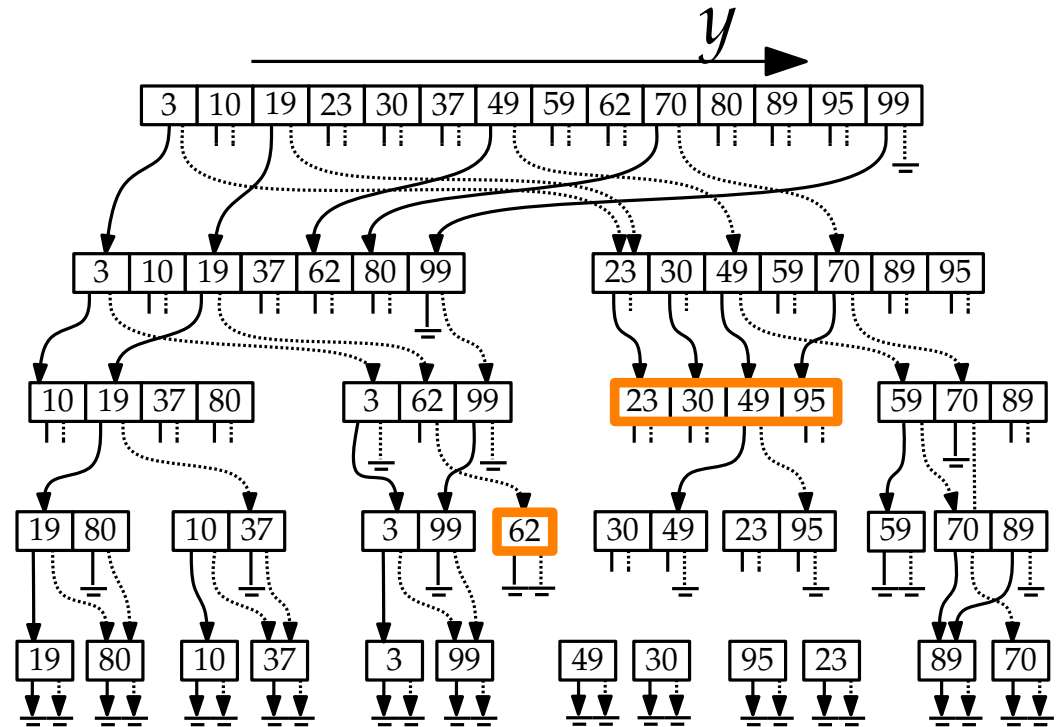
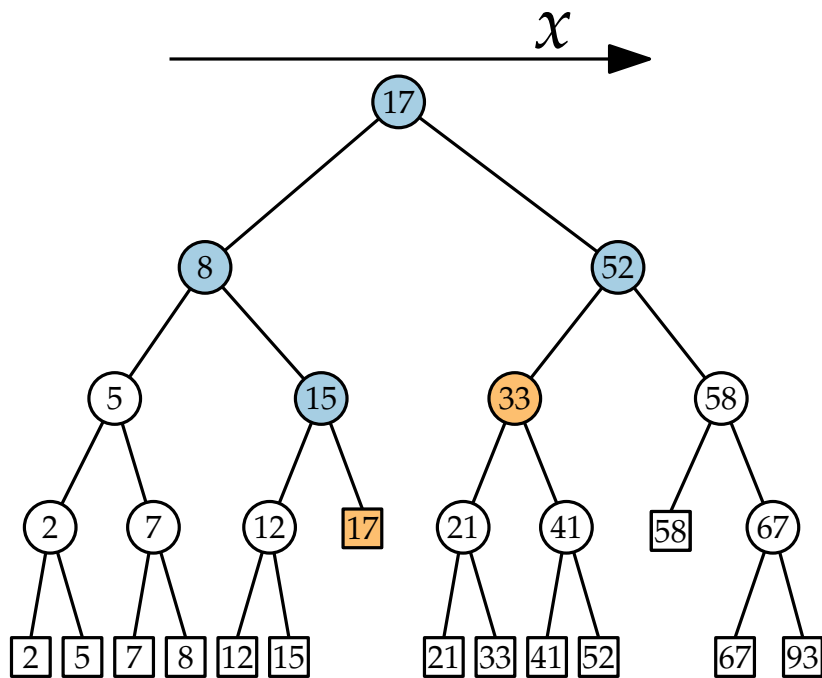
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



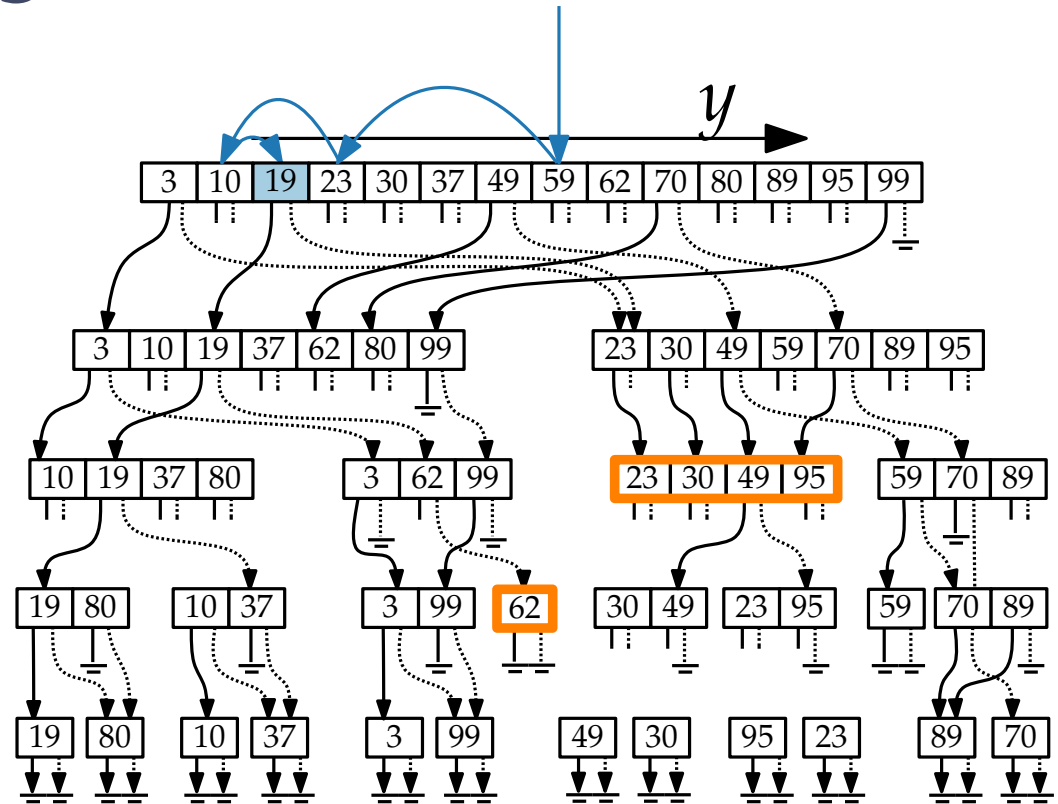
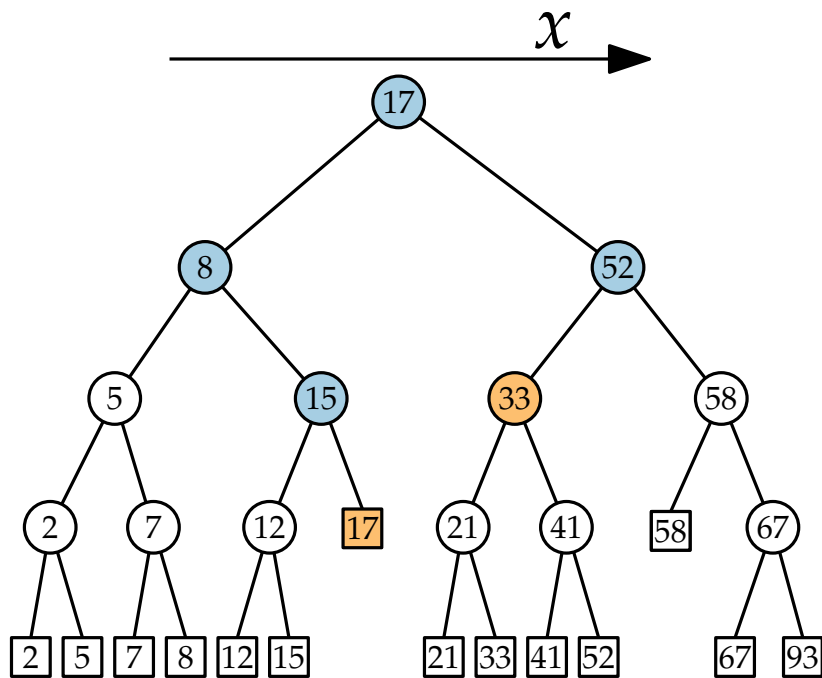
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



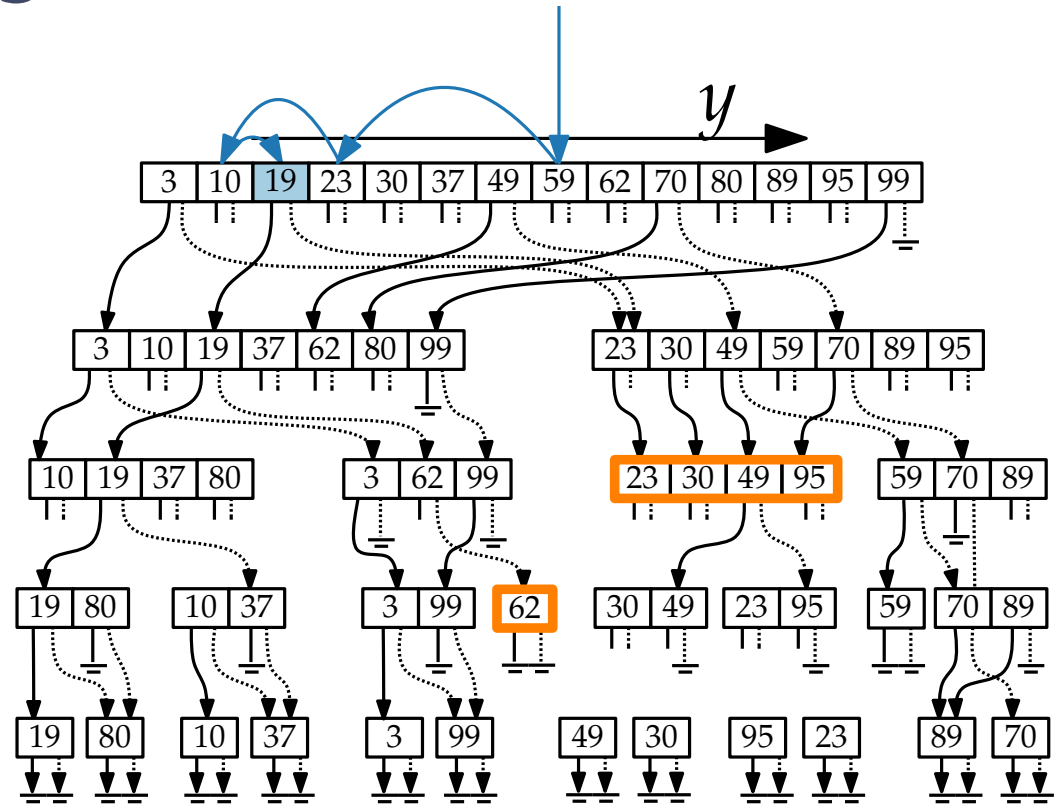
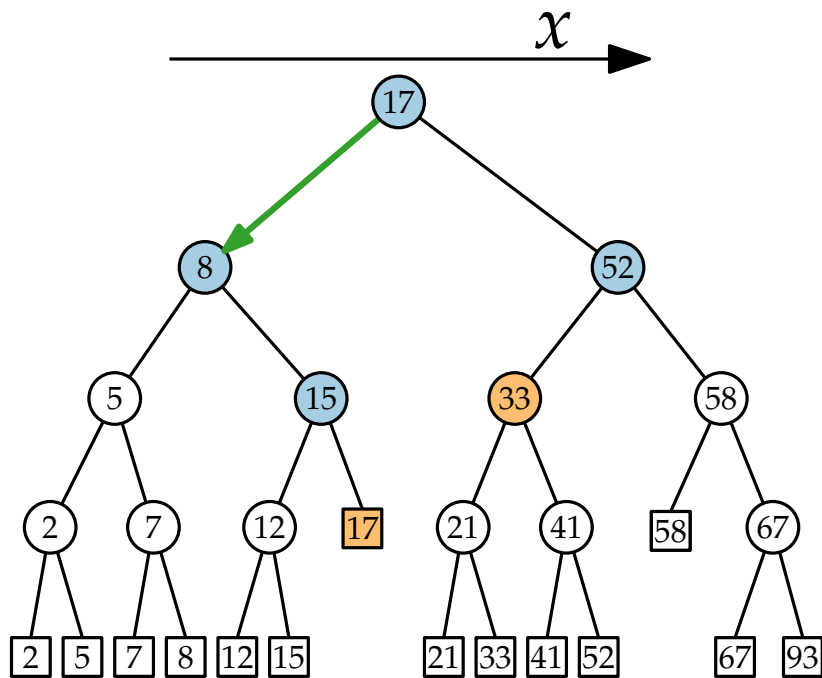
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



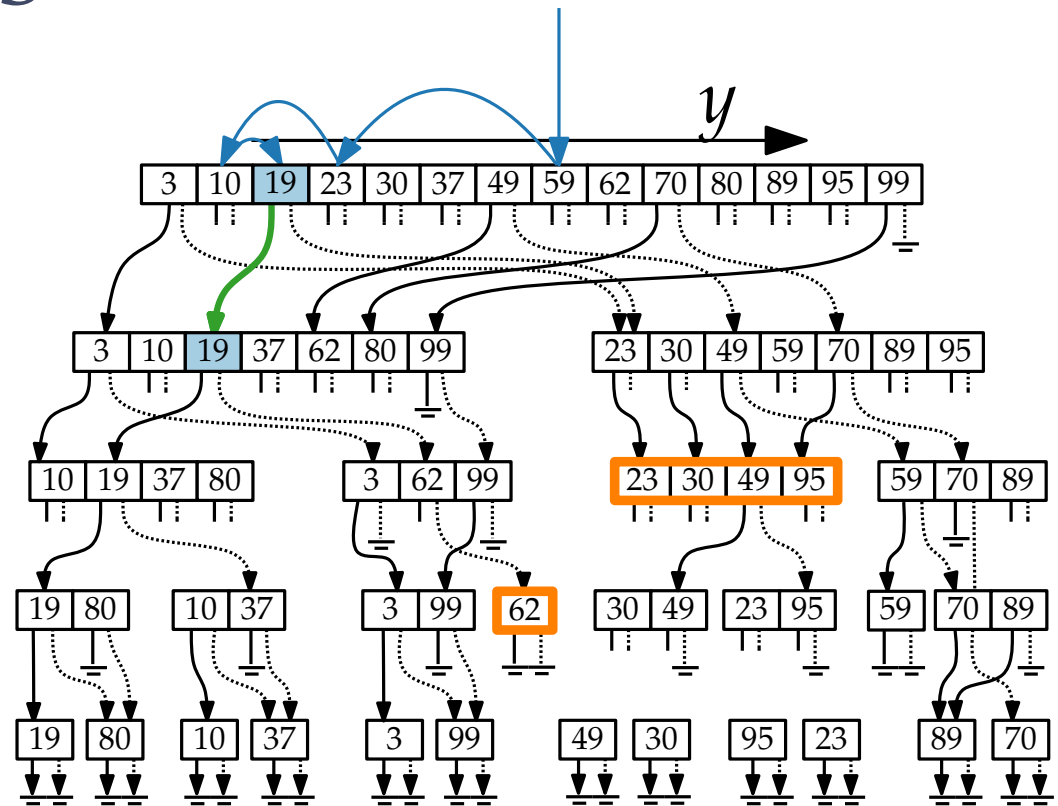
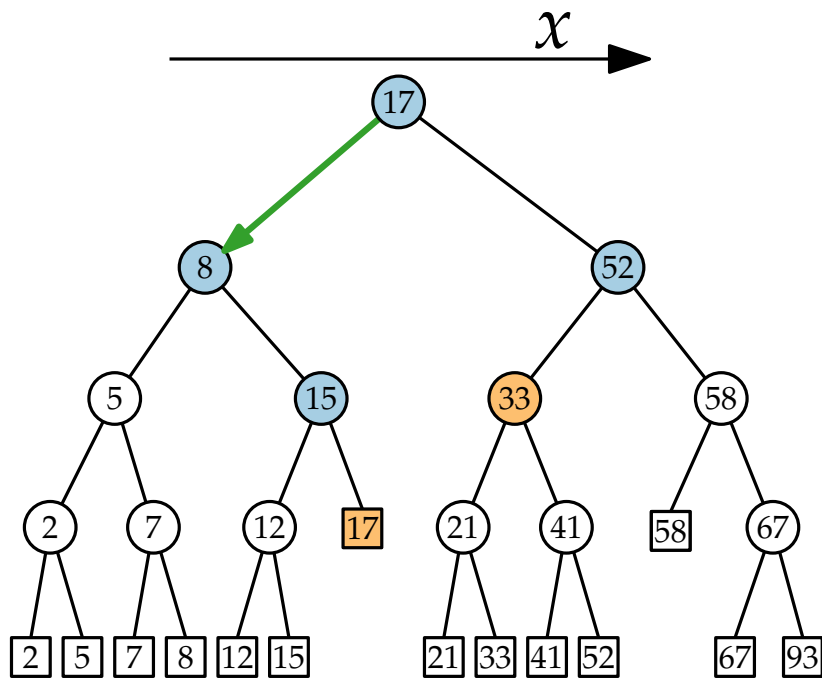
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



$$[16, 53] \times [18, 60]$$

# Layered Range Trees

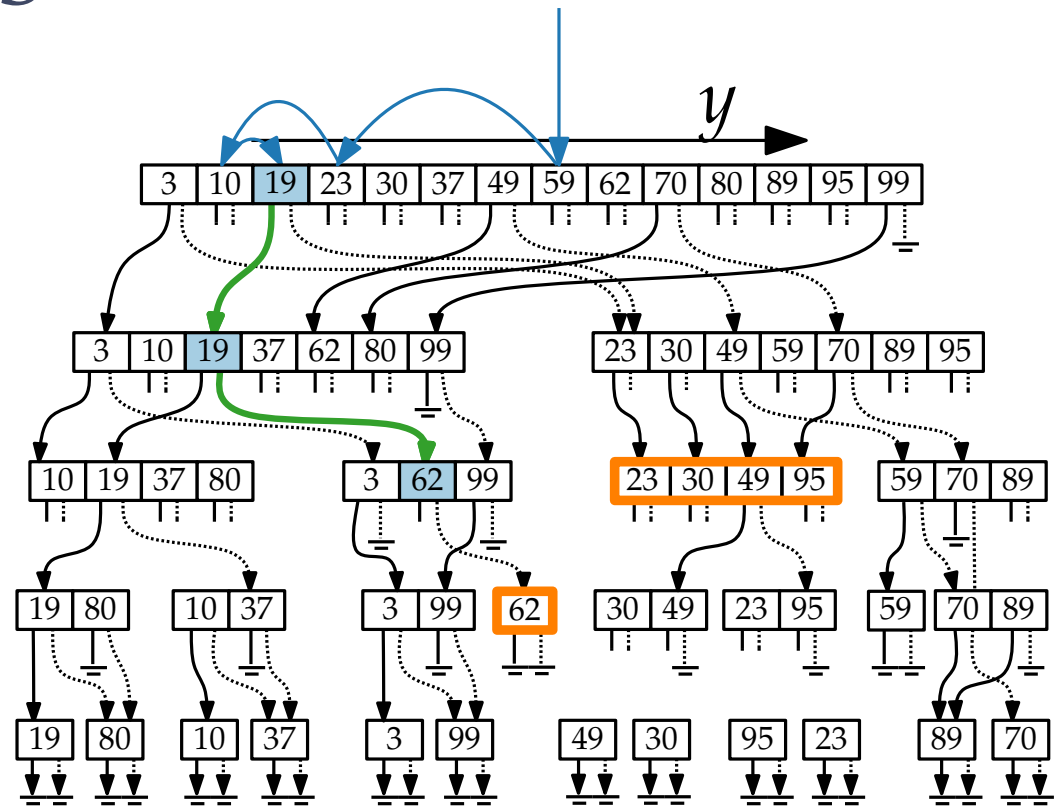
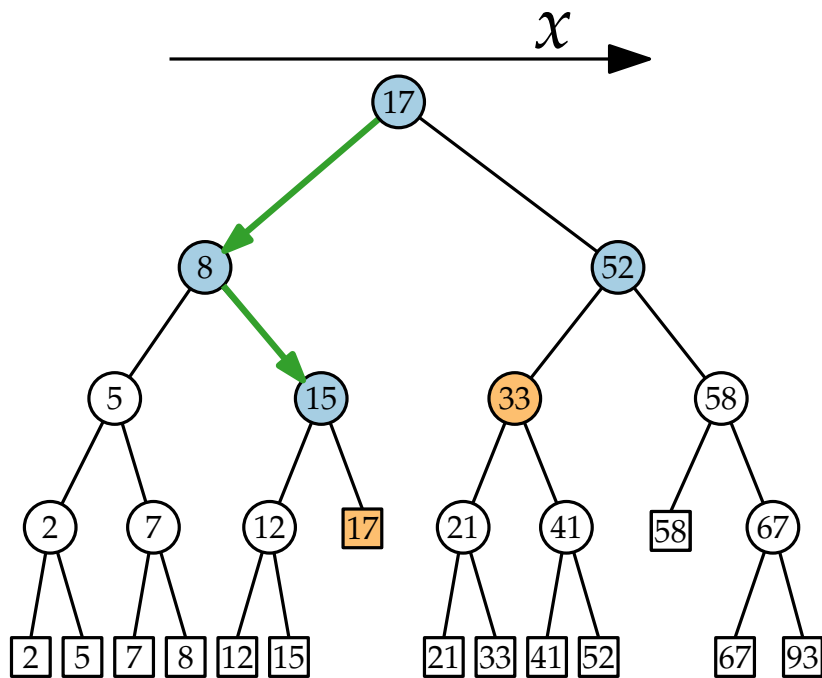


$$[16, 53] \times [18, 60]$$



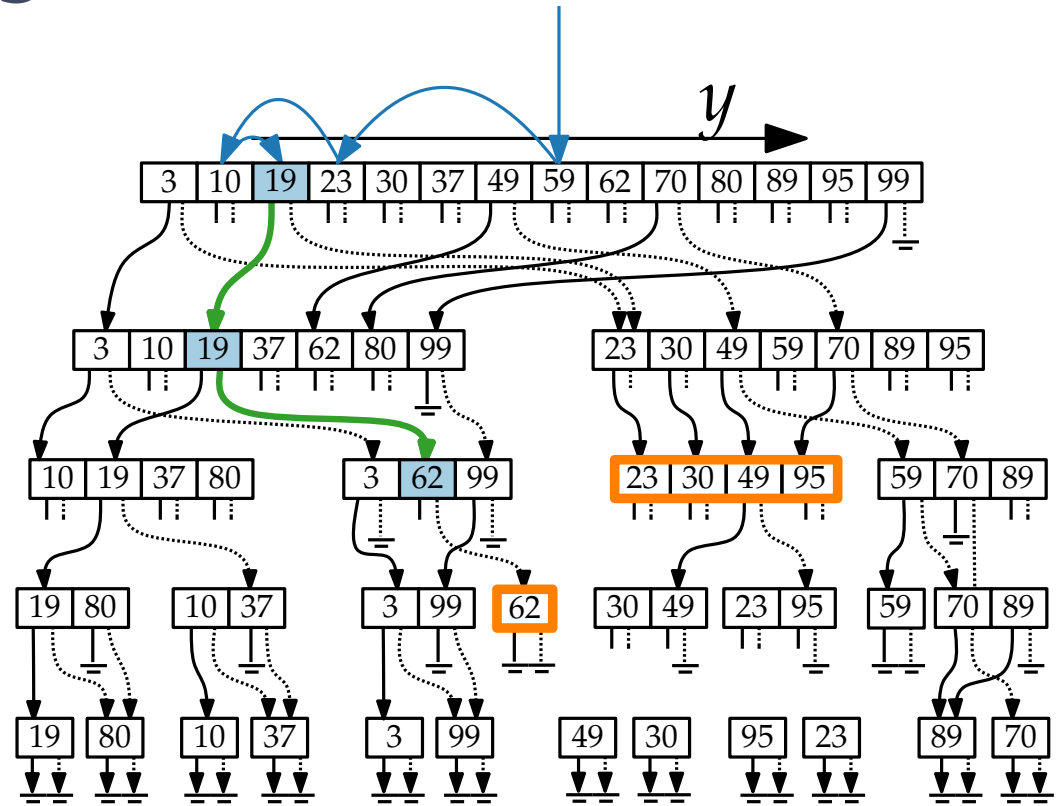
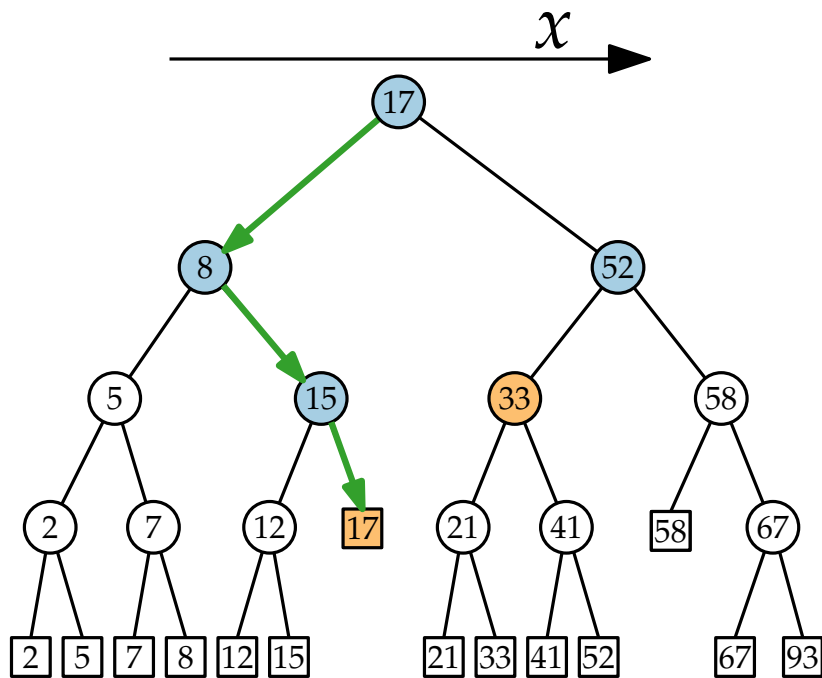


# Layered Range Trees



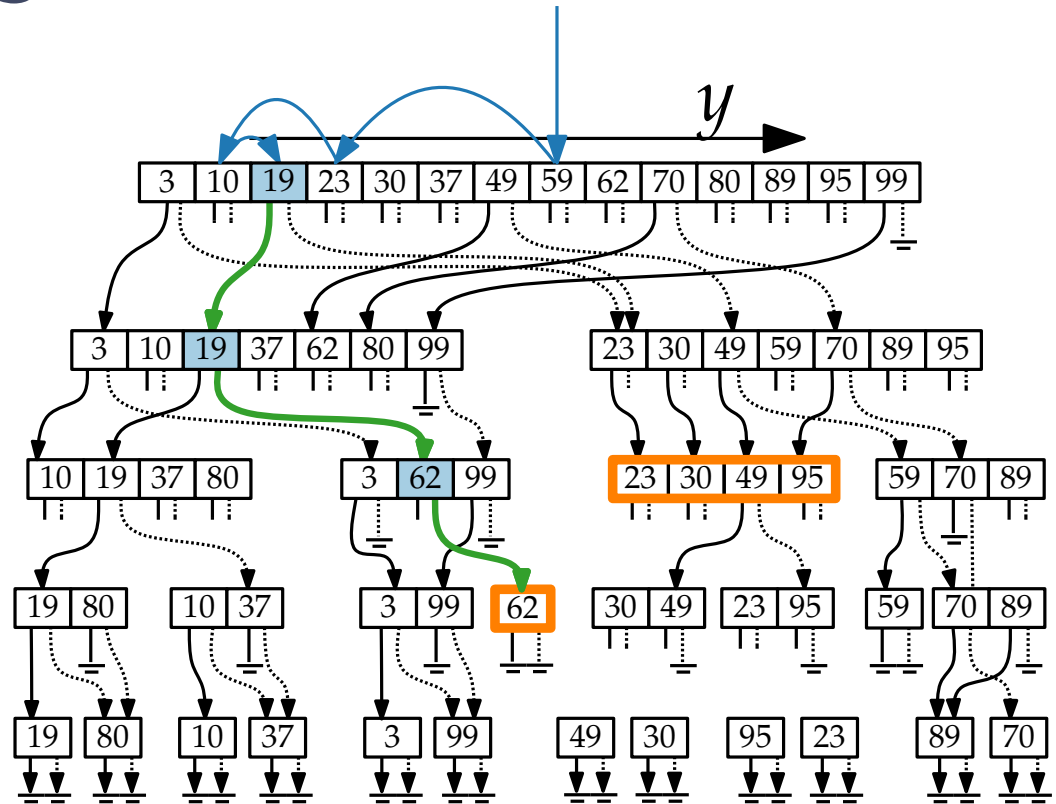
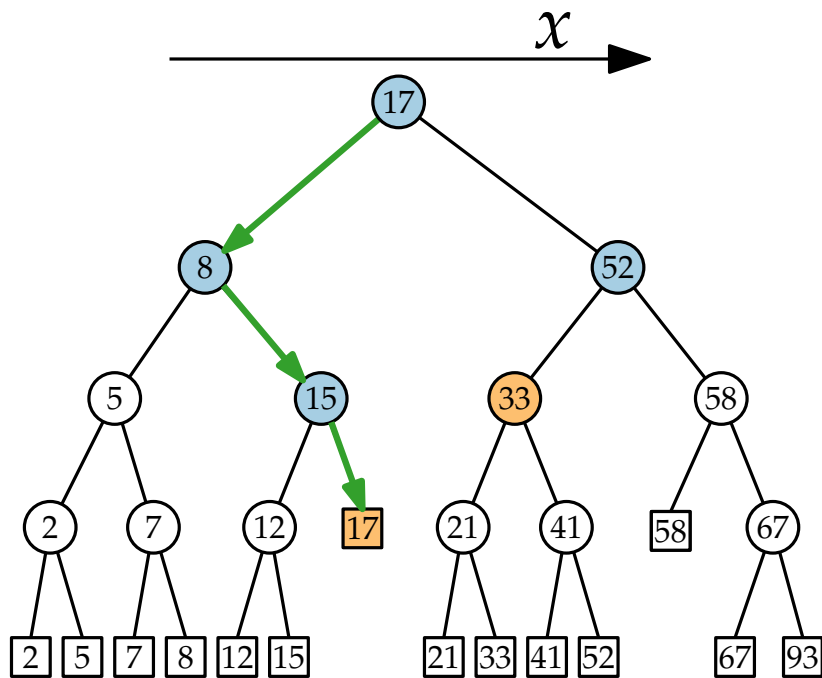
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



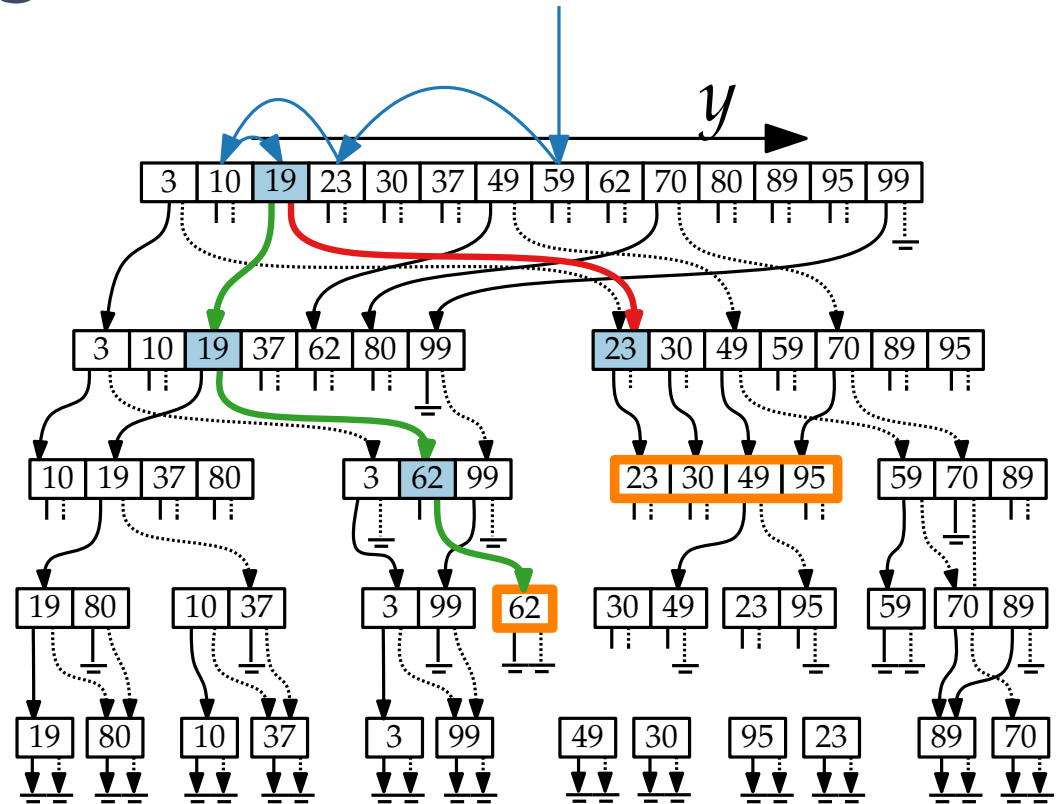
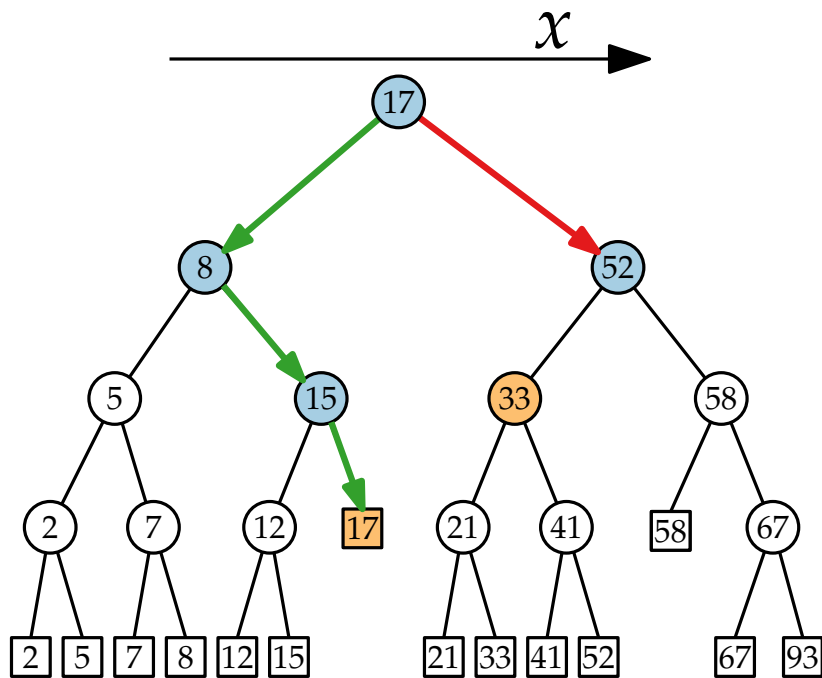
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



$$[16, 53] \times [18, 60]$$

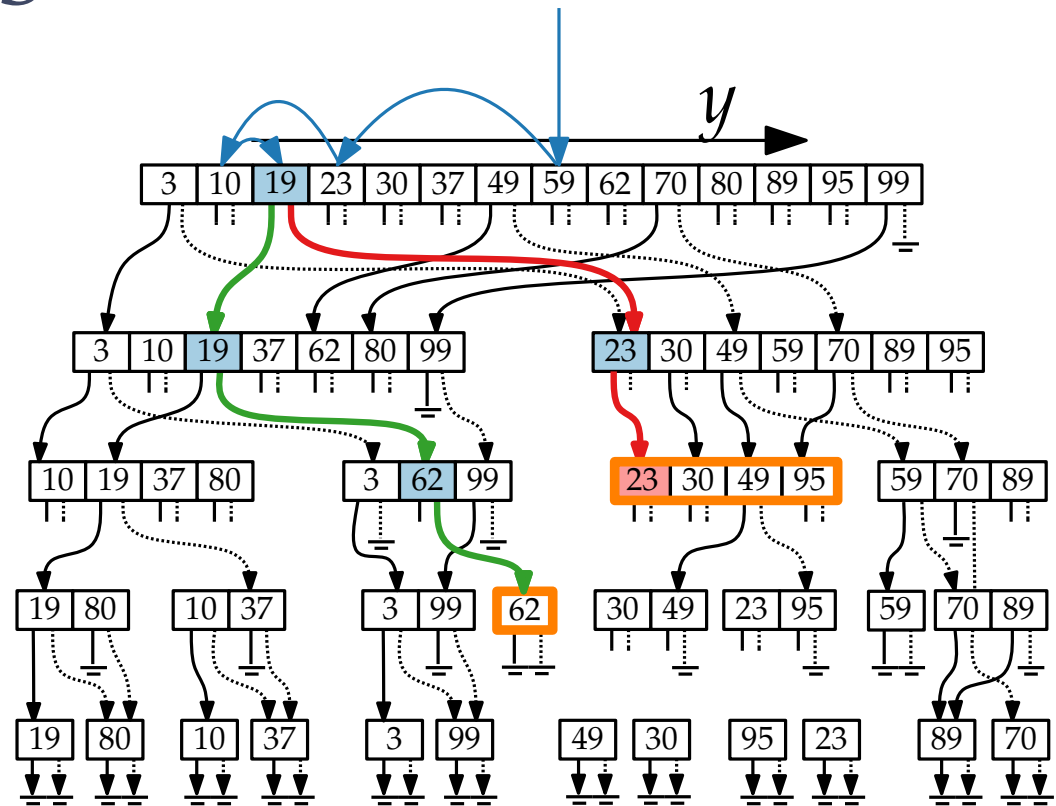
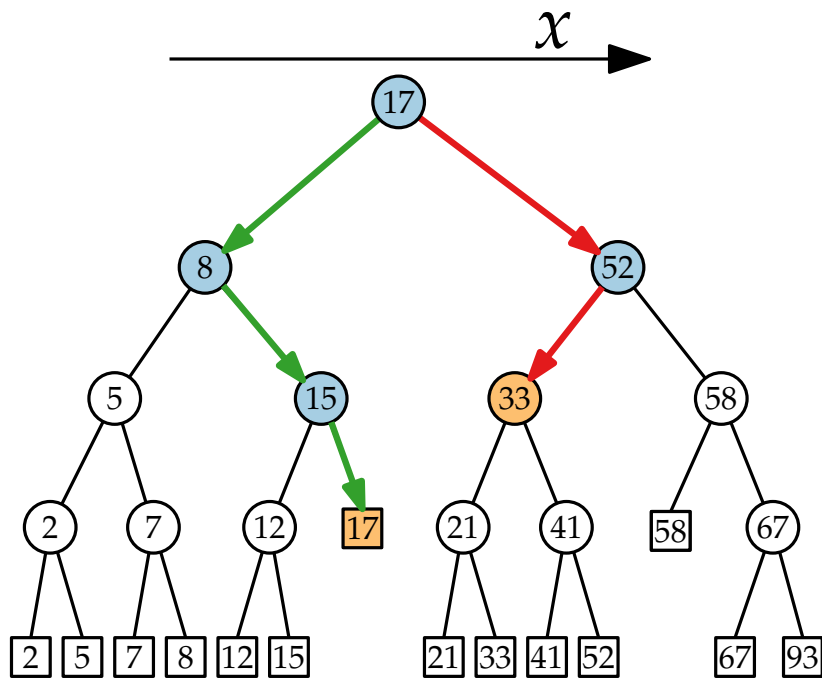
# Layered Range Trees



$$[16, 53] \times [18, 60]$$

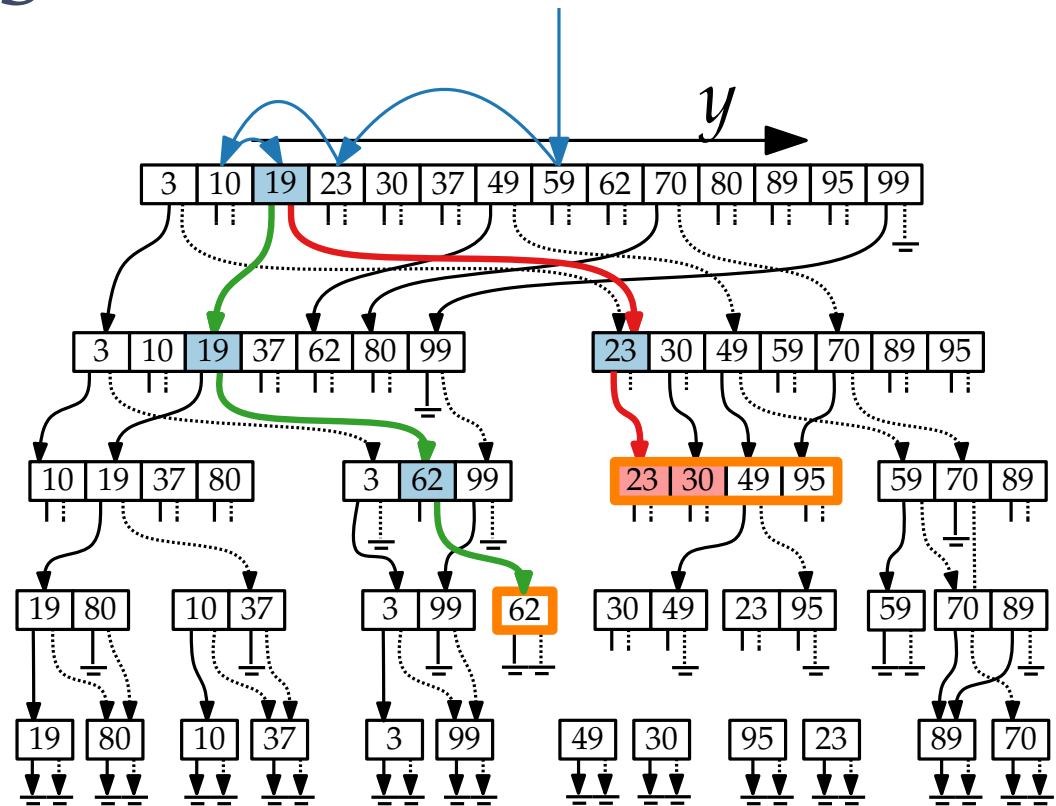
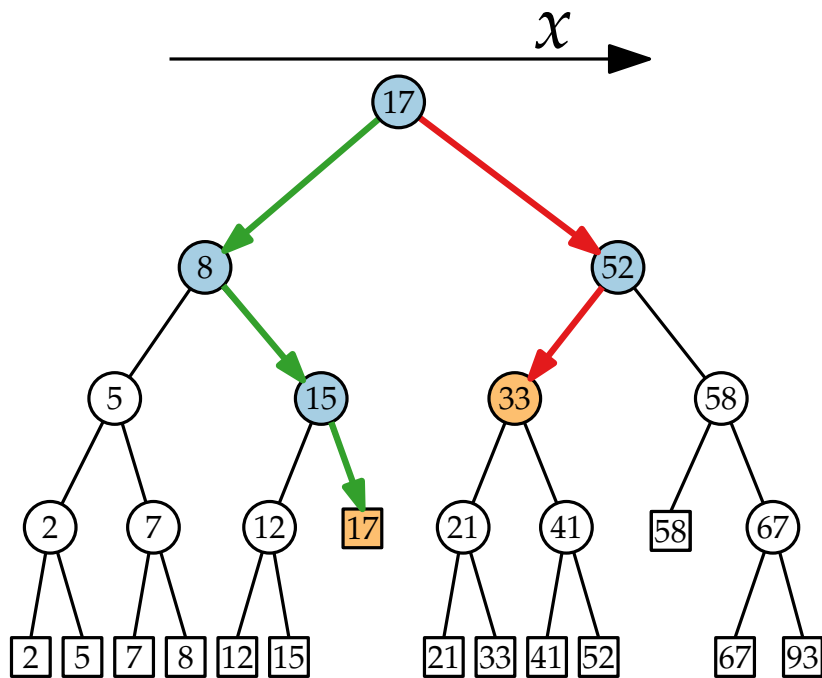


# Layered Range Trees



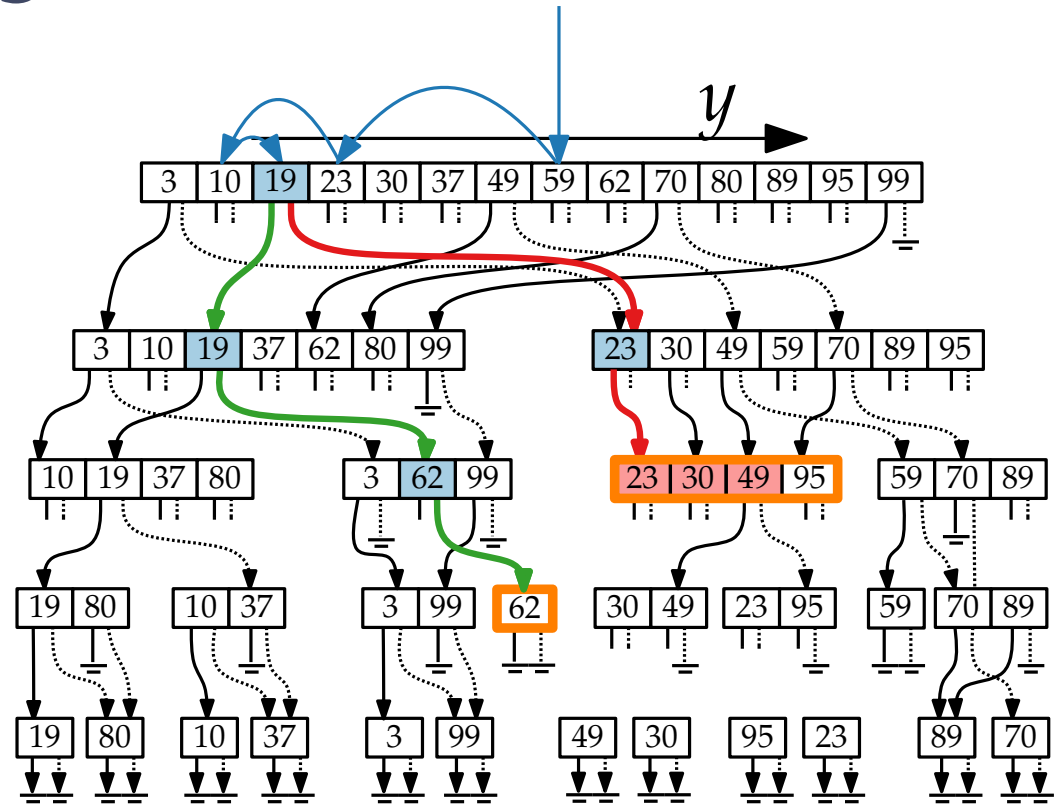
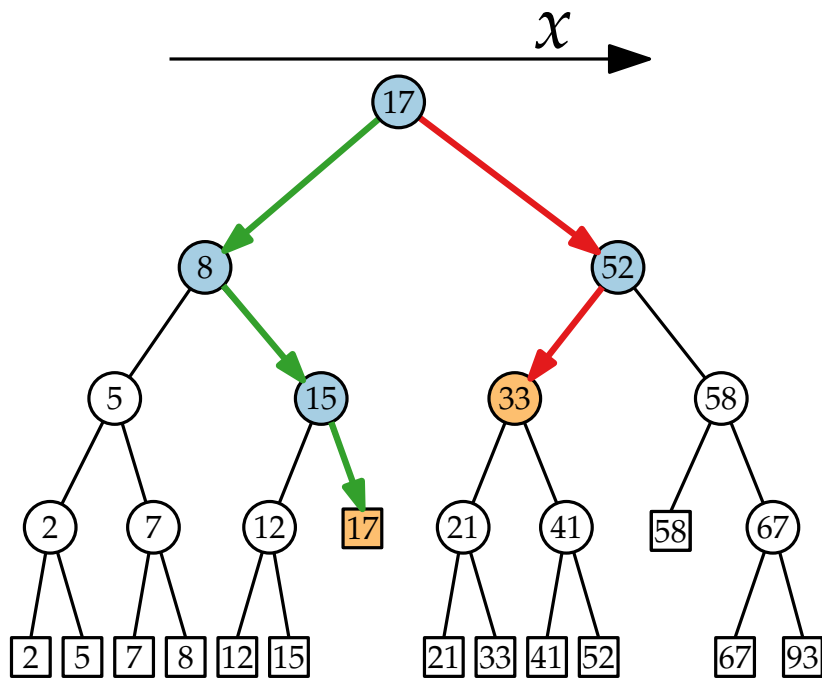
$$[16, 53] \times [18, 60]$$

# Layered Range Trees



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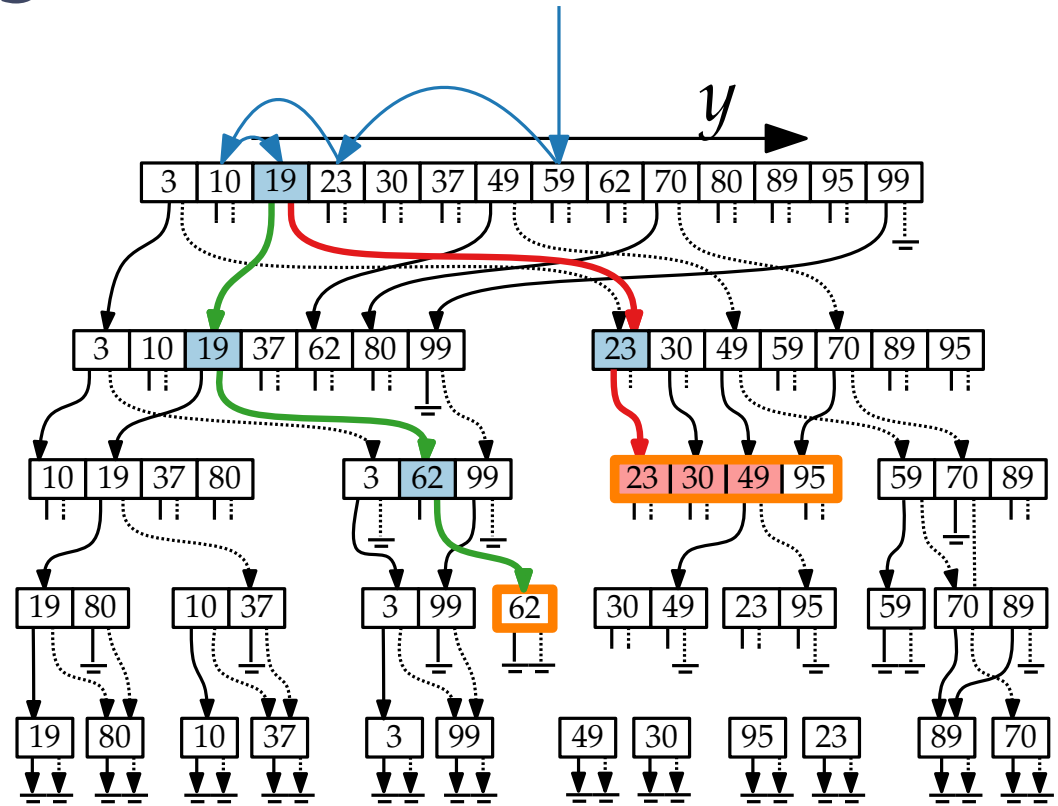
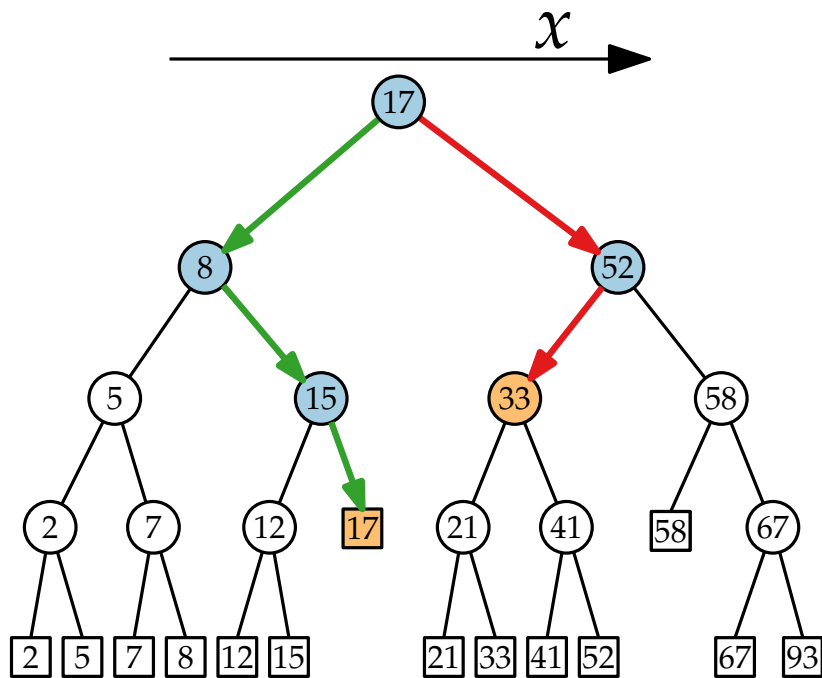
# Layered Range Trees



$$[16, 53] \times [18, 60]$$

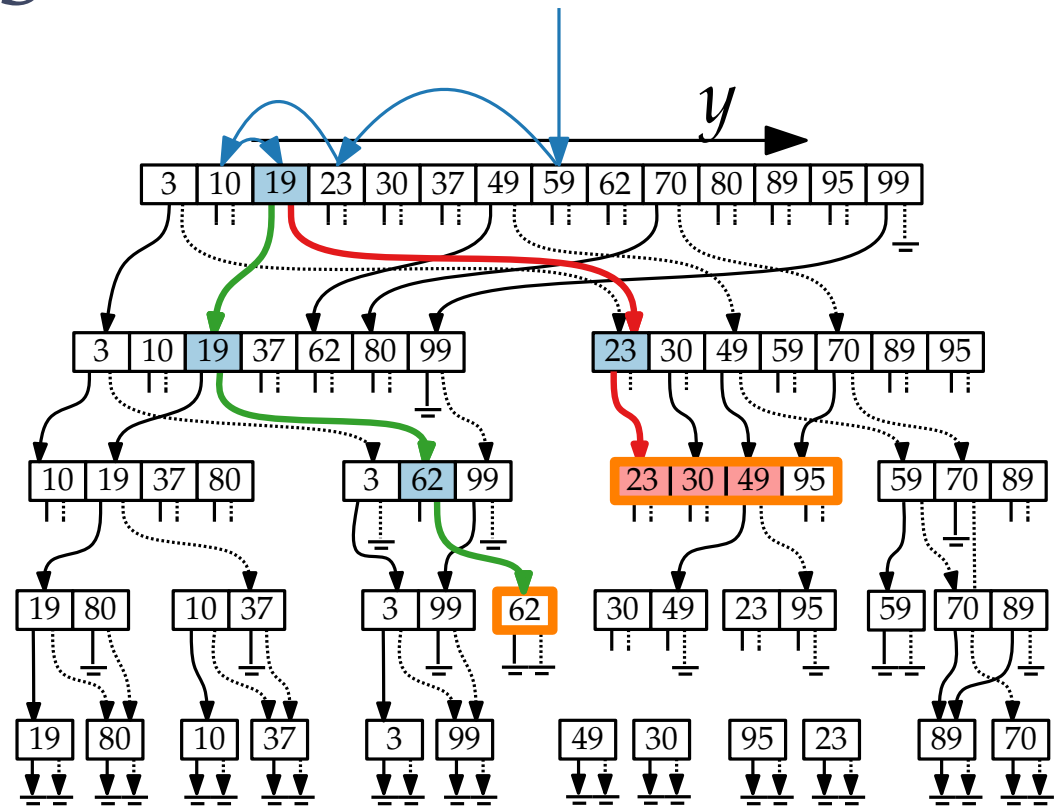
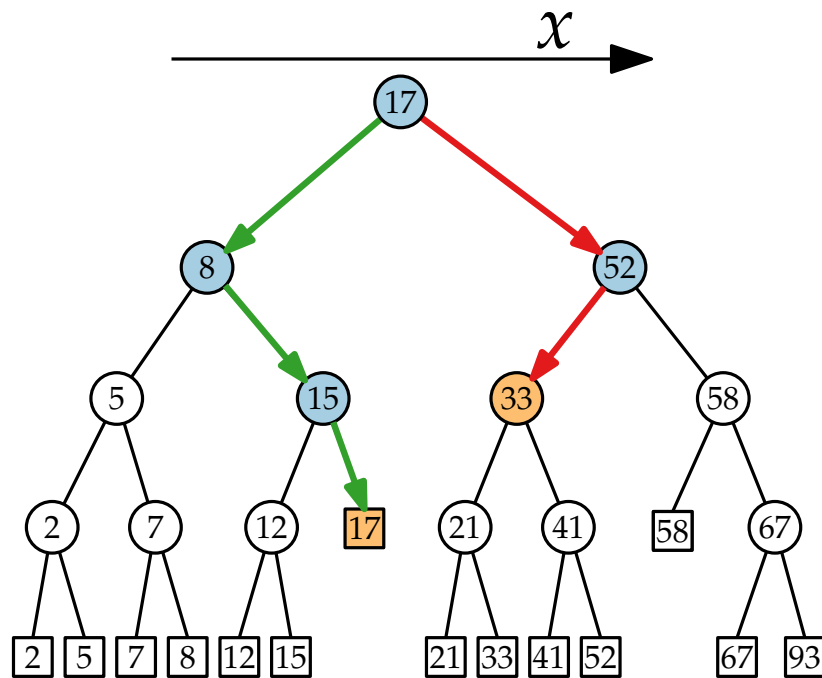


# Layered Range Trees



$$[16, 53] \times [18, 60] \rightarrow (21, 49), (33, 30), (52, 23)$$

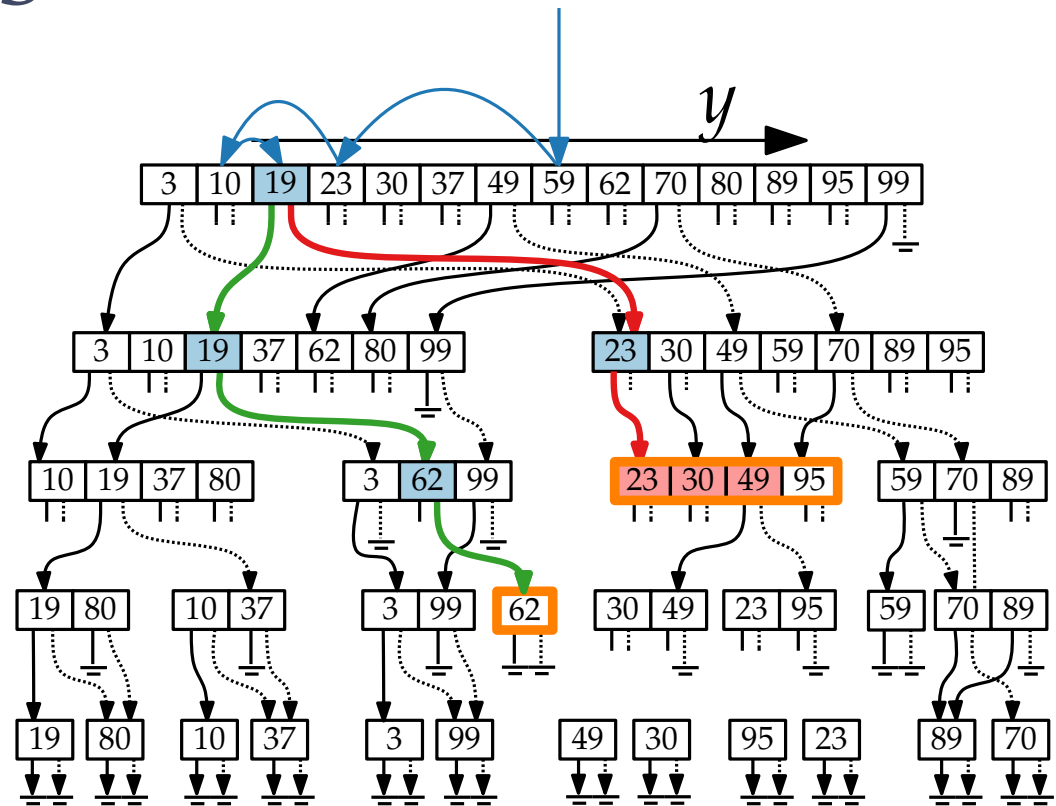
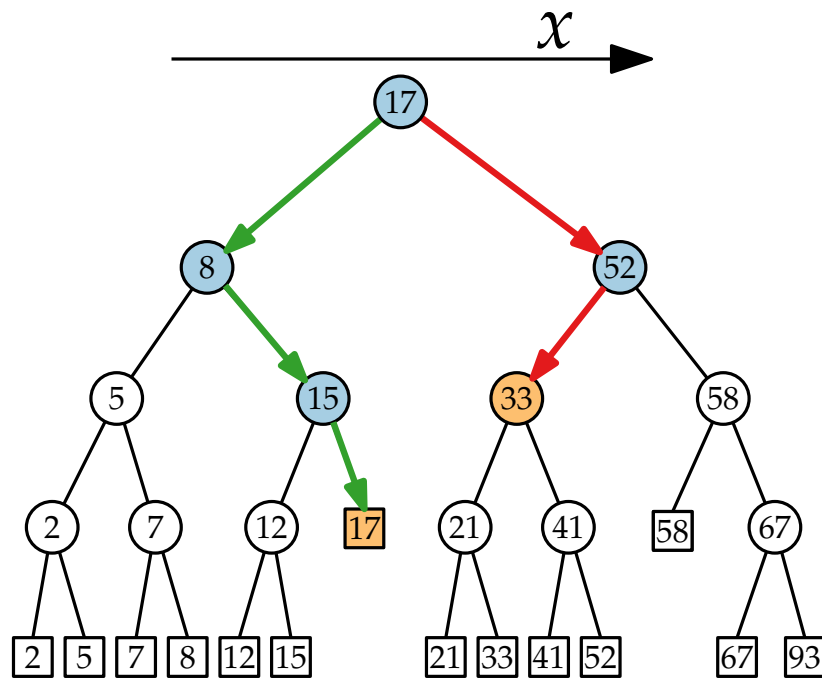
# Layered Range Trees



$$[16, 53] \times [18, 60] \rightarrow (21, 49), (33, 30), (52, 23)$$

**Theorem:** Let  $d \geq 2$  and let  $P$  be a set of  $n$  pts in  $\mathbb{R}^d$ . Given  $O(n \log^{d-1} n)$  preprocessing time & storage,  $d$ -dim range queries on  $P$  can be answered in  $O(k + \log^{d-1} n)$  time.

# Layered Range Trees



$$[16, 53] \times [18, 60] \rightarrow (21, 49), (33, 30), (52, 23)$$

**Theorem:** Let  $d \geq 2$  and let  $P$  be a set of  $n$  pts in  $\mathbb{R}^d$ . Given  $O(n \log^{d-1} n)$  preprocessing time & storage,  $d$ -dim range queries on  $P$  can be answered in  $O(k + \log^{d-1} n)$  time.