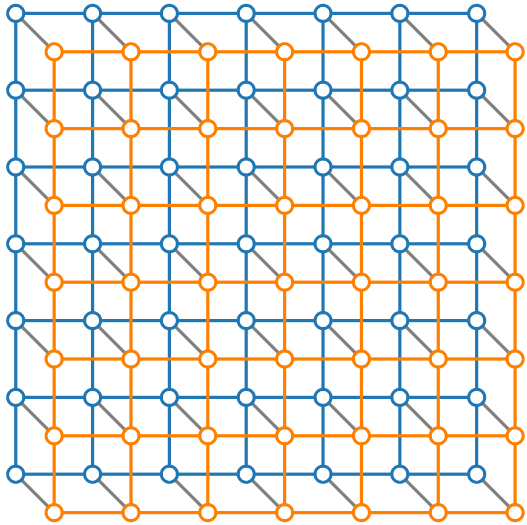
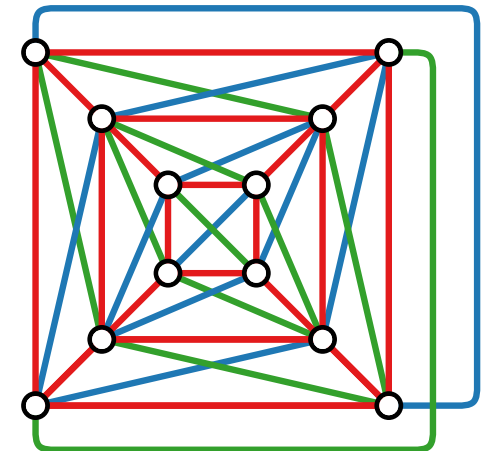
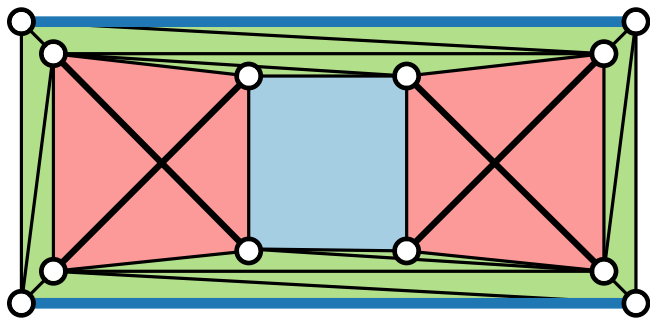
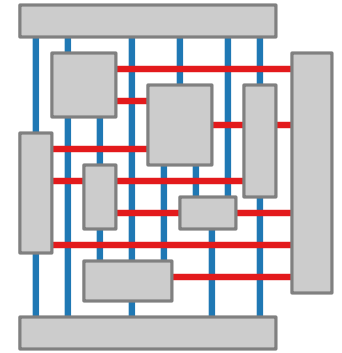


# Visualization of Graphs



## Lecture 11: Beyond Planarity Drawing Graphs with Crossings

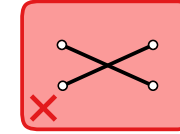


Johannes Zink

Summer semester 2024

# Planar Graphs

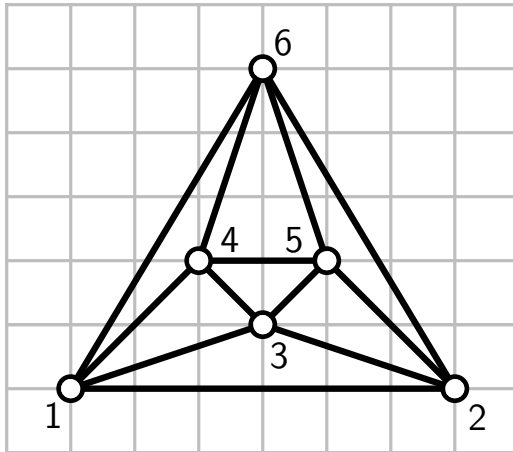
Planar graphs admit drawings in the plane without crossings.



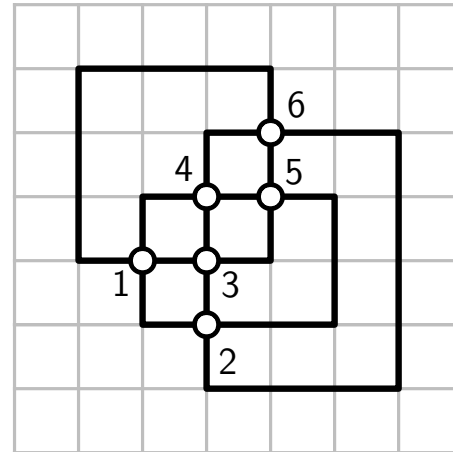
Plane graph is a planar graph with an embedding (fixed rotation system and fixed outer face).

Planarity is recognizable in linear time.

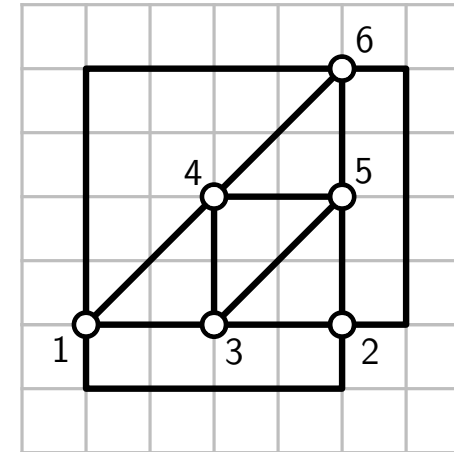
Different drawing styles ...



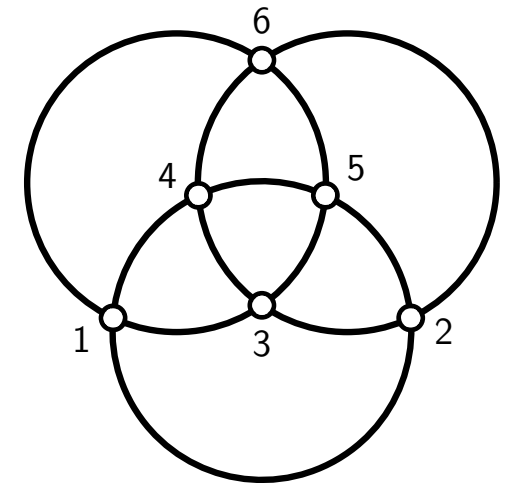
straight-line drawing



orthogonal drawing



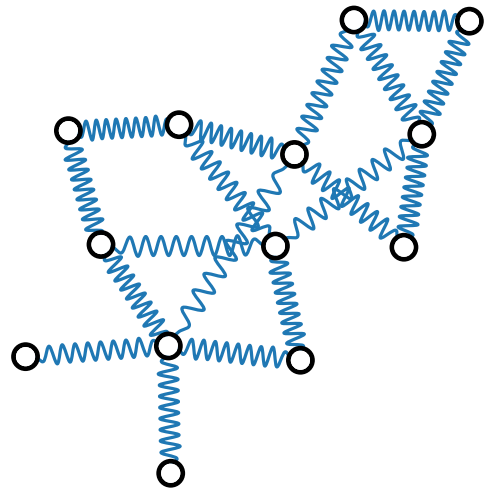
grid drawing with bends & 3 slopes



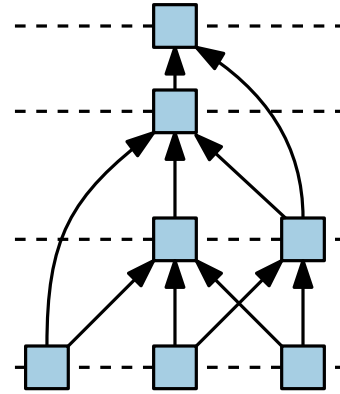
circular-arc drawing

# And Non-Planar Graphs?

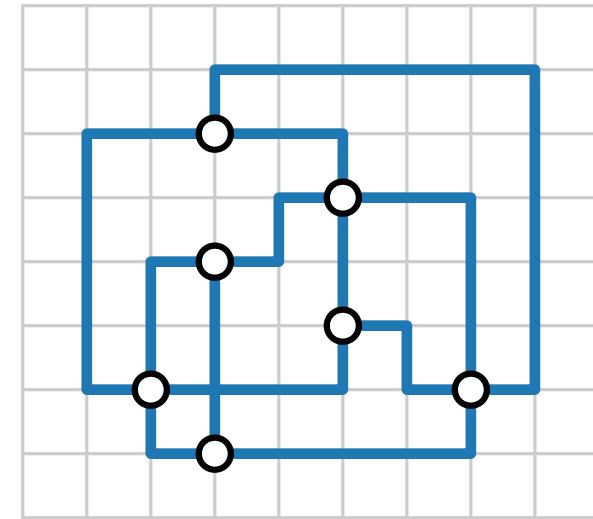
We have seen a few drawing styles:



force-directed drawing

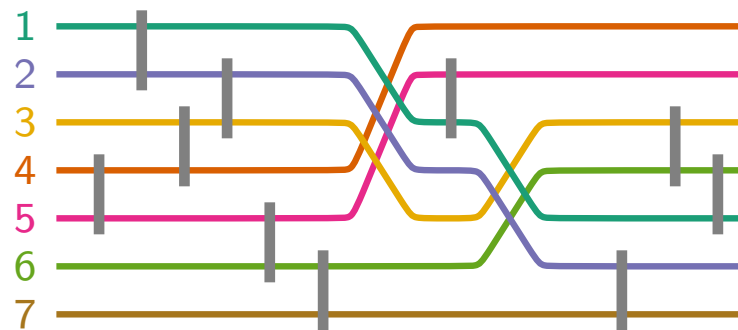


hierarchical drawing

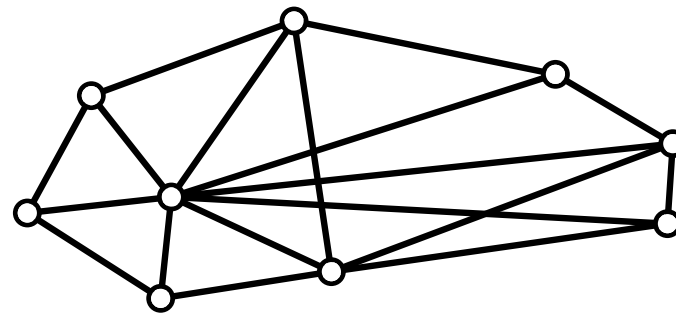


orthogonal layouts  
(via planarization)

Maybe not all crossings are equally bad?



block crossings



Which crossings feel worse?

# Eye-Tracking Experiment

[Eades, Hong & Huang 2008]

**Input:** A graph drawing and designated path.

**Task:** Trace path and count number of edges.

**Results:** no crossings

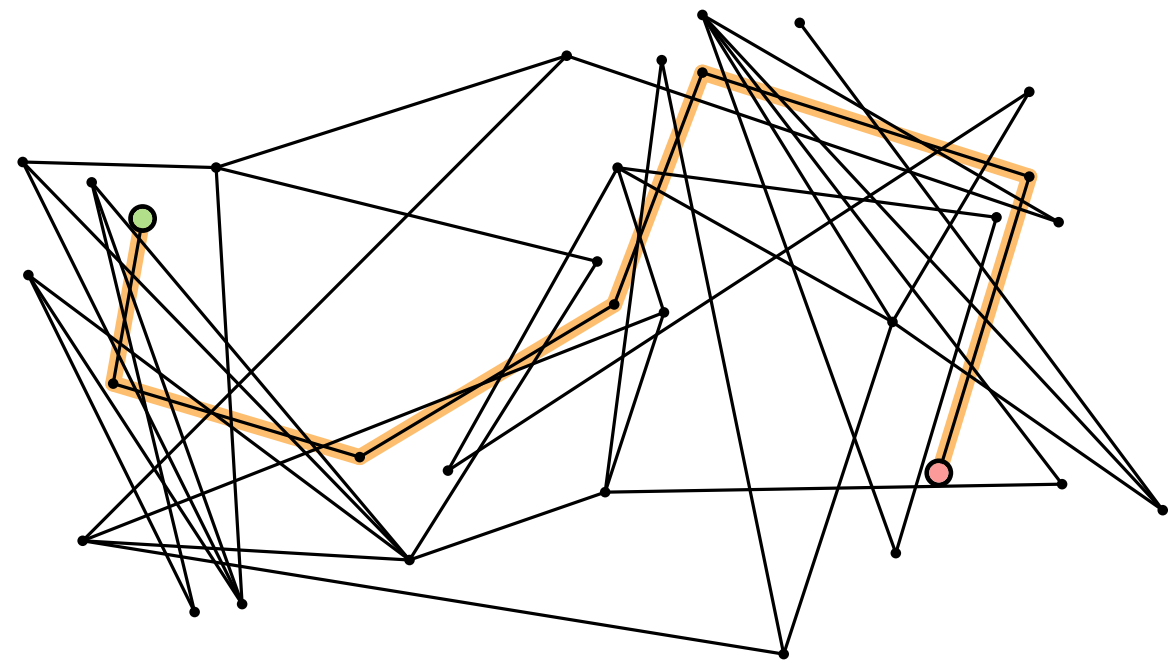
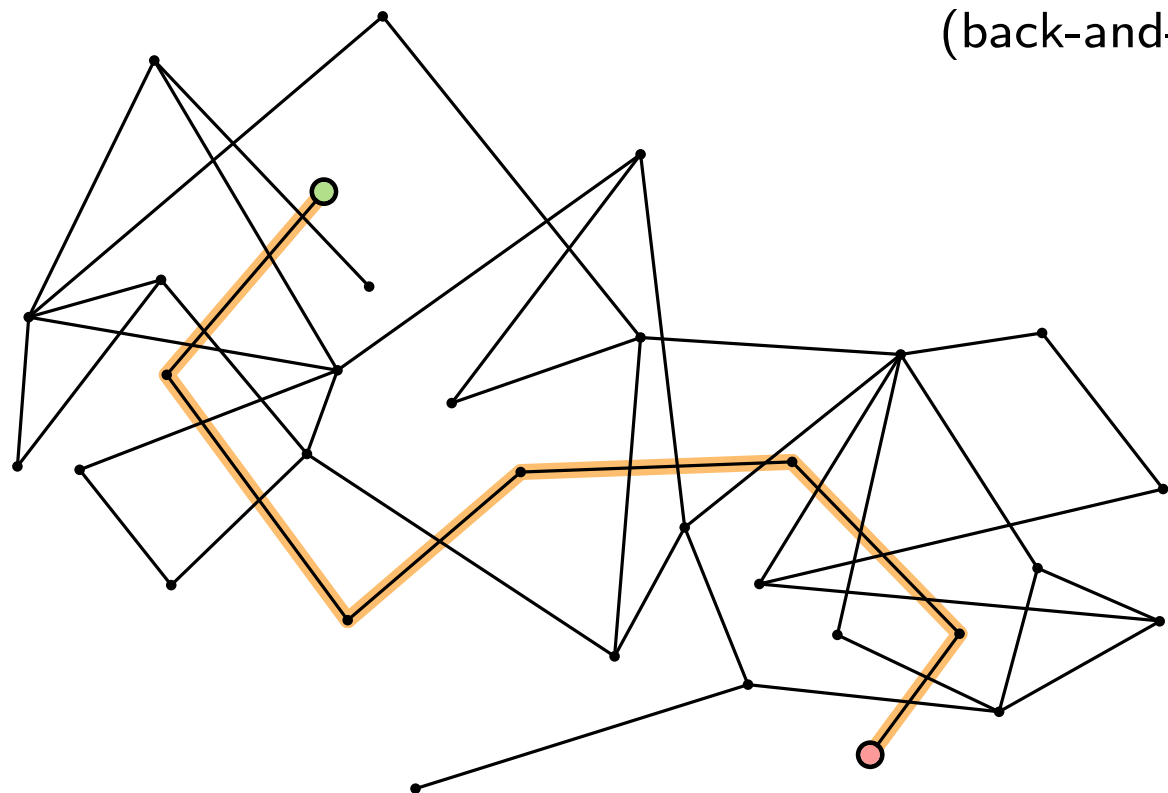
large crossing angles

small crossing angles

eye movements smooth and fast

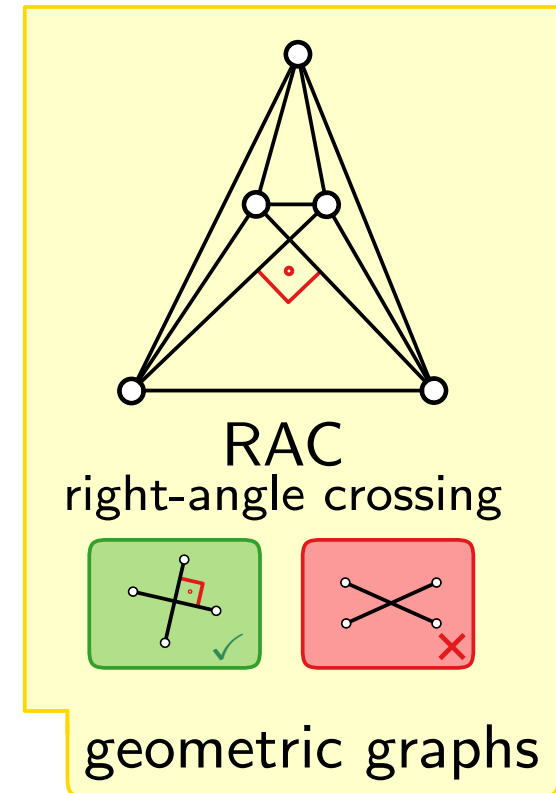
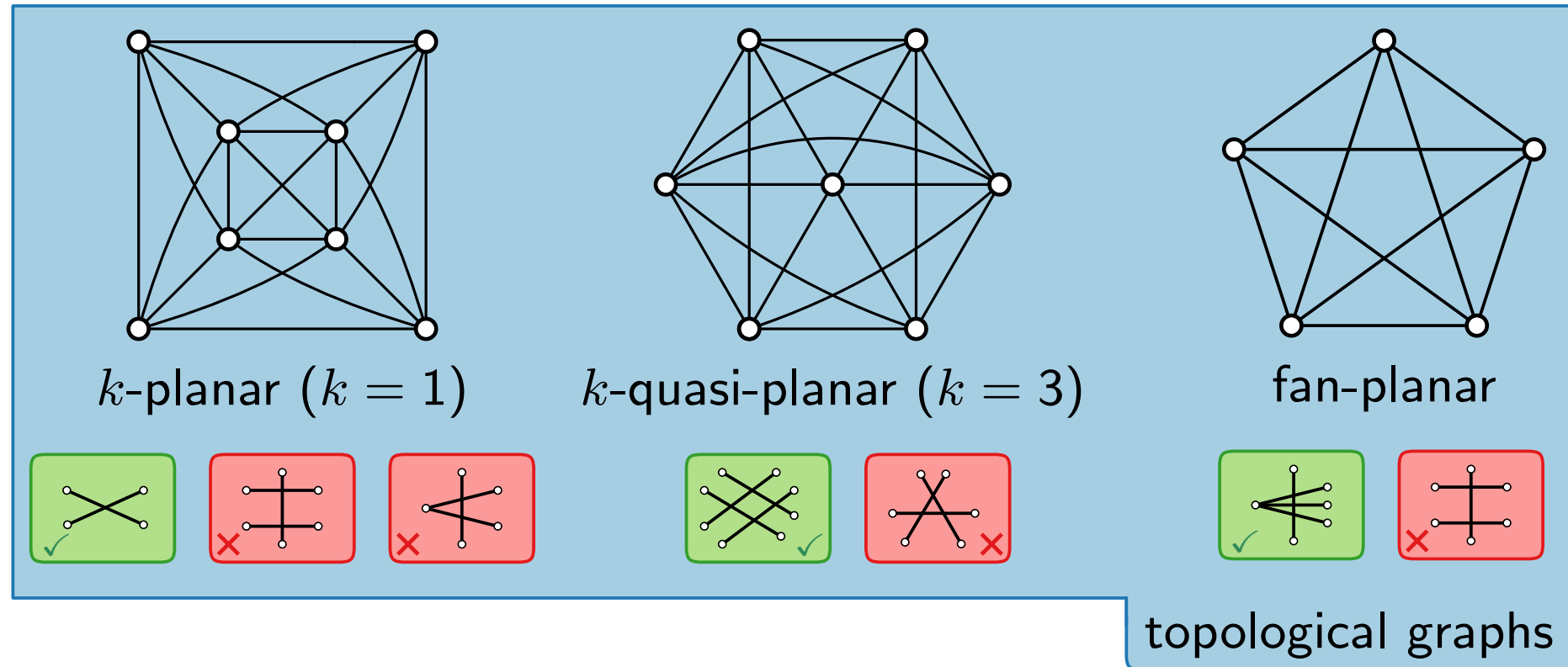
eye movements smooth but slightly slower

eye movements no longer smooth and very slow  
(back-and-forth movements at crossing points)



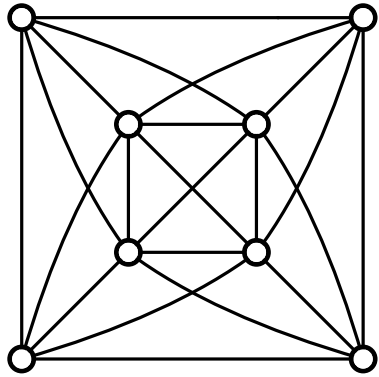
# Some Beyond-Planar Graph Classes

We define **aesthetics** for edge crossings and avoid/minimize “bad” crossing configurations.

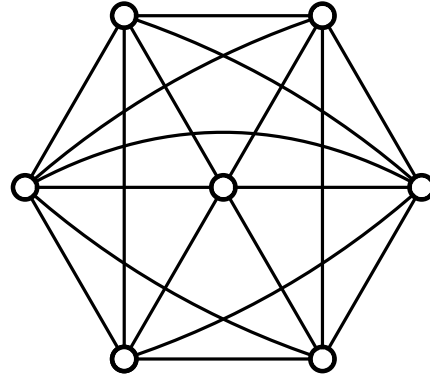
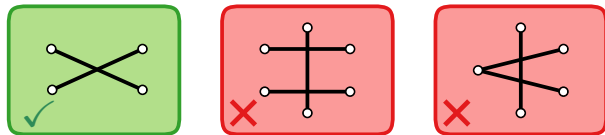


# Some Beyond-Planar Graph Classes

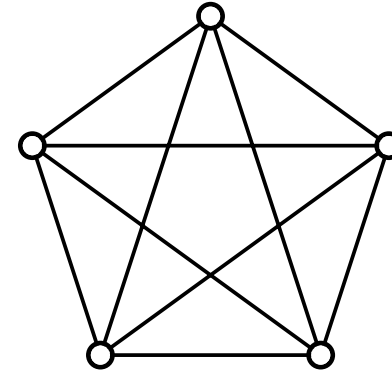
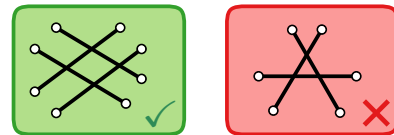
We define **aesthetics** for edge crossings and avoid/minimize “**bad**” crossing configurations.



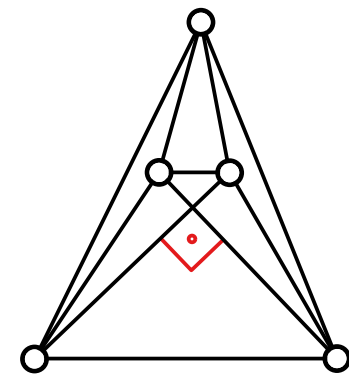
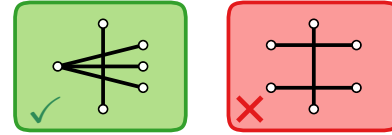
$k$ -planar ( $k = 1$ )



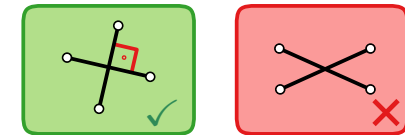
$k$ -quasi-planar ( $k = 3$ )



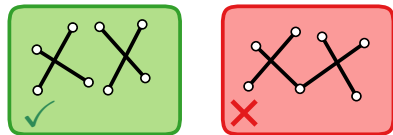
fan-planar



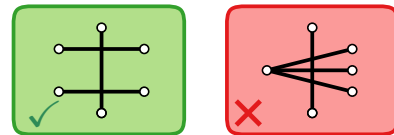
RAC  
right-angle crossing



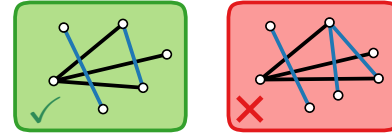
There are many more beyond-planar graph classes...



IC (independent crossing)



fan-crossing-free

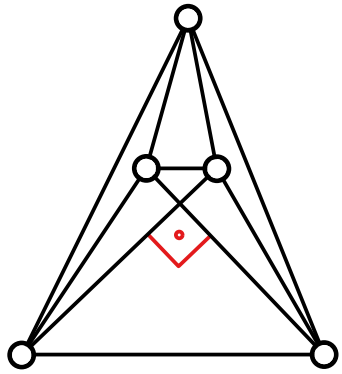


skewness- $k$  ( $k = 2$ )

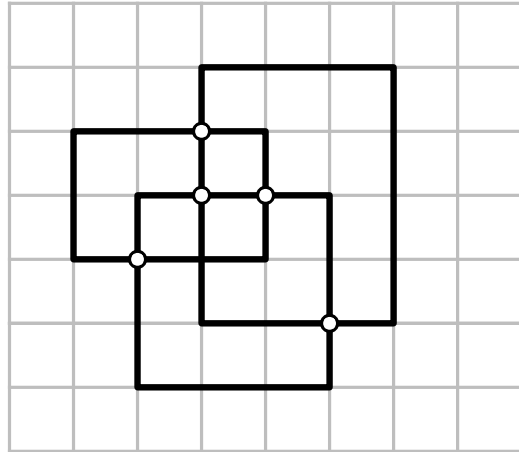
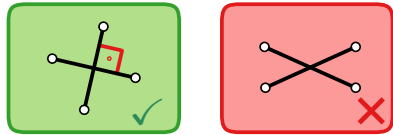
remove  $\leq k$  edges to make it planar

combinations, ...

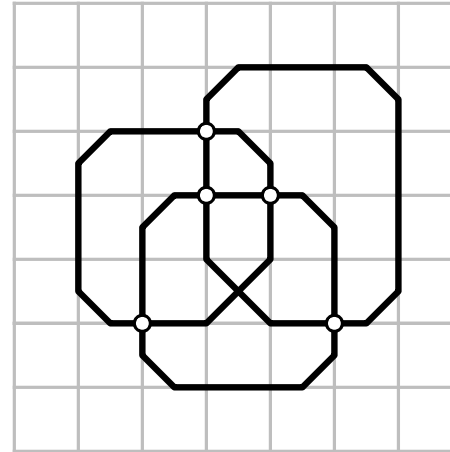
# Drawing Styles for Crossings



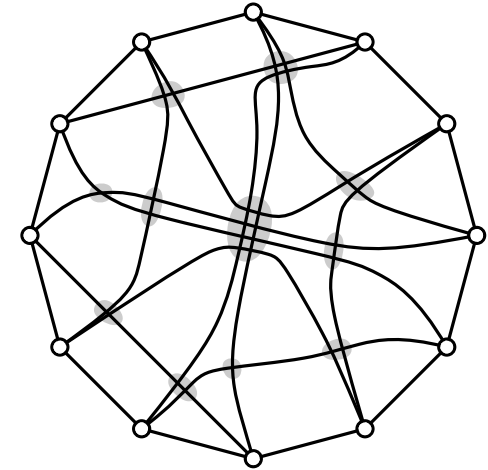
**RAC**  
right-angle crossing



orthogonal

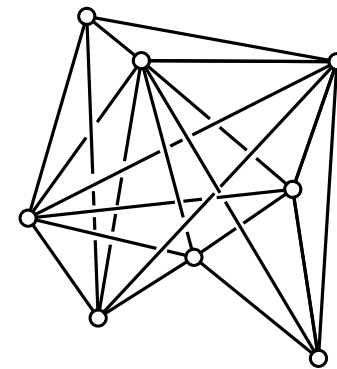


slanted orthogonal

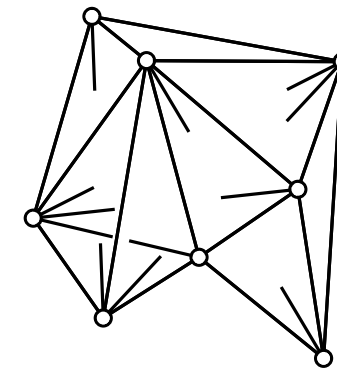


block / bundled crossings

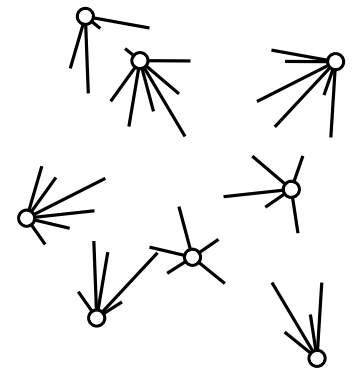
circular layout: 28 individual  
vs. 12 bundle crossings



cased crossings

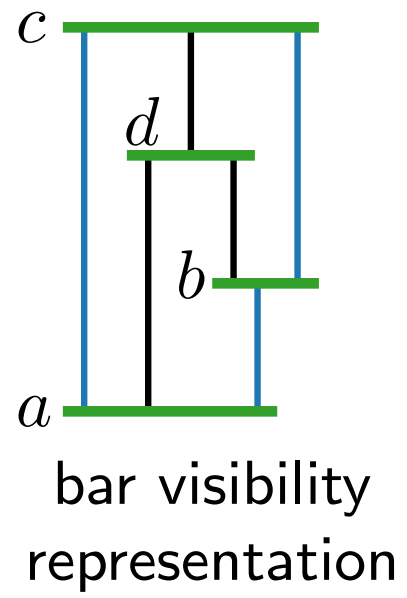
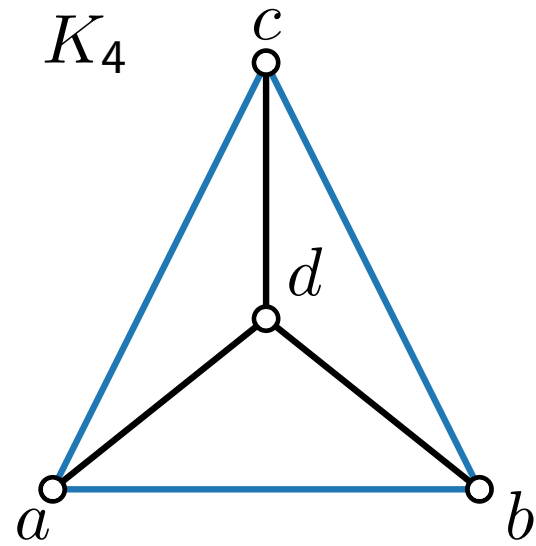


symmetric partial  
edge drawing



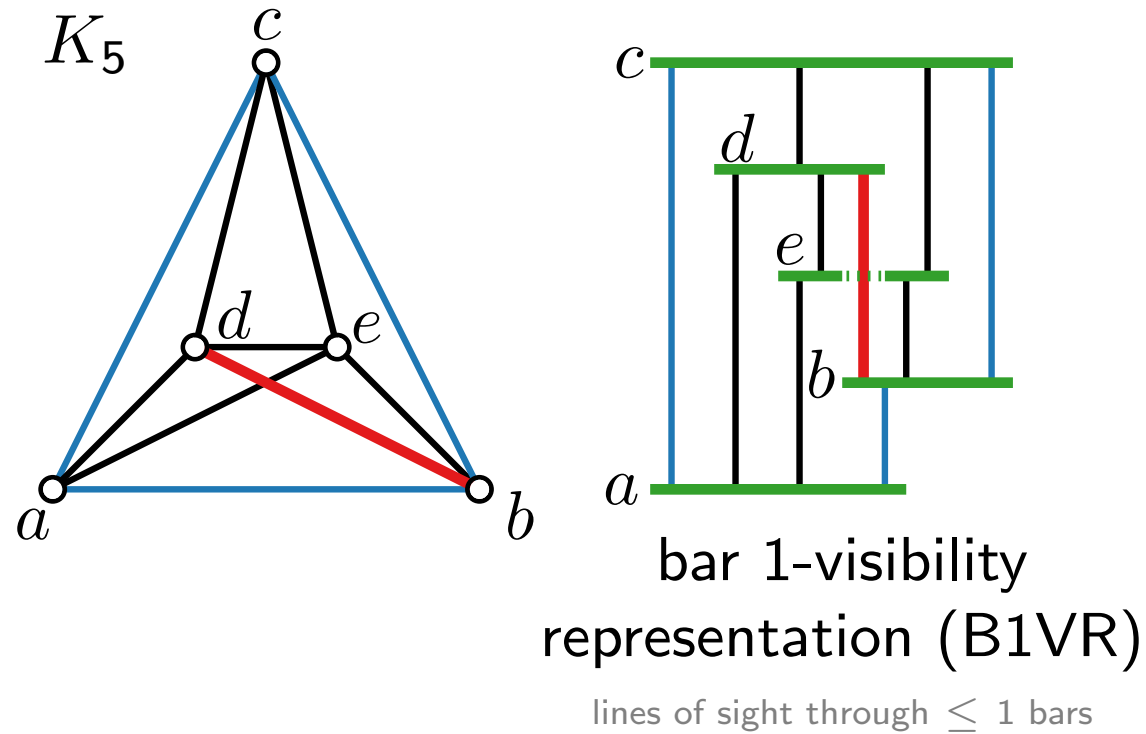
**1/4-SHPED**  
symmetric homogenous  
partial edge drawing

# Geometric Representations

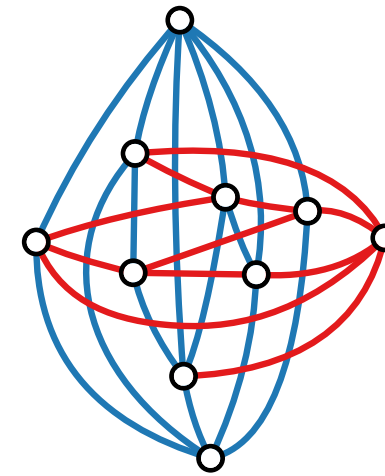




# Geometric Representations

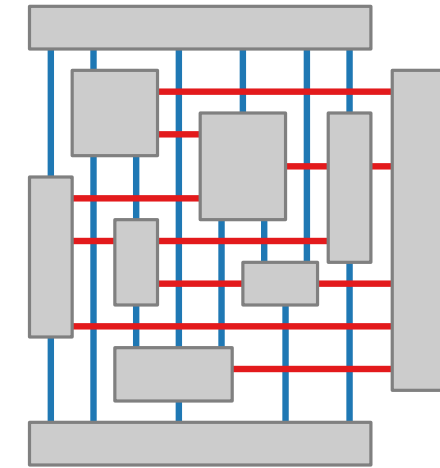


- Every 1-planar graph admits a B1VR. [Brandenburg 2014; Evans et al. 2014; Angelini et al. 2018]



thickness-2 graph

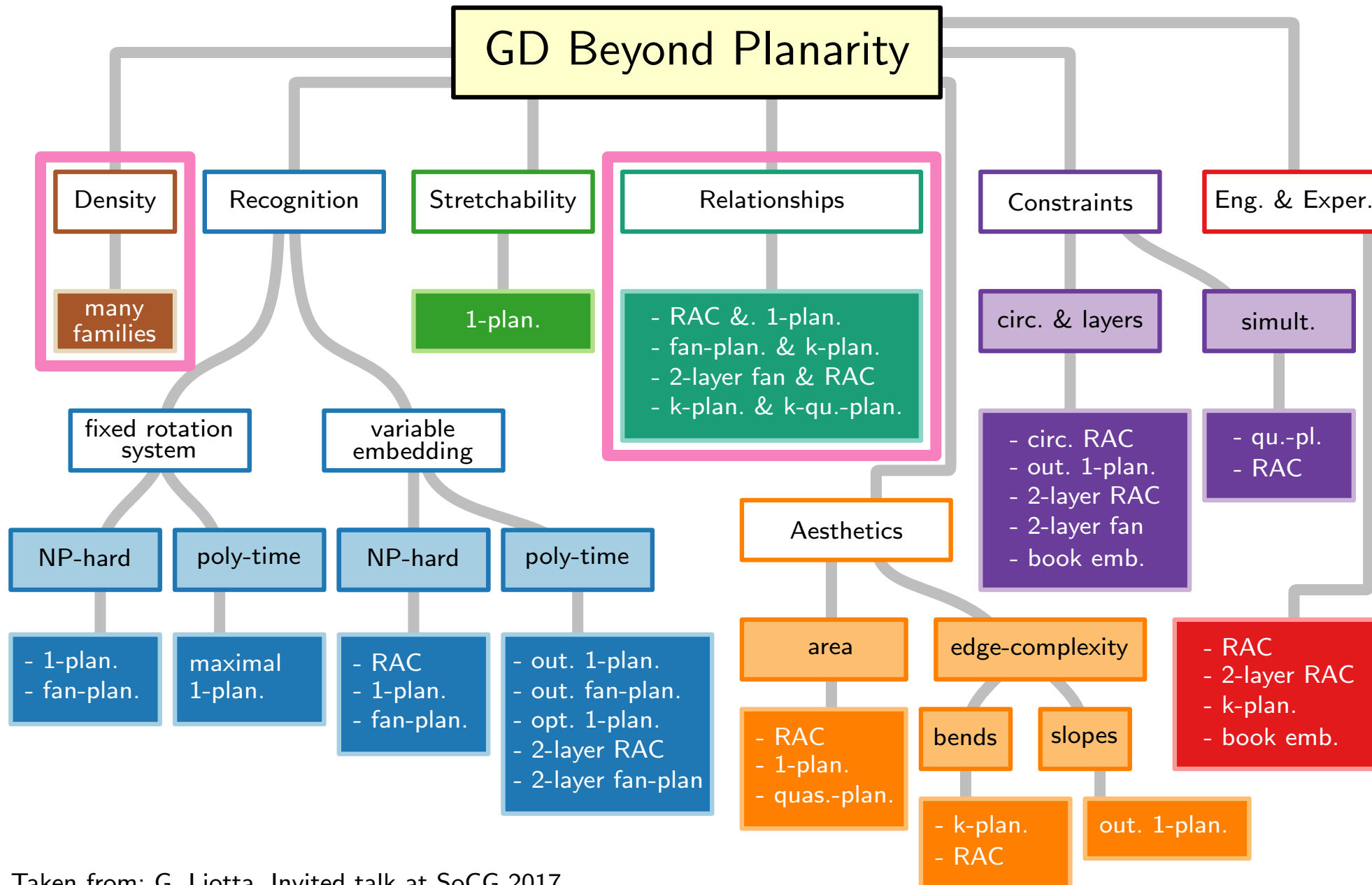
decompose into 2 planar graphs



rectangle visibility representation

- Rectangle visibility graphs (RVGs) have  $\leq 6n - 20$  edges. [Hutchinson, Shermer, Vince 1996]
- Recognizing thickness-2 graphs and RVGs is NP-hard. [Mansfields 1983] [Shermer 1996]
- RVGs can be recognized efficiently if embedding is fixed. [Biedl, Liotta, Montecchiani 2018]

# GD Beyond Planarity: a Taxonomy



Taken from: G. Liotta, Invited talk at SoCG 2017

"Graph Drawing Beyond Planarity: Some Results and Open Problems", Jul. 2017

# Density of 1-Planar Graphs

**Theorem.** [Ringel 1965, Pach & Tóth 1997]

A 1-planar graph with  $n$  vertices has at most  $4n - 8$  edges, which is a tight bound.

## Proof sketch.

- Let the **red** edges be those that do not cross.
- Each **blue** edge crosses a **green** edge.
- This yields a **red-blue** plane graph  $G_{rb}$  with

$$m_{rb} \leq 3n - 6$$

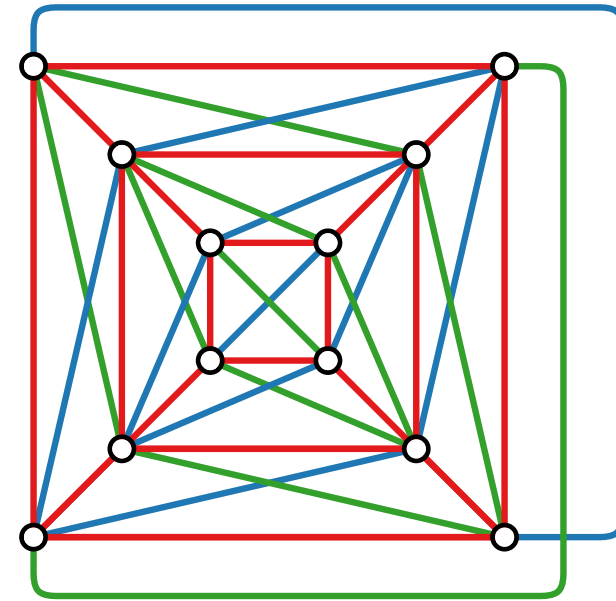
- and a **green** plane graph  $G_g$  with

$$m_g \leq 3n - 6 \quad \Rightarrow \quad m \leq m_{rb} + m_g \leq 6n - 12$$

- Observe that each **green** edge joins two faces in  $G_{rb}$ .

$$m_g \leq f_{rb}/2 \leq (2n - 4)/2 = n - 2$$

$$\Rightarrow m = m_{rb} + m_g \leq 3n - 6 + n - 2 = 4n - 8$$



Lower-bound construction:

$2n - 4$  edges

$n - 2$  faces

Edges per face:  $2$  edges

Total:  $4n - 8$  edges

# Density of 1-Planar Graphs

**Theorem.** [Ringel 1965, Pach & Tóth 1997]

A 1-planar graph with  $n$  vertices has at most  $4n - 8$  edges, which is a tight bound.

A 1-planar graph with  $n$  vertices is called **optimal** if it has exactly  $4n - 8$  edges.

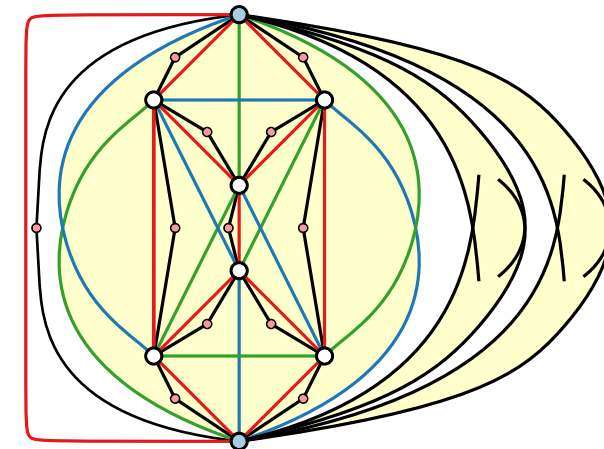
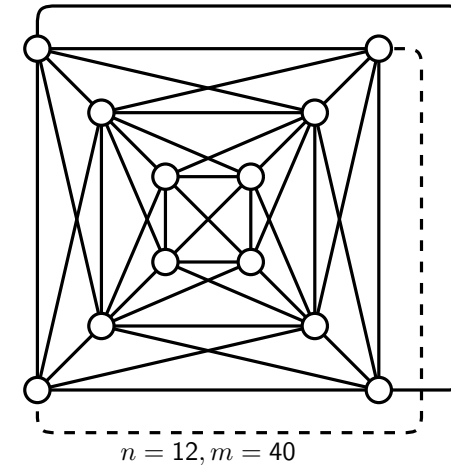
A 1-planar graph is called **maximal** if adding any edge would result in a non-1-planar graph.

**Theorem.** [Brandenburg et al. 2013]

There are **maximal** 1-planar graphs with  $n$  vertices and  $45/17n - O(1) \approx 2.65n - O(1)$  edges.

**Theorem.** [Didimo 2013]

A 1-planar graph with  $n$  vertices that admits a **straight-line drawing** has at most  $4n - 9$  edges.



Idea: in a drawing of an optimal 1-planar graph, we cannot realize the crossing on the outer face with two straight-line edges.

# Density of $k$ -Planar Graphs

## Theorem.

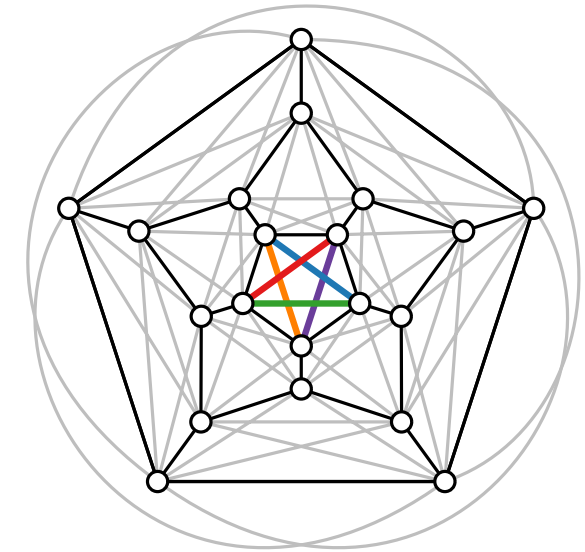
A  $k$ -planar graph with  $n$  vertices has at most:

$k$	number of edges	
0	$3(n - 2)$	Euler's formula
1	$4(n - 2)$	[Ringel 1965]
2	$5(n - 2)$	[Pach and Tóth 1997]

$$n - m + f = 2$$

$$m = c \cdot f ?$$

$$m = \frac{5}{2}f$$



optimal 2-planar

Planar structure:

$$\frac{5}{3}(n - 2) \text{ edges}$$

$$\frac{2}{3}(n - 2) \text{ faces}$$

Edges per face: 5 edges

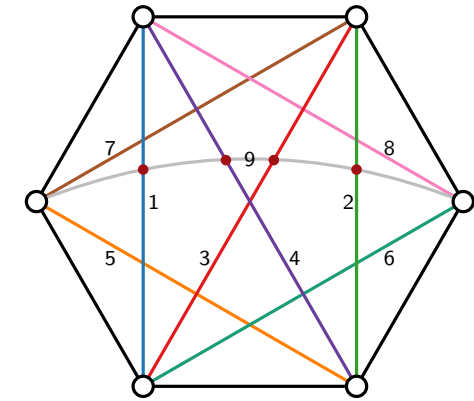
Total:  $5(n - 2)$  edges

# Density of $k$ -Planar Graphs

## Theorem.

A  $k$ -planar graph with  $n$  vertices has at most:

$k$	number of edges	
0	$3(n - 2)$	Euler's formula
1	$4(n - 2)$	[Ringel 1965]
2	$5(n - 2)$	[Pach and Tóth 1997]
3	$5.5(n - 2)$	[Pach et al. 2006]



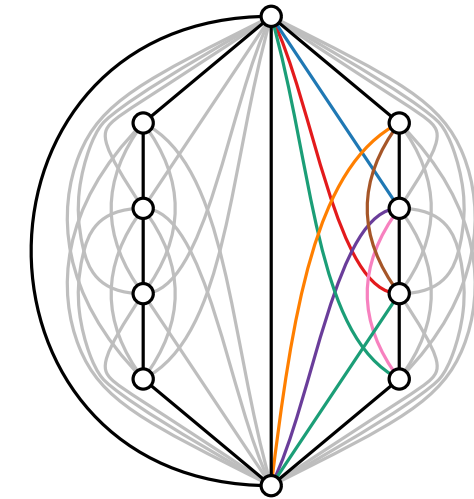
optimal 3-planar

# Density of $k$ -Planar Graphs

## Theorem.

A  $k$ -planar graph with  $n$  vertices has at most:

$k$	number of edges	
0	$3(n - 2)$	Euler's formula
1	$4(n - 2)$	[Ringel 1965]
2	$5(n - 2)$	[Pach and Tóth 1997]
3	$5.5(n - 2)$	[Pach et al. 2006]



optimal 3-planar

Planar structure:

$$\frac{3}{2}(n - 2) \text{ edges}$$

$$\frac{1}{2}(n - 2) \text{ faces}$$

Edges per face: 8 edges

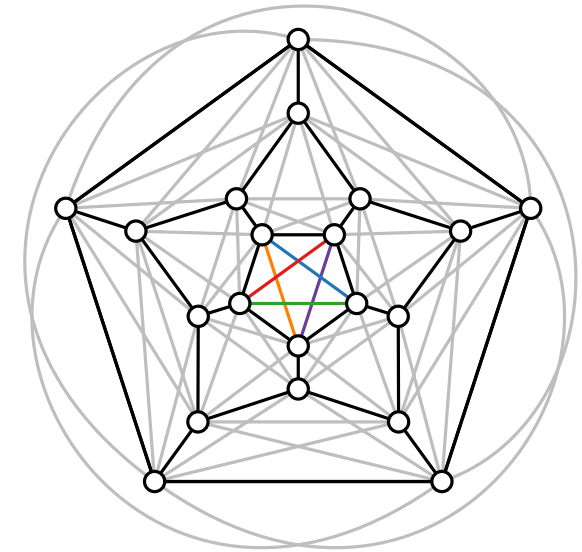
Total:  $5.5(n - 2)$  edges

# Density of $k$ -Planar Graphs

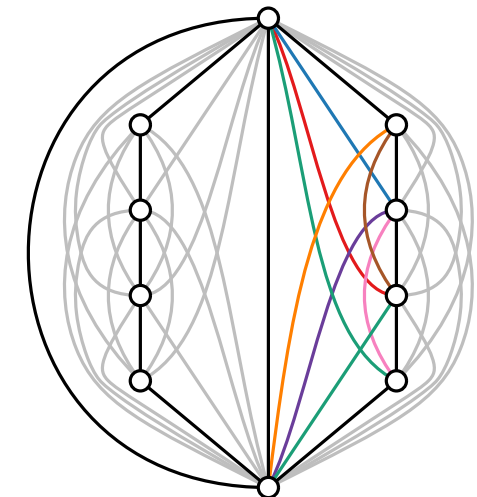
## Theorem.

A  $k$ -planar graph with  $n$  vertices has at most:

$k$	number of edges	
0	$3(n - 2)$	Euler's formula
1	$4(n - 2)$	[Ringel 1965]
2	$5(n - 2)$	[Pach and Tóth 1997]
3	$5.5(n - 2)$	[Pach et al. 2006]
4	$6(n - 2)$	[Ackerman 2015]
$> 4$	$4.108\sqrt{kn}$	[Pach and Tóth 1997]



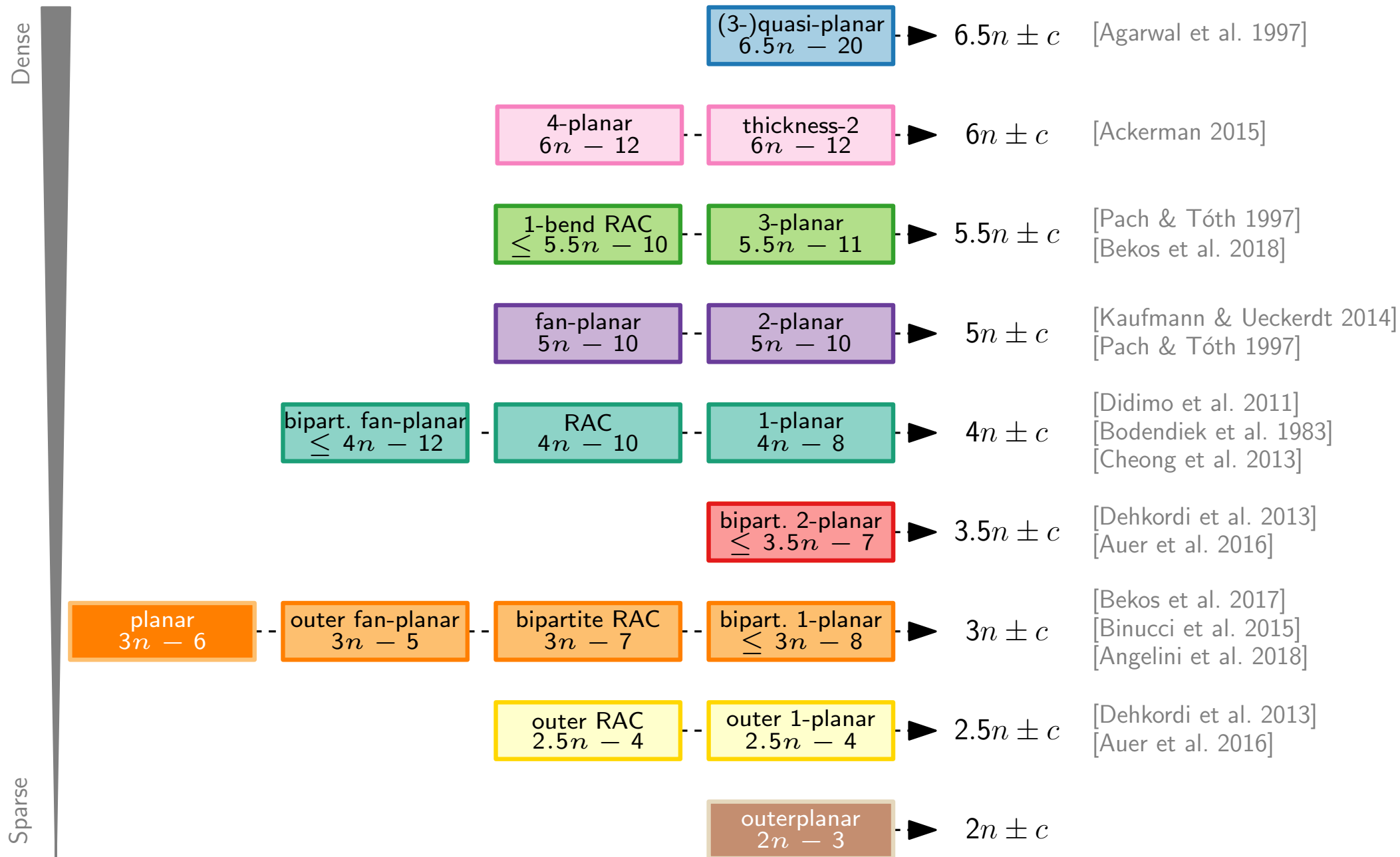
optimal 2-planar



optimal 3-planar



# GD Beyond Planarity: a Hierarchy



# Crossing Numbers

The  **$k$ -planar crossing number**  $cr_{k\text{-pl}}(G)$  of a  $k$ -planar graph  $G$  is the number of crossings required in any  $k$ -planar drawing of  $G$ .

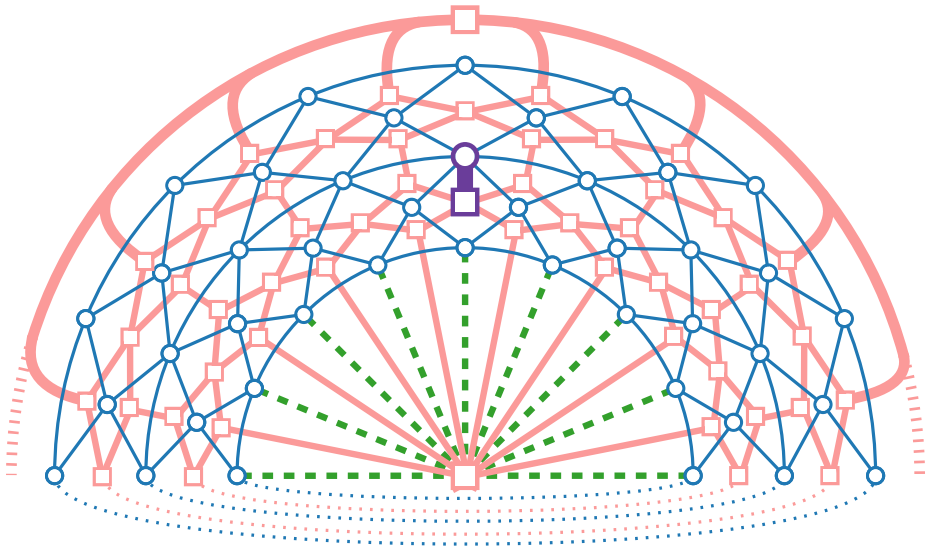
- $cr_{1\text{-pl}}(G) \leq n - 2$  (there are at most  $n - 2$  green edges in the coloring of Theorem 1)
- $cr(G) = 1 \Rightarrow cr_{1\text{-pl}}(G) = 1$

**Theorem.** [Chimani, Kindermann, Montecchiani & Valtr 2019]

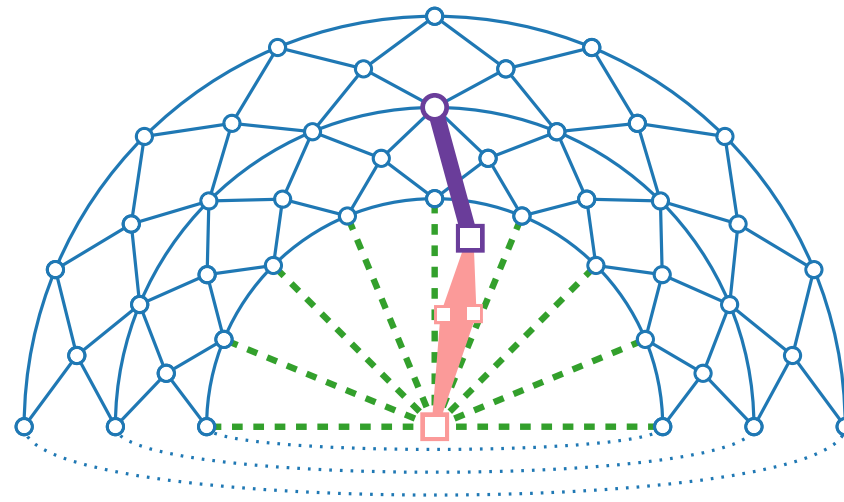
For every  $\ell \geq 7$ , there is a 1-planar graph  $G$  with  $n = 11\ell + 2$  vertices such that  $cr(G) = 2$  and  $cr_{1\text{-pl}}(G) = n - 2$ .

**Crossing ratio**

$$\rho_{1\text{-pl}}(n) = (n - 2)/2$$



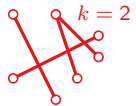
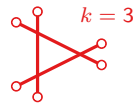

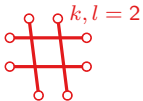

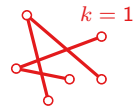
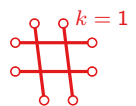



$$cr_{1\text{-pl}}(G) = n - 2$$



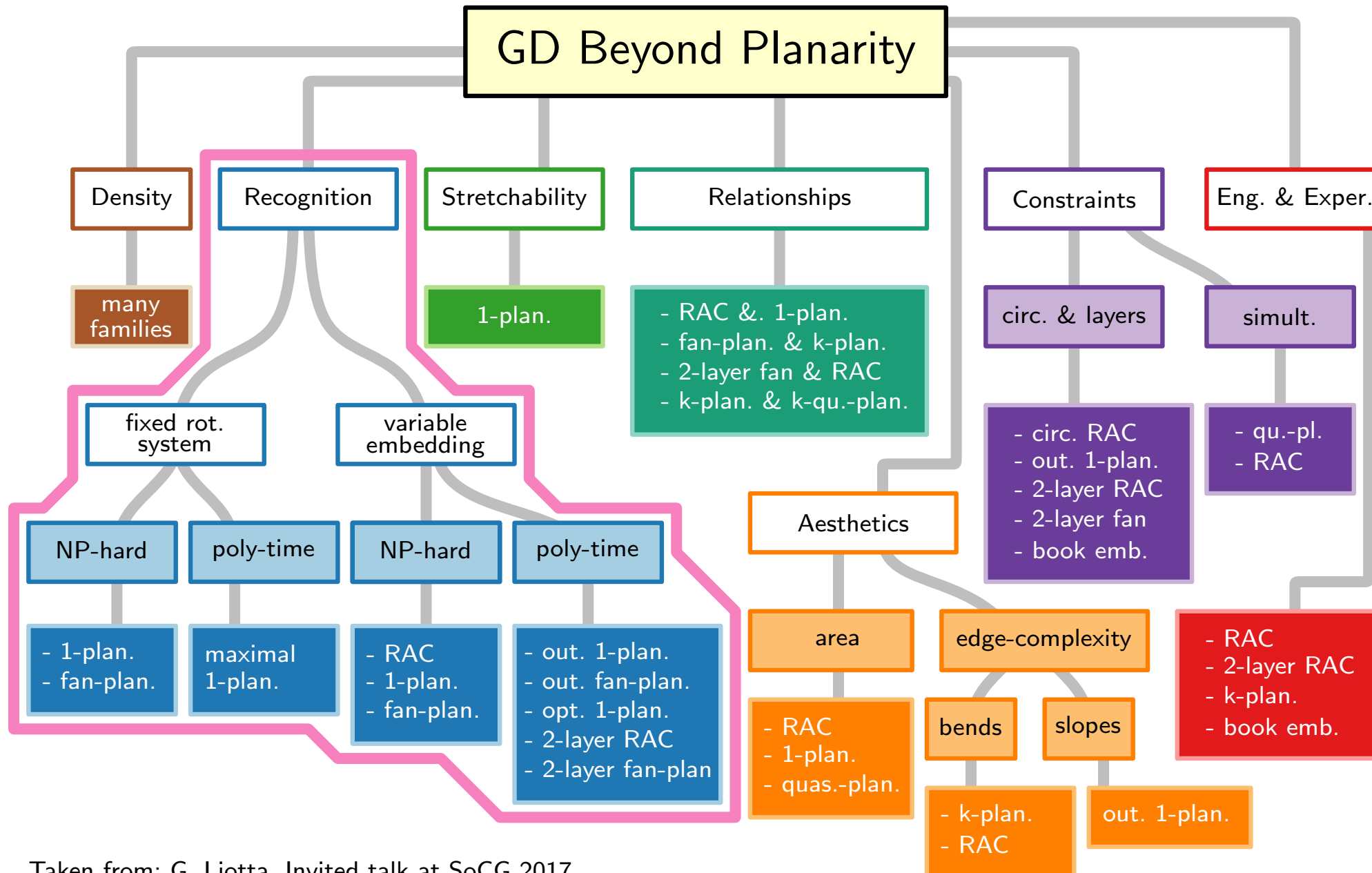
$$cr(G) = 2$$

# Crossing Ratios

Table from “Crossing Numbers of Beyond-Planar Graphs Revisited”  
[van Beusekom, Parada & Speckmann 2021]

Family	Forbidden Configurations		Lower	Upper
$k$ -planar	An edge crossed more than $k$ times		$\Omega(n/k)$	$O(k\sqrt{kn})$
$k$ -quasi-planar	$k$ pairwise crossing edges		$\Omega(n/k^3)$	$f(k)n^2 \log^2 n$
Fan-planar	Two independent edges crossing a third or two adjacent edges crossing another edge from different “side”		$\Omega(n)$	$O(n^2)$
$(k, l)$ -grid-free	Set of $k$ edges such that each edge crosses each edge from a set of $l$ edges.		$\Omega\left(\frac{n}{kl(k+l)}\right)$	$g(k, l)n^2$
$k$ -gap-planar	More than $k$ crossings mapped to an edge in an optimal mapping		$\Omega(n/k^3)$	$O(k\sqrt{kn})$
Skewness- $k$	Set of crossings not covered by at most $k$ edges		$\Omega(n/k)$	$O(kn + k^2)$
$k$ -apex	Set of crossings not covered by at most $k$ vertices		$\Omega(n/k)$	$O(k^2n^2 + k^4)$
Planarly connected	Two crossing edges that do not have two of their endpoint connected by a crossing-free edge		$\Omega(n^2)$	$O(n^2)$
$k$ -fan-crossing-free	An edge that crosses $k$ adjacent edges		$\Omega(n^2/k^3)$	$O(k^2n^2)$
Straight-line RAC	Two edges crossing at an angle $< \frac{\pi}{2}$		$\Omega(n^2)$	$O(n^2)$

# GD Beyond Planarity: a Taxonomy



Taken from: G. Liotta, Invited talk at SoCG 2017

"Graph Drawing Beyond Planarity: Some Results and Open Problems", Jul. 2017

# Minors of 1-Planar Graphs

## Theorem.

[Kuratowski 1930]

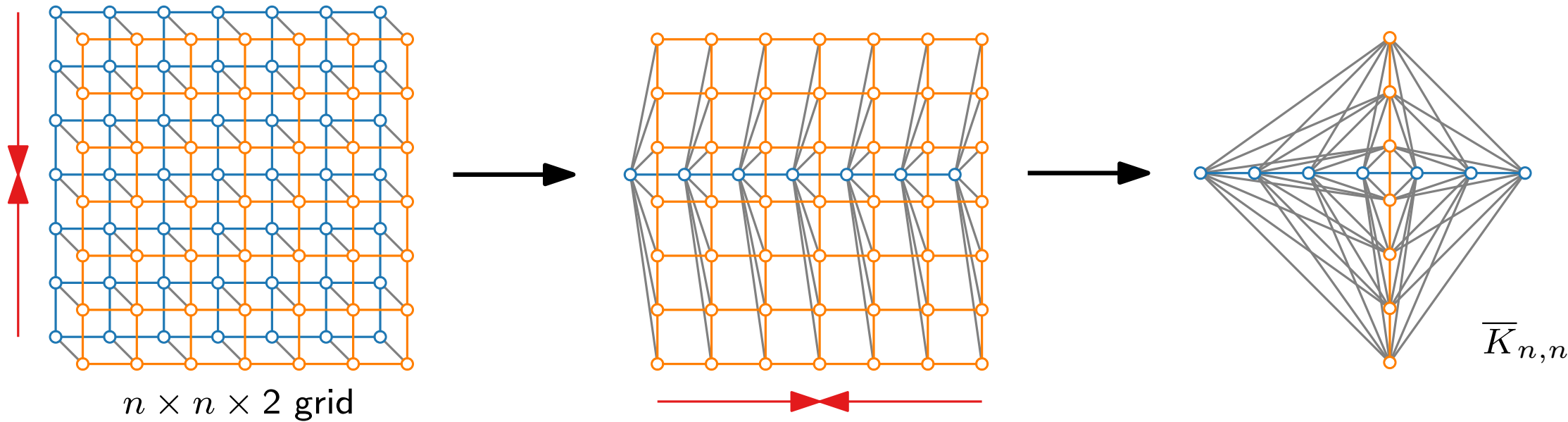
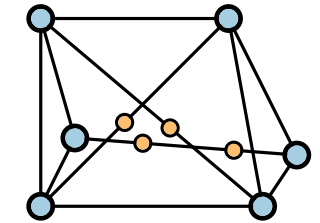
$G$  planar  $\Leftrightarrow$  neither  $K_5$  nor  $K_{3,3}$  minor of  $G$

## Theorem.

[Chen & Kouno 2005]

The class of 1-planar graphs is not closed under edge contraction.

For every graph there is a 1-planar subdivision.



## Theorem.

[Korzhik & Mohar 2013]

For any  $n$ , there exist  $\Omega(2^n)$  distinct  $n$ -vertex graphs that are not 1-planar but all their proper subgraphs are 1-planar.

# Recognition of 1-Planar Graphs

**Theorem.** [Grigoriev & Bodlaender 2007, Korzhik & Mohar 2013]  
Testing 1-planarity is NP-complete.

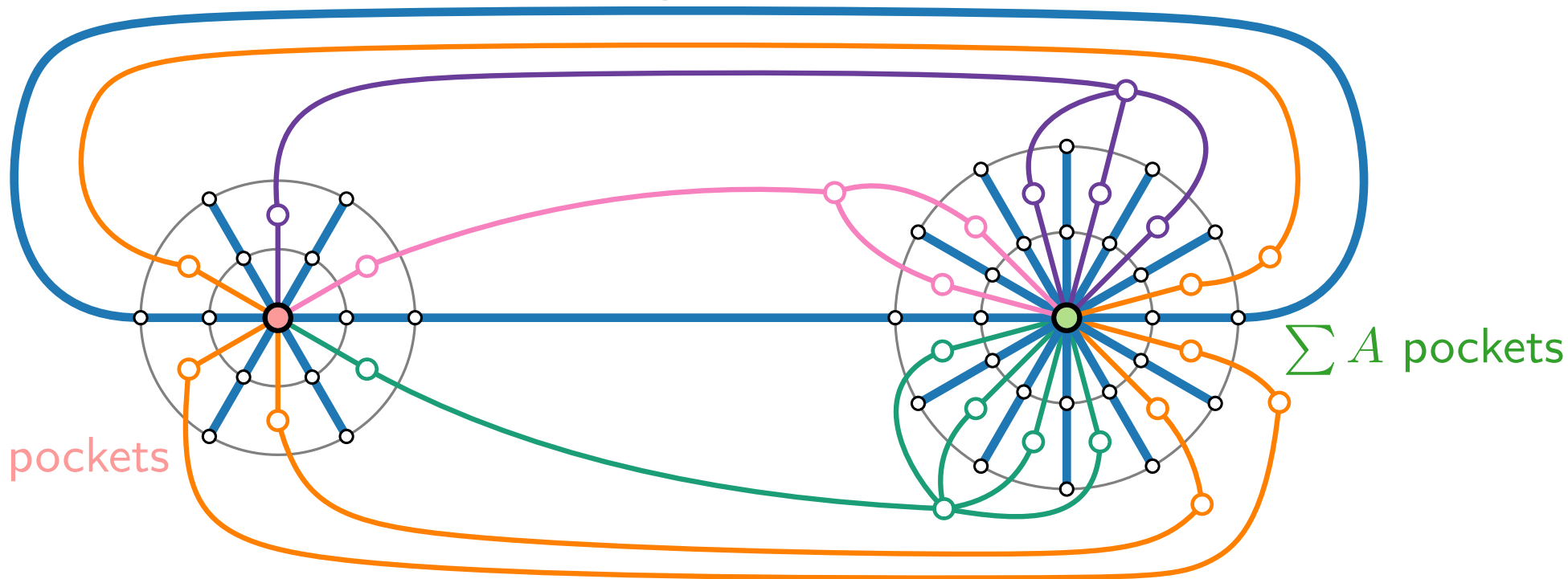
## Proof Idea.

Reduction from 3-Partition.

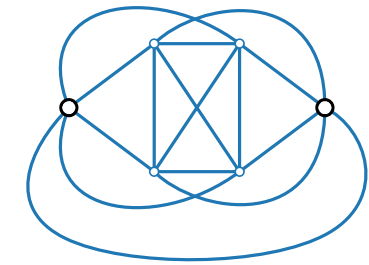
Given a multiset  $A = \{a_1, a_2, \dots, a_{3t}\}$  of  $3t$  numbers, partition the numbers into  $t$  triplets such that the sum of every triplet is the same.

$$A = \{ \overbrace{1, 3, 2}^6, \overbrace{4, 1, 1}^6 \}$$

$t$  "big" faces



Only 1-planar embedding of  $K_6$



(cannot be crossed)

# Recognition of 1-Planar Graphs

**Theorem.** [Grigoriev & Bodlaender 2007, Korzhik & Mohar 2013]  
Testing 1-planarity is NP-complete.

**Theorem.** [Cabello & Mohar 2013]  
Testing 1-planarity is NP-complete –  
even for almost planar graphs, i.e., planar graphs plus one edge.

**Theorem.** [Bannister, Cabello & Eppstein 2018]  
Testing 1-planarity is NP-complete –  
even for graphs of bounded bandwidth (pathwidth, treewidth).

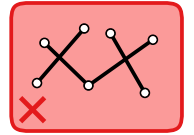
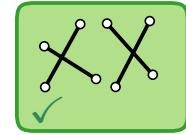
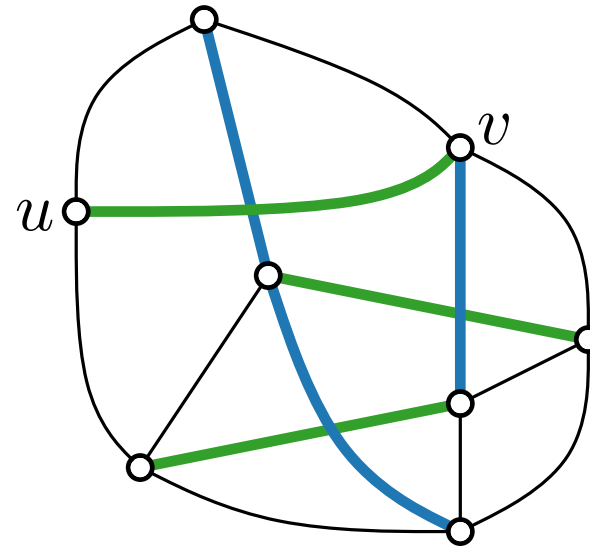
**Theorem.** [Auer, Brandenburg, Gleißner & Reislhuber 2015]  
Testing 1-planarity is NP-complete –  
even for 3-connected graphs with a fixed rotation system.

# Recognition of IC-Planar Graphs

**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Testing IC-planarity is NP-complete.

## Proof.

Reduction from 1-planarity testing.



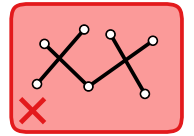
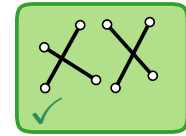
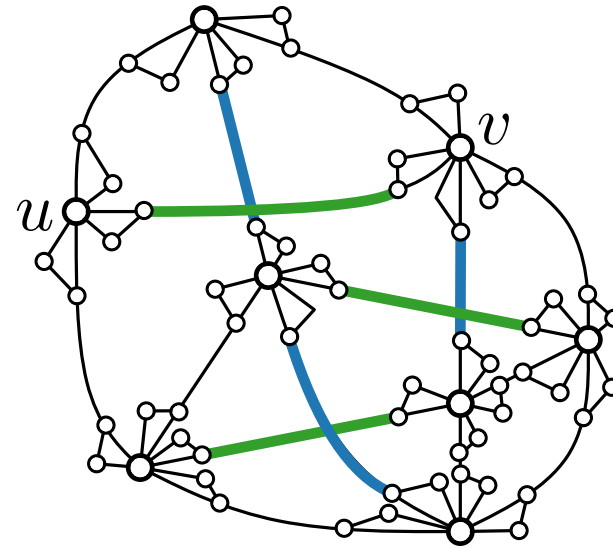
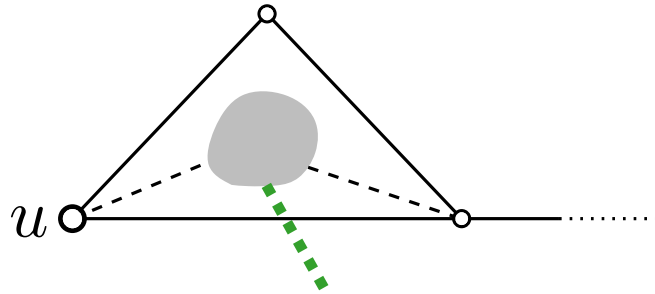


# Recognition of IC-Planar Graphs

**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Testing IC-planarity is NP-complete.

## Proof.

Reduction from 1-planarity testing.

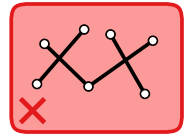
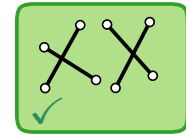
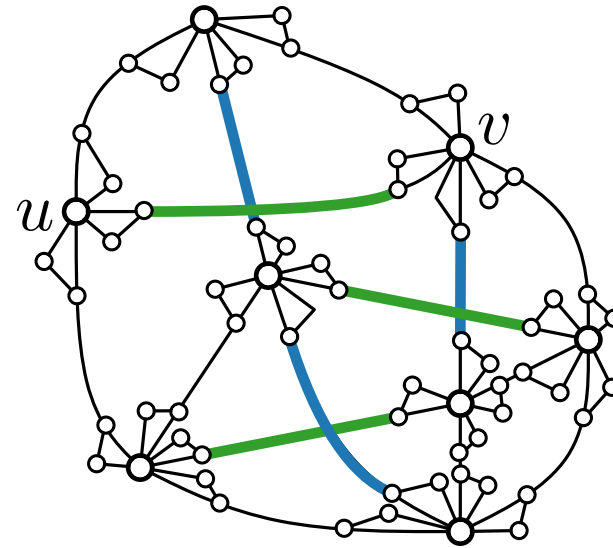
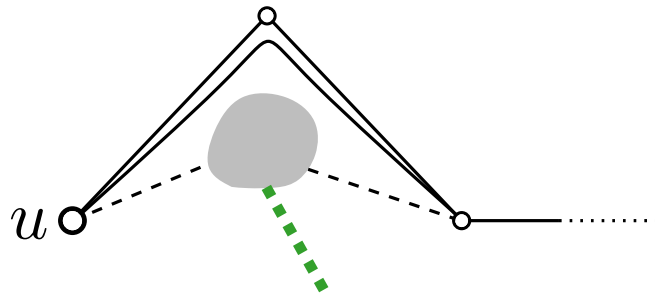


# Recognition of IC-Planar Graphs

**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Testing IC-planarity is NP-complete.

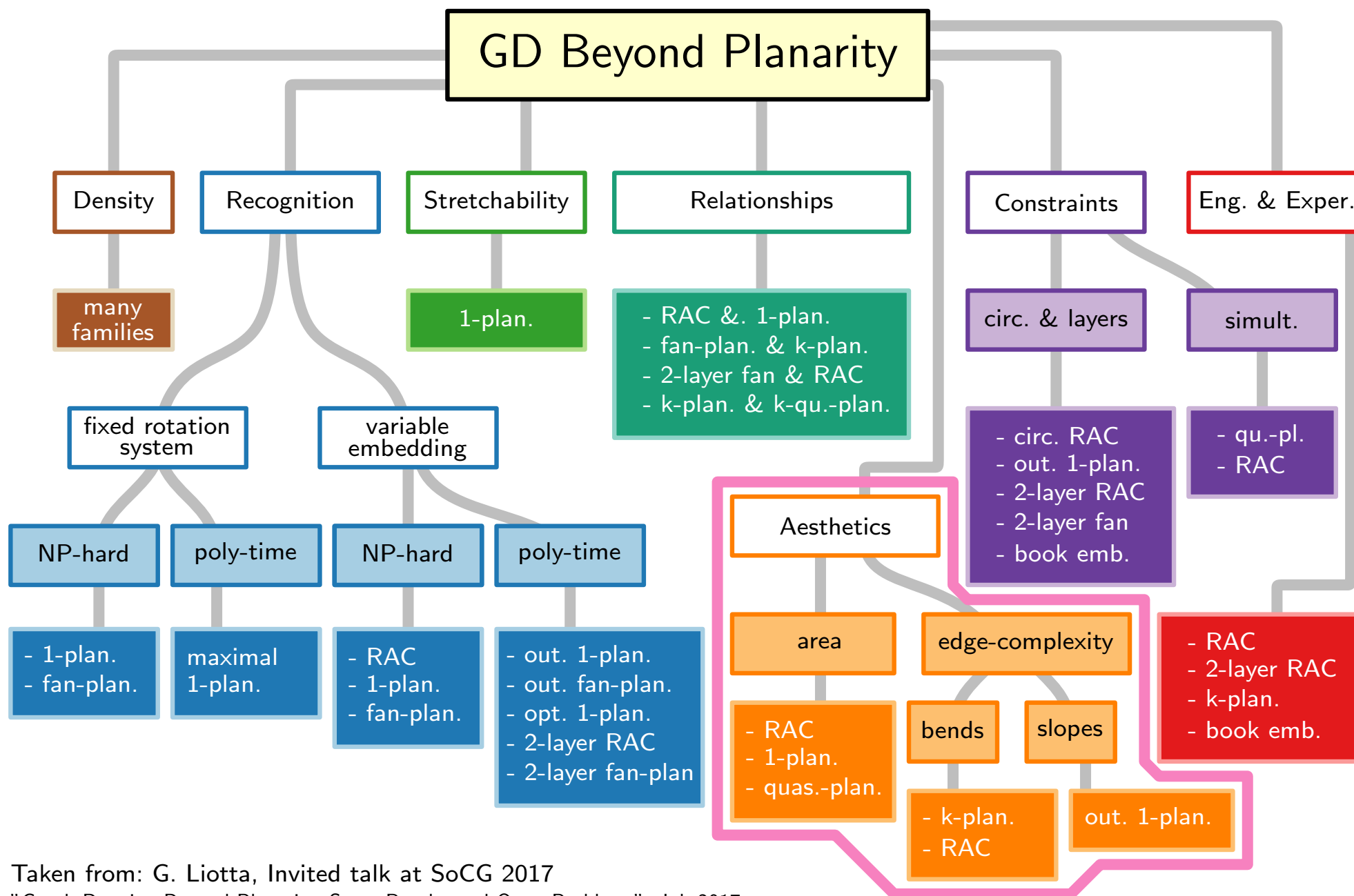
## Proof.

Reduction from 1-planarity testing.



**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Testing IC-planarity is NP-complete,  
even if the rotation system is given.

# GD Beyond Planarity: a Taxonomy



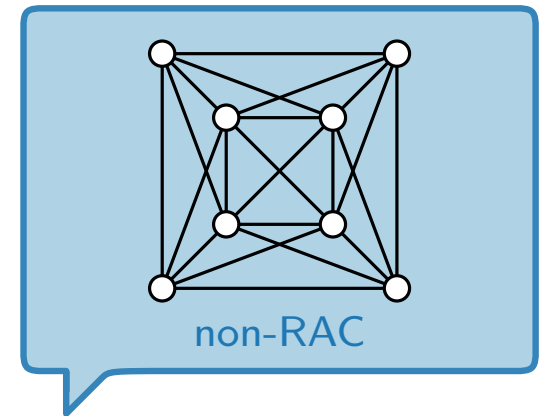
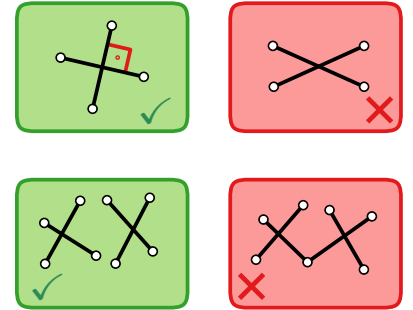
Taken from: G. Liotta, Invited talk at SoCG 2017

"Graph Drawing Beyond Planarity: Some Results and Open Problems", Jul. 2017

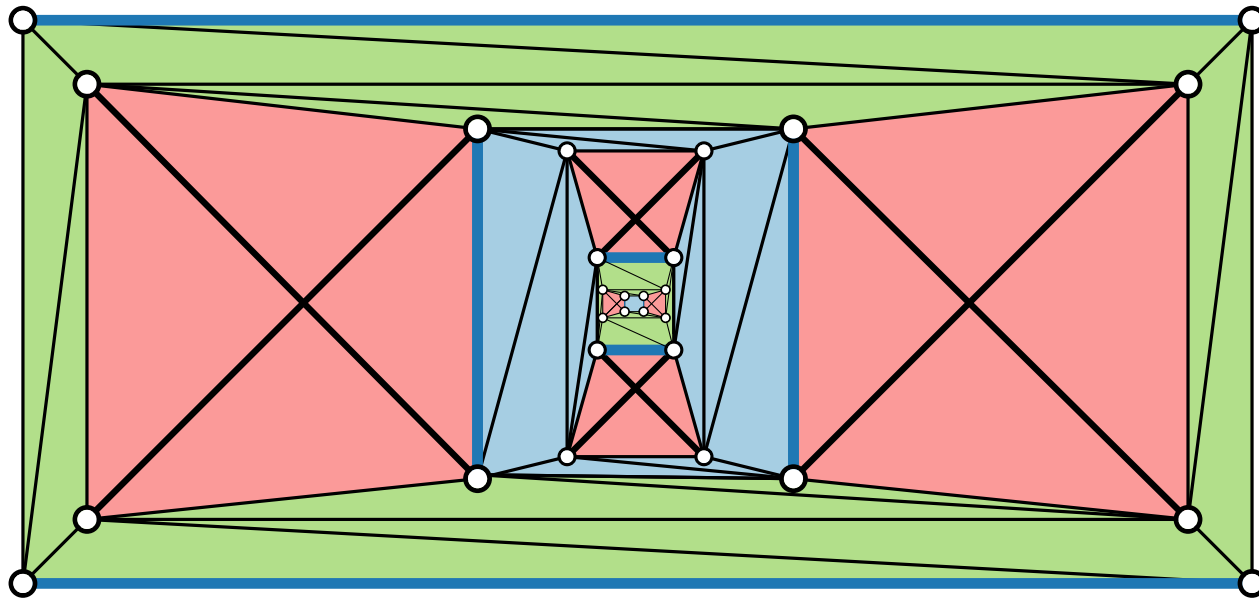
# Area of Straight-Line RAC Drawings

**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Some IC-planar straight-line RAC drawings require exponential area.

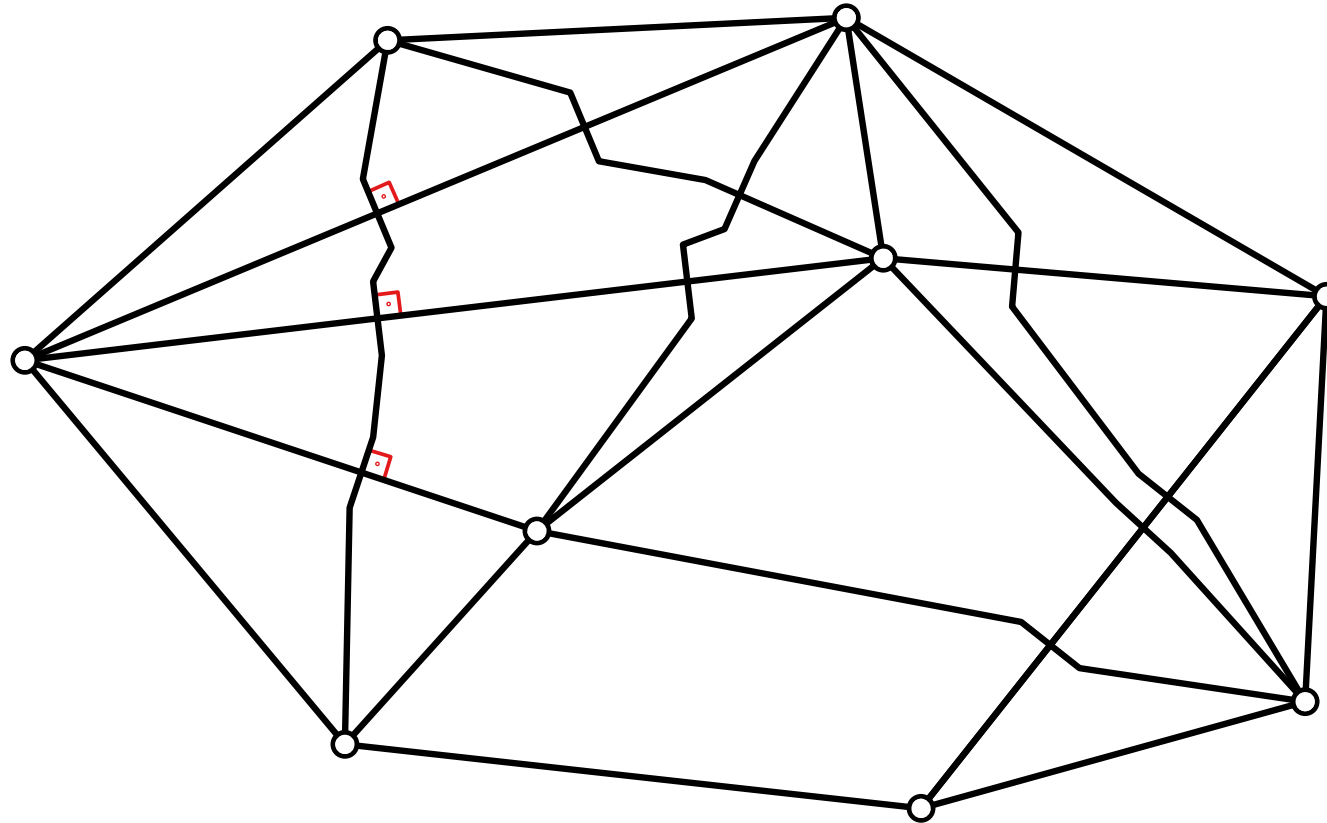
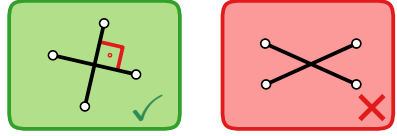
**Theorem.** [Brandenburg, Didimo, Evans, Kindermann, Liotta & Montecchiani 2015]  
Every IC-planar graph has an IC-planar straight-line RAC drawing, and such a drawing can be found in polynomial time.



In contrast:  
not every 1-planar graph  
admits a straight-line  
RAC drawing



# RAC Drawings With Enough Bends



Every graph admits a RAC drawing ...  
... if we use enough bends.

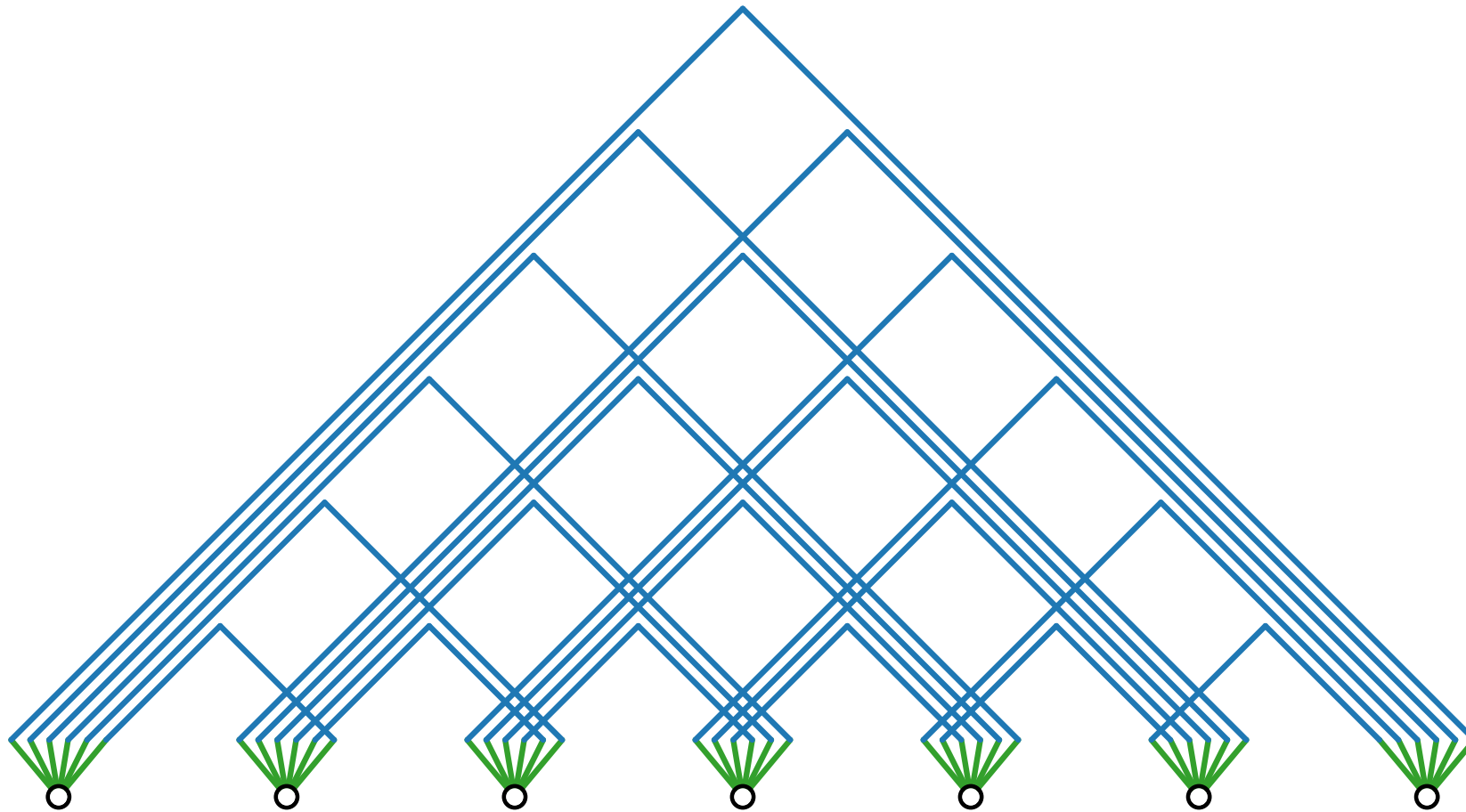
How many do we need – in total or per edge?

# 3-Bend RAC Drawings

## Theorem.

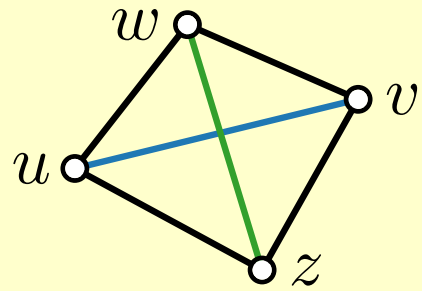
[Didimo, Eades & Liotta 2017]

Every graph admits a 3-bend RAC drawing, that is, a RAC drawing where every edge has at most three bends.

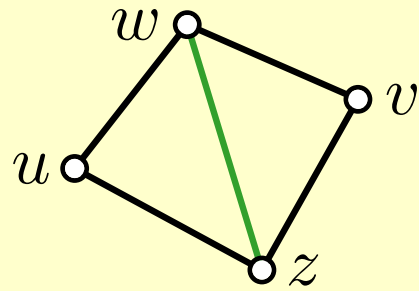


# Kite Triangulations

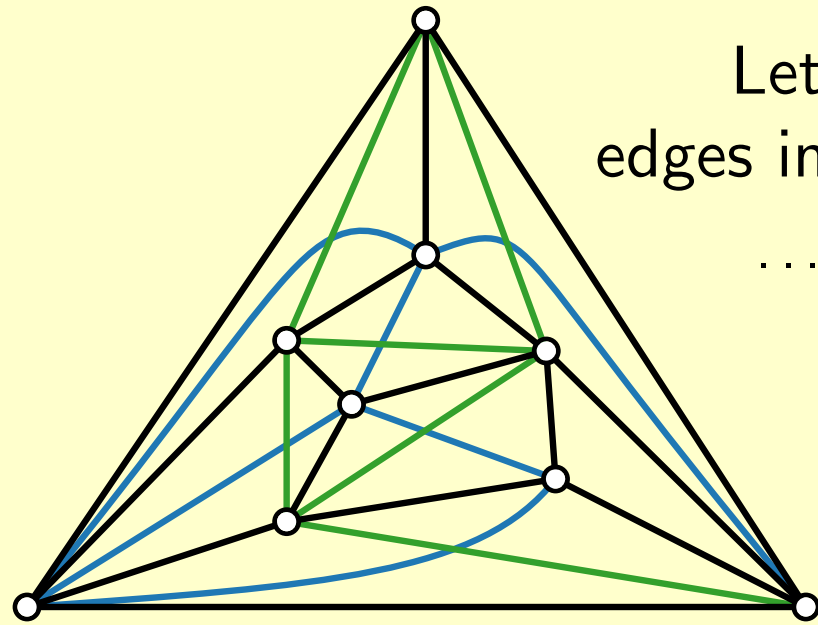
This is a **kite**:



$u$  and  $v$  are **opposite**  
w.r.t.  $\{z, w\}$



Let  $G'$  be a plane triangulation.



Let  $S \subseteq E(G')$  s.t. no two  
edges in  $S$  lie on the same face  
... and their opposite vertices do  
not have an edge in  $E(G')$ .

Add set  $T$  of edges  
connecting  
opposite vertices.

The resulting graph  $G$  is a **kite-triangulation**.

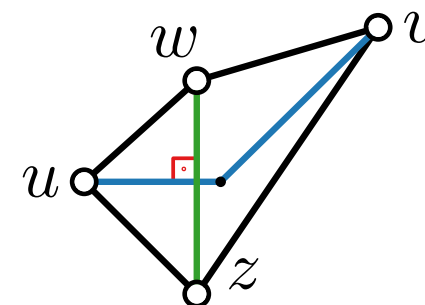
**Note:** optimal 1-planar graphs  $\subsetneq$  kite-triangulations.

**Theorem.** [Angelini et al. 2011]

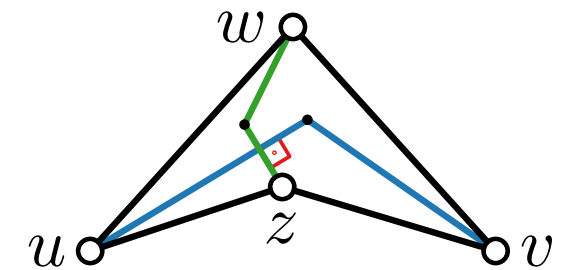
Every kite-triangulation  $G$  admits a 1-planar 1-bend RAC drawing, which can be constructed in linear time.

**Proof.**

Let  $G'$  be the underlying plane triangulation of  $G$ . Let  $G'' = G' - S$ . Construct straight-line drawing of  $G''$ . Fill faces as follows:



strictly convex face



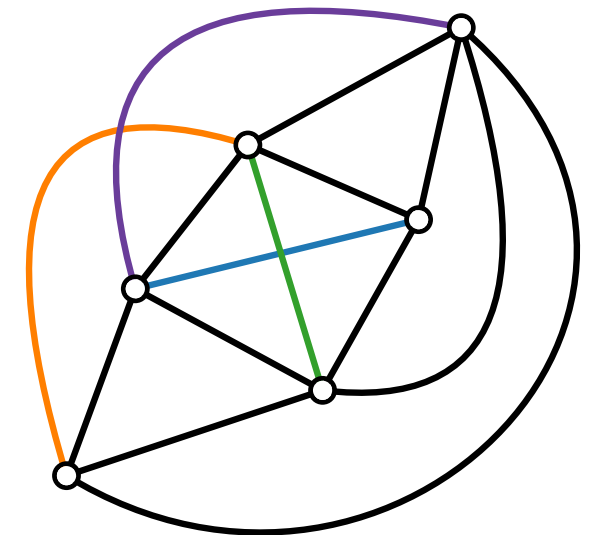
otherwise

# 1-Planar 1-Bend RAC Drawings

**Theorem.** [Bekos, Didimo, Liotta, Mehrabi & Montecchiani 2017]  
 Every 1-planar graph  $G$  admits a 1-planar 1-bend RAC drawing.  
 If a 1-planar embedding of  $G$  is given as part of the input,  
 such a drawing can be computed in linear time.

## Observation.

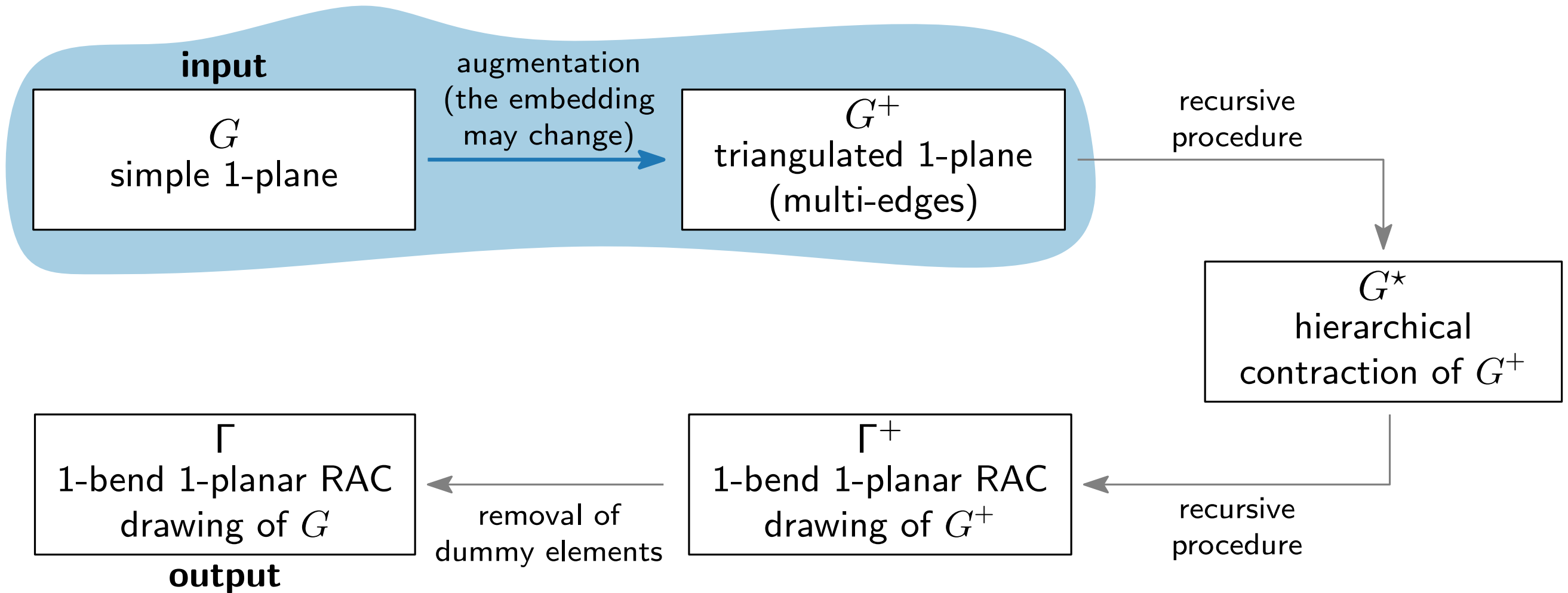
In a triangulated 1-plane graph (not necessarily simple),  
 each pair of crossing edges of  $G$  forms an empty **kite**,  
 except for at most one pair if their crossing point is on  
 the outer face of  $G$ .



**Theorem.** [Chiba, Yamanouchi & Nishizeki 1984]  
 For every 2-connected plane graph  $G$  with outer face  $C_k$  and every convex  
 $k$ -gon  $P$ , there is a strictly convex planar straight-line drawing of  $G$  whose  
 outer face coincides with  $P$ . Such a drawing can be computed in linear time.

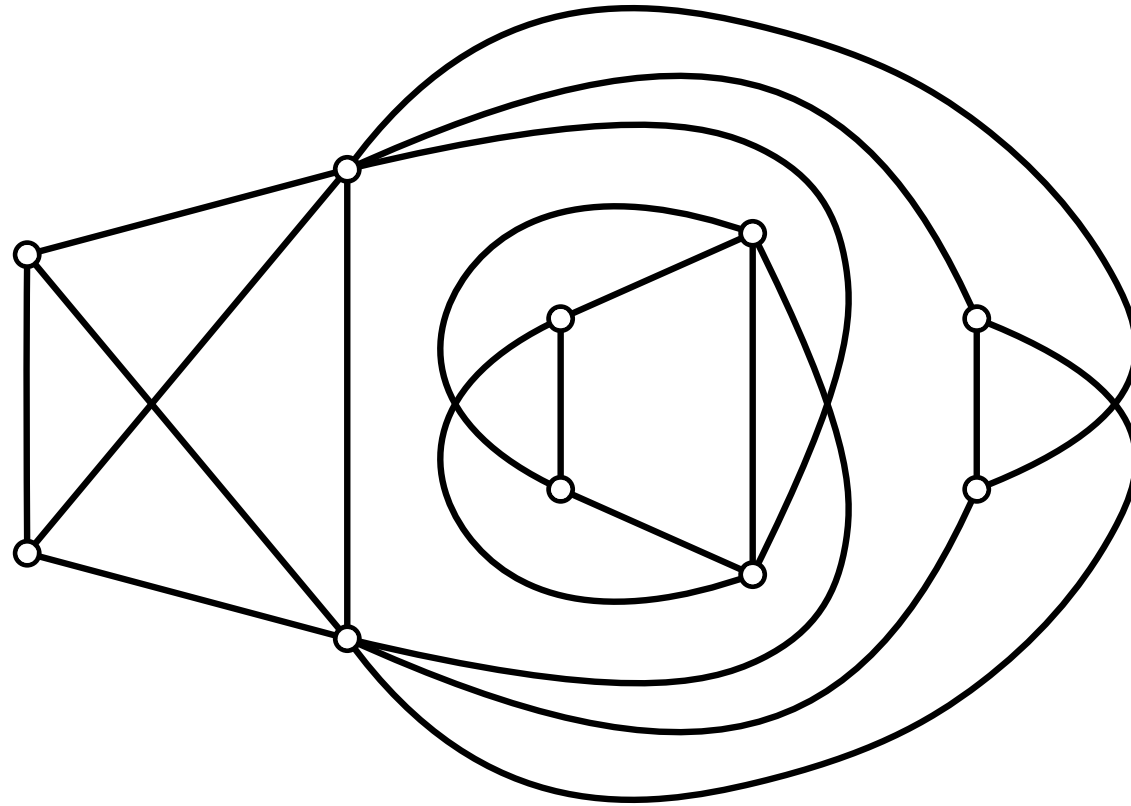


# Algorithm Outline



# Algorithm Step 1: Augmentation


$G$ : simple 1-plane graph



# Algorithm Step 1: Augmentation

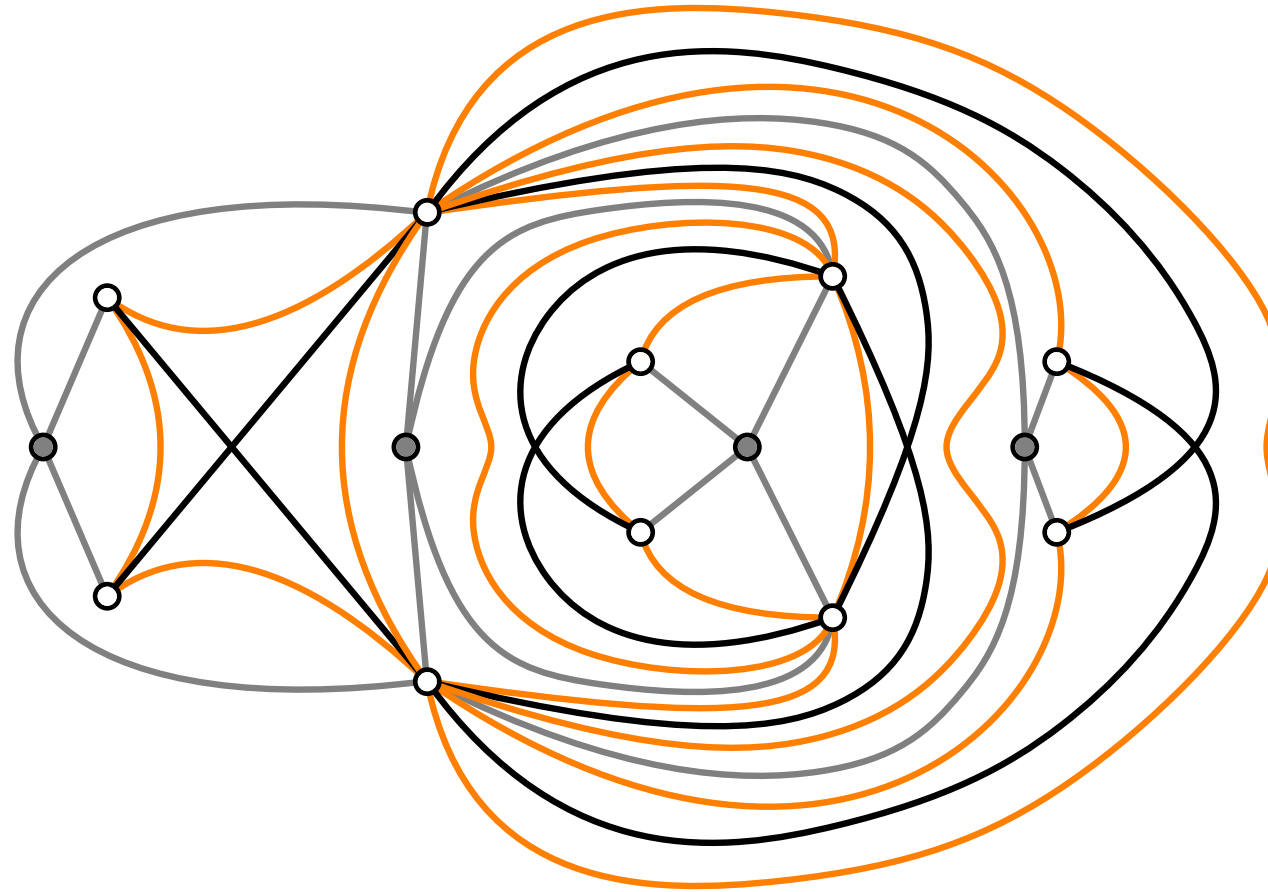
1. For each pair of crossing edges add an enclosing 4-cycle.

2. Remove those multiple edges that belong to  $G$ .

3. Remove one (multiple) edge from each face of degree two (if any). 

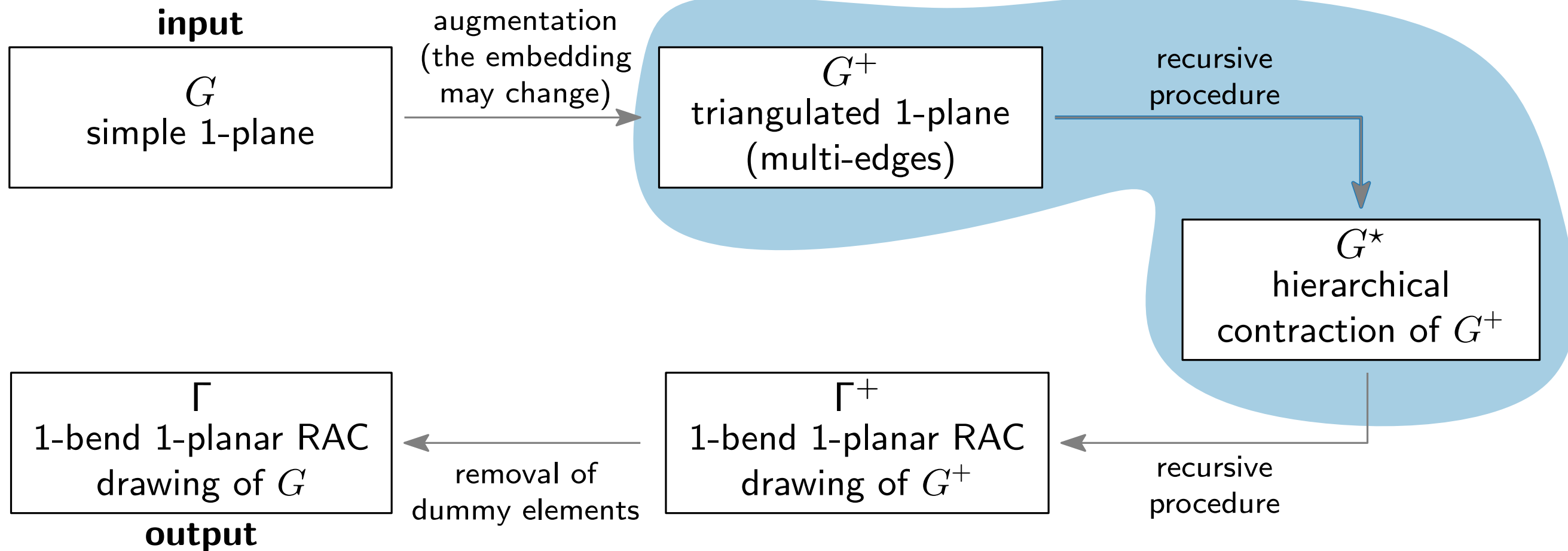
4. Triangulate faces of degree  $> 3$  by inserting a star inside them.

$G$ : simple 1-plane graph  $\longrightarrow$   $G^+$ : triangulated 1-plane (possibly with multi-edges)



Note that we can still have parallel (orange) edges

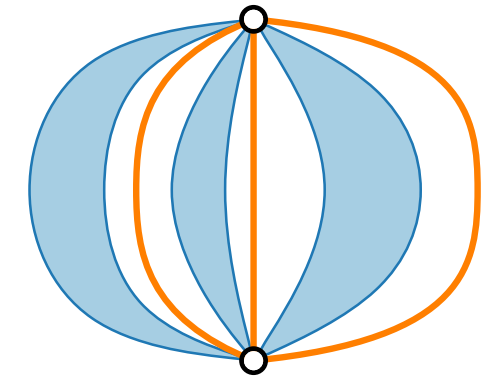
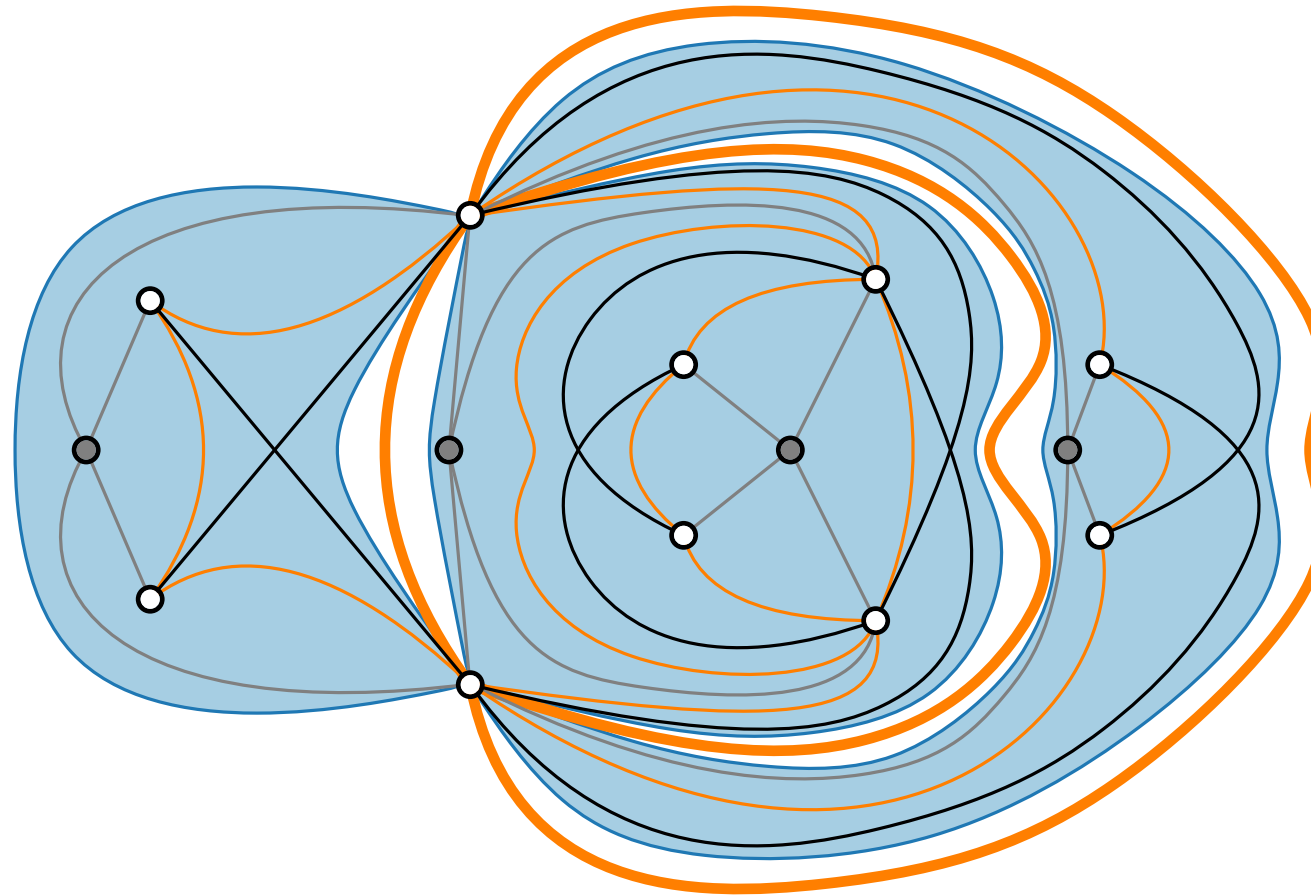
# Algorithm Outline



# Algorithm Step 2: Hierarchical Contractions

$G^+$   
triangulated 1-plane  
(multi-edges)

- triangular faces
- multiple edges  
never crossed
- only empty kites

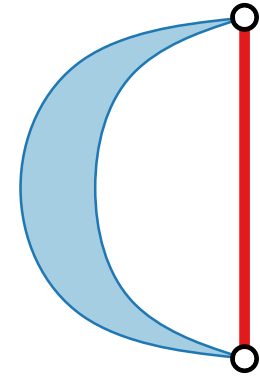
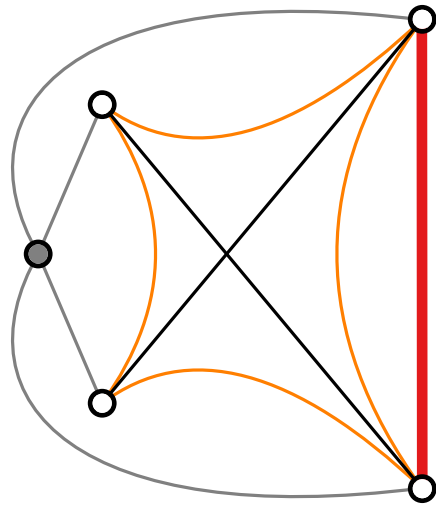


structure of each  
separation pair

# Algorithm Step 2: Hierarchical Contractions

$G^+$   
triangulated 1-plane  
(multi-edges)

- triangular faces
- multiple edges never crossed
- only empty kites



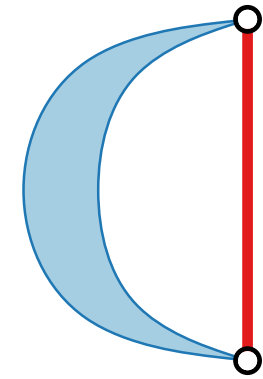
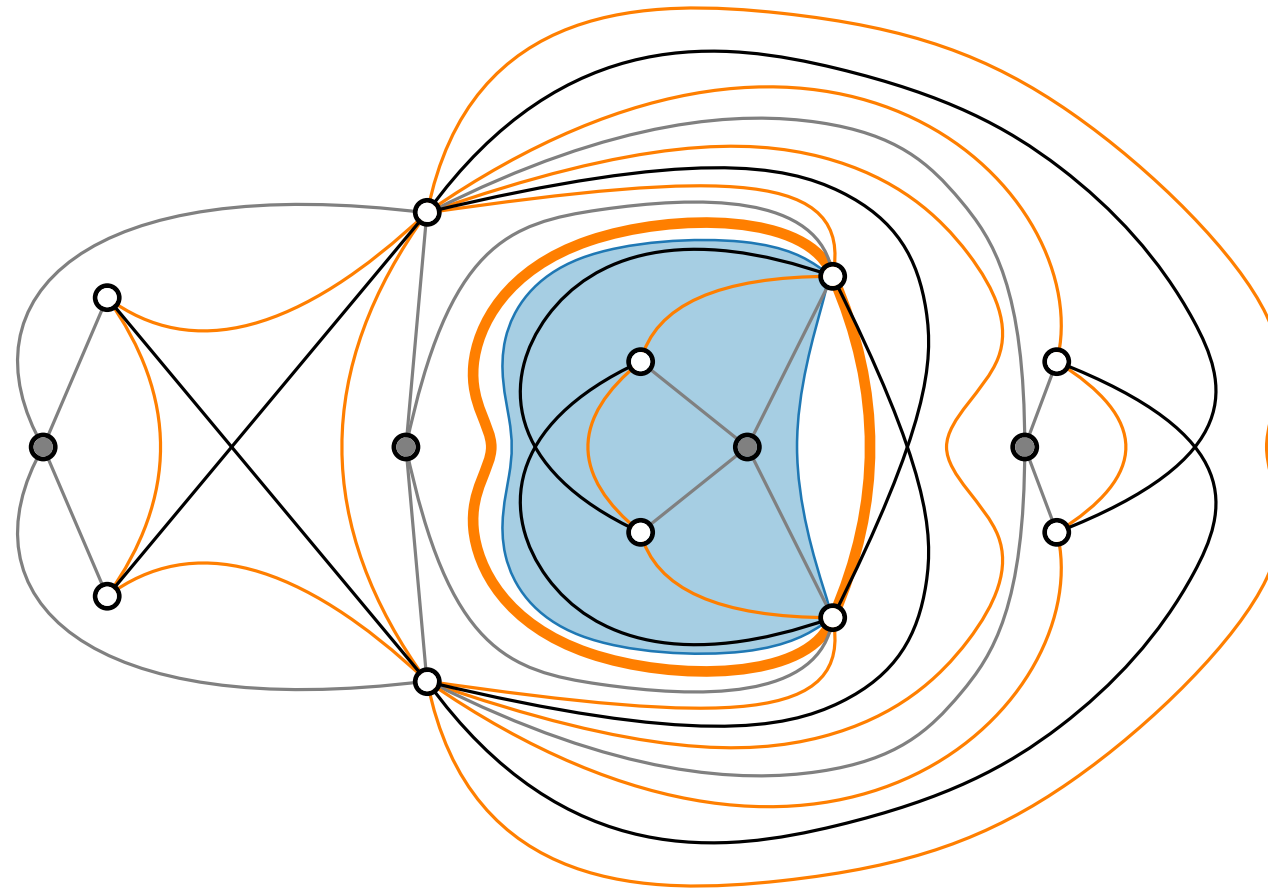
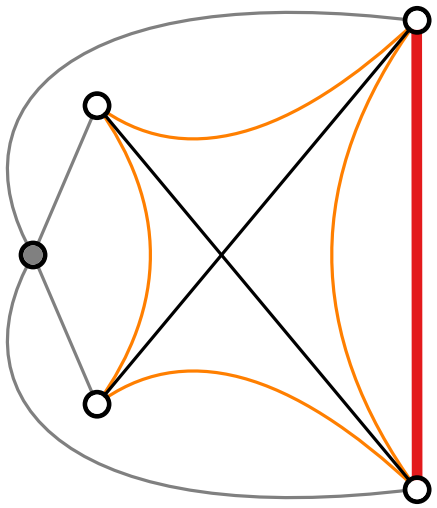
structure of each  
separation pair

Contract all inner  
components of each  
separation pair into  
a **thick edge**.

# Algorithm Step 2: Hierarchical Contractions

$G^+$   
triangulated 1-plane  
(multi-edges)

- triangular faces
- multiple edges  
never crossed
- only empty kites



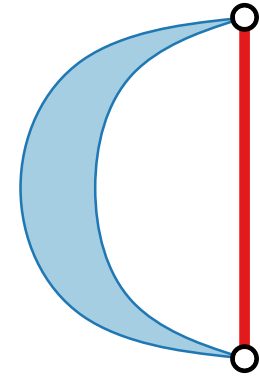
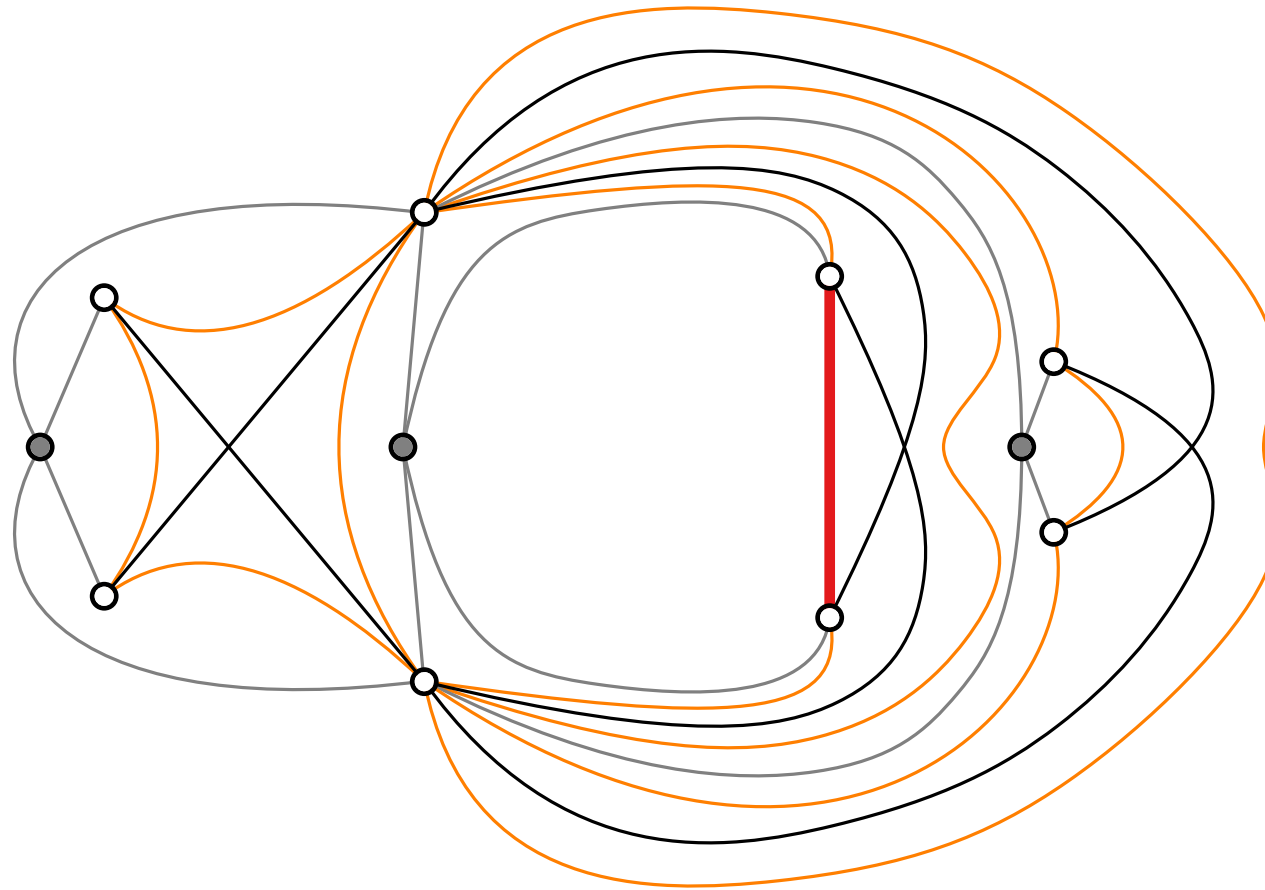
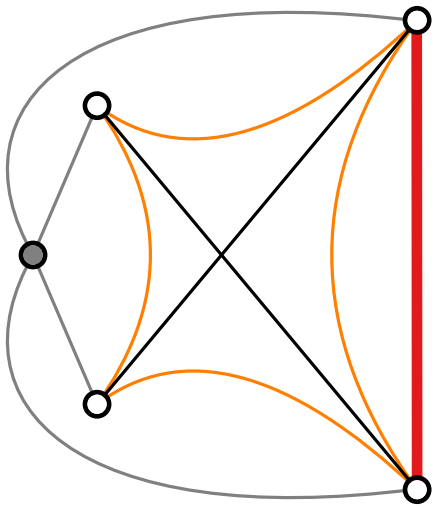
structure of each  
separation pair

Contract all inner  
components of each  
separation pair into  
a **thick edge**.

# Algorithm Step 2: Hierarchical Contractions

$G^+$   
triangulated 1-plane  
(multi-edges)

- triangular faces
- multiple edges  
never crossed
- only empty kites



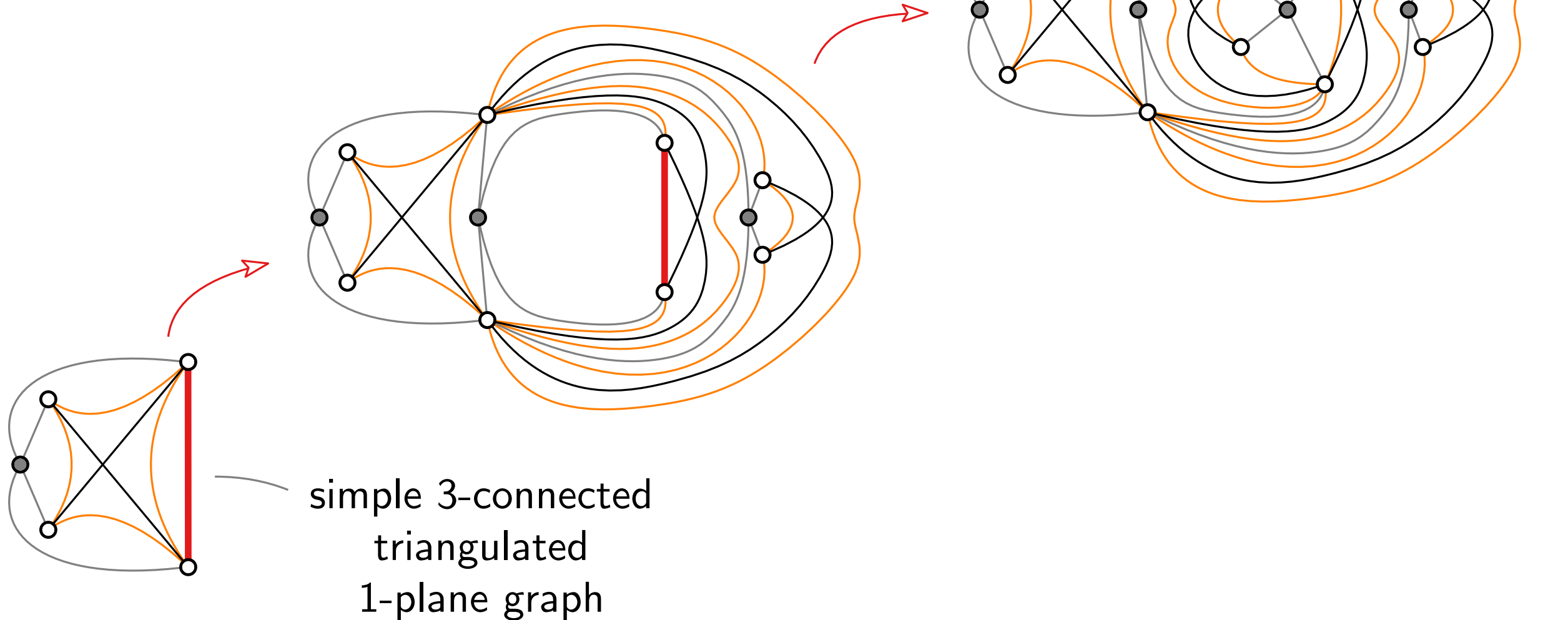
structure of each  
separation pair

Contract all inner  
components of each  
separation pair into  
a **thick edge**.

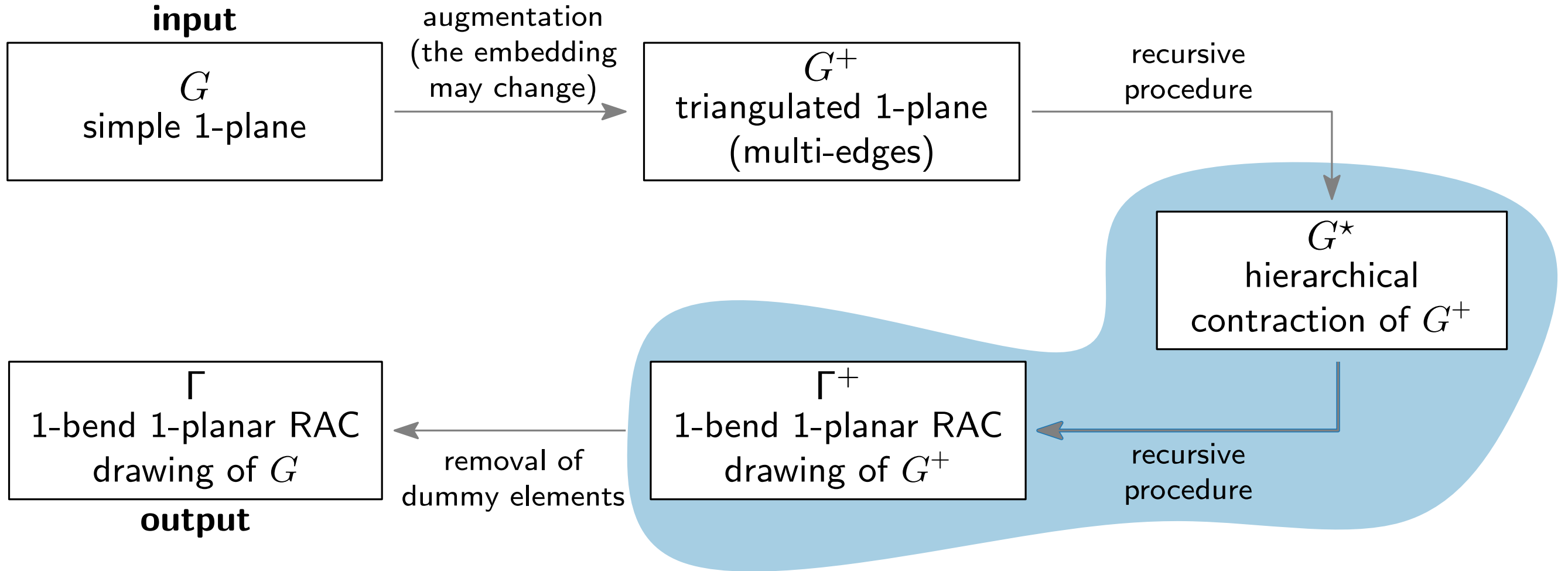


# Algorithm Step 2: Hierarchical Contractions

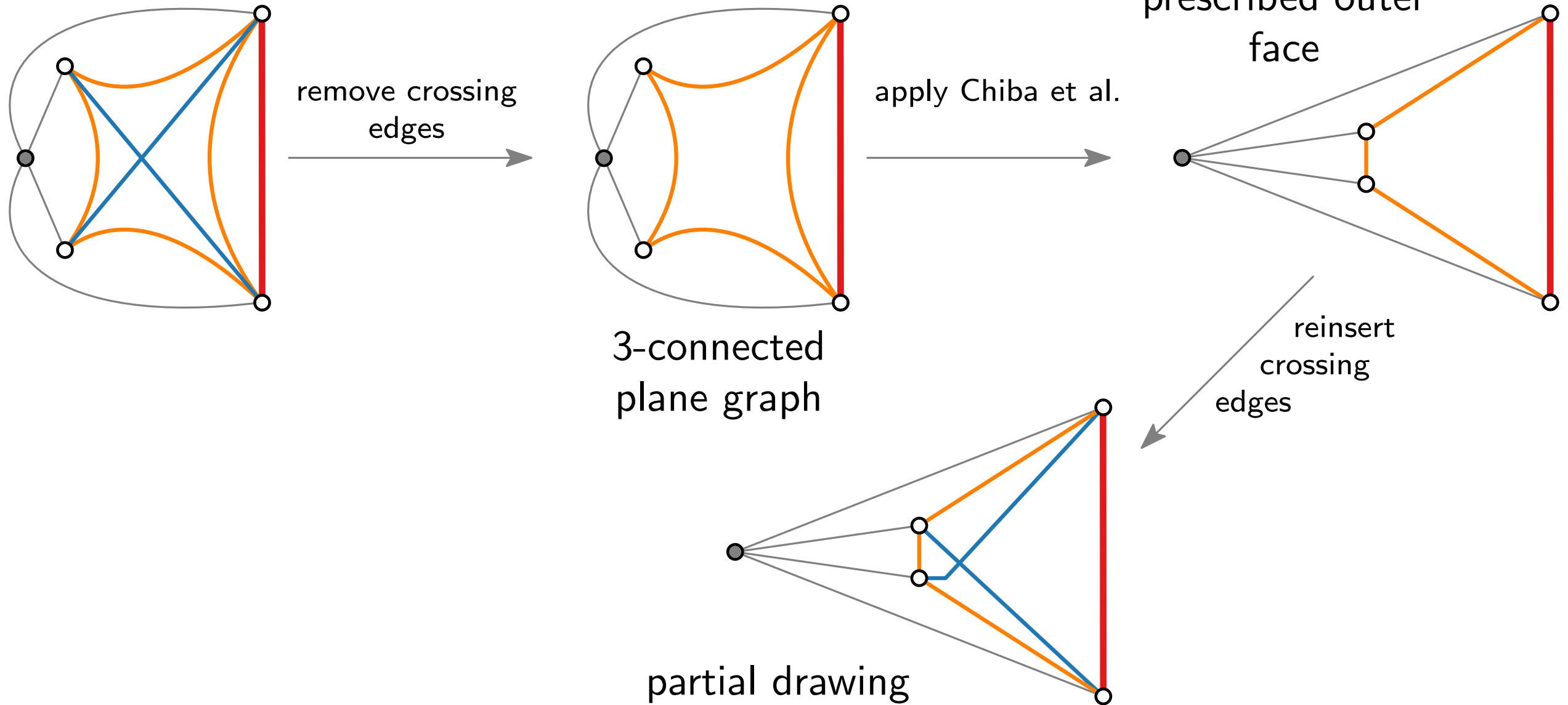
$G^*$   
hierarchical  
contraction of  $G^+$



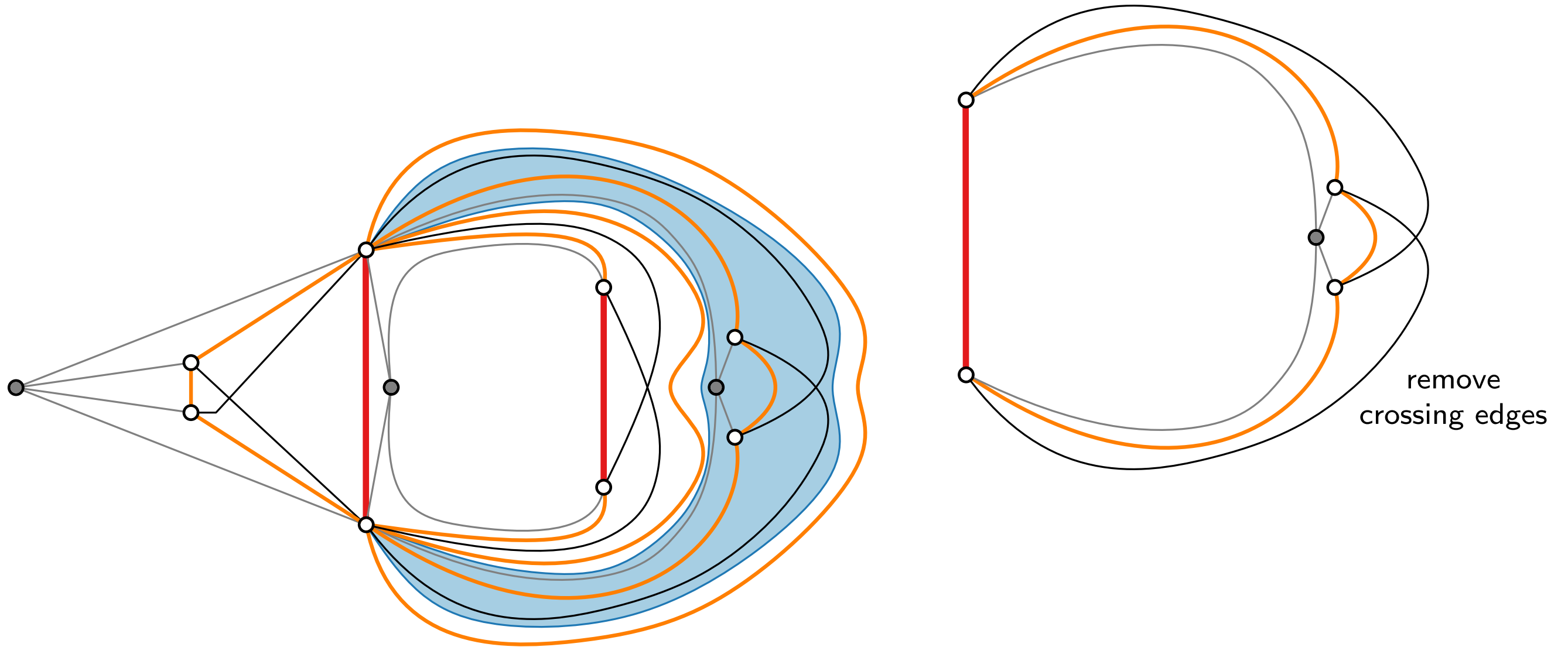
# Algorithm Outline



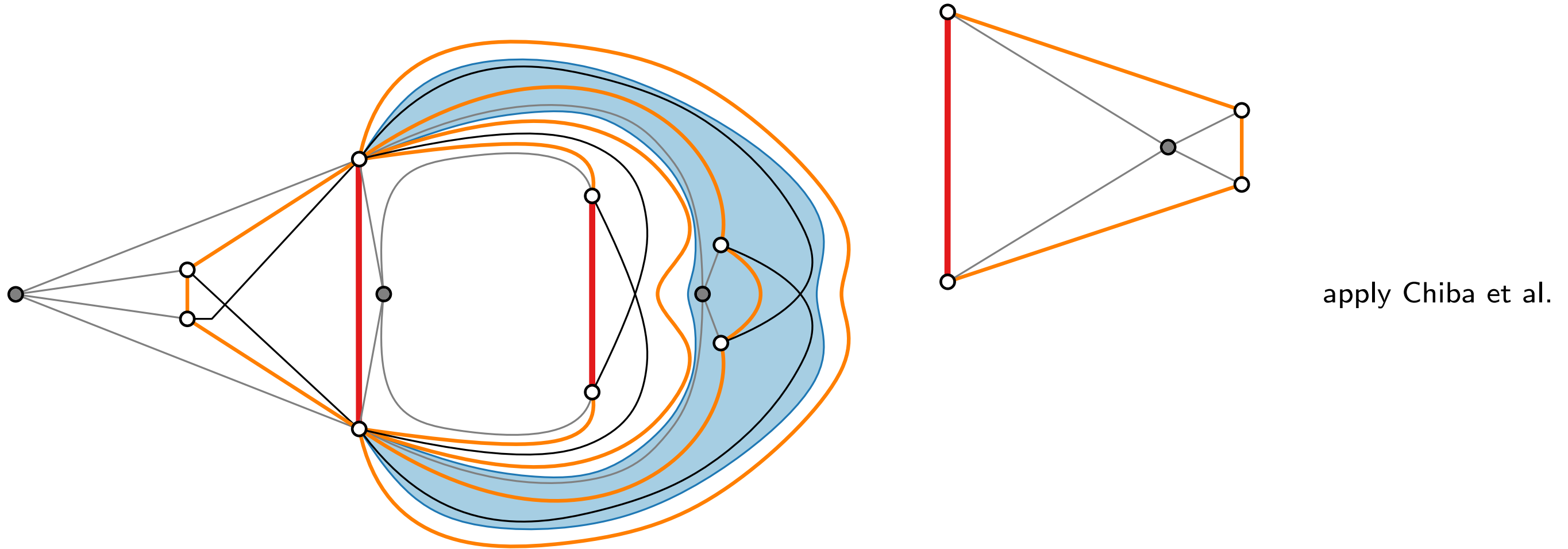
# Algorithm Step 3: Drawing Procedure



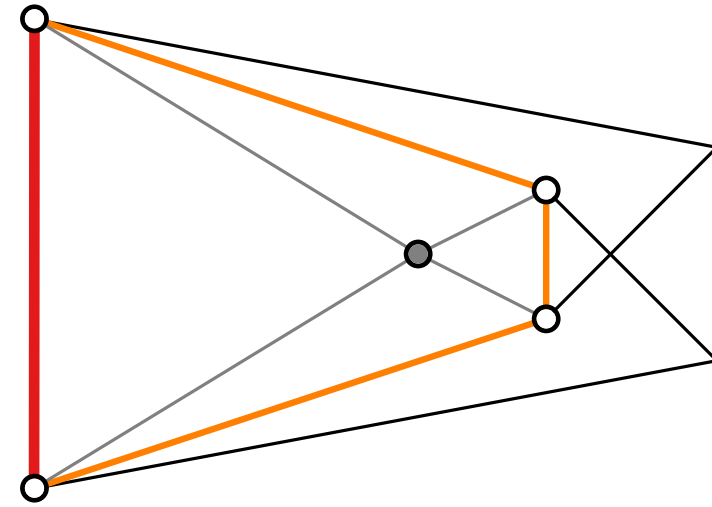
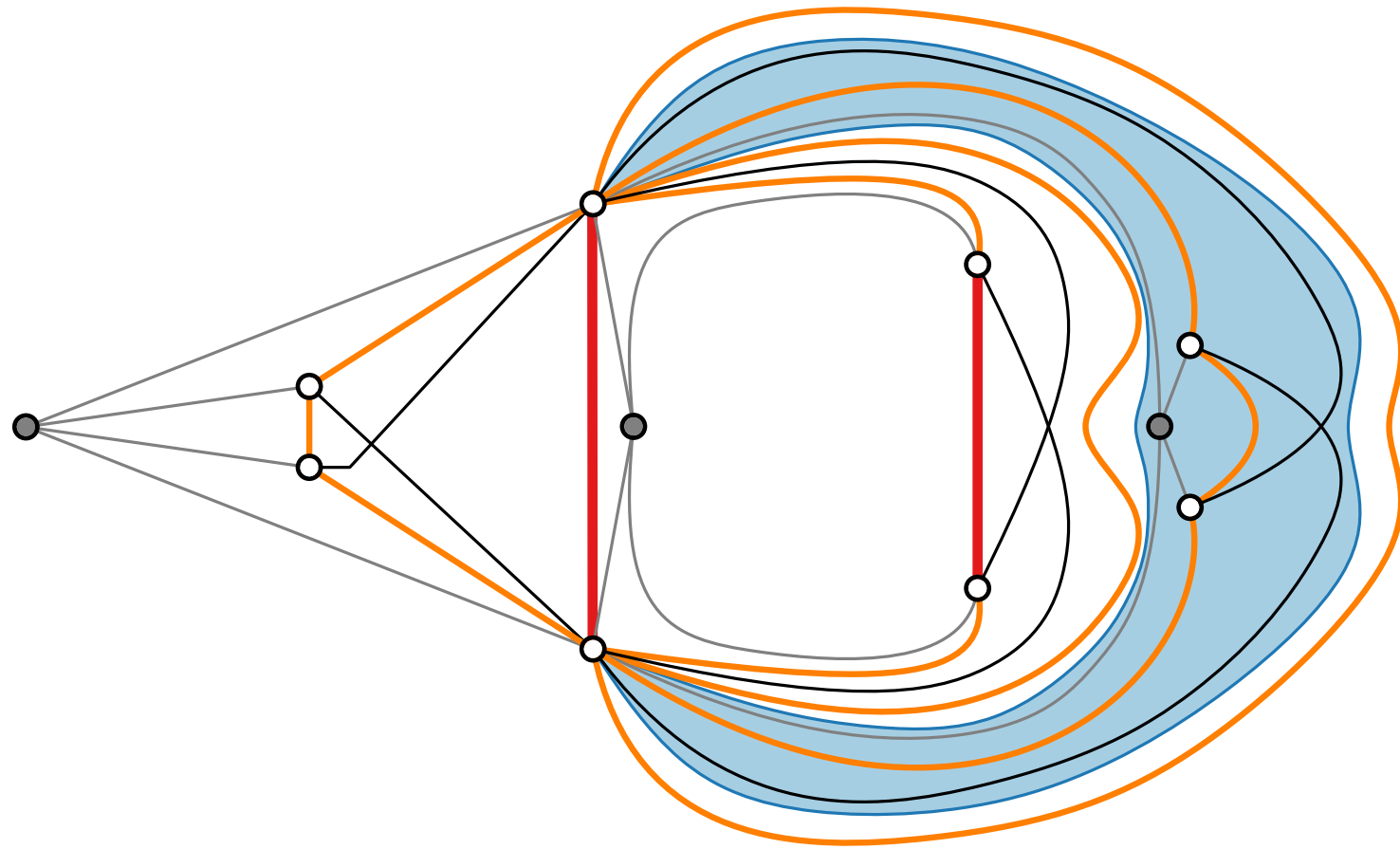
# Algorithm Step 3: Drawing Procedure



# Algorithm Step 3: Drawing Procedure

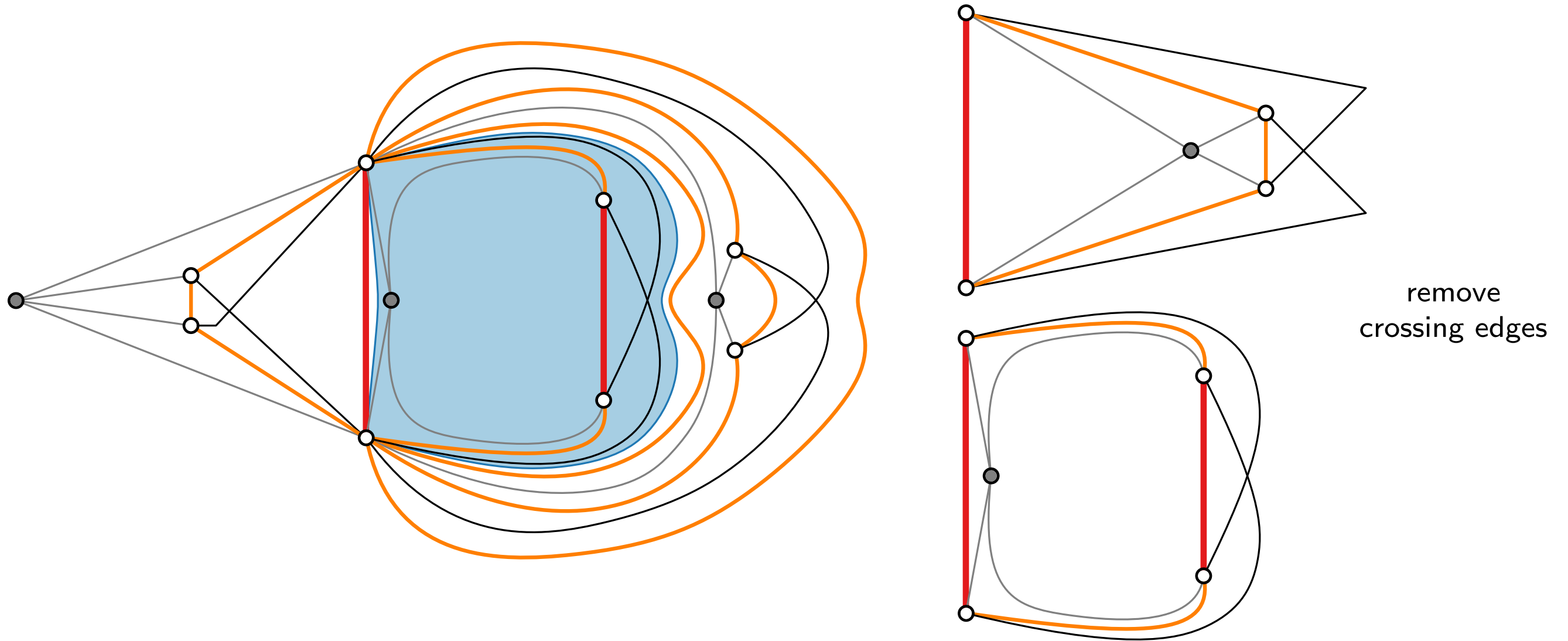


# Algorithm Step 3: Drawing Procedure

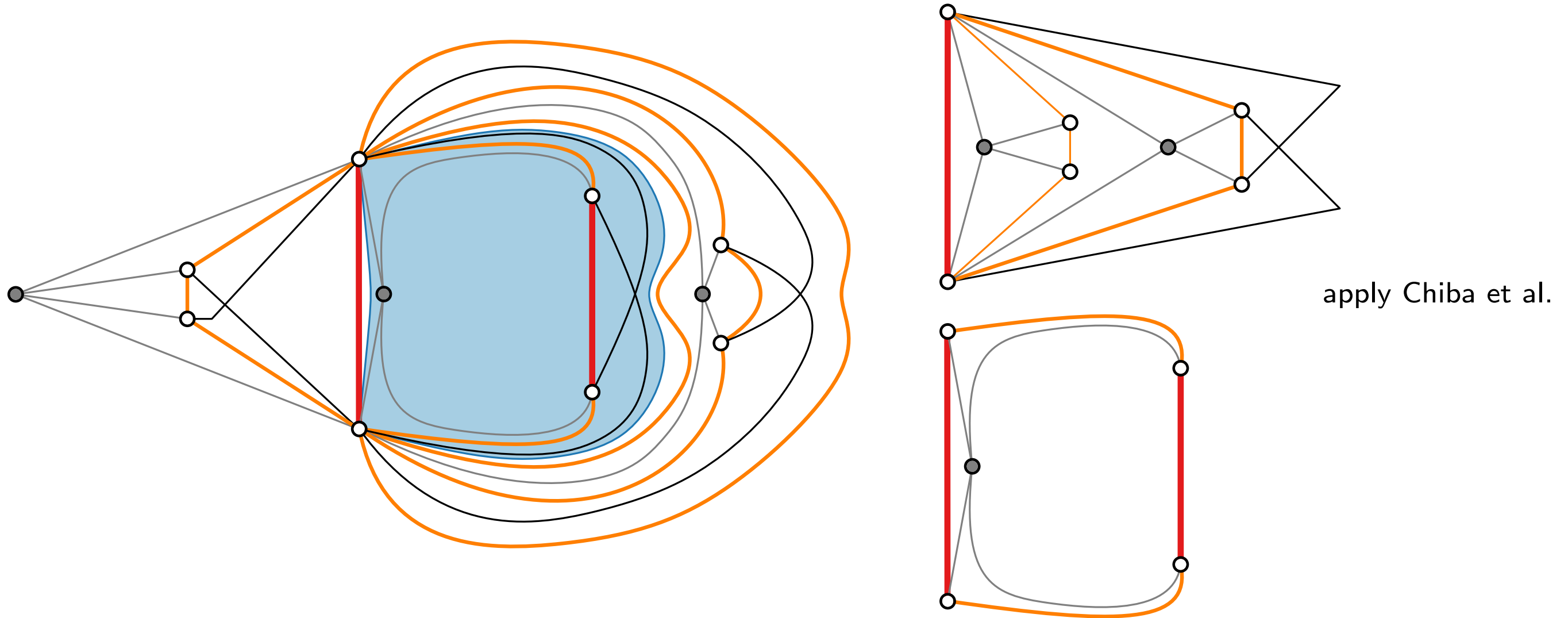


reinsert  
crossing edges

# Algorithm Step 3: Drawing Procedure

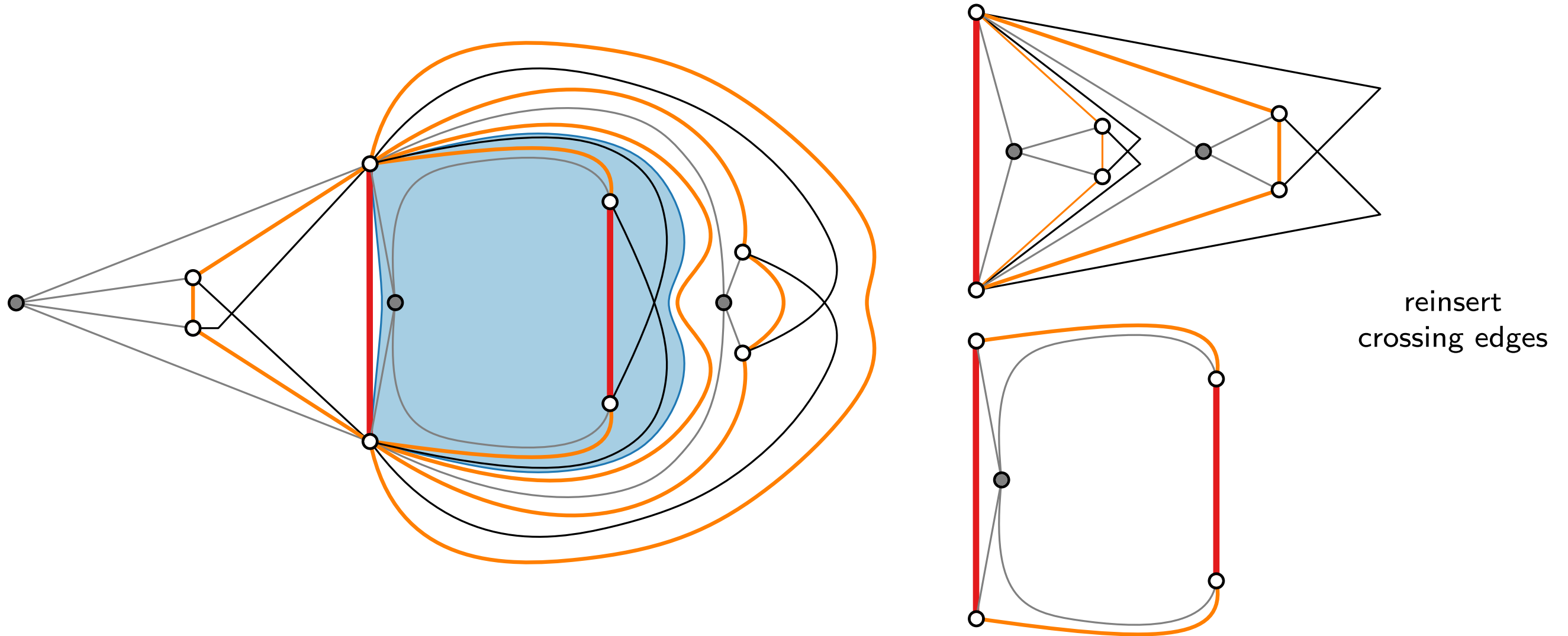


# Algorithm Step 3: Drawing Procedure

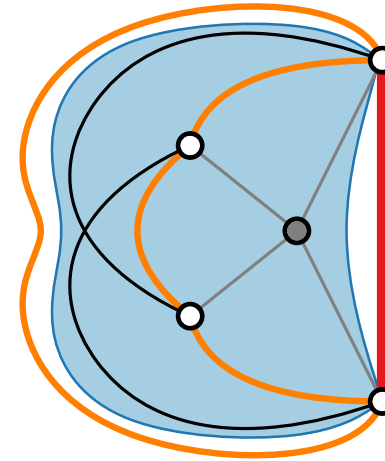
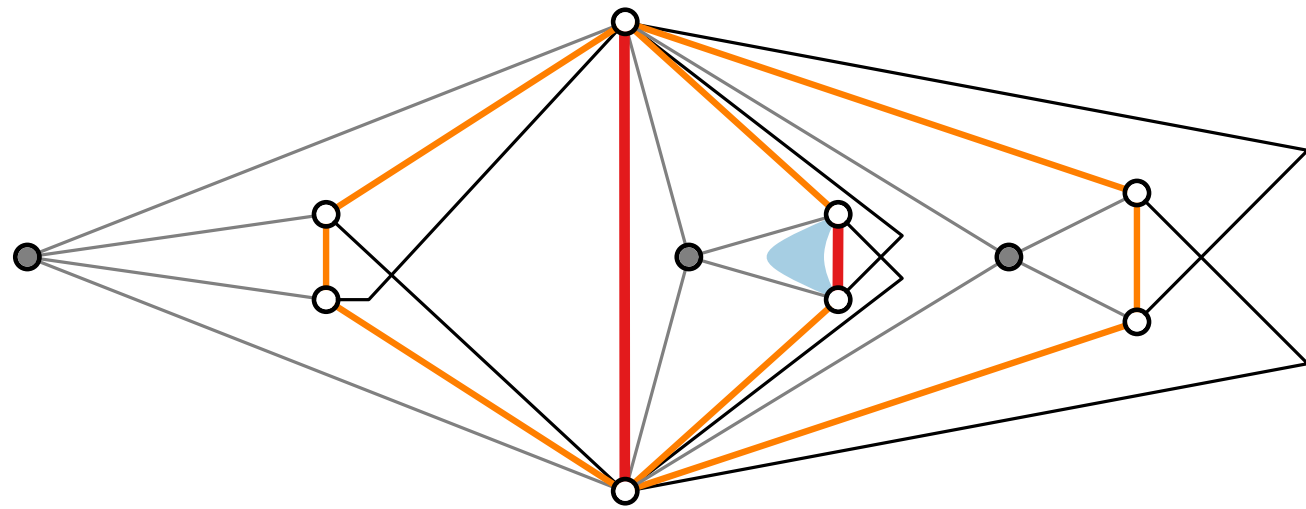




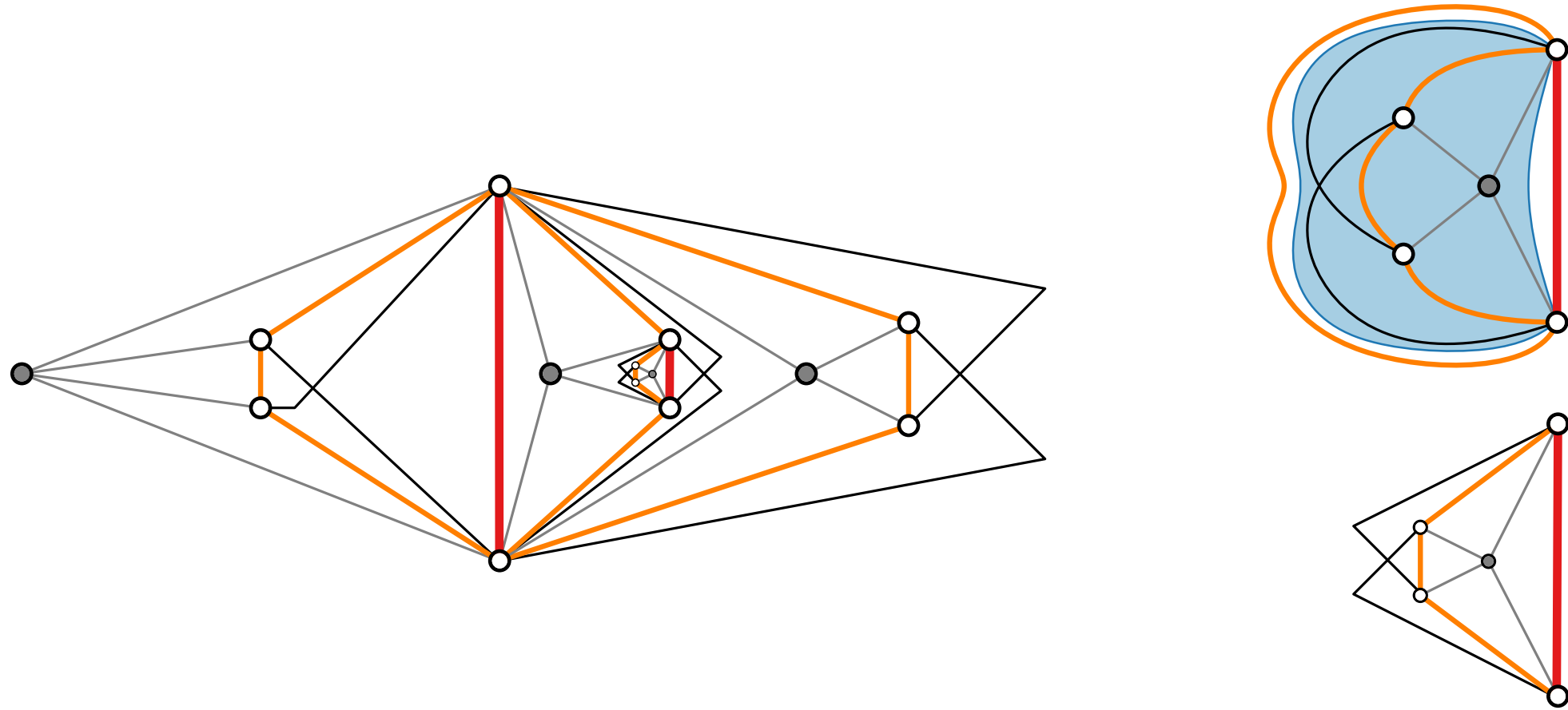
# Algorithm Step 3: Drawing Procedure



# Algorithm Step 3: Drawing Procedure

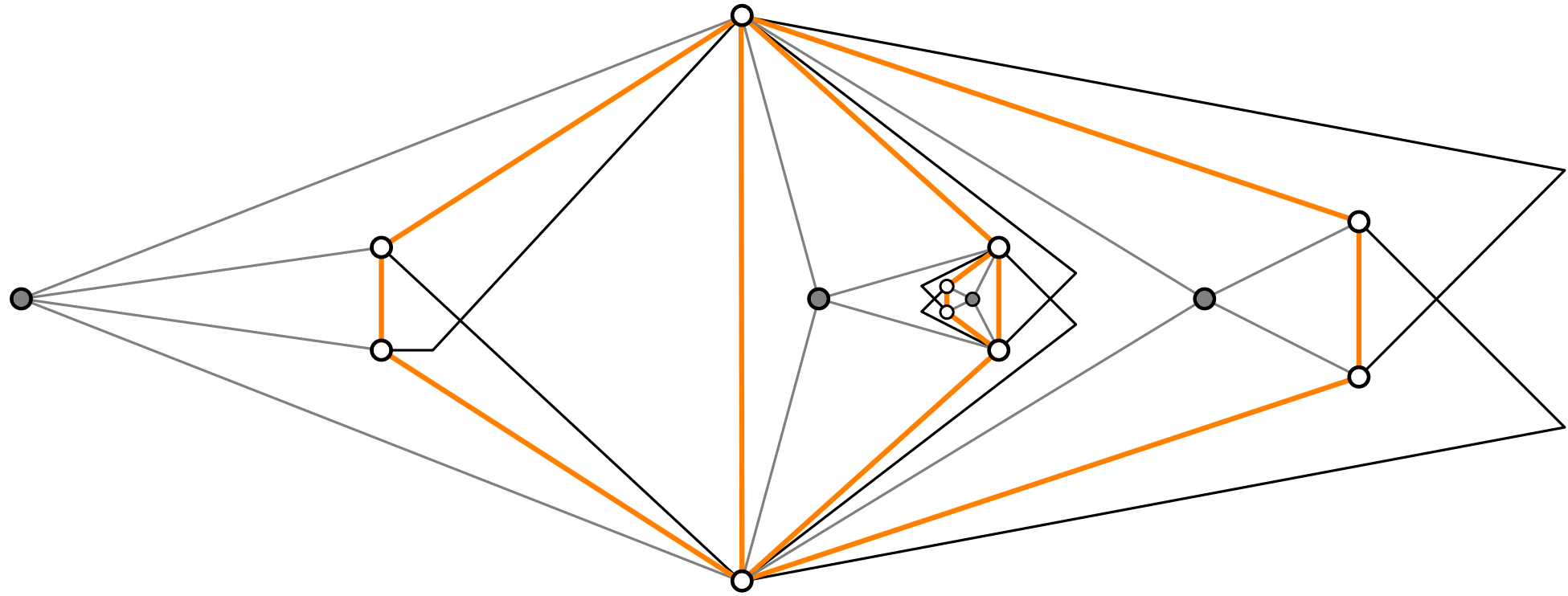


# Algorithm Step 3: Drawing Procedure



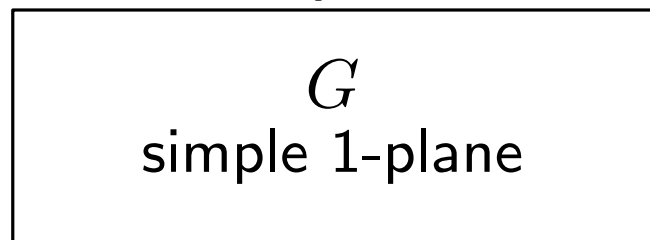
# Algorithm Step 3: Drawing Procedure

$\Gamma^+$ : 1-bend 1-planar RAC drawing of  $G^+$

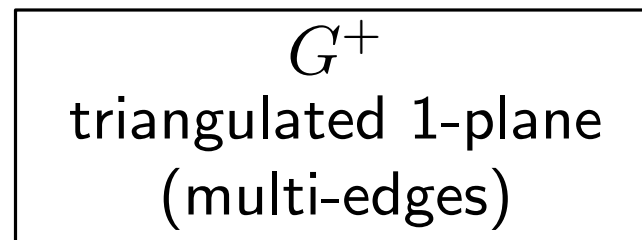


# Algorithm Outline

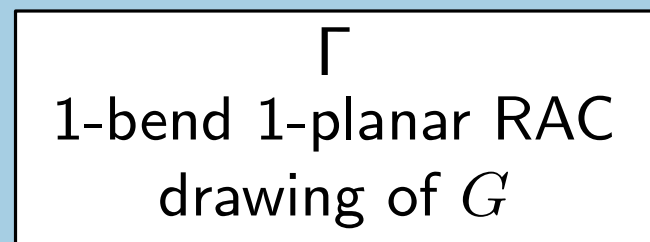
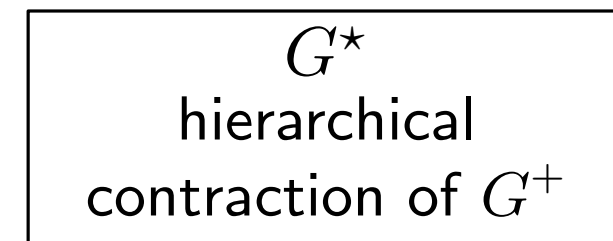
**input**



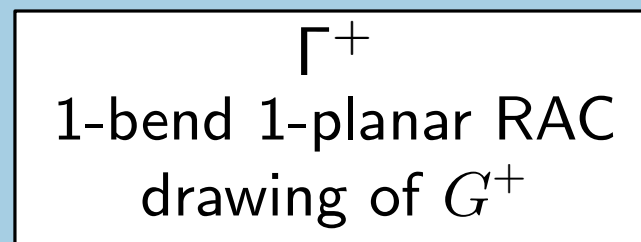
augmentation  
(the embedding  
may change)



recursive  
procedure



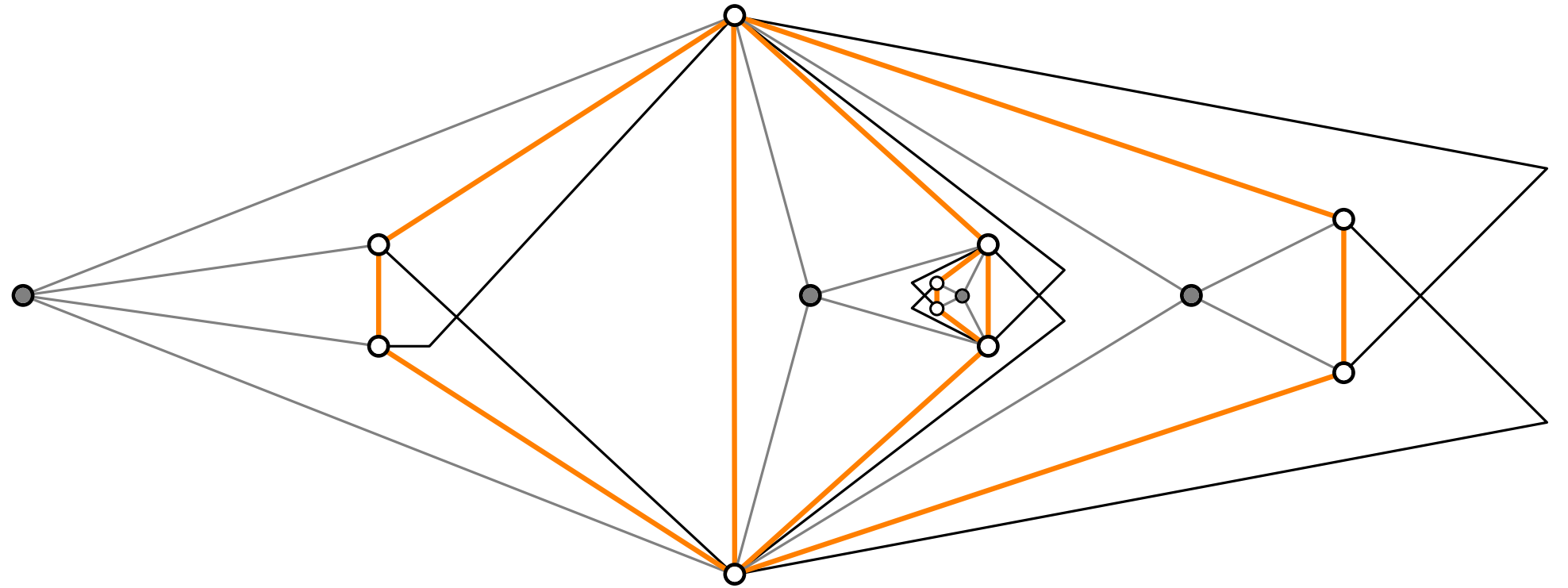
removal of  
dummy elements



recursive  
procedure

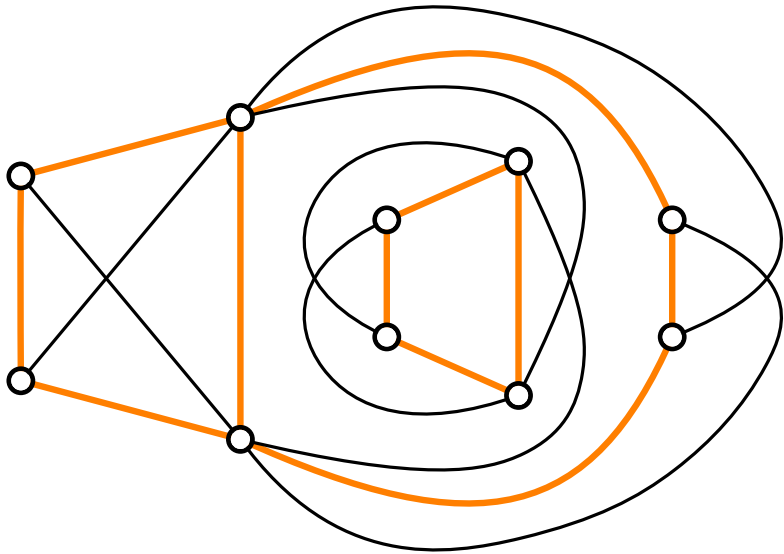
**output**

# Algorithm Step 4: Removal of Dummy Vertices



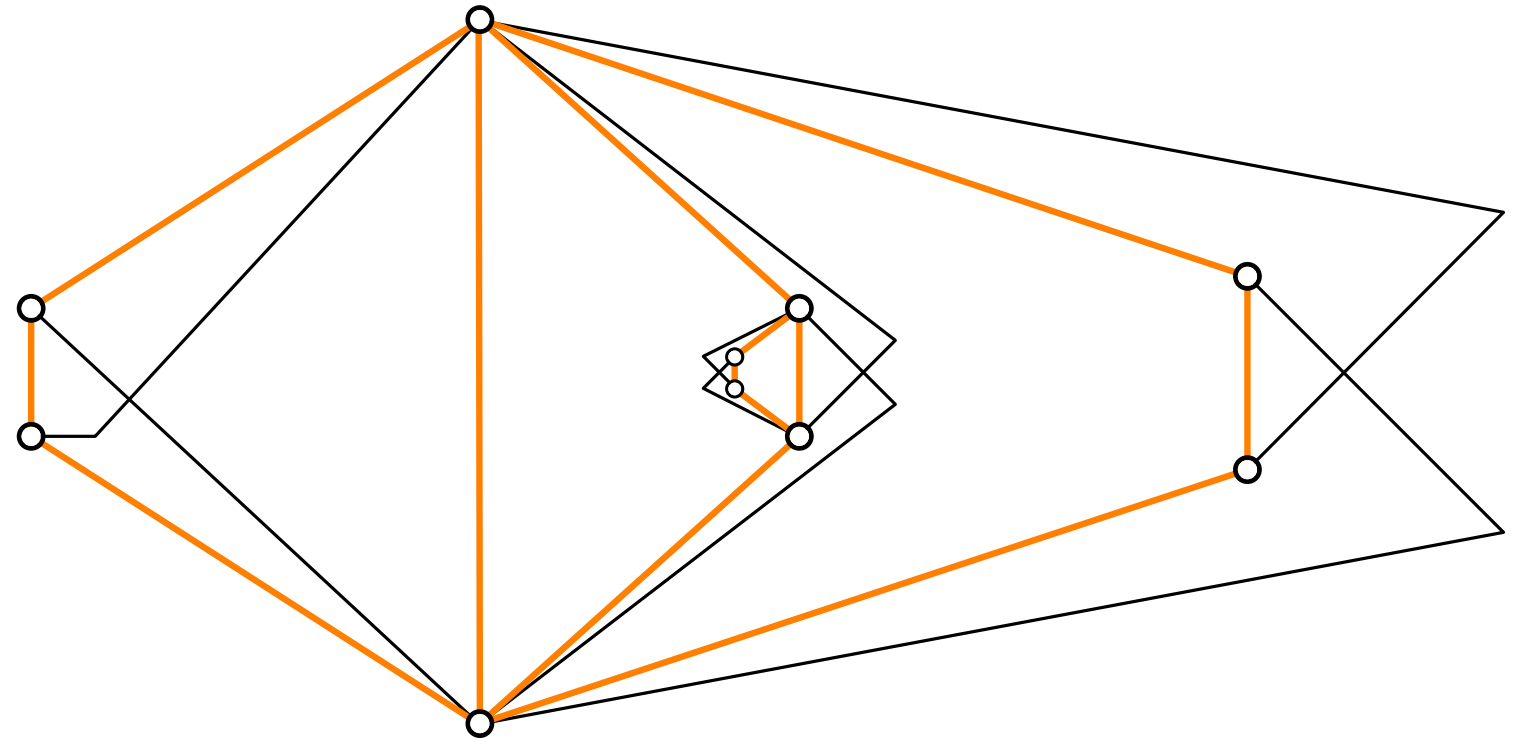
# Algorithm Step 4: Removal of Dummy Vertices

$G$ : simple 1-plane graph



$\Gamma$ : 1-bend 1-planar RAC drawing of  $G$

(embedding may differ)

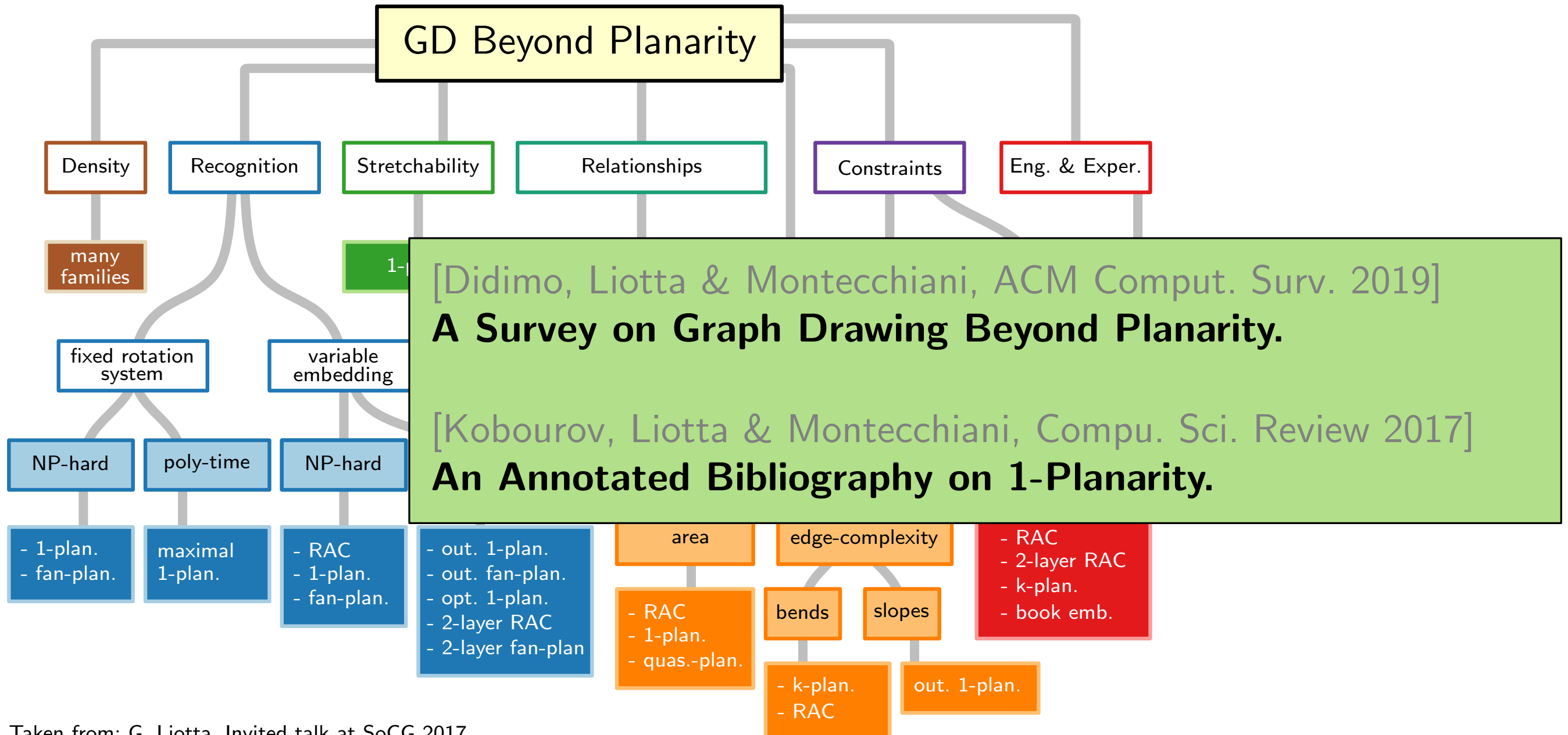


## Remark.

By modifying the algorithm slightly, the given input embedding can be preserved.

[Chaplick, Lipp, Wolff, Zink 2019]

# GD Beyond Planarity: a Taxonomy



Taken from: G. Liotta, Invited talk at SoCG 2017

"Graph Drawing Beyond Planarity: Some Results and Open Problems", Jul. 2017



# Literature

Books and surveys:

- [Didimo, Liotta & Montecchiani 2019] A Survey on Graph Drawing Beyond Planarity
- [Kobourov, Liotta & Montecchiani '17] An Annotated Bibliography on 1-Planarity
- [Hong and Tokuyama, editors '20] Beyond Planar Graphs

Some references for proofs:

- [Eades, Huang, Hong '08] Effects of Crossing Angles
- [Brandenburg et al. '13] On the density of maximal 1-planar graphs
- [Chimani, Kindermann, Montecchiani, Valtr '19] Crossing Numbers of Beyond-Planar Graphs
- [Grigoriev and Bodlaender '07] Algorithms for graphs embeddable with few crossings per edge
- [Angelini et al. '11] On the Perspectives Opened by Right Angle Crossing Drawings
- [Didimo, Eades, Liotta '17] Drawing graphs with right angle crossings
- [Bekos et al. '17] On RAC drawings of 1-planar graphs