

# Approximation Algorithms

Lecture 3:

STEINERTREE and MULTIWAYCUT

Part I:

STEINERTREE

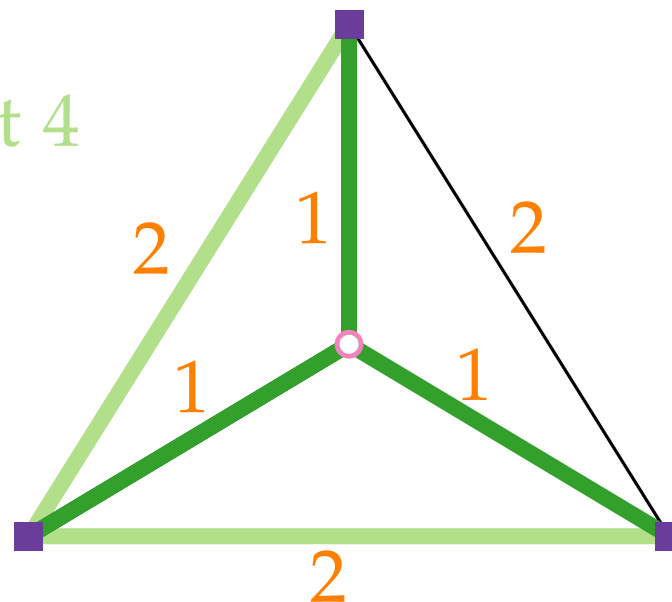
# STEINERTREE

**Given:** A graph  $G = (V, E)$  with **edge weights**  $c: E \rightarrow \mathbb{Q}^+$  and a partition of  $V$  into a set  $T$  of **terminals** and a set  $S$  of **Steiner vertices**.

**Find:** A **subtree**  $B = (V', E')$  of  $G$  that contains all **terminals**, i.e.,  $T \subseteq V'$ , and has **minimum cost**  $c(E') := \sum_{e \in E'} c(e)$  among all subtrees with this property.

valid solution with cost 4

optimum solution  
with cost 3

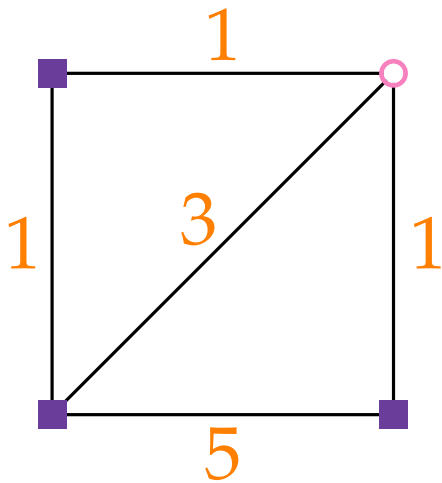


■ terminal

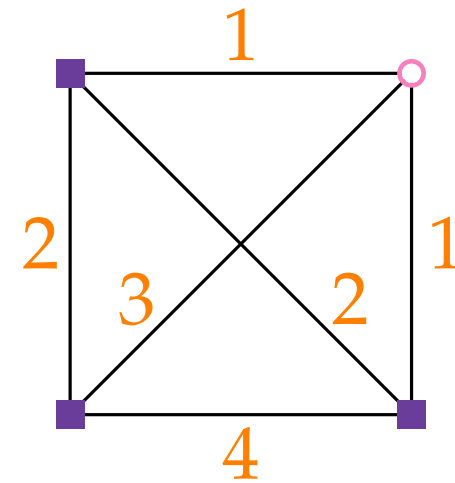
○ Steiner vertex

# METRICSTEINERTREE

Restriction of STEINERTREE where the graph  $G$  is complete and the cost function is **metric**, i.e., for every triple  $u, v, w$  of vertices, we have  $c(u, w) \leq c(u, v) + c(v, w)$ .



not complete  
not metric



complete  
metric

# Approximation Algorithms

Lecture 3:

STEINERTREE and MULTIWAYCUT

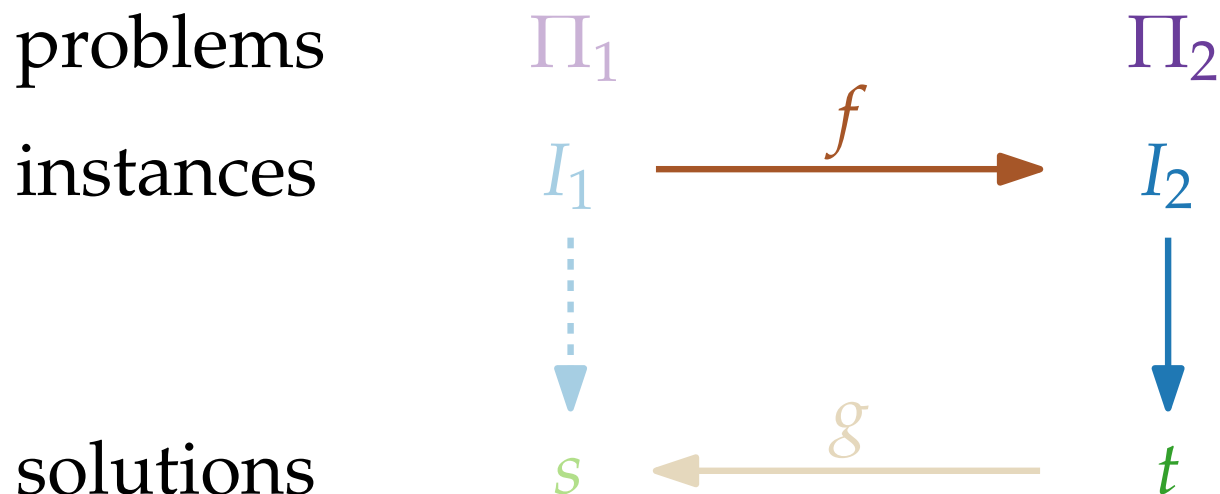
Part II:

Approximation Preserving Reduction

# Approximation Preserving Reduction

Let  $\Pi_1, \Pi_2$  be minimization problems. An **approximation preserving reduction** from  $\Pi_1$  to  $\Pi_2$  is a tuple  $(f, g)$  of poly-time computable functions with the following properties.

- (i) For each instance  $I_1$  of  $\Pi_1$ ,  $I_2 := f(I_1)$  is an instance of  $\Pi_2$  with  $\text{OPT}_{\Pi_2}(I_2) \leq \text{OPT}_{\Pi_1}(I_1)$ .
- (ii) For each feasible solution  $t$  of  $I_2$ ,  $s := g(I_1, t)$  is a feasible solution of  $I_1$  with  $\text{obj}_{\Pi_1}(I_1, s) \leq \text{obj}_{\Pi_2}(I_2, t)$ .



# Approximation Preserving Reduction

**Theorem.** Let  $\Pi_1, \Pi_2$  be minimization problems where there is an approximation preserving reduction  $(f, g)$  from  $\Pi_1$  to  $\Pi_2$ . Then there is a factor- $\alpha$ -approximation algorithm of  $\Pi_1$  for each factor- $\alpha$ -approximation algorithm of  $\Pi_2$ .

## Proof.

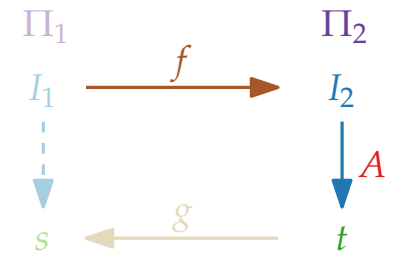
Let  $A$  be a factor- $\alpha$ -approx. alg. for  $\Pi_2$ .

Let  $I_1$  be an instance of  $\Pi_1$ .

Set  $I_2 := f(I_1)$ ,  $t := A(I_2)$  and  $s := g(I_1, t)$ .

Then:

$$\text{obj}_{\Pi_1}(I_1, s) \leq \text{obj}_{\Pi_2}(I_2, t) \leq \alpha \cdot \text{OPT}_{\Pi_2}(I_2) \leq \alpha \cdot \text{OPT}_{\Pi_1}(I_1)$$



# Approximation Algorithms

## Lecture 3:

## STEINERTREE and MULTIWAYCUT

### Part III:

### Reduction to METRICSTEINERTREE

# METRICSTEINERTREE

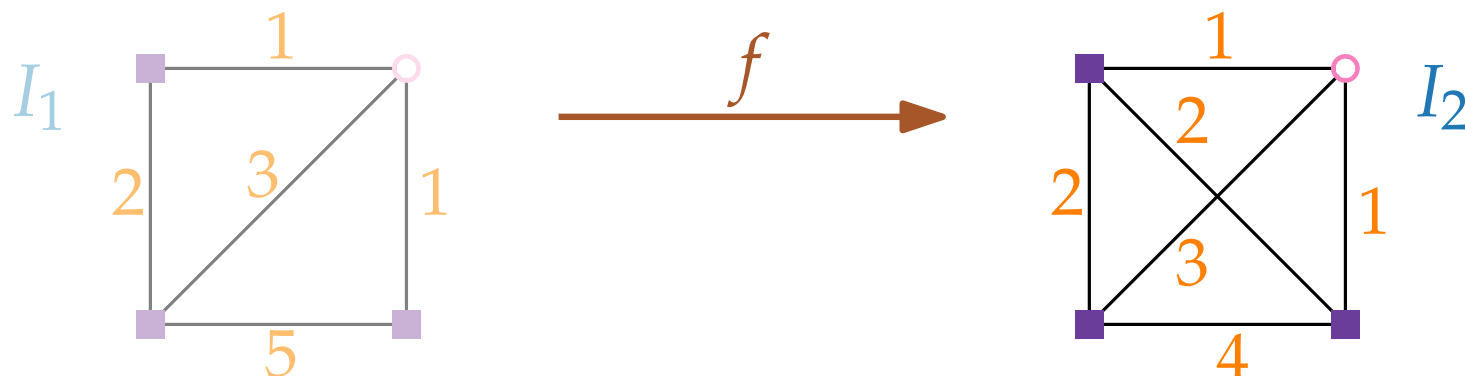
**Theorem.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** (1) Mapping  $f: I_1 \longrightarrow I_2$

Instance  $I_1$  of STEINERTREE: Graph  $G_1 = (V, E_1)$ , edge weights  $c_1$ , partition  $V = T \cup S$

Metric instance  $I_2 := f(I_1)$ : Complete graph  $G_2 = (V, E_2)$ , partition  $T, S$  as in  $I_1$

$c_2(u, v) :=$  Length of shortest  $u-v$ -path in  $G_1$





# METRICSTEINERTREE

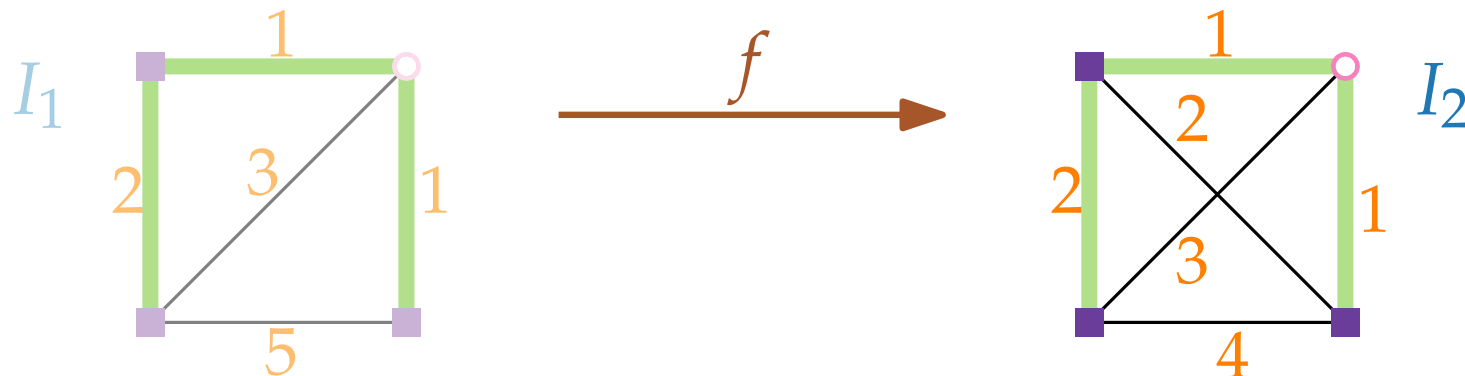
**Theorem.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** (2)  $\text{OPT}(I_2) \leq \text{OPT}(I_1)$

Let  $B^*$  be optimal Steiner tree for  $I_1$

$B^*$  is also a feasible solution for  $I_2$ , since  $E_1 \subseteq E_2$  and the vertex sets  $V, T, S$  are the same

$$\text{OPT}(I_2) \leq c_2(B^*) \leq c_1(B^*) = \text{OPT}(I_1)$$



# METRICSTEINERTREE

**Theorem.** There is an approximation preserving reduction from STEINERTREE to METRICSTEINERTREE.

**Proof.** (3) Mapping  $g$  

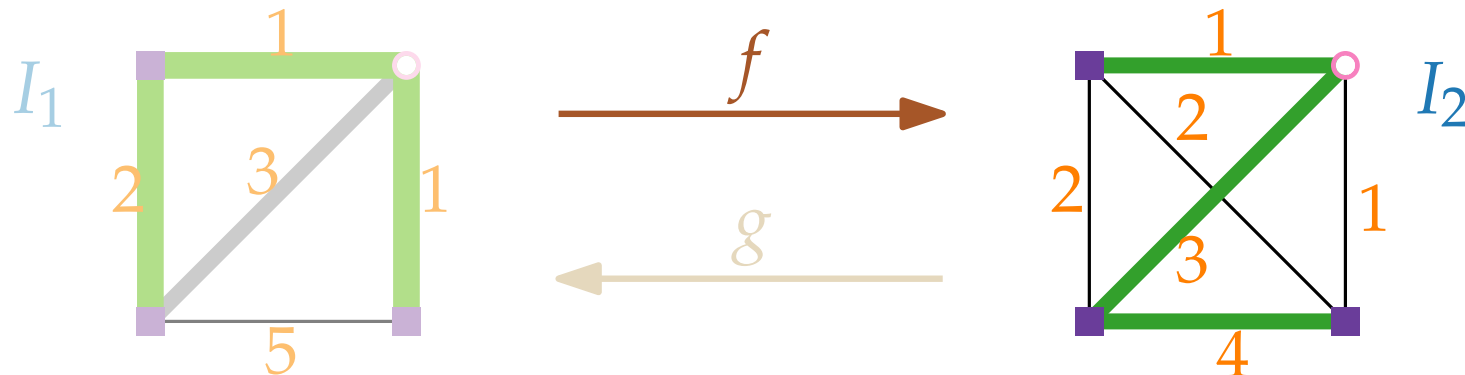
Let  $B_2$  be Steiner tree of  $G_2$

Construct  $G'_1 \subseteq G_1$  from  $B_2$  by replacing each edge  $(u, v)$  of  $B_2$  by a shortest  $u-v$ -path in  $G_1$ .

$c_1(G'_1) \leq c_2(B_2)$ ;  $G'_1$  connects all terminals; not nec. a tree

Consider spanning tree  $B_1$  of  $G'_1 \rightsquigarrow$  Steiner tree  $B_1$  of  $G_1$

$c_1(B_1) \leq c_1(G'_1) \leq c_2(B_2)$



# Approximation Algorithms

## Lecture 3:

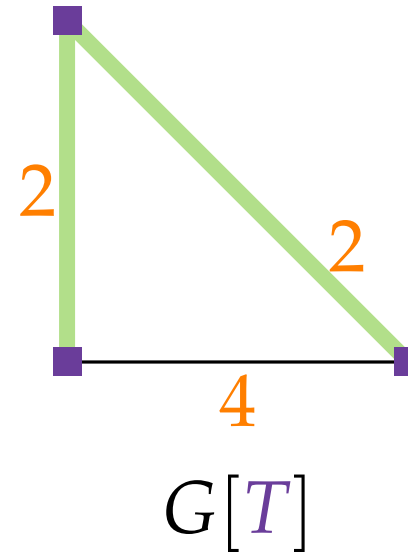
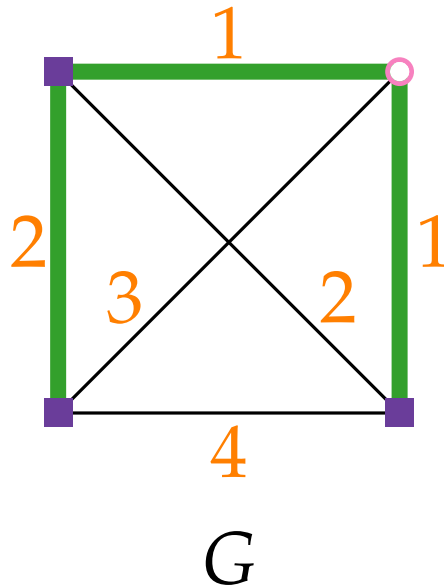
## STEINERTREE and MULTIWAYCUT

### Part IV:

### 2-Approximation for STEINERTREE

# 2-Approximation for STEINERTREE

**Theorem.** For an instance of METRICSTEINERTREE, let  $B$  be a minimum spanning tree (MST) of the subgraph  $G[T]$  induced by the terminal set  $T$ . Then  $c(B) \leq 2 \cdot \text{OPT}$ .



# Proof of Approximation Factor

Consider optimal Steiner tree  $B^*$

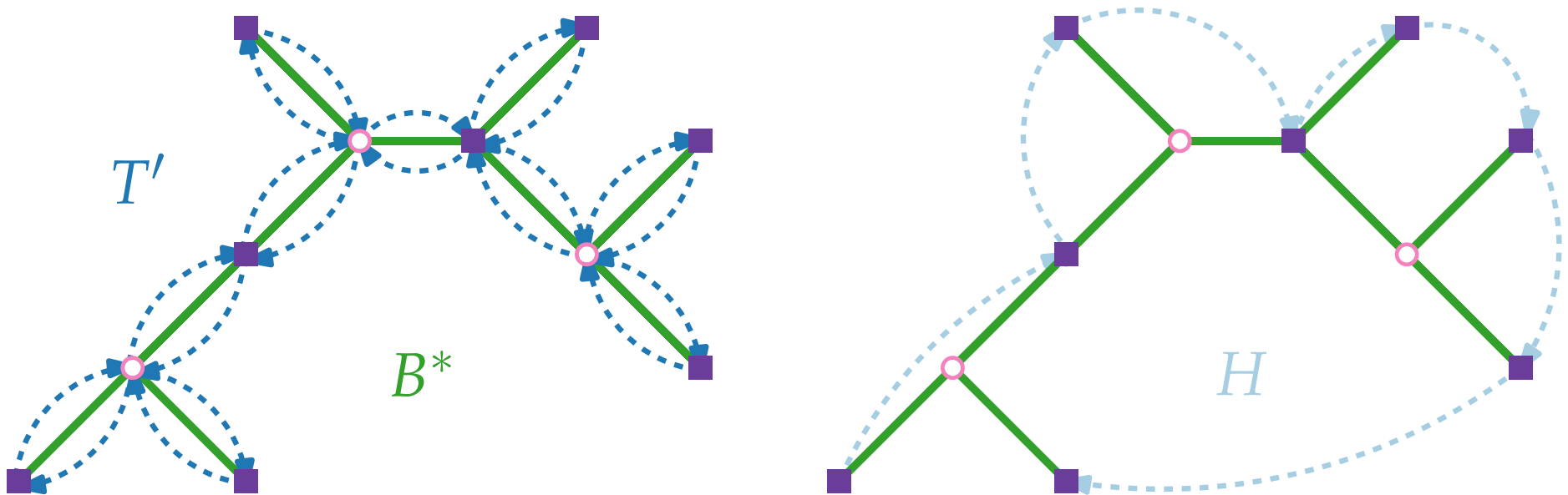
Duplicate all edges in  $B^*$   $\rightsquigarrow$  Eulerian (multi-)graph  $B'$  with cost  $c(B') = 2 \cdot \text{OPT}$

Find an Eulerian tour  $T'$  in  $B'$   $\rightsquigarrow c(T') = c(B') = 2 \cdot \text{OPT}$

Find a Hamiltonian path  $H$  in  $G[T]$  by “short-cutting” Steiner vertices and previously visited terminals

$\rightsquigarrow c(H) \leq c(T') = 2 \cdot \text{OPT}$ , since  $G$  is metric

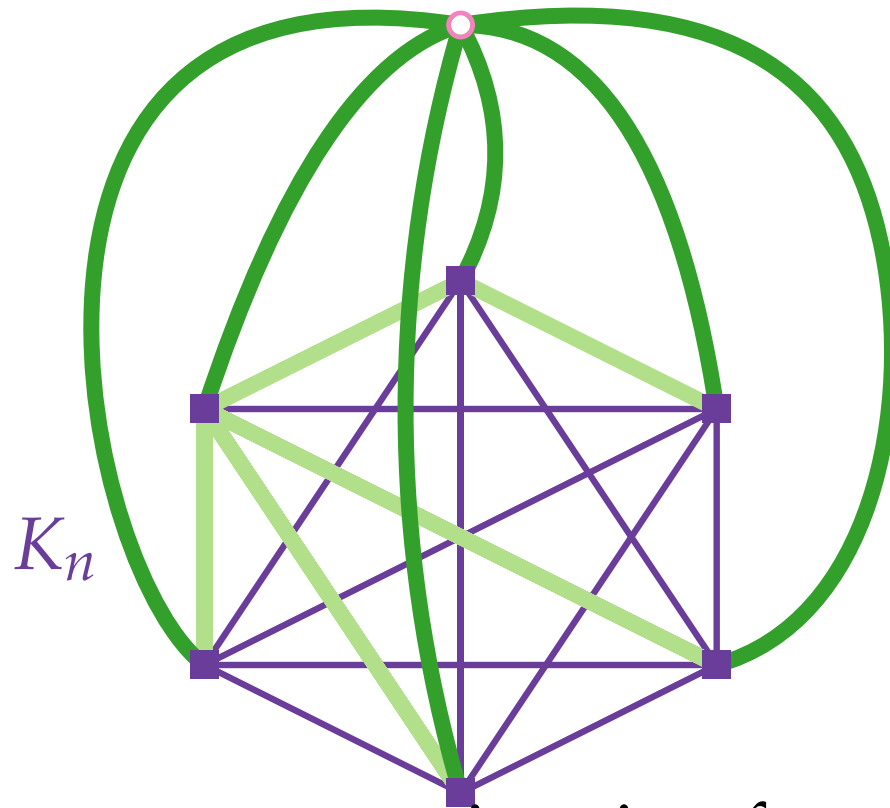
MST  $B$  of  $G[T]$  has  $c(B) \leq c(H) \leq 2 \cdot \text{OPT}$ ,  
since  $H$  is a spanning tree of  $G[T]$



# Analysis Sharp?

MST of  $G[T]$  with cost  $2(n-1)$   
 Optimal solution with cost  $n$

$$\frac{2(n-1)}{n} \rightarrow 2$$



■ terminal

○ Steiner vertex

— cost 1

— cost 2

better?

The best-known approximation factor for  
 STEINERTREE is  $\ln(4) + \varepsilon \approx 1.39$

[Byrka, Grandoni,  
 Rothvoß & Sanita '10]

STEINERTREE cannot be approximated within factor

$\frac{96}{95} \approx 1.0105$  (unless  $P=NP$ )

[Chlebik & Chlebikova '08]

# Approximation Algorithms

Lecture 3:

STEINERTREE and MULTIWAYCUT

Part V:

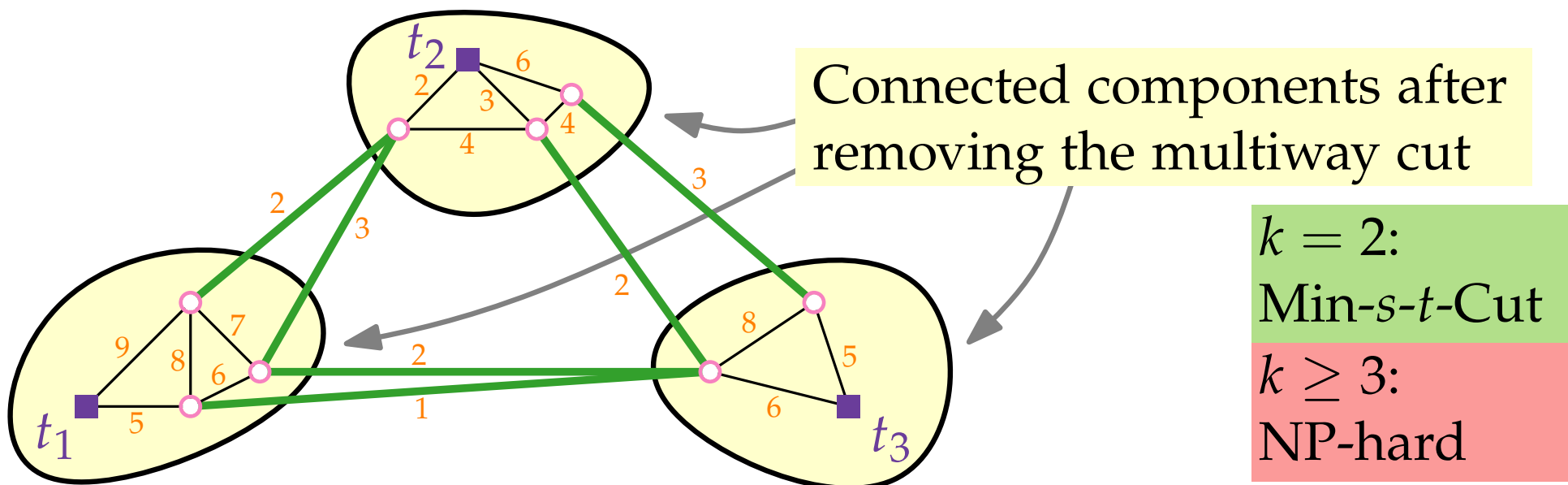
MULTIWAYCUT

# MULTIWAYCUT

**Given:** A connected graph  $G = (V, E)$  with **edge costs**  $c: E \rightarrow \mathbb{Q}^+$  and a set  $T = \{t_1, \dots, t_k\} \subseteq V$  of **terminals**.

A **multiway cut** of  $T$  is a subset  $E'$  of edges such that no two terminals in the graph  $(V, E - E')$  are connected.

**Find:** A **minimum cost multiway cut** of  $T$ .

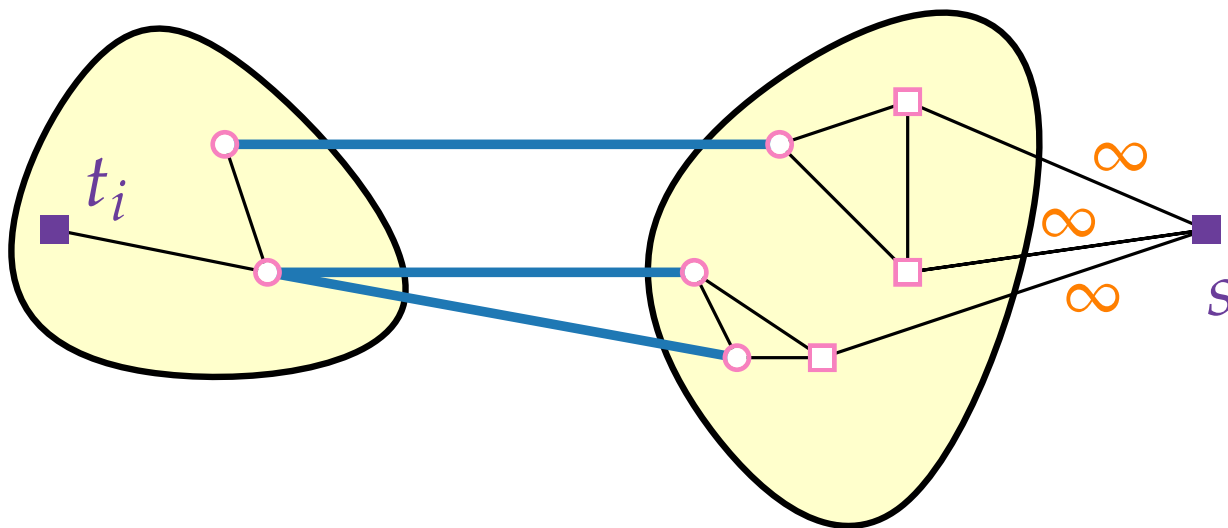




# Isolating Cuts

An **isolating cut** for a terminal  $t_i$  is a set of edges separating  $t_i$  from all other terminals.

Minimum cost **isolating cut** can be computed efficiently!



Add dummy terminal  $s$  and find minimum cost  $s-t_i$ -cut.

# Approximation Algorithms

Lecture 3:

STEINERTREE and MULTIWAYCUT

Part VI:

Algorithm for MULTIWAYCUT

# Algorithm MULTIWAYCUT

For  $i = 1, \dots, k$ :

Compute a minimum cost isolating cut  $C_i$  for  $t_i$ .

Return the union of  $\mathcal{C}$  of the  $k - 1$  cheapest such isolating cuts.

In other words:

Ignore the most expensive of the isolating cuts  $C_1, \dots, C_k$ .

$$\Rightarrow c(\mathcal{C}) \leq \left(1 - \frac{1}{k}\right) \sum_{i=1}^k c(C_i) \quad \text{because:}$$

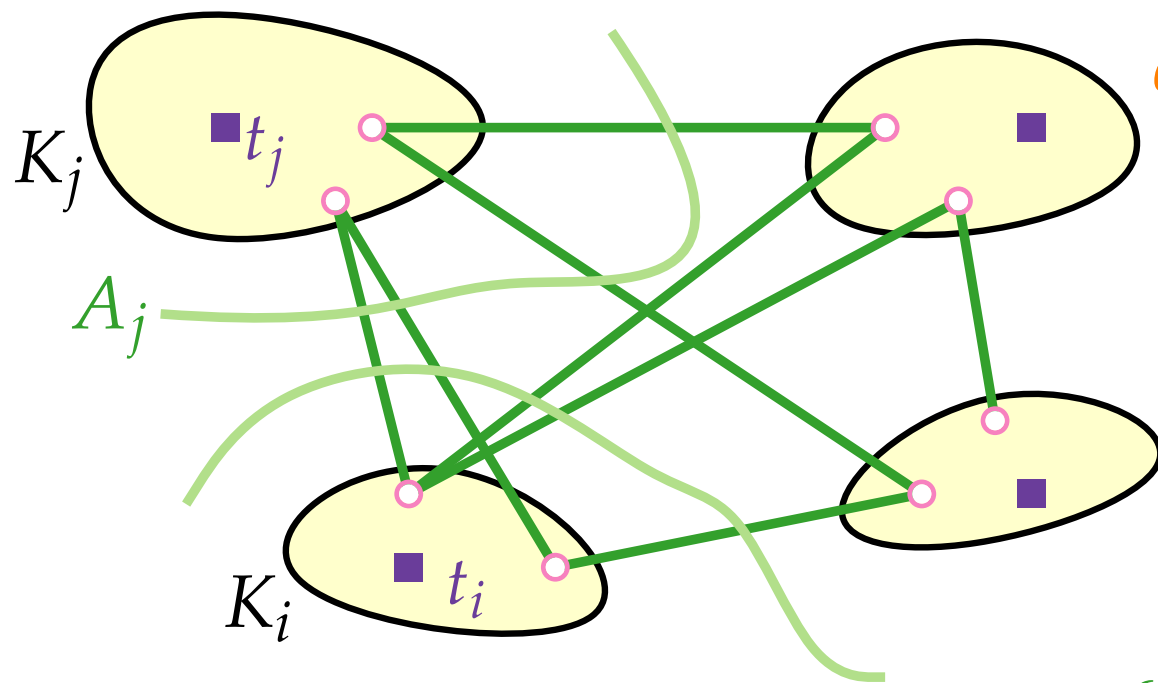
for the most expensive cut of  $C_1, \dots, C_k$ , say  $C_1$ , we have

$$c(C_1) \geq \frac{1}{k} \sum_{i=1}^k c(C_i).$$

# Approximation Factor

**Theorem.** This algorithm is a factor- $(2 - 2/k)$ -approximation algorithm for MULTIWAYCUT.

**Proof.** Consider optimal multiway cut  $A$ :

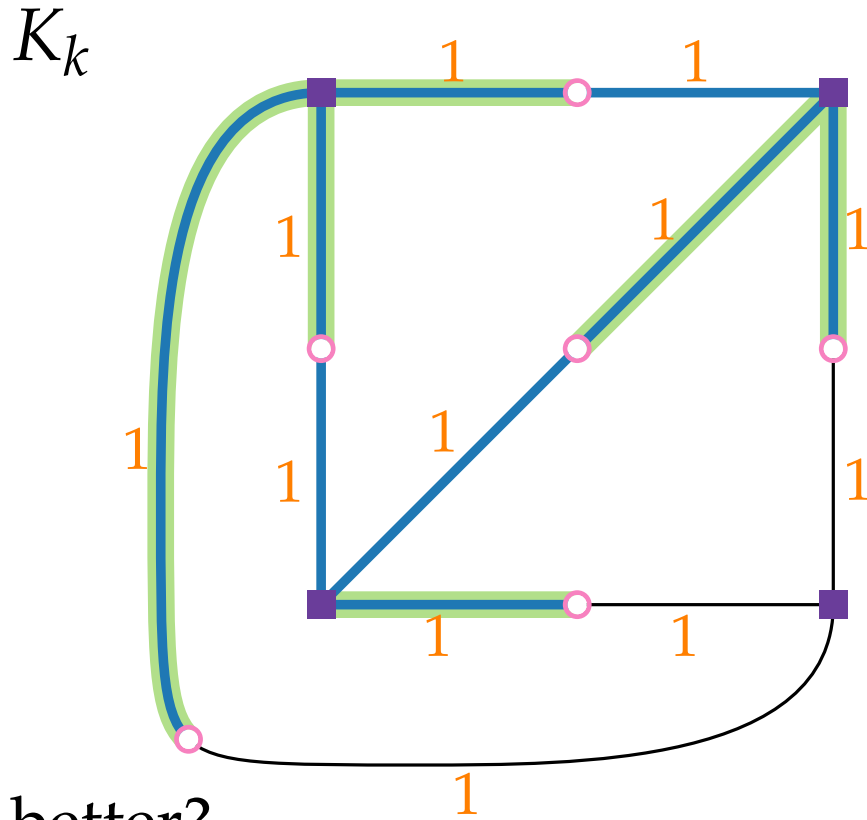


$$\begin{aligned}
 c(\mathcal{C}) &\leq \left(1 - \frac{1}{k}\right) \sum_{i=1}^k c(\mathcal{C}_i) \\
 &\leq \left(1 - \frac{1}{k}\right) \sum_{i=1}^k c(A_i) \\
 &\leq 2 \cdot \left(1 - \frac{1}{k}\right) c(A) \\
 &\leq \left(2 - \frac{2}{k}\right) \text{OPT}
 \end{aligned}$$

$$A_i = \{uv \in A : u \in K_i, v \notin K_i\}$$

**Observation.**  $A = \bigcup_{i=1}^k A_i$  and  $\sum_{i=1}^k c(A_i) \leq 2 \cdot c(A) = 2 \cdot \text{OPT}$

# Analysis Sharp?



$$\text{ALG} = (k - 1)(k - 1)$$

$$\text{OPT} = \sum_{i=1}^{k-1} i = \frac{k \cdot (k-1)}{2}$$

$$\text{ALG}/\text{OPT} = \frac{2k-2}{k} = 2 - \frac{2}{k}$$

better?

The best known approximation factor for

MULTIWAYCUT is  $1.2965 - \frac{1}{k}$ .

[Sharma & Vondrák '14]

MULTIWAYCUT cannot be approximated within factor  $1.20016 - O(1/k)$  (unless  $P=NP$ ).

[Bérczi, Chandrasekaran, Király & Madan '18]