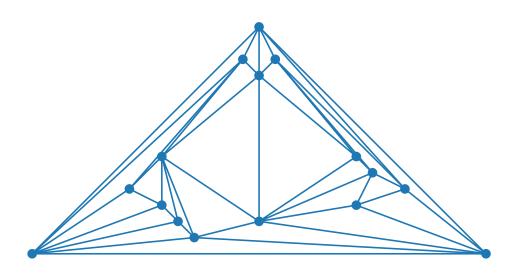


# Visualization of Graphs

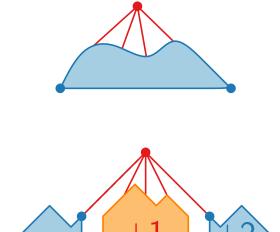
### Lecture 3:

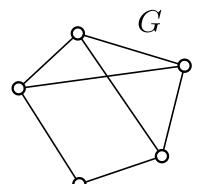
Straight-Line Drawings of Planar Graphs I: Canonical Orderings and the Shift Method

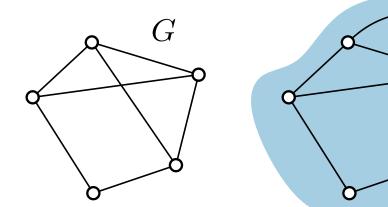


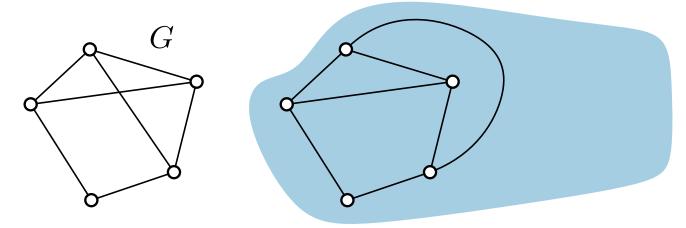
Johannes Zink

Summer semester 2024



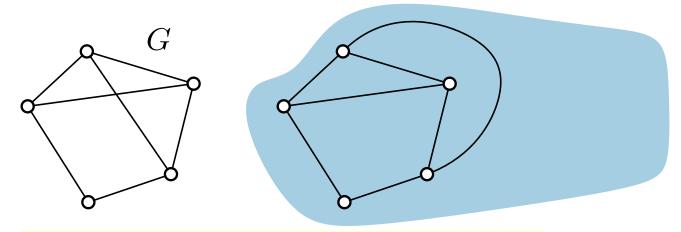






#### G is **planar**:

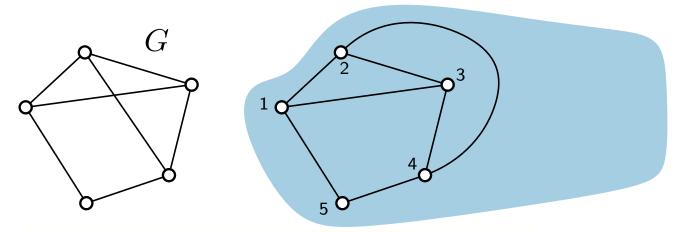
it can be drawn in such a way that no two edges intersect each other.



#### G is **planar**:

it can be drawn in such a way that no two edges intersect each other.

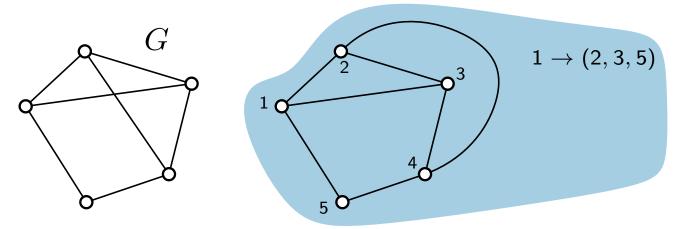
#### planar embedding:



#### G is planar:

it can be drawn in such a way that no two edges intersect each other.

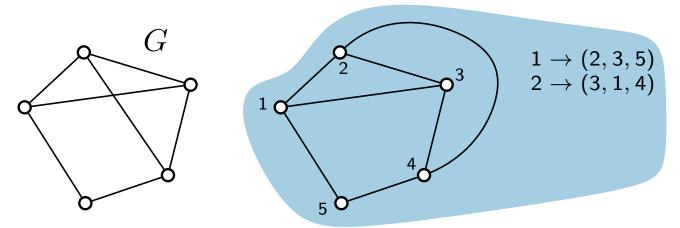
#### planar embedding:



#### G is **planar**:

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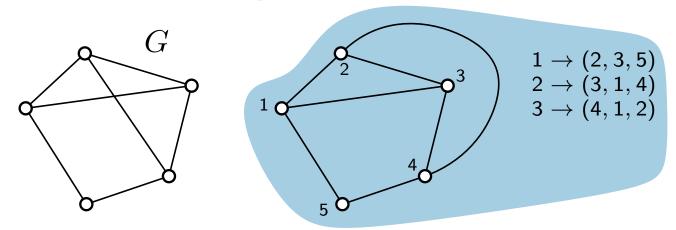
#### planar embedding:



#### G is planar:

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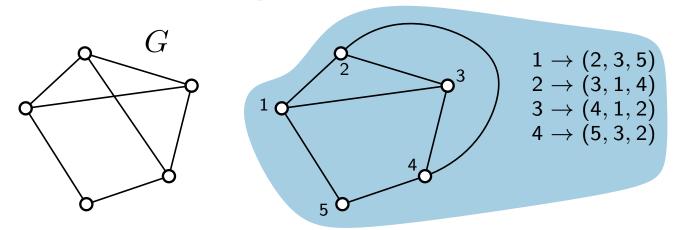
#### planar embedding:



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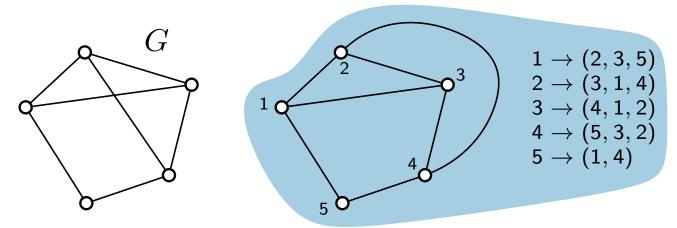
#### planar embedding:



#### G is planar:

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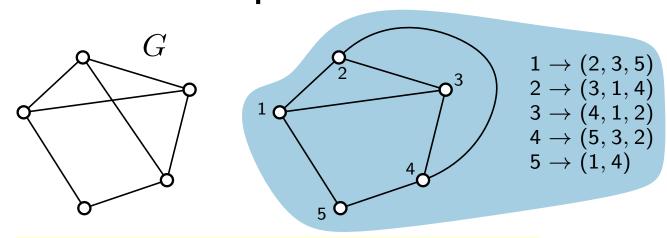
#### planar embedding:

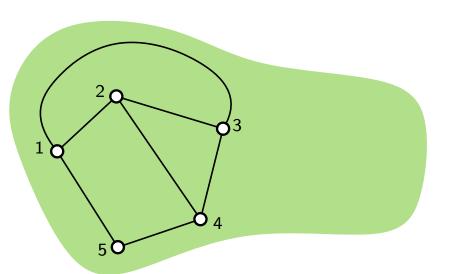


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#### planar embedding:





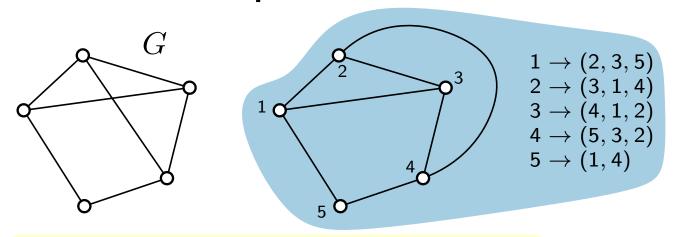
#### G is planar:

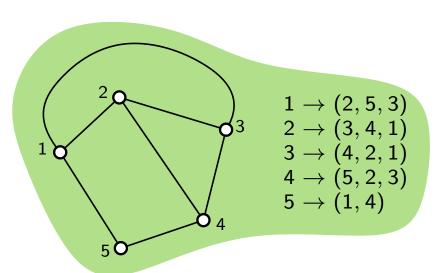
it can be drawn in such a way that no two edges intersect each other.

#### planar embedding:

clockwise orientation of adjacent vertices around each vertex

A planar graph can have many planar embeddings.





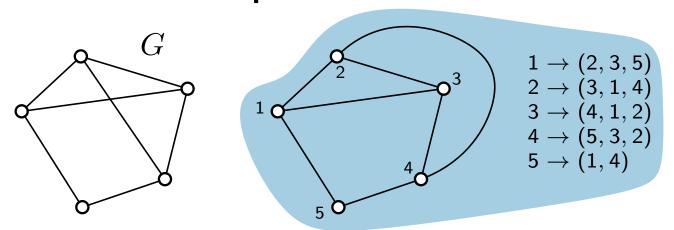
#### G is planar:

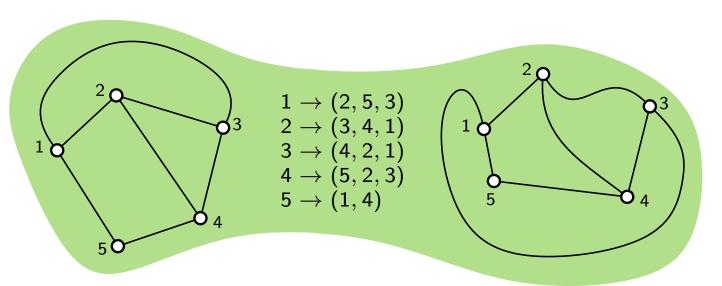
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#### G is planar:

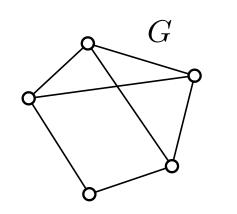
it can be drawn in such a way that no two edges intersect each other.

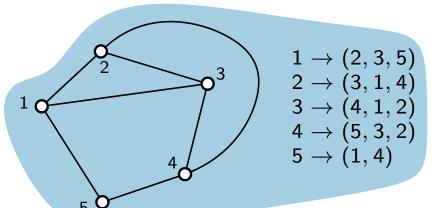
#### planar embedding:

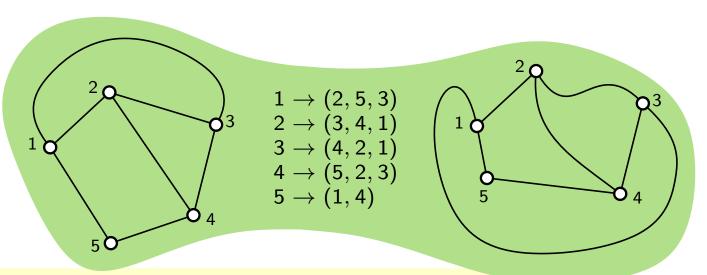
clockwise orientation of adjacent vertices around each vertex

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A planar embedding can have many planar drawings!







#### G is planar:

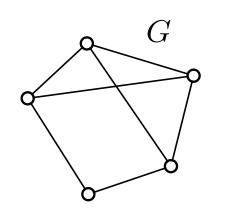
it can be drawn in such a way that no two edges intersect each other.

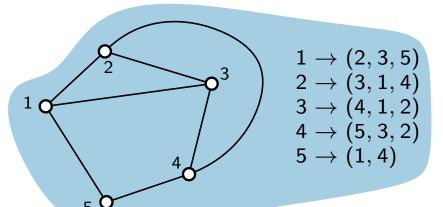
#### planar embedding:

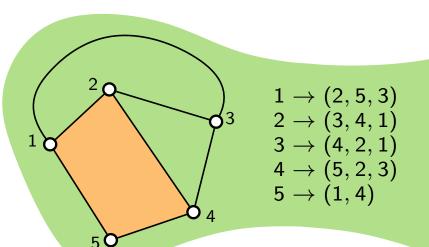
clockwise orientation of adjacent vertices around each vertex

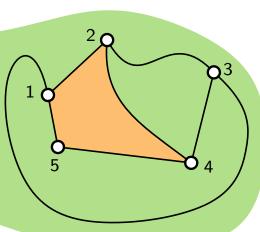
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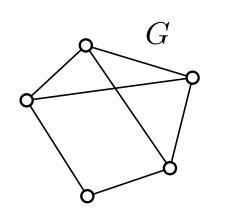
it can be drawn in such a way that no two edges intersect each other.

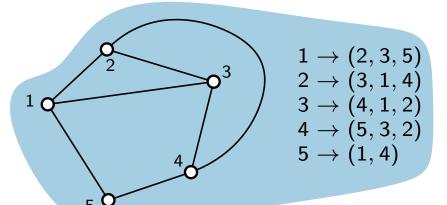
#### planar embedding:

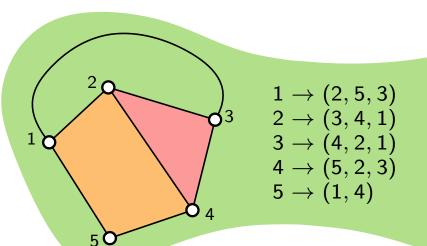
clockwise orientation of adjacent vertices around each vertex

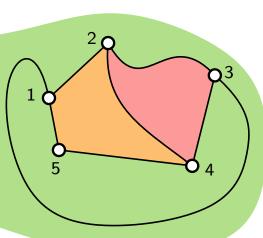
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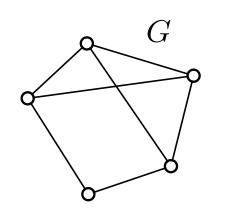
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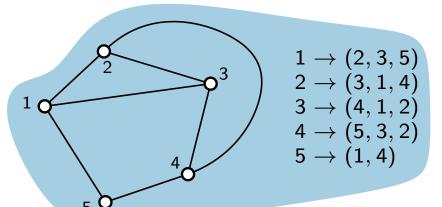
#### planar embedding:

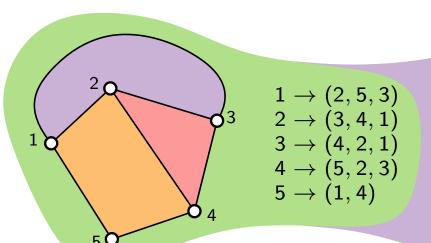
clockwise orientation of adjacent vertices around each vertex

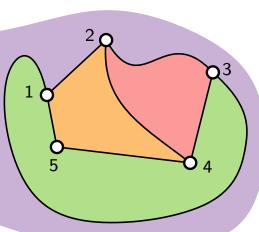
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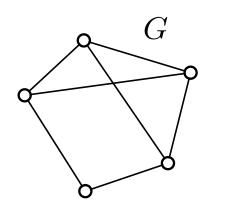
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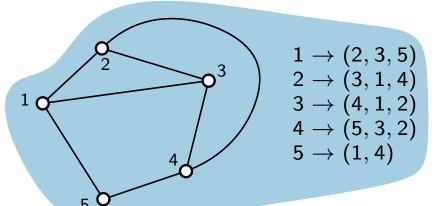
#### planar embedding:

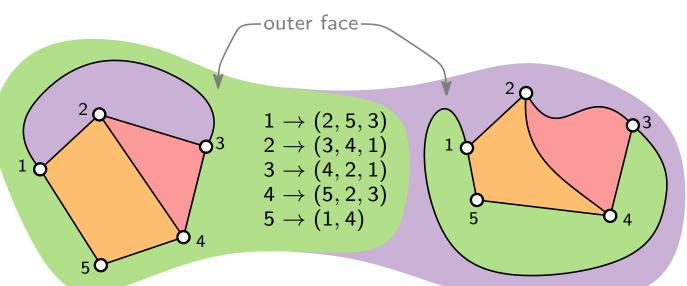
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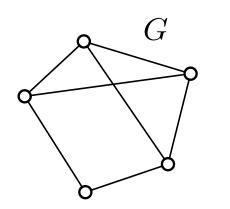
it can be drawn in such a way that no two edges intersect each other.

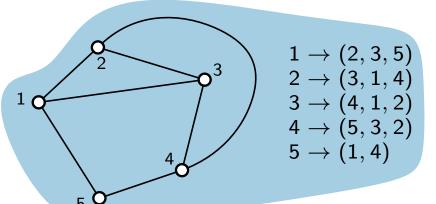
#### planar embedding:

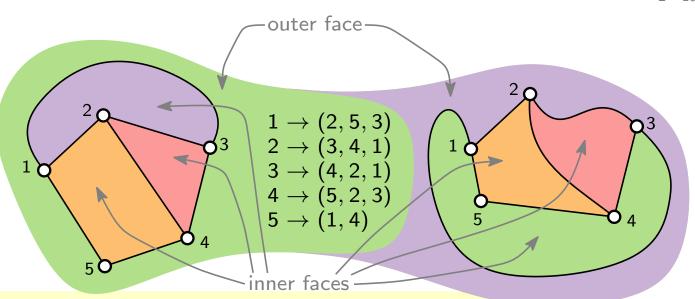
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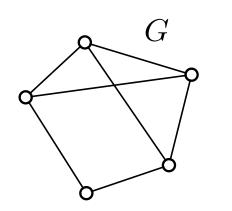
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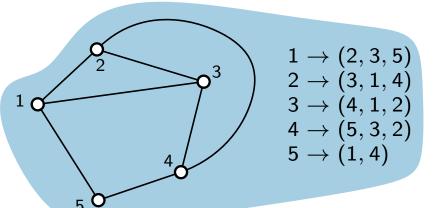
#### planar embedding:

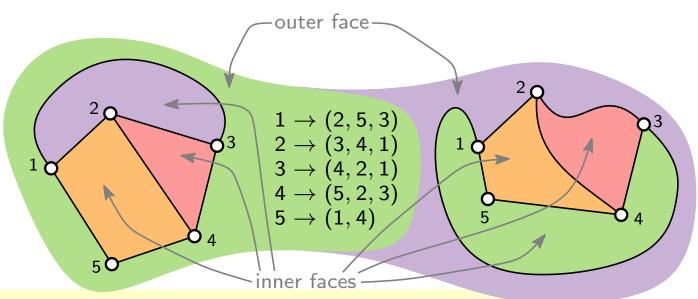
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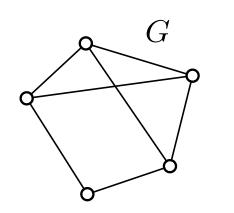
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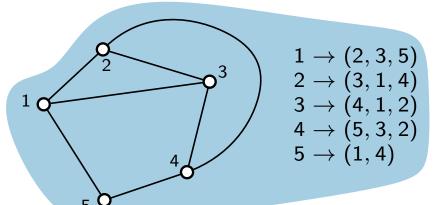
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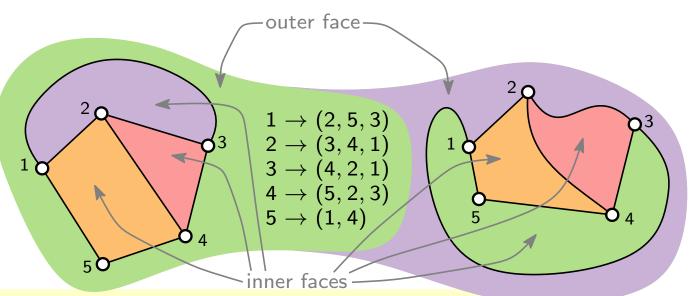
faces: Connected region of the plane bounded by edges

#### Euler's polyhedra formula.

$$\# \text{faces} - \# \text{edges} + \# \text{vertices} = \# \text{conn.comp.} + 1$$
 
$$f - m + n = c + 1$$







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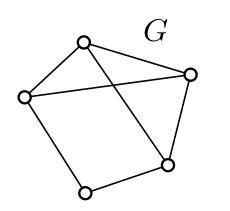
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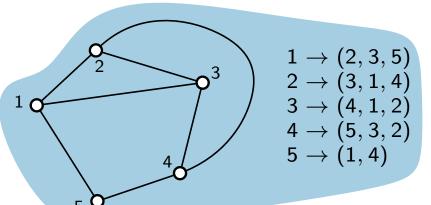
faces: Connected region of the plane bounded by edges

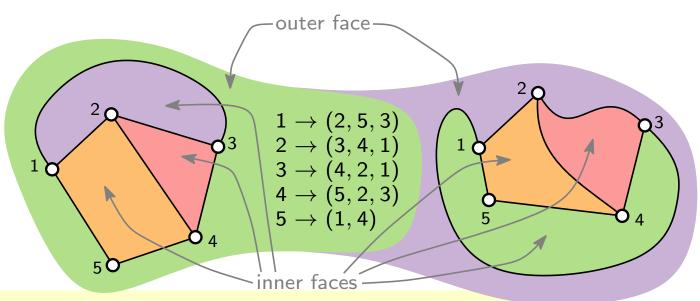
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Proof.







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clockwise orientation of adjacent vertices around each vertex

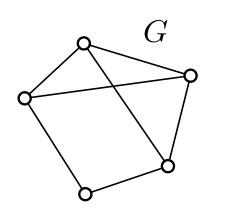
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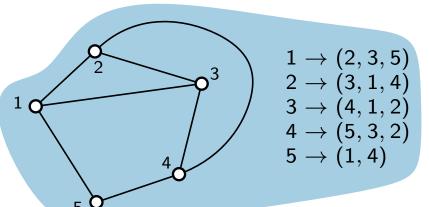
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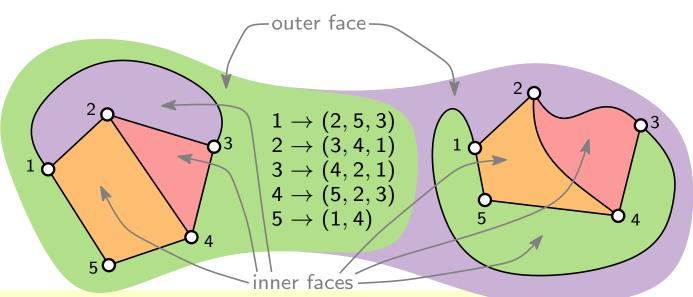
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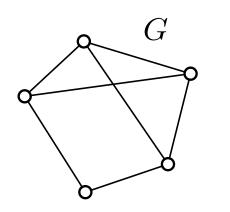
A planar embedding can have many planar drawings!

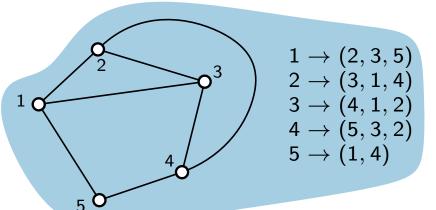
faces: Connected region of the plane bounded by edges

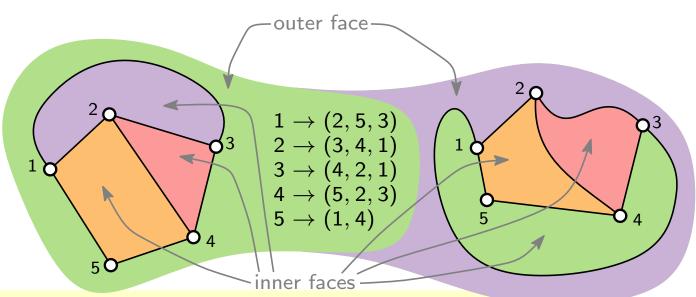
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$$\label{eq:faces} \begin{array}{lll} \# \mathsf{faces} - \# \mathsf{edges} + \# \mathsf{vertices} = \# \mathsf{conn.comp.} + 1 \\ f - m + n & = c + 1 \end{array}$$

$$m = 0 \Rightarrow$$







#### G is planar:

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clockwise orientation of adjacent vertices around each vertex

A planar graph can have many planar embeddings.

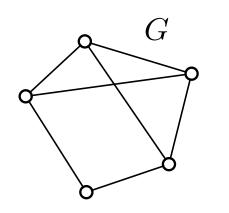
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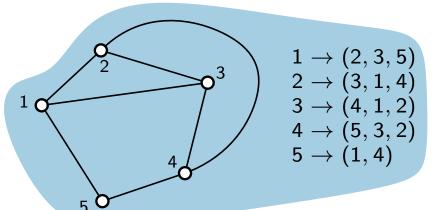
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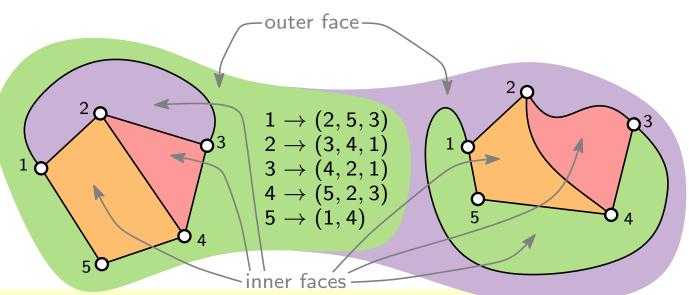
#### Euler's polyhedra formula.

$$\label{eq:faces} \begin{array}{lll} \# \mathsf{faces} - \# \mathsf{edges} + \# \mathsf{vertices} = \# \mathsf{conn.comp.} + 1 \\ f - m + n & = c + 1 \end{array}$$

$$m=0 \Rightarrow f=?$$
 and  $c=?$ 







#### G is planar:

it can be drawn in such a way that no two edges intersect each other.

#### planar embedding:

clockwise orientation of adjacent vertices around each vertex

A planar graph can have many planar embeddings.

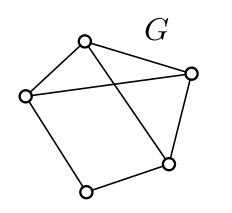
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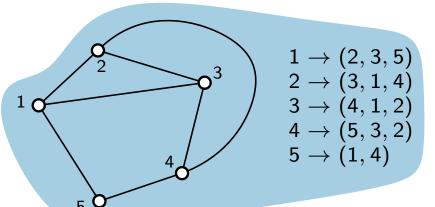
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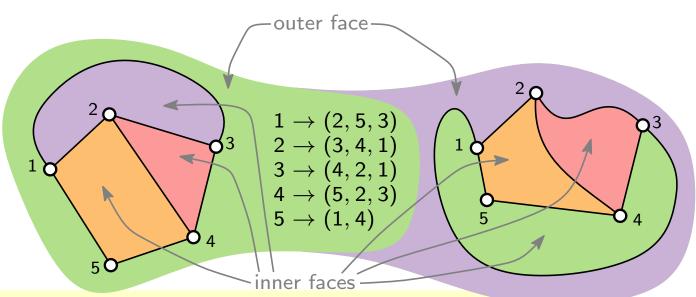
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$$m=0 \Rightarrow f=1 \text{ and } c=n$$







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it can be drawn in such a way that no two edges intersect each other.

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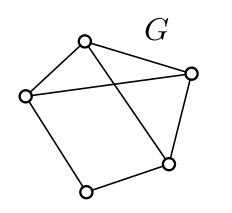
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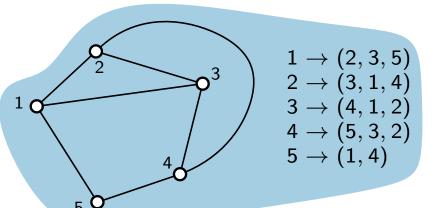
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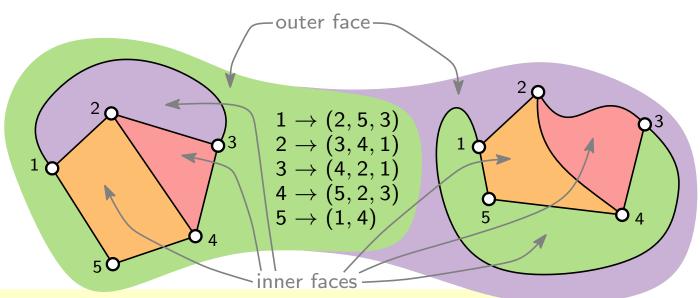
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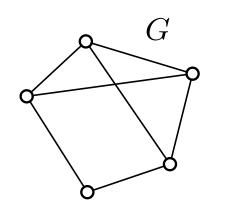
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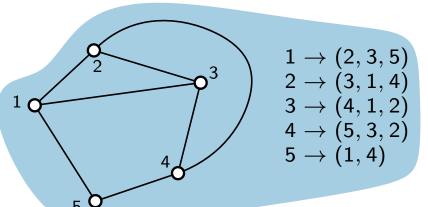
faces: Connected region of the plane bounded by edges

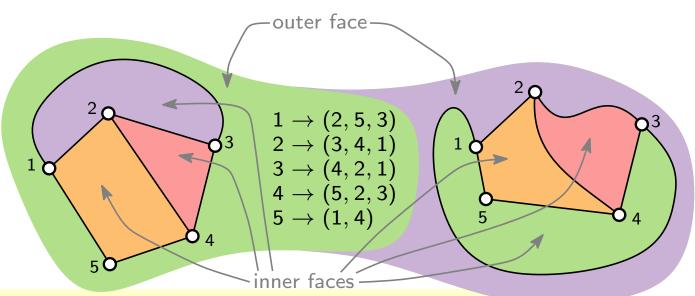
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$$m=0 \Rightarrow f=1 \text{ and } c=n$$
  $\checkmark$   $m\geq 1 \Rightarrow$ 







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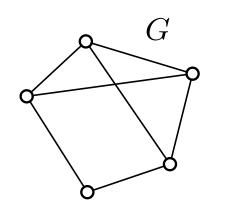
#### Euler's polyhedra formula.

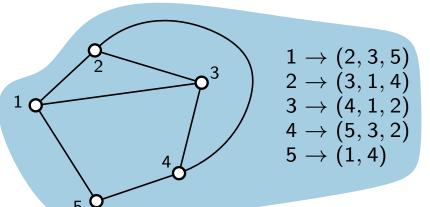
$$\label{eq:faces} \begin{array}{lll} \# \mathsf{faces} - \# \mathsf{edges} + \# \mathsf{vertices} = \# \mathsf{conn.comp.} + 1 \\ f - m + n & = c + 1 \end{array}$$

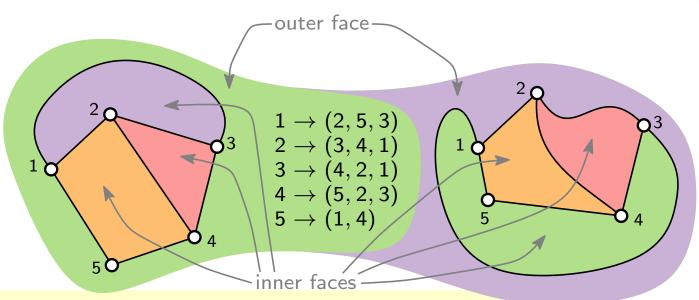
**Proof.** By induction on m:

$$m=0 \Rightarrow f=1 \text{ and } c=n$$

 $m \geq 1 \Rightarrow \text{ delete some edge } e$ 







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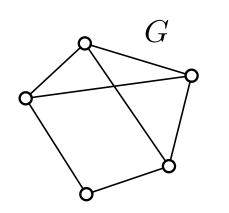
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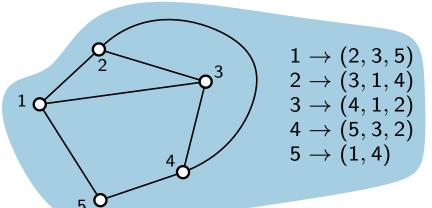
faces: Connected region of the plane bounded by edges

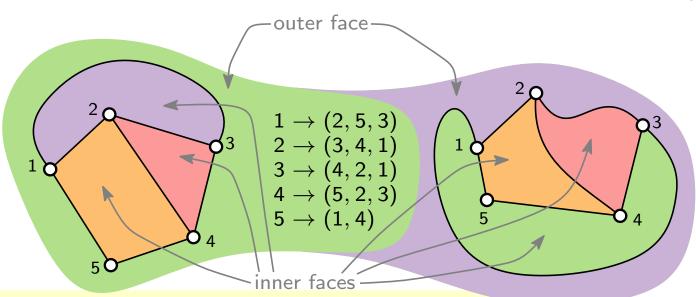
#### Euler's polyhedra formula.

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$$f - m + n = c + 1$$

$$m=0 \Rightarrow f=1 \text{ and } c=n$$
  $\checkmark$   $m\geq 1 \Rightarrow \text{ delete some edge } e \Rightarrow m'=m-1$ 







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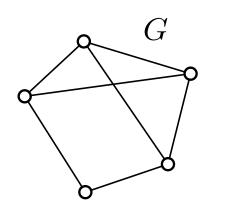
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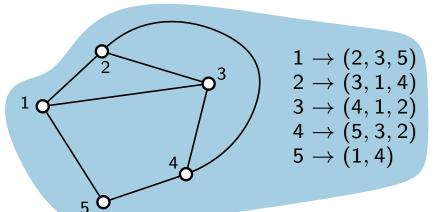
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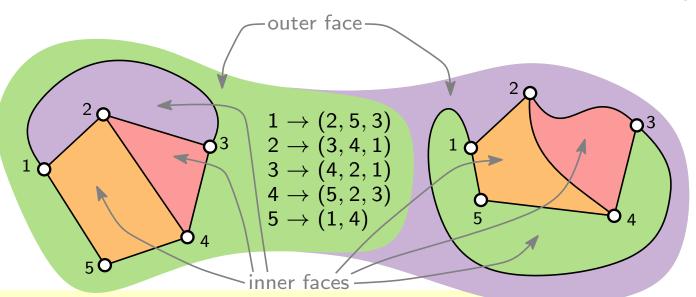
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$$m=0 \Rightarrow f=1 ext{ and } c=n$$
  $\checkmark$  Induction hypothesis in  $G'$ :  $m\geq 1 \Rightarrow ext{ delete some edge } e \Rightarrow m'=m-1$ 







#### G is planar:

it can be drawn in such a way that no two edges intersect each other.

#### planar embedding:

clockwise orientation of adjacent vertices around each vertex

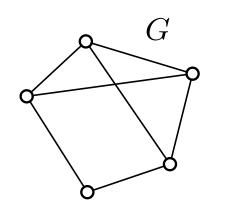
A planar graph can have many planar embeddings.

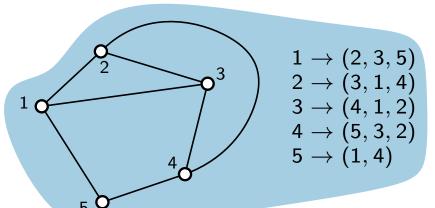
A planar embedding can have many planar drawings!

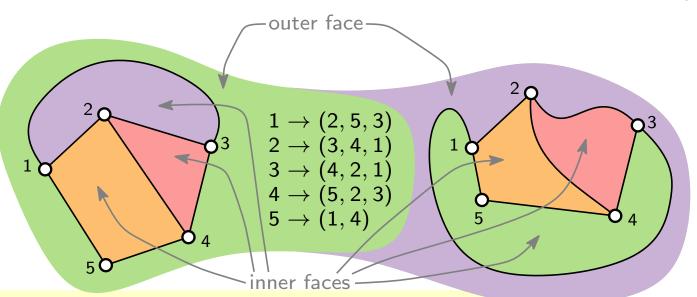
faces: Connected region of the plane bounded by edges

#### Euler's polyhedra formula.

$$\# \mathsf{faces} - \# \mathsf{edges} + \# \mathsf{vertices} = \# \mathsf{conn.comp.} + 1$$
 
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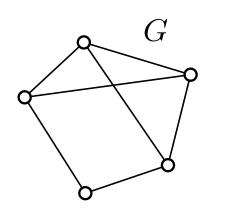
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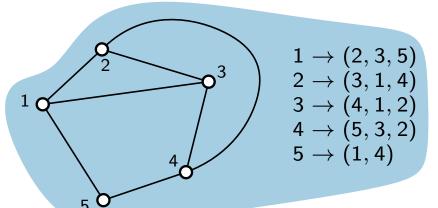
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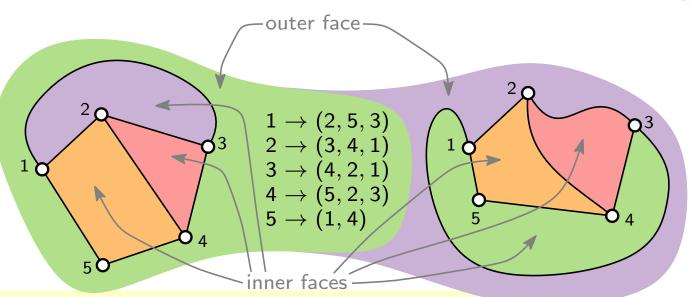
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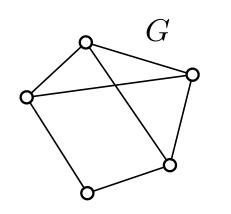
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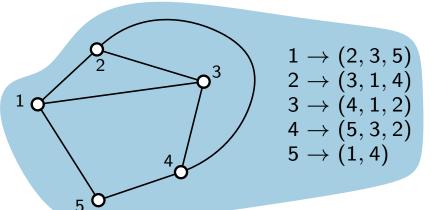
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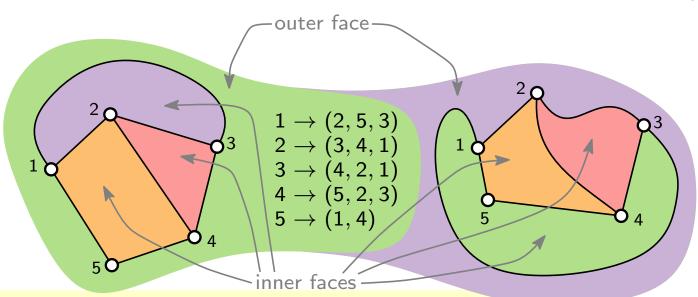
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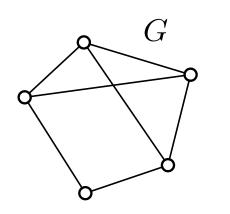
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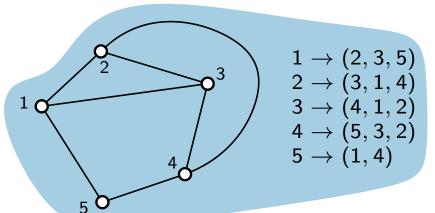
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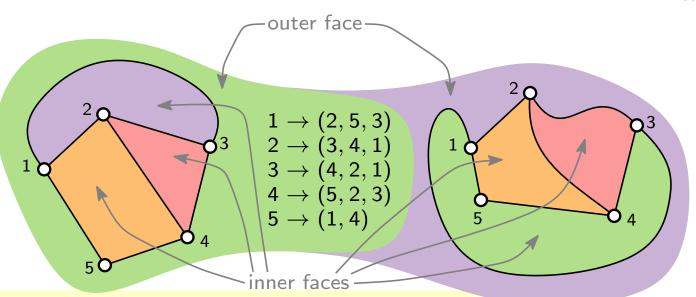
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### Euler's polyhedra formula.

```
\# faces - \# edges + \# vertices = \# conn.comp. + 1
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#### Euler's polyhedra formula.

```
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**Theorem.** G simple planar graph with  $n \geq 3$  vtc.

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**Theorem.** G simple planar graph with  $n \geq 3$  vtc.

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$$m \le 3n - 6$$

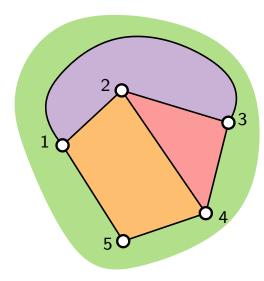
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Proof. 1.



#### Euler's polyhedra formula.

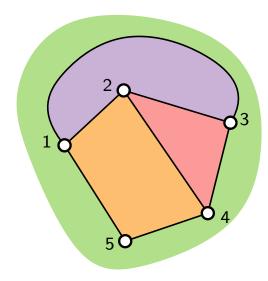
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#### Proof. 1.

idea: count edge—face incidences



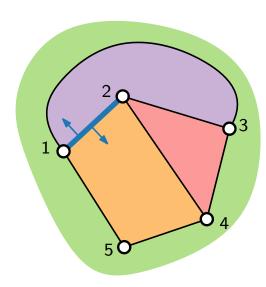
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**Theorem.** G simple planar graph with  $n \ge 3$  vtc. 1.  $m \le 3n - 6$ 

**Proof.** 1. Every edge incident to  $\leq 2$  faces

idea: count edge—face incidences



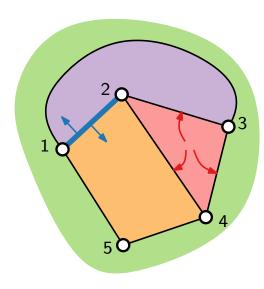
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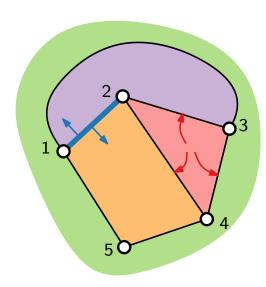
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idea: count edge—face incidences

 $\Rightarrow$  3f ? # incidences ? 2m



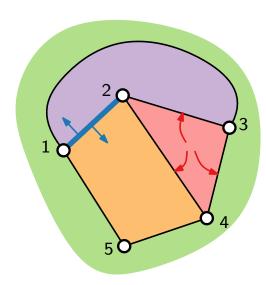
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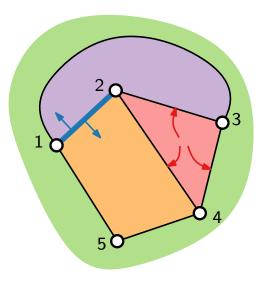
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```
\begin{array}{c} \text{idea: count} \\ \text{edge-face} \\ \text{incidences} \end{array} \Rightarrow \begin{array}{c} 3f \leq \# \text{ incidences} \leq 2m \\ c+1 = f-m+n \end{array}
```



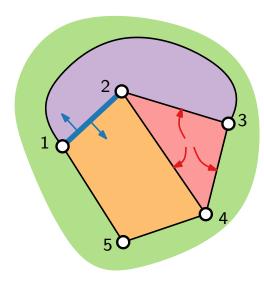
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idea: count edge-face 
$$\Rightarrow 3f \leq \# \text{ incidences} \leq 2m$$
 incidences  $\Rightarrow 3c + 3 = 3f - 3m + 3n$ 



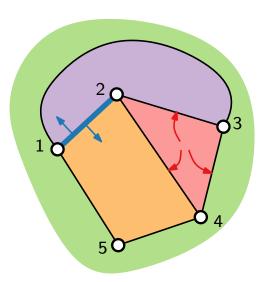
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idea: count edge-face 
$$\Rightarrow$$
  $3f \le \#$  incidences  $\le 2m$  incidences  $\Rightarrow$   $3c + 3 = 3f - 3m + 3n$ 



#### Euler's polyhedra formula.

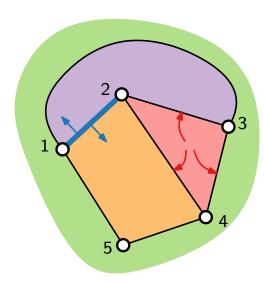
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idea: count edge-face \Rightarrow 3f \le \# incidences \le 2m \Rightarrow 6 \le 3c + 3 = 3f - 3m + 3n c \ge 1
```



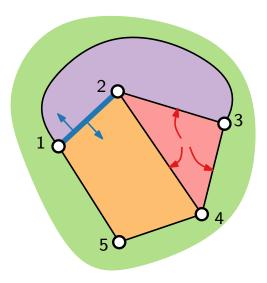
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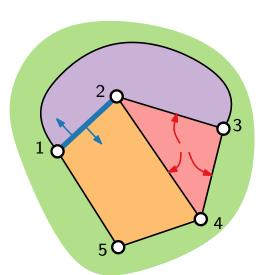
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incidences 
$$\Rightarrow 6 \le 3c + 3 = 3f - 3m + 3n \le 2m - 3m + 3n$$



#### Euler's polyhedra formula.

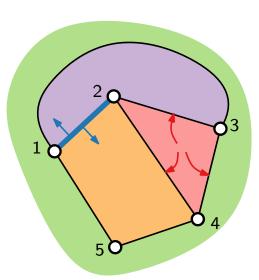
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#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$
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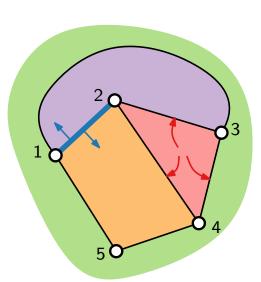
**Proof.** 1. Every edge incident to  $\leq 2$  faces Every face incident to  $\geq 3$  edges

idea: count edge—face

 $\Rightarrow$  3 $f \leq \#$  incidences  $\leq 2m$ 

$$\rightarrow \Rightarrow 6 \le 3c + 3 = 3f - 3m + 3n \le 2m - 3m + 3n = 3n - m$$

$$\Rightarrow m \leq 3n - 6$$



#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$
 $f - m + n = c + 1$ 

**Theorem.** G simple planar graph with  $n \geq 3$  vtc.

1. 
$$m < 3n - 6$$
 2.  $f < 2n - 4$ 

**2.** 
$$f \leq 2n - 4$$

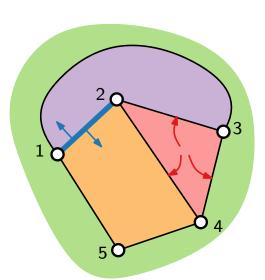
**Proof.** 1. Every edge incident to < 2 faces Every face incident to  $\geq 3$  edges

idea: count

 $\Rightarrow 3f \leq \#$  incidences  $\leq 2m$ 

incidences 
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#### Euler's polyhedra formula.

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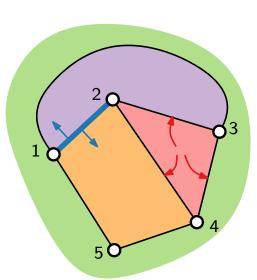
$$\Rightarrow 3f$$

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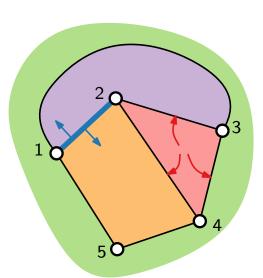
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$$\Rightarrow 6 \le 3c + 3 = 3f - 3m + 3n \le 2m - 3m + 3n = 3n - m$$

$$\Rightarrow m \leq 3n - 6$$

2. 
$$3f \leq 2m \leq 6n - 12$$



#### Euler's polyhedra formula.

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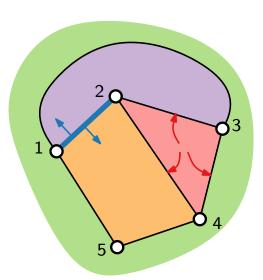
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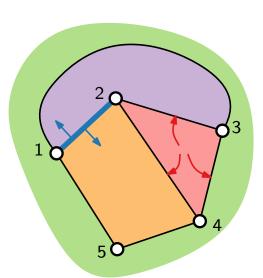
3. There is a vertex of degree at most 5.

$$\Rightarrow 3f \leq \# \text{ incidences} \leq 2m$$

$$\Rightarrow 6 \leq 3c + 3 = 3f - 3m + 3n \leq 2m - 3m + 3n = 3n - m$$

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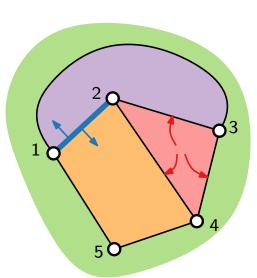
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3. 
$$\sum_{v \in V(G)} \deg(v)$$



#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$

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**Proof.** 1. Every edge incident to < 2 faces Every face incident to  $\geq 3$  edges

idea: count edge-face

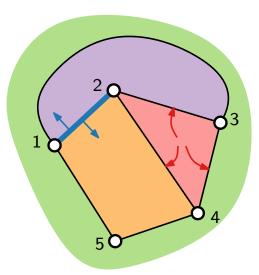
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$$\sum_{v \in V(G)} \deg(v)$$



$$-3m + 3n = 3n - m$$

$$\sum_{v \in V(G)} \deg(v) = 2|E|$$

#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$
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**Theorem.** G simple planar graph with  $n \geq 3$  vtc.

- 1. m < 3n 6 2. f < 2n 4
- 3. There is a vertex of degree at most 5.

**Proof.** 1. Every edge incident to < 2 faces Every face incident to  $\geq 3$  edges

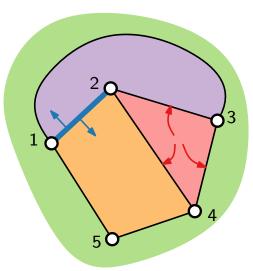
idea: count edge-face

$$\Rightarrow 3f \leq \# \text{ incidences} \leq 2m$$

$$\Rightarrow$$
 0  $\leq$  3 $c$  + 3 -  $\Rightarrow$   $m \leq 3n - 6$ 

2. 
$$3f \le 2m \le 6n - 12 \Rightarrow f \le 2n - 4 \sum_{v \in V(G)} \deg(v) = 2|E|$$
.

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$$\Rightarrow$$
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$$\sum_{v \in V(G)} \deg(v) = 2|E|.$$

#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$
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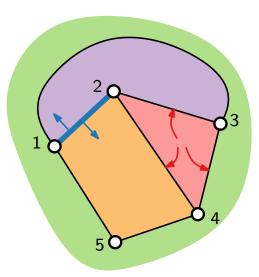
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$$\sum_{v \in V(G)} \deg(v) = 2m \le 6n - 12$$



$$-3m + 3n = 3n - m$$

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#### Euler's polyhedra formula.

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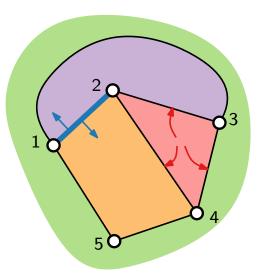
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$$\Rightarrow 3f \leq \# \text{ incidences} \leq 2m$$

cidences 
$$\Rightarrow 0 \leq 3c + 3 = 0$$
  
 $\Rightarrow m \leq 3n - 6$ 

2. 
$$3f \le 2m \le 6n - 12 \implies f \le 2n - 4$$

3. 
$$\sum_{v \in V(G)} \deg(v) = 2m \le 6n - 12$$
$$\Rightarrow \min_{v \in V(G)} \deg(v)$$



$$\Rightarrow$$
  $6 \le 3c + 3 = 3f - 3m + 3n \le 2m - 3m + 3n = 3n - m$ 

$$\sum_{v \in V(G)} \deg(v) = 2|E|.$$

#### Euler's polyhedra formula.

$$\# faces - \# edges + \# vertices = \# conn.comp. + 1$$
 $f - m + n = c + 1$ 

**Theorem.** G simple planar graph with  $n \geq 3$  vtc.

- 1.  $m \le 3n 6$  2.  $f \le 2n 4$
- 3. There is a vertex of degree at most 5.

**Proof.** 1. Every edge incident to  $\leq 2$  faces Every face incident to  $\geq 3$  edges

idea: count edge-face

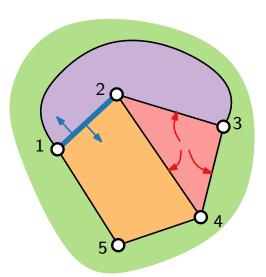
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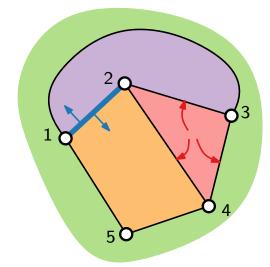
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- 2.  $3f \le 2m \le 6n 12 \implies f \le 2n 4$
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Handshaking lemma. 
$$\sum_{v \in V(G)} \deg(v) = 2|E|.$$

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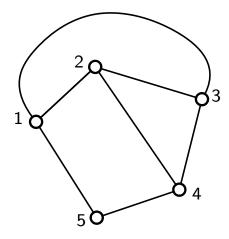
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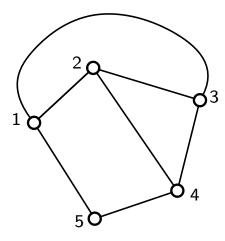
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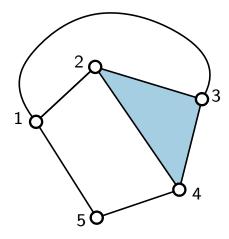
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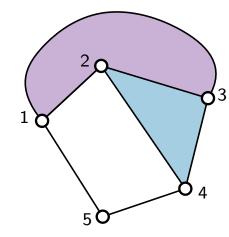
planar graph given with a planar embedding



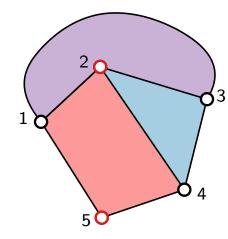
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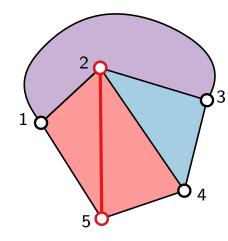
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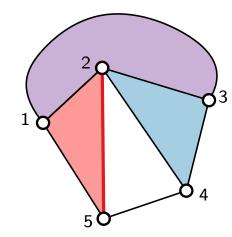
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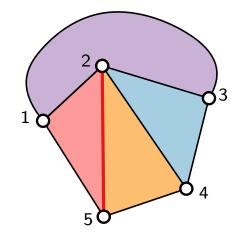
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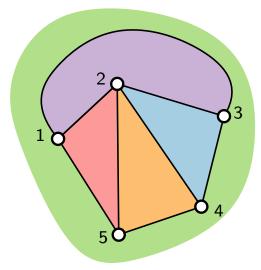
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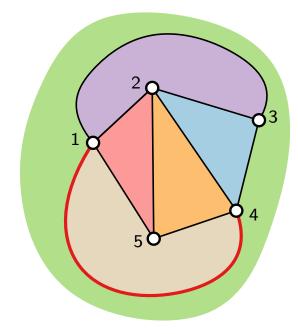
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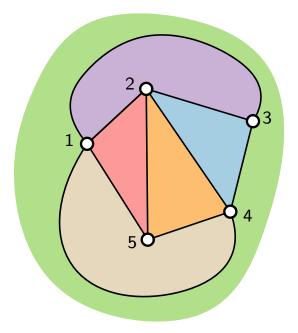


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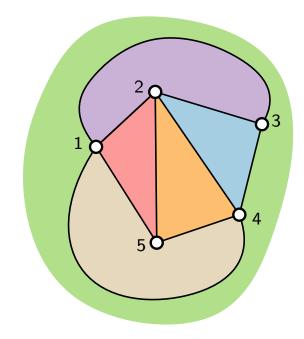
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A plane (inner) triangulation is a plane graph where every (inner) face is a triangle.



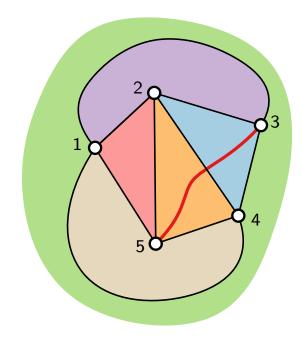
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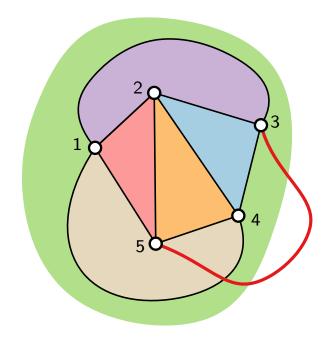
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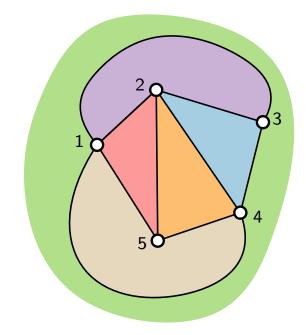
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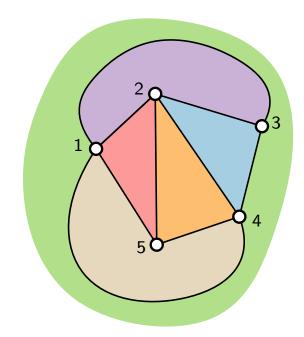
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A maximal planar graph is a planar graph where adding any edge would violate planarity.

### Observation.

Any maximal plane graph is a plane triangulation (and vice versa).



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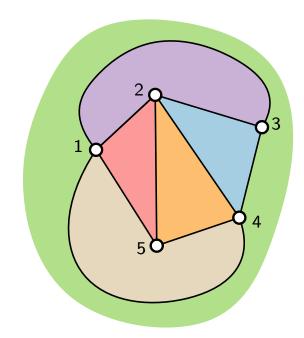
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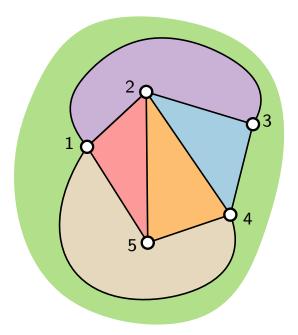
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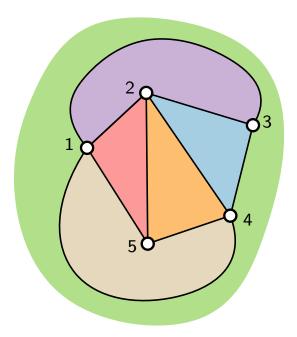
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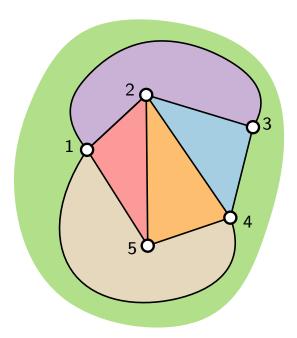
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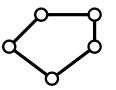
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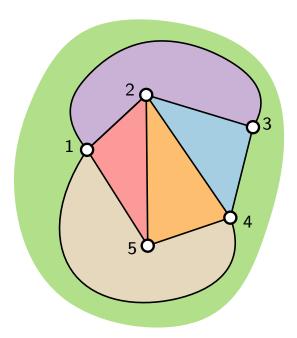
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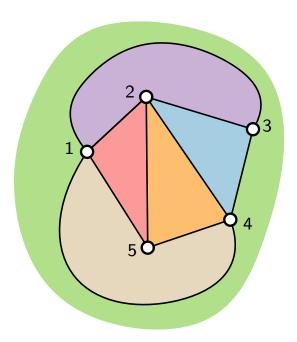
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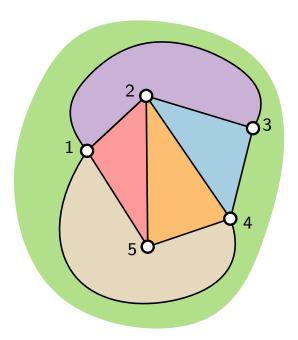
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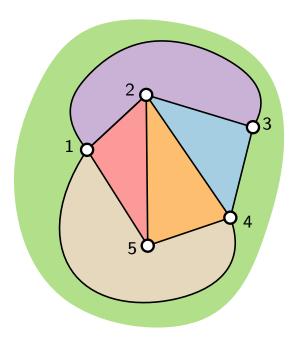
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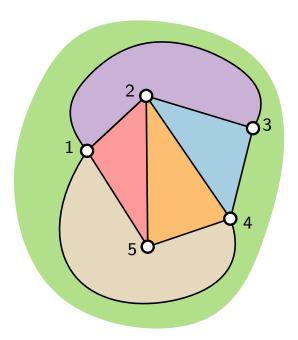
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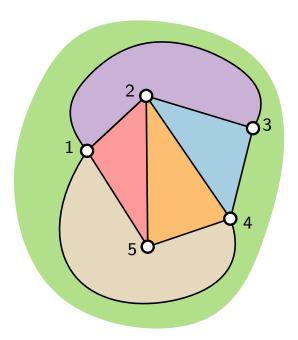
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[Bennett, Ryall, Spaltzeholz and Gooch '07]

### The Aesthetics of Graph Visualization

### 3.2. Edge Placement Heuristics

By far the most agreed-upon edge placement heuristic is to minimize the number of edge crossings in a graph [BMRW98, Har98, DH96, Pur02, TR05, TBB88]. The importance of avoiding edge crossings has also been extensively validated in terms of user preference and performance (see Section 4). Similarly, based on perceptual principles, it is beneficial to minimize the number of edge bends within a graph [Pur02, TR05, TBB88]. Edge bends make edges more difficult to follow because an edge with a sharp bend is more likely to be perceived as two separate objects. This leads to the heuristic of keeping edge bends uniform with respect to the bend's position on the edge and its angle [TR05]. If an edge must be bent to satisfy other aesthetic criteria, the angle of the bend should be as little as possible, and the bend placement should evenly divide the edge.

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### Drawing aesthetics to optimize

Area

**Characterization** 

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Recognition

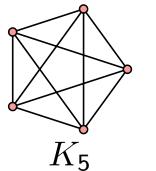
Characterization

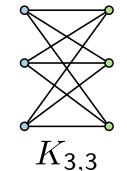
Recognition

[Kuratowski 1930] Theorem.

G planar  $\Leftrightarrow$ neither  $K_5$  nor  $K_{3,3}$  minor of G

Kazimierz Kuratowski (1896–1980)





Characterization

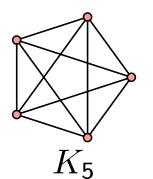
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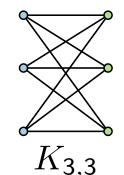
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[Hopcroft & Tarjan 1974]

Let G be a graph with n vertices. There is an  $\mathcal{O}(n)$ -time algorithm to test whether G is planar.







Recognition

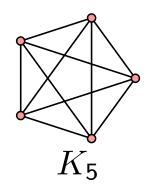
Robert Endre Tarjan (1948–) Renatokeshet, GFDL via Wikimedia

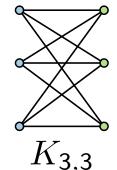
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ancreft (1030)

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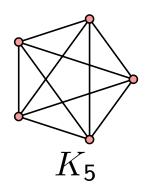
John Edward Hopcroft (1939–) en.wikipedia.org/wiki/User:Shakespeare

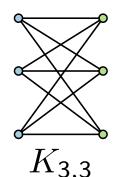
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Theorem.

[Wagner 1936, Fáry 1948, Stein 1951]

Every planar graph has a planar drawing where the edges are straight-line segments.



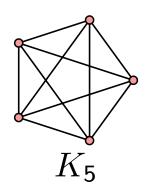
**Drawing** 

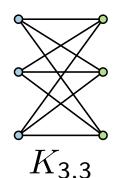
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The algorithms implied by these theorems produce drawings whose area is **not** bounded by any polynomial in n.



**Drawing** 

Klaus Wagner (1910-2000) Autor: Konrad Jacobs, wikipedia

# Planar Straight-Line Drawings

### Theorem.

[De Fraysseix, Pach, Pollack '90]

Every n-vertex planar graph has a planar straight-line drawing of size  $(2n-4)\times(n-2)$ .

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### Idea.

 $\blacksquare$  Find a canonical order  $(v_1,\ldots,v_n)$  of the vertices of a triangulation.

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### Idea.

- $\blacksquare$  Find a canonical order  $(v_1,\ldots,v_n)$  of the vertices of a triangulation.
- Start with the single edge  $(v_1, v_2)$ . Let this be the graph  $G_2$ .



## Theorem.

[Schnyder '90]

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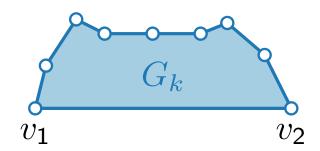
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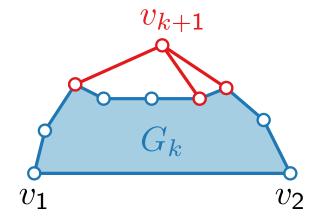
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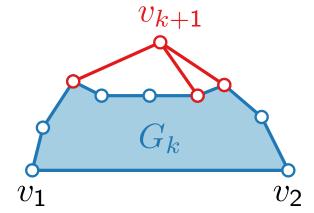
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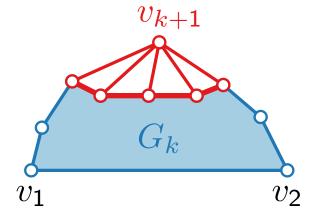
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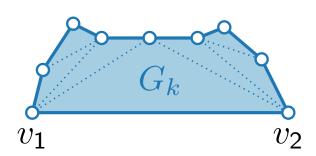
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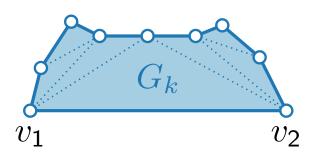


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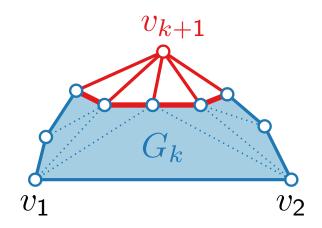


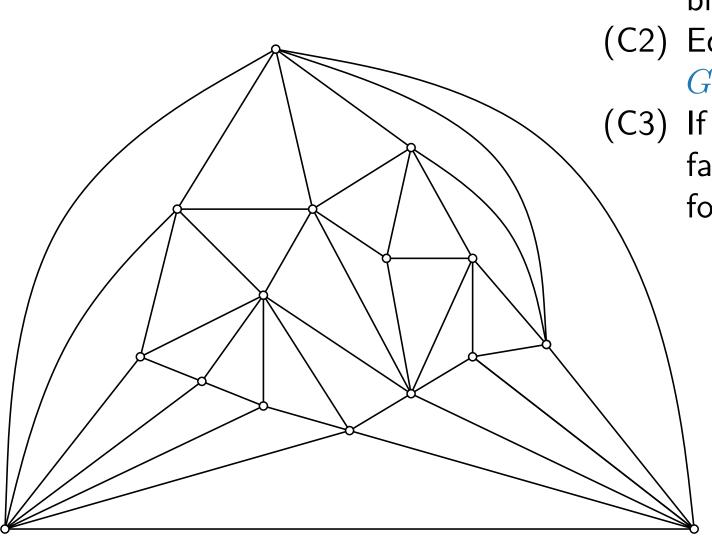
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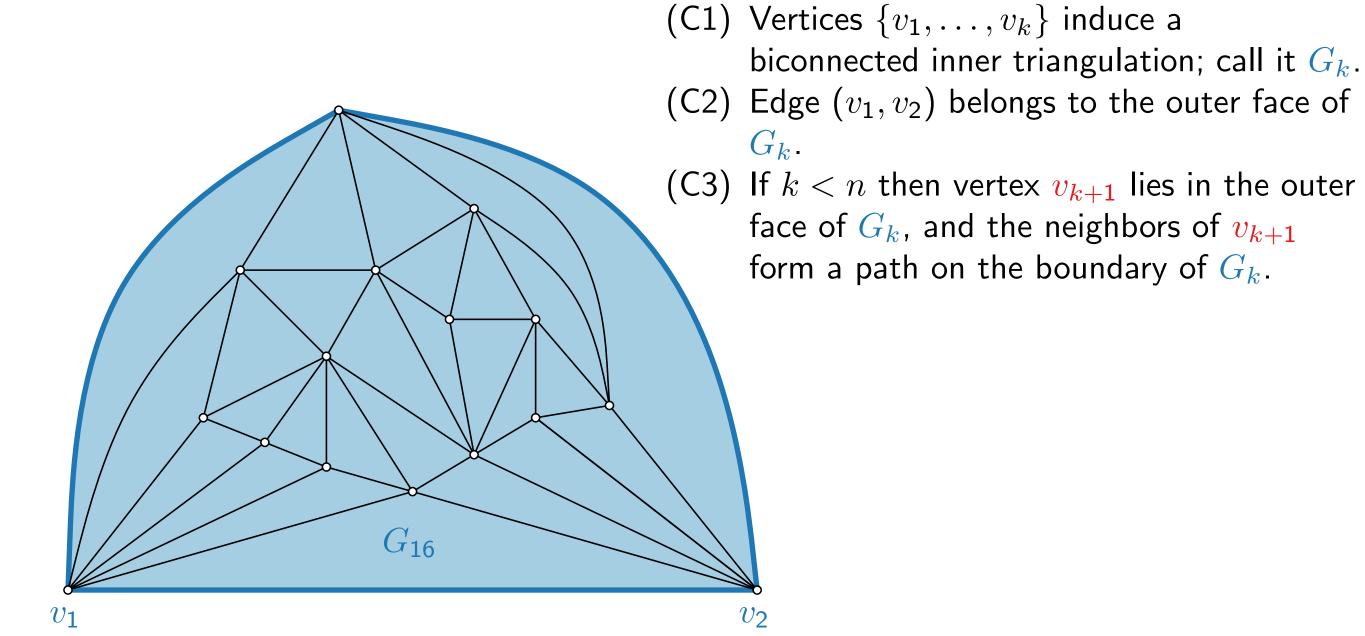


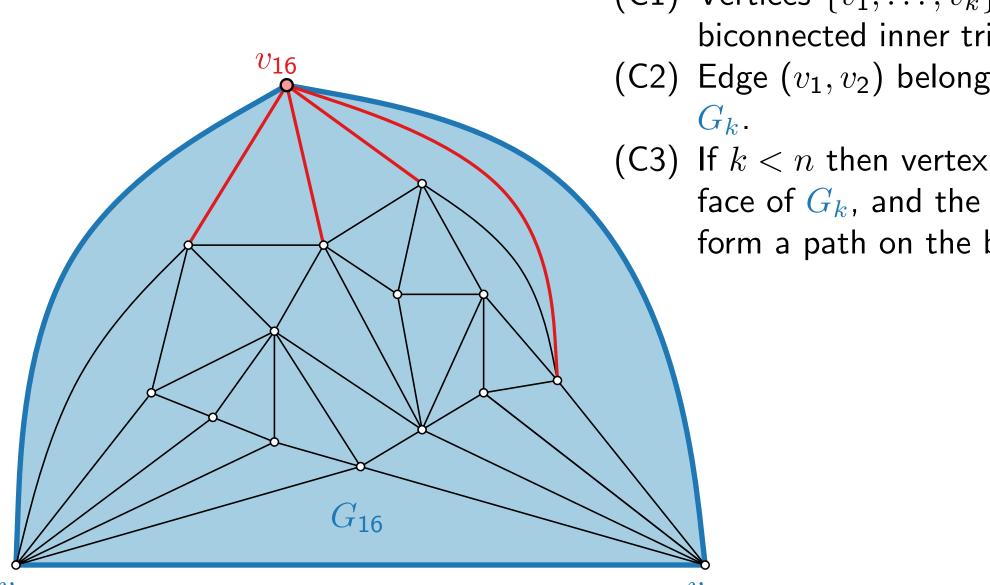


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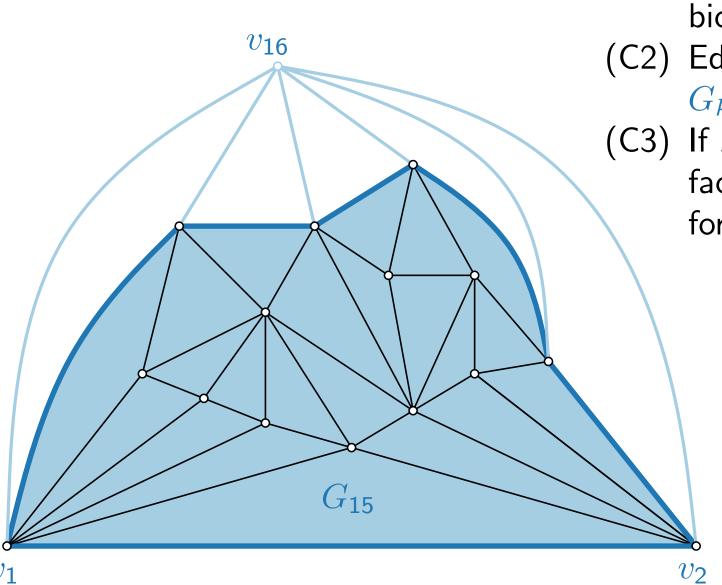
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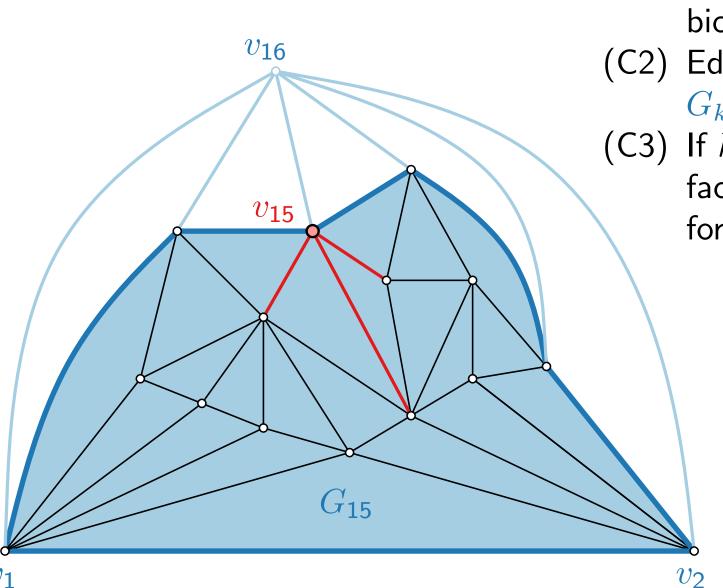




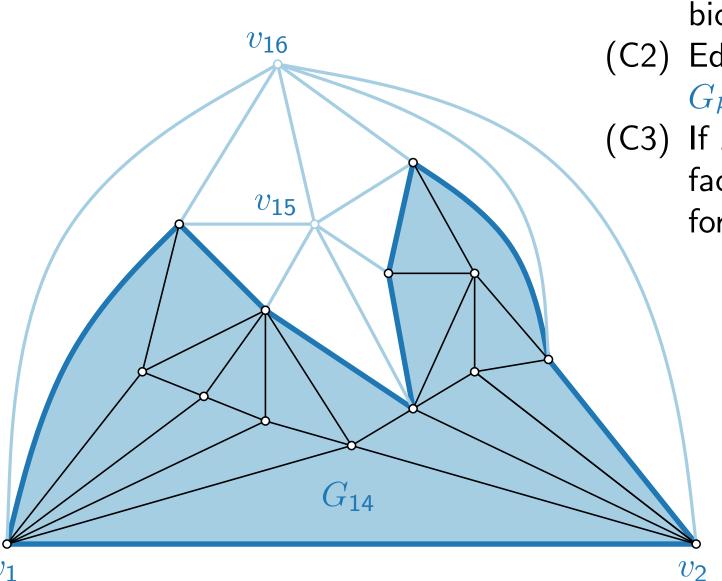
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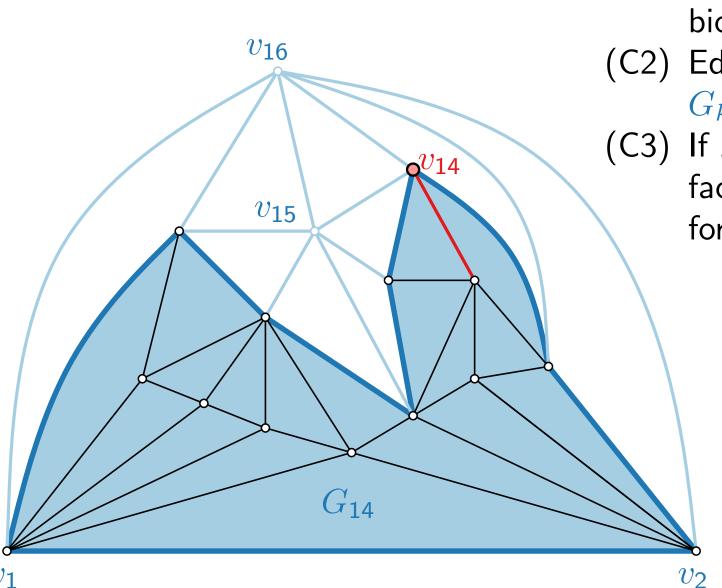
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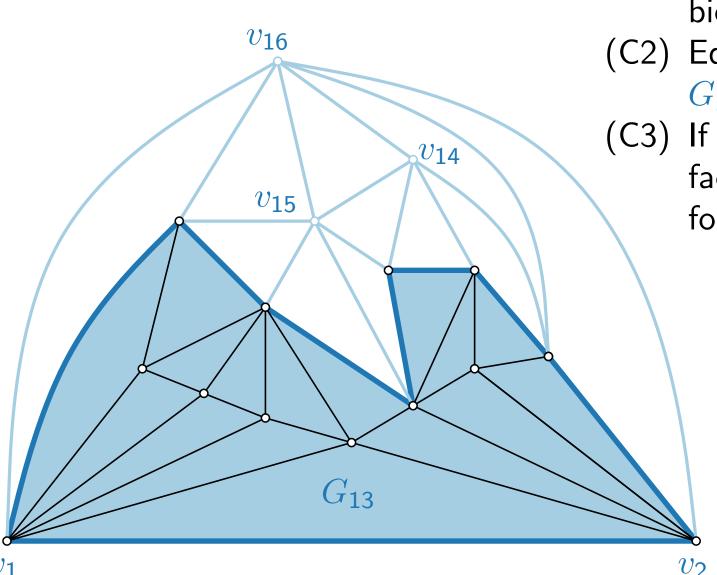
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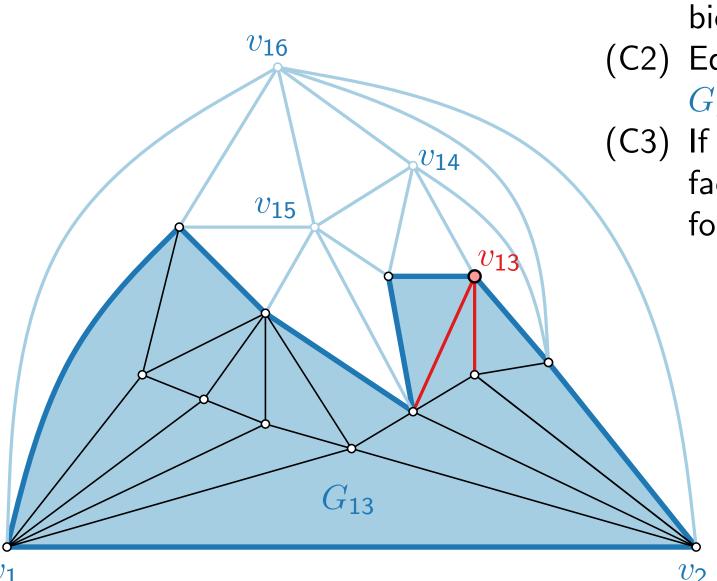
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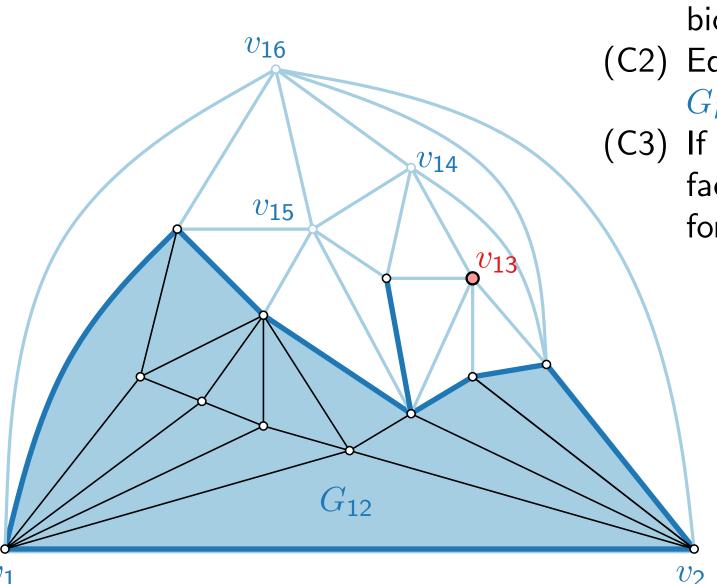
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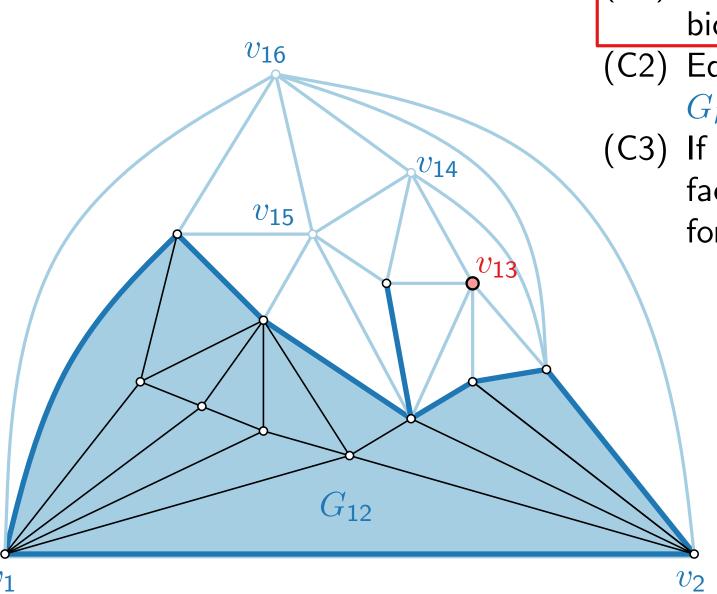
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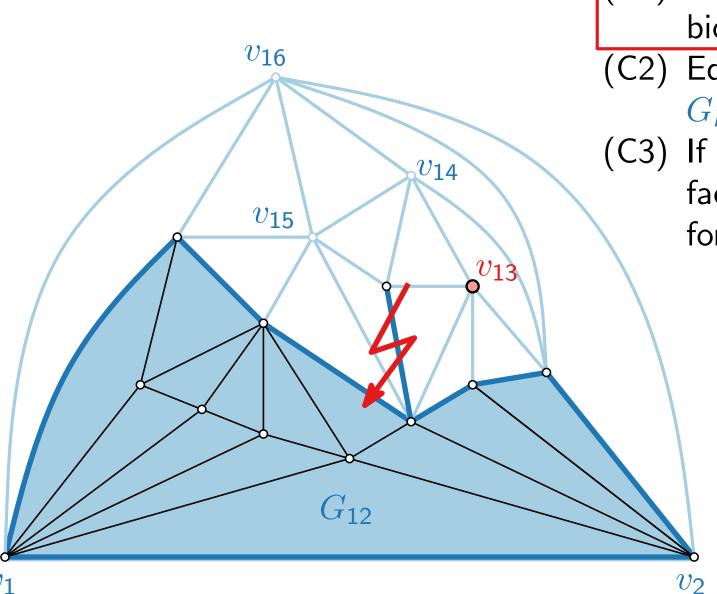
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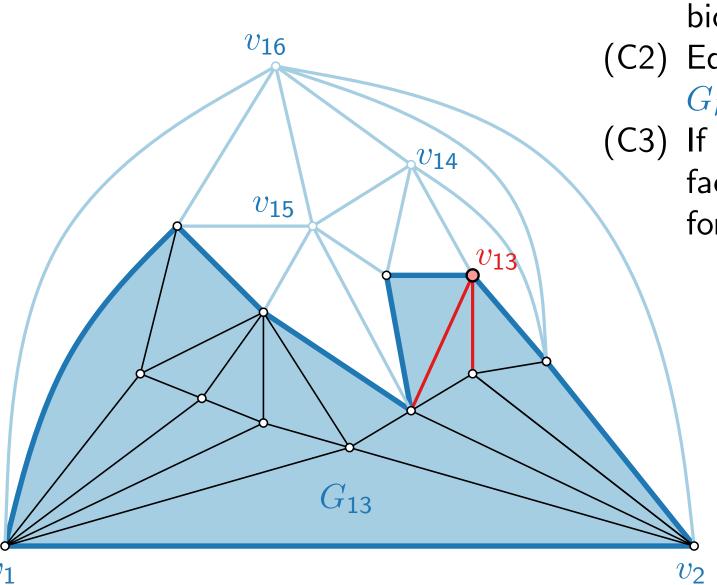
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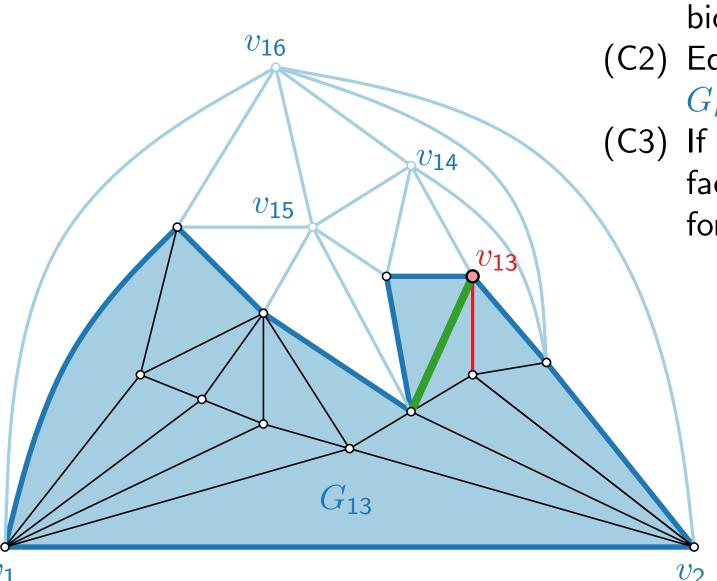
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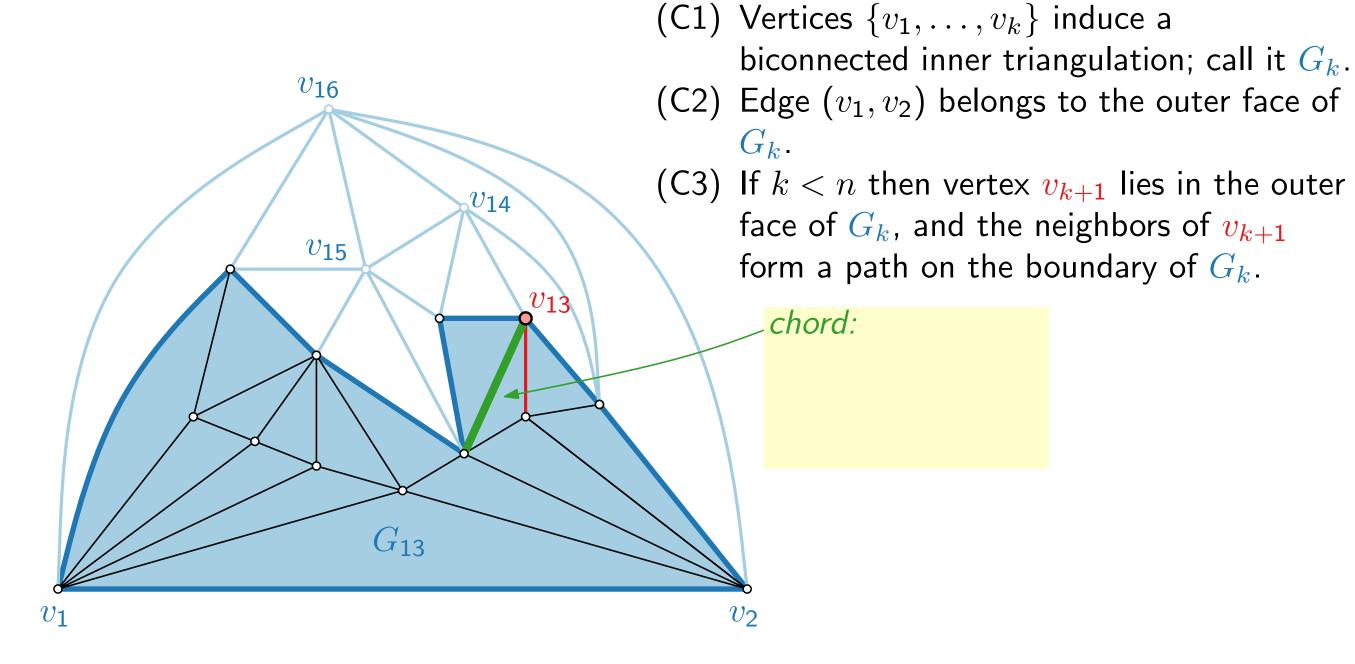
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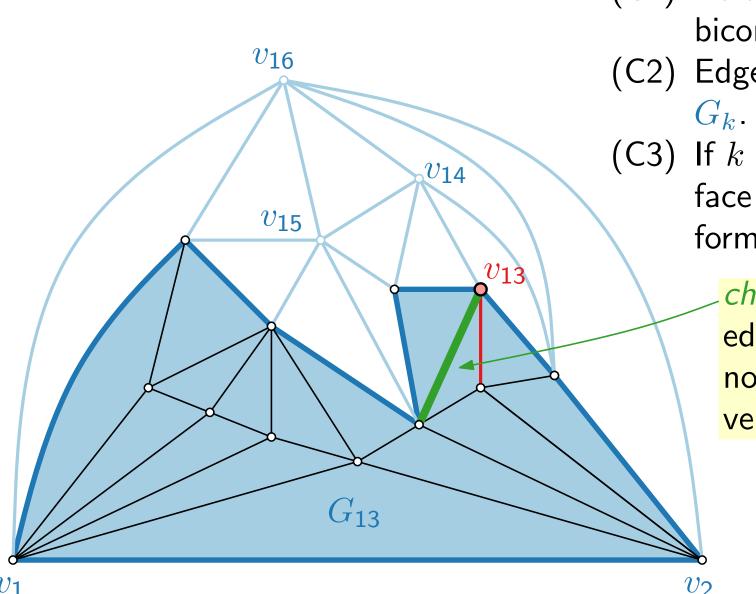


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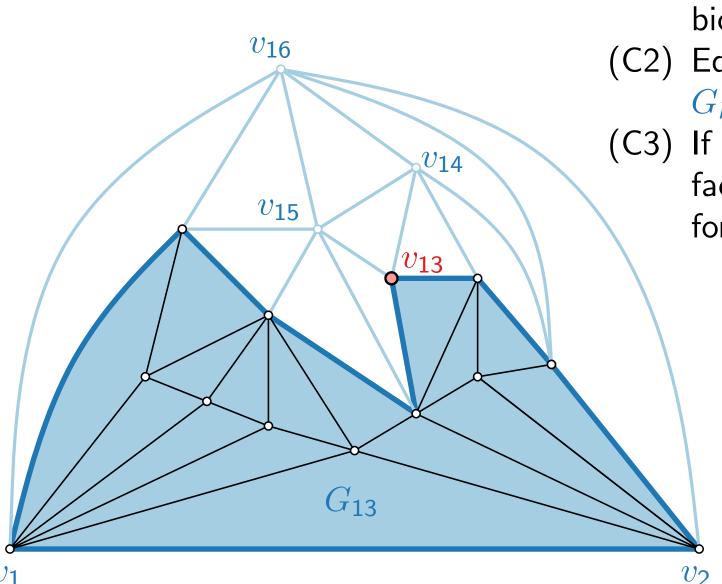




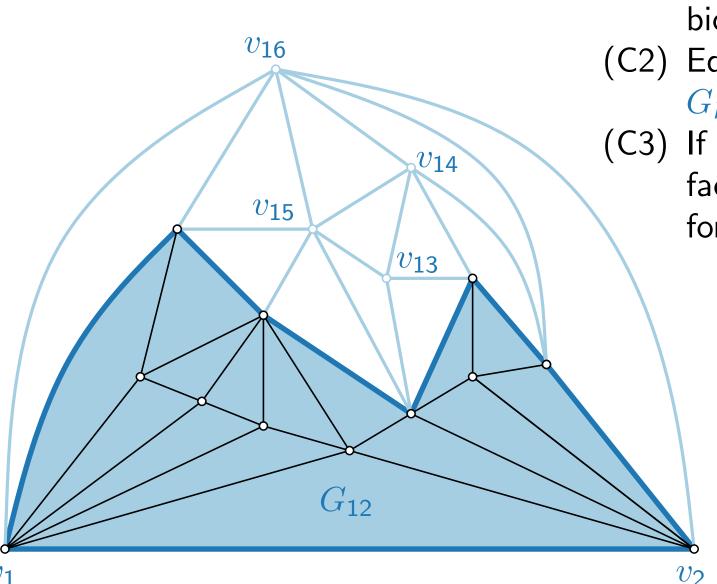
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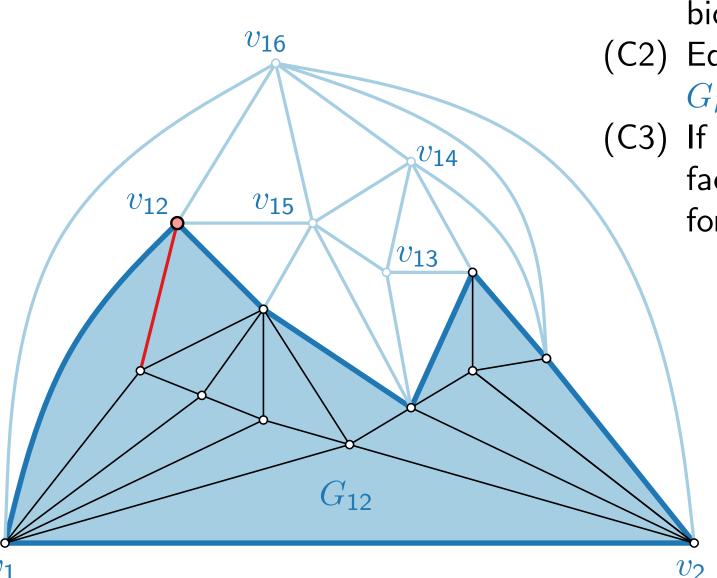
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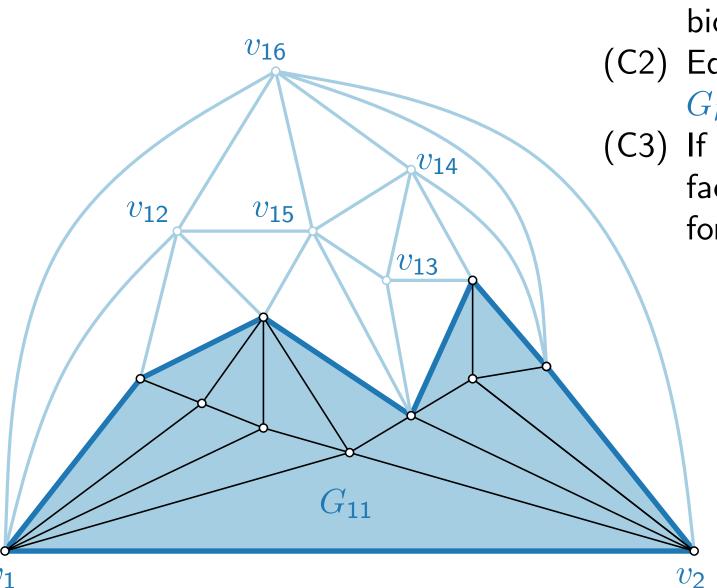
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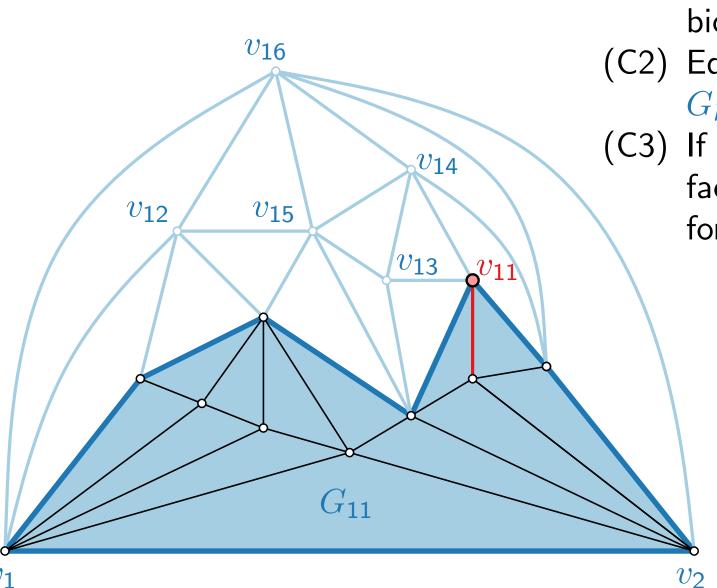
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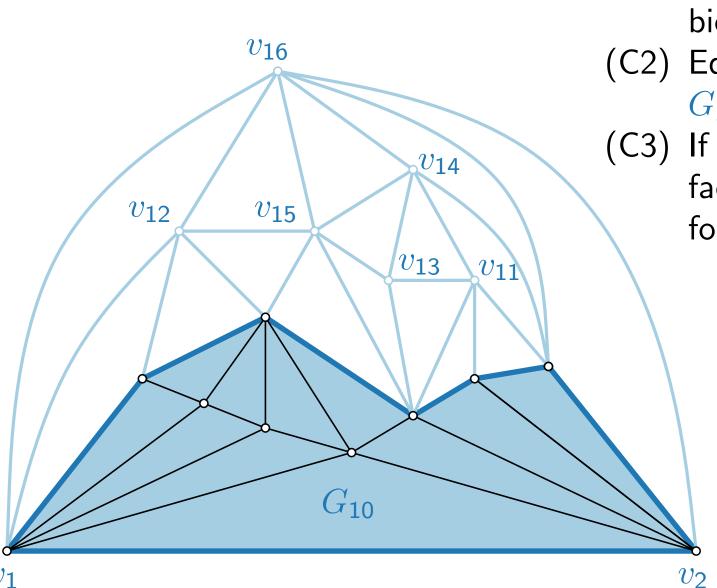
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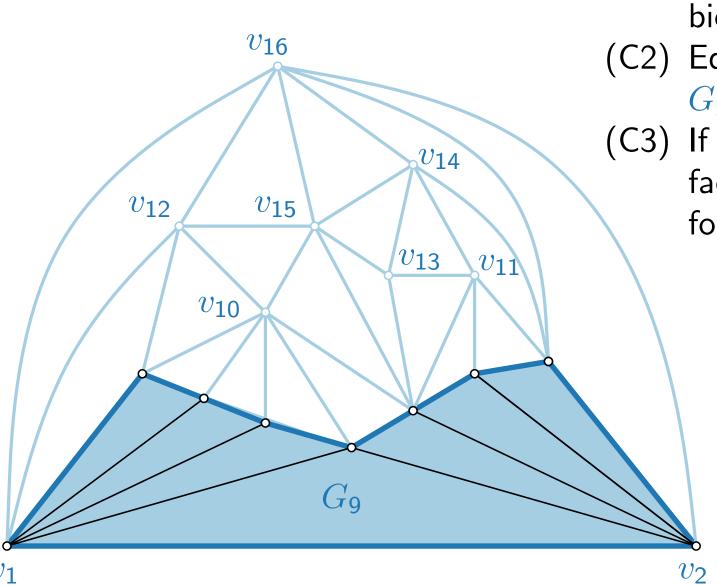
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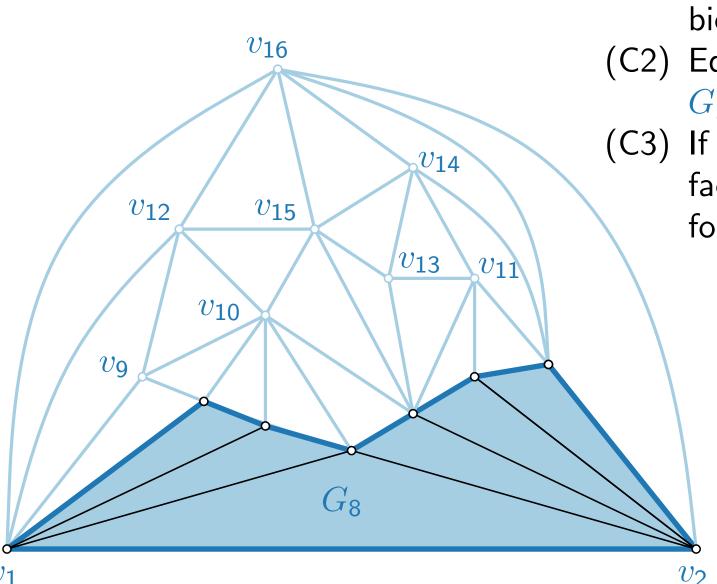
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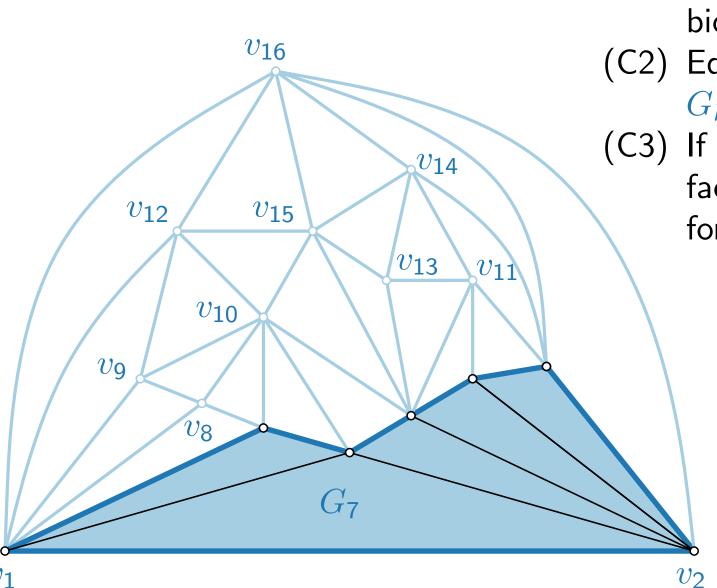
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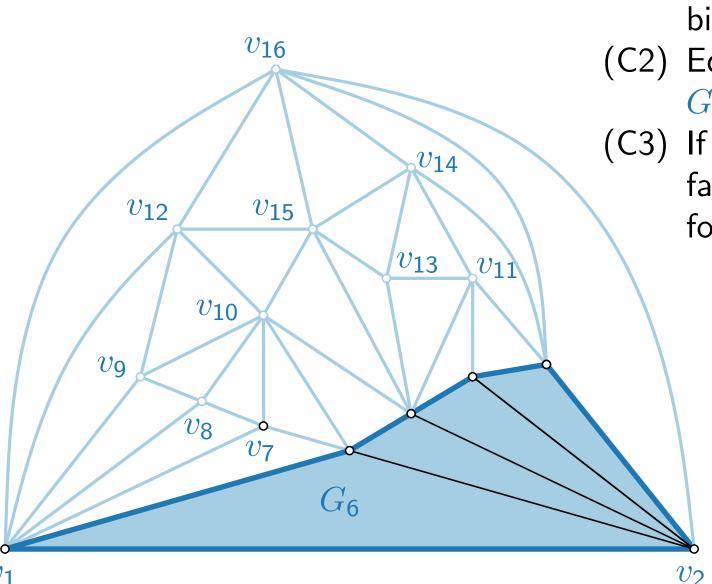
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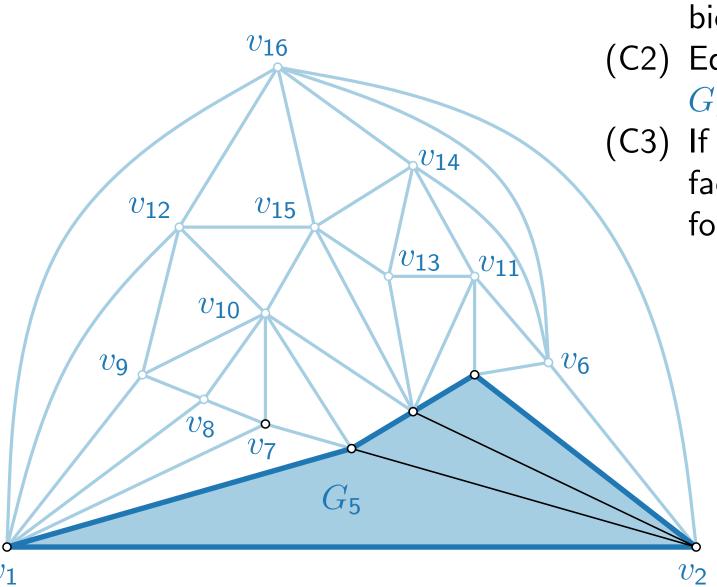
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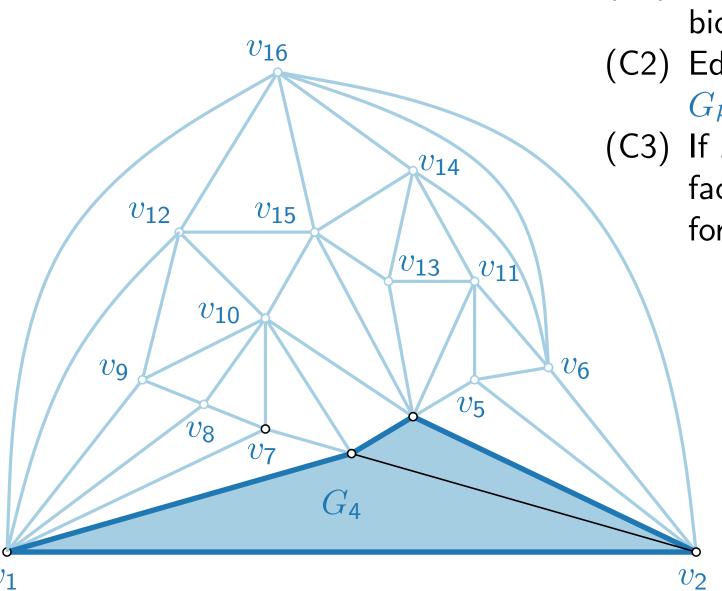
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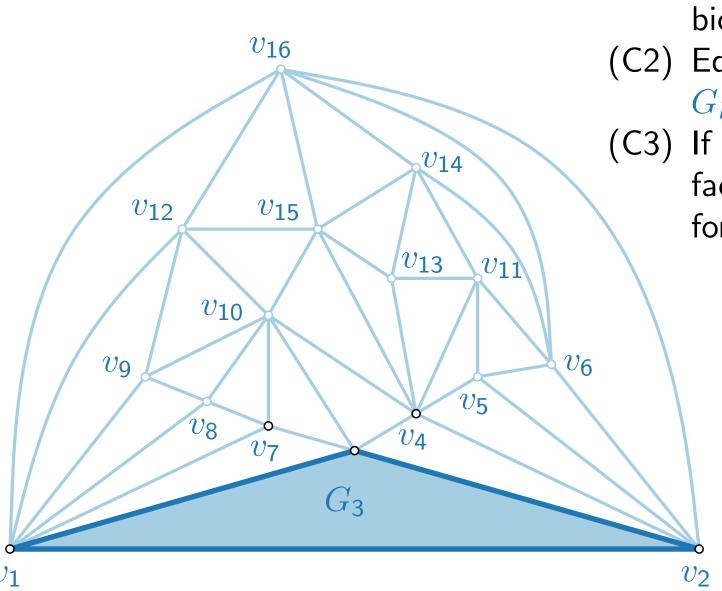
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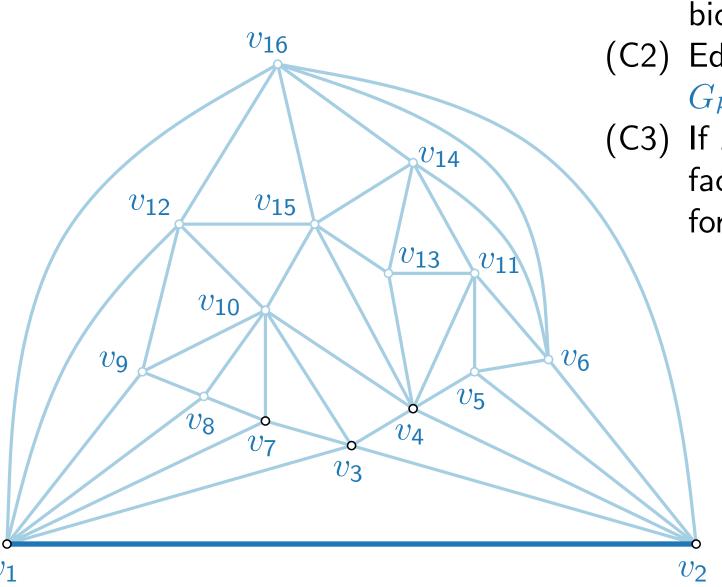
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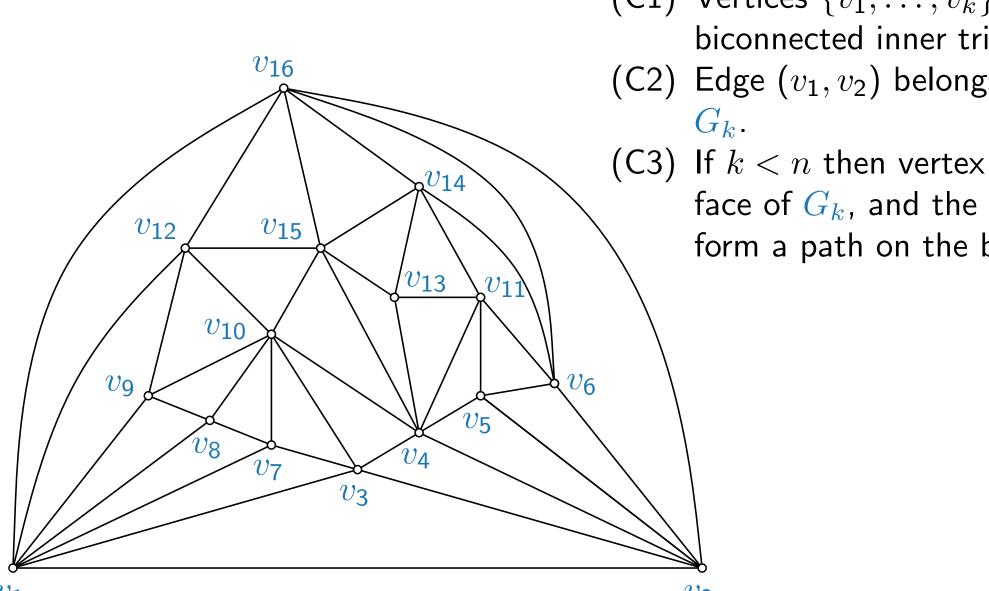
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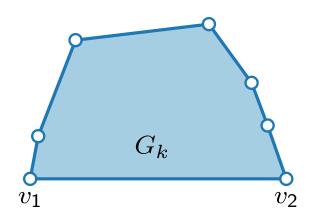
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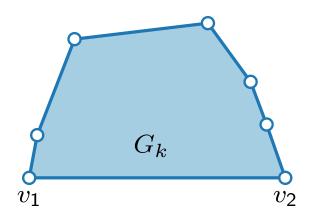
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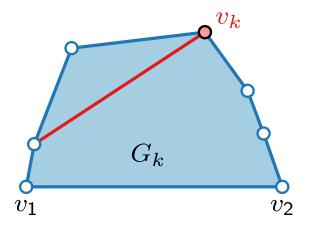
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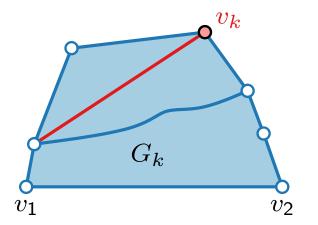
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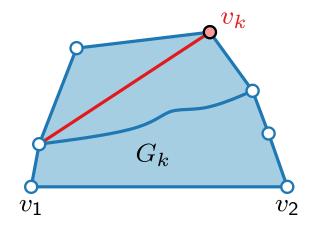
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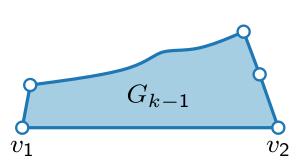
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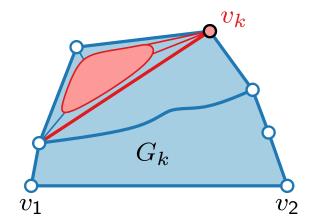
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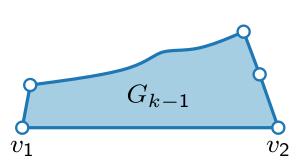
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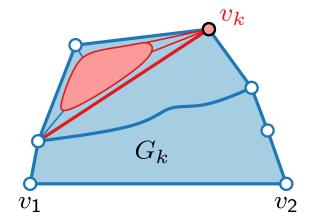
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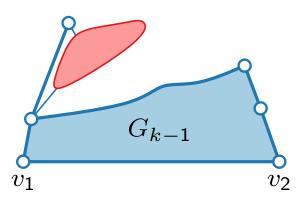
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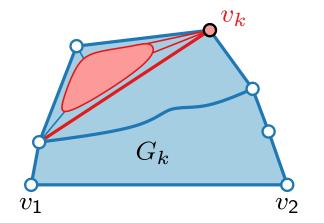
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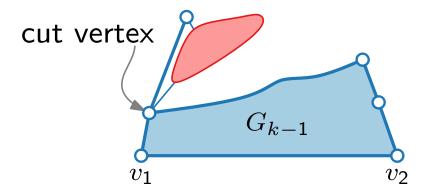
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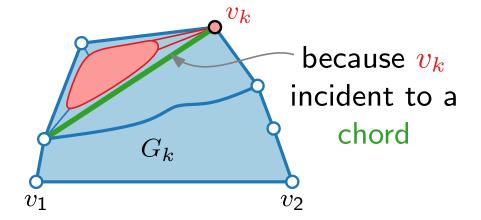
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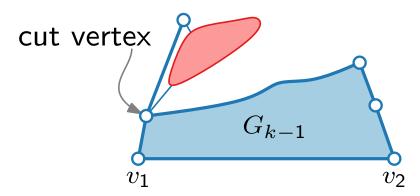
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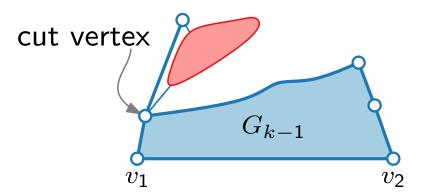
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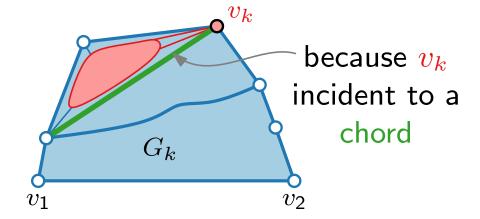
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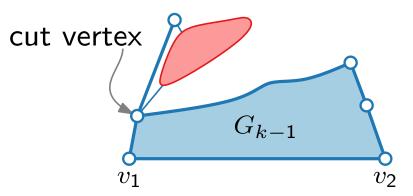
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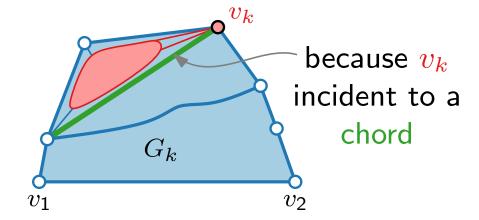
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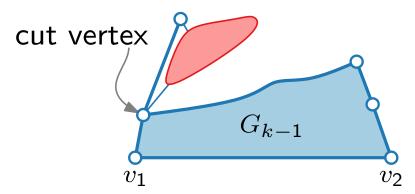
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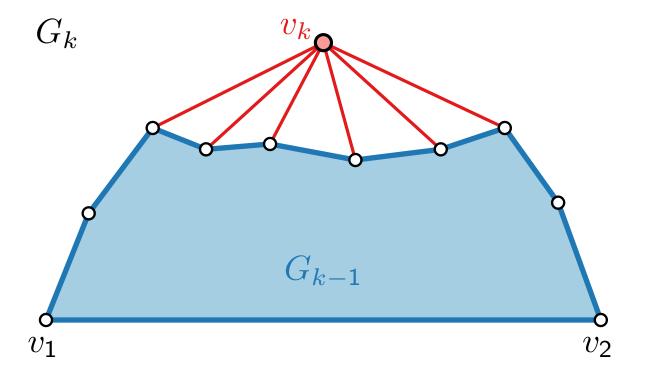


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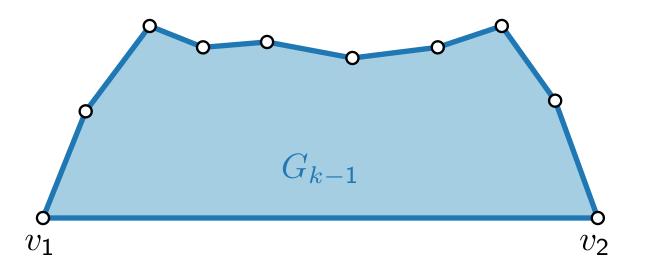
- 1.  $v_k$  not incident to chord is sufficient.
- 2. Such  $v_k$  exists.

### Claim 1.

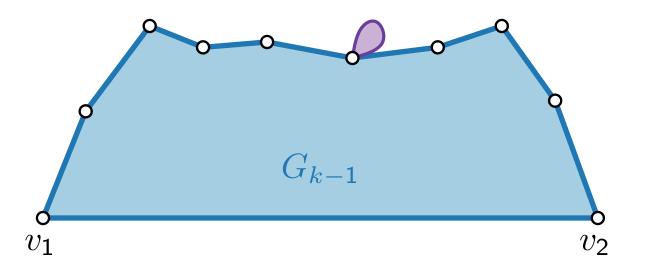
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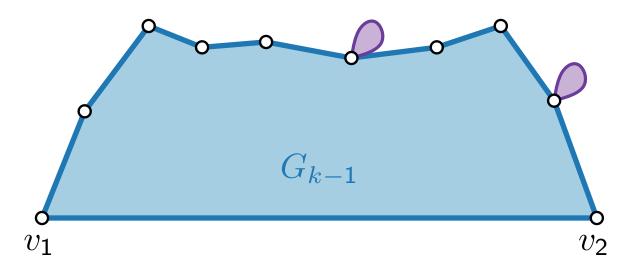
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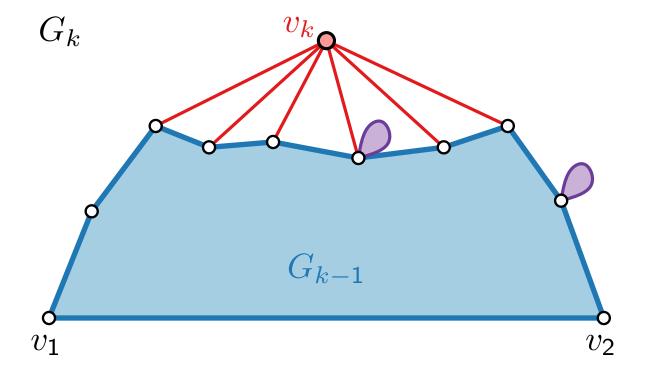
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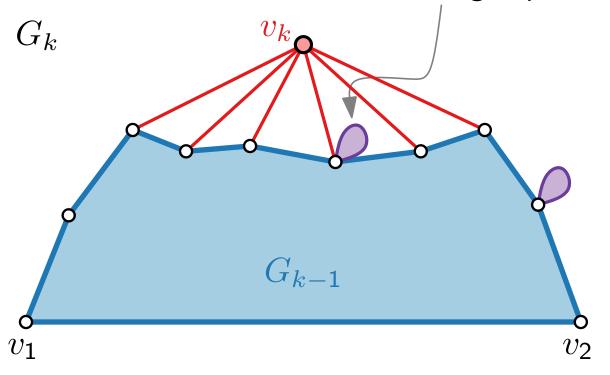
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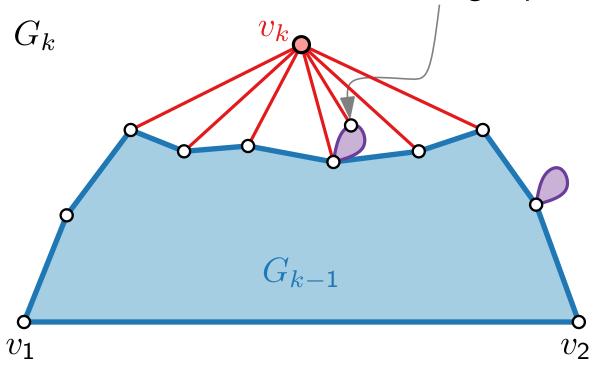
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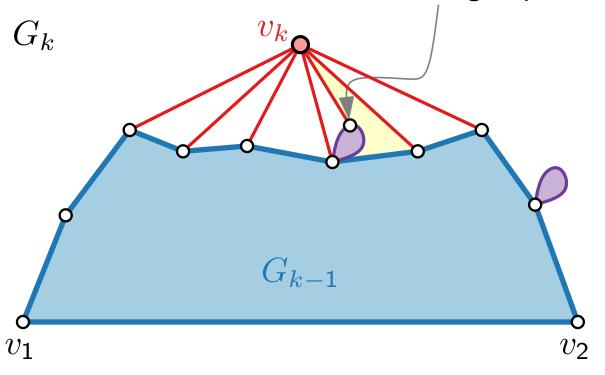
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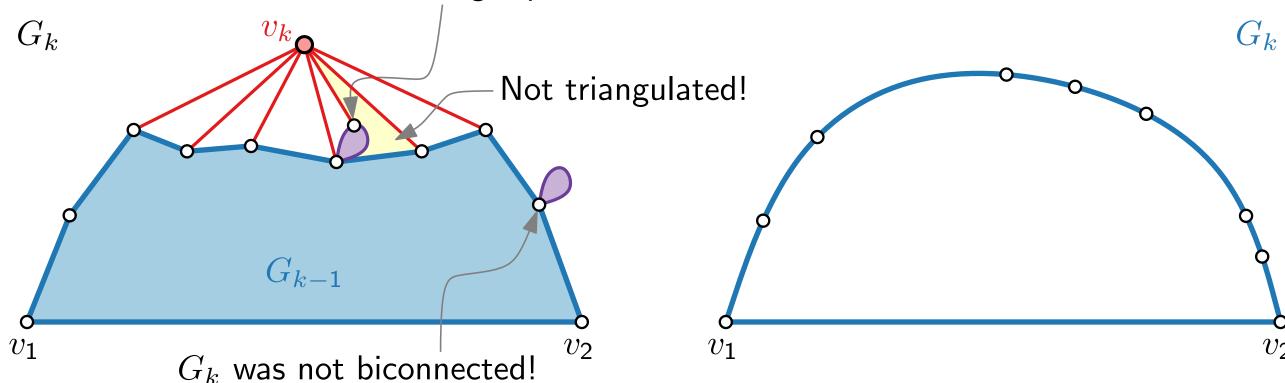
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There exists a vertex in  $G_k$  that is not incident to a chord as choice for  $v_k$ .

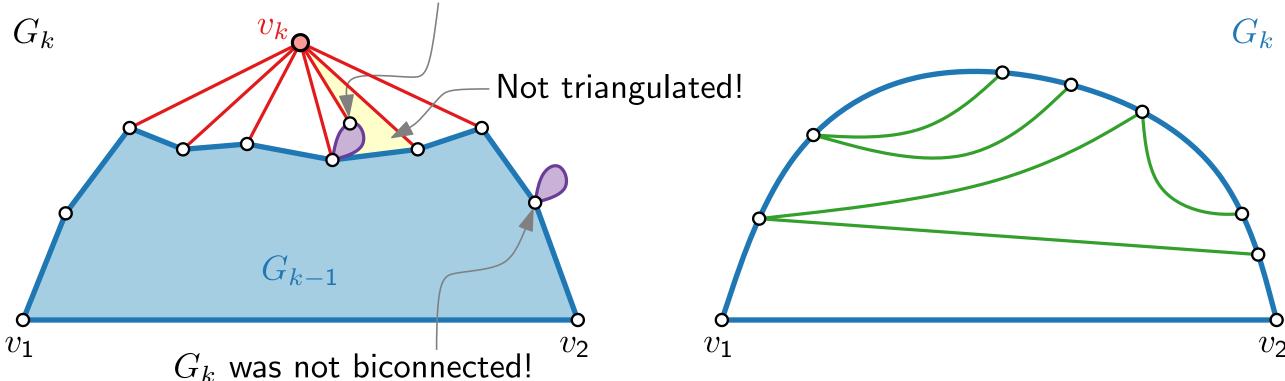


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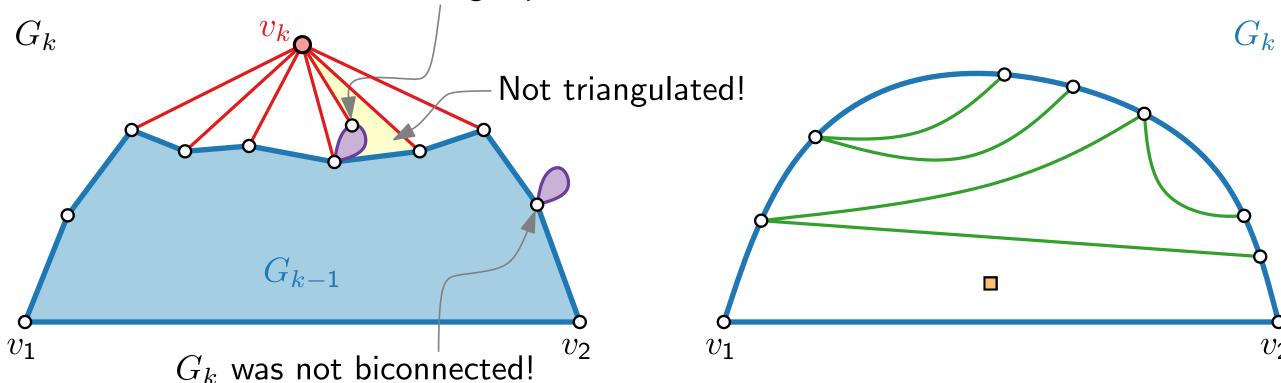


#### Claim 1.

If  $v_k$  is not incident to a chord, then  $G_{k-1}$  is biconnected.

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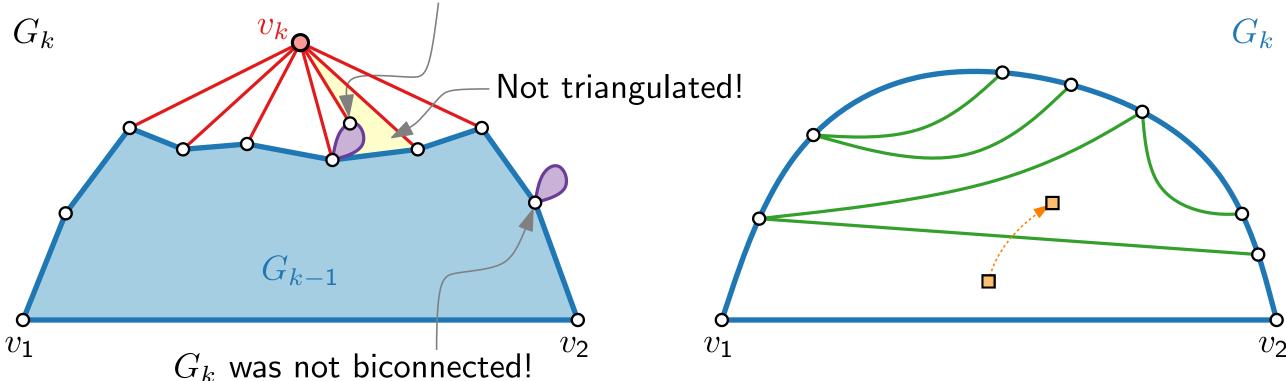


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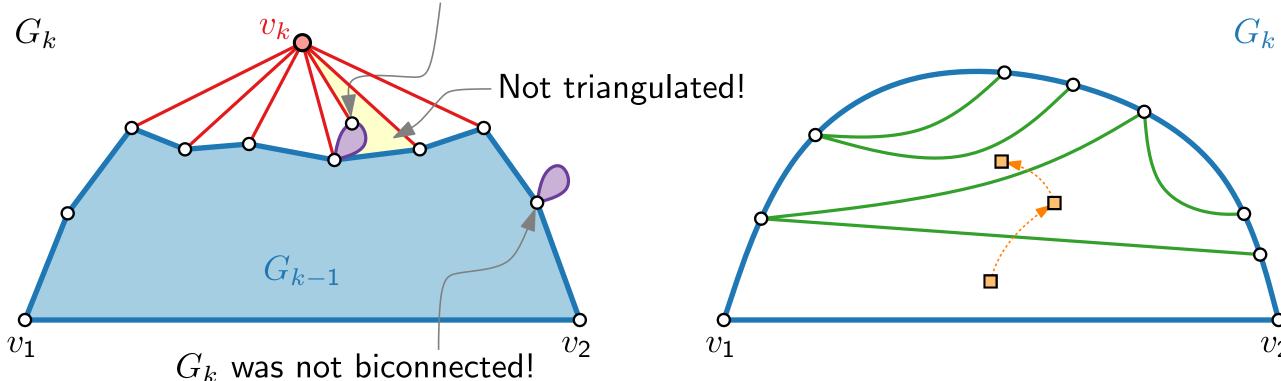


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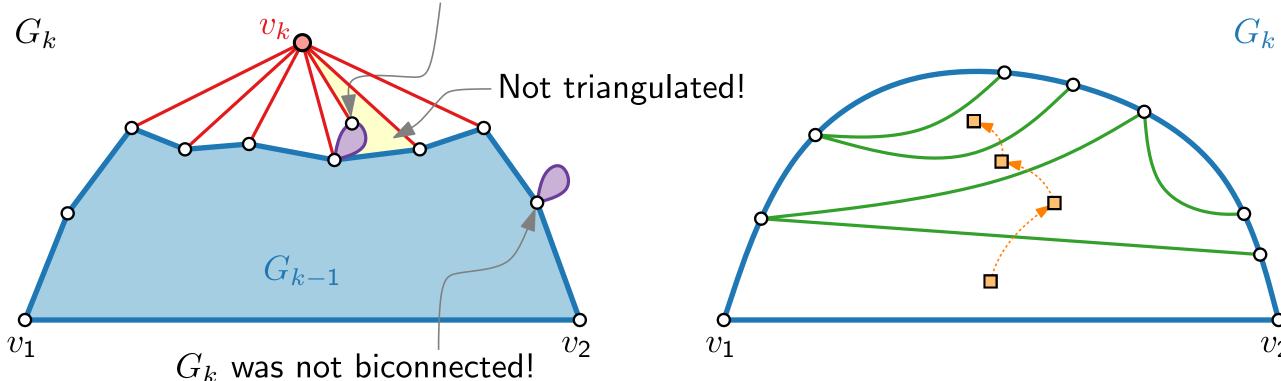


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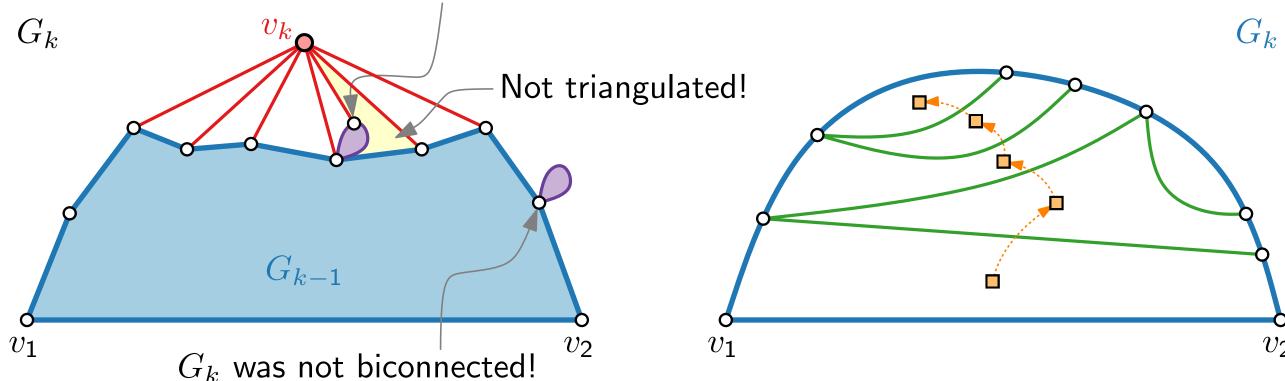


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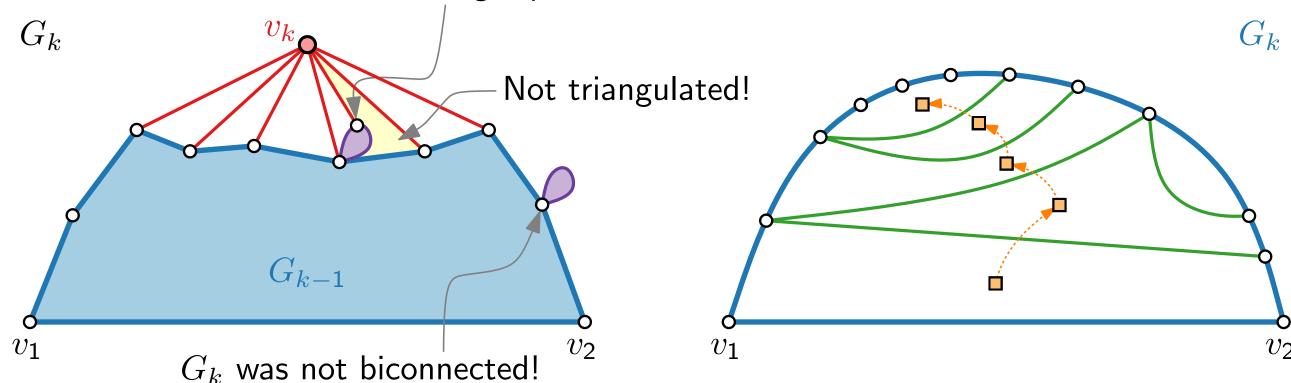


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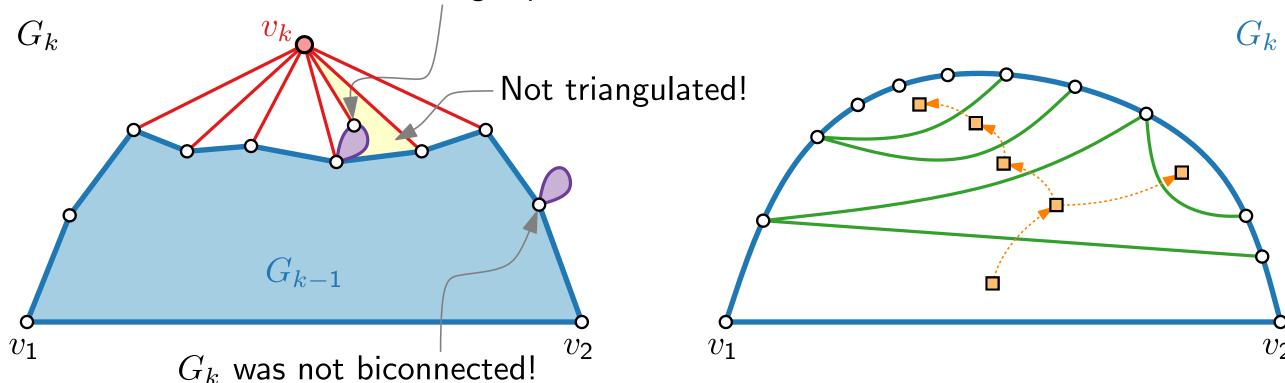


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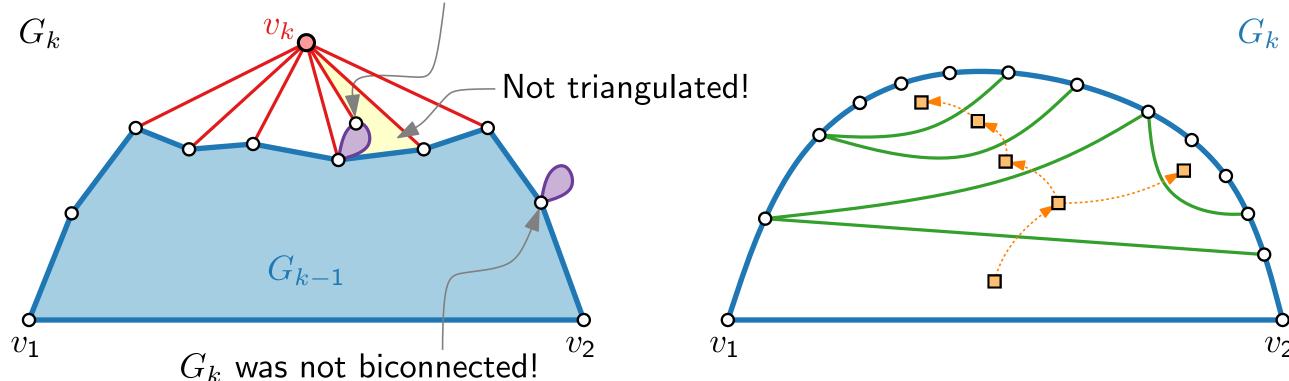


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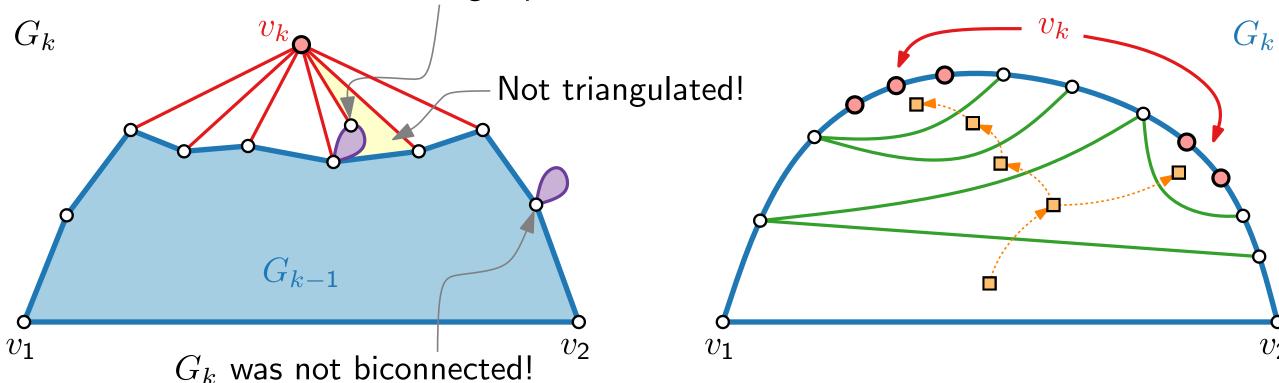


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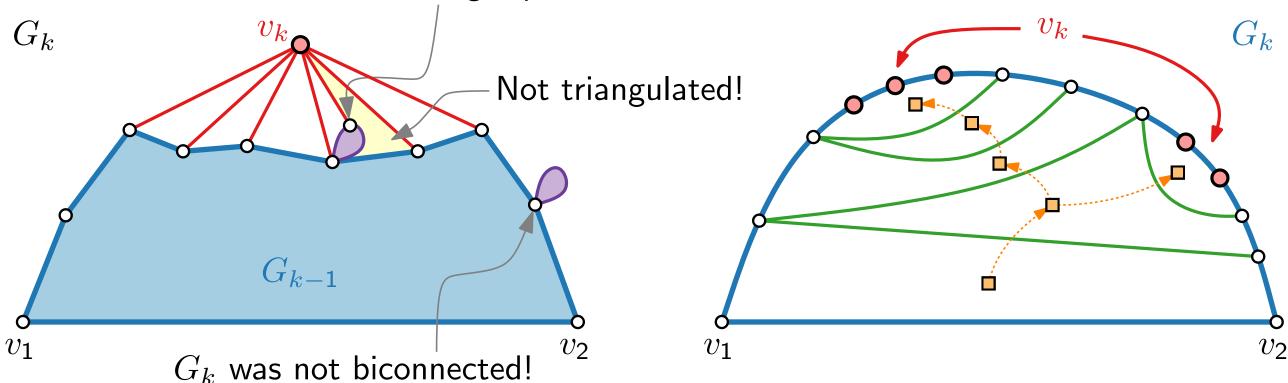
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There exists a vertex in  $G_k$  that is not incident to a chord as choice for  $v_k$ .

Contradiction to neighbors of  $v_k$  forming a path on  $\partial G_{k-1}$ !



This completes the proof of the lemma.  $\Box$ 

CanonicalOrder $(G, \langle v_1, v_2, v_n \rangle)$ 

outer face CanonicalOrder $(G, \langle v_1, v_2, v_n \rangle)$ 

```
outer face
CanonicalOrder(G, \langle v_1, v_2, v_n \rangle)
foreach v \in V(G) do
```

```
CanonicalOrder(G, \langle v_1, v_2, v_n \rangle)
foreach v \in V(G) do
  | \operatorname{chords}(v) \leftarrow 0;
```

outer face

 $\begin{array}{c} \bullet \\ \text{chord}(v) = \\ \text{$\#$ chords incident to } v \end{array}$ 

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- out(v) = true iff v on boundary of current outer face
- $extbf{mark}(v) = ext{true iff } v ext{ has}$  received a number > k

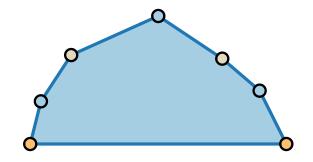
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\operatorname{out}(v_1), \operatorname{out}(v_2), \operatorname{out}(v_n) \leftarrow \operatorname{true}
for k = n downto 3 do
      choose v \in V(G) \setminus \{v_1, v_2\} such that mark(v) = false,
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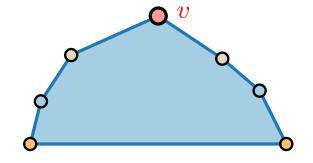
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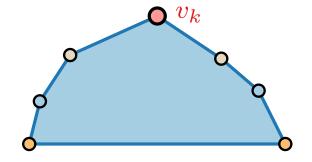


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- $\blacksquare$  chord(v)= # chords incident to v
- out(v) = true iff v on boundary of current outer face
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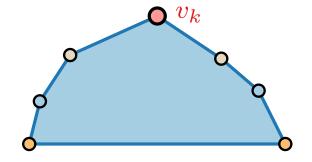


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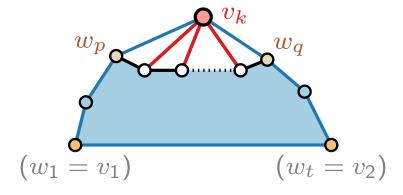
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     v_k \leftarrow v; mark(v_k) \leftarrow true; out(v_k) \leftarrow false
     let w_p, \ldots, w_q be the ordered unmarked neighbors of v_k
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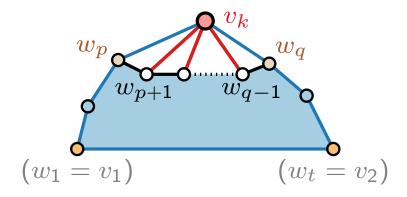
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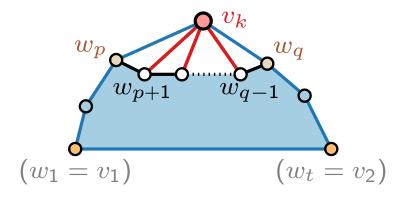
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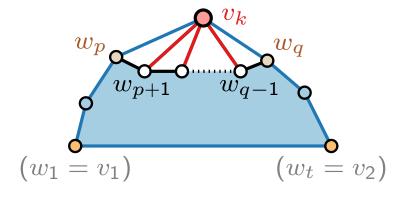
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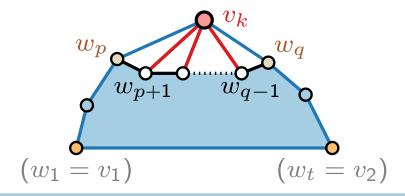
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outer face

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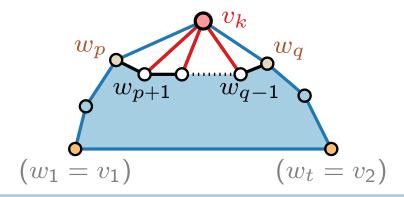


#### Lemma.

outer face

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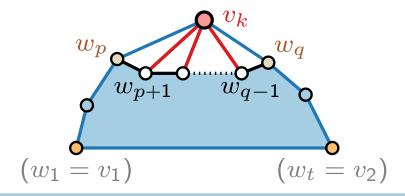


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     v_k \leftarrow v; mark(v_k) \leftarrow true; out(v_k) \leftarrow false
     let w_p, \ldots, w_q be the ordered unmarked neighbors of v_k
     for i = p + 1 to q - 1 do //O(n) time in total
          \operatorname{out}(w_i) \leftarrow \operatorname{true}
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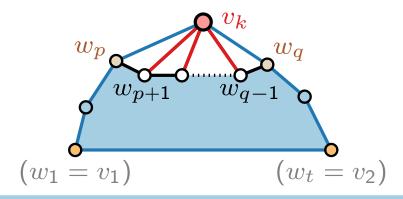


#### Lemma.

outer face

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     v_k \leftarrow v; mark(v_k) \leftarrow true; out(v_k) \leftarrow false
     let w_p, \ldots, w_q be the ordered unmarked neighbors of v_k
     for i = p + 1 to q - 1 do //O(n) time in total
          \operatorname{out}(w_i) \leftarrow \operatorname{true} \hspace{1cm} // O(m) = O(n) \text{ in total}
          foreach u \in Adj[w_i] \setminus \{w_{i-1}, w_{i+1}\}\ do
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     if p+1=q then chords(w_p)--, chords(w_q)--
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#### Lemma.

### **Drawing invariants:**

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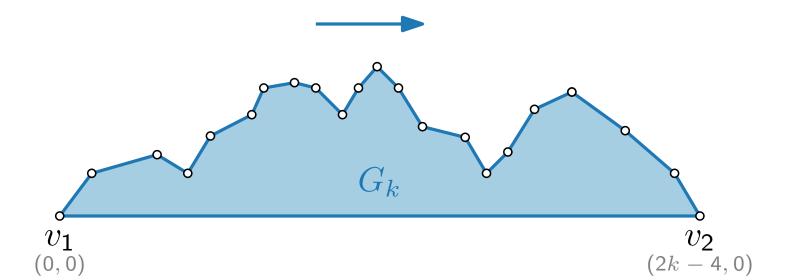
 $G_k$  is drawn such that

 $v_1$  is at (0,0),  $v_2$  is at (2k-4,0),

$$G_k$$
 $v_1$ 
 $v_2$ 
 $v_2$ 
 $v_3$ 
 $v_4$ 
 $v_5$ 
 $v_6$ 
 $v_7$ 
 $v_8$ 
 $v_9$ 
 $v_9$ 

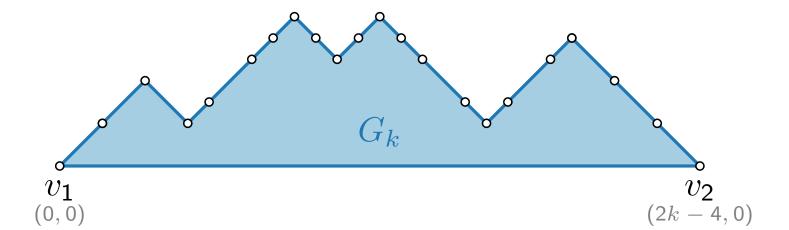
#### **Drawing invariants:**

- $v_1$  is at (0,0),  $v_2$  is at (2k-4,0),
- boundary of  $G_k$  (minus edge  $\{v_1, v_2\}$ ) is drawn x-monotone,



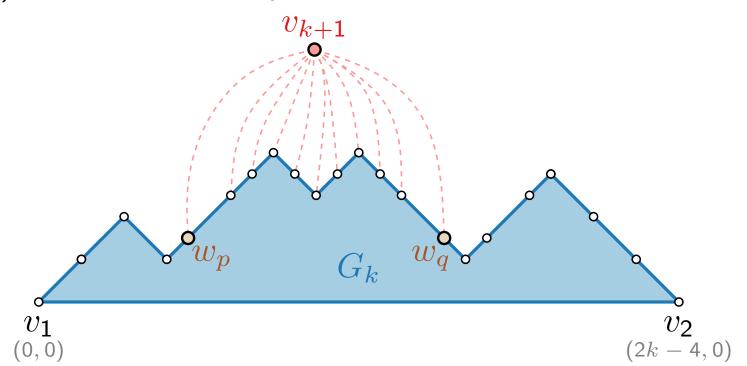
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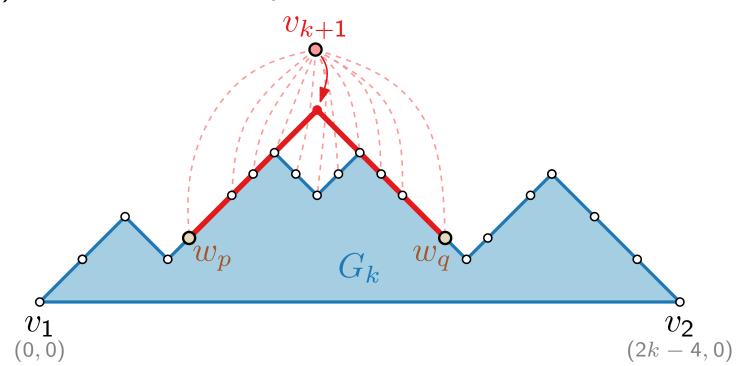
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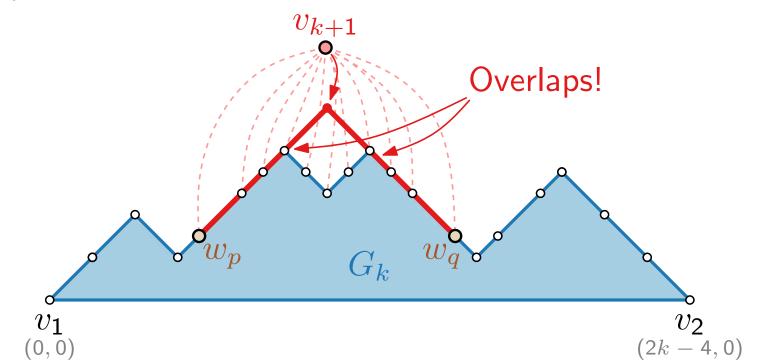
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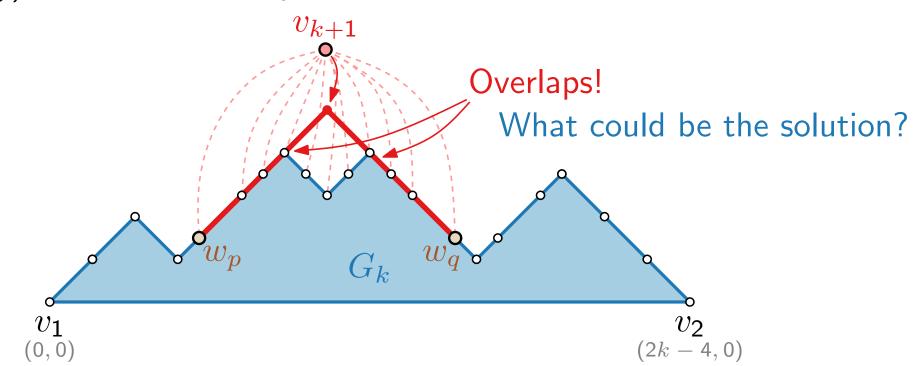
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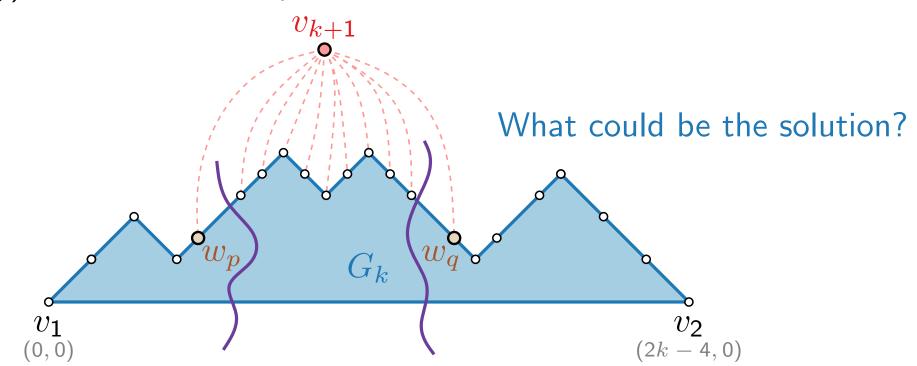
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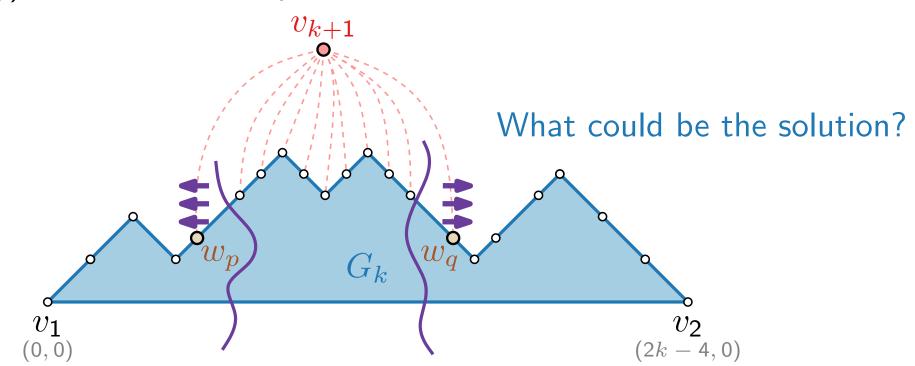
#### **Drawing invariants:**

- $v_1$  is at (0,0),  $v_2$  is at (2k-4,0),
- boundary of  $G_k$  (minus edge  $\{v_1, v_2\}$ ) is drawn x-monotone,
- each edge on the boundary of  $G_k$  (except  $\{v_1, v_2\}$ ) is drawn with slopes  $\pm 1$ .



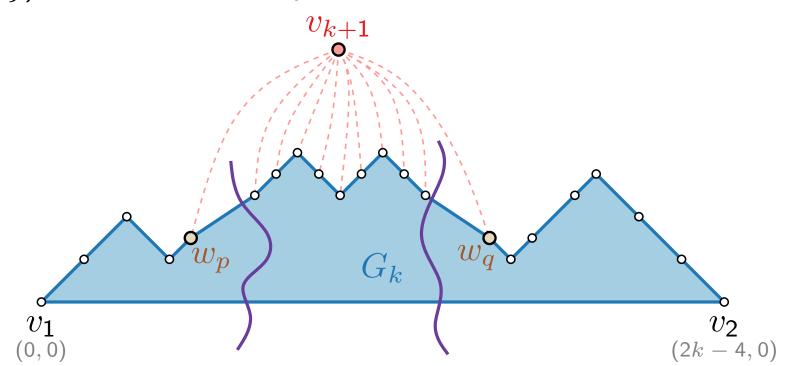
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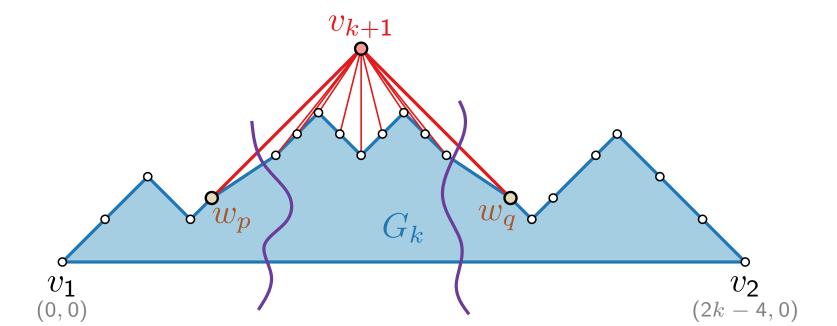
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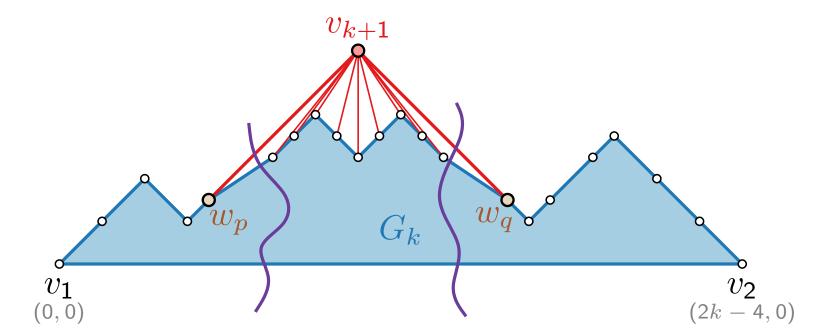
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#### **Drawing invariants:**

 $G_k$  is drawn such that

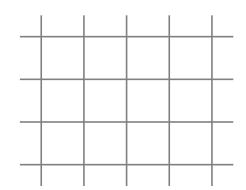
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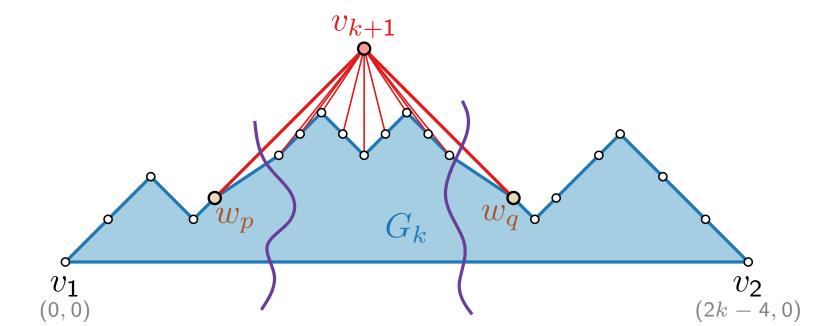


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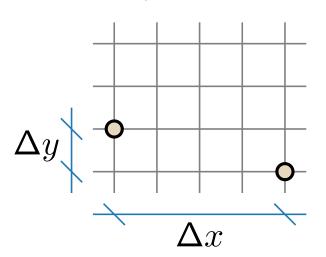


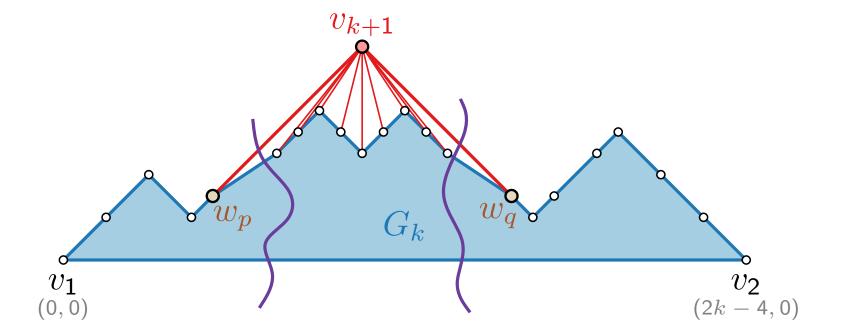


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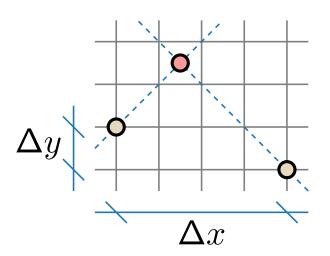


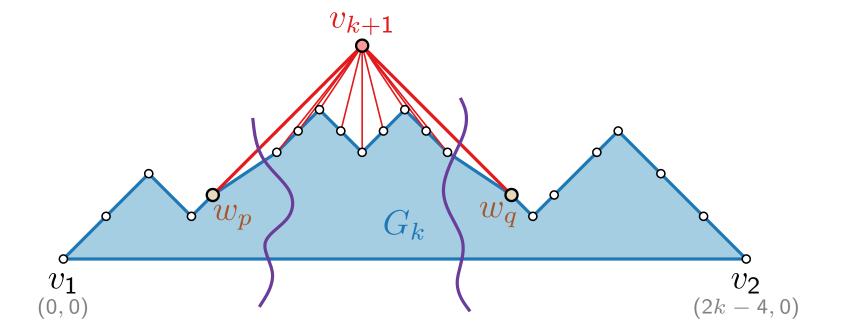


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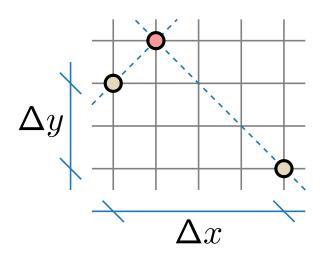


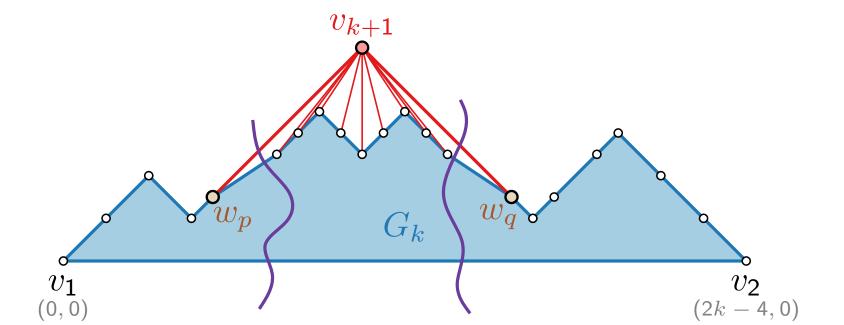


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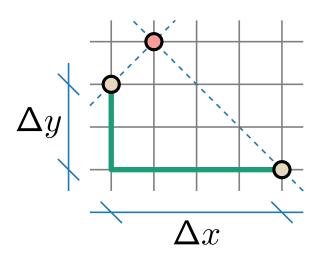


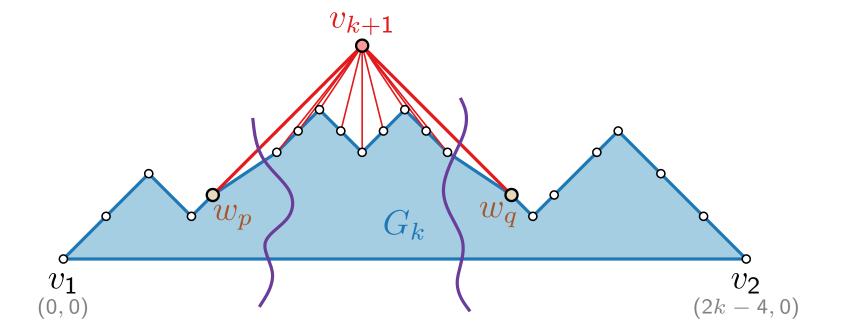


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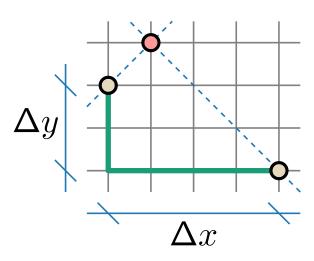


#### **Drawing invariants:**

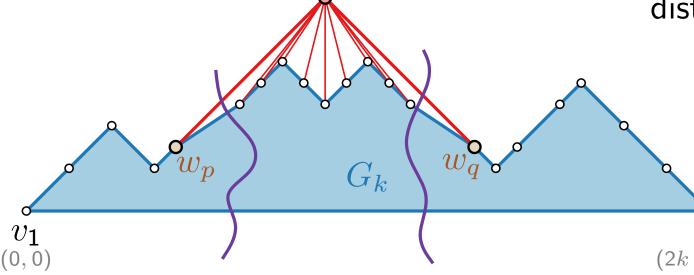
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#### Will $v_{k+1}$ lie on the grid?



Yes, because  $w_p$  and  $w_q$  have even Manhattan distance  $\Delta x + \Delta y$ .



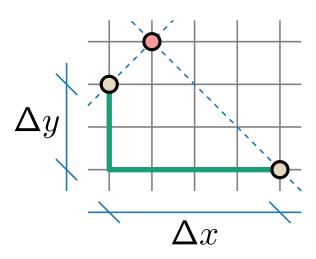
 $v_{k+1}$ 

#### **Drawing invariants:**

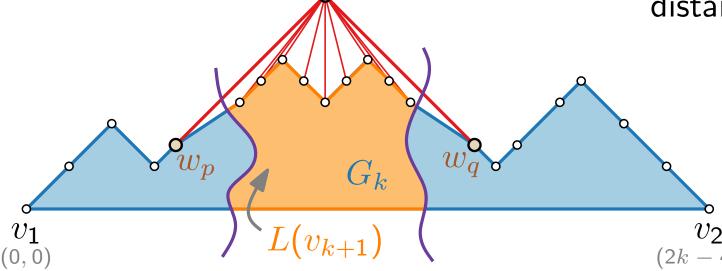
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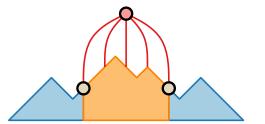
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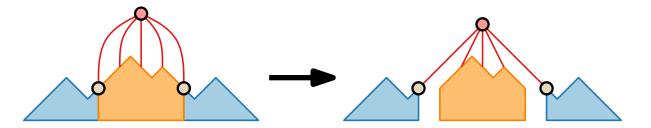


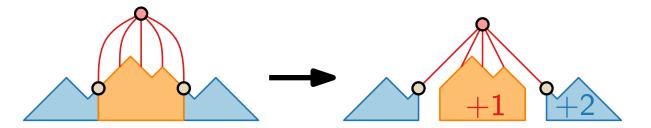
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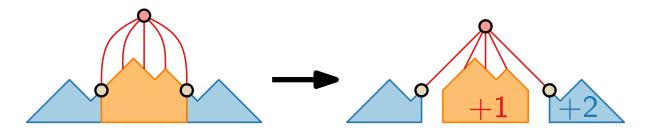


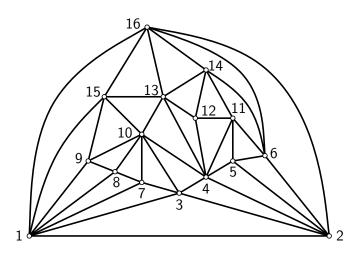
 $v_{k+1}$ 

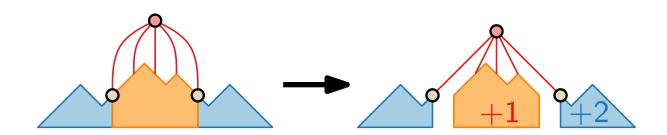


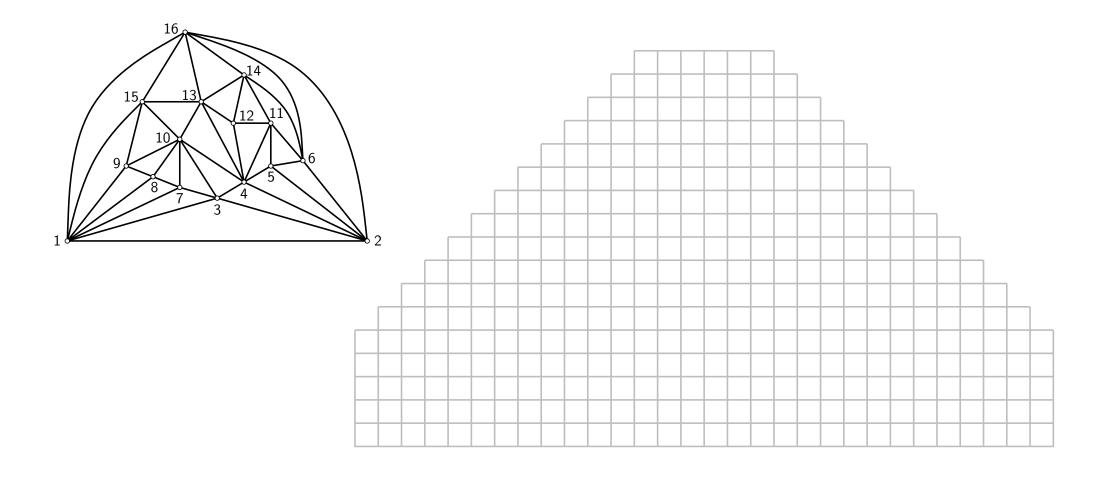


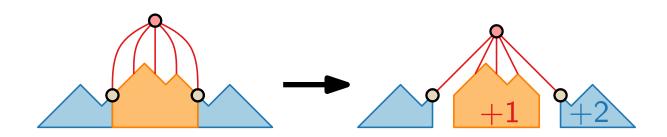


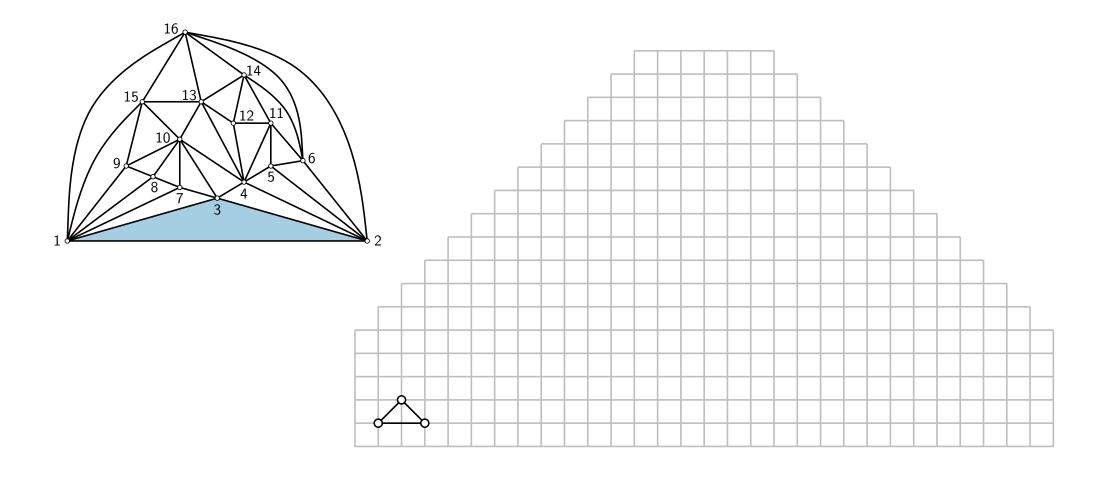


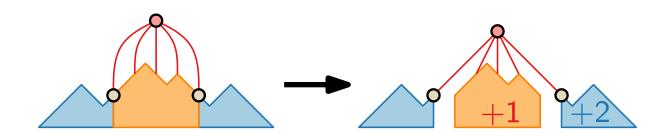


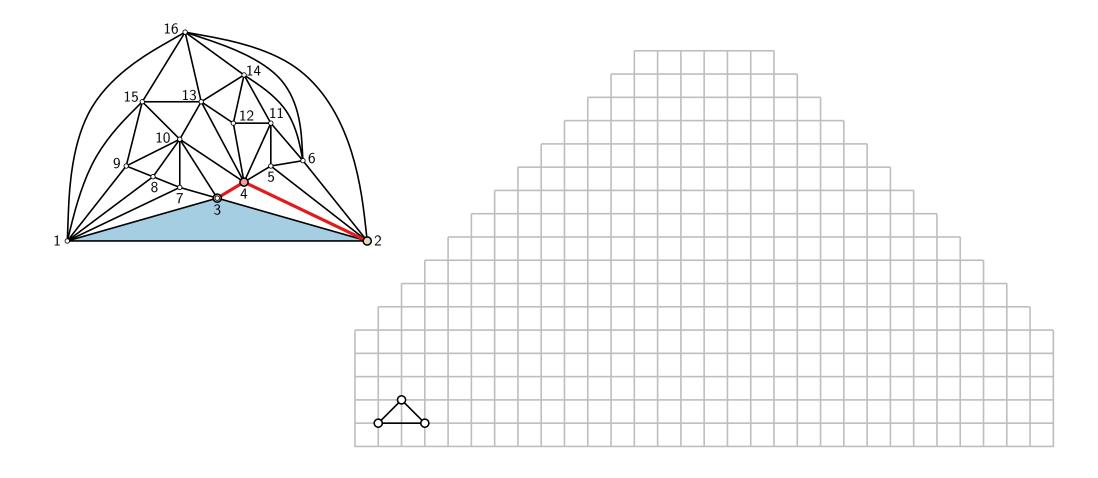


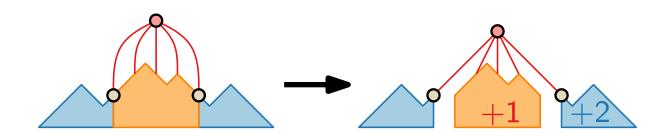


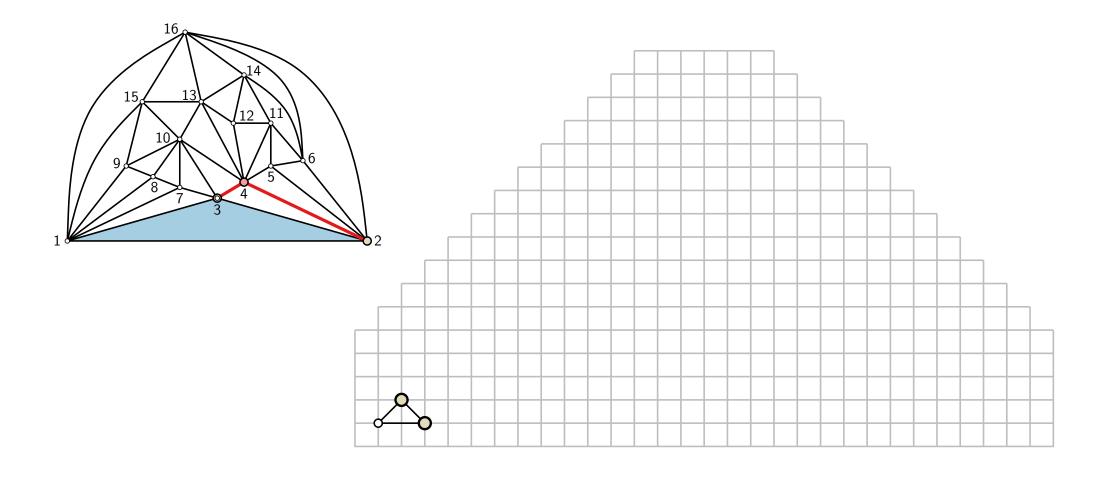


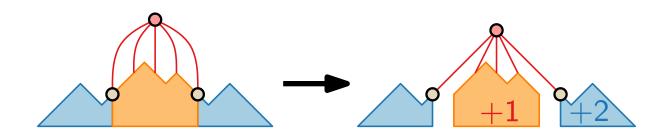


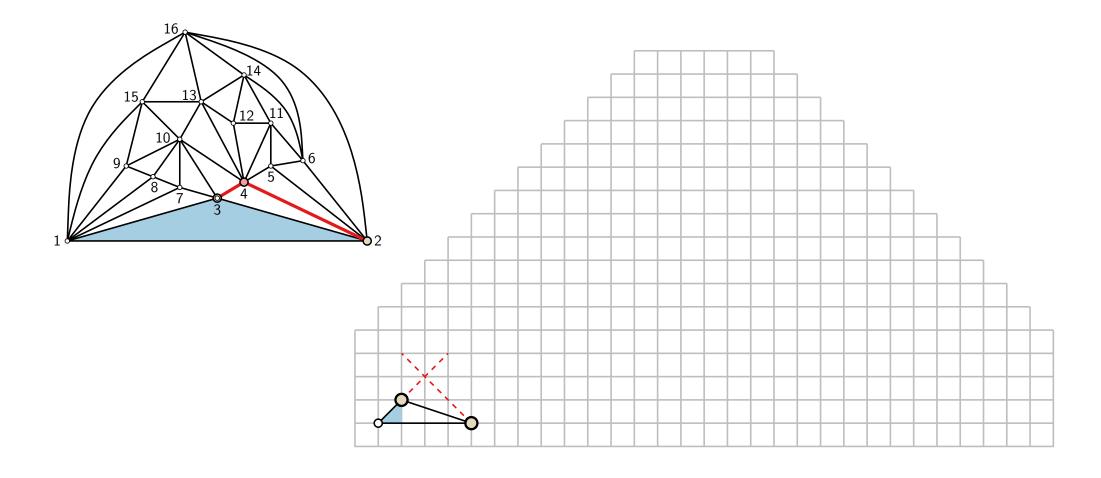


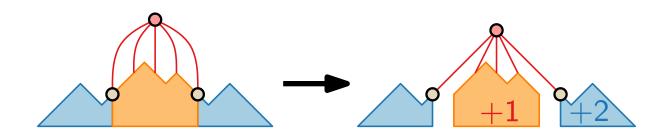


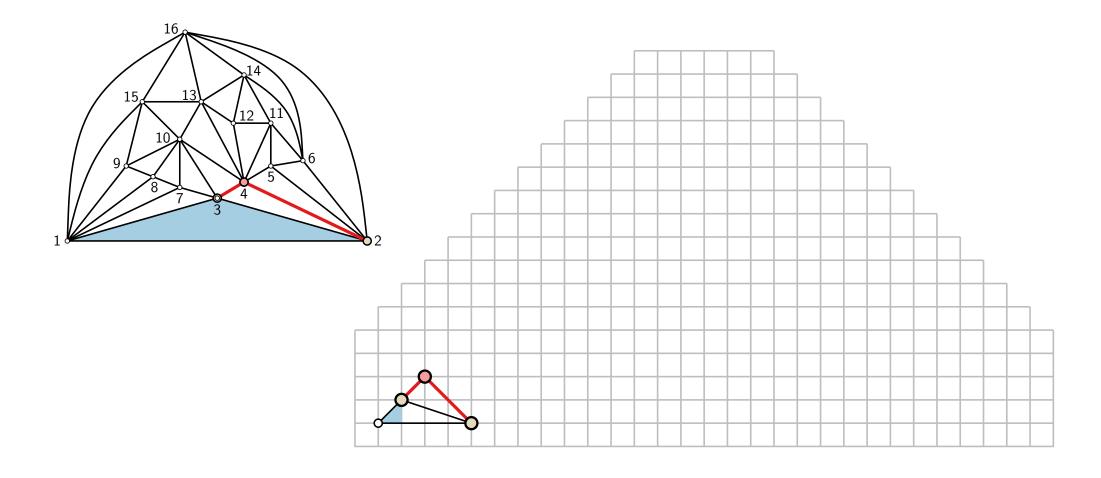


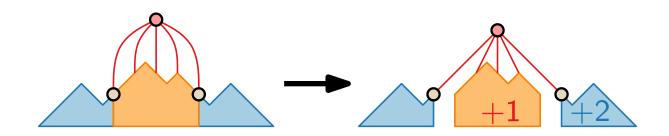


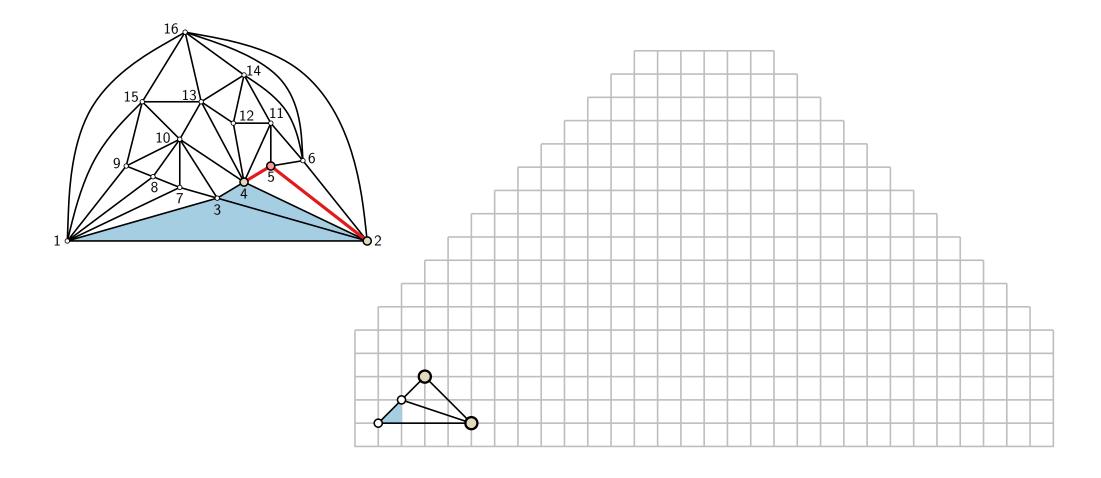


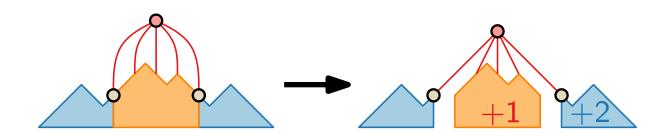


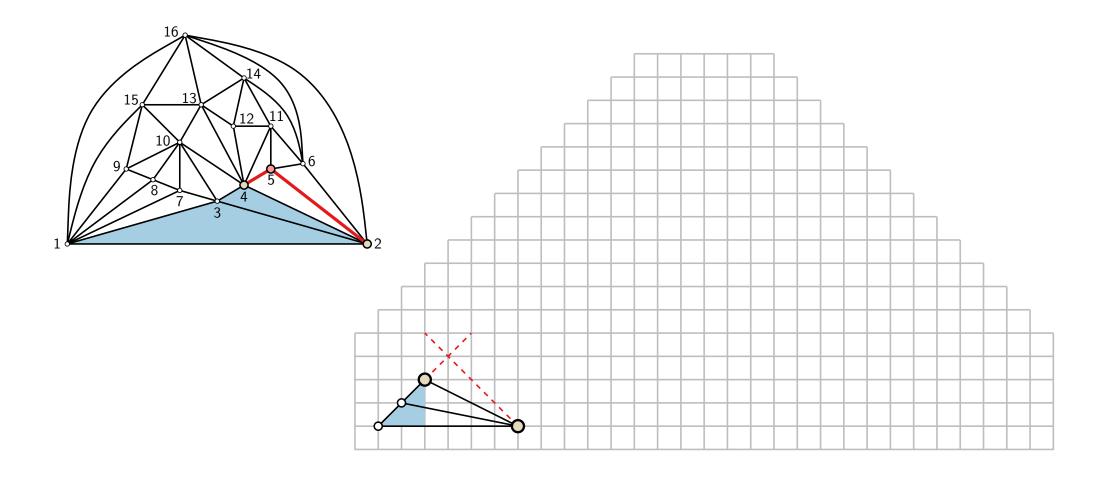


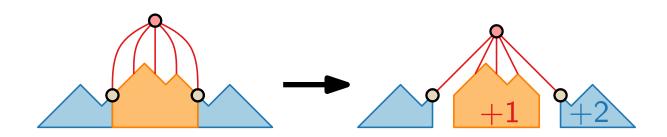


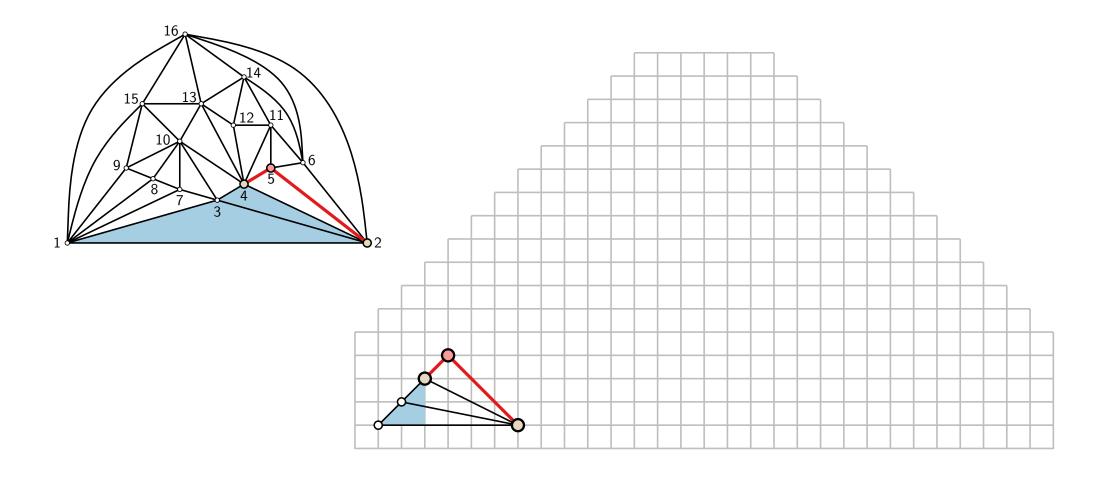


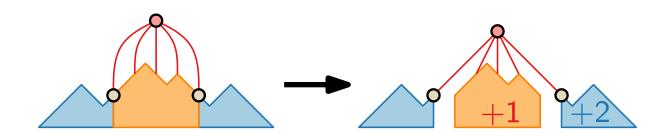


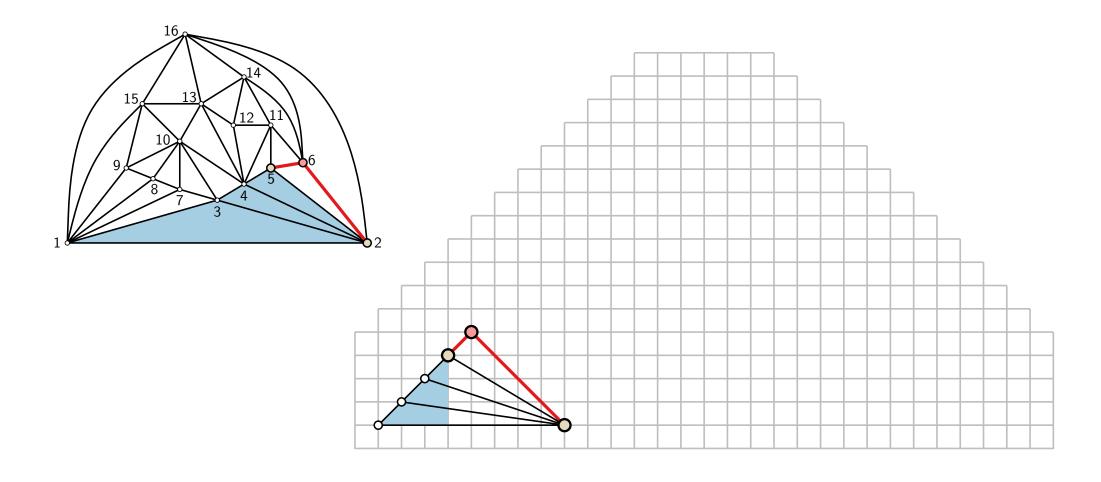


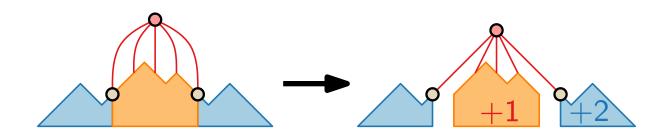


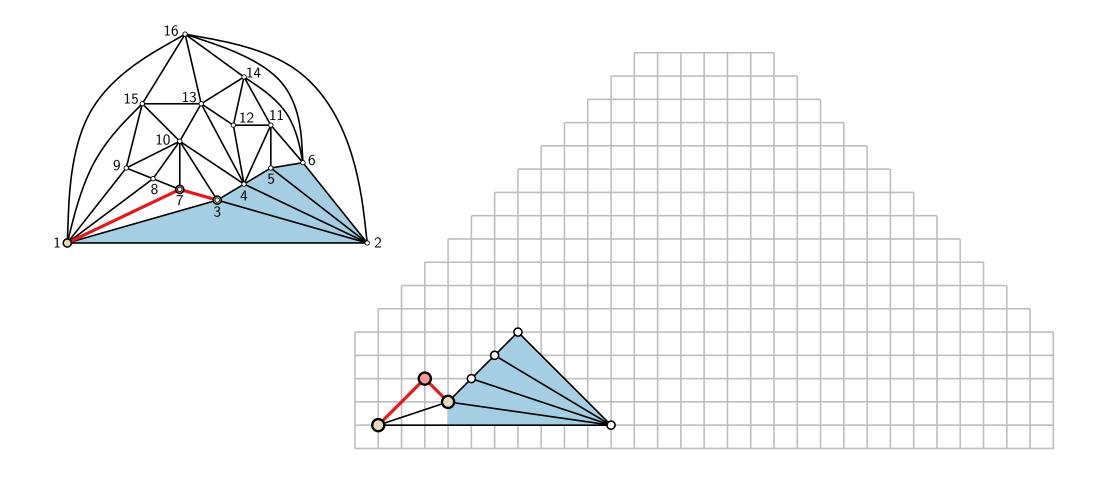


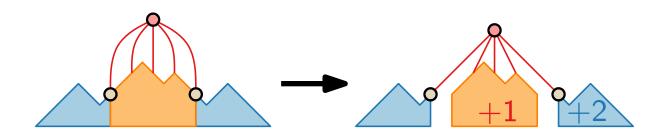


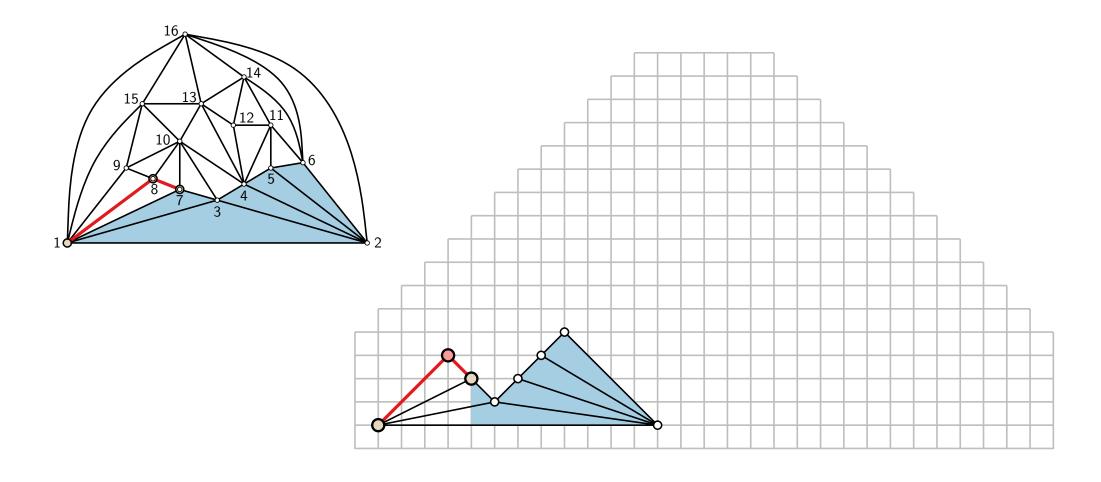


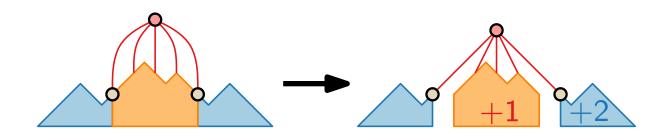


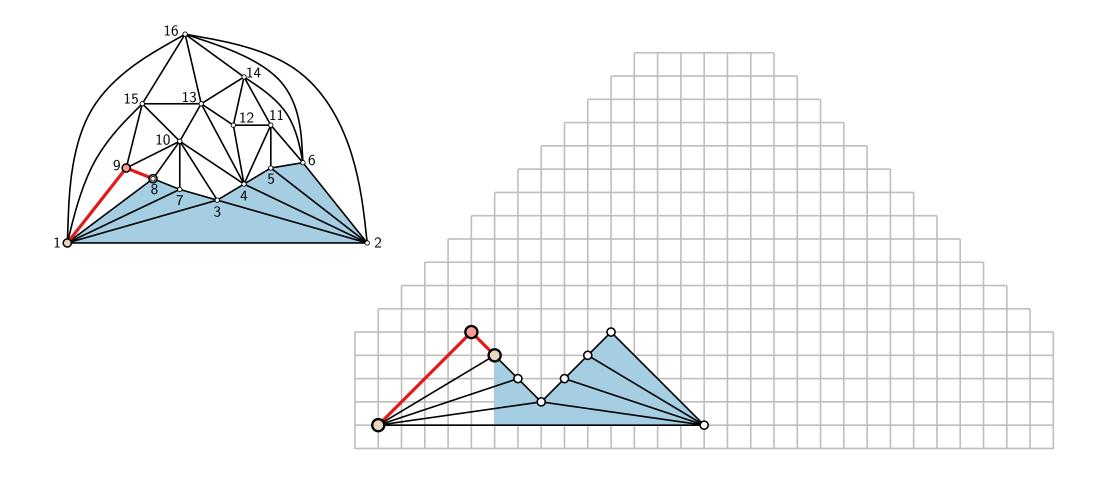


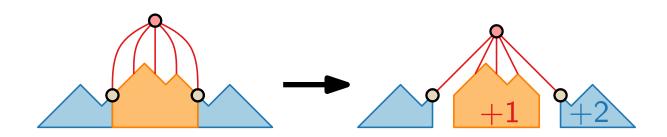


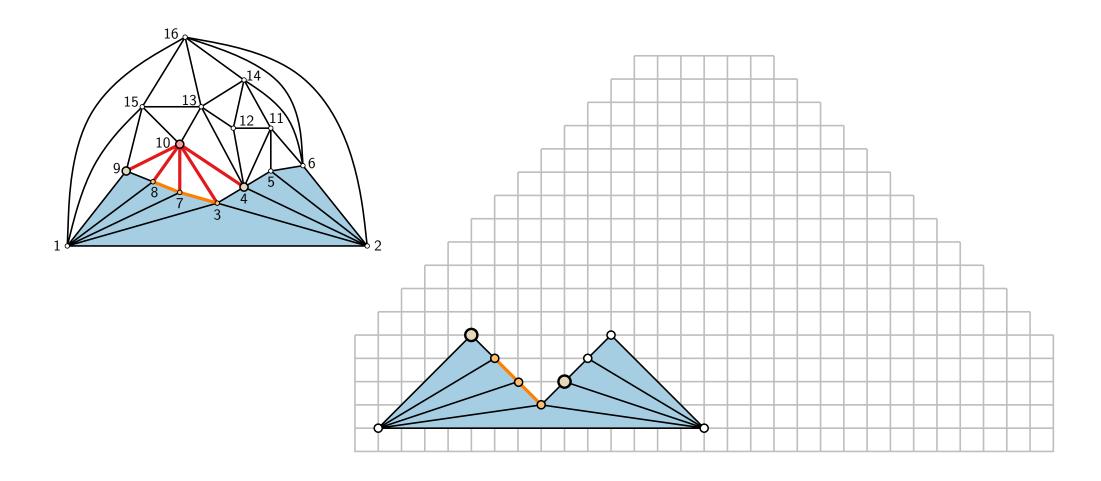


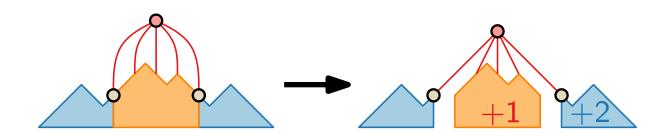


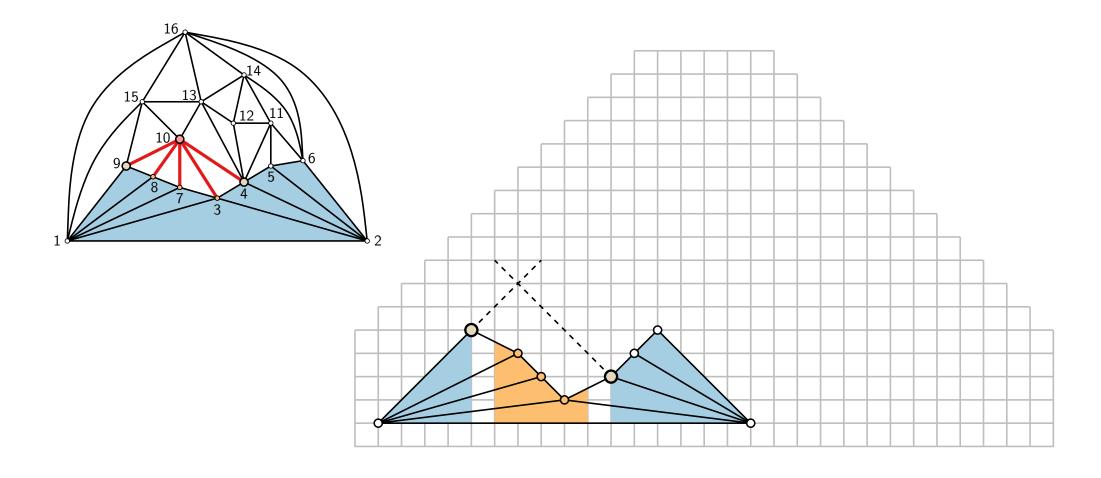


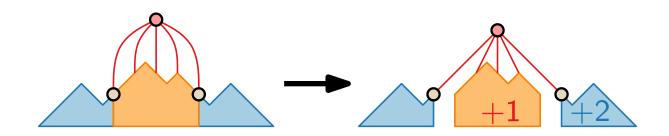


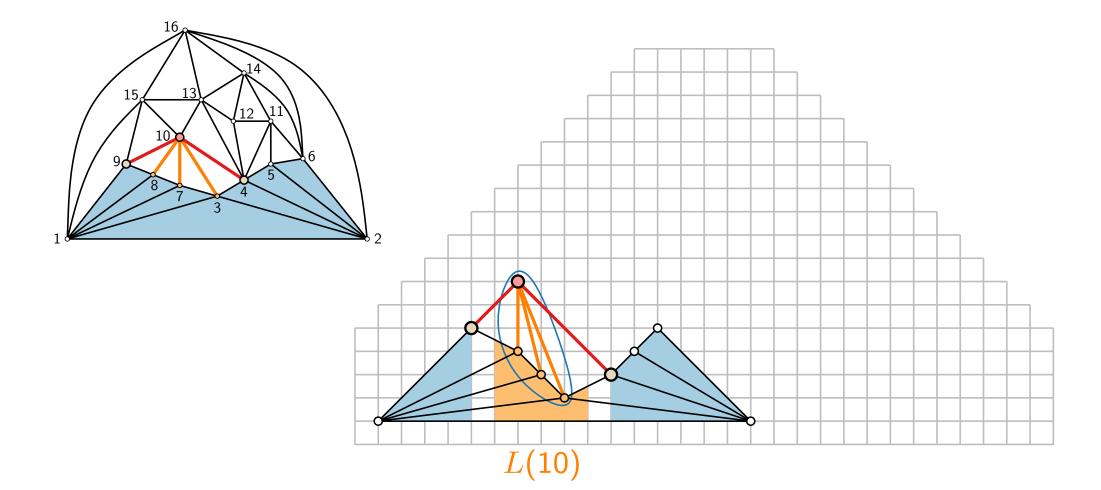


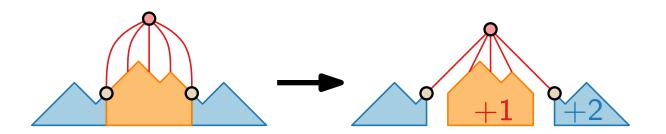


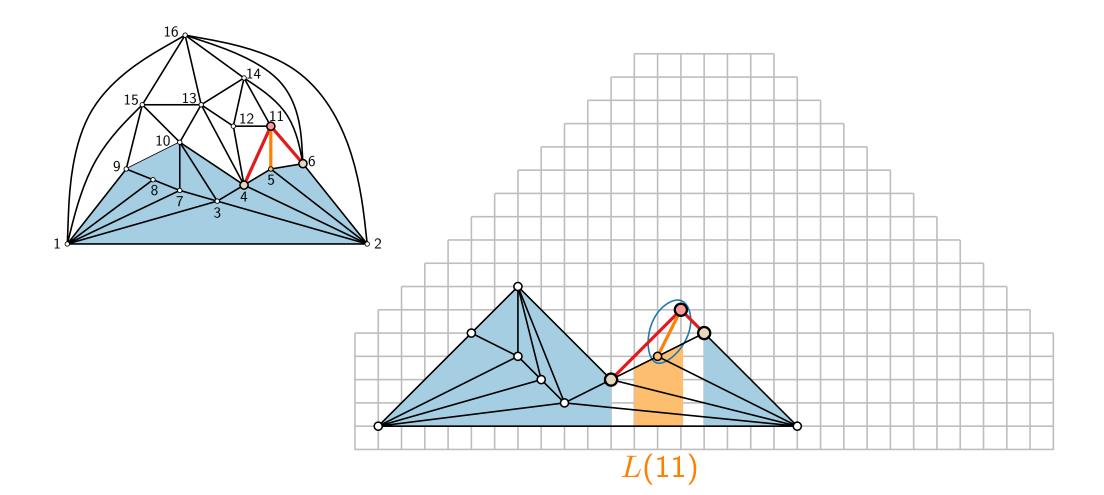


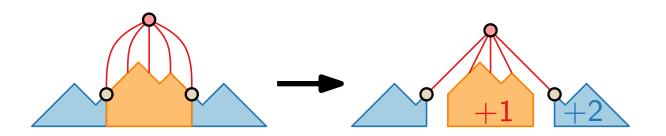


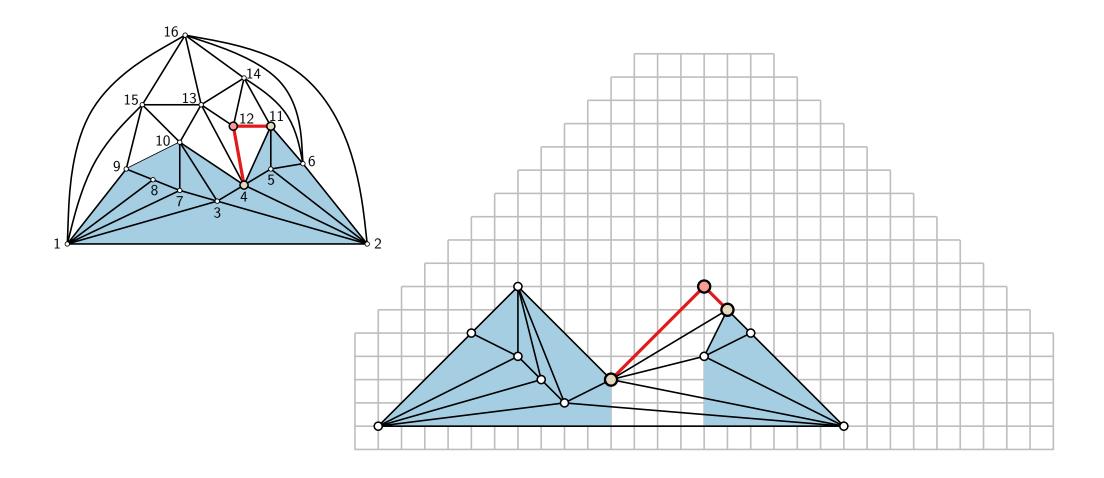


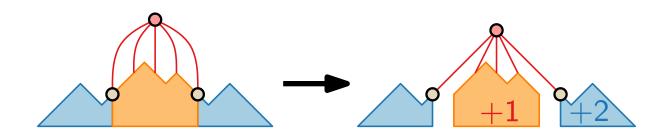


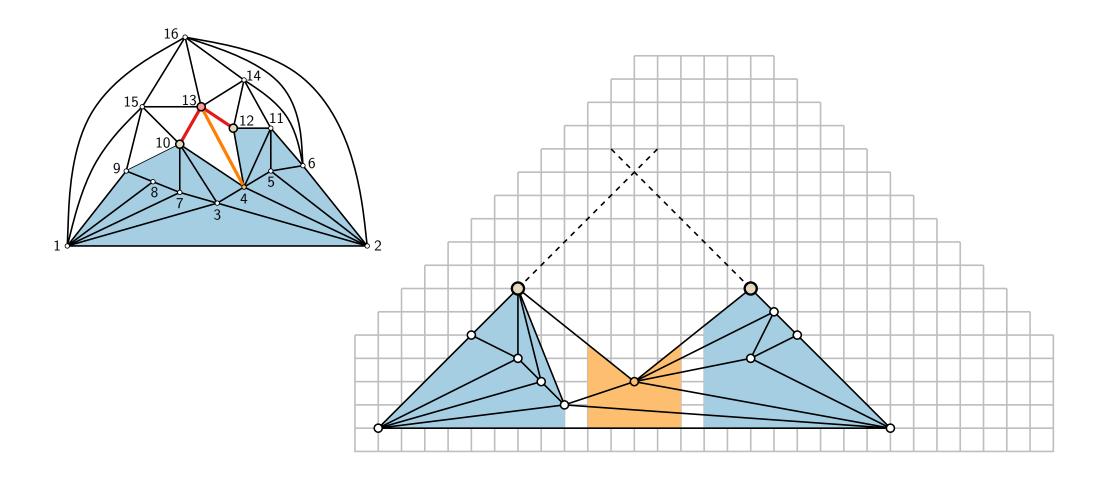


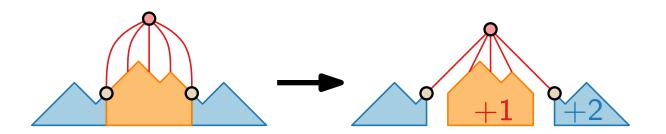


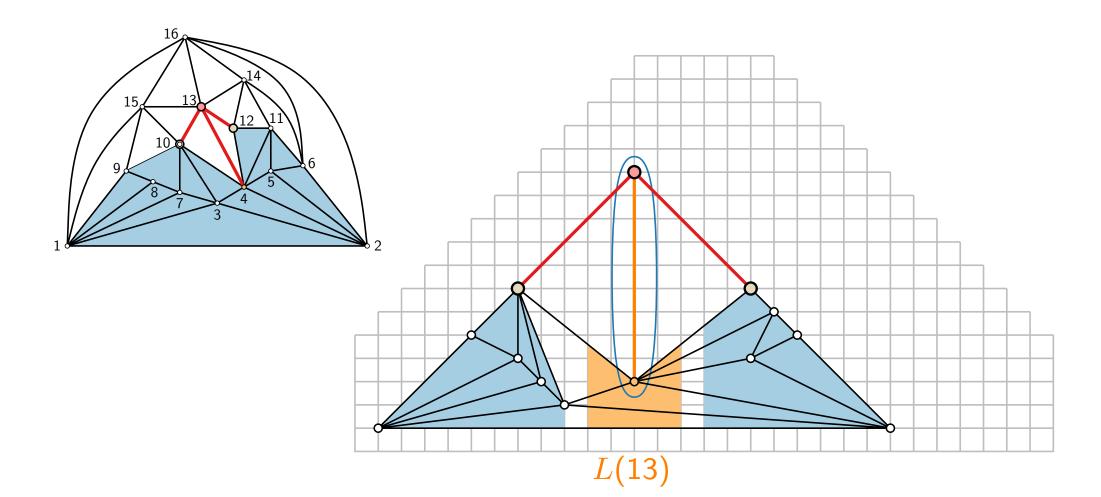


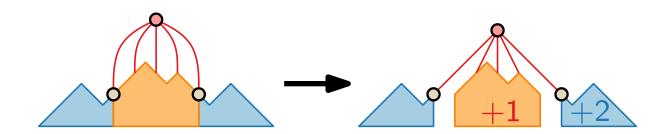


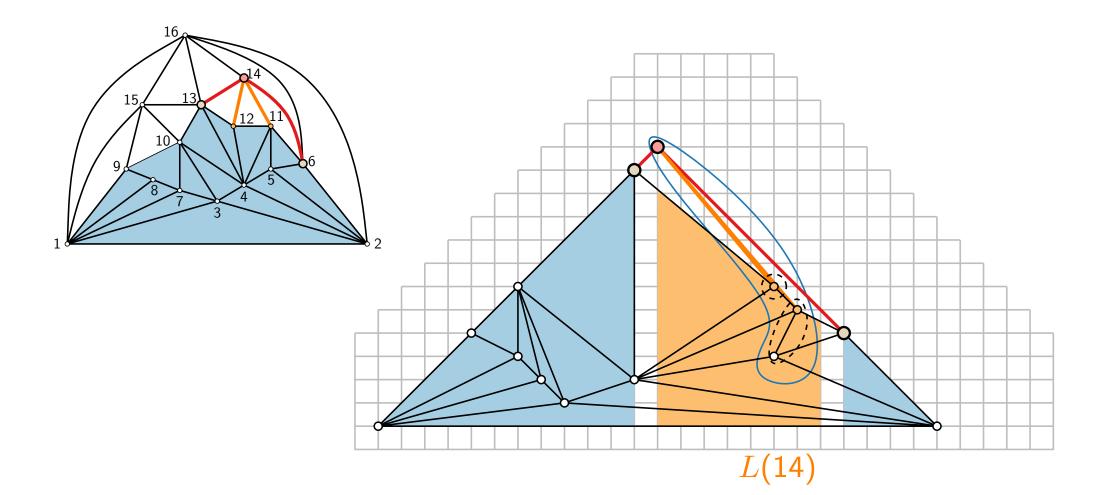


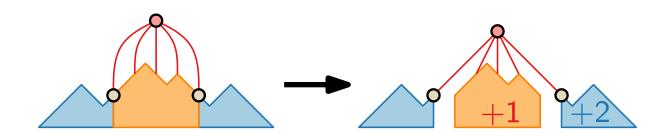


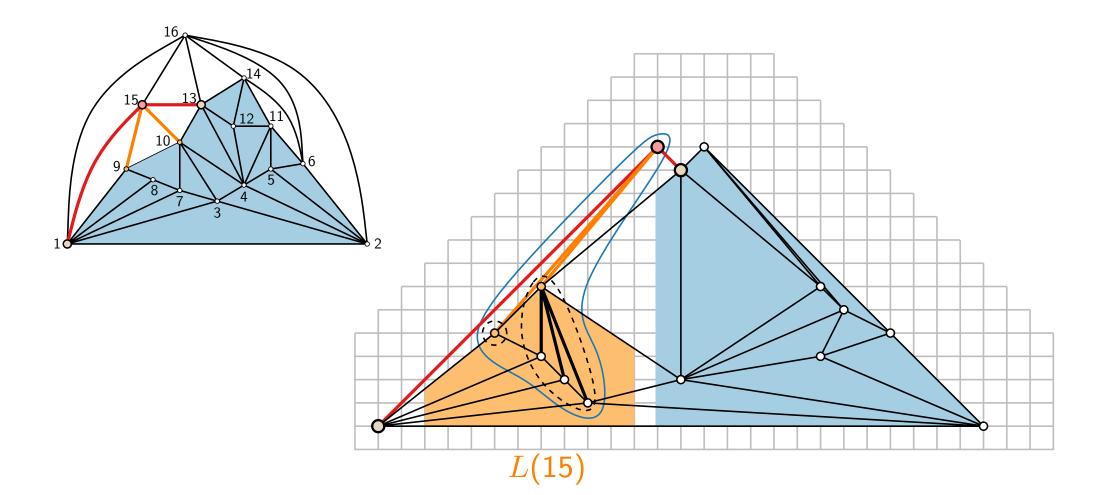


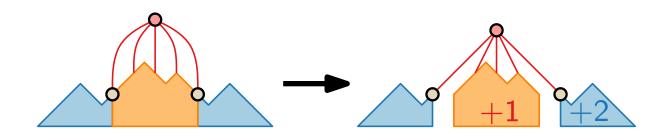


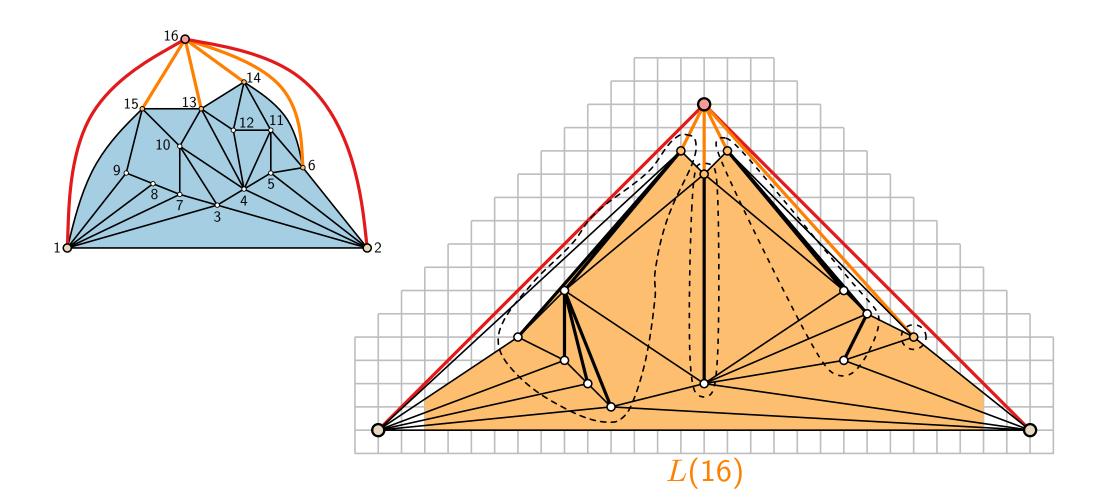


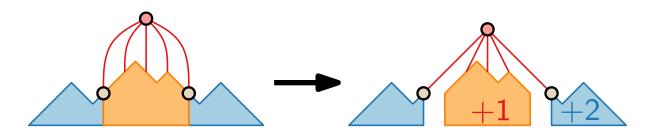


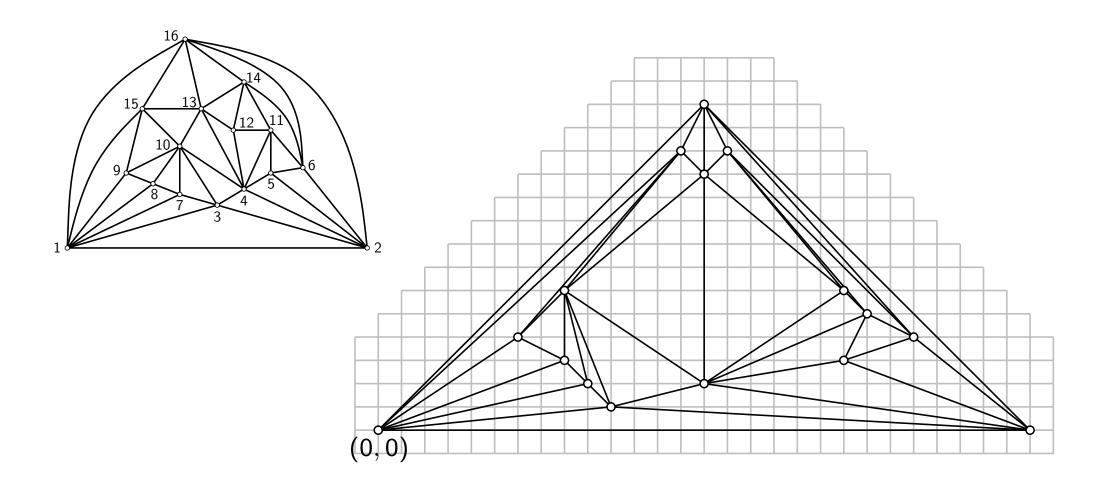


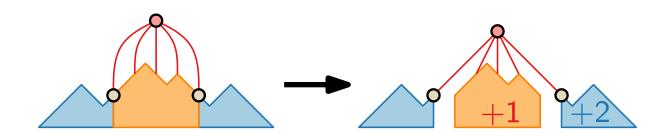


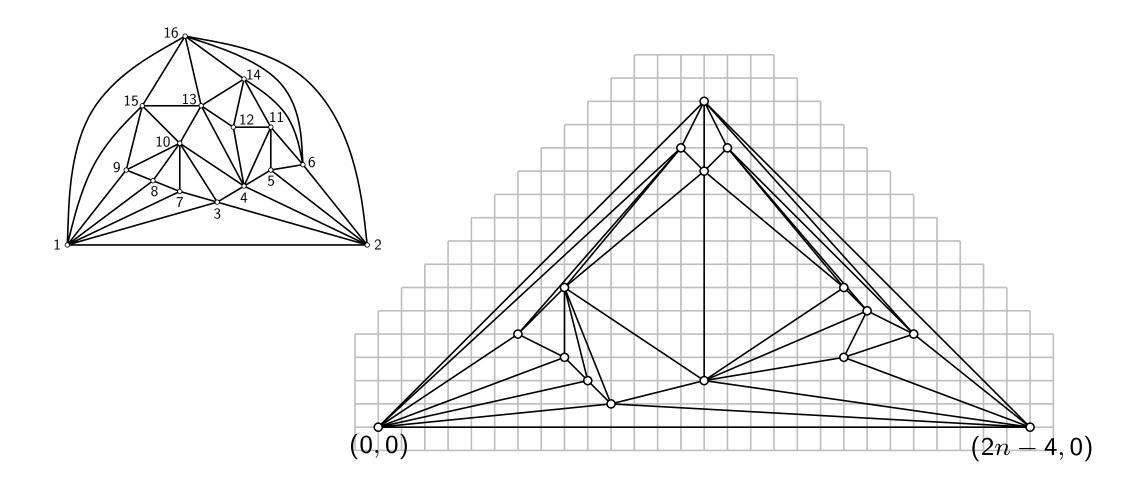


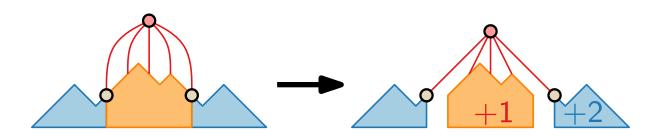


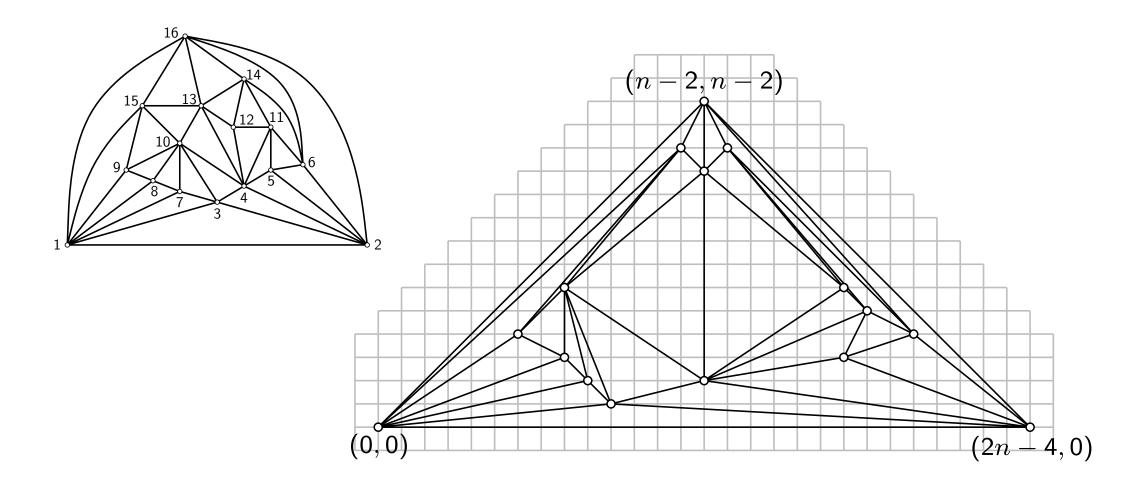


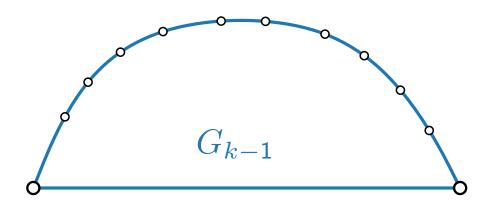


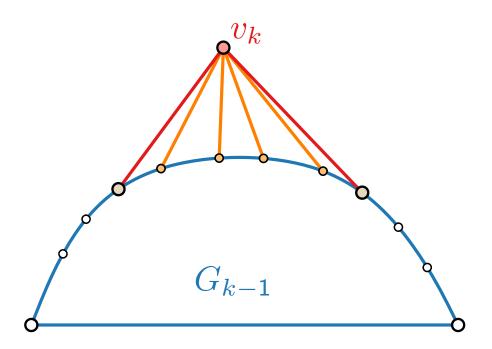


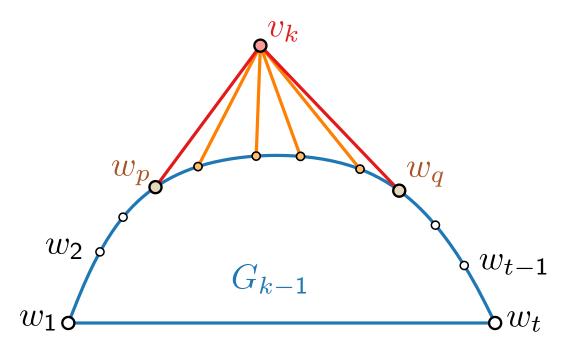


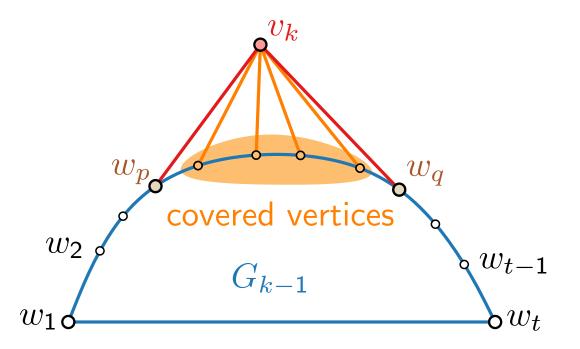






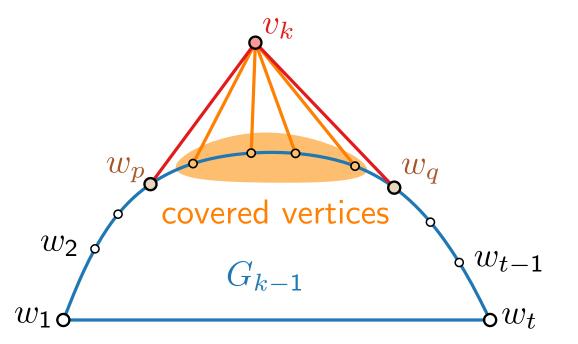




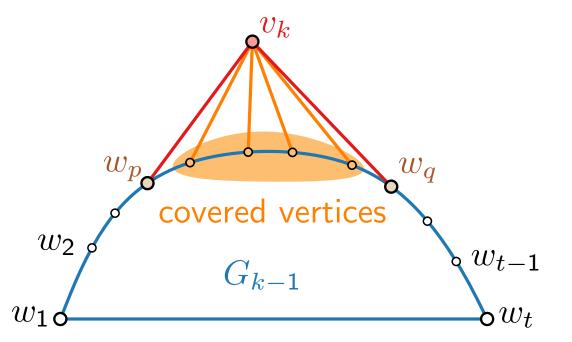


## Observations.

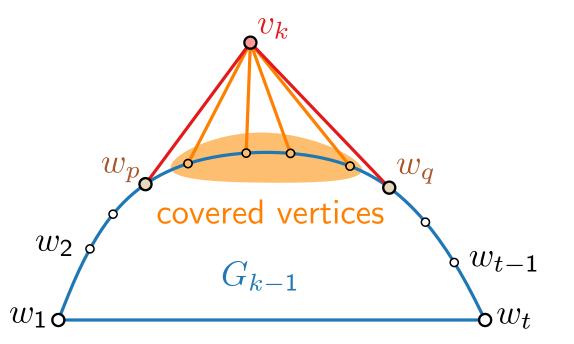
■ Each internal vertex is covered exactly once.



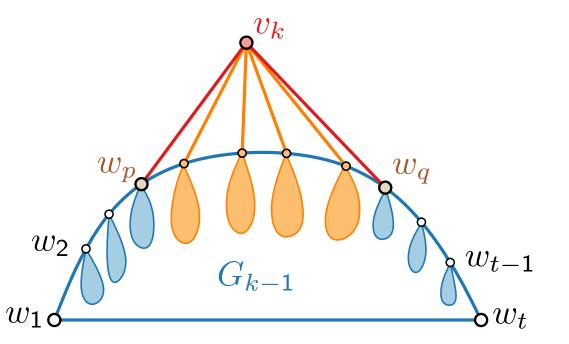
- Each internal vertex is covered exactly once.
- Covering relation defines a tree in G



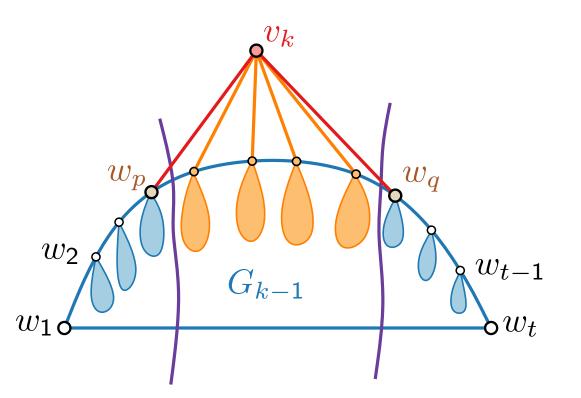
- Each internal vertex is covered exactly once.
- $\blacksquare$  Covering relation defines a tree in G
- $\blacksquare$  and a forest in  $G_i$ ,  $1 \le i \le n-1$ .



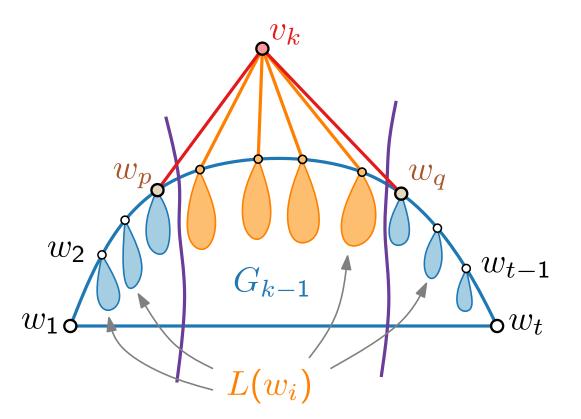
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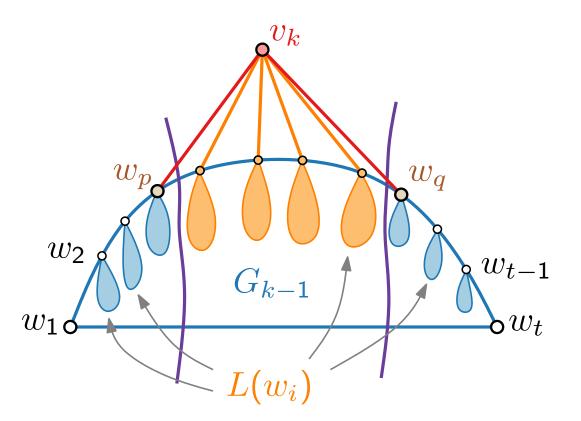
# $w_2$ $w_2$ $w_2$ $w_2$ $w_{t-1}$ $w_t$ $w_t$

#### Lemma.

Let 
$$0 \le \delta_1 \le \delta_2 \le \cdots \le \delta_t \in \mathbb{N}$$
, s.t.  $\delta_{p+1} - \delta_p \ge 1$ ,  $\delta_q - \delta_{q-1} \ge 1$ ,  $\delta_q - \delta_p \ge 2$  and even.

#### Observations.

- Each internal vertex is covered exactly once.
- Covering relation defines a tree in G
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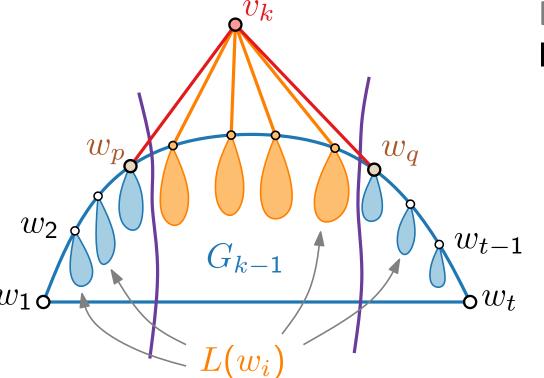
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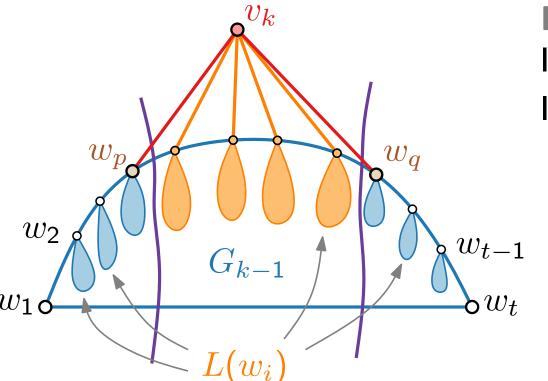
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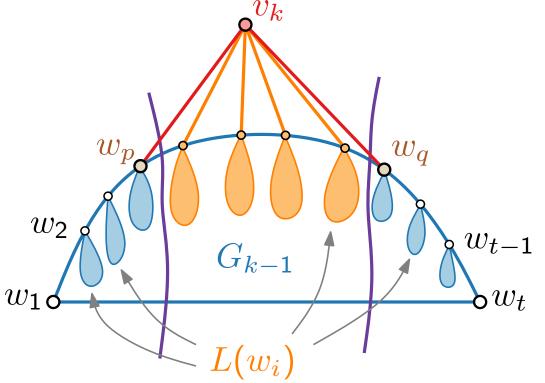
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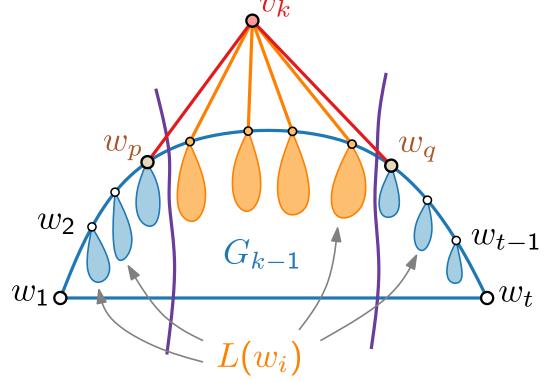
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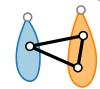
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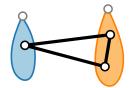
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## Shift Method – Pseudocode

canonical order of V(G)

```
ShiftMethod(G, (v_1, v_2, \ldots, v_n))
 for k = 1 to 3 do
 for k = 4 to n do
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canonical order of V(G)

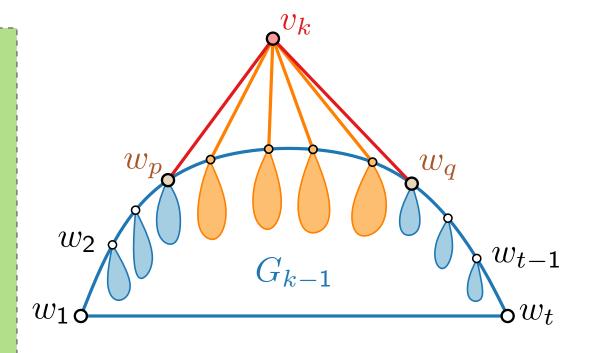
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  for k = 4 to n do
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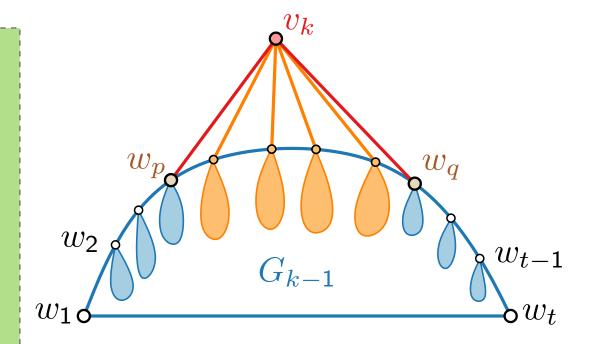
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ShiftMethod $(G,(v_1,v_2,\ldots,v_n))$ 

 $for \ k=1 \ to \ 3 \ do$ 

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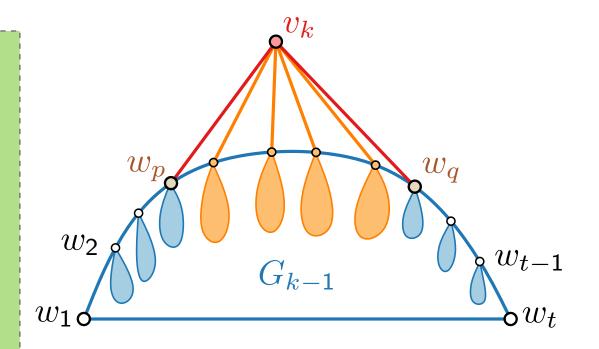
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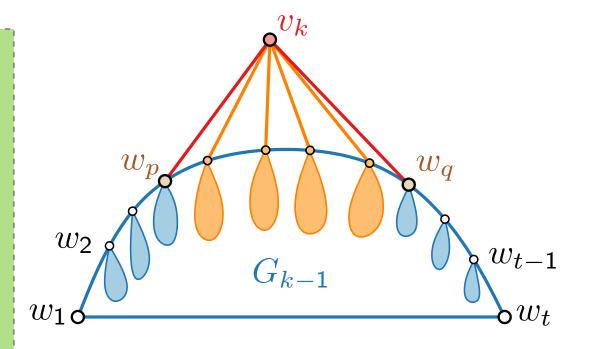
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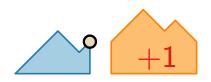




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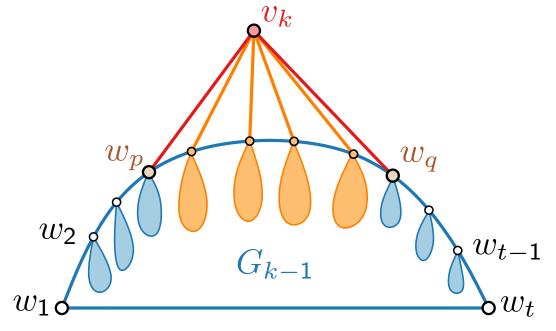
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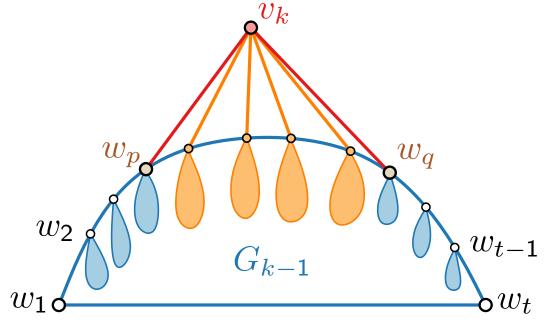
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canonical order of V(G)

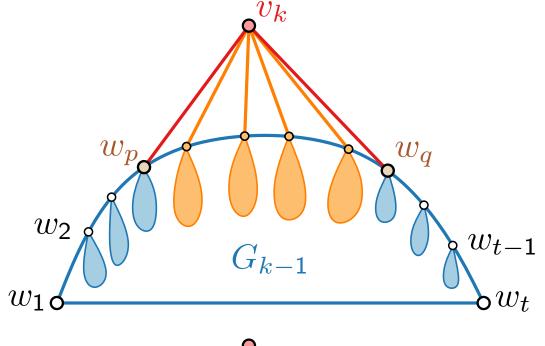
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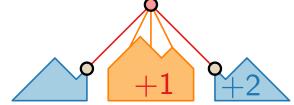




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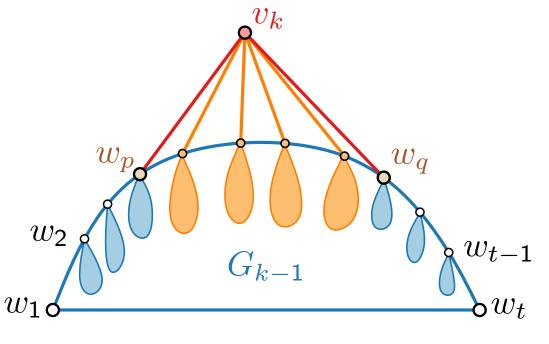
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$$L(v_k) \leftarrow \bigcup_{i=p+1}^{q-1} L(w_i) \cup \{v_k\}$$

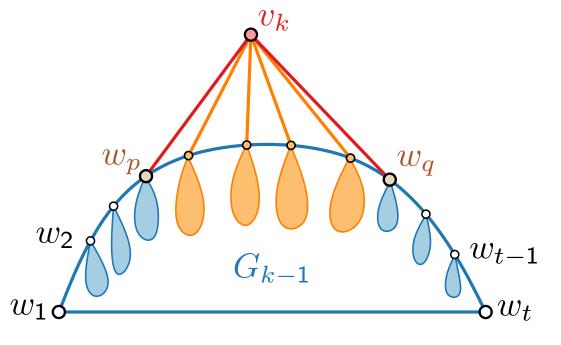
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canonical order of V(G)

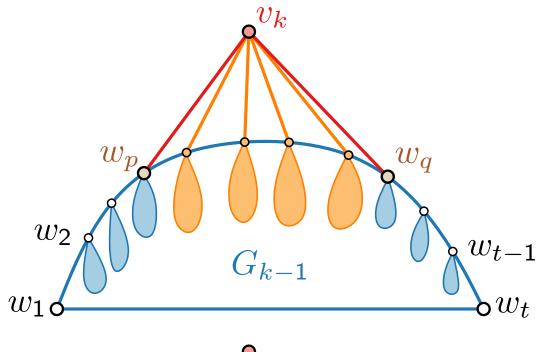
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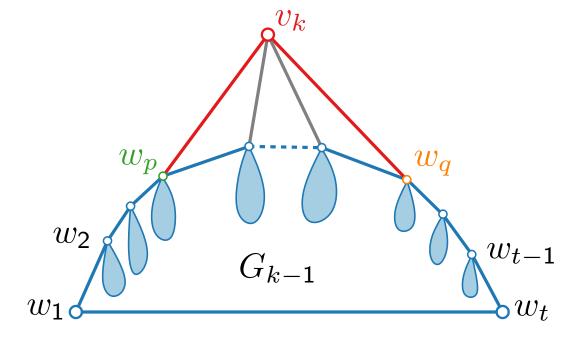


**Running Time?** 

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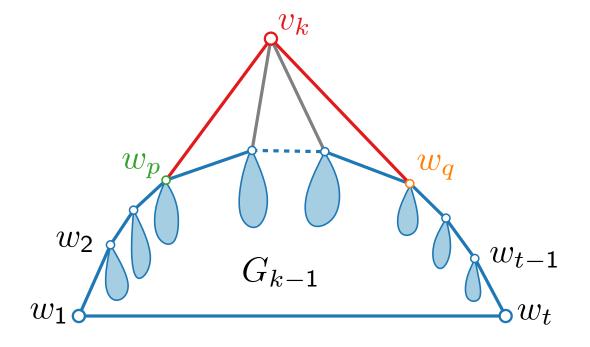






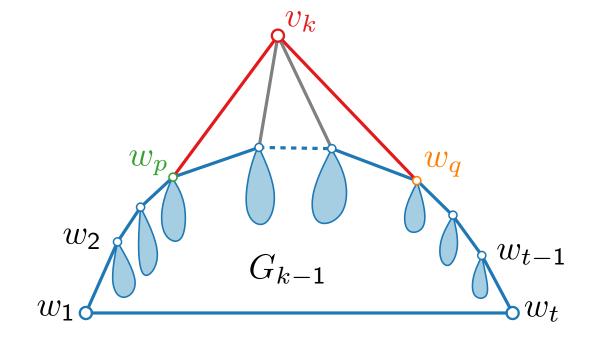
### Idea 1.

```
To compute x(v_k) and y(v_k), we need only y(w_p), y(w_q), and x(w_q) - x(w_p)
```



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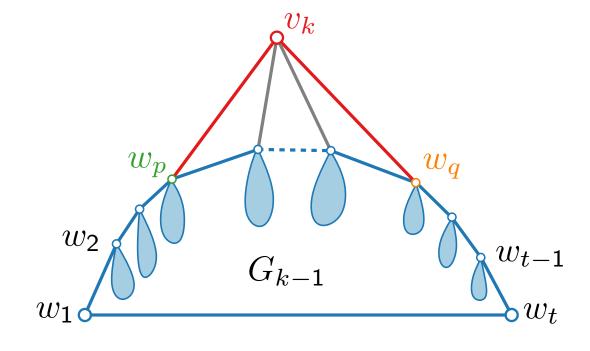
To compute  $x(v_k)$  and  $y(v_k)$ , we need only  $y(w_p)$ ,  $y(w_q)$ , and  $x(w_q) - x(w_p)$ 



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$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

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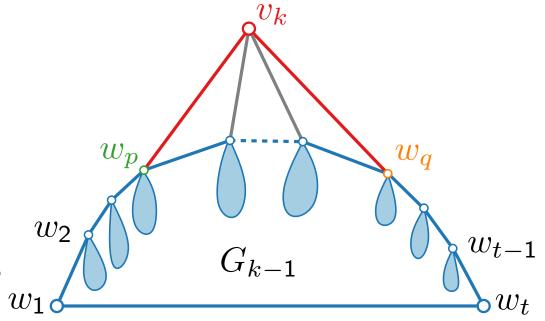
(2) 
$$y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

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To compute  $x(v_k)$  and  $y(v_k)$ , we need only  $y(w_p)$ ,  $y(w_q)$ , and  $x(w_q) - x(w_p)$ 

### Idea 2.

Instead of storing explicit x-coordinates, we store, for each vertex within a specific spanning tree, the x-distance to its parent  $(v_1)$  is the root.



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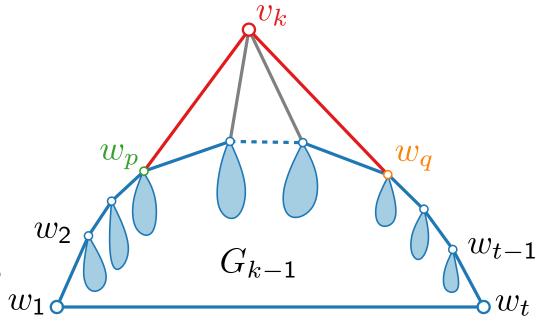
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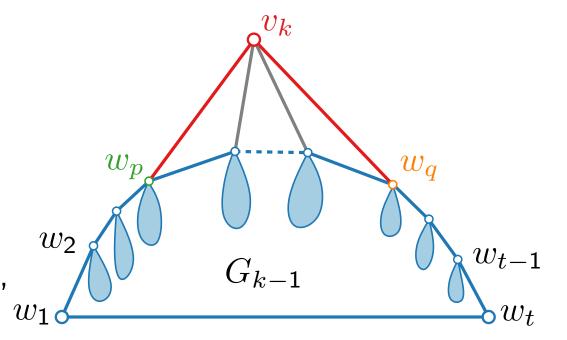
Instead of storing explicit x-coordinates, we store, for each vertex within a specific spanning tree, the x-distance to its parent  $(v_1)$  is the root.

After an x-distance is computed for each  $v_k$ , use preorder traversal to compute all x-coordinates.

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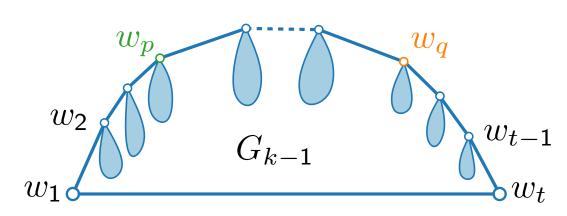
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### Relative x-distance tree.

For each vertex v store



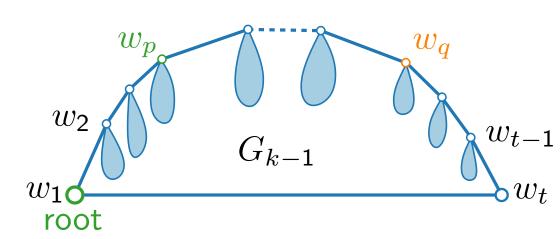
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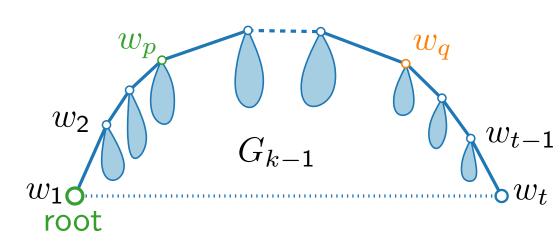
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$$x(v_k) - x(w_p) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) - y(w_p))$$

### Relative x-distance tree.

For each vertex v store



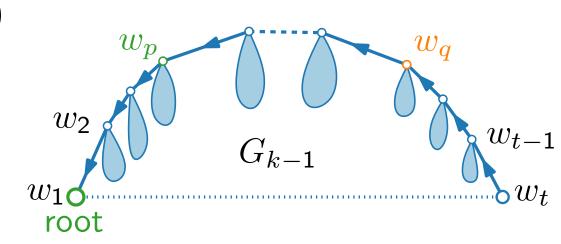
(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
$$y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

(3) 
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For each vertex v store



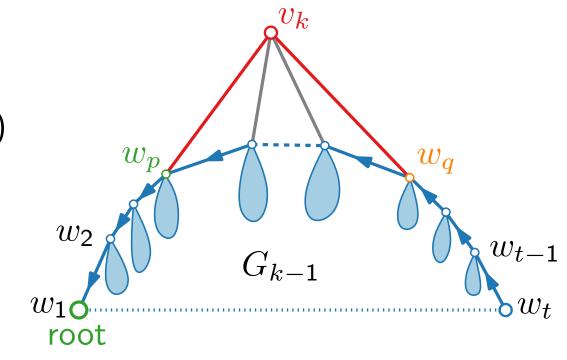
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### Relative x-distance tree.

For each vertex v store



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### Relative x-distance tree.

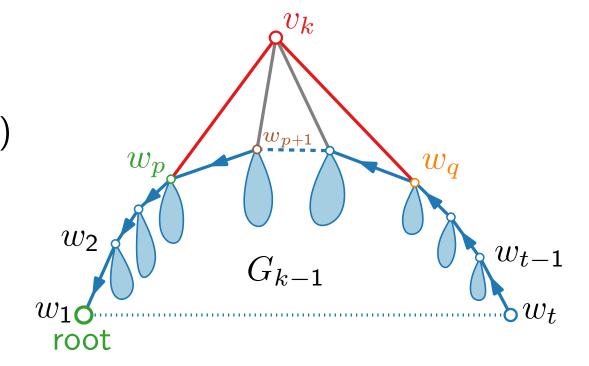
For each vertex v store

lacktriangleq x-offset  $\Delta_x(v)$  from parent

 $\blacksquare$  y-coordinate y(v)

### Calculations.

 $\Delta_x(w_{p+1})$ ++,  $\Delta_x(w_q)$ ++



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
$$y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

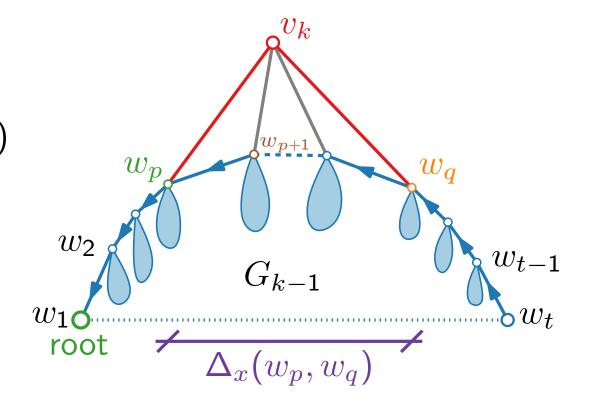
(3) 
$$x(v_k) - x(w_p) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) - y(w_p))$$

### Relative x-distance tree.

For each vertex v store

- lacksquare x-offset  $\Delta_x(v)$  from parent
- $\blacksquare$  y-coordinate y(v)

- $\triangle_x(w_{p+1})++, \triangle_x(w_q)++$



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
$$y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

(3) 
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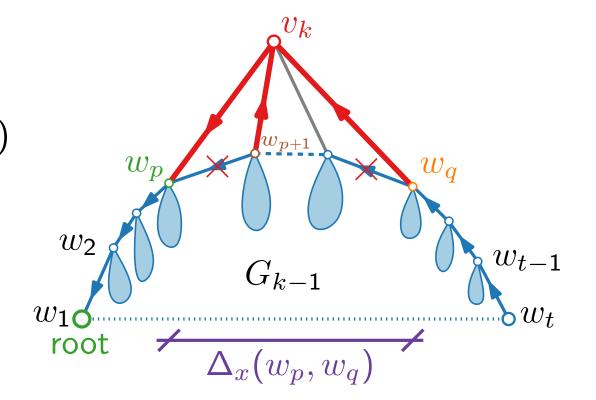
### Relative x-distance tree.

For each vertex v store

lacksquare x-offset  $\Delta_x(v)$  from parent

 $\blacksquare$  y-coordinate y(v)

- $\triangle_x(w_{p+1})++, \triangle_x(w_q)++$



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

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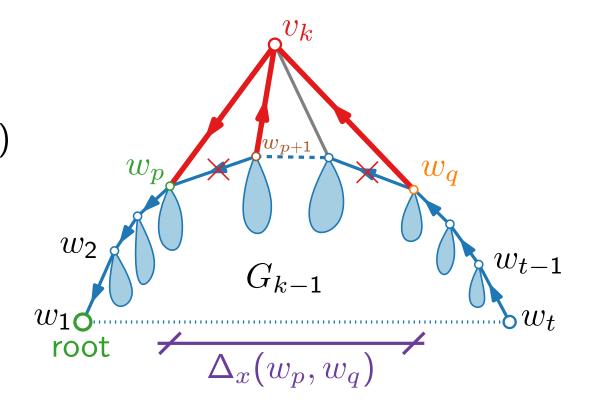
### Relative x-distance tree.

For each vertex v store

lacksquare x-offset  $\Delta_x(v)$  from parent

 $\blacksquare$  y-coordinate y(v)

- $\Delta_x(w_{p+1})$ ++,  $\Delta_x(w_q)$ ++
- $lack \Delta_x(v_k)$  by (3)



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
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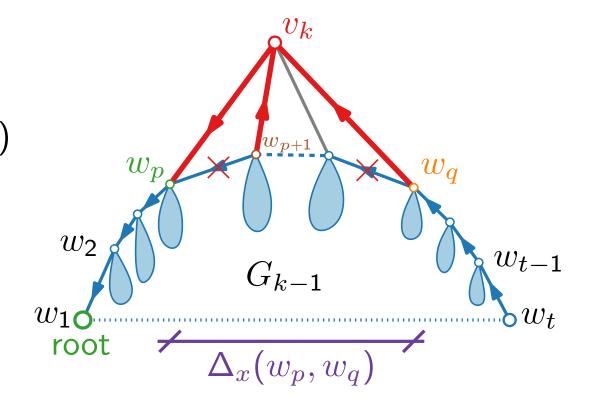
(3) 
$$x(v_k) - x(w_p) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) - y(w_p))$$

### Relative x-distance tree.

For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$
- lacksquare  $\Delta_x(v_k)$  by (3)



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
$$y(v_k) = \frac{1}{2}(x(w_q) - x(w_p) + y(w_q) + y(w_p))$$

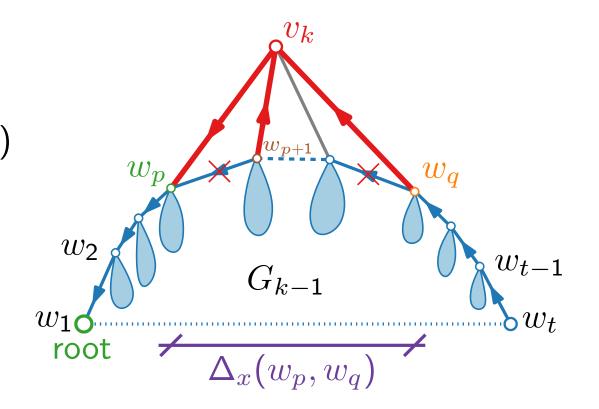
(3) 
$$\underbrace{x(v_k) - x(w_p)}_{\Delta_x(v_k)} = \frac{1}{2} \underbrace{(x(w_q) - x(w_p) + y(w_q) - y(w_p))}_{\Delta_x(w_p, w_q)}$$

### Relative x-distance tree.

For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$



(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

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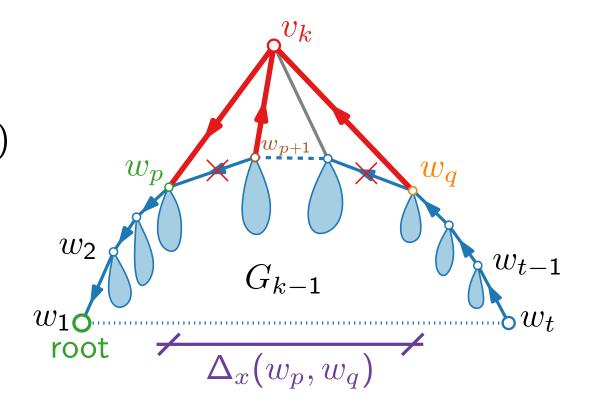
### Relative x-distance tree.

For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$

- $\Delta_x(w_a) = \Delta_x(w_p, w_a) \Delta_x(v_k)$



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$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

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### Relative x-distance tree.

For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

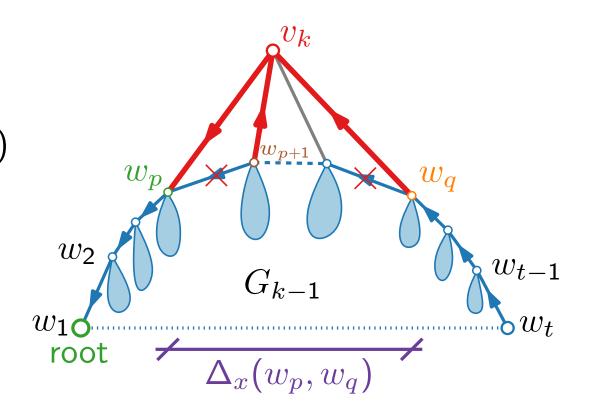
- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$

- $\Delta_x(w_{p+1}) = \Delta_x(w_{p+1}) \Delta_x(v_k)$

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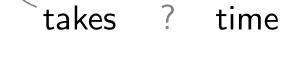
### Relative x-distance tree.

For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$

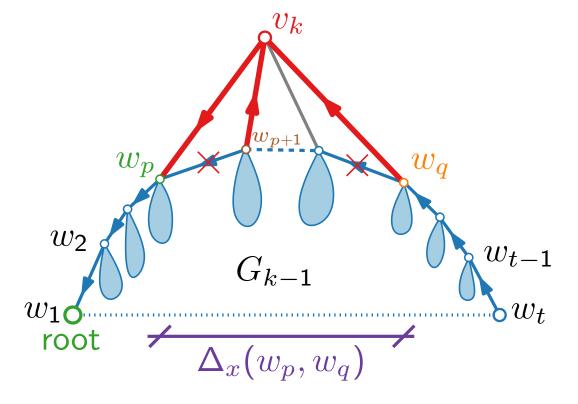
- $\Delta_x(w_{p+1}) = \Delta_x(w_{p+1}) \Delta_x(v_k)$



(1) 
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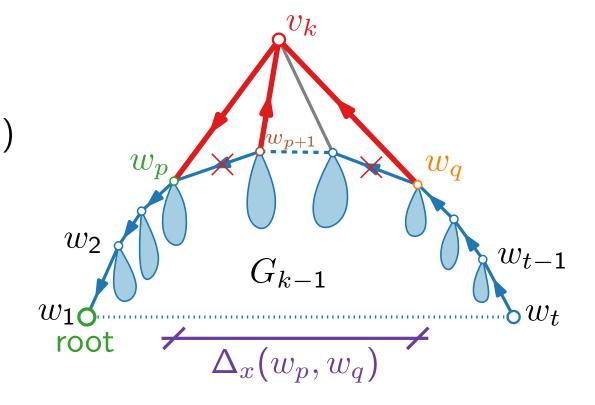
For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

#### Calculations.

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$

- $\Delta_x(w_{p+1}) = \Delta_x(w_{p+1}) \Delta_x(v_k)$



takes  $\mathcal{O}(n)$  time

(1) 
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### Relative x-distance tree.

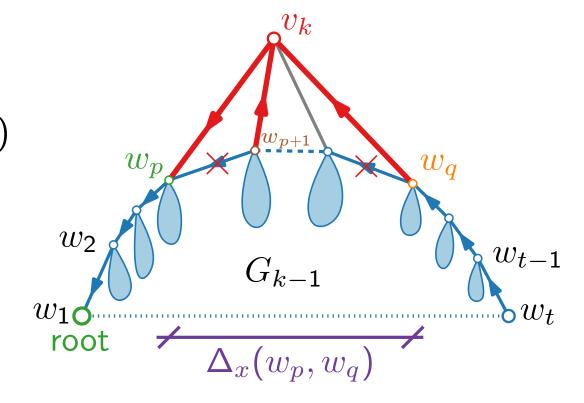
For each vertex v store

 $\blacksquare$  x-offset  $\Delta_x(v)$  from parent  $\blacksquare$  y-coordinate y(v)

#### Calculations.

- $\Delta_x(w_{p+1})++, \Delta_x(w_q)++$

- $\Delta_x(w_{p+1}) = \Delta_x(w_{p+1}) \Delta_x(v_k)$



takes  $\mathcal{O}(n)$  time in total  $\bigcirc$ 

(1) 
$$x(v_k) = \frac{1}{2}(x(w_q) + x(w_p) + y(w_q) - y(w_p))$$

(2) 
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- Although we are guaranteed to get a very small grid, only straight-line edges, and no edge crossings, the resulting drawings are not always visually pleasing: the drawings tend to have very small angles and a big variance in the size of the triangular faces.
- $\blacksquare$  A quite different approach yielding similar results is by Schnyder ( $\to$  next lecture).

### Literature

- [PGD Ch. 4.2] for detailed explanation of the shift method
- [de Fraysseix, Pach, Pollack 1990] "How to draw a planar graph on a grid"
  - original paper introducing the shift method
- [Chrobak, Payne 1995] "A linear-time algorithm for drawing a planar graph on a grid"
  - original paper on how to implement the shift method in linear time