# Approximation Algorithms

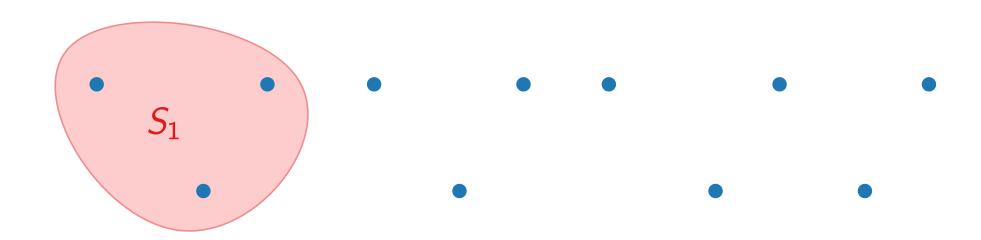
Lecture 2:

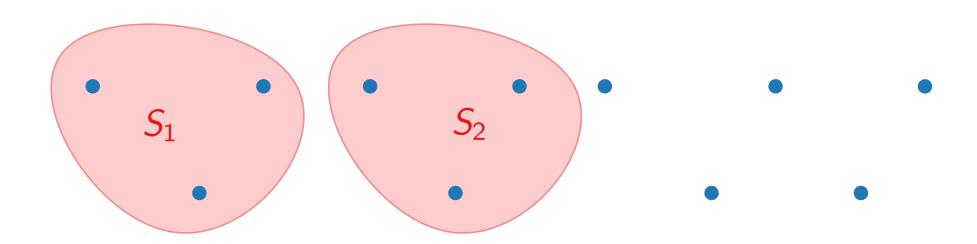
SETCOVER and SHORTESTSUPERSTRING

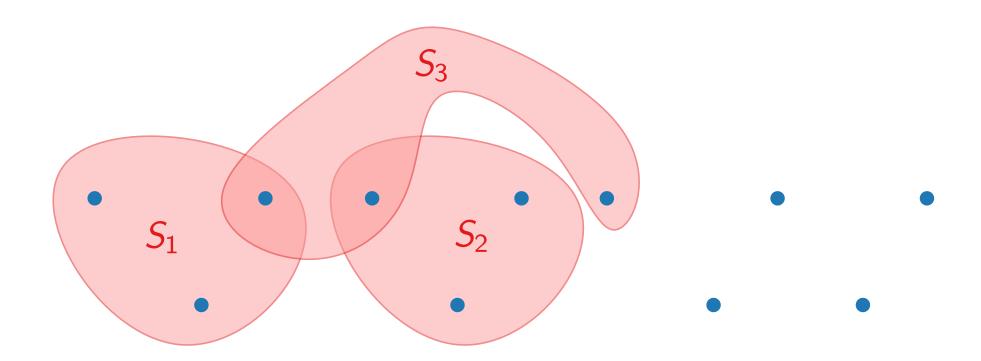
Part I:
SETCOVER

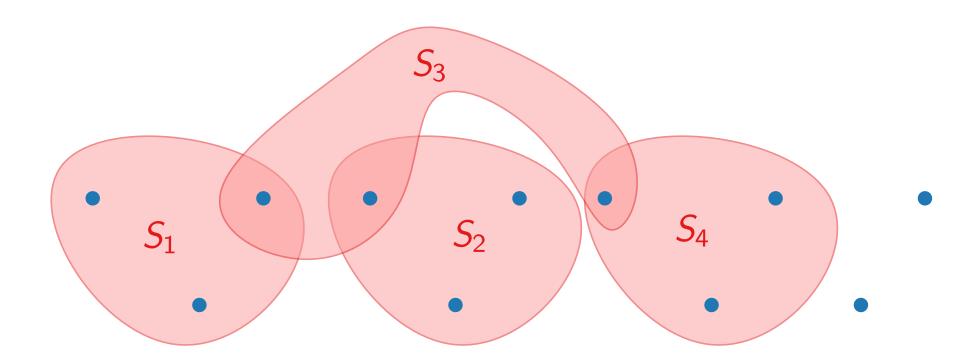
Let *U* be some **ground set** (universe),

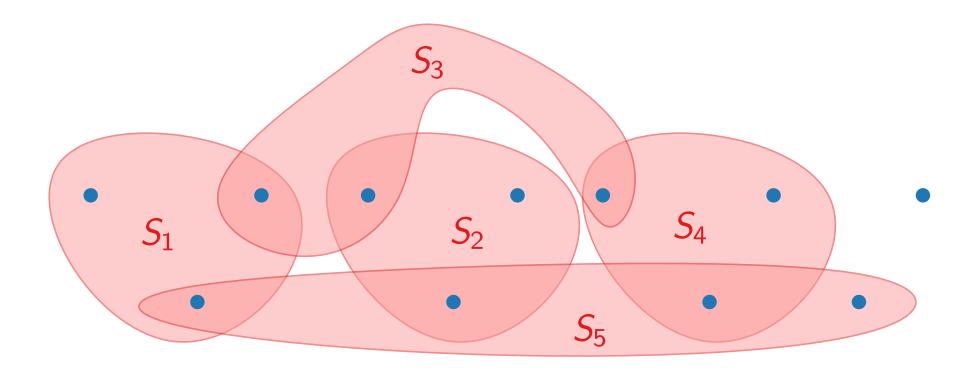
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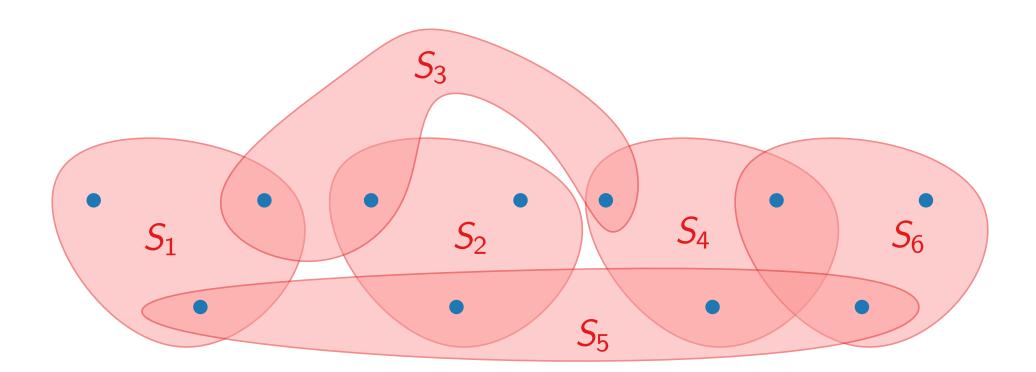




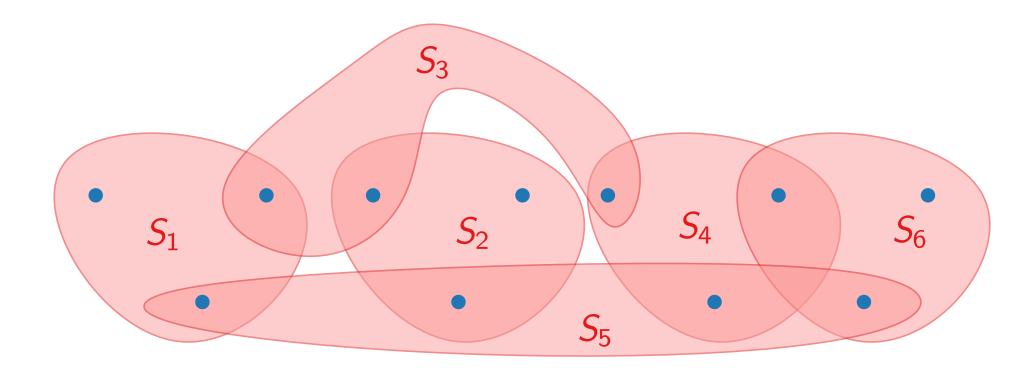




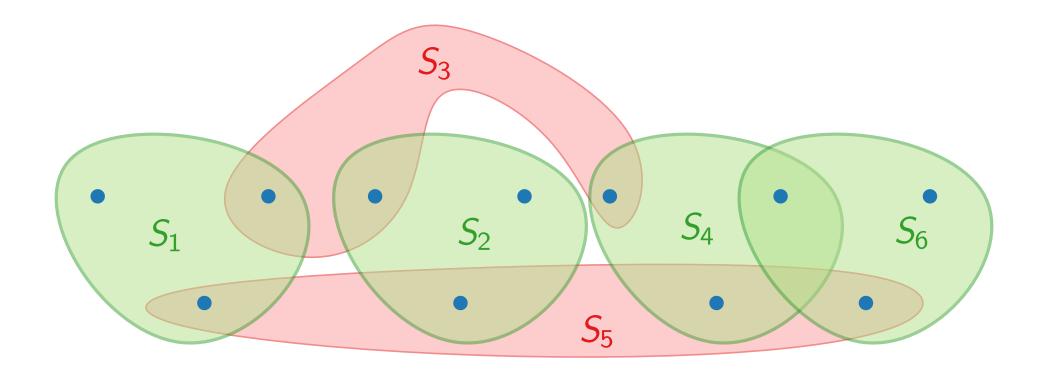




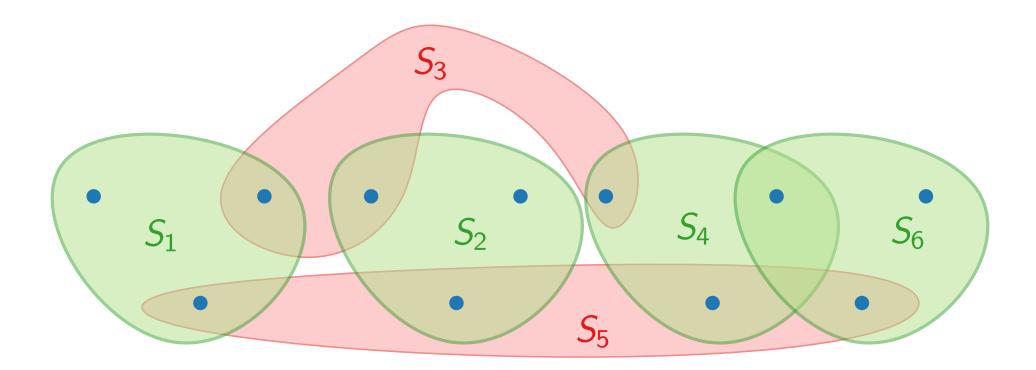
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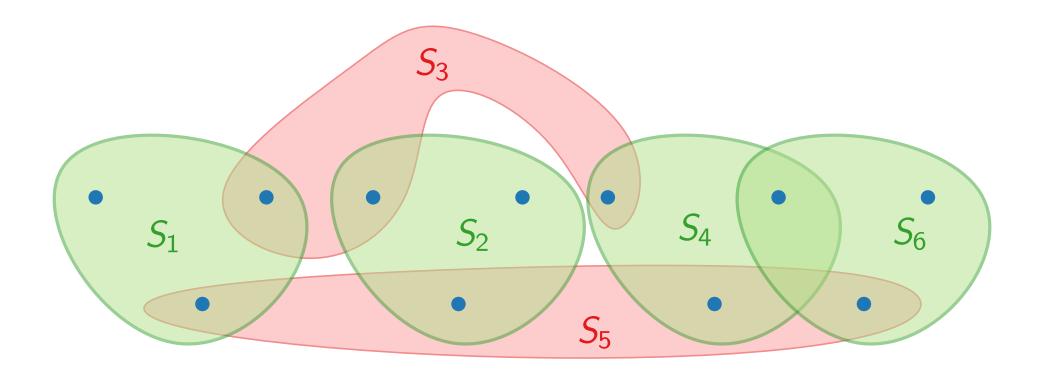
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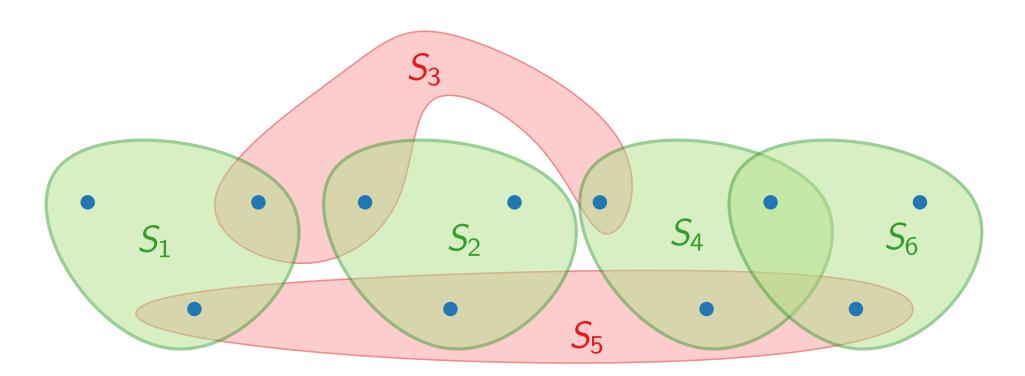


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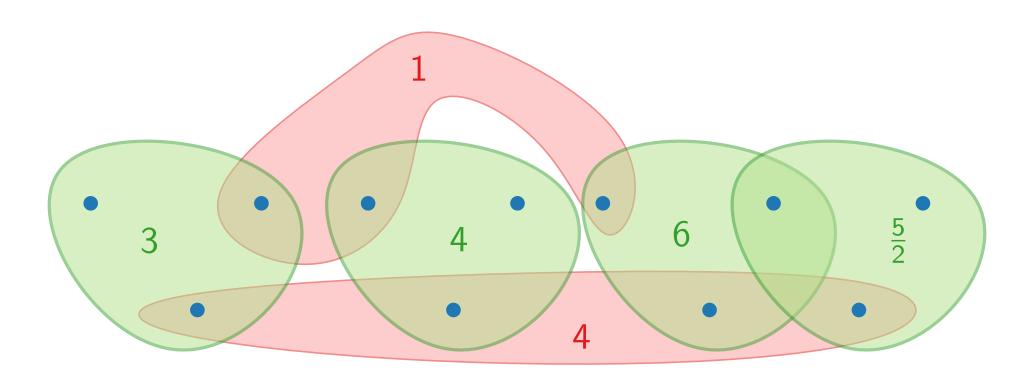
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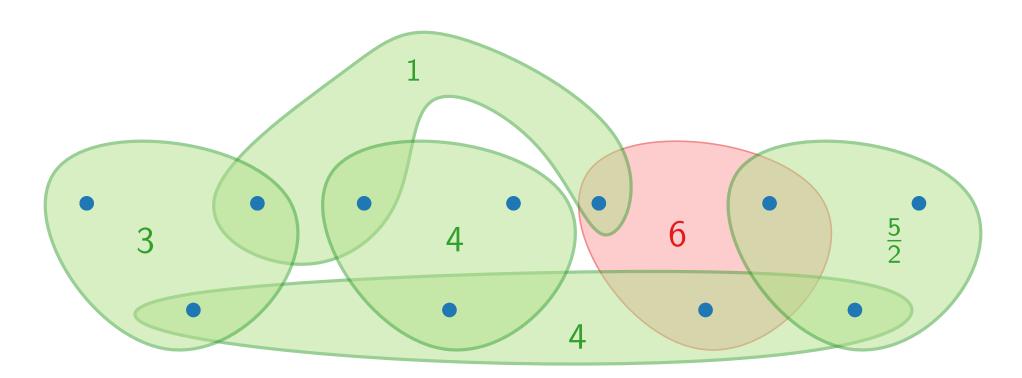
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# Approximation Algorithms

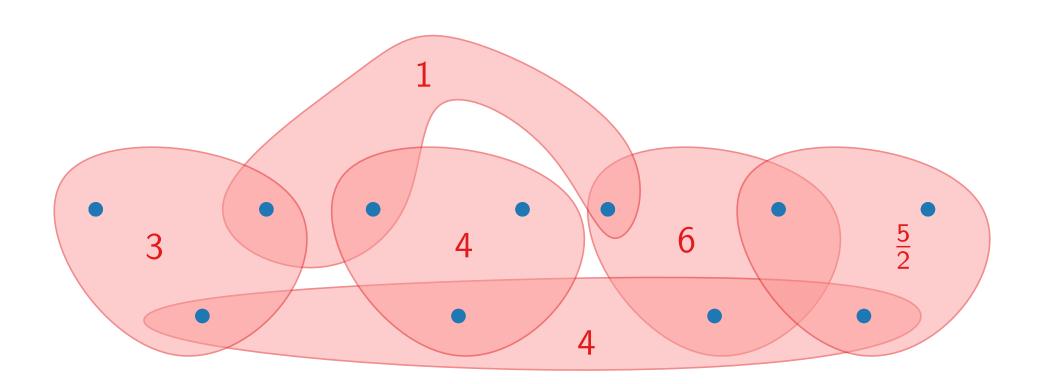
Lecture 2:

SETCOVER and SHORTESTSUPERSTRING

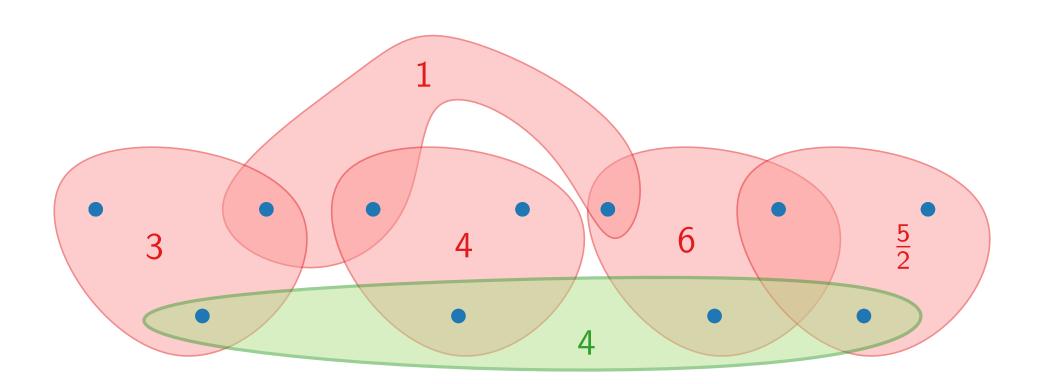
Part II:

Greedy for SetCover

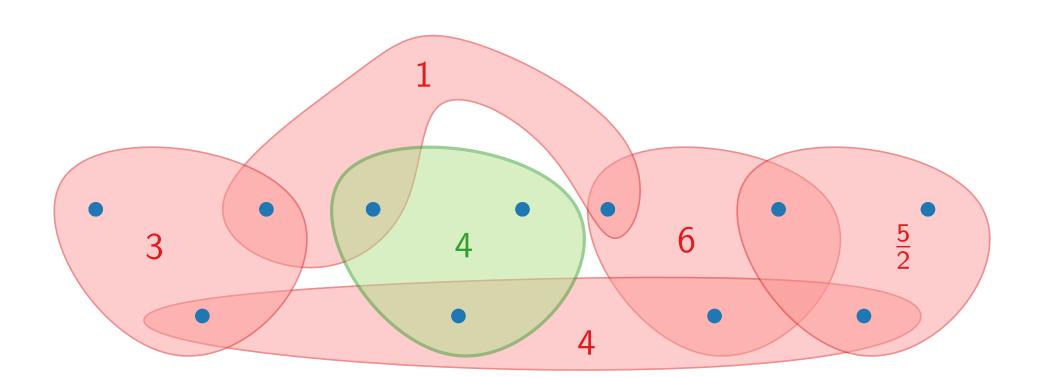
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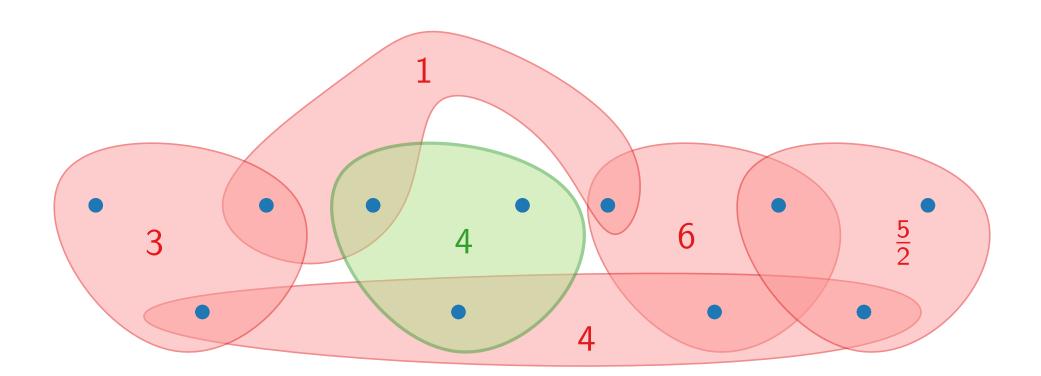


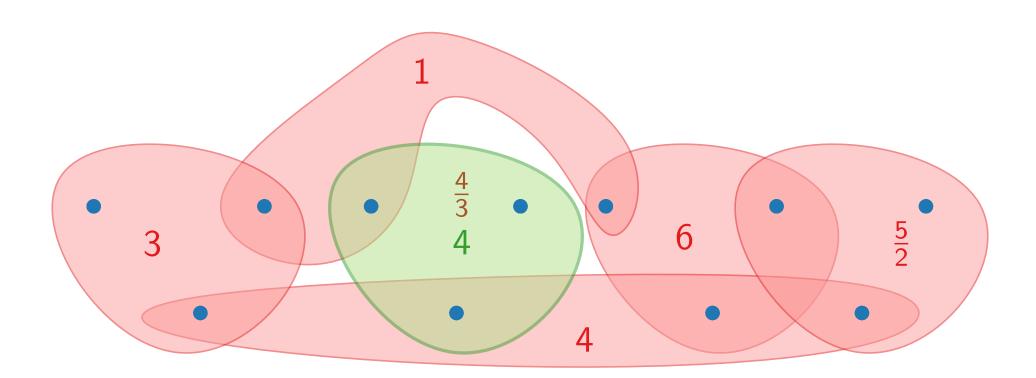
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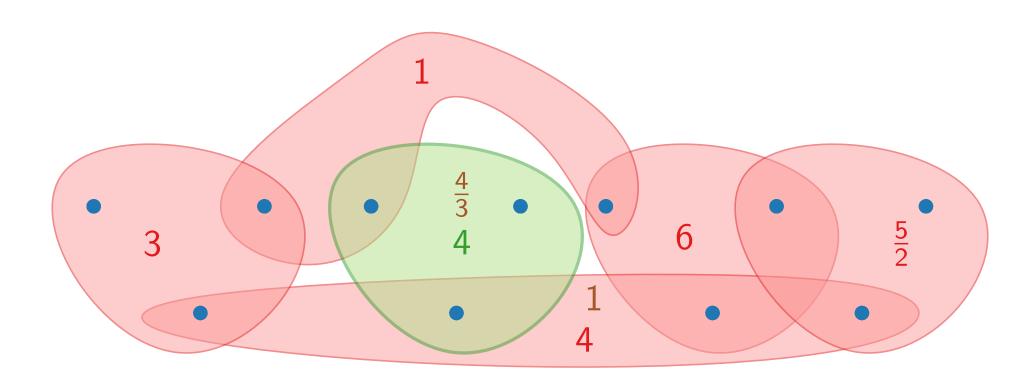


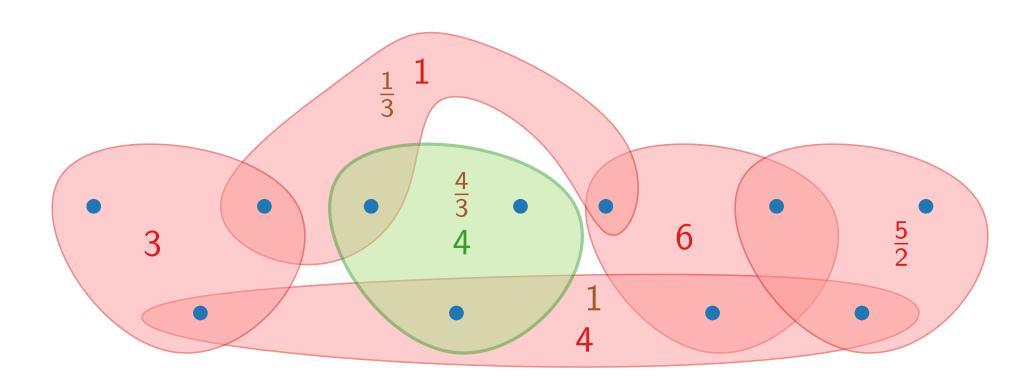
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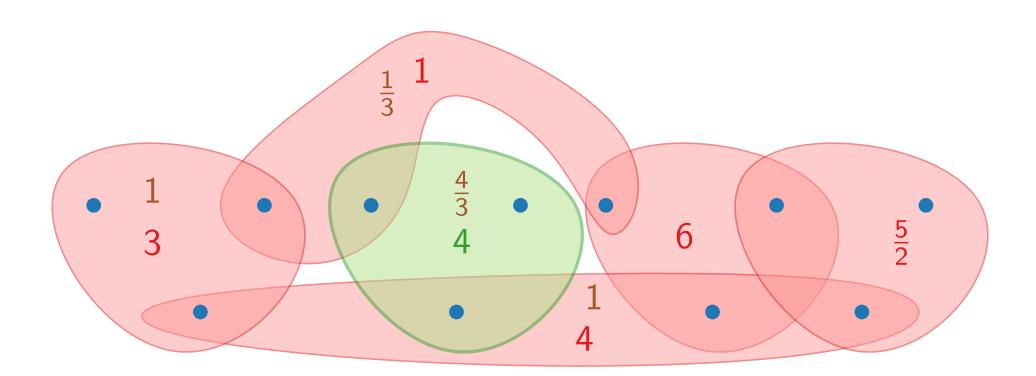


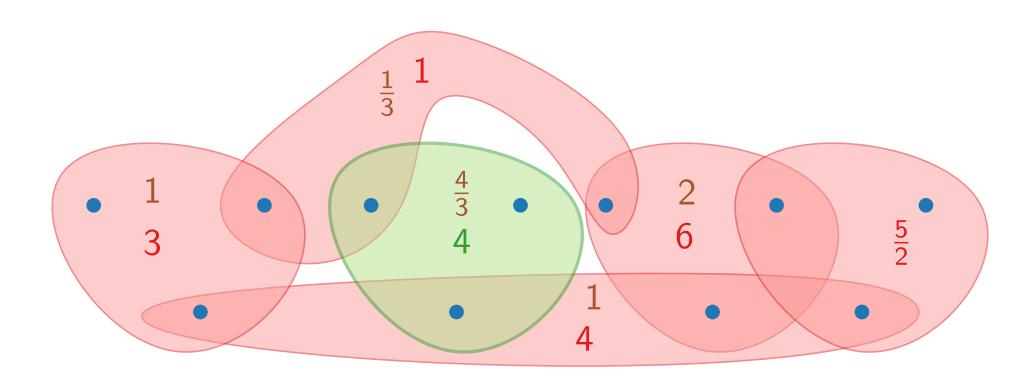


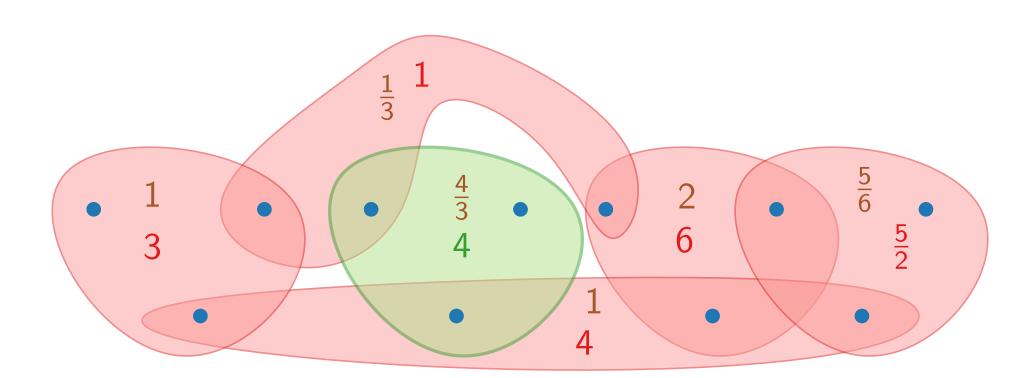




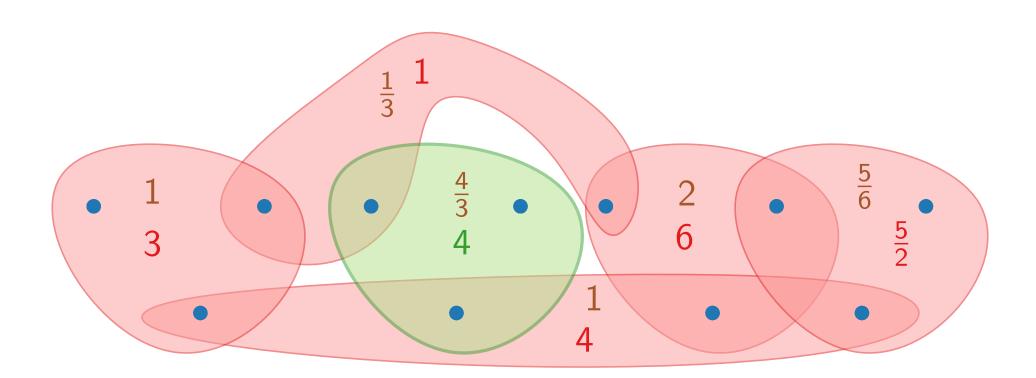


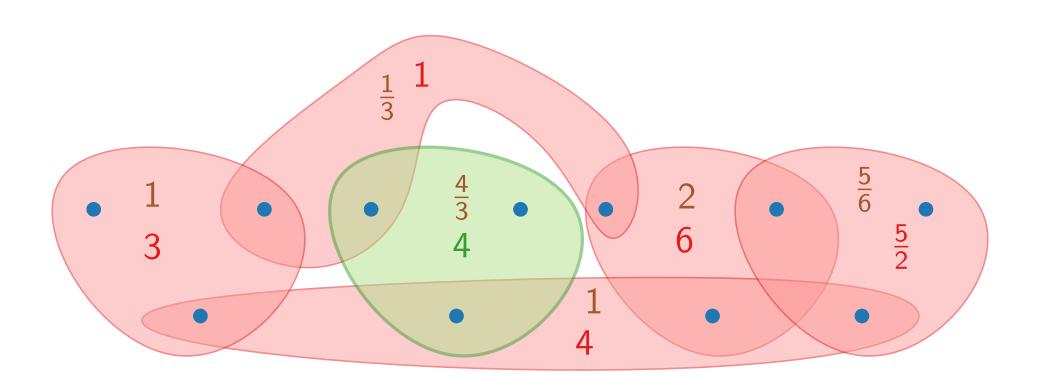


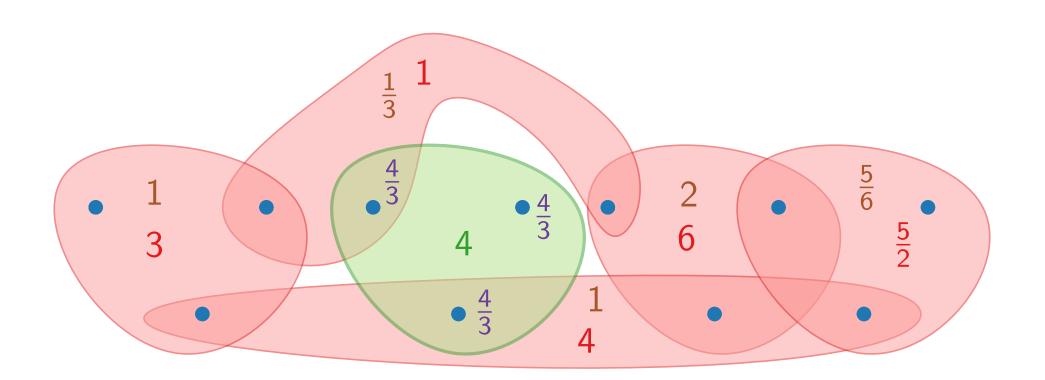


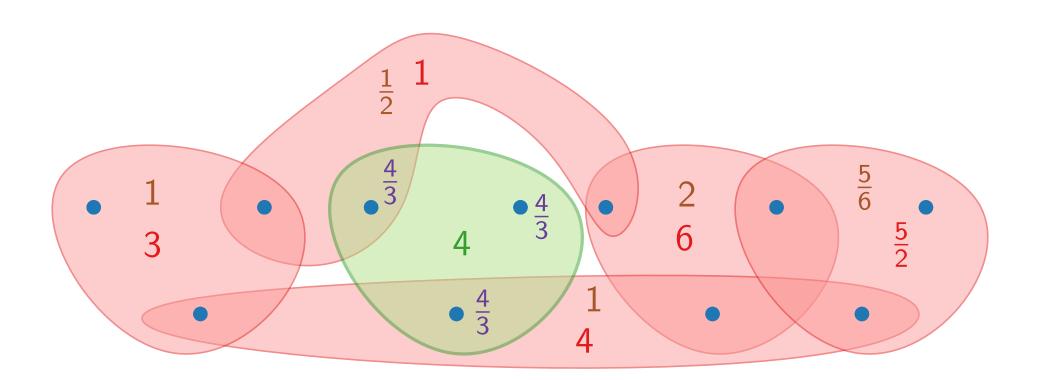


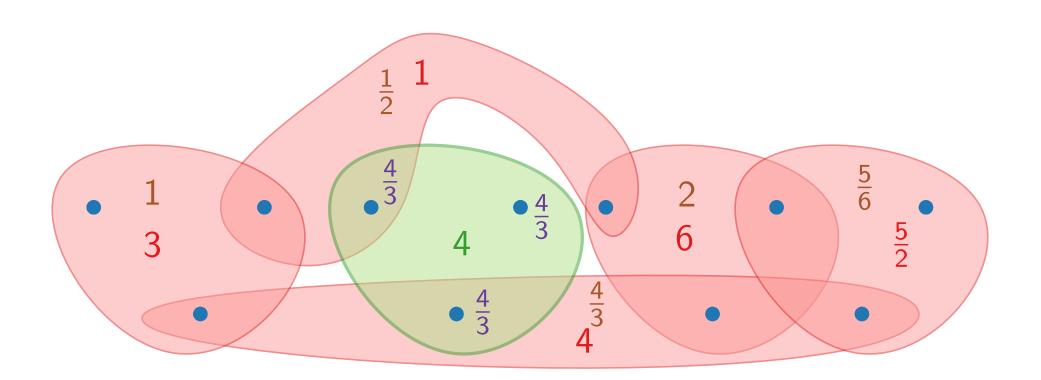
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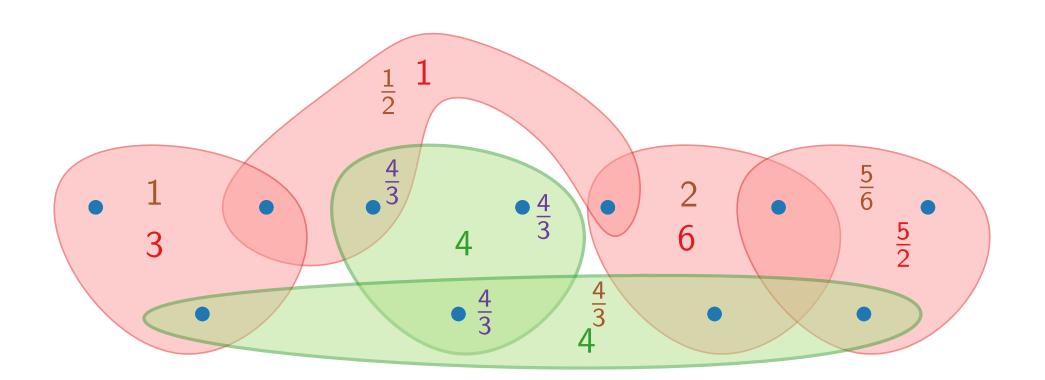


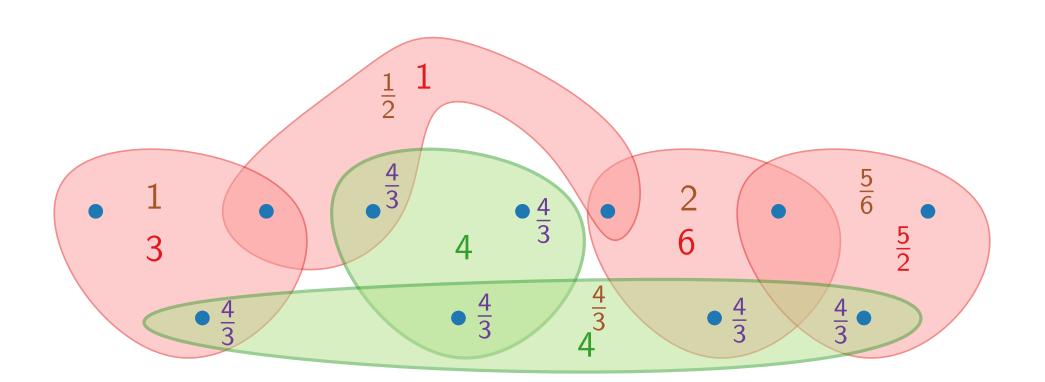


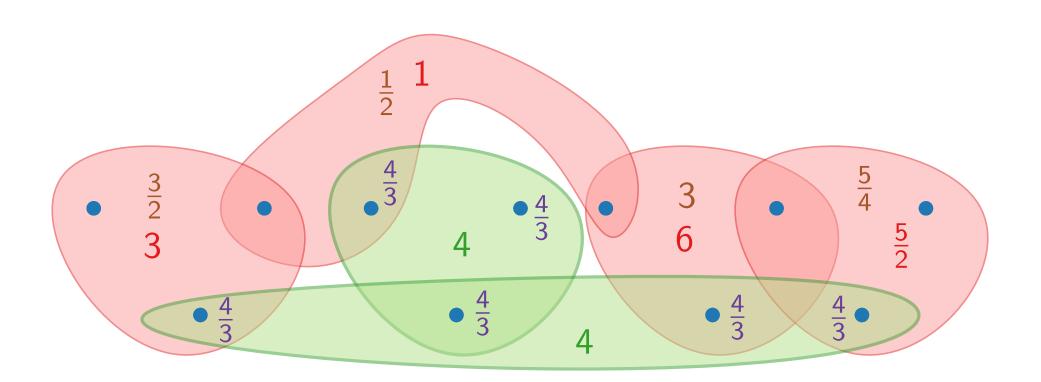




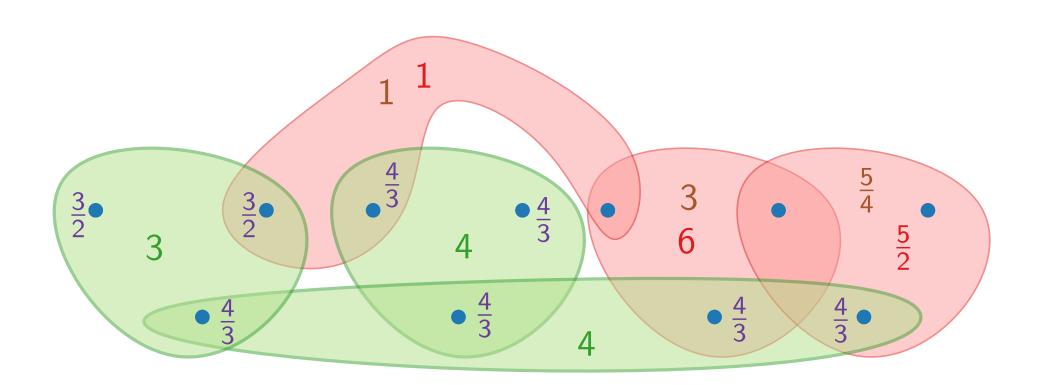




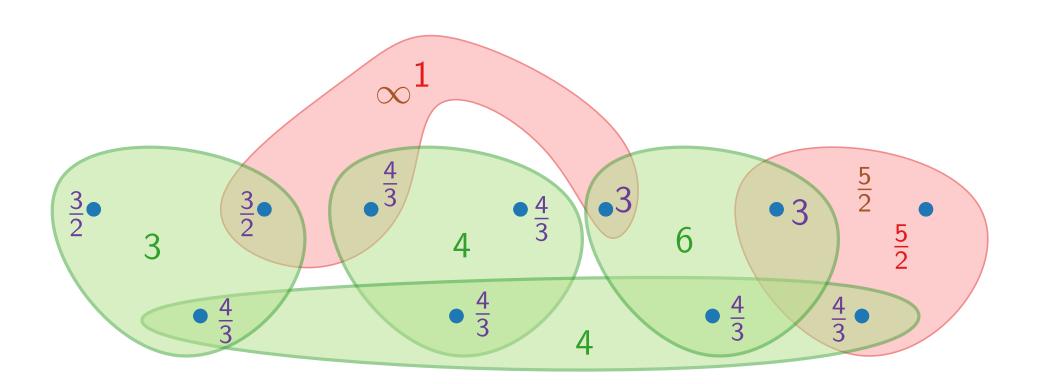




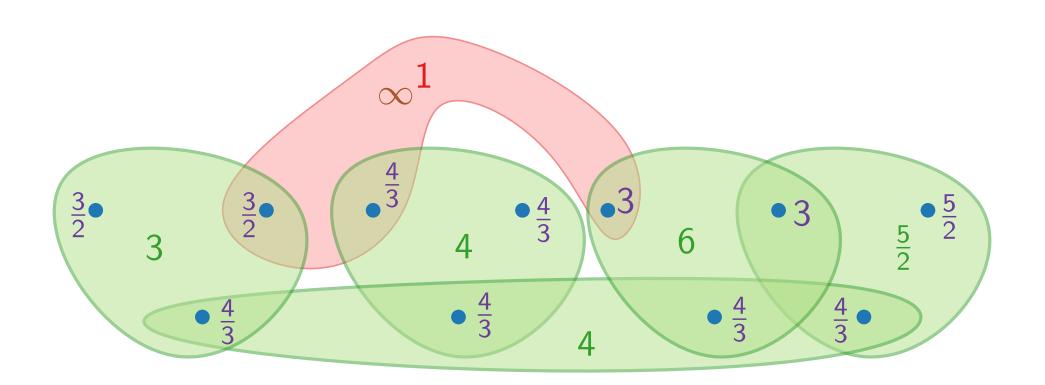
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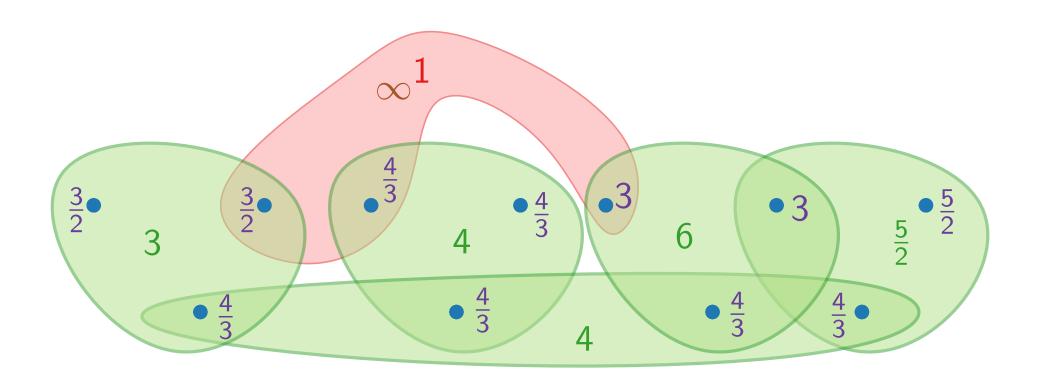
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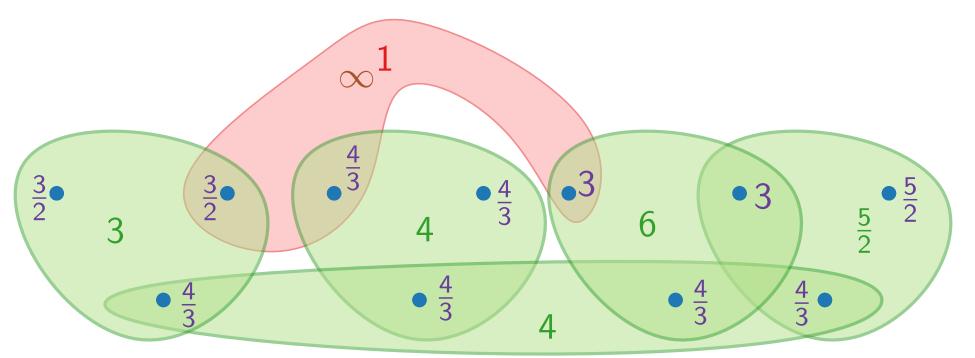
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What happens if we "buy" a set?

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total cost:  $\sum_{u \in U} \operatorname{price}(u)$ 

Greedy: Always choose the set with minimum per-element cost.



## Greedy for SetCover

GreedySetCover(U, S, c)

$$C \leftarrow \emptyset$$

$$\mathcal{S}' \leftarrow \emptyset$$

return S'

// Cover of U

## Greedy for SetCover

```
GreedySetCover(U, S, c)
   C \leftarrow \emptyset
   \mathcal{S}' \leftarrow \emptyset
   while C \neq U do
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```

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GreedySetCover(U, S, c)
    C \leftarrow \emptyset
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   while C \neq U do
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          C \leftarrow C \cup S
          S' \leftarrow S' \cup \{S\}
    return S'
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```

# Approximation Algorithms

Lecture 2:

SETCOVER and SHORTESTSUPERSTRING

Part III: Analysis

**Theorem.** GreedySetCover is a factor- $\mathcal{H}_k$  approximation algorithm for SetCover, where k is the cardinality of the largest set in S and  $\mathcal{H}_k := 1 + \frac{1}{2} + \frac{1}{3} + \ldots + \frac{1}{k} \to 0.5 + \ln k$ .

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Lemma. Let  $S \in \mathcal{S}$ , and let  $u_1, \ldots, u_\ell$  be the elements of S in the order in which they are covered ("bought") by GreedySetCover. Then, for every  $j \in \{1, \ldots, \ell\}$ : price $(u_j) \leq$ 

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- Price by alg. no larger due to greedy choice.

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**Lemma.** price(S) :=  $\sum_{i=1}^{\ell} \text{price}(u_i) \leq 1$ 

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**Proof.** Let  $\{S_1, \ldots, S_m\}$  be an opt. sol.

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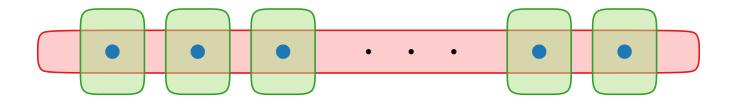
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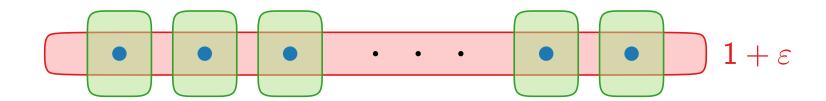
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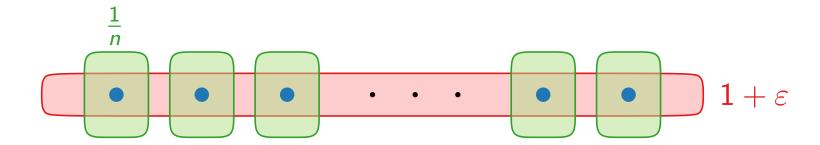
#### Analysis tight?

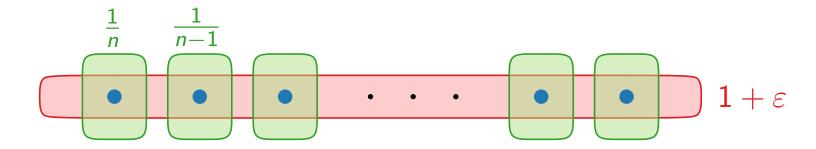
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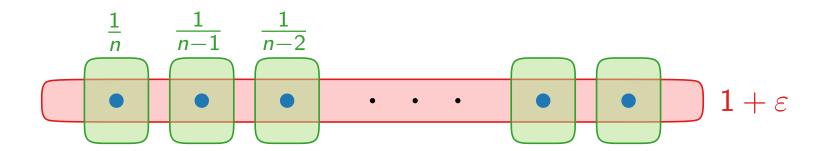


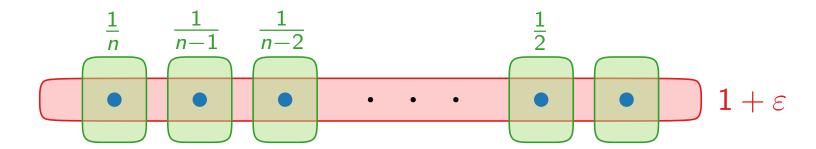


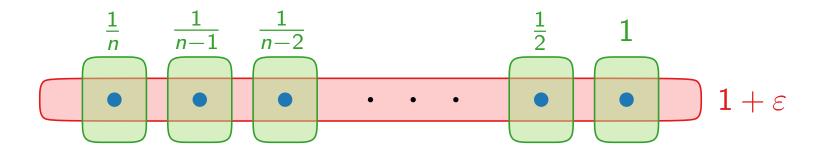


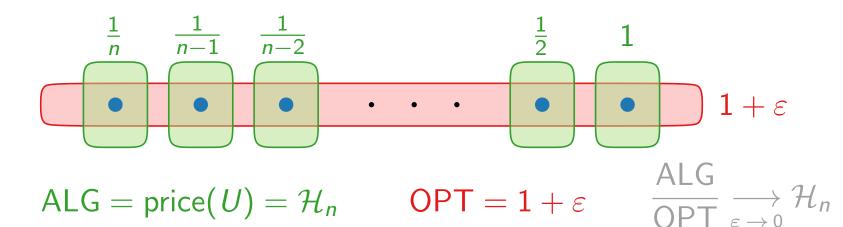




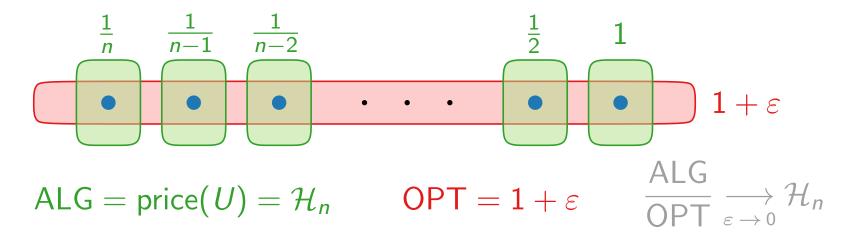






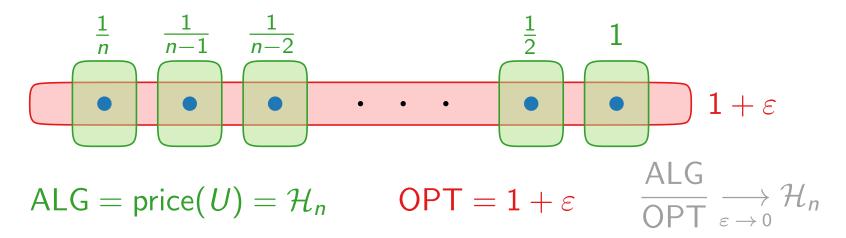


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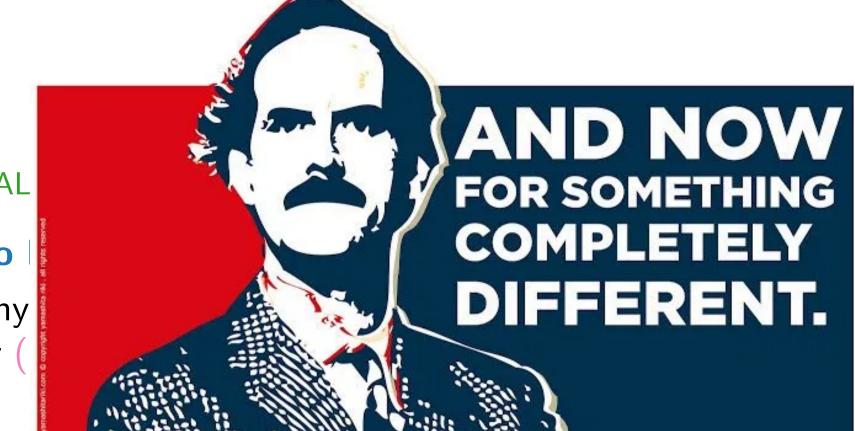


#### Can we do better?

No – for any  $\varepsilon > 0$ , it is NP-hard to approximate SetCover with factor  $(1 - \varepsilon) \cdot \ln n$ . [Feige, JACM 1998]

[Dinur, Steurer, STOC 2014]

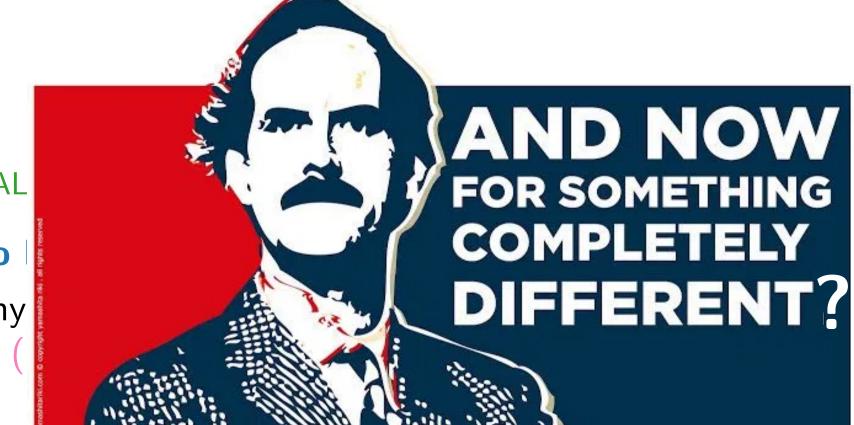
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No – for any with factor

# Approximation Algorithms

Lecture 2:

SETCOVER and SHORTESTSUPERSTRING

Part IV:

SHORTESTSUPERSTRING

Given a set  $\{s_1, \ldots, s_n\} \subseteq \Sigma^+$  of strings over a finite alphabet  $\Sigma$ .

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Given a set \{s_1, \ldots, s_n\} \subseteq \Sigma^+ of strings over a finite alphabet \Sigma.
 Find a shortest string s (superstring) such that, for each i \in \{1, \ldots, n\}, the string s_i is a substring of s.
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**Example.**  $U := \{cbaa, abc, bcb\}$ 

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cbaa

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**Example.**  $U := \{cbaa, abc, bcb\} \rightarrow cbaabcb$ ? abcbaa "covers" all strings in U abc

bcb

cbaa

Given a set  $\{s_1, \ldots, s_n\} \subseteq \Sigma^+$  of strings over a finite alphabet  $\Sigma$ .

Find a **shortest string** s (superstring) such that, for each  $i \in \{1, ..., n\}$ , the string  $s_i$  is a substring of s.

#### Example.

 $U := \{cbaa, abc, bcb\} \rightarrow cbaabcb$ ?



cbaa

W.l.o.g.: No string  $s_i$  is a substring of any other string  $s_j$ .

abcbaa "covers" all strings in U
abc
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Set Cover Instance: ground set U, set family S, costs c.

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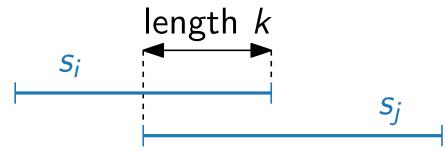
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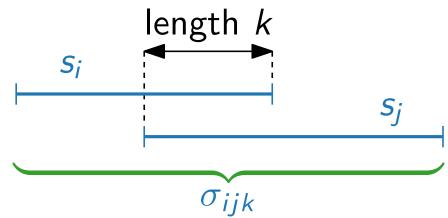
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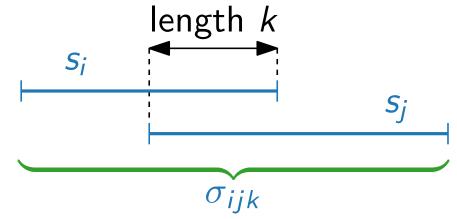


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Let be  $\sigma_{ijk}$  be the unique string with prefix  $s_i$  and suffix  $s_j$  where  $s_i$  and  $s_j$  overlap on k characters (for suitable i, j, k)

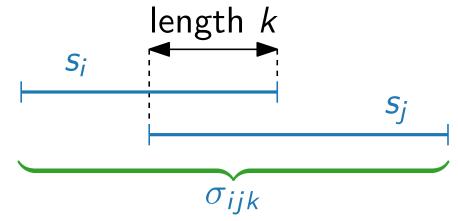
 $s_i$ : cabab  $s_j$ : ababc



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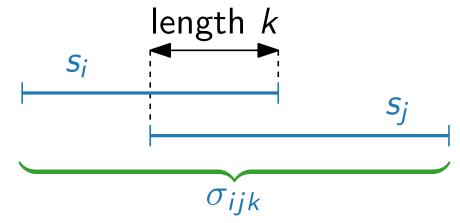
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```



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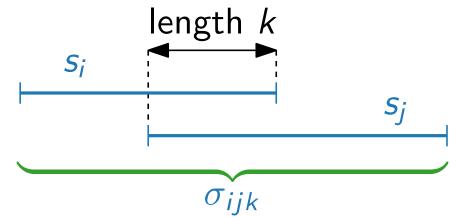
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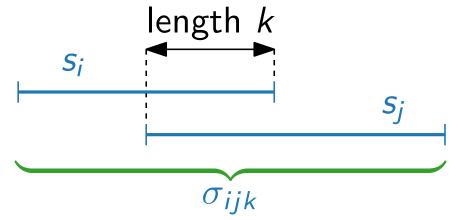
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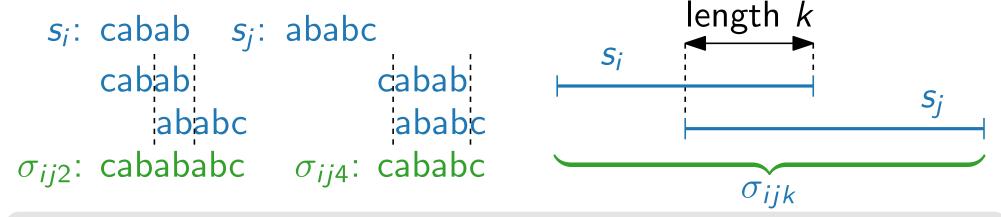
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S(\sigma_{ijk}) =
c(S(\sigma_{ijk})) =
S =
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$$S(\sigma_{ijk}) = \{s \in U \mid s \text{ substring of } \sigma_{ijk}\} - \text{contains the elements of the ground set covered by } \sigma_{ijk}.$$

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# Approximation Algorithms

Lecture 2:

SETCOVER and SHORTESTSUPERSTRING

Part V:

Solving ShortestSuperString via SetCover

**Lemma.** Let  $OPT_{SSS}$  be the length of a shortest superstring of U, and let  $OPT_{SC}$  be the minimum cost of the corresponding SetCover instance. Then

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$$\sum_{i=1}^{k} |\pi_i| = \sum_{i=1}^{k} c(S(\pi_i)) = OPT_{SC}.$$

Thus,  $OPT_{SSS} \leq |s| = OPT_{SC}$ .

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Construct a set cover of cost  $\leq 2|s| = 2 \cdot \mathsf{OPT}_{\mathsf{SSS}}$ .

Leftmost occurence of a string  $s_{b_1} \in U$ .

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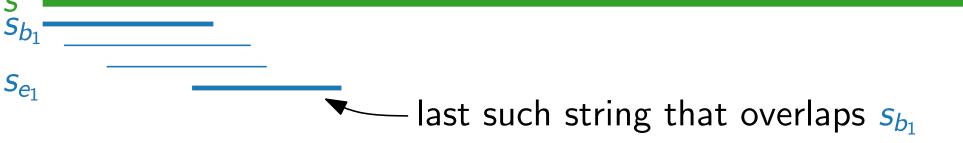
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Leftmost occurrence of another string in U. Note that no string contains any other string.

⇒ Right endpoints are ordered like left endpoints.

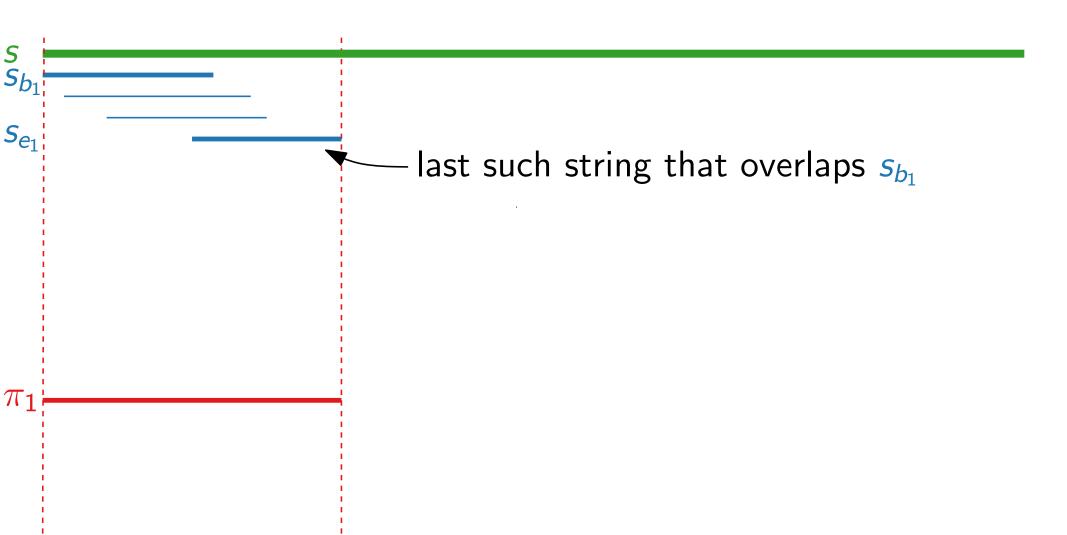
**Lemma.**  $OPT_{SC} \leq 2 \cdot OPT_{SSS}$ .

**Proof.** Consider an optimal superstring s.



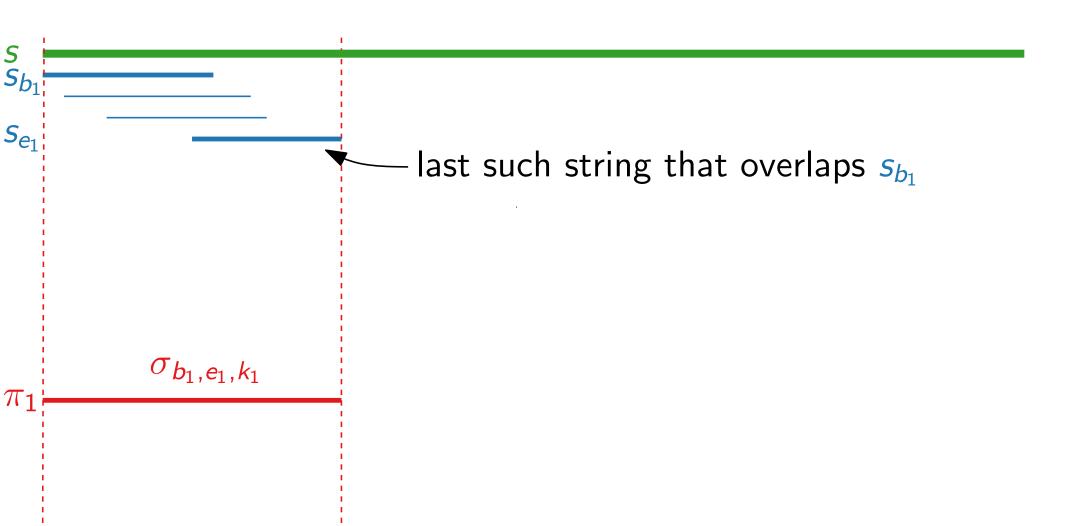
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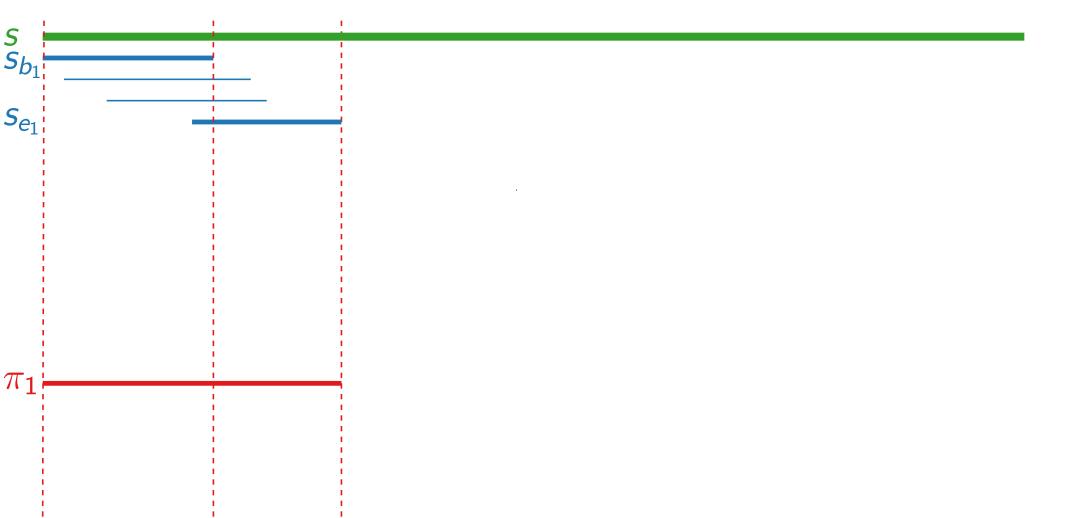


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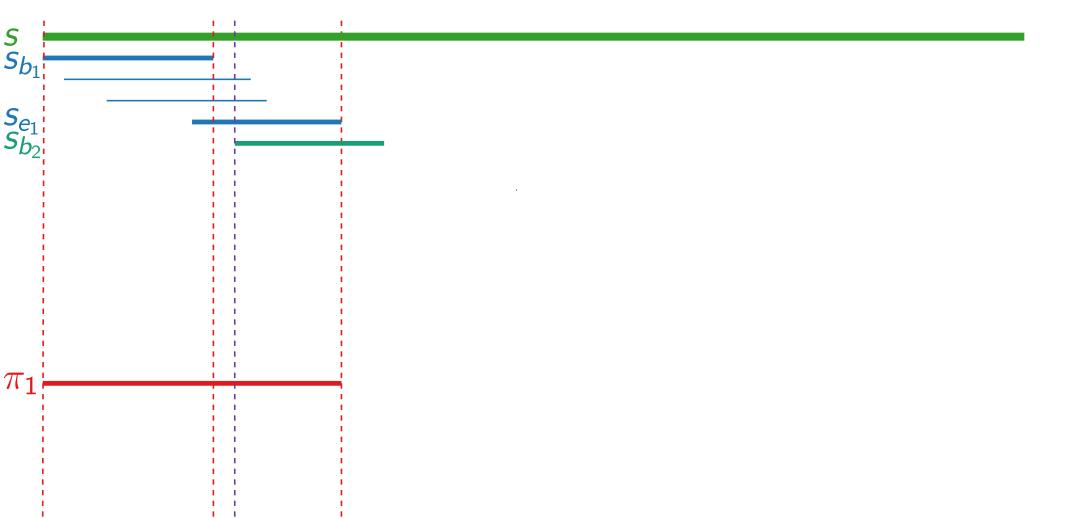
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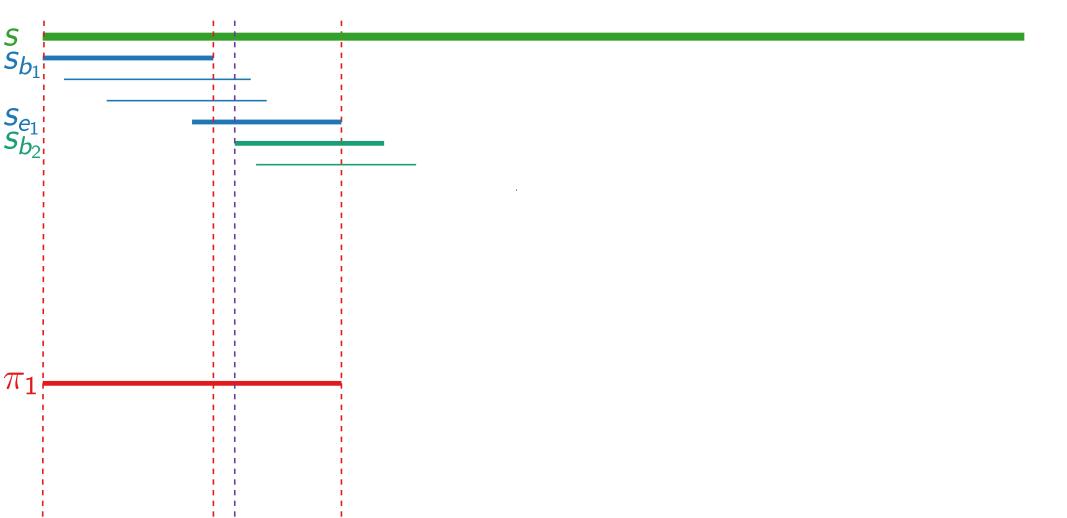
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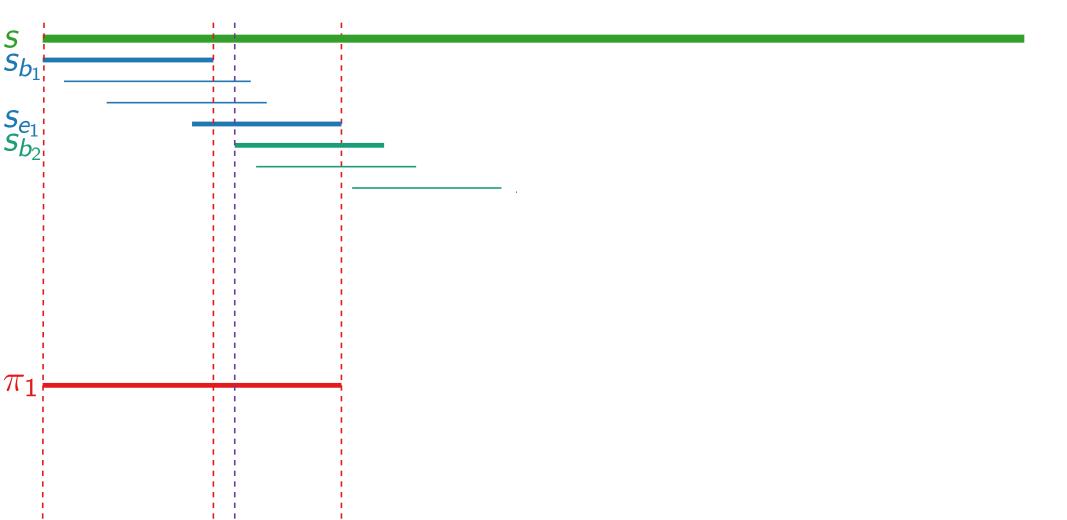
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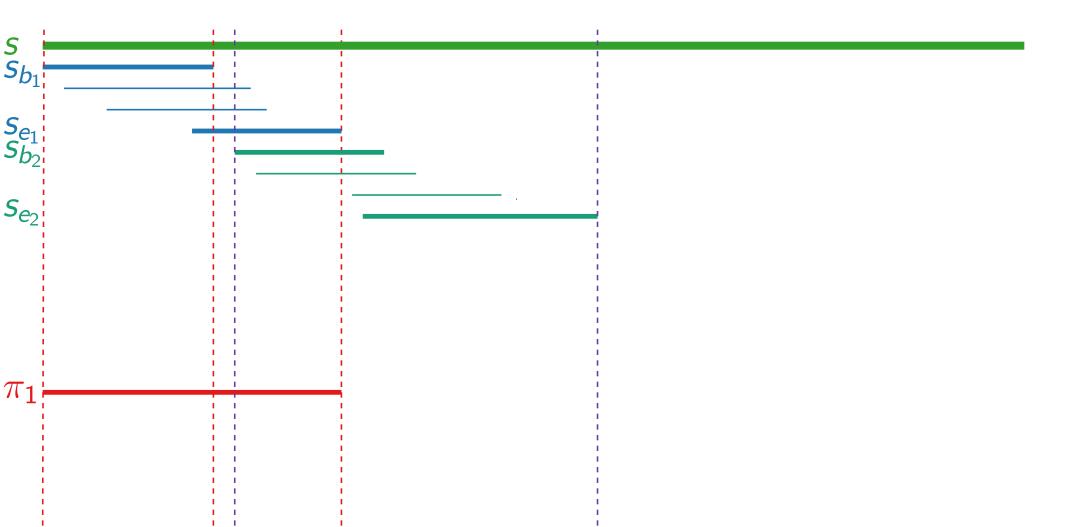
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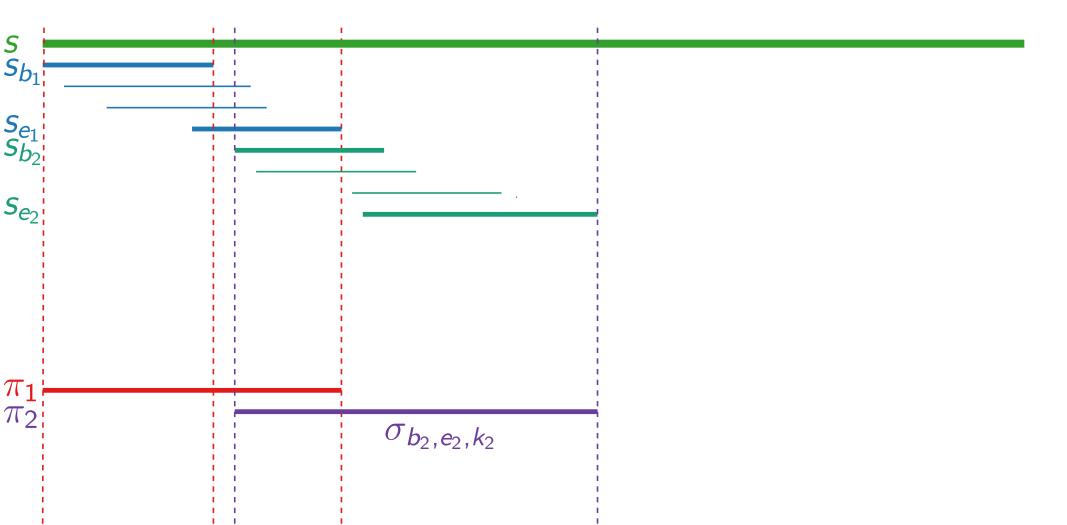
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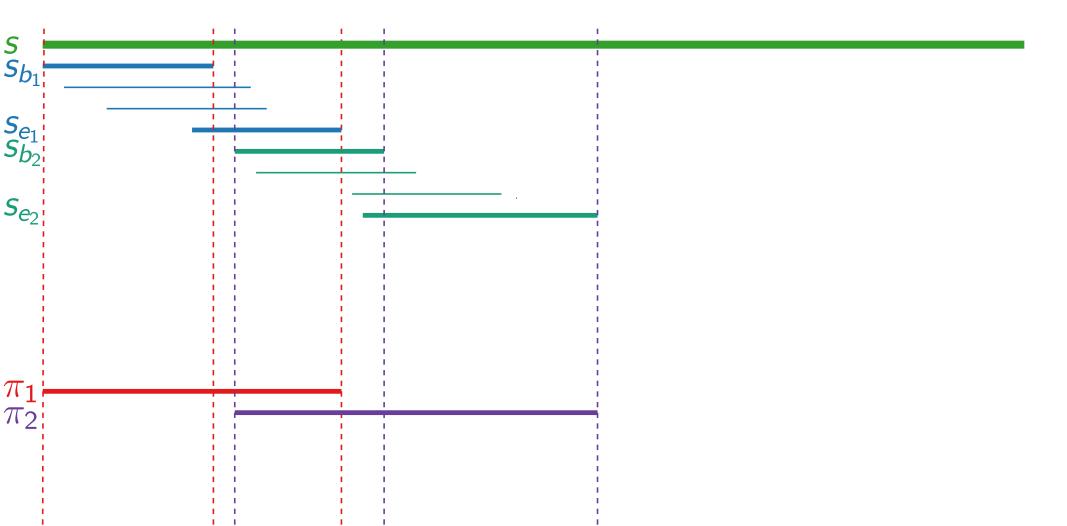
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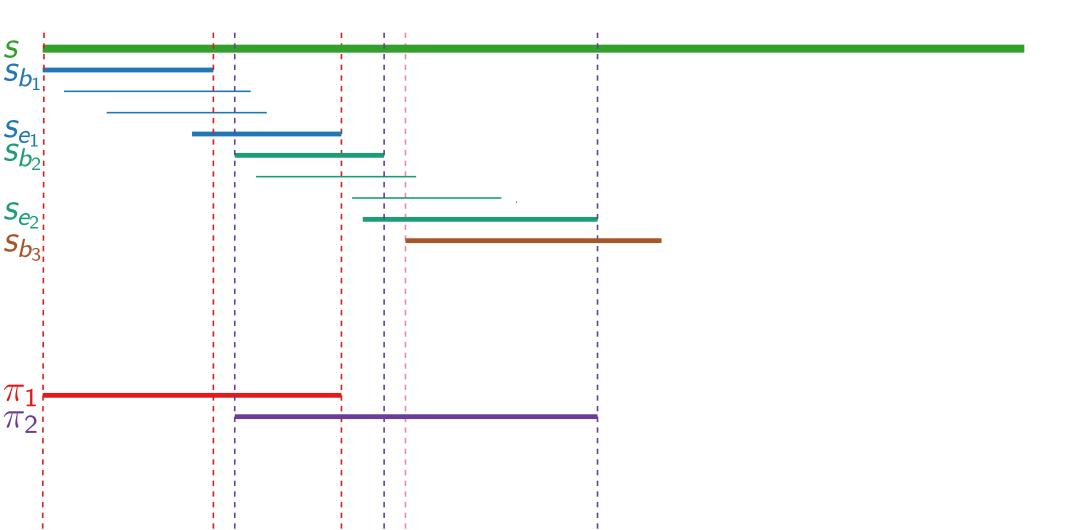
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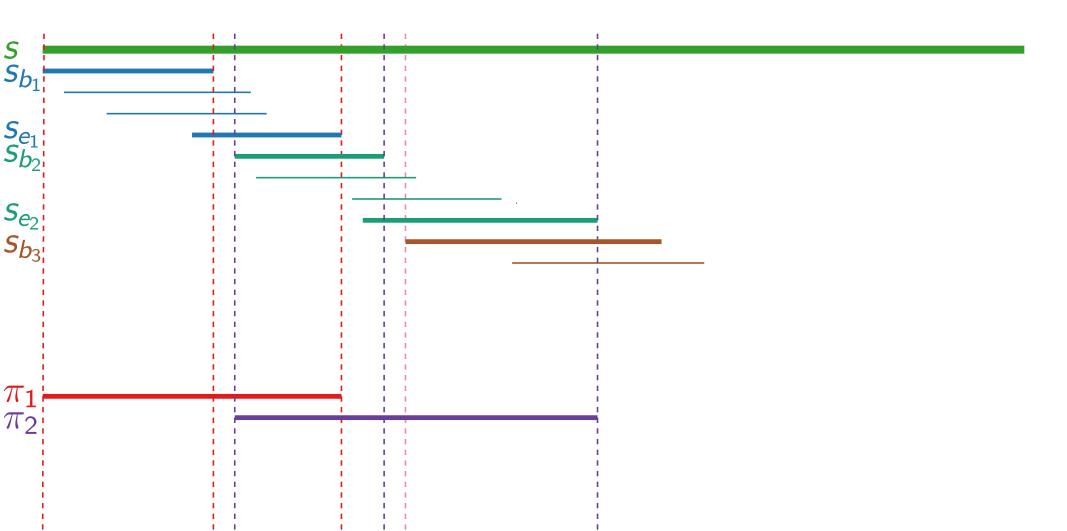
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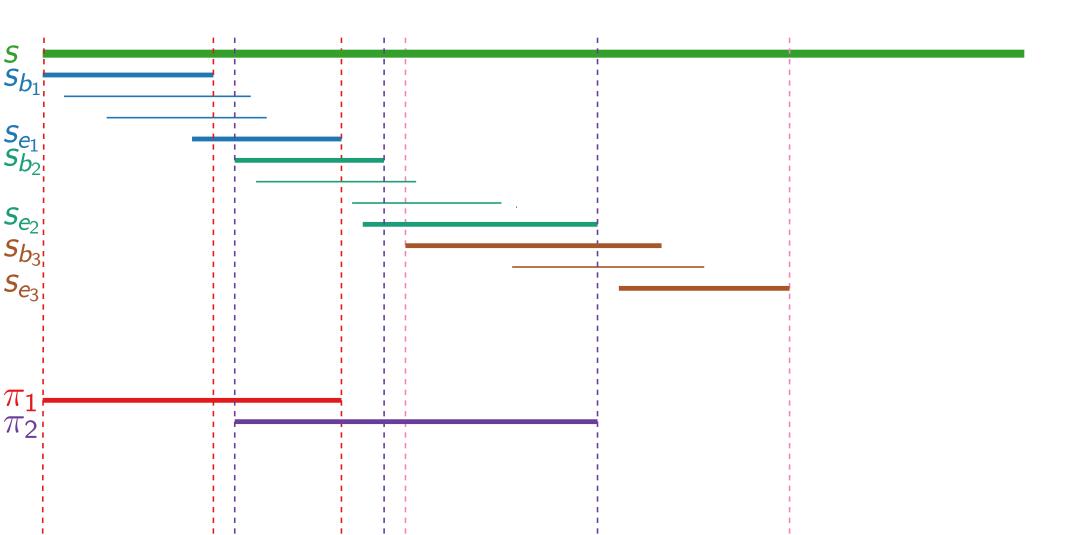
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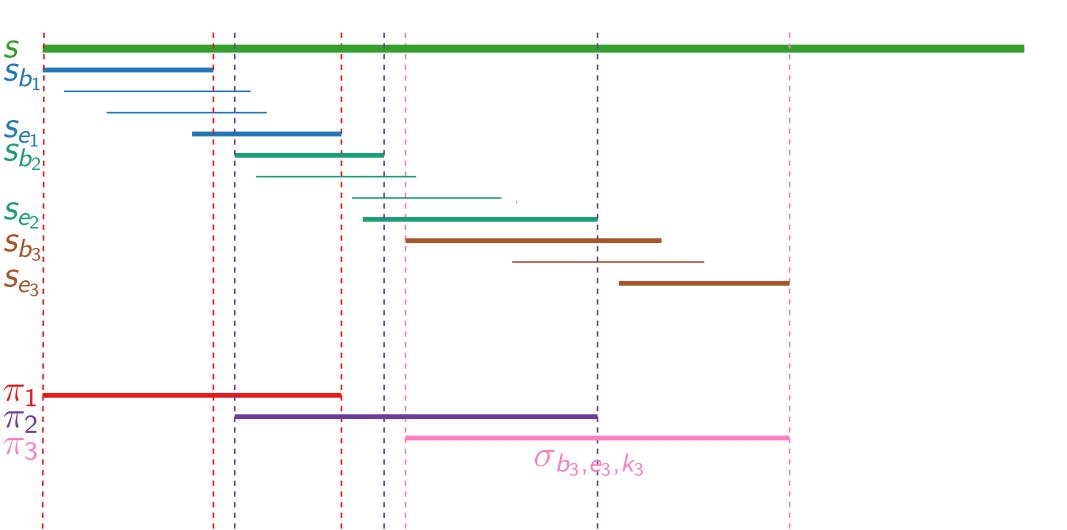
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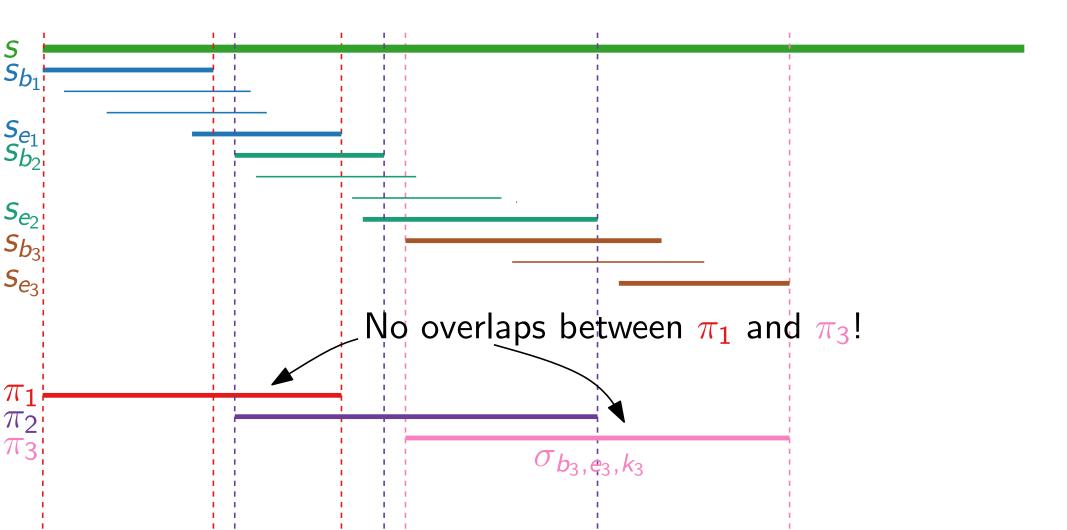
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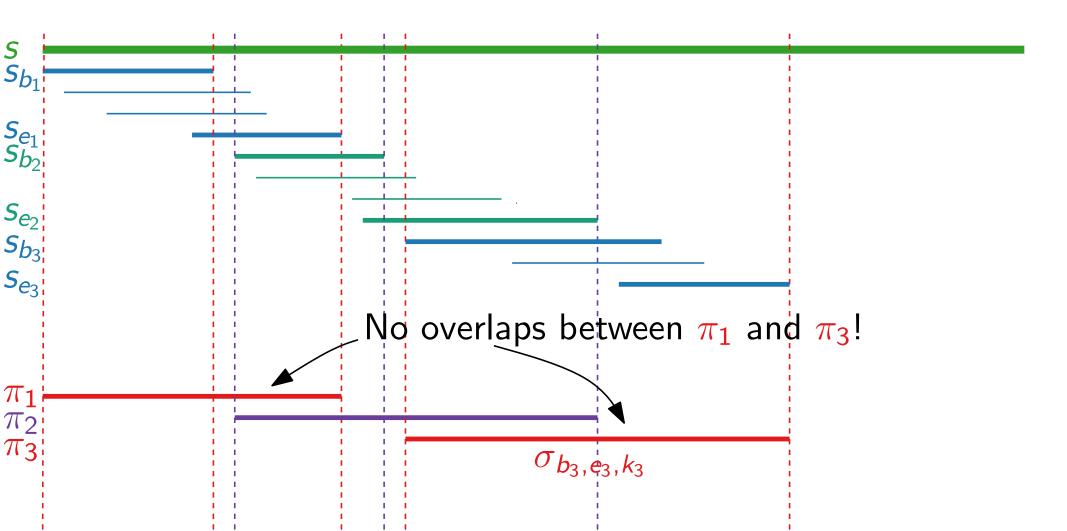
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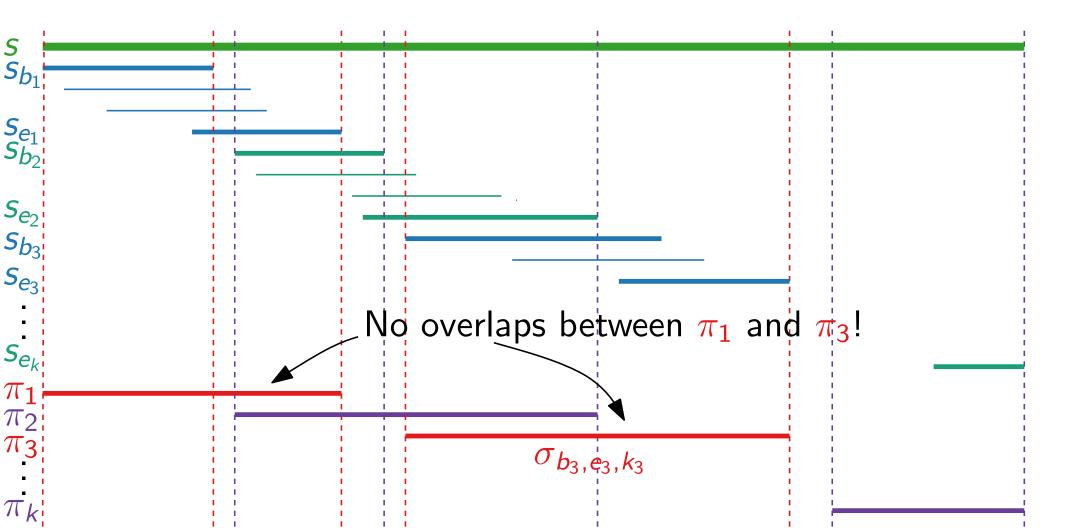
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- SHORTESTSUPERSTRING cannot be approximated within factor  $\frac{333}{332} \approx 1.003$  (unless P = NP).

[Karpinski & Schmied: CATS 2013]