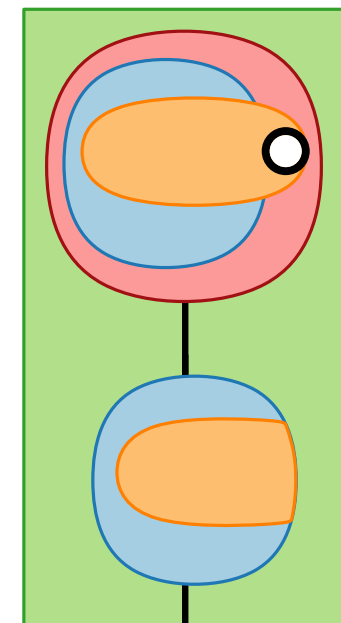
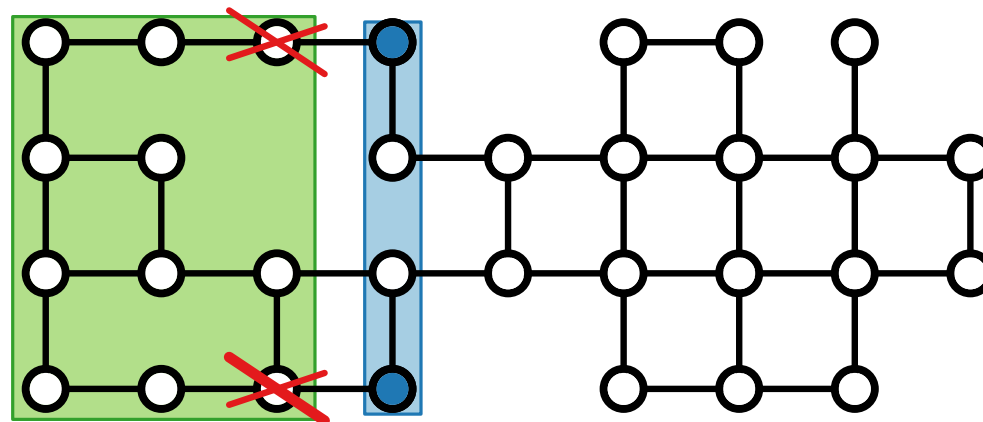
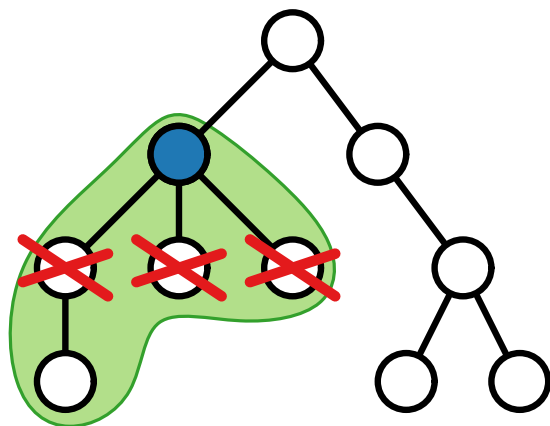


# Advanced Algorithms

## Parameterized Algorithms Structural Parametrization

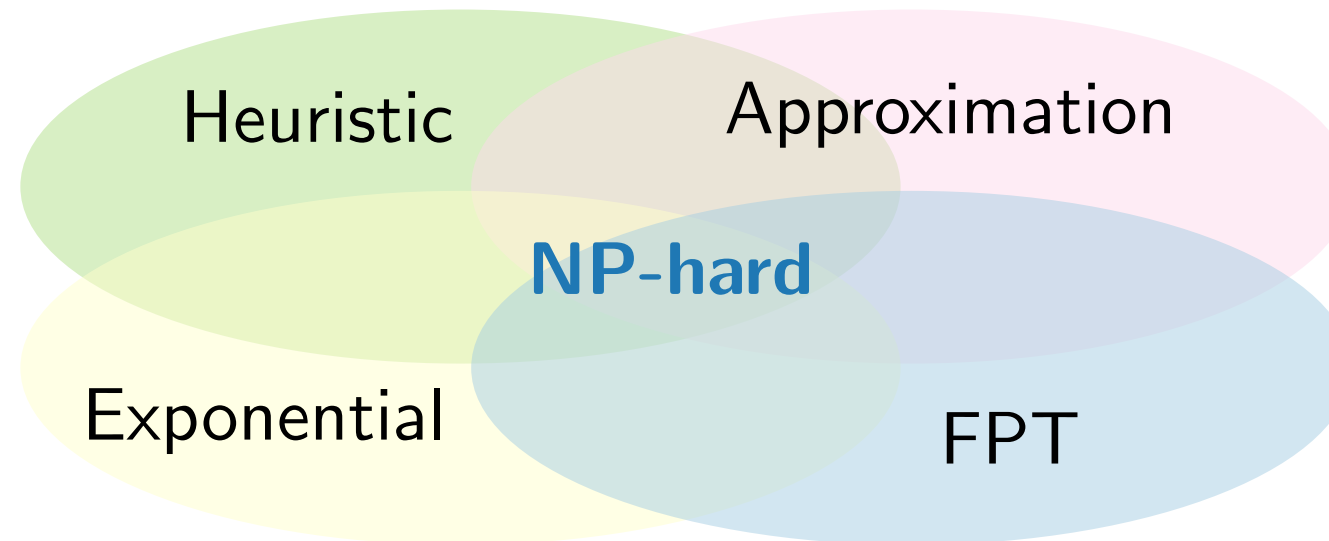
Johannes Zink · WS23/24



# Dealing with NP-Hard Problems

What should we do?

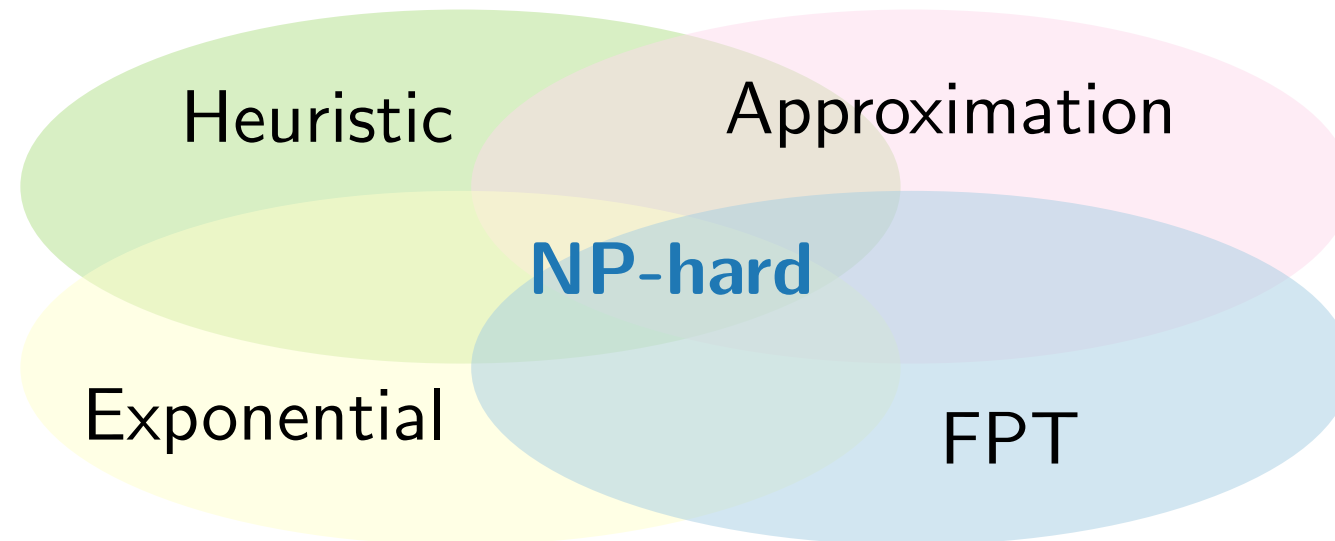
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Running time is expressed as a function in the input size.

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**Idea:** If  $k \in \mathcal{O}(1)$ , then  $\mathcal{O}(2^k \cdot k \cdot (|V| + |E|)) \subseteq \mathcal{O}(|V| + |E|)$ , in other words, if we assume the **parameter**  $k$  to be **fixed**,  $k$ -VERTEX COVER becomes **tractable**.



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## Definition.

Let  $\Pi$  be a decision problem. If there is

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$\Rightarrow$   $k$ -VERTEX COVER is FPT (and therefore also XP) with respect to  $k$ .

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- There is an  $\mathcal{O}(2^\Delta \cdot \Delta^2 \cdot (|V| + |E|))$  time algorithm for  $k$ -CLIQUE, where  $\Delta$  is the maximum degree of the input graph  $\Rightarrow k$ -CLIQUE is FPT with respect to  $\Delta$ .

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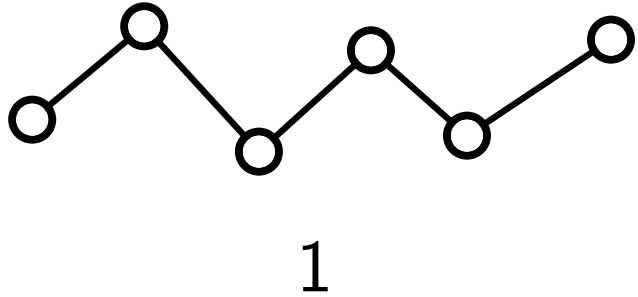
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**Pathwidth** describes how *path-like* a graph is.

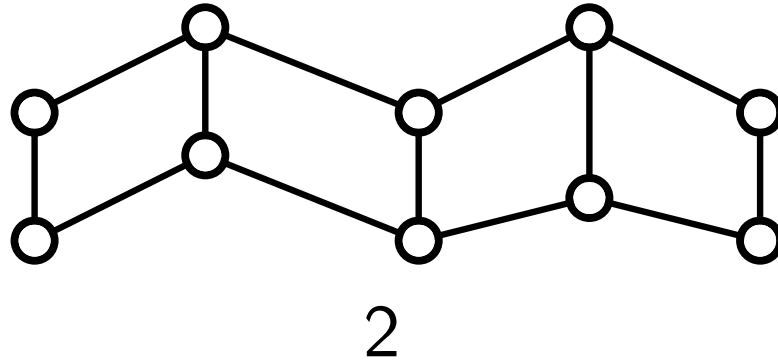
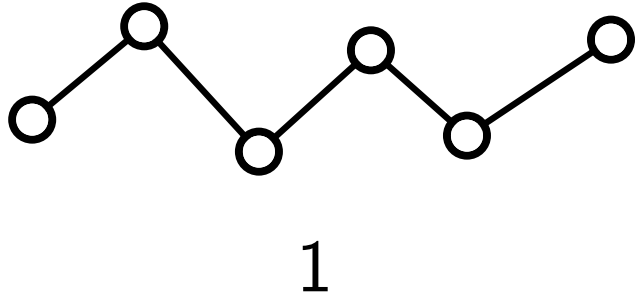
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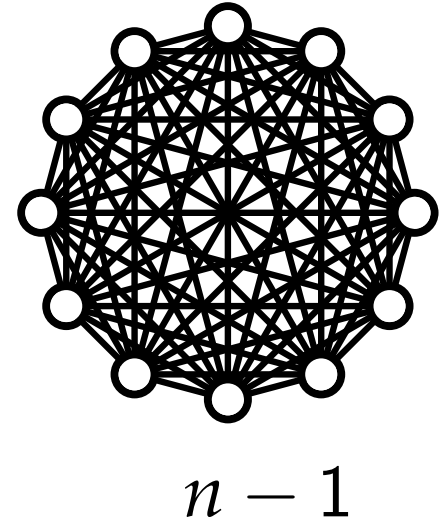
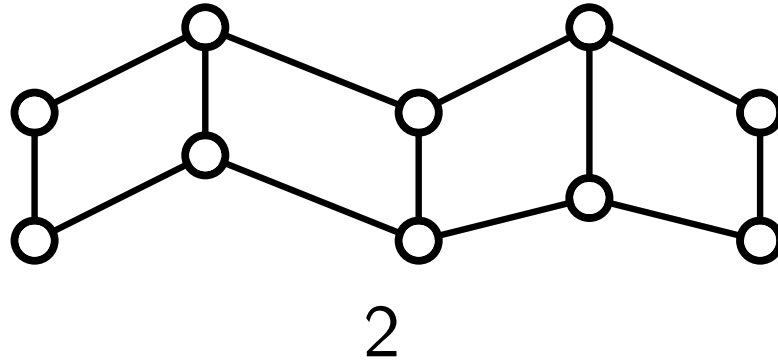
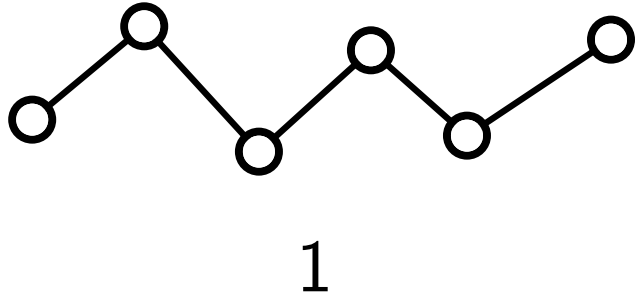
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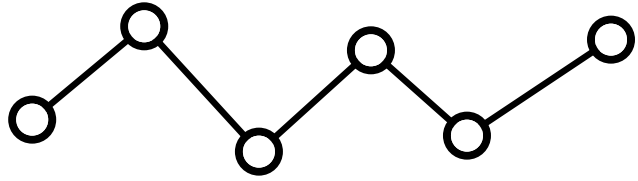
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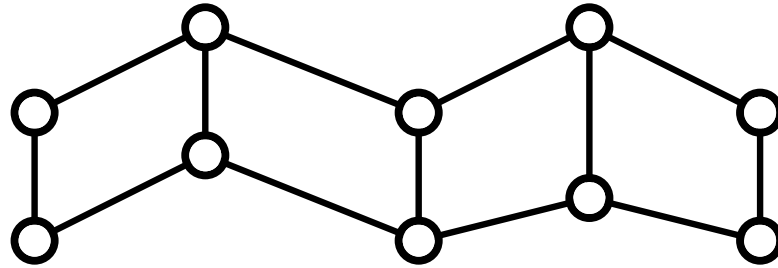


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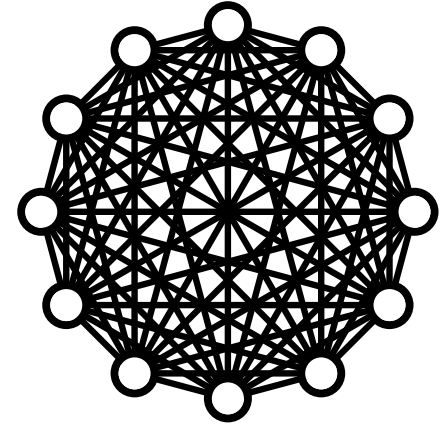
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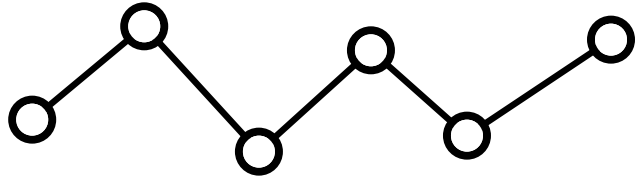
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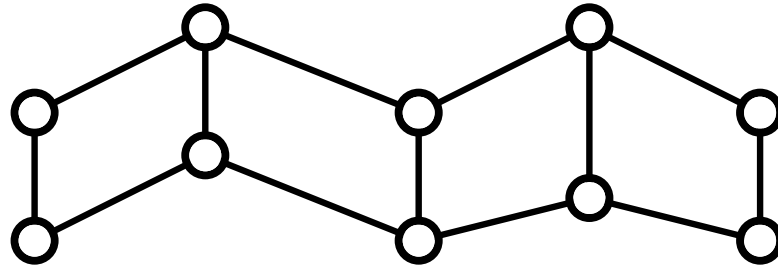


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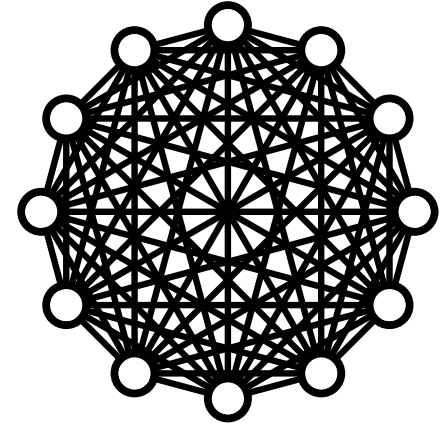
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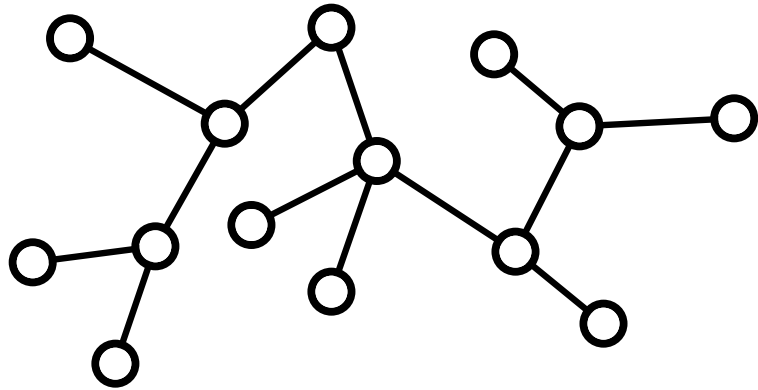
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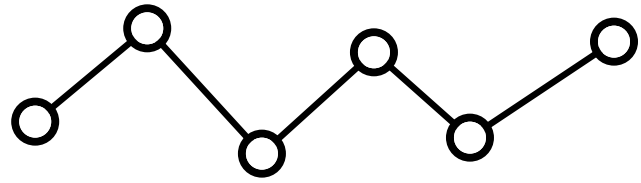
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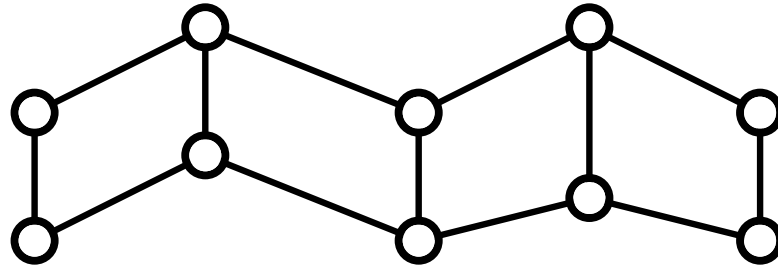
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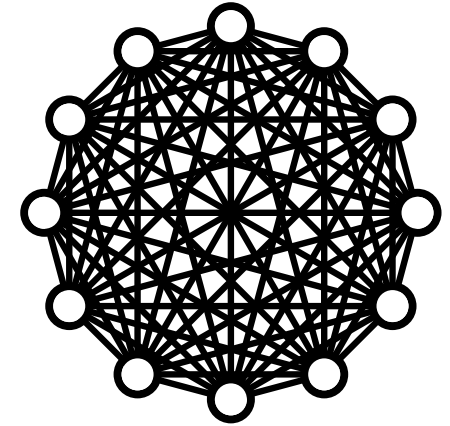
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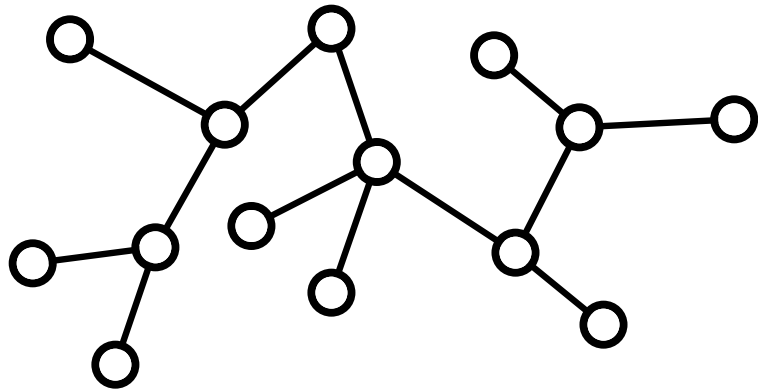
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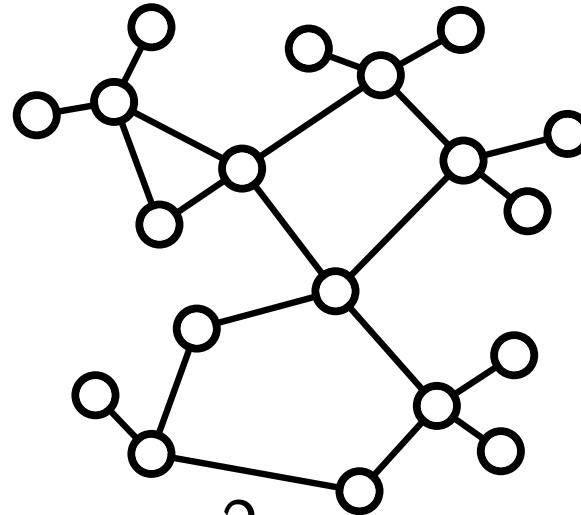
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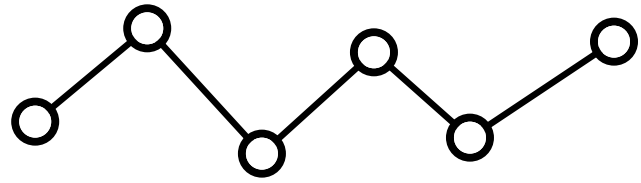
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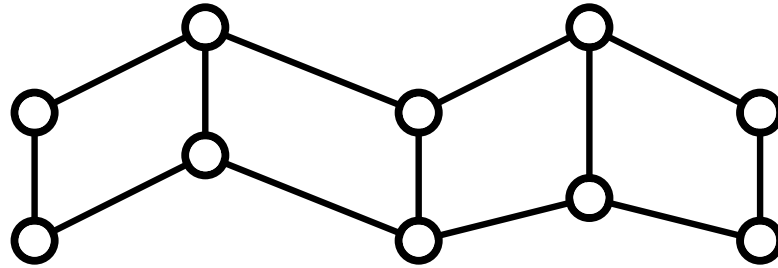
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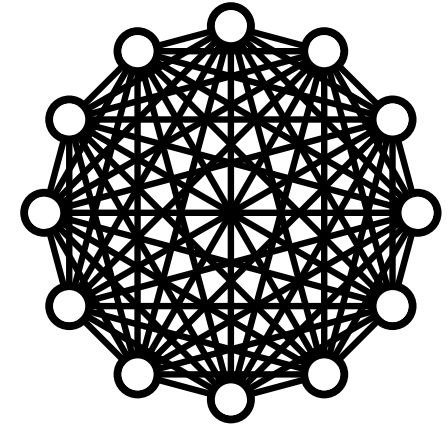
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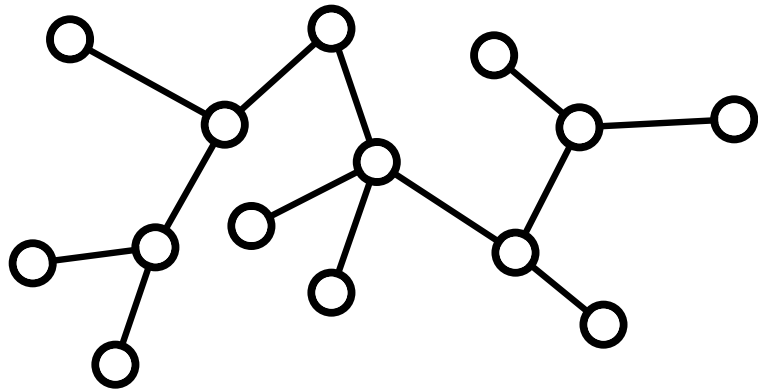
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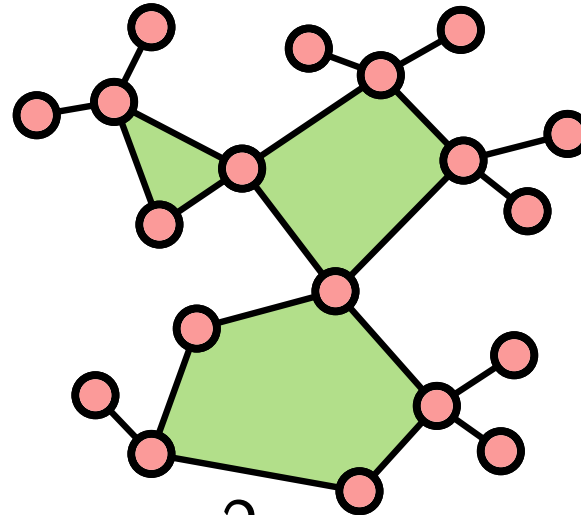
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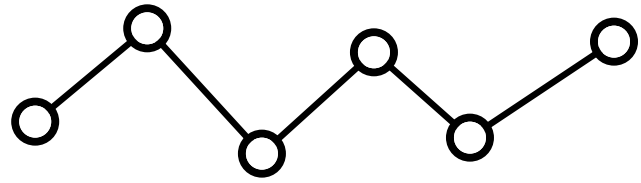
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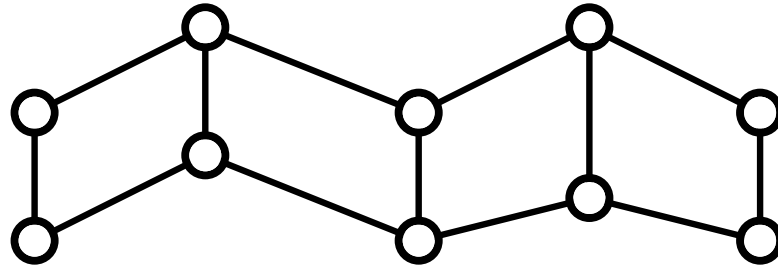
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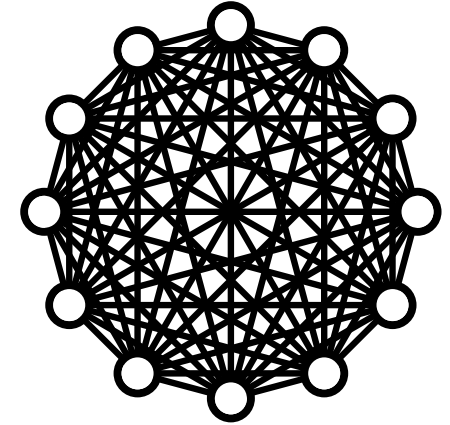
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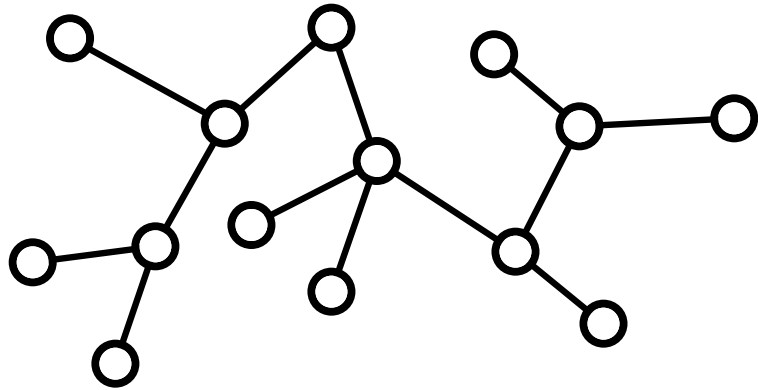
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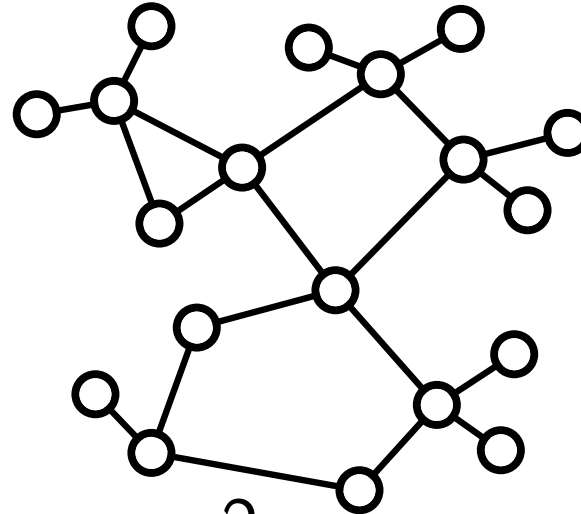
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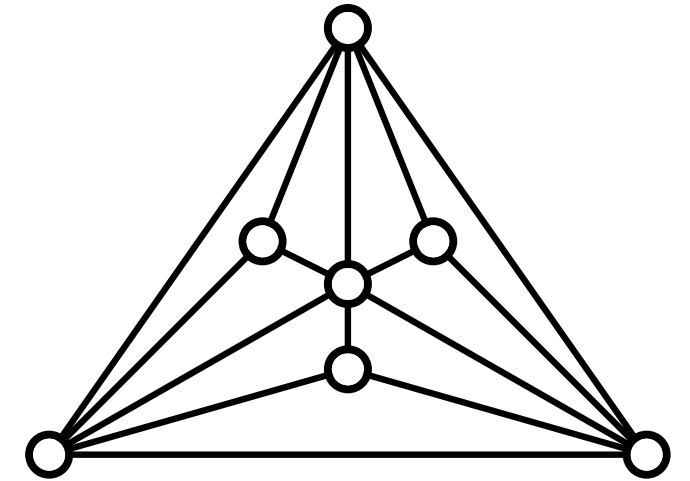
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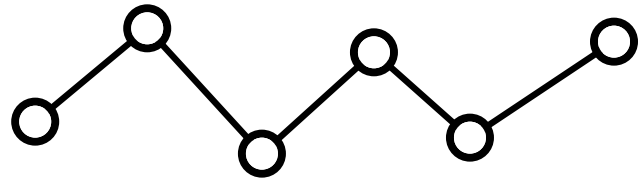
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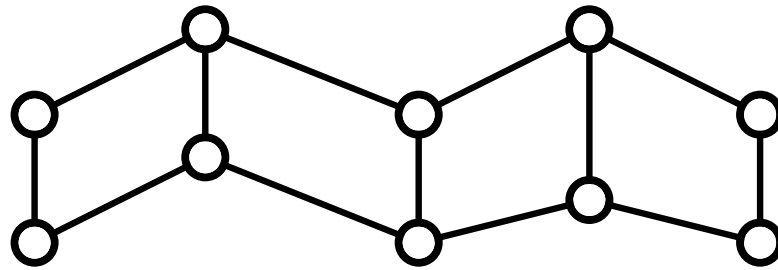
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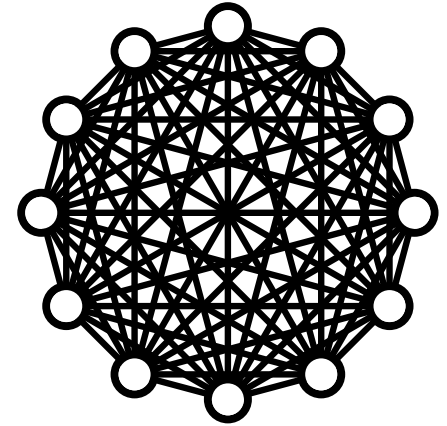
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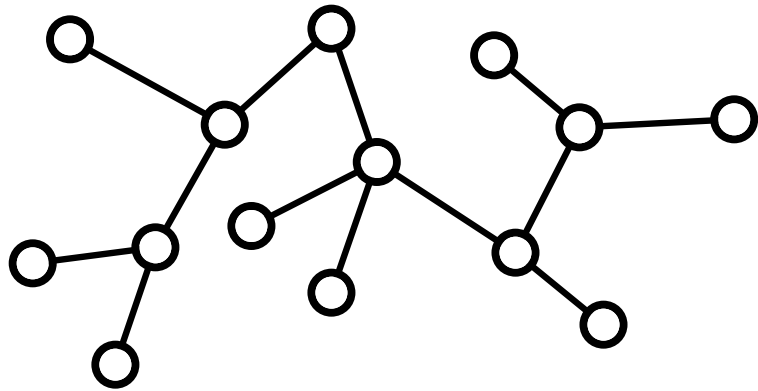
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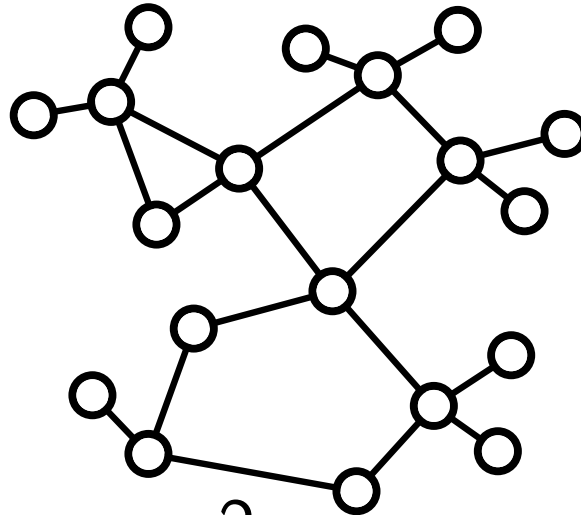
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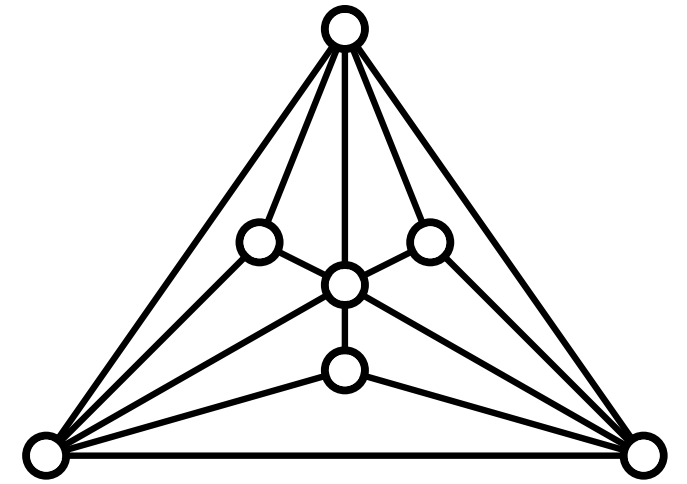
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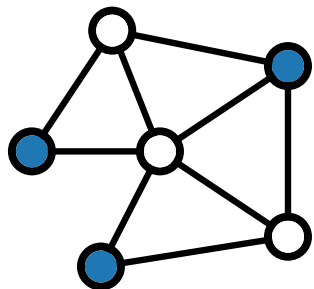
3

Path-/tree-like structure can be useful for designing dynamic programming algorithms.

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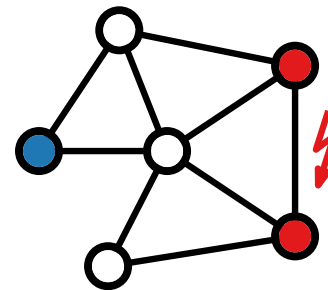
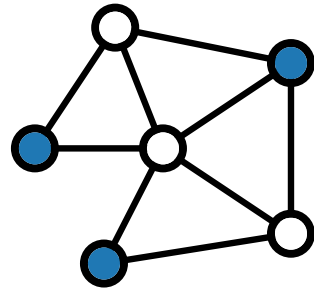
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- (Already unweighted) INDEPENDENT SET is NP-complete,



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**Output.** A set  $I \subseteq V$  that is **independent**, i.e.,  $\forall u, v \in I: \{u, v\} \notin E$ , and has **maximum weight**, i.e.,  $w(I) := \sum_{v \in I} w(v)$  is maximized.



- (Already unweighted) INDEPENDENT SET is NP-complete,
- but can be solved efficiently on tree-like graphs (also when weighted).

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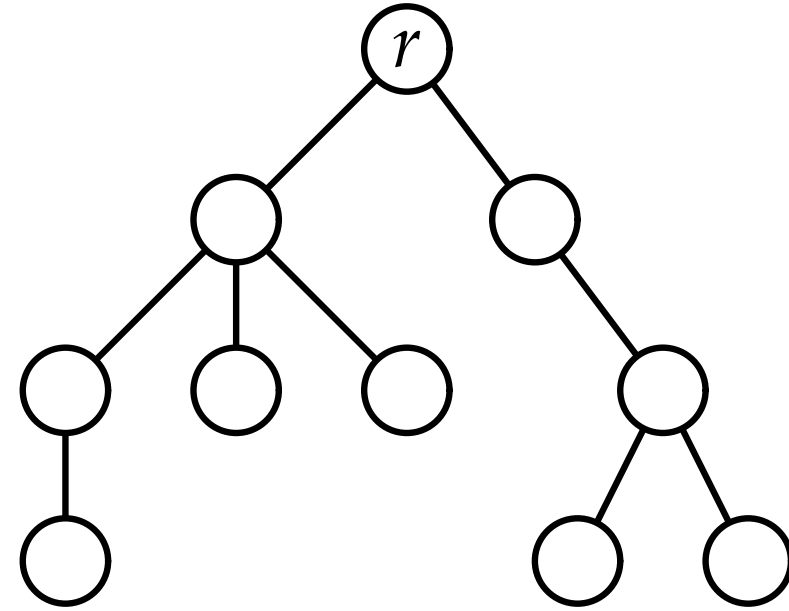
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- (Already unweighted) INDEPENDENT SET is NP-complete,
- but can be solved efficiently on tree-like graphs (also when weighted).
- On trees, (WEIGHTED) INDEPENDENT SET can be solved in linear time.

# INDEPENDENT SET in Trees

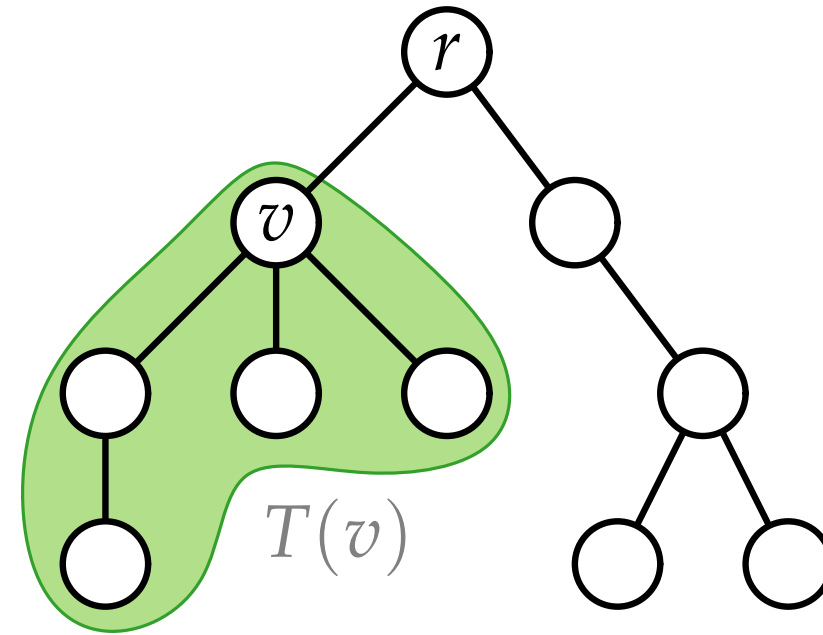
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Let  $T(v) :=$  subtree rooted at  $v$

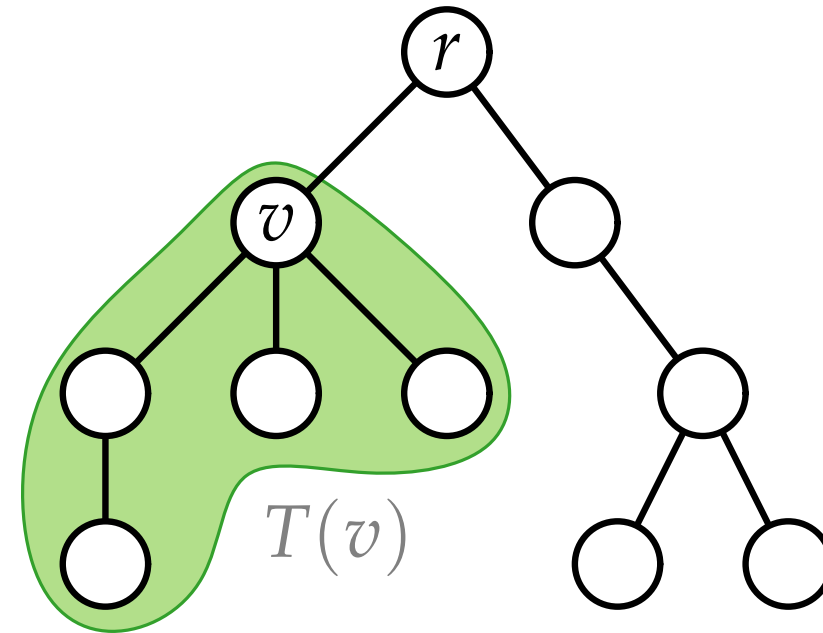


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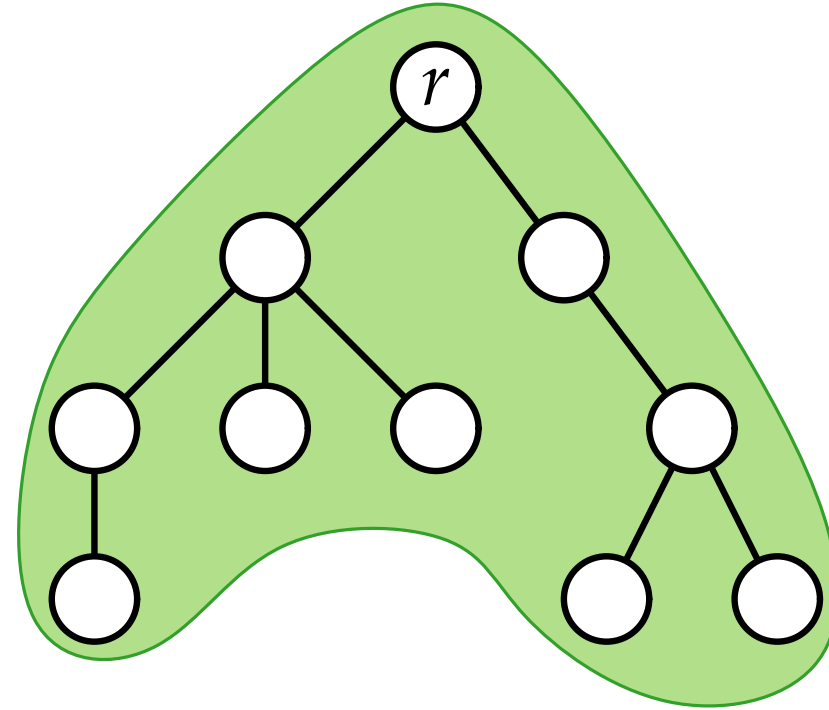
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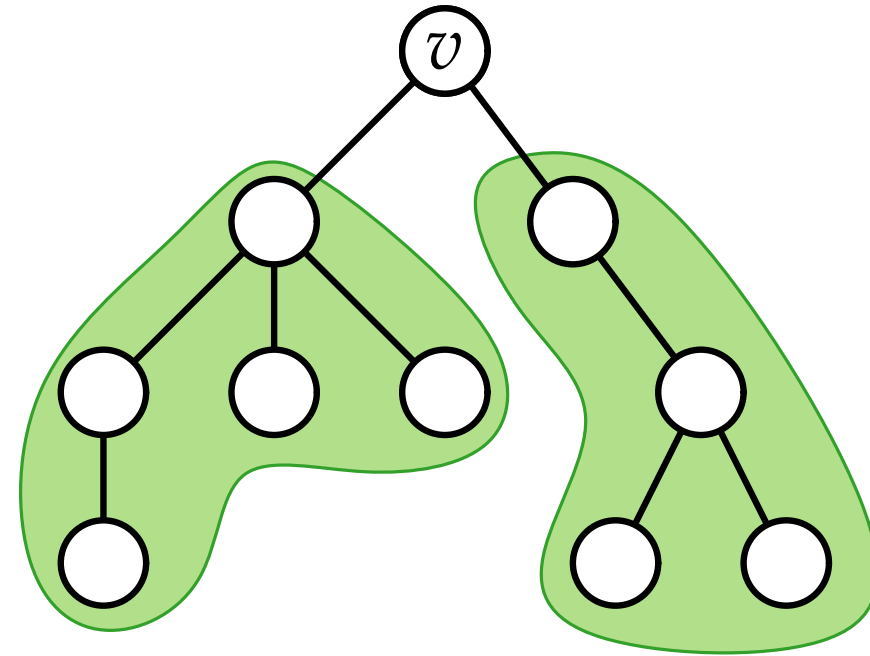


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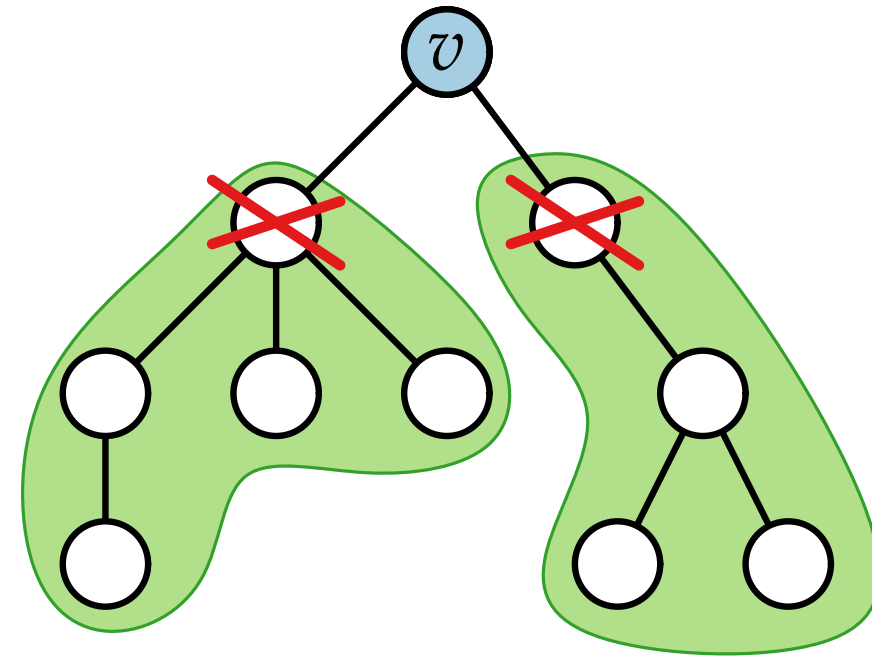


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If  $v \in V$  is part of the independent set  $I$ , then none of its neighbors  $N(v)$  is also in  $I$ .



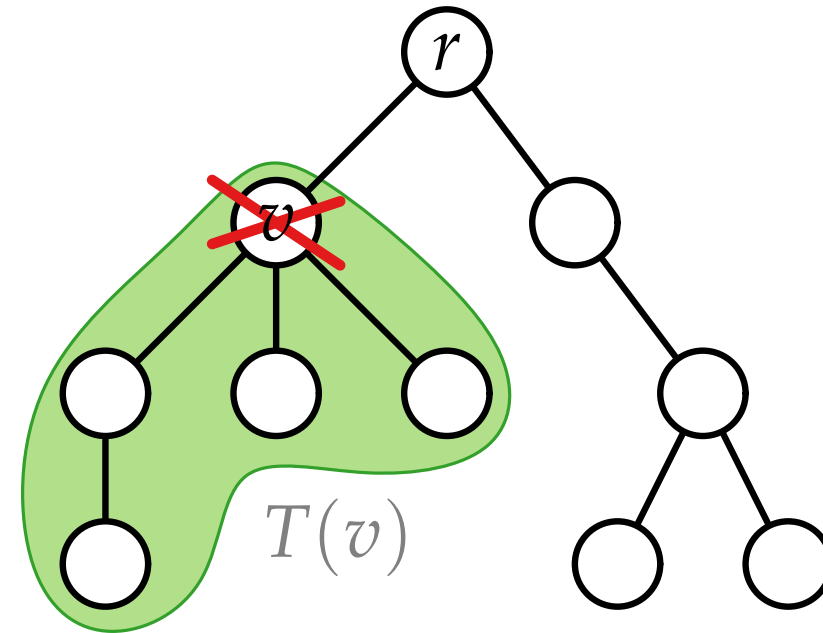
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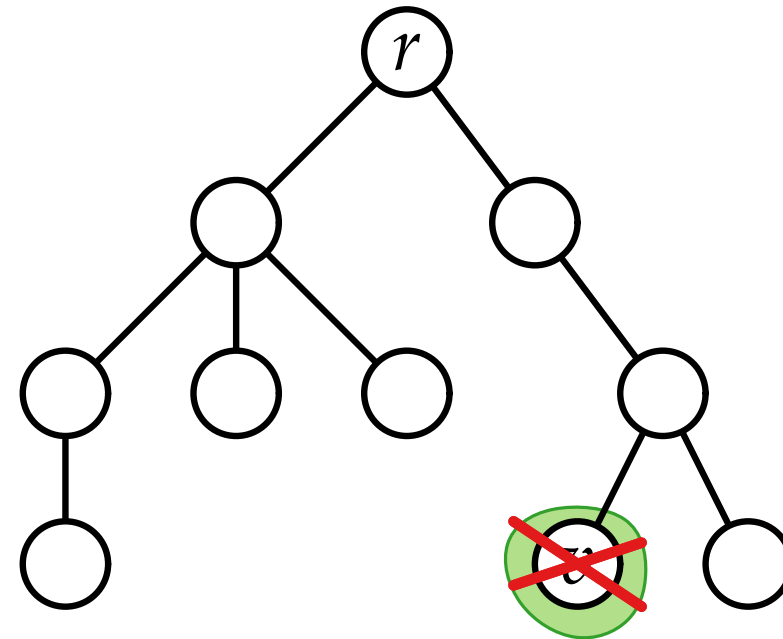
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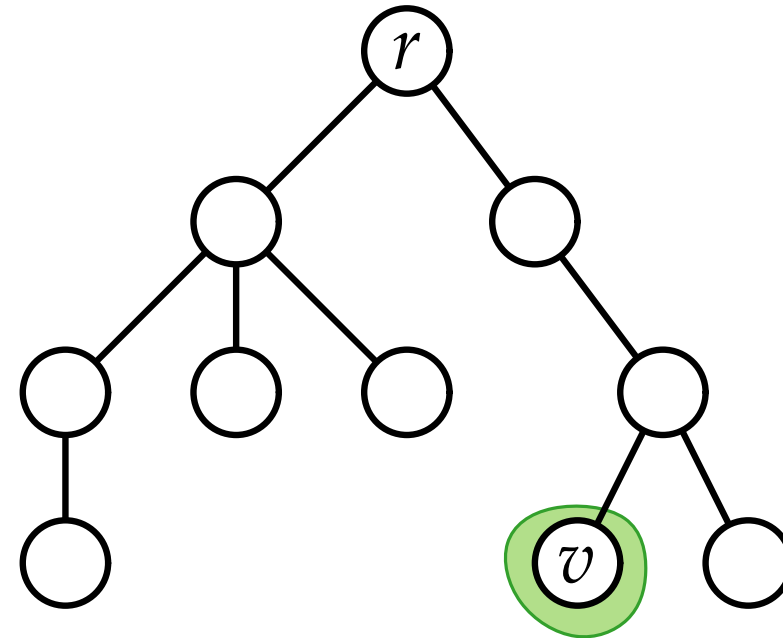
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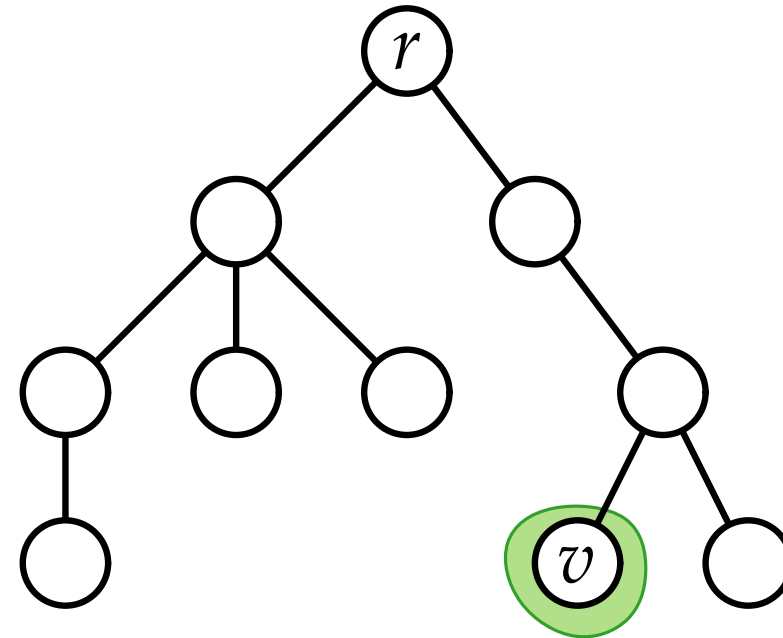
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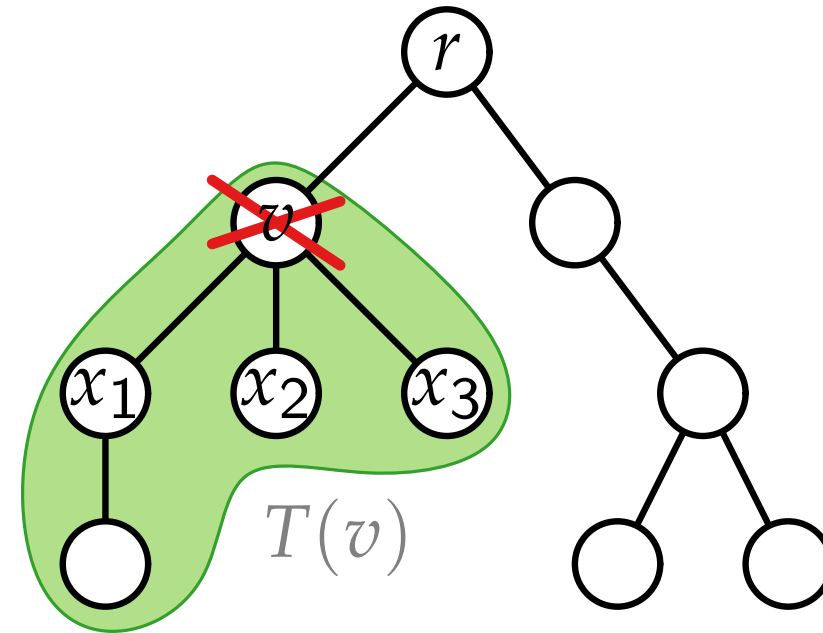
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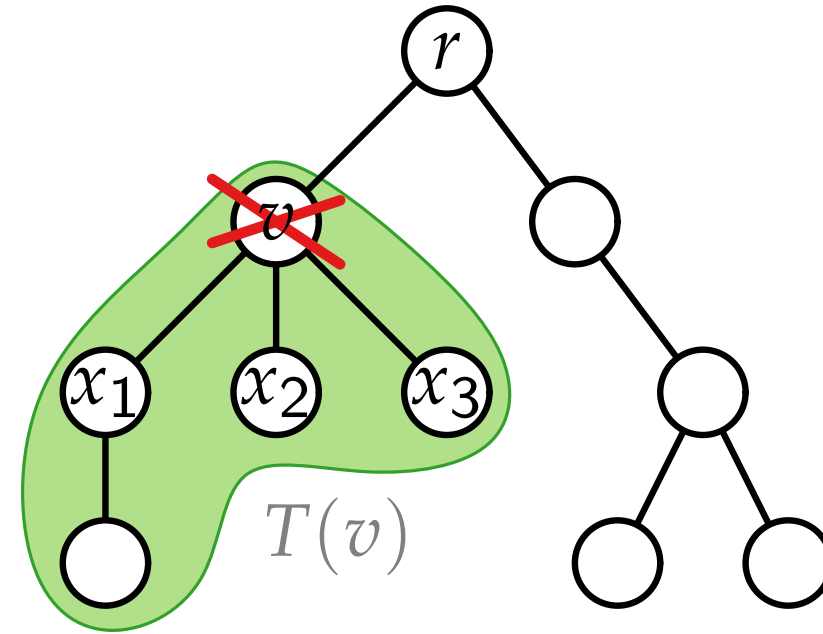
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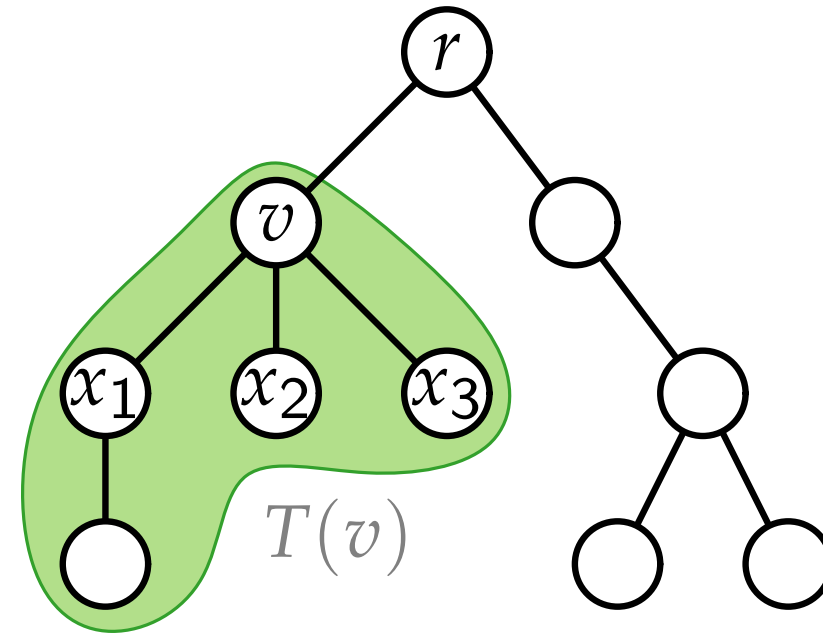
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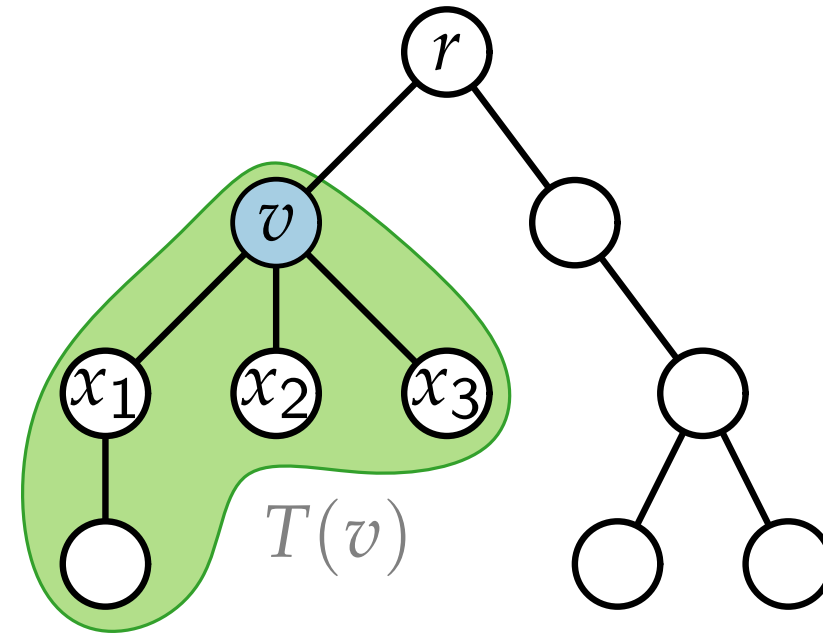
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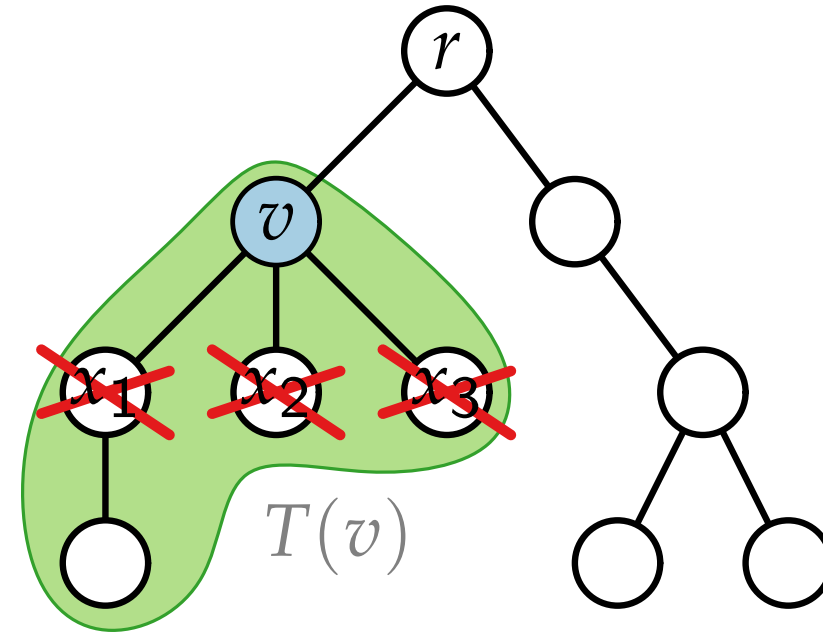
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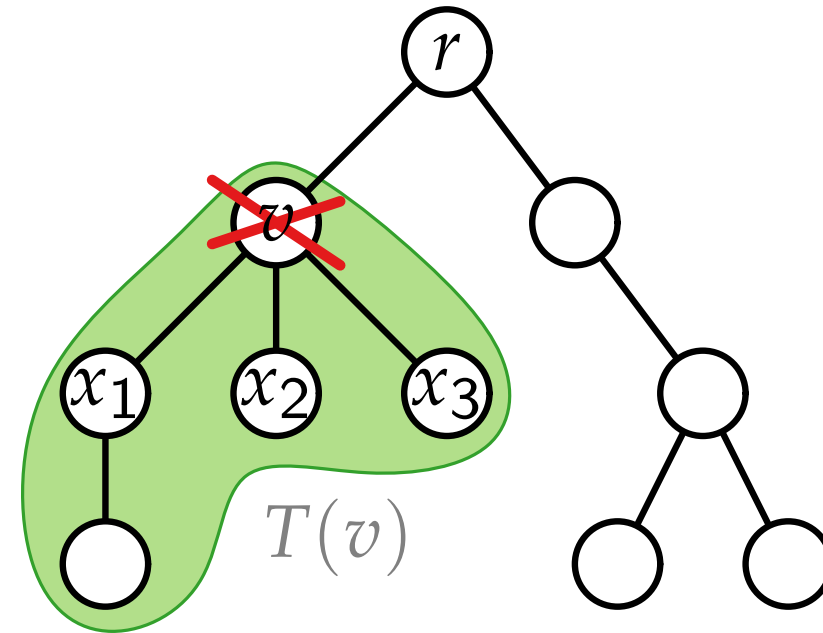
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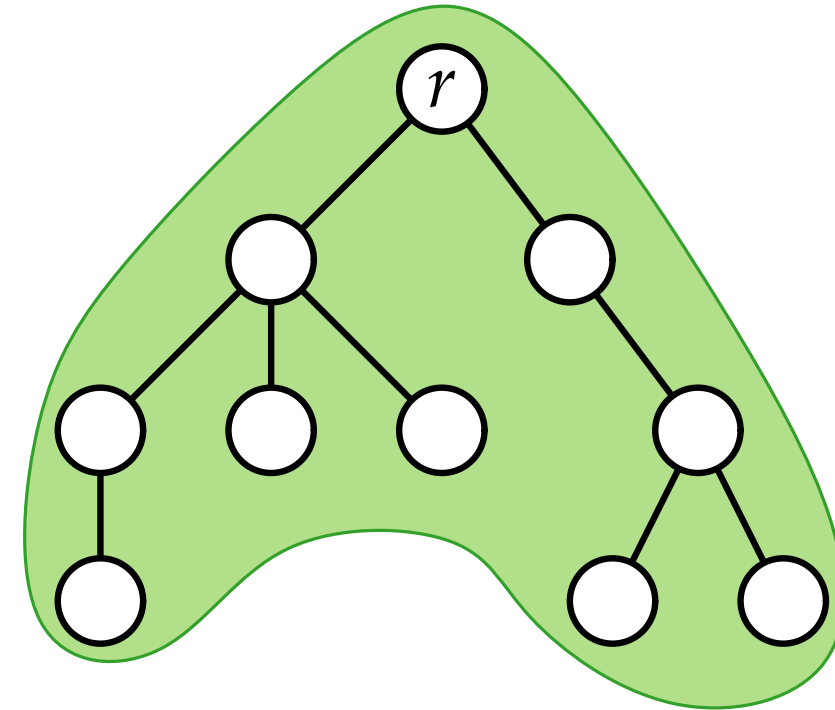
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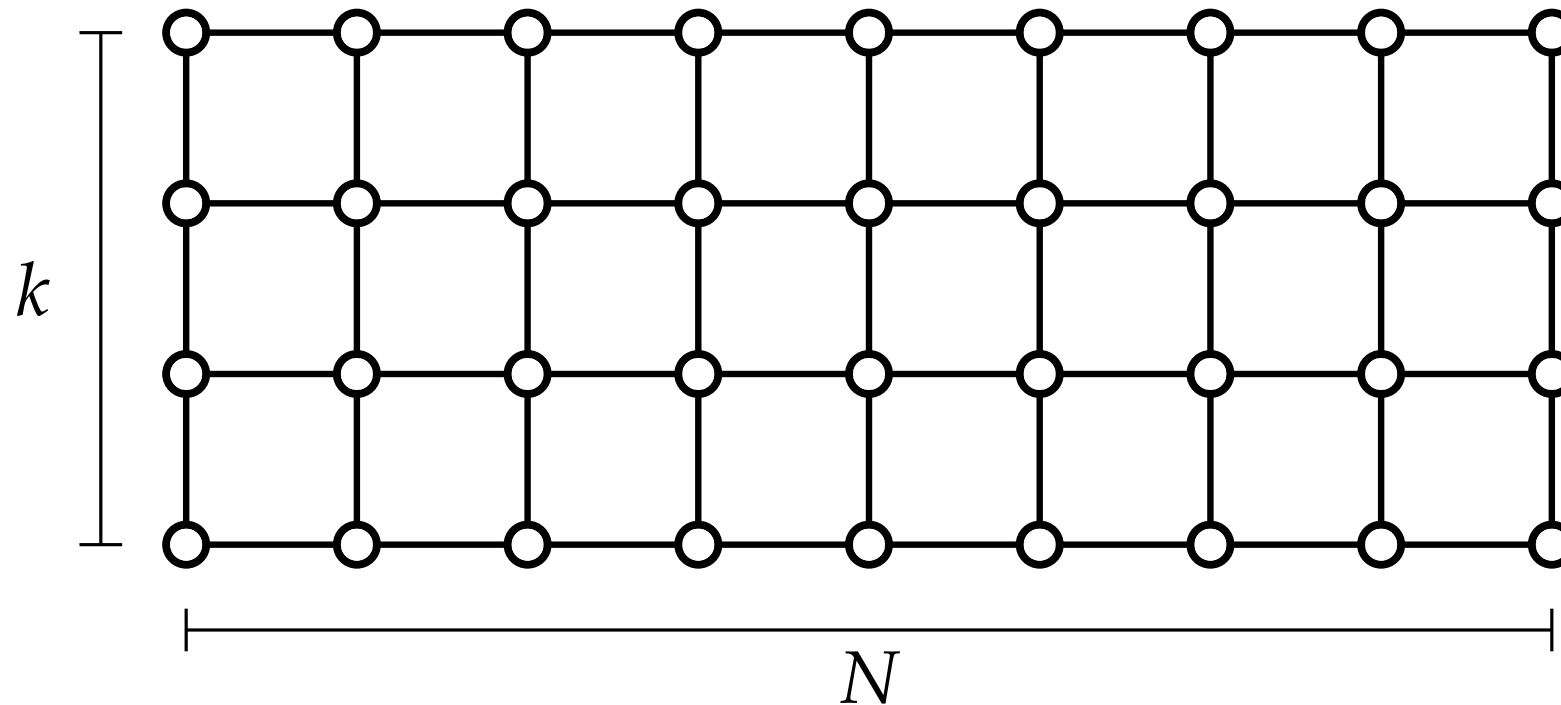
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**Algorithm:** Compute  $A(\cdot)$  and  $B(\cdot)$  bottom-up, return  $A(r)$ .

# Grid Graphs

In a  $k \times N$  **grid graph**

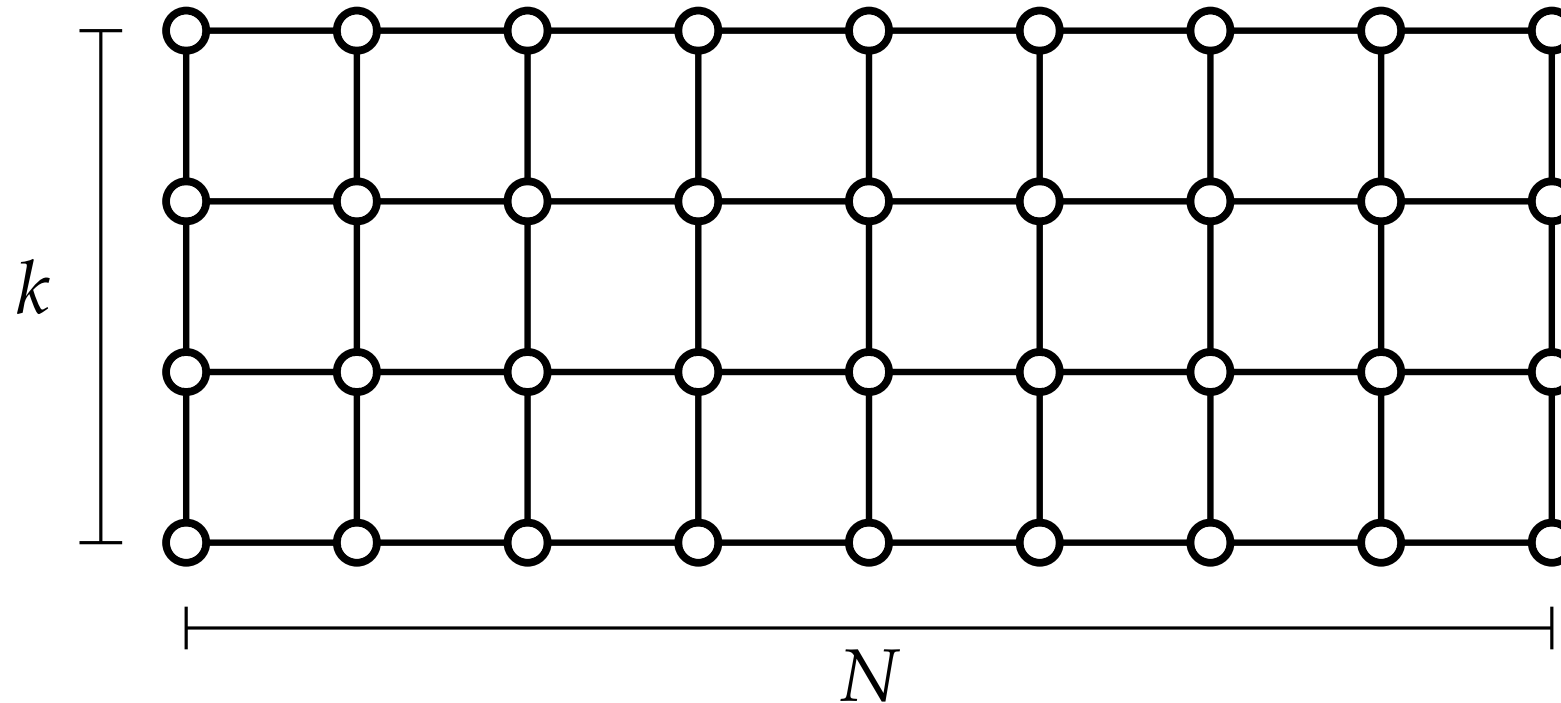
- the vertex set consist of all pairs  $(i, j)$  where  $1 \leq i \leq k$  and  $1 \leq j \leq N$ , and
- two vertices  $(i_1, j_1)$  and  $(i_2, j_2)$  are adjacent if and only if  $|i_1 - i_2| + |j_1 - j_2| = 1$ .



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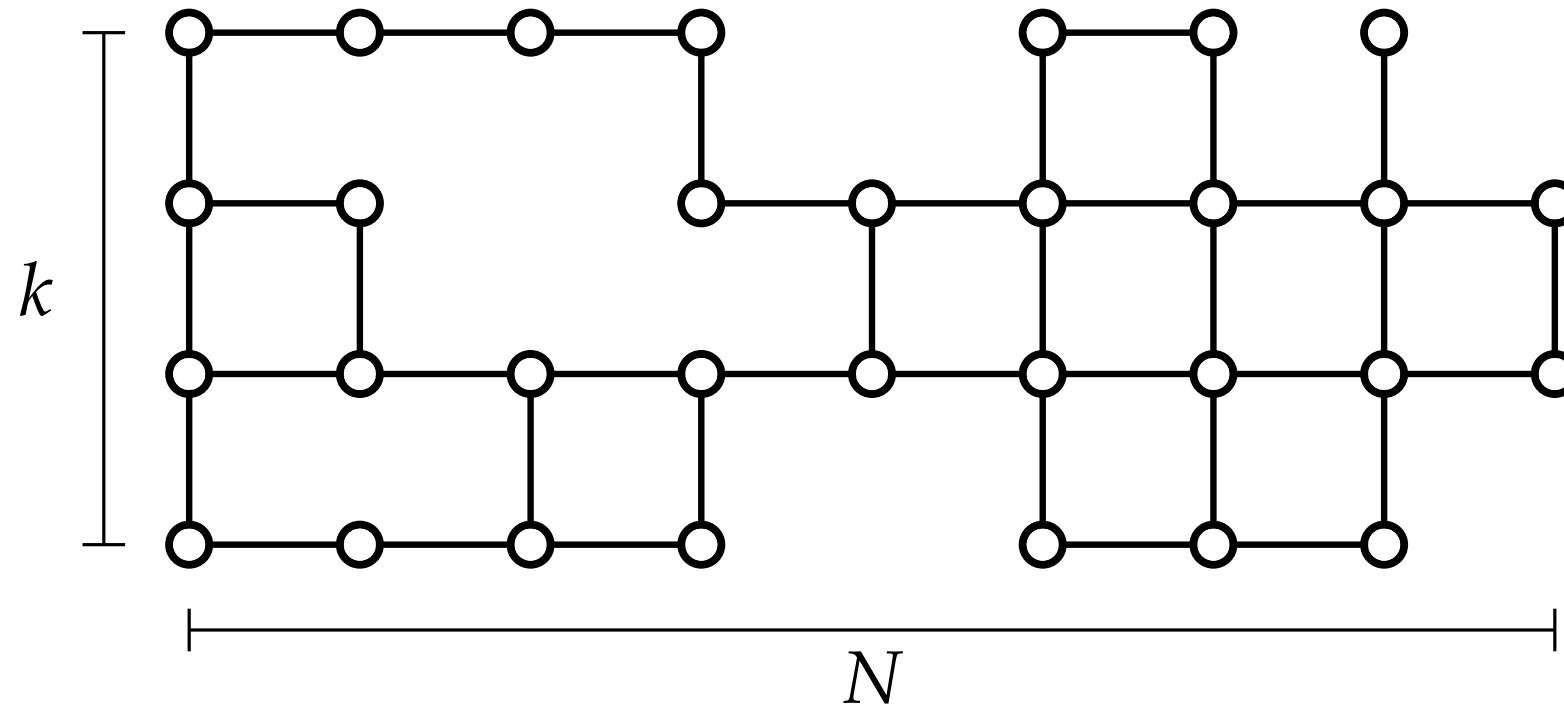


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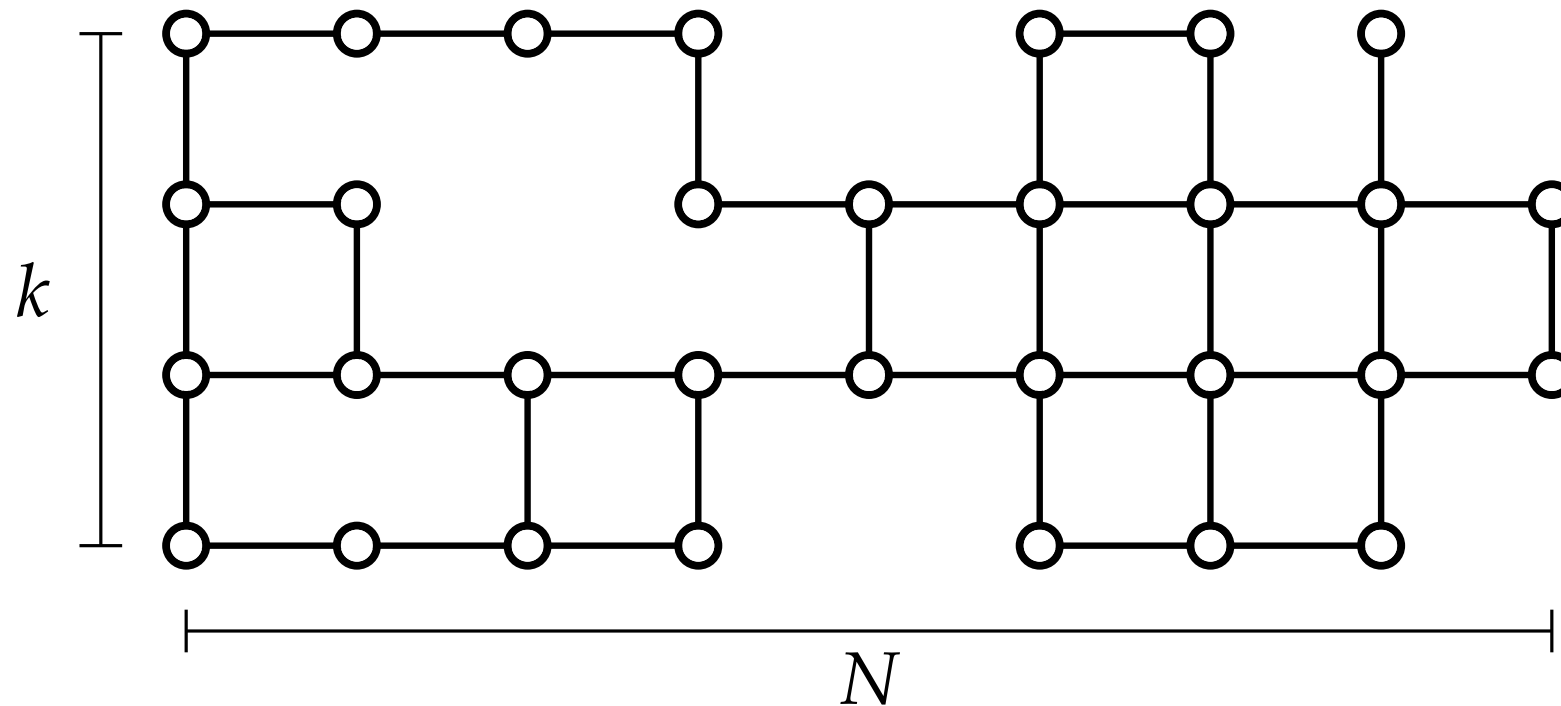


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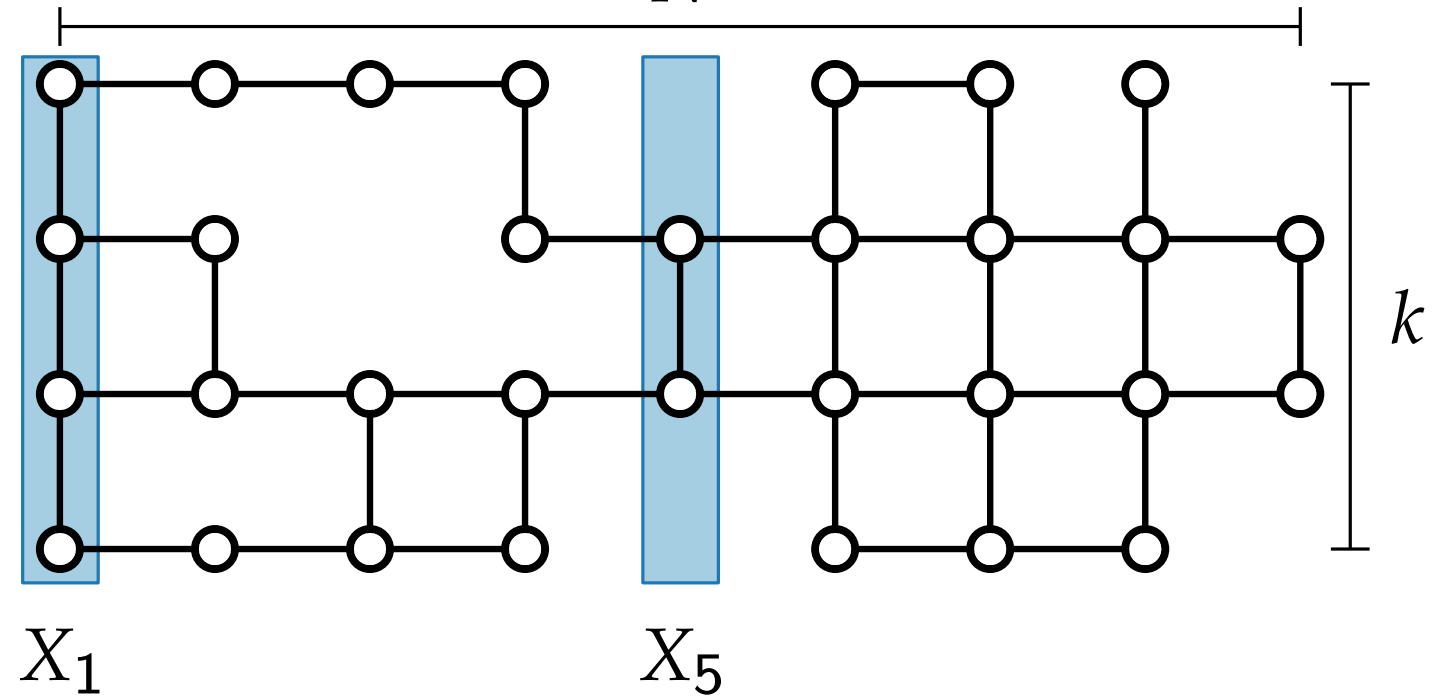


We will study INDEPENDENT SET in subgraphs of  $k \times N$  grid graphs.

**Goal:** An FPT algorithm with respect to the parameter  $k$ .

# INDEPENDENT SET in $k \times N$ Grid Graphs

Let  $X_j$  be the  $j$ -th column, that is,  
 $X_j = V(G) \cap \{(i, j) \mid 1 \leq i \leq k\}$ .

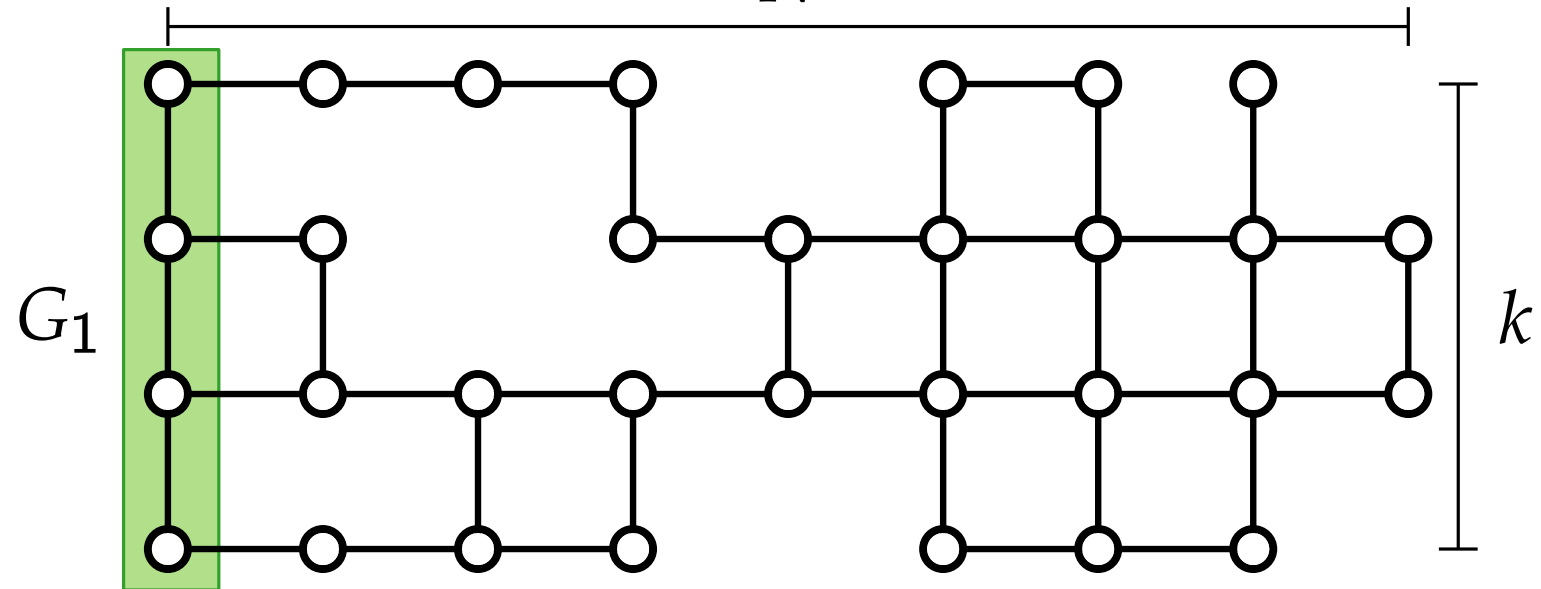




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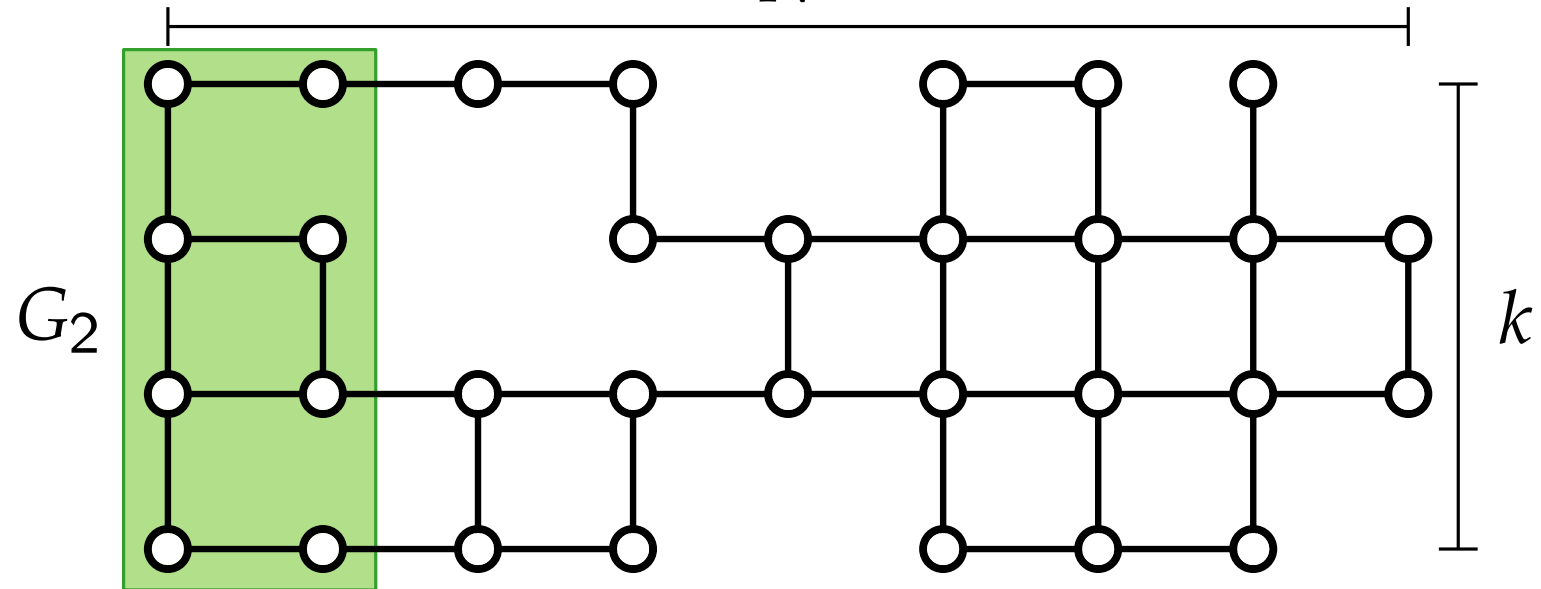
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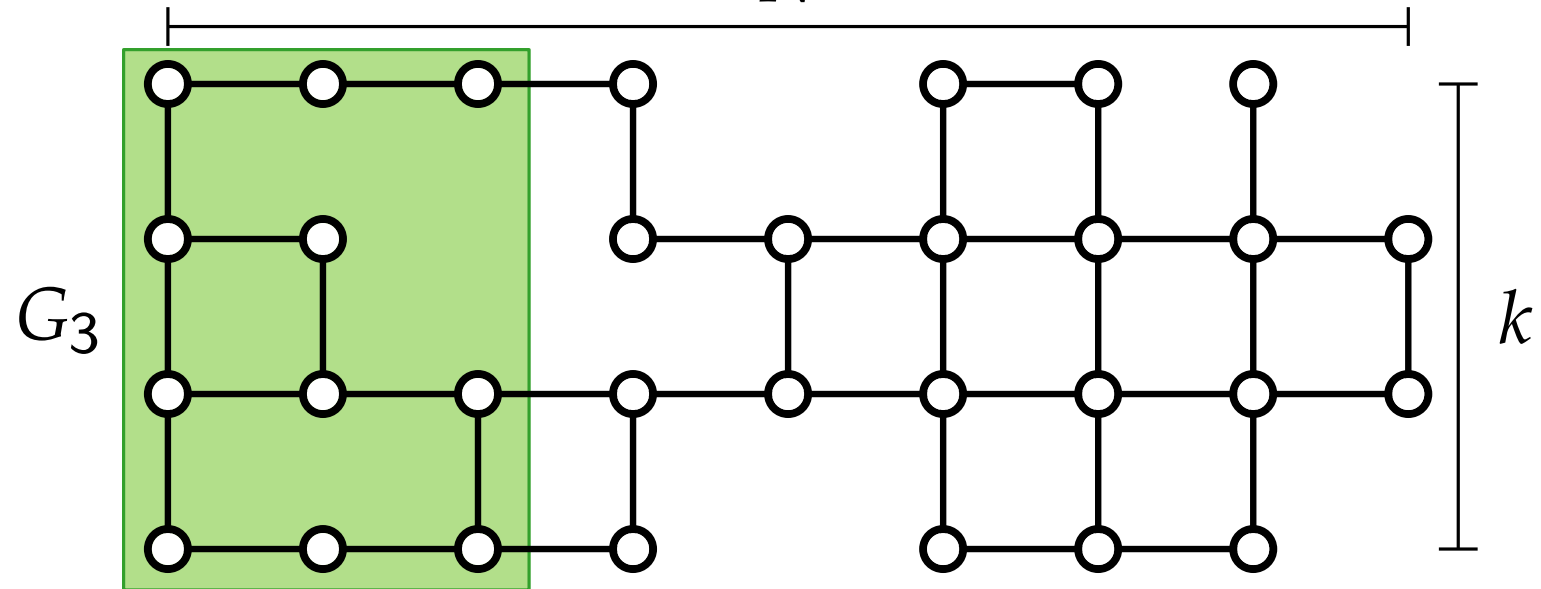
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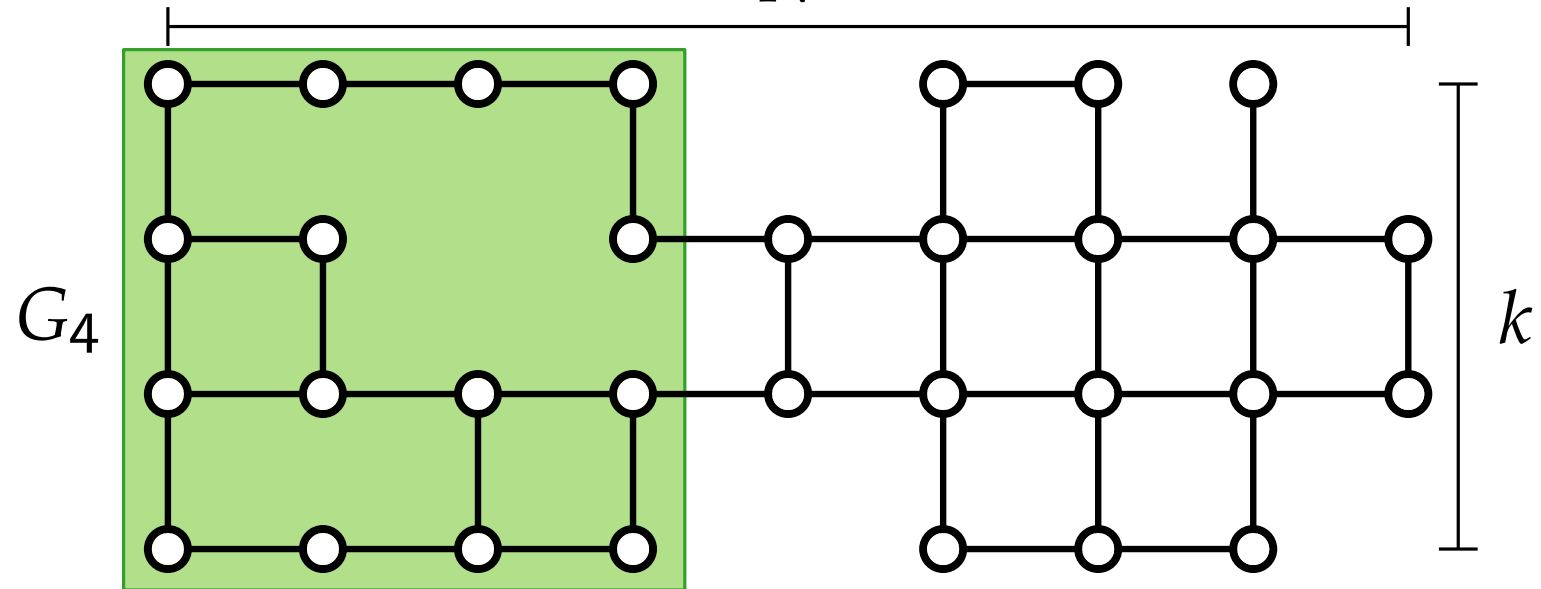
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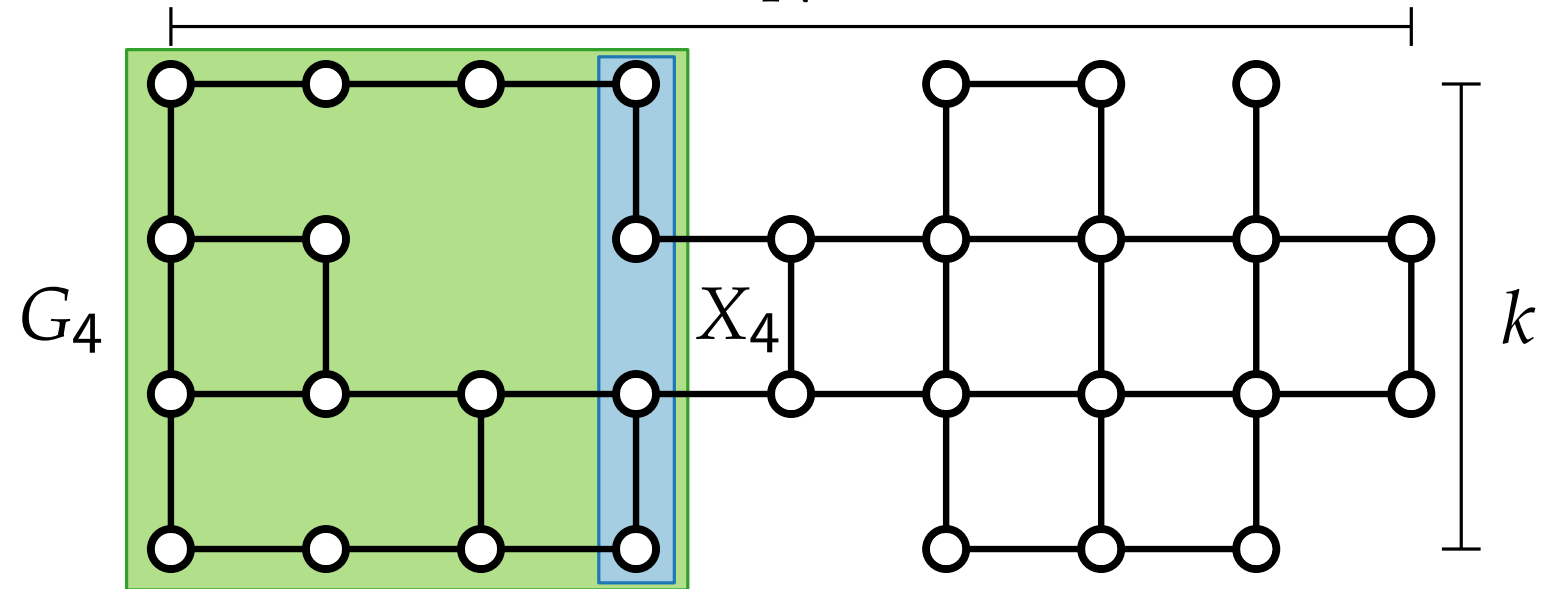
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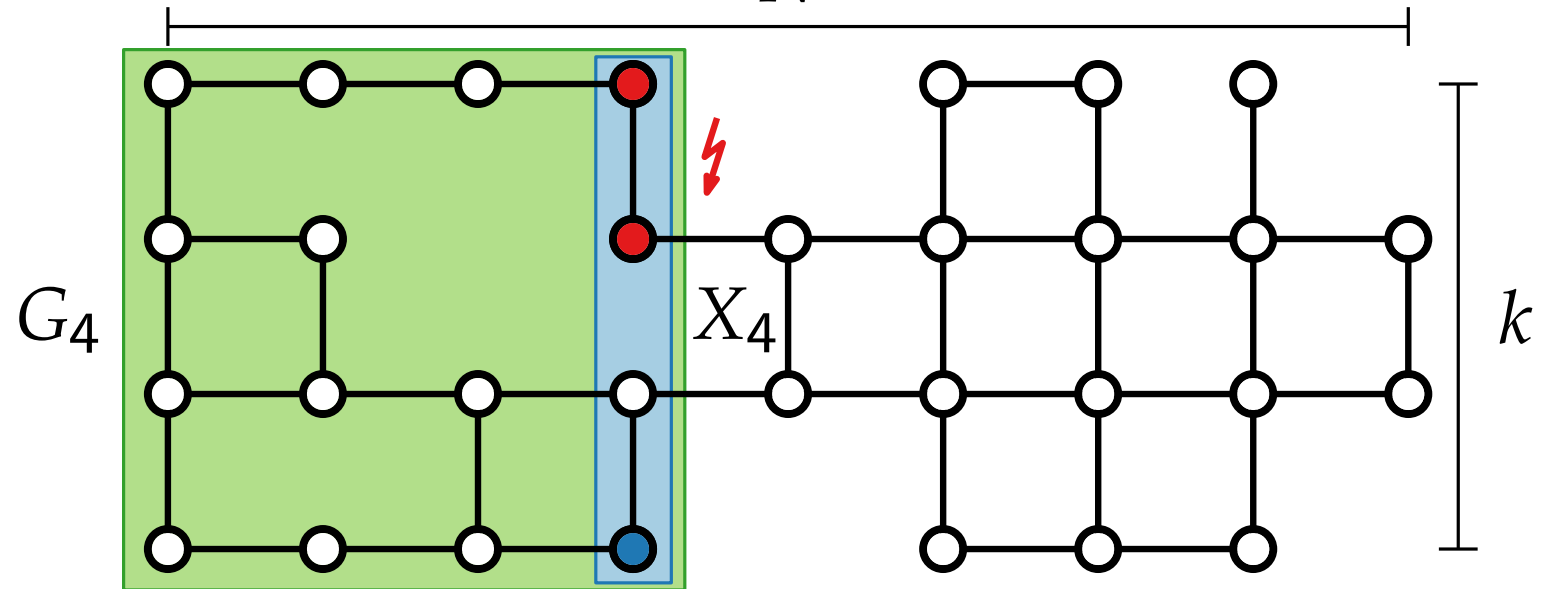
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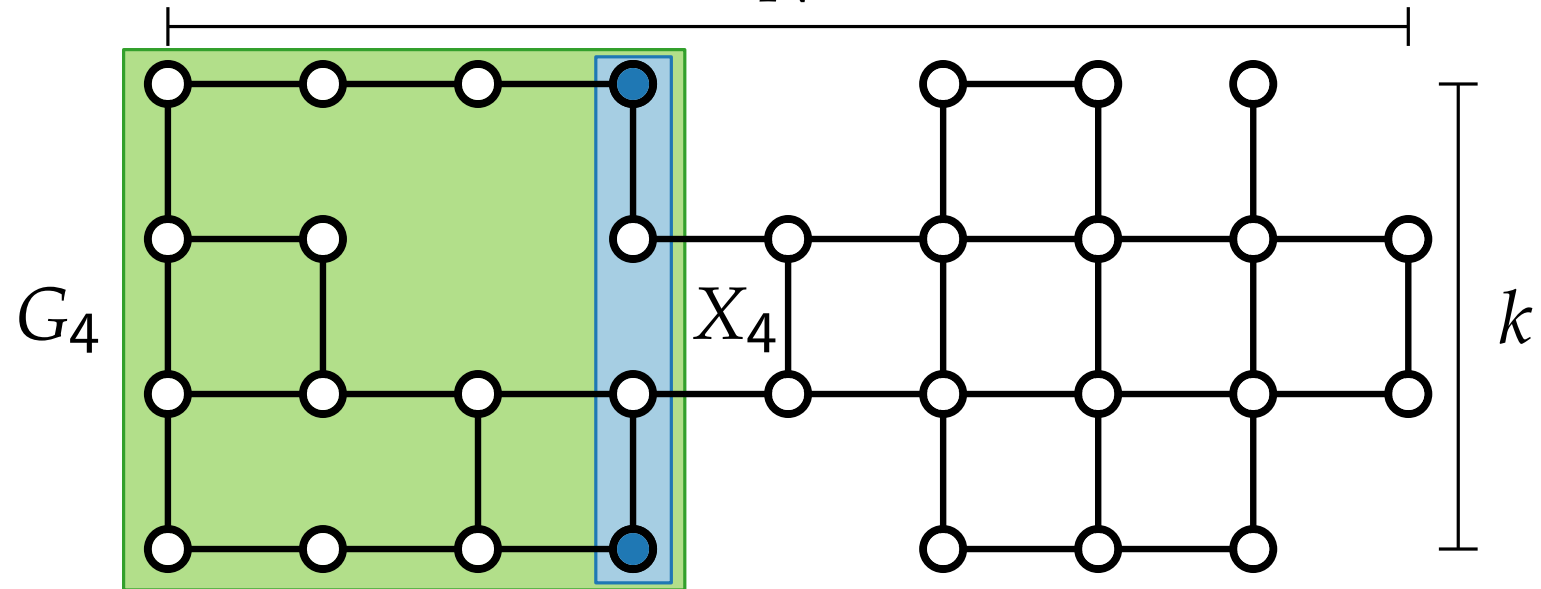
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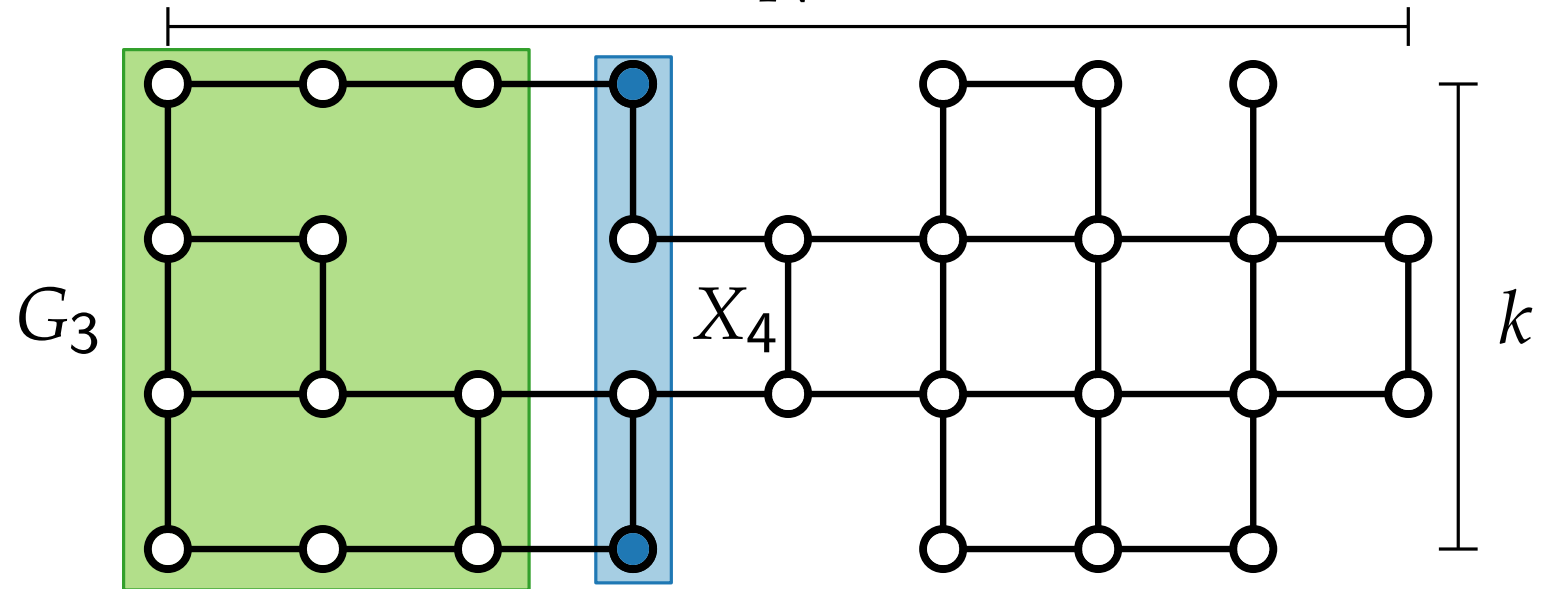
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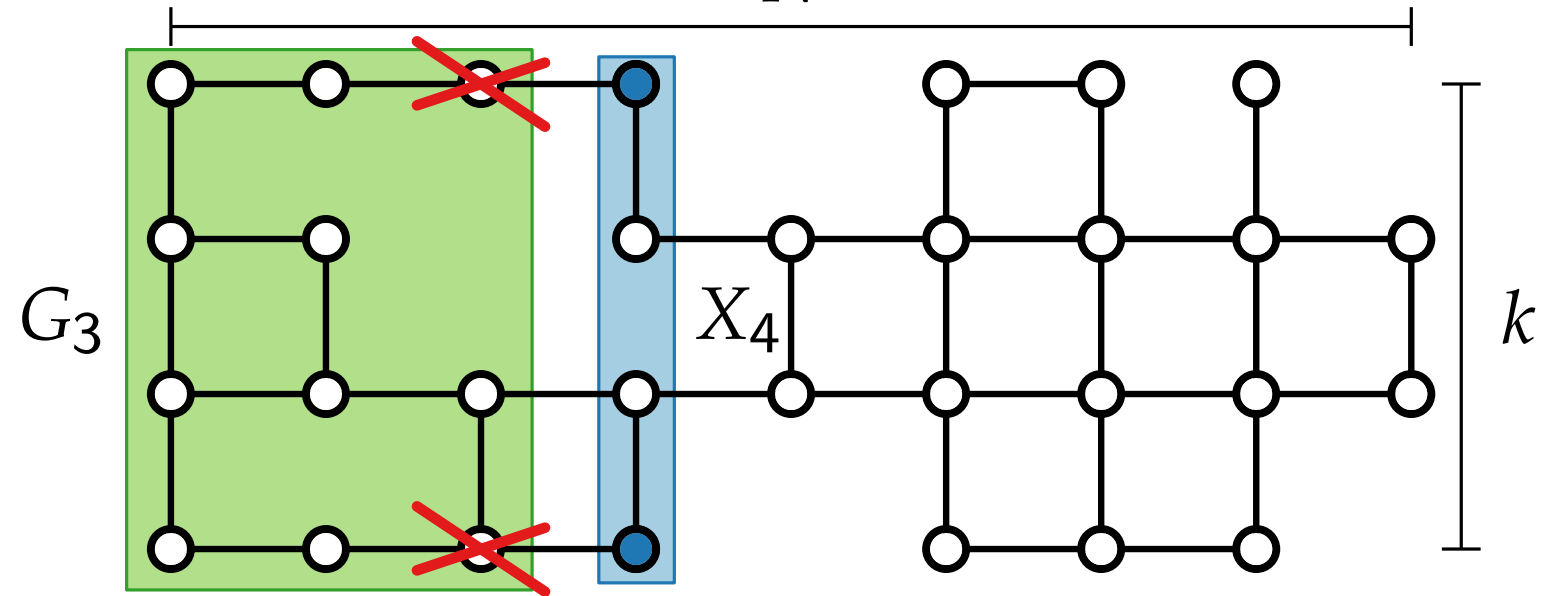




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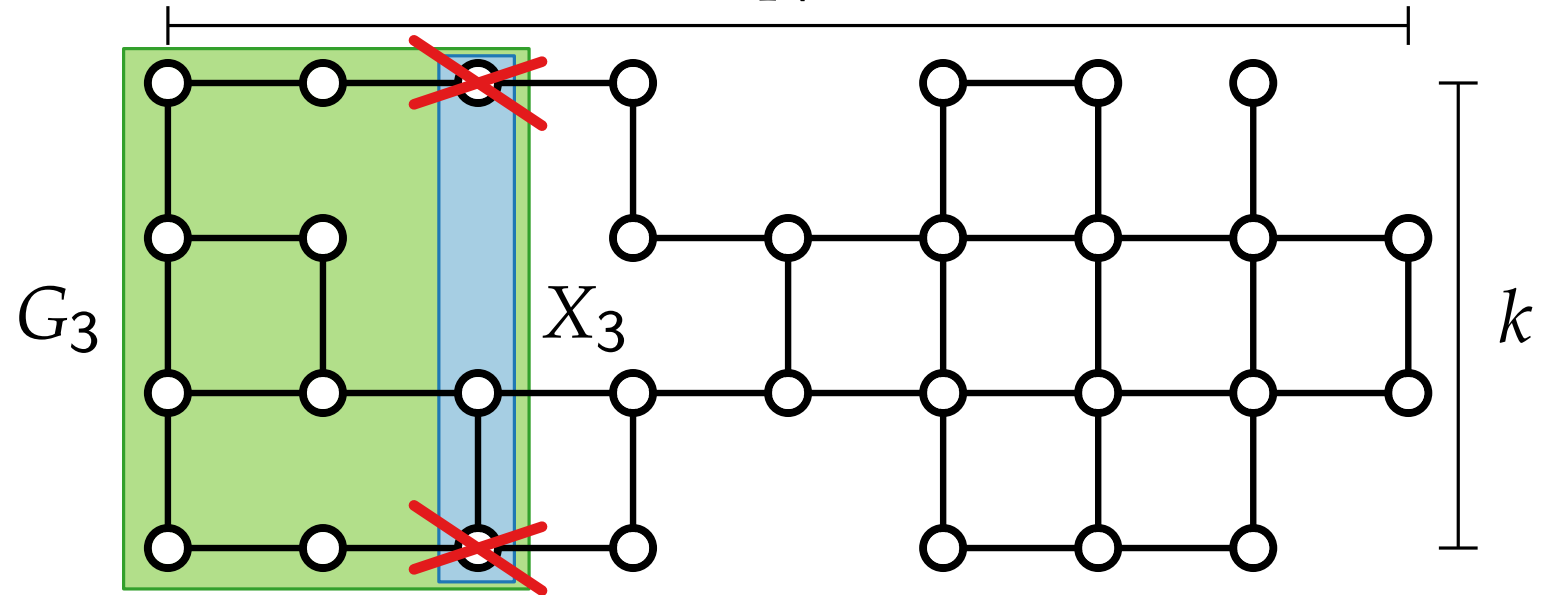
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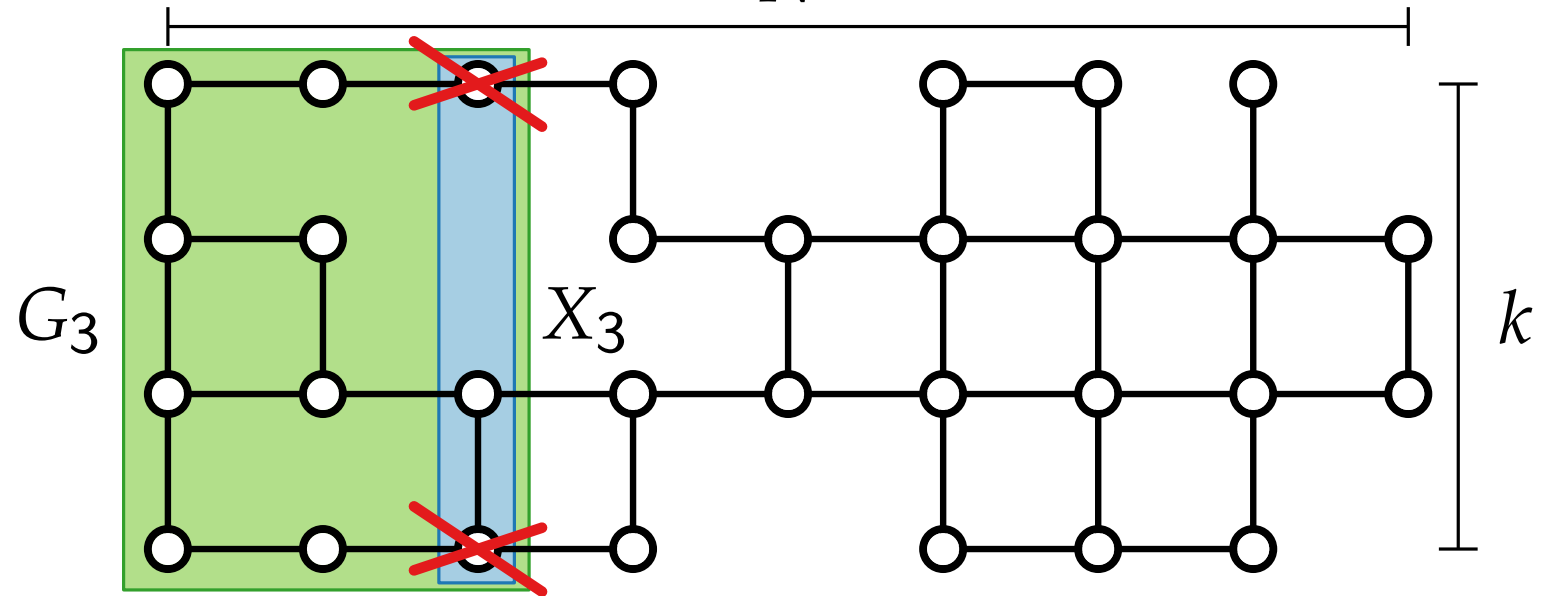


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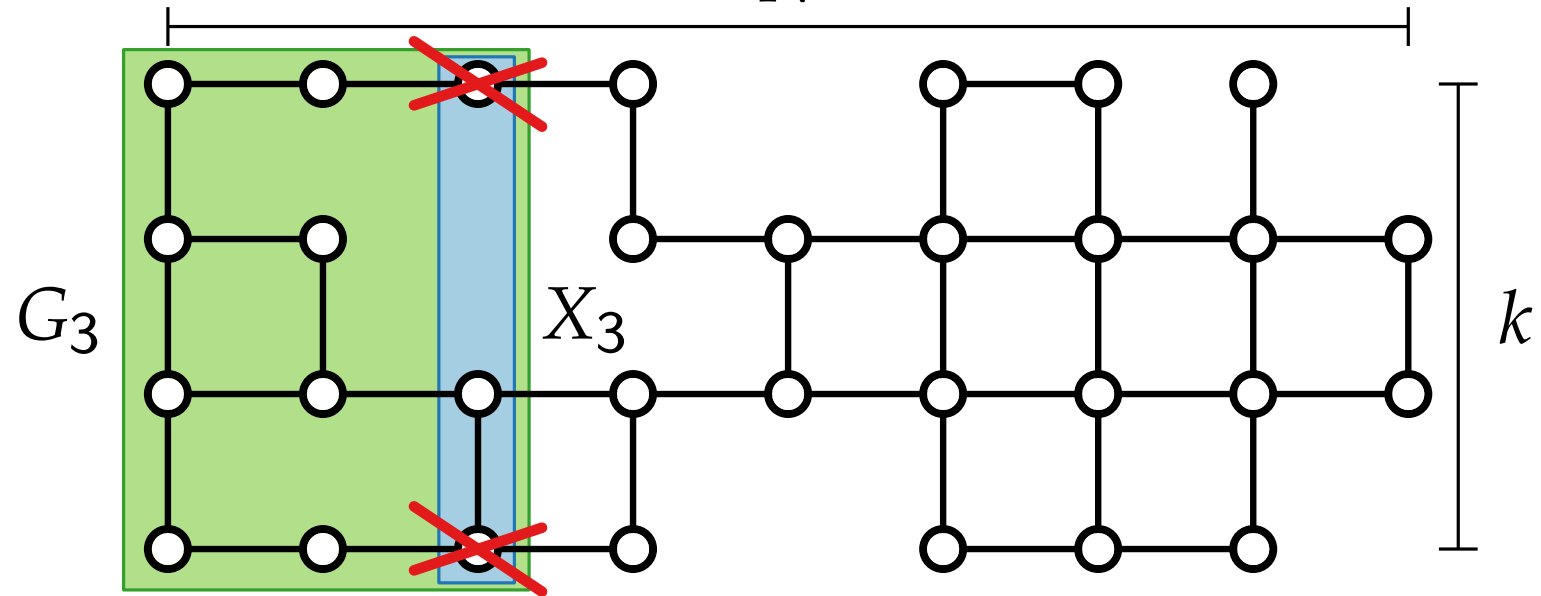
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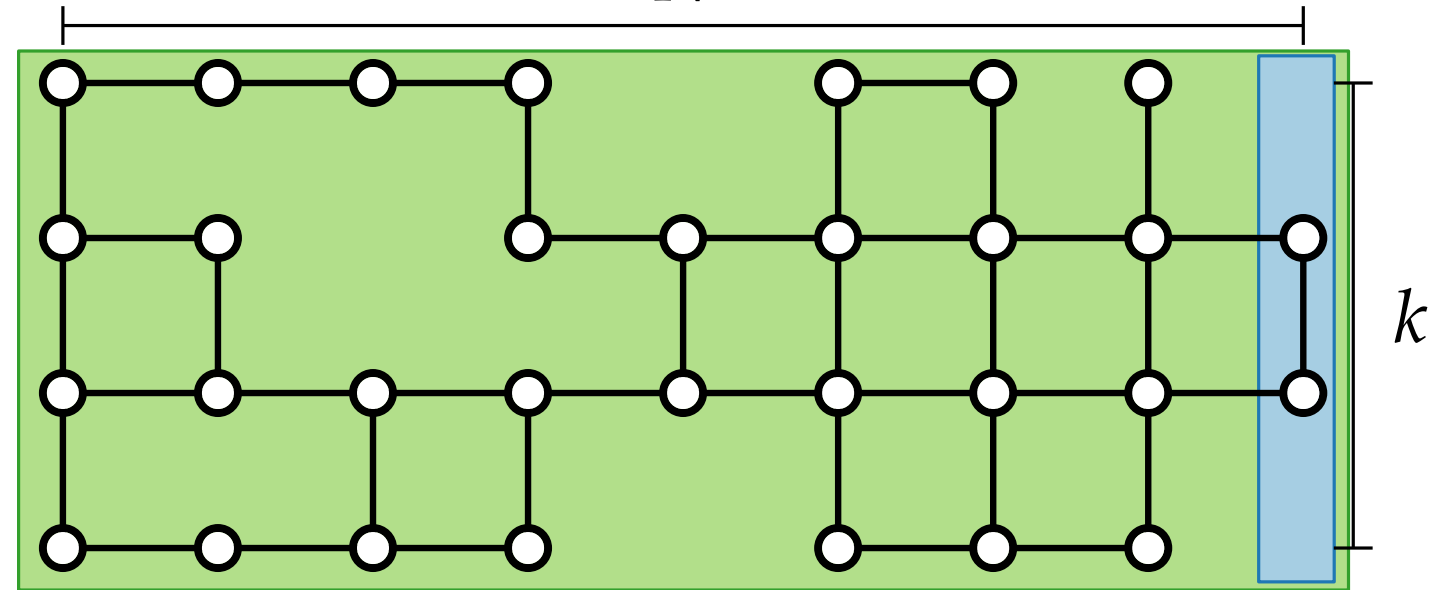
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# INDEPENDENT SET in $k \times N$ Grid Graphs <sub>$N$</sub>

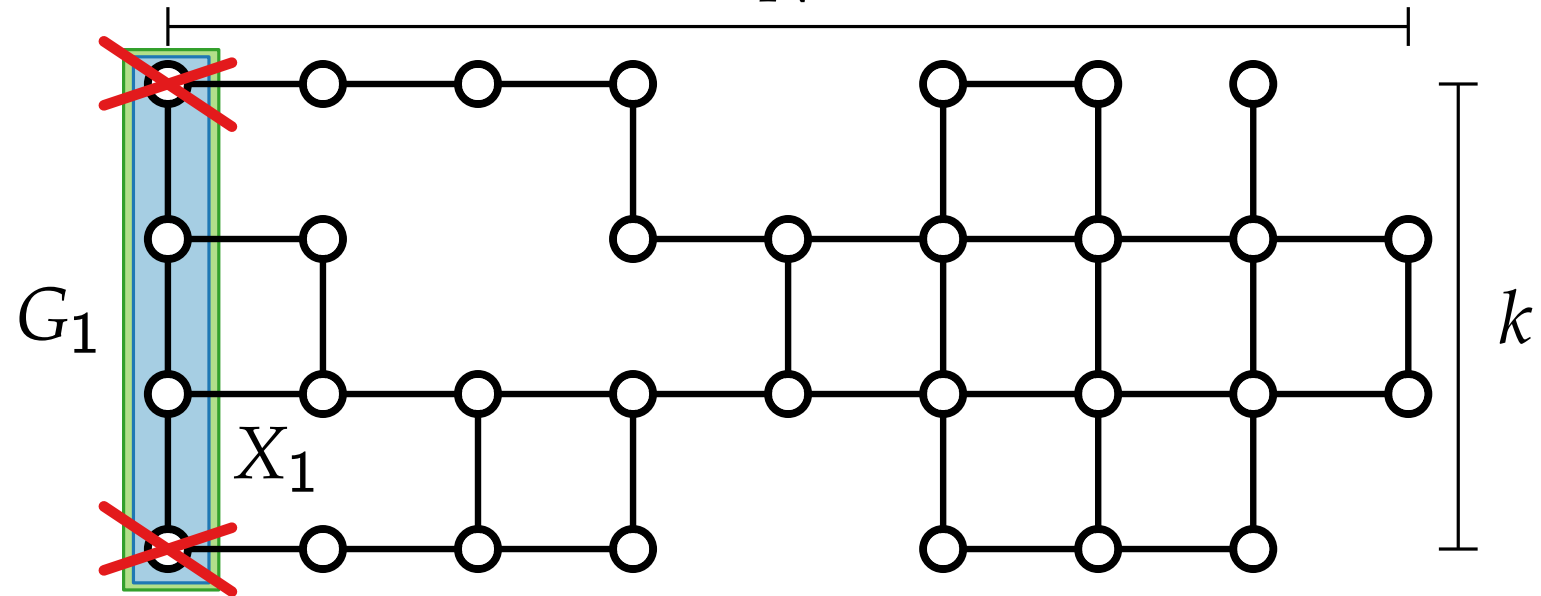
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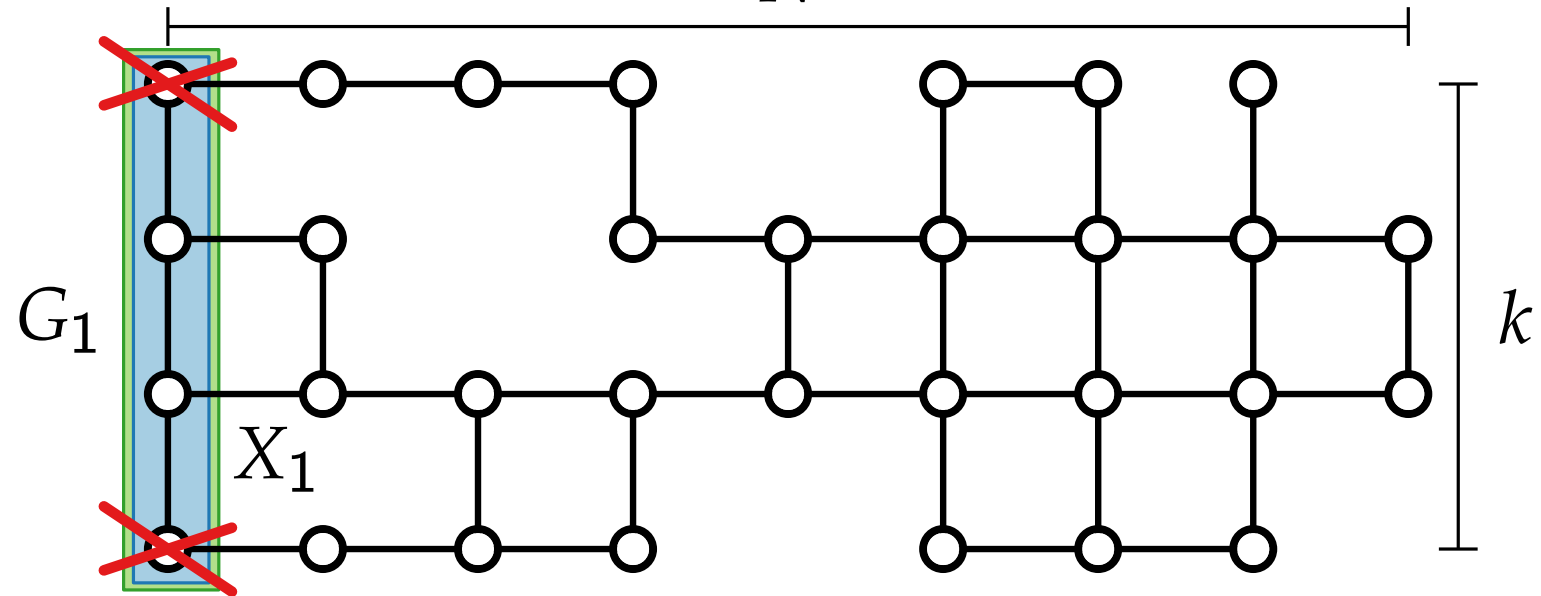
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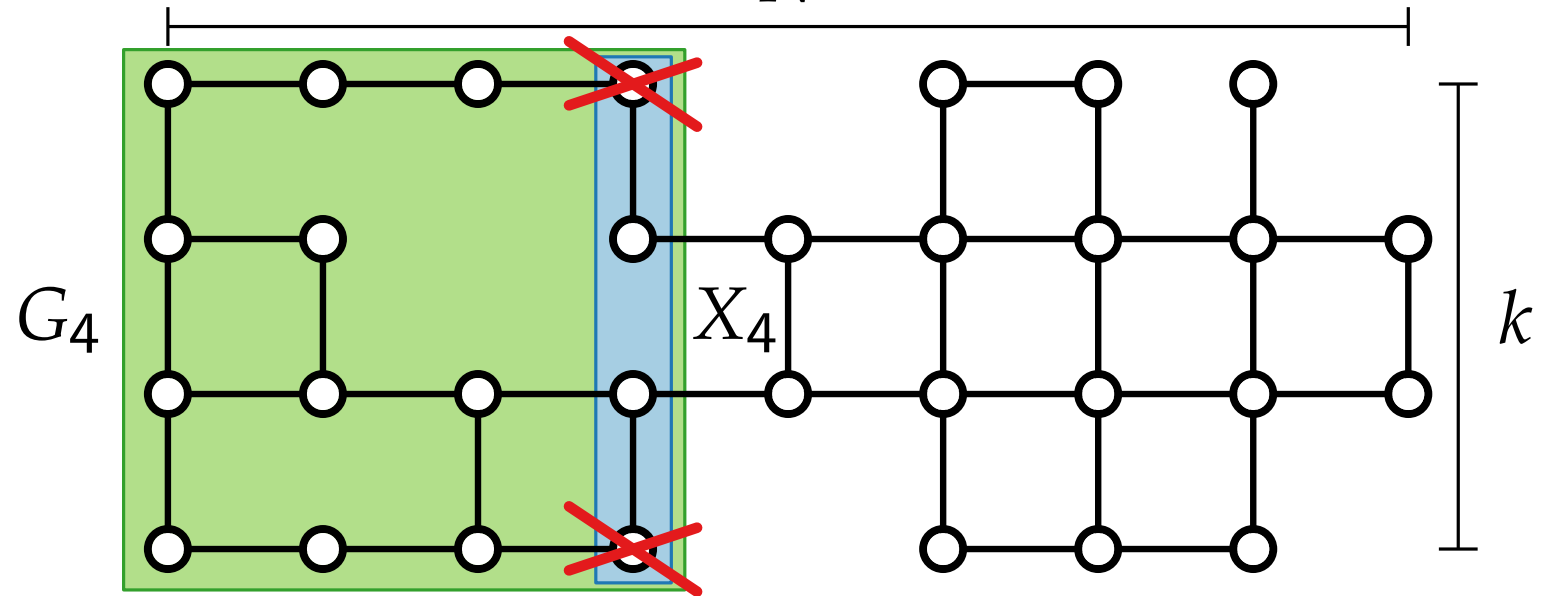
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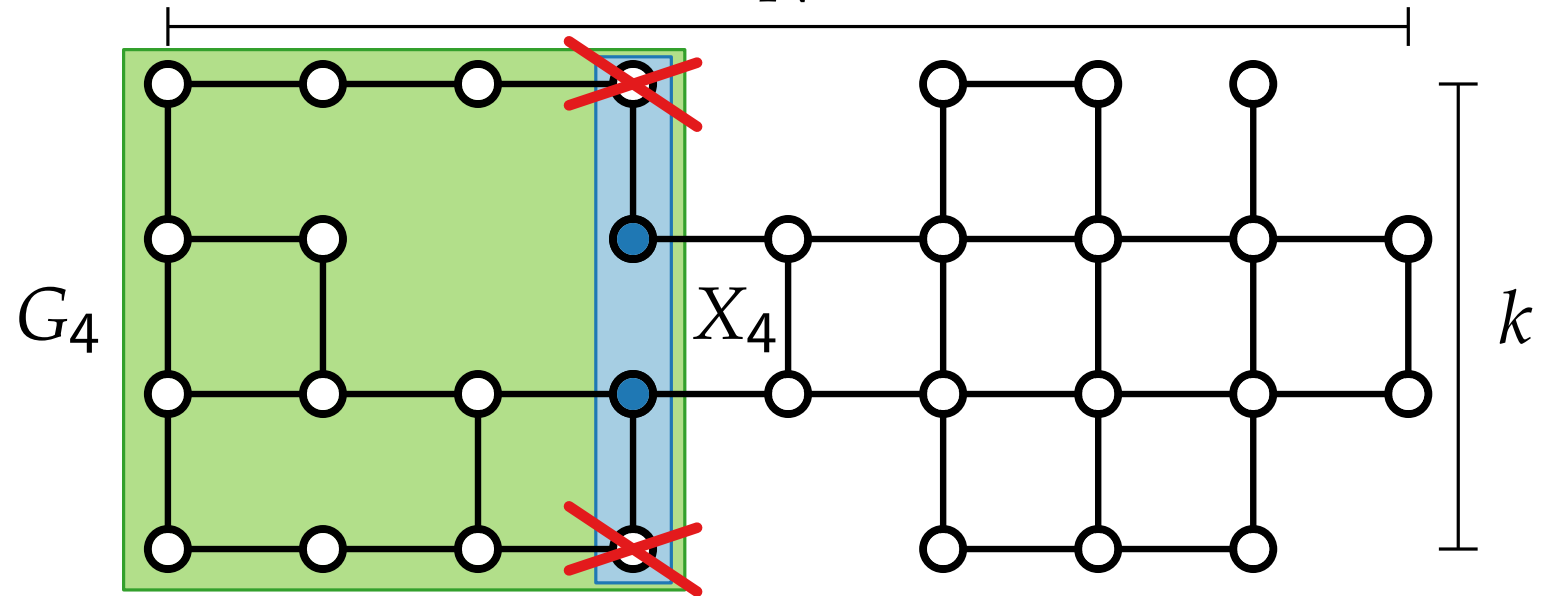
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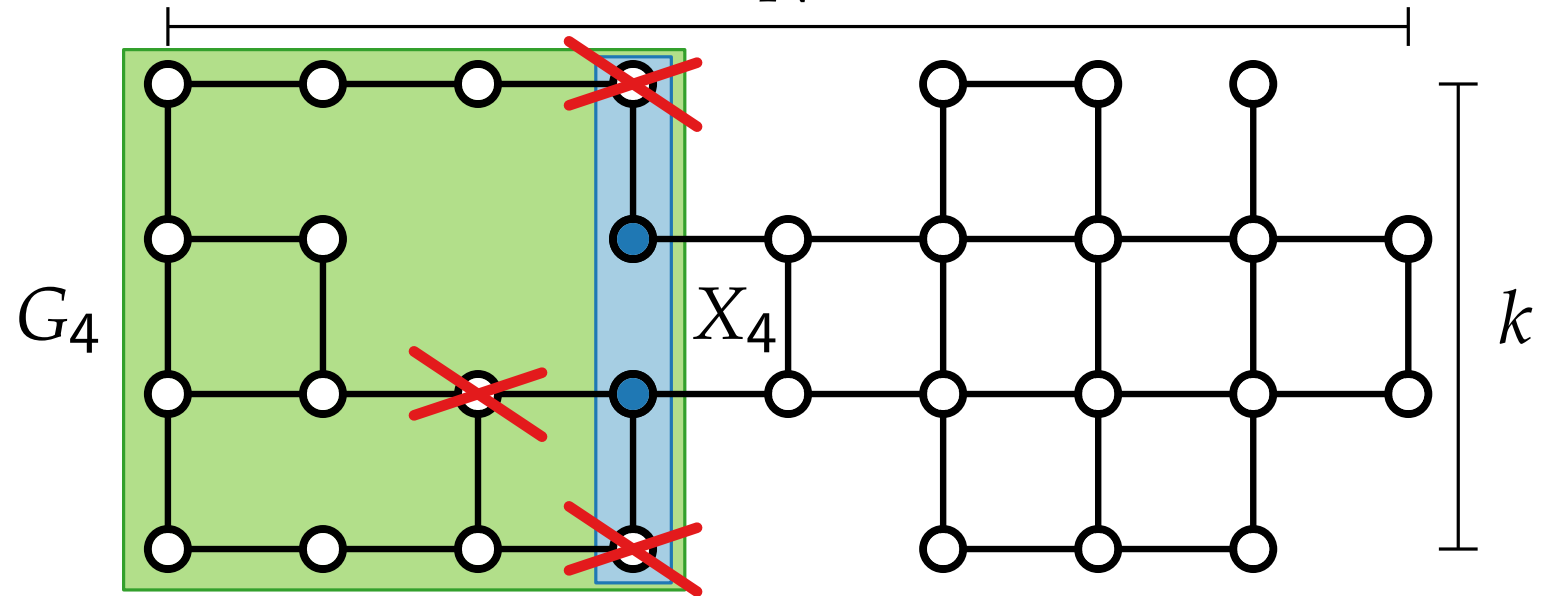
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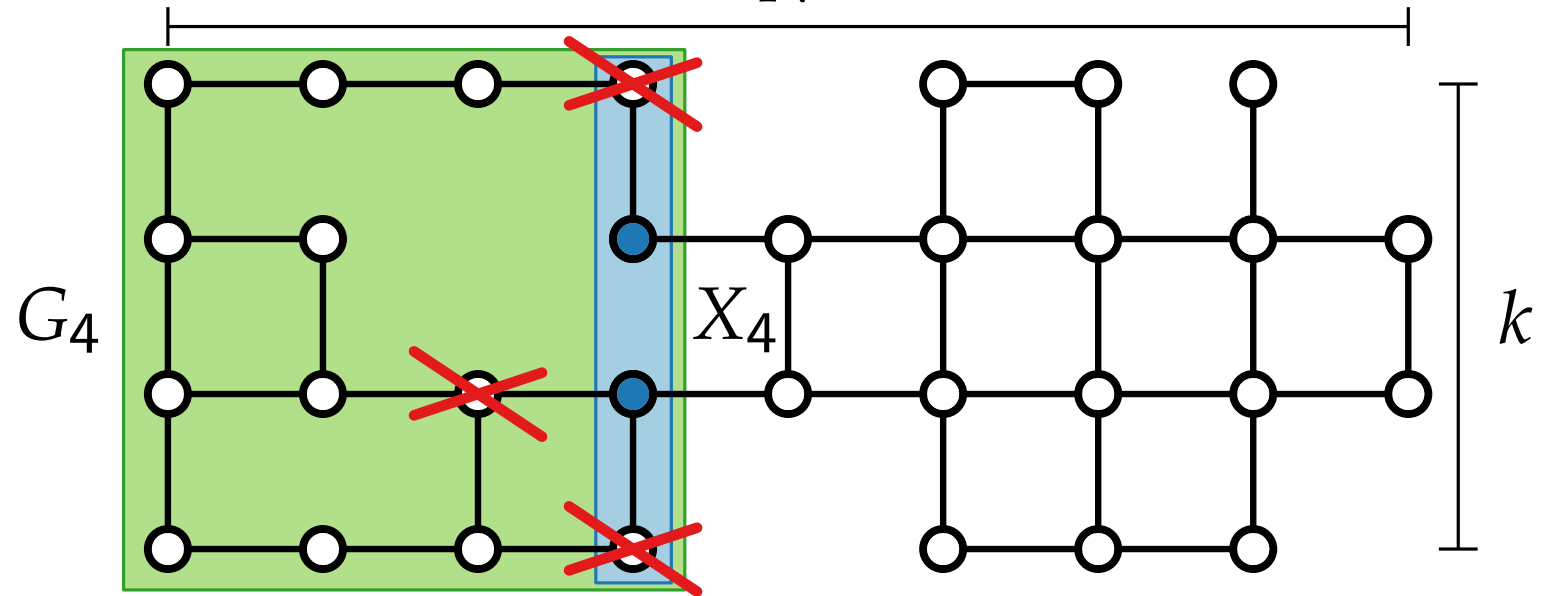
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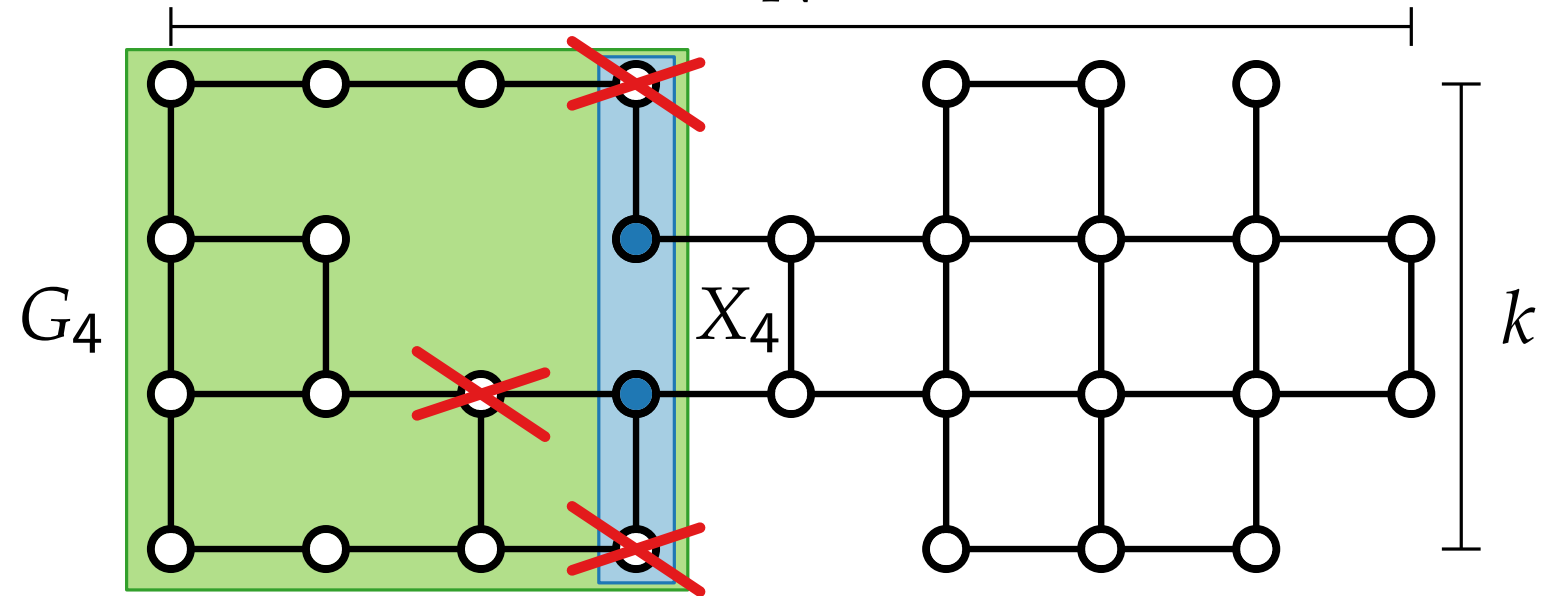
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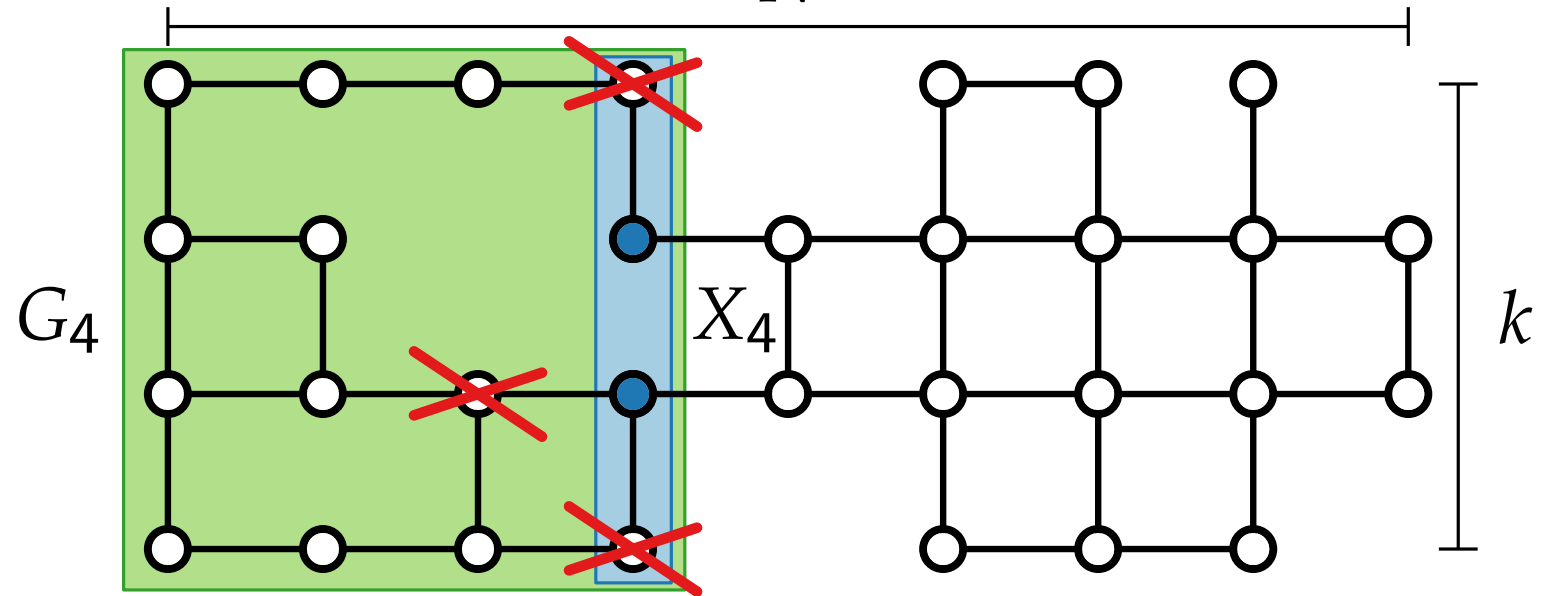
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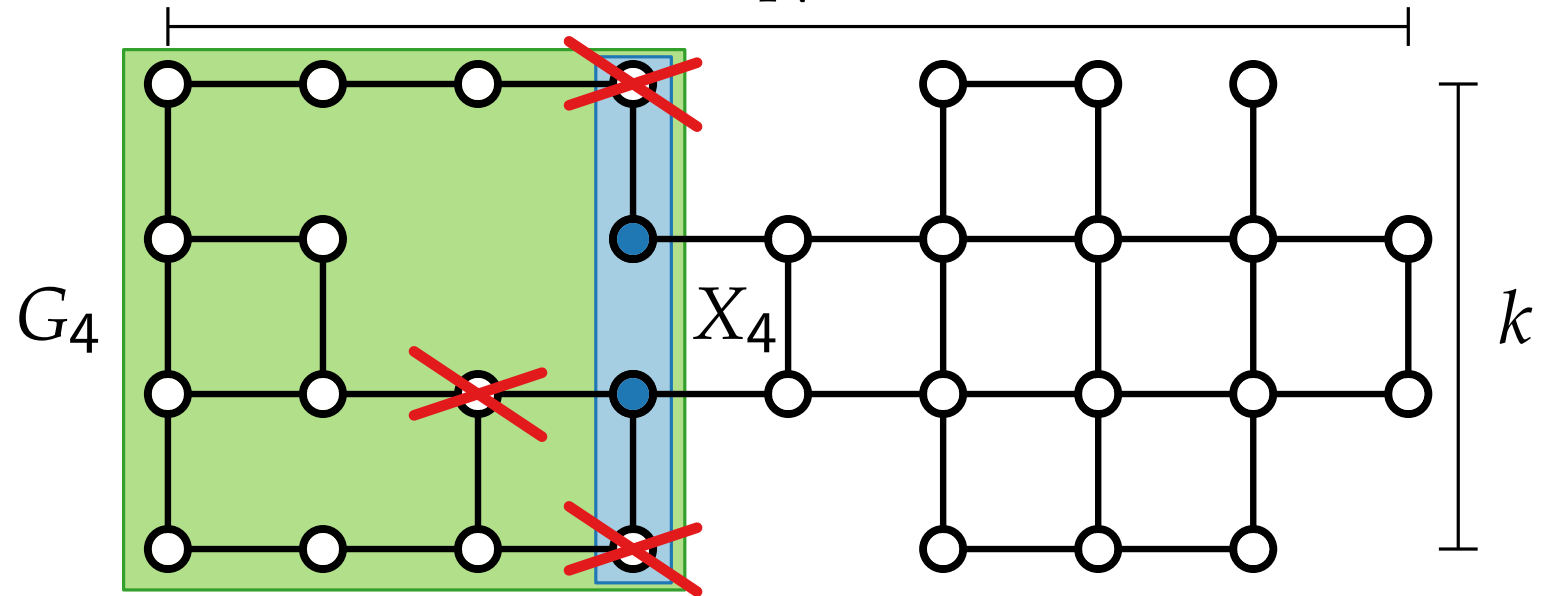
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For each of these  $\leq N \cdot 3^k$  choices of  $I$ , we need to test if  $I$  is independent.

each element in a column has one of three options: being in  $Y$  or  $I$  or none of them



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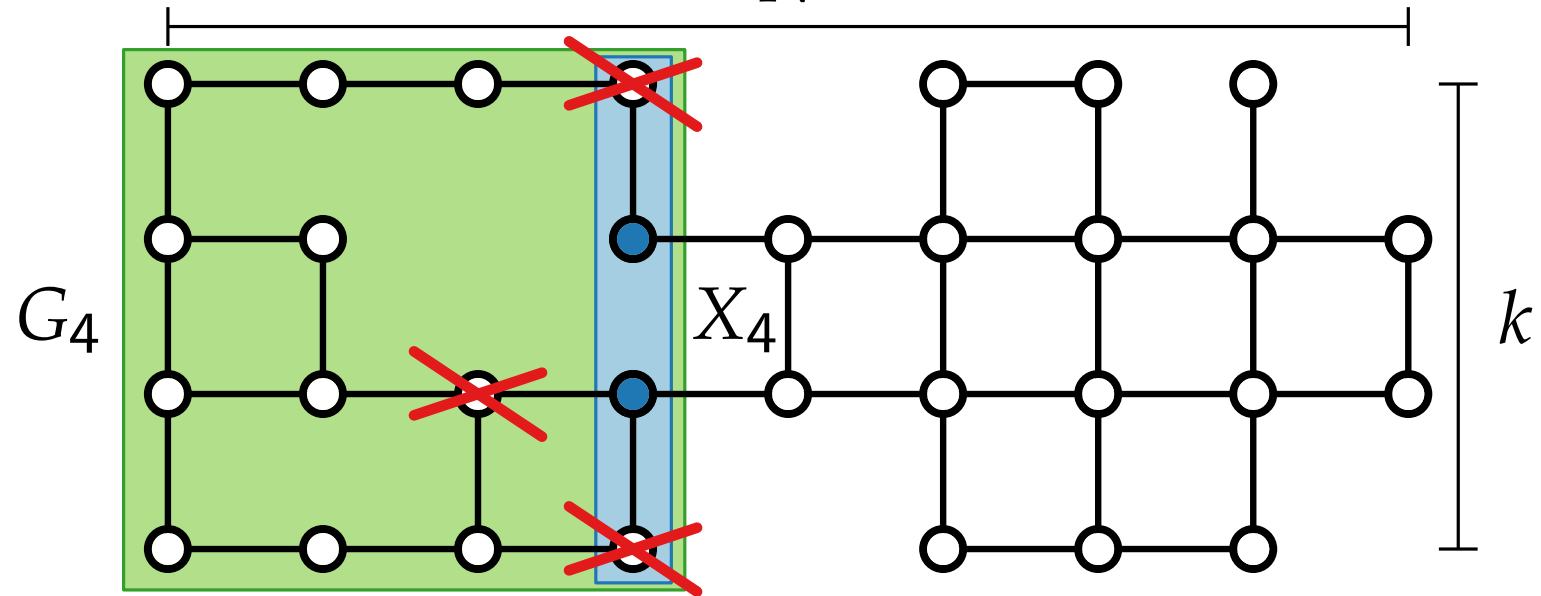
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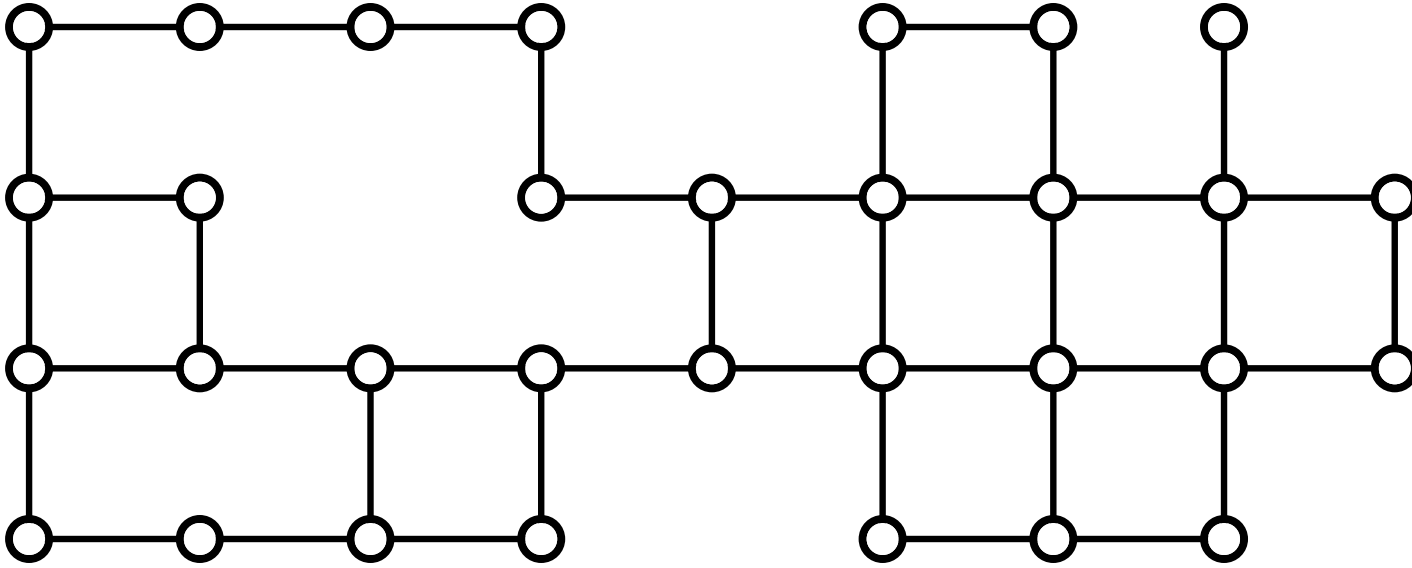
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$\rightarrow$  total running time  $\leq 3^k k^{\mathcal{O}(1)} N$ .

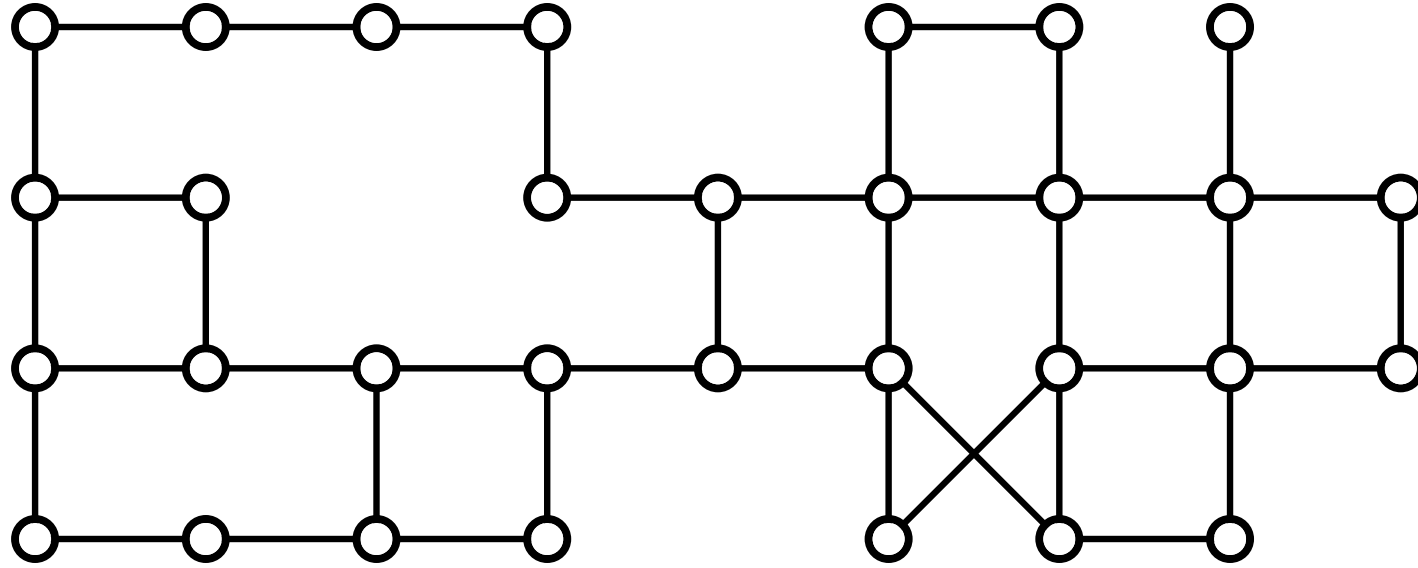


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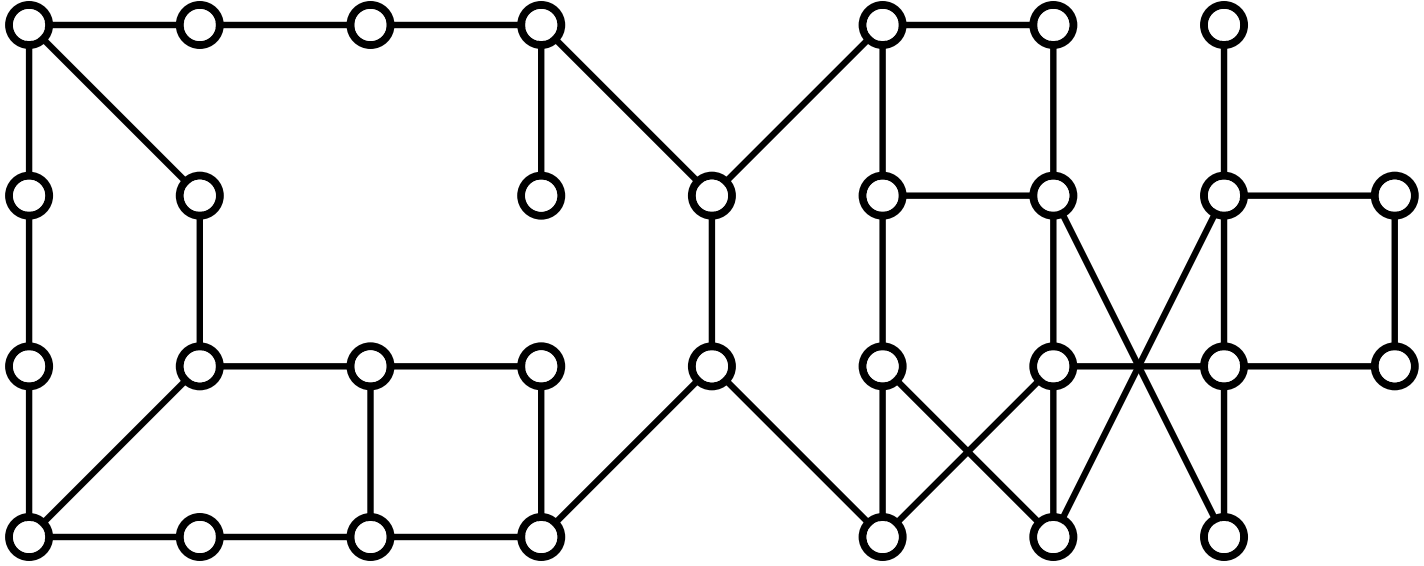




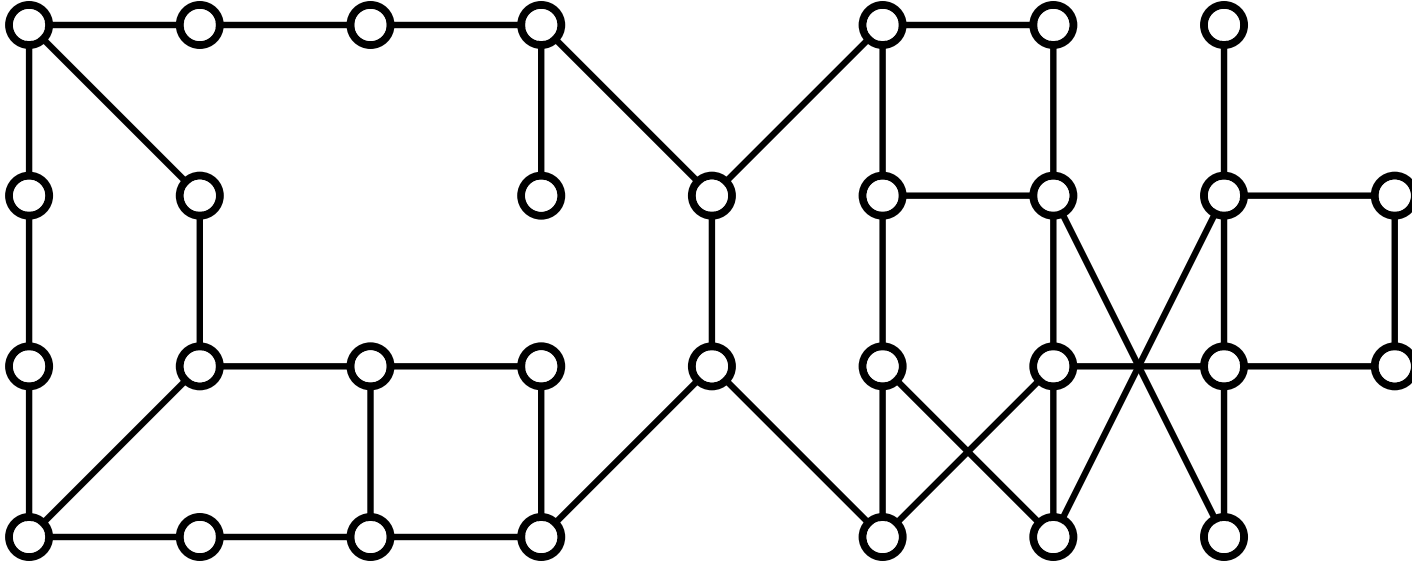
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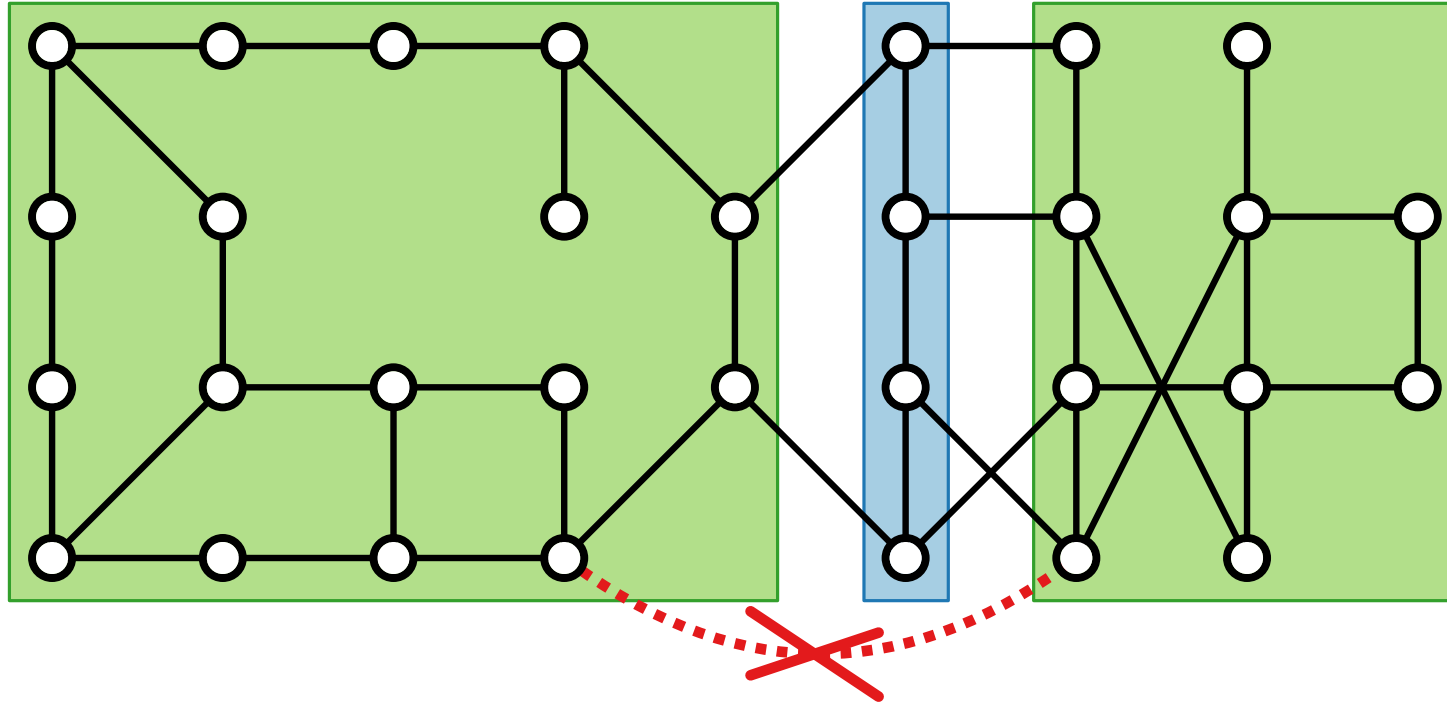


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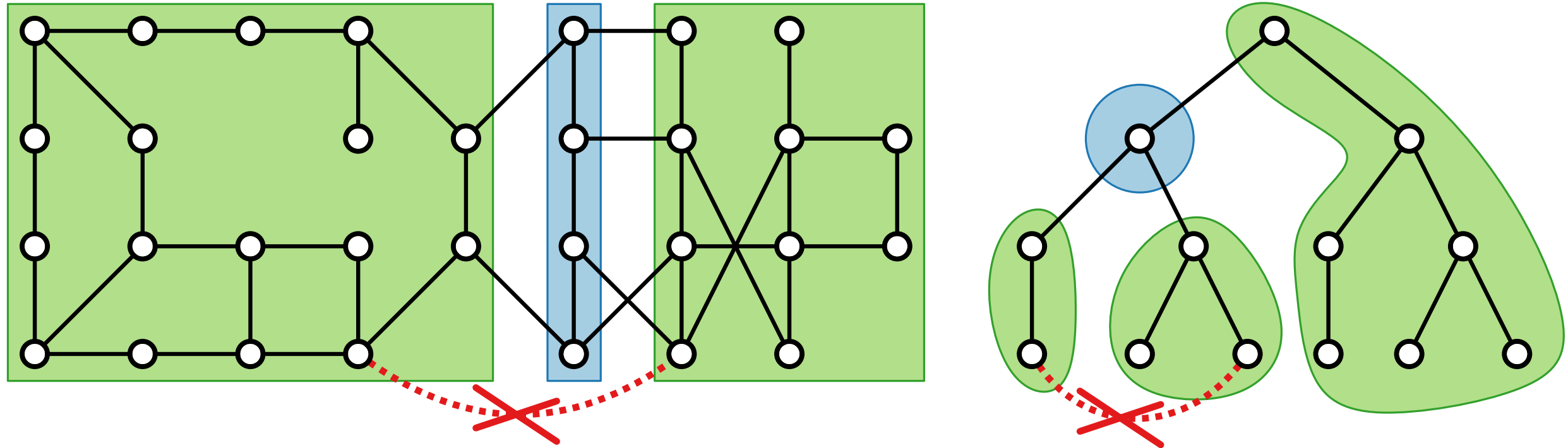
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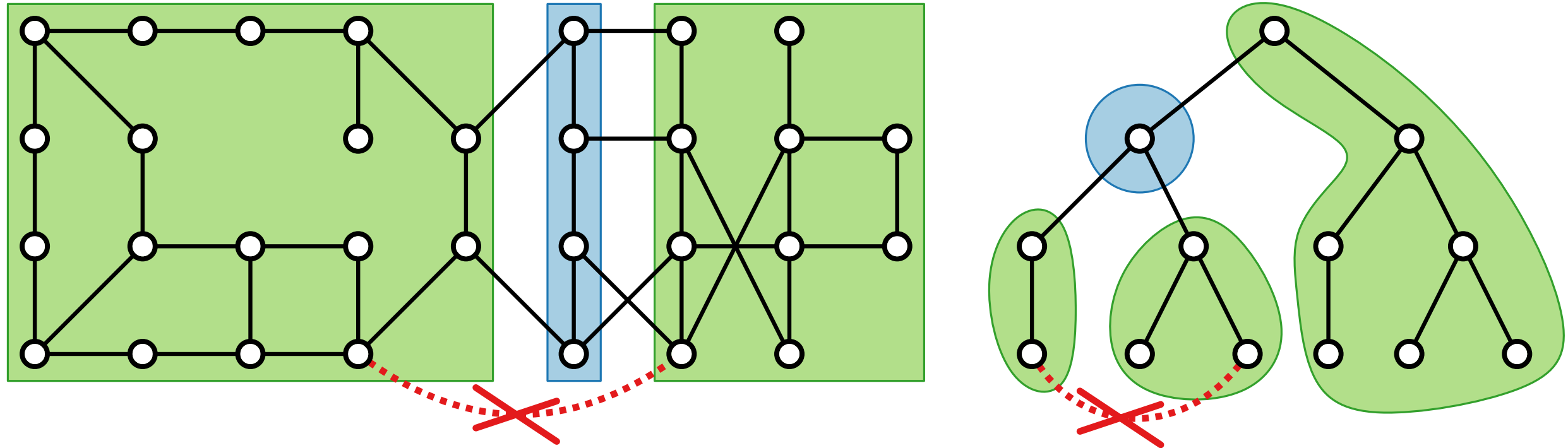


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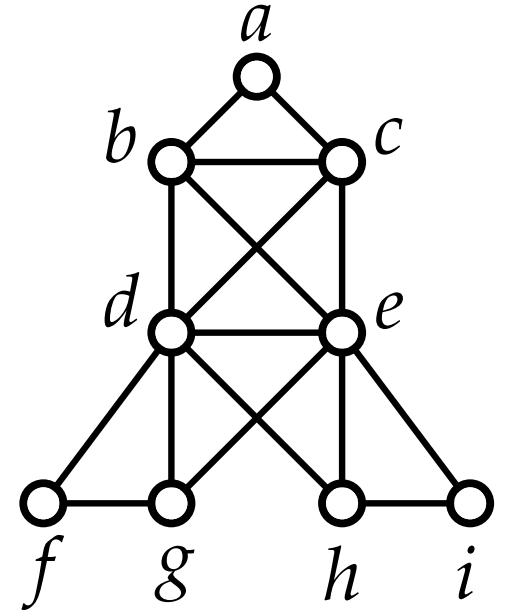
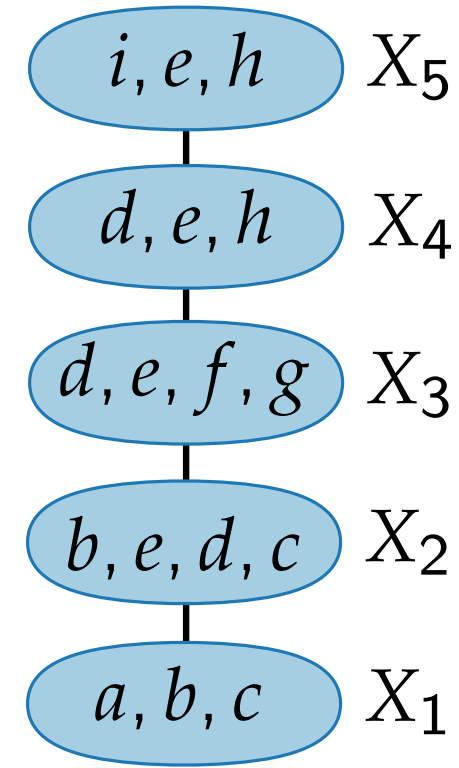
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**Goal:** Define a more general graph class featuring a structure that is suited for this kind of dynamic programming approach.

# Path Decompositions

Let  $G = (V, E)$  be a graph.

A **path decomposition** of  $G$  is a sequence  $P = (X_1, X_2, \dots, X_r)$  of **bags**, where  $X_i \subseteq V$ , such that

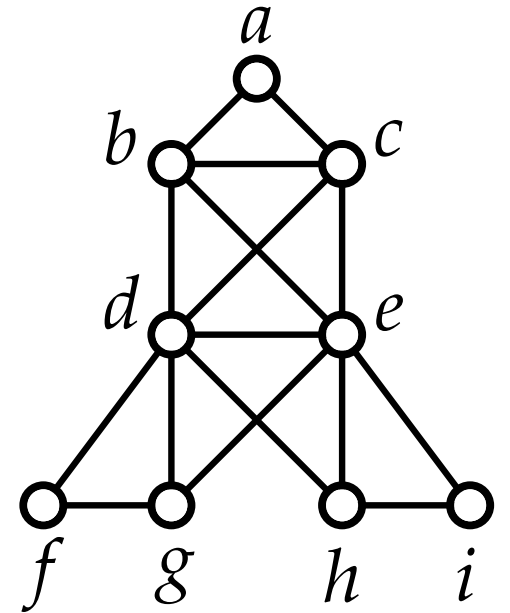
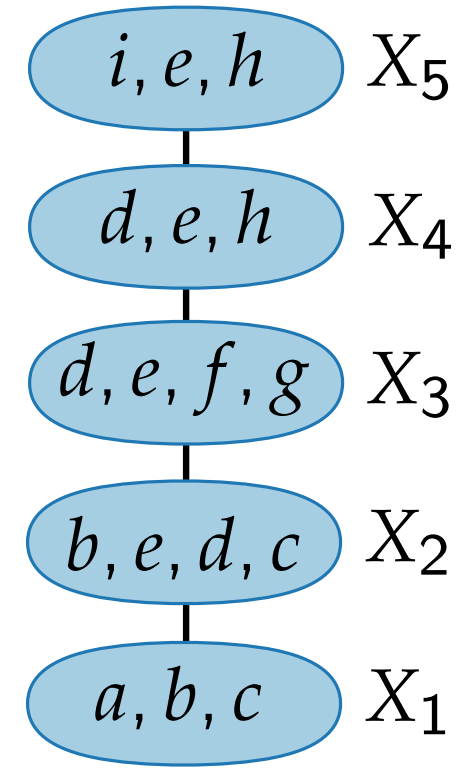


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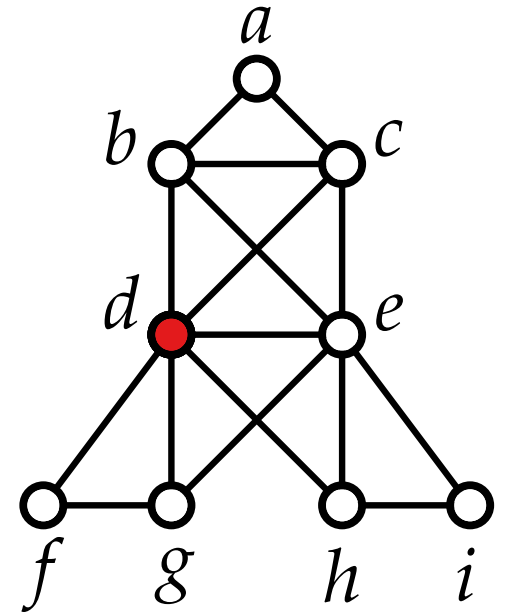
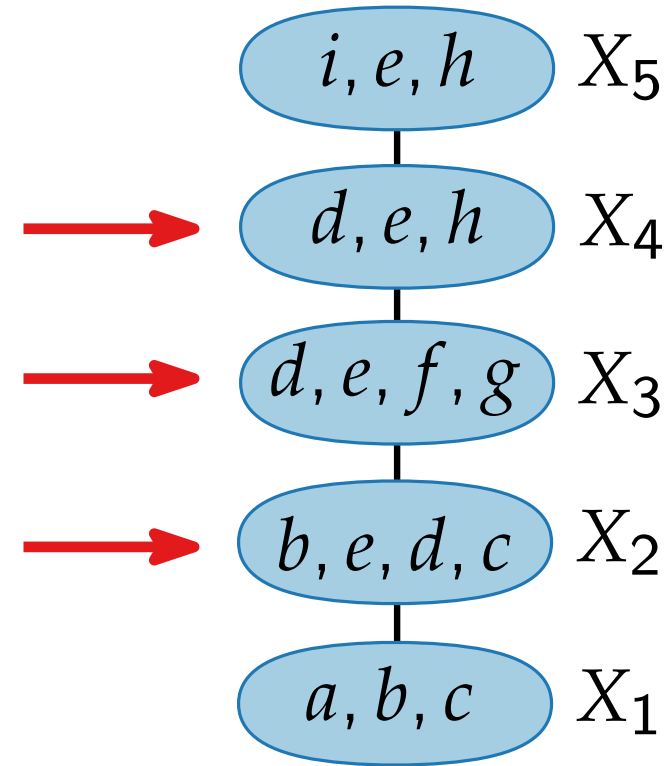


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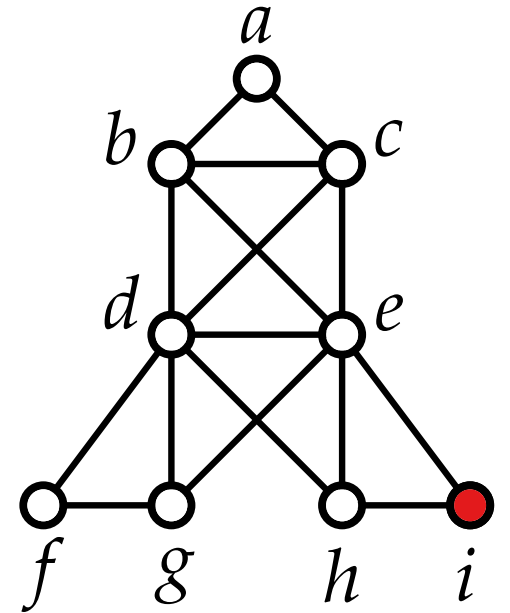
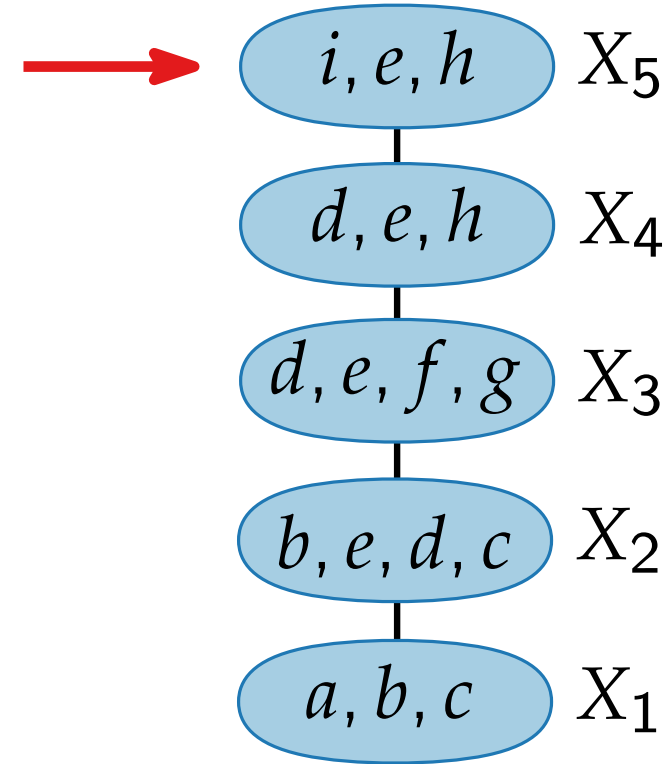


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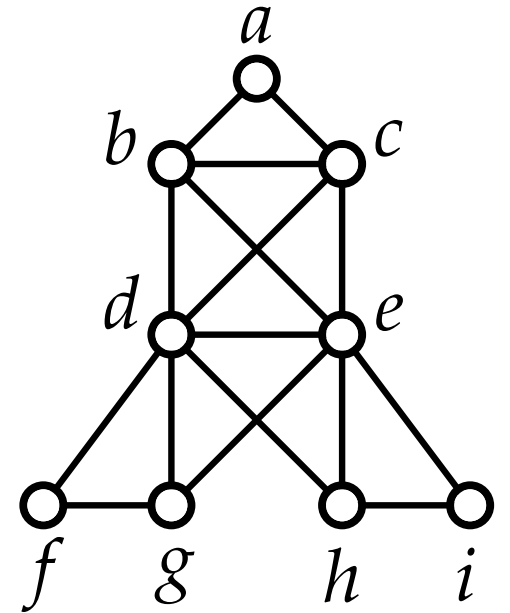
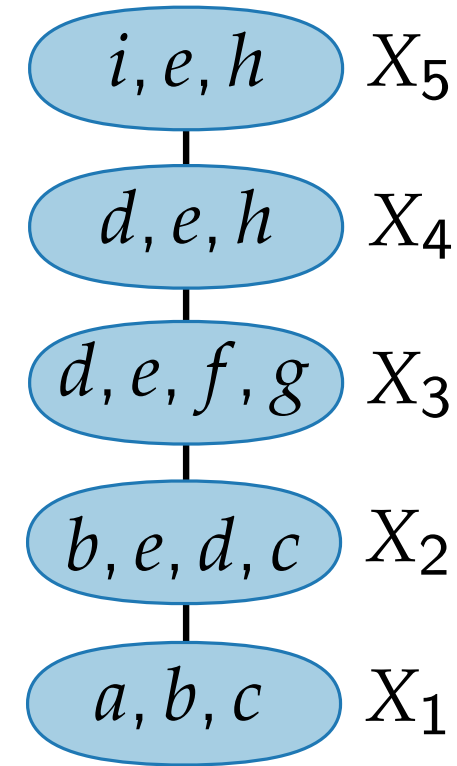
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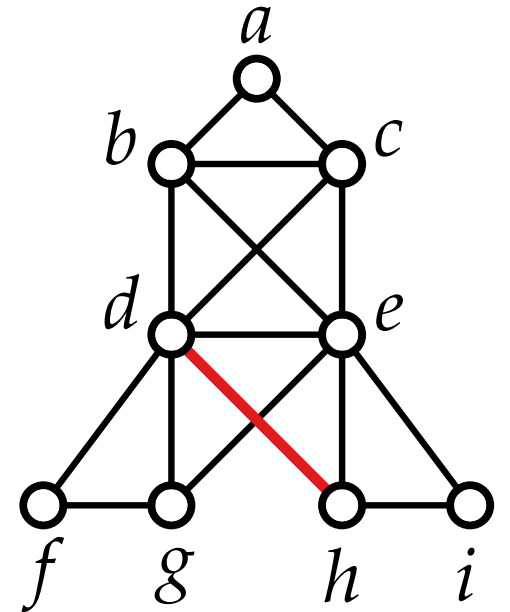
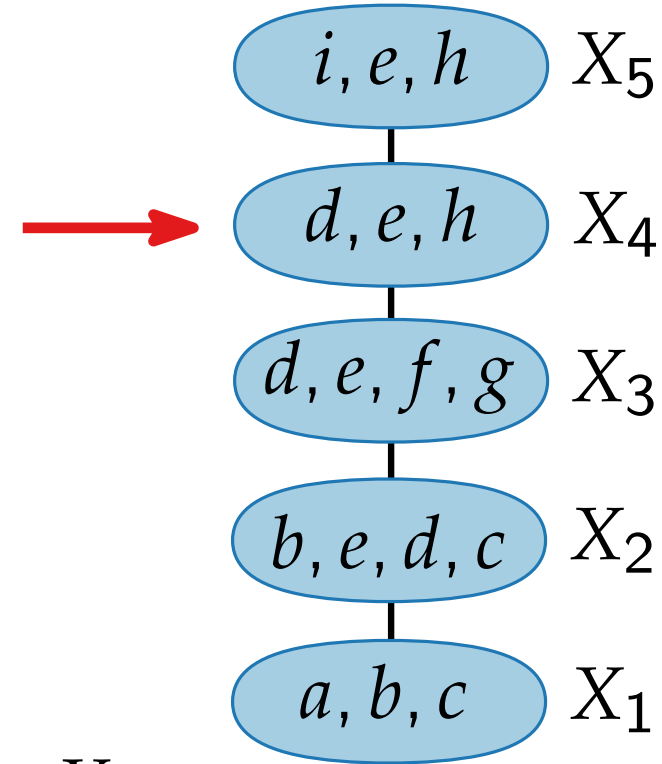
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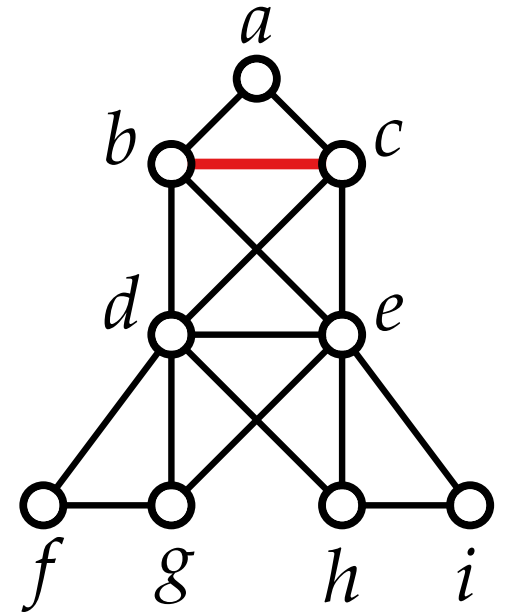
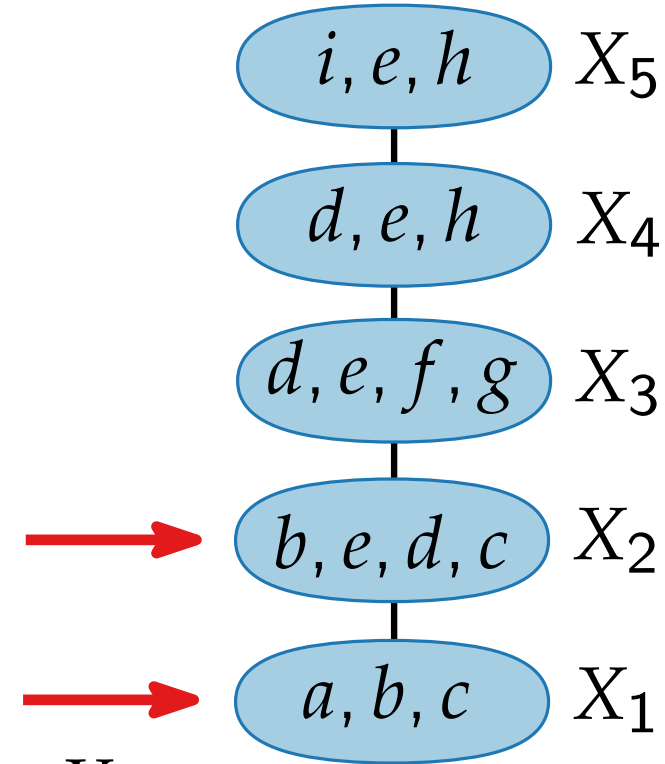
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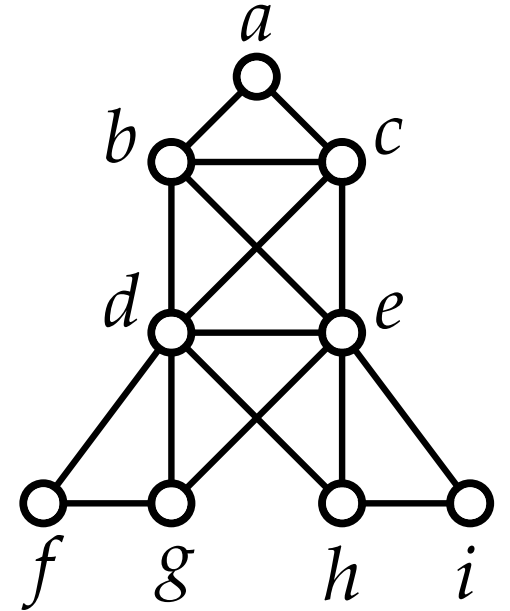
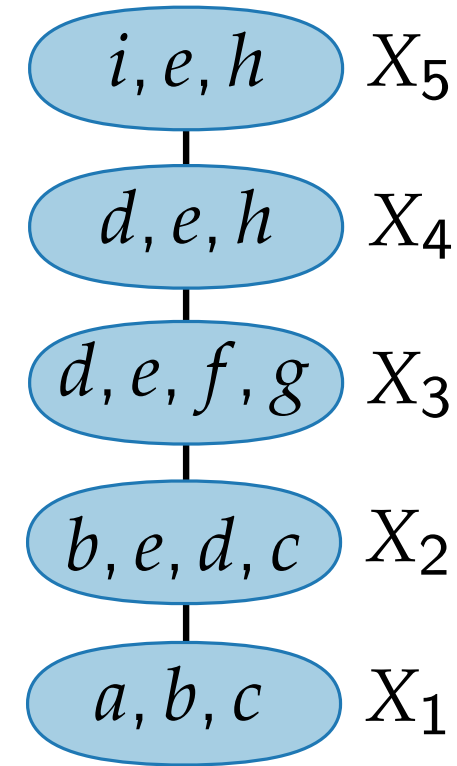
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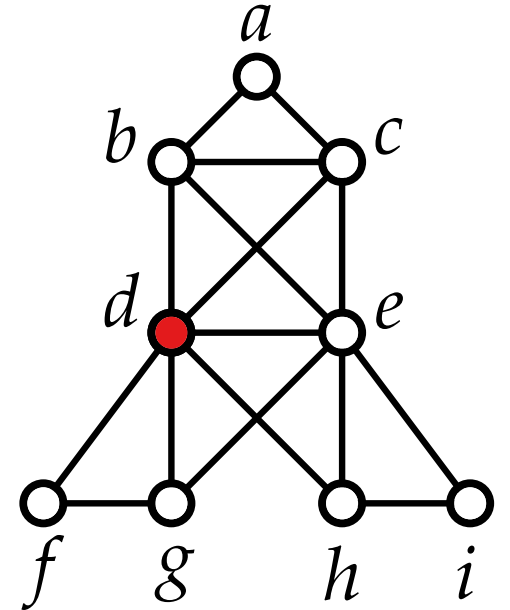
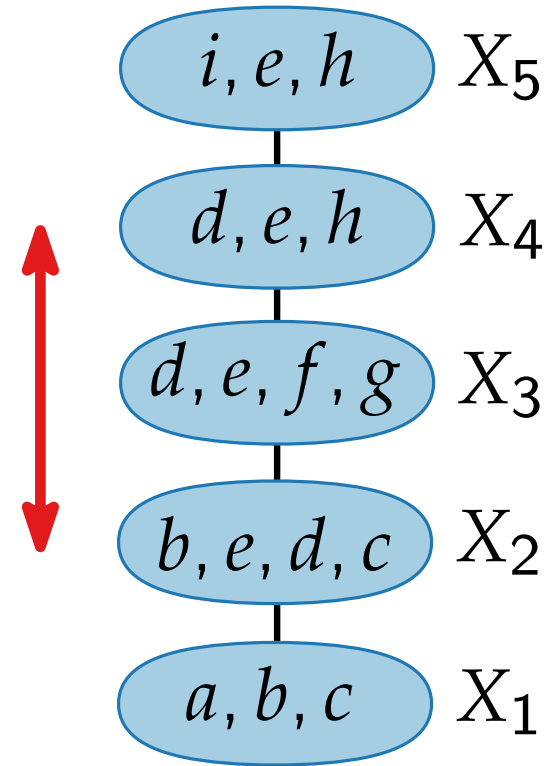
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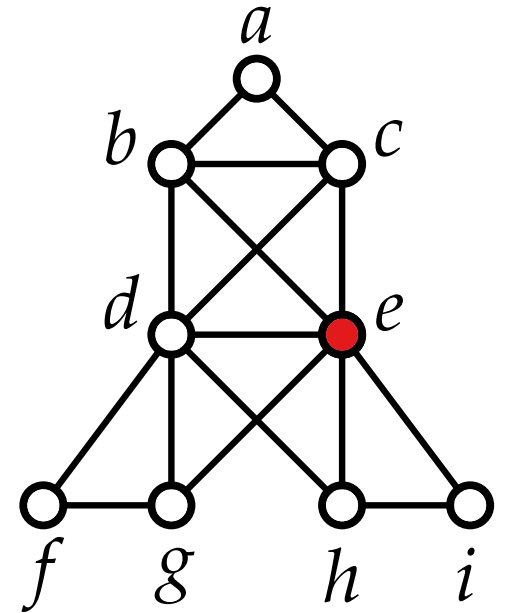
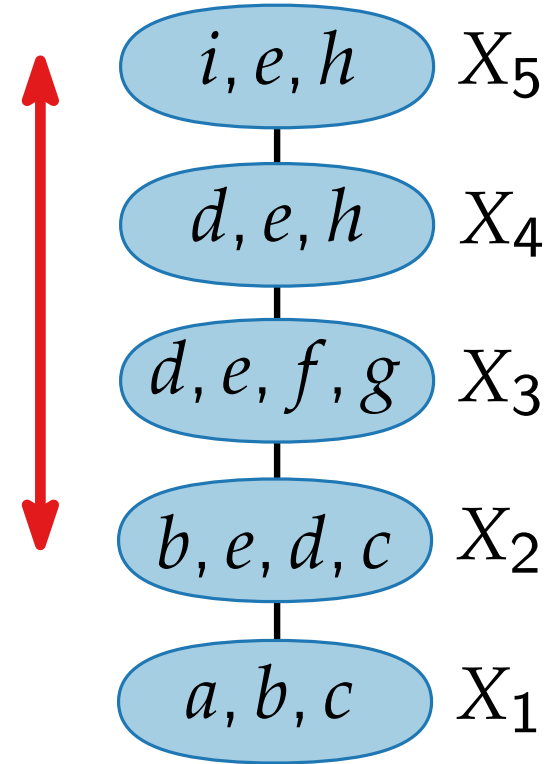
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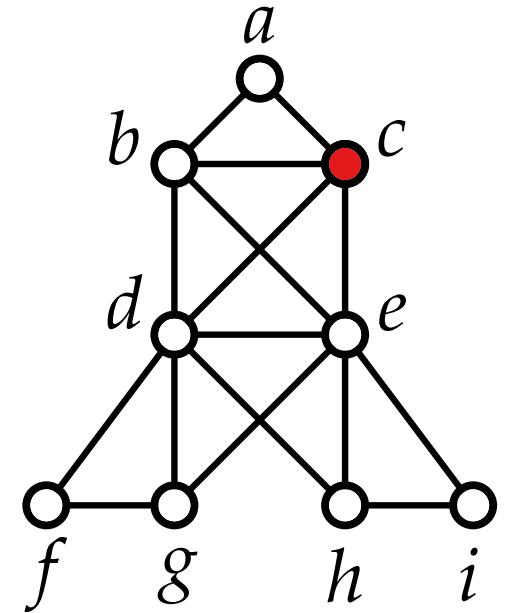
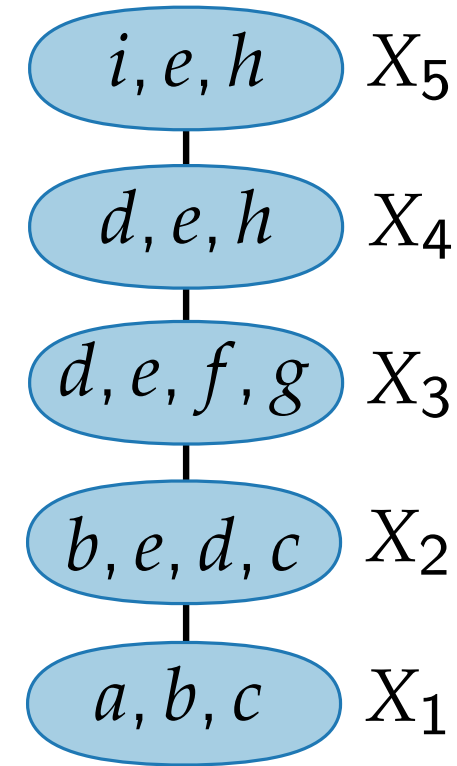
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A **path decomposition** of  $G$  is a sequence  $P = (X_1, X_2, \dots, X_r)$  of **bags**, where  $X_i \subseteq V$ , such that

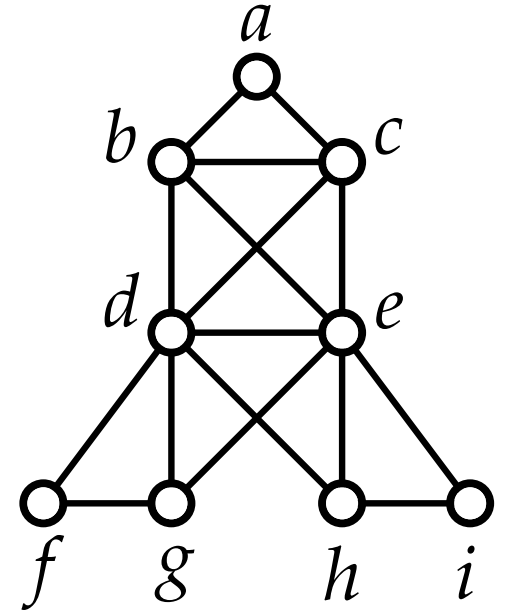
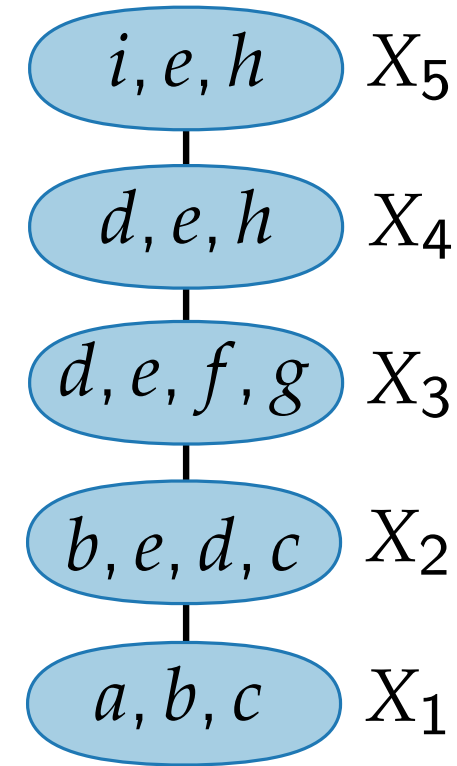
$$(P1) \quad \bigcup_{i=1}^r X_i = V$$

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The **width** of  $P$  is  $w(P) = \max_{1 \leq i \leq r} |X_i| - 1$ .

$$w(P) = 3$$



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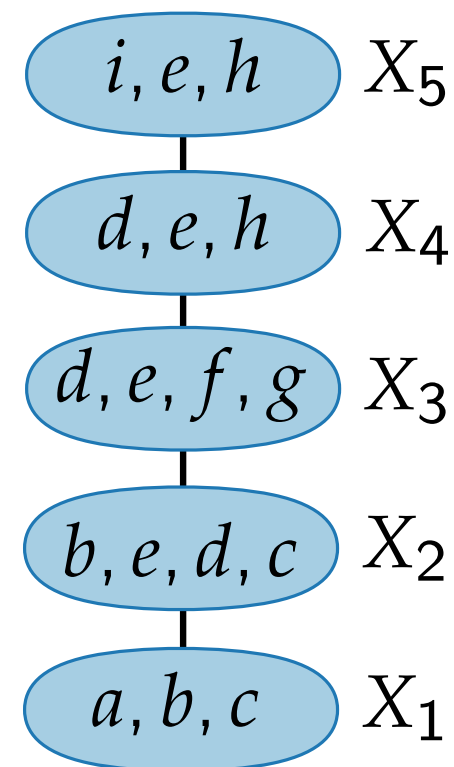
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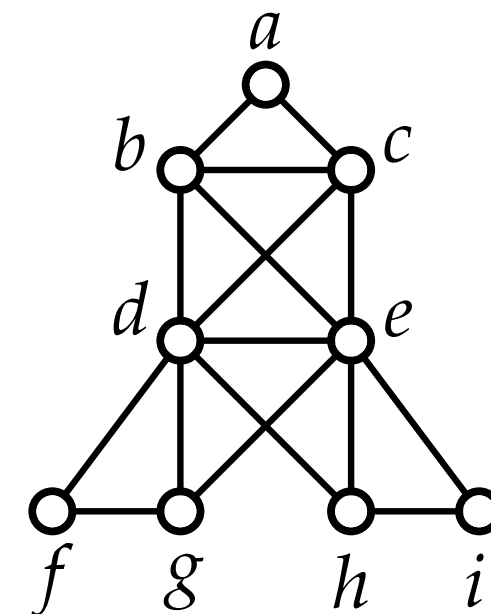
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The **pathwidth**  $\text{pw}(G)$  of  $G$  is the minimum width of a path decomposition of  $G$ .

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$$\text{pw}(G) \leq 3$$



# Path Decompositions

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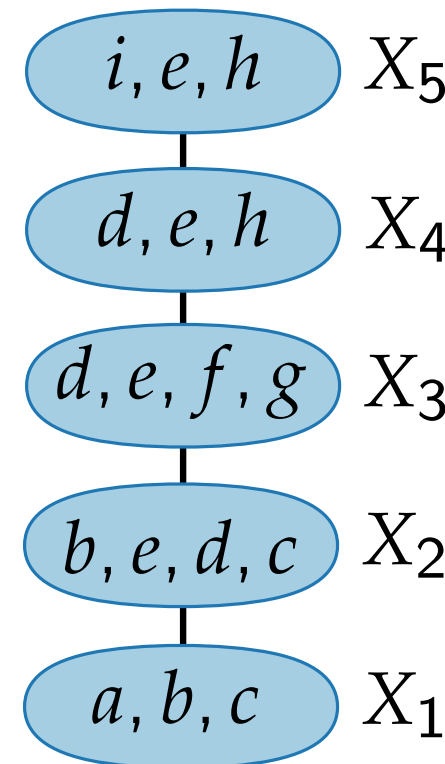
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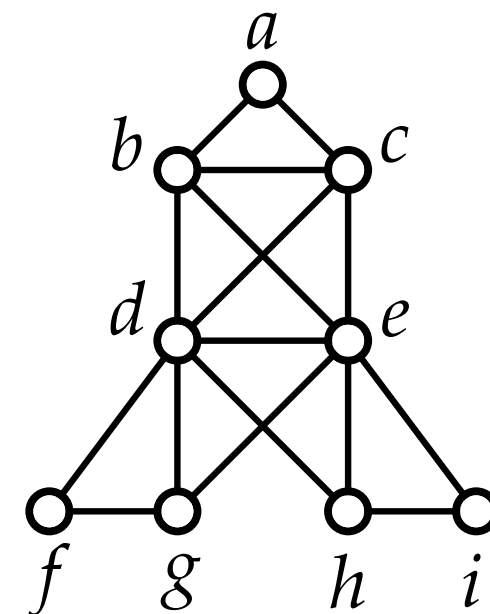
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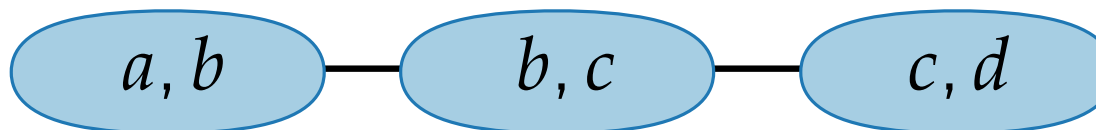
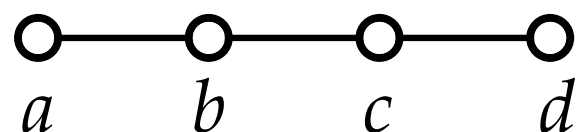


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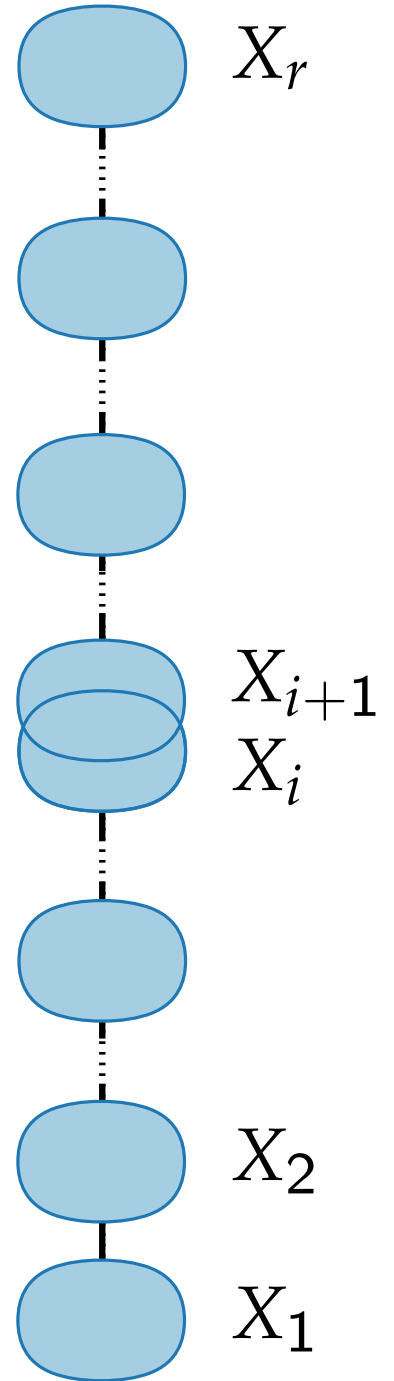
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# Okay – But Where Are the Separators?

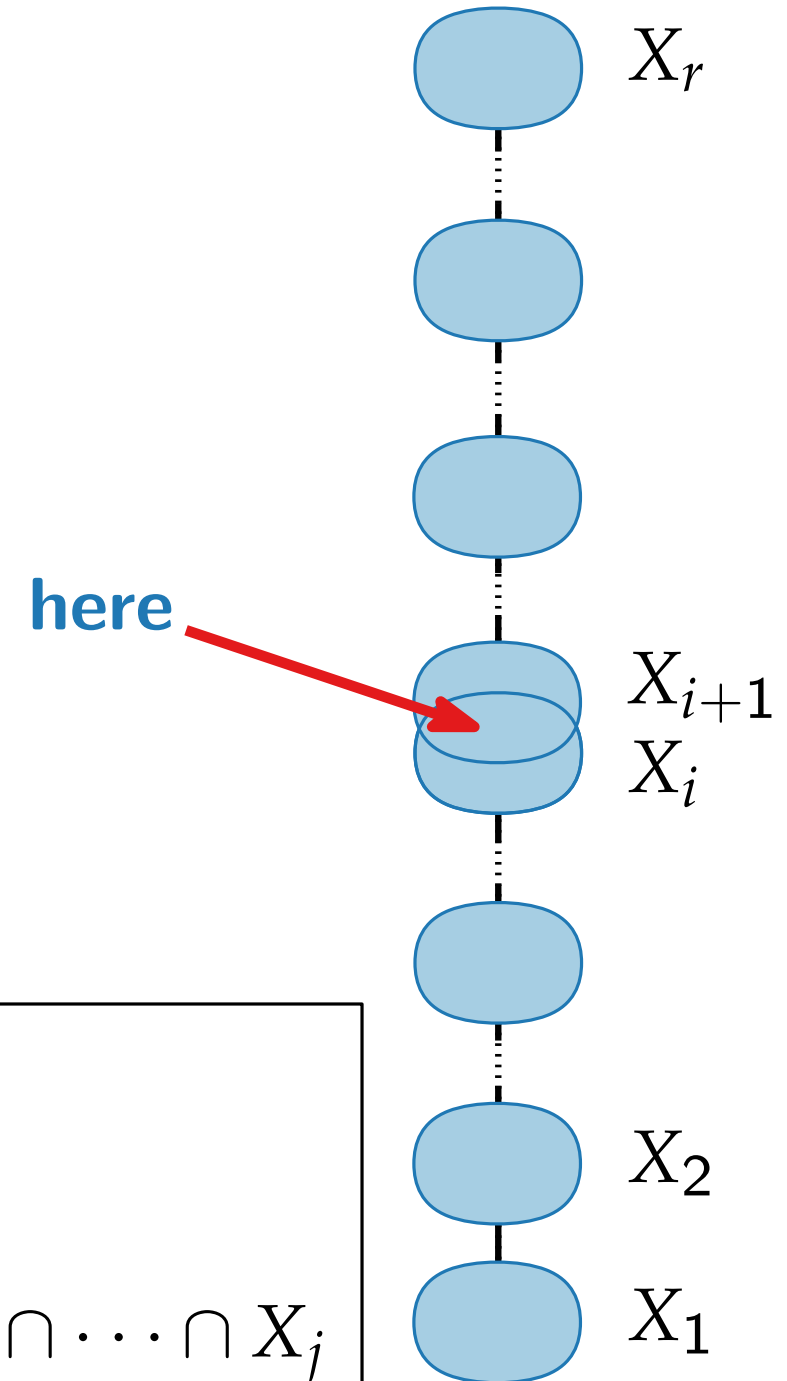


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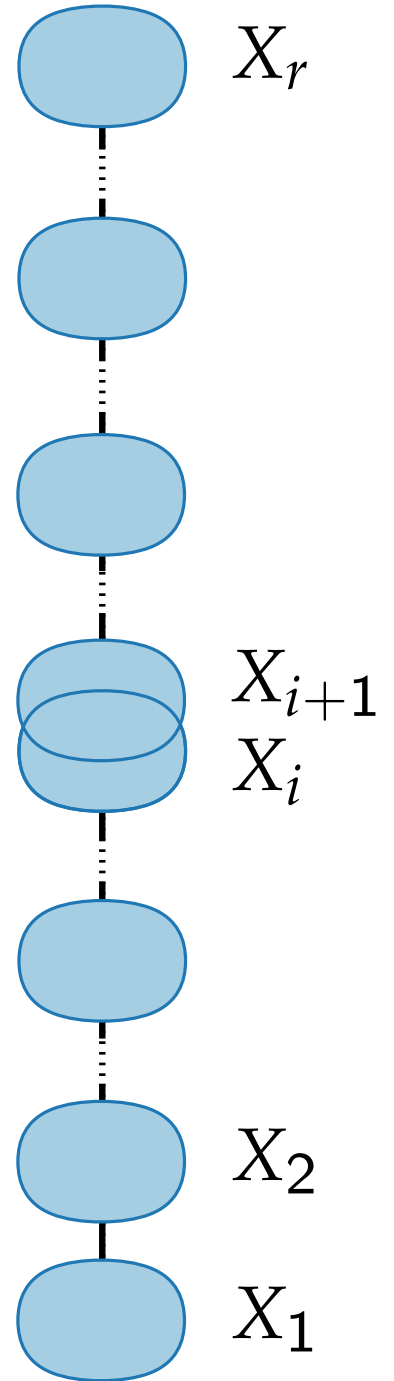
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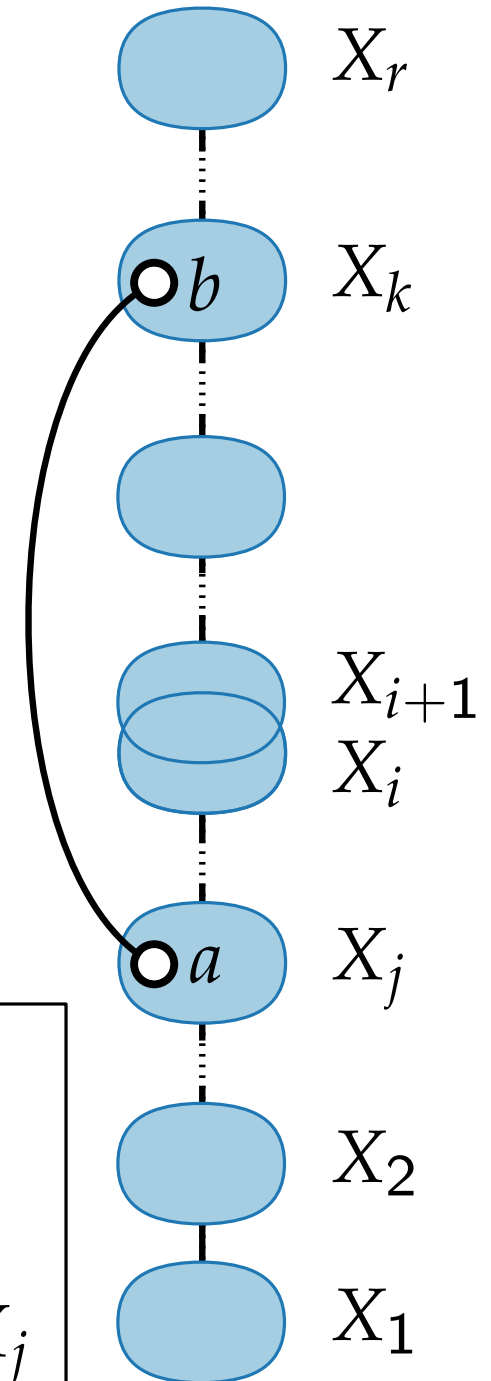
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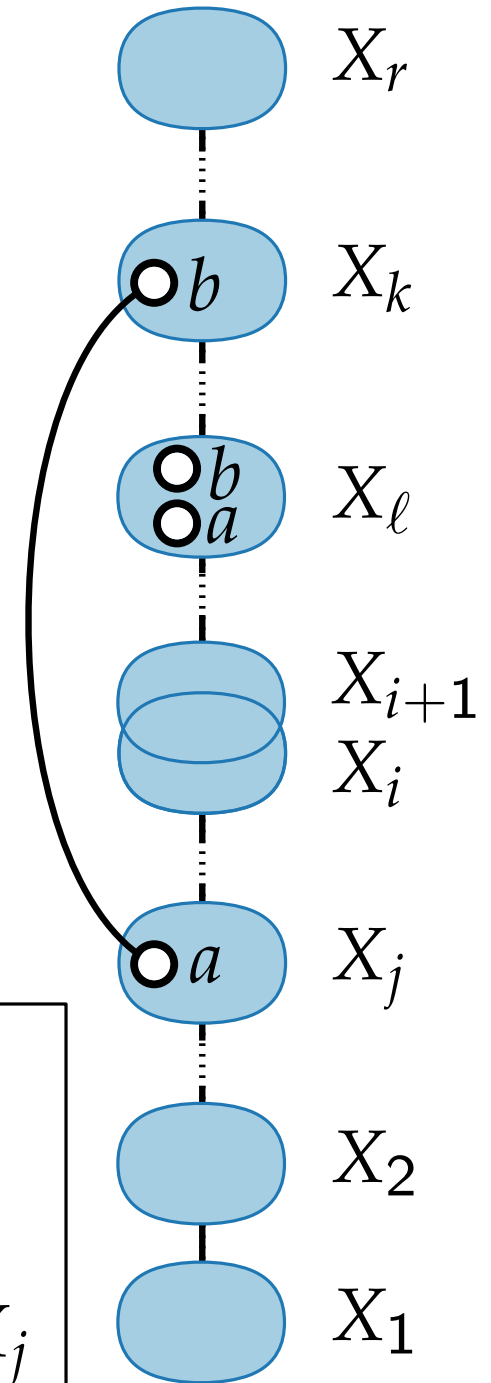
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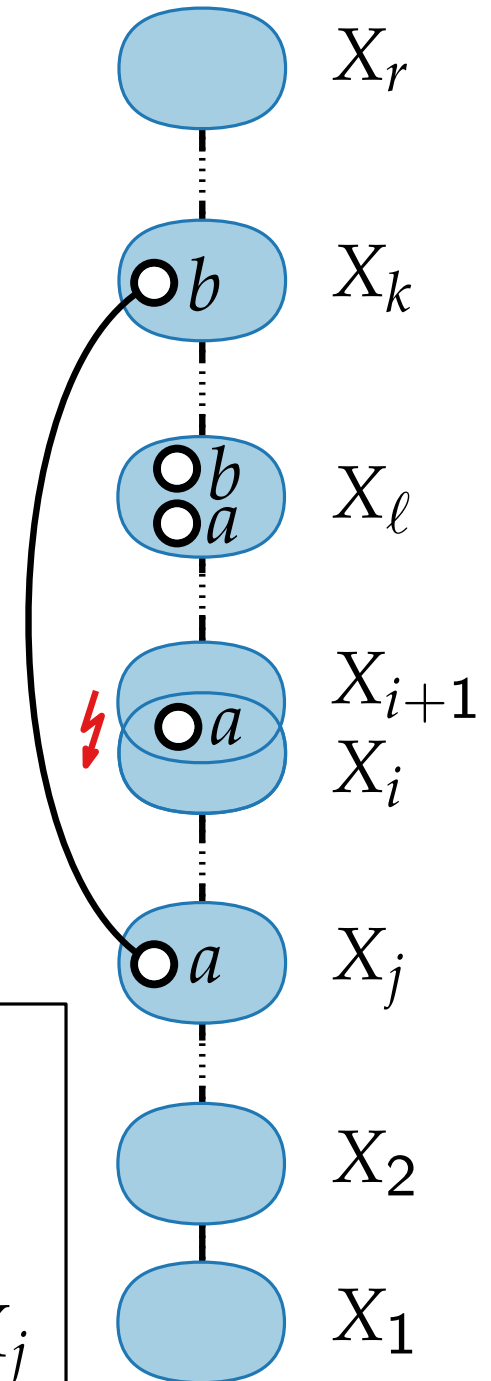
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(P3)  $\Rightarrow a \in X_i \cap X_{i+1}$ ; contradiction to  $a \in A$ .  $\square$

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# Computing Path Decompositions

## $k$ -PATHWIDTH

**Input.** Graph  $G = (V, E)$ ,  $k \in \mathbb{N}$

**Question.** Is the pathwidth of  $G$  at most  $k$ ?

- NP-complete
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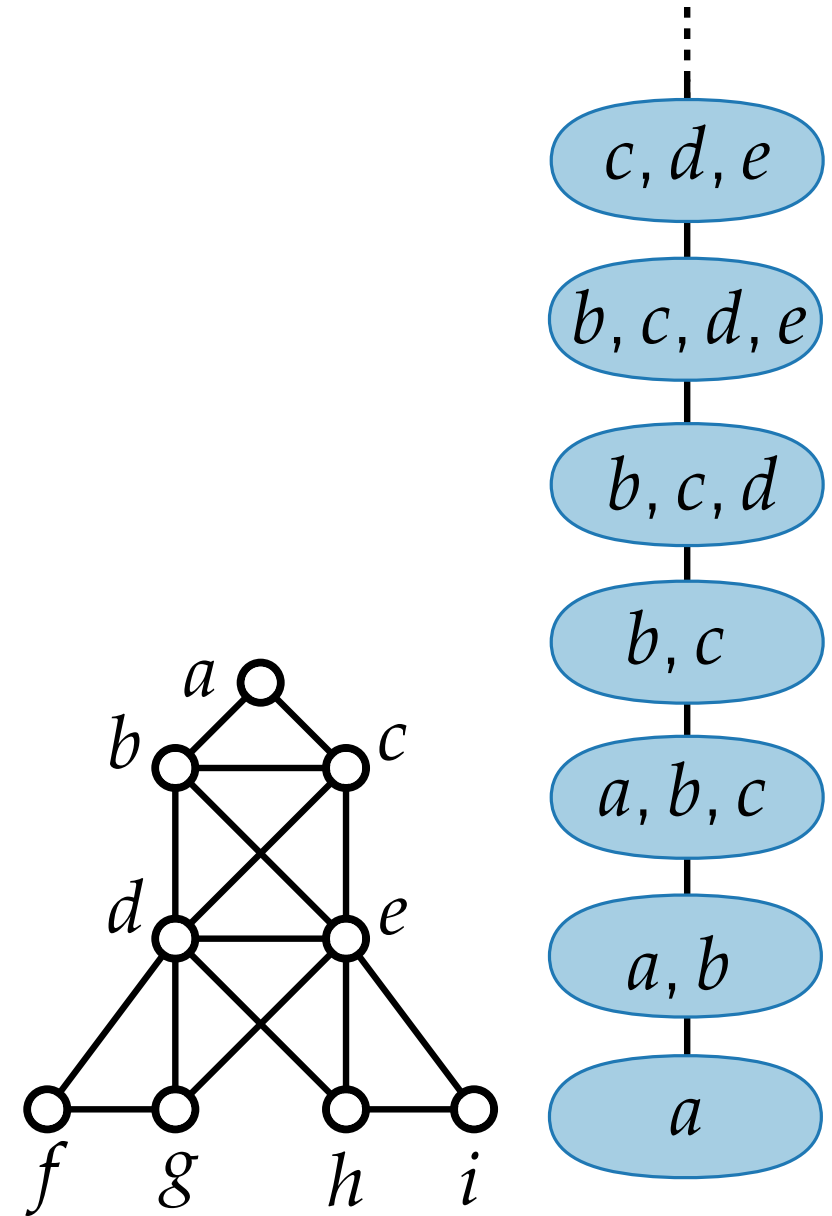
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$\Rightarrow$  When designing FPT algorithms with respect to the pathwidth, we may assume to be given a path decomposition!

# Nice Path Decompositions

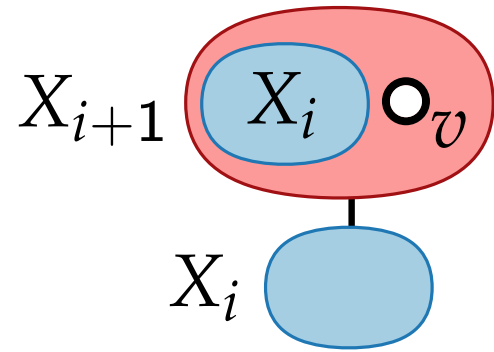
A path decomposition is **nice** if  $|X_1| = 1$  and each other bag has one of two **types**:



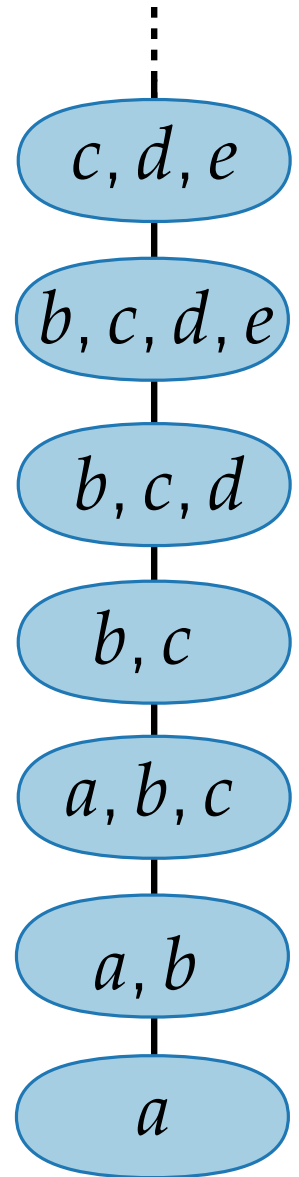
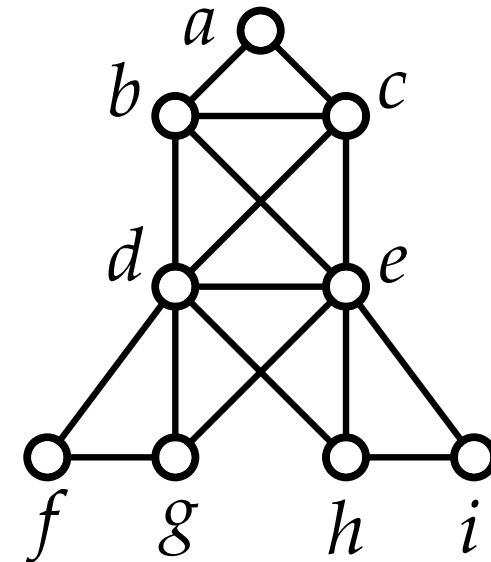
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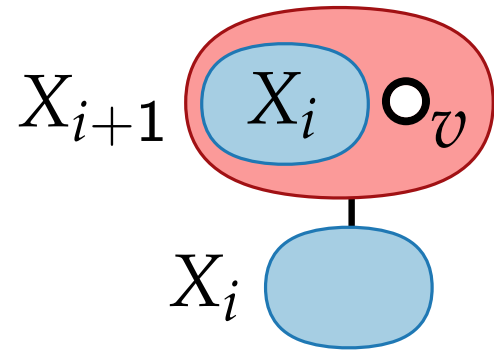
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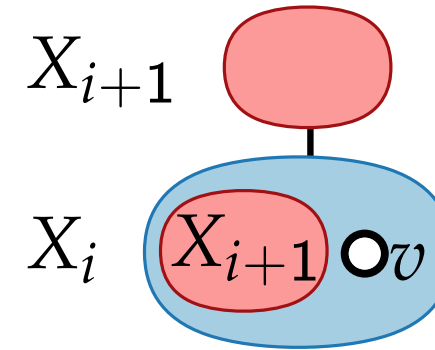
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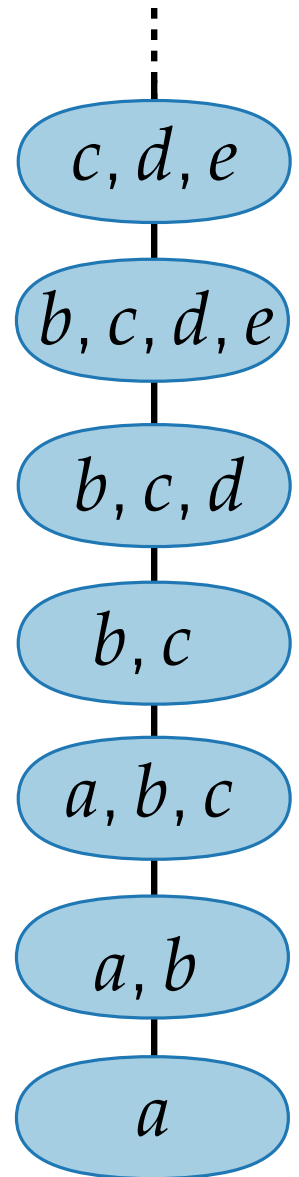
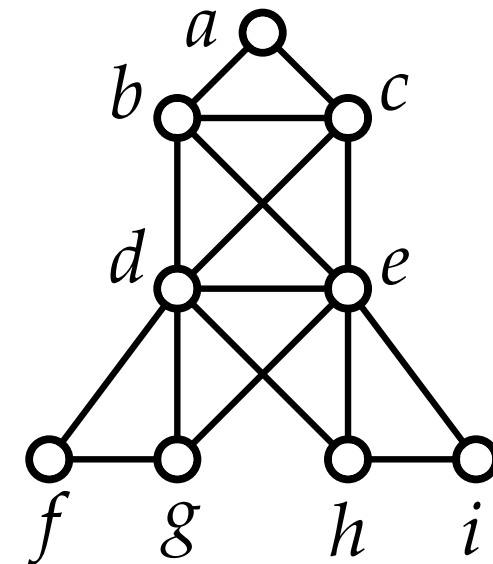


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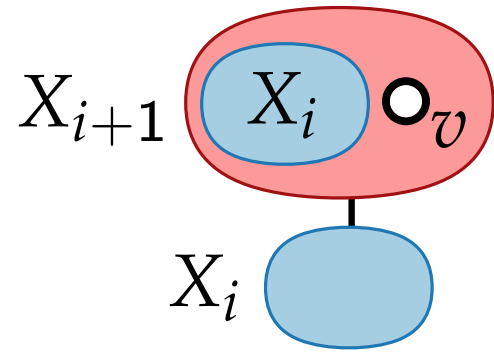
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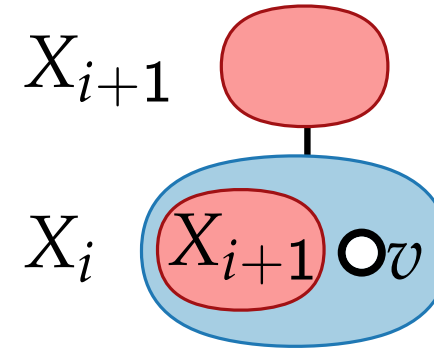
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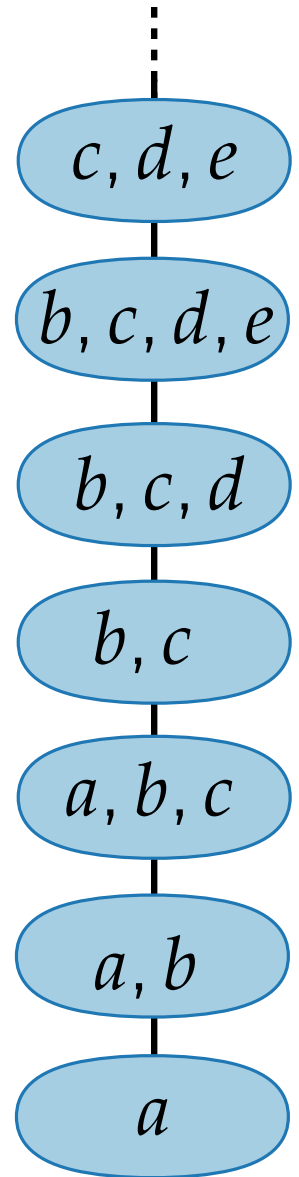
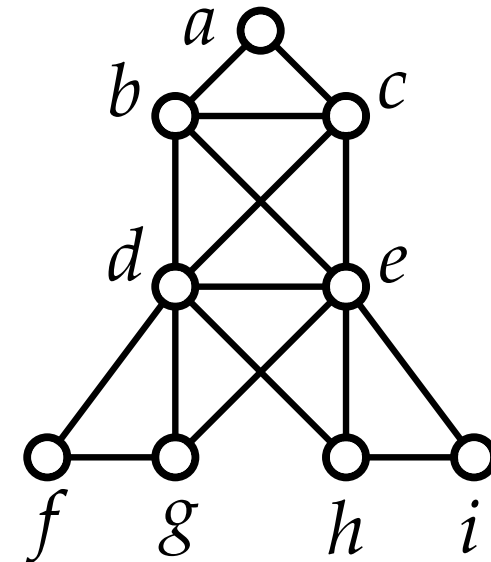
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**Observation.** The number of bags is  $r \leq 2|V| - 1$ .

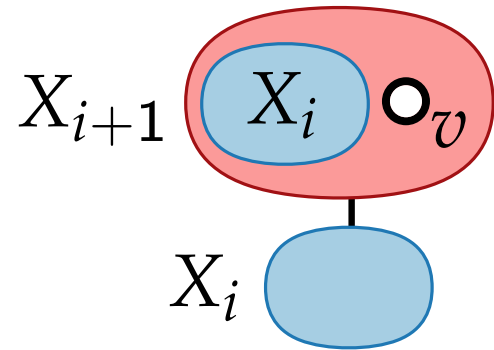




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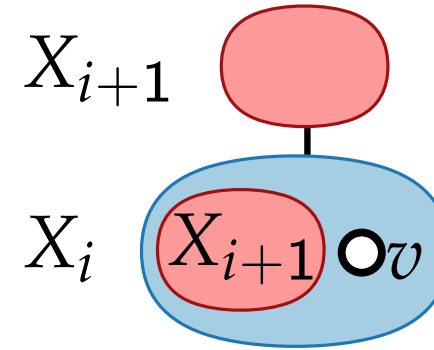
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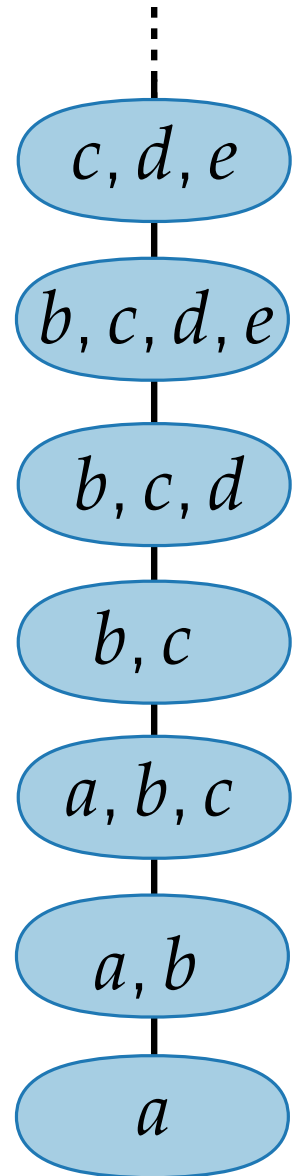
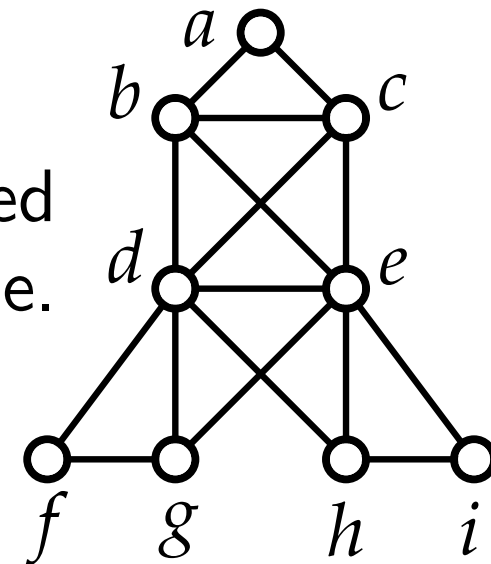
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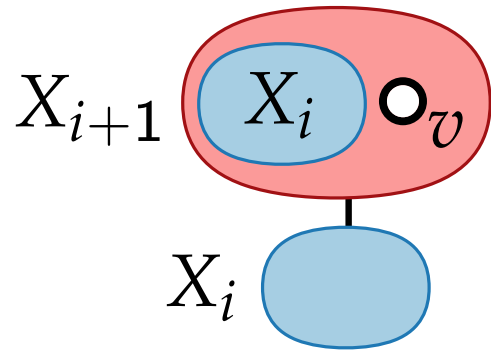
**Lemma.** A path decomposition of width  $k$  can be transformed into a nice path decomposition of width  $k$  in polynomial time.



# Nice Path Decompositions

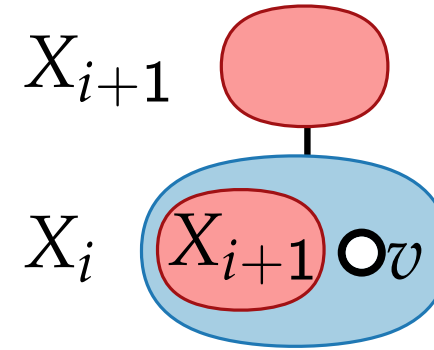
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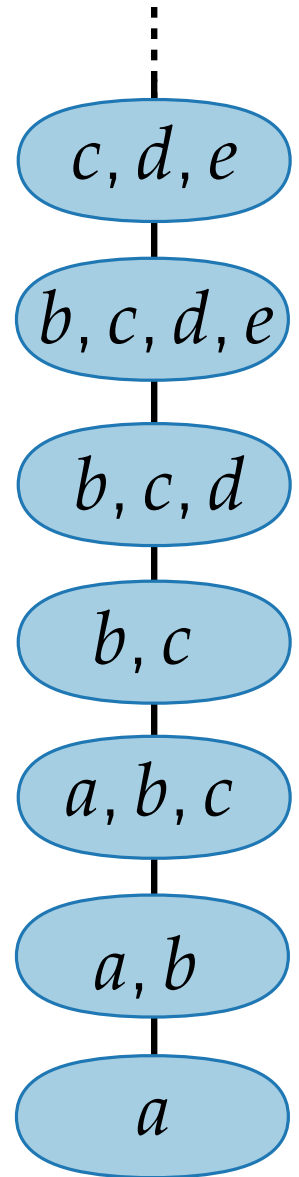
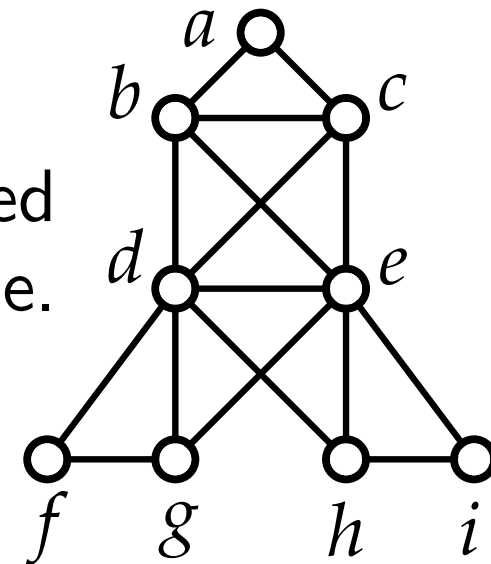


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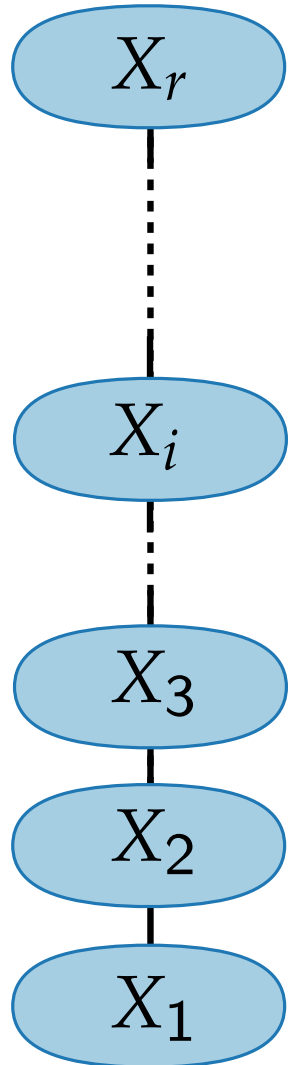
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# INDEPENDENT SET in Graphs of Bounded Pathwidth

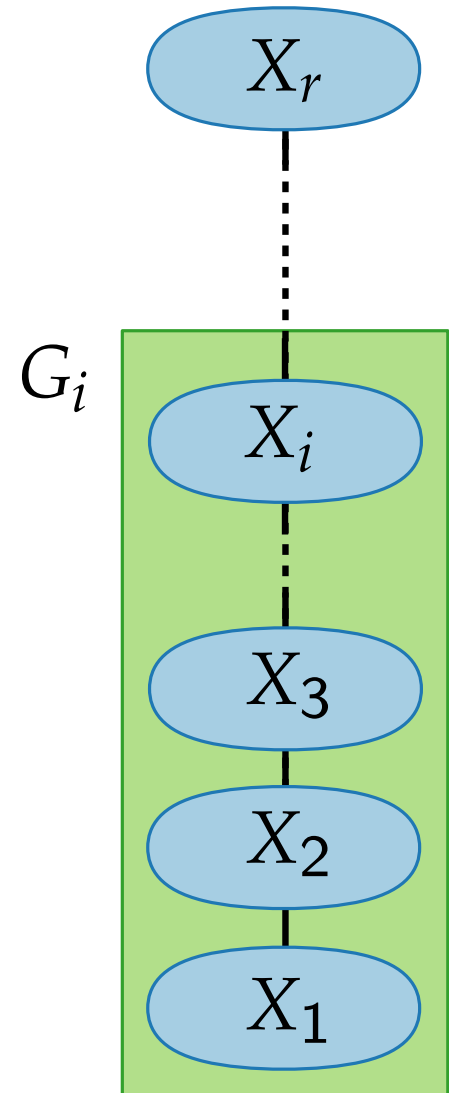
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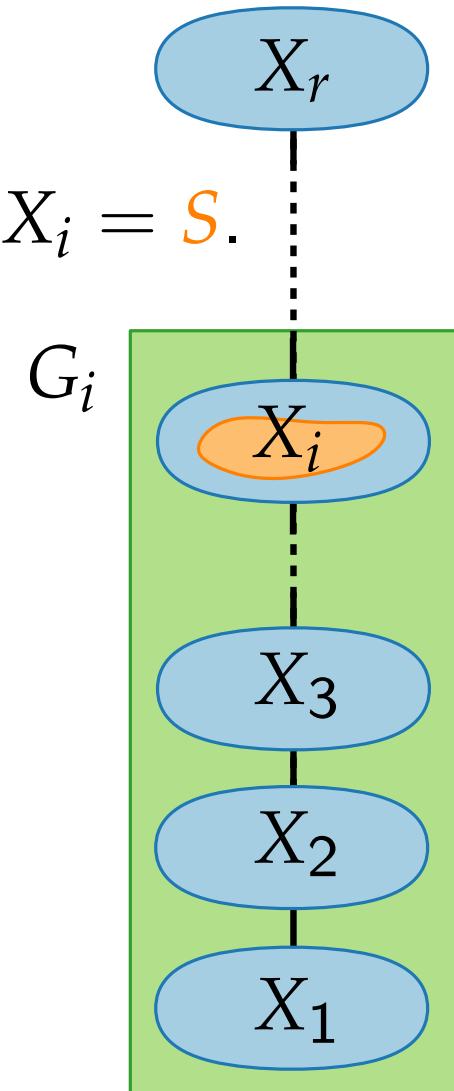
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For each  $S \subseteq X_i$  let

$D[i, S] :=$  maximum weight of an independent set  $I$  in  $G_i$  such that  $I \cap X_i = S$ .



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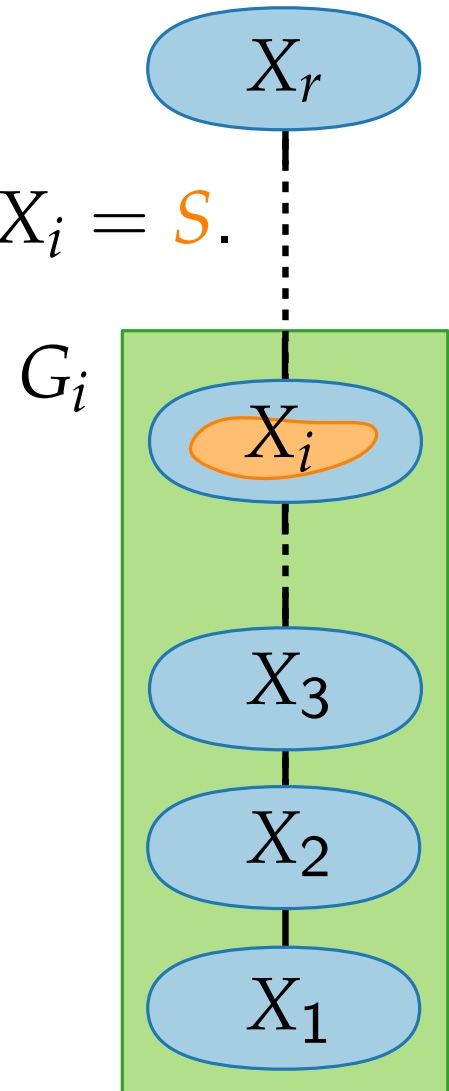
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(P1)  $\Rightarrow G_r = G \Rightarrow$  solution  $= \max_{S \subseteq X_r} D[r, S]$



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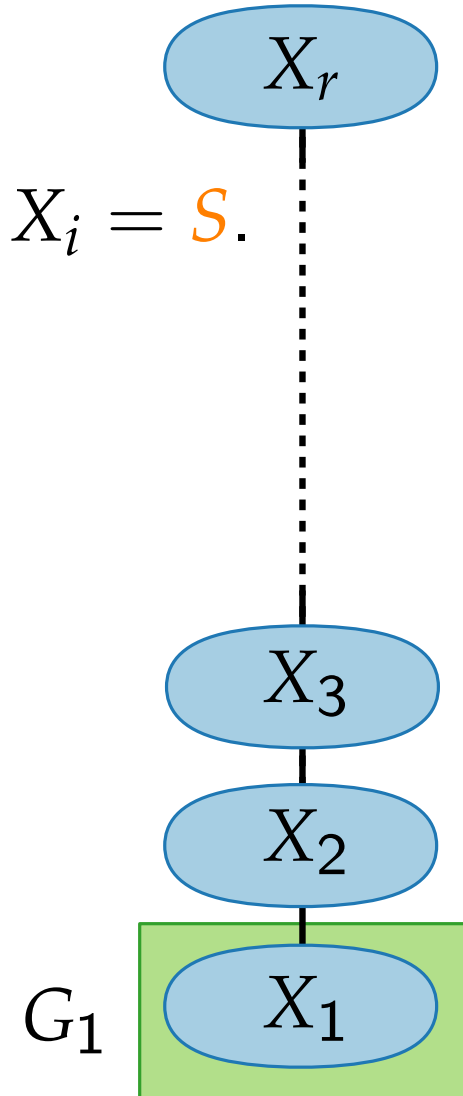
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$$D[1, S] = \begin{cases} 0 & , \text{ if } S = \emptyset \\ w(v) & , \text{ if } S = \{v\} \end{cases}$$



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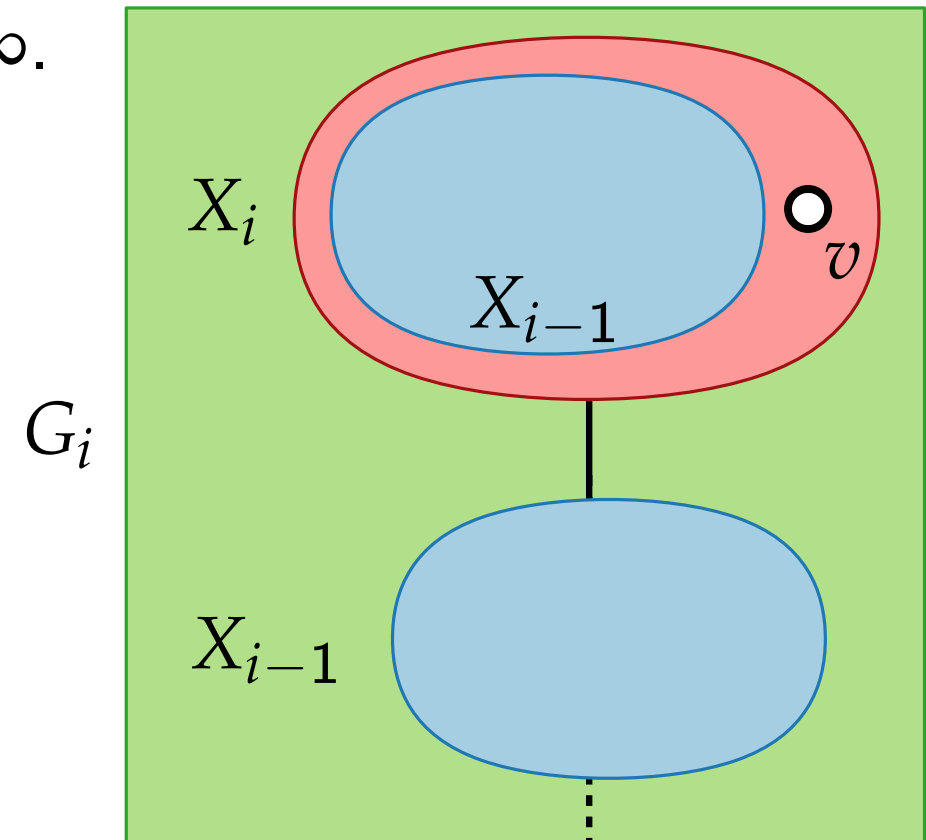
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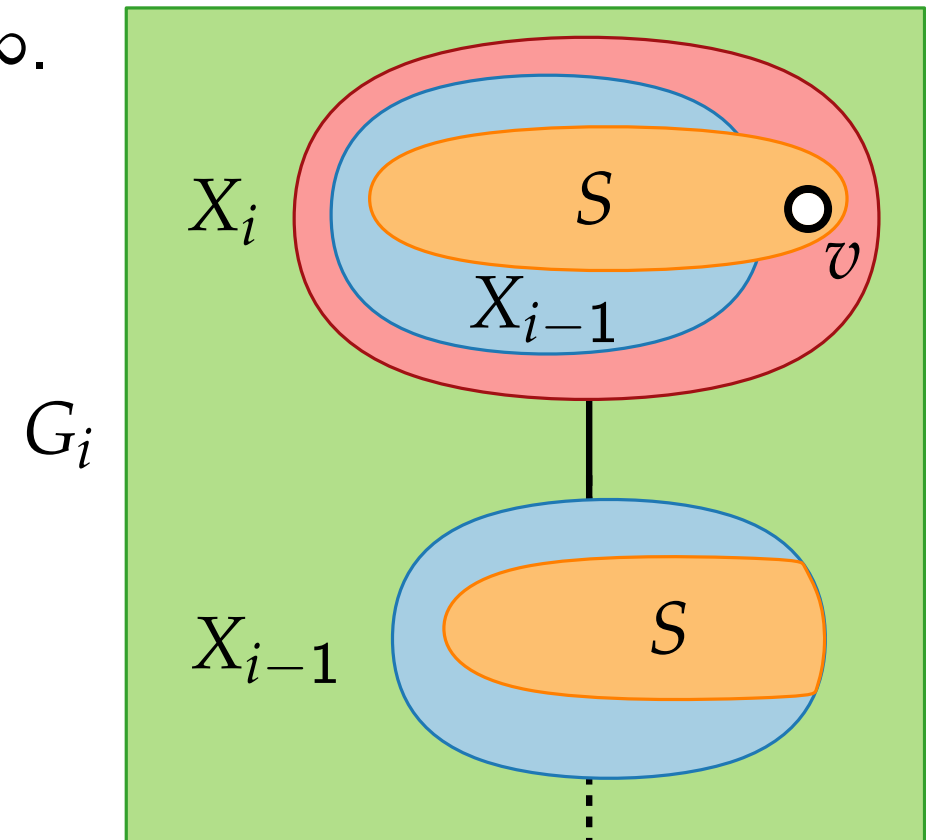
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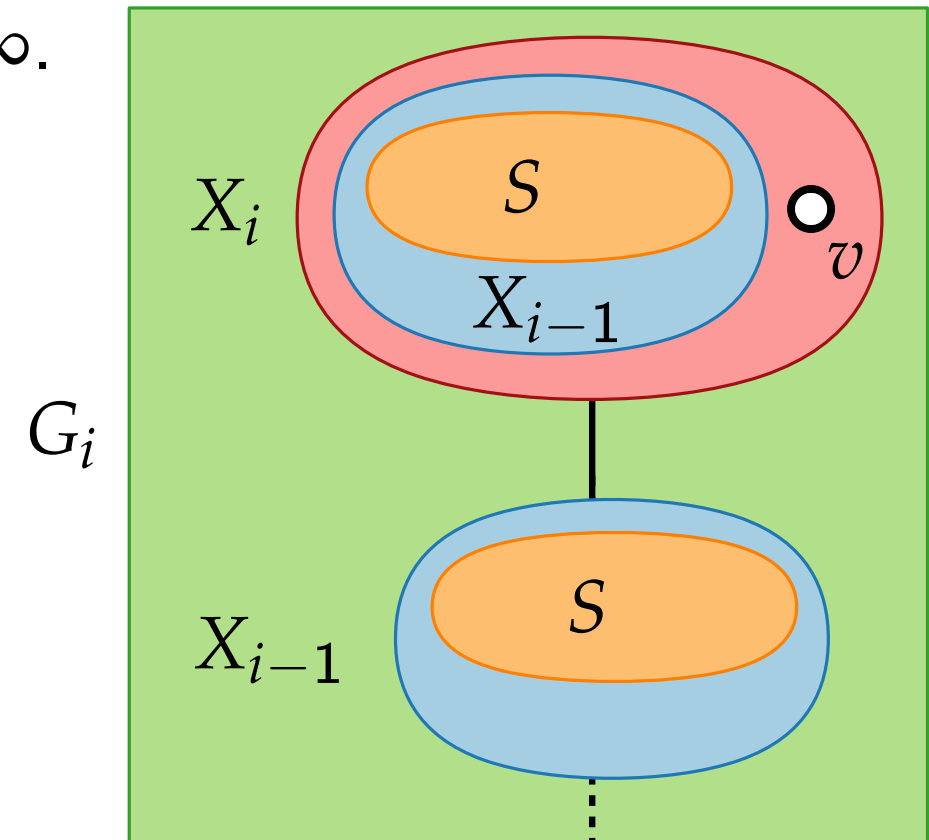
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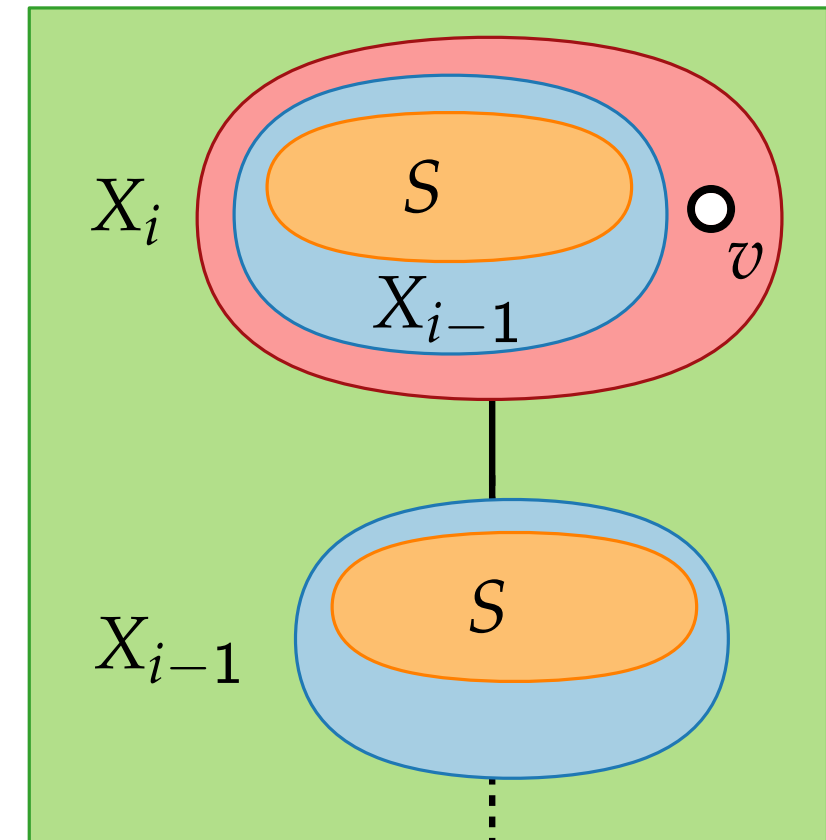
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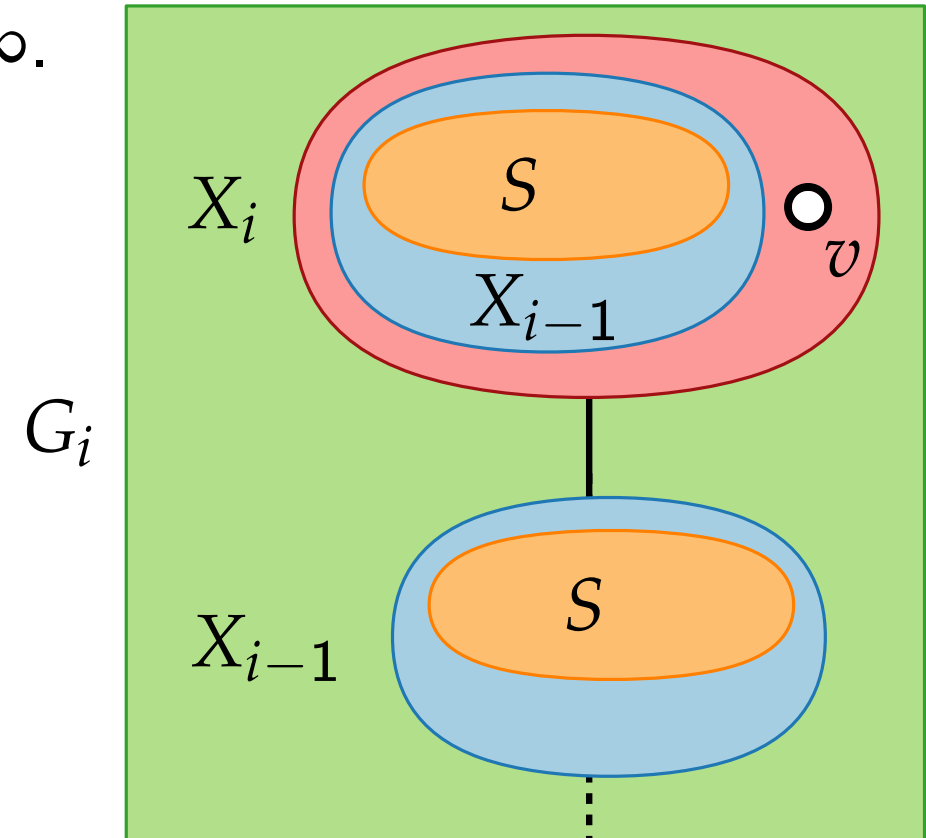
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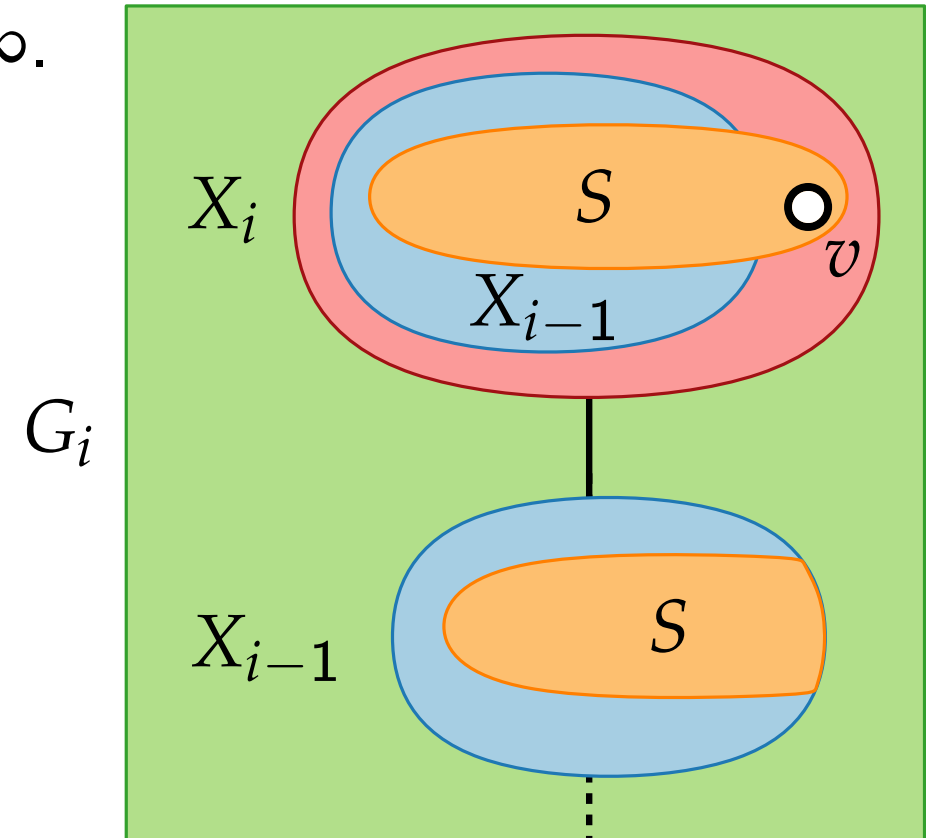
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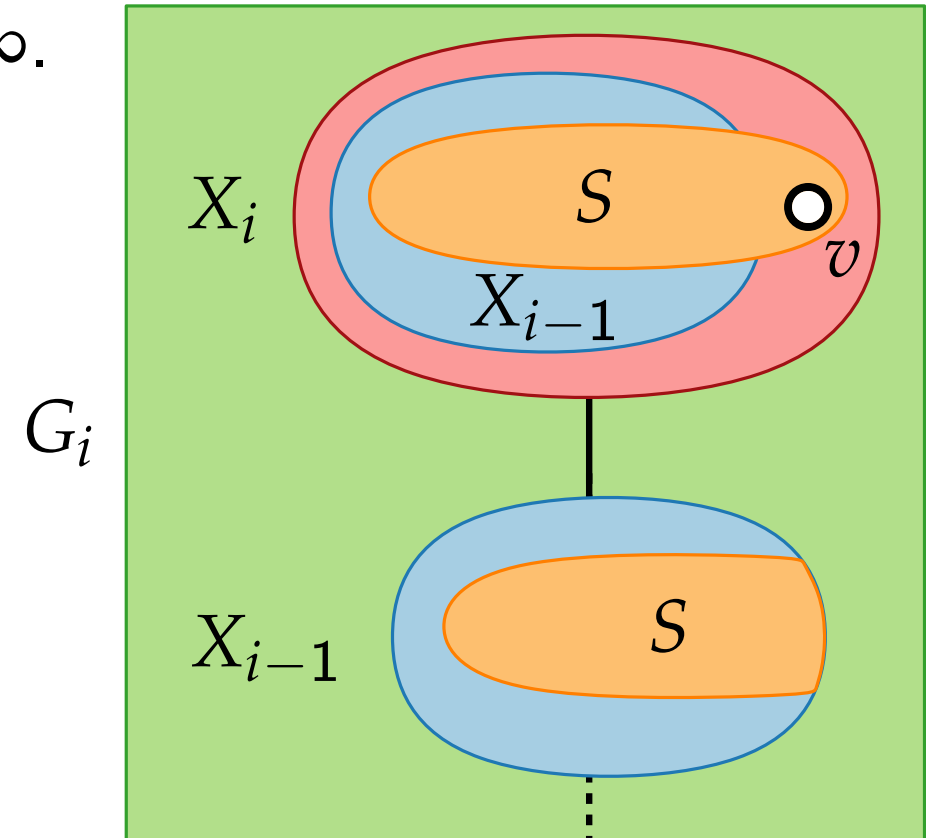
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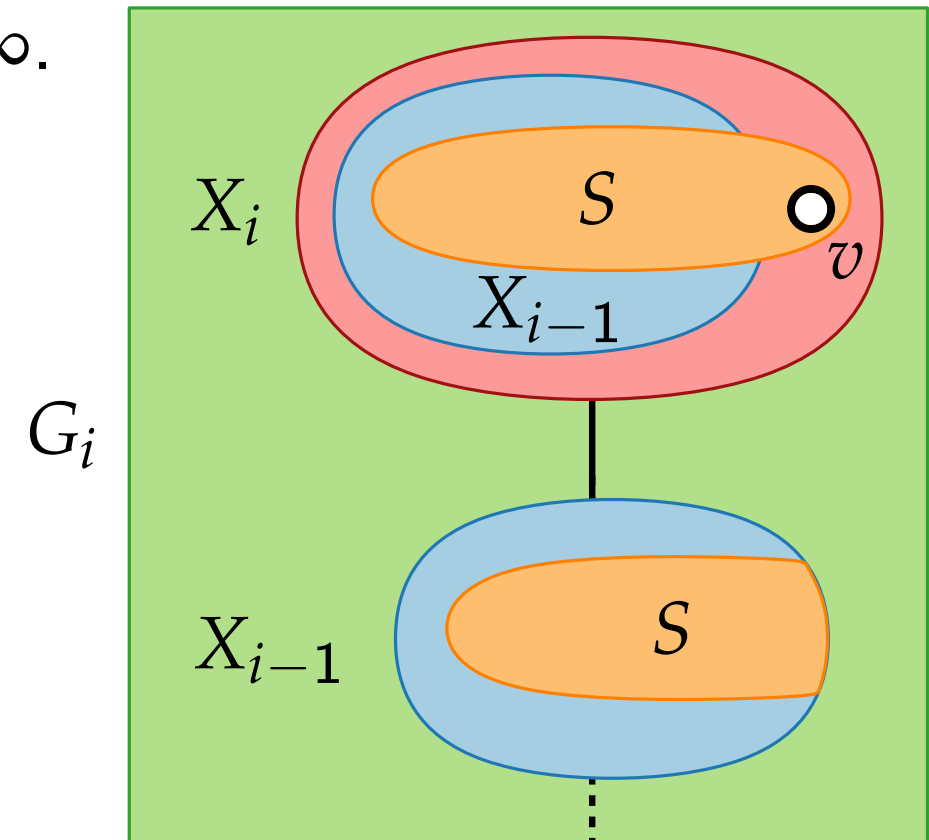
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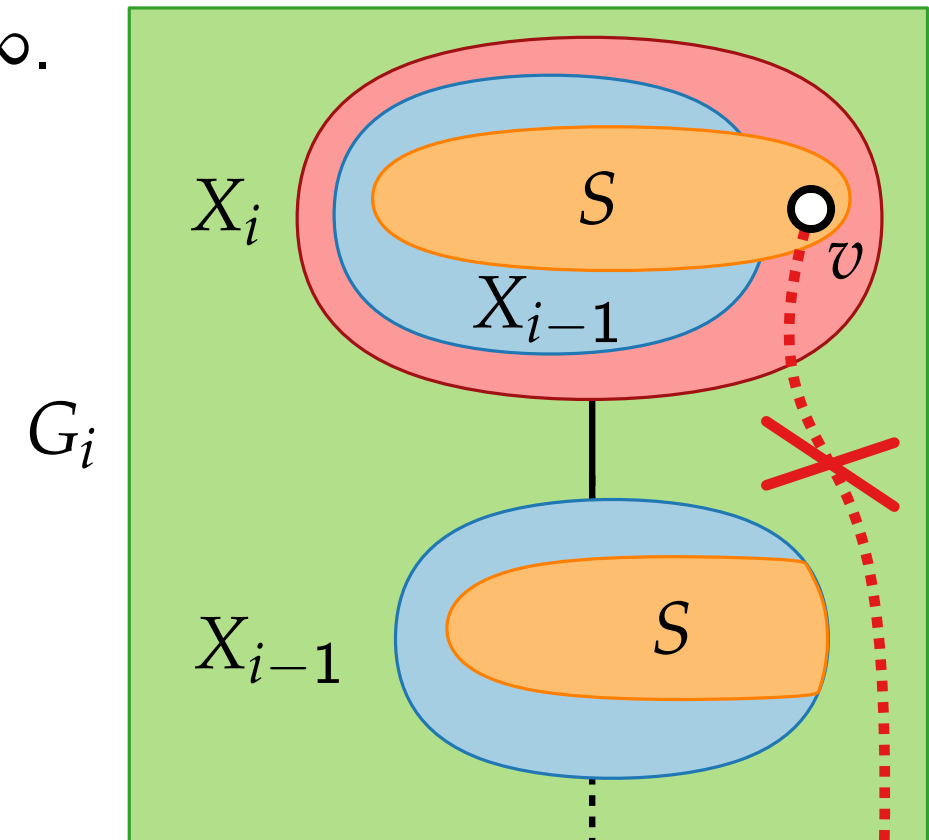
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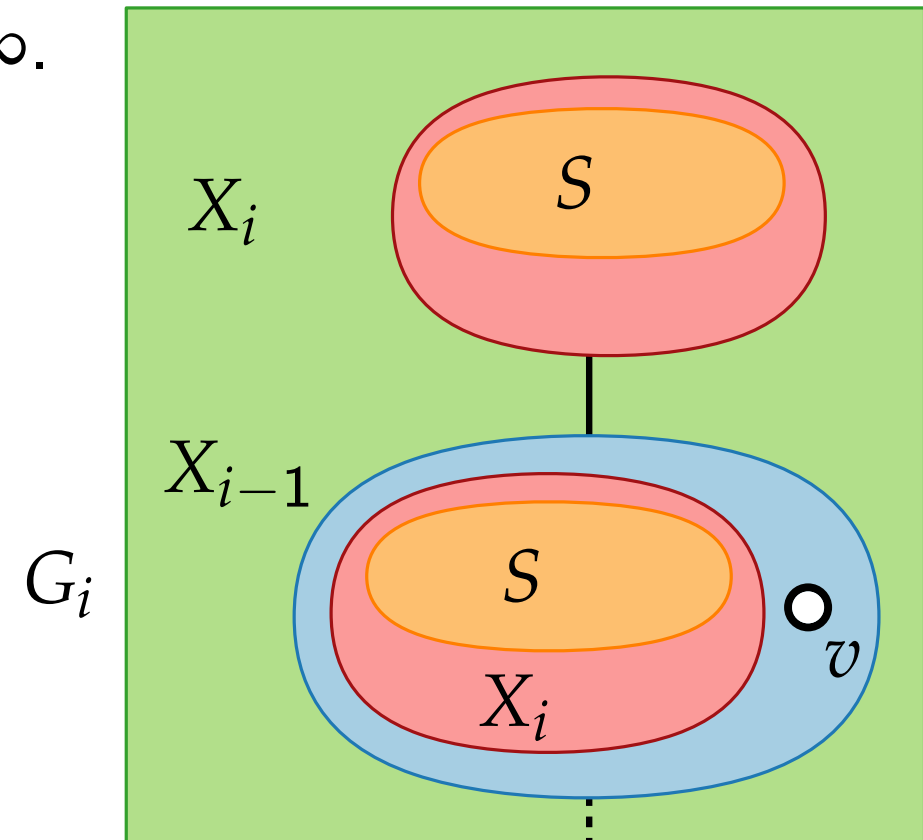
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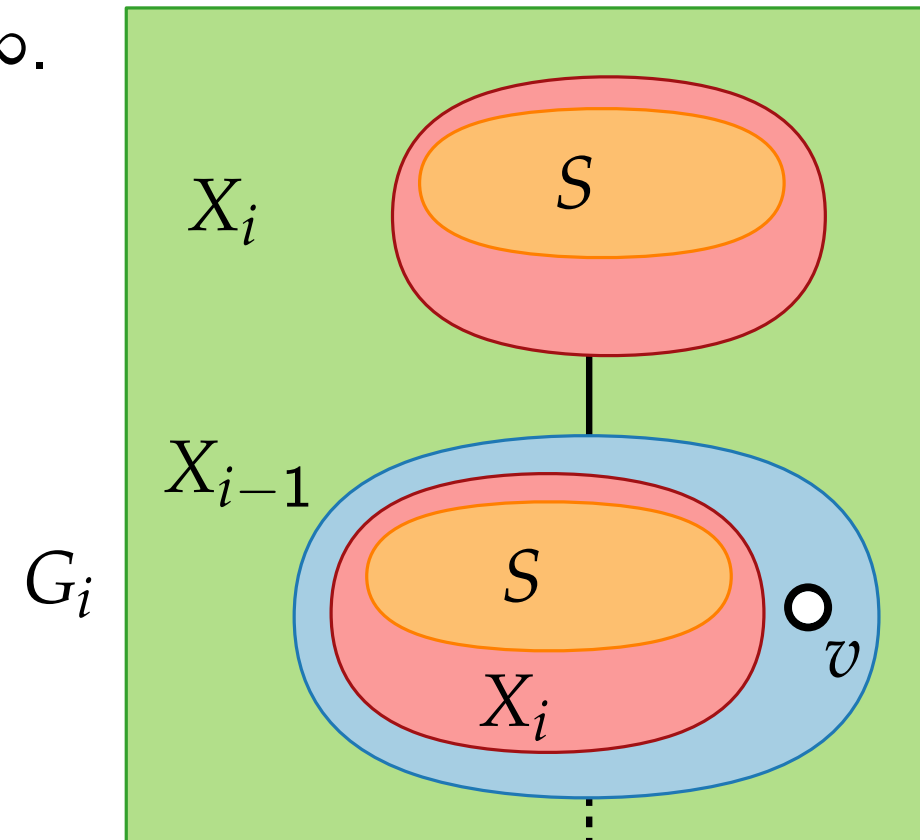
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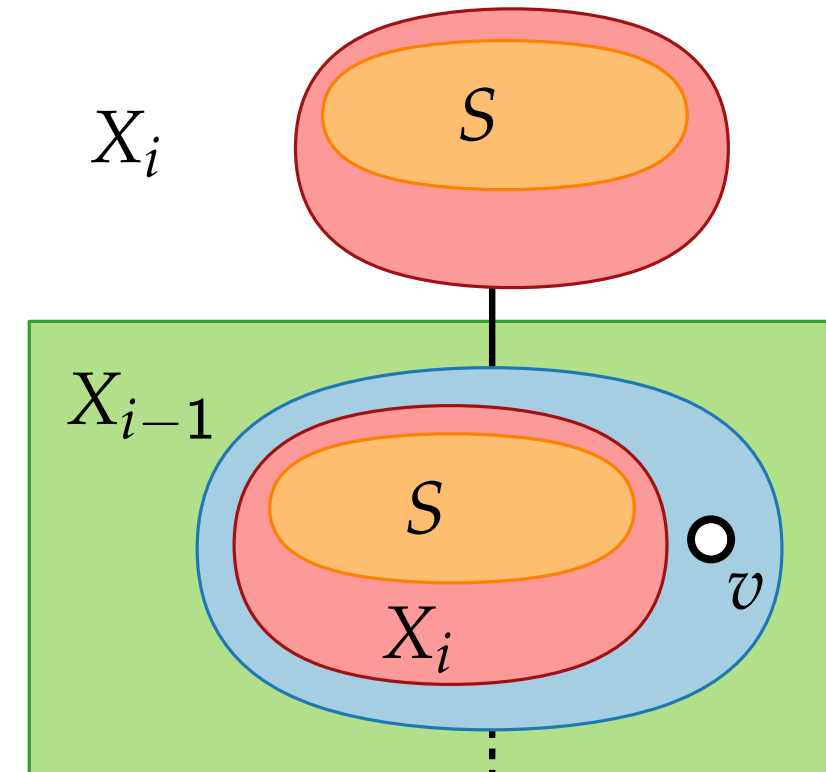
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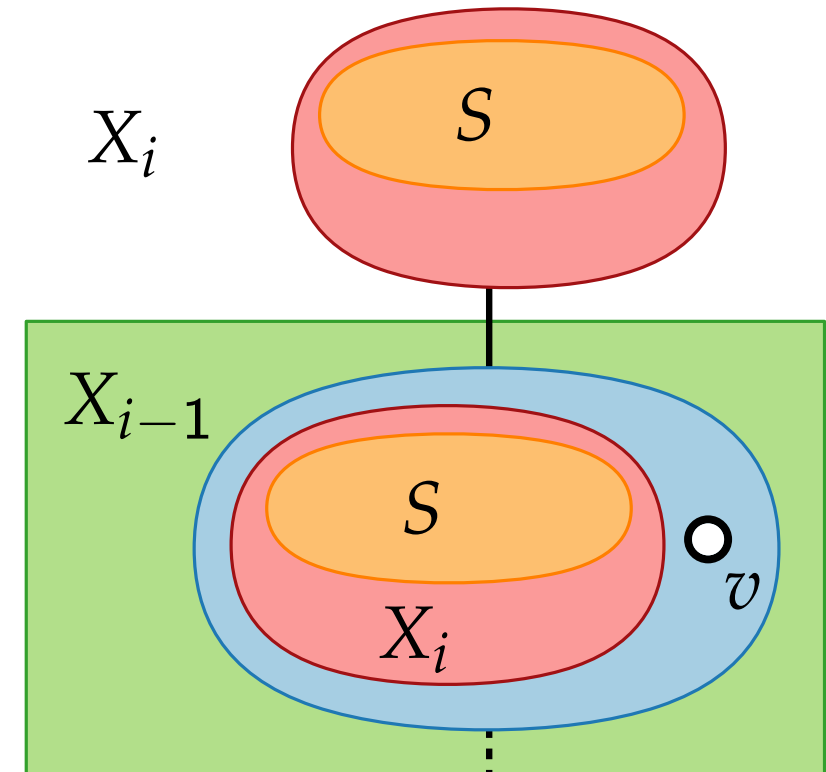
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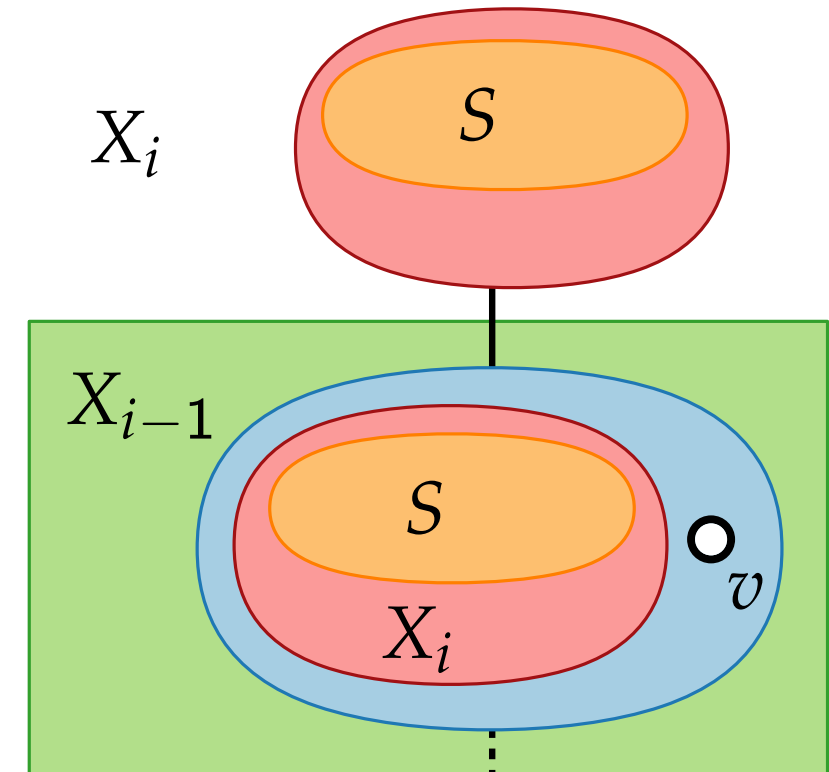
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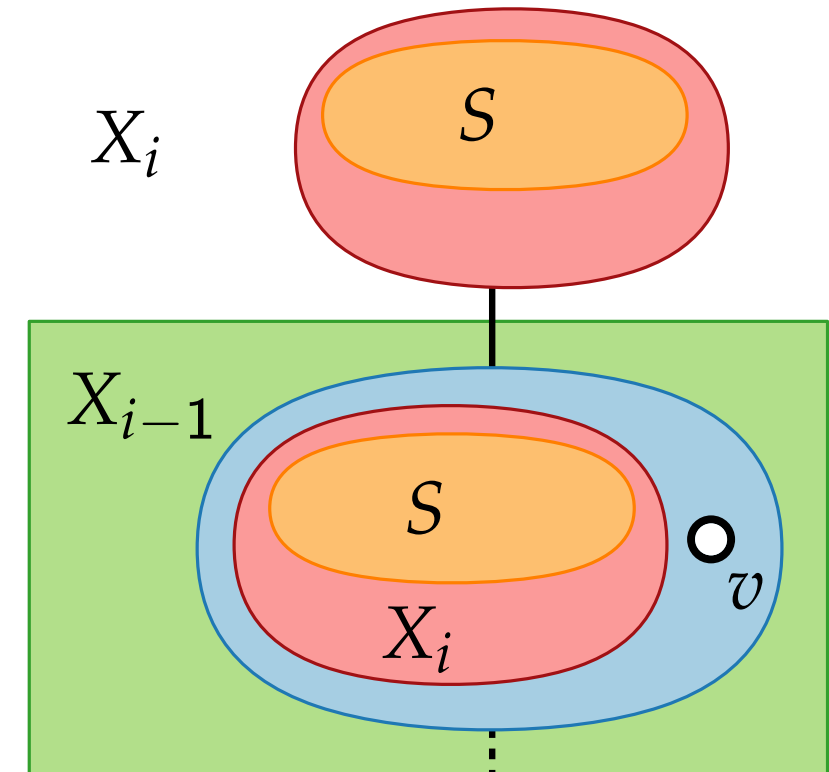
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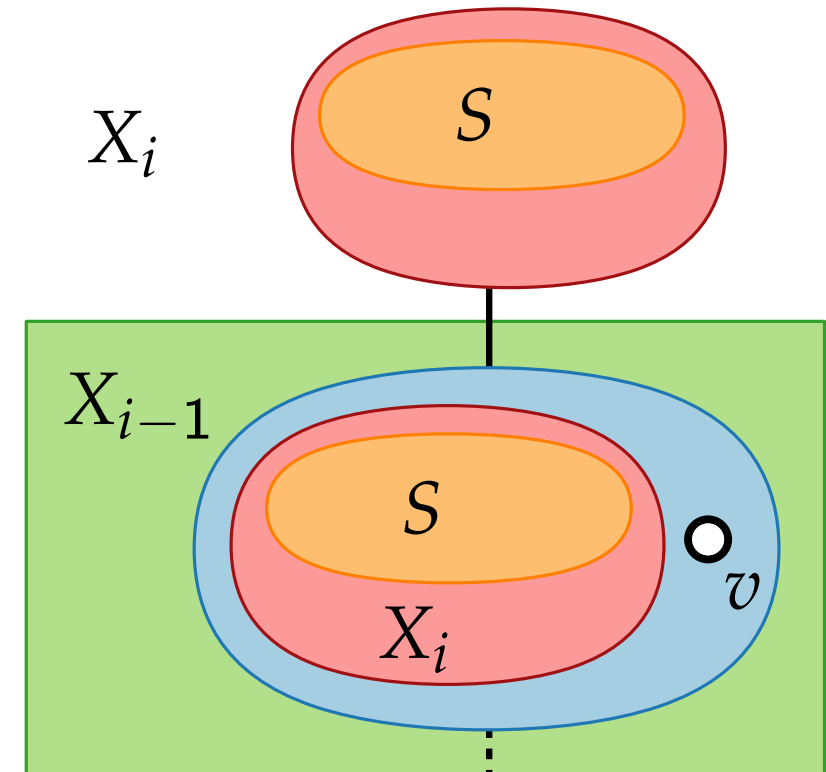
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# References and Literature

- [1] Parameterized Algorithms,  
M. Cygan, F. Fomin, Ł. Kowalik, D. Lokshtanov, D. Marx, M. Pilipczuk,  
M. Pilipczuk, S. Saurabh, Springer International Publishing 2015.

Sections 1, 7.1, 7.2, 7.3