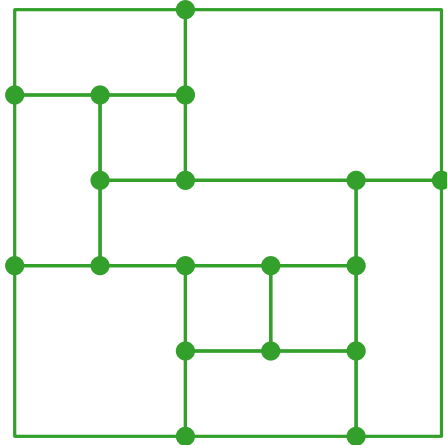
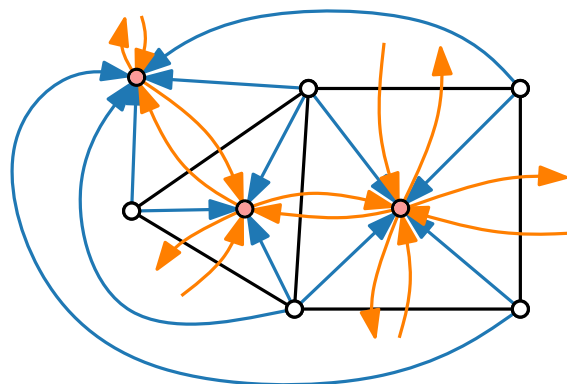
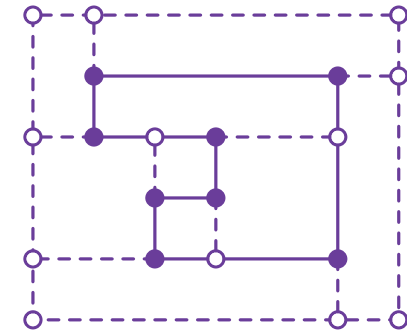


Visualization of Graphs



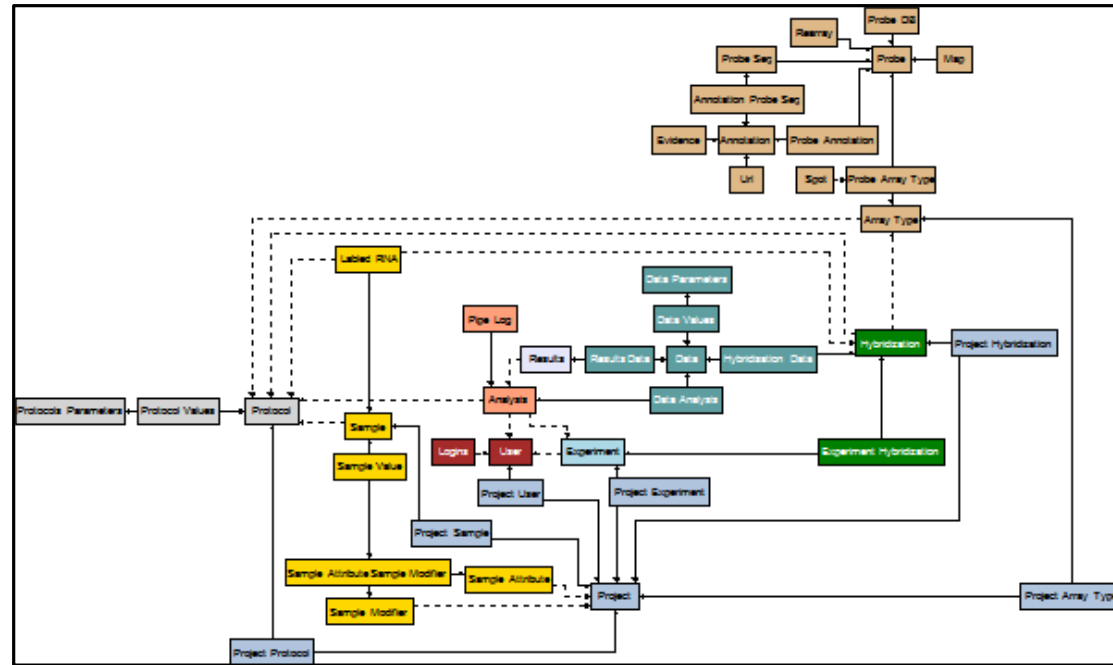
Lecture 5: Orthogonal Layouts

Part I: Topology – Shape – Metric



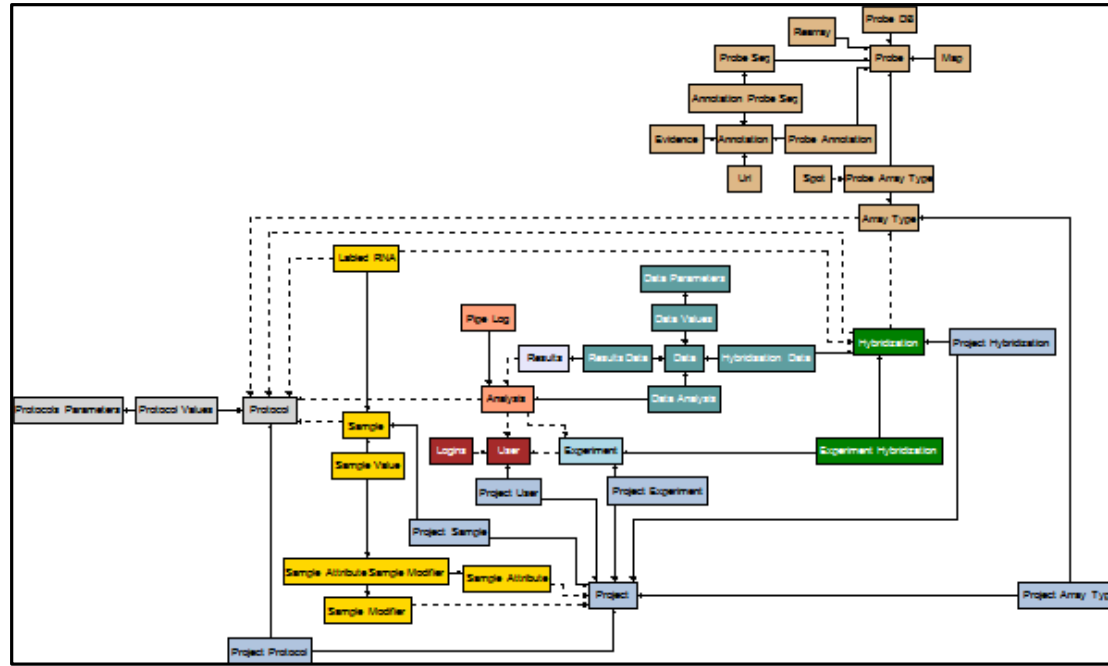
Jonathan Klawitter

Orthogonal Layout – Applications

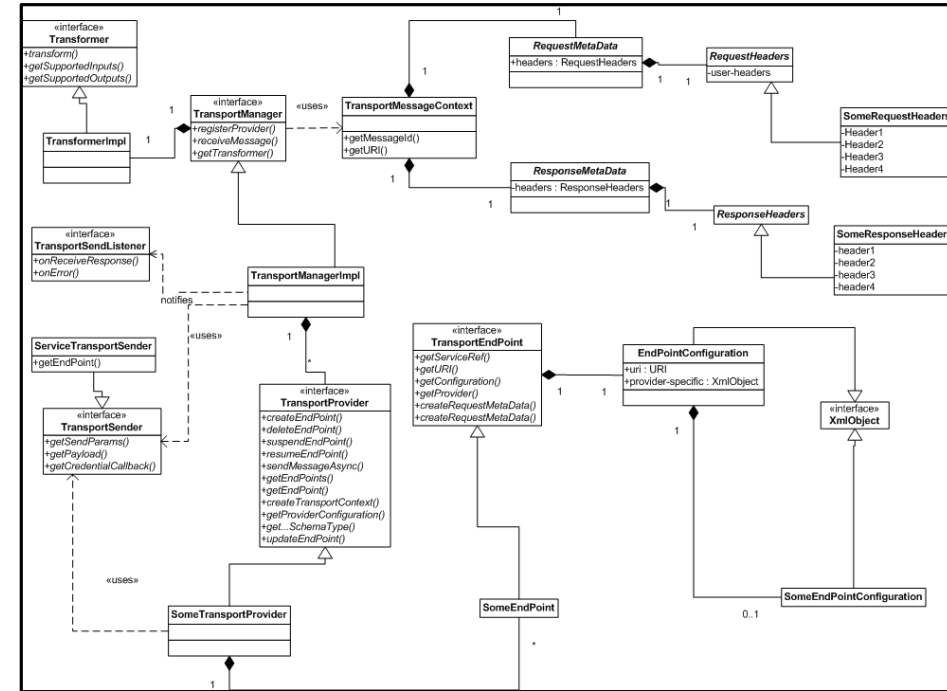


ER diagram in OGDF

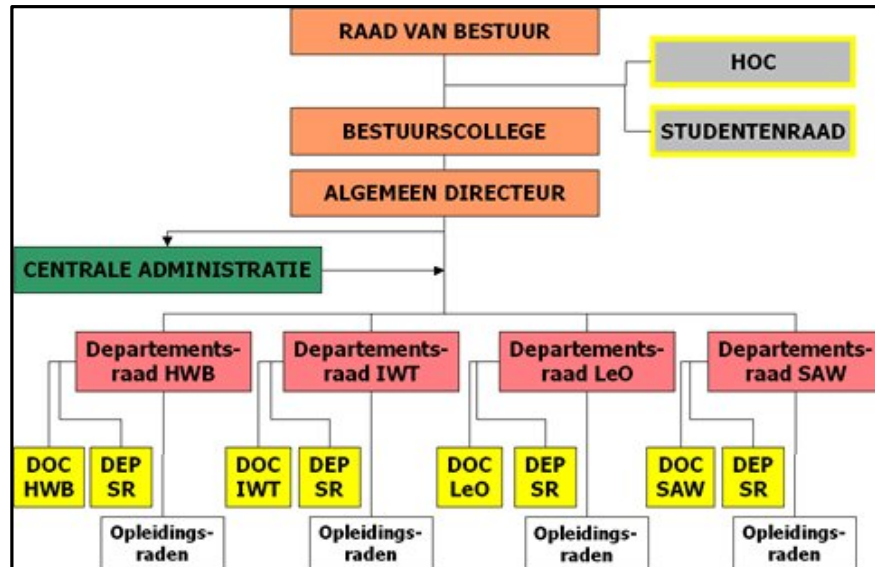
Orthogonal Layout – Applications



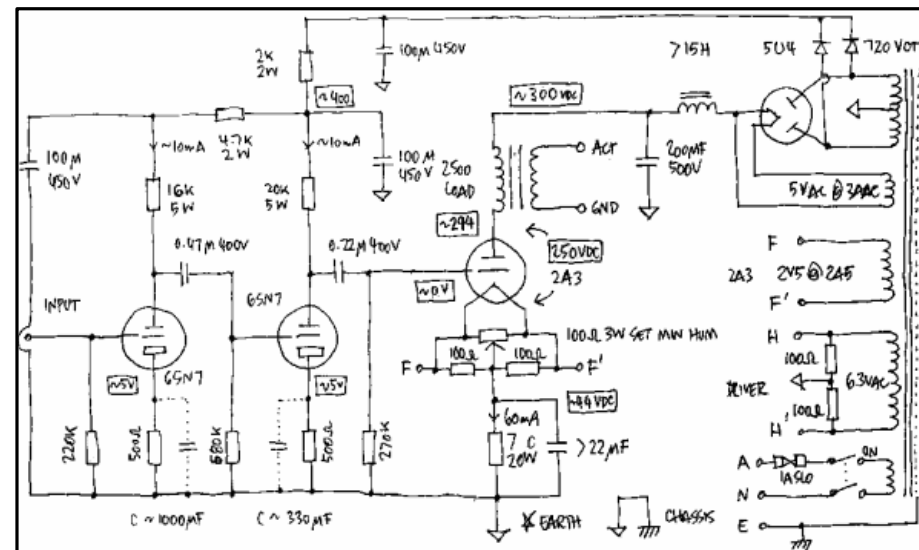
ER diagram in OGDF



UML diagram by Oracle

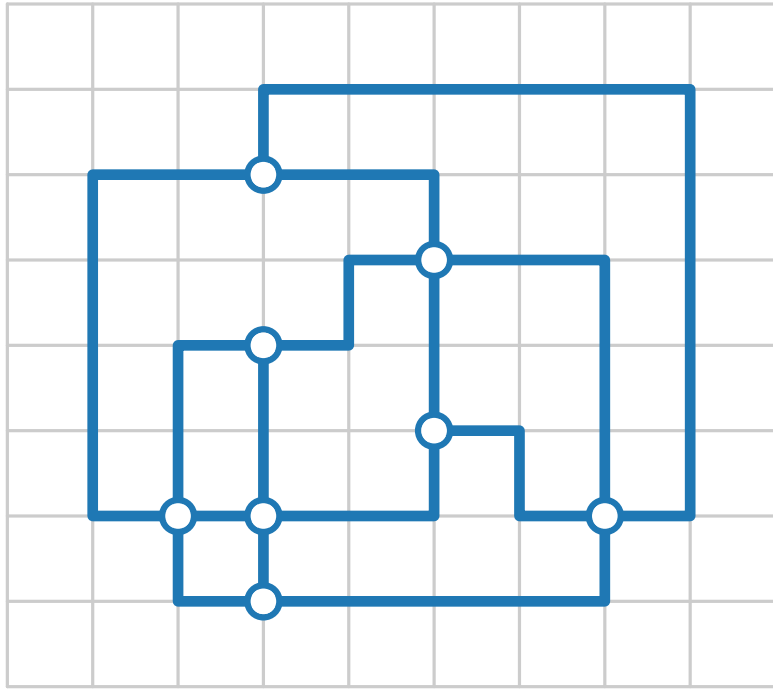


Organigram of HS Limburg



Circuit diagram by Jeff Atwood

Orthogonal Layout – Definition



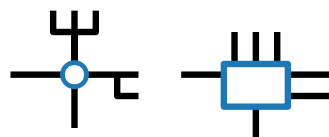
Definition.

A drawing Γ of a graph $G = (V, E)$ is called **orthogonal** if

- vertices are drawn as points on a grid,
- each edge is represented as a sequence of alternating horizontal and vertical segments, and
- pairs of edges are disjoint or cross orthogonally.

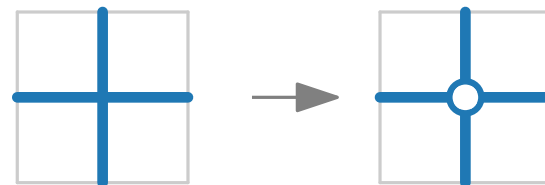
Observations.

- Edges lie on grid \Rightarrow **bends** lie on grid points
- Max degree of each vertex is at most 4
- Otherwise



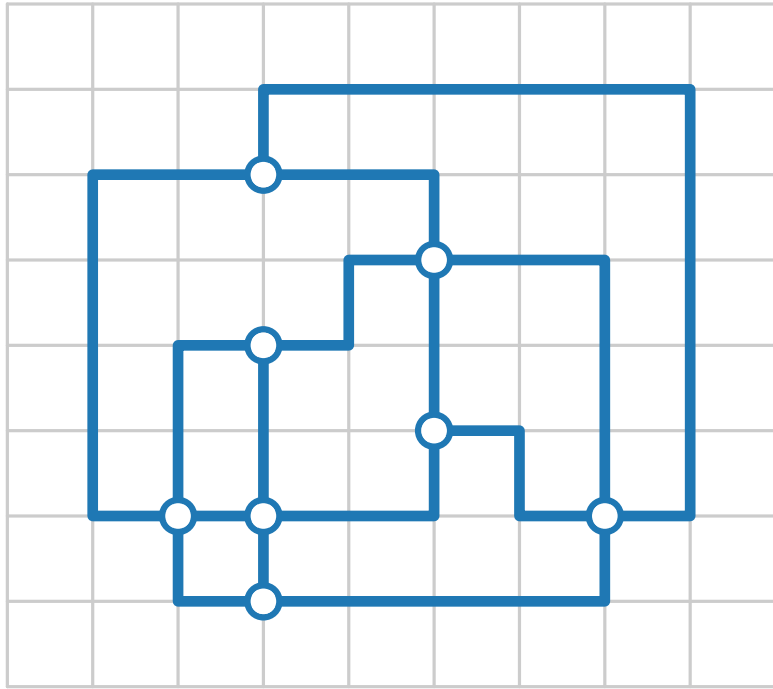
Planarization.

- Fix embedding
- Crossings become vertices



Aesthetic criteria.

Orthogonal Layout – Definition



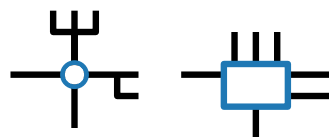
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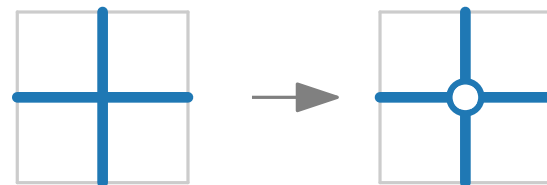
Observations.

- Edges lie on grid \Rightarrow **bends** lie on grid points
- Max degree of each vertex is at most 4
- Otherwise



Planarization.

- Fix embedding
- Crossings become vertices



Aesthetic criteria.

- Number of bends
- Length of edges
- Width, height, area
- Monotonicity of edges
- ...

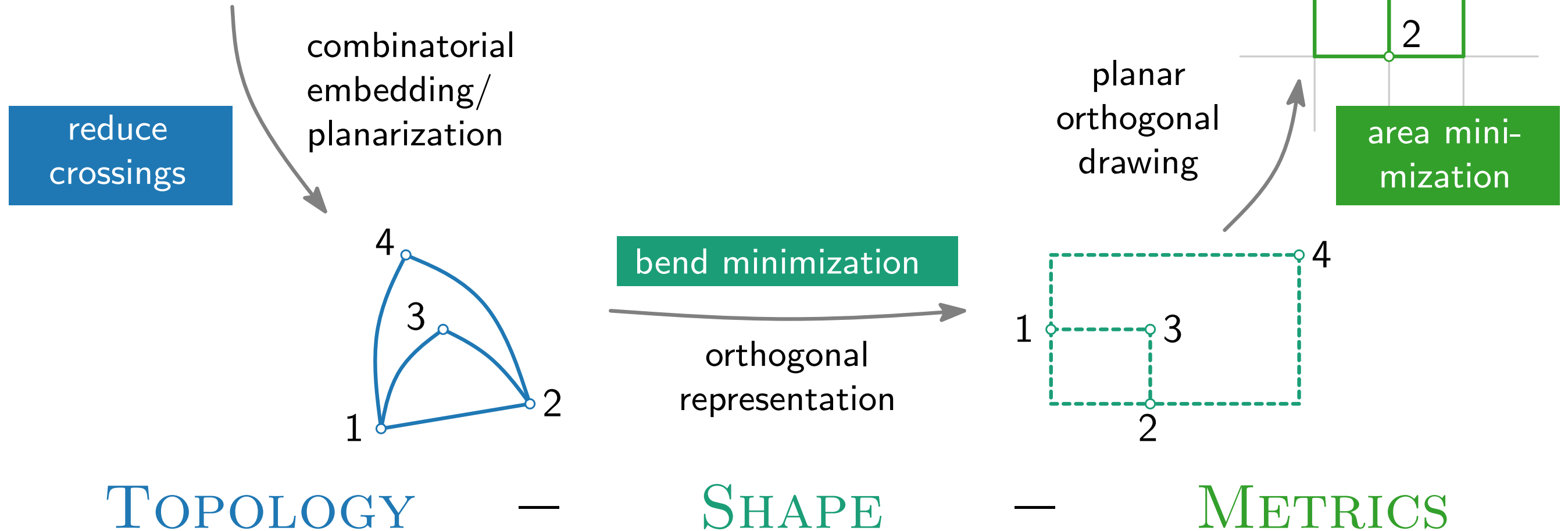
Topology – Shape – Metrics

Three-step approach:

[Tamassia 1987]

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$



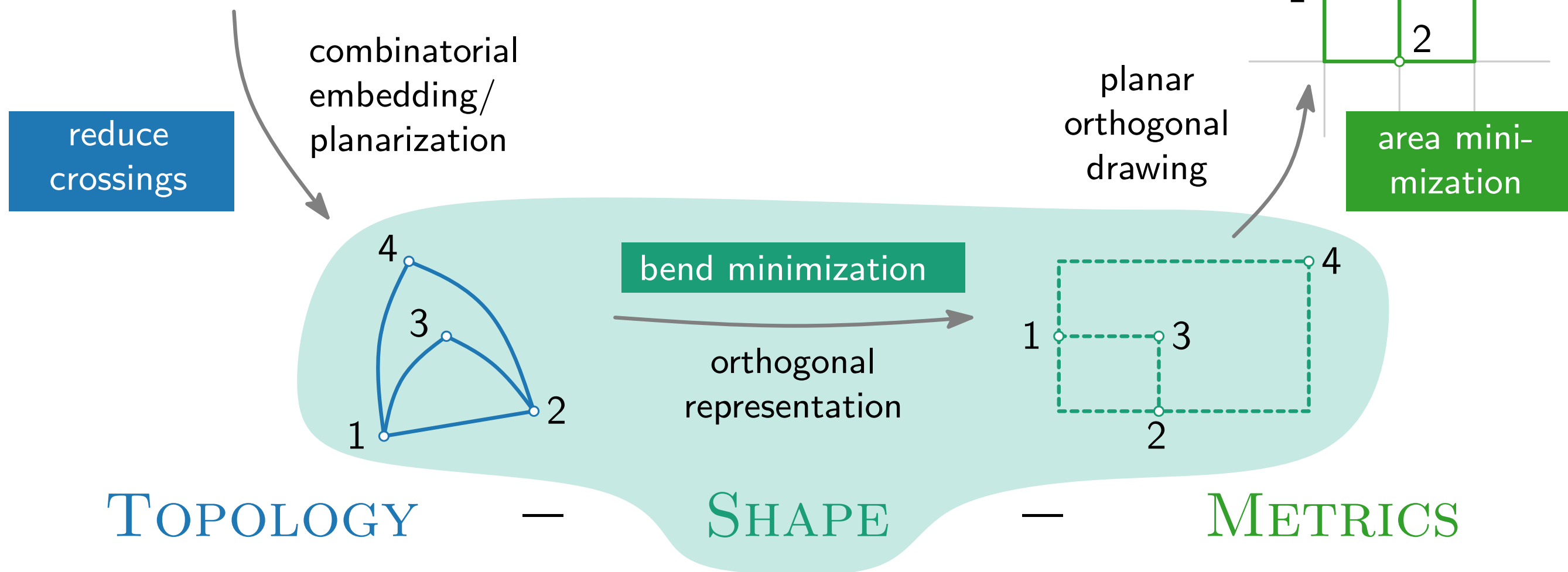
Topology – Shape – Metrics

Three-step approach:

[Tamassia 1987]

$$V = \{v_1, v_2, v_3, v_4\}$$

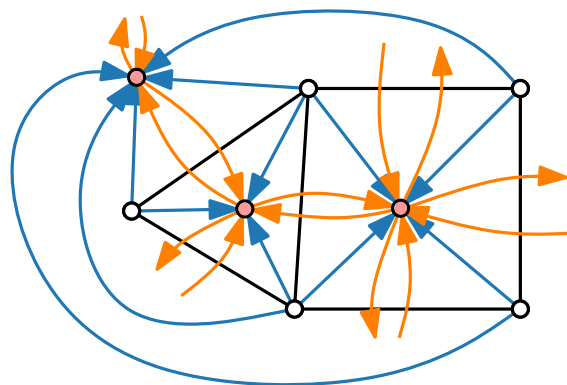
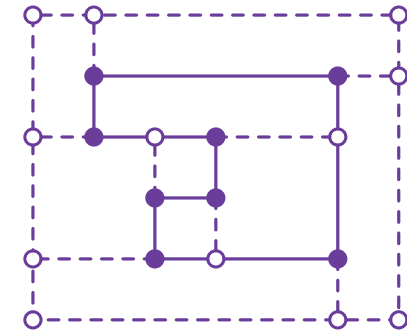
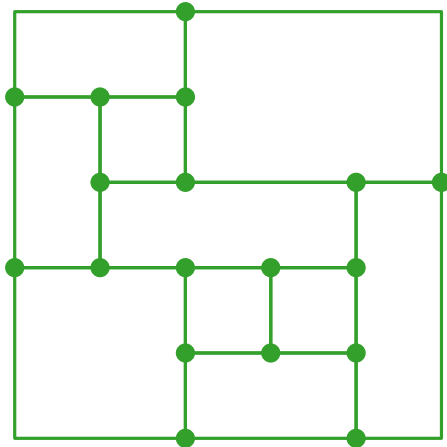
$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$



Visualization of Graphs

Lecture 5: Orthogonal Layouts

Part II: Orthogonal Representation



Jonathan Klawitter

Orthogonal Representation

Idea.

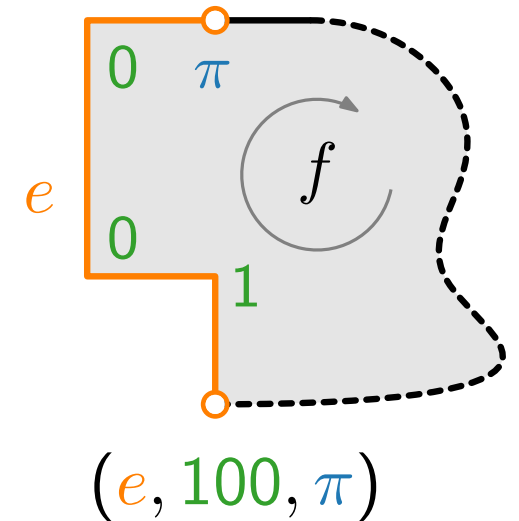
Describe orthogonal drawing combinatorially.

Definitions.

Let $G = (V, E)$ be a plane graph with faces F and outer face f_0 .

- Let e be an edge with the face f to the right.
 - An **edge description** of e wrt f is a triple (e, δ, α) where
 - δ is a sequence of $\{0, 1\}^*$ (0 = right bend, 1 = left bend)
 - α is angle $\in \{\frac{\pi}{2}, \pi, \frac{3\pi}{2}, 2\pi\}$ between e and next edge e'
- A **face representation** $H(f)$ of f is a clockwise ordered sequence of edge descriptions (e, δ, α) .
- An **orthogonal representation** $H(G)$ of G is defined as

$$H(G) = \{H(f) \mid f \in F\}.$$

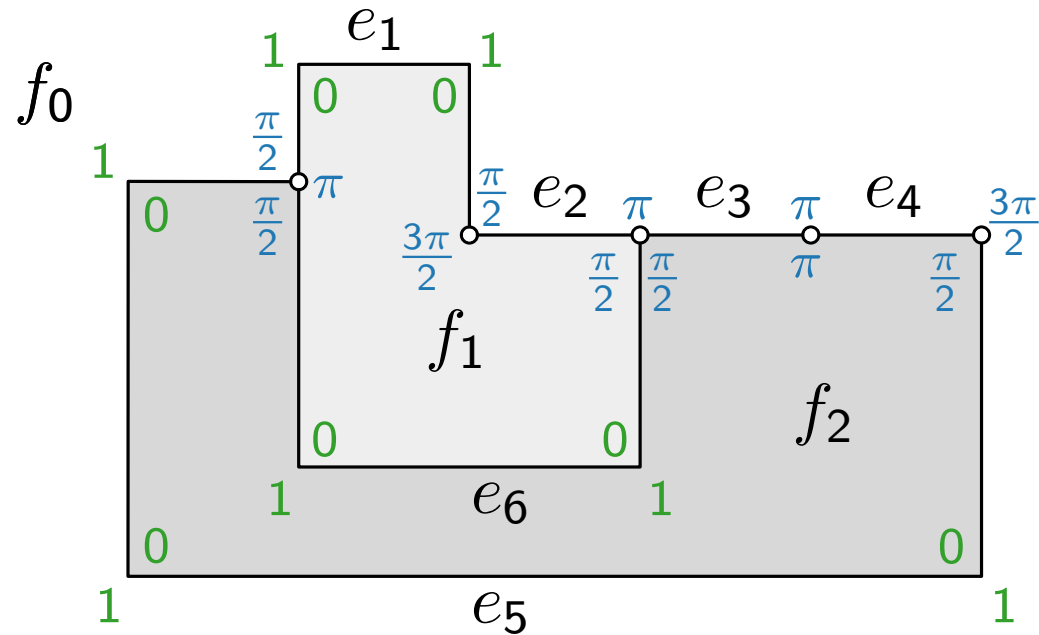
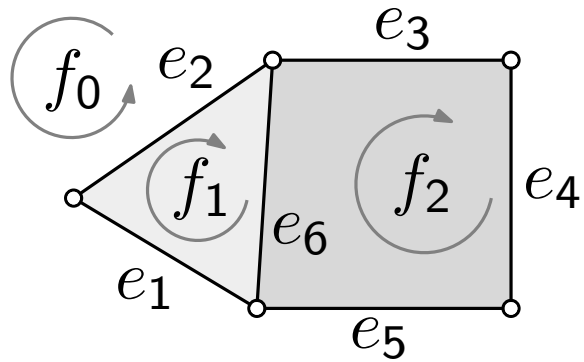


Orthogonal Representation – Example

$$H(f_0) = ((e_1, 11, \frac{\pi}{2}), (e_5, 111, \frac{3\pi}{2}), (e_4, \emptyset, \pi), (e_3, \emptyset, \pi), (e_2, \emptyset, \frac{\pi}{2}))$$

$$H(f_1) = ((e_1, 00, \frac{3\pi}{2}), (e_2, \emptyset, \frac{\pi}{2}), (e_6, 00, \pi))$$

$$H(f_2) = ((e_5, 000, \frac{\pi}{2}), (e_6, 11, \frac{\pi}{2}), (e_3, \emptyset, \pi), (e_4, \emptyset, \frac{\pi}{2}))$$



Concrete coordinates are not fixed yet!

Correctness of an Orthogonal Representation

(H1) $H(G)$ corresponds to F, f_0 .

(H2) For each **edge** $\{u, v\}$ shared by faces f and g with $((u, v), \delta_1, \alpha_1) \in H(f)$ and $((v, u), \delta_2, \alpha_2) \in H(g)$ sequence δ_1 is reversed and inverted δ_2 .

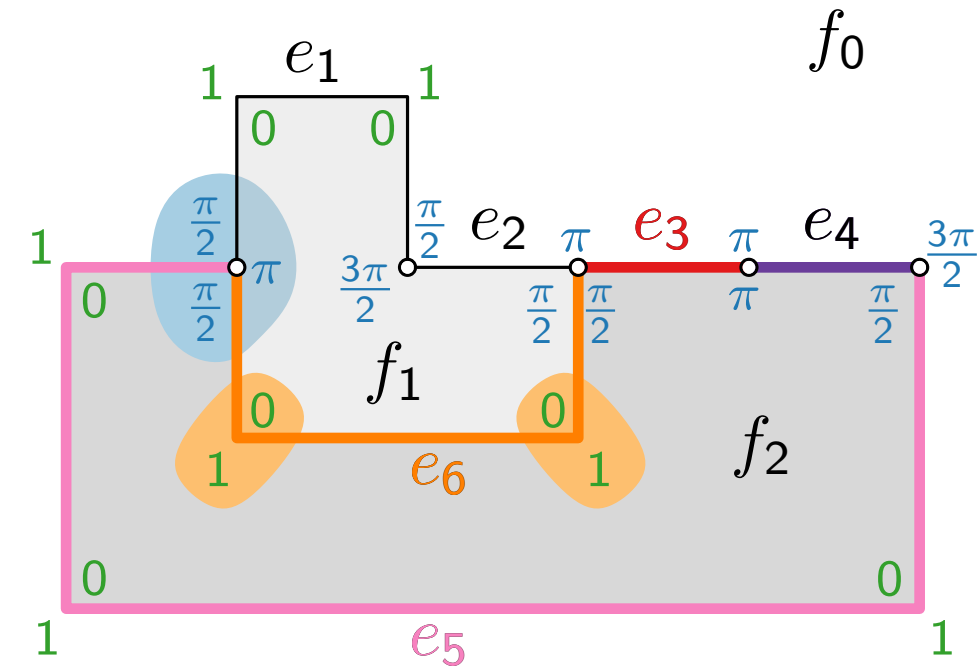
(H3) Let $|\delta|_0$ (resp. $|\delta|_1$) be the number of zeros (resp. ones) in δ and $r = (e, \delta, \alpha)$.

Let $C(r) := |\delta|_0 - |\delta|_1 + 2 - \alpha \cdot 2/\pi$.

For each **face** f it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$

(H4) For each **vertex** v the sum of incident angles is 2π .



$$C(e_3) = 0 - 0 + 2 - \pi \cdot \frac{2}{\pi} = 0$$

$$C(e_4) = 0 - 0 + 2 - \frac{\pi}{2} \cdot \frac{2}{\pi} = 1$$

$$C(e_5) = 3 - 0 + 2 - \frac{\pi}{2} \cdot \frac{2}{\pi} = 4$$

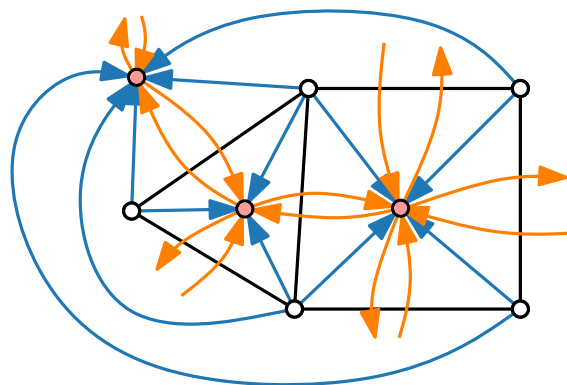
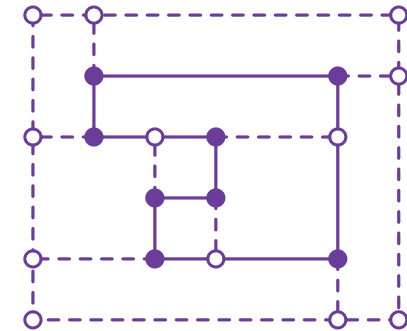
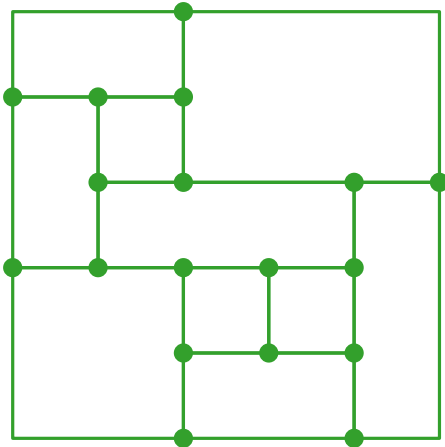
$$C(e_6) = 0 - 2 + 2 - \frac{\pi}{2} \cdot \frac{2}{\pi} = -1$$

Visualization of Graphs

Lecture 5: Orthogonal Layouts

Part III: Bend Minimization

Jonathan Klawitter



Reminder: s - t -Flow Networks

Flow network $(G = (V, E); S, T; u)$ with

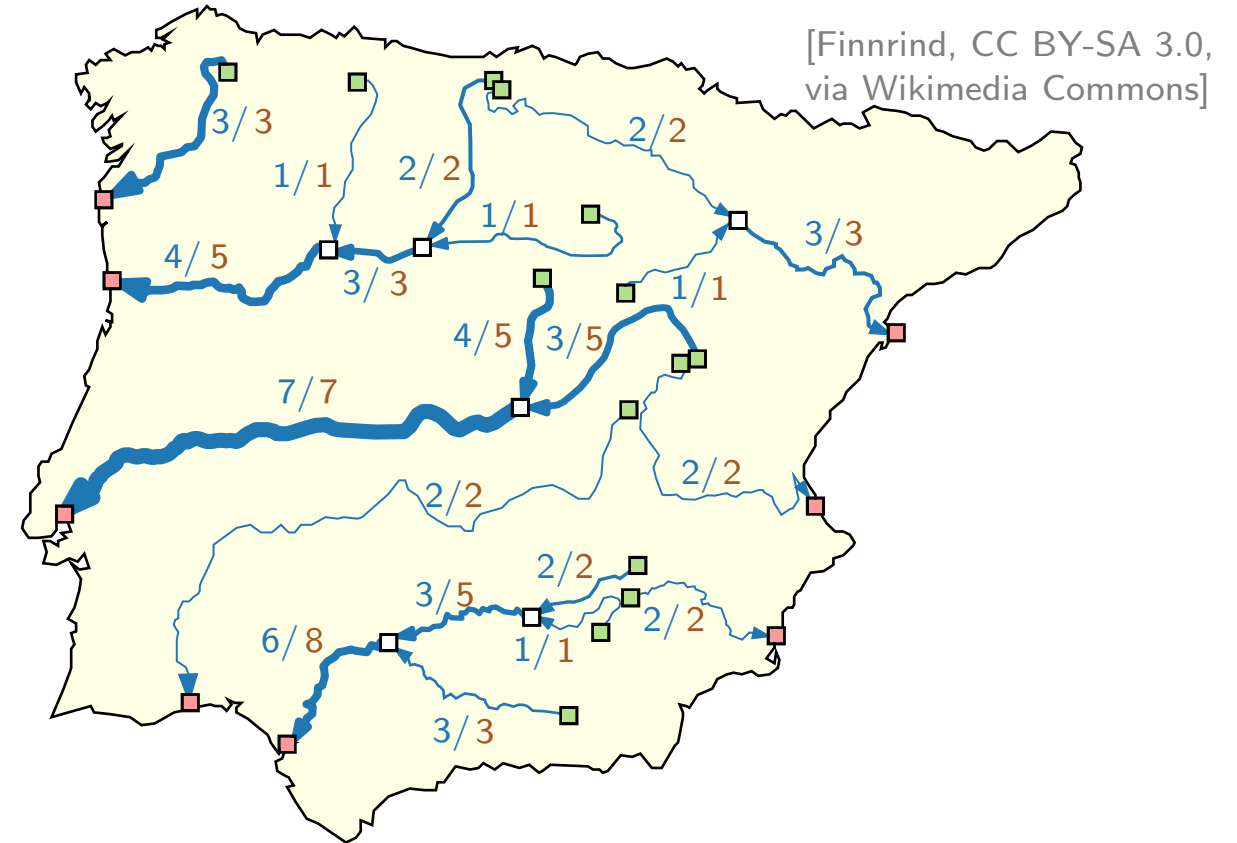
- directed graph $G = (V, E)$
- *sources* $S \subseteq V$, *sinks* $T \subseteq V$
- edge *capacity* $u: E \rightarrow \mathbb{R}_0^+$

A function $X: E \rightarrow \mathbb{R}_0^+$ is called **S - T -flow**, if:

$$0 \leq X(i, j) \leq u(i, j) \quad \forall (i, j) \in E$$

$$\sum_{(i, j) \in E} X(i, j) - \sum_{(j, i) \in E} X(j, i) = 0 \quad \forall i \in V \setminus (S \cup T)$$

A **maximum S - T -flow** is an S - T -flow where $\sum_{(i, j) \in E, i \in S} X(i, j)$ is maximized.



Reminder: s - t -Flow Networks

Flow network $(G = (V, E); s, t; u)$ with

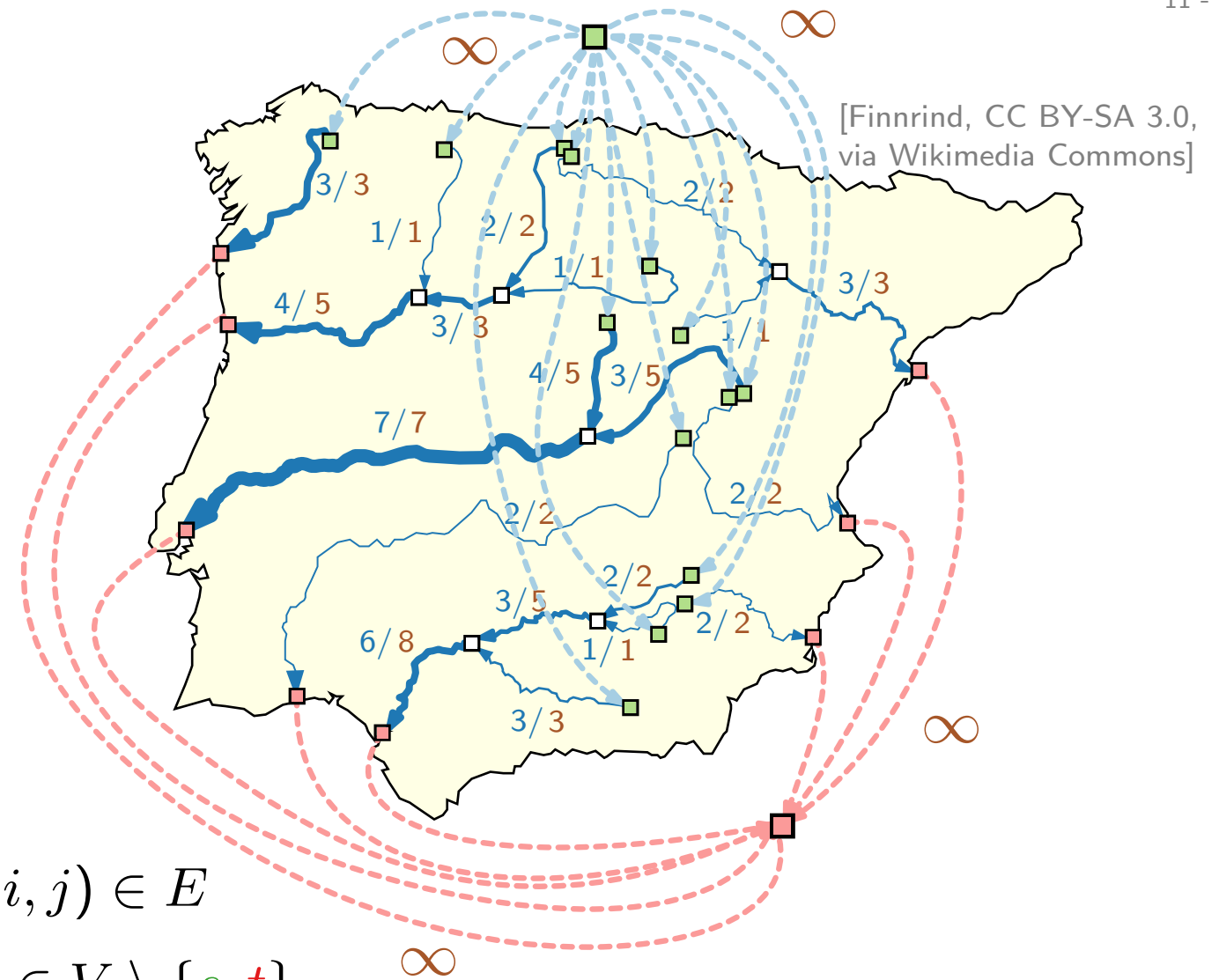
- directed graph $G = (V, E)$
- *source* $s \in V$, *sink* $t \in V$
- edge *capacity* $u: E \rightarrow \mathbb{R}_0^+$

A function $X: E \rightarrow \mathbb{R}_0^+$ is called **s - t -flow**, if:

$$0 \leq X(i, j) \leq u(i, j) \quad \forall (i, j) \in E$$

$$\sum_{(i,j) \in E} X(i, j) - \sum_{(j,i) \in E} X(j, i) = 0 \quad \forall i \in V \setminus \{s, t\}$$

A **maximum** s - t -flow is an s - t -flow where $\sum_{(s,j) \in E} X(s, j)$ is maximized.



General Flow Network

Flow network $(G = (V, E); b; \ell; u)$ with

- directed graph $G = (V, E)$
- node *production/consumption* $b: V \rightarrow \mathbb{R}$ with $\sum_{i \in V} b(i) = 0$
- edge *lower bound* $\ell: E \rightarrow \mathbb{R}_0^+$
- edge *capacity* $u: E \rightarrow \mathbb{R}_0^+$

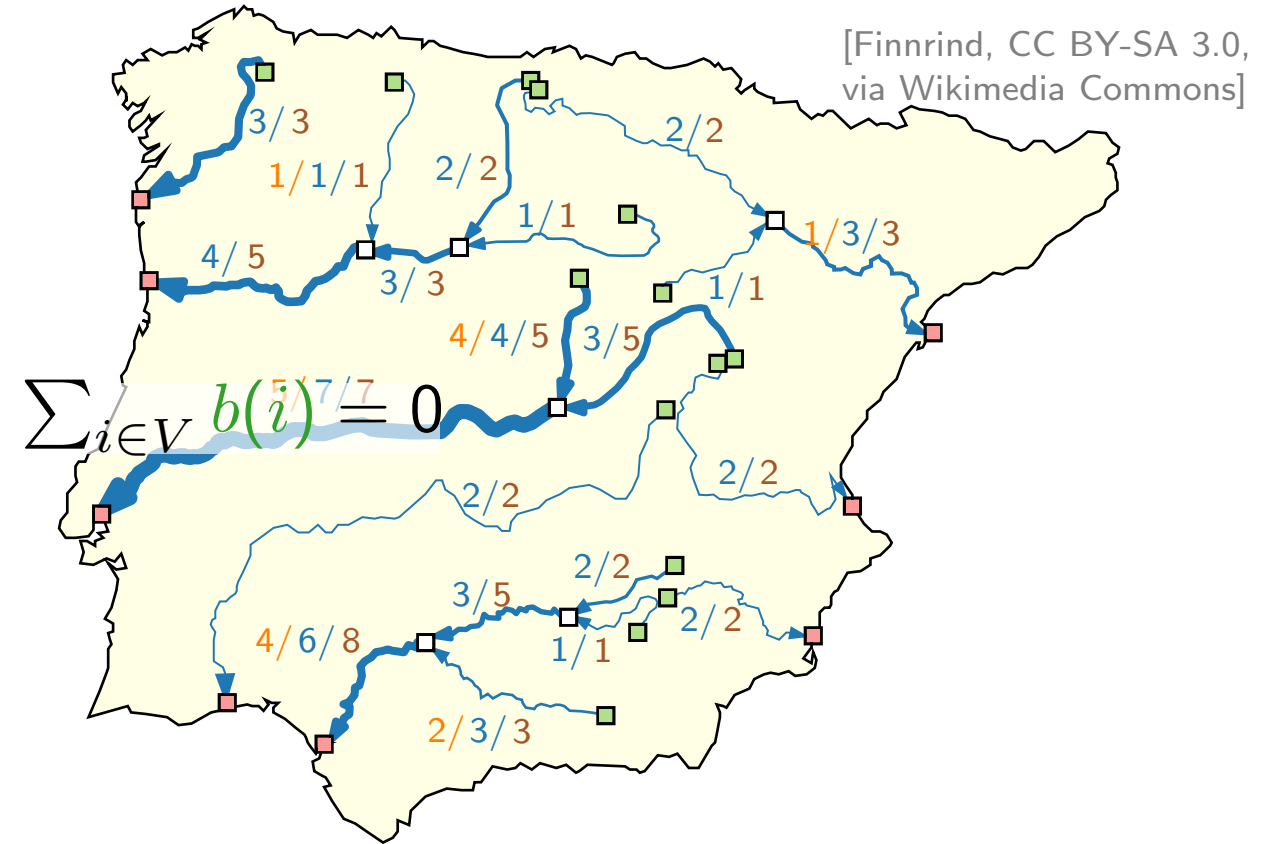
A function $X: E \rightarrow \mathbb{R}_0^+$ is called **valid flow**, if:

$$\ell(i, j) \leq X(i, j) \leq u(i, j) \quad \forall (i, j) \in E$$

$$\sum_{(i, j) \in E} X(i, j) - \sum_{(j, i) \in E} X(j, i) = b(i) \quad \forall i \in V$$

- *Cost function cost*: $E \rightarrow \mathbb{R}_0^+$ and $\text{cost}(X) := \sum_{(i, j) \in E} \text{cost}(i, j) \cdot X(i, j)$

A **minimum cost flow** is a valid flow where $\text{cost}(X)$ is minimized.



General Flow Network – Algorithms

Polynomial Algorithms

#	Due to	Year	Running Time
1	Edmonds and Karp	1972	$O((n + m) \log U S(n, m, nC))$
2	Rock	1980	$O((n + m) \log U S(n, m, nC))$
3	Rock	1980	$O(n \log C M(n, m, U))$
4	Bland and Jensen	1985	$O(m \log C M(n, m, U))$
5	Goldberg and Tarjan	1987	$O(nm \log (n^2/m) \log (nC))$
6	Goldberg and Tarjan	1988	$O(nm \log n \log (nC))$
7	Ahuja, Goldberg, Orlin and Tarjan	1988	$O(nm \log \log U \log (nC))$

Strongly Polynomial Algorithms

#	Due to	Year	Running Time
1	Tardos	1985	$O(m^4)$
2	Orlin	1984	$O((n + m)^2 \log n S(n, m))$
3	Fujishige	1986	$O((n + m)^2 \log n S(n, m))$
4	Galil and Tardos	1986	$O(n^2 \log n S(n, m))$
5	Goldberg and Tarjan	1987	$O(nm^2 \log n \log (n^2/m))$
6	Goldberg and Tarjan	1988	$O(nm^2 \log^2 n)$
7	Orlin (this paper)	1988	$O((n + m) \log n S(n, m))$

$S(n, m)$	= $O(m + n \log n)$	Fredman and Tarjan [1984]
$S(n, m, C)$	= $O(\text{Min}(m + n\sqrt{\log C}, m \log \log C))$	Ahuja, Mehlhorn, Orlin and Tarjan [1990] Van Emde Boas, Kaas and Zijlstra [1977]
$M(n, m)$	= $O(\text{min}(nm + n^{2+\epsilon}, nm \log n))$ where ϵ is any fixed constant.	King, Rao, and Tarjan [1991]
$M(n, m, U)$	= $O(nm \log (\frac{n}{m} \sqrt{\log U} + 2))$	Ahuja, Orlin and Tarjan [1989]

Theorem.

[Orlin 1991]

The minimum cost flow problem can be solved in $O(n^2 \log^2 n + m^2 \log n)$ time.

Theorem.

[Cornelsen & Karrenbauer 2011]

The minimum cost flow problem for planar graphs with bounded costs and face sizes can be solved in $O(n^{3/2})$ time.

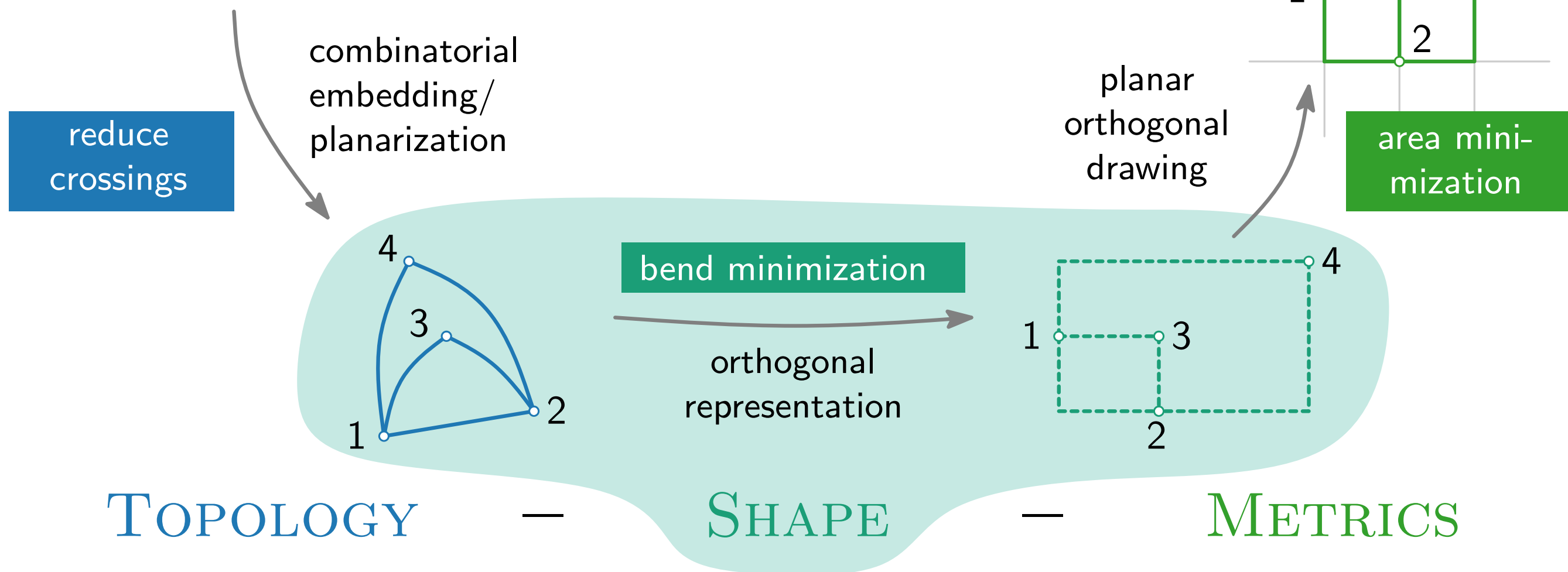
Topology – Shape – Metrics

Three-step approach:

[Tamassia 1987]

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$



Bend Minimization with Given Embedding

Geometric bend minimization.

Given: ■ Plane graph $G = (V, E)$ with maximum degree 4

■ Combinatorial embedding F and outer face f_0

Find: Orthogonal drawing with minimum number of bends that preserves the embedding.

Compare with the following variation.

Combinatorial bend minimization.

Given: ■ Plane graph $G = (V, E)$ with maximum degree 4

■ Combinatorial embedding F and outer face f_0

Find: **Orthogonal representation** $H(G)$ with minimum number of bends that preserves the embedding.

Combinatorial Bend Minimization

Combinatorial bend minimization.

Given: ■ Plane graph $G = (V, E)$ with maximum degree 4
 ■ Combinatorial embedding F and outer face f_0

Find: **Orthogonal representation** $H(G)$ with minimum number of bends that preserves the embedding

Idea.

Formulate as a network flow problem:

- a unit of flow = $\sphericalangle \frac{\pi}{2}$
- vertices $\xrightarrow{\sphericalangle}$ faces ($\# \sphericalangle \frac{\pi}{2}$ per face)
- faces $\xrightarrow{\sphericalangle}$ neighbouring faces ($\#$ bends toward the neighbour)

Flow Network for Bend Minimization

(H1) $H(G)$ corresponds to F, f_0 .

(H2) For each **edge** $\{u, v\}$ shared by faces f and g , sequence δ_1 is reversed and inverted δ_2 .

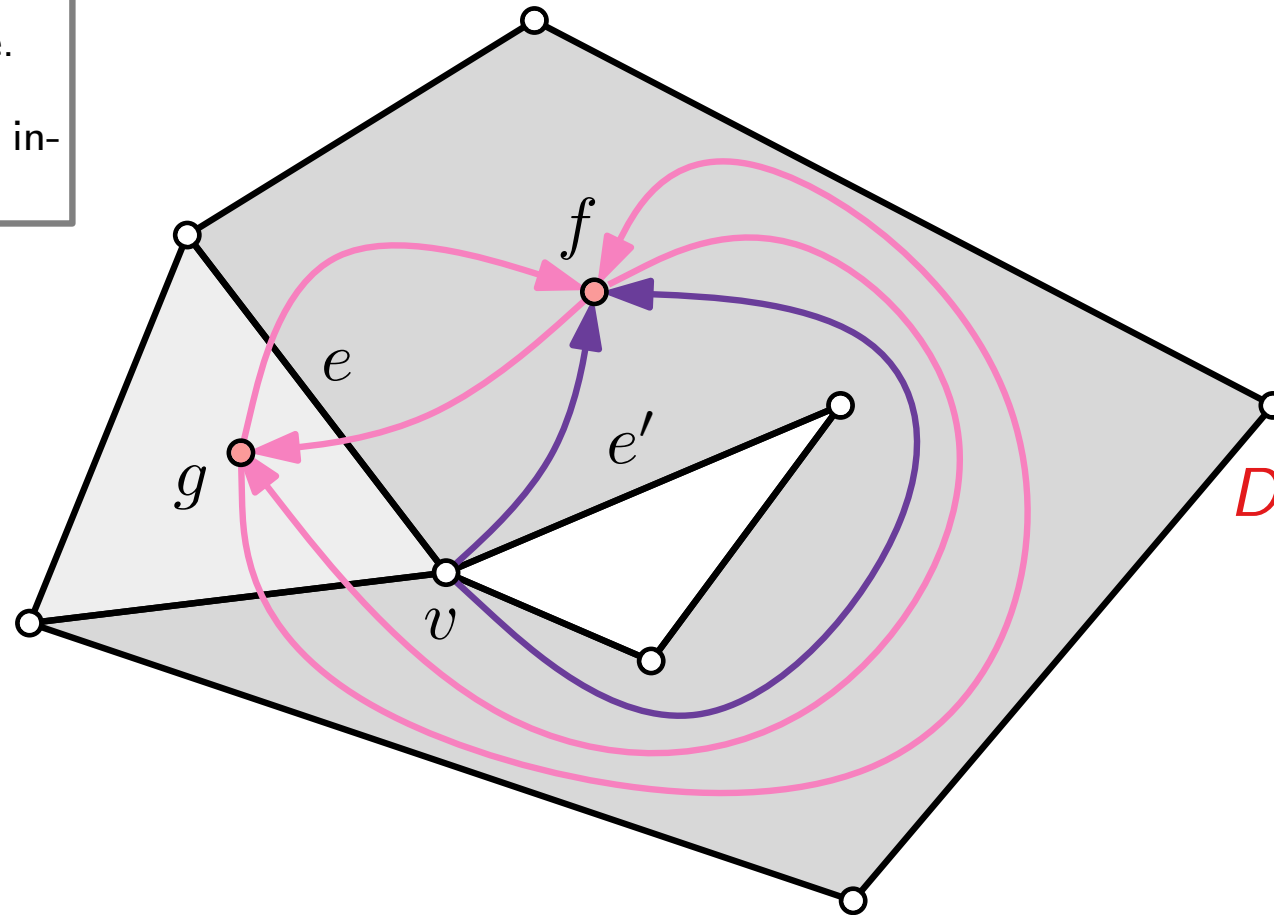
(H3) For each **face** f it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$

(H4) For each **vertex** v the sum of incident angles is 2π .

Define flow network $N(G) = ((V \cup F, E); b; \ell; u; \text{cost})$:

$$E = \{(v, f)_{ee'} \in V \times F \mid v \text{ between edges } e, e' \text{ of } \partial f\} \cup \{(f, g)_e \in F \times F \mid f, g \text{ have common edge } e\}$$



Directed multigraph!

Flow Network for Bend Minimization

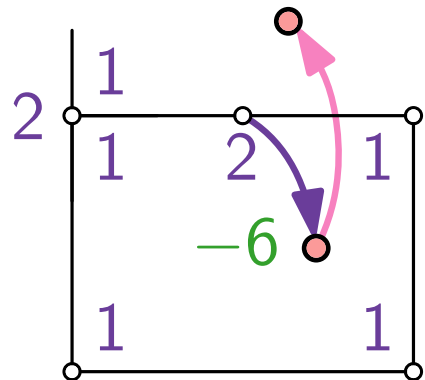
(H1) $H(G)$ corresponds to F, f_0 .

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$$b(v) = 4 \quad \forall v \in V$$

$$b(f) = -2 \deg_G(f) + \begin{cases} -4 & \text{if } f = f_0, \\ +4 & \text{otherwise} \end{cases} \Rightarrow \sum_w b(w) = 0 \quad (\text{Euler})$$

$$\forall (v, f) \in E, v \in V, f \in F$$

$$\ell(v, f) := 1 \leq X(v, f) \leq 4 =: u(v, f)$$

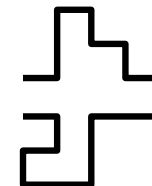
$$\text{cost}(v, f) = 0$$

$$\forall (f, g) \in E, f, g \in F$$

$$\ell(f, g) := 0 \leq X(f, g) \leq \infty =: u(f, g)$$

$$\text{cost}(f, g) = 1$$

We model only the number of bends. Why is it enough?



Flow Network for Bend Minimization

(H1) $H(G)$ corresponds to F, f_0 .

(H2) For each **edge** $\{u, v\}$ shared by faces f and g , sequence δ_1 is reversed and inverted δ_2 .

(H3) For each **face** f it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$

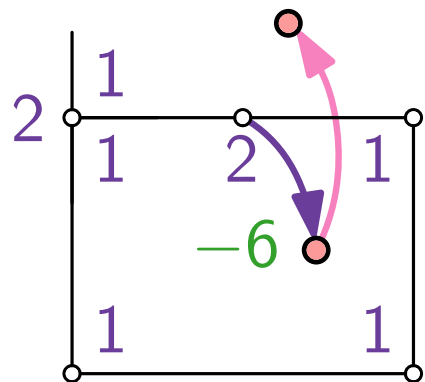
(H4) For each **vertex** v the sum of incident angles is 2π .

Define flow network $N(G) = ((V \cup F, E); b; \ell; u; \text{cost})$:

$$\blacksquare E = \{(v, f)_{ee'} \in V \times F \mid v \text{ between edges } e, e' \text{ of } \partial f\} \cup \{(f, g)_e \in F \times F \mid f, g \text{ have common edge } e\}$$

$$\blacksquare b(v) = 4 \quad \forall v \in V$$

$$\blacksquare b(f) = -2 \deg_G(f) + \begin{cases} -4 & \text{if } f = f_0, \\ +4 & \text{otherwise} \end{cases} \quad \left. \vphantom{\blacksquare b(f)} \right\} \Rightarrow \sum_w b(w) = 0 \quad (\text{Euler})$$



$$\forall (v, f) \in E, v \in V, f \in F$$

$$\forall (f, g) \in E, f, g \in F$$

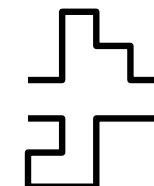
$$\ell(v, f) := 1 \leq X(v, f) \leq 4 =: u(v, f)$$

$$\text{cost}(v, f) = 0$$

$$\ell(f, g) := 0 \leq X(f, g) \leq \infty =: u(f, g)$$

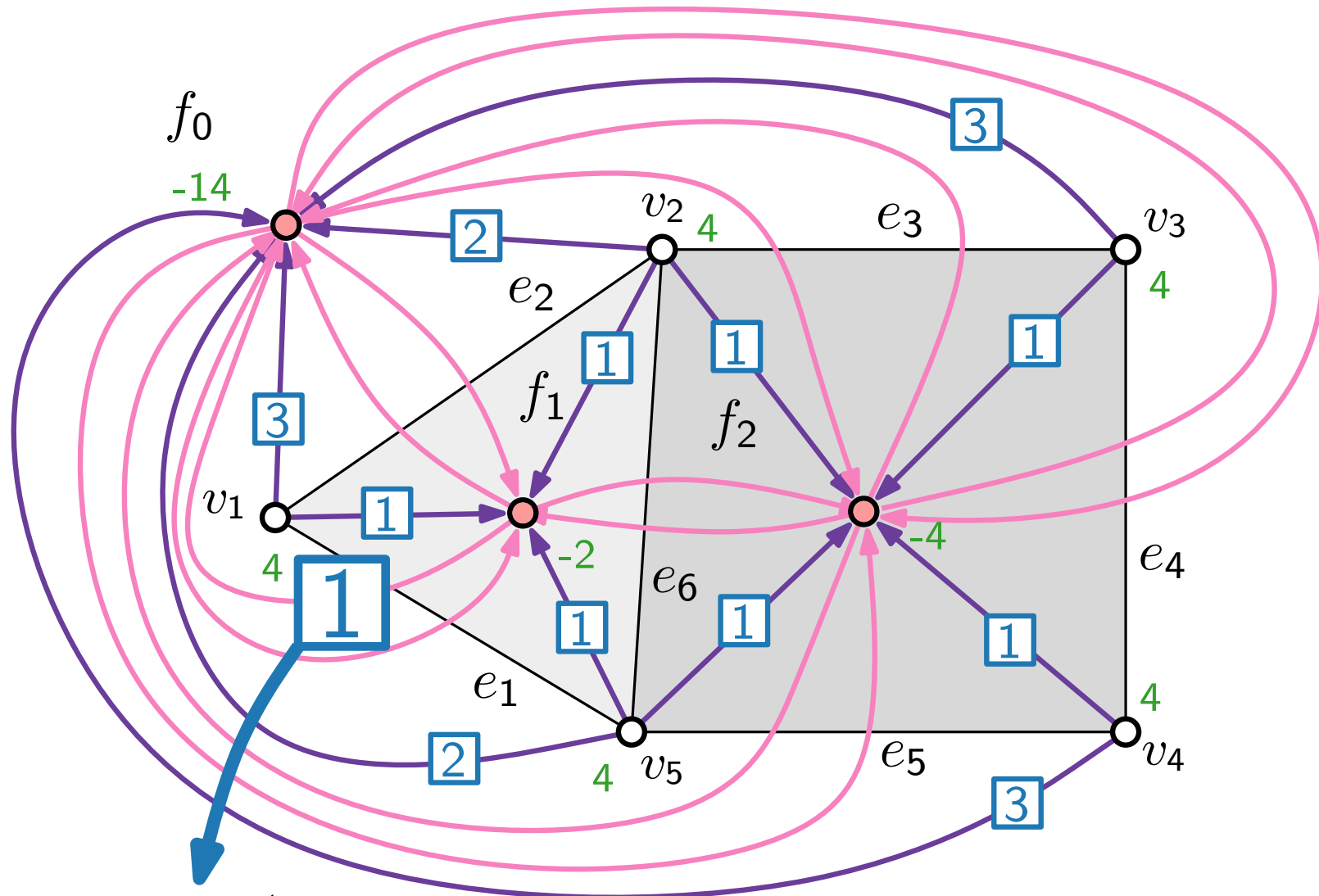
$$\text{cost}(f, g) = 1$$

We model only the number of bends. Why is it enough?



→ Exercise

Flow Network Example



Legend

V ○

F ●

$l/u/cost$

$V \times F \supseteq \xrightarrow{1/4/0}$

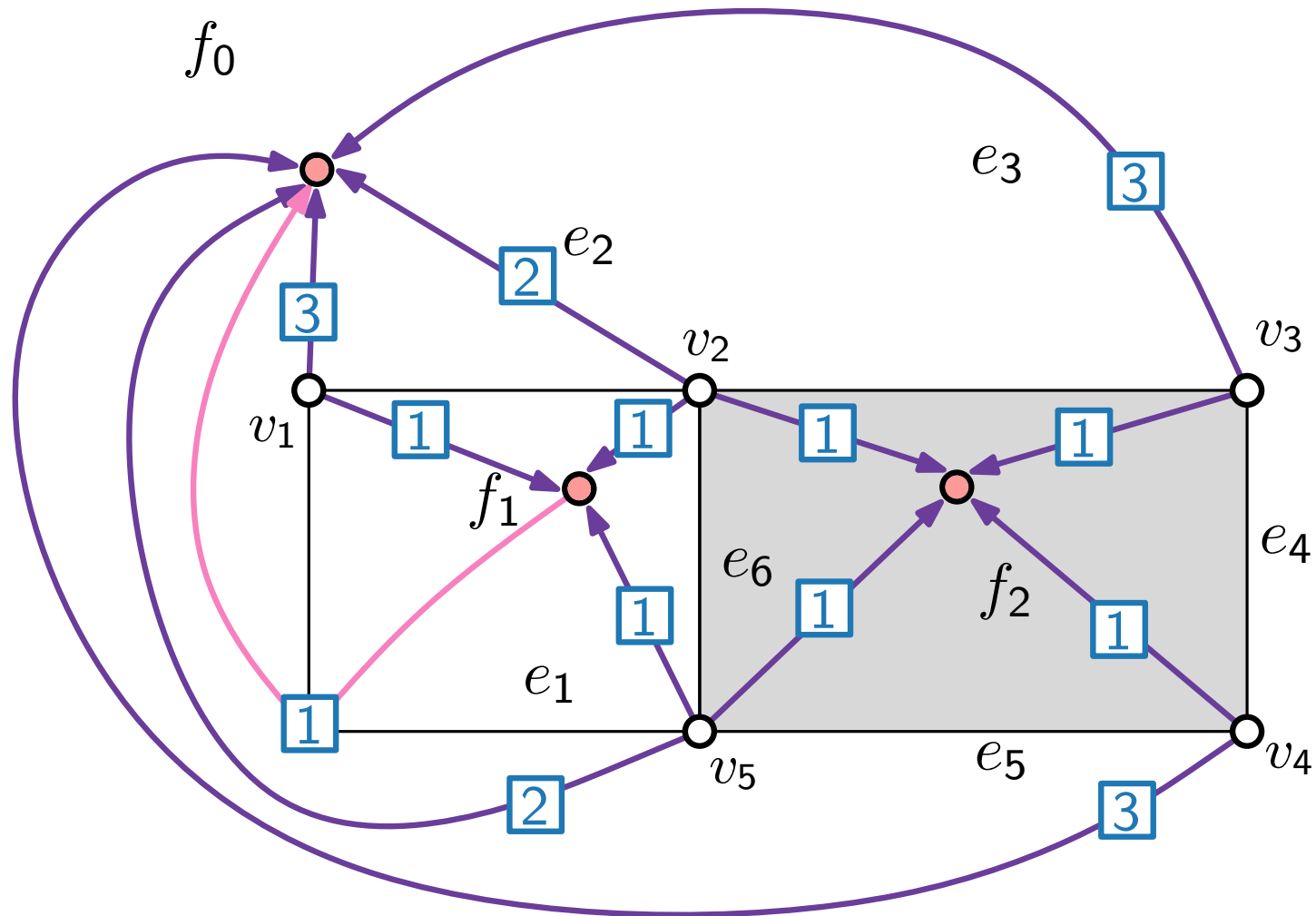
$F \times F \supseteq \xrightarrow{0/\infty/1}$

4 = b -value

3 flow

cost = 1
one bend
(outward)

Flow Network Example



Legend

V ○

F ●

$l/u/cost$

$V \times F \supseteq \xrightarrow{1/4/0}$

$F \times F \supseteq \xrightarrow{0/\infty/1}$

4 = b -value

3 flow

Bend Minimization – Result

Theorem.

[Tamassia '87]

A plane graph (G, F, f_0) has a valid orthogonal representation $H(G)$ with k bends iff the flow network $N(G)$ has a valid flow X with cost k .

Proof.

\Leftarrow Given valid flow X in $N(G)$ with cost k .

Construct orthogonal representation $H(G)$ with k bends.

- Transform from flow to orthogonal description.

- Show properties (H1)–(H4).

(H1) $H(G)$ matches F, f_0

(H2) Bend order inverted and reversed on opposite sides

(H3) Angle sum of $f = \pm 4$

(H4) Total angle at each vertex = 2π

(H1) $H(G)$ corresponds to F, f_0 .

(H2) For each **edge** $\{u, v\}$ shared by faces f and g , sequence δ_1 is reversed and inverted δ_2 .

(H3) For each **face** f it holds that:

$$\sum_{r \in H(f)} C(r) = \begin{cases} -4 & \text{if } f = f_0 \\ +4 & \text{otherwise.} \end{cases}$$

(H4) For each **vertex** v the sum of incident angles is 2π .



Exercise.



Bend Minimization – Result

Theorem.

[Tamassia '87]

A plane graph (G, F, f_0) has a valid orthogonal representation $H(G)$ with k bends iff the flow network $N(G)$ has a valid flow X with cost k .

Proof.

⇒ Given an orthogonal representation $H(G)$ with k bends.

Construct valid flow X in $N(G)$ with cost k .

- Define flow $X: E \rightarrow \mathbb{R}_0^+$.
- Show that X is a valid flow and has cost k .

(N1) $X(vf) = 1/2/3/4$



(N2) $X(fg) = |\delta_{fg}|_0$, (e, δ_{fg}, x) describes $e \stackrel{*}{=} fg$ from f



(N3) capacities, deficit/demand coverage



(N4) **cost** = k



- $b(v) = 4 \quad \forall v \in V$
- $b(f) = -2 \deg_G(f) + \begin{cases} -4 & \text{if } f = f_0, \\ +4 & \text{otherwise} \end{cases}$
- $\ell(v, f) := 1 \leq X(v, f) \leq 4 =: u(v, f)$
 $\text{cost}(v, f) = 0$
 $\ell(f, g) := 0 \leq X(f, g) \leq \infty =: u(f, g)$
 $\text{cost}(f, g) = 1$

Bend Minimization – Remarks

- From Theorem follows that the combinatorial orthogonal bend minimization problem for plane graphs can be solved using an algorithm for the Min-Cost-Flow problem.

Theorem. [Garg & Tamassia 1996]
The minimum cost flow problem for planar graphs with bounded costs and vertex degrees can be solved in $O(n^{7/4}\sqrt{\log n})$ time.

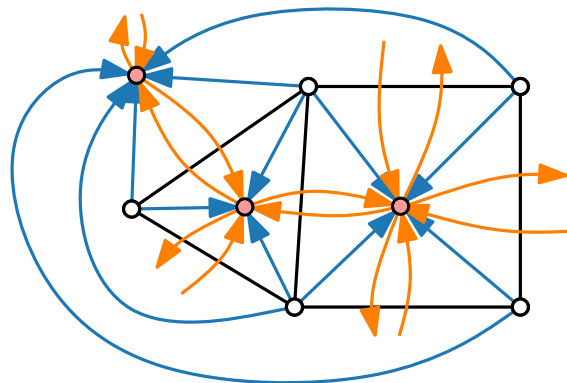
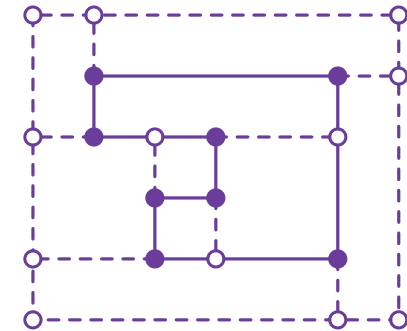
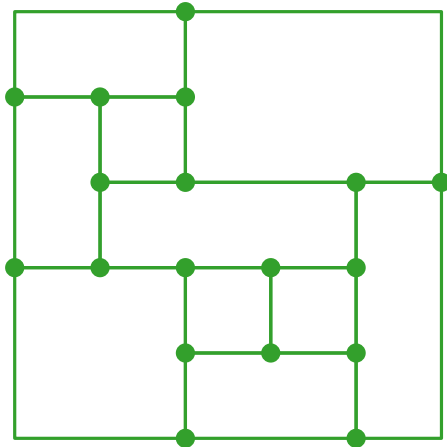
Theorem. [Cornelsen & Karrenbauer 2011]
The minimum cost flow problem for planar graphs with bounded costs and face sizes can be solved in $O(n^{3/2})$ time.

Theorem. [Garg & Tamassia 2001]
Bend Minimization without a given combinatorial embedding is an NP-hard problem.

Visualization of Graphs

Lecture 5: Orthogonal Layouts

Part IV: Area Minimization



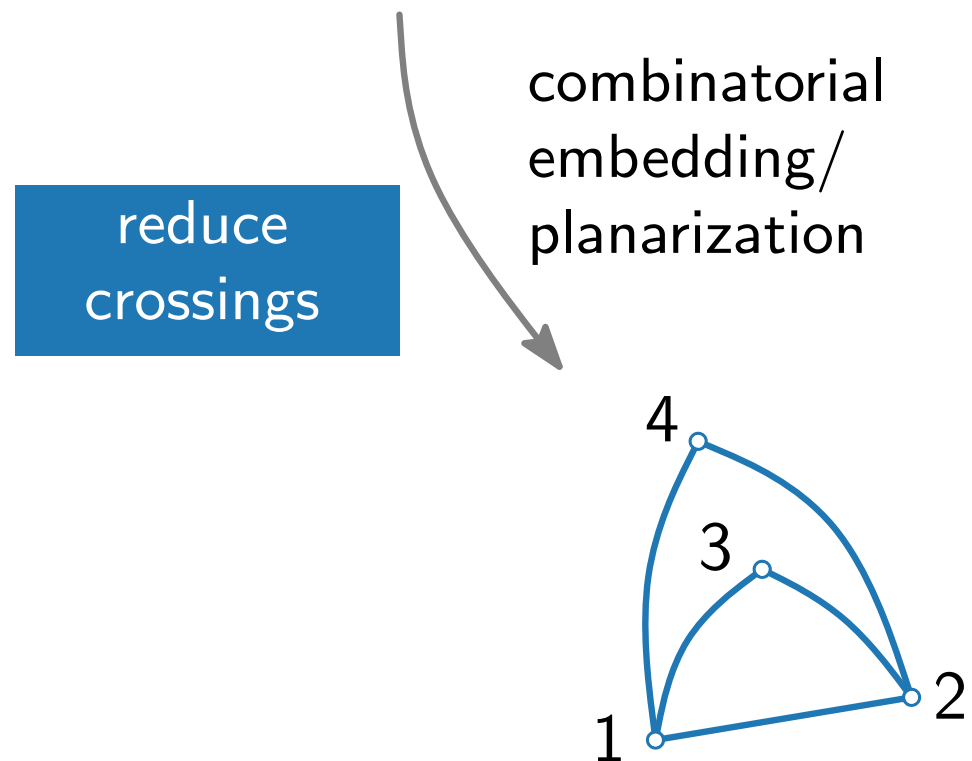
Jonathan Klawitter

Topology – Shape – Metrics

Three-step approach:

$$V = \{v_1, v_2, v_3, v_4\}$$

$$E = \{v_1v_2, v_1v_3, v_1v_4, v_2v_3, v_2v_4\}$$



TOPOLOGY

—

bend minimization

orthogonal
representation

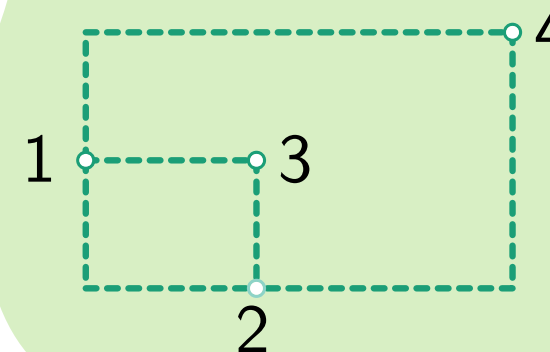
SHAPE

—

[Tamassia 1987]

planar
orthogonal
drawing

area mini-
mization



METRICS

Compaction

Compaction problem.

Given: ■ Plane graph $G = (V, E)$ with maximum degree 4
 ■ Orthogonal representation $H(G)$

Find: Compact orthogonal layout of G that realizes $H(G)$

Special case.

All faces are rectangles.

→ Guarantees possible ■ minimum total edge length
 ■ minimum area

Properties.

- bends only on the outer face
- opposite sides of a face have the same length

Idea.

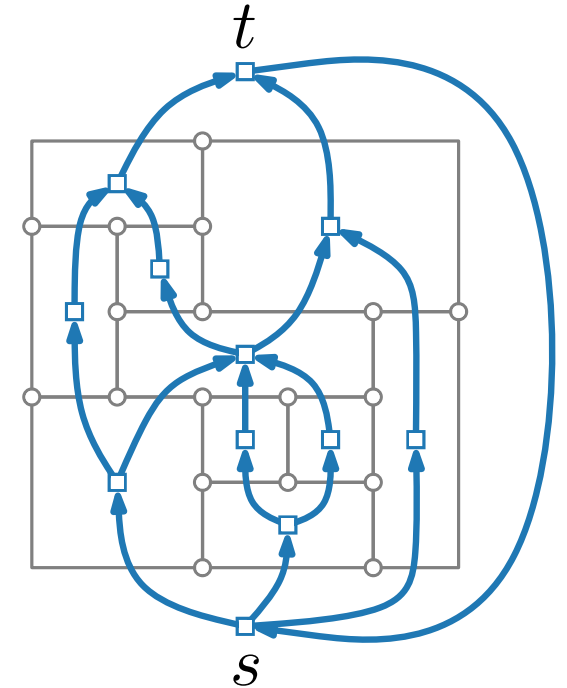
- Formulate flow network for horizontal/vertical compaction

Flow Network for Edge Length Assignment

Definition.

Flow Network $N_{\text{hor}} = ((W_{\text{hor}}, E_{\text{hor}}); b; \ell; u; \text{cost})$

- $W_{\text{hor}} = F \setminus \{f_0\} \cup \{s, t\}$ □
- $E_{\text{hor}} = \{(f, g) \mid f, g \text{ share a horizontal segment and } f \text{ lies below } g\} \cup \{(t, s)\}$
- $\ell(a) = 1 \quad \forall a \in E_{\text{hor}}$
- $u(a) = \infty \quad \forall a \in E_{\text{hor}}$
- $\text{cost}(a) = 1 \quad \forall a \in E_{\text{hor}}$
- $b(f) = 0 \quad \forall f \in W_{\text{hor}}$

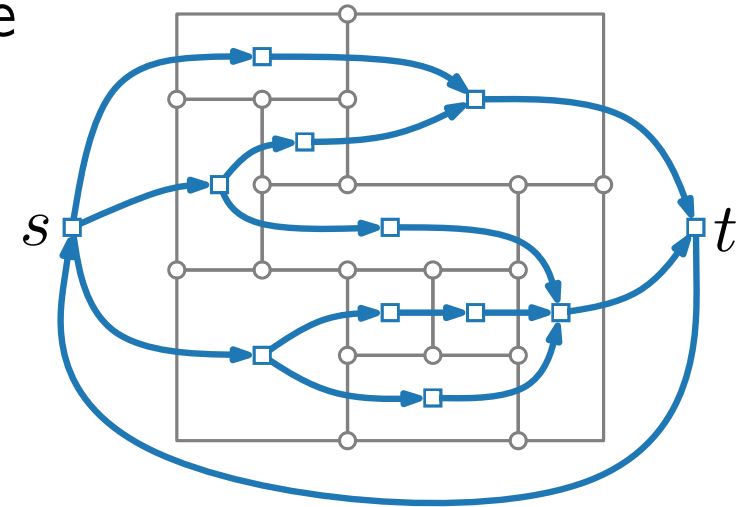


Flow Network for Edge Length Assignment

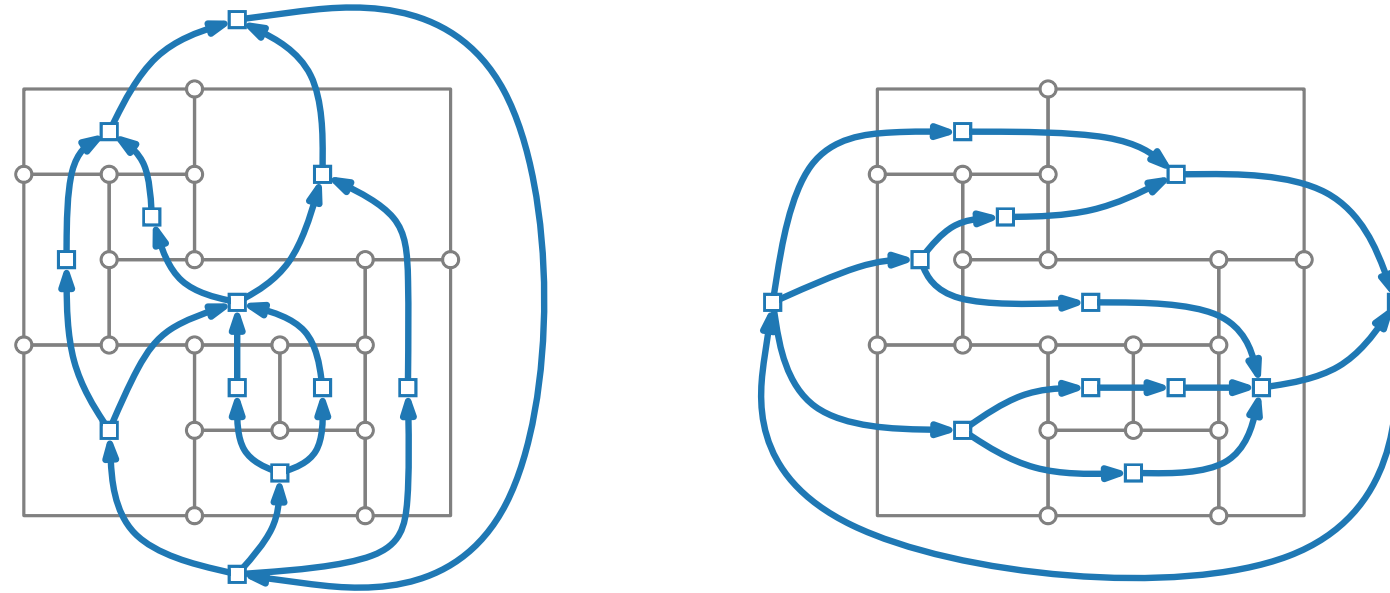
Definition.

Flow Network $N_{\text{ver}} = ((W_{\text{ver}}, E_{\text{ver}}); b; \ell; u; \text{cost})$

- $W_{\text{ver}} = F \setminus \{f_0\} \cup \{s, t\}$ □
- $E_{\text{ver}} = \{(f, g) \mid f, g \text{ share a } \textit{vertical} \text{ segment and } f \text{ lies to the } \textit{left} \text{ of } g\} \cup \{(t, s)\}$
- $\ell(a) = 1 \quad \forall a \in E_{\text{ver}}$
- $u(a) = \infty \quad \forall a \in E_{\text{ver}}$
- $\text{cost}(a) = 1 \quad \forall a \in E_{\text{ver}}$
- $b(f) = 0 \quad \forall f \in W_{\text{ver}}$



Compaction – Result



What if not all faces rectangular?

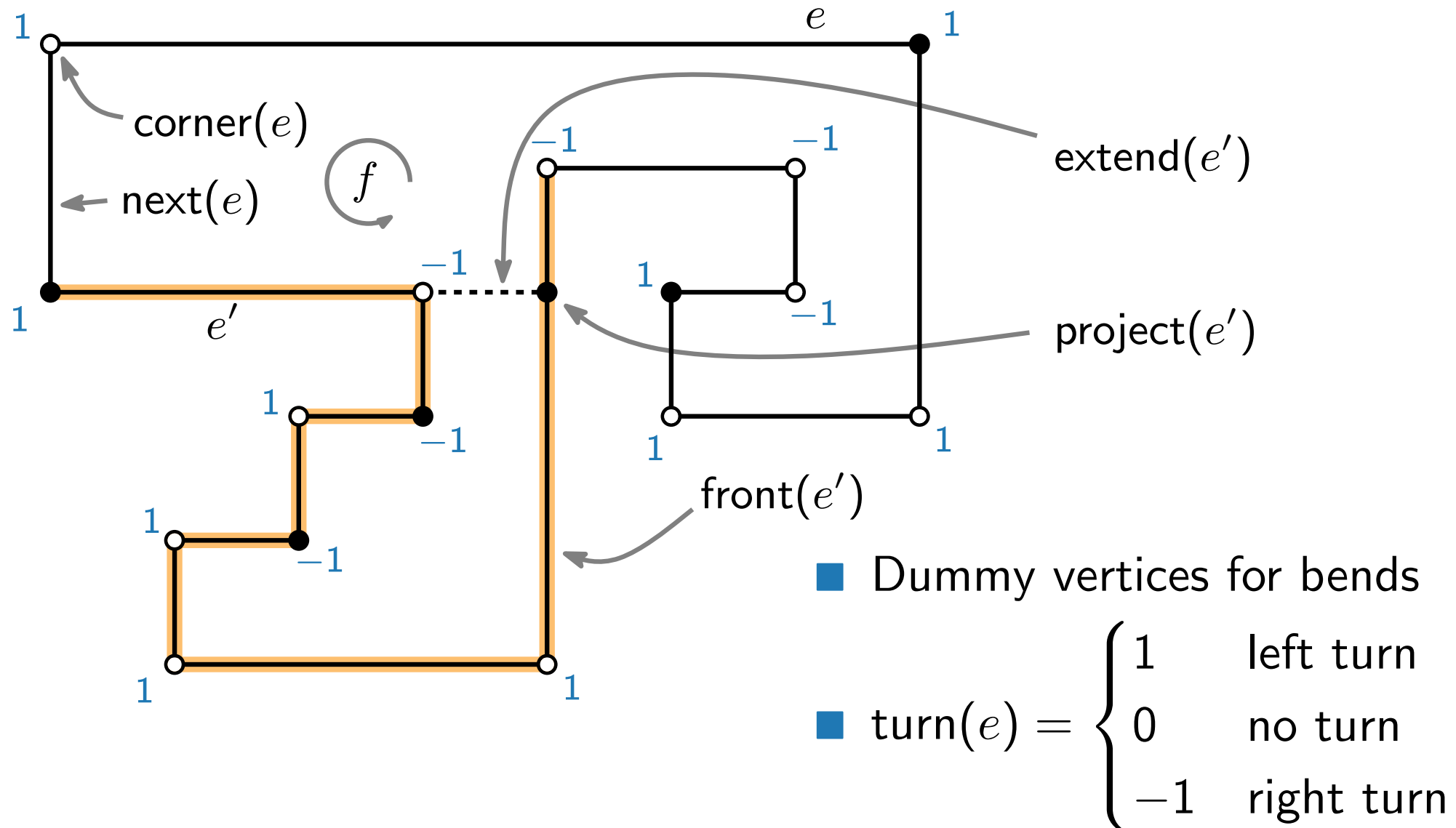
Theorem.

Valid min-cost-flows for N_{hor} and N_{ver} exists iff corresponding edge lengths induce orthogonal drawing.

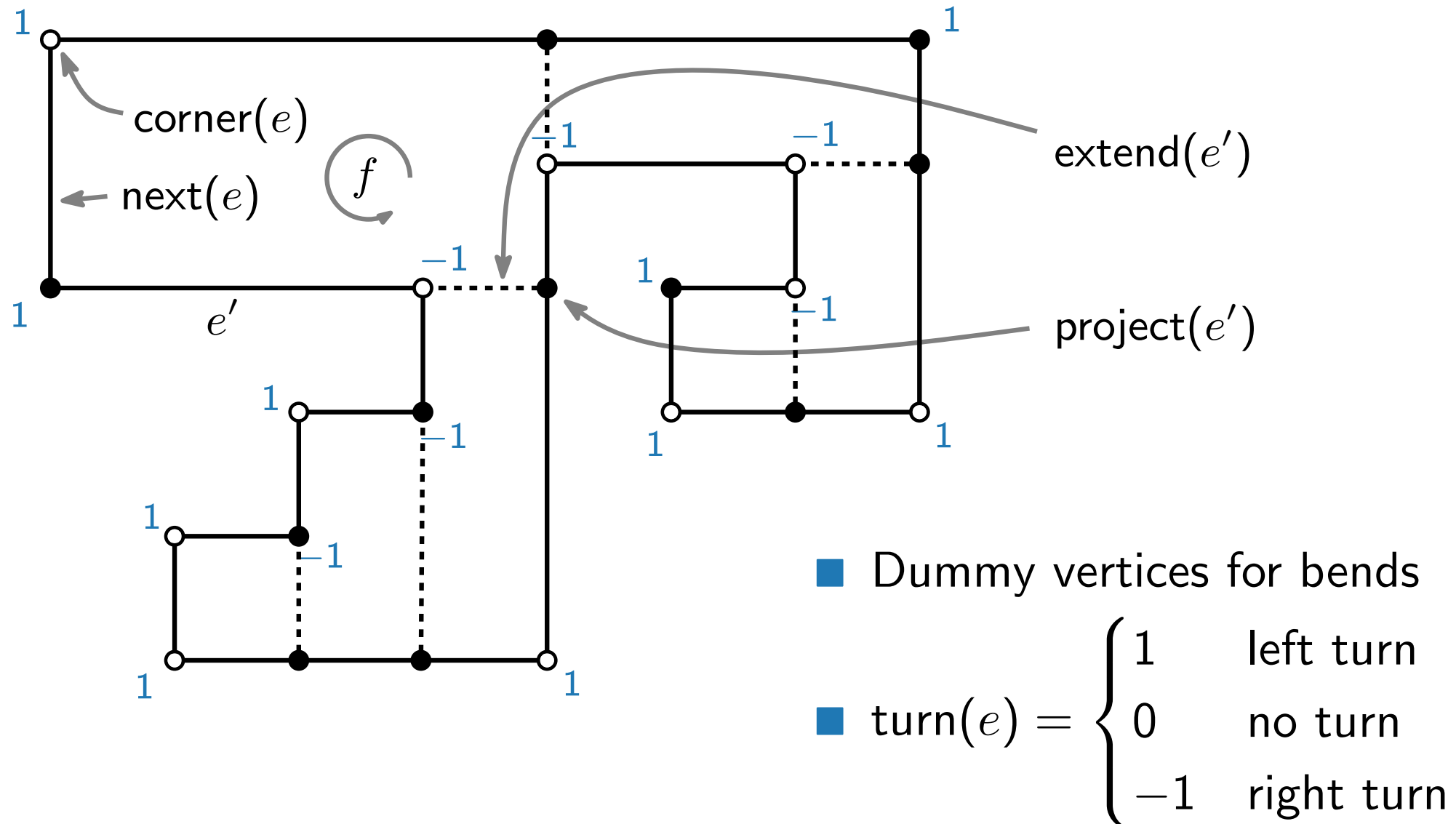
What values of the drawing represent the following?

- $|X_{\text{hor}}(t, s)|$ and $|X_{\text{ver}}(t, s)|$? width and height of drawing
- $\sum_{e \in E_{\text{hor}}} X_{\text{hor}}(e) + \sum_{e \in E_{\text{ver}}} X_{\text{ver}}(e)$ total edge length

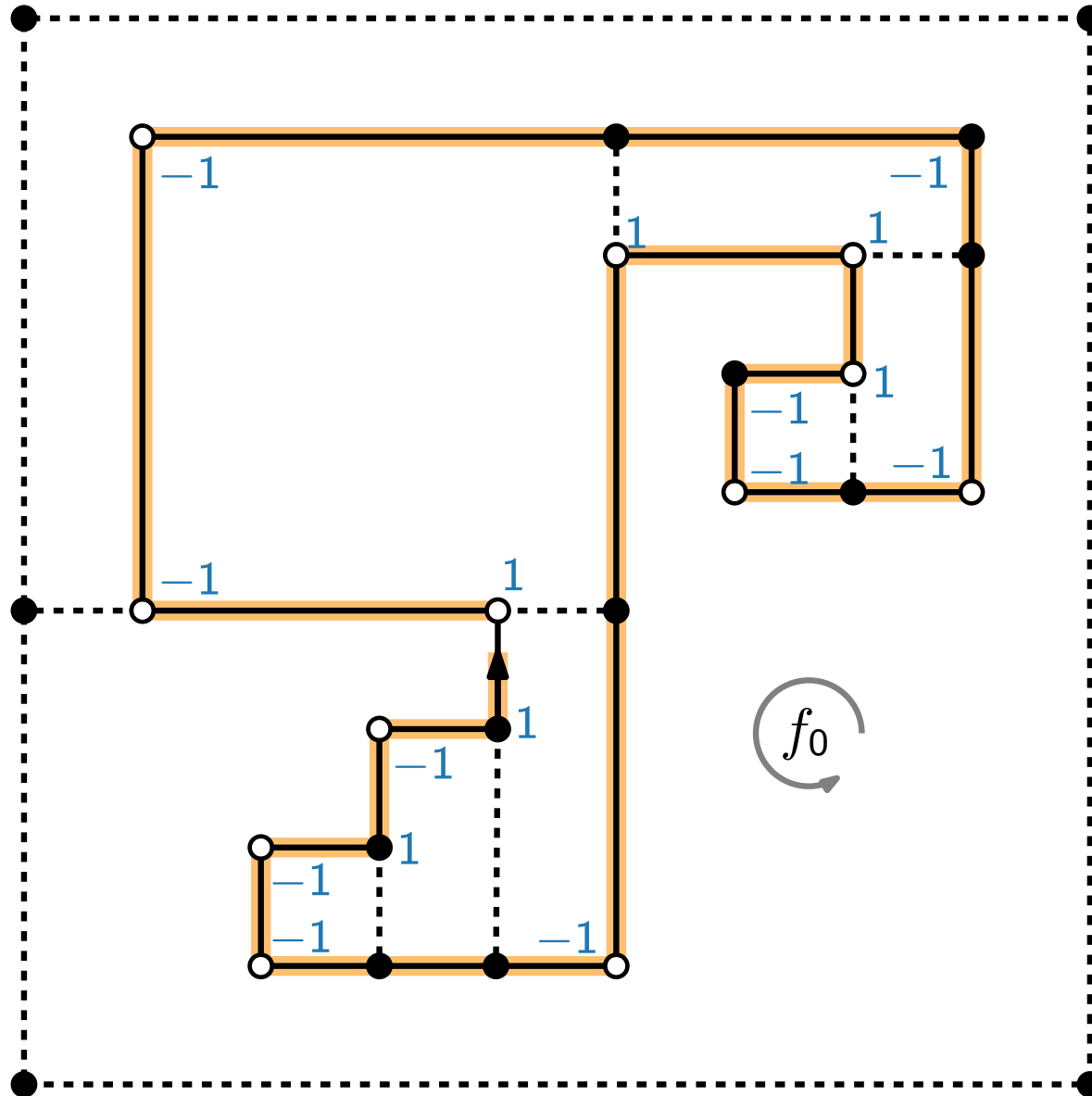
Refinement of (G, H) – Inner Face



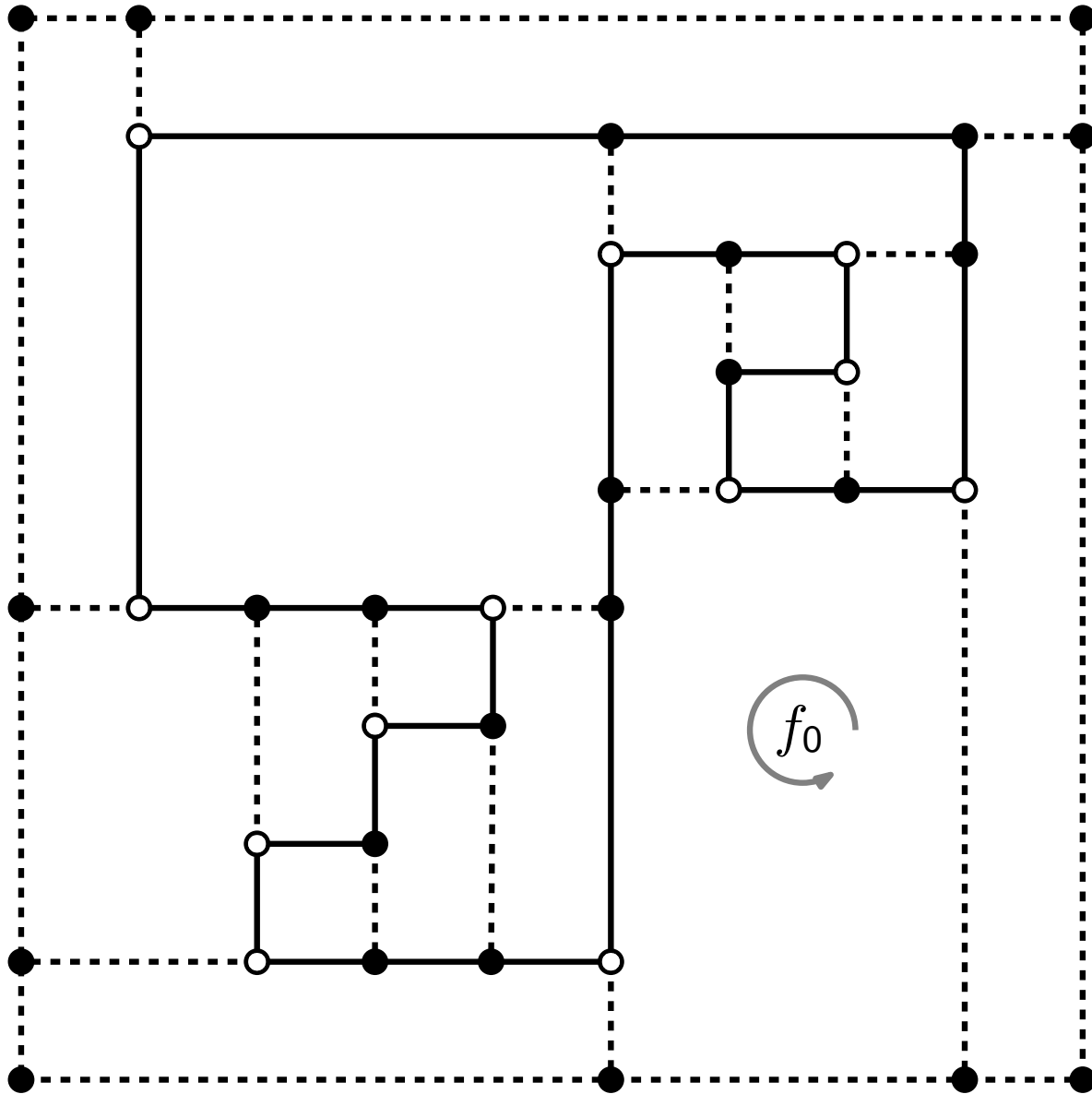
Refinement of (G, H) – Inner Face



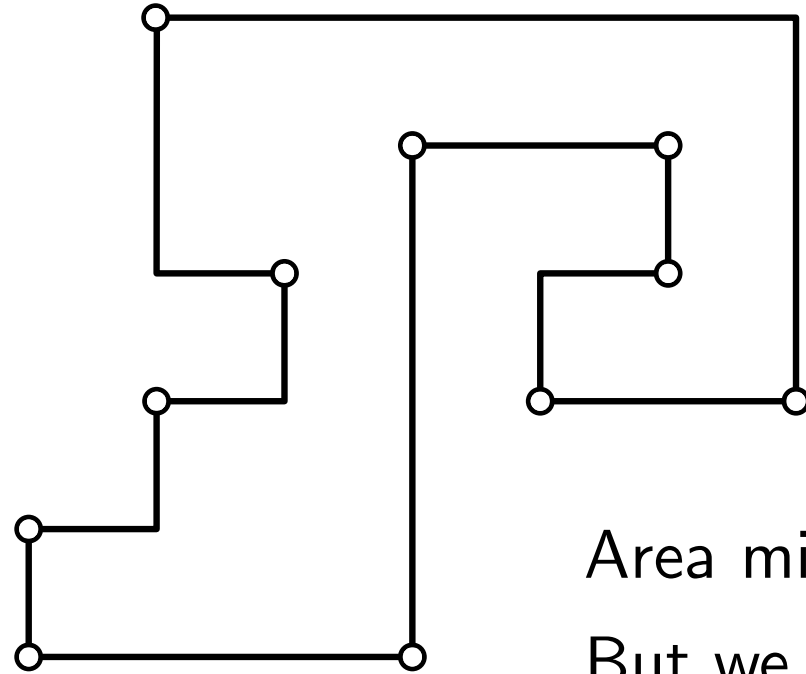
Refinement of (G, H) – Outer Face



Refinement of (G, H) – Outer Face



Refinement of (G, H) – Outer Face



Area minimized? **No!**

But we get bound $O((n + b)^2)$ on the area.

Theorem. [Patrignani 2001]

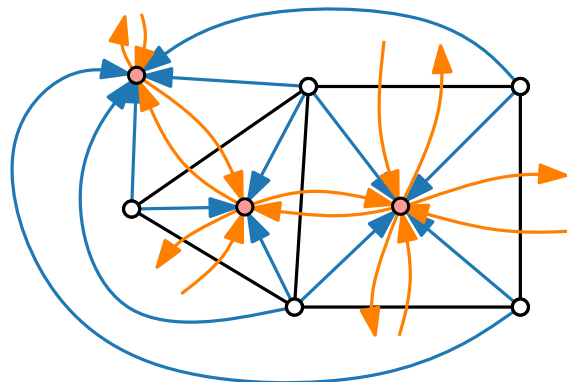
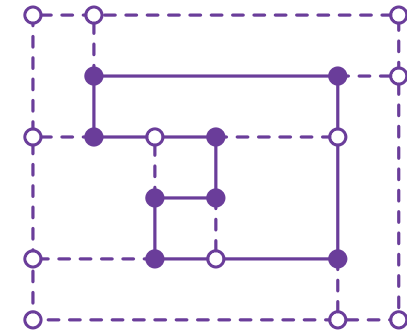
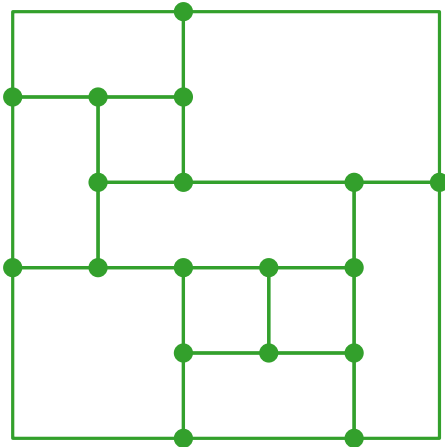
Compaction for given orthogonal representation is in general NP-hard.

Visualization of Graphs

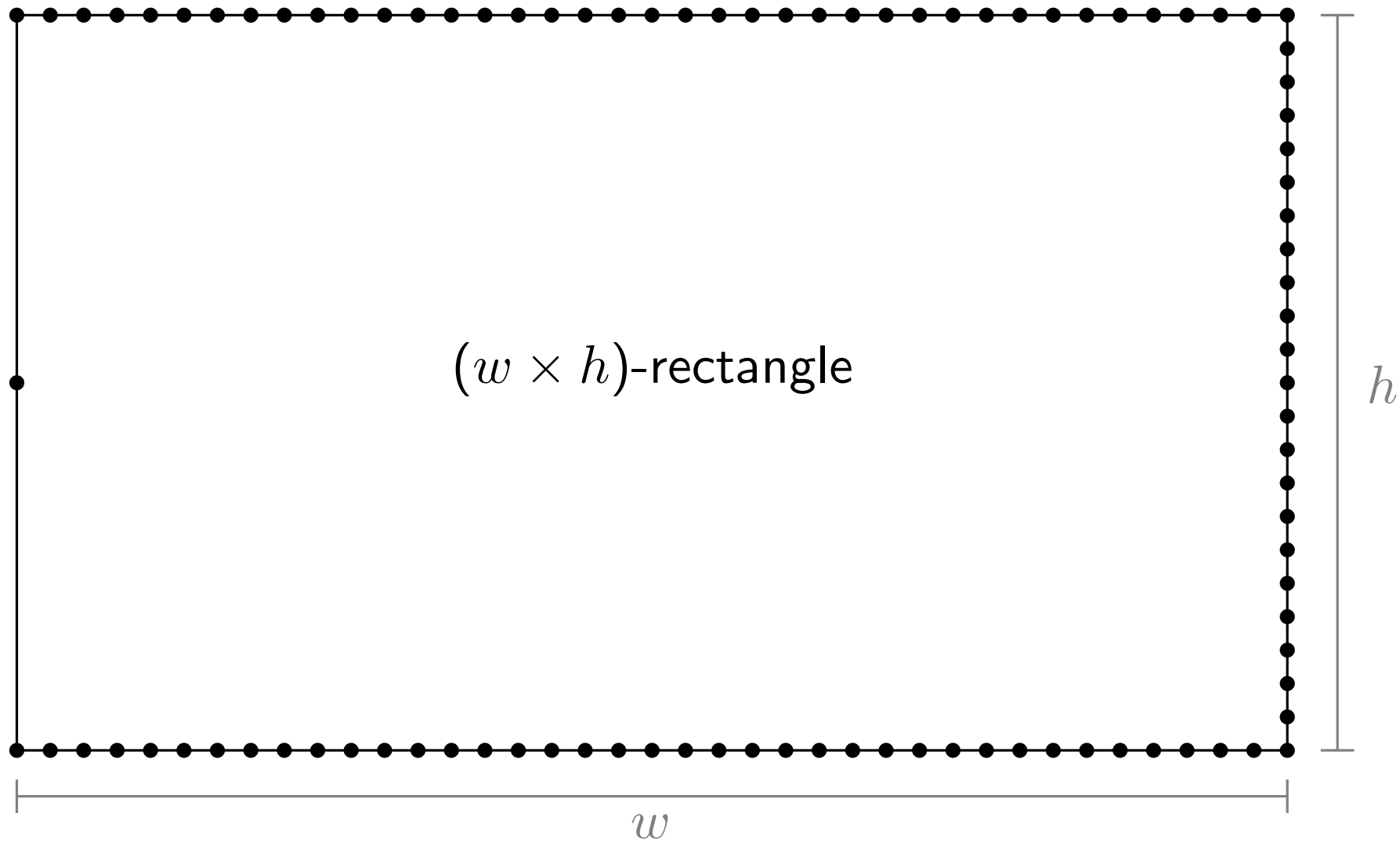
Lecture 5: Orthogonal Layouts

Part V: NP-hardness

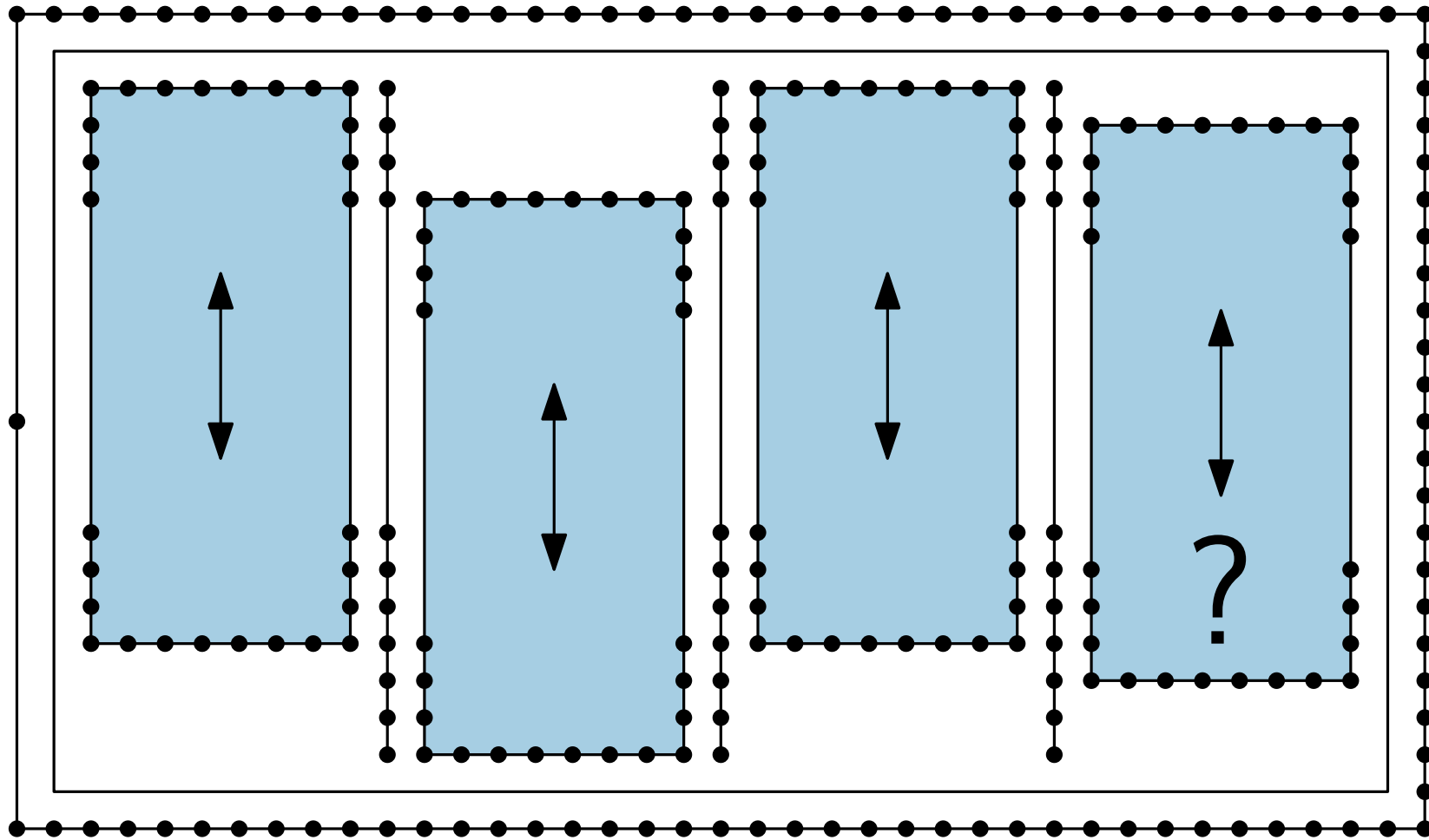
Jonathan Klawitter



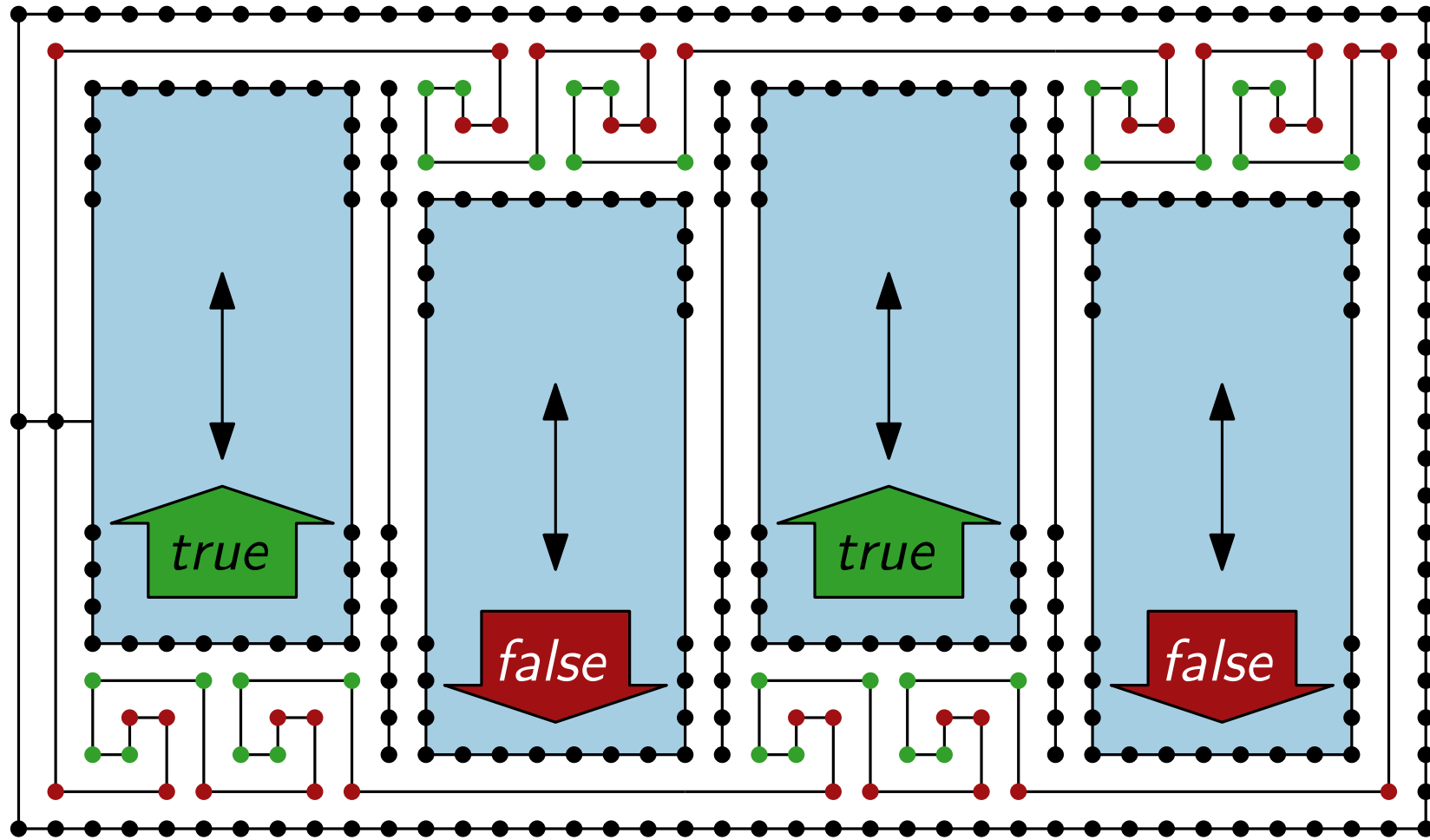
Boundary, **belt**, and “piston” gadget



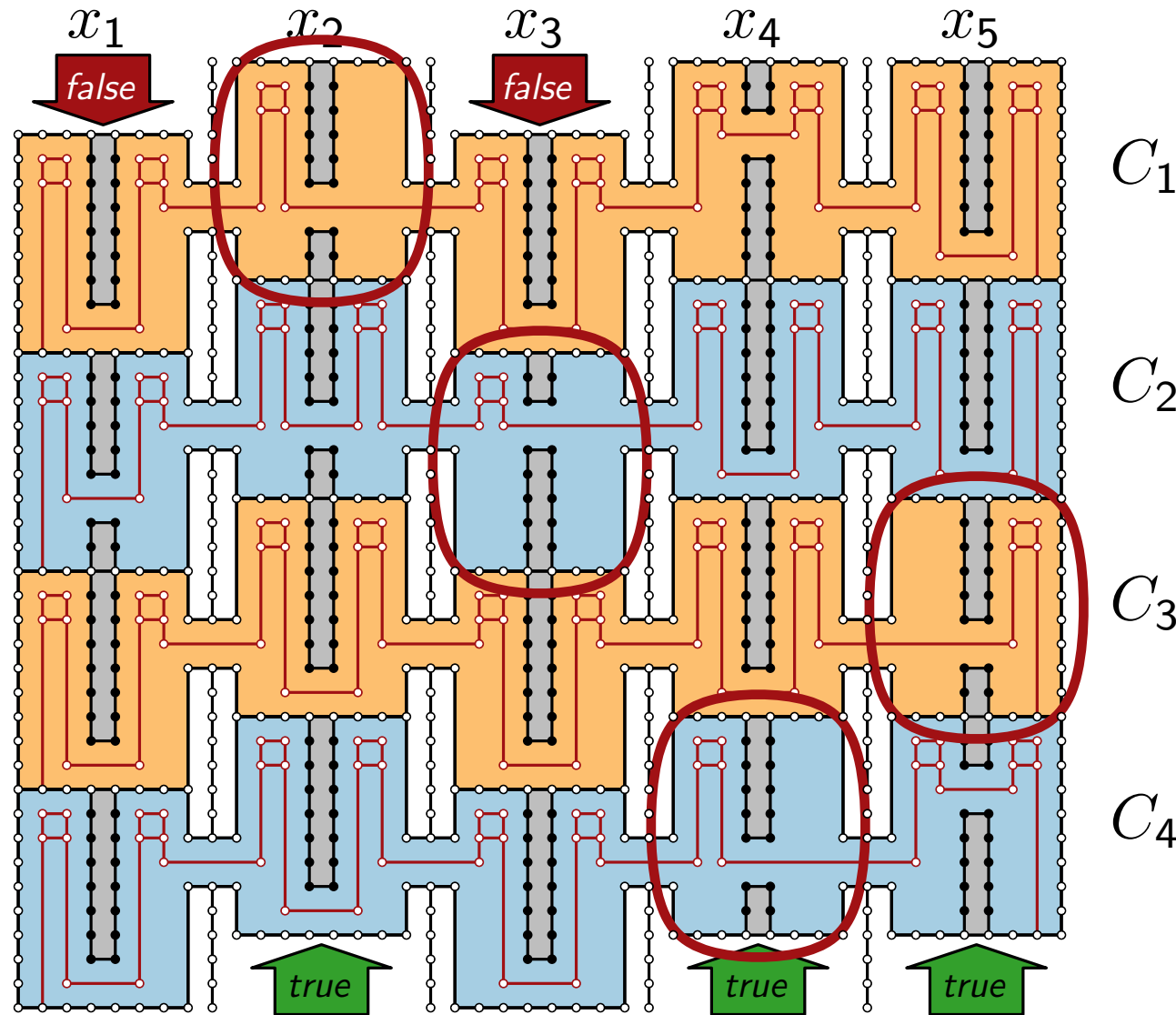
Boundary, **belt**, and “piston” gadget



Boundary, **belt**, and “piston” gadget



Clause gadgets



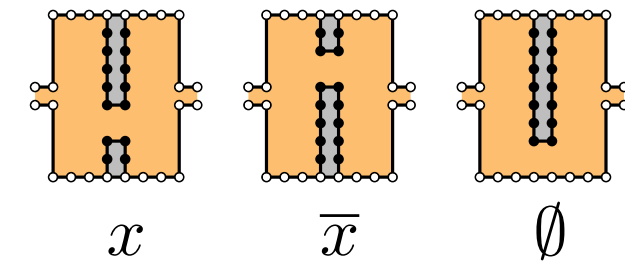
Example:

$$C_1 = x_2 \vee \overline{x_4}$$

$$C_2 = x_1 \vee x_2 \vee \overline{x_3}$$

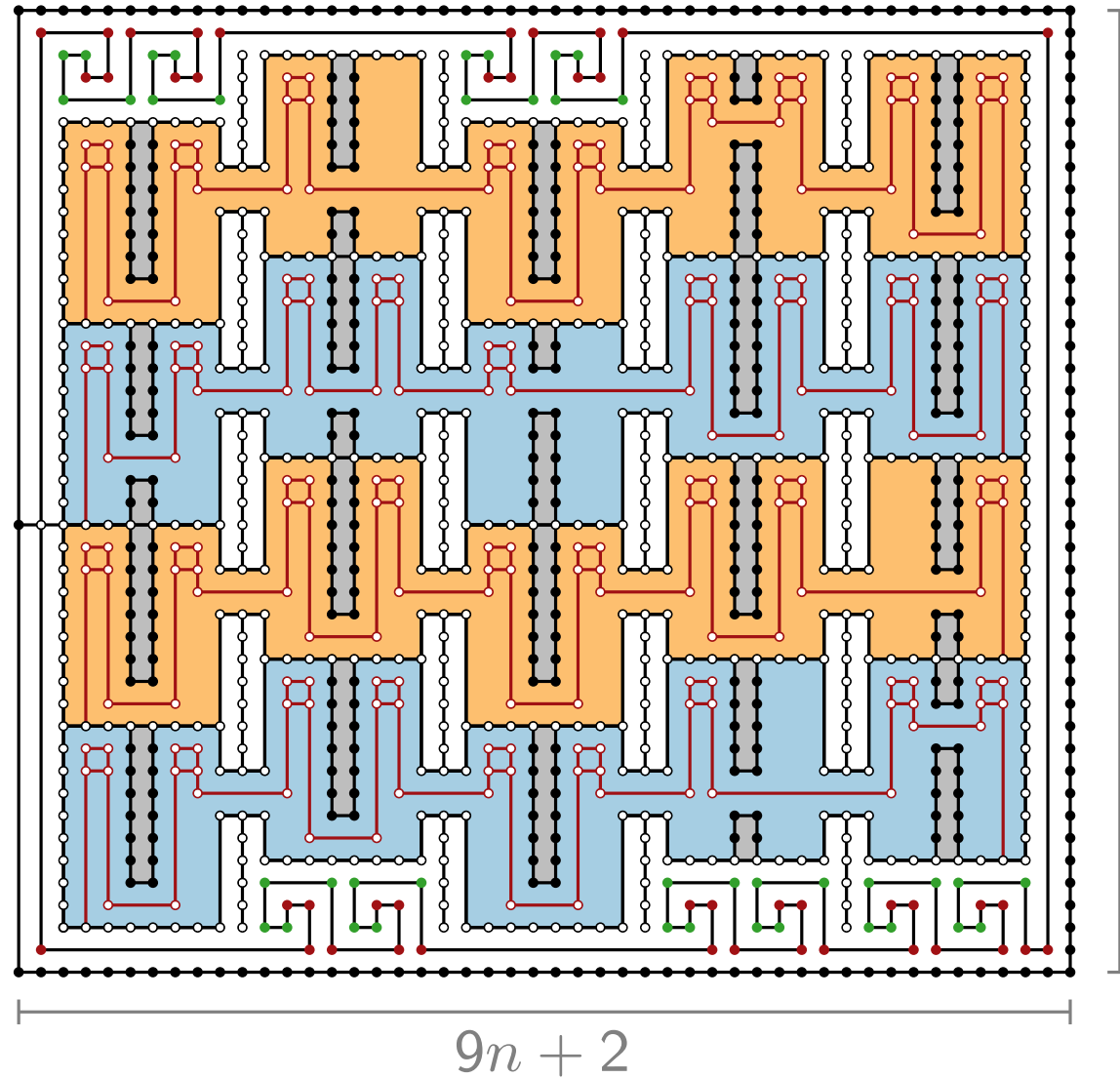
$$C_3 = x_5$$

$$C_4 = x_4 \vee \overline{x_5}$$



insert $(2n - 1)$ -chain
through each clause

Complete reduction



Pick

$$K = (9n + 2) \cdot (9m + 7)$$

$$9m + 7$$

Then:

(G, H) has an area K
drawing

\Leftrightarrow

Φ satisfiable



Literature

- [GD Ch. 5] for detailed explanation
- [Tamassia 1987] “On embedding a graph in the grid with the minimum number of bends”
original paper on flow for bend minimisation
- [Patrignani 2001] “On the complexity of orthogonal compaction”
NP-hardness proof of compactification