

Visualization of Graphs

Lecture 5: Upward Planar Drawings





Part I: Recognition



Johannes Zink

Summer semester 2024



What may the direction of edges in a directed graph represent?



What may the direction of edges in a directed graph represent?
 Time





PERT diagram

Program Evaluation and Review Technique (Project management)

What may the direction of edges in a directed graph represent?
 Time

Flow





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Petri net

Place/Transition net (Modeling languages for distributed systems)

- What may the direction of edges in a directed graph represent?
 Time
 - Flow
 - Hierarchy





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Phylogenetic network

Ancestral trees / networks (Biology)

- What may the direction of edges in a directed graph represent?
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Hierarchy



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Phylogenetic network

Ancestral trees / networks (Biology)

- What may the direction of edges in a directed graph represent?
 - Time
 - Flow
 - Hierarchy
 - ...
- We aim for drawings where the general direction is preserved.



PERT diagram

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Phylogenetic network

Ancestral trees / networks (Biology)



A directed graph (*digraph*) is **upward planar** when it admits a drawing



A directed graph (*digraph*) is **upward planar** when it admits a drawing that is planar



A directed graph (*digraph*) is **upward planar** when it admits a drawing **I** that is planar and

where each edge is drawn as an upward y-monotone curve.



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\smile

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Additionally: Embedded such that s and t are on the outer face f_0 .

acyclic digraph with a single source *s* and a single sink *t*

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Proof.

(2) \Rightarrow (1) By definition.



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Can be drawn in pre-specified triangle.

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Claim.Case 1:tCase 2:tCan be drawnchordno chordno chordin pre-specified \rightarrow two smaller \rightarrow two smallertriangle. \rightarrow two smaller \rightarrow consider vertices below v.Induction on the
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Claim. Case 1: Can be drawn chord in pre-specified triangle. Induction on the number of vertices n. Case 2: v no chord v two smaller instances; solve inductively s v Among these, take "highest."

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Upward Planarity – Characterization

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Given a *planar acyclic* digraph G, decide whether G is upward planar.

Theorem.

[Garg & Tamassia, 1995]

Given a *planar acyclic* digraph G, it is NP-hard to decide whether G is upward planar.

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Theorem.[Hutton & Lubiw, 1996]Given an acyclic single-source digraph G,it can be tested in linear time whether G is upward planar.

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The Problem

Fixed Embedding Upward Planarity Testing. Let G be a plane digraph, let F be the set of faces of G, and let f_0 be the outer face of G. Test whether G is upward planar (w.r.t. to F and f_0).

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Plan.

- Find a property that any upward planar drawing of G satisfies.
- Formalize this property.
- Specify an algorithm to test this property.



Definitions.



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Angles, Local Sources & Sinks

Definitions.

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Lemma 1. L(f) + S(f) = 2A(f)



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10 - 8

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• Otherwise "high" source u exists. \rightarrow symmetric

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Similar argument for the outer face f_0 .

Lemma 3.

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Proof.



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Proof. Lemma 1: L(f) + S(f) = 2A(f)

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0 & \text{if } v \text{ is an inner vertex,} \\
1 & \text{if } v \text{ is a gobal source / sink;} \\
\end{cases}$$

for each face f: L(f) =

$$\begin{cases}
A(f) - 1 & \text{if } f \neq f_0, \\
A(f) + 1 & \text{if } f = f_0.
\end{cases}$$

Proof. Lemma 1: L(f) + S(f) = 2A(f)Lemma 2: $L(f) - S(f) = \pm 2$.



Lemma 3.

In every upward planar drawing of G, it holds that

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 $\Rightarrow 2L(f) = 2A(f) \pm 2$.

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global sources



■ global sources & sinks



global sources & sinks A(f) = # local sources/sinks of f



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Example of Angle-to-Face Assignment



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- \Leftarrow : Idea:
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- Apply equivalence from Theorem 1.

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Refine all faces. $\Rightarrow G$ is contained in a planar st-digraph.

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 \rightarrow Exercise



- Refine all faces. $\Rightarrow G$ is contained in a planar st-digraph.
- Planarity, acyclicity, bimodality are invariants under construction.






























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Proof.

Test for bimodality.

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- **D**raw H upward planar.
- Deleted edges added in refinement step.

Idea. Flow (v, f) = 1

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Flow network. $N_{F,f_0}(G) = ((W, E'); b; \ell; u)$ $W = E' = \ell(e) = E'$

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Idea. Flow (v, f) = 1from global source / sink v to the incident face f its large angle gets assigned to. nodes of flow network edges of flow network lower/upper bounds on edge capcities Flow network. $N_{F,f_0}(G) = ((W, E'); b; \ell; u)$ supplies/demands of nodes $\blacksquare W =$ $\blacksquare E' =$ $\ \ \, = \ \, \ell(e) =$ \blacksquare u(e) = $\bullet b(w) =$

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Visualization of Graphs

Lecture 5: Upward Planar Drawings





Part II: Series-Parallel Graphs



A graph G is series-parallel if

it contains a single (directed) edge (s, t), or



- it contains a single (directed) edge (s, t), or
- it consists of two series-parallel graphs G_1 , G_2





- **it** contains a single (directed) edge (s, t), or
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20 - 7

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Convince yourself that series-parallel graphs are (upward) planar!


A decomposition tree of G is a binary tree T with nodes of three types: S, P and Q.

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- A P-node represents a parallel composition; its children T_1 and T_2 represent G_1 and G_2













































Series-Parallel Graphs – Applications



Flowcharts



PERT-Diagrams (Program Evaluation and Review Technique)

Series-Parallel Graphs – Applications





Flowcharts

PERT-Diagrams (Program Evaluation and Review Technique)

Computational complexity:

Series-parallel graphs often admit linear-time algorithms for NP-hard problems, e.g., minimum maximal matching, maximum independent set, Hamiltonian completion.

Drawing conventions



Drawing conventions

Planarity



Drawing conventions

- Planarity
- Straight-line edges



Drawing conventions

- Planarity
- Straight-line edges
- Upward



Drawing conventions

- Planarity
- Straight-line edges
- Upward

Drawing aesthetics to optimize

Area



Drawing conventions

- Planarity
- Straight-line edges
- Upward

- Area
- Symmetry



Divide & conquer algorithm using the decomposition tree

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Invariant: draw G inside a right-angled isosceles bounding triangle Δ(G) with s at the bottom and t at the top



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Base case: Q-nodes





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Base case: Q-nodes **Divide:** Draw G_1 and G_2 first




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Conquer:

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Base case: Q-nodes

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Conquer:

S-nodes: series compositions





 $\Delta(G)$

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Conquer:

- S-nodes: series compositions
- P-nodes: parallel compositions





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 $\Delta(G_1) \qquad \Delta(G_2)$

Divide & conquer algorithm using the decomposition tree

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S

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25 - 13

 $\Delta(G)$

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 $\Delta(G)$

S

Do you see any problem?

 $\Delta(G_2)$

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 $\Delta(G)$

S

Do you see any problem? single edge

 $\Delta(G_2)$

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 $\Delta(G)$

S

 $\Delta(G_2)$ Do you see any problem? single edge change embedding!

 $\Delta(G_1)$

 $\Delta(G)$

S

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Divide & conquer algorithm using the decomposition tree

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25 - 17

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What makes parallel composition possible without creating crossings?



Assume the following holds: the only vertex in angle(v) is s

What makes parallel composition possible without creating crossings?



This condition **is** preserved during the induction step.

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Lemma.

The drawing produced by the algorithm is planar.

Theorem.

Let G be a series-parallel graph. Then G (with variable embedding) admits a drawing Γ that

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■ is straight-line, and

Theorem.

Let G be a series-parallel graph. Then G (with variable embedding) admits a drawing Γ that

- is upward planar,
- is straight-line, and
- uses quadratic area.

Theorem.

Let G be a series-parallel graph. Then G (with variable embedding) admits a drawing Γ that

- is upward planar,
- is straight-line, and
- uses quadratic area.
- Isomorphic components of G have congruent drawings up to translation.
Series-Parallel Graphs – Result

Theorem.

Let G be a series-parallel graph. Then G (with variable embedding) admits a drawing Γ that

- is upward planar,
- is straight-line, and
- uses quadratic area.
- Isomorphic components of G have congruent drawings up to translation.
- Γ can be computed in linear time.

Theorem.[Bertolazzi, Di Battista, Mannino, Tamassia '94]

For any $n \ge 1$, there exists a 2n-vertex series-parallel graph G_n in an embedding such that any upward planar straight-line drawing of G_n that respects the given embedding requires $\Omega(4^n)$ area.























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Discussion

There exist fixed-parameter (FPT) algorithms to test upward planarity of general digraphs with the parameter being the number of triconnected components.

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Many related concepts have been studied: upward drawings of mixed graphs, upward drawings with layers for the vertices, upward planarity on cylinder/torus, ...

Literature

- See [GD Ch. 6] for detailed explanation on upward planarity.
- See [GD Ch. 3] for divide and conquer methods of series-parallel graphs

Orginal papers referenced:

- [Kelly '87] Fundamentals of Planar Ordered Sets
- [Di Battista & Tamassia '88] Algorithms for Plane Representations of Acyclic Digraphs
- Garg & Tamassia '95] On the Computational Complexity of Upward and Rectilinear Planarity Testing
- [Hutton & Lubiw '96] Upward Planar Drawing of Single-Source Acyclic Digraphs
- [Bertolazzi, Di Battista, Mannino, Tamassia '94]
 Upward Drawings of Triconnected Digraphs
- [Healy & Lynch '05] Building Blocks of Upward Planar Digraphs
- [Didimo, Giordano, Liotta '09] Upward Spirality and Upward Planarity Testing
- [Abbasi, Healy, Rextin '10]
 Improving the running time of embedded upward planarity testing