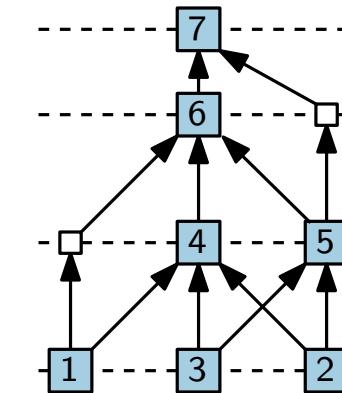
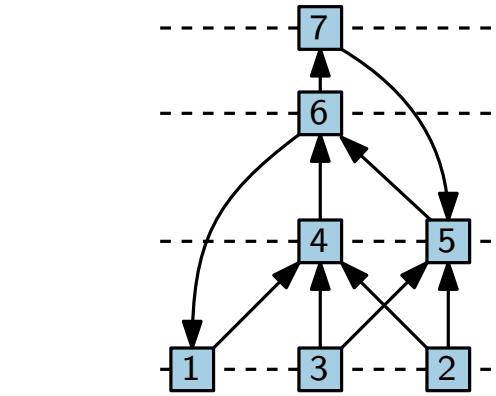
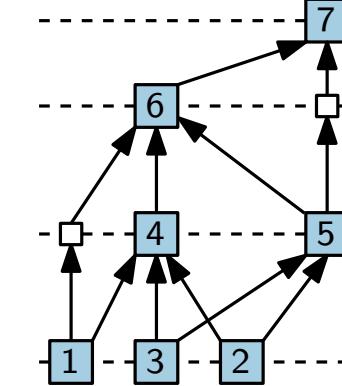
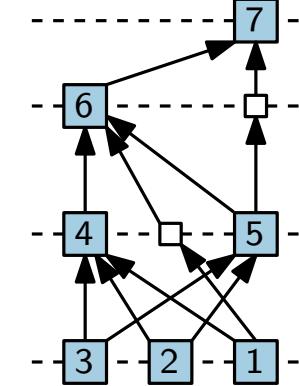
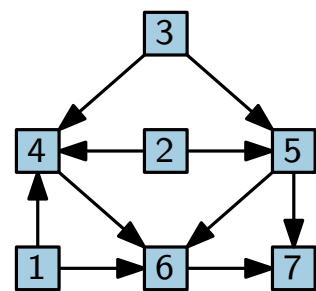
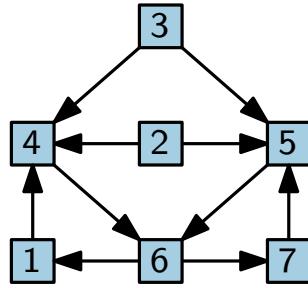


Visualization of Graphs

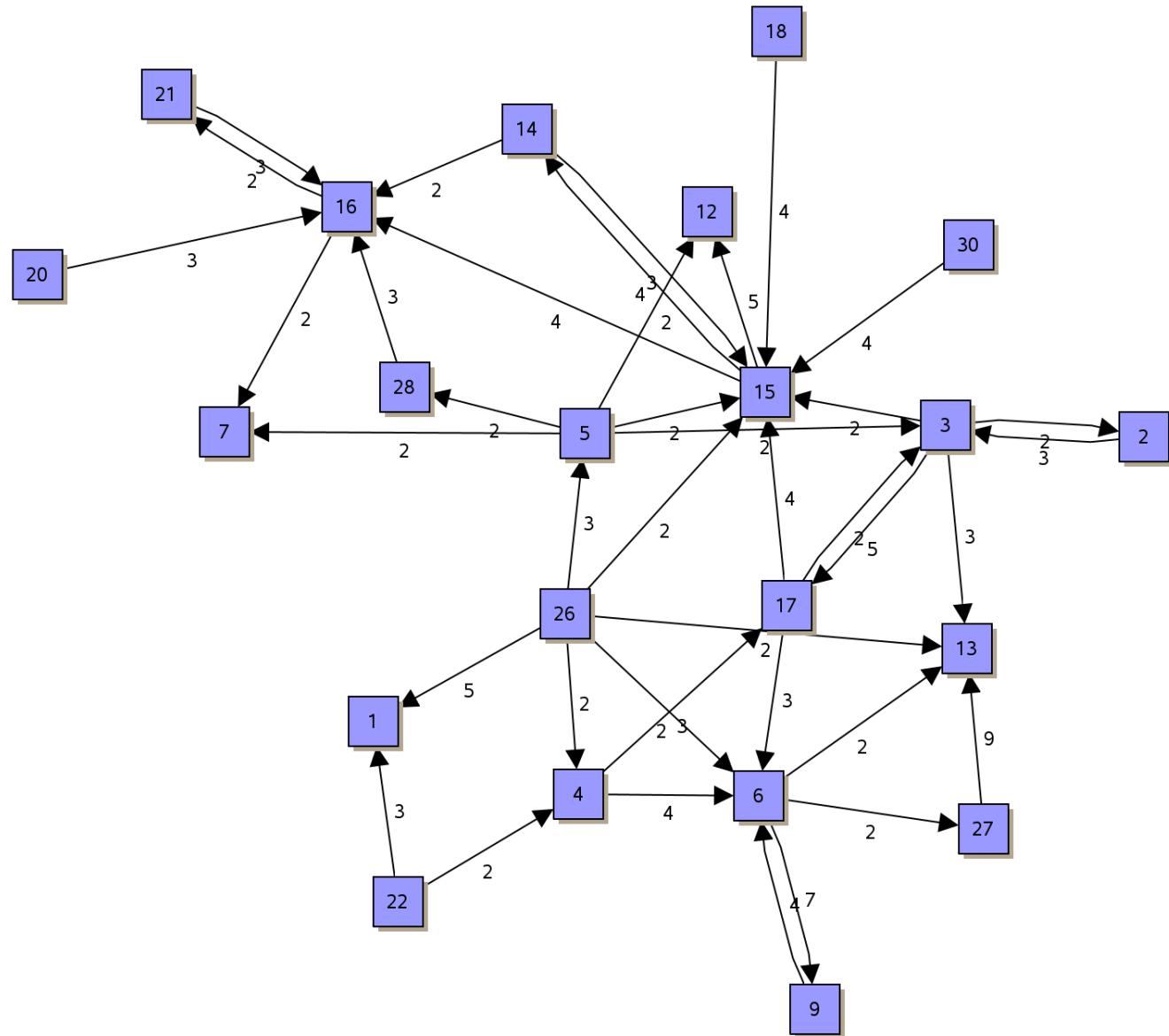
Lecture 8:

Hierarchical Layouts: Sugiyama Framework

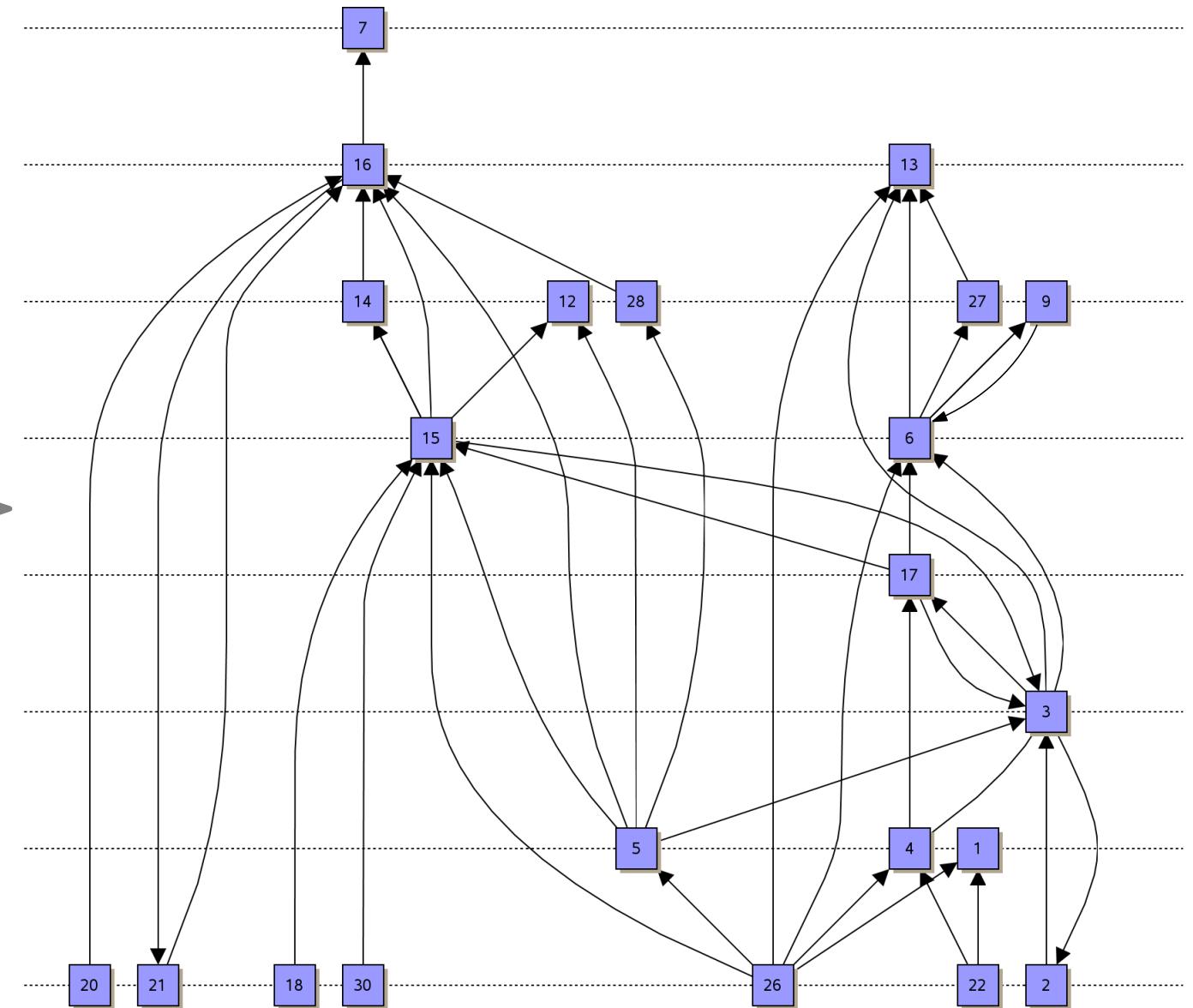
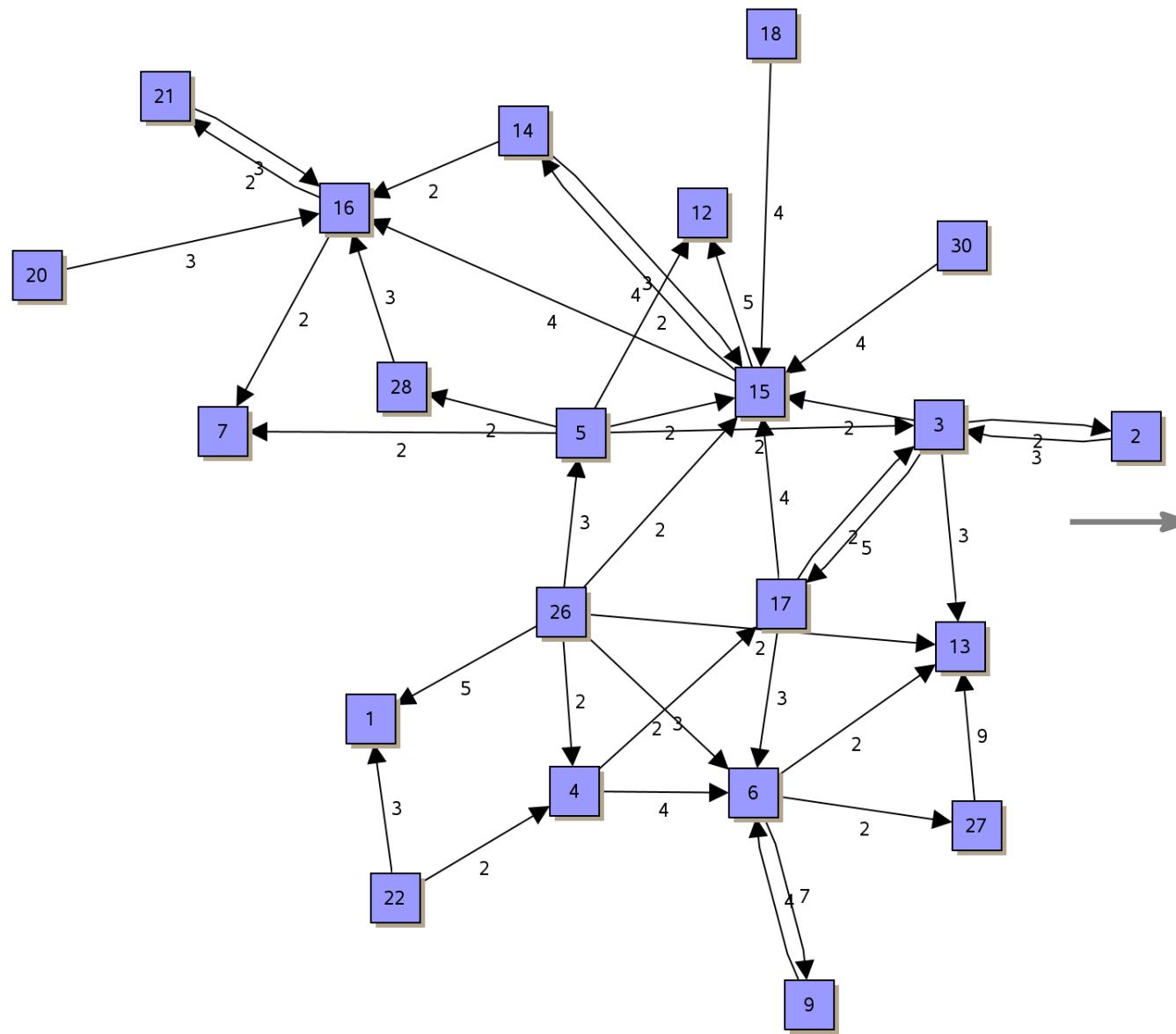
Johannes Zink



Hierarchical Drawings – Motivation



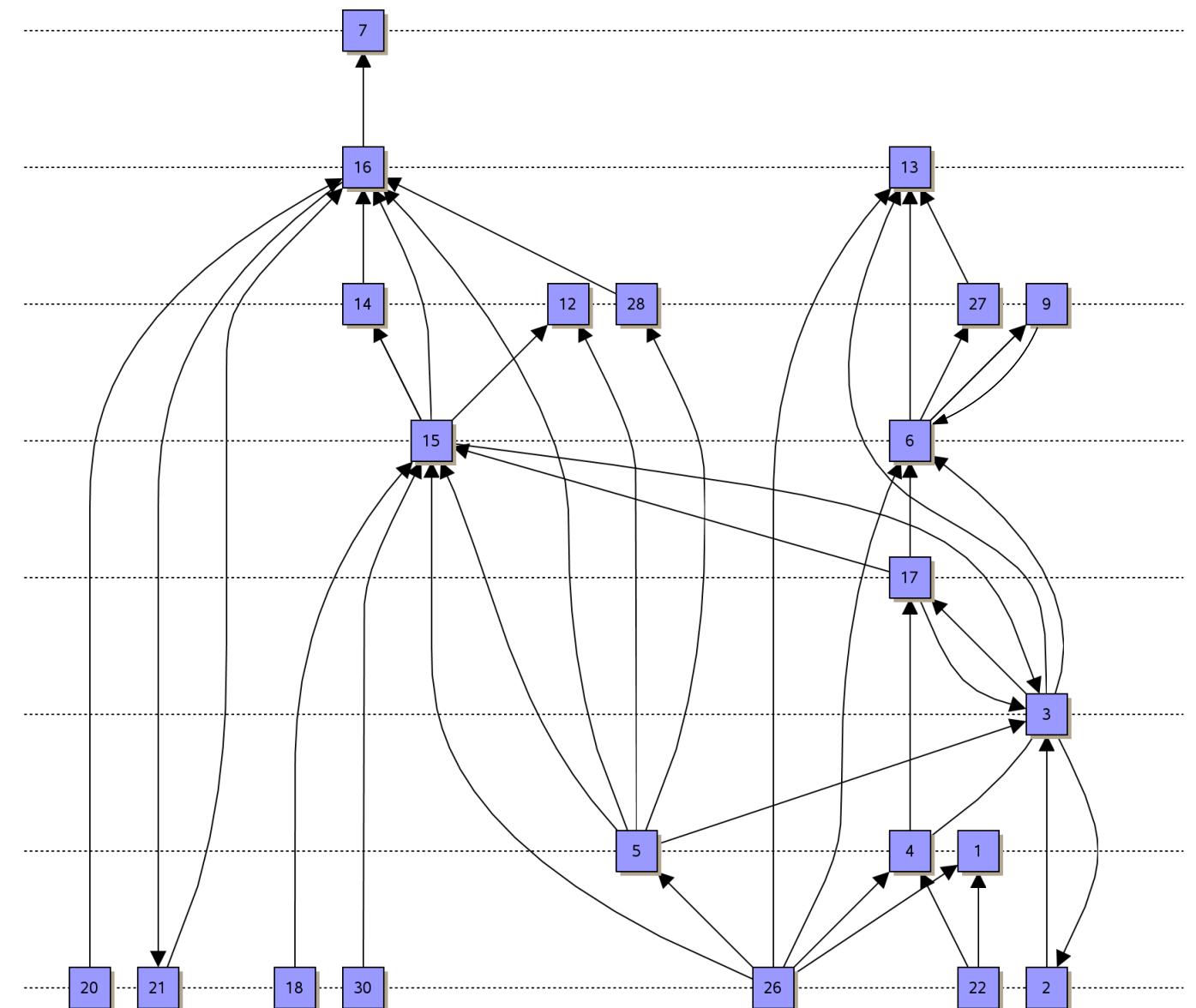
Hierarchical Drawings – Motivation



Hierarchical Drawing

Problem Statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

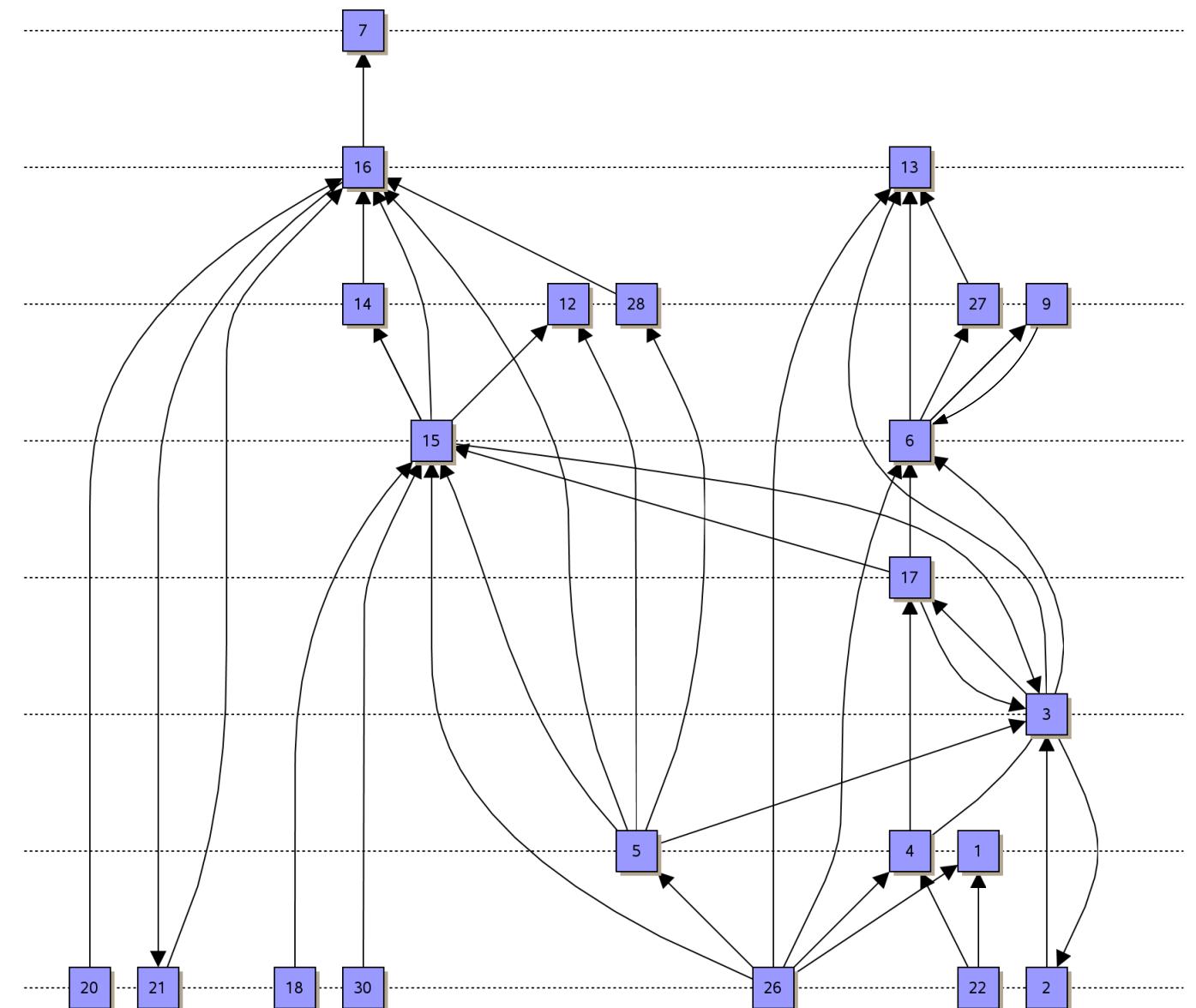


Hierarchical Drawing

Problem Statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties.



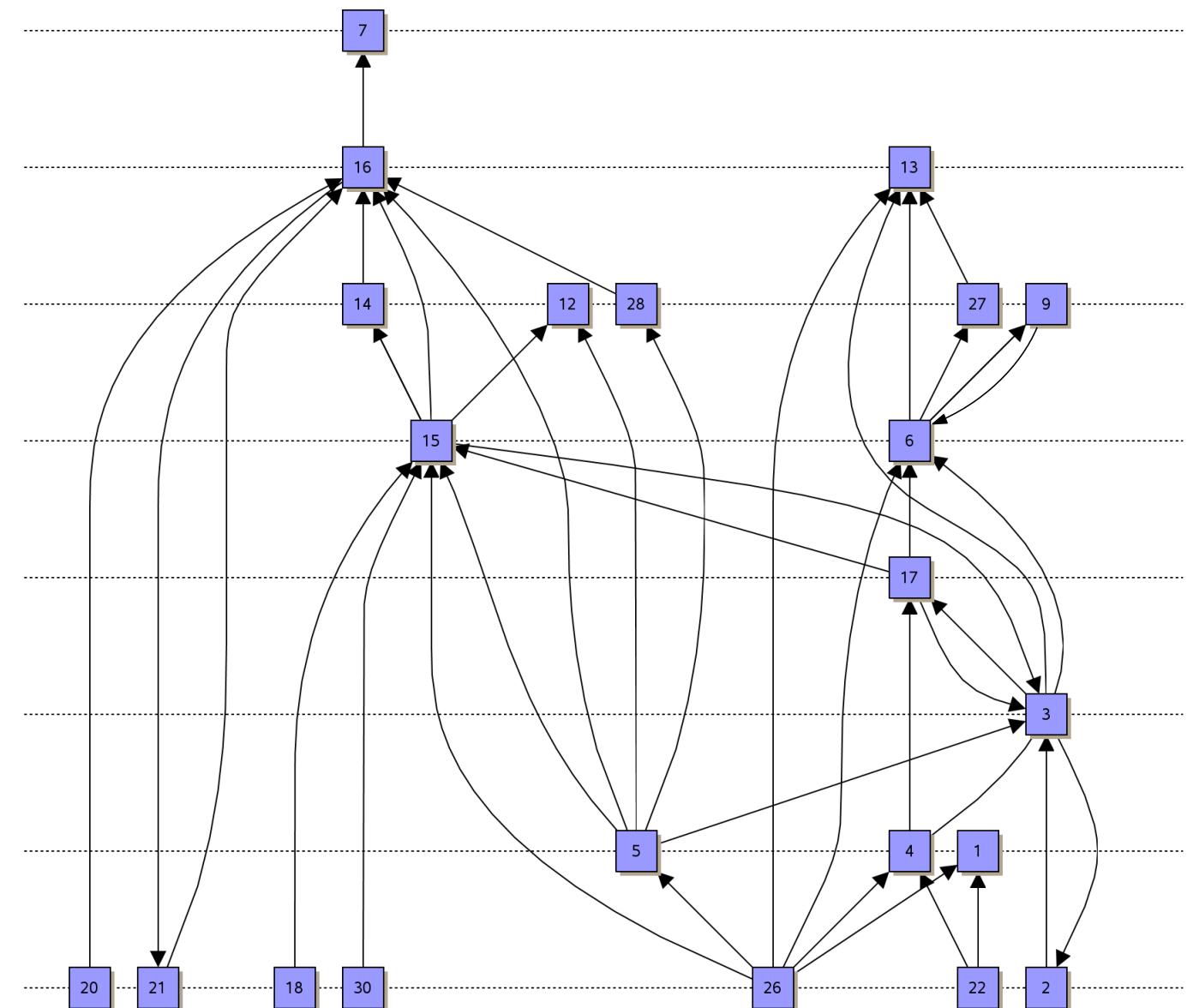
Hierarchical Drawing

Problem Statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties.

- edges directed upwards



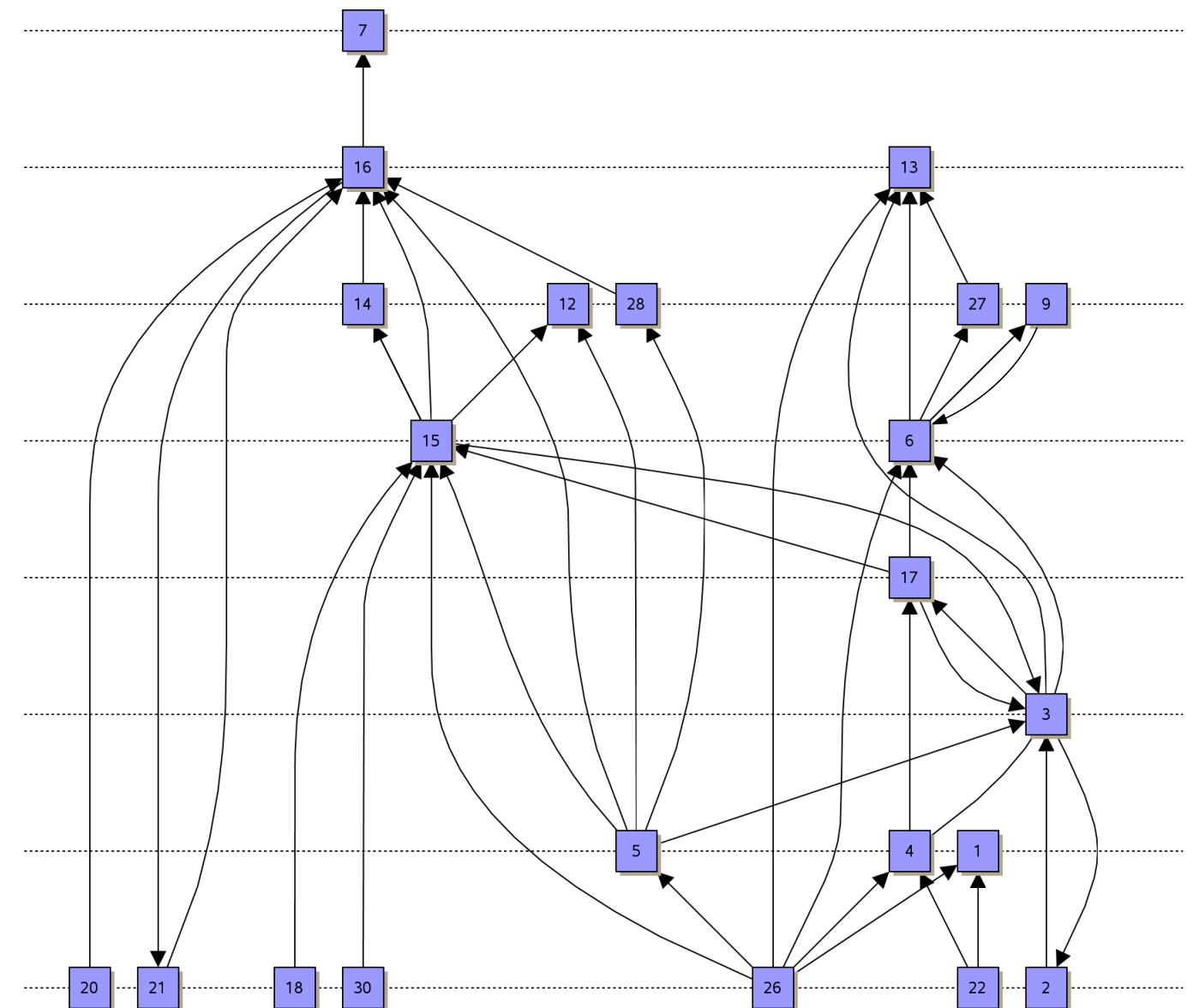
Hierarchical Drawing

Problem Statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties.

- edges directed upwards
- vertices occur on (few) horizontal lines



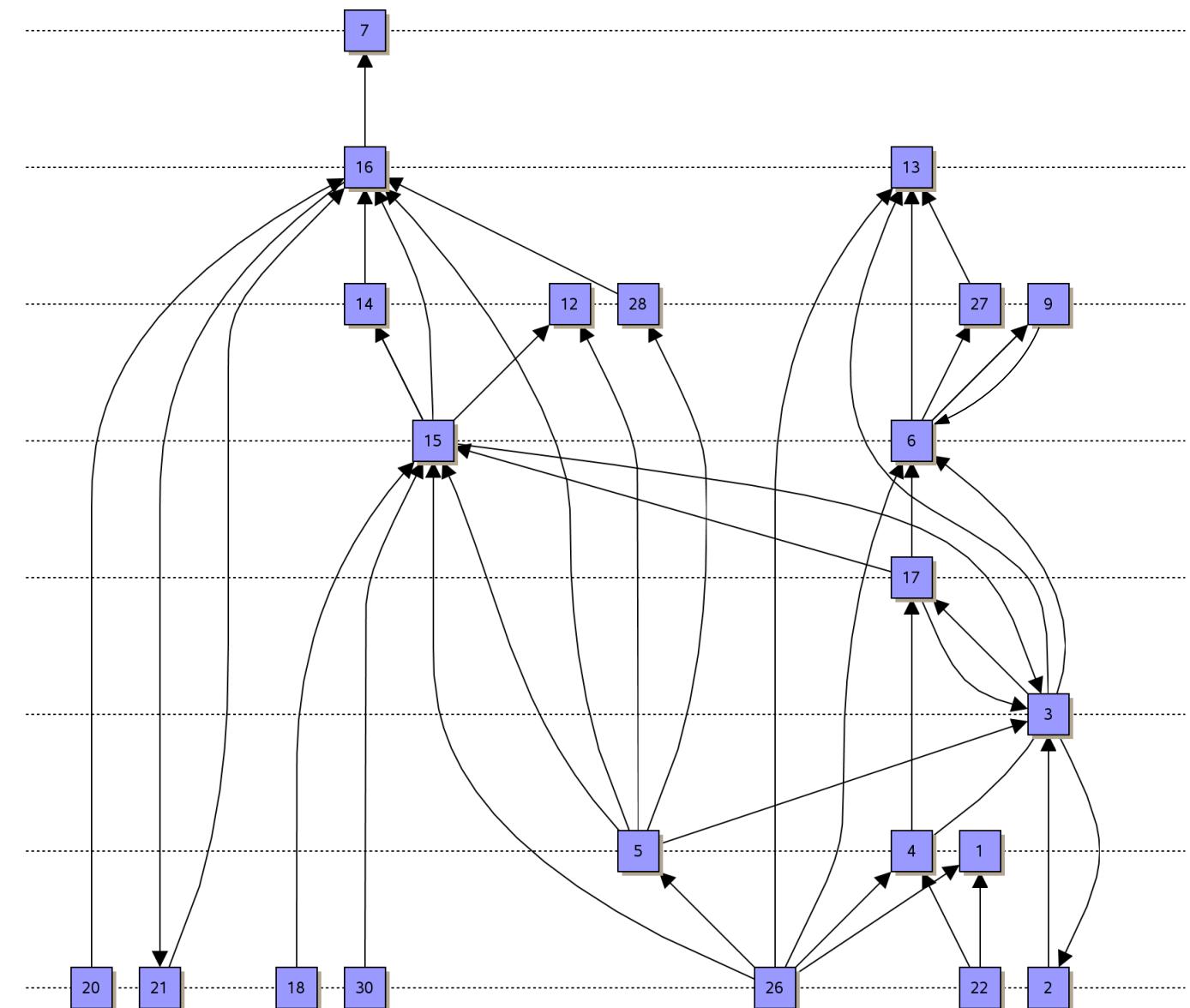
Hierarchical Drawing

Problem Statement.

- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties.

- edges directed upwards
- vertices occur on (few) horizontal lines
- edge crossings minimized



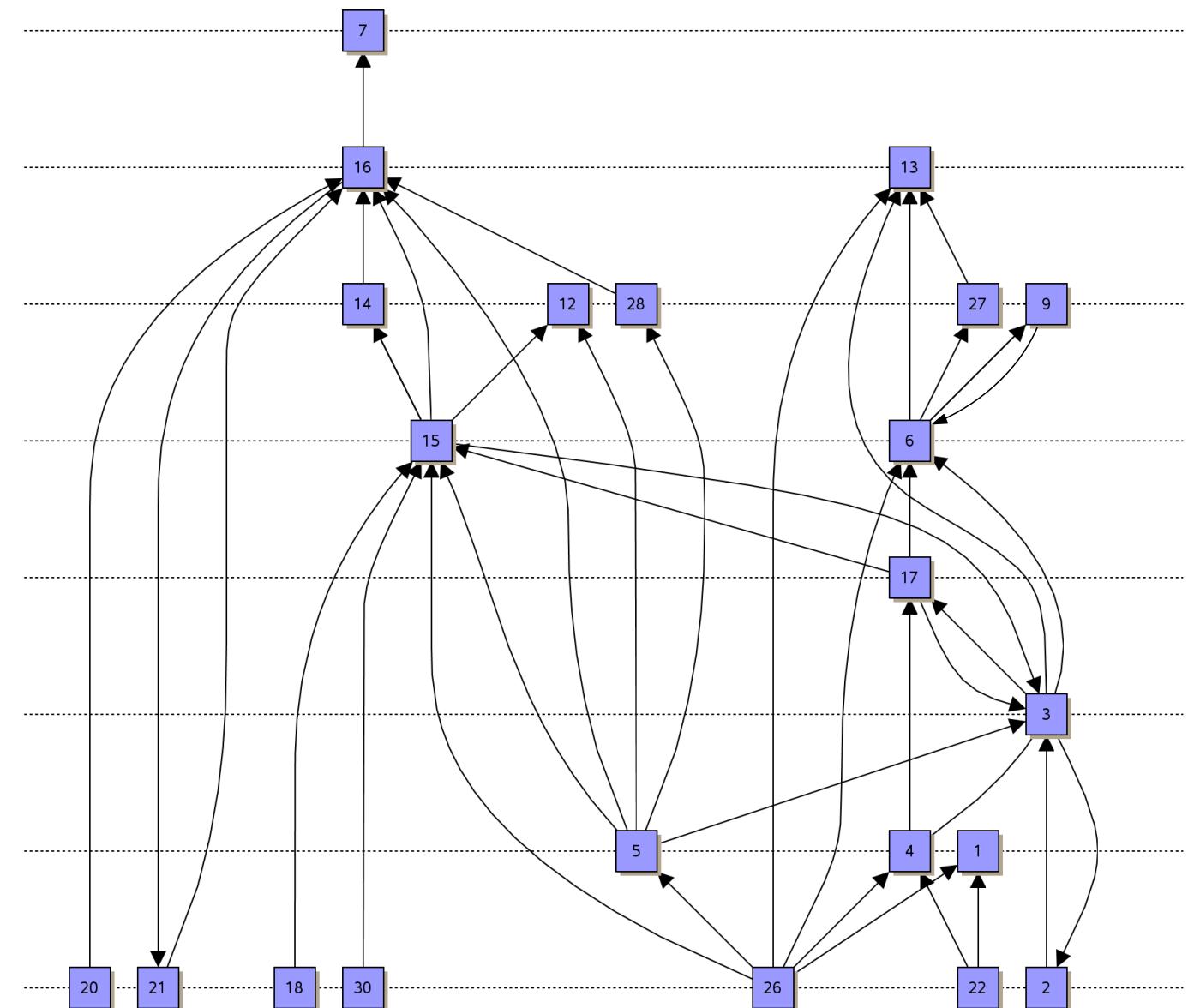
Hierarchical Drawing

Problem Statement.

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Desirable Properties.

- edges directed upwards
- vertices occur on (few) horizontal lines
- edge crossings minimized
- edges as short as possible



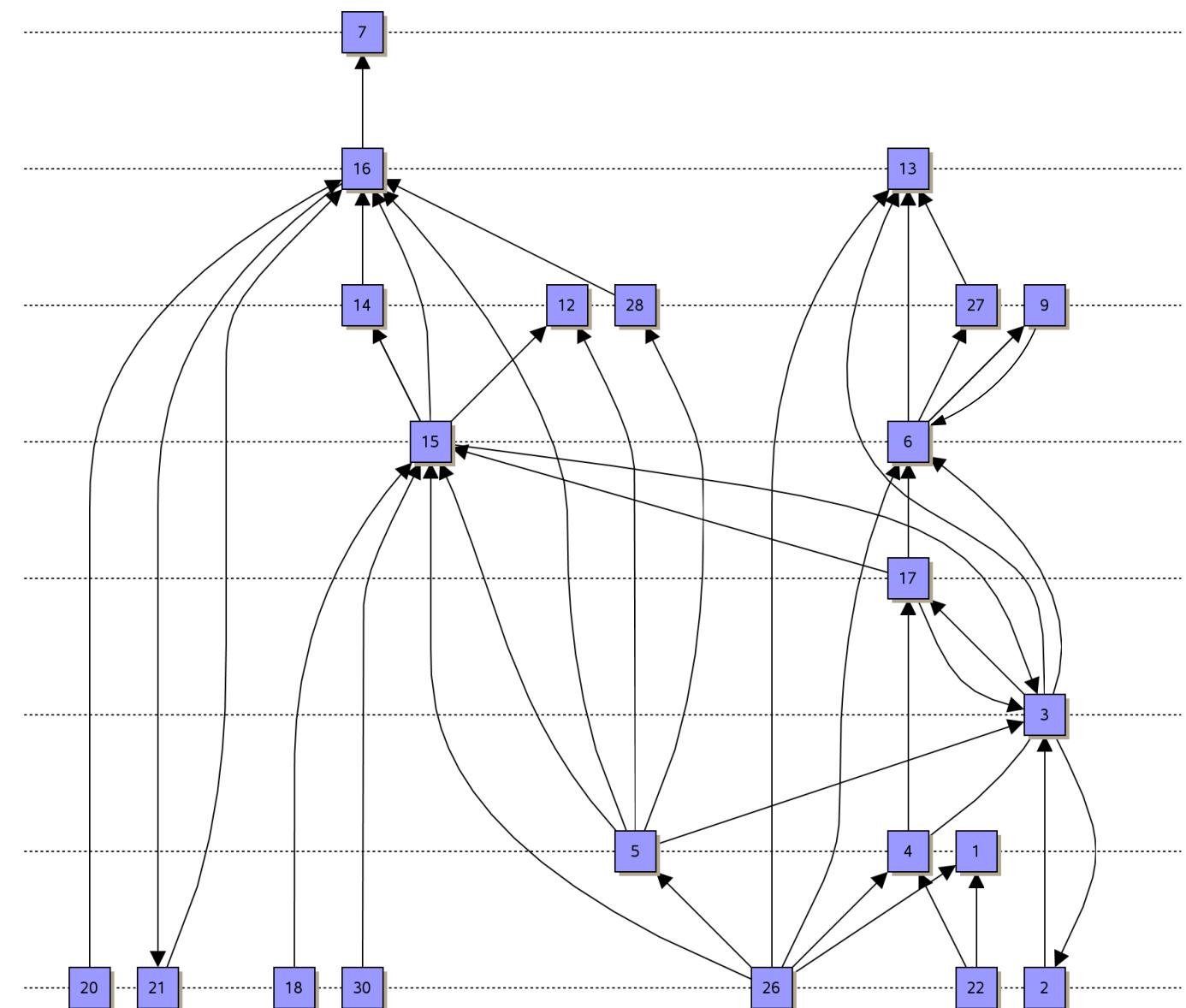
Hierarchical Drawing

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Desirable Properties.

- edges directed upwards
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- edge crossings minimized
- edges as short as possible
- vertices evenly spaced



Hierarchical Drawing

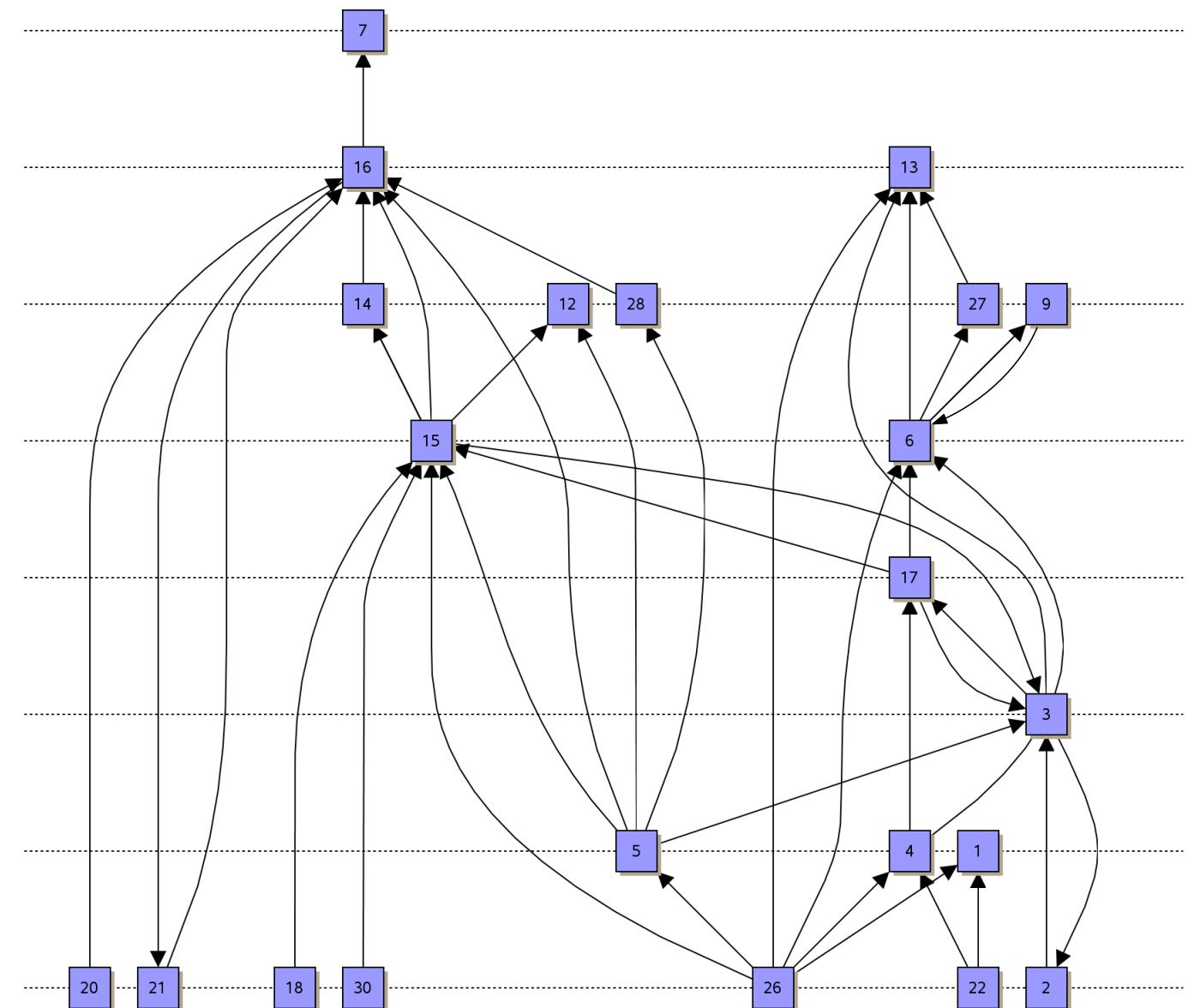
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- Input: digraph $G = (V, E)$
- Output: drawing of G that “closely” reproduces the hierarchical properties of G

Desirable Properties.

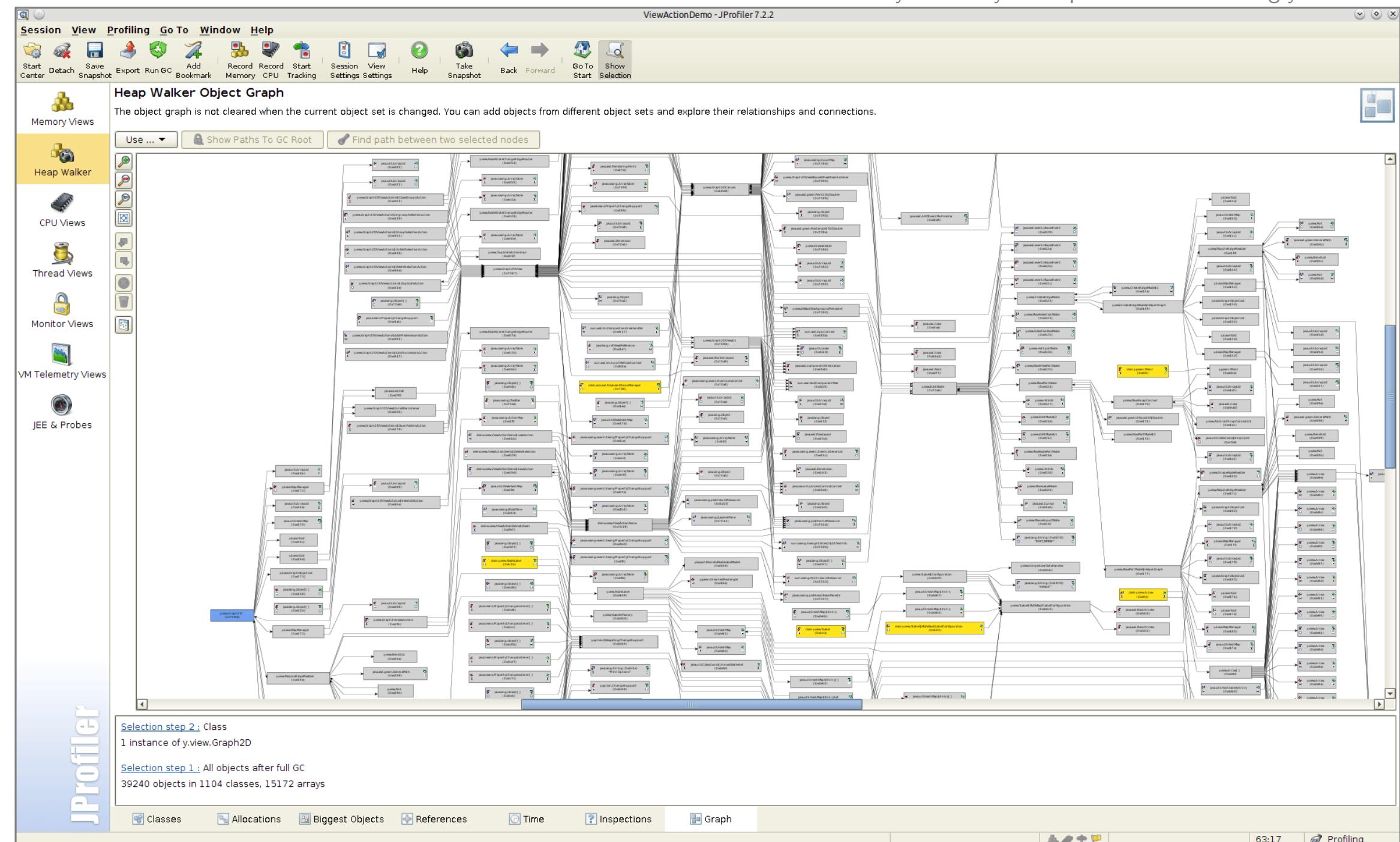
- edges directed upwards
- vertices occur on (few) horizontal lines
- edge crossings minimized
- edges as short as possible
- vertices evenly spaced

Criteria can be contradictory!



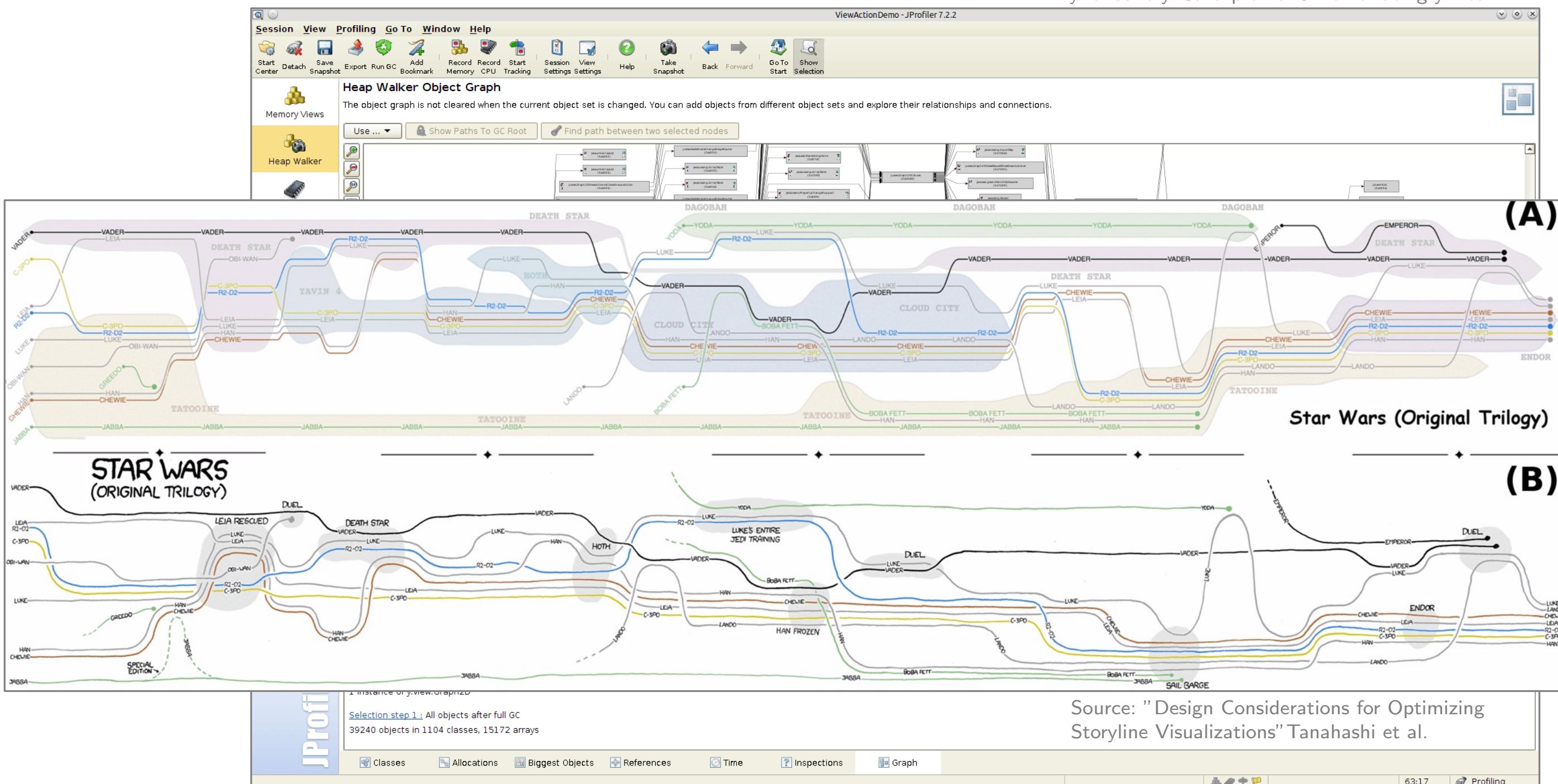
Hierarchical Drawing – Applications

yEd Gallery: Java profiler JProfiler using yFiles



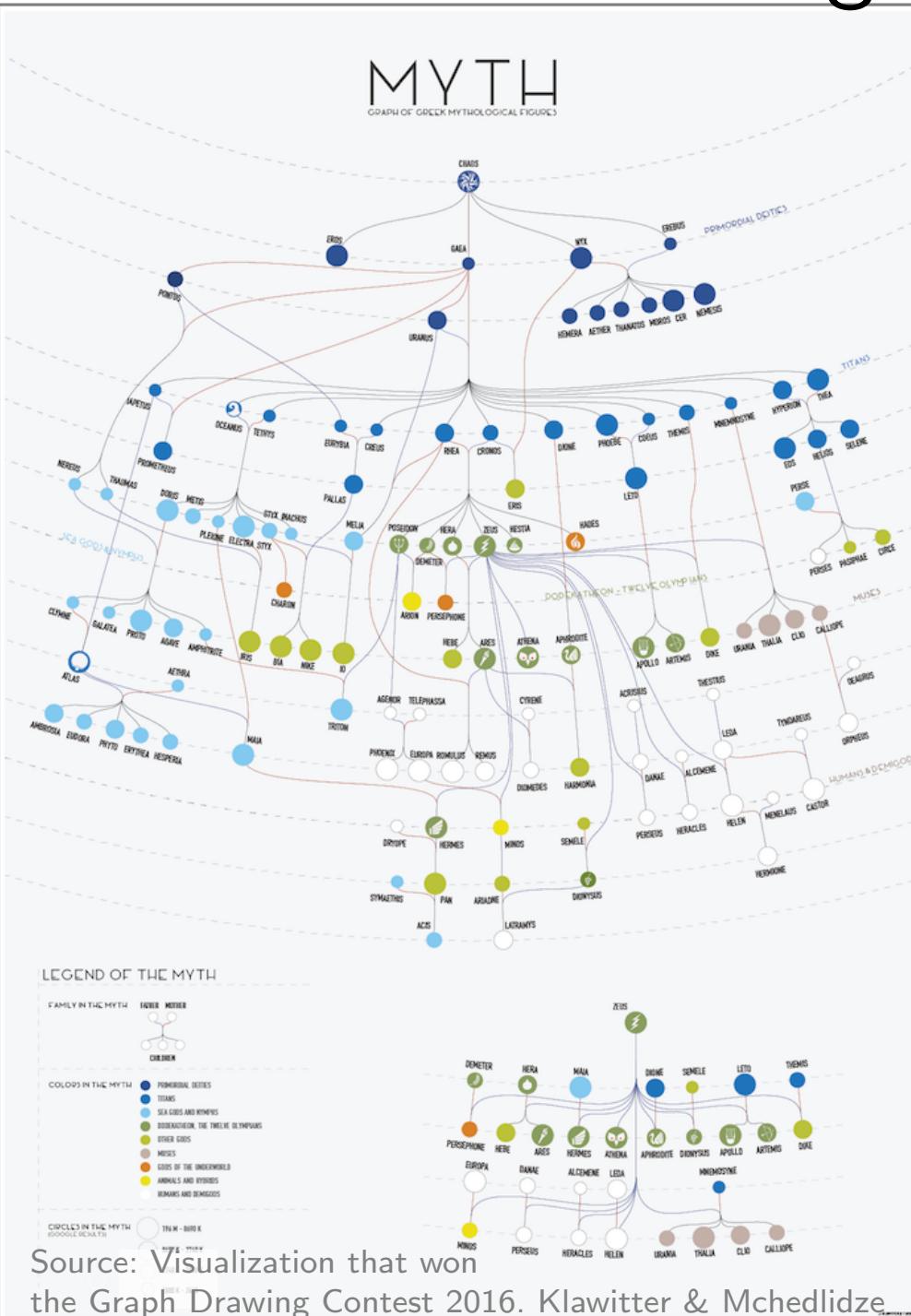
Hierarchical Drawing – Applications

yEd Gallery: Java profiler JProfiler using yFiles

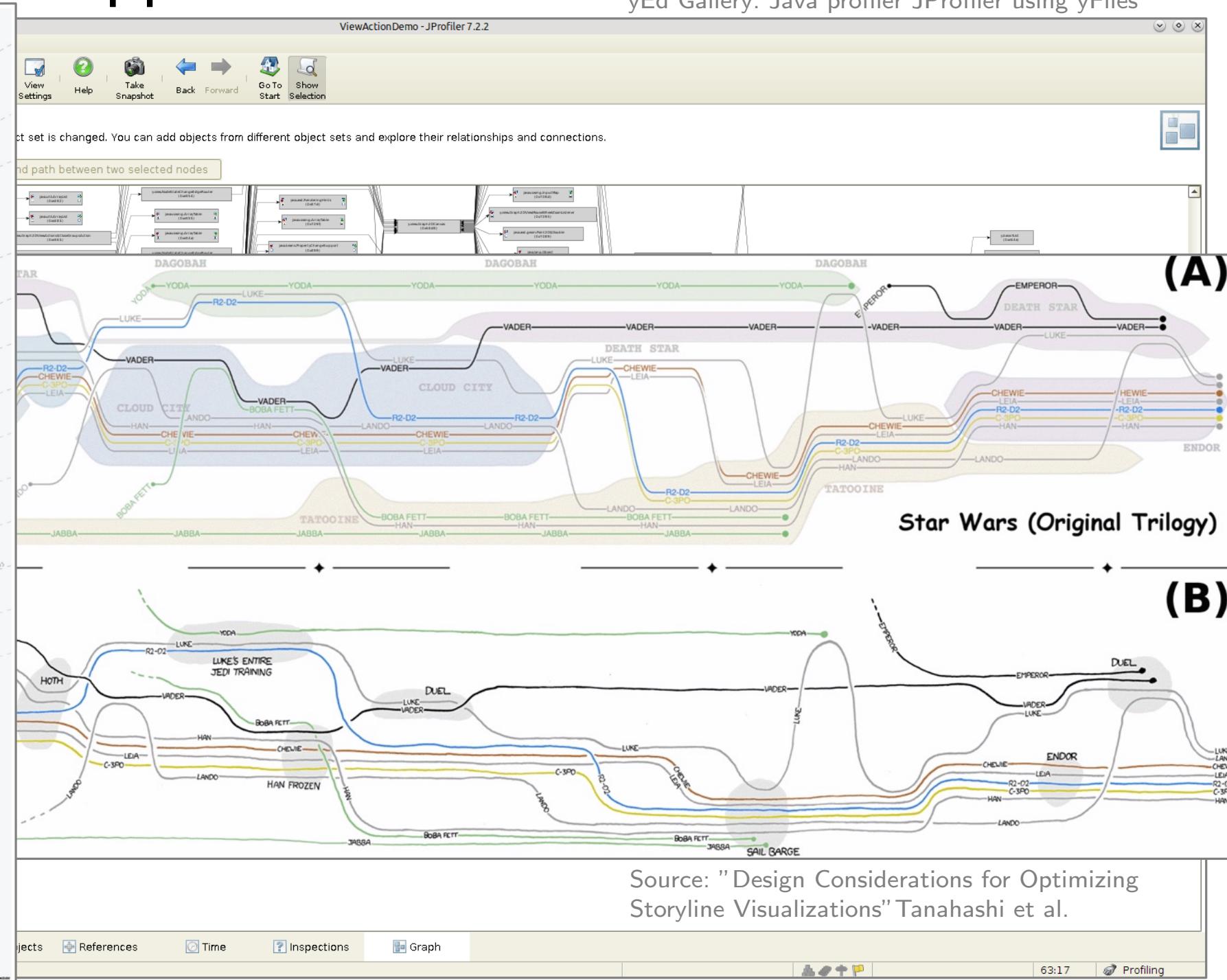


Hierarchical Drawing – Applications

yEd Gallery: Java profiler JProfiler using yFiles



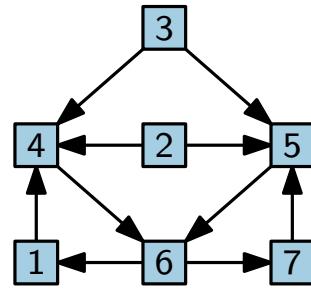
Source: Visualization that won the Graph Drawing Contest 2016. Klawitter & Mchedlidze



Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]

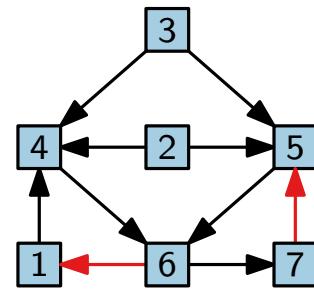
Input



Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]

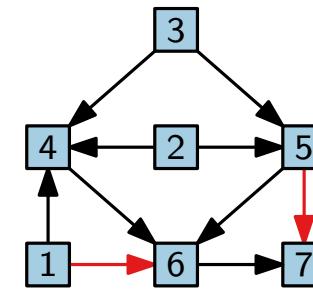
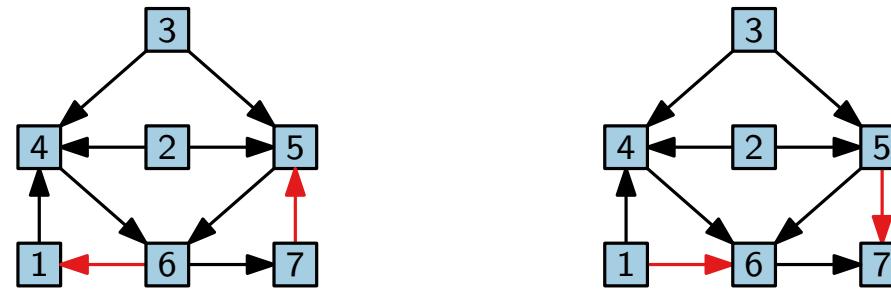
Input



Classical Approach – Sugiyama Framework

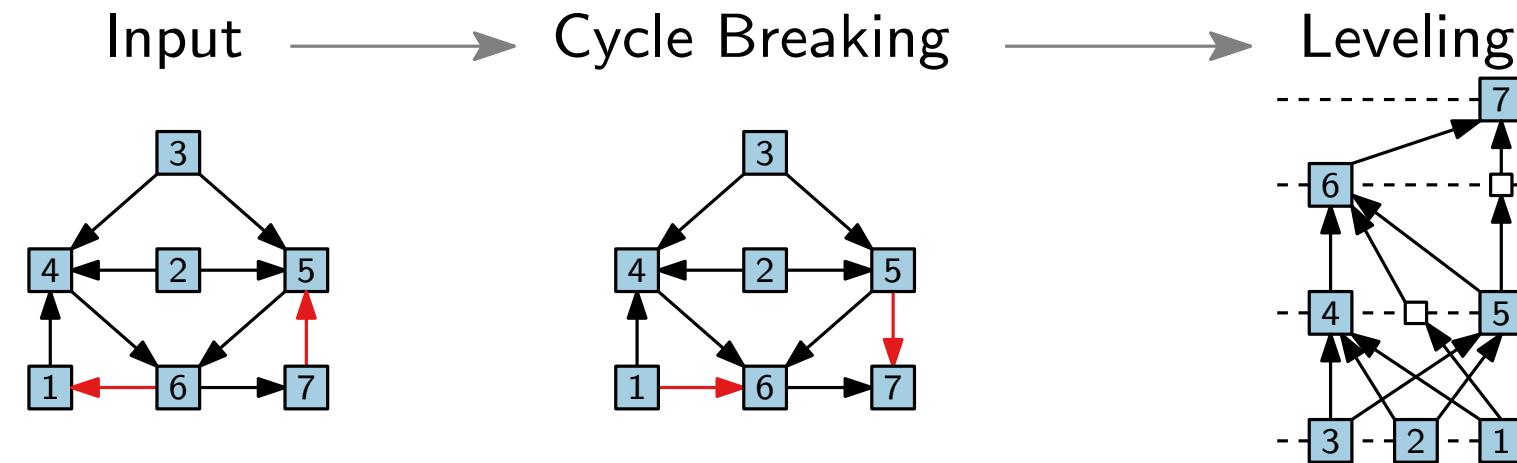
[Sugiyama, Tagawa, Toda '81]

Input → Cycle Breaking



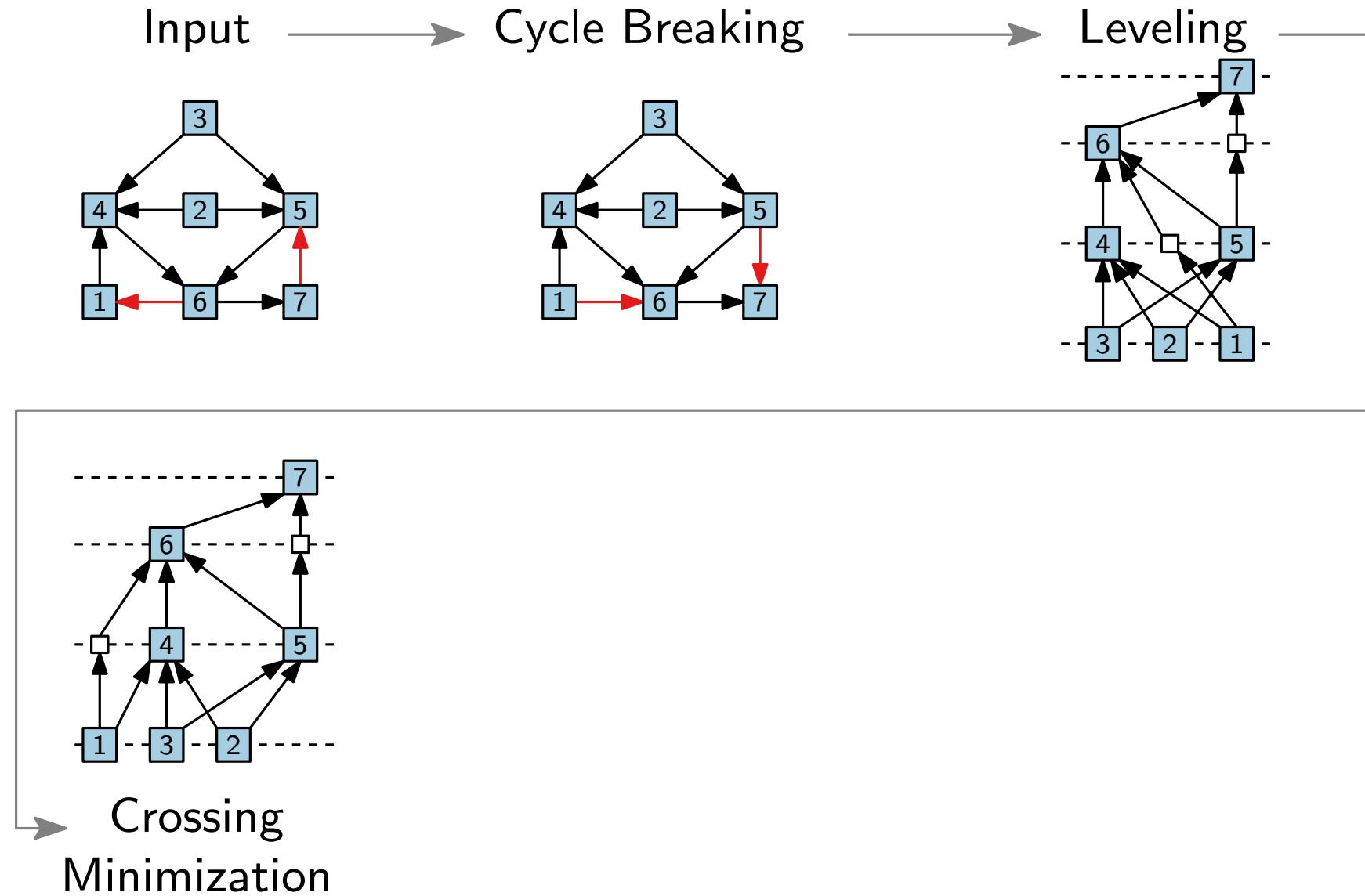
Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]



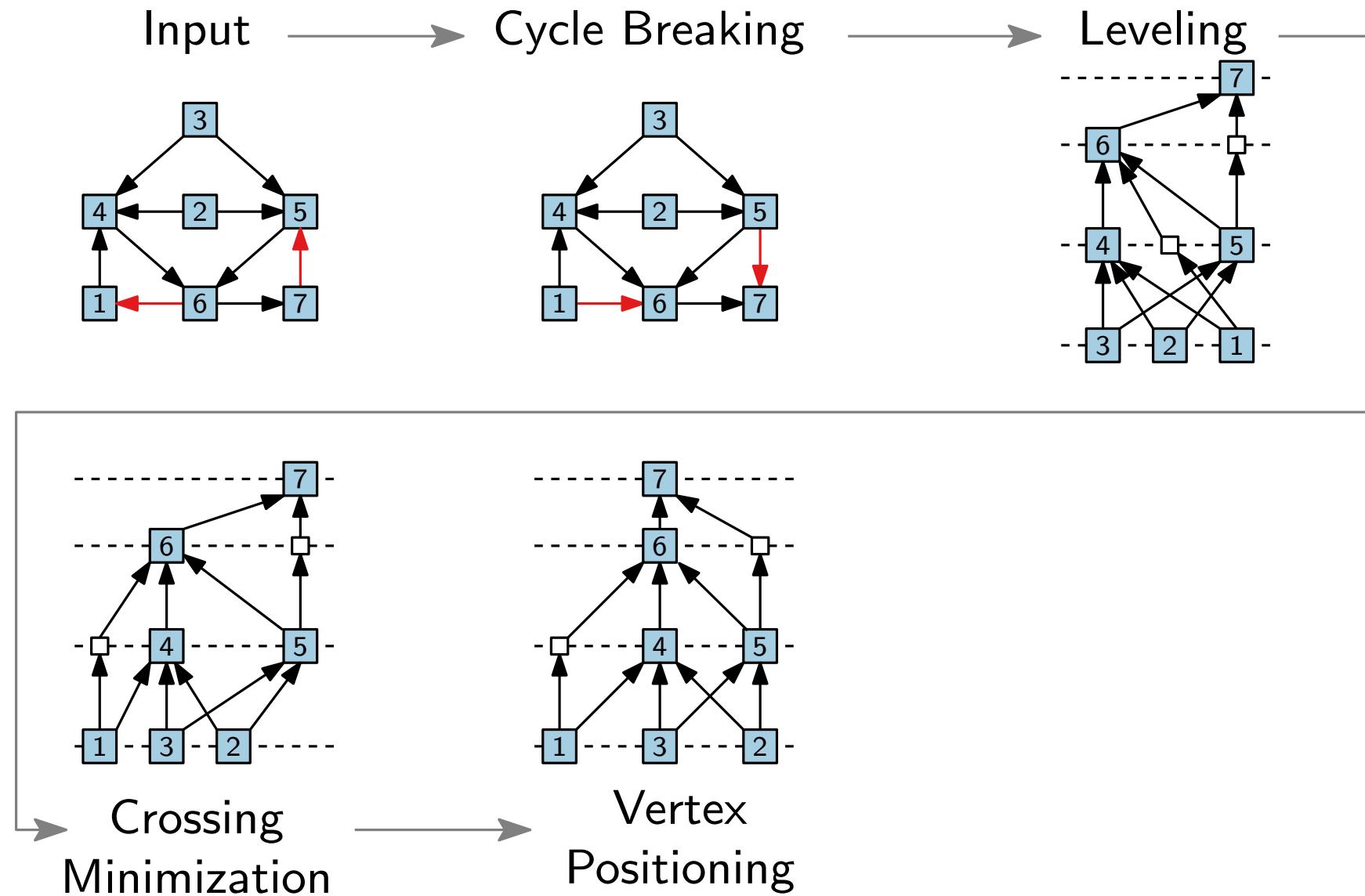
Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]



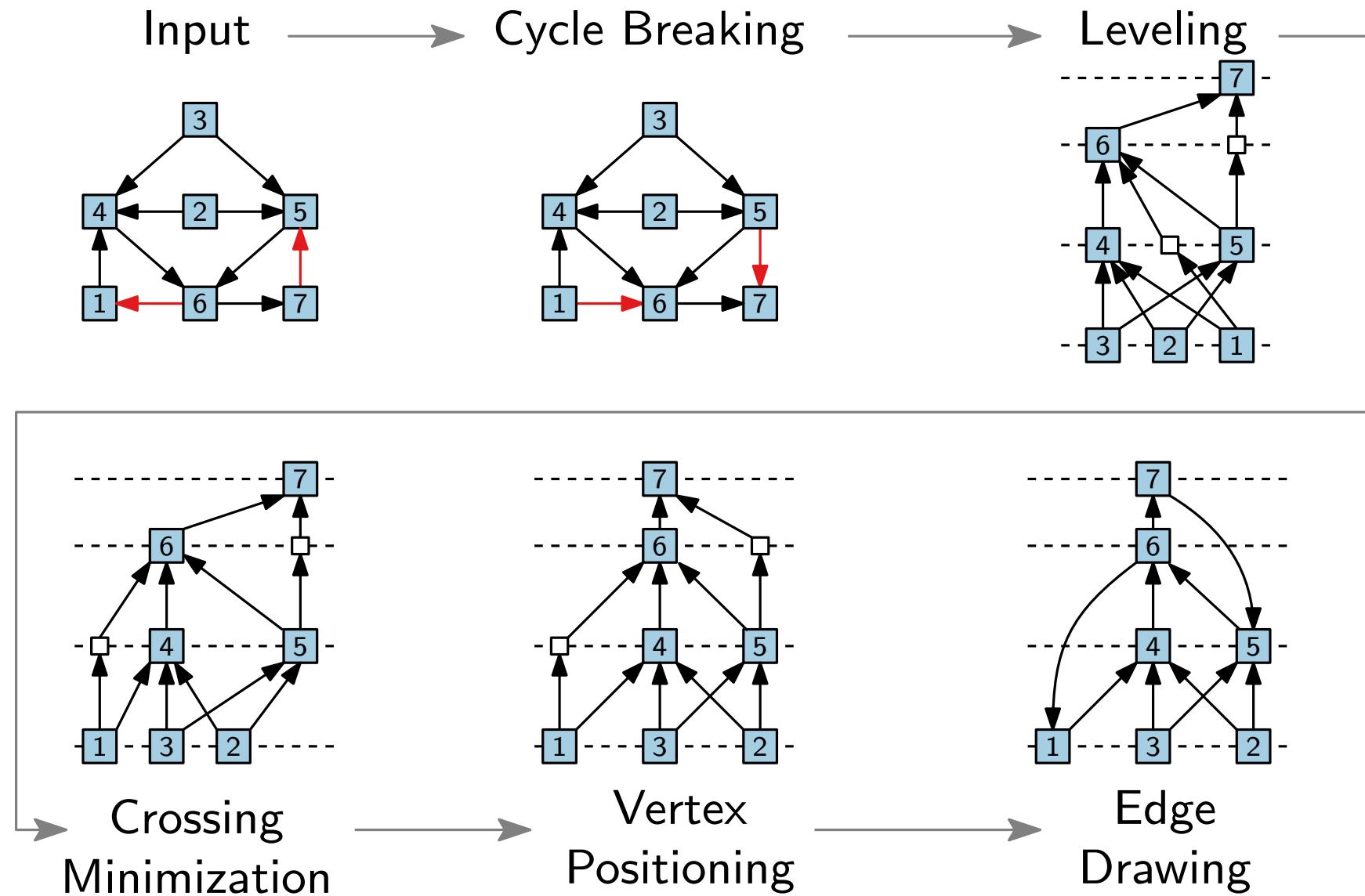
Classical Approach – Sugiyama Framework

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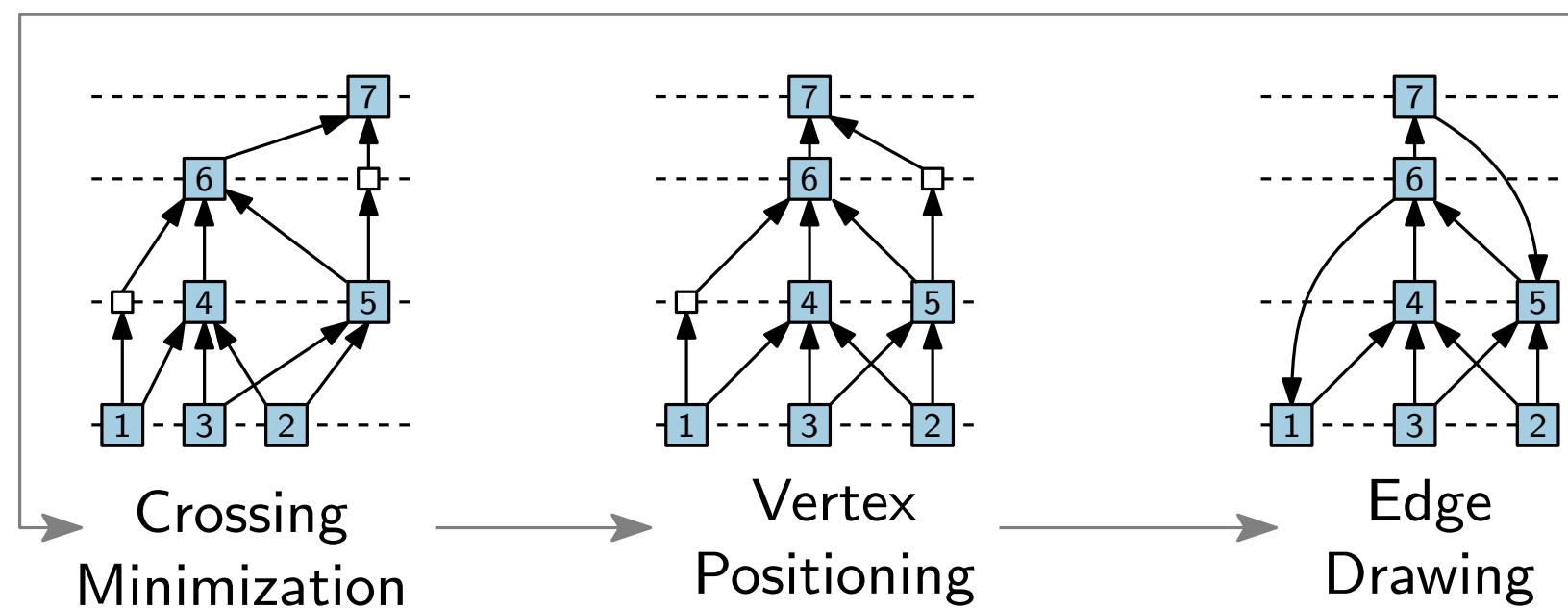
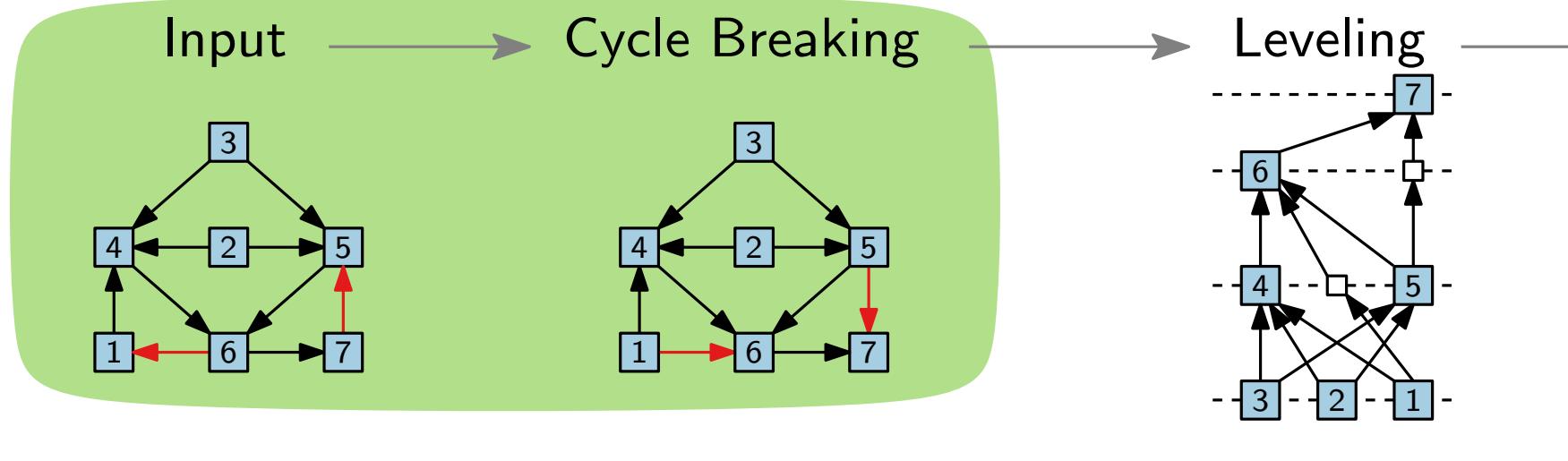


Classical Approach – Sugiyama Framework

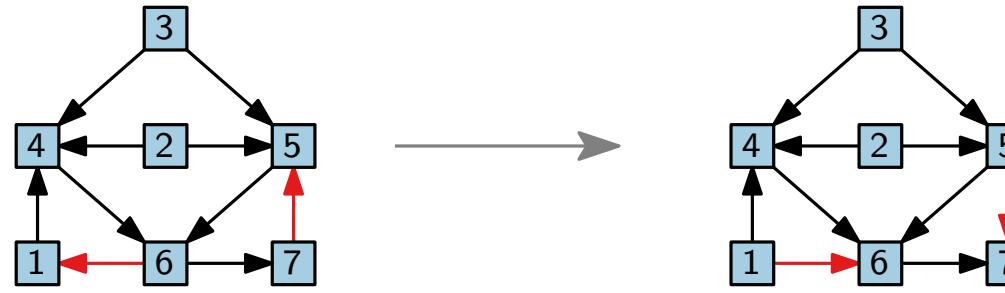
[Sugiyama, Tagawa, Toda '81]



Step 1: Cycle breaking

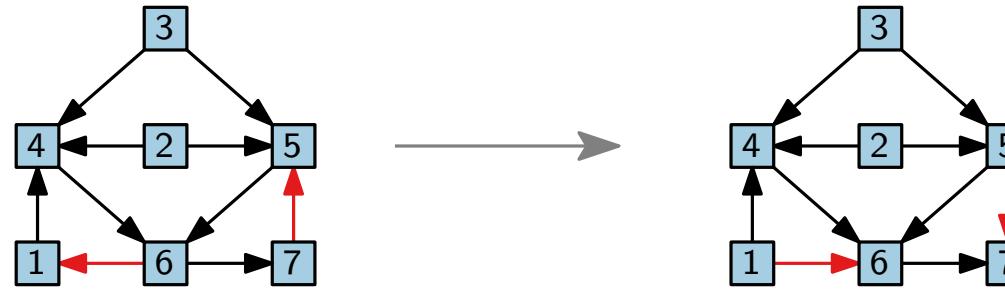


Step 1: Cycle breaking



Approach.

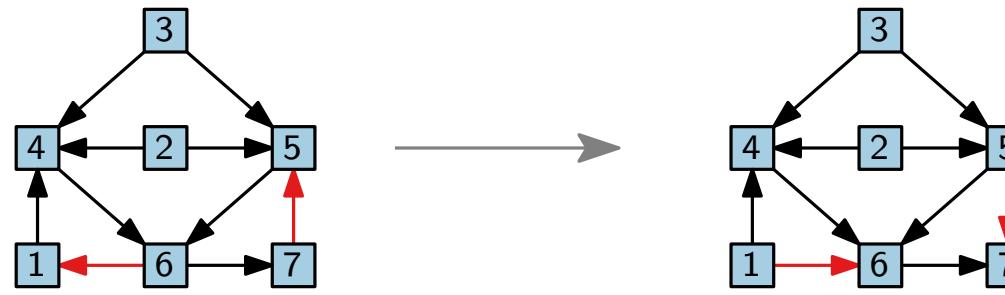
Step 1: Cycle breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.

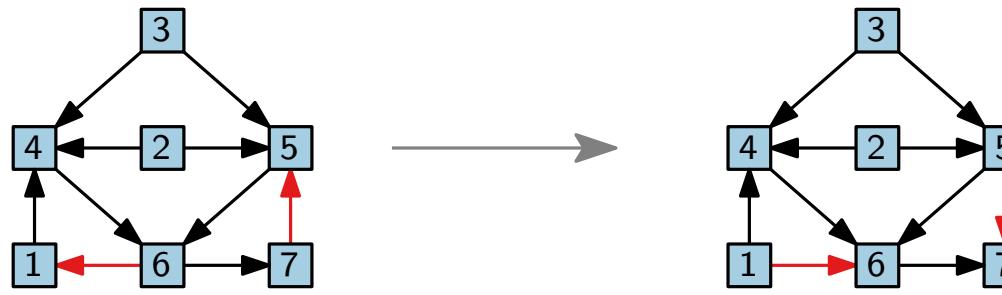
Step 1: Cycle breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Step 1: Cycle breaking

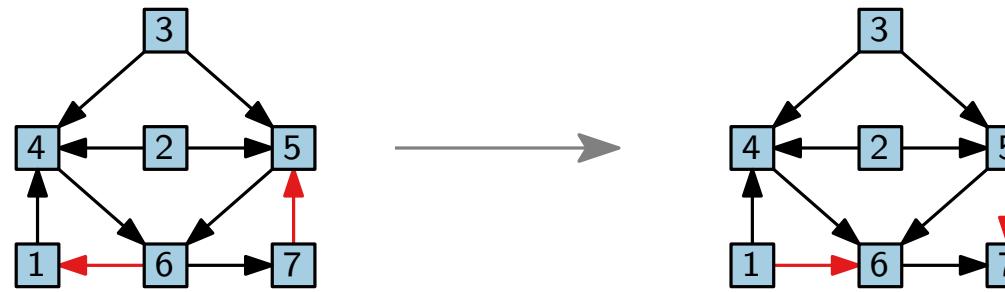


Approach.

- Find minimum-size set E^* of edges that are not upward.
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Problem MINIMUM FEEDBACK ARC SET (FAS).

Step 1: Cycle breaking



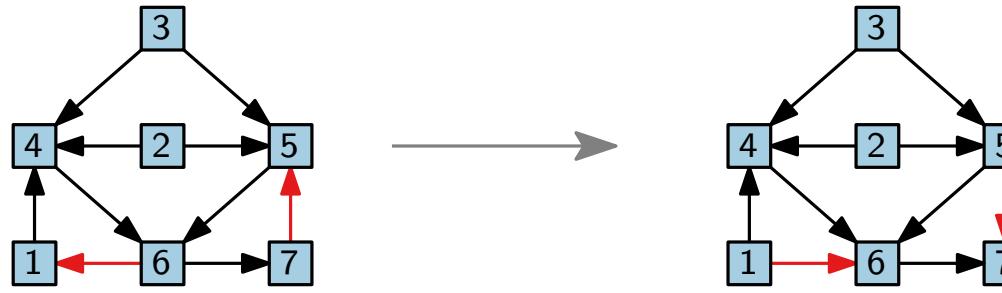
Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph $G = (V, E)$
- Output:

Step 1: Cycle breaking



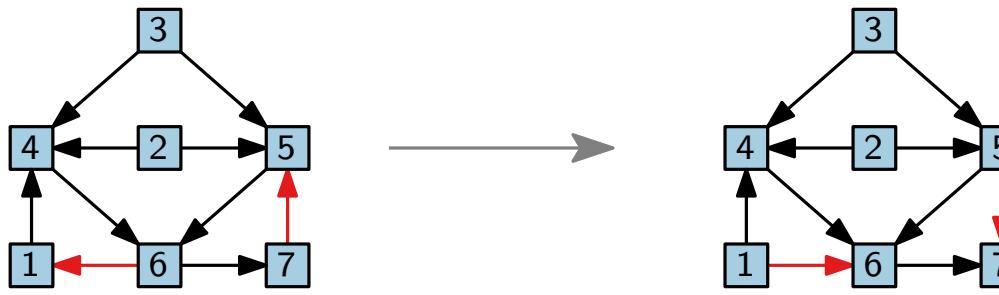
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Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph $G = (V, E)$
- Output: min.-size set $E^* \subseteq E$, such that $G^* = (V, E \setminus E^*)$ acyclic

Step 1: Cycle breaking



Approach.

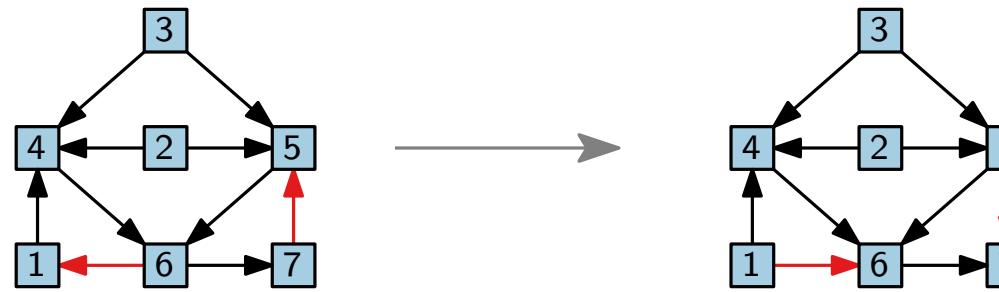
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$$(E \setminus E^*) \cup E_r^*$$

Step 1: Cycle breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

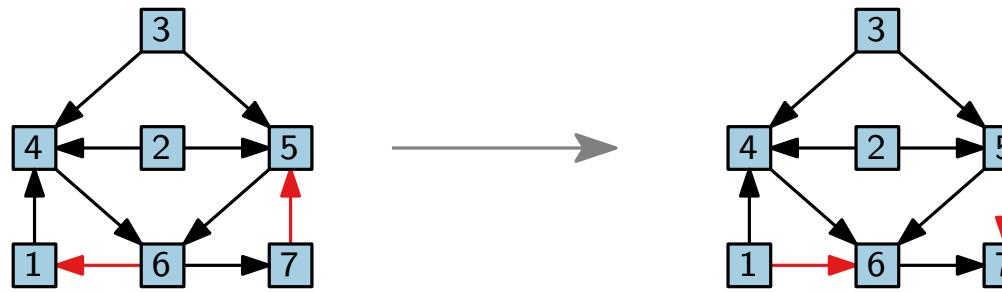
Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph $G = (V, E)$
- Output: min.-size set $E^* \subseteq E$, such that $G^* = (V, E \setminus E^*)$ acyclic

edges in E^* but reversed

$$(E \setminus E^*) \cup E_r^*$$

Step 1: Cycle breaking



Approach.

- Find minimum-size set E^* of edges that are not upward.
- Remove E^* and insert reversed edges.

Problem MINIMUM FEEDBACK ARC SET (FAS).

- Input: directed graph $G = (V, E)$
- Output: min.-size set $E^* \subseteq E$, such that $G^* = (V, E \setminus E^*)$ acyclic

... NP-hard 😞

edges in E^* but reversed

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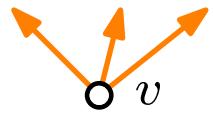
Heuristic 1

[Berger, Shor '90]

○ v

Heuristic 1

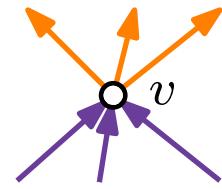
[Berger, Shor '90]



$$N^{\rightarrow}(v) := \{(v, u) | (v, u) \in E\}$$

Heuristic 1

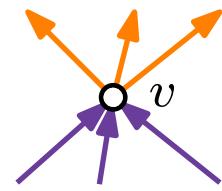
[Berger, Shor '90]



$$\begin{aligned} N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\ N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \end{aligned}$$

Heuristic 1

[Berger, Shor '90]



$$N^{\rightarrow}(v) := \{(v, u) | (v, u) \in E\}$$

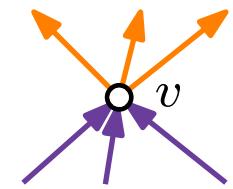
$$N^{\leftarrow}(v) := \{(u, v) | (u, v) \in E\}$$

$$N(v) := N^{\rightarrow}(v) \cup N^{\leftarrow}(v)$$

Heuristic 1

[Berger, Shor '90]

`GreedyMakeAcyclic(Digraph $G = (V, E)$)`



$$N^{\rightarrow}(v) := \{(v, u) | (v, u) \in E\}$$

$$N^{\leftarrow}(v) := \{(u, v) | (u, v) \in E\}$$

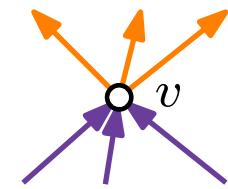
$$N(v) := N^{\rightarrow}(v) \cup N^{\leftarrow}(v)$$

Heuristic 1

[Berger, Shor '90]

`GreedyMakeAcyclic(Digraph $G = (V, E)$)`

$E' \leftarrow \emptyset$



$$\begin{aligned} N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\ N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\ N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v) \end{aligned}$$

return (V, E')

Heuristic 1

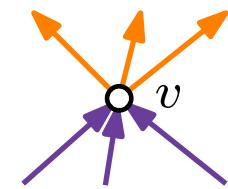
[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

$E' \leftarrow \emptyset$

foreach $v \in V$ **do**

return (V, E')



$$\begin{aligned} N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\ N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\ N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v) \end{aligned}$$

Heuristic 1

[Berger, Shor '90]

`GreedyMakeAcyclic(Digraph $G = (V, E)$)`

$E' \leftarrow \emptyset$

foreach $v \in V$ **do**

if $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$ **then**



return (V, E')

$$\begin{aligned} N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\ N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\ N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v) \end{aligned}$$

Heuristic 1

[Berger, Shor '90]

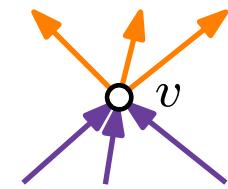
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Heuristic 1

[Berger, Shor '90]

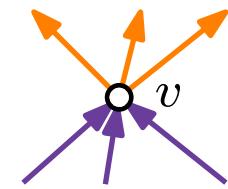
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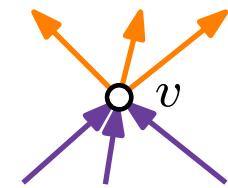
Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

```

 $E' \leftarrow \emptyset$ 
foreach  $v \in V$  do
  if  $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$  then
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  else
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
return  $(V, E')$ 
```



$$\begin{aligned}
 N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\
 N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\
 N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v)
 \end{aligned}$$



Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

$E' \leftarrow \emptyset$

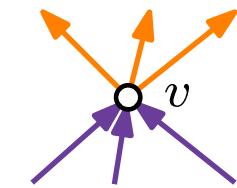
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if $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$ **then**
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else

└ $E' \leftarrow E' \cup N^{\leftarrow}(v)$

return (V, E')



$$\begin{aligned} N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\ N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\ N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v) \end{aligned}$$



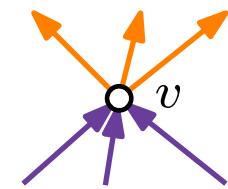
Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

```

 $E' \leftarrow \emptyset$ 
foreach  $v \in V$  do
  if  $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$  then
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  else
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
  remove  $v$  and  $N(v)$  from  $G$ .
return  $(V, E')$ 
```



$$\begin{aligned}
 N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\
 N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\
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 \end{aligned}$$



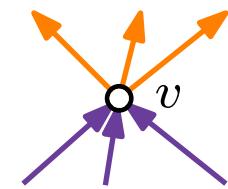
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[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

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- $G' = (V, E')$ is a DAG



Heuristic 1

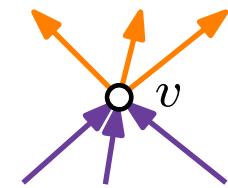
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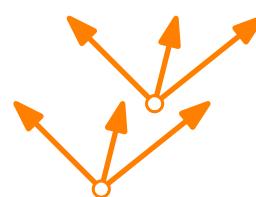
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Heuristic 1

[Berger, Shor '90]

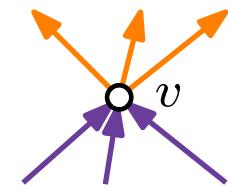
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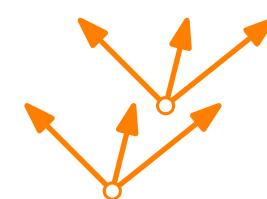
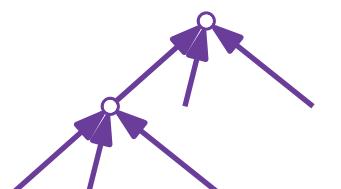
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Heuristic 1

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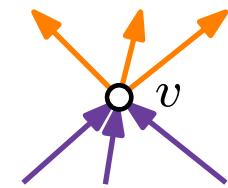
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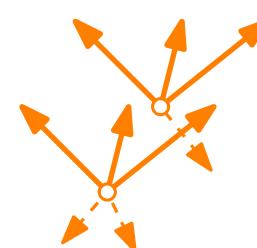
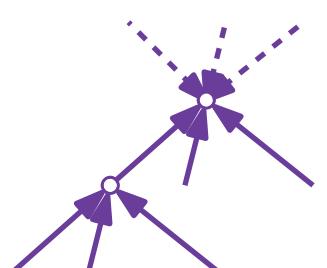
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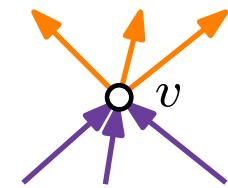
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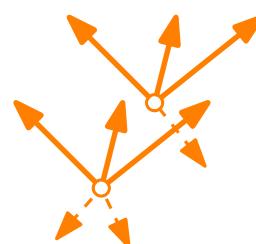
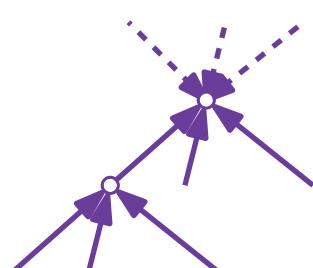
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Heuristic 1

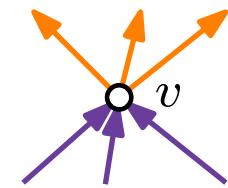
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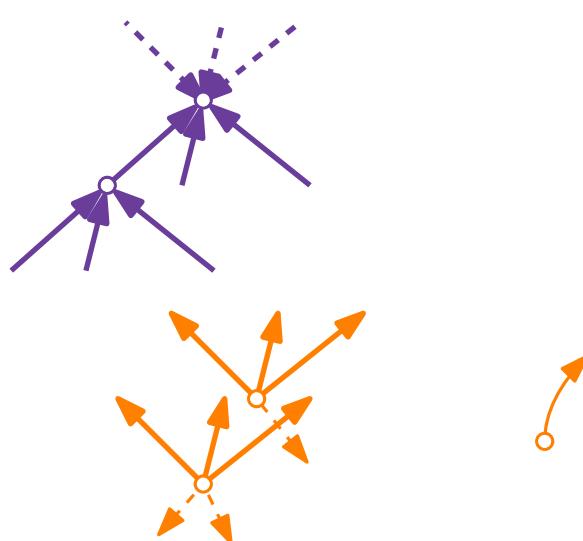
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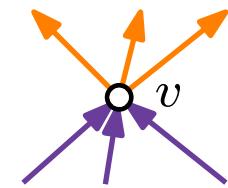
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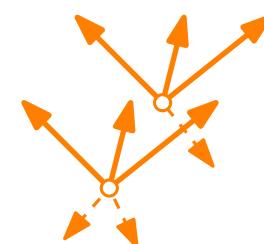
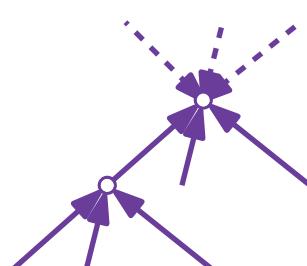
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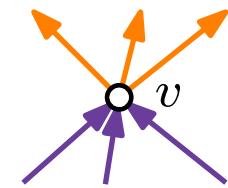
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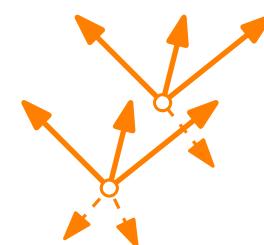
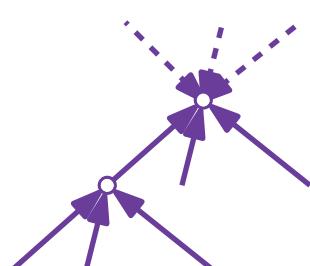
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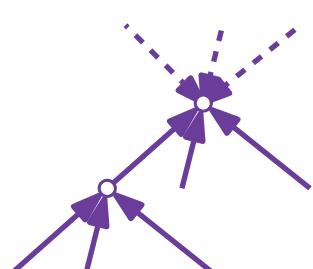
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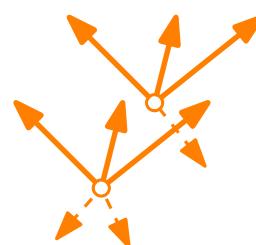
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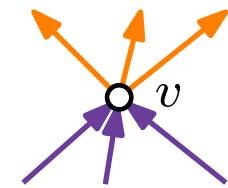
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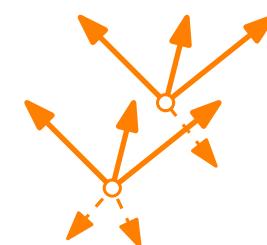
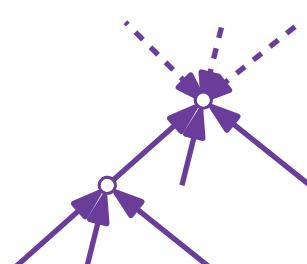
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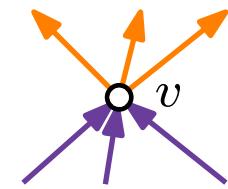
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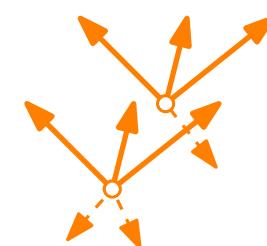
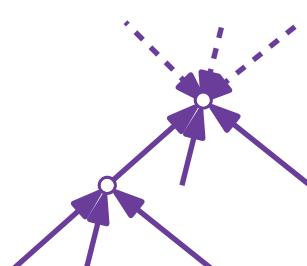
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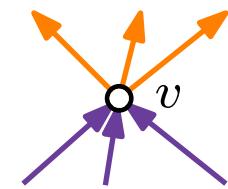
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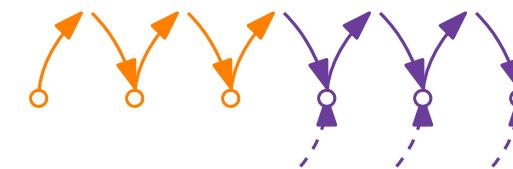
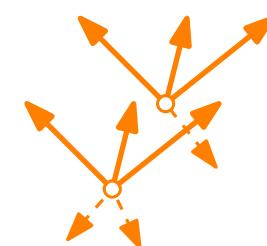
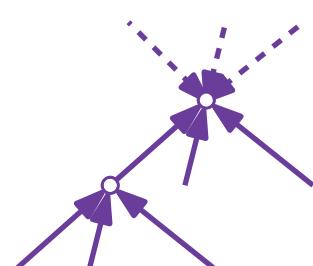
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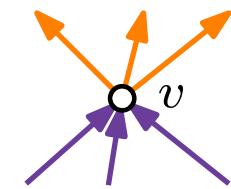
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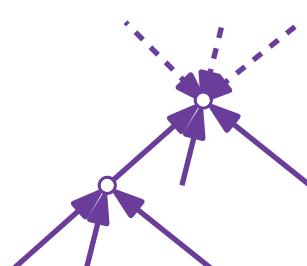
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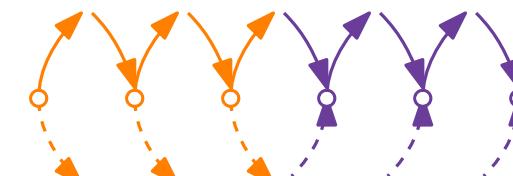
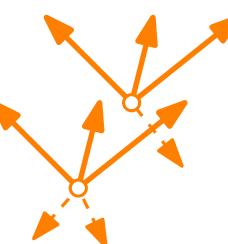


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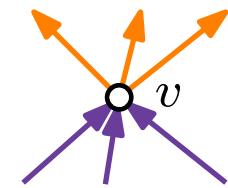
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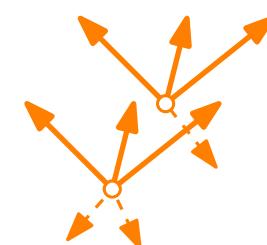
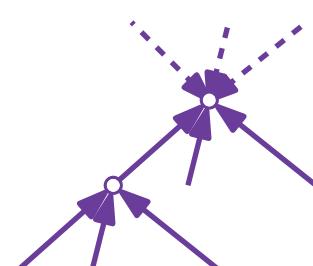
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■ Time:



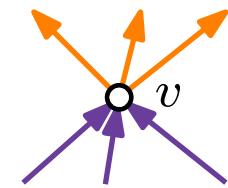
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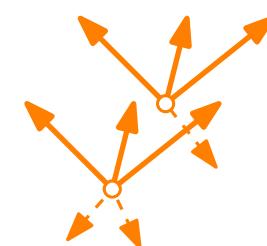
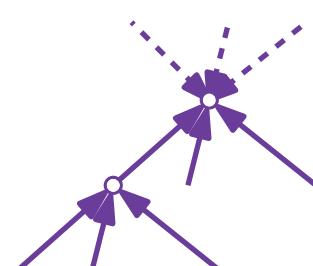
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- Time: $\mathcal{O}(|V| + |E|)$

Heuristic 1

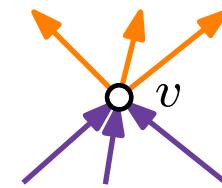
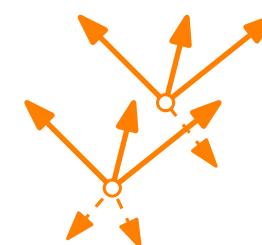
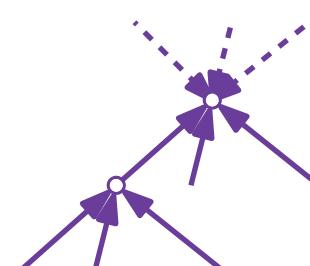
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- Time: $\mathcal{O}(|V| + |E|)$
- Quality guarantee: $|E'| \geq$



Heuristic 1

[Berger, Shor '90]

GreedyMakeAcyclic(Digraph $G = (V, E)$)

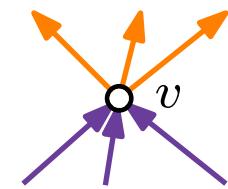
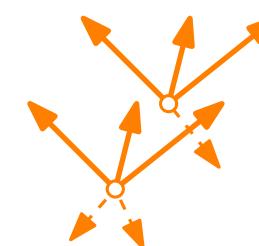
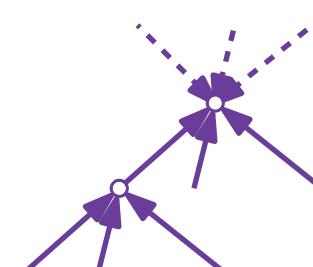
```

 $E' \leftarrow \emptyset$ 
foreach  $v \in V$  do
  if  $|N^{\rightarrow}(v)| \geq |N^{\leftarrow}(v)|$  then
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  else
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
  remove  $v$  and  $N(v)$  from  $G$ .
return  $(V, E')$ 

```

- $G' = (V, E')$ is a DAG

- $E \setminus E'$ is a feedback set



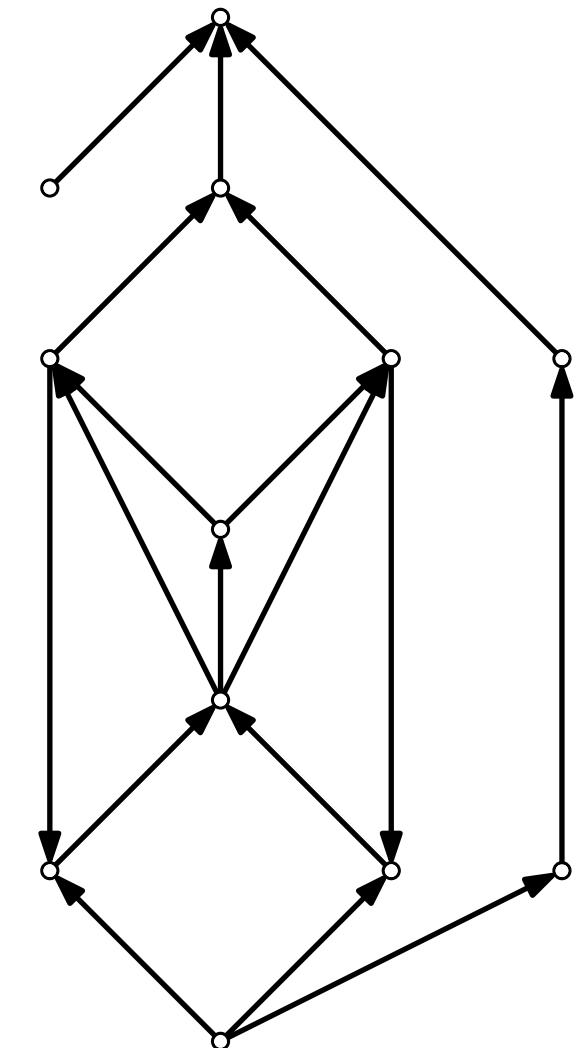
$$\begin{aligned}
 N^{\rightarrow}(v) &:= \{(v, u) | (v, u) \in E\} \\
 N^{\leftarrow}(v) &:= \{(u, v) | (u, v) \in E\} \\
 N(v) &:= N^{\rightarrow}(v) \cup N^{\leftarrow}(v)
 \end{aligned}$$

- Time: $\mathcal{O}(|V| + |E|)$
- Quality guarantee: $|E'| \geq |E|/2$



Heuristic 2

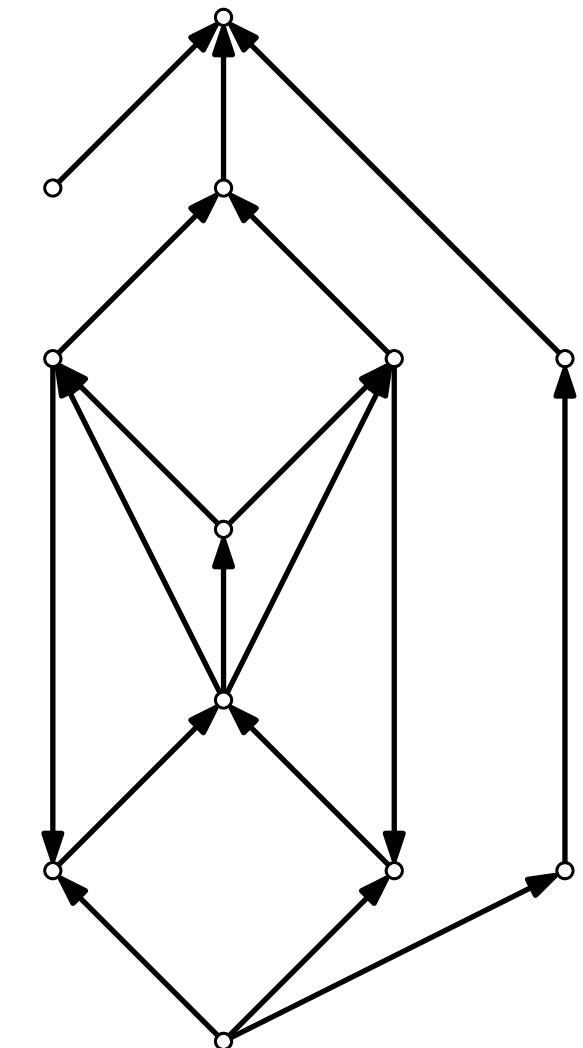
[Eades, Lin, Smyth '93]



Heuristic 2

[Eades, Lin, Smyth '93]

$$E' \leftarrow \emptyset$$



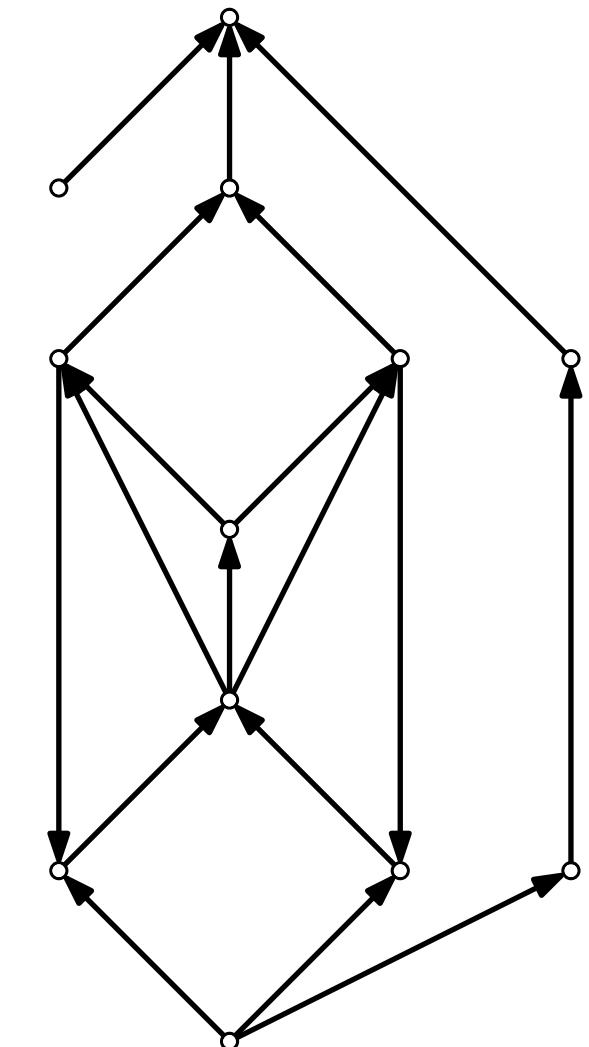
Heuristic 2

[Eades, Lin, Smyth '93]

$E' \leftarrow \emptyset$

while $V \neq \emptyset$ **do**

 |



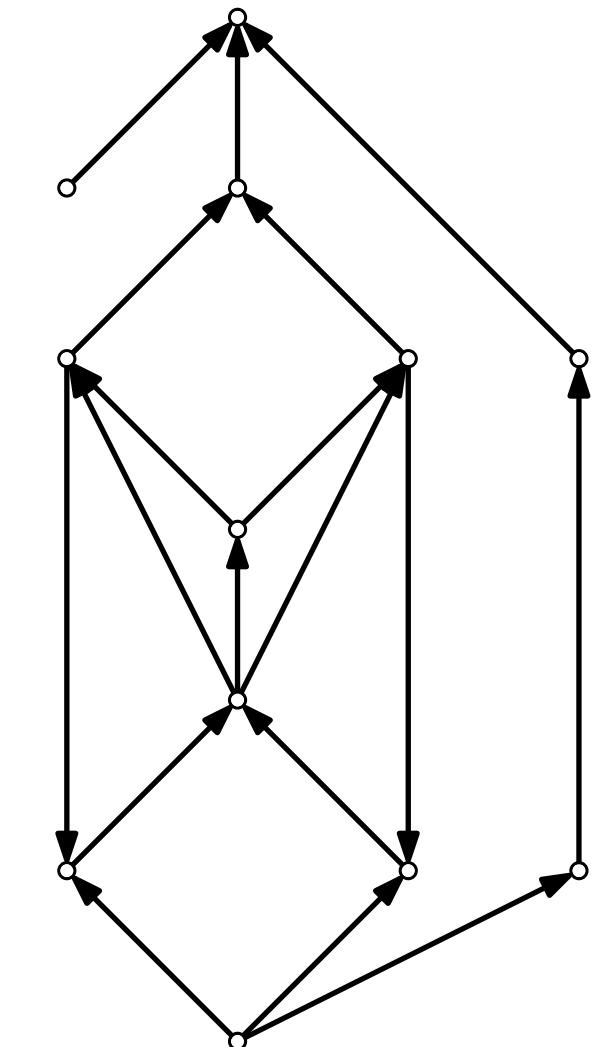
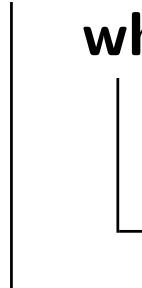
Heuristic 2

[Eades, Lin, Smyth '93]

$E' \leftarrow \emptyset$

while $V \neq \emptyset$ **do**

while in V exists a sink v **do**

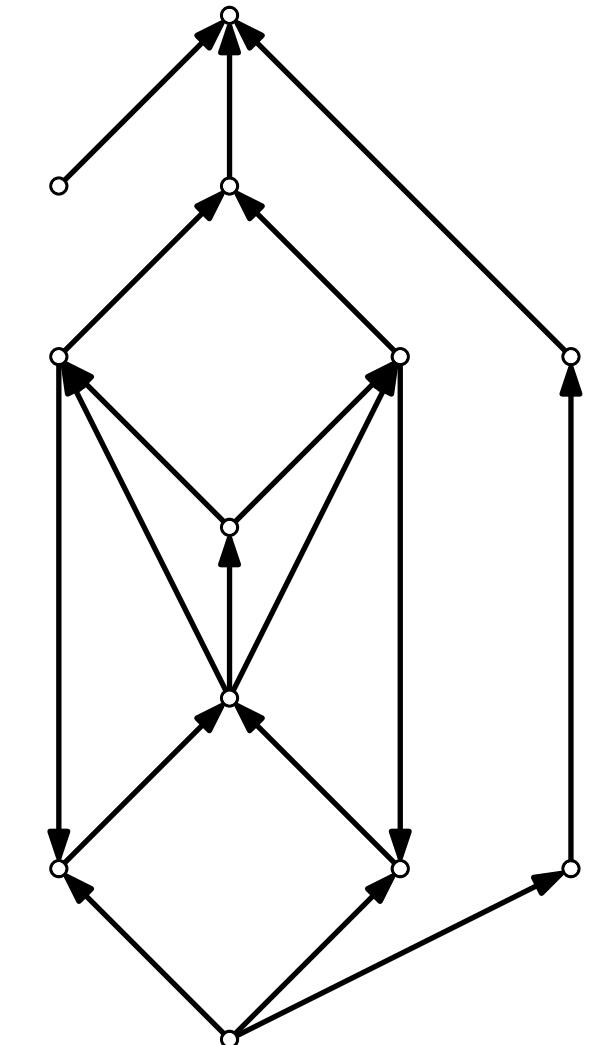


Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

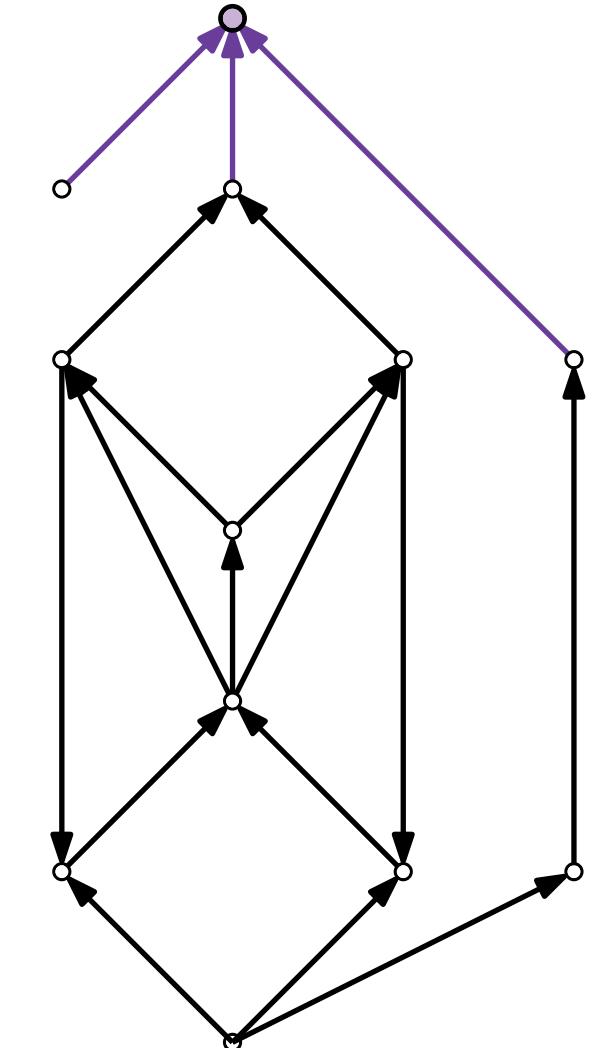


Heuristic 2

[Eades, Lin, Smyth '93]

```

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  while in  $V$  exists a sink  $v$  do
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```

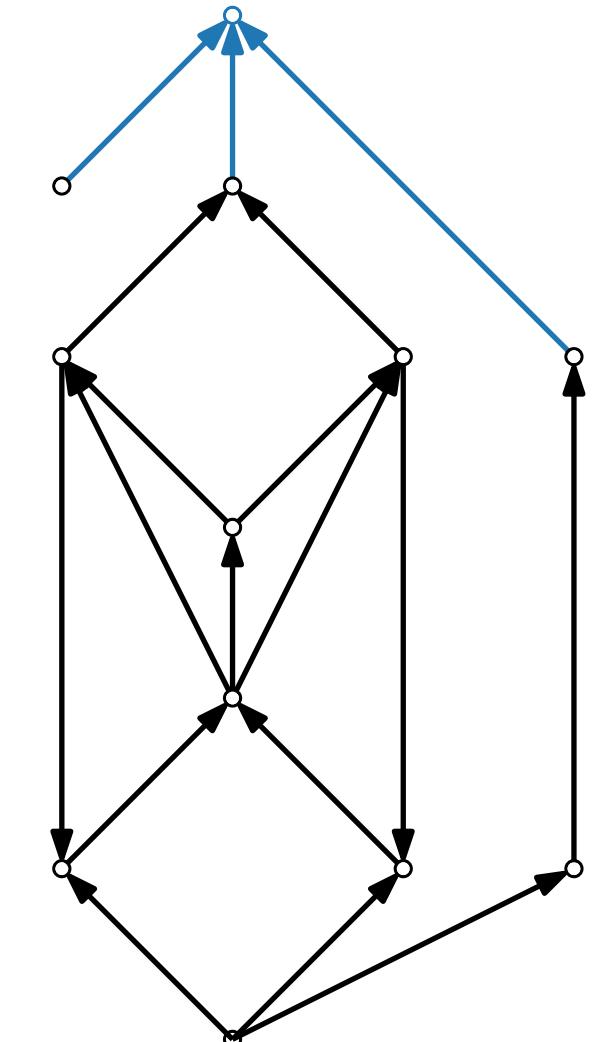


Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
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```

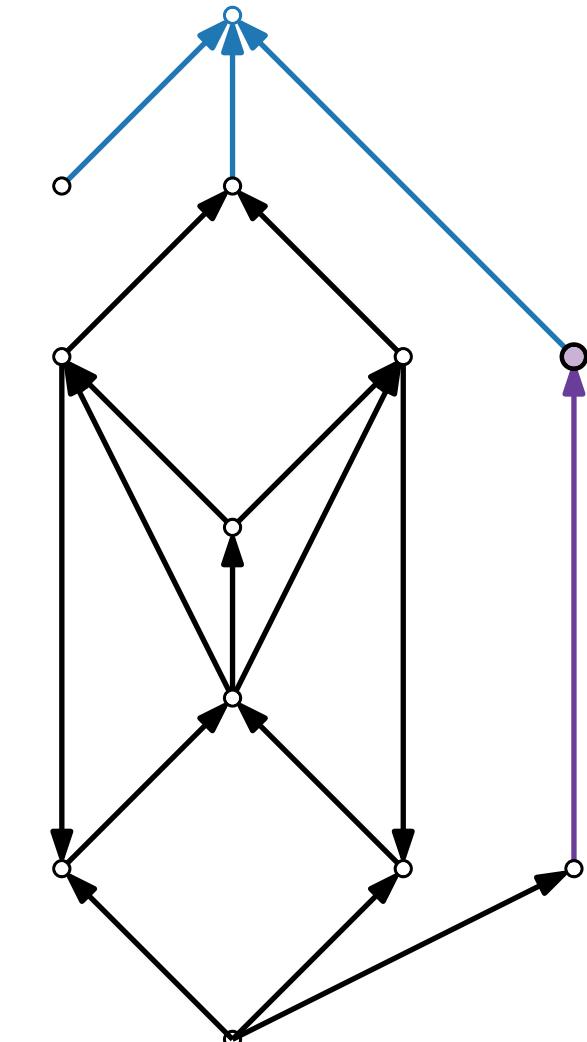


Heuristic 2

[Eades, Lin, Smyth '93]

```

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```

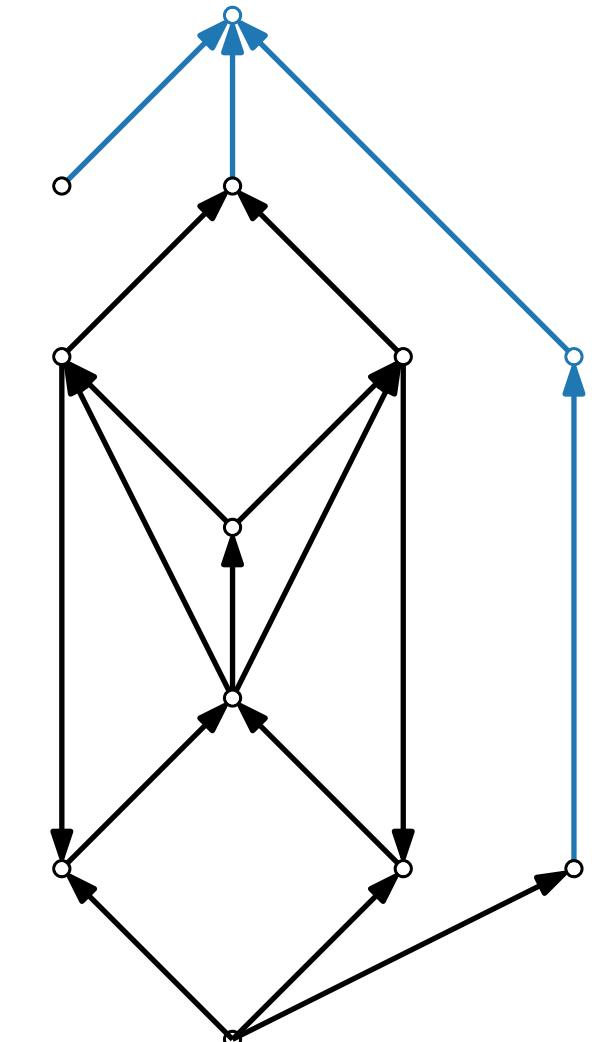


Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
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  while in  $V$  exists a sink  $v$  do
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```

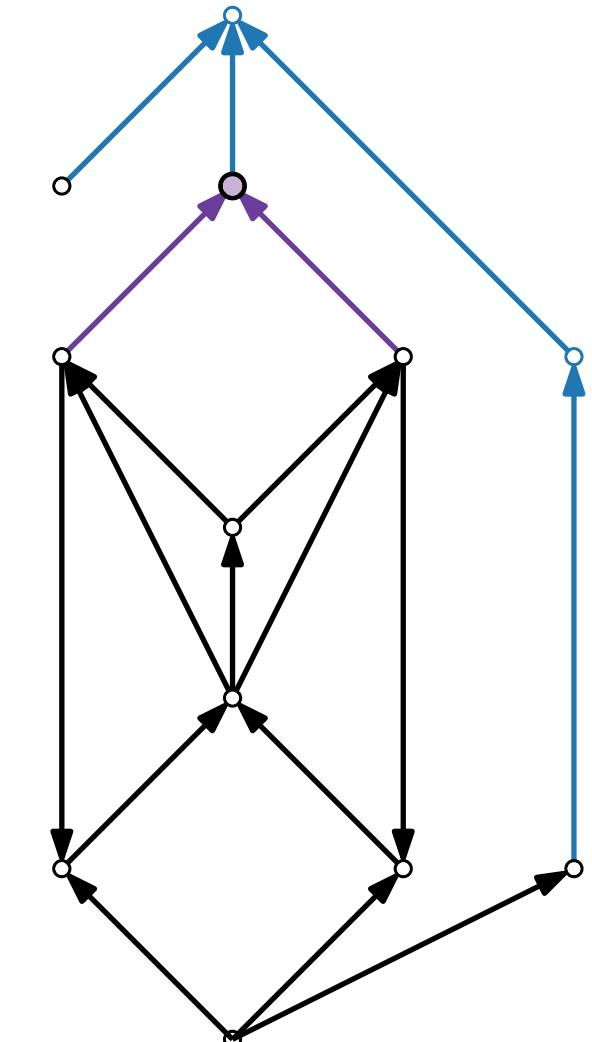


Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
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    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

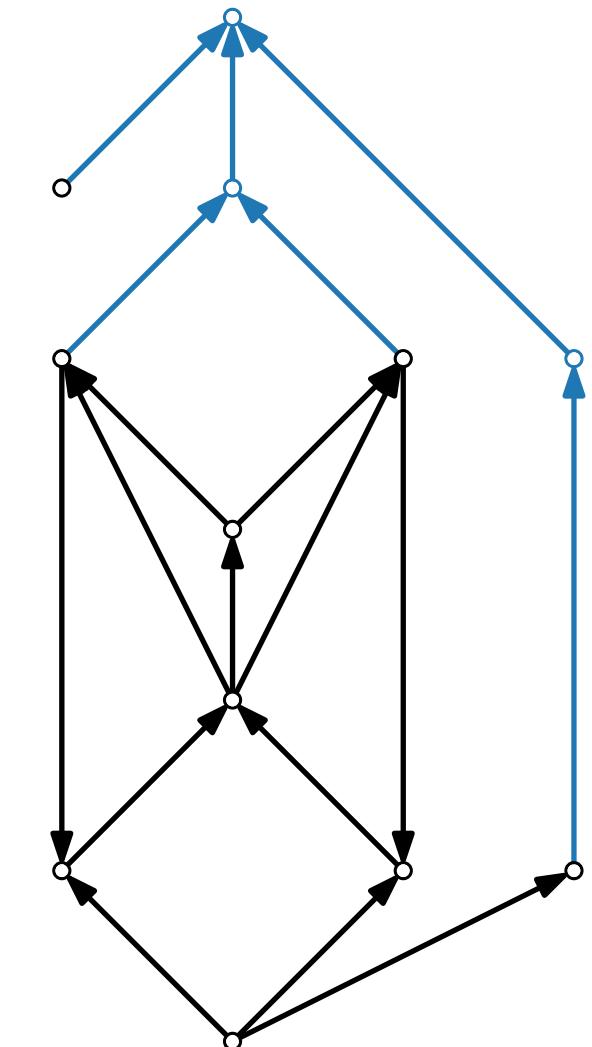


Heuristic 2

[Eades, Lin, Smyth '93]

```

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    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

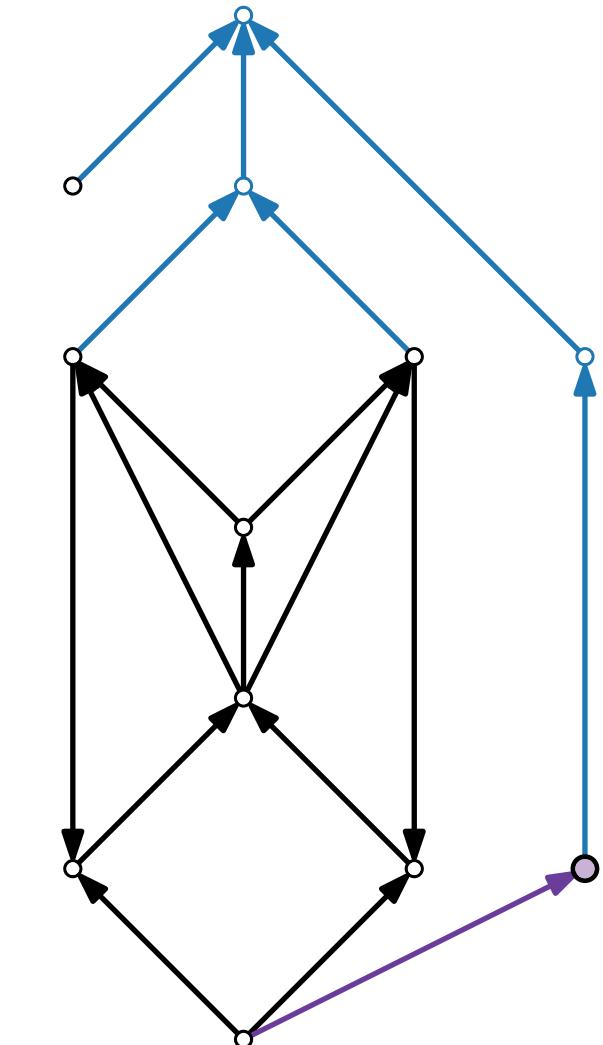


Heuristic 2

[Eades, Lin, Smyth '93]

```

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    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

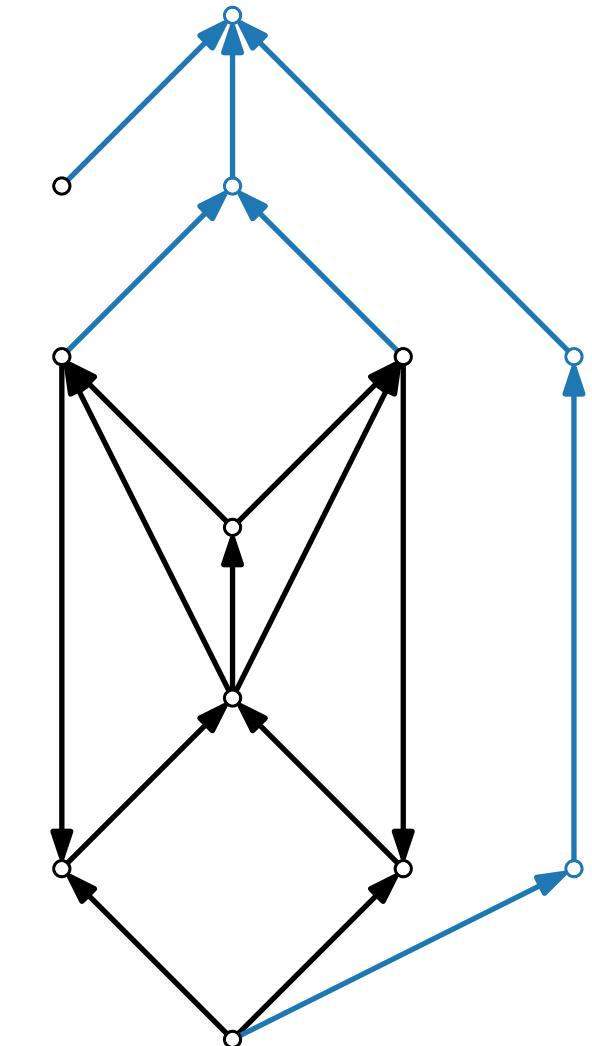


Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
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    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```



Heuristic 2

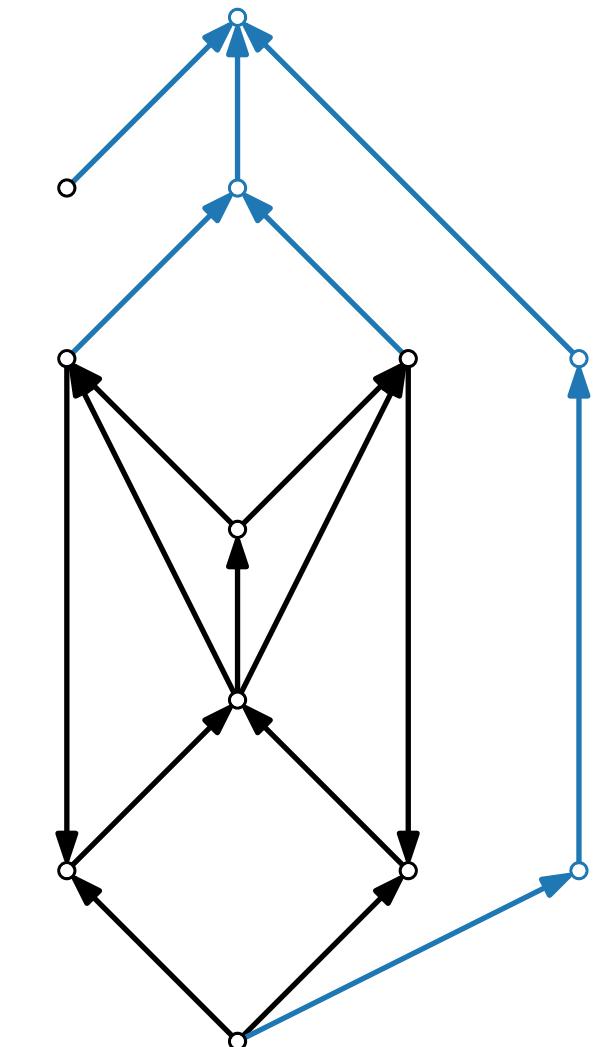
[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 

```

Remove all isolated vertices from V



Heuristic 2

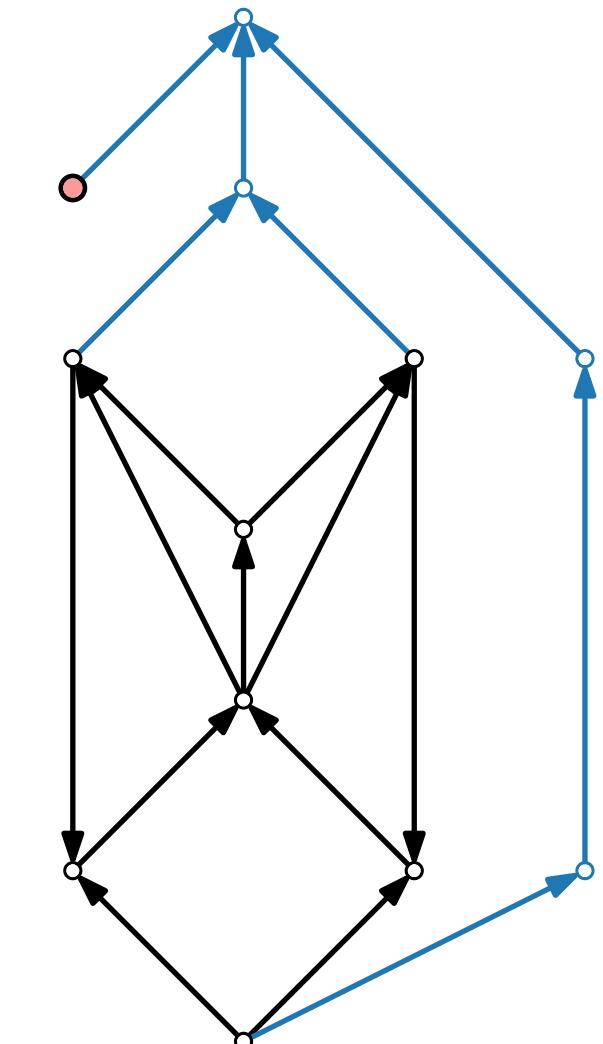
[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
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  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 

```

Remove all isolated vertices from V



Heuristic 2

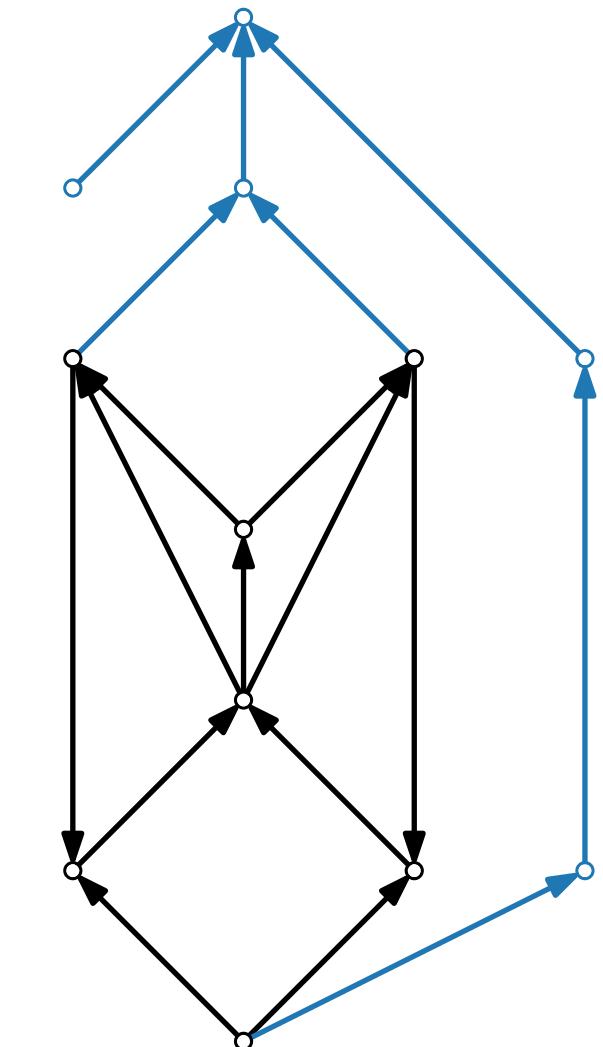
[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
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  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 

```

Remove all isolated vertices from V



Heuristic 2

[Eades, Lin, Smyth '93]

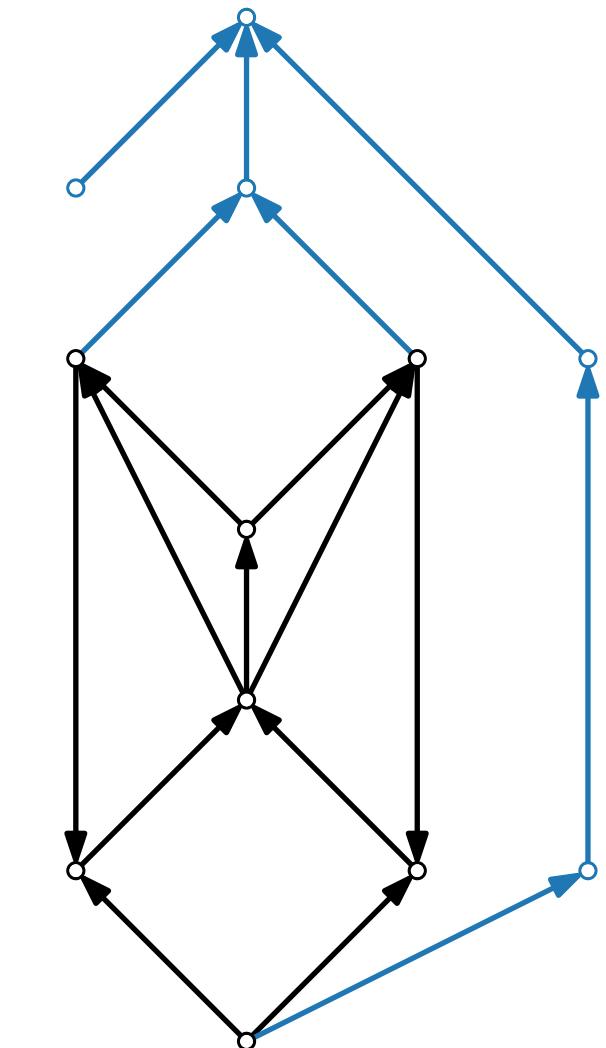
```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

Remove all isolated vertices from V

```

while in  $V$  exists a source  $v$  do
  
```



Heuristic 2

[Eades, Lin, Smyth '93]

```

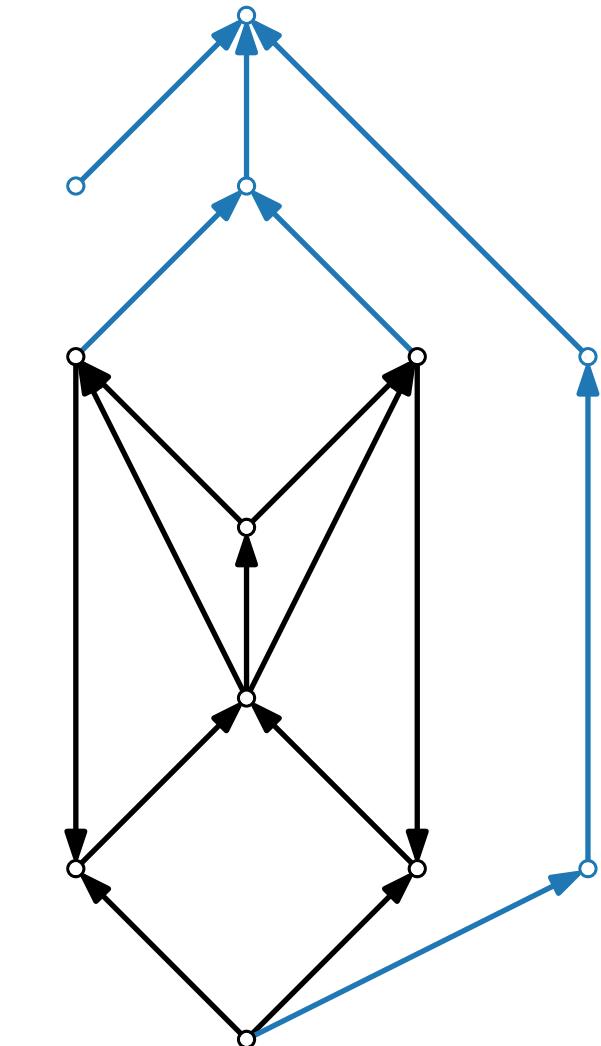
 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

Remove all isolated vertices from V

```

while in  $V$  exists a source  $v$  do
   $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  remove  $v$  and  $N^{\rightarrow}(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

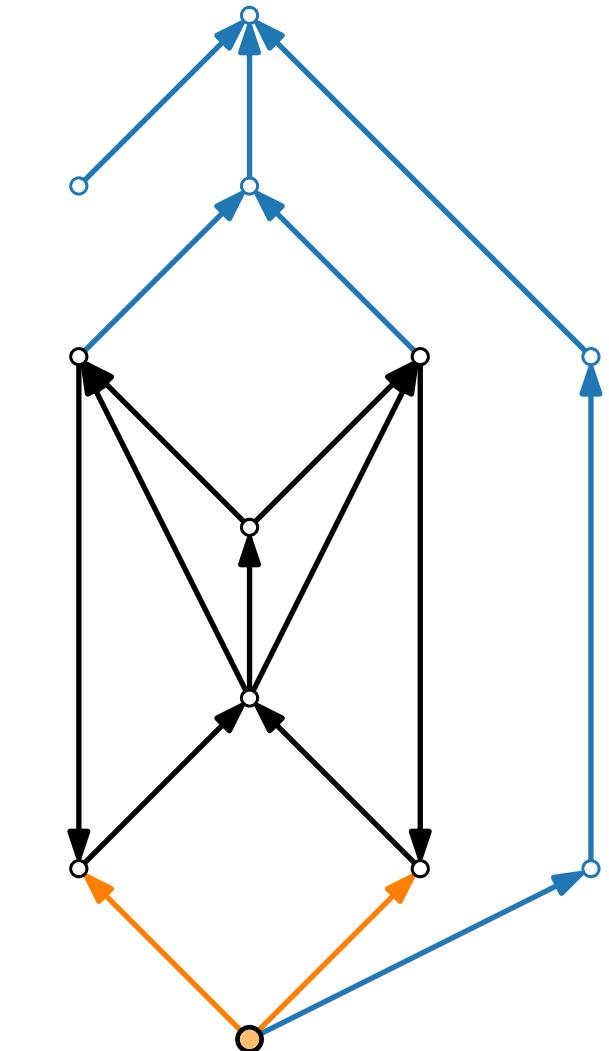
 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

Remove all isolated vertices from V

```

while in  $V$  exists a source  $v$  do
   $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  remove  $v$  and  $N^{\rightarrow}(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

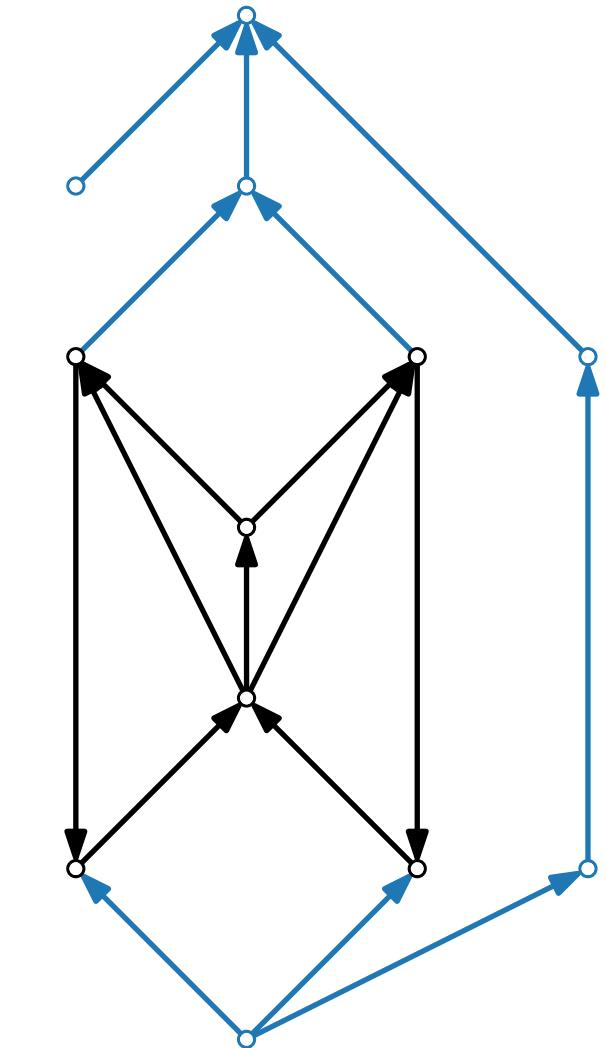
 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 
  
```

Remove all isolated vertices from V

```

while in  $V$  exists a source  $v$  do
   $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  remove  $v$  and  $N^{\rightarrow}(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
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    remove  $v$  and  $N^{\leftarrow}(v)$ 

```

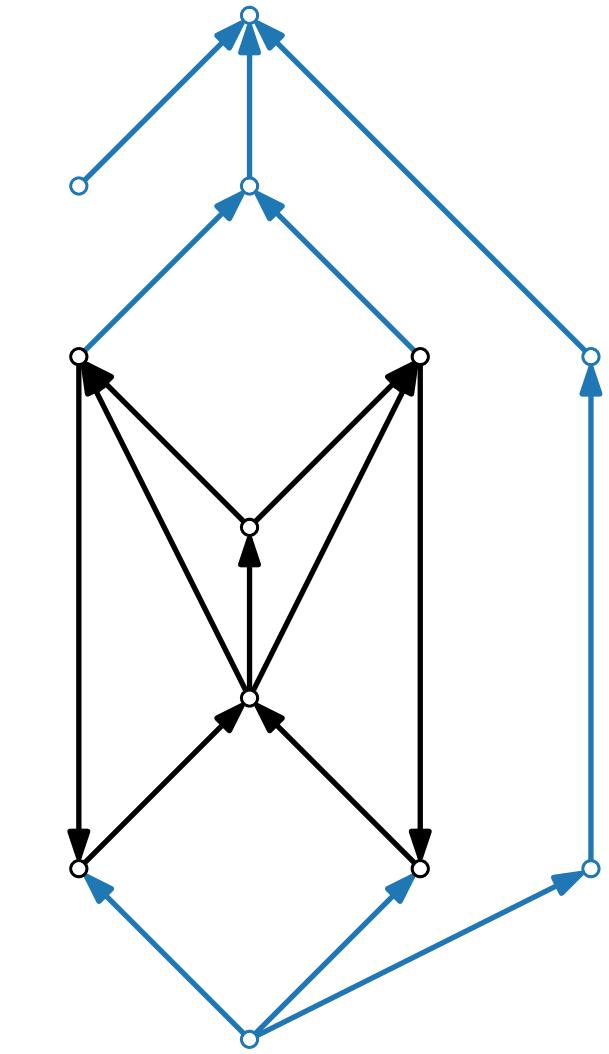
Remove all isolated vertices from V

```

while in  $V$  exists a source  $v$  do
   $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
  remove  $v$  and  $N^{\rightarrow}(v)$ 

```

```
if  $V \neq \emptyset$  then
```



Heuristic 2

[Eades, Lin, Smyth '93]

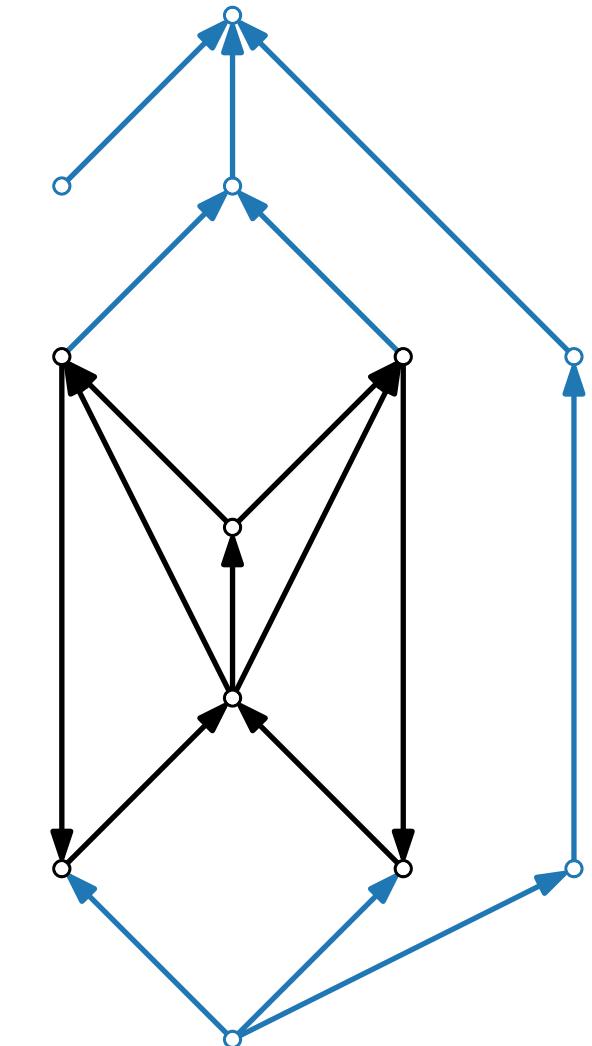
```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 

  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N^{\rightarrow}(v)$ 

  if  $V \neq \emptyset$  then
    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
  
```



Heuristic 2

[Eades, Lin, Smyth '93]

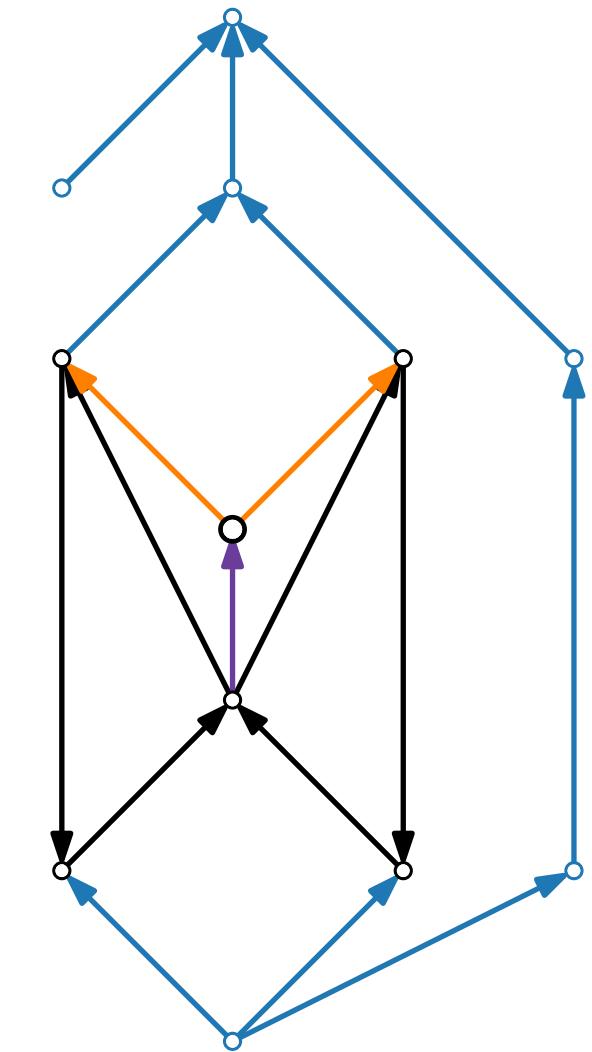
```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
     $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
    remove  $v$  and  $N^{\leftarrow}(v)$ 

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  while in  $V$  exists a source  $v$  do
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```



Heuristic 2

[Eades, Lin, Smyth '93]

```

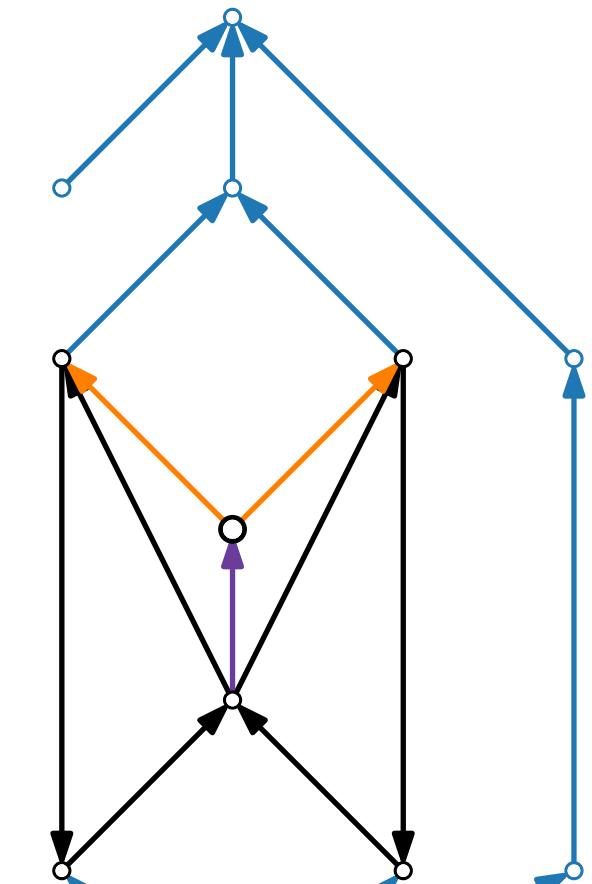
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  while in  $V$  exists a sink  $v$  do
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  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N^{\rightarrow}(v)$ 

  if  $V \neq \emptyset$  then
    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

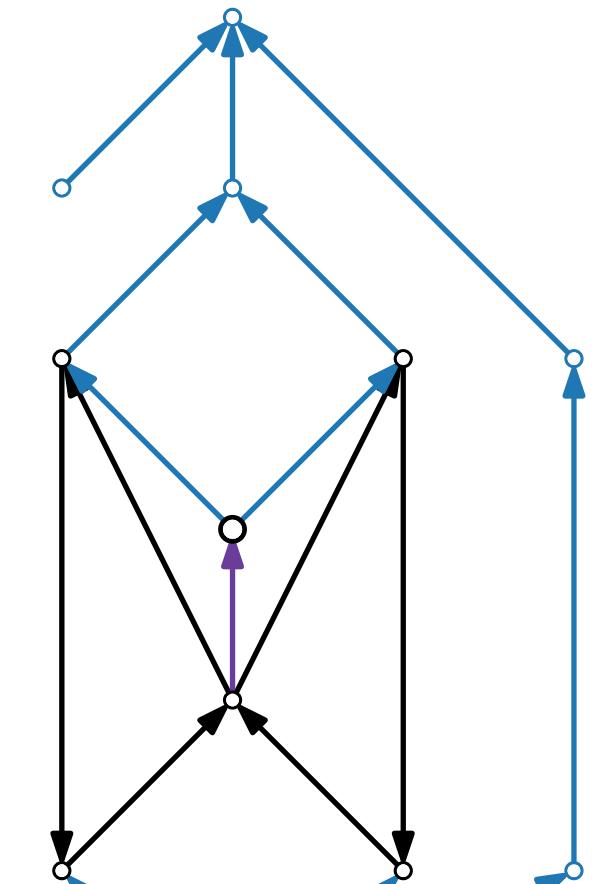
 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
  while in  $V$  exists a sink  $v$  do
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  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
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  if  $V \neq \emptyset$  then
    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

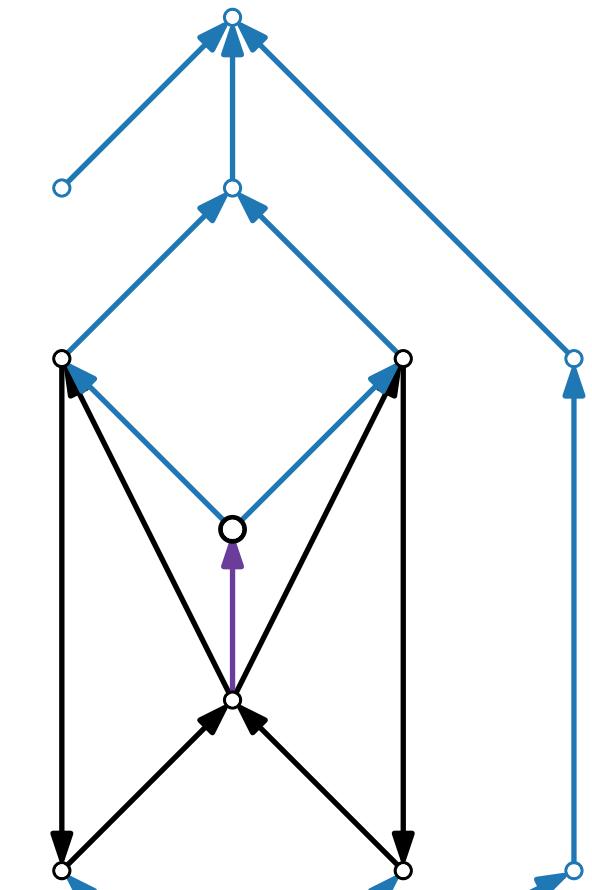
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  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N^{\rightarrow}(v)$ 

  if  $V \neq \emptyset$  then
    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

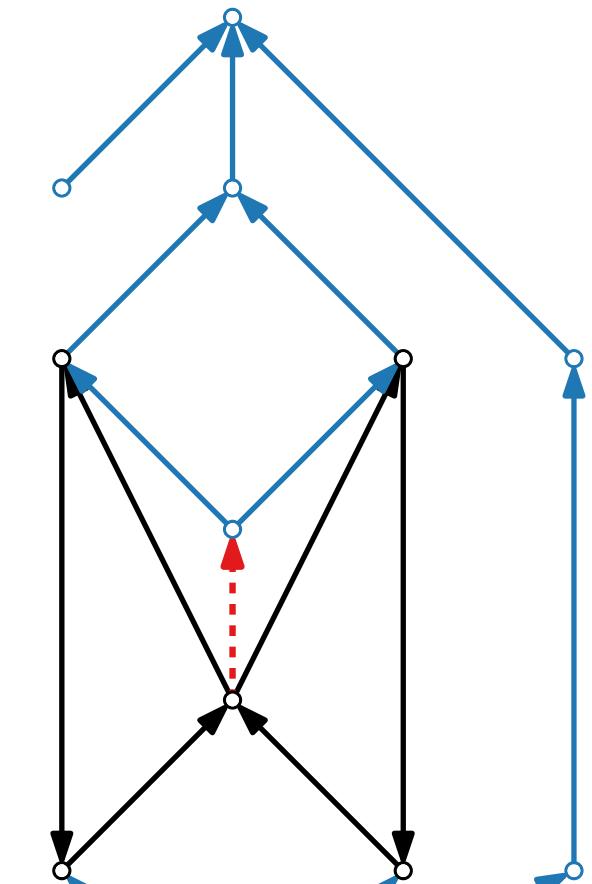
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  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
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    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N(v)$ 

```



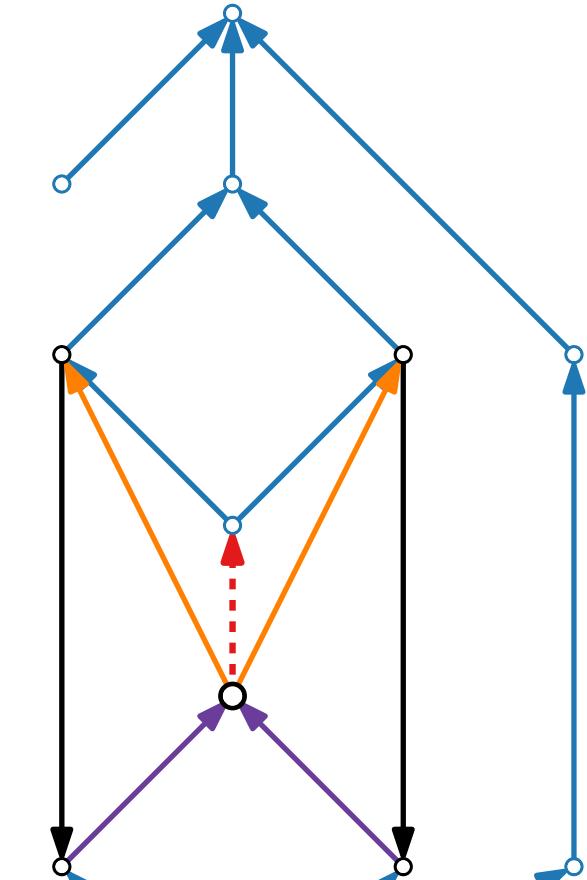
Heuristic 2

[Eades, Lin, Smyth '93]

```

 $E' \leftarrow \emptyset$ 
while  $V \neq \emptyset$  do
    while in  $V$  exists a sink  $v$  do
         $E' \leftarrow E' \cup N^{\leftarrow}(v)$ 
        remove  $v$  and  $N^{\leftarrow}(v)$ 
    Remove all isolated vertices
    while in  $V$  exists a source  $v$  do
         $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
        remove  $v$  and  $N^{\rightarrow}(v)$ 
    if  $V \neq \emptyset$  then
        let  $v \in V$  such that  $|N(v)| = 1$ 
         $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
        remove  $v$  and  $N(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

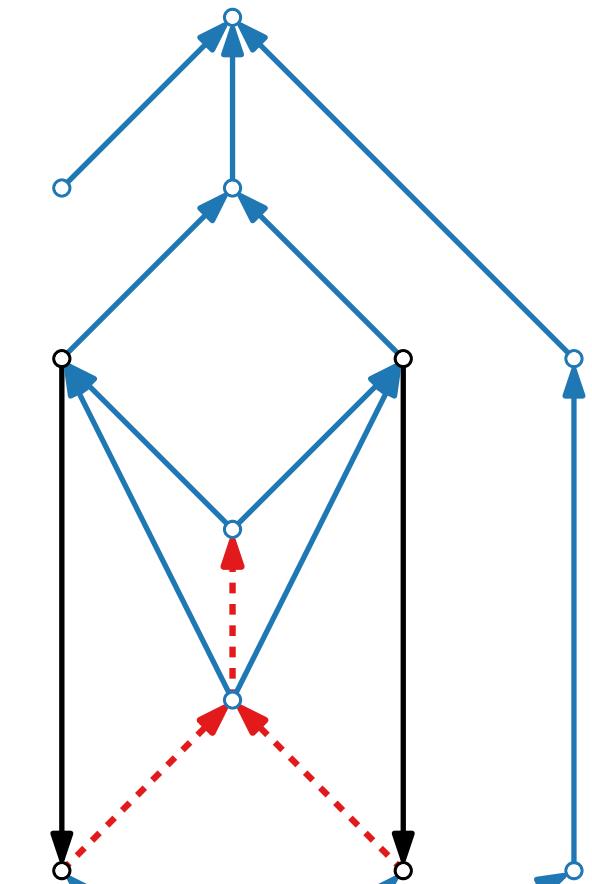
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  Remove all isolated vertices from  $V$ 

  while in  $V$  exists a source  $v$  do
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    remove  $v$  and  $N^{\rightarrow}(v)$ 

  if  $V \neq \emptyset$  then
    let  $v \in V$  such that  $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$  maximal
     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

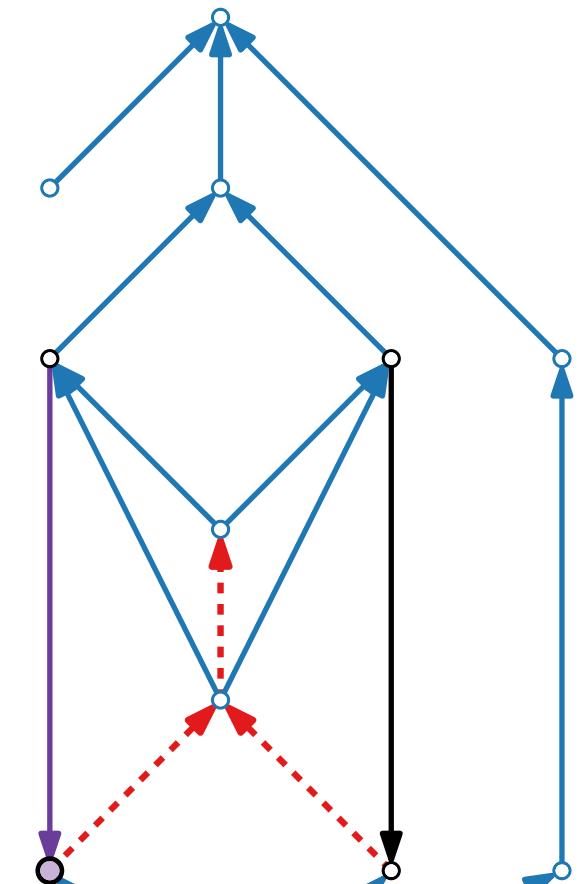
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    remove  $v$  and  $N(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

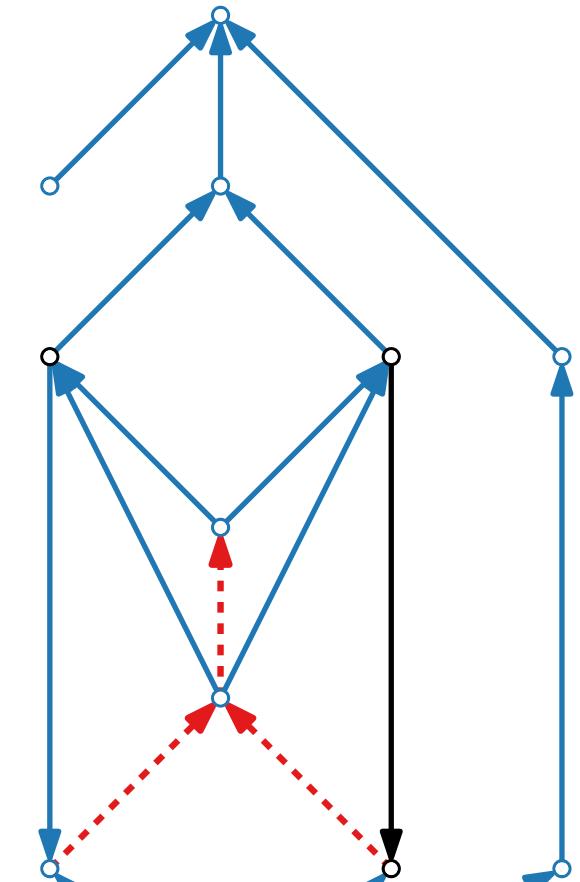
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  while in  $V$  exists a sink  $v$  do
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  if  $V \neq \emptyset$  then
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     $E' \leftarrow E' \cup N^{\rightarrow}(v)$ 
    remove  $v$  and  $N(v)$ 

```



Heuristic 2

[Eades, Lin, Smyth '93]

```

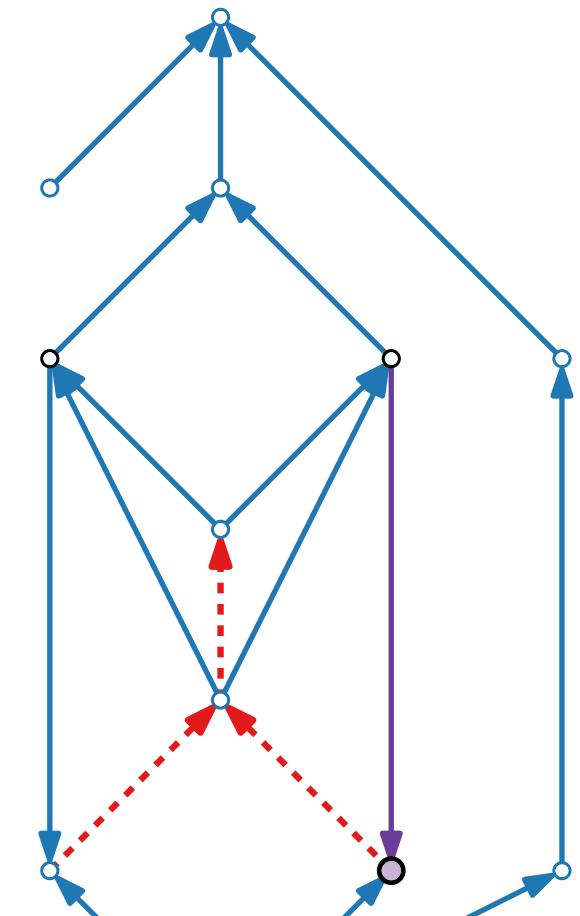
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Heuristic 2

[Eades, Lin, Smyth '93]

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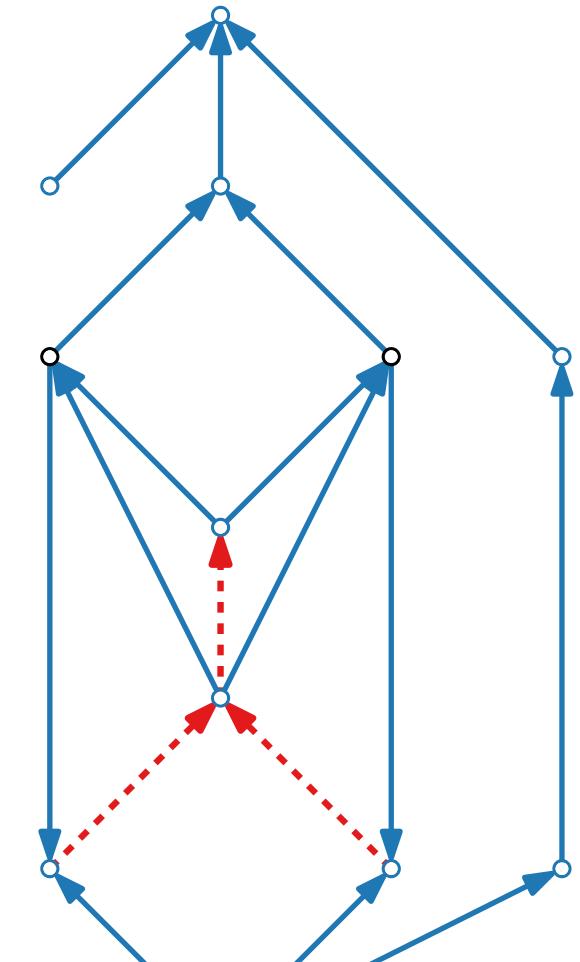
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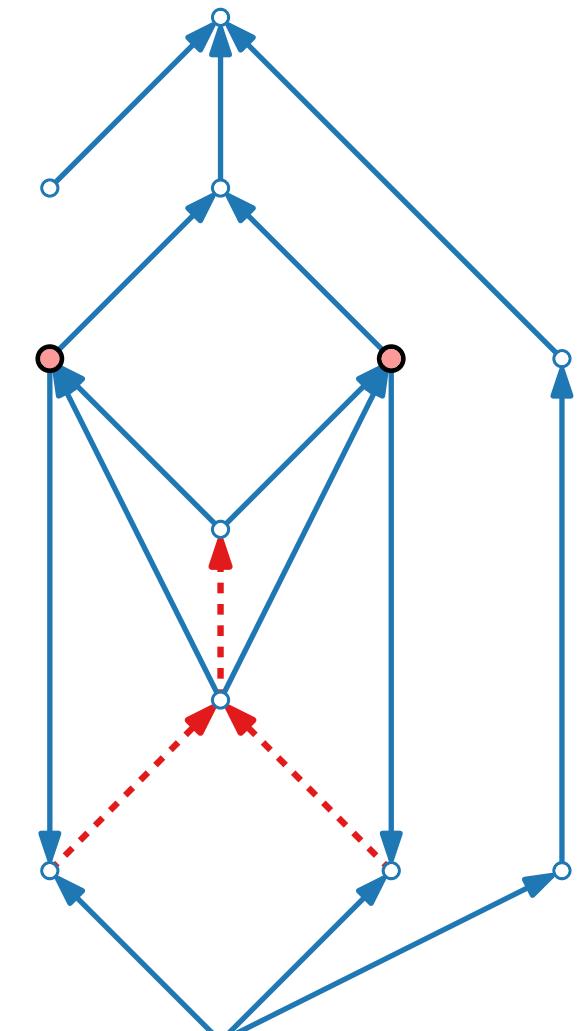
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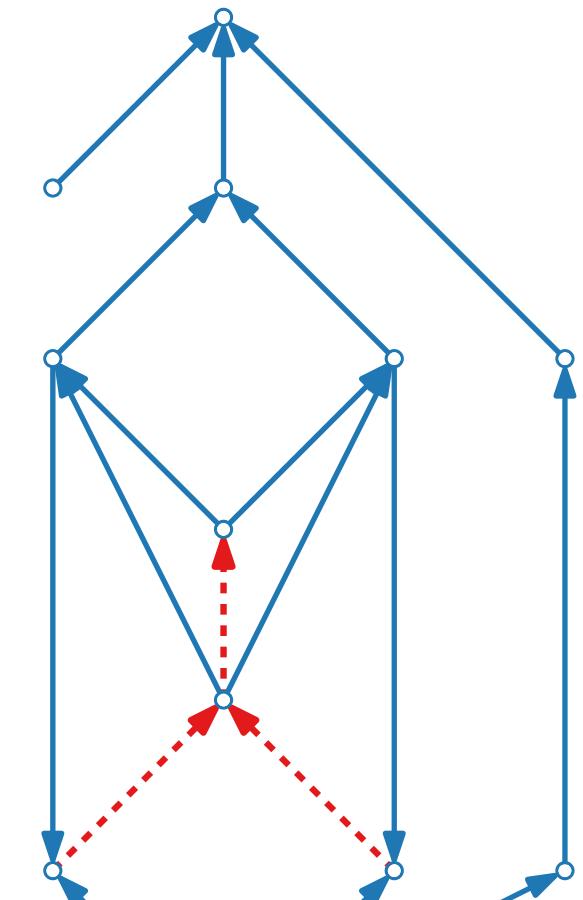
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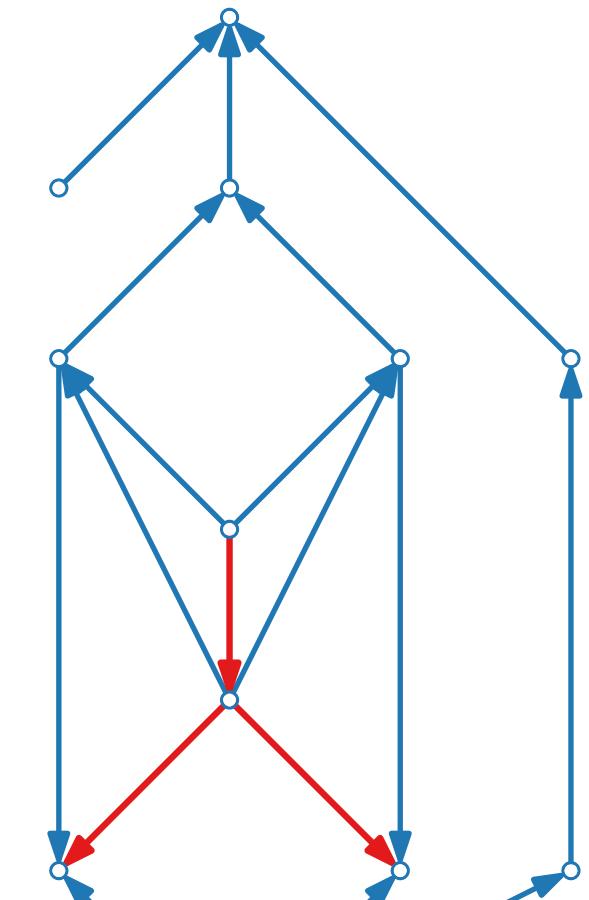
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Heuristic 2

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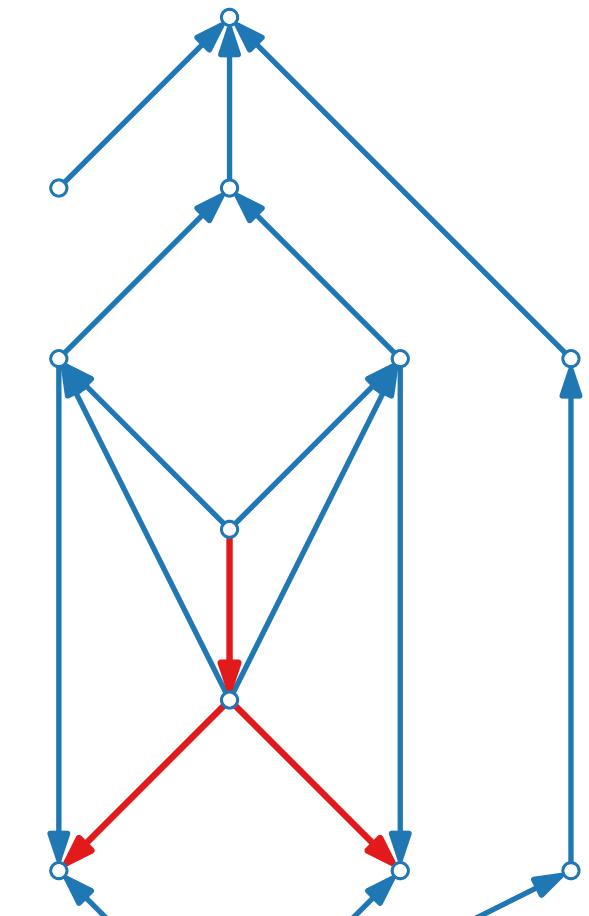
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```

■ Time: $\mathcal{O}(|V| + |E|)$



Heuristic 2

[Eades, Lin, Smyth '93]

```

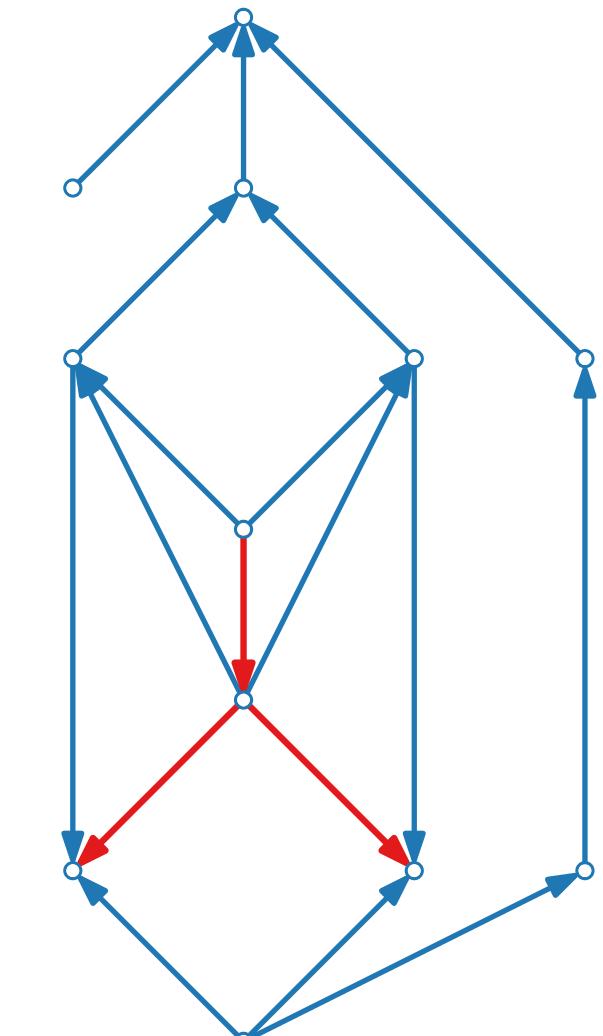
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- Time: $\mathcal{O}(|V| + |E|)$ [The main idea is to use bins for the sinks, sources, and a bin for each $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$]

Heuristic 2

[Eades, Lin, Smyth '93]

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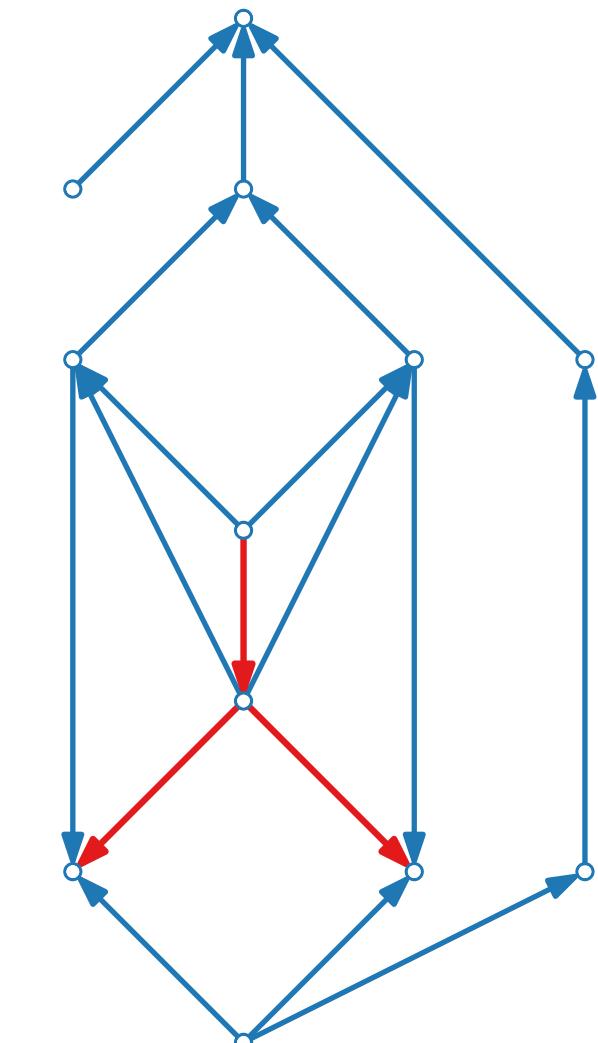
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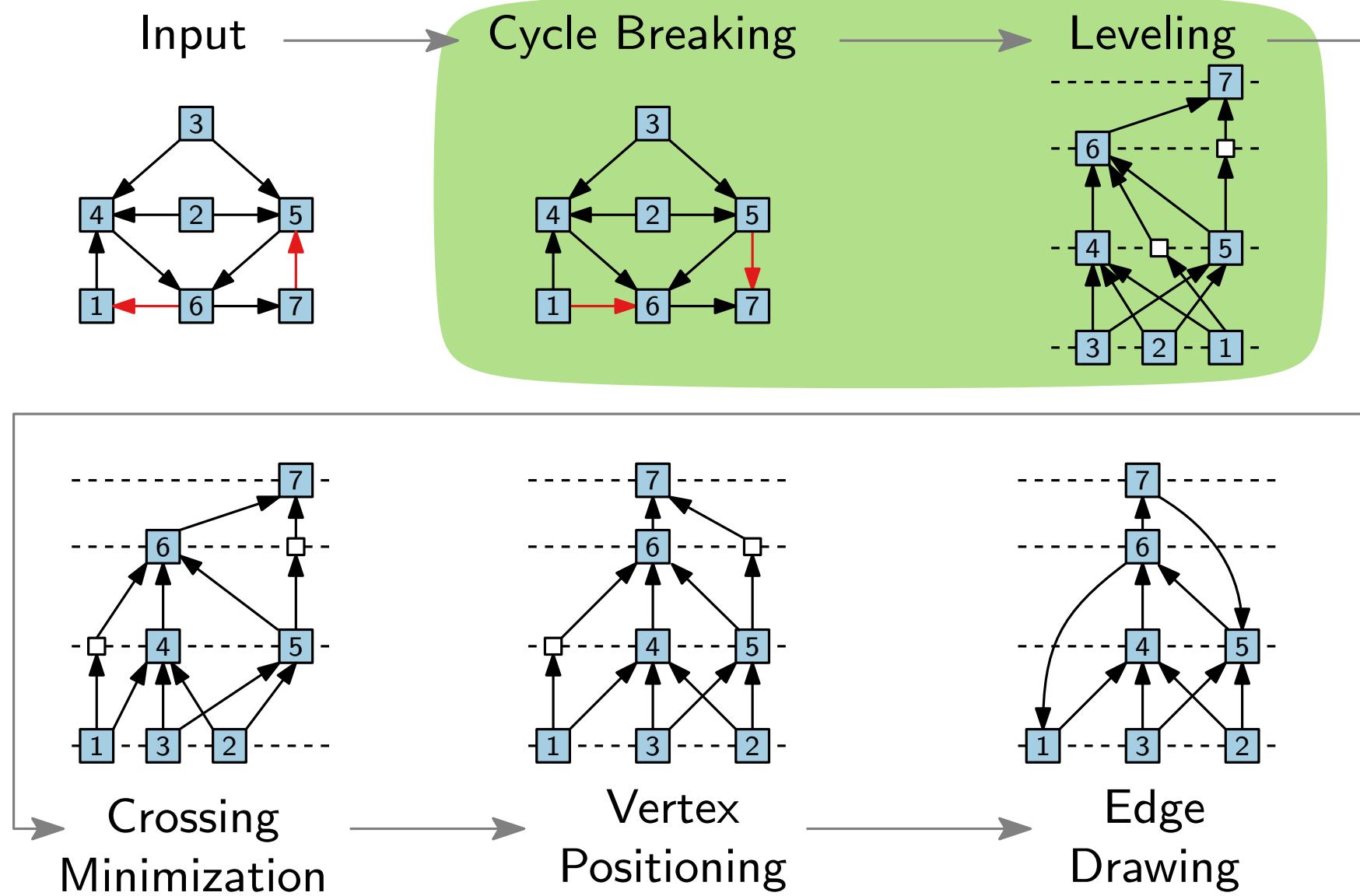
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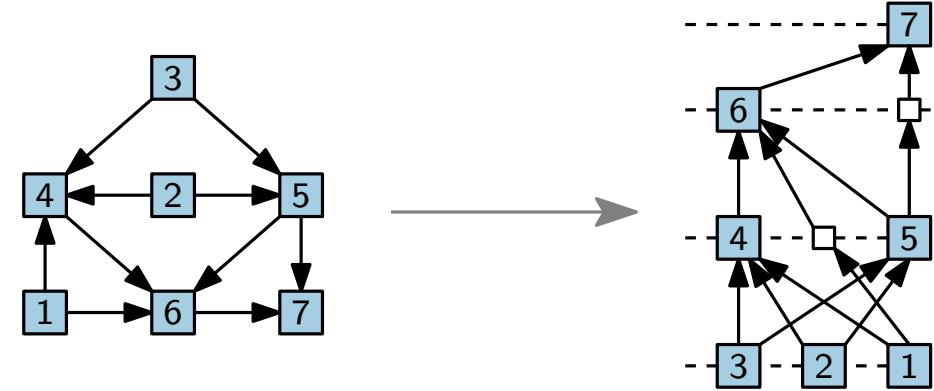
- Time: $\mathcal{O}(|V| + |E|)$ [The main idea is to use bins for the sinks, sources, and a bin for each $|N^{\rightarrow}(v)| - |N^{\leftarrow}(v)|$]
- Quality guarantee: $|E'| \geq |E|/2 + |V|/6$

Step 2: Leveling



Step 2: Leveling

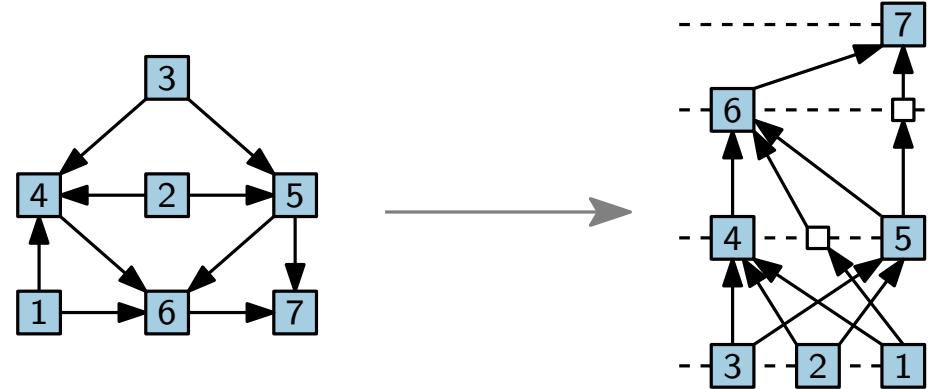
Problem.



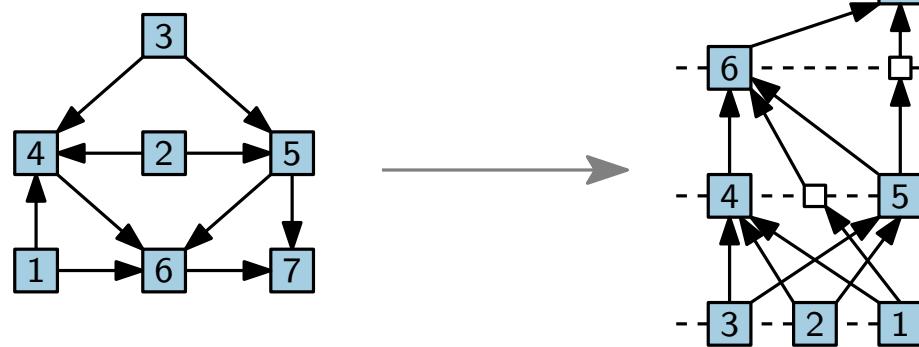
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- Input: acyclic digraph $G = (V, E)$



Step 2: Leveling



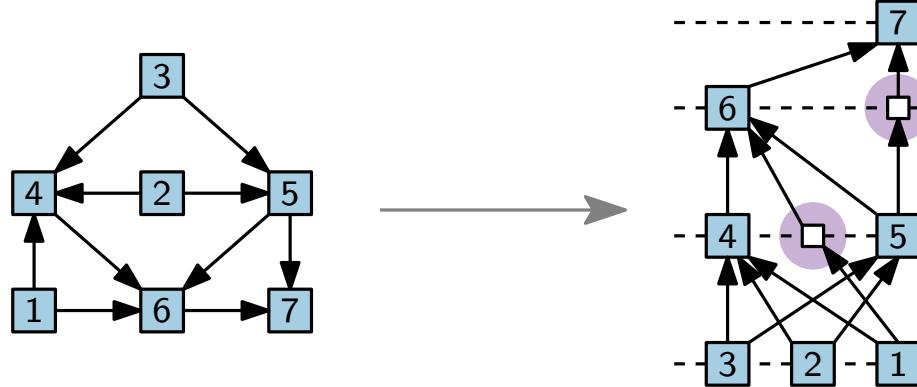
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such that for every $uv \in E$, $y(u) < y(v)$.

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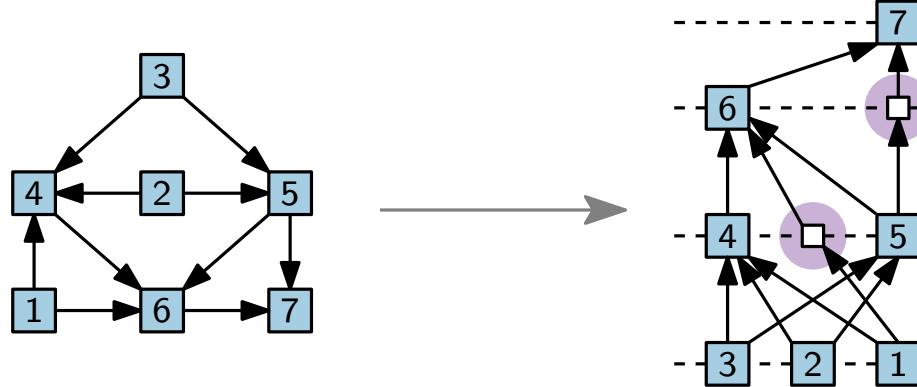
whenever an edge spans across a layer, we insert a *dummy vertex*

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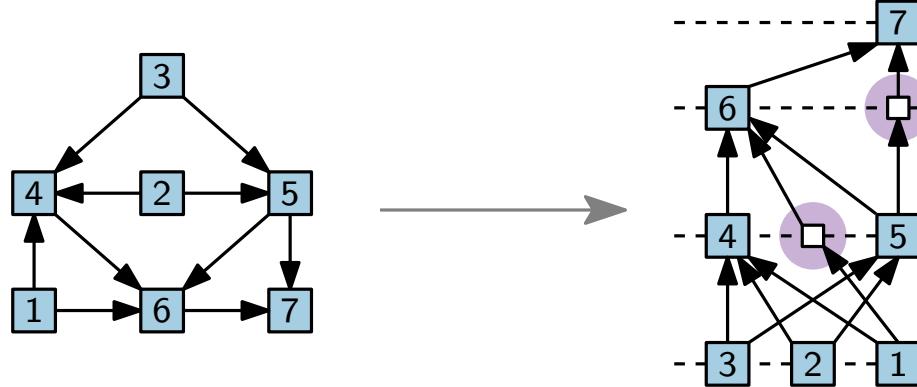
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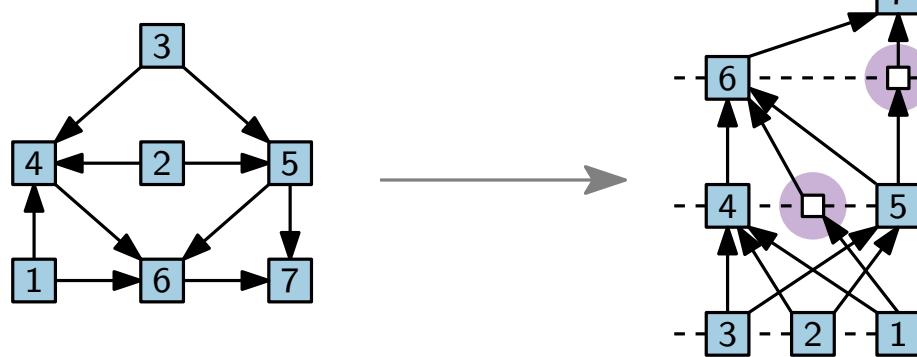


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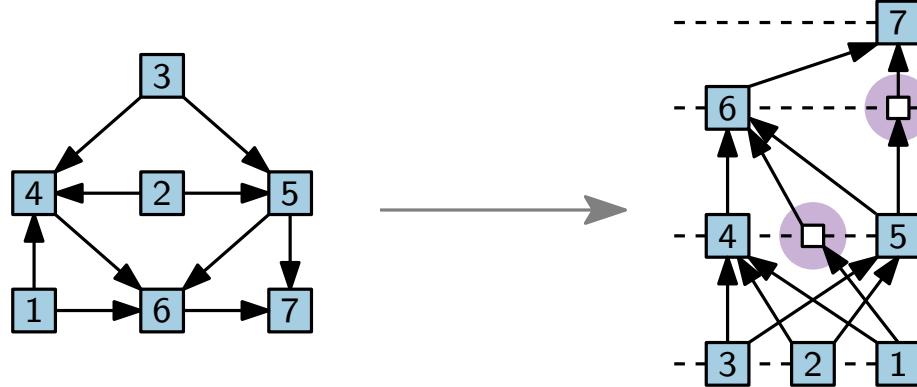
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- number of layers, i.e., $\max_{v \in V} y(v)$
- length of the longest edge,



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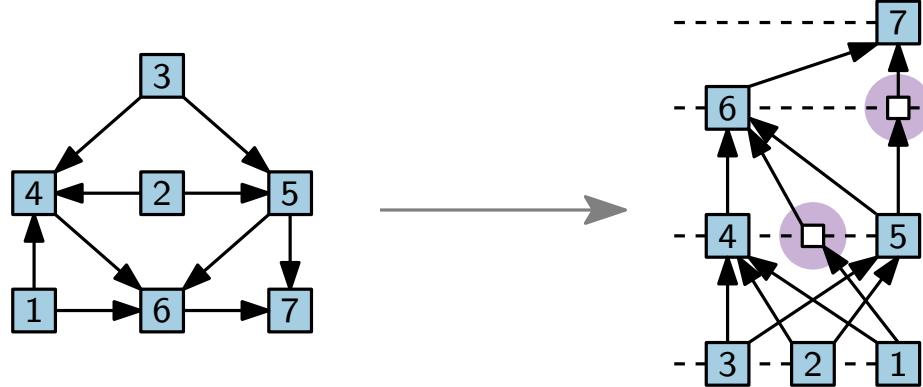
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- number of layers, i.e., $\max_{v \in V} y(v)$
- length of the longest edge, i.e. $\max_{uv \in E} y(v) - y(u)$



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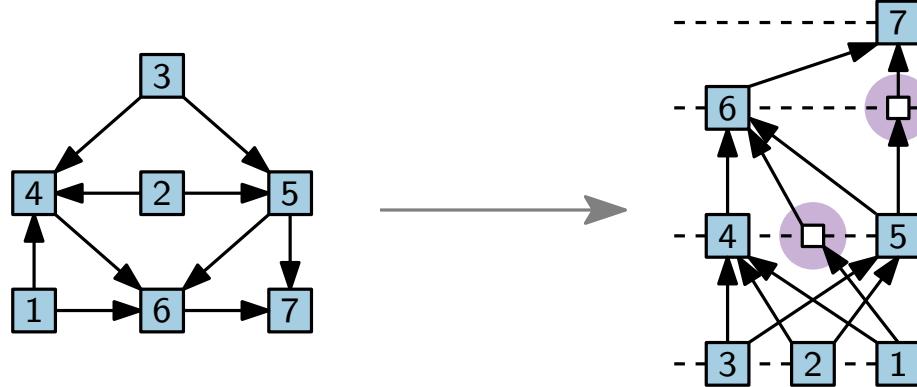
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- width,



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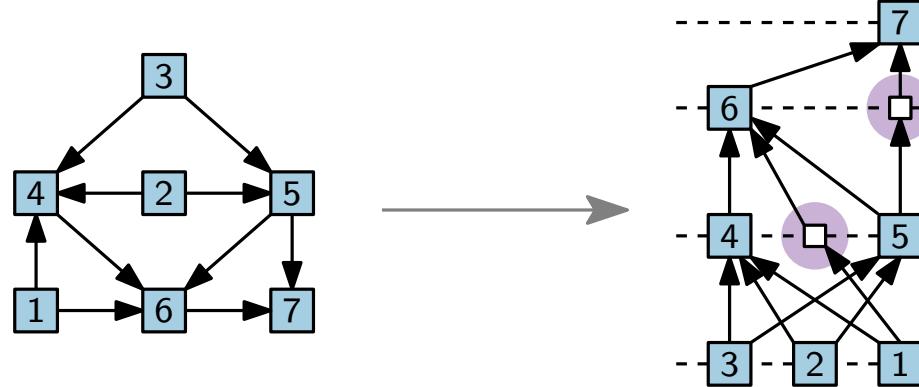
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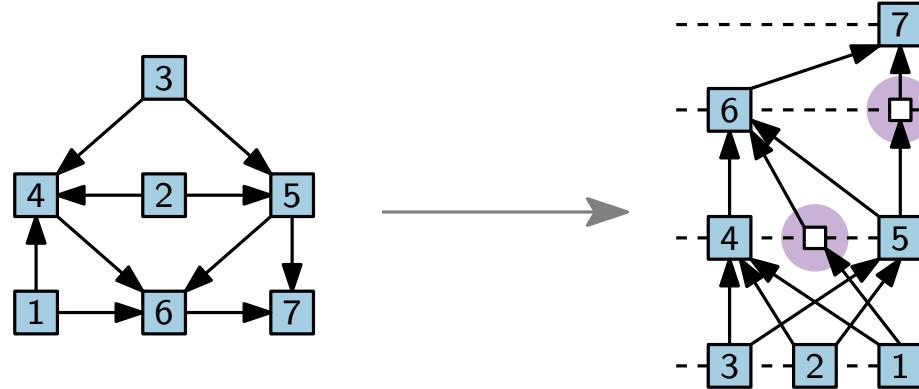
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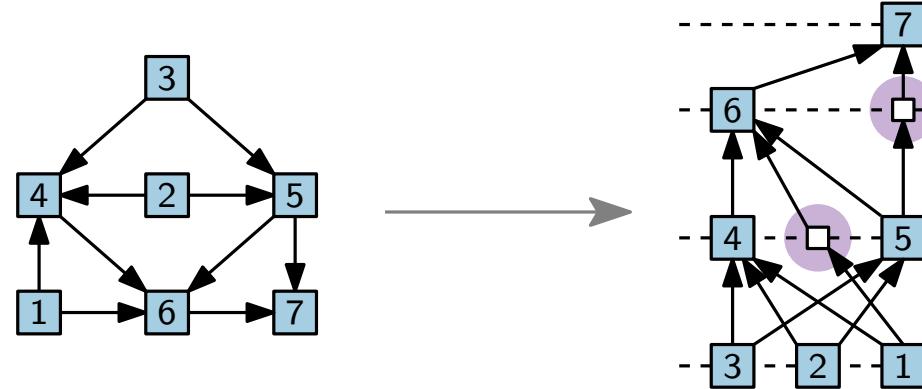
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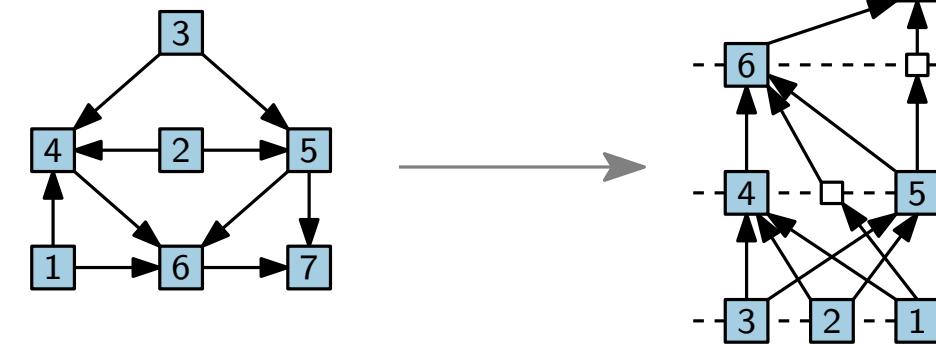
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- total edge length, i.e., number of dummy vertices



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Minimize Number of Layers

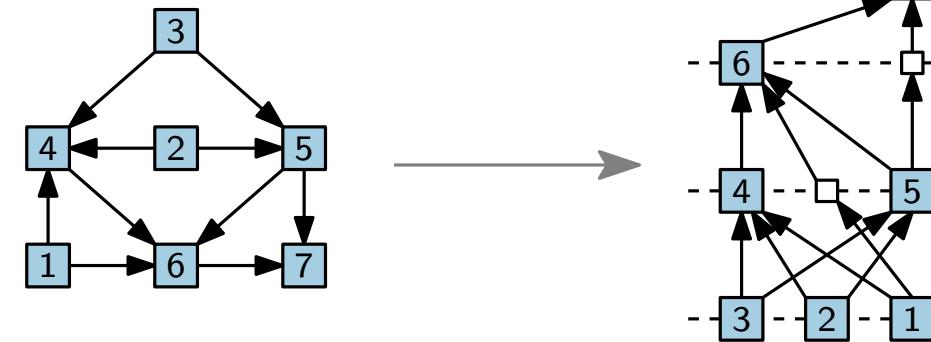
Algorithm.



Minimize Number of Layers

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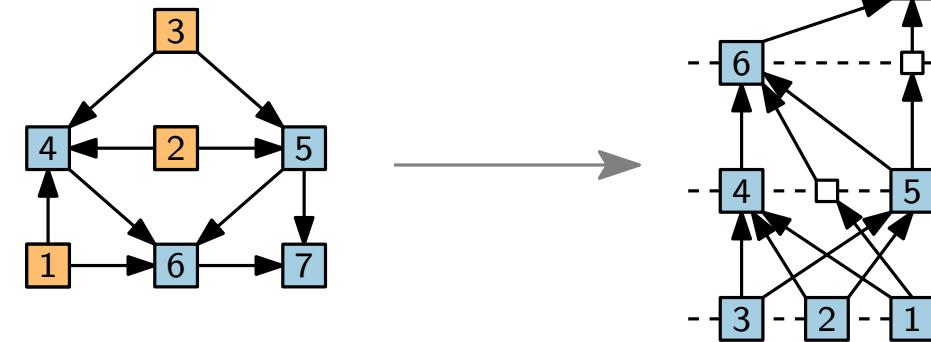
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Minimize Number of Layers

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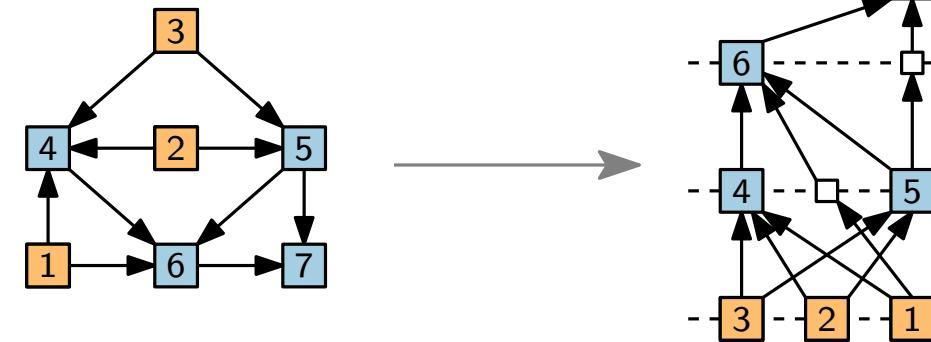
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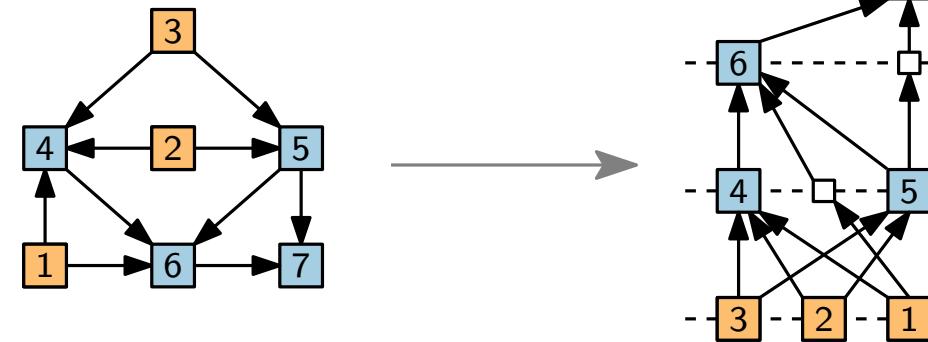
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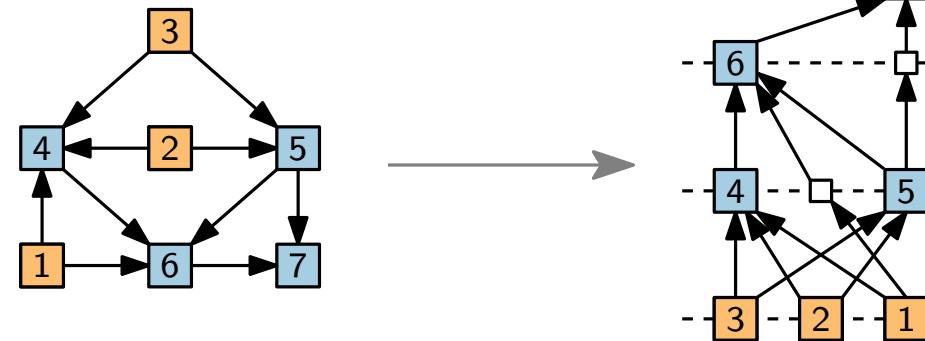
- for each **source** q
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Minimize Number of Layers

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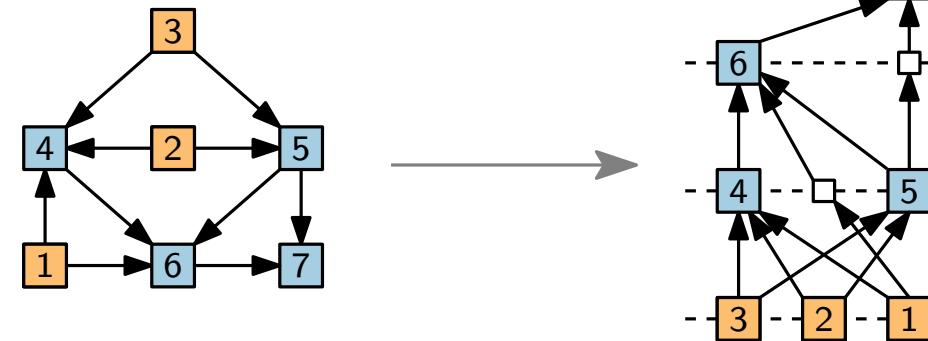
Observation.

- $y(v)$ is

Minimize Number of Layers

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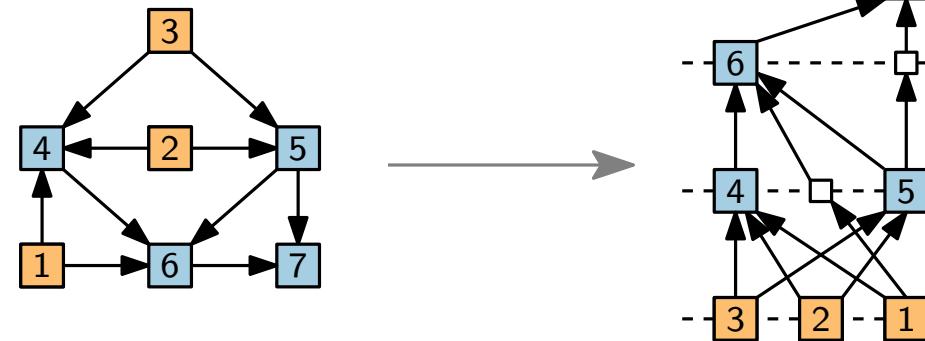
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Minimize Number of Layers

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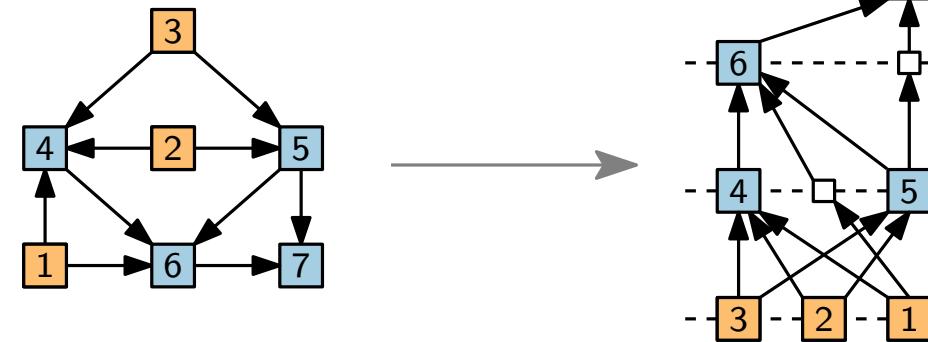
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... which is optimal!

Minimize Number of Layers

Algorithm.

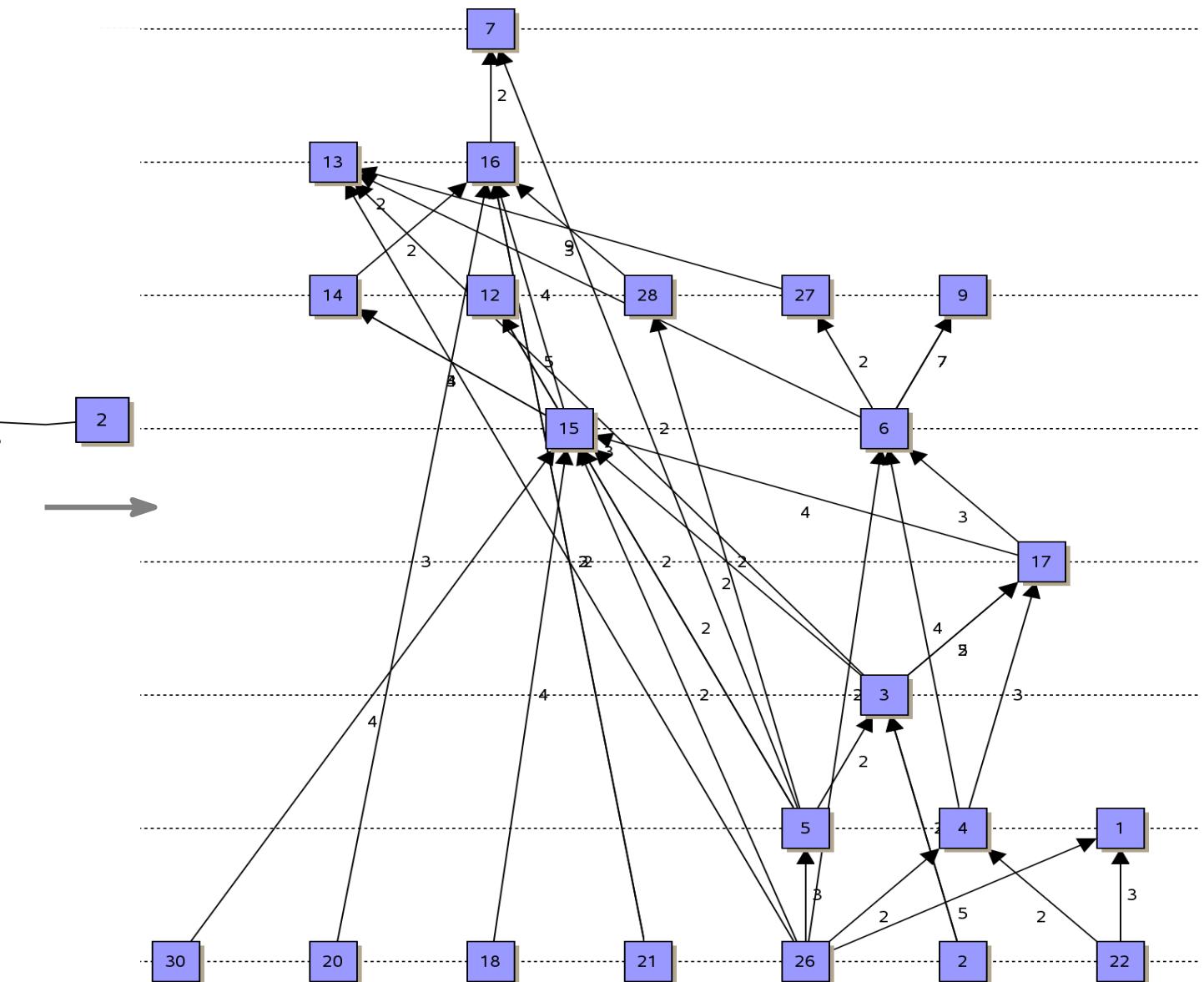
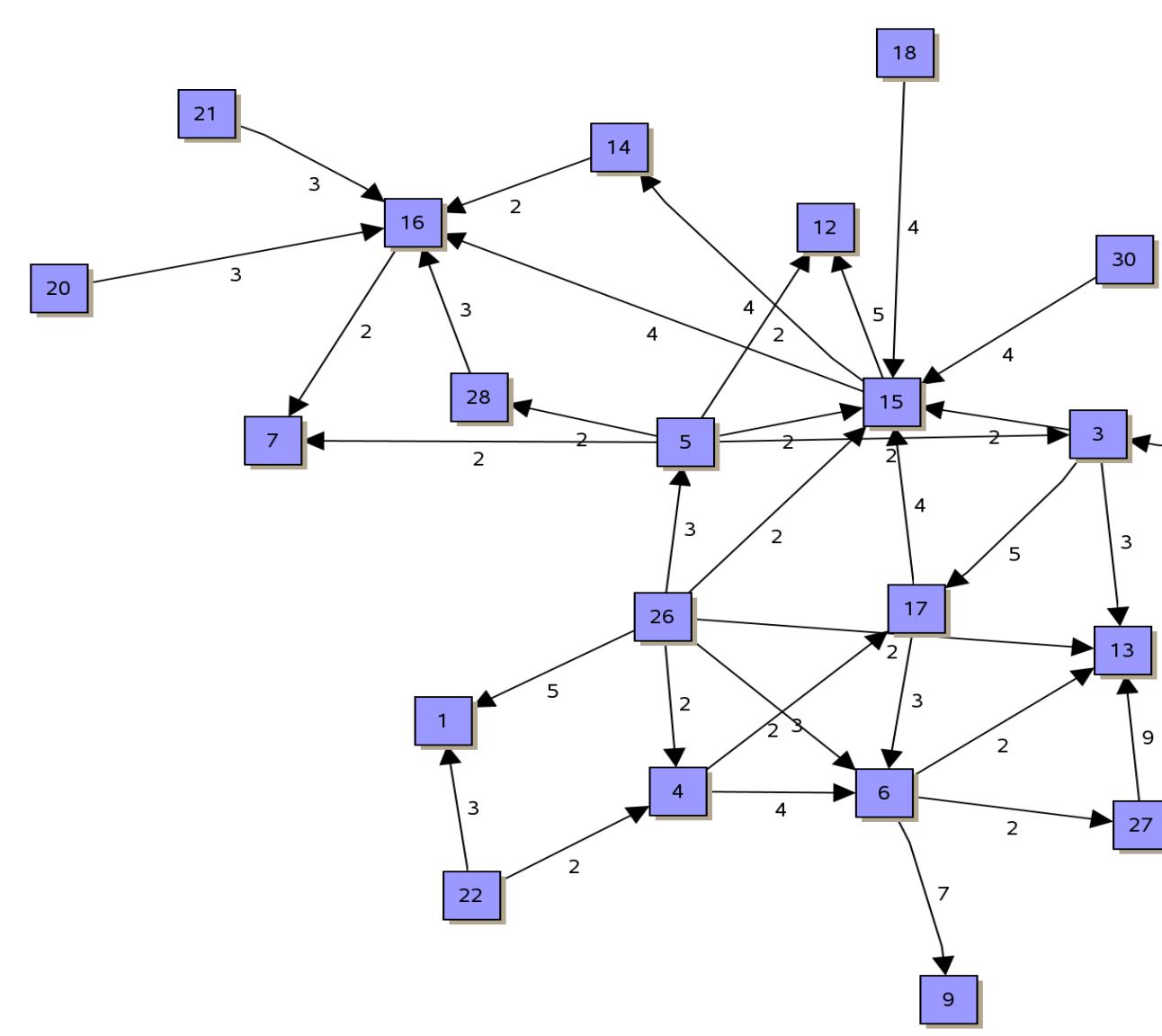
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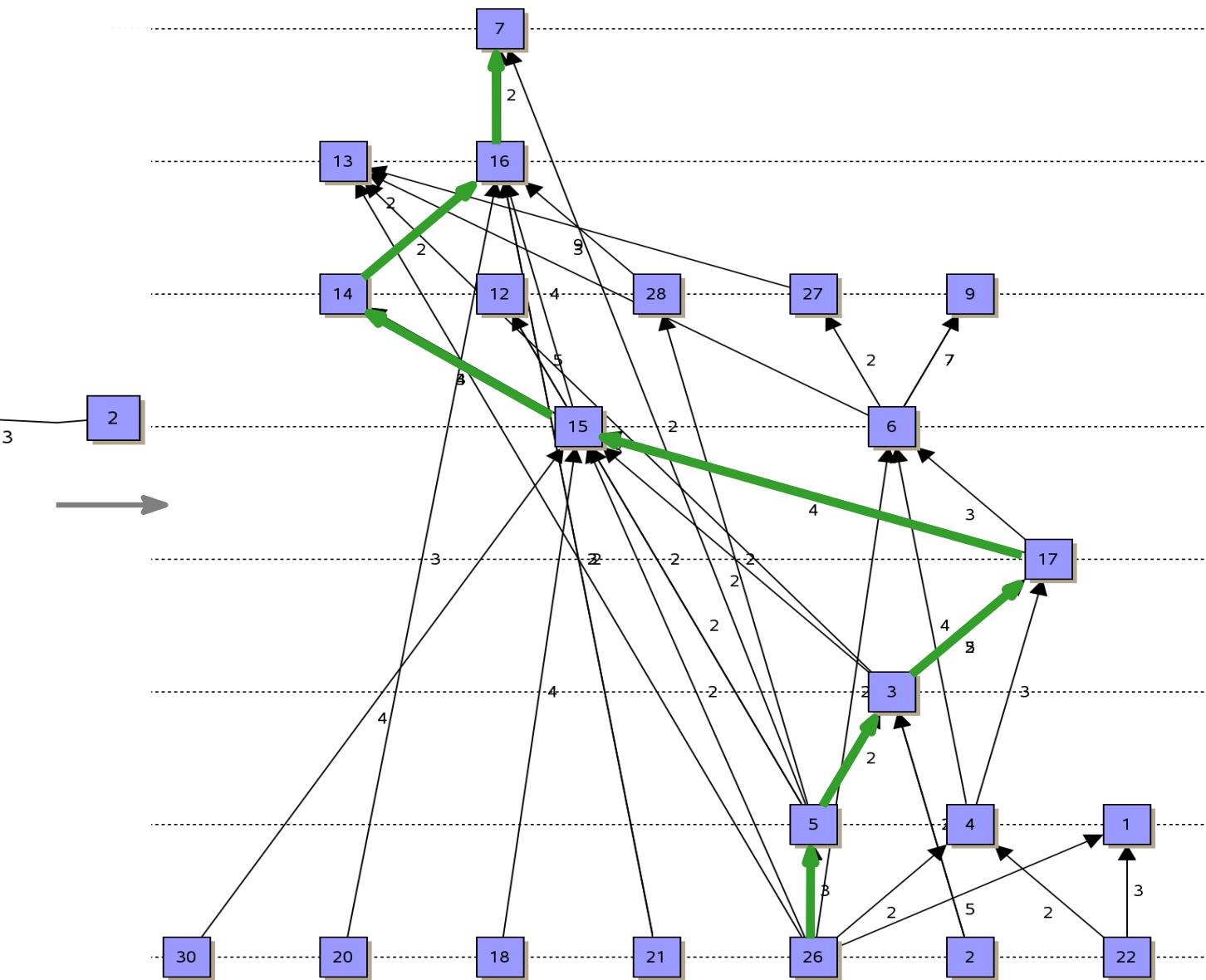
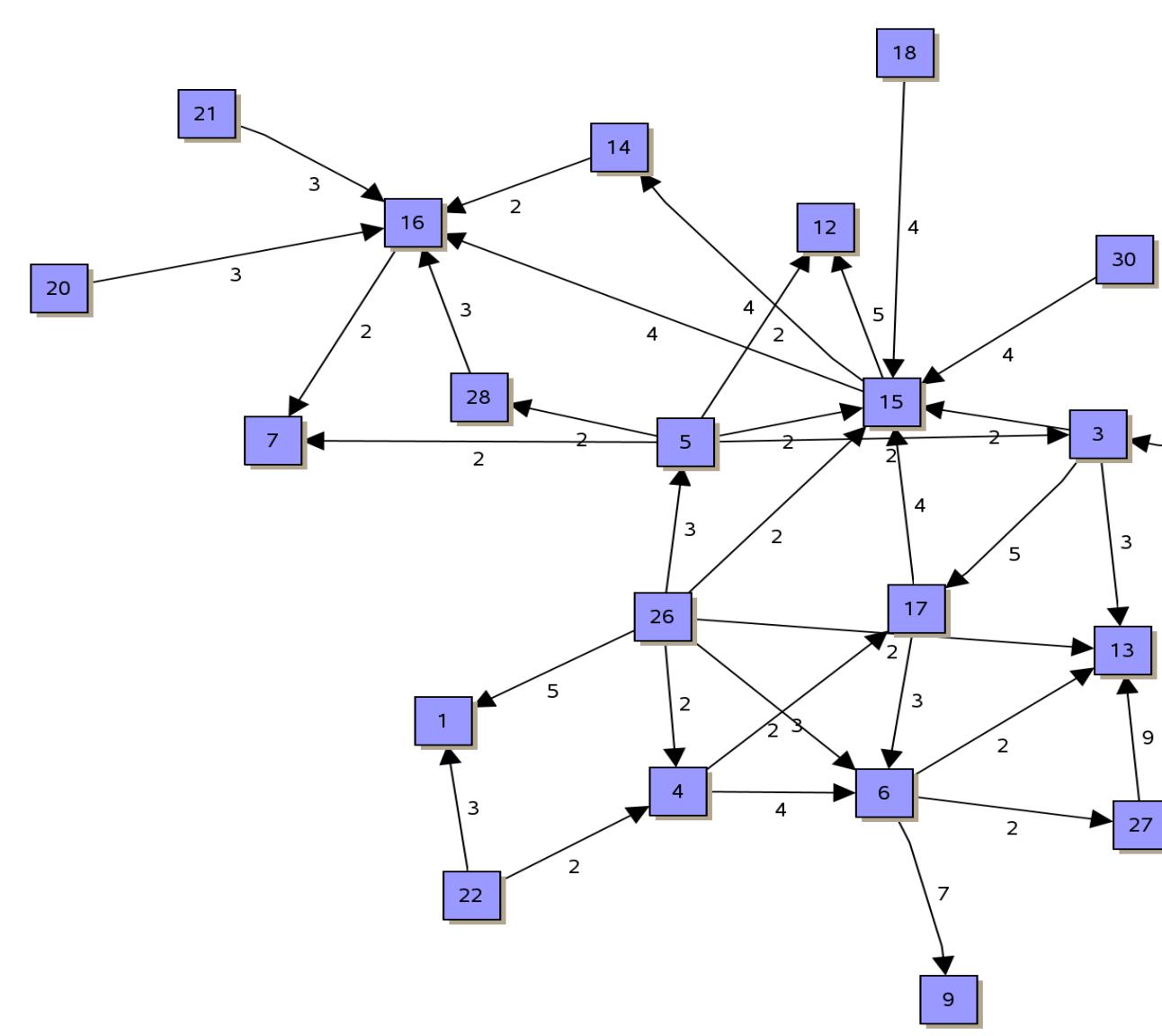
Observation.

- $y(v)$ is length of the longest path from a **source** to v plus 1.
... which is optimal!
- Can be implemented in linear time with recursive algorithm.

Example



Example



Minimize Total Edge Length – ILP

Can be formulated as an integer linear program:

Minimize Total Edge Length – ILP

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$$\min \quad \sum_{(u,v) \in E} (y(v) - y(u))$$

Minimize Total Edge Length – ILP

Can be formulated as an integer linear program:

$$\begin{aligned} \min \quad & \sum_{(u,v) \in E} (y(v) - y(u)) \\ \text{subject to} \quad & \end{aligned}$$

Minimize Total Edge Length – ILP

Can be formulated as an integer linear program:

$$\begin{aligned} \min \quad & \sum_{(u,v) \in E} (y(v) - y(u)) \\ \text{subject to} \quad & y(v) - y(u) \geq 1 \quad \forall (u, v) \in E \end{aligned}$$

Minimize Total Edge Length – ILP

Can be formulated as an integer linear program:

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One can show that:

Minimize Total Edge Length – ILP

Can be formulated as an integer linear program:

$$\begin{array}{ll}\min & \sum_{(u,v) \in E} (y(v) - y(u)) \\ \text{subject to} & y(v) - y(u) \geq 1 \quad \forall (u, v) \in E \\ & y(v) \geq 1 \quad \forall v \in V \\ & y(v) \in \mathbb{Z} \quad \forall v \in V\end{array}$$

One can show that:

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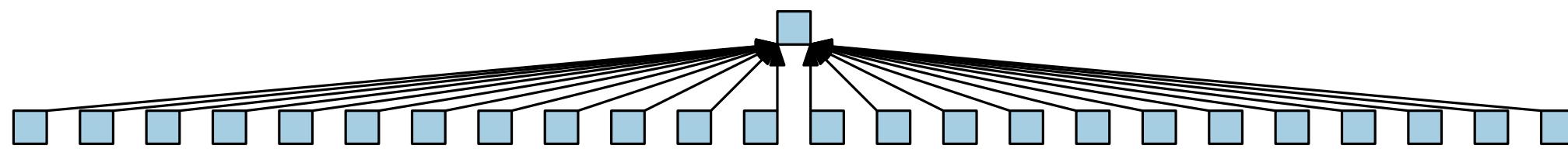
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One can show that:

- Constraint-matrix is **totally unimodular**.
⇒ Solution of the relaxed linear program is integer.
- The total edge length can be minimized in polynomial time.

Width



Drawings can be very wide.

Narrower Layer Assignment

Problem: leveling with a given maximum-width.

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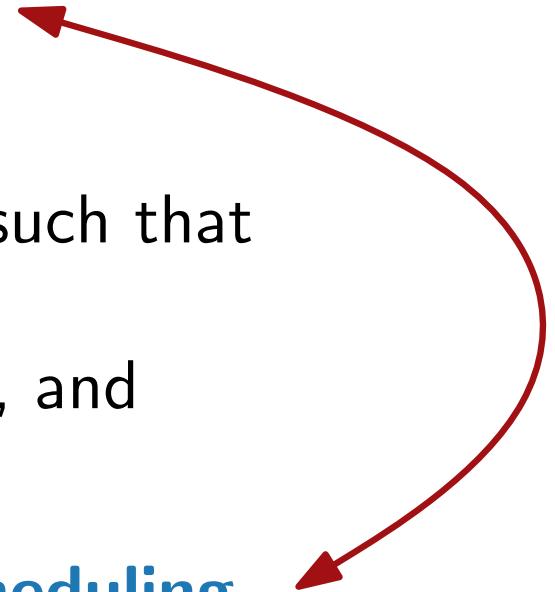
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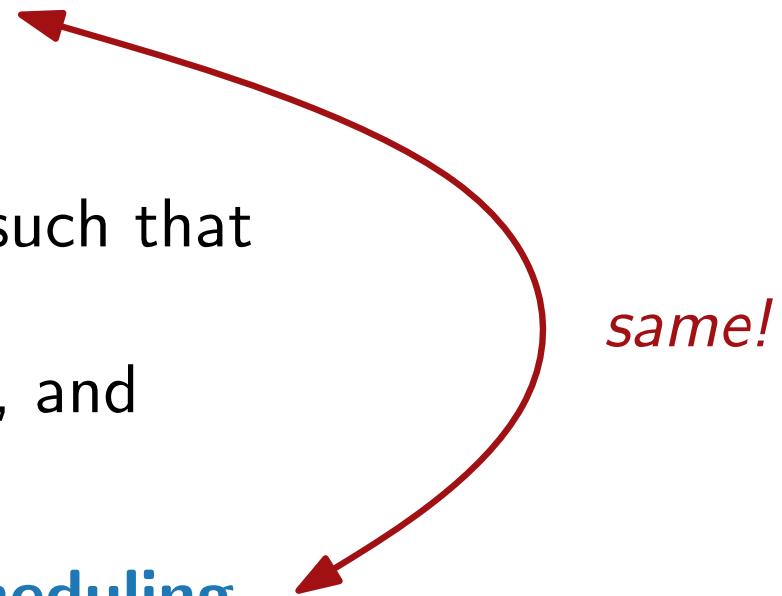


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same!

Approximating PCMPS

- jobs stored in a list L
(e.g., topologically sorted)

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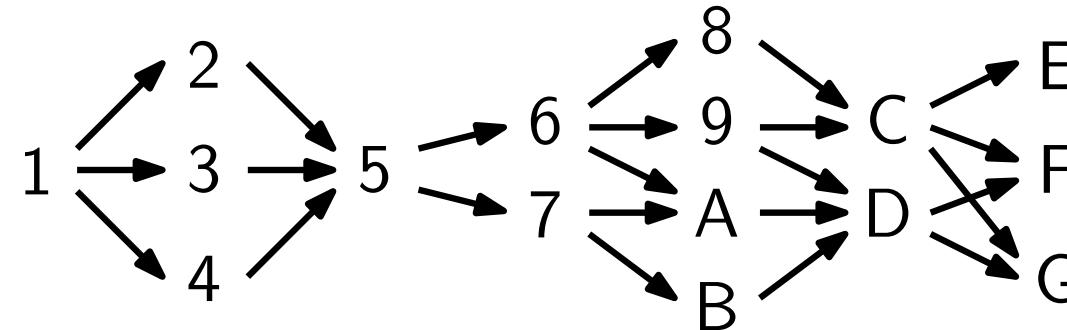
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- a job in L is *available* when all its predecessors have been scheduled
- for each time $t = 1, 2, \dots$ we can schedule $\leq W$ available jobs
- as long as there are free machines and available jobs, take the first available job and assign it to a free machine

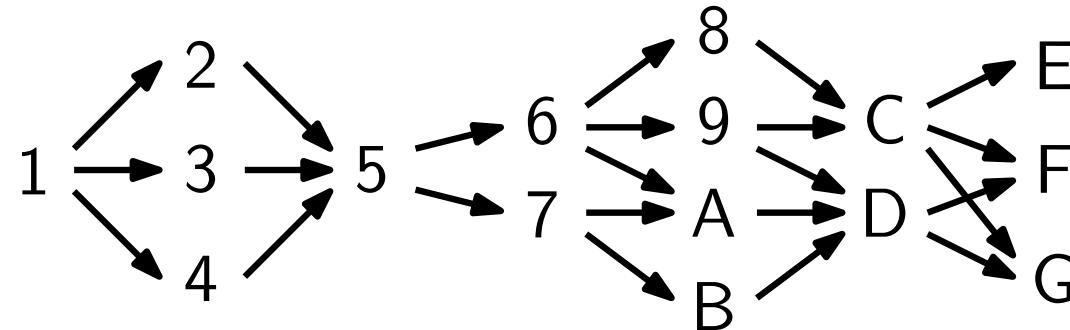
Approximating PCMPS

Input: Precedence graph (divided into layers of arbitrary width)



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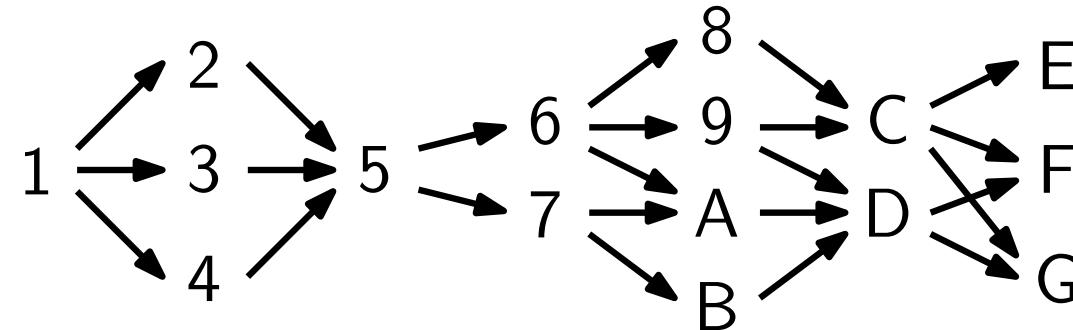
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Number of machines is $W = 2$.

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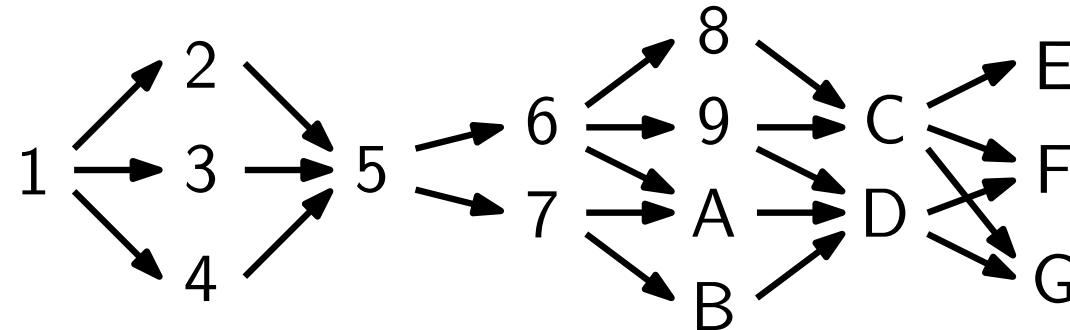


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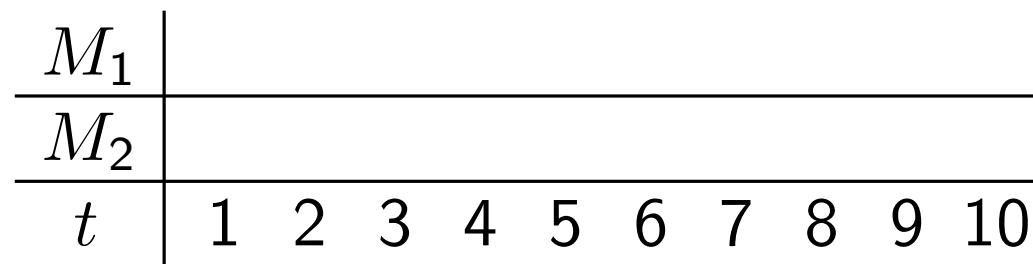
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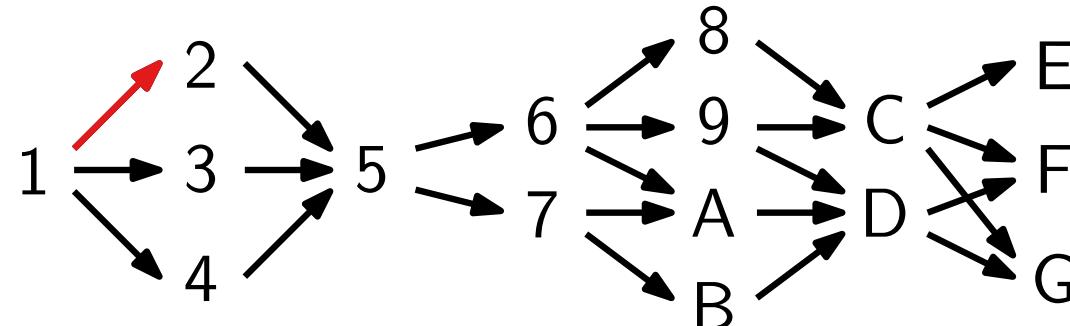
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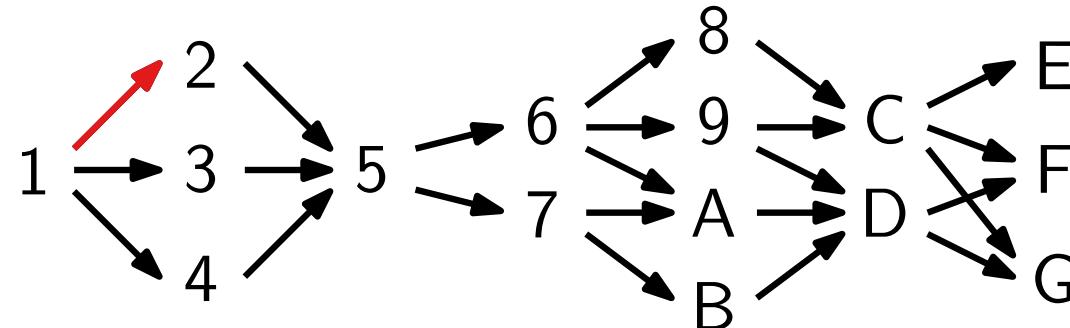
Number of machines is $W = 2$.

Output: Schedule

M_1	1
M_2	-
t	1 2 3 4 5 6 7 8 9 10

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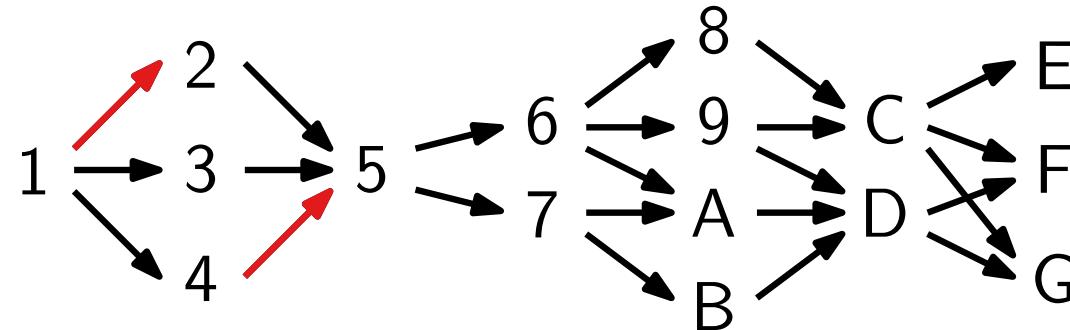
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2									
M_2	-	3									
t	1	2	3	4	5	6	7	8	9	10	

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Input: Precedence graph (divided into layers of arbitrary width)



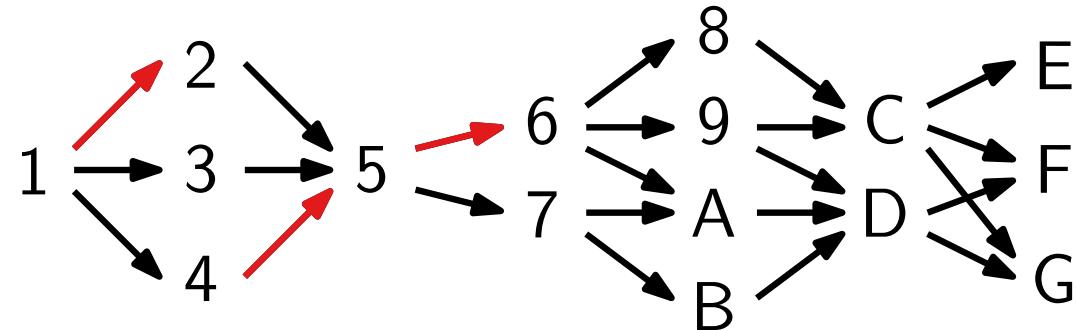
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4							
M_2	-	3	-							
t	1	2	3	4	5	6	7	8	9	10

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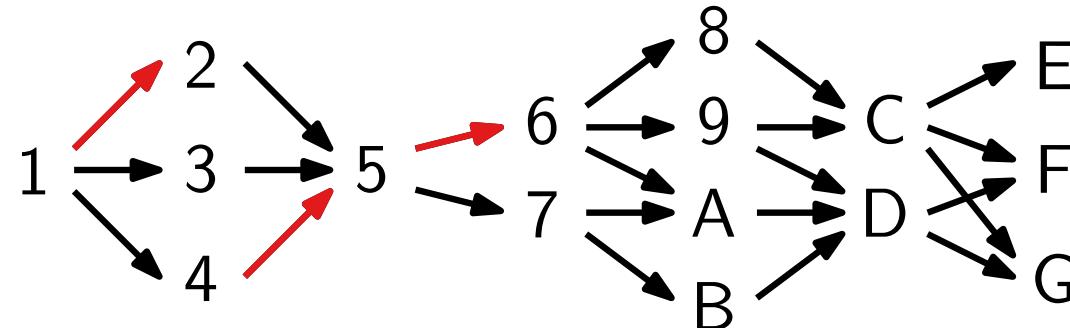
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5					
M_2	-	3	-	-					
t	1	2	3	4	5	6	7	8	9

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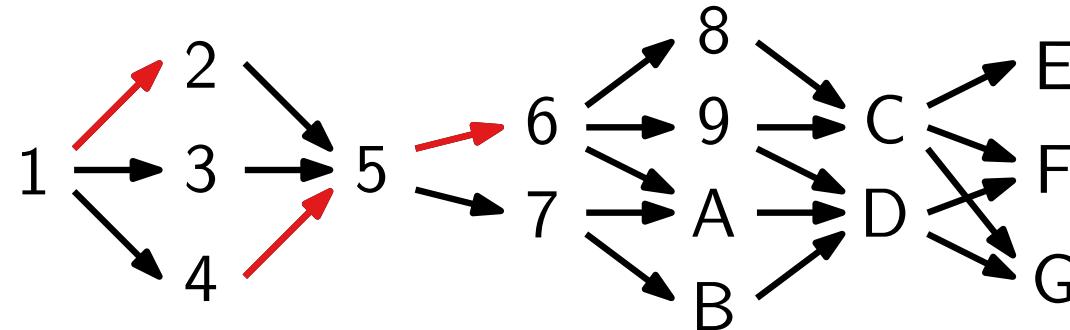
Output: Schedule

M_1	1	2	4	5	6
M_2	-	3	-	-	7
t	1	2	3	4	5

10

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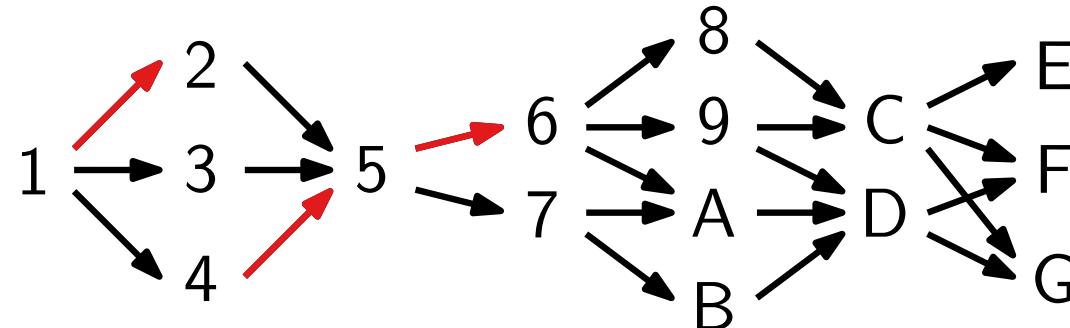
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8				
M_2	-	3	-	-	7	9				
t	1	2	3	4	5	6	7	8	9	10

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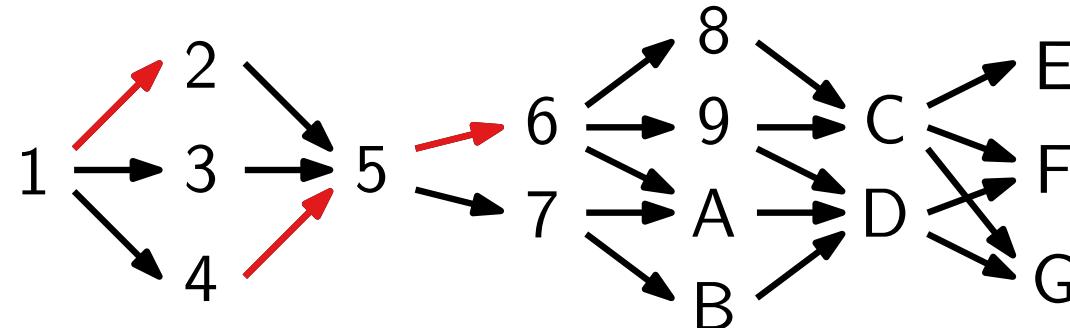
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8	A		
M_2	-	3	-	-	7	9	B		
t	1	2	3	4	5	6	7	8	9

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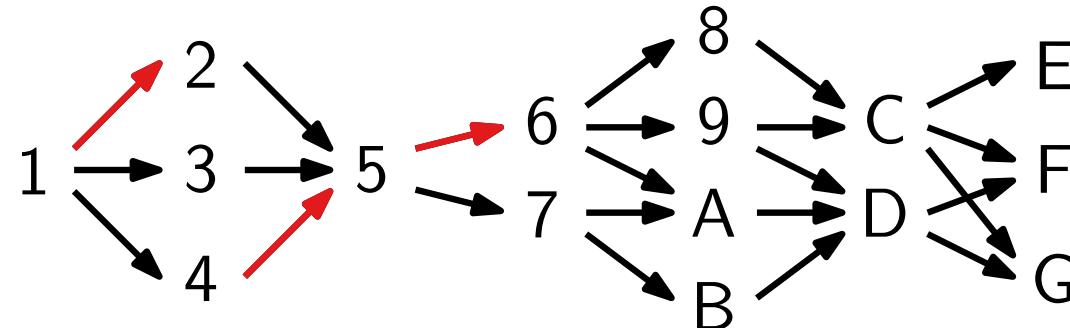
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Output: Schedule

M_1	1	2	4	5	6	8	A	C		
M_2	-	3	-	-	7	9	B	D		
t	1	2	3	4	5	6	7	8	9	10

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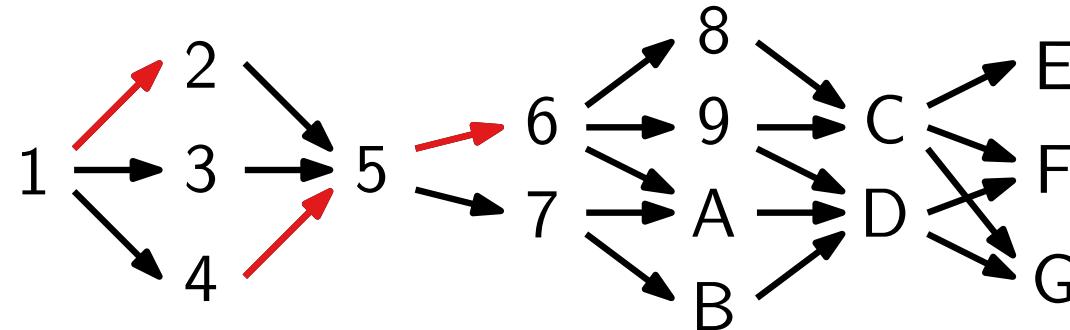
Output: Schedule

M_1	1	2	4	5	6	8	A	C	E
M_2	-	3	-	-	7	9	B	D	F
t	1	2	3	4	5	6	7	8	9

10

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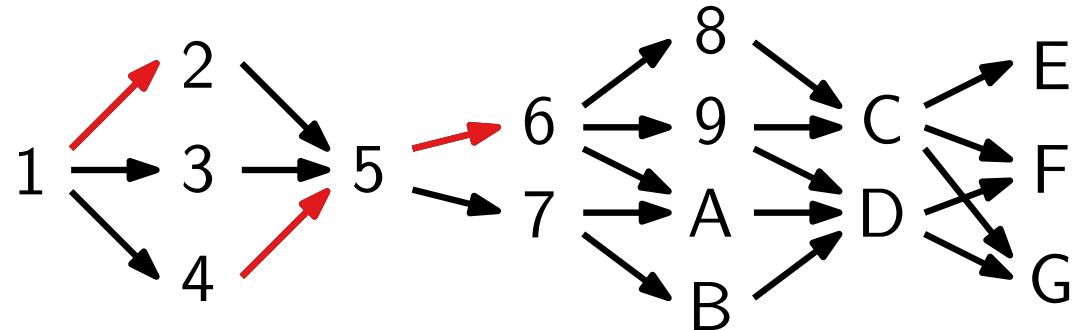
Number of machines is $W = 2$.

Output: Schedule

M_1	1	2	4	5	6	8	A	C	E	G
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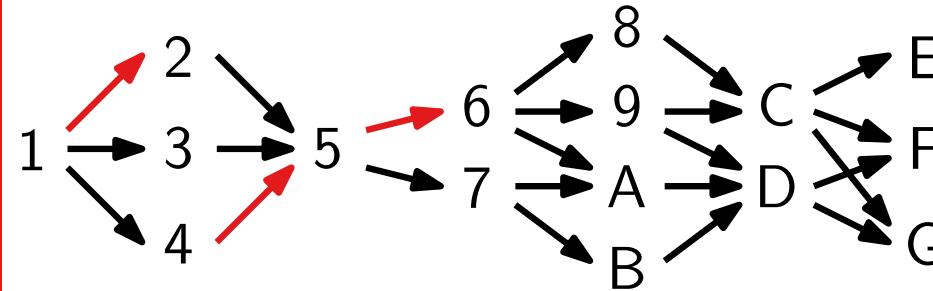
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M_1	1	2	4	5	6	8	A	C	E	G
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Question: Good approximation factor?

Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



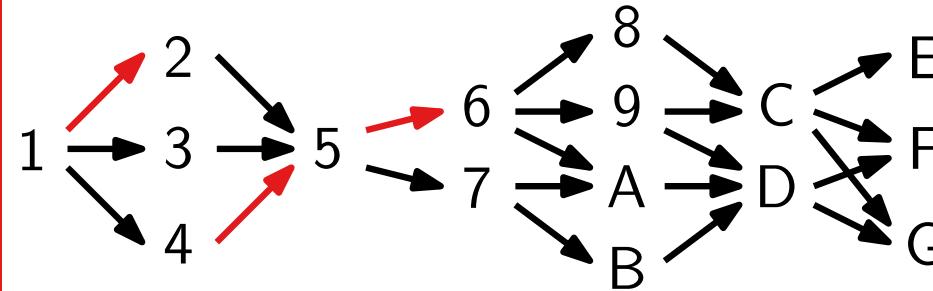
Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	-	3	-	-	7	9	B	D	F	-
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„The art of the lower bound“

Approximating PCMPS - Analysis for $W = 2$

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Schedule

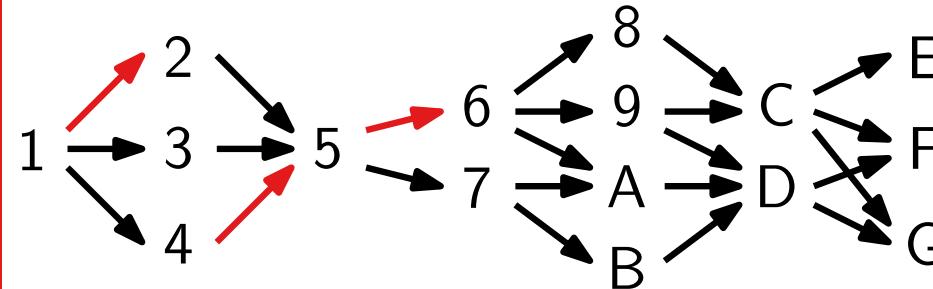
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$\text{OPT} \geq$

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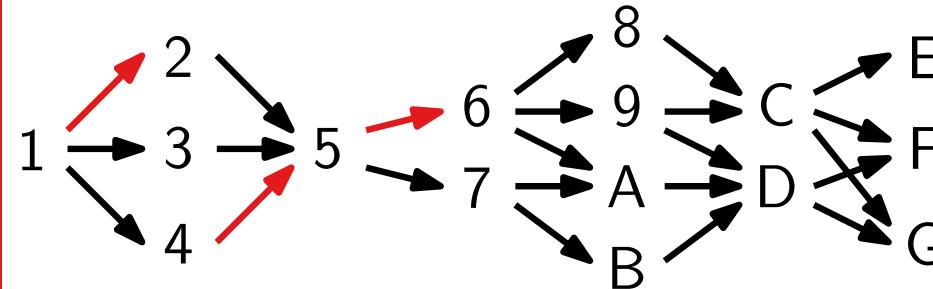
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$$\text{OPT} \geq \lceil n/2 \rceil$$

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Schedule

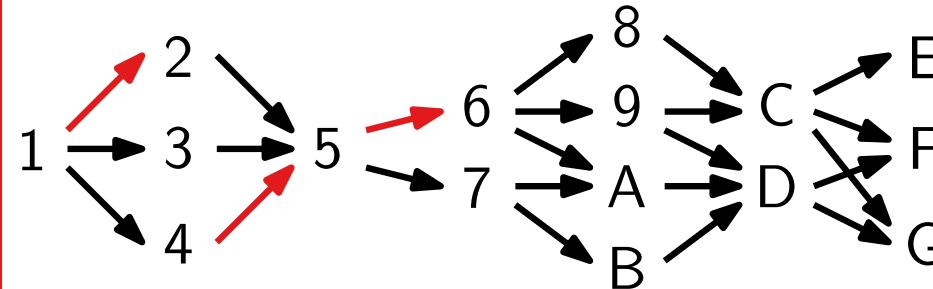
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Schedule

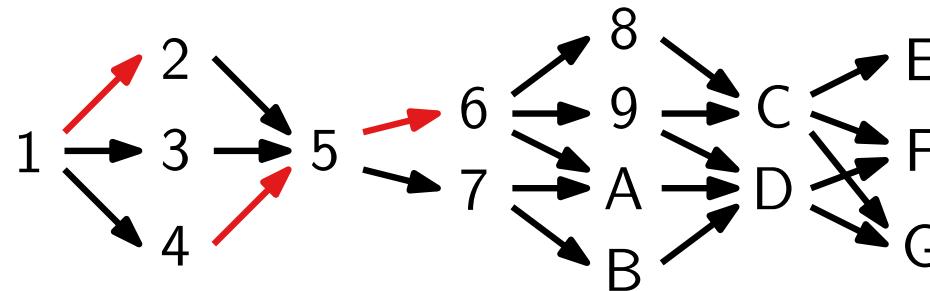
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$\text{OPT} \geq \lceil n/2 \rceil$ and $\text{OPT} \geq \ell := \text{Number of layers of } G_< (= \text{length of longest path in } G_<)$

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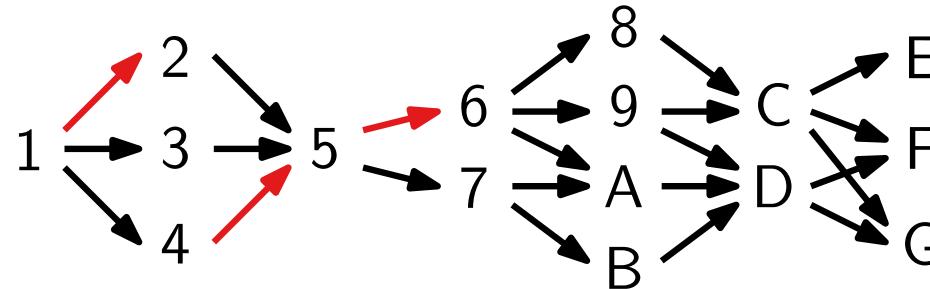
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Goal: measure the quality of our algorithm using the lower bounds

Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



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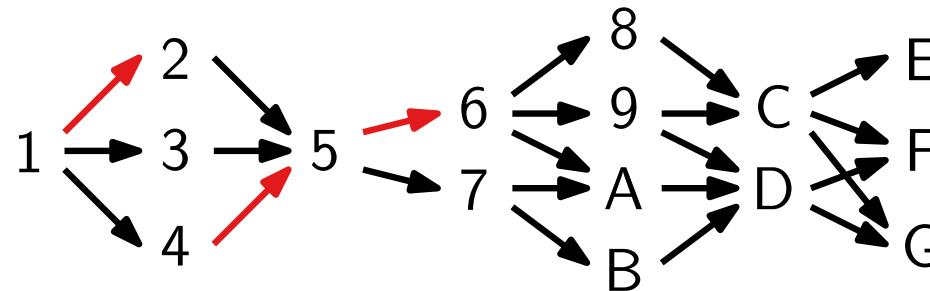
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Bound. $\text{ALG} \leq$

Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



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M_2	-	3	-	7	9	B	D	F	-	
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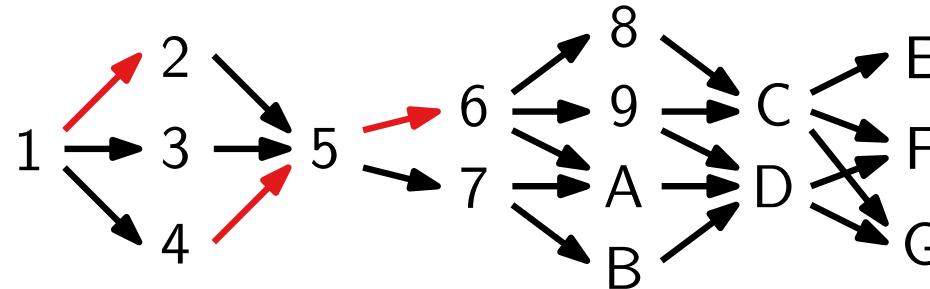
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Schedule

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M_1	1	2	4	5	6	8	A	C	E	G
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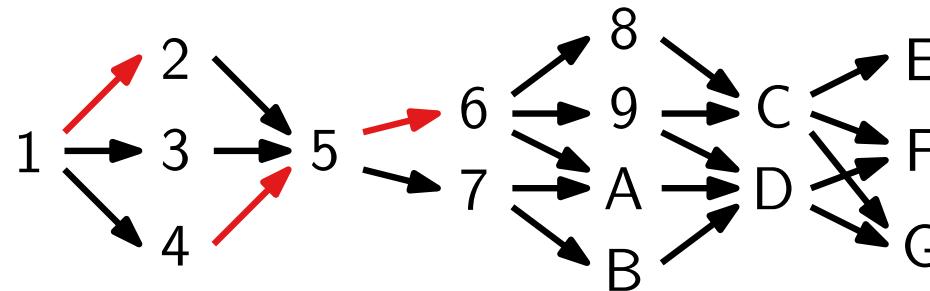
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insertion of pauses (-) in the schedule
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Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



Schedule

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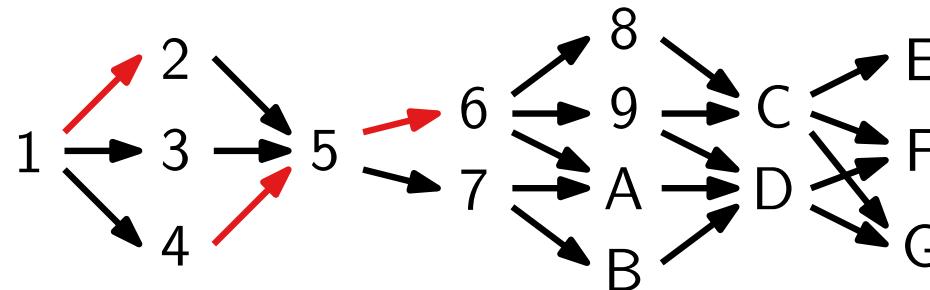
Bound. $\text{ALG} \leq \lceil \frac{n+\ell}{2} \rceil$



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Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



Schedule

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M_2	-	3	-	-	7	9	B	D	F	-
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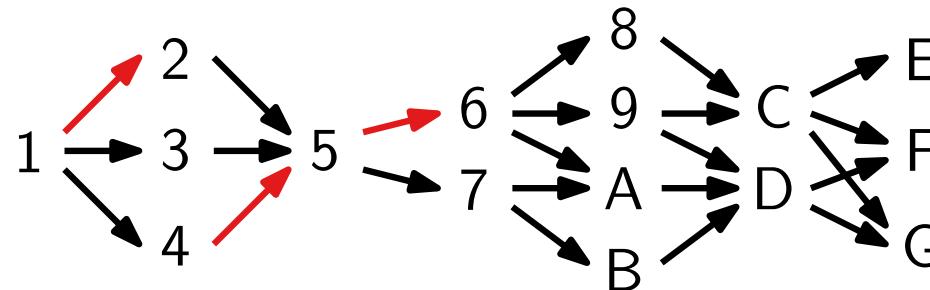
Bound. $\text{ALG} \leq \lceil \frac{n+\ell}{2} \rceil \approx$



insertion of pauses $(-)$ in the schedule
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Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



Schedule

	1	2	4	5	6	8	A	C	E	G
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M_2	-	3	-	-	7	9	B	D	F	-
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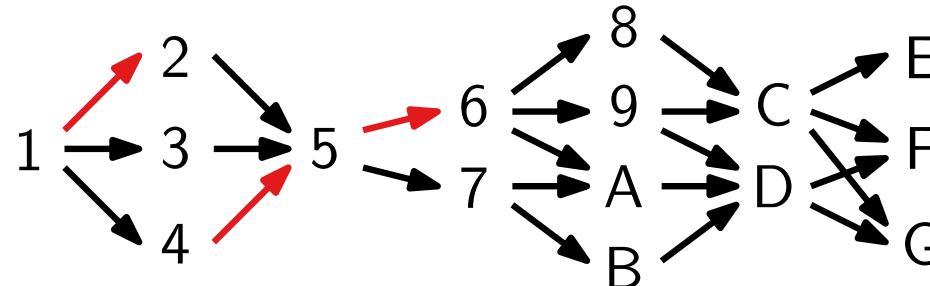
Goal: measure the quality of our algorithm using the lower bounds

Bound. $\text{ALG} \leq \lceil \frac{n+\ell}{2} \rceil \approx \lceil n/2 \rceil + \ell/2$

↑
insertion of pauses (-) in the schedule
(except the last) maps to layers of $G_<$

Approximating PCMPS - Analysis for $W = 2$

Precedence graph $G_<$



Schedule

M_1	1	2	4	5	6	8	A	C	E	G
M_2	-	3	-	7	9		B	D	F	-
t	1	2	3	4	5	6	7	8	9	10

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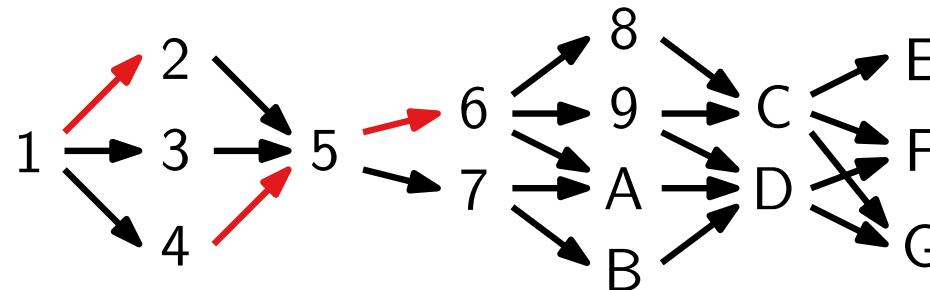
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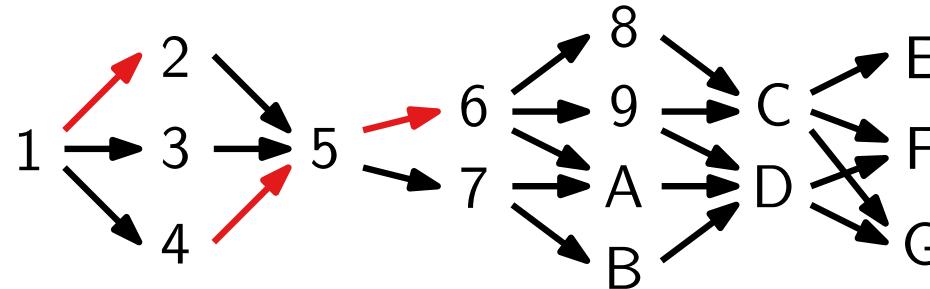
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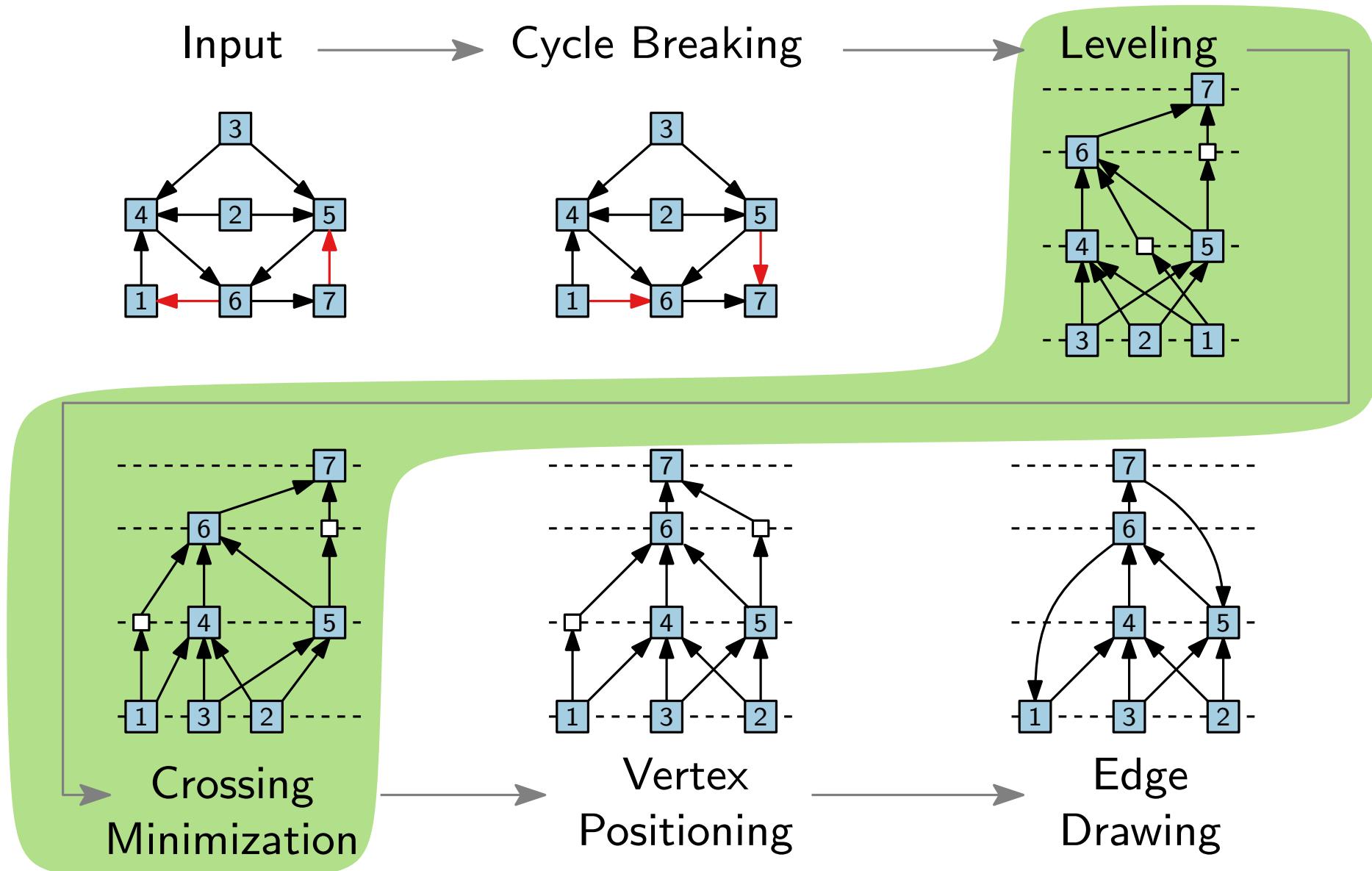
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$$\leq (2 - 1/W) \cdot \text{OPT} \text{ in general case}$$

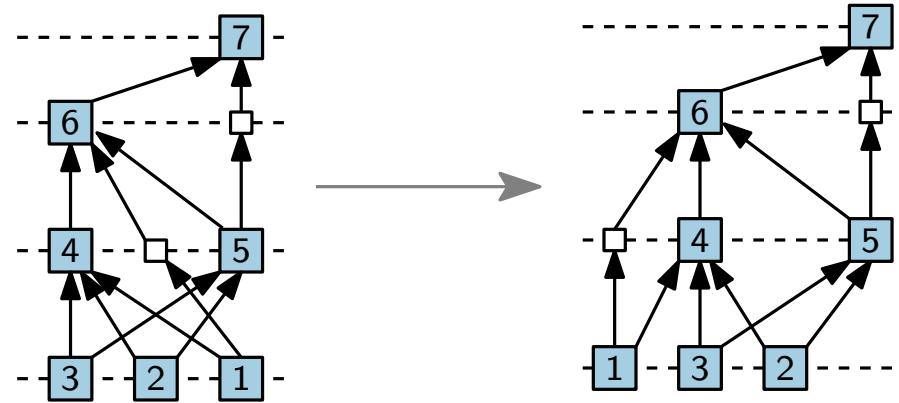
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Step 3: Crossing Minimization

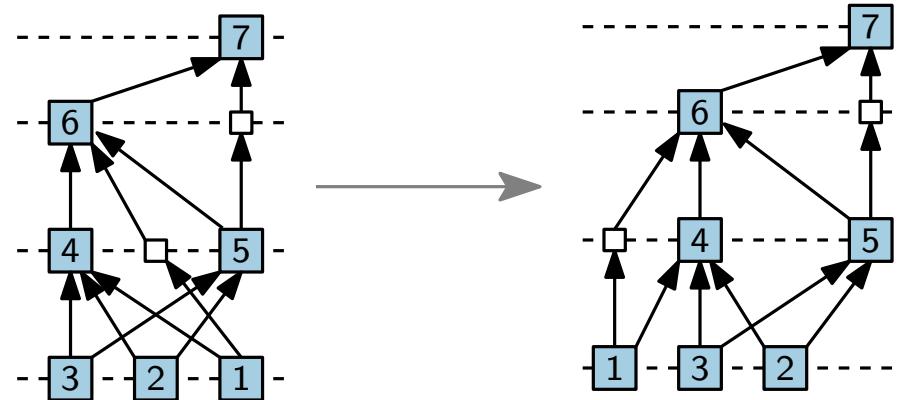


Step 3: Crossing Minimization



Problem.

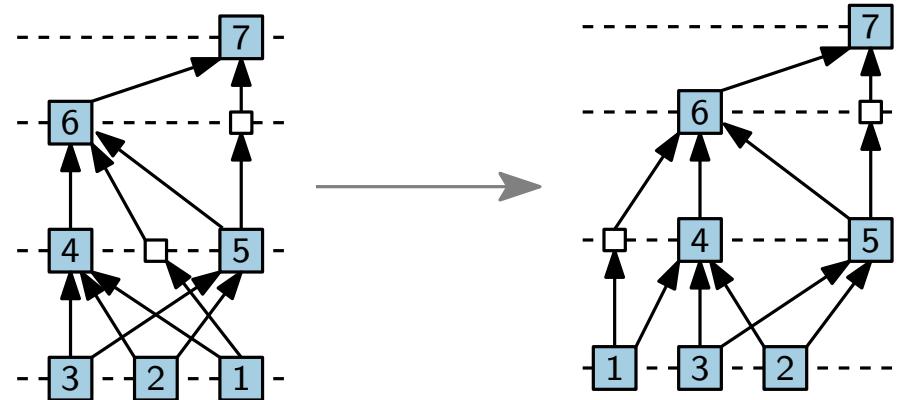
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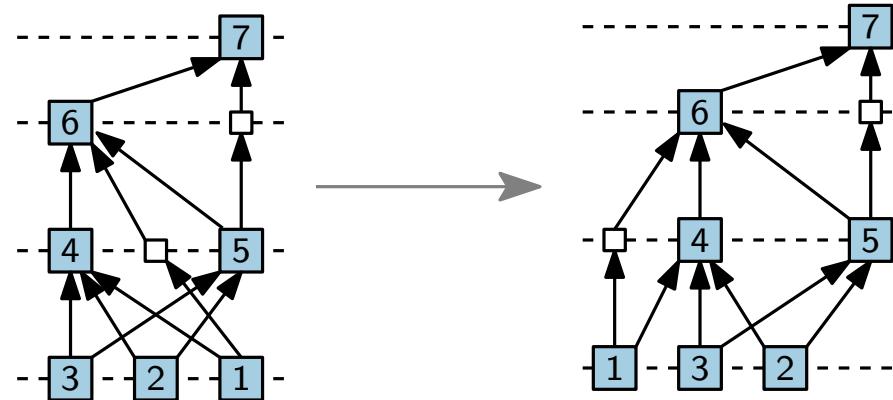
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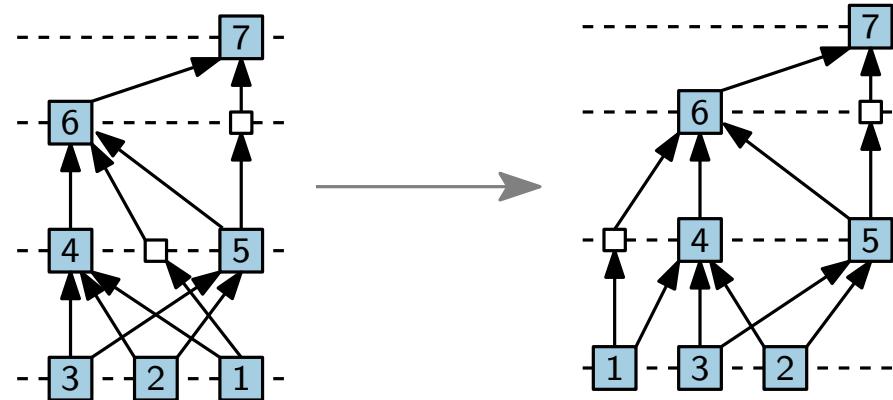


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[Garey & Johnson '83]

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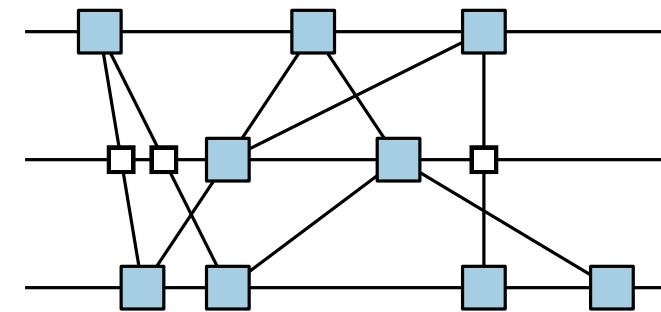


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- hardly any approaches optimize over multiple layers 😞

Iterative Crossing Reduction

Observation. The number of crossings only depends on permutations of adjacent layers.

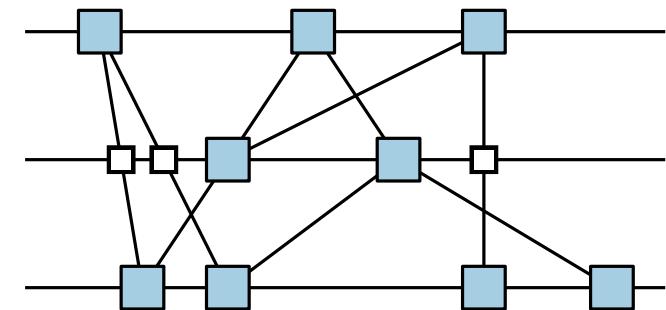


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Idea.

- permute one layer after the other
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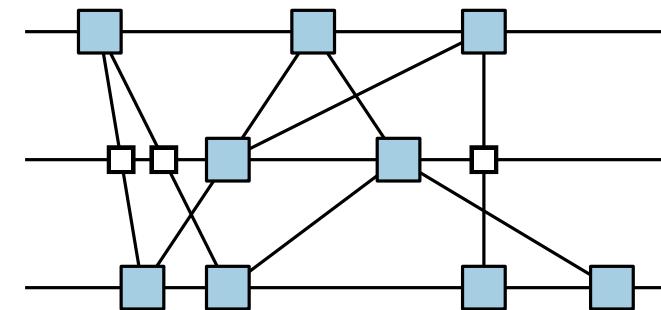
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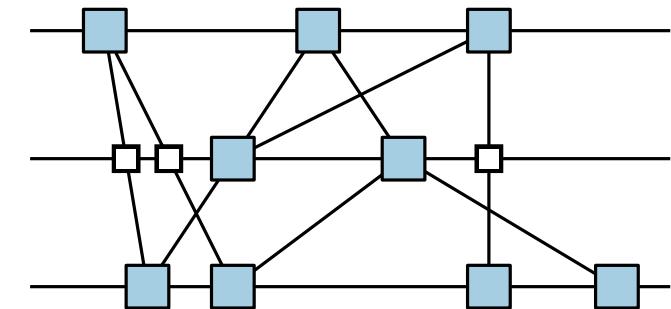
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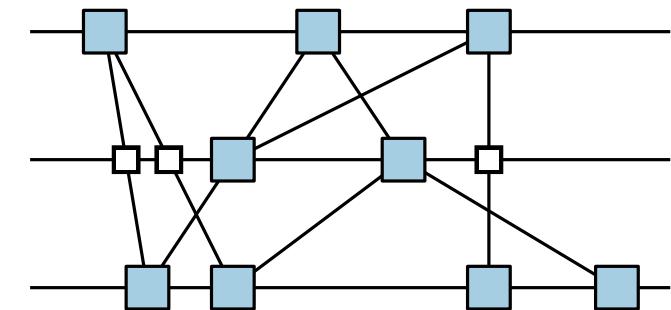
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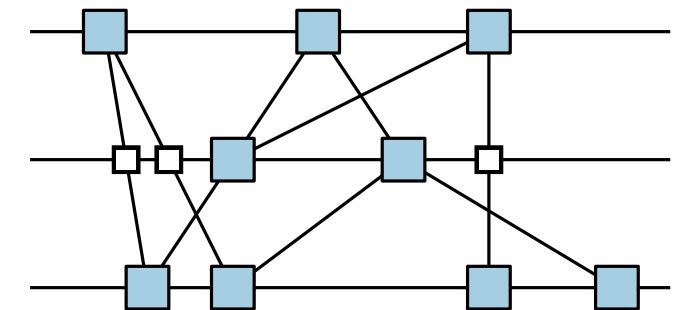
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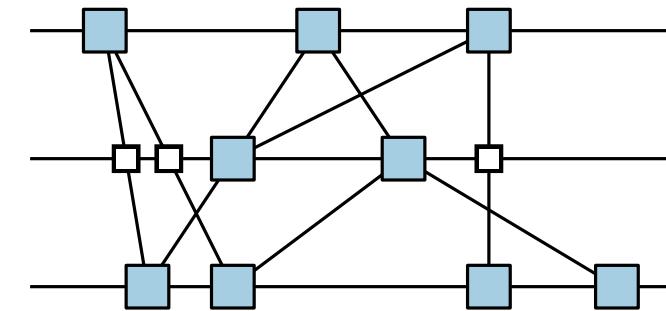
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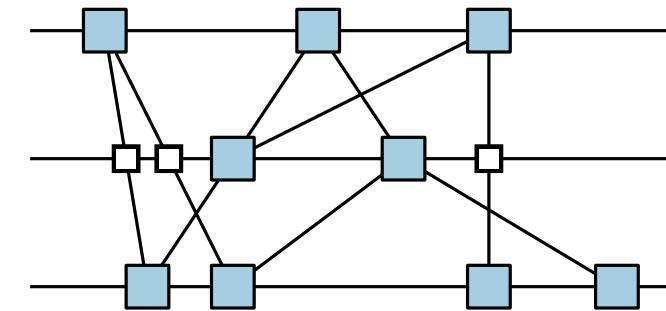
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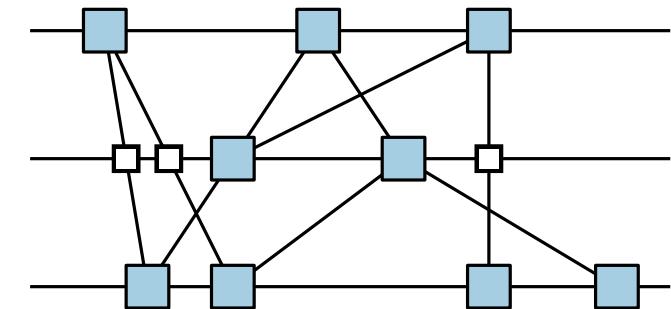
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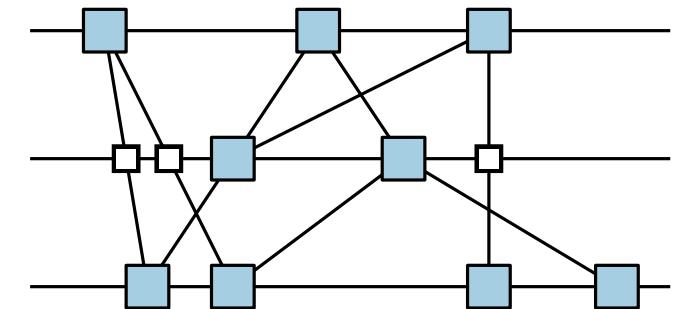
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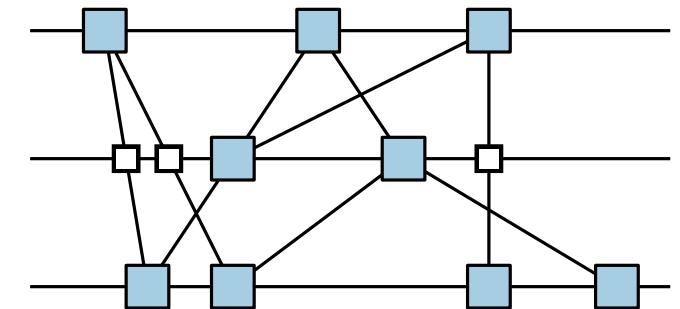
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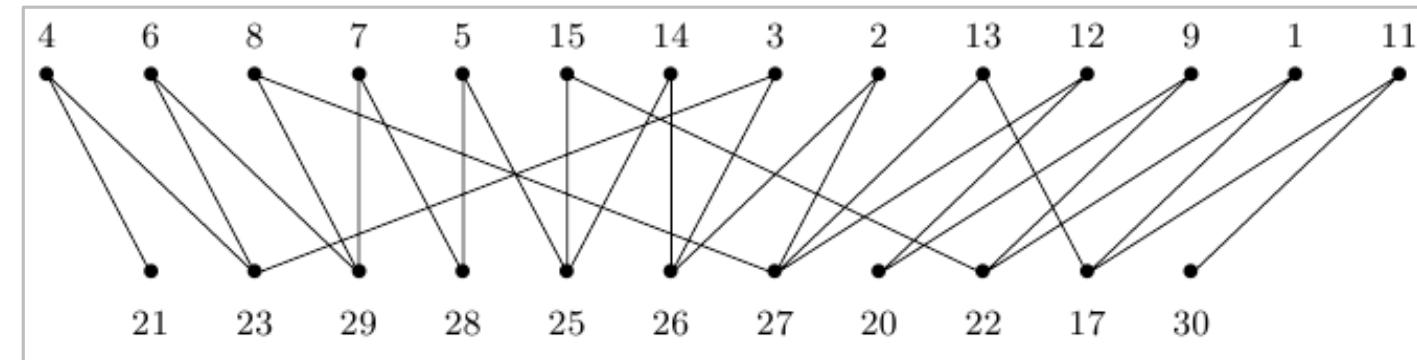
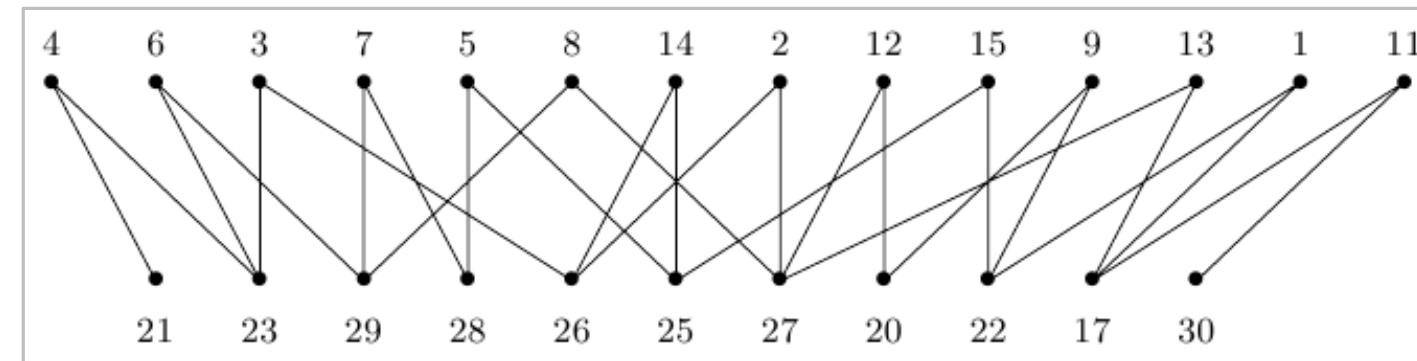
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- (1) choose a random permutation of L_1 *one-sided crossing minimization*
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One-Sided Crossing Minimization

Problem.

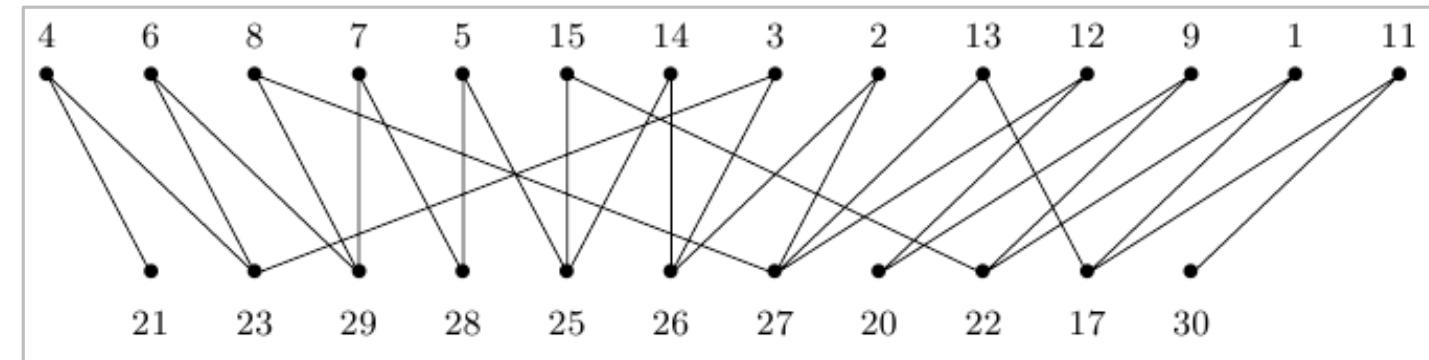
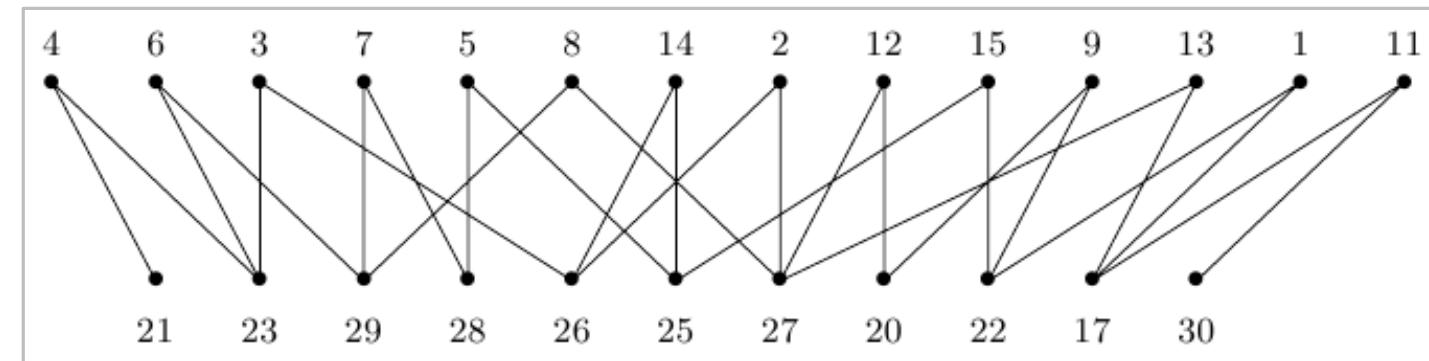


[Kaufmann & Wagner: Drawing Graphs]

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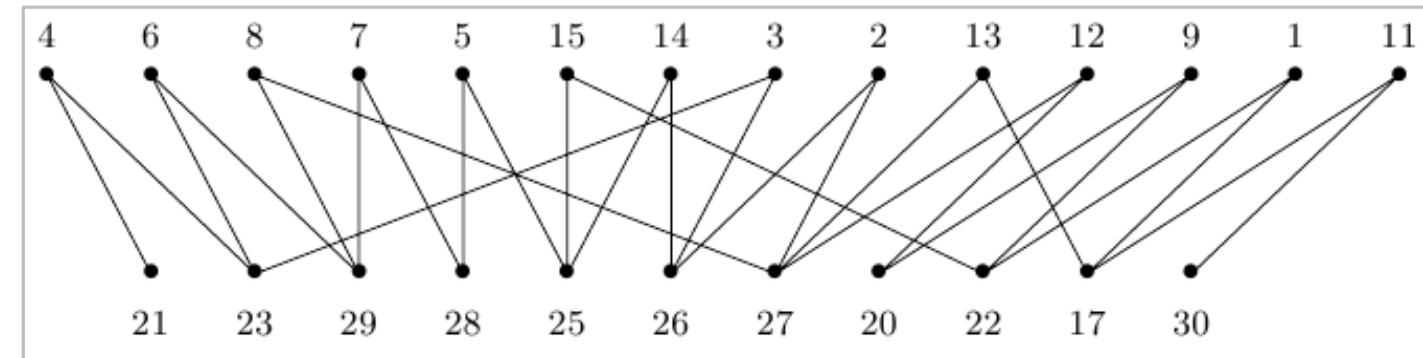
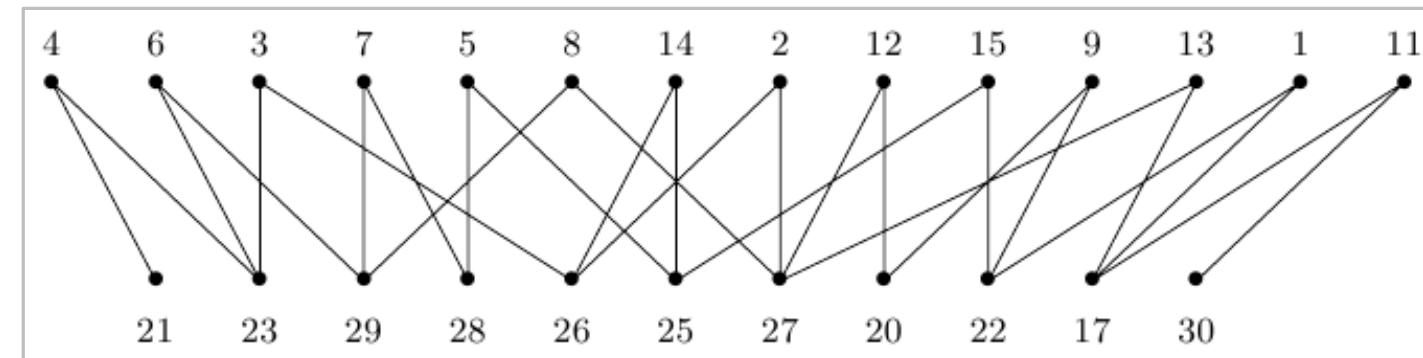


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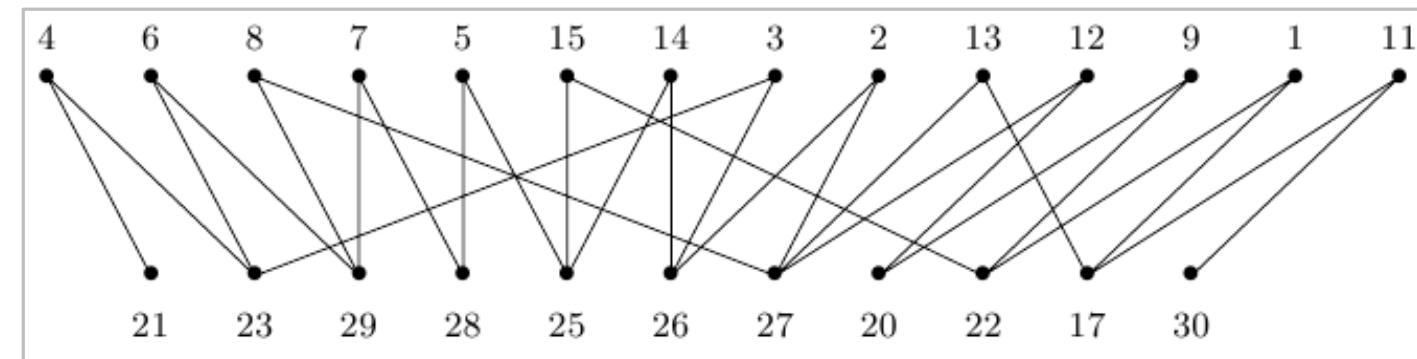
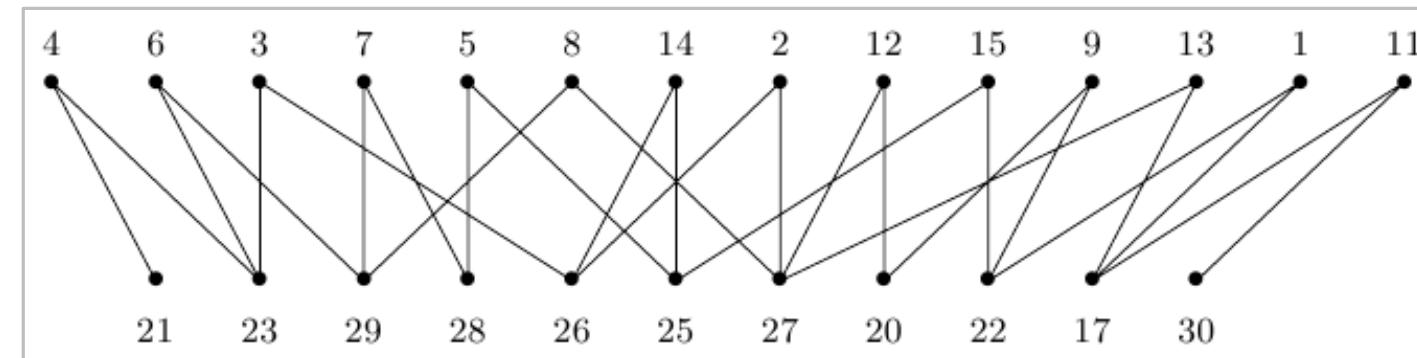
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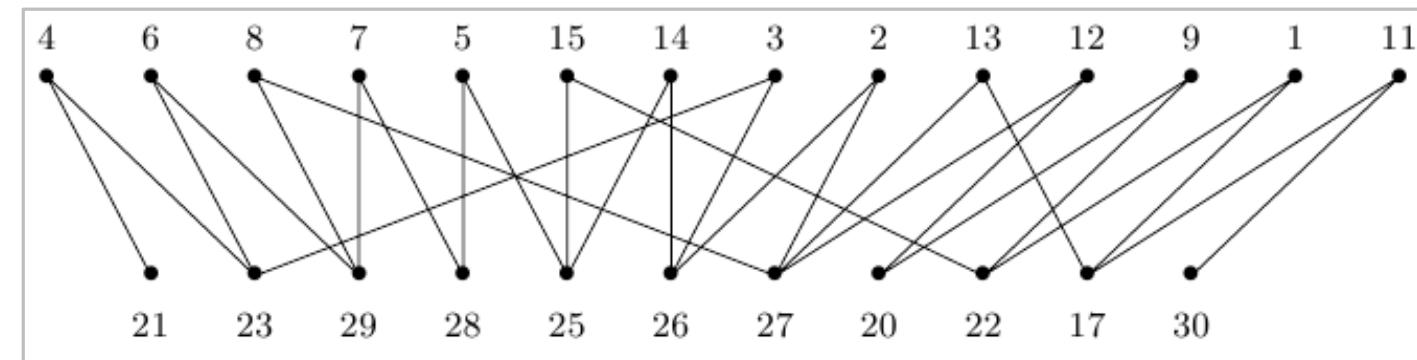
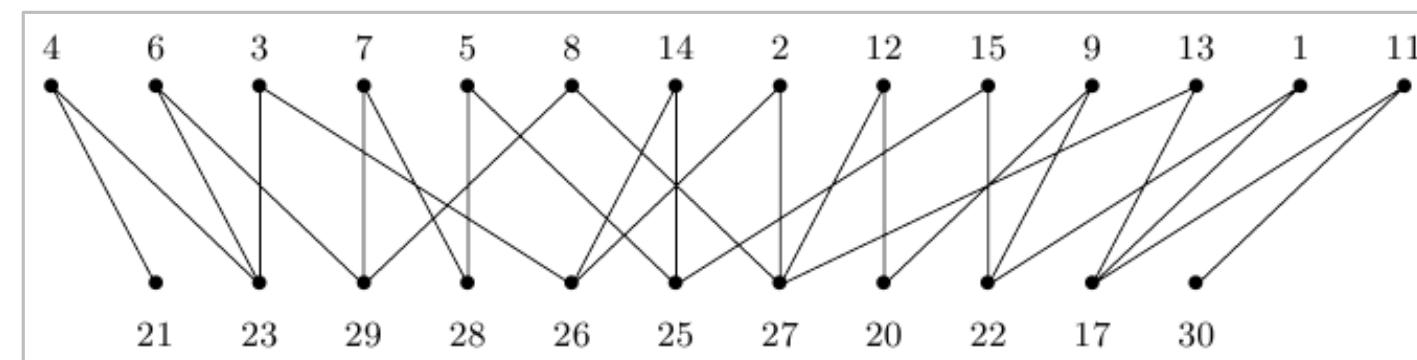
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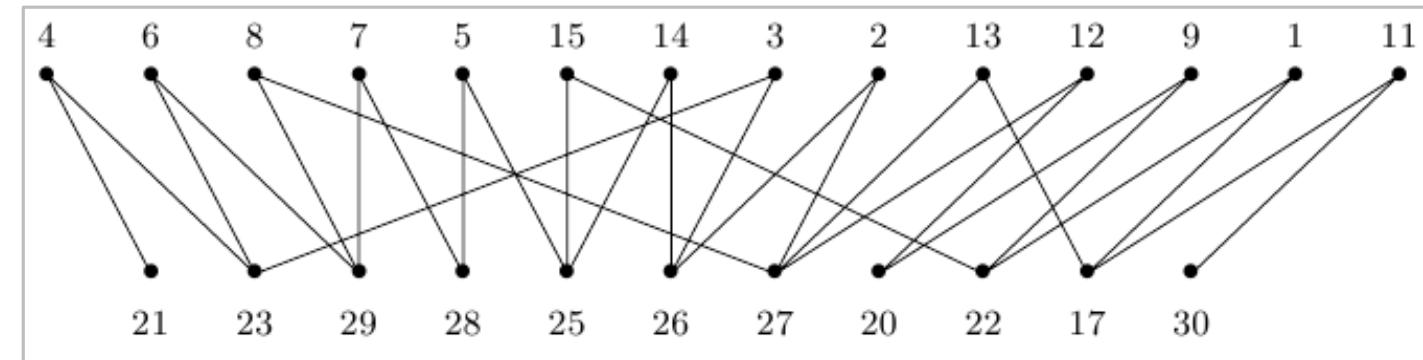
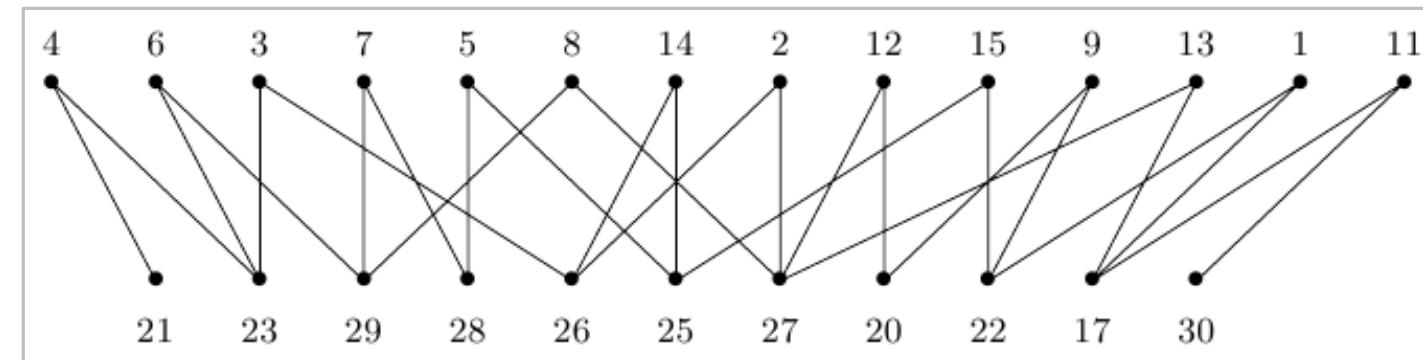
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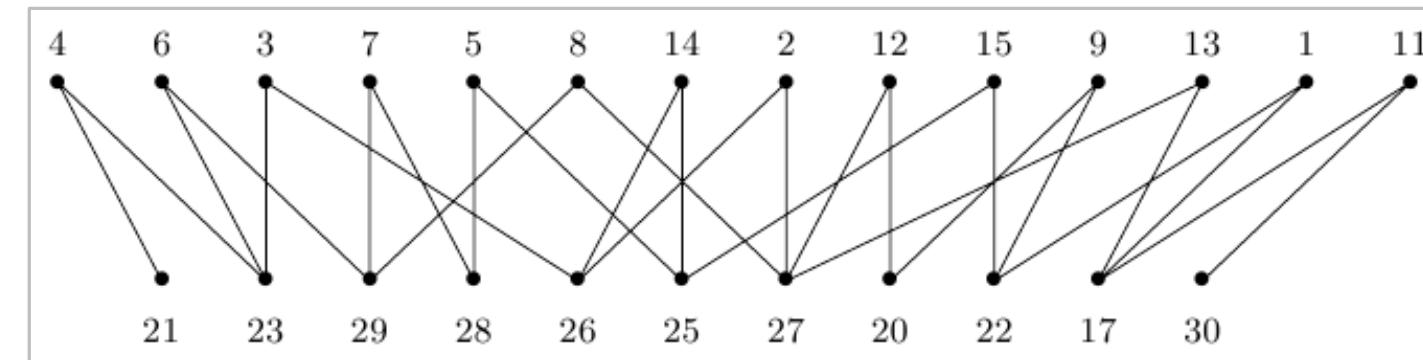
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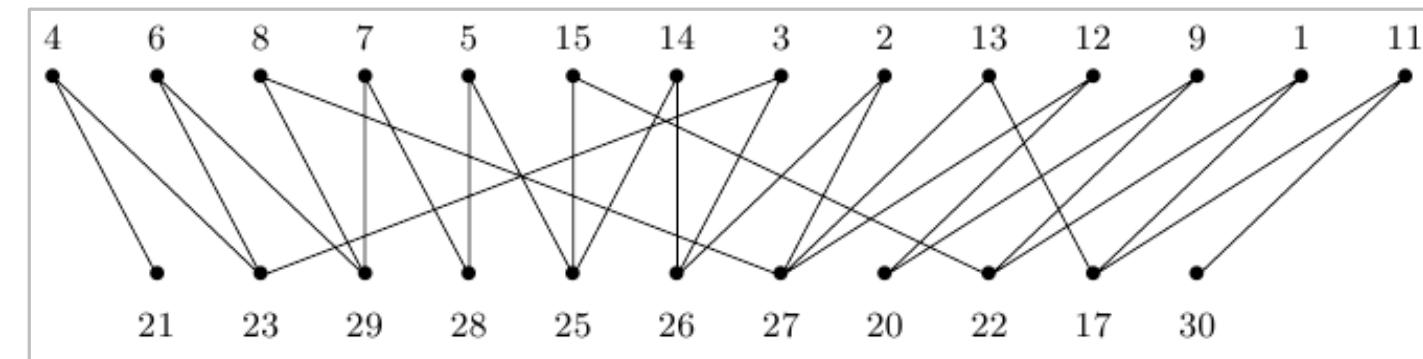
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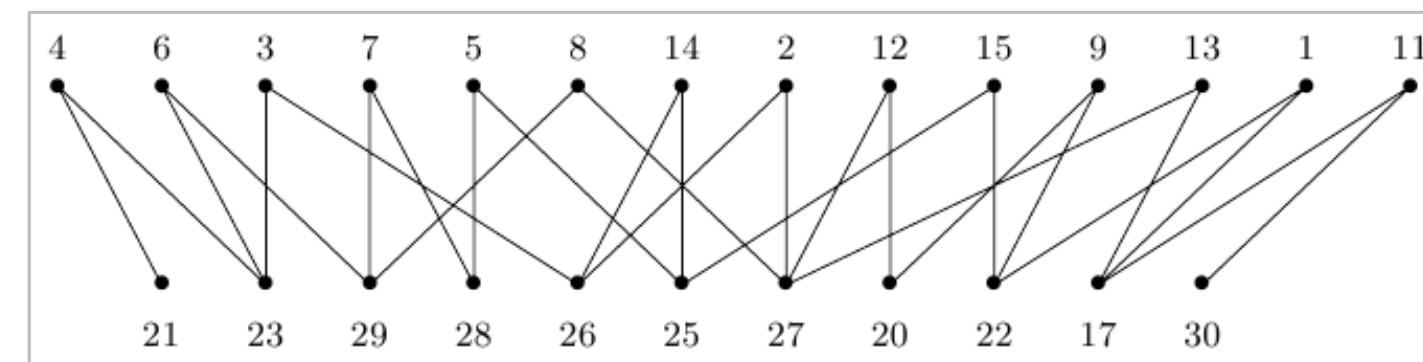
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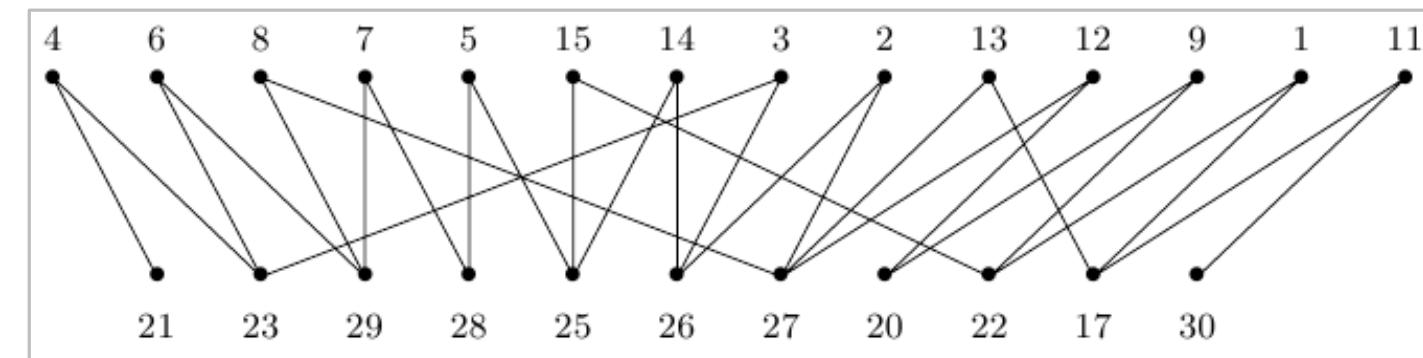
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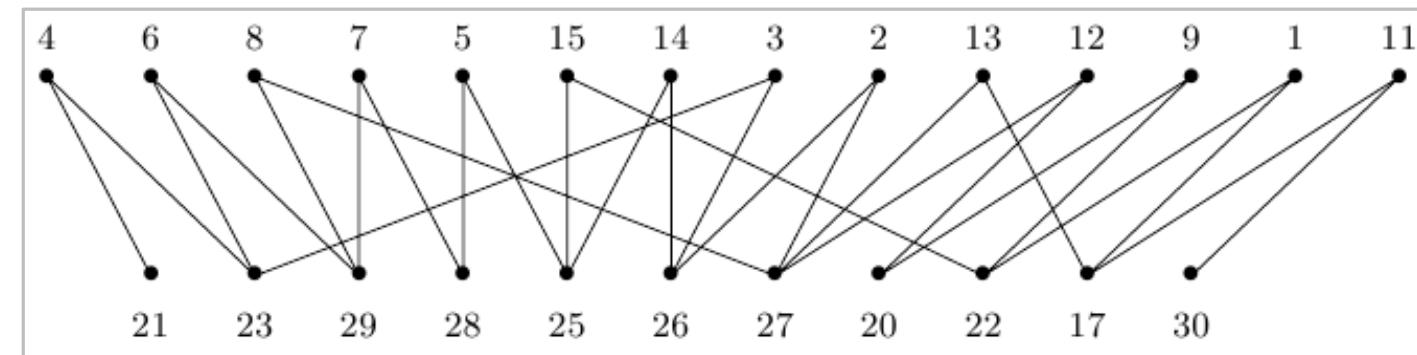
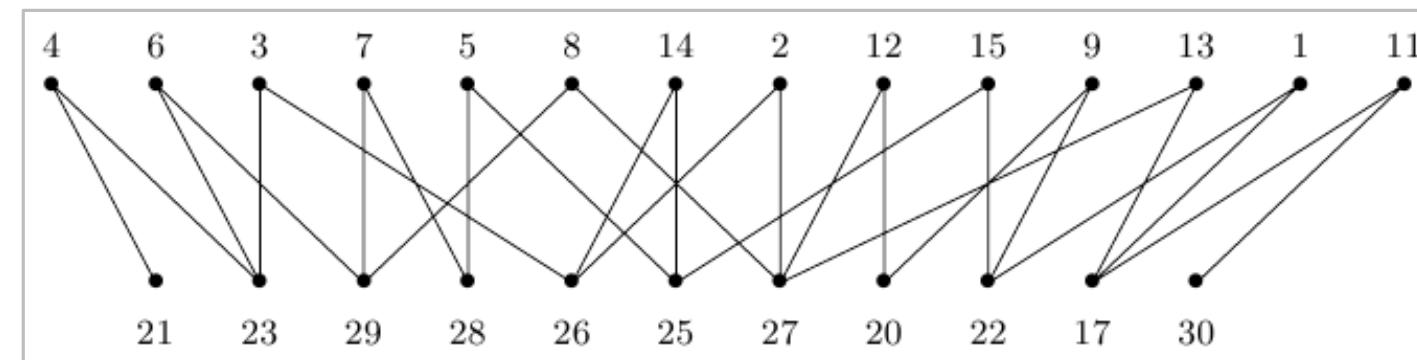
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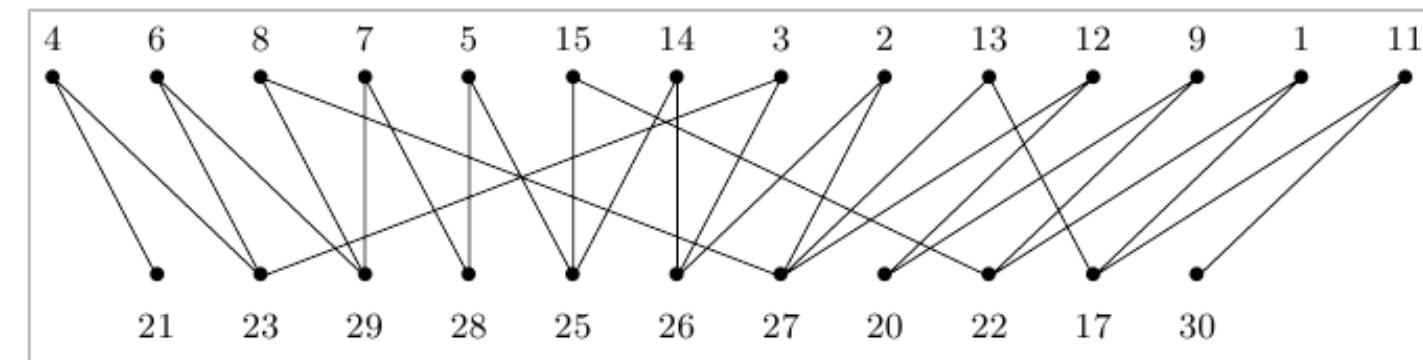
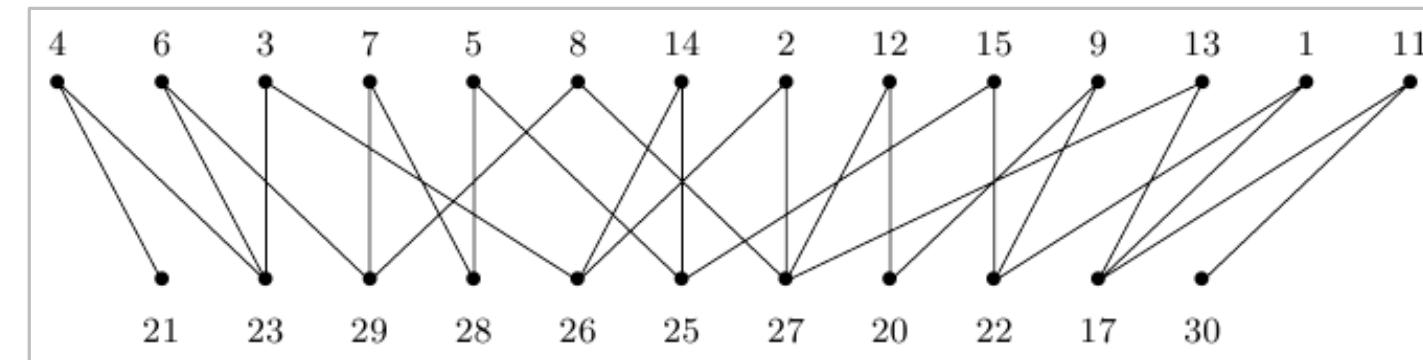
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[Sugiyama et al. '81]

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Barycenter Heuristic

[Sugiyama et al. '81]

- Intuition: few intersections occur when vertices are close to their neighbors
- The barycenter of $u \in L_2$ is the mean rank of u 's neighbors on layer L_1 .

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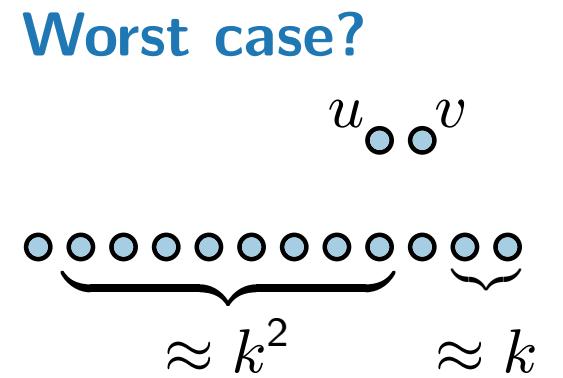
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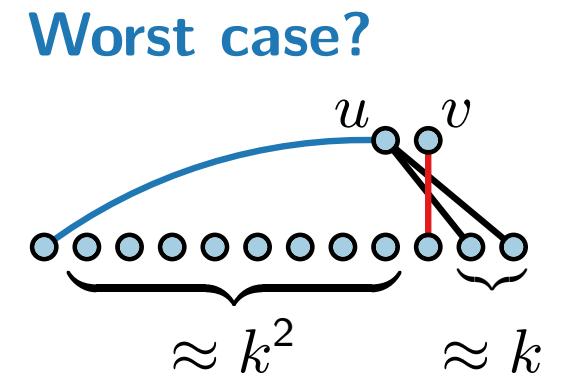
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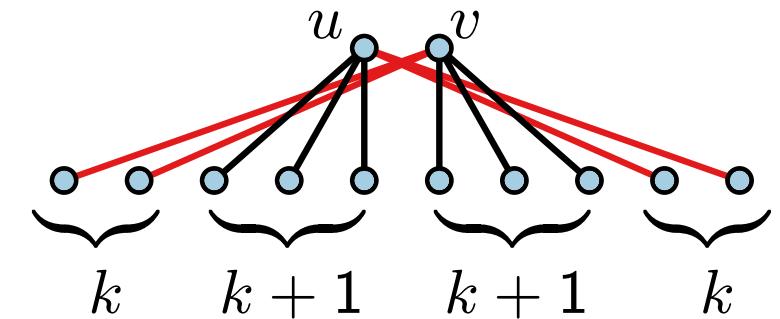
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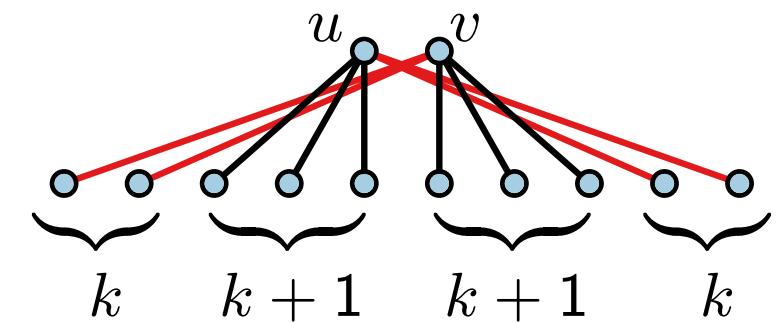
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crossings: $2k(k+1) + k^2$ vs. $(k+1)^2$

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[Eades & Kelly '86]

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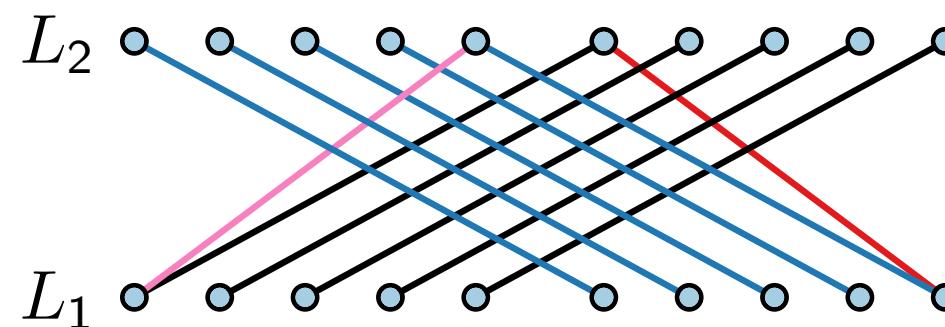
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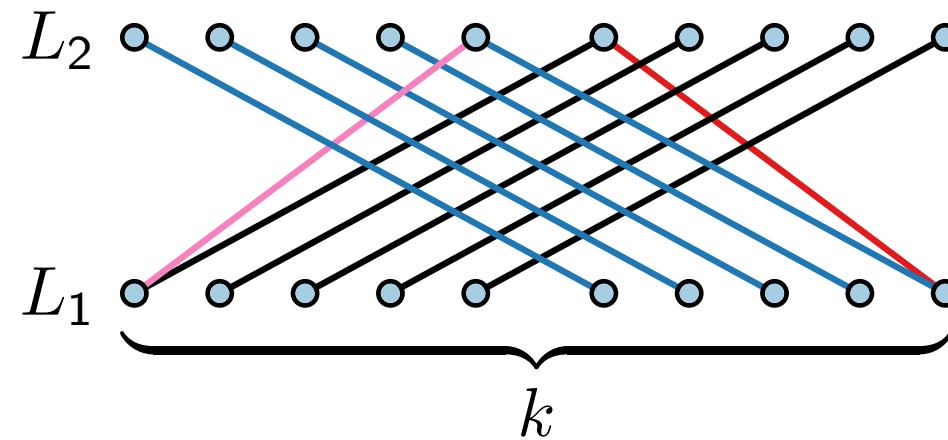


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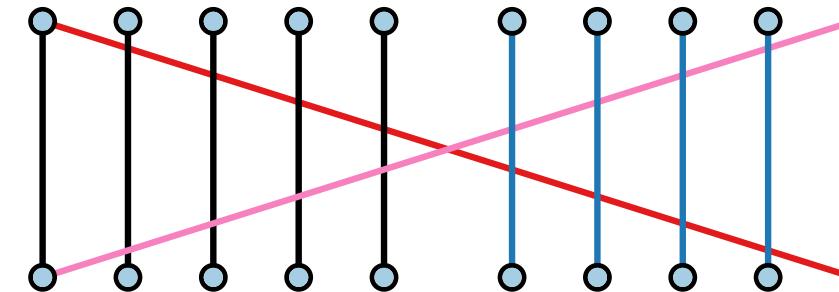
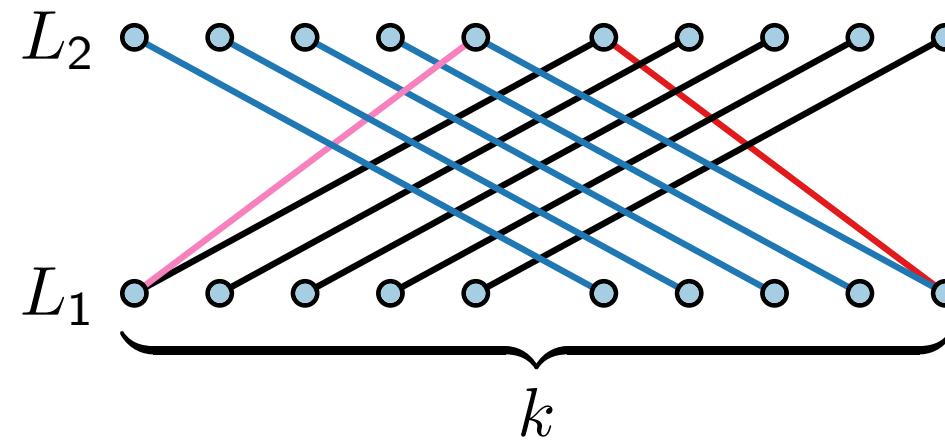


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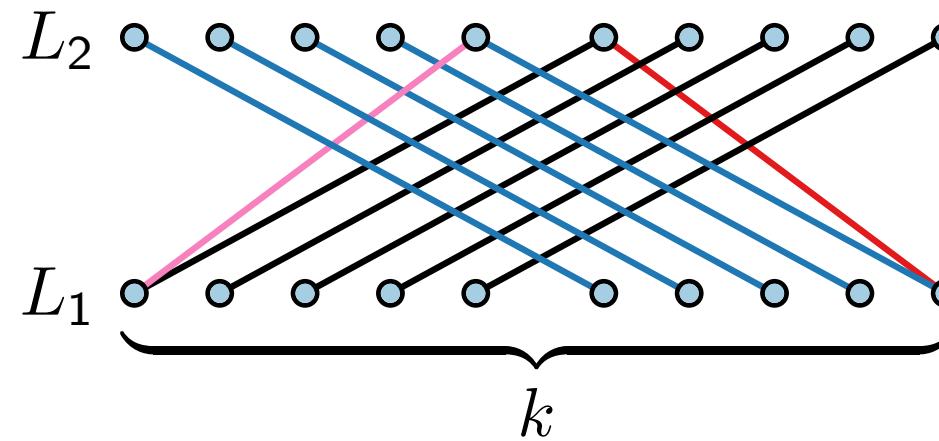


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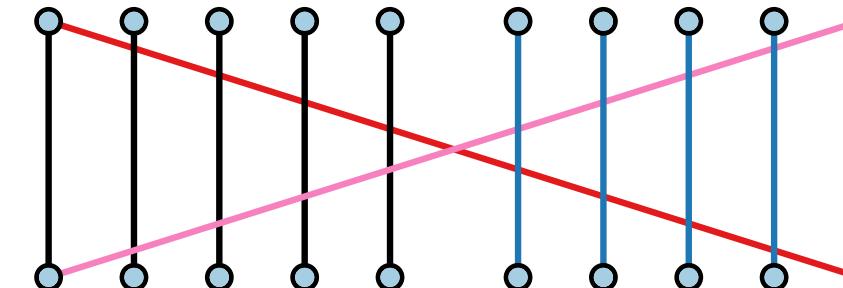
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$$\approx k^2/4$$

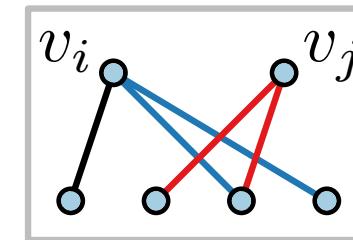


$$\approx 2k$$

Integer Linear Program (ILP)

[Jünger & Mutzel, '97]

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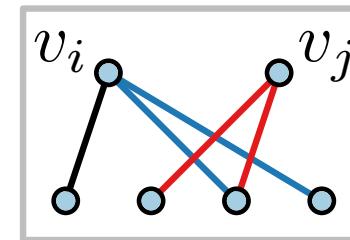


$$c_{ij} = 3$$

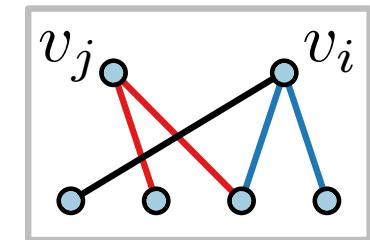
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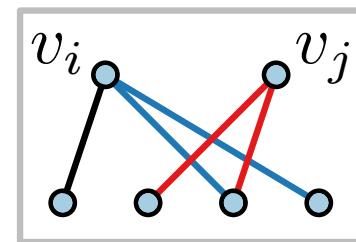


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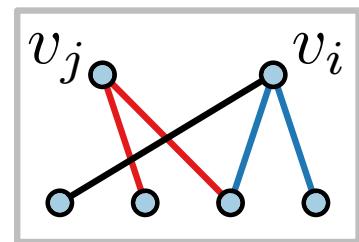
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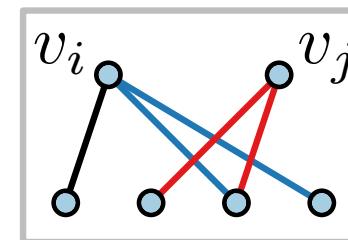
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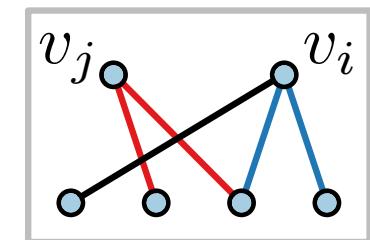
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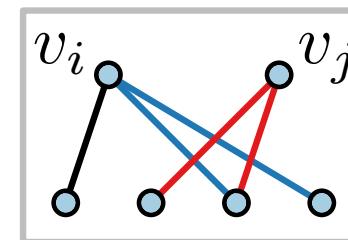
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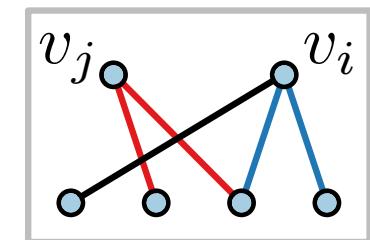
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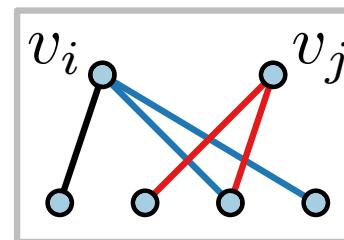
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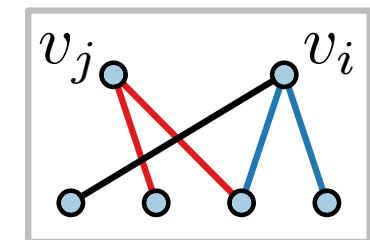
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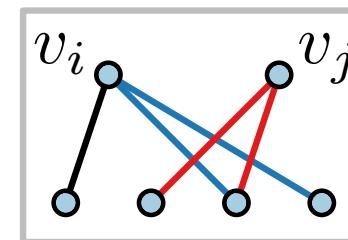
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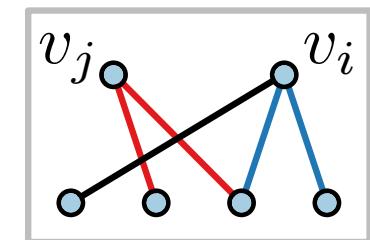
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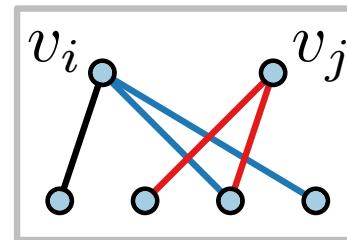
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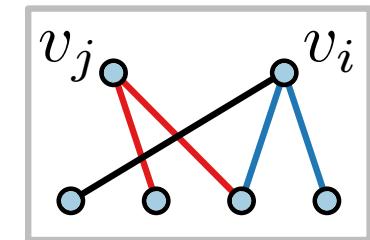
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- number of crossings of a permutations π_2 :

$$\text{cross}(\pi_2) = \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} (c_{ij} - c_{ji}) x_{ij} + \sum_{i=1}^{n_2-1} \sum_{j=i+1}^{n_2} c_{ji}$$

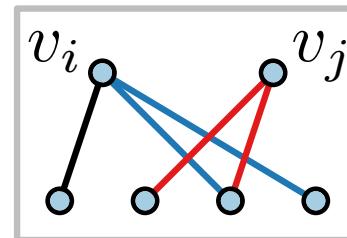
Integer Linear Program (ILP)

[Jünger & Mutzel, '97]

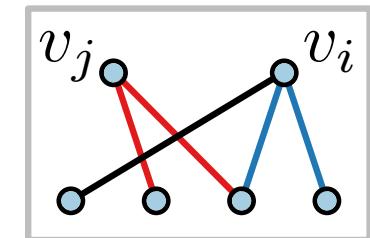
- constant $c_{ij} := \#$ crossings between edges incident to v_i and v_j when $\pi_2(v_i) < \pi_2(v_j)$

- variable x_{ij} for each $1 \leq i < j \leq n_2 := |L_2|$

$$x_{ij} = \begin{cases} 1 & \text{when } \pi_2(v_i) < \pi_2(v_j) \\ 0 & \text{otherwise} \end{cases}$$



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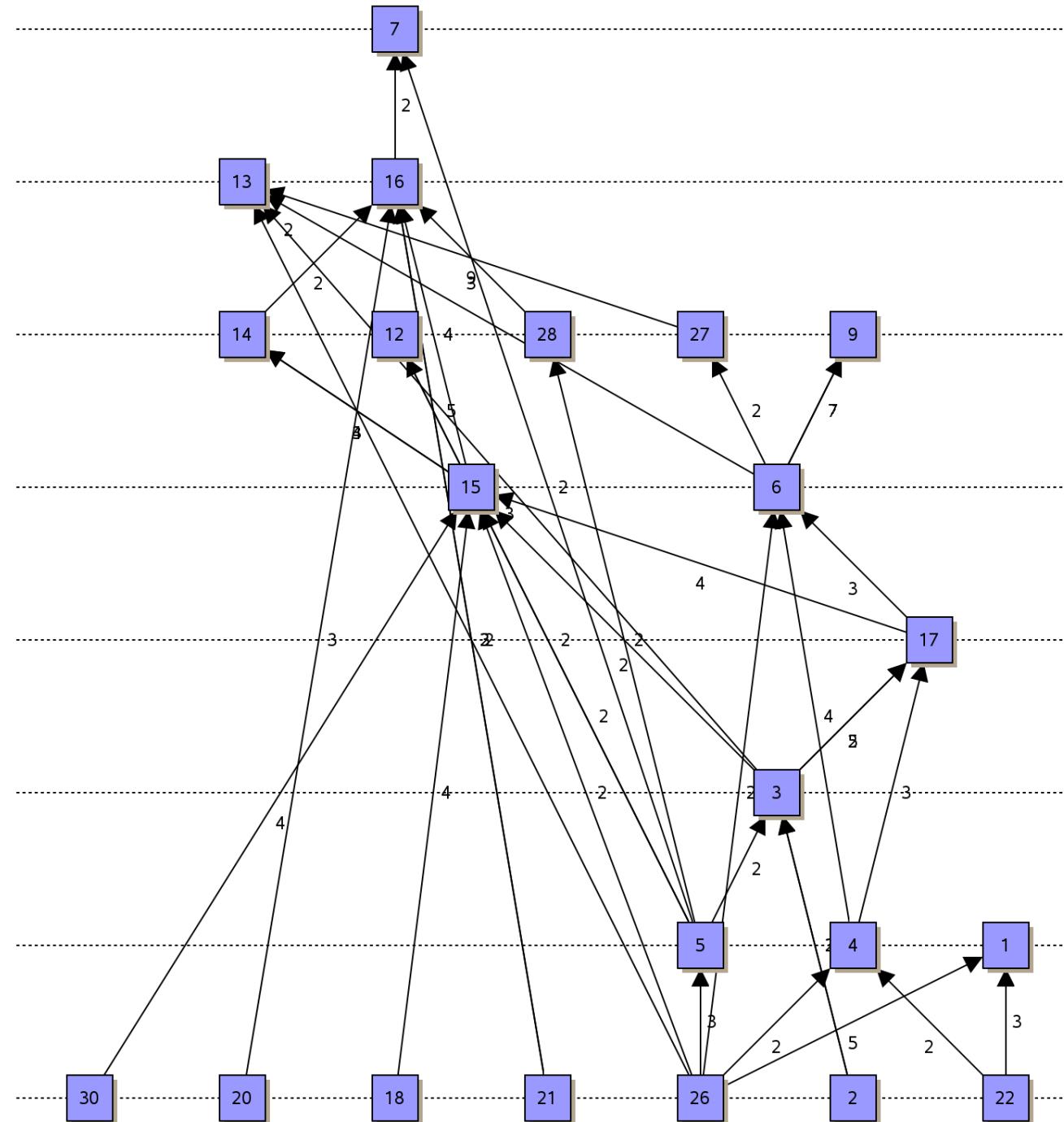
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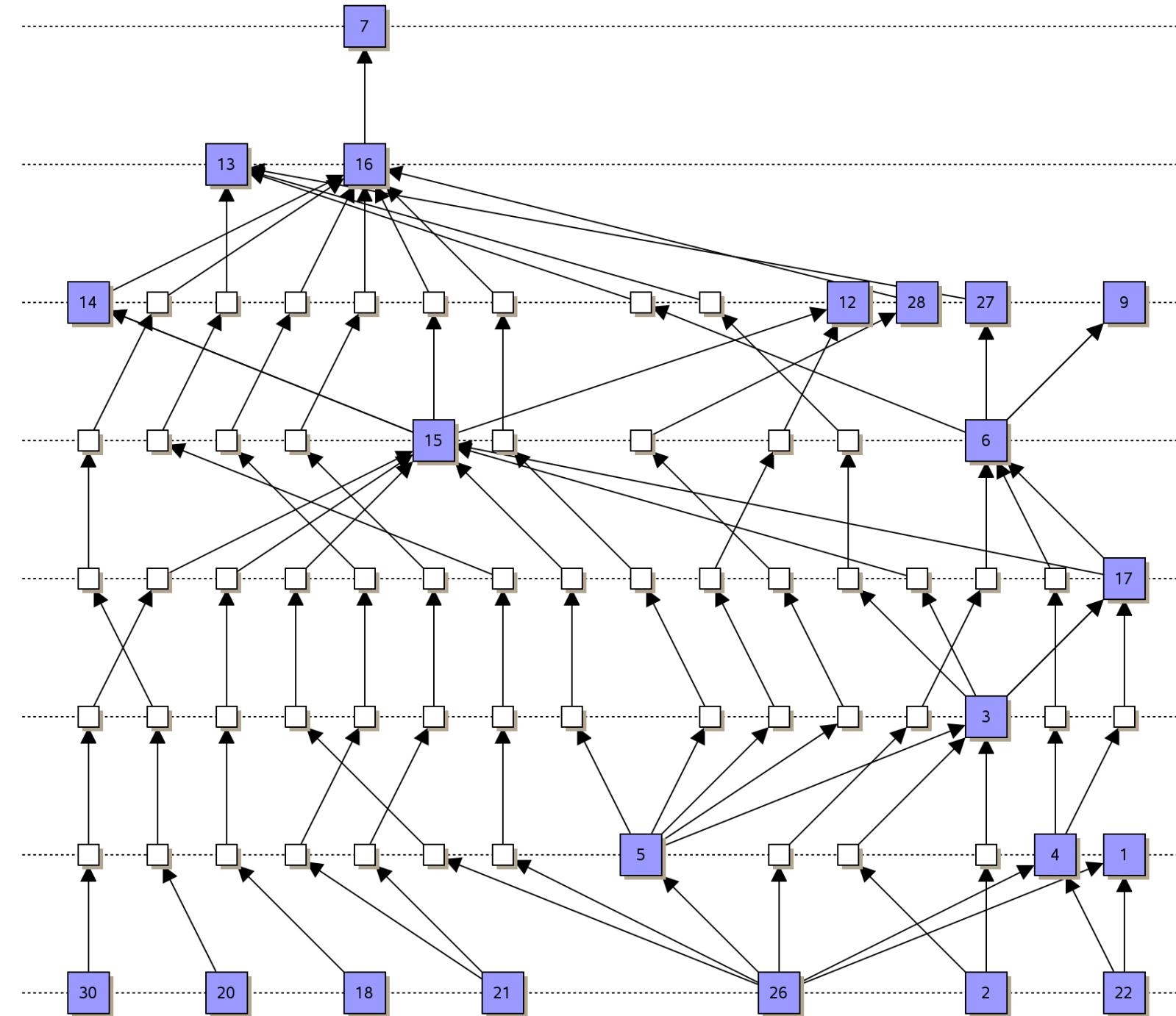
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- useful for graphs of small to medium size
- finds optimal solution
- solution in polynomial time is not guaranteed

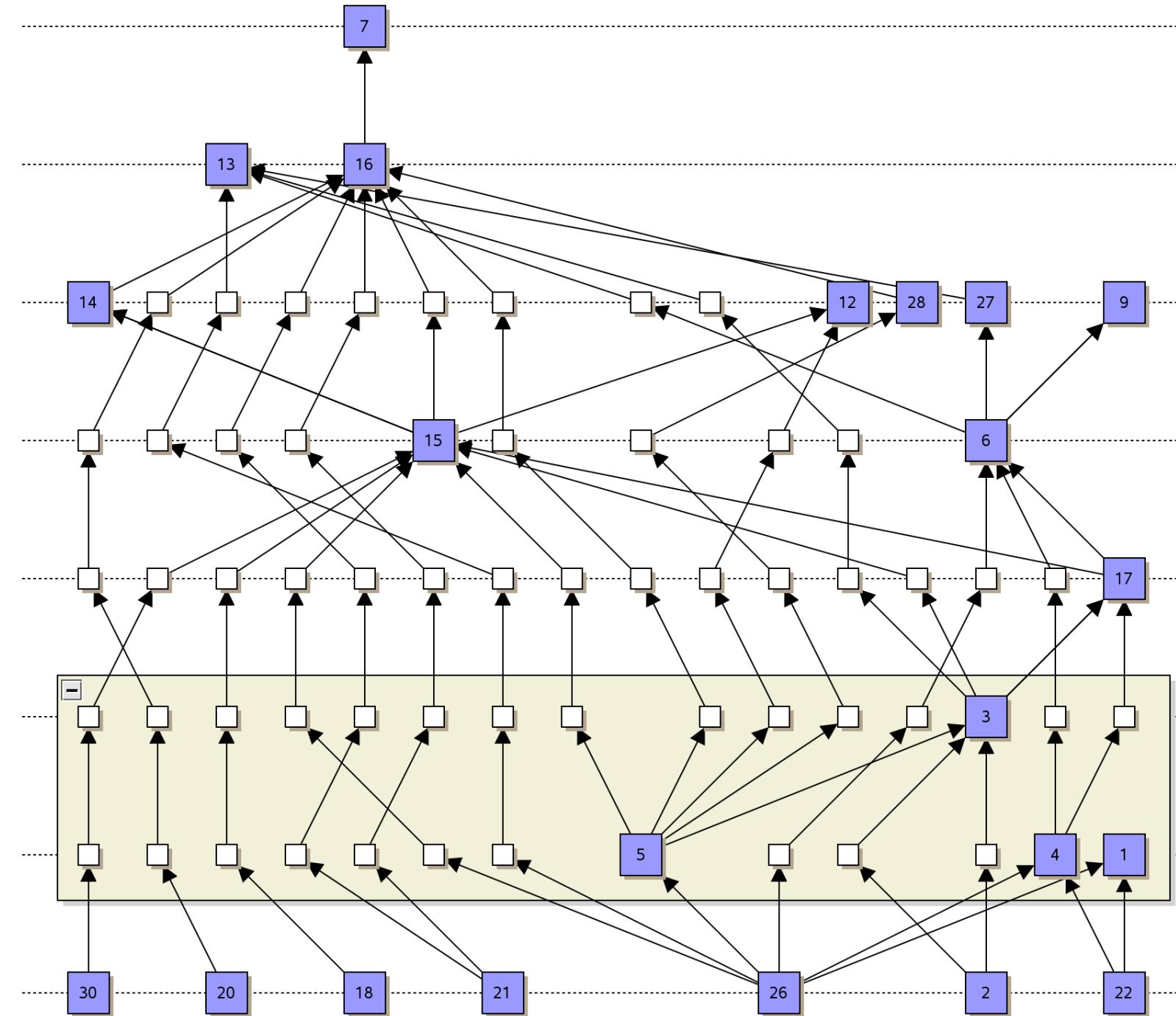
Iterations on Example



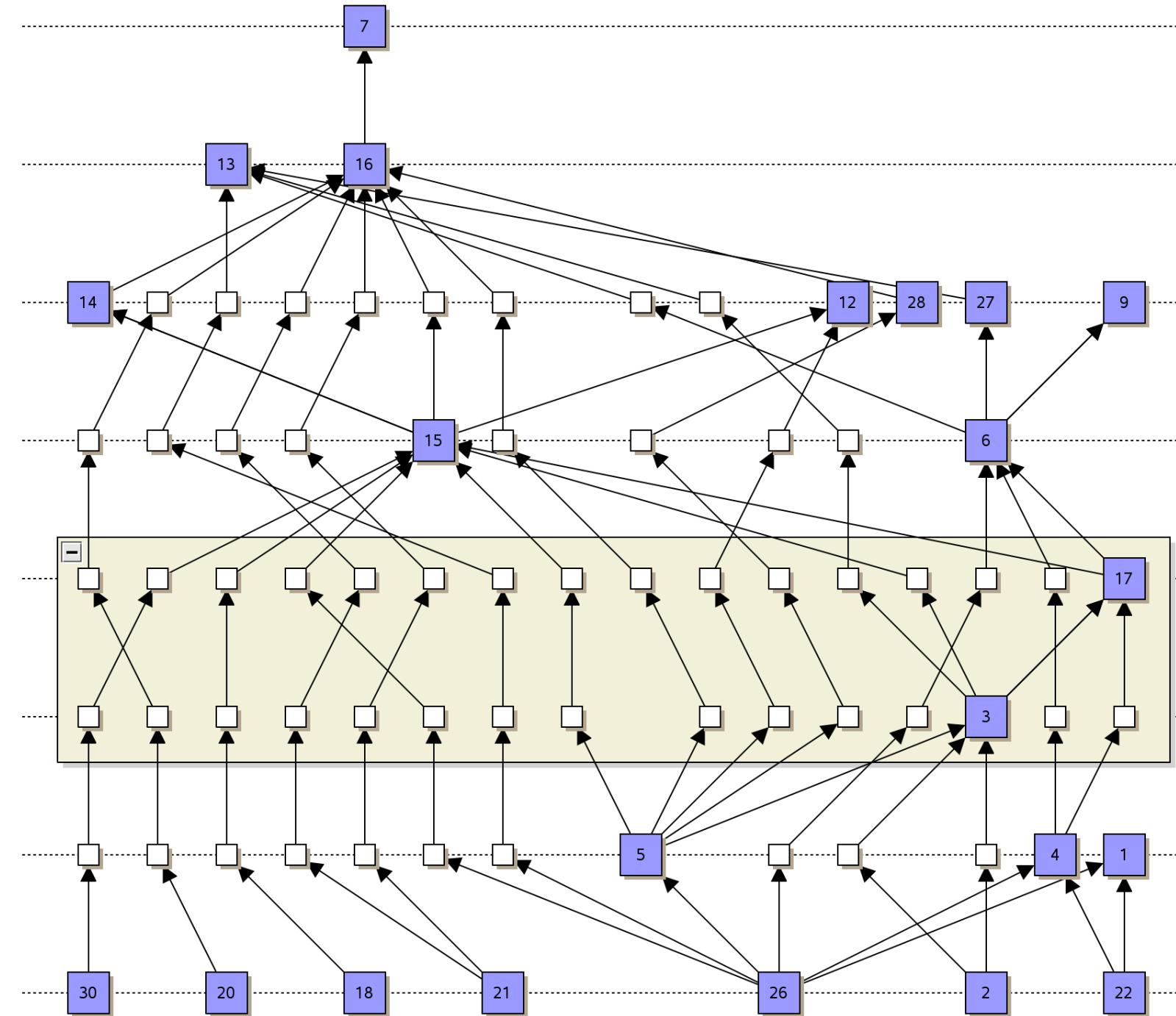
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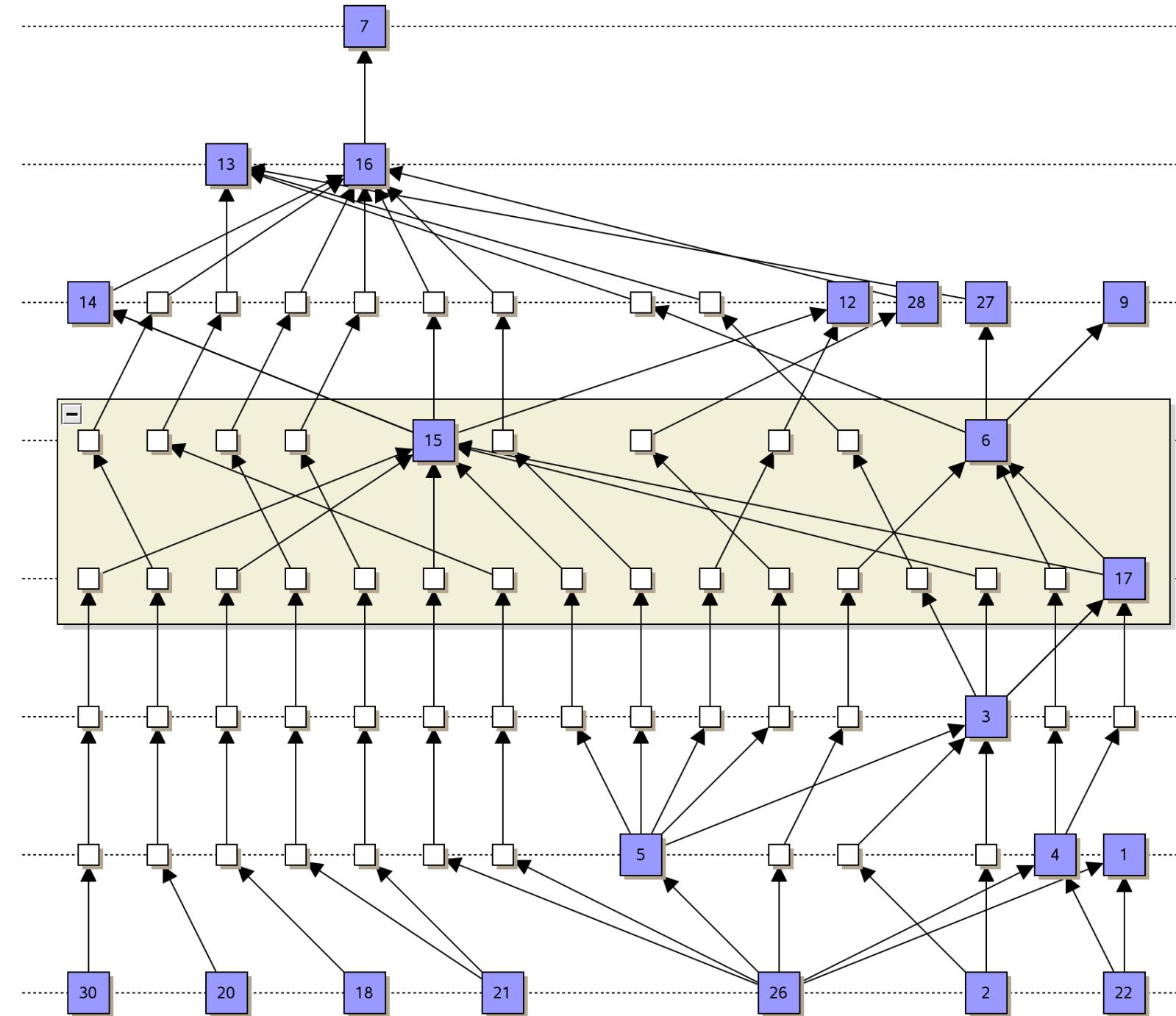
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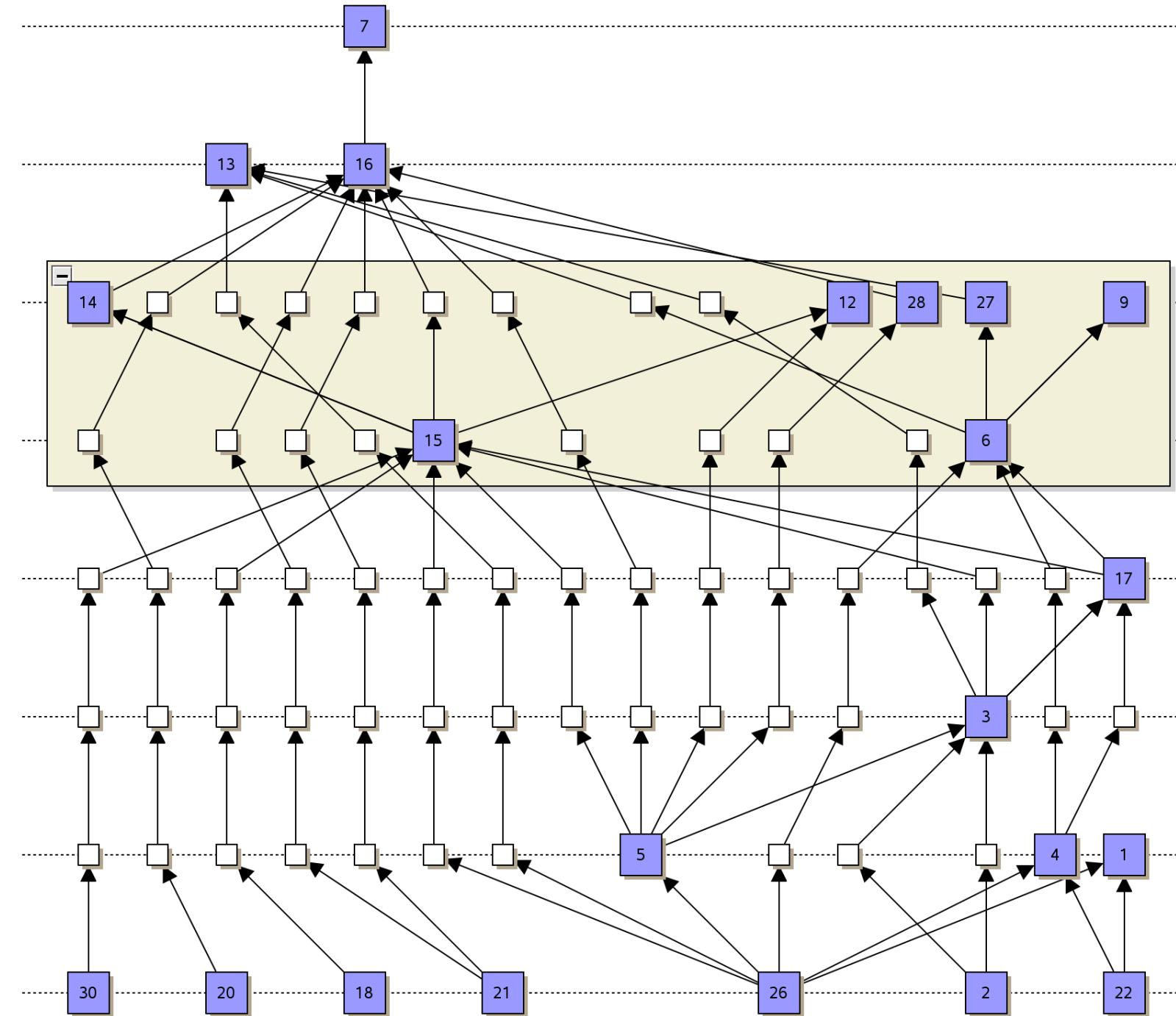
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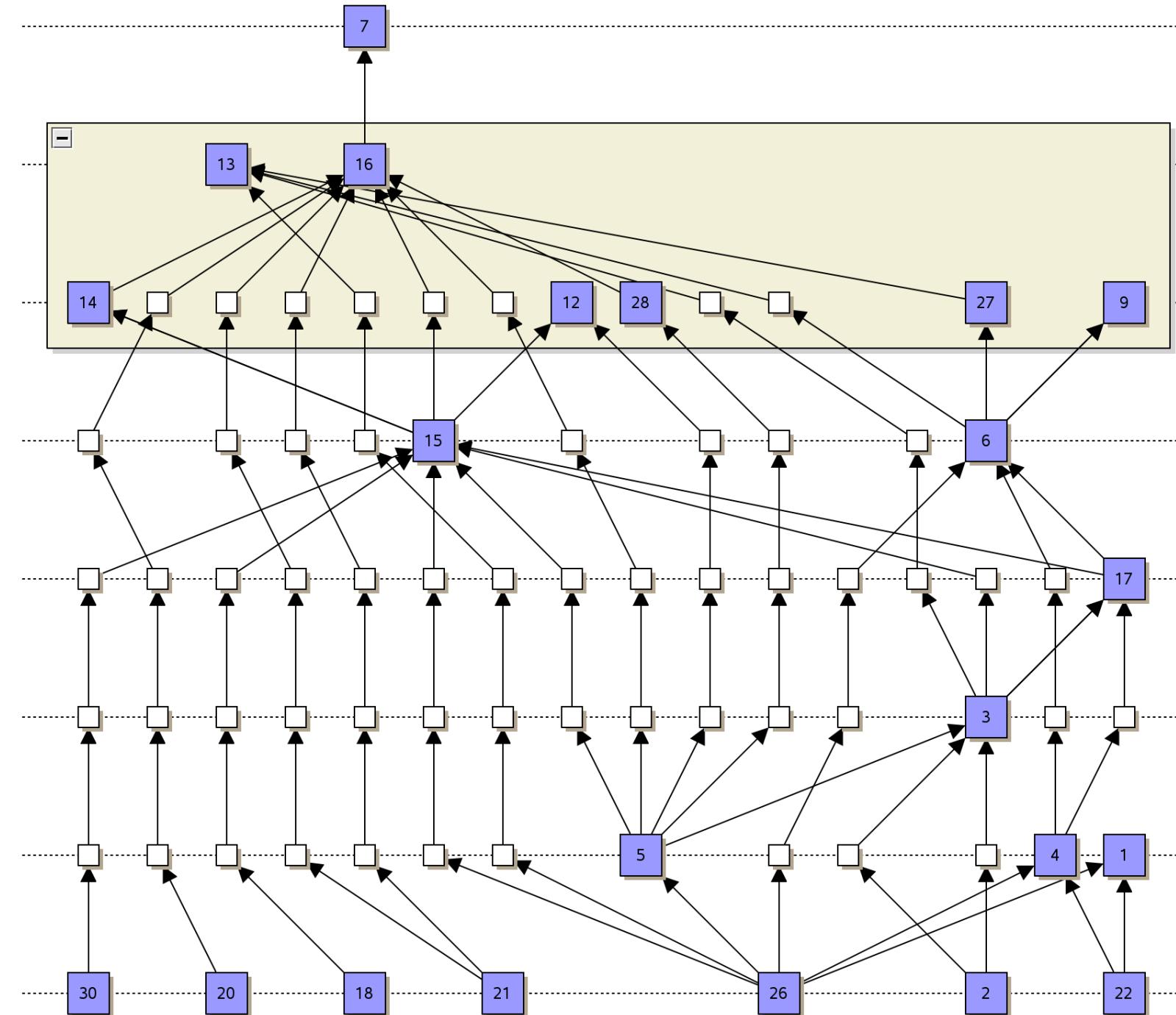
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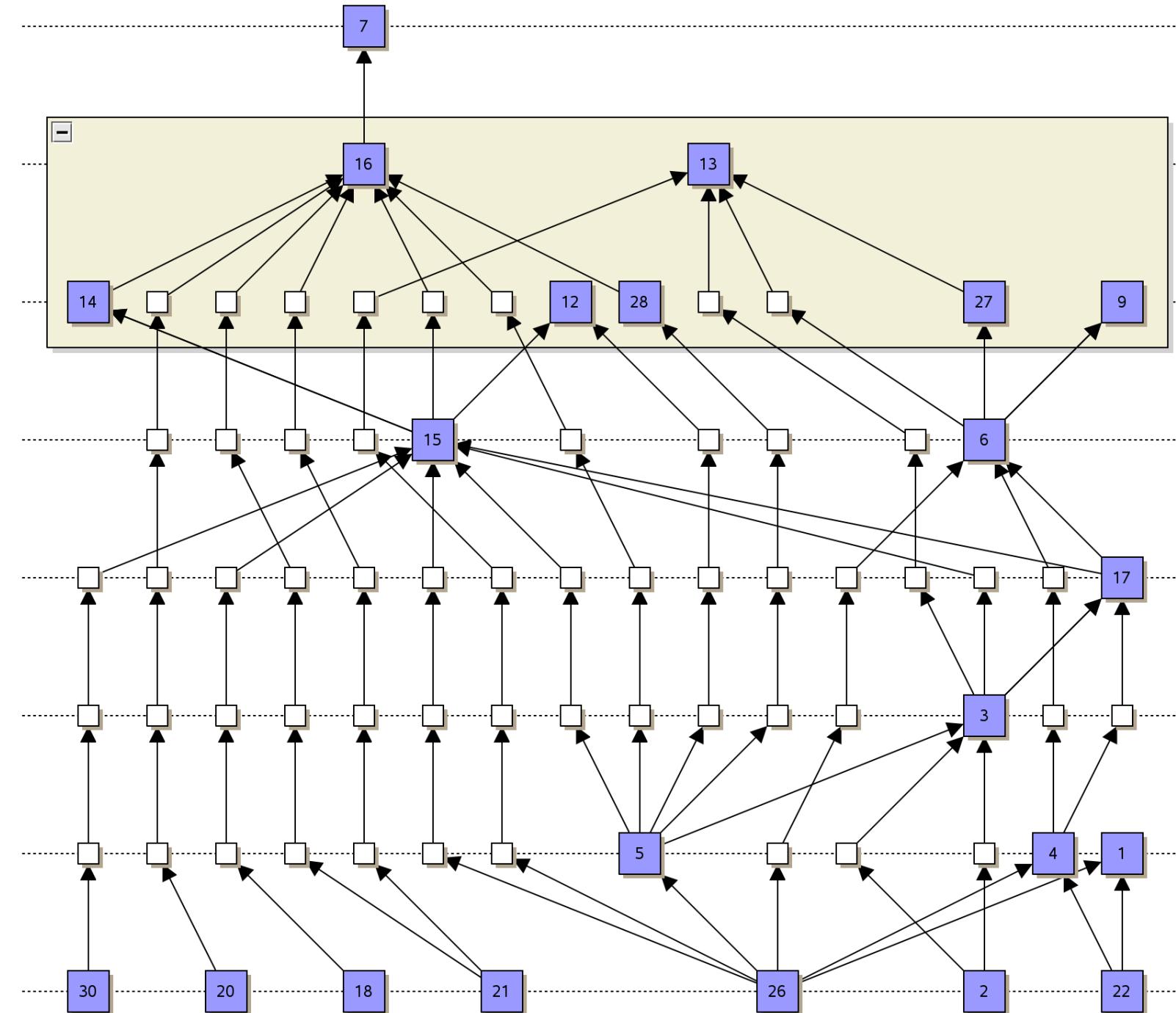
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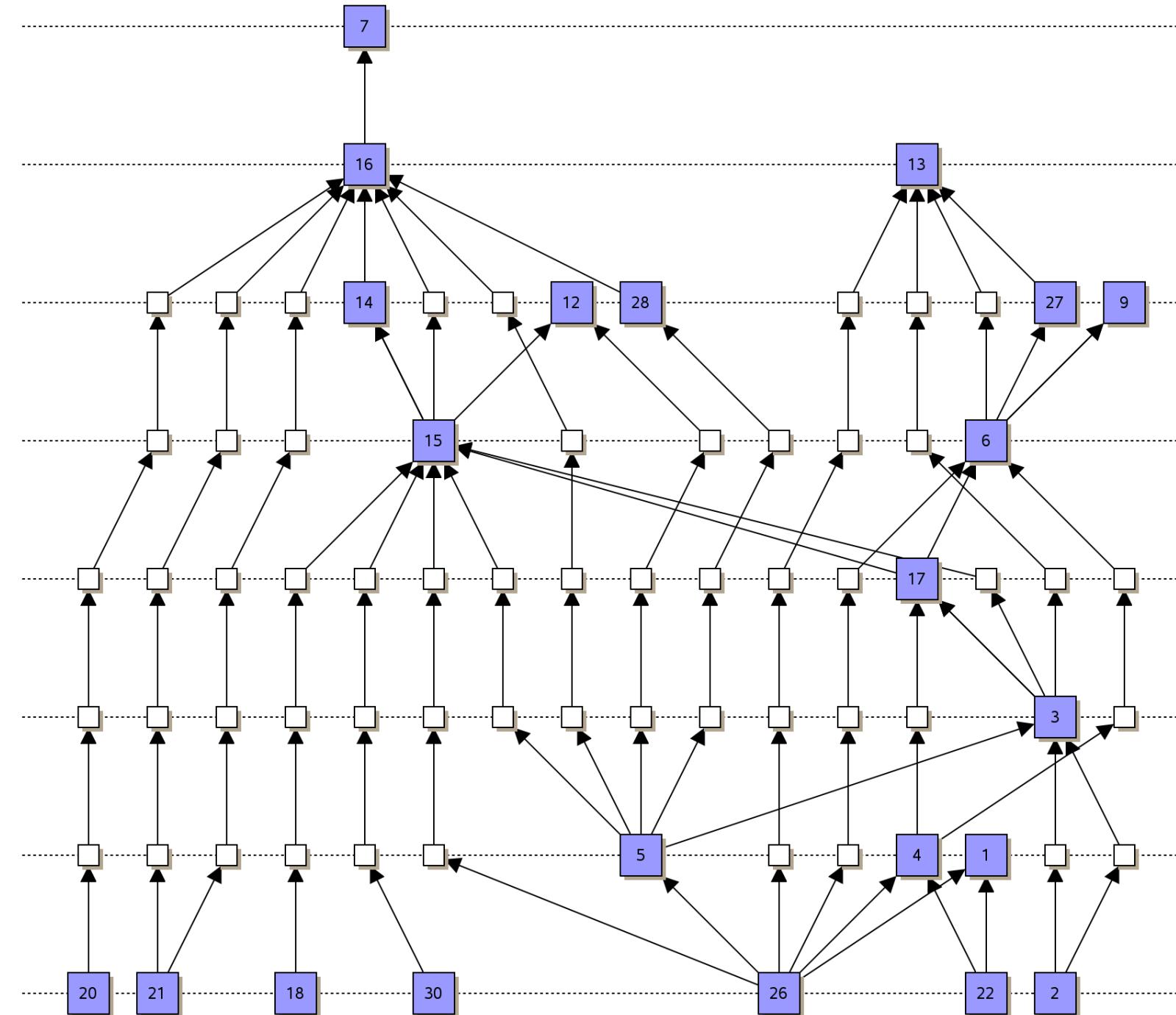
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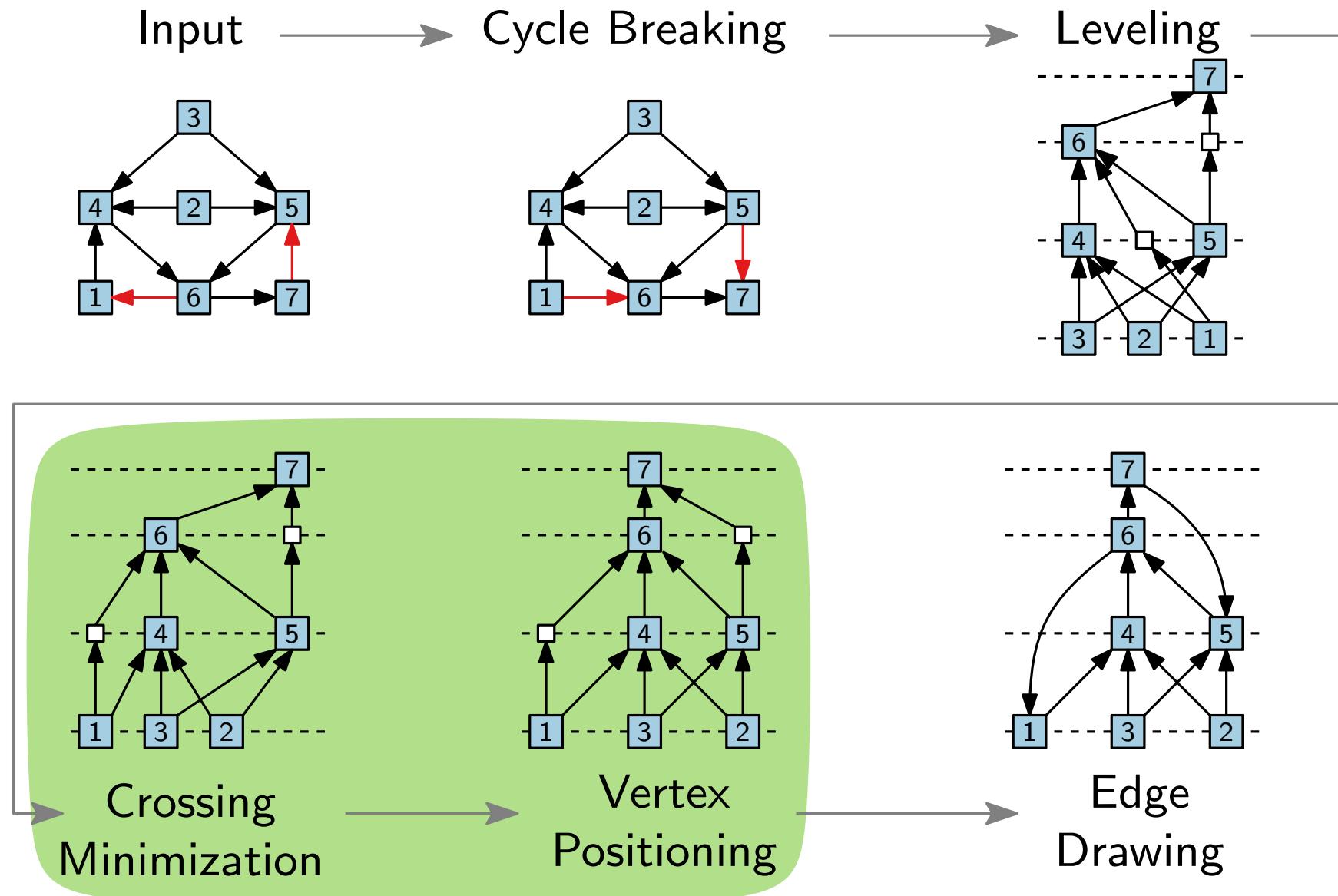
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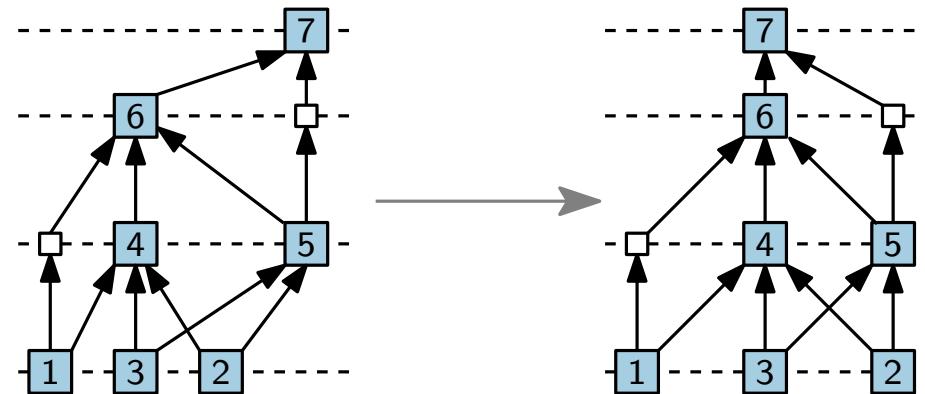
Iterations on Example



Step 4: Vertex Positioning



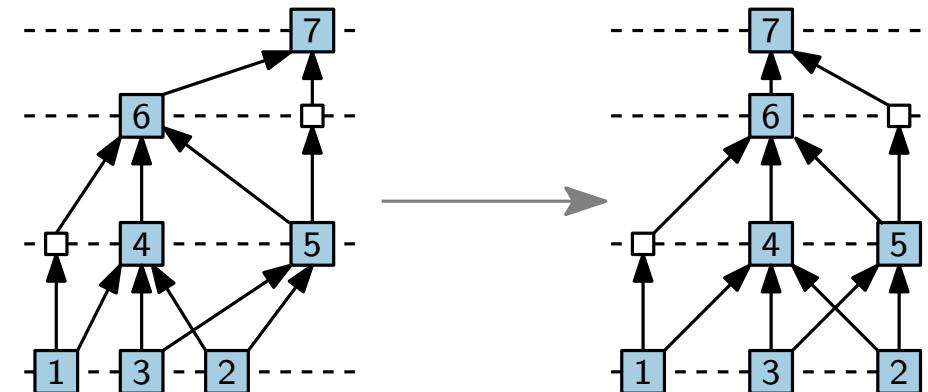
Step 4: Vertex Positioning



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Goals.

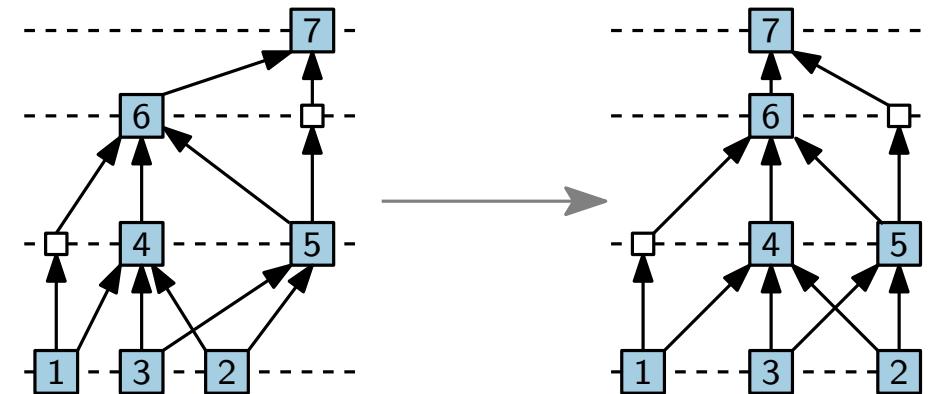
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- vertices on a layer evenly spaced
- prefer vertical edges



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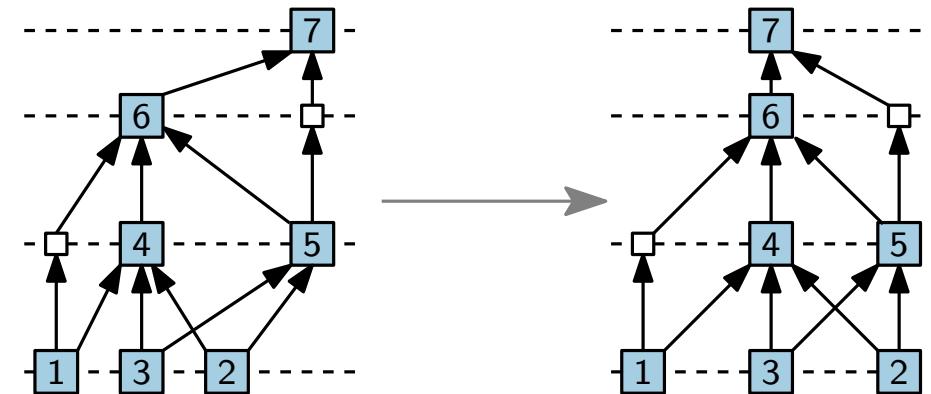


- **Exact:** Quadratic Program (QP)

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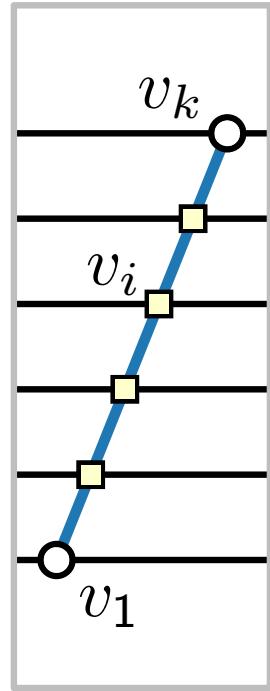
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- **Exact:** Quadratic Program (QP)
- **Heuristic:** Iterative approach

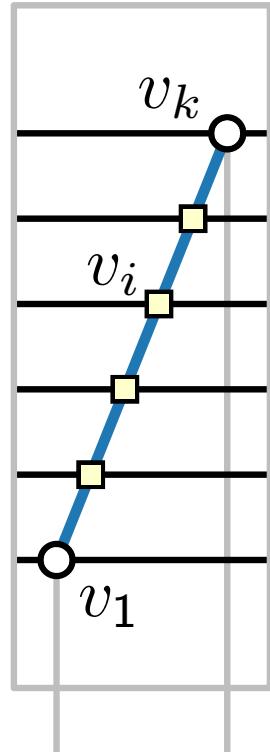
Quadratic Program

- Consider the path $p_e = (v_1, \dots, v_k)$ of an edge $e = v_1 v_k$ with dummy vertices: v_2, \dots, v_{k-1}



Quadratic Program

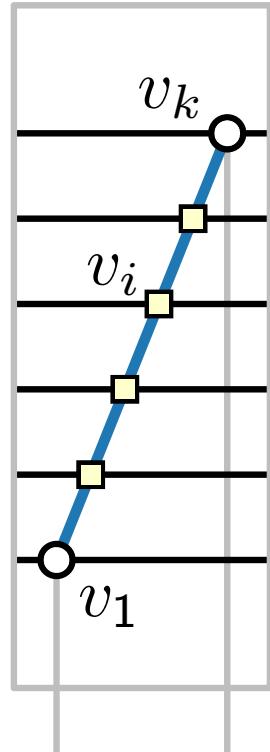
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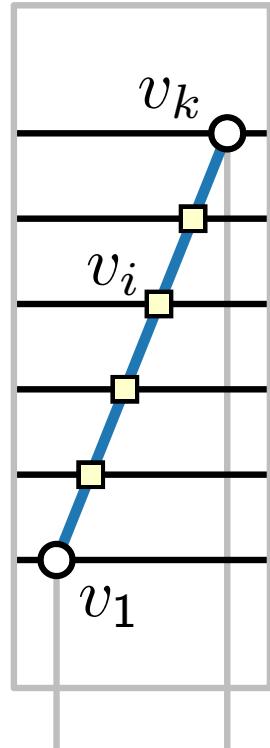
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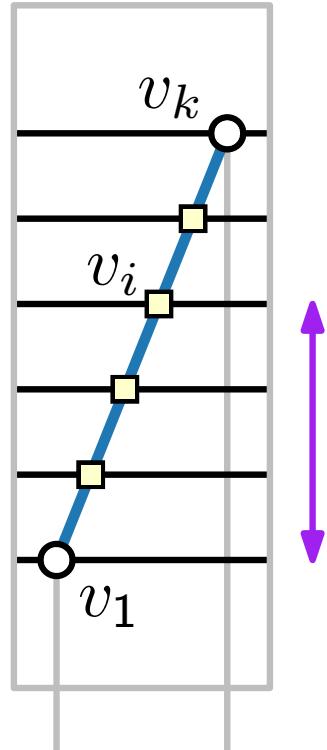
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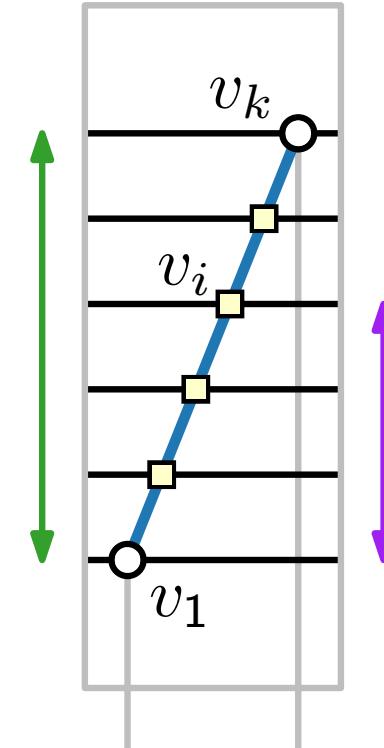
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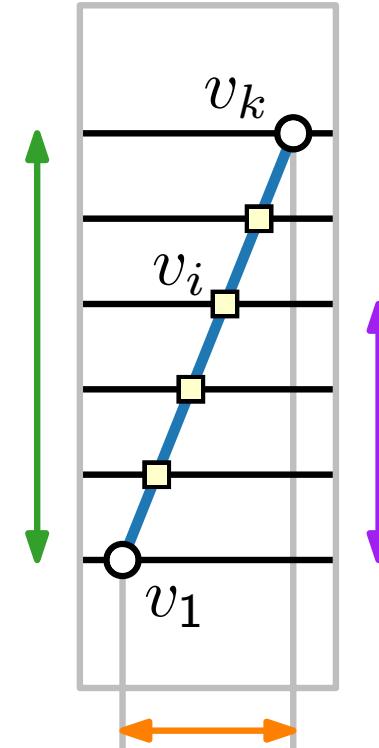
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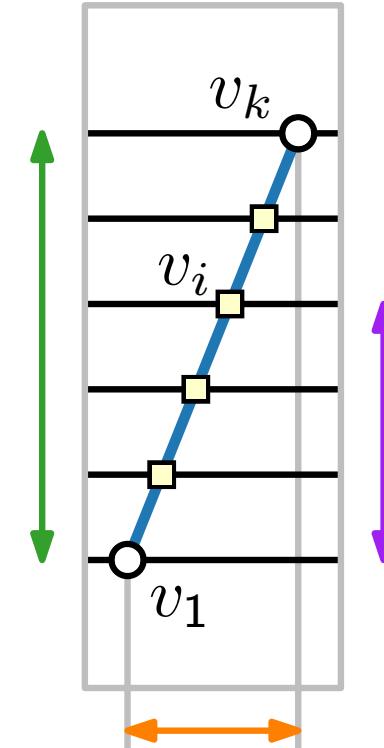


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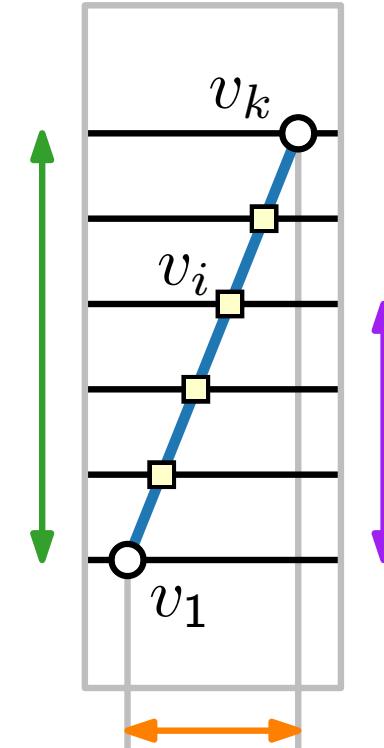
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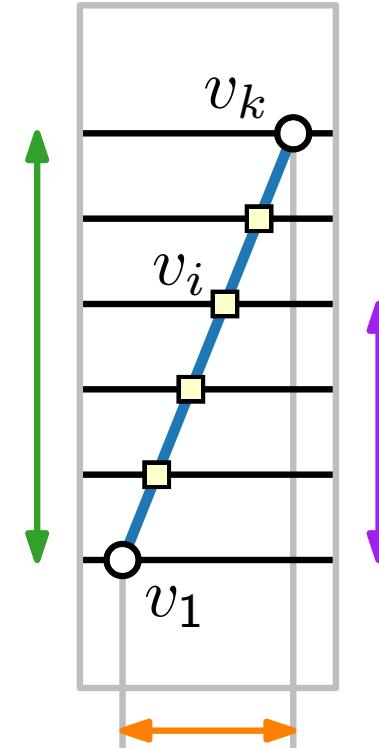
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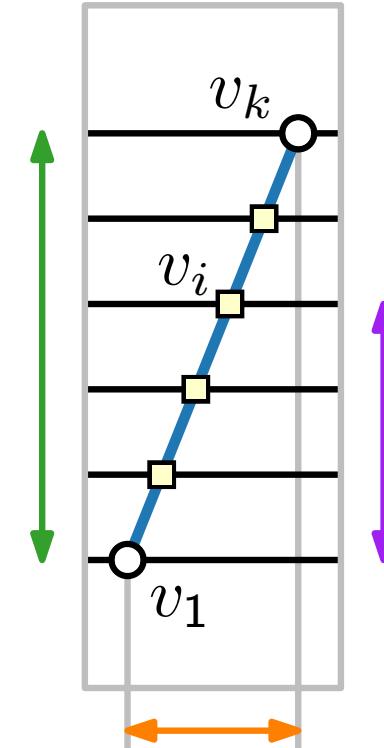
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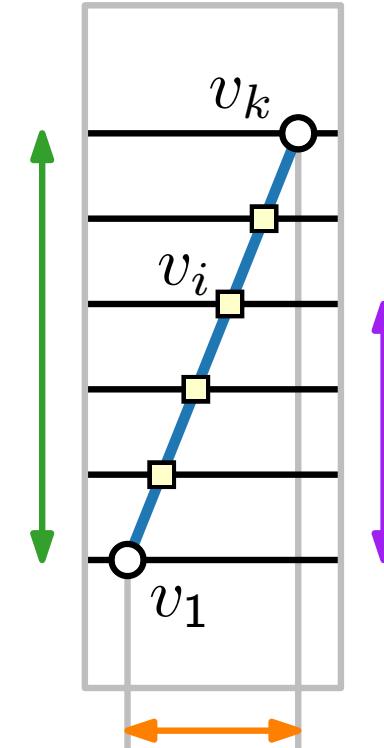
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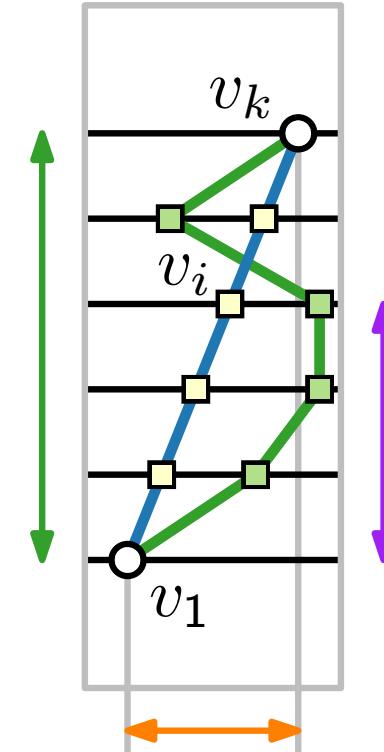
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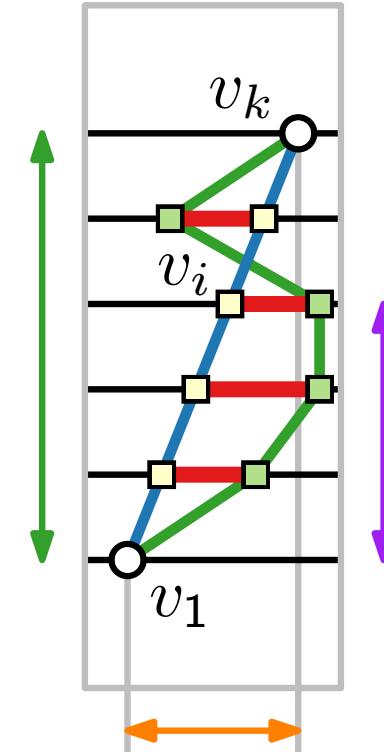
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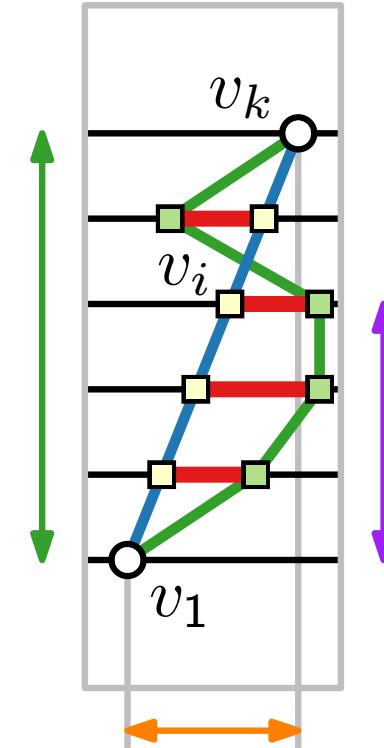
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- Objective function:



Quadratic Program

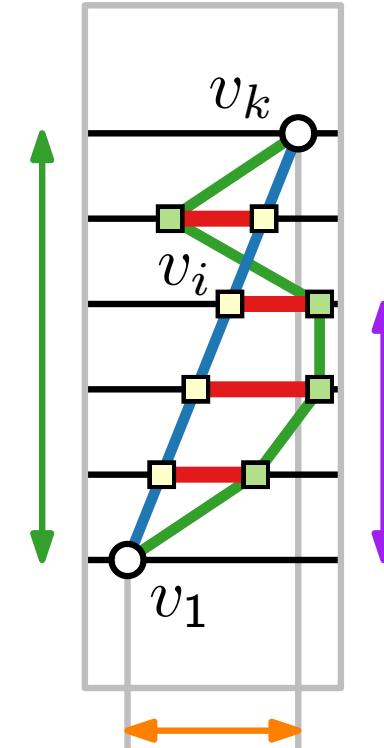
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Quadratic Program

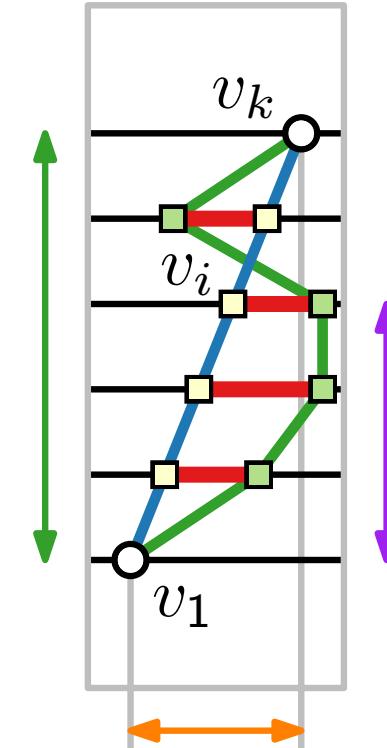
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- Constraints for all vertices v, w in the same layer with w to the right of v :



Quadratic Program

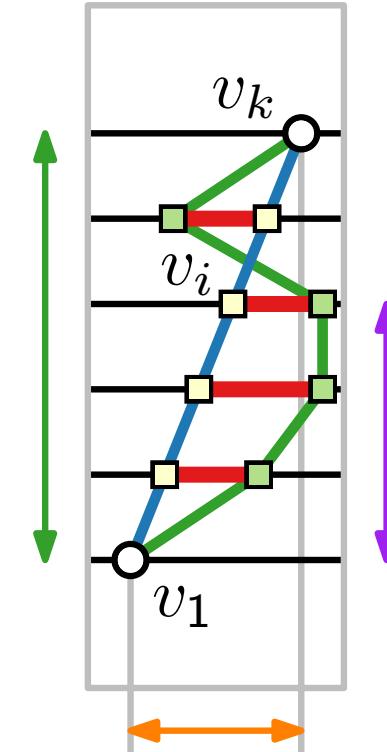
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- Constraints for all vertices v, w in the same layer with w to the right of v : $x(w) - x(v) \geq \rho$



Quadratic Program

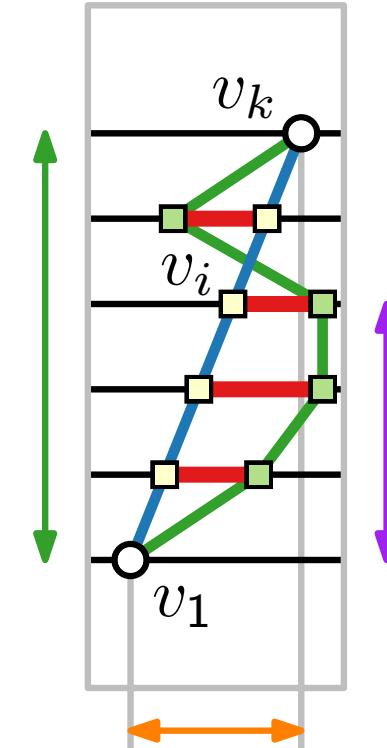
- Consider the path $p_e = (v_1, \dots, v_k)$ of an edge $e = v_1 v_k$ with dummy vertices: v_2, \dots, v_{k-1}
- x -coordinate of v_i according to the line $\overline{v_1 v_k}$ (with equal spacing):

$$\overline{x(v_i)} = x(v_1) + \frac{i-1}{k-1} (x(v_k) - x(v_1))$$

- Define the deviation from the line

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Quadratic Program

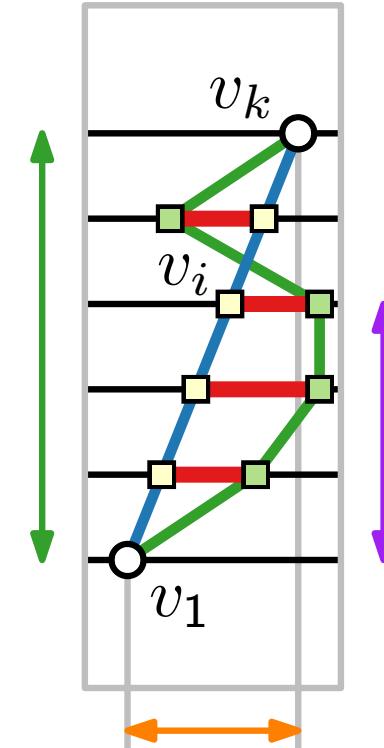
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■ QP is time-expensive

Quadratic Program

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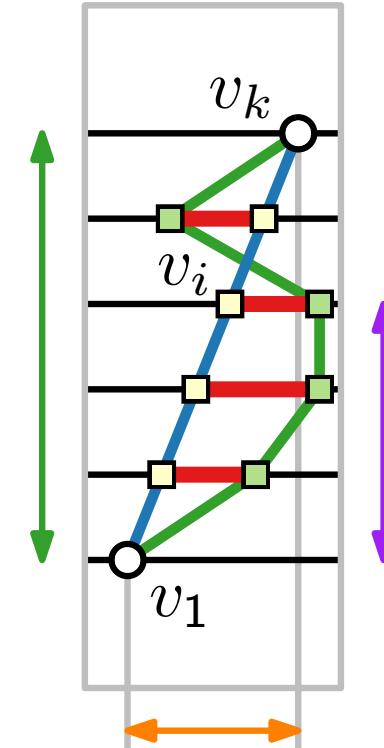
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- QP is time-expensive
- width can be exponential

Iterative Heuristic

- Compute an initial layout

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 2. edge straightening

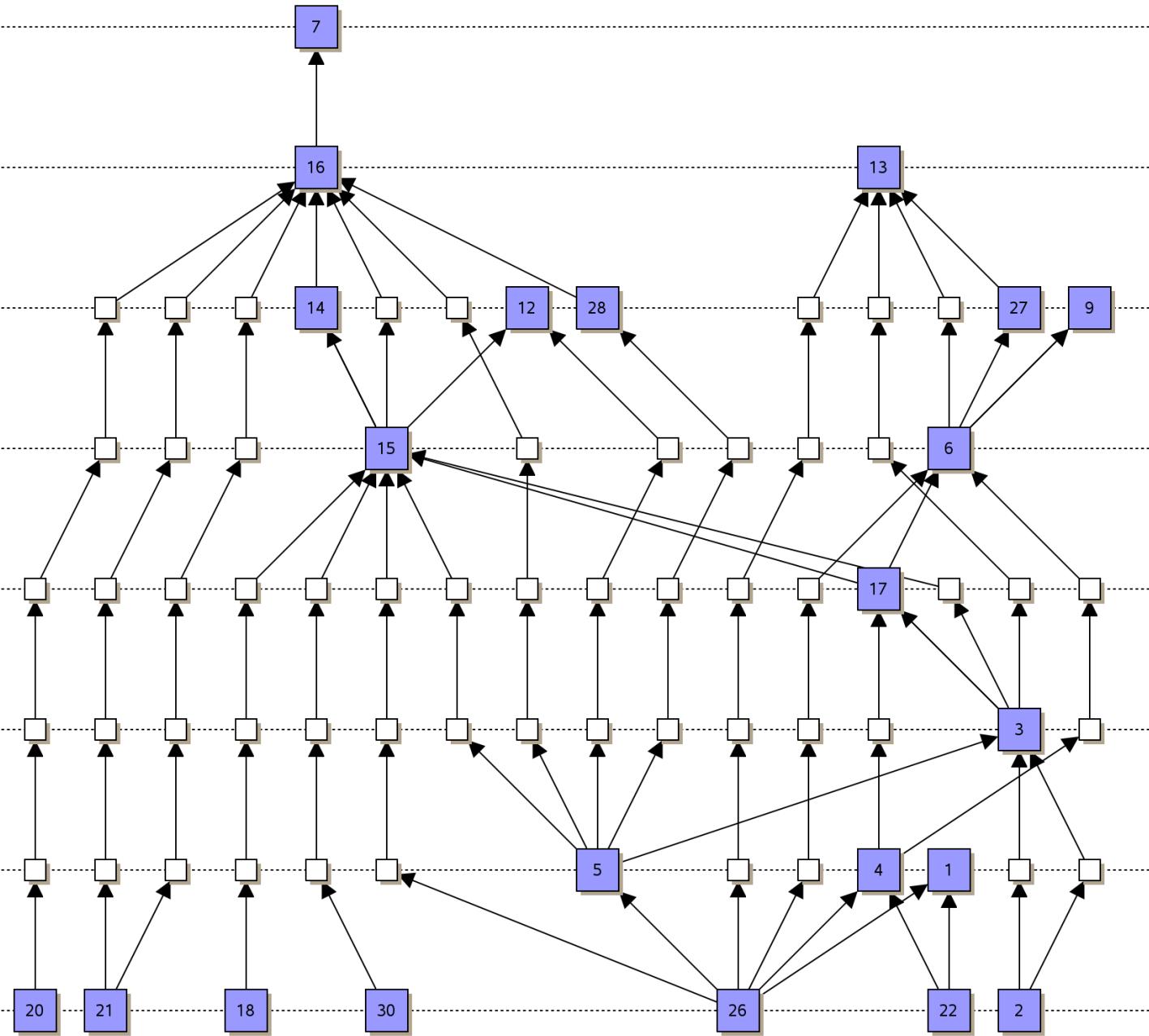
Iterative Heuristic

- Compute an initial layout
- Apply the following steps as long as improvements can be made:
 1. vertex positioning
 2. edge straightening
 3. compactifying the layout width

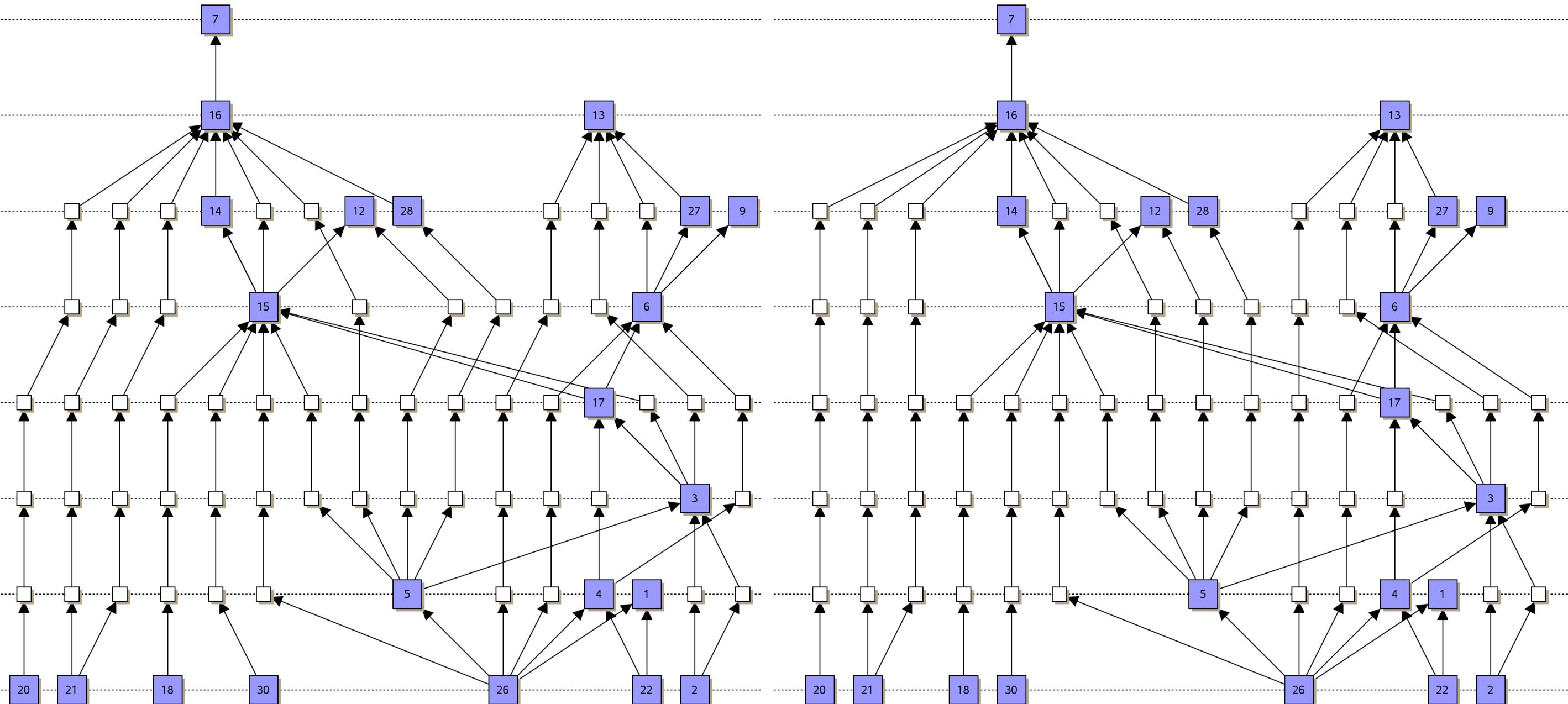
Iterative Heuristic

- Compute an initial layout
- Apply the following steps as long as improvements can be made:
 1. vertex positioning
 2. edge straightening
 3. compactifying the layout width
- Other algorithms include the algorithm by Brandes and Köpf '02:
 - tries to align vertices vertically
 - does horizontal compaction afterwards
 - linear running time

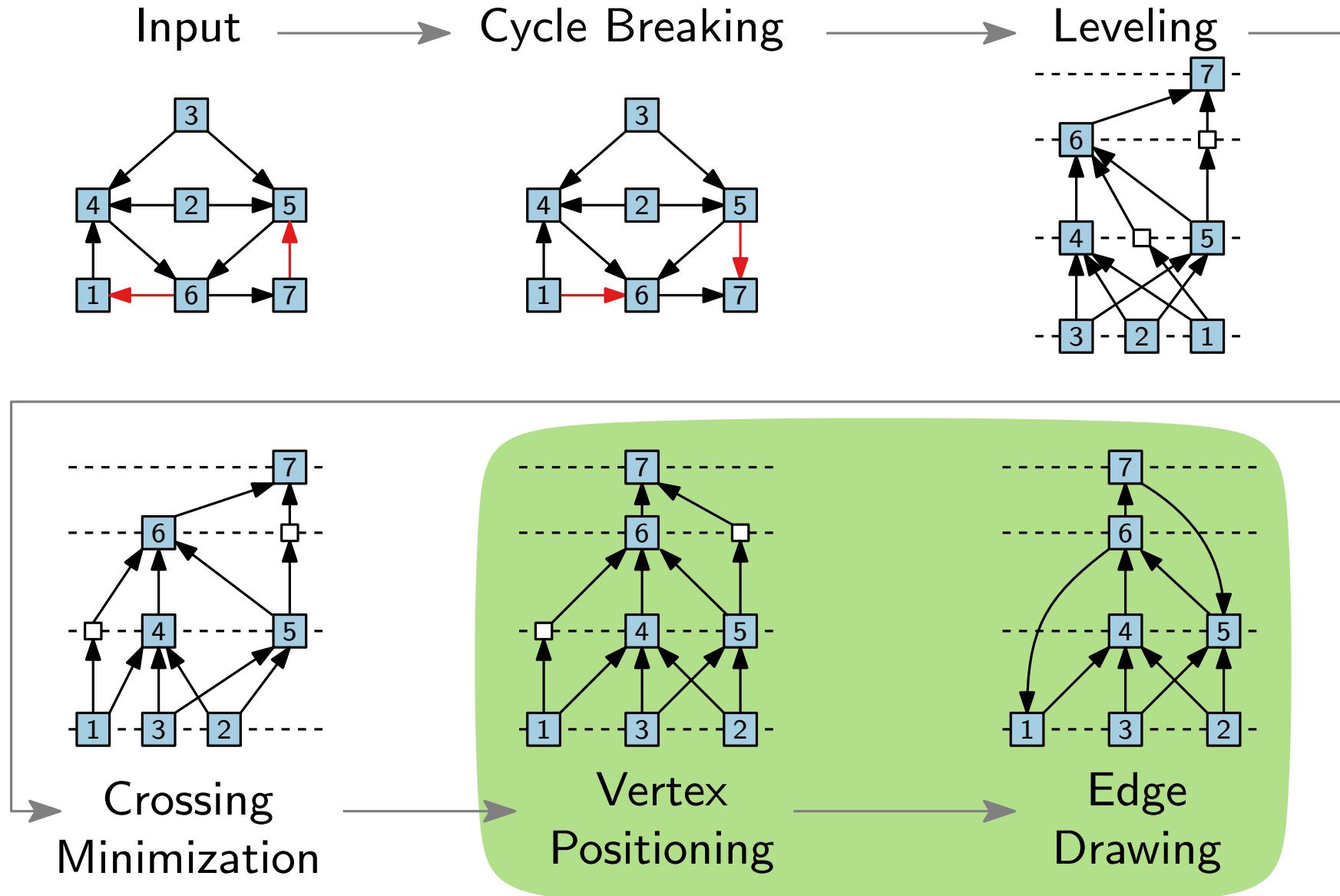
Example



Example



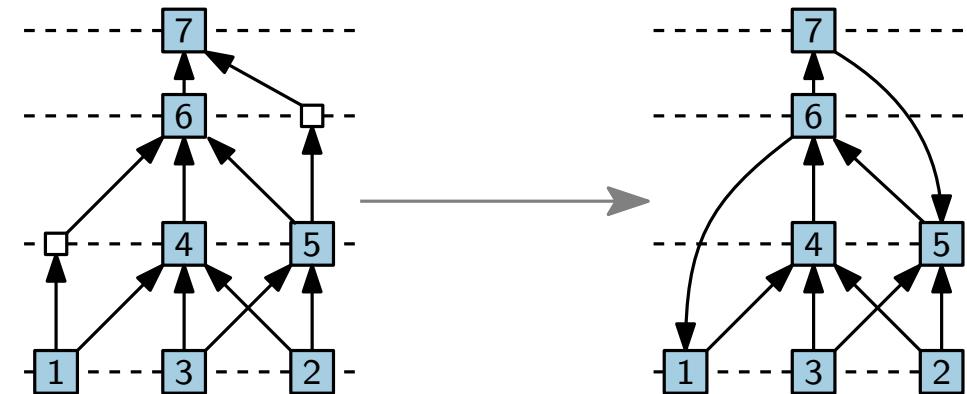
Step 5: Drawing Edges



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Possibility.

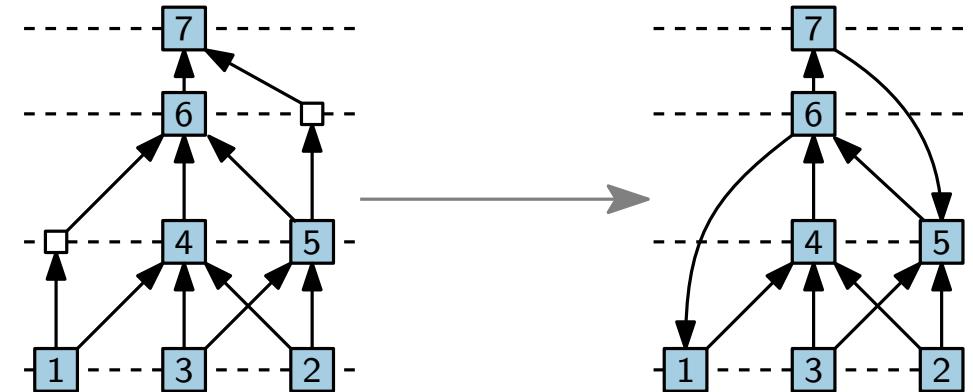
Substitute polylines by Bézier curves.



Step 5: Drawing Edges

Possibility.

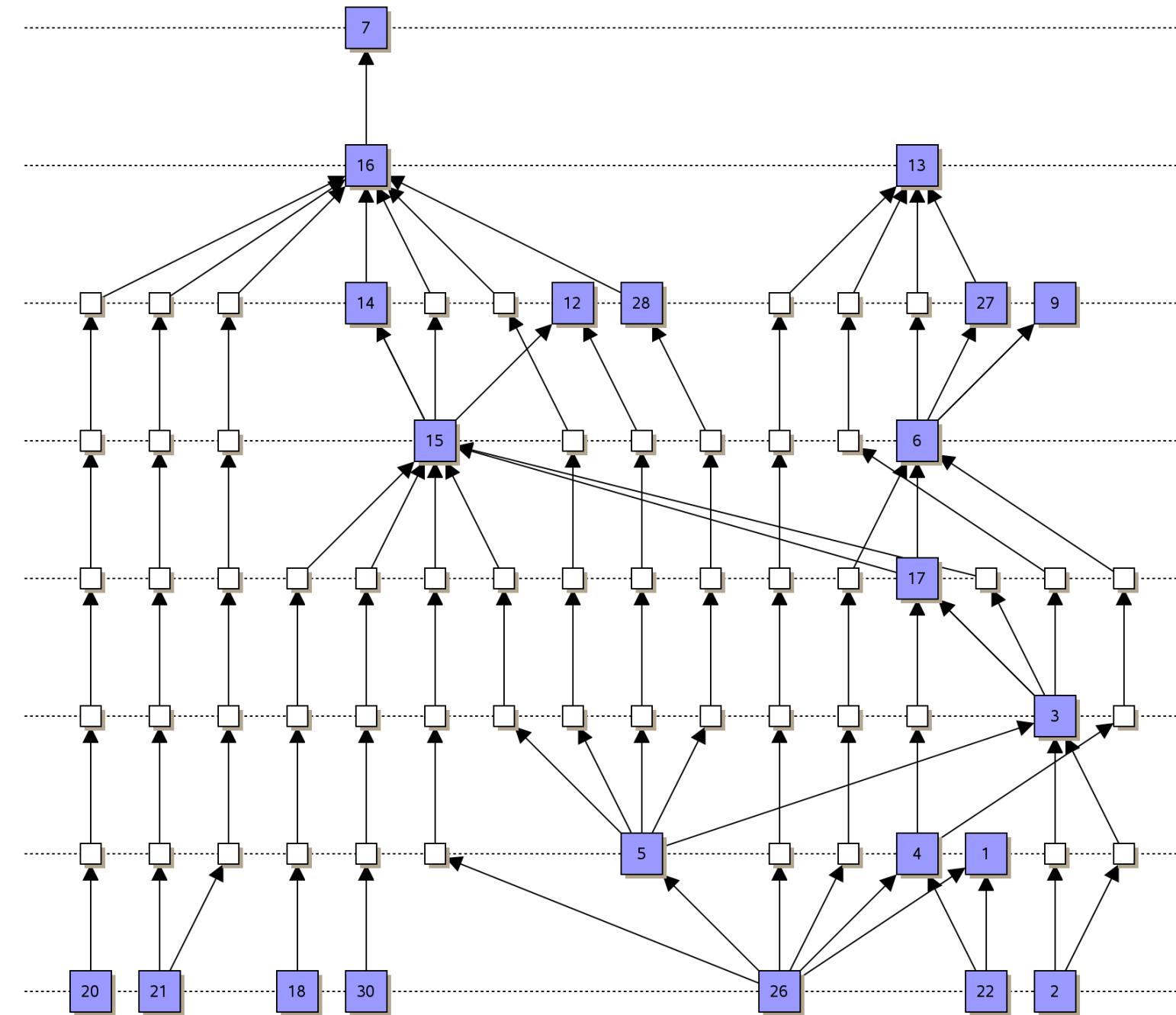
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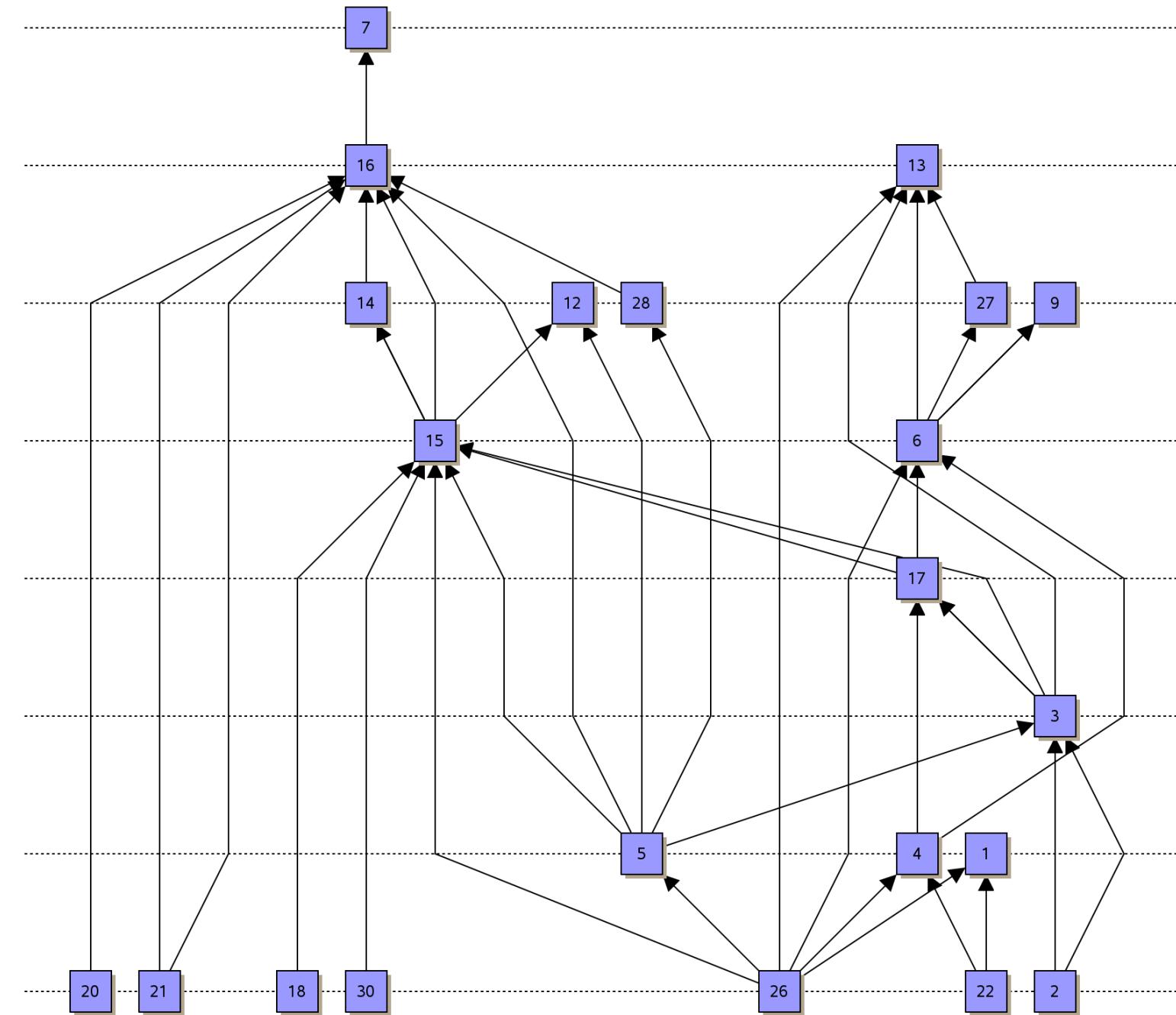
Remark.

Draw reversed edges downwards.

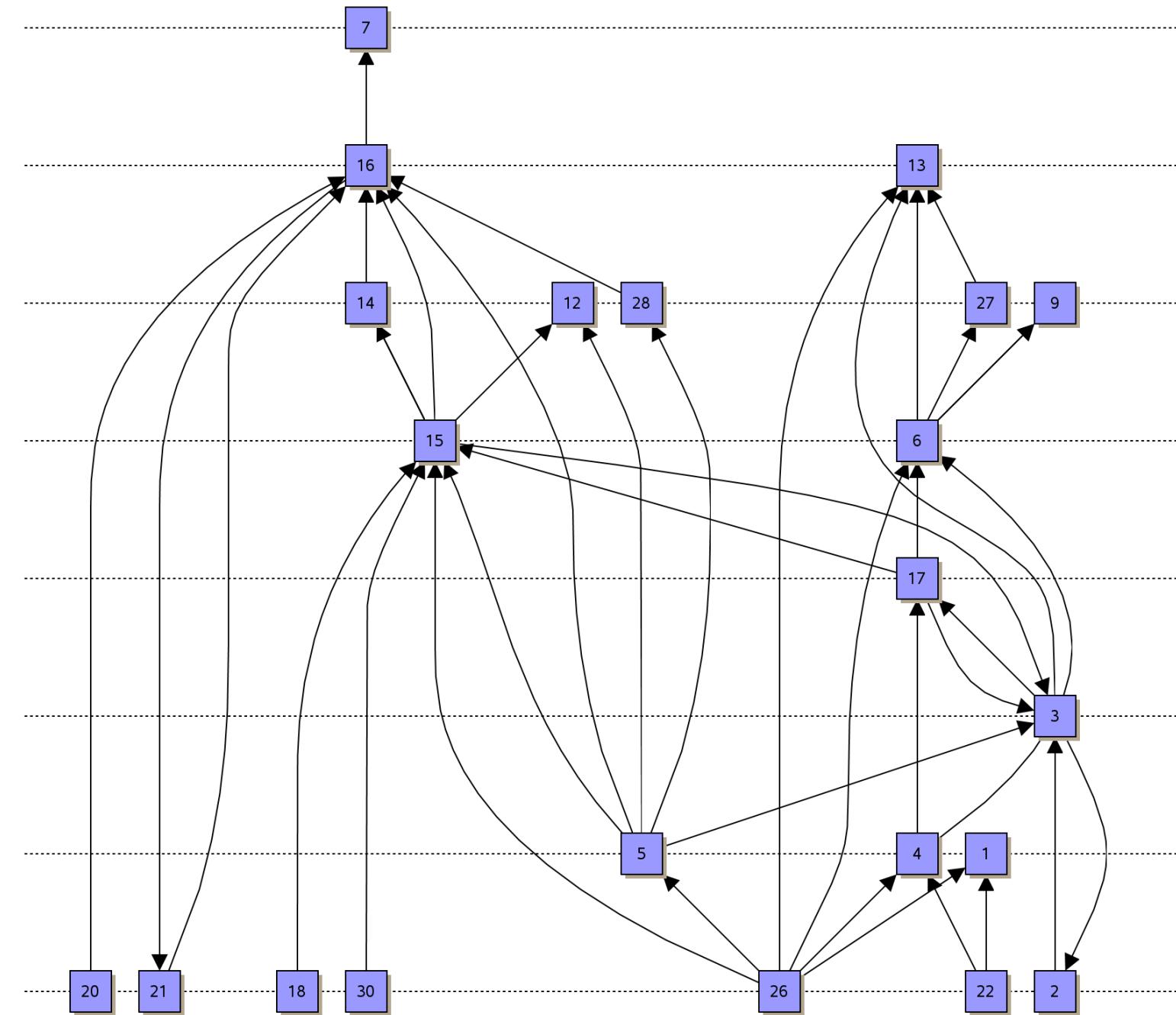
Example



Example

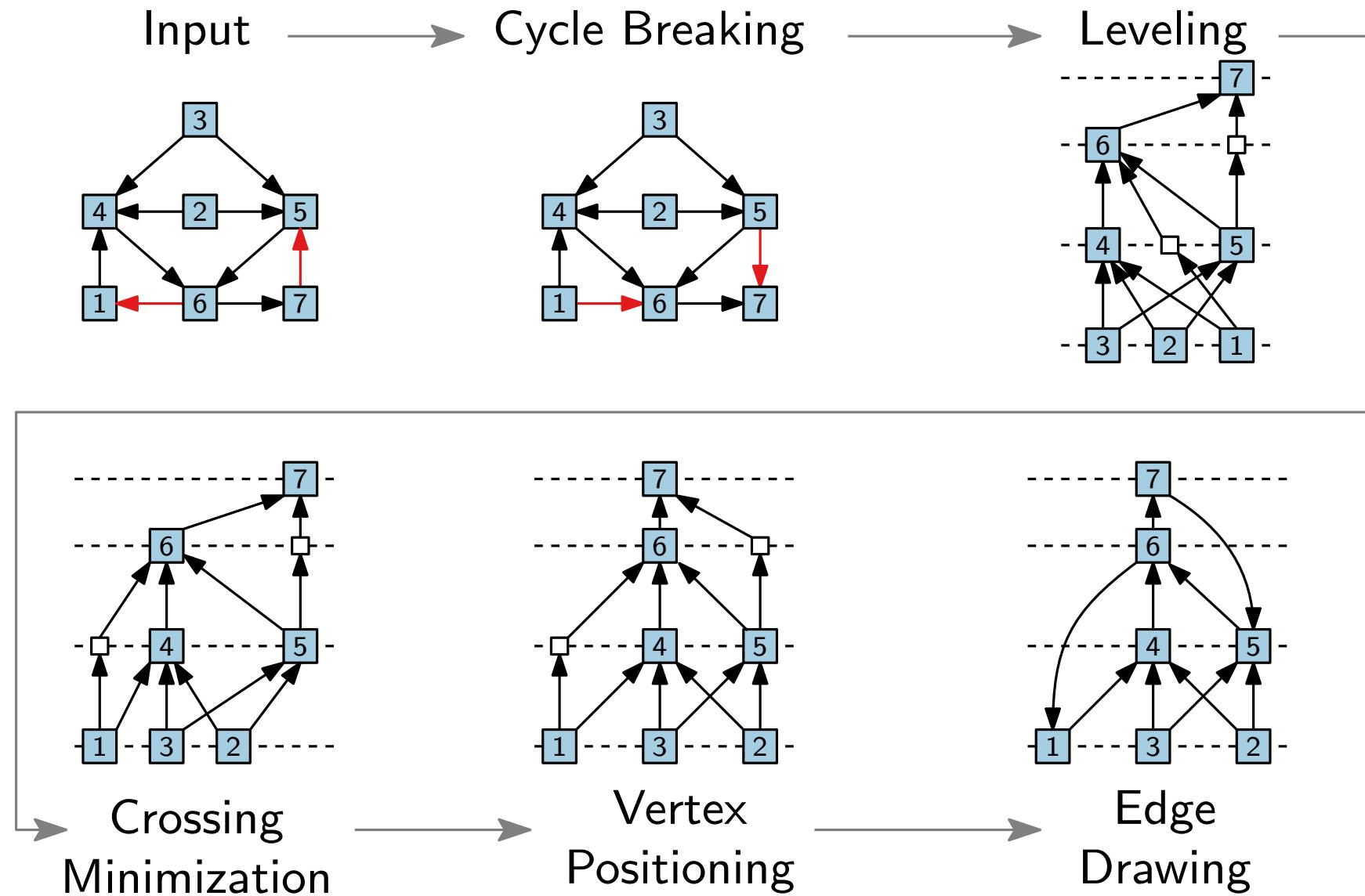


Example



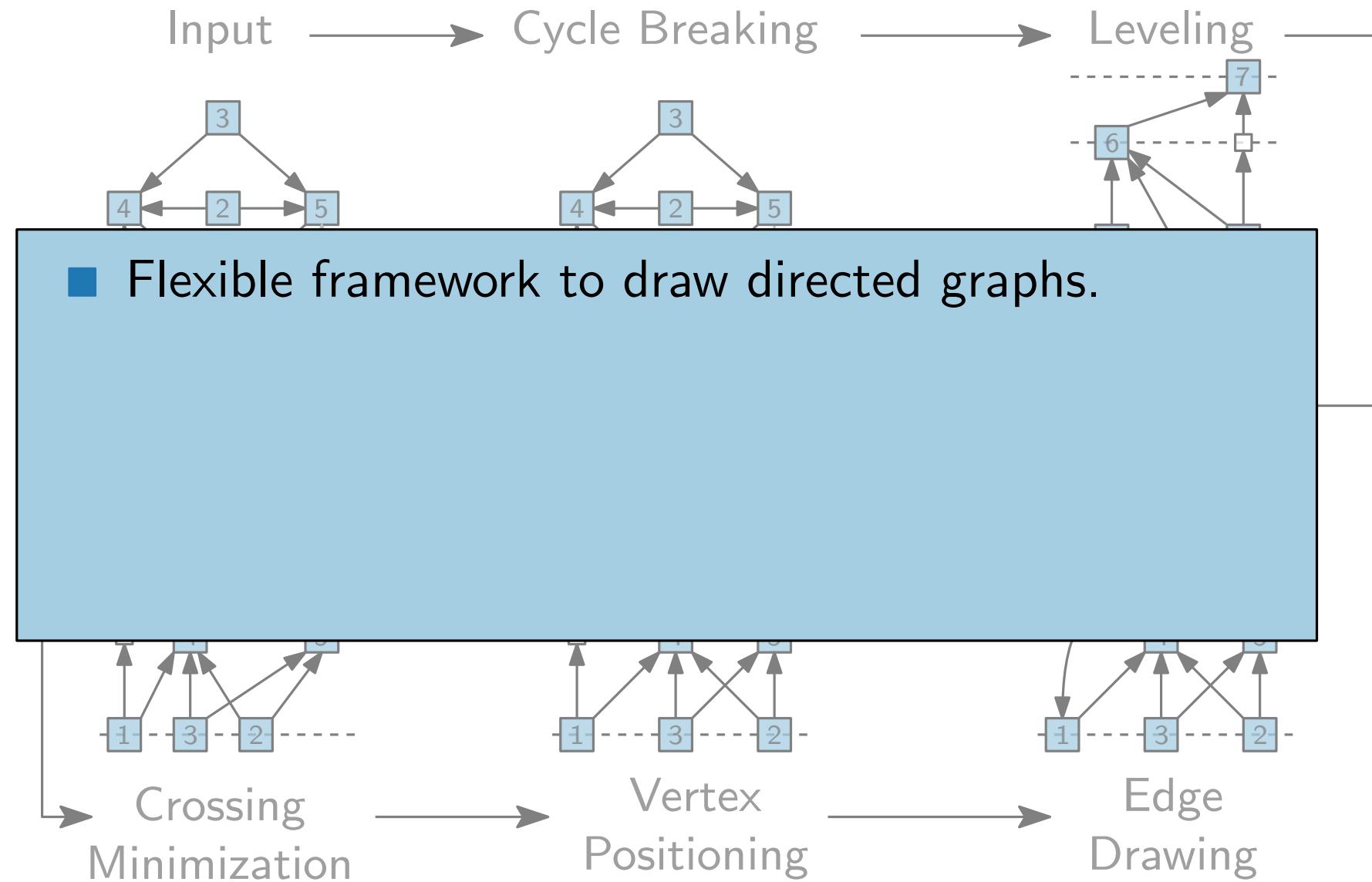
Classical Approach – Sugiyama Framework

[Sugiyama, Tagawa, Toda '81]



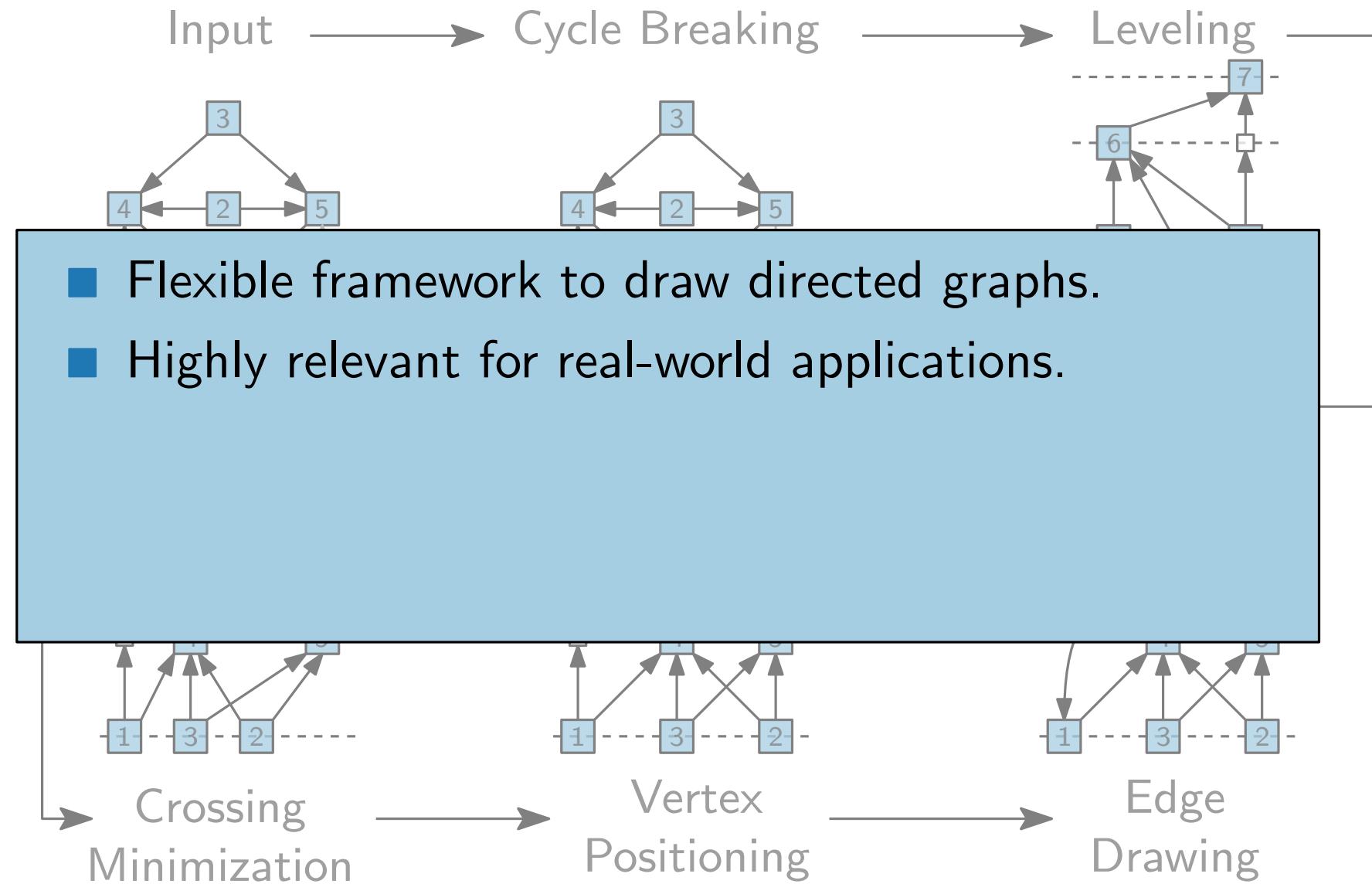
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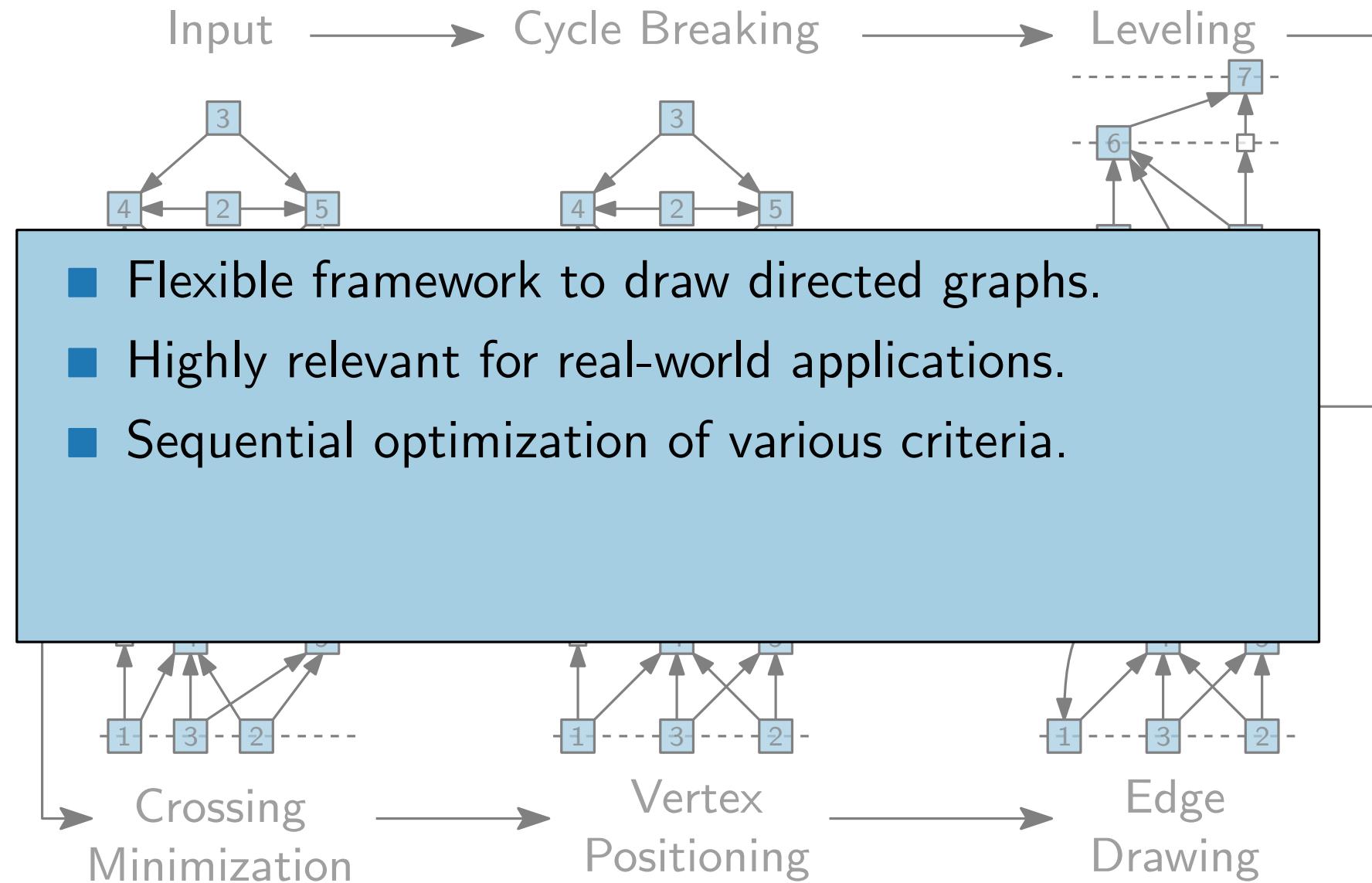
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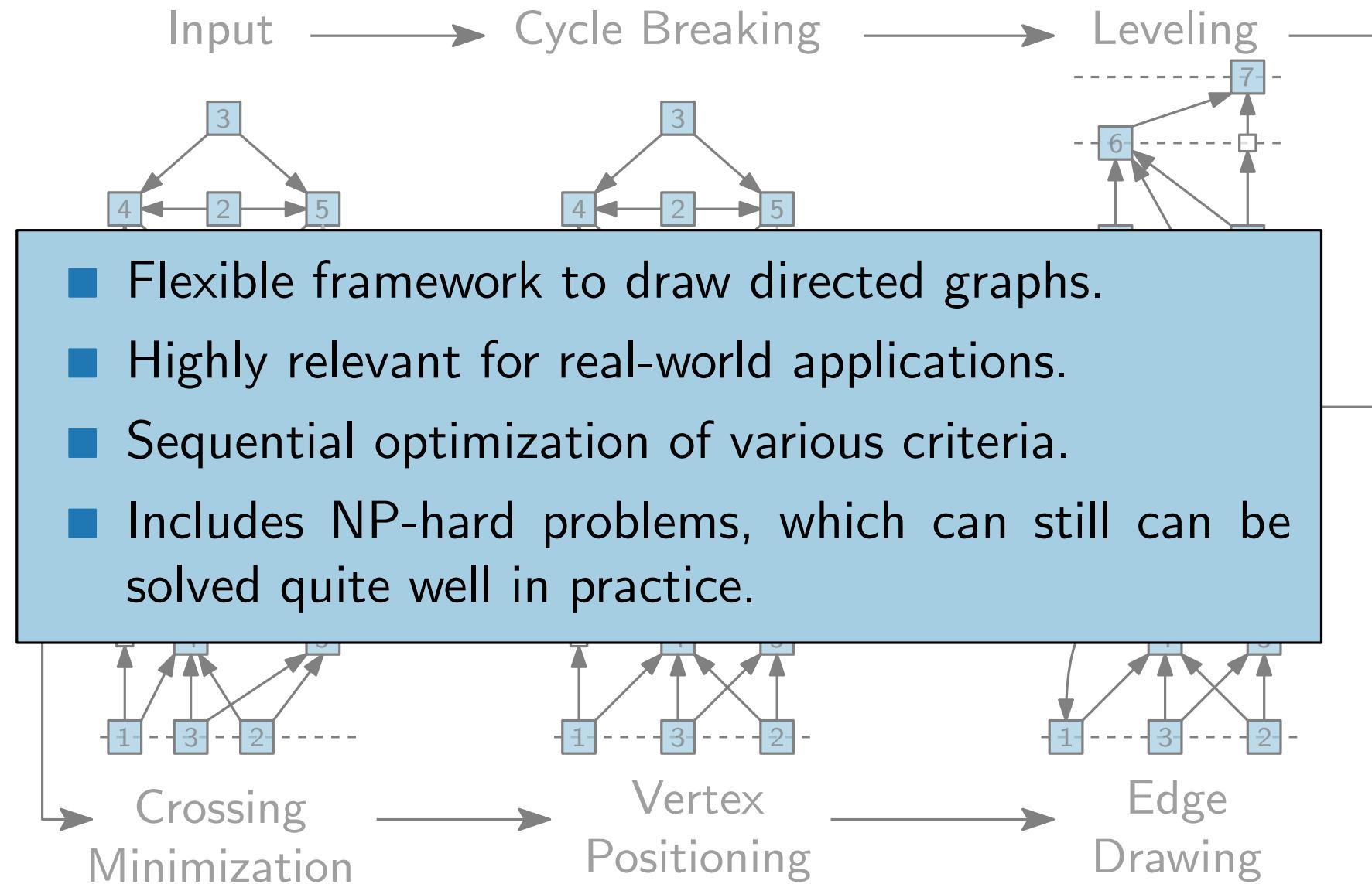
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Classical Approach – Sugiyama Framework

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Literature

Detailed explanations of steps and proofs in

- [GD Ch. 11] and [DG Ch. 5]

based on

- [Sugiyama, Tagawa, Toda '81] Methods for visual understanding of hierarchical system structures

and refined with results from

- [Berger, Shor '90] Approximation algorithms for the maximum acyclic subgraph problem
- [Eades, Lin, Smith '93] A fast and effective heuristic for the feedback arc set problem
- [Garey, Johnson '83] Crossing number is NP-complete
- [Eades, Kelly '86] Heuristics for reducing crossings in 2-layered networks.
- [Eades, Whiteside '94] Drawing graphs in two layers
- [Eades, Wormland '94] Edge crossings in drawings of bipartite graphs
- [Jünger, Mutzel '97] 2-Layer Straightline Crossing Minimization: Performance of Exact and Heuristic Algorithms