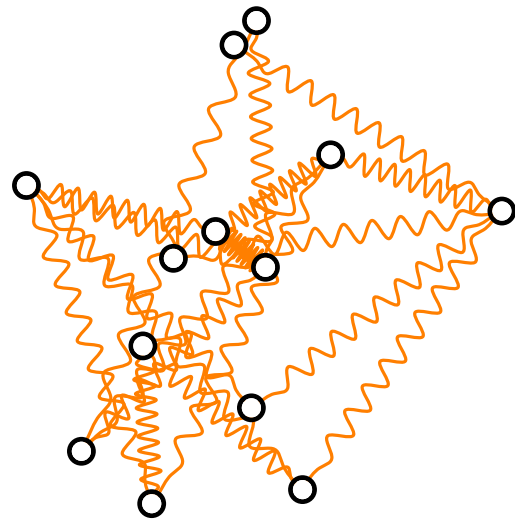


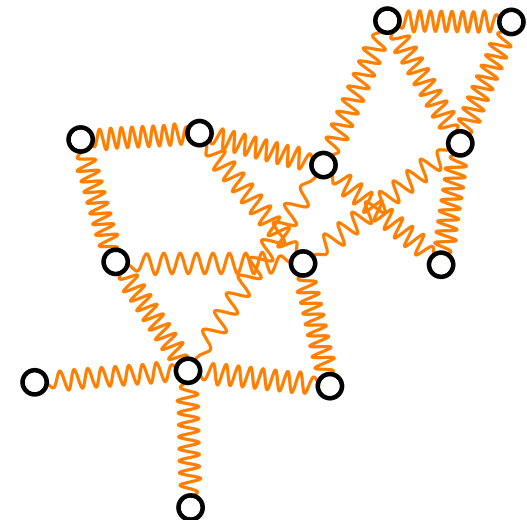
Visualization of Graphs

Lecture 2: Force-Directed Drawing Algorithms



Part I: Spring Embedders

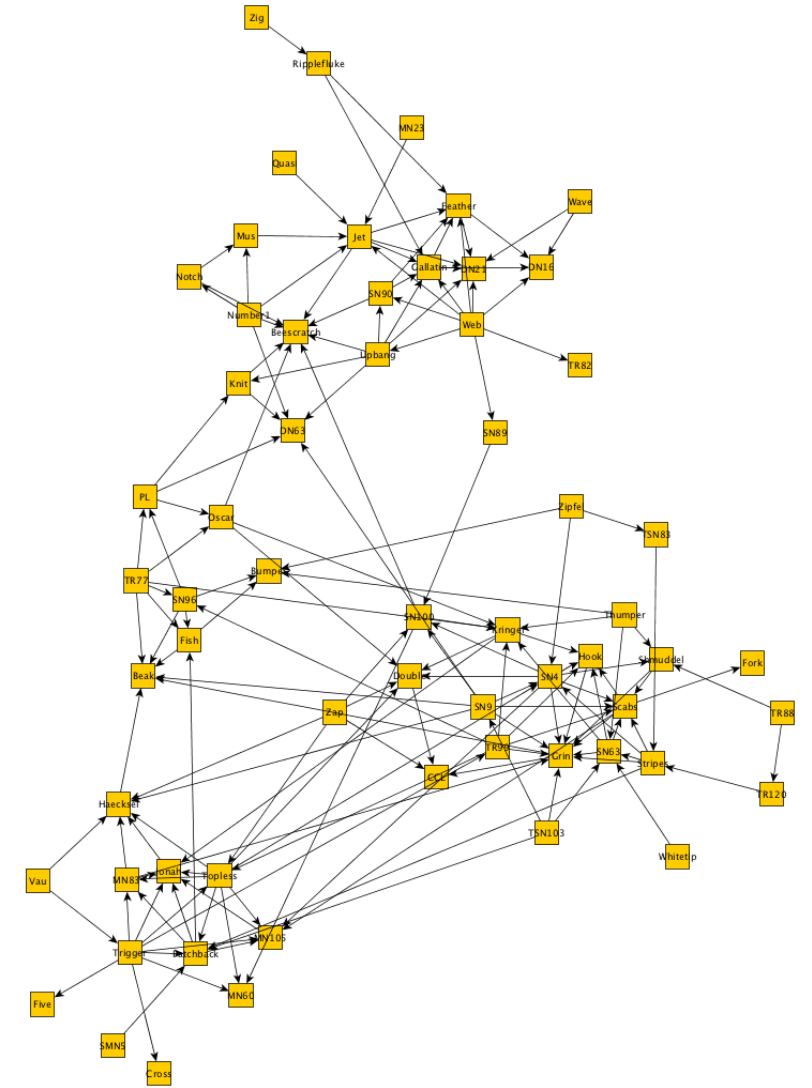
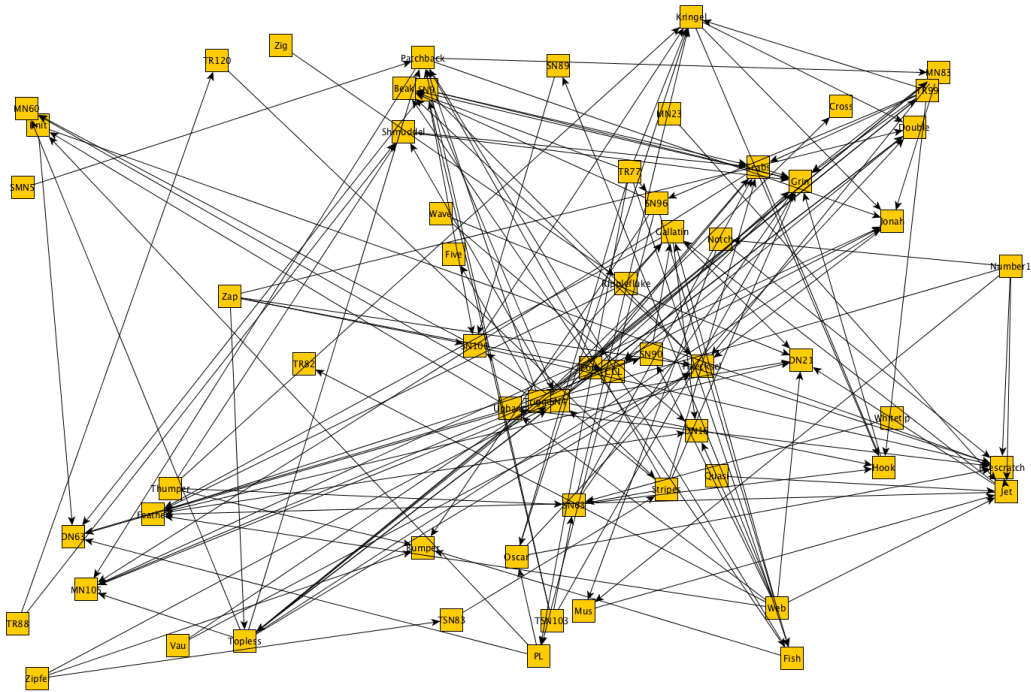
Johannes Zink



General Layout Problem

Input: Graph G

Output: Clear and readable straight-line drawing of G



General Layout Problem

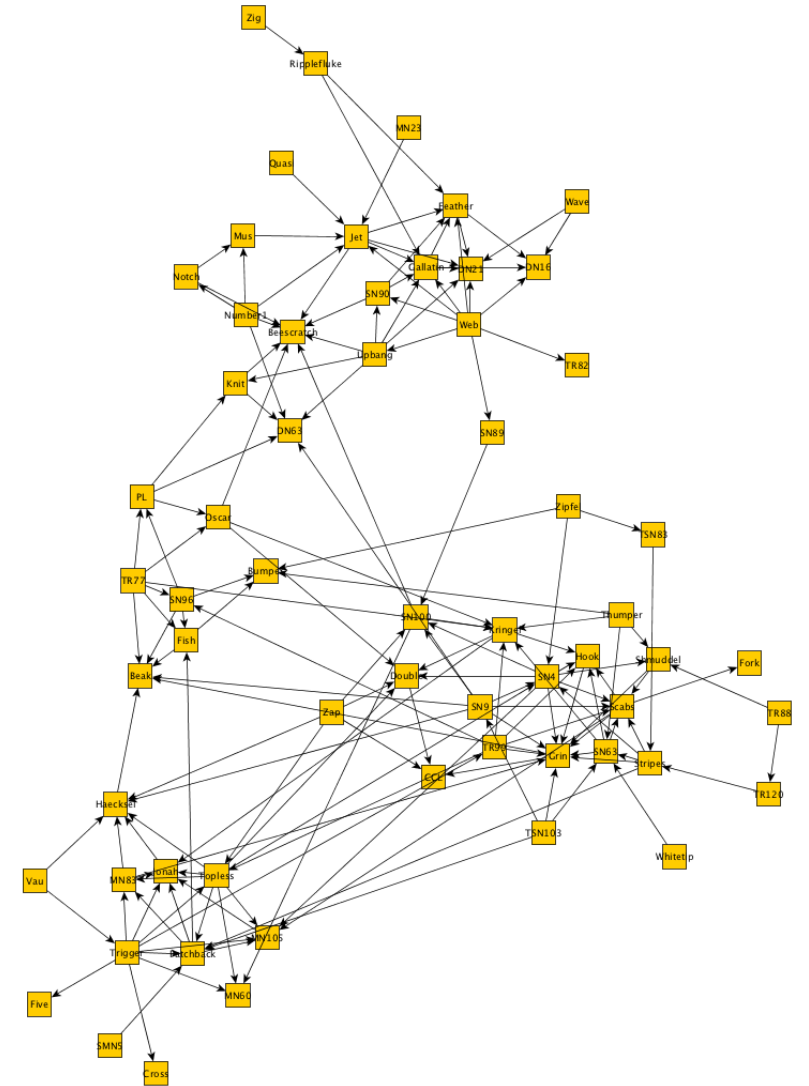
Input: Graph G

Output: Clear and readable straight-line drawing of G

Drawing aesthetics to optimize:

- adjacent vertices are close
- non-adjacent vertices are far apart
- edges short, straight-line, **similar length**
- densely connected parts (clusters) form communities
- as few crossings as possible
- nodes distributed evenly

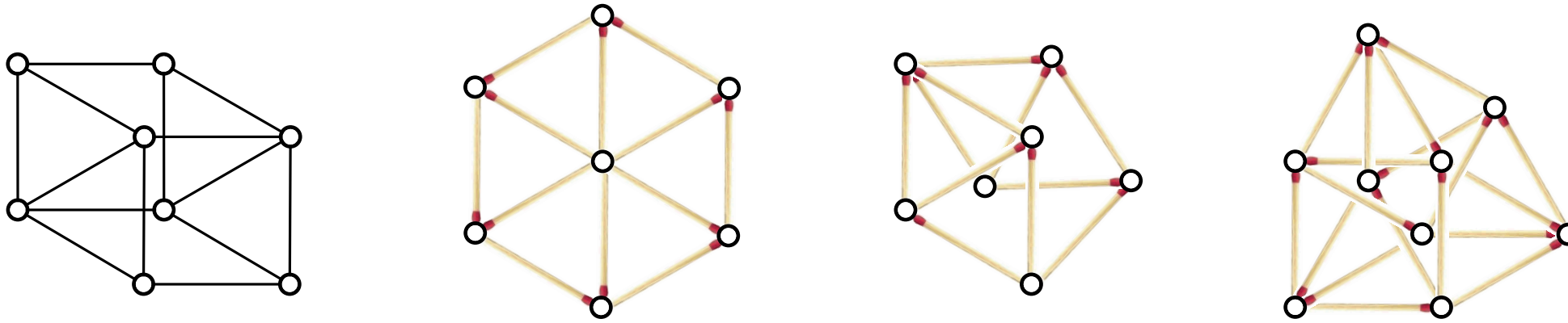
Optimization criteria partially contradict each other.



Fixed Edge Lengths?

Input: Graph $G = (V, E)$, required edge length $\ell(e)$ for each $e \in E$.

Output: Drawing of G that realizes the given edge lengths.



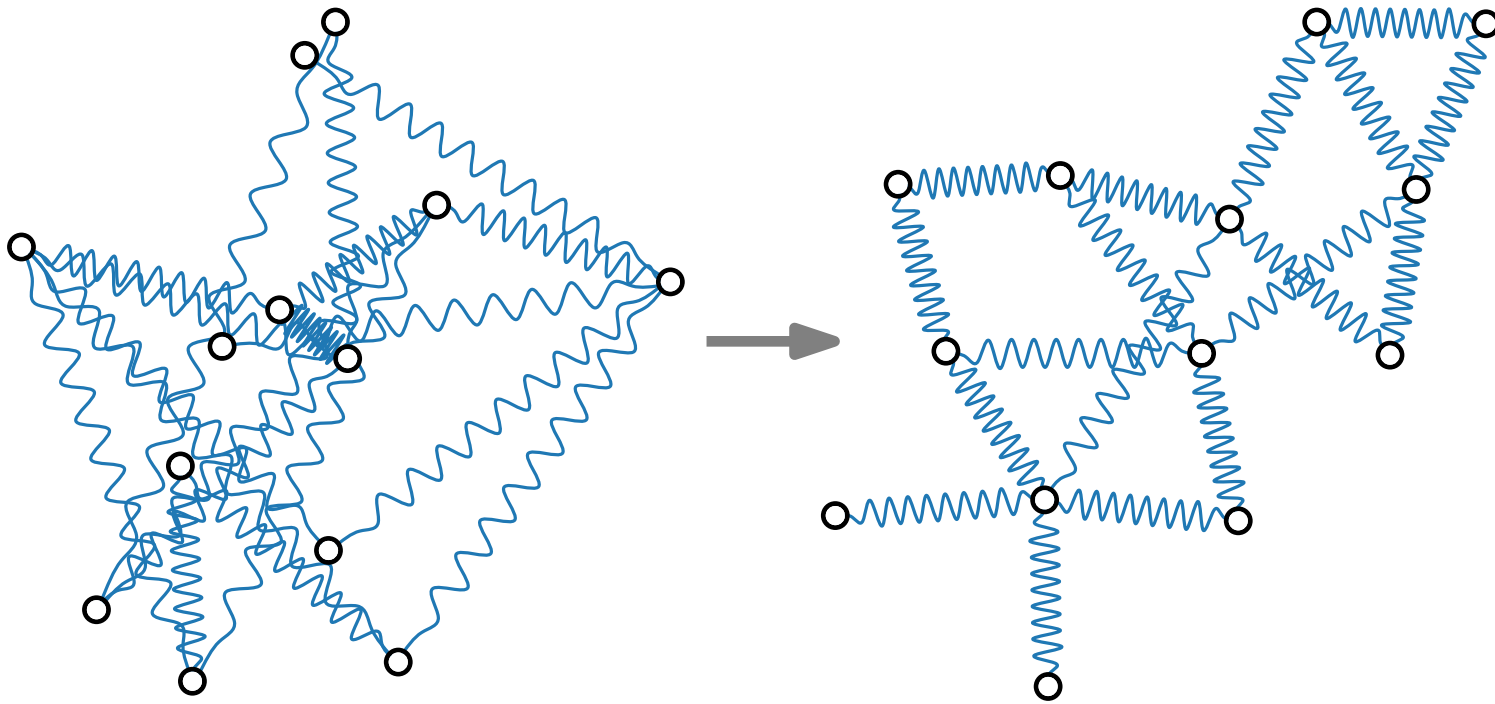
NP-hard for

- uniform edge lengths in any dimension [Johnson '82]
- uniform edge lengths in planar drawings [Eades, Wormald '90]
- edge lengths $\{1, 2\}$ [Saxe '80]

Physical Analogy

Idea. [Eades '84]

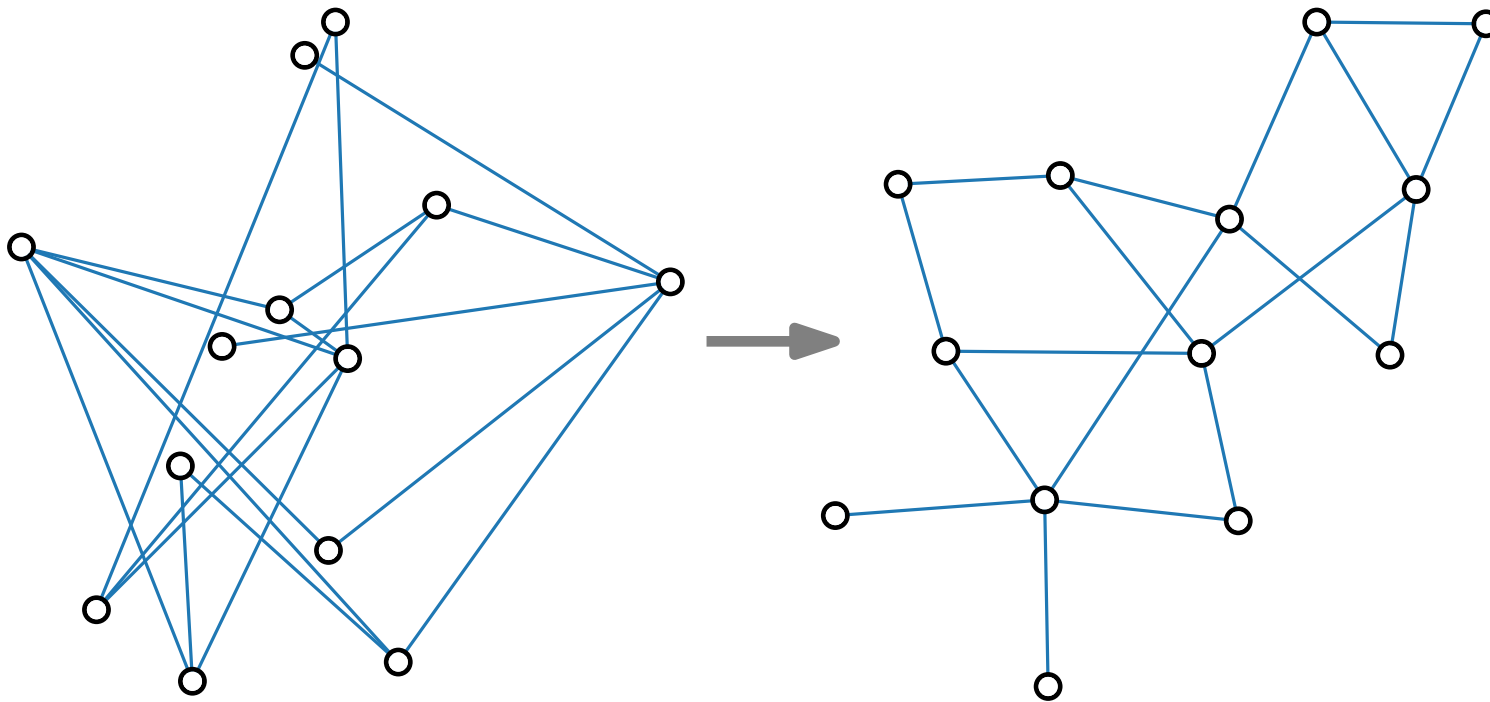
“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



Physical Analogy

Idea. [Eades '84]

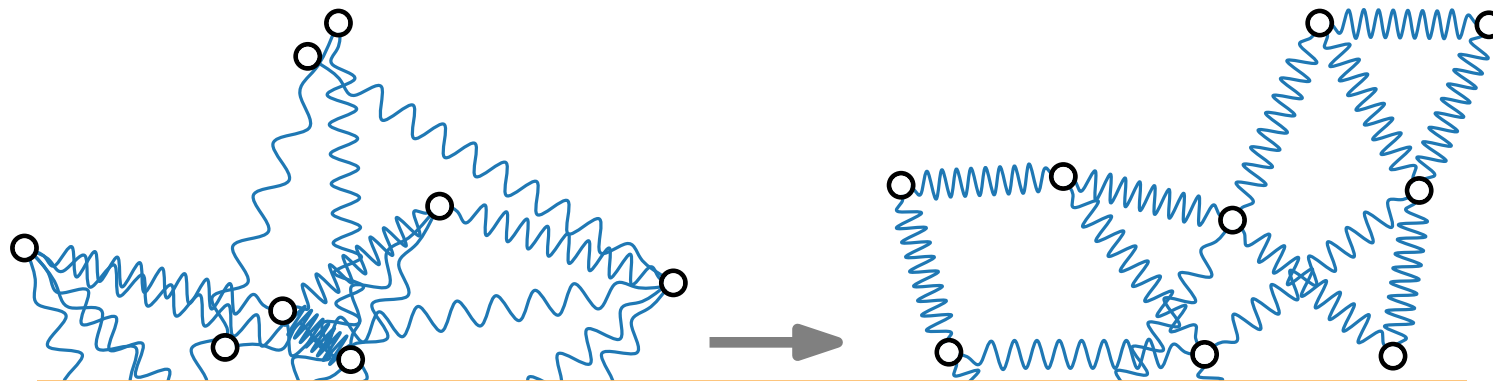
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Physical Analogy

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“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



So-called **spring-embedder** algorithms that work according to this or similar principles are among the most frequently used graph-drawing methods in practice.

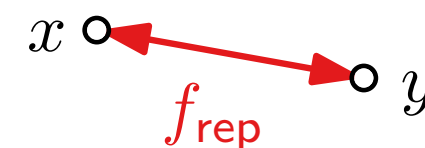
Attractive forces.

pairs $\{u, v\}$ of adjacent vertices:



Repulsive forces.

any pair $\{x, y\}$ of vertices:



Spring Embedder by Eades – Model

■ Repulsive forces

repulsion constant (e.g., 2.0)

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

■ Attractive forces

spring constant (e.g., 1.0)

$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$

■ Resulting displacement vector

$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$$

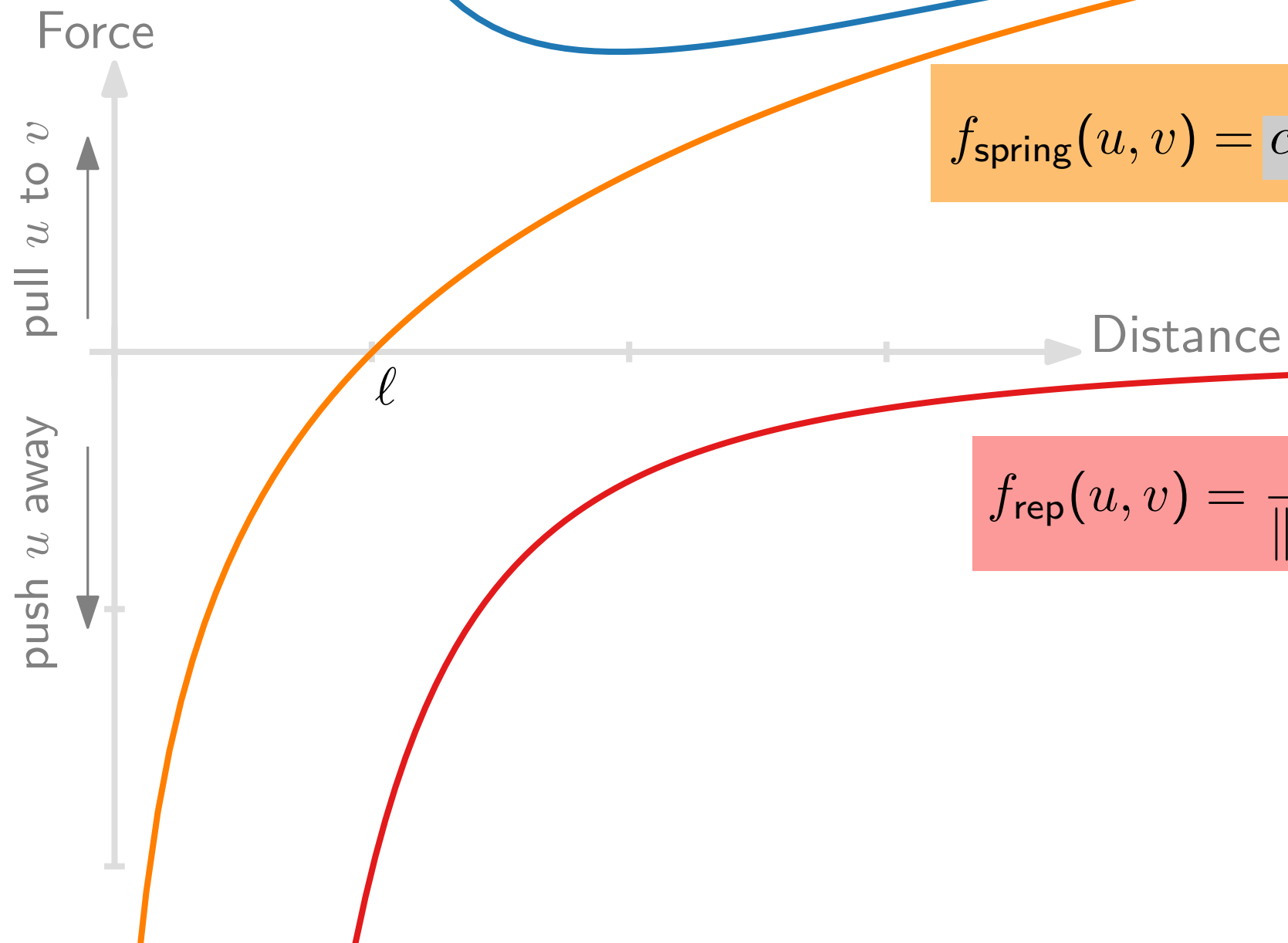
```
ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
 $t \leftarrow 1$ 
while  $t < K$  and  $\max_{v \in V} \|F_v(t)\| > \varepsilon$  do
  foreach  $u \in V$  do
     $F_u(t) \leftarrow \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$ 
  foreach  $u \in V$  do
     $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
   $t \leftarrow t + 1$ 
return  $p$ 
```

Notation.

- $\overrightarrow{p_u p_v}$ = unit vector pointing from u to v
- $\|p_v - p_u\|$ = Euclidean distance between u and v
- ℓ = ideal spring length for edges

Spring Embedder by Eades – Force Diagram

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$



$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{l} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

Spring Embedder by Eades – Discussion

Advantages.

- very simple algorithm
- good results for small and medium-sized graphs
- empirically good representation of symmetry and structure

Disadvantages.

- System may not be stable at the end.
- Converges to local minima.
- Computing f_{spring} is in $\mathcal{O}(|E|)$ time and computing f_{rep} is in $\mathcal{O}(|V|^2)$ time.

Influence.

- original paper by Peter Eades [Eades '84] got ≈ 2000 citations
- basis for many further ideas

Variant by Fruchterman & Reingold

■ Repulsive forces

$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

■ Attractive forces

$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

■ Resulting displacement vector

$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{v \in \text{Adj}[u]} f_{\text{attr}}(u, v)$$

```

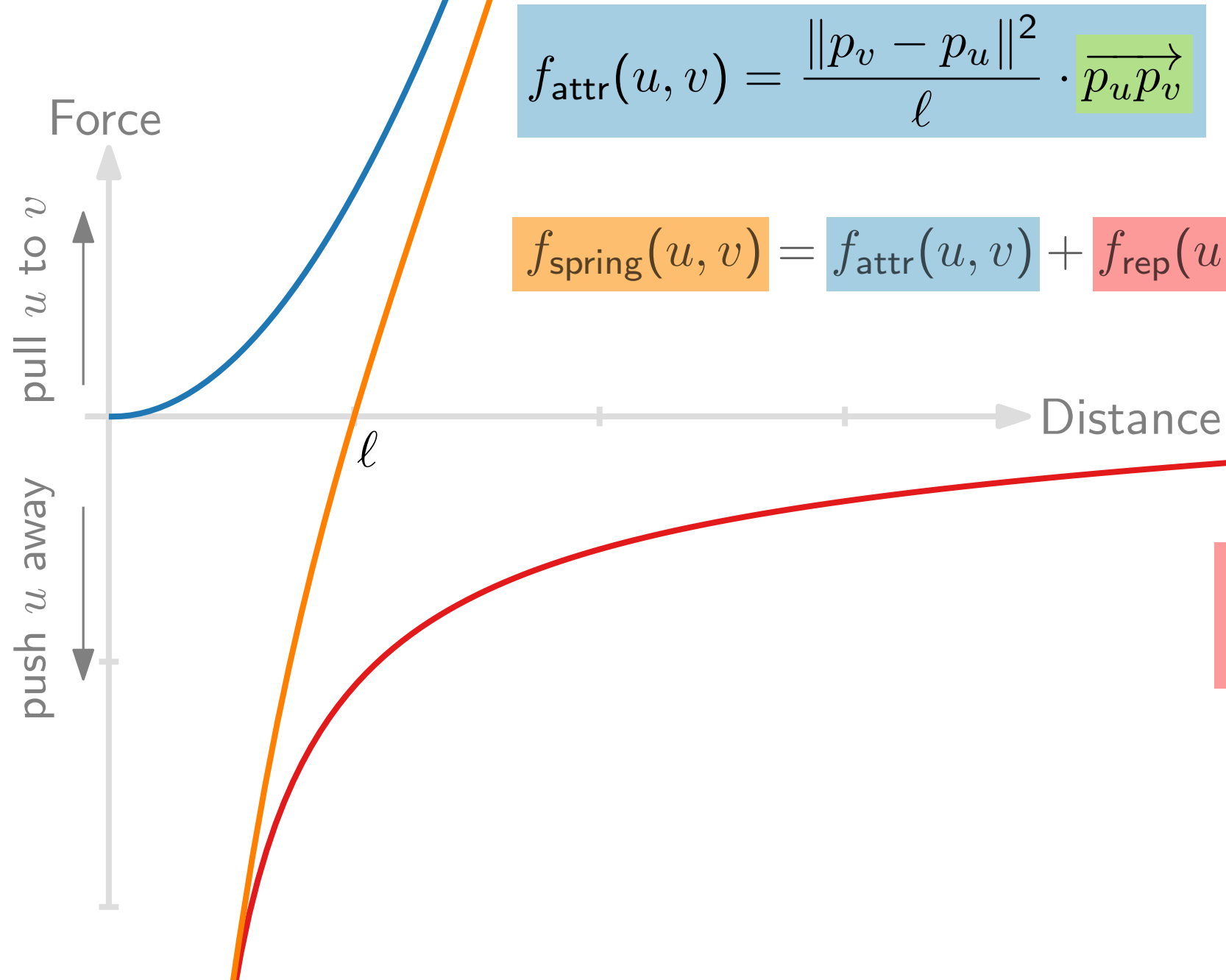
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Notation.

- $\|p_u - p_v\|$ = Euclidean distance between u and v
- $\overrightarrow{p_u p_v}$ = unit vector pointing from u to v
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Fruchterman & Reingold – Force Diagram



$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{spring}}(u, v) = f_{\text{attr}}(u, v) + f_{\text{rep}}(u, v)$$

$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

Adaptability

Inertia. (“Trägheit”)

- Define vertex mass $\Phi(v) = 1 + \deg(v)/2$
- Set $f_{\text{attr}}(p_u, p_v) \leftarrow f_{\text{attr}}(p_u, p_v) \cdot 1/\Phi(v)$

Gravitation.

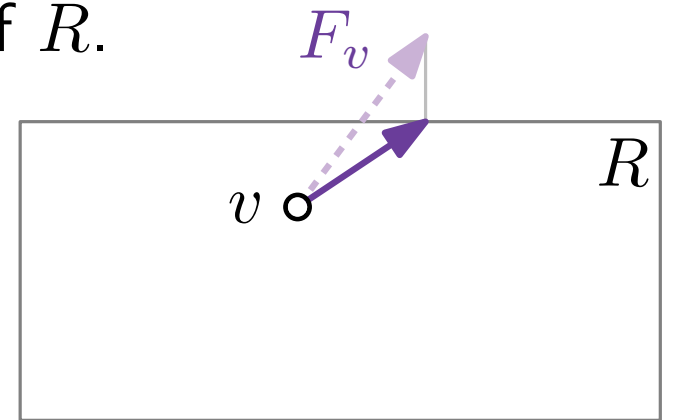
- Define centroid $\sigma_V = 1/|V| \cdot \sum_{v \in V} p_v$
- Add force $f_{\text{grav}}(p_v) = c_{\text{grav}} \cdot \Phi(v) \cdot \overrightarrow{p_v \sigma_V}$

Restricted drawing area.

If F_v points beyond area R , clip vector appropriately at the border of R .

And many more...

- magnetic orientation of edges [GD Ch. 10.4]
- other energy models
- planarity preserving
- speed-ups



Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

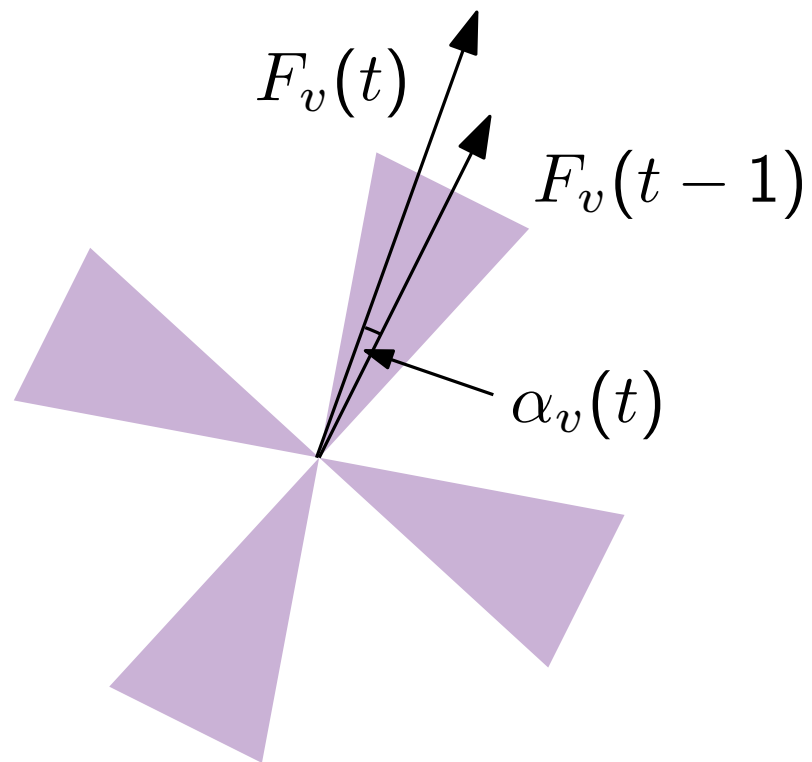
```

ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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```

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]

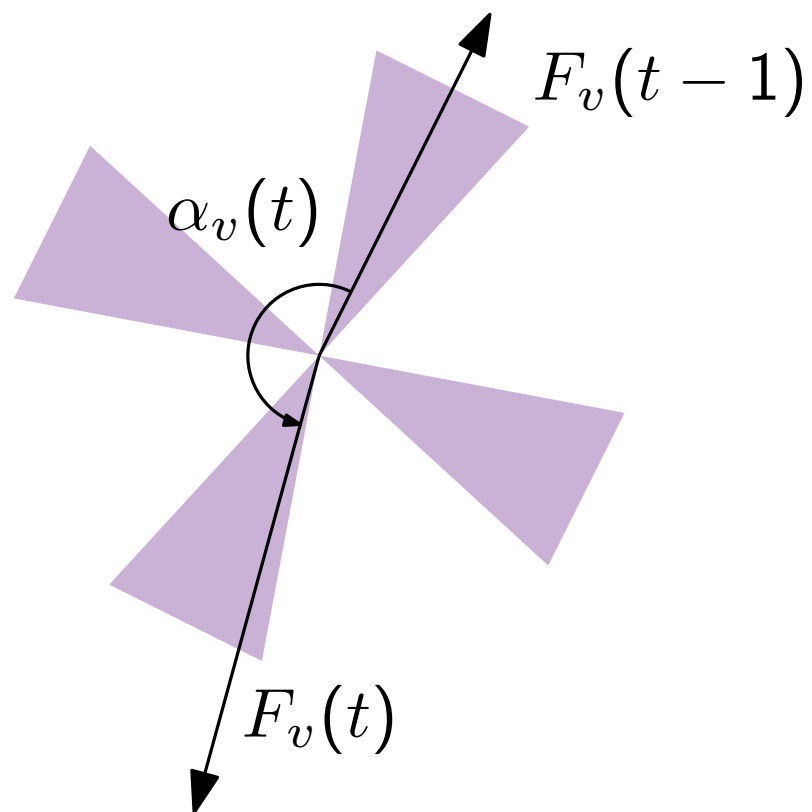


Same direction.

→ increase temperature $\delta_v(t)$

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



Same direction.

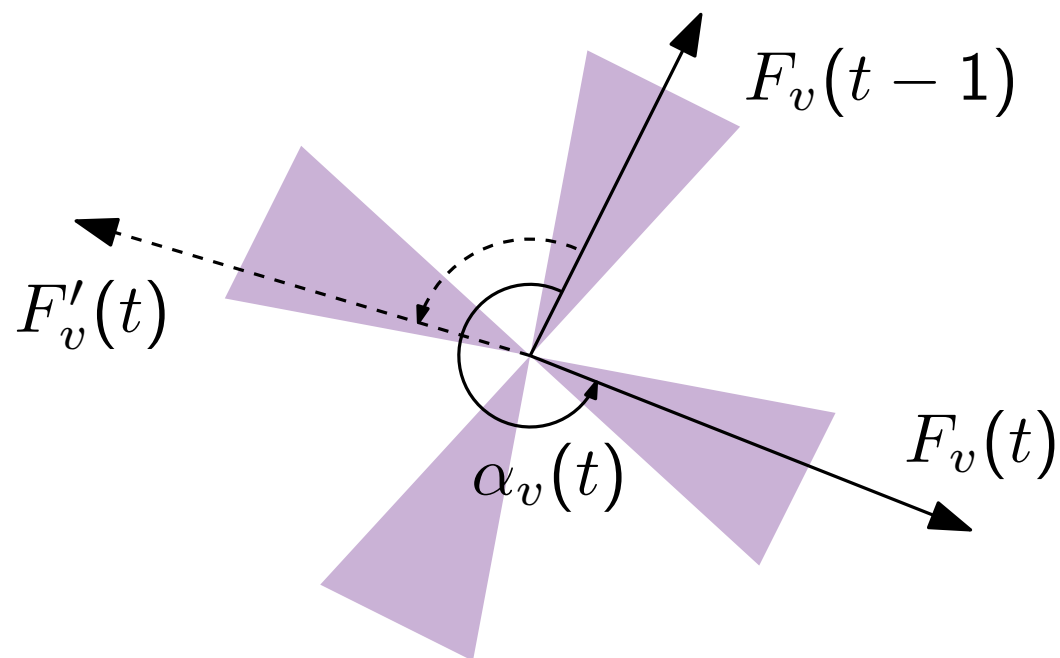
→ increase temperature $\delta_v(t)$

Oscillation.

→ decrease temperature $\delta_v(t)$

Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



Same direction.

→ increase temperature $\delta_v(t)$

Oscillation.

→ decrease temperature $\delta_v(t)$

Rotation.

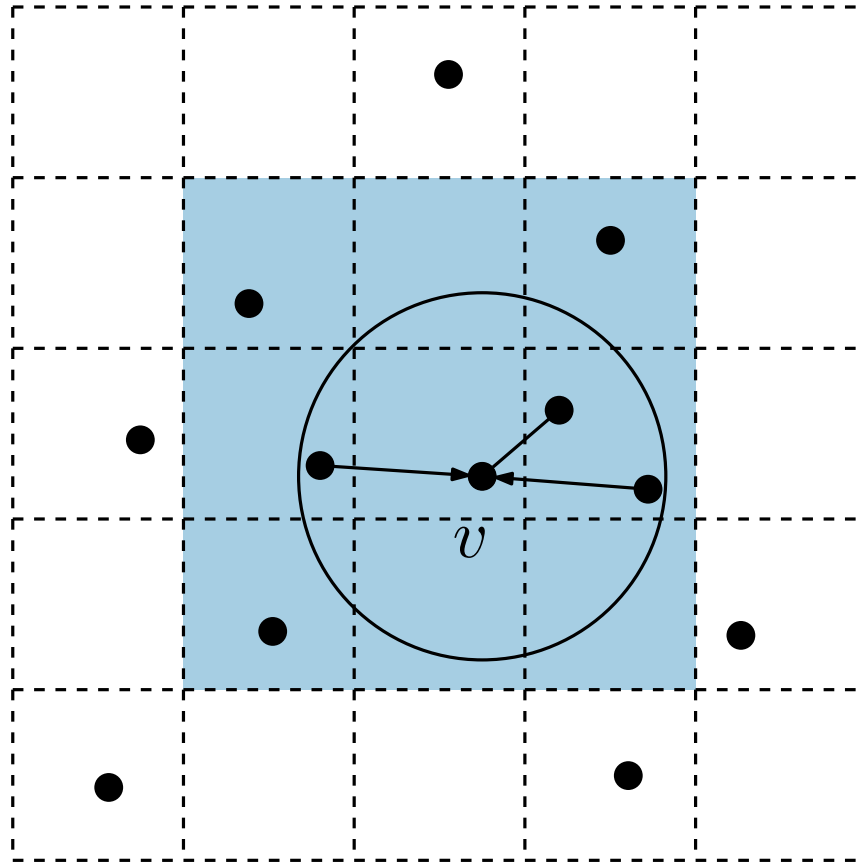
- count rotations

- if applicable

→ decrease temperature $\delta_v(t)$

Speeding up “Convergence” via Grids

[Fruchterman & Reingold '91]



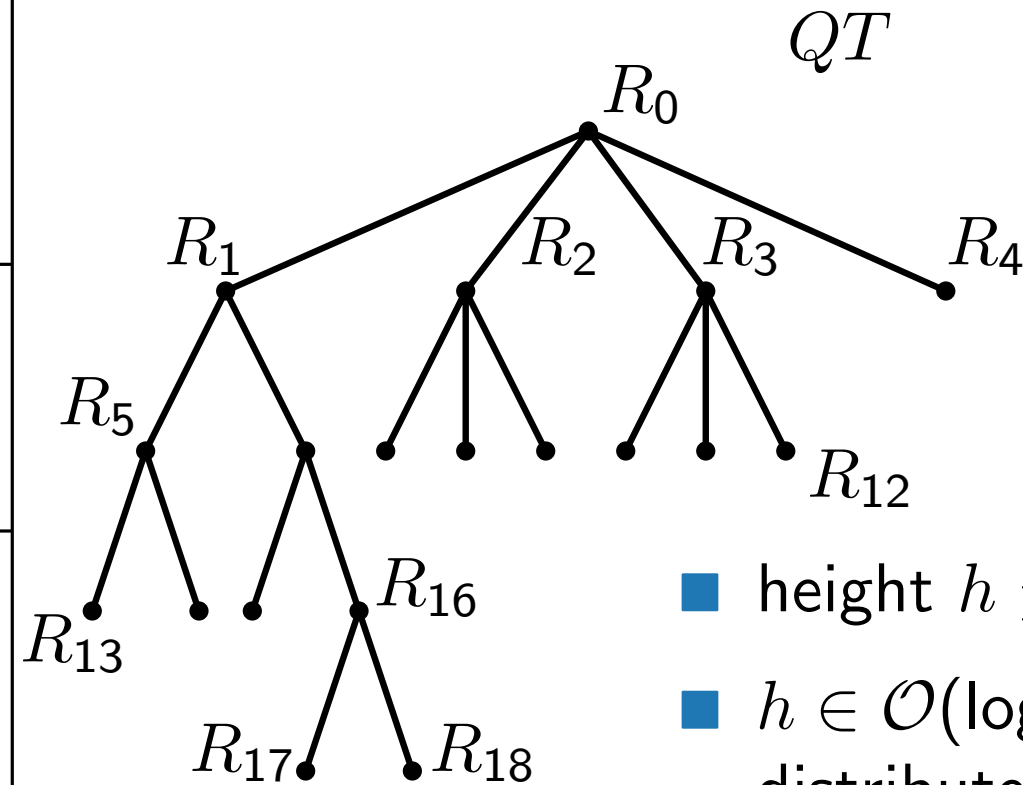
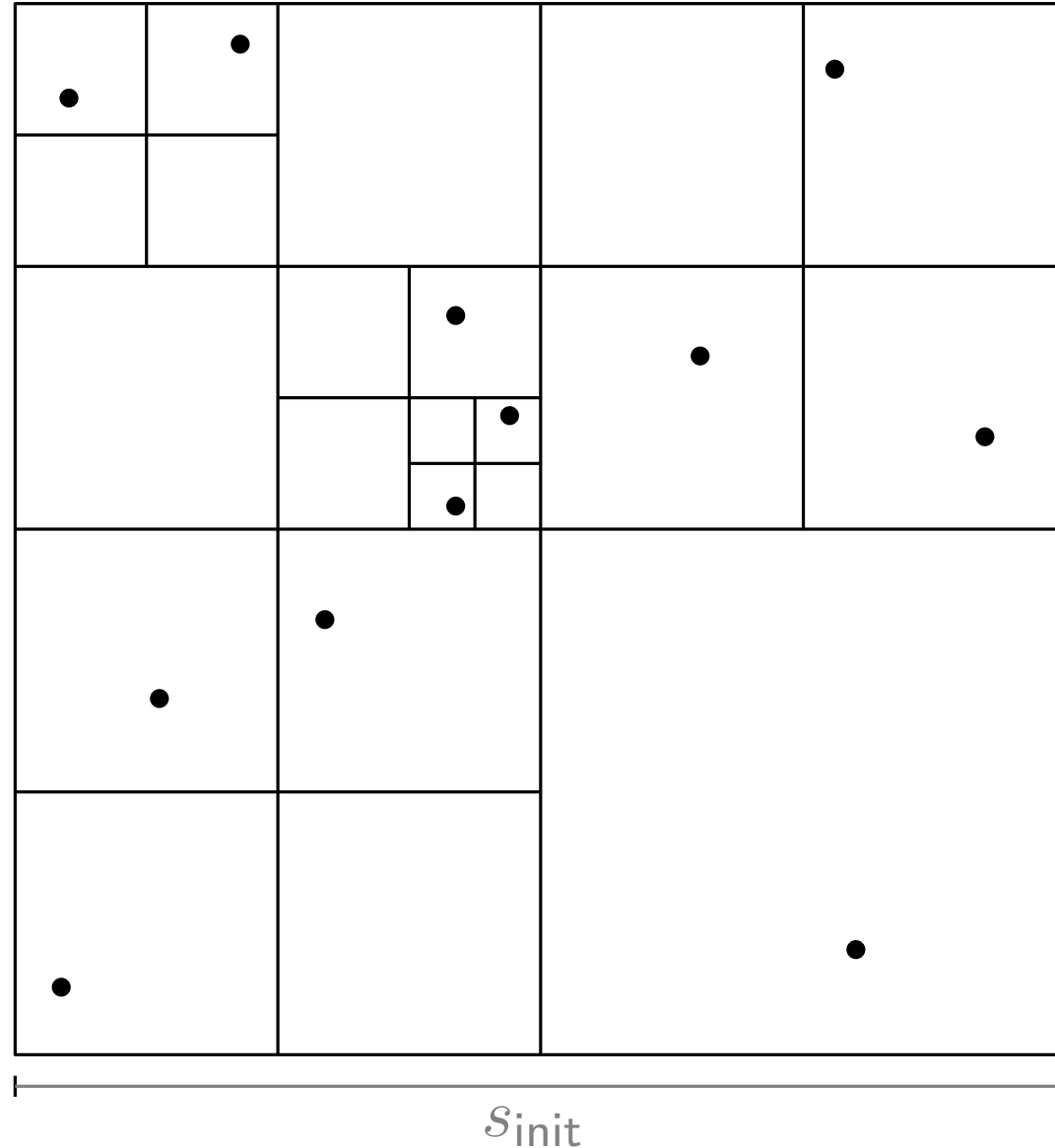
- divide plane into a grid
- consider repulsive forces only to vertices in neighboring cells
- and only if the distance is less than some threshold

Discussion.

- good idea to improve actual runtime
- asymptotic runtime does not improve
- might introduce oscillation and thus a quality loss

Speeding up with Quad Trees

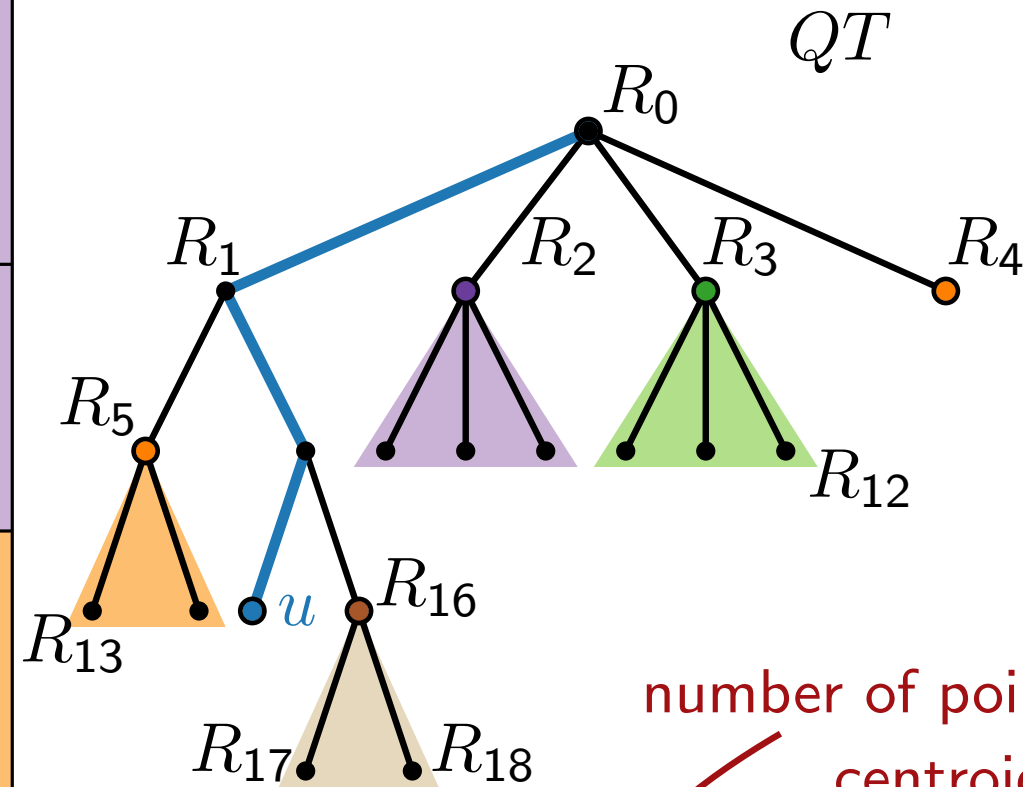
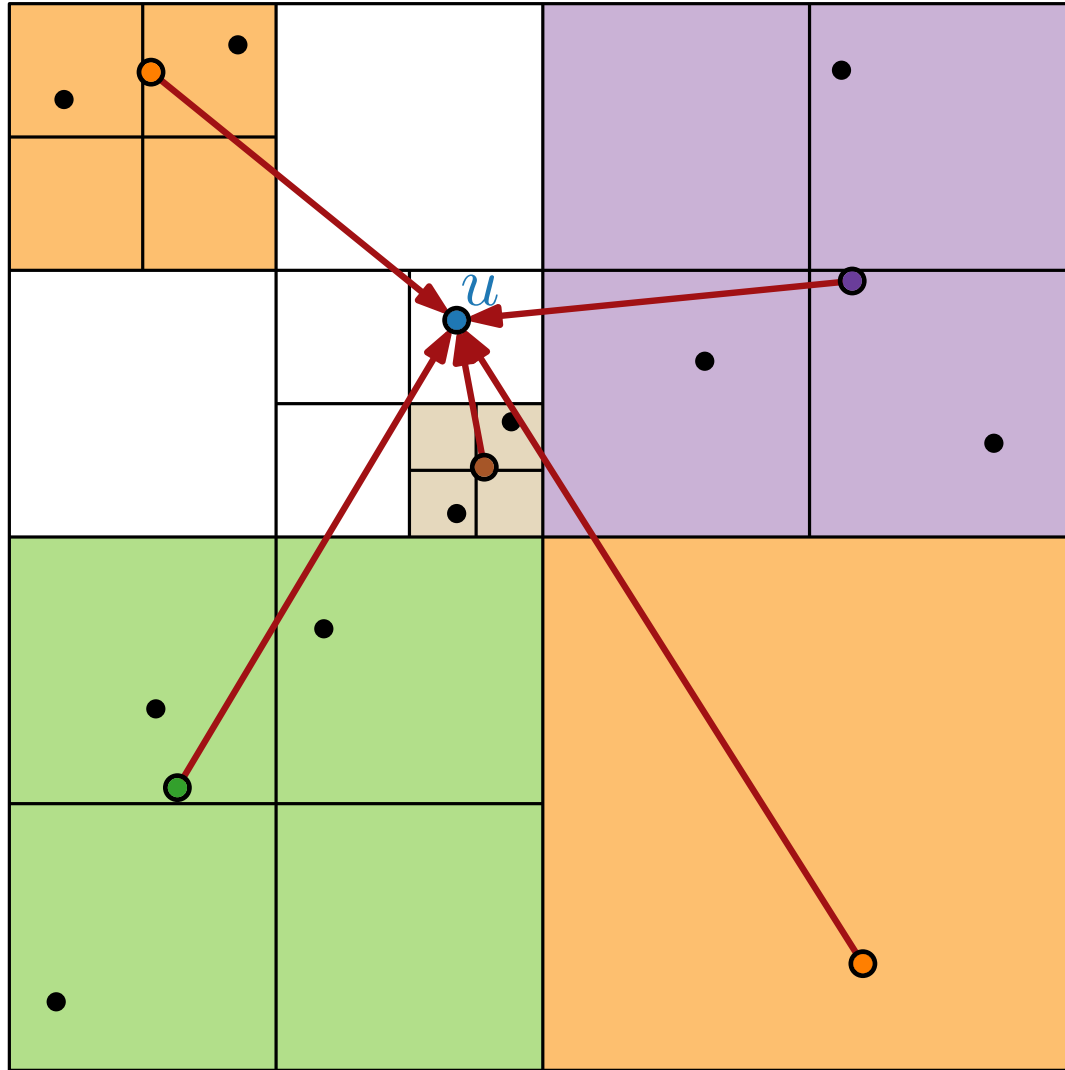
[Barnes, Hut '86]



- height $h \leq \log \frac{S_{init}}{d_{min}} + \frac{3}{2}$
- $h \in \mathcal{O}(\log n)$ if vertices evenly distributed in the initial box
- time/space in $\mathcal{O}(hn)$
- compressed quad tree can be computed in $\mathcal{O}(n \log n)$ time

Speeding up with Quad Trees

[Barnes, Hut '86]



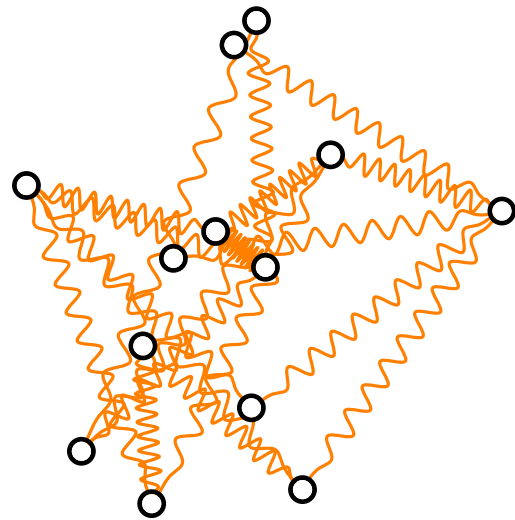
number of points in the subtree R_i
centroid of R_i (pre-computed)

$$f_{\text{rep}}(R_i, p_u) = |R_i| \cdot f_{\text{rep}}(\sigma_{R_i}, p_u)$$

for each child R_i of a vertex on path from u to root.

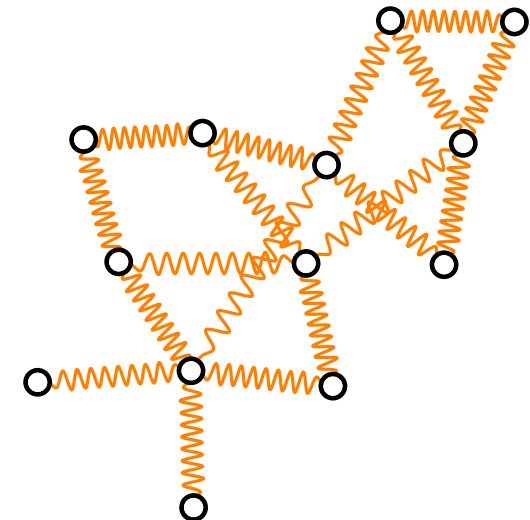
Visualization of Graphs

Lecture 2: Force-Directed Drawing Algorithms



Part II: Tutte Embeddings

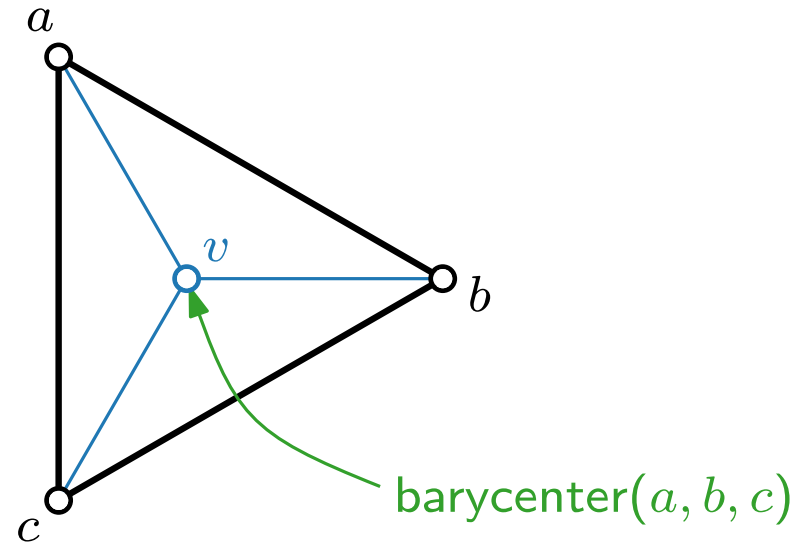
Johannes Zink



Idea

Consider a fixed triangle (a, b, c)
with a common neighbor v

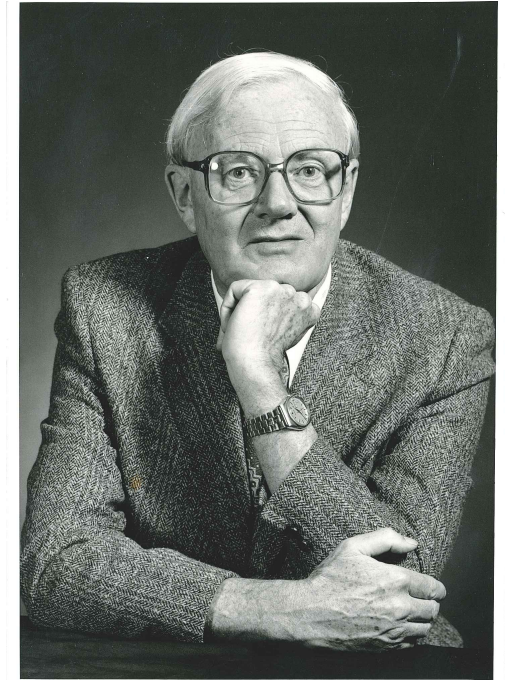
Where would you place v ?



$$\text{barycenter}(x_1, \dots, x_k) = \sum_{i=1}^k x_i / k$$

Idea.

Repeatedly place every vertex at barycenter of neighbors.



William T. Tutte
1917 – 2002

Tutte's Forces

Goal.

$$p_u = \text{barycenter}(\text{Adj}[u]) \\ = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u)$$

$$F_u(t) = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u) - p_u \\ = \sum_{v \in \text{Adj}[u]} (p_v - p_u) / \text{deg}(u)$$

$$= \sum_{v \in \text{Adj}[u]} \frac{\|p_u - p_v\|}{\text{deg}(u)} \overrightarrow{p_u p_v}$$

■ Repulsive forces

$$f_{\text{rep}}(u, v) = 0$$

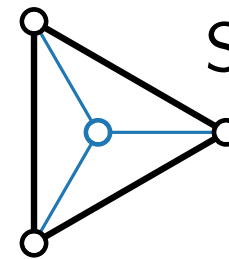
■ Attractive forces

$$f_{\text{attr}}(u, v) = \begin{cases} 0 & \text{if } u \text{ fixed,} \\ \frac{\|p_u - p_v\|}{\text{deg}(u)} \overrightarrow{p_u p_v} & \text{otherwise.} \end{cases}$$

```
ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
 $t \leftarrow 1$ 
while  $t < K$  and  $\max_{v \in V} \|F_v(t)\| > \varepsilon$  do
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  foreach  $u \in V$  do
     $p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$ 
   $t \leftarrow t + 1$ 
return  $p$ 
```

barycenter(x_1, \dots, x_k) = $\sum_{i=1}^k x_i / k$

Global minimum: $p_u = (0, 0) \forall u \in V$ ☹️



Solution: fix coordinates of outer face! 😊

$\overrightarrow{p_u p_v}$ = unit vector pointing from u to v

$\|p_u - p_v\|$ = Euclidean distance between u and v

System of Linear Equations

Goal. $p_u = (x_u, y_u)$

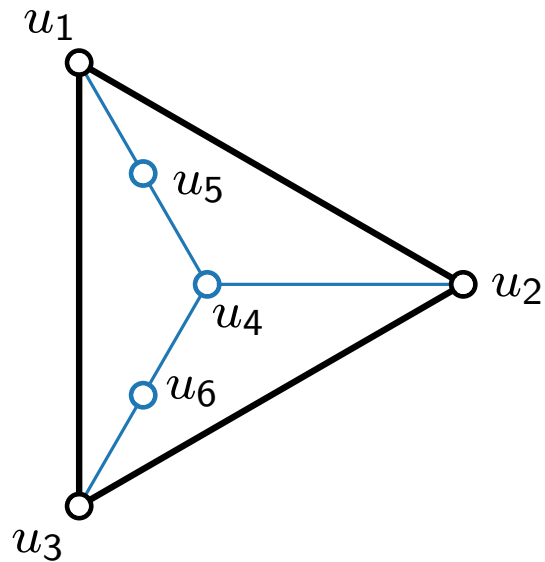
$$p_u = \text{barycenter}(\text{Adj}[u]) = \sum_{v \in \text{Adj}[u]} p_v / \text{deg}(u)$$

$$x_u = \sum_{v \in \text{Adj}[u]} x_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \text{deg}(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0$$

$$y_u = \sum_{v \in \text{Adj}[u]} y_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \text{deg}(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0$$

$$Ax = b \quad Ay = b \quad b = (0)_n$$

Two systems of linear equations:



$$A = \begin{matrix} & u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{matrix} & \begin{pmatrix} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{pmatrix} \end{matrix}$$

Laplacian matrix of G

n variables, n constraints, $\det(A) = 0$

\Rightarrow no unique solution



$$A_{ii} = \text{deg}(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

System of Linear Equations

solve two systems of linear equations

Goal. $p_u = (x_u, y_u)$

$p_u = \text{barycenter}(\text{Adj}[u]) =$

$$x_u = \sum_{v \in \text{Adj}[u]} x_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot x_u = \sum_{v \in \text{Adj}[u]} x_v \Leftrightarrow \text{deg}(u) \cdot x_u - \sum_{v \in \text{Adj}[u]} x_v = 0$$

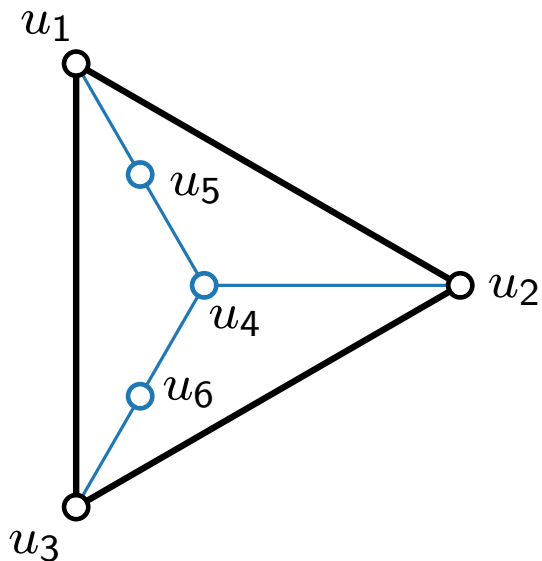
$$y_u = \sum_{v \in \text{Adj}[u]} y_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot y_u = \sum_{v \in \text{Adj}[u]} y_v \Leftrightarrow \text{deg}(u) \cdot y_u - \sum_{v \in \text{Adj}[u]} y_v = 0$$

Theorem.

Tutte's barycentric algorithm admits a **unique solution**.

It can be computed in **polynomial time**.

Tutte drawing



$$A = \begin{matrix} & u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{matrix} & \begin{pmatrix} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{pmatrix} \end{matrix}$$

Laplacian matrix of G

k variables, k constraints, $\det(A) > 0$

$k = \# \text{free vertices}$

\Rightarrow unique solution



$$A_{ii} = \text{deg}(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

Solution: we don't need to change the fixed vertices & constraints dependent on fixed vertices are constant and can be moved into b

3-Connected Planar Graphs

(up to the choice of the outer face and mirroring)

- planar:** G can be drawn in such a way that no edges cross each other
- connected:** $\exists u-v$ path for every vertex pair $\{u, v\}$.
- k -connected:** $G - \{v_1, \dots, v_{k-1}\}$ is connected for **any** $k - 1$ vertices v_1, \dots, v_{k-1} .
Or (equivalently if $G \neq K_k$):
 There are at least k vertex-disjoint $u-v$ paths for every vertex pair $\{u, v\}$.

Theorem. [Whitney 1933]

Every 3-connected planar graph has a **unique** planar embedding.

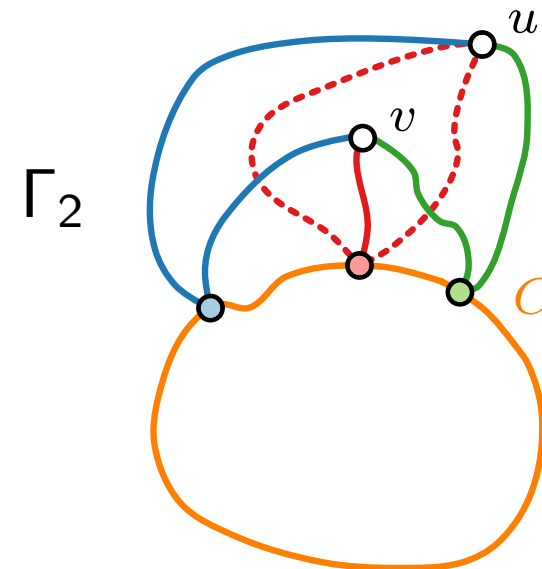
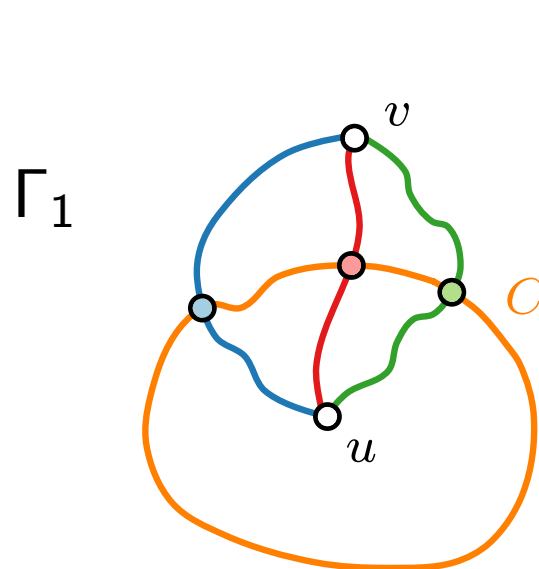
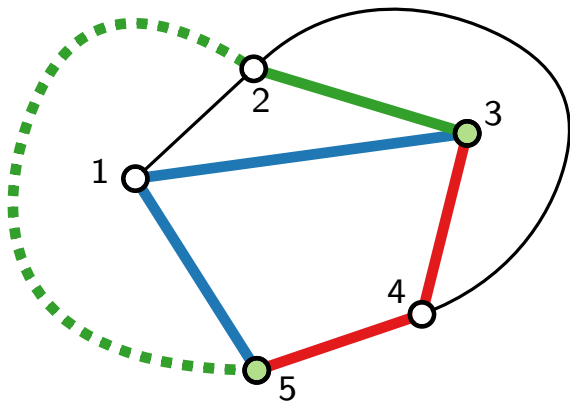
Proof sketch.

Γ_1, Γ_2 embeddings of G .

Let C be a face of Γ_2 , but not of Γ_1 .

u inside C in Γ_1 , v outside C in Γ_1

both on same side in Γ_2



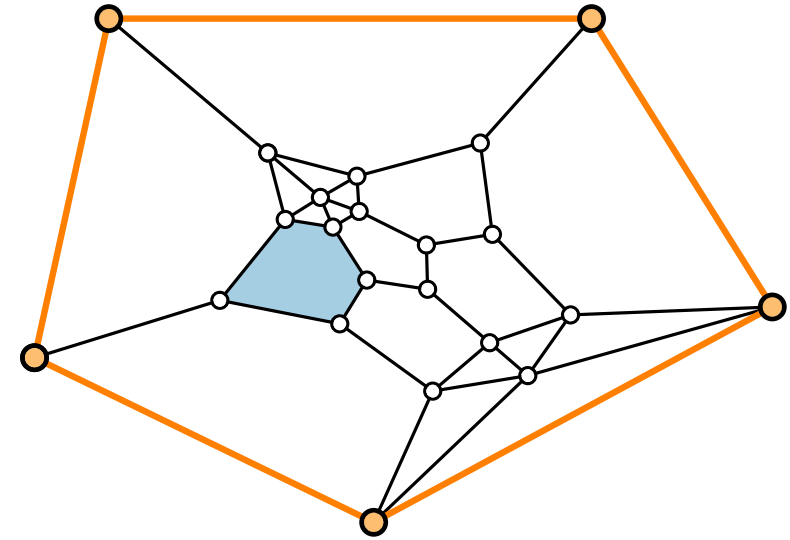
Tutte's Theorem

Theorem.

[Tutte 1963]

Let G be a 3-connected planar graph, and let C be a face of its unique embedding.

If we fix C on a strictly convex polygon, then the Tutte drawing of G is planar and all its faces are strictly convex.

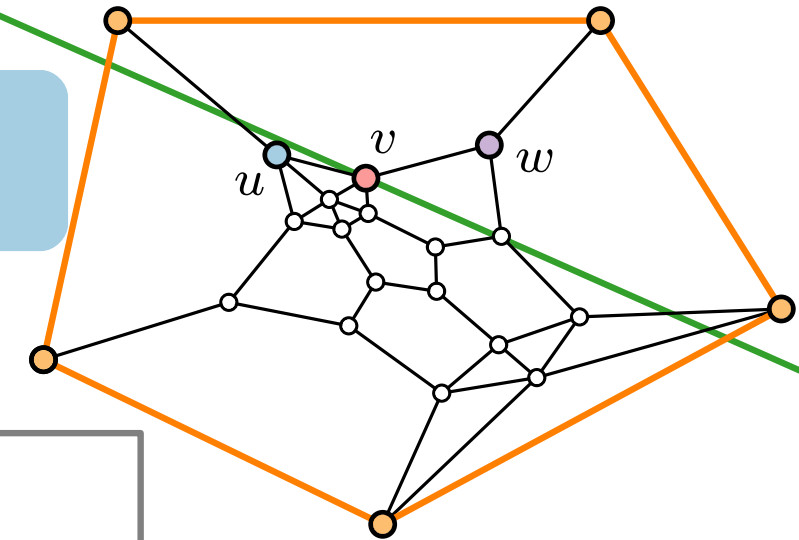
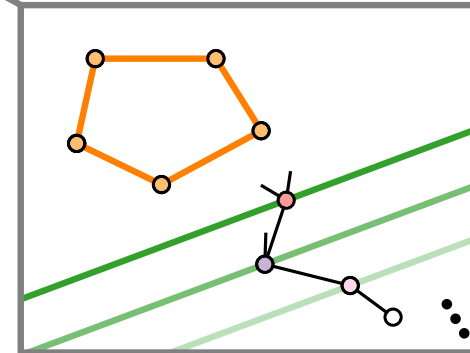


Properties of Tutte Drawings

Property 1. Let $v \in V$ free, ℓ line through v .
 $\exists uv \in E$ on one side of $\ell \Rightarrow \exists vw \in E$ on other side

Otherwise, all forces to same side ...

Property 2. All free vertices lie inside C .



Properties of Tutte Drawings

Property 1. Let $v \in V$ free, ℓ line through v .
 $\exists uv \in E$ on one side of $\ell \Rightarrow \exists vw \in E$ on other side

Otherwise, all forces to same side ...

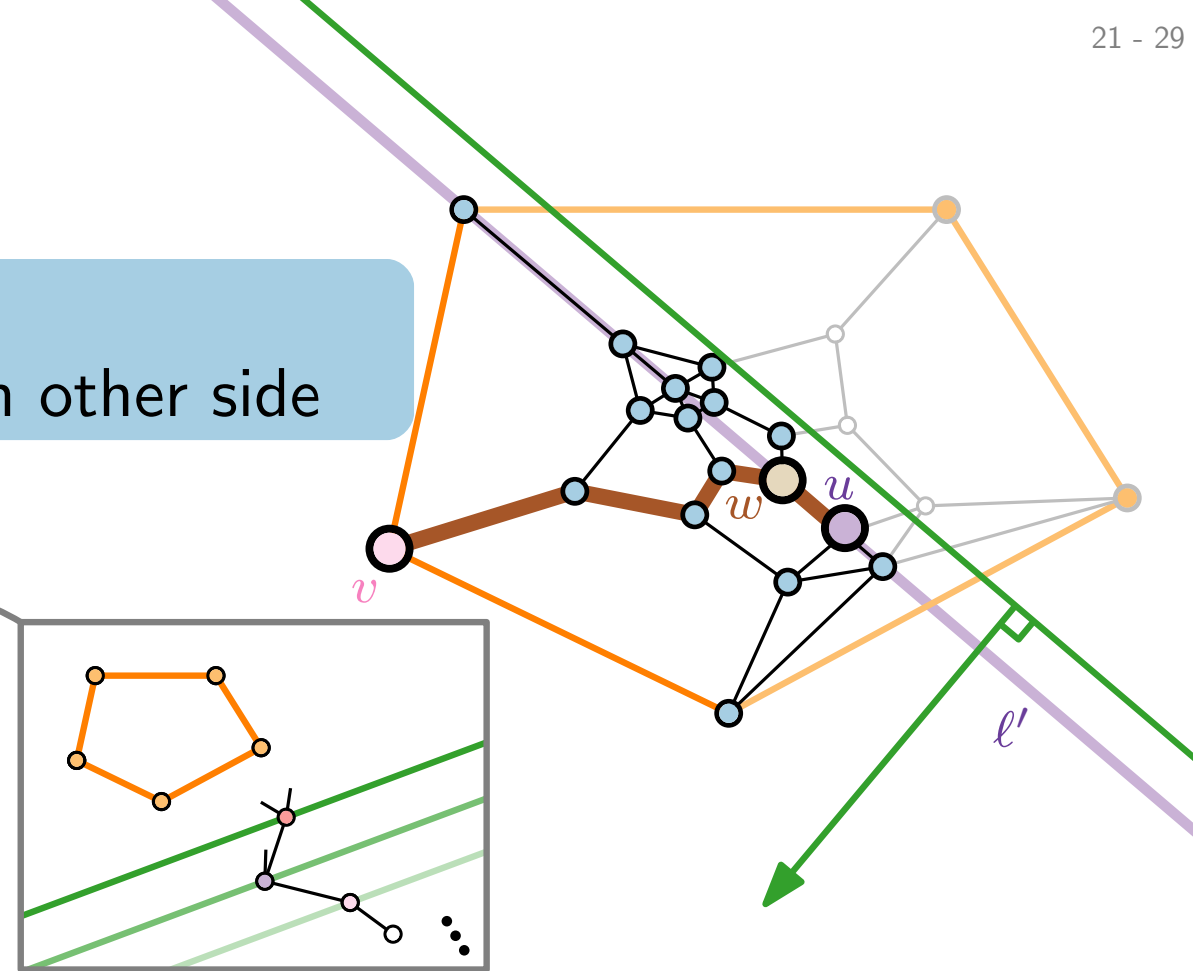
Property 2. All free vertices lie inside C .

Property 3. Let ℓ be any line.
 Let V_ℓ be all vertices on one side of ℓ .
 Then $G[V_\ell]$ is connected.

v furthest away from ℓ

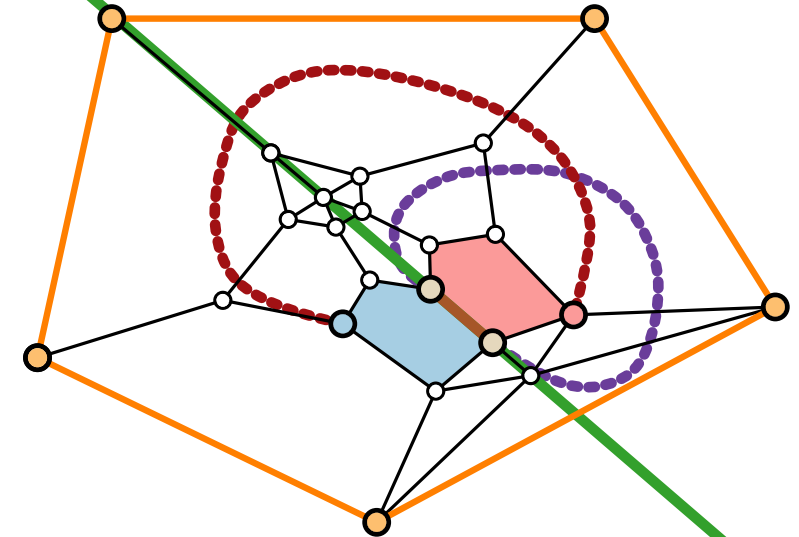
Pick any vertex $u \in V_\ell$, ℓ' parallel to ℓ through u

G connected, v not on $\ell' \Rightarrow \exists$ neighbor $w \in V_\ell$ of u on the same side of ℓ' as v
 move ℓ' onto w and repeat $\Rightarrow \exists$ path from u to v

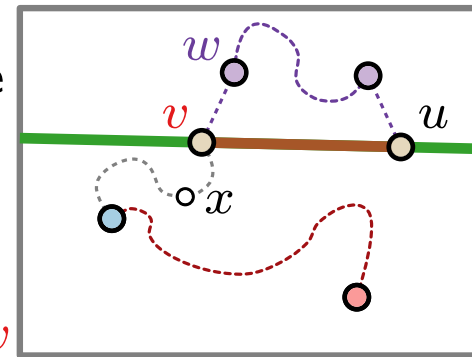


Proof of Tutte's Theorem

Lemma. Let uv be a non-boundary edge, l line through uv . Then the two faces f_1, f_2 incident to uv lie completely on opposite sides of l .



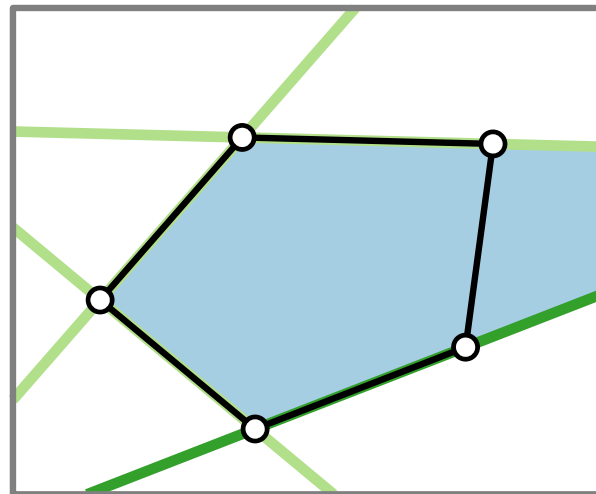
Property 1. Let $v \in V$ free, l line through v .
 $\exists xv \in E$ on one side of $l \Rightarrow \exists vw \in E$ on other side



Property 3. Let l be any line.
 Let V_l be the set of vertices on one side of l .
 Then $G[V_l]$ is connected.

xv and vw on different sides of $l \Rightarrow f_1, f_2$ have angles $< \pi$ at v

Lemma. All faces are strictly convex.

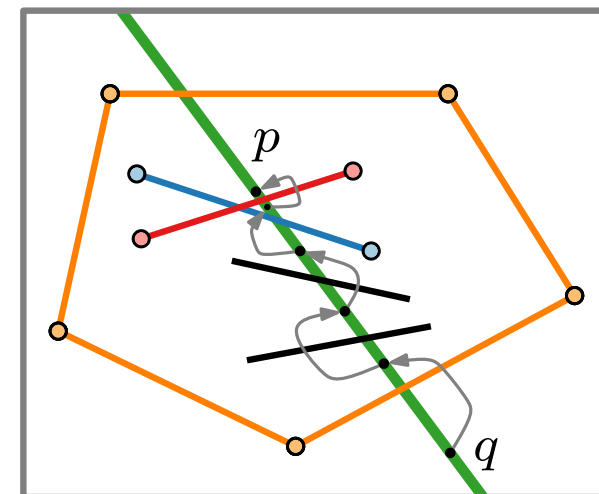


Property 2. All free vertices lie inside C .

p inside two faces
 $\Rightarrow q$ in one face
 jumping over edge
 \rightarrow #faces the same
 $\Rightarrow p$ inside one face



Lemma. The drawing is planar.



Literature

Main sources:

- [GD Ch. 10] Force-Directed Methods
- [DG Ch. 4] Drawing on Physical Analogies

Original papers:

- [Eades 1984] A heuristic for graph drawing
- [Fruchterman, Reingold 1991] Graph drawing by force-directed placement
- [Tutte 1963] How to draw a graph