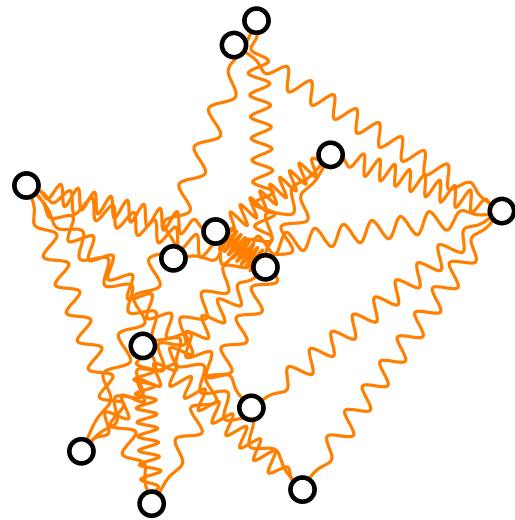


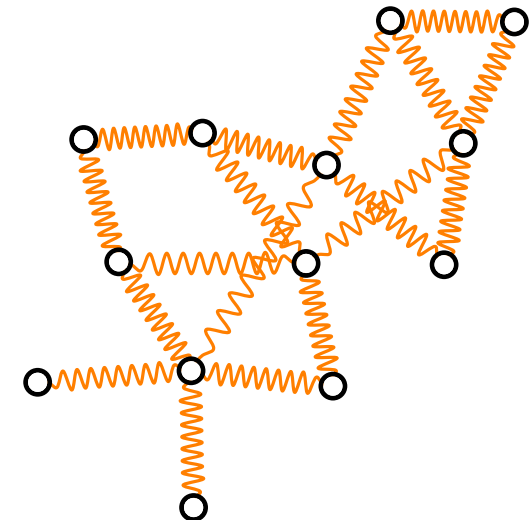
# Visualization of Graphs

## Lecture 2: Force-Directed Drawing Algorithms



Part I:  
Algorithm Framework

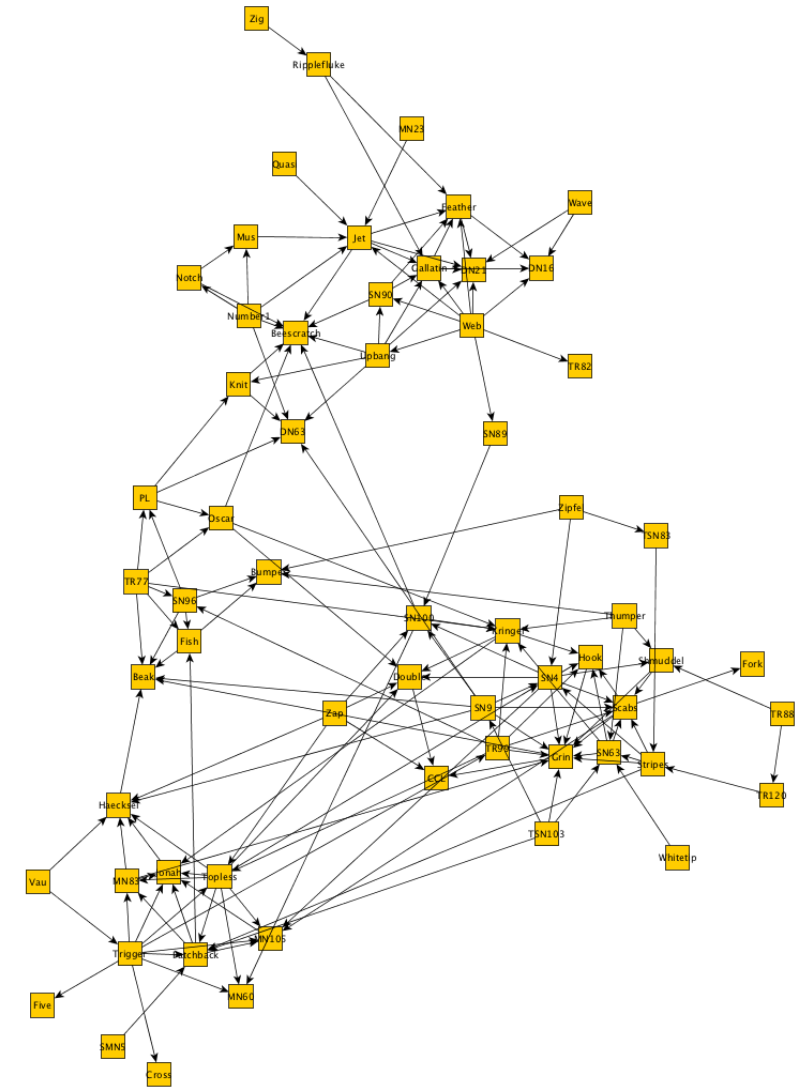
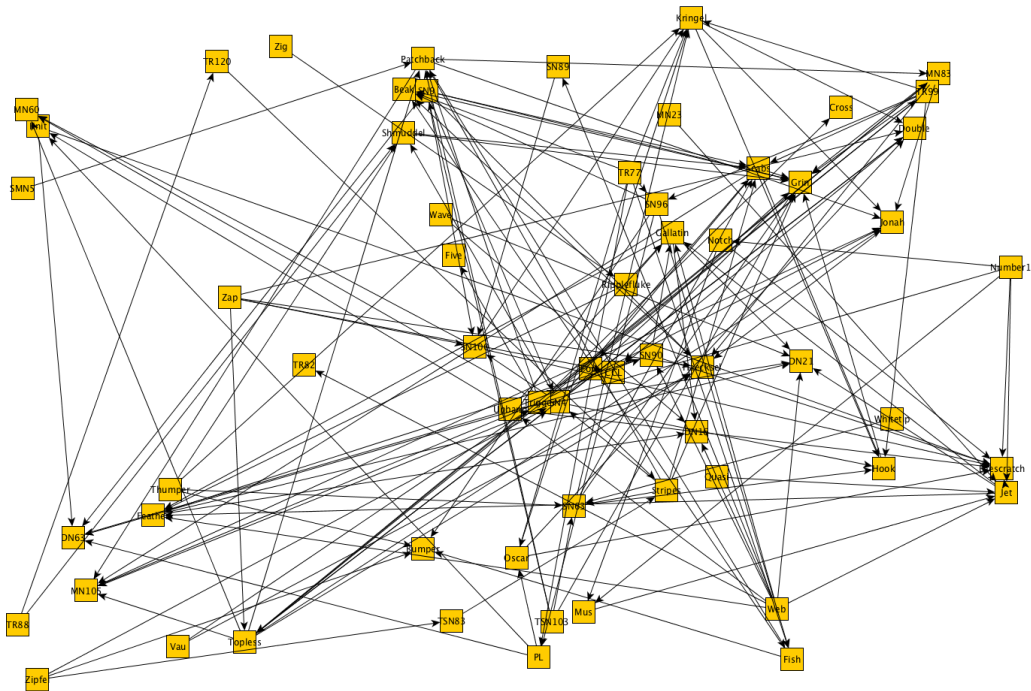
Jonathan Klawitter



# General Layout Problem

**Input:** Graph  $G = (V, E)$

**Output:** Clear and readable straight-line drawing of  $G$



# General Layout Problem

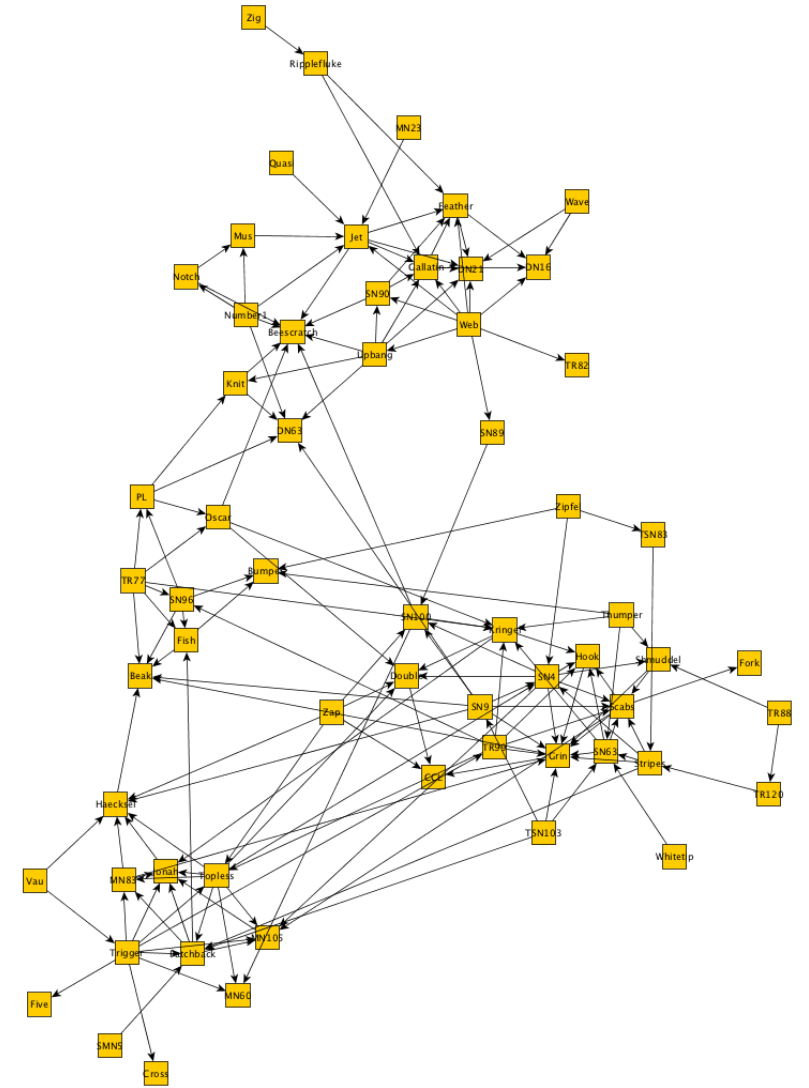
**Input:** Graph  $G = (V, E)$

**Output:** Clear and readable straight-line drawing of  $G$

**Drawing aesthetics:**

- adjacent vertices are close
- non-adjacent vertices are far apart
- edges short, straight-line, **similar length**
- densely connected parts (clusters) form communities
- as few crossings as possible
- nodes distributed evenly

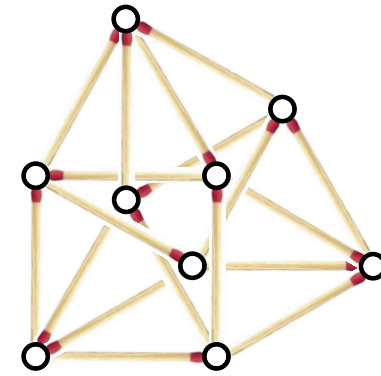
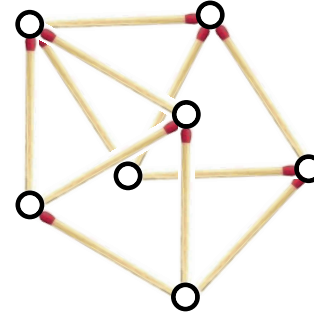
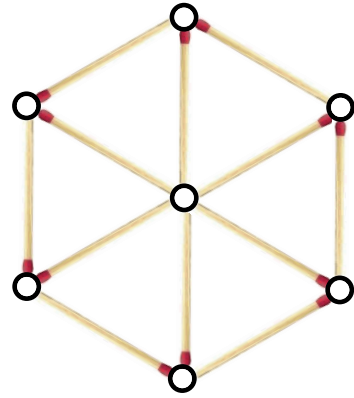
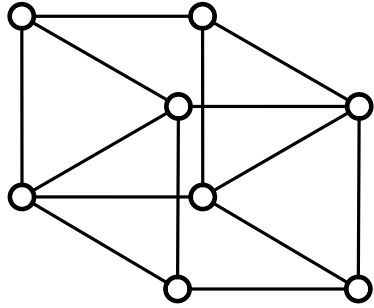
Optimization criteria partially contradict each other



# Fixed Edge Lengths?

**Input:** Graph  $G = (V, E)$ , required edge length  $\ell(e)$ ,  $\forall e \in E$

**Output:** Drawing of  $G$  which realizes all the edge lengths

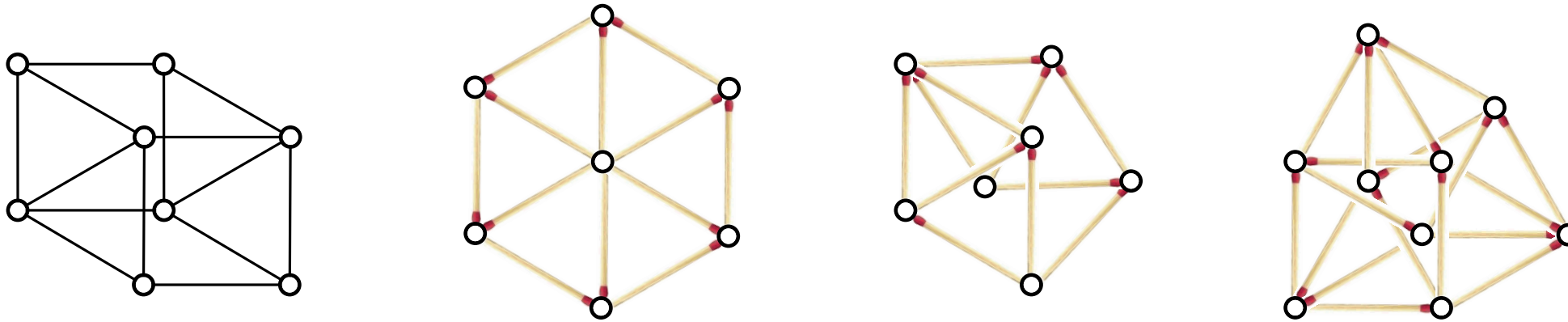


**NP-hard** for

# Fixed Edge Lengths?

**Input:** Graph  $G = (V, E)$ , required edge length  $\ell(e)$ ,  $\forall e \in E$

**Output:** Drawing of  $G$  which realizes all the edge lengths



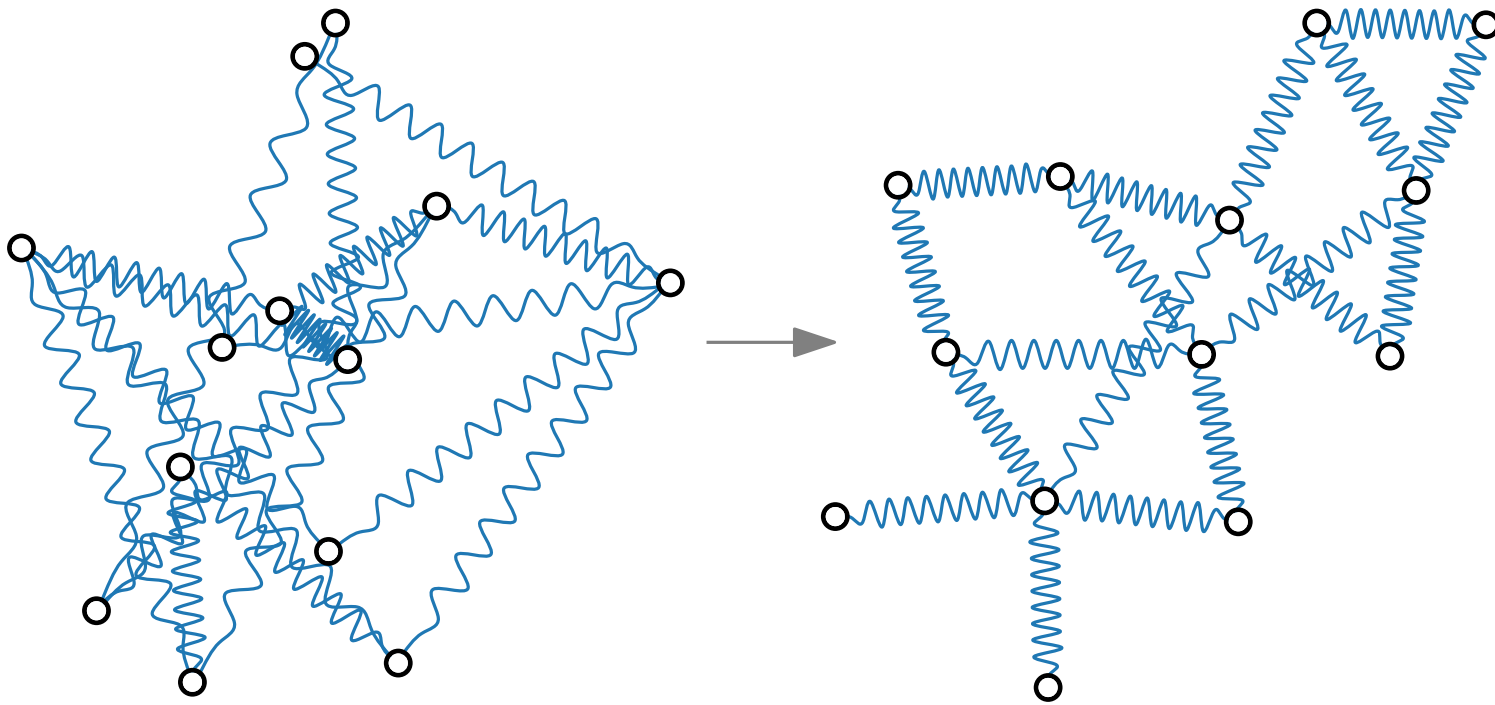
**NP-hard** for

- uniform edge lengths in any dimension [Johnson '82]
- uniform edge lengths in planar drawings [Eades, Wormald '90]
- edge lengths  $\{1, 2\}$  [Saxe '80]

# Physical Analogy

**Idea.** [Eades '84]

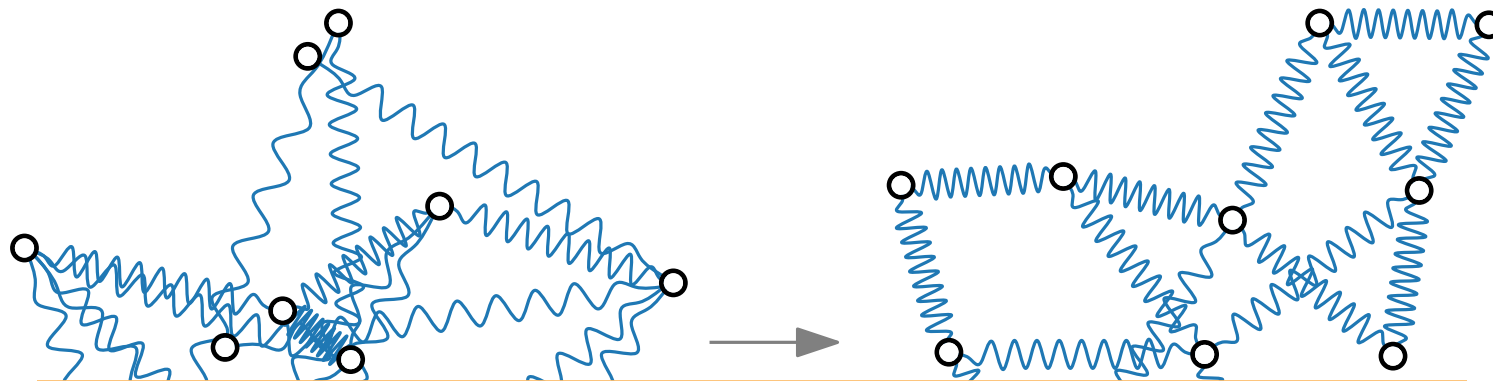
“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



# Physical Analogy

**Idea.** [Eades '84]

“To embed a graph we replace the vertices by steel rings and replace each edge with a **spring** to form a mechanical system . . . The vertices are placed in some initial layout and let go so that the spring forces on the rings move the system to a minimal energy state.”



So-called **spring-embedder** algorithms that work according to this or similar principles are among the most frequently used graph-drawing methods in practice.

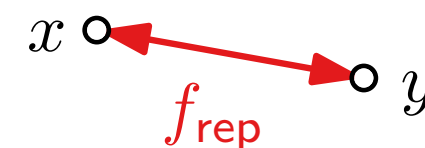
## Attractive forces.

adjacent vertices  $u$  and  $v$ :



## Repulsive forces.

all vertices  $x$  and  $y$ :



# Force-Directed Algorithms

ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )

$t \leftarrow 1$

**while**  $t < K$  **and**  $\max_{v \in V} \|F_v(t)\| > \varepsilon$  **do**

**foreach**  $u \in V$  **do**

$F_u(t) \leftarrow \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{uv \in E} f_{\text{attr}}(u, v)$

**foreach**  $u \in V$  **do**

$p_u \leftarrow p_u + \delta(t) \cdot F_u(t)$

$t \leftarrow t + 1$

**return**  $p$

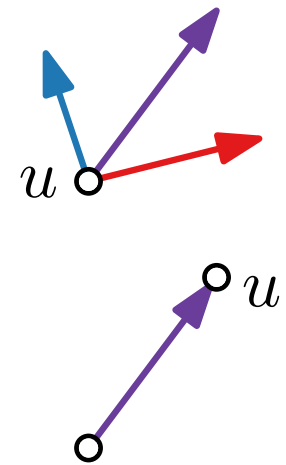
initial layout

threshold

max # iterations

cooling factor

end layout





# Force-Directed Algorithms

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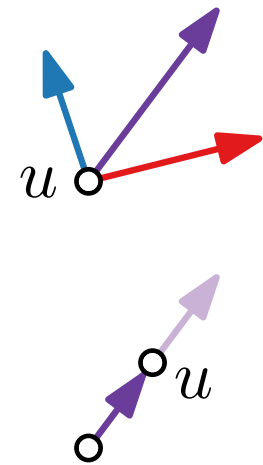
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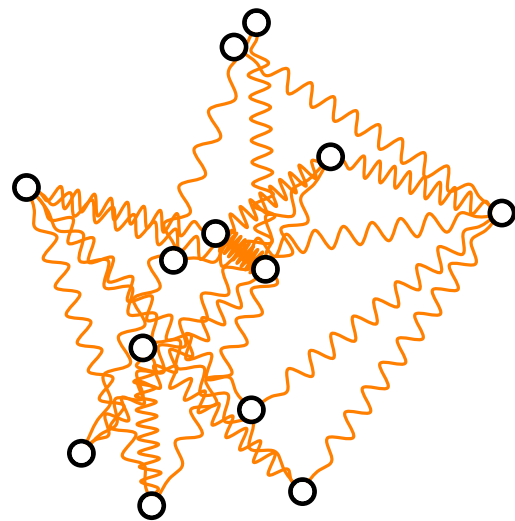
cooling factor

end layout



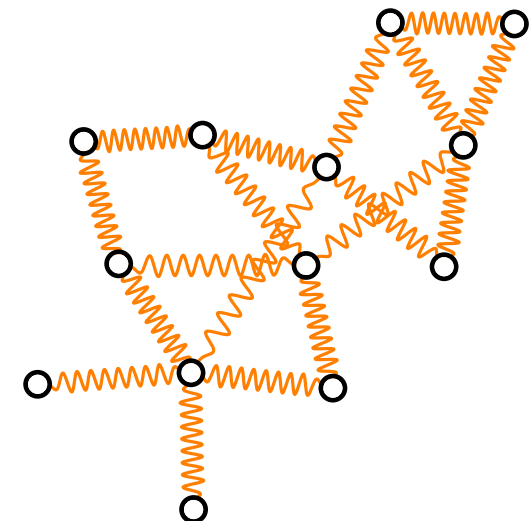
# Visualization of Graphs

## Lecture 2: Force-Directed Drawing Algorithms



Part II:  
Spring Embedders by Eades  
and Fruchterman & Reingold

Jonathan Klawitter



# Spring Embedder by Eades – Model

## ■ Repulsive forces

repulsion constant (e.g. 2.0)

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

## ■ Attractive forces

spring constant (e.g. 1.0)

$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$

## ■ Resulting displacement vector

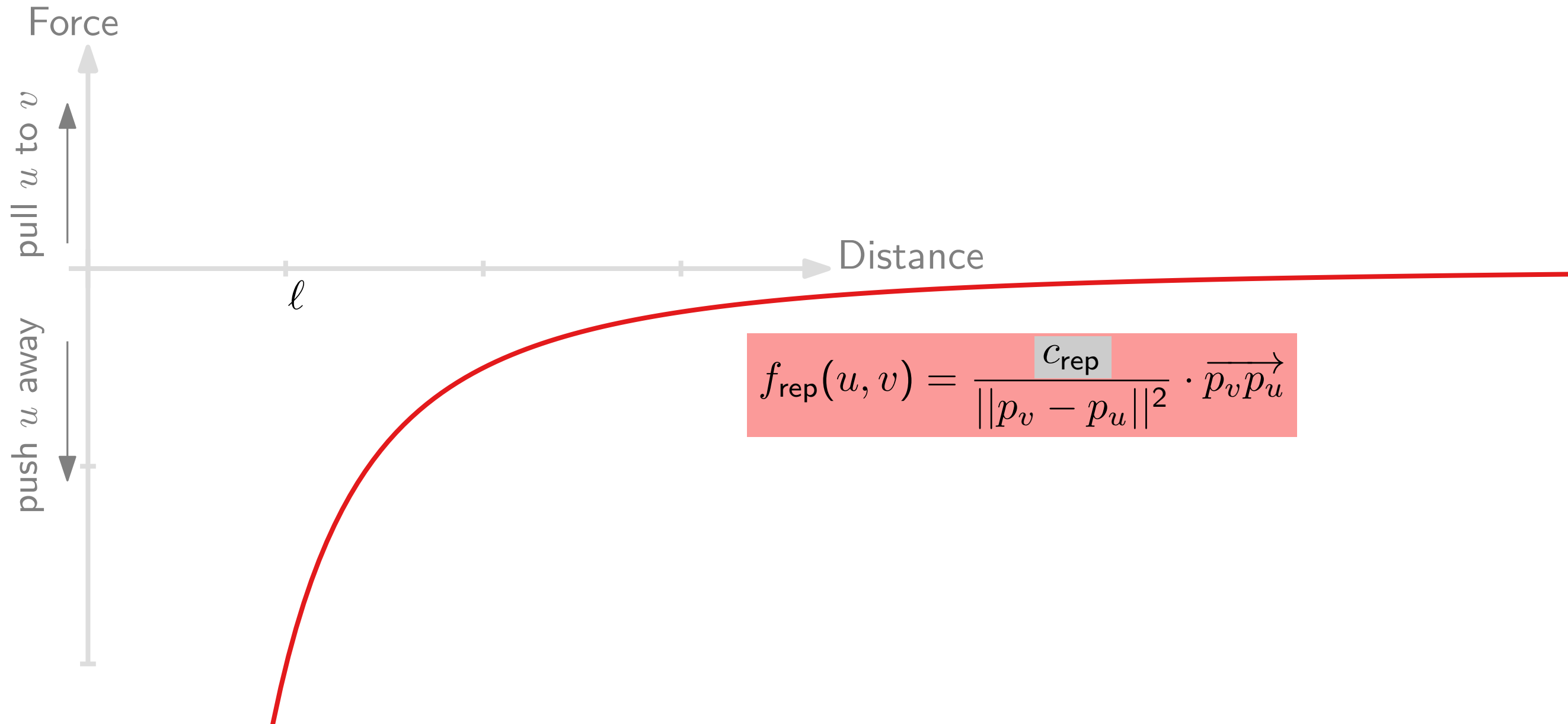
$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{uv \in E} f_{\text{attr}}(u, v)$$

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ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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return  $p$ 
```

## Notation.

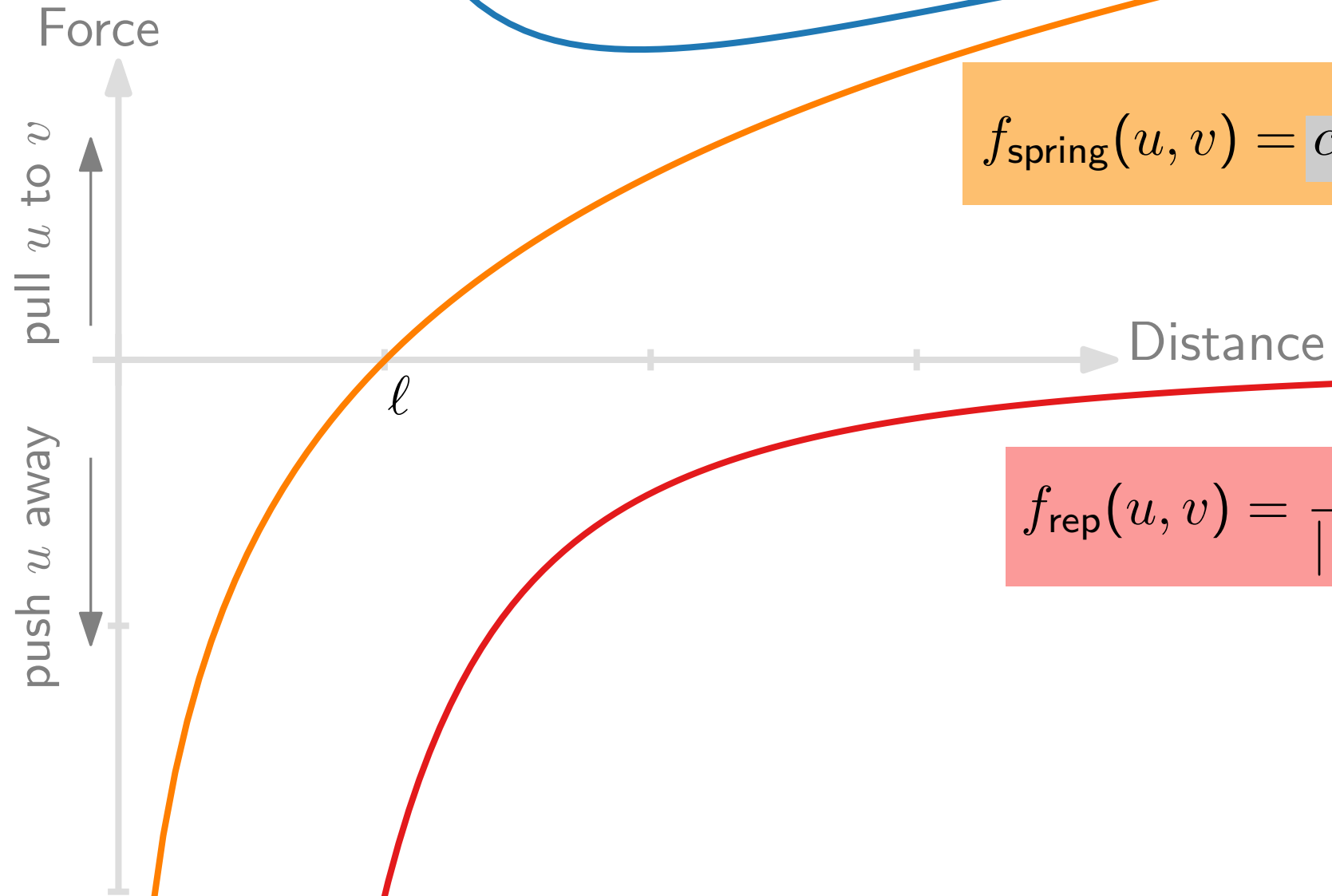
- $\overrightarrow{p_u p_v}$  = unit vector pointing from  $u$  to  $v$
- $\|p_u - p_v\|$  = Euclidean distance between  $u$  and  $v$
- $\ell$  = ideal spring length for edges

# Spring Embedder by Eades – Force Diagram



# Spring Embedder by Eades – Force Diagram

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$



$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{l} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

# Spring Embedder by Eades – Discussion

## Advantages.

# Spring Embedder by Eades – Discussion

## Advantages.

- very simple algorithm
- good results for small and medium-sized graphs
- empirically good representation of symmetry and structure

## Disadvantages.

- system is not stable at the end
- converging to local minima
- timewise  $f_{\text{spring}}$  in  $\mathcal{O}(|E|)$  and  $f_{\text{rep}}$  in  $\mathcal{O}(|V|^2)$

## Influence.

- original paper by Peter Eades [Eades '84] got  $\sim 2000$  citations
- basis for many further ideas

# Variant by Fruchterman & Reingold

## ■ Repulsive forces

repulsion constant (e.g. 2.0)

$$f_{\text{rep}}(u, v) = \frac{c_{\text{rep}}}{\|p_v - p_u\|^2} \cdot \overrightarrow{p_v p_u}$$

## ■ Attractive forces

spring constant (e.g. 1.0)

$$f_{\text{spring}}(u, v) = c_{\text{spring}} \cdot \log \frac{\|p_v - p_u\|}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{attr}}(u, v) = f_{\text{spring}}(u, v) - f_{\text{rep}}(u, v)$$

## ■ Resulting displacement vector

$$F_u = \sum_{v \in V} f_{\text{rep}}(u, v) + \sum_{uv \in E} f_{\text{attr}}(u, v)$$

```
ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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return  $p$ 
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## Notation.

- $\|p_u - p_v\|$  = Euclidean distance between  $u$  and  $v$
- $\overrightarrow{p_u p_v}$  = unit vector pointing from  $u$  to  $v$
- $\ell$  = ideal spring length for edges



# Variant by Fruchterman & Reingold

## ■ Repulsive forces

$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

## ■ Attractive forces

$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

## ■ Resulting displacement vector

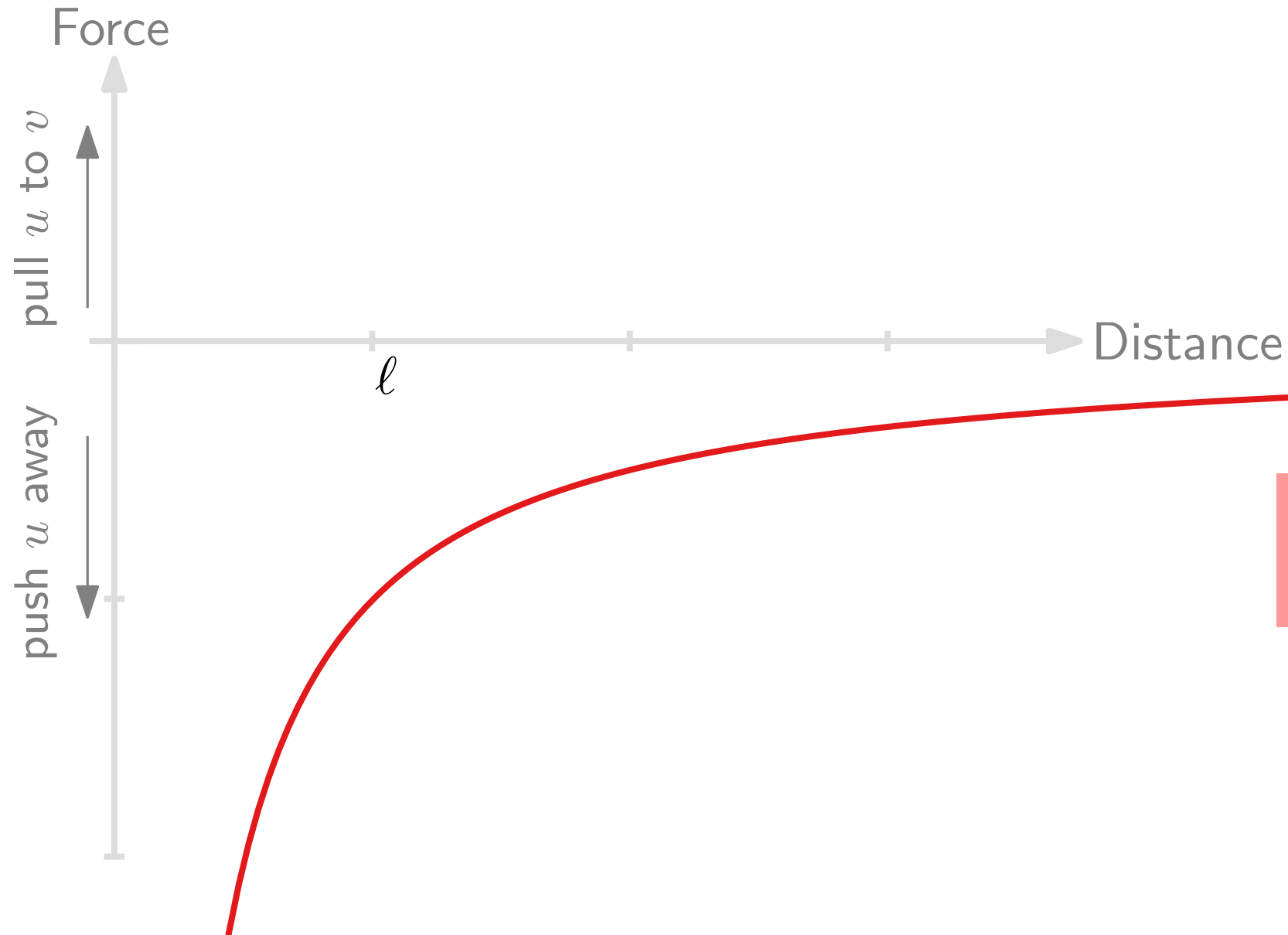
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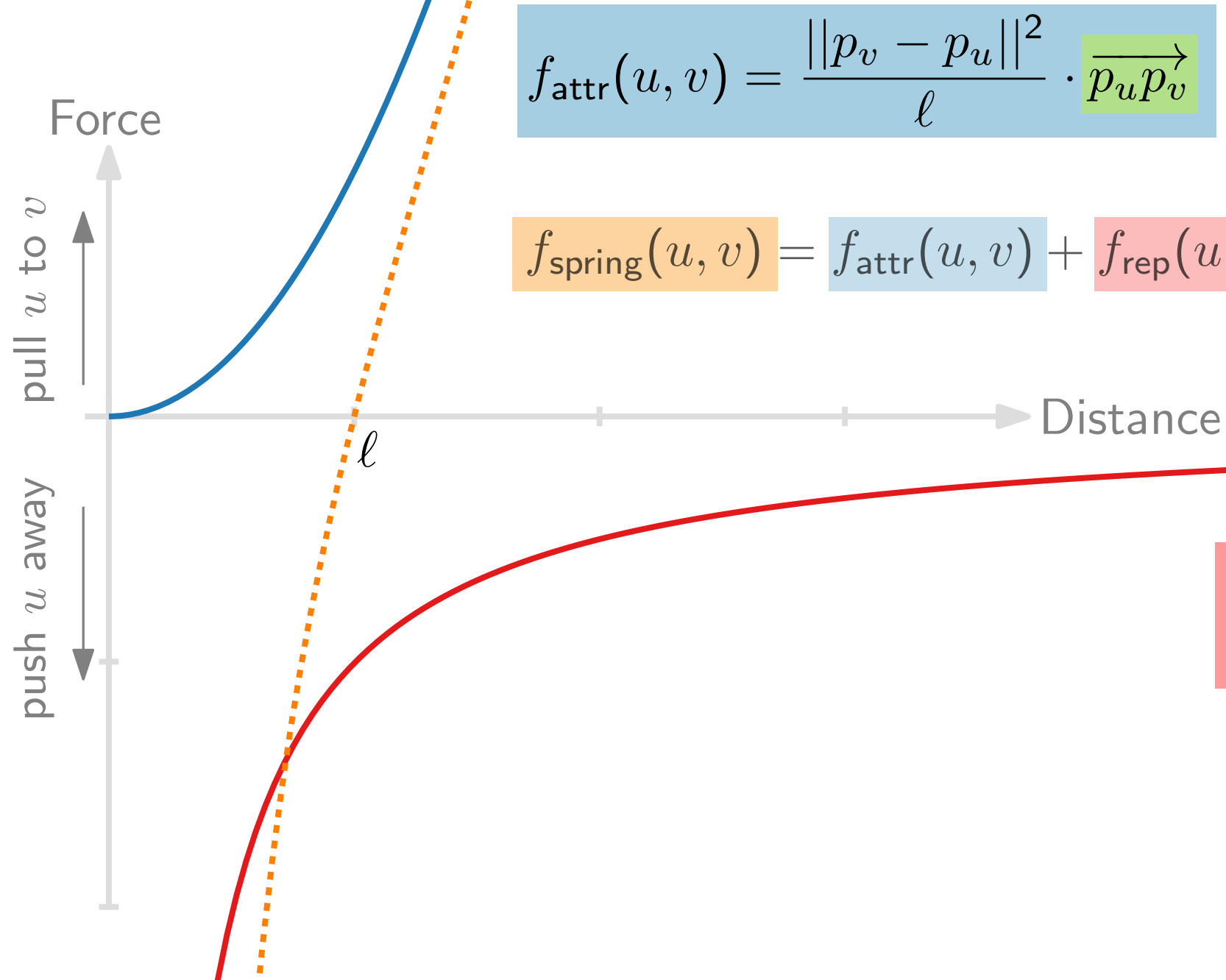
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# Fruchterman & Reingold – Force Diagram



$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

# Fruchterman & Reingold – Force Diagram



$$f_{\text{attr}}(u, v) = \frac{\|p_v - p_u\|^2}{\ell} \cdot \overrightarrow{p_u p_v}$$

$$f_{\text{spring}}(u, v) = f_{\text{attr}}(u, v) + f_{\text{rep}}(u, v)$$

$$f_{\text{rep}}(u, v) = \frac{\ell^2}{\|p_v - p_u\|} \cdot \overrightarrow{p_v p_u}$$

# Visualization of Graphs

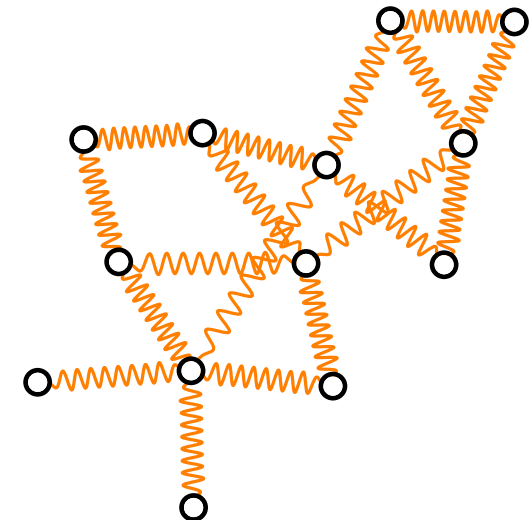
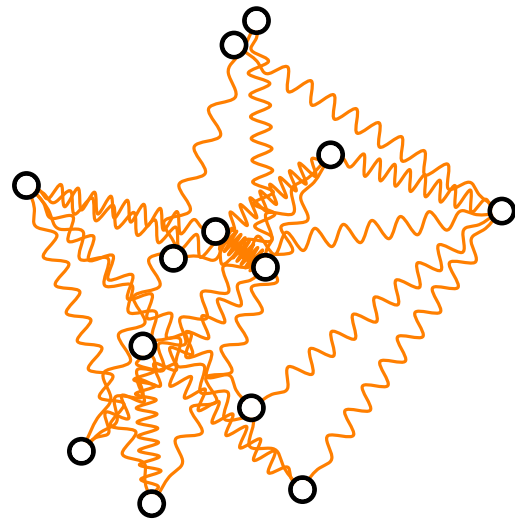
## Lecture 2:

## Force-Directed Drawing Algorithms

### Part III:

### Variants & Improvements

Jonathan Klawitter



# Adaptability

## Inertia.

- Define vertex mass  $\Phi(v) = 1 + \deg(v)/2$
- Set  $f_{attr}(p_u, p_v) \leftarrow f_{attr}(p_u, p_v) \cdot 1/\Phi(v)$

## Gravitation.

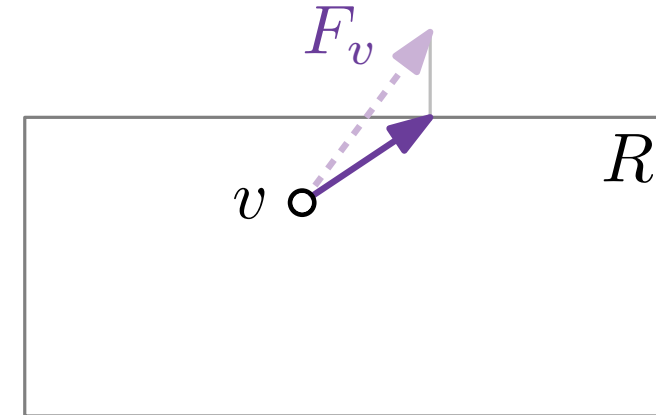
- Define centroid  $p_{bary} = 1/|V| \cdot \sum_{v \in V} p_v$
- Add force  $f_{grav}(p_v) = c_{grav} \cdot \Phi(v) \cdot \overrightarrow{p_v p_{bary}}$

## Restricted drawing area.

If  $F_v$  points beyond area  $R$ , clip vector appropriately at the border of  $R$ .

## And many more...

- magnetic orientation of edges [GD Ch. 10.4]
- other energy models
- planarity preserving
- speedups



# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

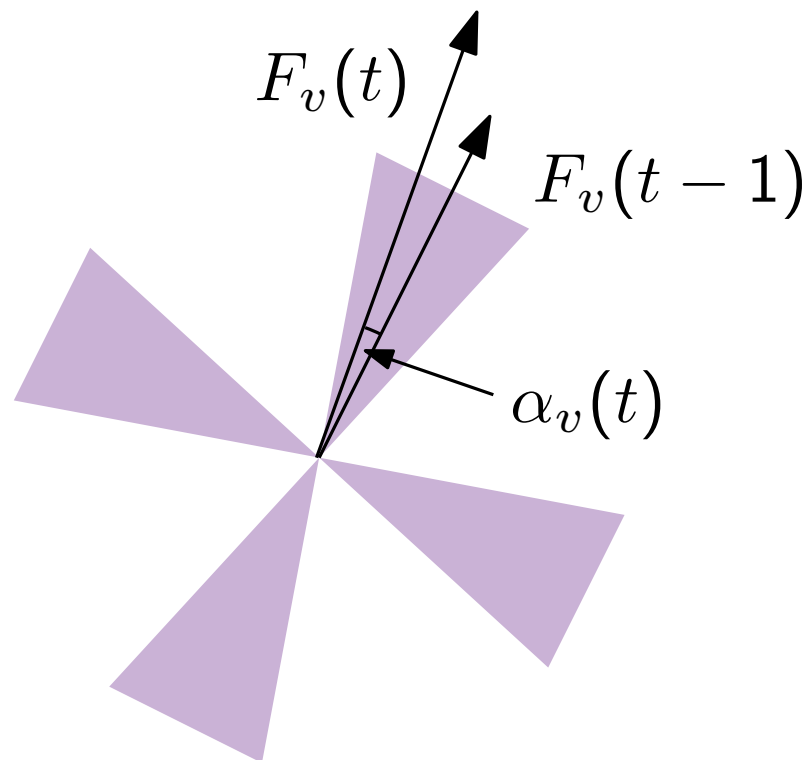
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  return  $p$ 

```

# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]

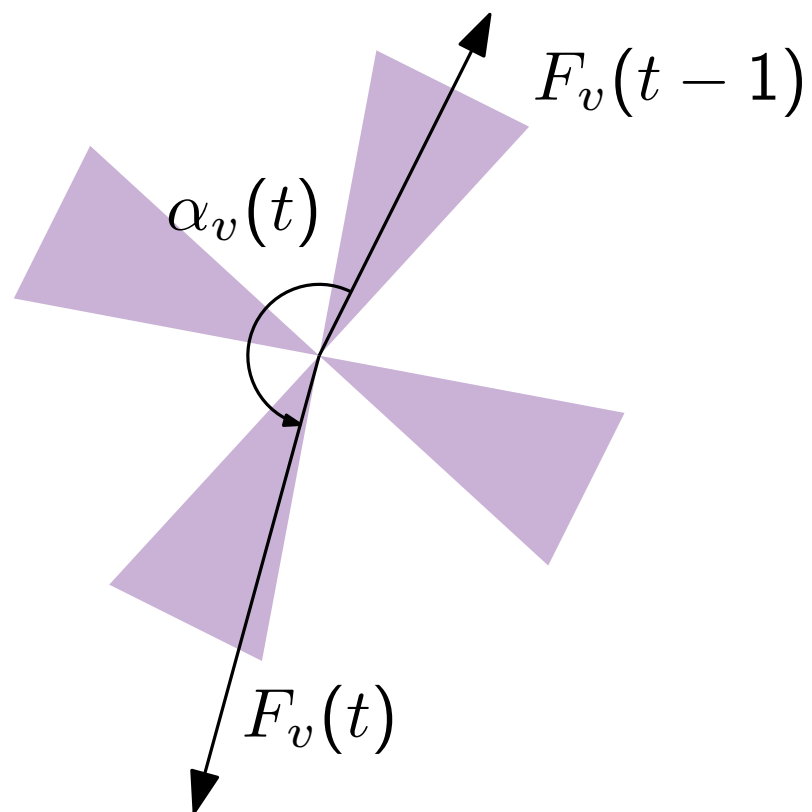


**Same direction.**

→ increase temperature  $\delta_v(t)$

# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



**Same direction.**

→ increase temperature  $\delta_v(t)$

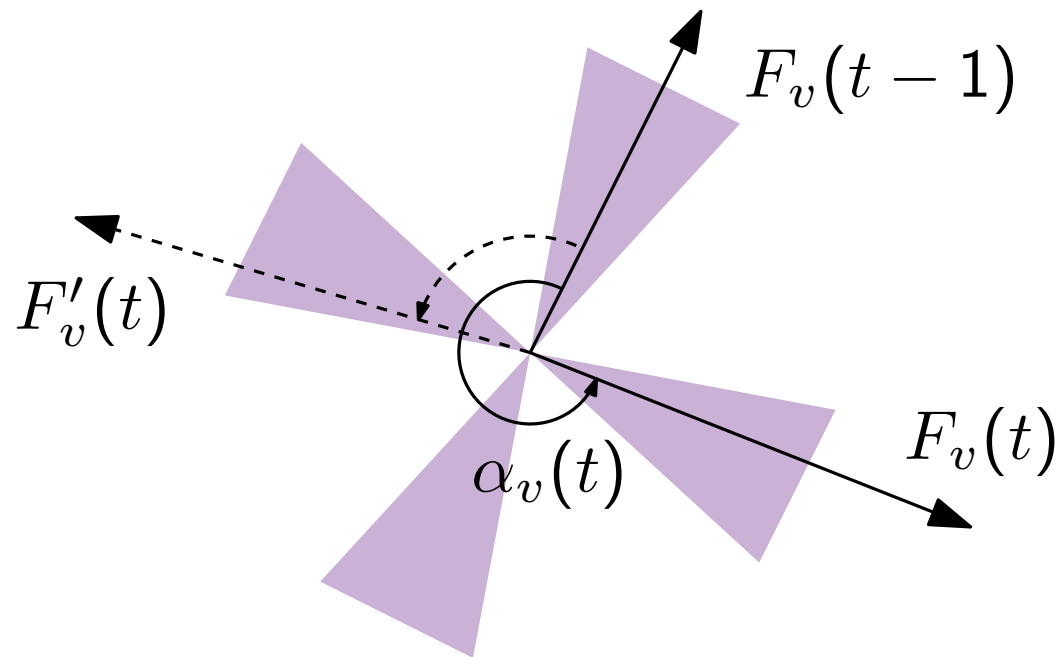
**Oszillation.**

→ decrease temperature  $\delta_v(t)$



# Speeding up “Convergence” by Adaptive Displacement $\delta_v(t)$

[Frick, Ludwig, Mehldau '95]



## Same direction.

→ increase temperature  $\delta_v(t)$

## Oszillation.

→ decrease temperature  $\delta_v(t)$

## Rotation.

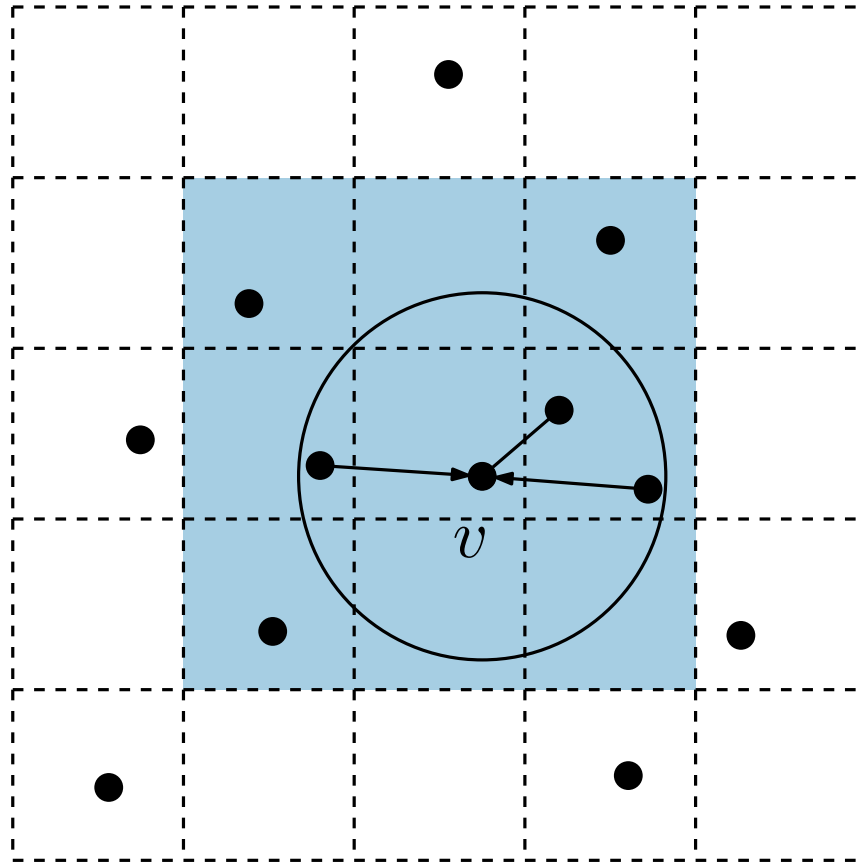
- count rotations

- if applicable

→ decrease temperature  $\delta_v(t)$

# Speeding up “Convergence” via Grids

[Fruchterman & Reingold '91]



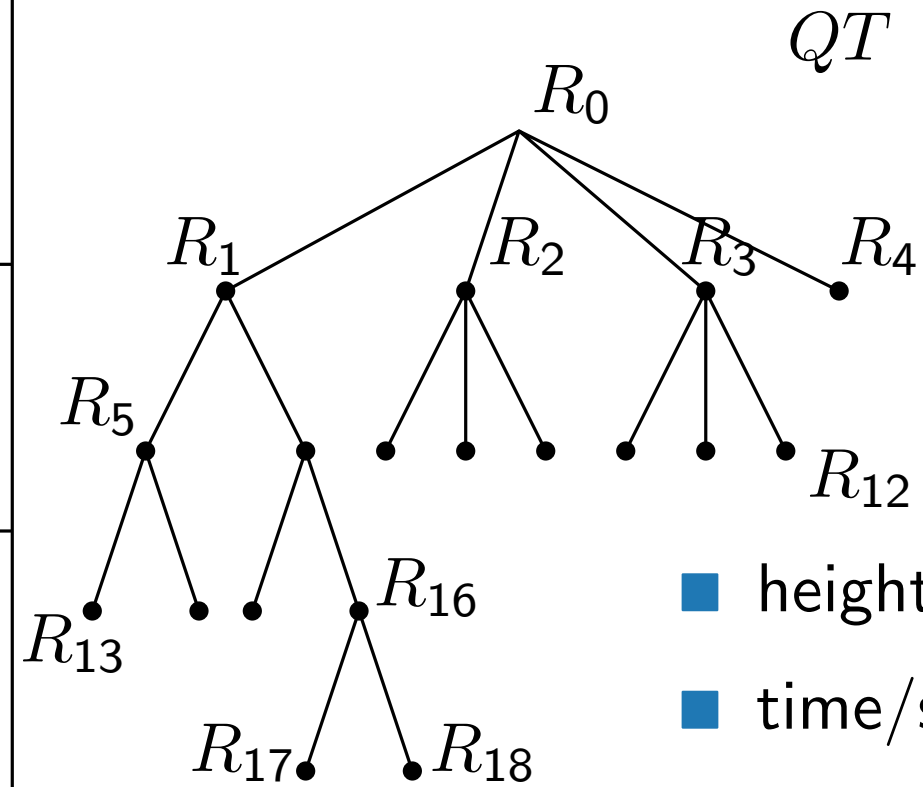
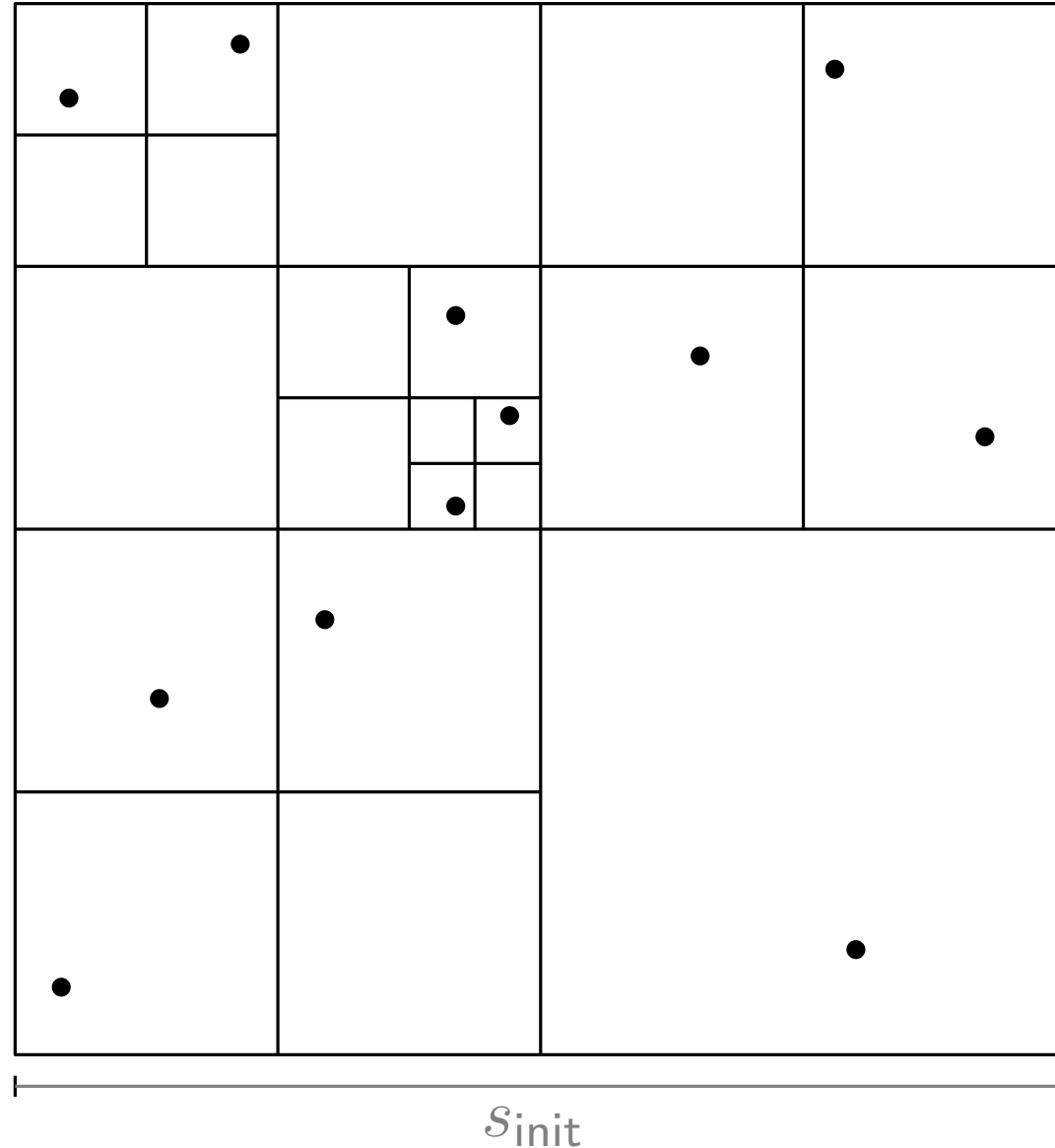
- divide plane into grid
- consider repelling forces only to vertices in neighboring cells
- and only if distance is less than some max distance

## Discussion.

- good idea to improve runtime
- worst-case has not improved
- might introduce oscillation and thus a quality loss

# Speeding up with Quad Trees

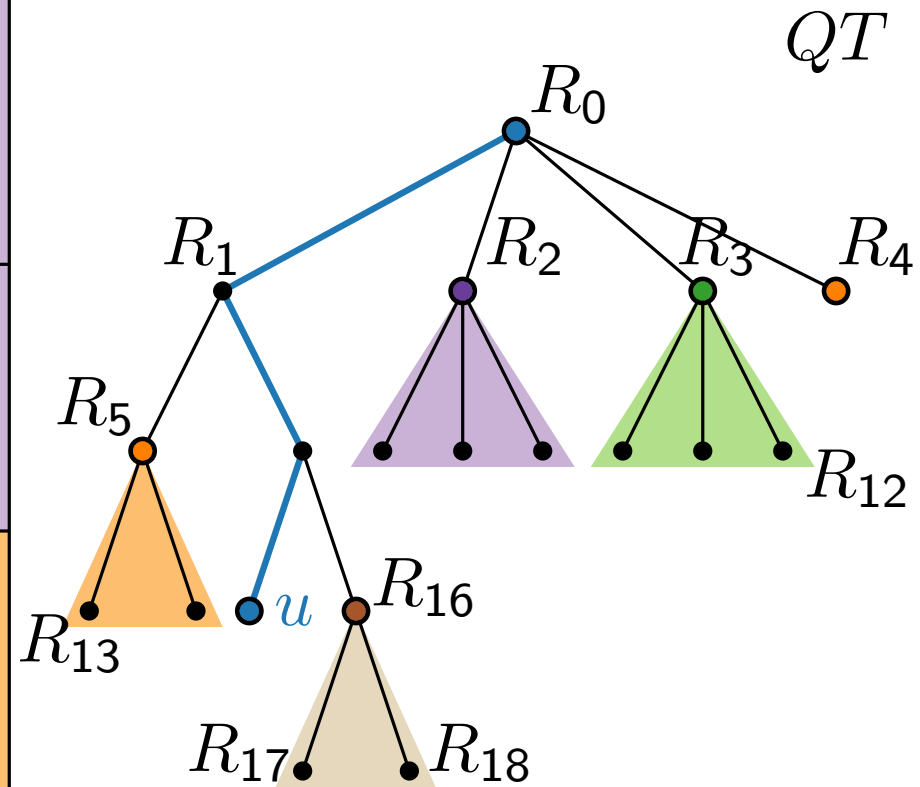
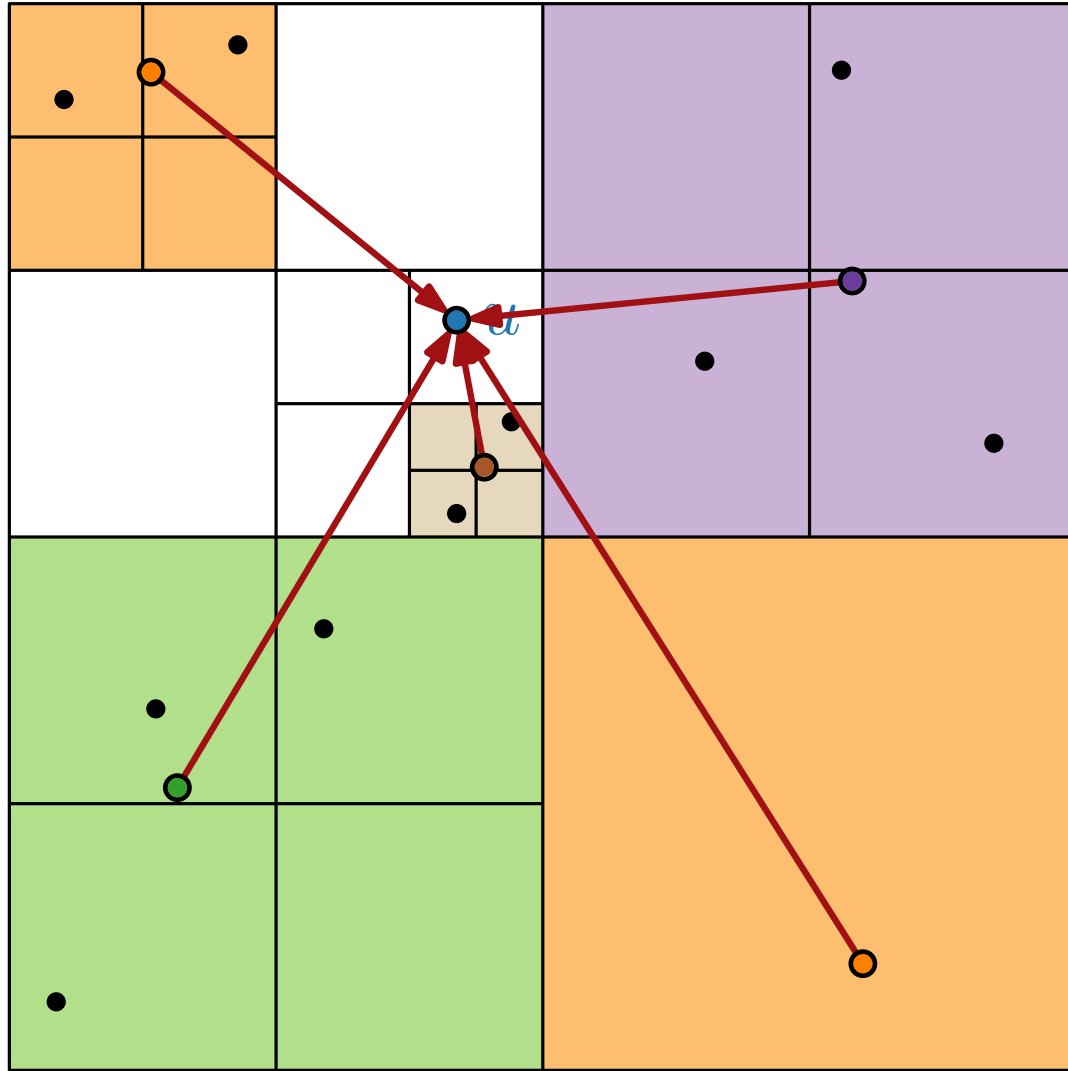
[Barnes, Hut '86]



- height  $h \leq \log \frac{S_{init}}{d_{min}} + \frac{3}{2}$
- time/space in  $\mathcal{O}(hn)$
- compressed quad tree can be computed in  $\mathcal{O}(n \log n)$  time
- $h \in \mathcal{O}(\log n)$  if vertices evenly distributed

# Speeding up with Quad Trees

[Barnes, Hut '86]

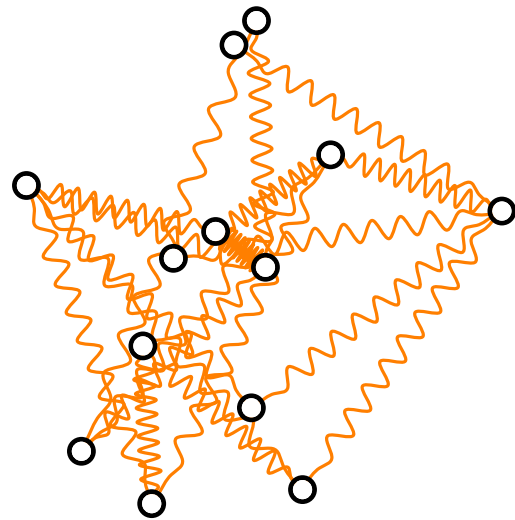


$$f_{\text{rep}}(R_i, p_u) = |R_i| \cdot f_{\text{rep}}(\sigma_{R_i}, p_u)$$

for each child  $R_i$  of a vertex on path from  $u$  to  $R_0$

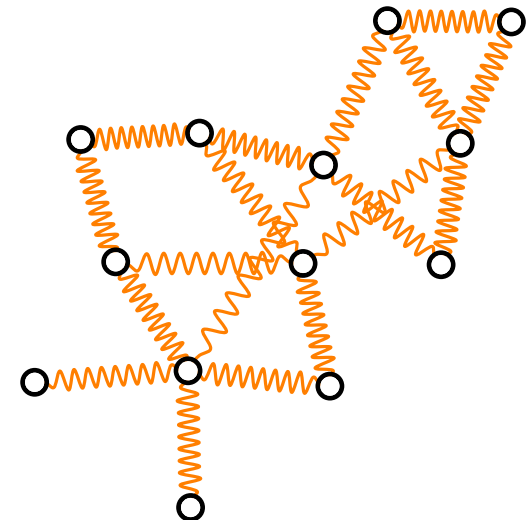
# Visualization of Graphs

## Lecture 2: Force-Directed Drawing Algorithms



### Part IV: Tutte Embedding

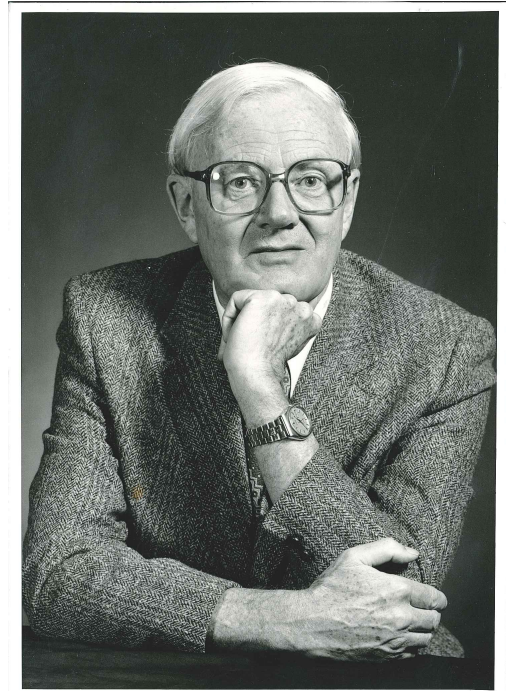
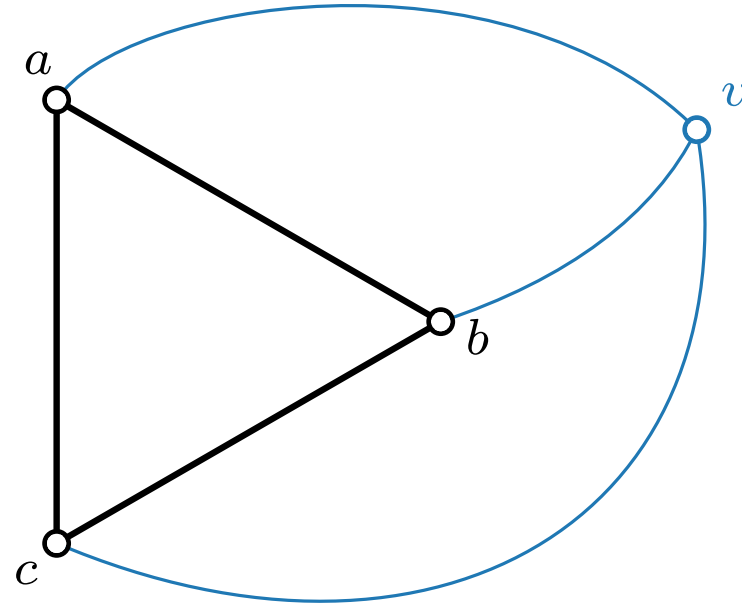
Jonathan Klawitter



# Idea

Consider a fixed triangle  $(a, b, c)$   
with one common neighbor  $v$

Where would you place  $v$ ?

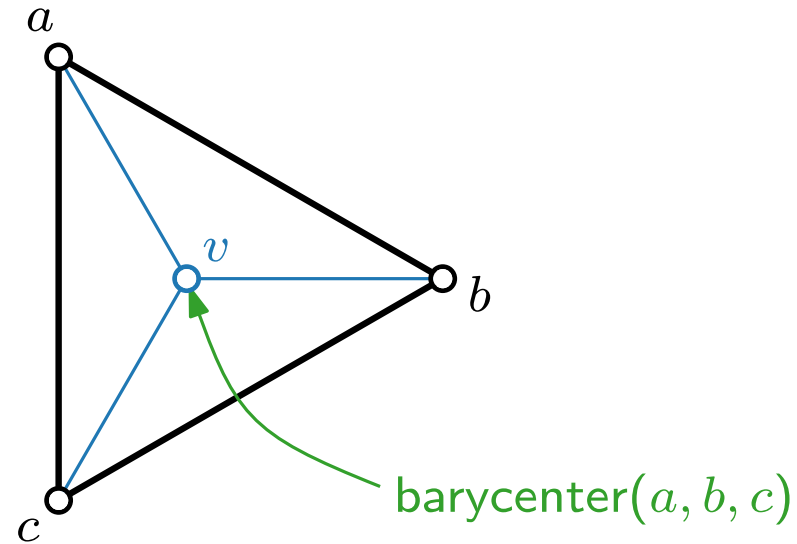


William T. Tutte  
1917 – 2002

# Idea

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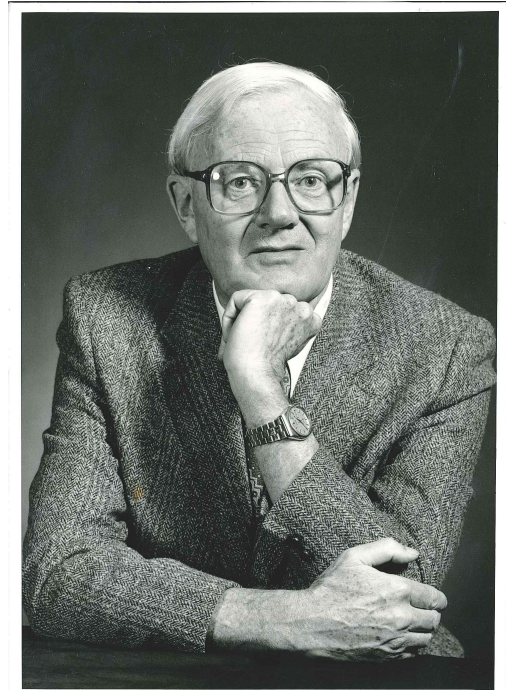
Where would you place  $v$ ?



$$\text{barycenter}(x_1, \dots, x_k) = \sum_{i=1}^k x_i / k$$

## Idea.

Repeatedly place every vertex at barycenter of neighbors.



William T. Tutte  
1917 – 2002

# Tutte's Forces

## Goal.

$$p_u = \text{barycenter}(\cup_{uv \in E} v)$$

$$= \sum_{uv \in E} p_v / \text{deg}(u)$$

$$F_u(t) = \sum_{uv \in E} p_v / \text{deg}(u) - p_u$$

$$= \sum_{uv \in E} (p_v - p_u) / \text{deg}(u)$$

$$= \sum_{uv \in E} \|p_u - p_v\| / \text{deg}(u)$$

```

ForceDirected( $G = (V, E)$ ,  $p = (p_v)_{v \in V}$ ,  $\varepsilon > 0$ ,  $K \in \mathbb{N}$ )
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return  $p$ 

```

barycenter( $x_1, \dots, x_k$ ) =  $\sum_{i=1}^k x_i / k$

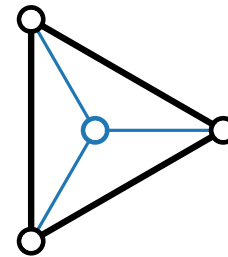
## ■ Repulsive forces

$$f_{\text{rep}}(u, v) = 0$$

## ■ Attractive forces

$$f_{\text{attr}}(u, v) = \begin{cases} 0 & u \text{ fixed} \\ \frac{1}{\text{deg}(u)} \cdot \|p_u - p_v\| & \text{else} \end{cases}$$

Solution:  $p_u = (0, 0) \forall u \in V$



Fix coordinates  
of outer face!



# Linear System of Equations

**Goal.**  $p_u = (x_u, y_u)$

$$p_u = \text{barycenter}(\bigcup_{uv \in E} v) = \sum_{uv \in E} p_v / \text{deg}(u)$$

$$x_u = \sum_{uv \in E} x_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot x_u = \sum_{uv \in E} x_v$$

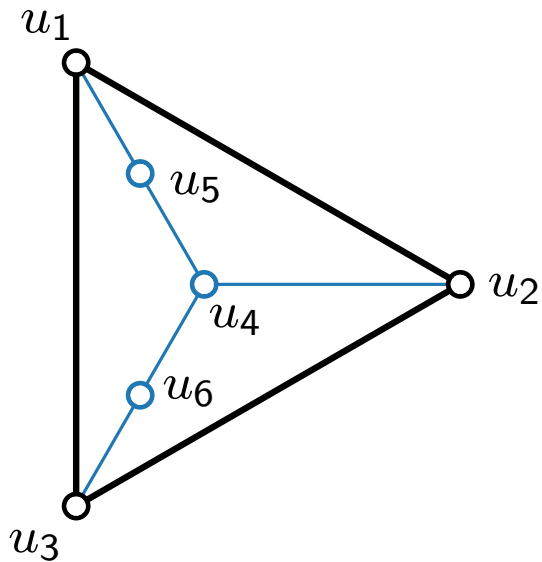
$$y_u = \sum_{uv \in E} y_v / \text{deg}(u) \Leftrightarrow \text{deg}(u) \cdot y_u = \sum_{uv \in E} y_v$$

$$Ax = b \quad Ay = b \quad b = (0)_n$$

2 Systems of linear equations

$$\Leftrightarrow \text{deg}(u) \cdot x_u - \sum_{uv \in E} x_v = 0$$

$$\Leftrightarrow \text{deg}(u) \cdot y_u - \sum_{uv \in E} y_v = 0$$



$$A = \begin{matrix} & \begin{matrix} u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \end{matrix} \\ \begin{matrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \\ u_6 \end{matrix} & \begin{pmatrix} 3 & -1 & -1 & 0 & -1 & 0 \\ -1 & 3 & -1 & -1 & 0 & 0 \\ -1 & -1 & 3 & 0 & 0 & -1 \\ 0 & -1 & 0 & 3 & -1 & -1 \\ -1 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & -1 & 0 & 2 \end{pmatrix} \end{matrix}$$

Laplacian matrix of  $G$

$n$  variables,  $n$  constraints,  $\det(A) = 0$

$\Rightarrow$  no unique solution



$$A_{ii} = \text{deg}(u_i)$$

$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

# Linear System of Equations

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 $p_u = \text{barycenter}(\bigcup_{uv \in E} v)$

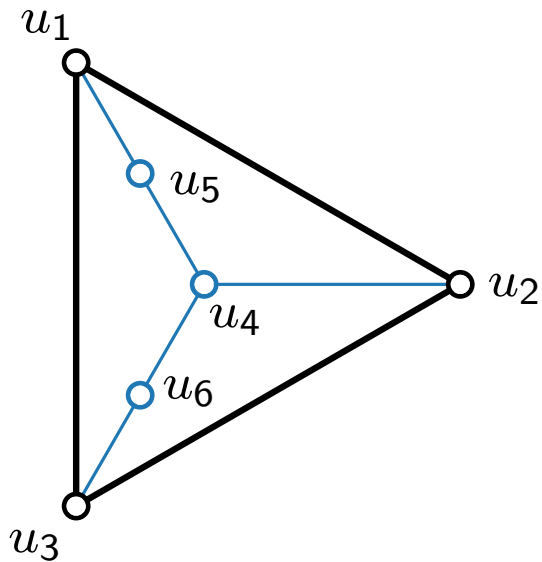
## Theorem.

## Tutte drawing

Tutte's barycentric algorithm admits a unique solution.  
 It can be computed in polynomial time.

$$x_u = \sum_{uv \in E} x_v / \deg(u) \Leftrightarrow \deg(u) \cdot x_u = \sum_{uv \in E} x_v \Leftrightarrow \deg(u) \cdot x_u - \sum_{uv \in E} x_v = 0$$

$$y_u = \sum_{uv \in E} y_v / \deg(u) \Leftrightarrow \deg(u) \cdot y_u = \sum_{uv \in E} y_v \Leftrightarrow \deg(u) \cdot y_u - \sum_{uv \in E} y_v = 0$$



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$$A_{ij, i \neq j} = \begin{cases} -1 & u_i u_j \in E \\ 0 & u_i u_j \notin E \end{cases}$$

Laplacian matrix of  $G$

$k$  variables,  $k$  constraints,  $\det(A) > 0$

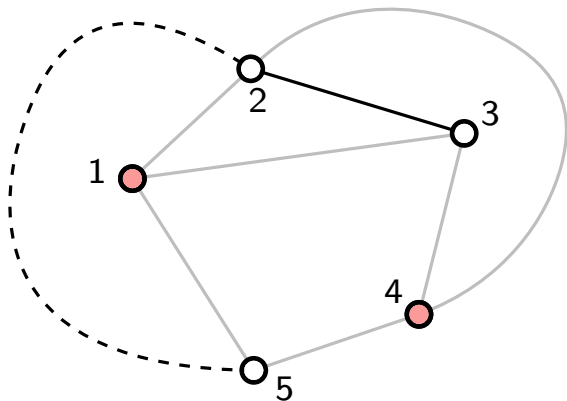
$k = \# \text{free vertices}$

$\Rightarrow$  unique solution



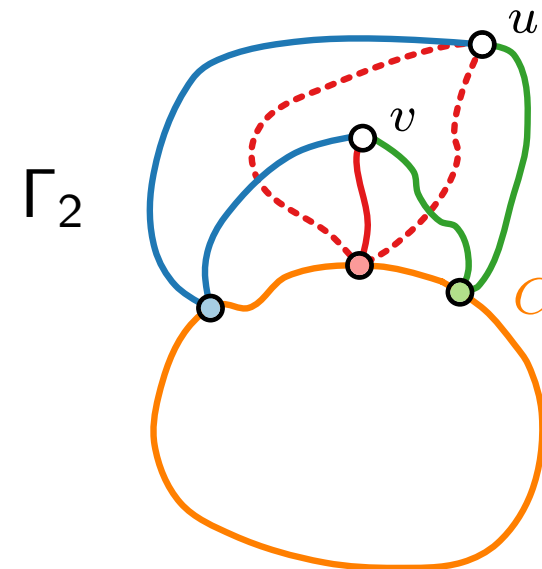
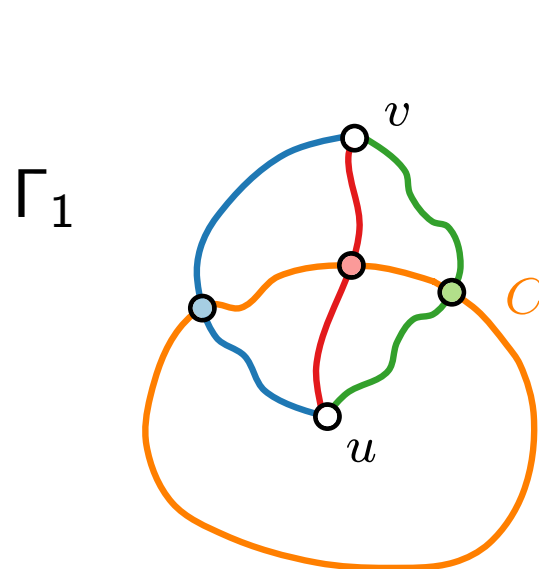
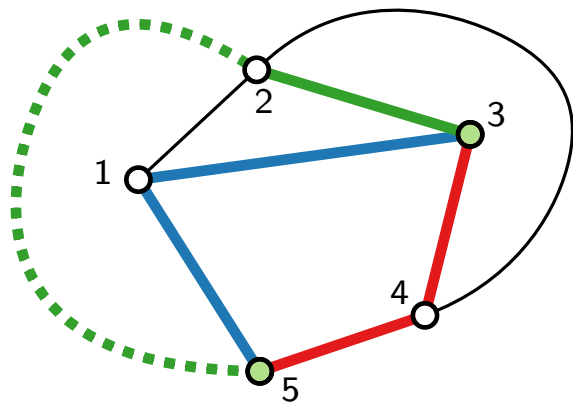
# 3-Connected Planar Graphs

- planar:**  $G$  can be drawn in such a way that no edges cross each other
- connected:** There is a  $u$ - $v$ -path for every  $u, v \in V$
- $k$ -connected:**  $G - \{v_1, \dots, v_{k-1}\}$  is connected for **any**  $v_1, \dots, v_{k-1} \in V$



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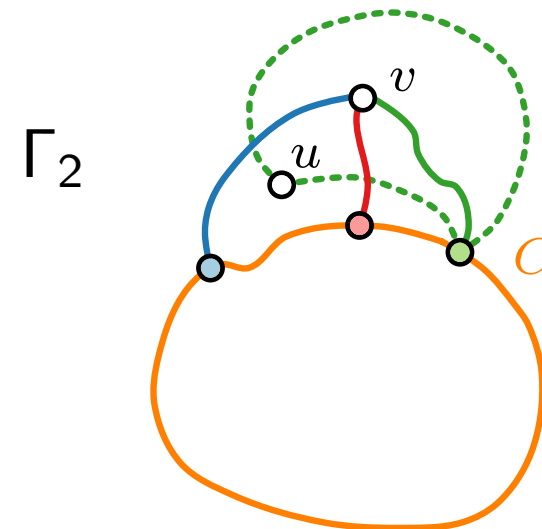
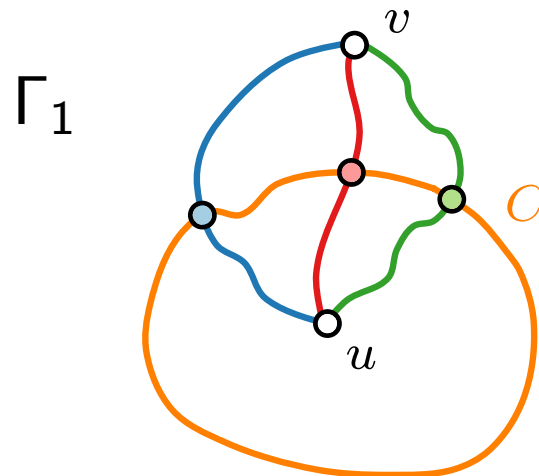
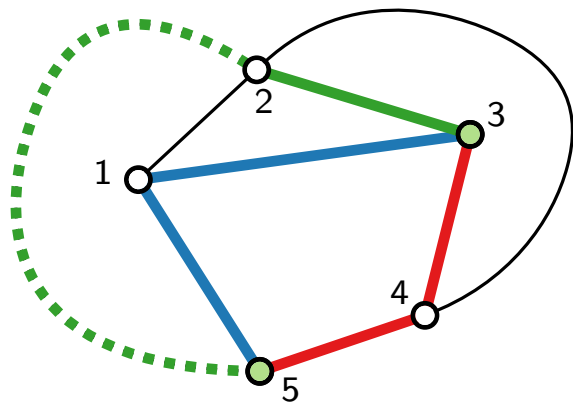
**Theorem.** [Whitney 1933]  
Every 3-connected planar graph has a unique planar embedding.

## Proof sketch.

$\Gamma_1, \Gamma_2$  embeddings of  $G$   
 $C$  face of  $\Gamma_2$ , but not  $\Gamma_1$   
 $u$  inside  $C$  in  $\Gamma_1$ ,  $v$  outside  $C$  in  $\Gamma_1$   
 both on same side in  $\Gamma_2$

# 3-Connected Planar Graphs

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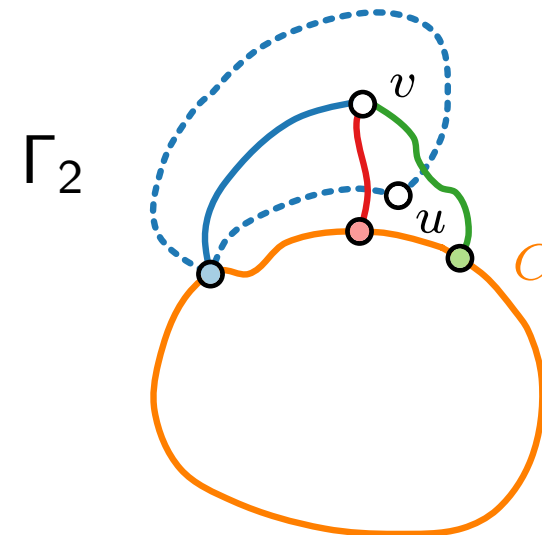
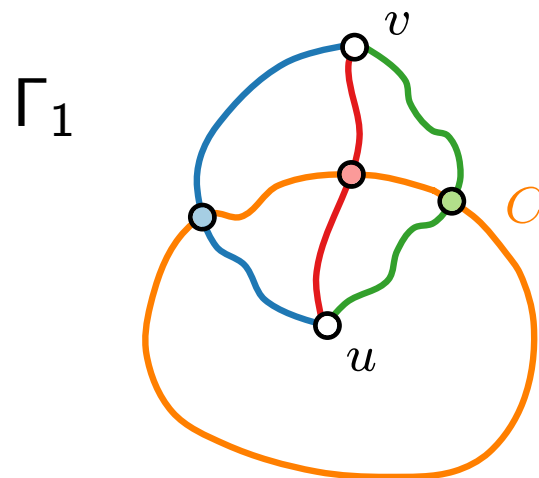
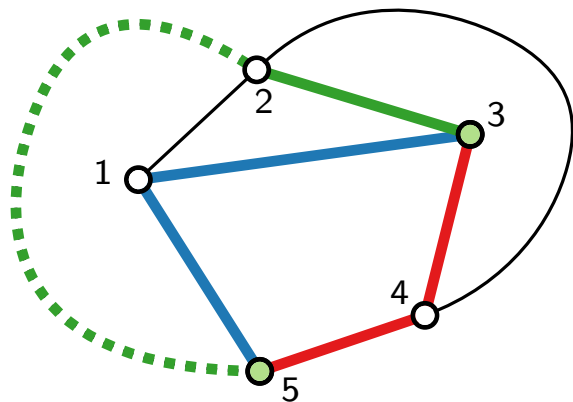
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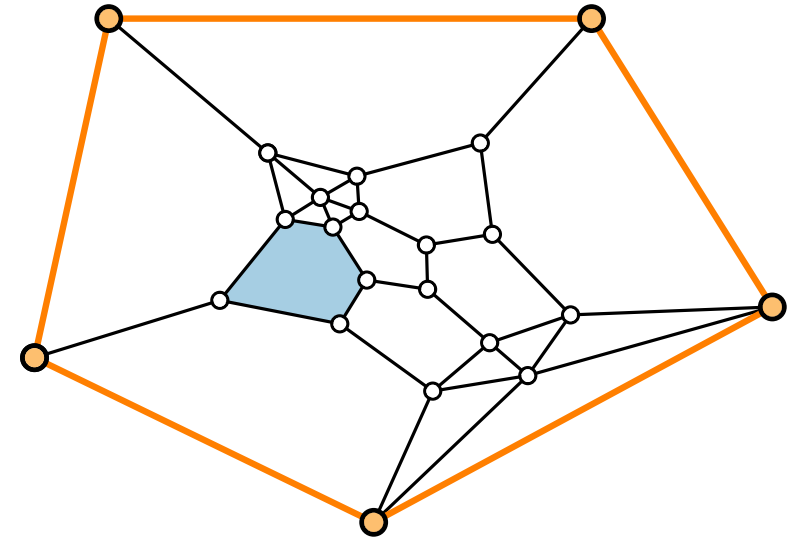
# Tutte's Theorem

## Theorem.

[Tutte 1963]

Let  $G$  be a 3-connected planar graph, and let  $C$  be a face of its unique embedding.

If we fix  $C$  on a strictly convex polygon, then the Tutte drawing of  $G$  is planar and all its faces are strictly convex.

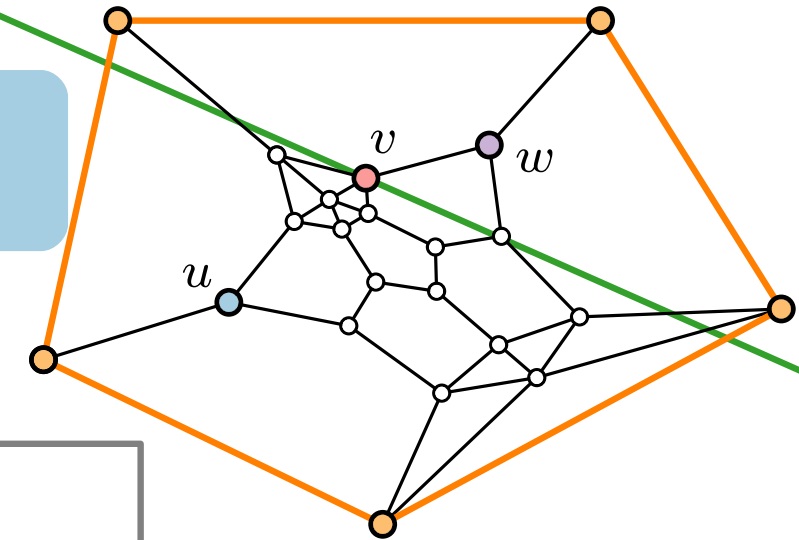
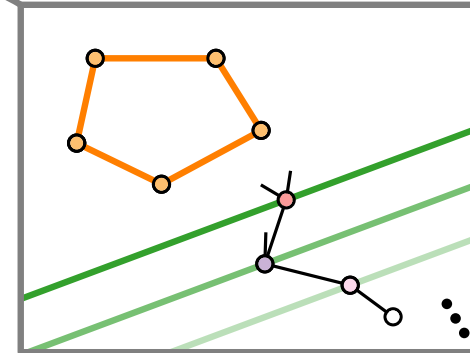


# Properties of Tutte Drawings

**Property 1.** Let  $v \in V$  free,  $\ell$  line through  $v$ .  
 $\exists uv \in E$  on one side of  $\ell \Rightarrow \exists vw \in E$  on other side

Otherwise, all forces to same side ...

**Property 2.** All free vertices lie inside  $C$ .





# Properties of Tutte Drawings

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Otherwise, all forces to same side ...

**Property 2.** All free vertices lie inside  $C$ .

**Property 3.** Let  $\ell$  be any line.  
 Let  $V_\ell$  be all vertices on one side of  $\ell$ .  
 Then  $G[V_\ell]$  is connected.

$v$  furthest away from  $\ell$

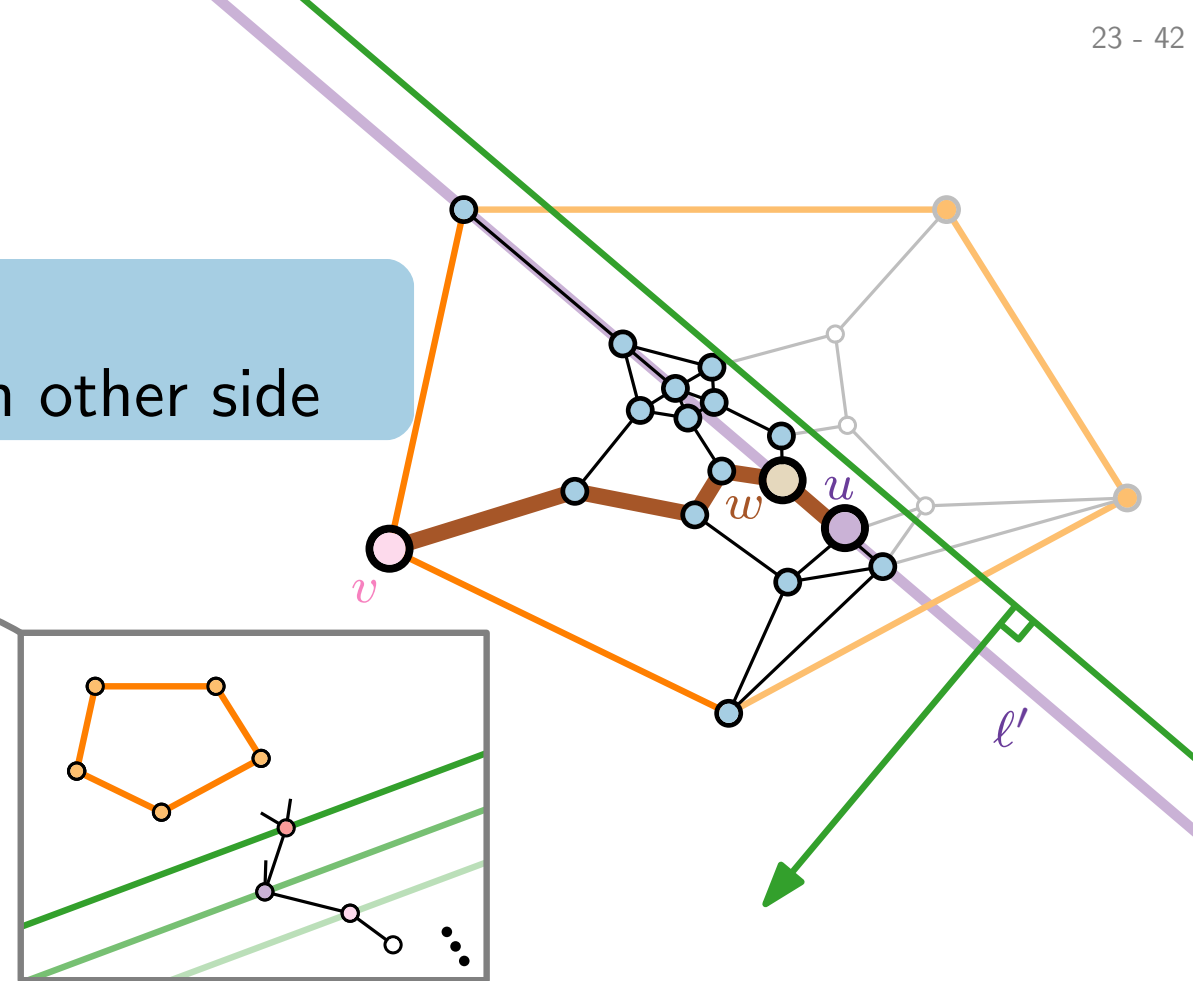
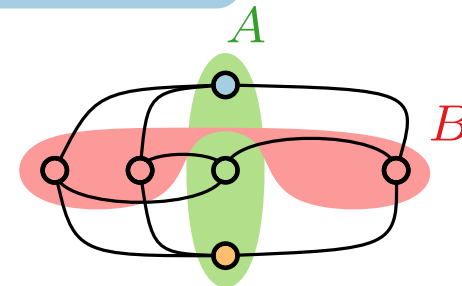
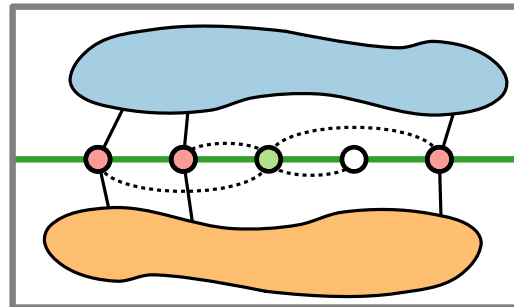
Pick any vertex  $u$ ,  $\ell'$  parallel to  $\ell$  through  $u$

$G$  connected,  $v$  not on  $\ell' \Rightarrow \exists w$  on  $\ell'$  with neighbor further away from  $\ell$

$\Rightarrow \exists$  path from  $u$  to  $v$

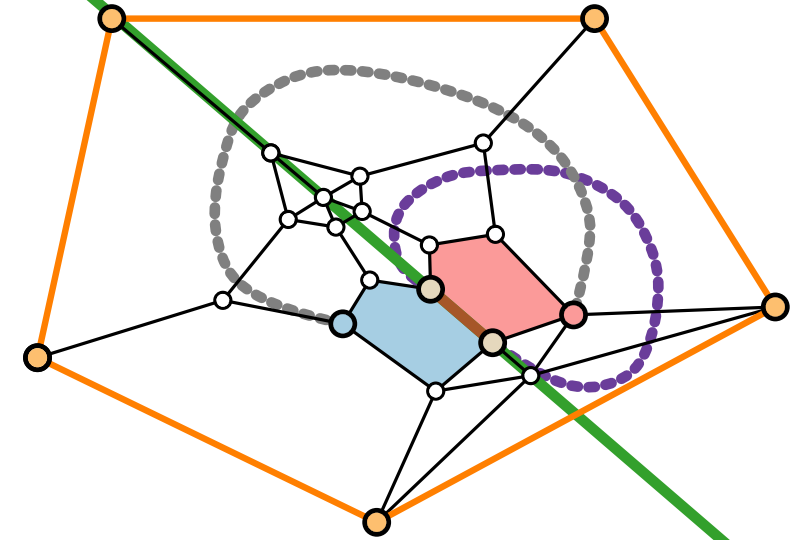
**Property 4.** No vertex is collinear with all of its neighbors.

Not all vertices collinear  
 $G$  3-connected  
 $\Rightarrow K_{3,3}$  minor



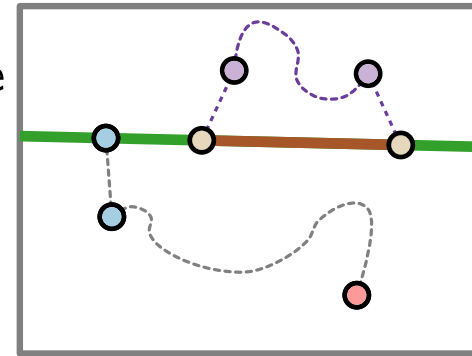
# Proof of Tutte's Theorem

**Lemma.** Let  $uv \in E$  be a non-boundary edge,  $l$  line through  $uv$ . Then the two faces  $f_1, f_2$  incident to  $uv$  lie completely on opposite sides of  $l$ .



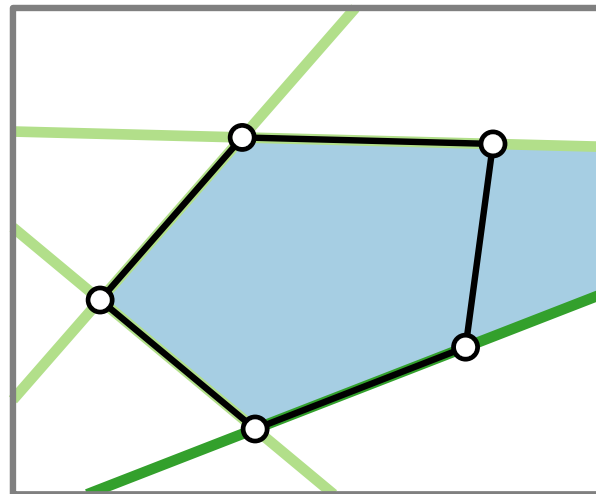
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**Property 4.** No vertex is collinear with all of its neighbors.

**Lemma.** All faces are strictly convex.

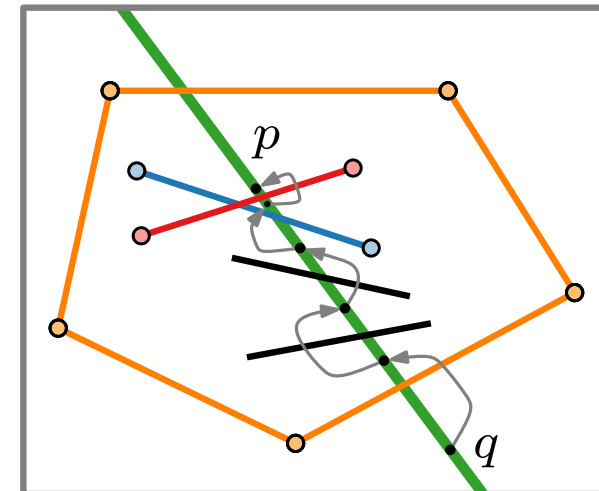


**Property 2.** All free vertices lie inside  $C$ .

$p$  inside two faces  
 $\Rightarrow q$  in one face  
 jumping over edge  
 $\rightarrow$  #faces the same  
 $\Rightarrow p$  inside one face



**Lemma.** The drawing is planar.



# Literature

Main sources:

- [GD Ch. 10] Force-Directed Methods
- [DG Ch. 4] Drawing on Physical Analogies

Original papers:

- [Eades 1984] A heuristic for graph drawing
- [Fruchterman, Reingold 1991] Graph drawing by force-directed placement
- [Tutte 1963] How to draw a graph